



85 Willow Rd, Menlo Park, CA 94025  
 help@robinhood.com

12/01/2021 to 12/31/2021  
**JIAPING ZHAO** Account #:156901654  
 Cash Management ACH Account #:289819457808  
 27 Camden St, Milford, CT 06461

■ Options      ■ Equities      ■ Cash and Cash Equivalents

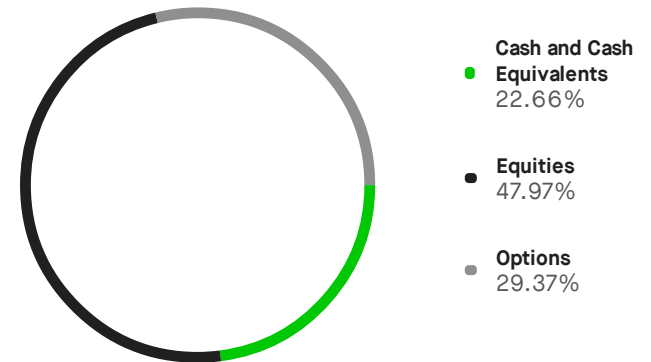
**Account Summary**

	Opening Balance	Closing Balance
Brokerage Cash Balance	(\$46,417.33)	(\$41,632.87)
Deposit Sweep Balance	\$0.00	\$0.00
Total Securities	\$99,206.05	\$93,604.52
<b>Portfolio Value</b>	<b>\$52,788.72</b>	<b>\$51,971.65</b>

**Income and Expense Summary**

	This Period	Year to Date
Dividends	\$149.18	\$1,166.84
Capital Gains Distributions	\$0.00	\$0.00
Interest Earned	\$0.00	\$0.00

**Portfolio Allocation**



The Robinhood Cash Management Annual Percentage Yield (APY) is 0.30% as of the closing date of this statement. APY is determined by the program banks into which your uninvested cash is swept, and may change at any time. Investment products are not FDIC-insured, not bank guaranteed, and may lose value. Uninvested cash swept to the program banks is eligible for FDIC insurance up to applicable limits, subject to FDIC rules. The Securities Investor Protection Corporation has taken the position that uninvested cash swept to the program banks is not covered by the Securities Investor Protection Act.

This statement shall be conclusive if not objected to in writing within ten days (except with respect to debit card transactions). Errors and omissions exempted. Please address all communications to the firm and not to the individuals. Address changes or other material changes in your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Apple Estimated Yield: 0.49%	AAPL	Margin	30	\$177.57	\$5,327.10	\$25.95	2.90%
American Electric Power Estimated Yield: 3.37%	AEP	Margin	0.939132	\$88.97	\$83.55	\$2.82	0.05%
Arthur J. Gallagher Estimated Yield: 1.11%	AJG	Margin	2.286174	\$169.67	\$387.90	\$4.32	0.21%
Ally Financial Estimated Yield: 1.85%	ALLY	Margin	12.959475	\$47.61	\$617.00	\$11.40	0.34%
AMD Estimated Yield: 0.00%	AMD	Margin	9.778174	\$143.90	\$1,407.08	\$0.00	0.77%
Amazon Estimated Yield: 0.00%	AMZN	Margin	1.630599	\$3,334.34	\$5,436.97	\$0.00	2.96%
Boeing Estimated Yield: 0.00%	BA	Margin	10	\$201.32	\$2,013.20	\$0.00	1.10%
Baidu Estimated Yield: 0.00%	BIDU	Margin	7	\$148.79	\$1,041.53	\$0.00	0.57%
Citigroup Estimated Yield: 3.38%	C	Margin	15	\$60.39	\$905.85	\$30.60	0.49%
Carrier Global Estimated Yield: 0.88%	CARR	Margin	9.150827	\$54.24	\$496.34	\$4.39	0.27%
CBTX Estimated Yield: 1.69%	CBTX	Margin	15	\$29.00	\$435.00	\$7.35	0.24%
Celanese Estimated Yield: 1.62%	CE	Margin	4.068304	\$168.06	\$683.72	\$11.07	0.37%
Citizens Bank Estimated Yield: 3.30%	CFG	Margin	10.628011	\$47.25	\$502.17	\$16.58	0.27%
C.H. Robinson Estimated Yield: 1.90%	CHRW	Margin	2.47678	\$107.63	\$266.58	\$5.05	0.15%
Capital One Estimated Yield: 1.38%	COF	Margin	2.408646	\$145.09	\$349.47	\$4.82	0.19%
Columbia Banking System Estimated Yield: 3.48%	COLB	Margin	15	\$32.72	\$490.80	\$17.10	0.27%

## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
CSX Estimated Yield: 0.99%	CSX	Margin	20.050787	\$37.60	\$753.91	\$7.49	0.41%
Direxion Daily Healthcare Bull ETF Estimated Yield: 0.00%	CURE	Margin	4.030404	\$144.99	\$584.37	\$0.00	0.32%
Disney Estimated Yield: 0.00%	DIS	Margin	13.735294	\$154.89	\$2,127.46	\$0.00	1.16%
Draftkings Estimated Yield: 0.00%	DKNG	Margin	60	\$27.47	\$1,648.20	\$0.00	0.90%
Eversource Estimated Yield: 2.61%	ES	Margin	2.306698	\$90.98	\$209.86	\$5.48	0.11%
Direxion Daily Financial Bull ETF Estimated Yield: 0.00%	FAS	Margin	5	\$130.73	\$653.65	\$0.00	0.36%
Meta Platforms Estimated Yield: 0.00%	FB	Margin	13.586104	\$336.35	\$4,569.69	\$0.00	2.49%
Federal Realty Investment Trust Estimated Yield: 3.12%	FRT	Margin	1.910181	\$136.32	\$260.40	\$8.12	0.14%
General Mills Estimated Yield: 3.03%	GIS	Margin	1	\$67.38	\$67.38	\$2.04	0.04%
Alphabet Class A Estimated Yield: 0.00%	GOOGL	Margin	3.032735	\$2,897.04	\$8,785.95	\$0.00	4.78%
Innovative Industrial Properties Estimated Yield: 2.08%	IIPR	Margin	1	\$262.91	\$262.91	\$5.46	0.14%
Intuitive Surgical Estimated Yield: 0.00%	ISRG	Margin	12.286143	\$359.30	\$4,414.41	\$0.00	2.40%
JD.com Estimated Yield: 0.00%	JD	Margin	2.320526	\$70.07	\$162.60	\$0.00	0.09%
Johnson & Johnson Estimated Yield: 2.45%	JNJ	Margin	3.060162	\$171.07	\$523.50	\$12.82	0.28%
Coca-Cola Estimated Yield: 2.84%	KO	Margin	100	\$59.21	\$5,921.00	\$168.00	3.22%
SPDR S&P Regional Banking fund Estimated Yield: 2.15%	KRE	Margin	17.111411	\$70.85	\$1,212.34	\$26.10	0.66%

## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
L3Harris Estimated Yield: 1.91%	LHX	Margin	2	\$213.24	\$426.48	\$8.16	0.23%
Alliant Energy Estimated Yield: 2.62%	LNT	Margin	1.718833	\$61.47	\$105.66	\$2.77	0.06%
McDonald's Estimated Yield: 1.96%	MCD	Margin	5	\$268.07	\$1,340.35	\$26.25	0.73%
ETFMG Alternative Harvest Estimated Yield: 1.75%	MJ	Margin	100	\$11.08	\$1,108.00	\$19.41	0.60%
Merck Estimated Yield: 3.39%	MRK	Margin	10	\$76.64	\$766.40	\$26.00	0.42%
Microsoft Estimated Yield: 0.68%	MSFT	Margin	7	\$336.32	\$2,354.24	\$16.10	1.28%
Newmont Estimated Yield: 3.31%	NEM	Margin	10.167158	\$62.02	\$630.57	\$20.84	0.34%
Netflix Estimated Yield: 0.00%	NFLX	Margin	2	\$602.44	\$1,204.88	\$0.00	0.66%
NRG Energy Estimated Yield: 3.02%	NRG	Margin	2.46036	\$43.08	\$105.99	\$3.20	0.06%
Norfolk Southern Estimated Yield: 1.40%	NSC	Margin	1	\$297.71	\$297.71	\$4.16	0.16%
NVIDIA Estimated Yield: 0.05%	NVDA	Margin	3.706906	\$294.11	\$1,090.24	\$0.59	0.59%
New York Community Bank Estimated Yield: 5.57%	NYCB	Margin	102	\$12.21	\$1,245.42	\$69.36	0.68%
Old National Bancorp Estimated Yield: 3.09%	ONB	Margin	20.15891	\$18.12	\$365.28	\$11.29	0.20%
Ovintiv Estimated Yield: 1.25%	OVV	Margin	8	\$33.70	\$269.60	\$3.37	0.15%
Principal Financial Estimated Yield: 3.37%	PFG	Margin	8.155915	\$72.33	\$589.92	\$19.90	0.32%
Pinnacle West Estimated Yield: 4.73%	PNW	Margin	3	\$70.59	\$211.77	\$10.02	0.12%

## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Rivian Automotive Estimated Yield: 0.00%	RIVN	Margin	2	\$103.69	\$207.38	\$0.00	0.11%
Starbucks Estimated Yield: 1.57%	SBUX	Margin	30.36382	\$116.97	\$3,551.66	\$55.87	1.93%
Southern Estimated Yield: 3.82%	SO	Margin	3.077294	\$68.58	\$211.04	\$8.06	0.11%
Invesco Solar ETF Estimated Yield: 0.43%	TAN	Margin	5	\$76.97	\$384.85	\$1.65	0.21%
Tencent Estimated Yield: 0.31%	TCEHY	Margin	10	\$58.30	\$583.00	\$1.81	0.32%
Tesla Estimated Yield: 0.00%	TSLA	Margin	4.939191	\$1,056.78	\$5,219.64	\$0.00	2.84%
Taiwan Semiconductor Manufacturing Estimated Yield: 1.20%	TSM	Margin	20	\$120.31	\$2,406.20	\$28.81	1.31%
Textron Estimated Yield: 0.10%	TXT	Margin	10.627291	\$77.20	\$820.43	\$0.85	0.45%
Unity Software Estimated Yield: 0.00%	U	Margin	10	\$142.99	\$1,429.90	\$0.00	0.78%
UnitedHealth Estimated Yield: 1.08%	UNH	Margin	0.648044	\$502.14	\$325.41	\$3.50	0.18%
Upstart Estimated Yield: 0.00%	UPST	Margin	1.096436	\$151.30	\$165.89	\$0.00	0.09%
Visa Estimated Yield: 0.62%	V	Margin	3	\$216.71	\$650.13	\$4.01	0.35%
Valero Estimated Yield: 5.22%	VLO	Margin	30	\$75.11	\$2,253.30	\$117.60	1.23%
Westinghouse Estimated Yield: 0.52%	WAB	Margin	6.21306	\$92.11	\$572.28	\$2.98	0.31%
Waters Estimated Yield: 0.00%	WAT	Margin	2.02055	\$372.60	\$752.86	\$0.00	0.41%
Webster Bank Estimated Yield: 2.87%	WBS	Margin	15	\$55.84	\$837.60	\$24.00	0.46%

## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Willis Towers Watson Estimated Yield: 1.23%	WLTW	Margin	1	\$237.49	\$237.49	\$2.93	0.13%
West Pharmaceutical Services Estimated Yield: 0.15%	WST	Margin	6	\$469.01	\$2,814.06	\$4.14	1.53%
AAPL 03/18/2022 Call \$155.00 Estimated Yield: 0.00%	AAPL	Margin	1S	\$25.30	(\$2,530.00)	\$0.00	1.38%
AAPL 03/18/2022 Call \$145.00 Estimated Yield: 0.00%	AAPL	Margin	1	\$34.00	\$3,400.00	\$0.00	1.85%
ASHR 01/21/2022 Call \$45.00 Estimated Yield: 0.00%	ASHR	Margin	1S	\$0.01	(\$1.00)	\$0.00	0.00%
ASHR 01/21/2022 Call \$42.00 Estimated Yield: 0.00%	ASHR	Margin	2	\$0.07	\$14.00	\$0.00	0.01%
AUY 01/21/2022 Call \$2.00 Estimated Yield: 0.00%	AUY	Margin	3	\$2.20	\$660.00	\$0.00	0.36%
BA 03/17/2023 Put \$200.00 Estimated Yield: 0.00%	BA	Margin	1S	\$30.70	(\$3,070.00)	\$0.00	1.67%
BA 03/17/2023 Put \$195.00 Estimated Yield: 0.00%	BA	Margin	1	\$26.83	\$2,683.00	\$0.00	1.46%
BABA 01/20/2023 Call \$170.00 Estimated Yield: 0.00%	BABA	Margin	1S	\$9.05	(\$905.00)	\$0.00	0.49%
BABA 01/20/2023 Call \$165.00 Estimated Yield: 0.00%	BABA	Margin	1	\$10.88	\$1,088.00	\$0.00	0.59%
CCL 01/20/2023 Call \$40.00 Estimated Yield: 0.00%	CCL	Margin	1	\$0.65	\$65.00	\$0.00	0.04%
DKNG 01/20/2023 Call \$70.00 Estimated Yield: 0.00%	DKNG	Margin	1S	\$1.02	(\$102.00)	\$0.00	0.06%
DKNG 01/20/2023 Call \$50.00 Estimated Yield: 0.00%	DKNG	Margin	1	\$2.43	\$243.00	\$0.00	0.13%
F 01/21/2022 Call \$20.00 Estimated Yield: 0.00%	F	Margin	1S	\$1.24	(\$124.00)	\$0.00	0.07%
F 01/21/2022 Call \$15.00 Estimated Yield: 0.00%	F	Margin	1	\$5.83	\$583.00	\$0.00	0.32%

## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
FB 01/20/2023 Call \$295.00 Estimated Yield: 0.00%	FB	Margin	1S	\$71.10	(\$7,110.00)	\$0.00	3.87%
FB 01/20/2023 Call \$275.00 Estimated Yield: 0.00%	FB	Margin	1	\$84.43	\$8,443.00	\$0.00	4.60%
FCX 01/21/2022 Call \$35.00 Estimated Yield: 0.00%	FCX	Margin	1S	\$6.85	(\$685.00)	\$0.00	0.37%
FCX 01/21/2022 Call \$27.00 Estimated Yield: 0.00%	FCX	Margin	1	\$14.75	\$1,475.00	\$0.00	0.80%
FUBO 01/20/2023 Call \$35.00 Estimated Yield: 0.00%	FUBO	Margin	1S	\$2.45	(\$245.00)	\$0.00	0.13%
FUBO 01/20/2023 Call \$15.00 Estimated Yield: 0.00%	FUBO	Margin	1	\$5.48	\$548.00	\$0.00	0.30%
HAL 01/20/2023 Call \$25.00 Estimated Yield: 0.00%	HAL	Margin	1	\$2.97	\$297.00	\$0.00	0.16%
HAL 01/20/2023 Call \$35.00 Estimated Yield: 0.00%	HAL	Margin	1S	\$0.81	(\$81.00)	\$0.00	0.04%
IDEX 01/20/2023 Put \$0.50 Estimated Yield: 0.00%	IDEX	Margin	12	\$0.11	\$132.00	\$0.00	0.07%
IDEX 01/20/2023 Put \$1.00 Estimated Yield: 0.00%	IDEX	Margin	12S	\$0.34	(\$408.00)	\$0.00	0.22%
IDEX 01/21/2022 Put \$2.00 Estimated Yield: 0.00%	IDEX	Margin	10S	\$0.89	(\$890.00)	\$0.00	0.48%
IDEX 01/21/2022 Put \$1.50 Estimated Yield: 0.00%	IDEX	Margin	10	\$0.35	\$350.00	\$0.00	0.19%
IQ 01/20/2023 Call \$27.50 Estimated Yield: 0.00%	IQ	Margin	1S	\$0.19	(\$19.00)	\$0.00	0.01%
IQ 01/20/2023 Call \$17.50 Estimated Yield: 0.00%	IQ	Margin	1	\$0.23	\$23.00	\$0.00	0.01%
IVR 01/20/2023 Call \$3.50 Estimated Yield: 0.00%	IVR	Margin	2	\$0.27	\$54.00	\$0.00	0.03%
JBLU 01/21/2022 Call \$13.00 Estimated Yield: 0.00%	JBLU	Margin	1	\$1.37	\$137.00	\$0.00	0.07%

## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
MVIS 01/20/2023 Call \$30.00 Estimated Yield: 0.00%	MVIS	Margin	1S	\$0.50	(\$50.00)	\$0.00	0.03%
MVIS 01/20/2023 Call \$20.00 Estimated Yield: 0.00%	MVIS	Margin	1	\$0.70	\$70.00	\$0.00	0.04%
NIO 01/07/2022 Call \$33.00 Estimated Yield: 0.00%	NIO	Margin	1	\$0.72	\$72.00	\$0.00	0.04%
NIO 01/07/2022 Call \$35.00 Estimated Yield: 0.00%	NIO	Margin	1S	\$0.33	(\$33.00)	\$0.00	0.02%
NIO 03/18/2022 Call \$40.00 Estimated Yield: 0.00%	NIO	Margin	1	\$1.47	\$147.00	\$0.00	0.08%
NIO 01/21/2022 Put \$50.00 Estimated Yield: 0.00%	NIO	Margin	1S	\$18.38	(\$1,838.00)	\$0.00	1.00%
NIO 12/16/2022 Call \$27.00 Estimated Yield: 0.00%	NIO	Margin	3S	\$9.68	(\$2,904.00)	\$0.00	1.58%
NIO 12/16/2022 Call \$25.00 Estimated Yield: 0.00%	NIO	Margin	3	\$10.35	\$3,105.00	\$0.00	1.69%
NIU 03/18/2022 Call \$35.00 Estimated Yield: 0.00%	NIU	Margin	1	\$0.01	\$1.00	\$0.00	0.00%
NOK 01/21/2022 Put \$5.50 Estimated Yield: 0.00%	NOK	Margin	1	\$0.02	\$2.00	\$0.00	0.00%
NOK 01/20/2023 Call \$3.00 Estimated Yield: 0.00%	NOK	Margin	1	\$3.25	\$325.00	\$0.00	0.18%
PFE 06/17/2022 Call \$40.00 Estimated Yield: 0.00%	PFE	Margin	1S	\$19.23	(\$1,923.00)	\$0.00	1.05%
PFE 06/17/2022 Call \$35.00 Estimated Yield: 0.00%	PFE	Margin	1	\$24.15	\$2,415.00	\$0.00	1.31%
PINS 01/20/2023 Call \$60.00 Estimated Yield: 0.00%	PINS	Margin	1	\$2.44	\$244.00	\$0.00	0.13%
PINS 01/20/2023 Call \$65.00 Estimated Yield: 0.00%	PINS	Margin	1S	\$1.89	(\$189.00)	\$0.00	0.10%
SLV 01/21/2022 Call \$22.00 Estimated Yield: 0.00%	SLV	Margin	1	\$0.25	\$25.00	\$0.00	0.01%



## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
SNDL 01/21/2022 Call \$0.50 Estimated Yield: 0.00%	SNDL	Margin	1	\$0.11	\$11.00	\$0.00	0.01%
SNDL 01/21/2022 Call \$2.00 Estimated Yield: 0.00%	SNDL	Margin	2	\$0.01	\$2.00	\$0.00	0.00%
SNDL 01/21/2022 Call \$4.00 Estimated Yield: 0.00%	SNDL	Margin	2S	\$0.01	(\$2.00)	\$0.00	0.00%
SOFI 01/20/2023 Call \$20.00 Estimated Yield: 0.00%	SOFI	Margin	2S	\$3.70	(\$740.00)	\$0.00	0.40%
SOFI 01/20/2023 Call \$15.00 Estimated Yield: 0.00%	SOFI	Margin	2	\$5.25	\$1,050.00	\$0.00	0.57%
SPCE 01/21/2022 Call \$45.00 Estimated Yield: 0.00%	SPCE	Margin	2S	\$0.01	(\$2.00)	\$0.00	0.00%
SPCE 01/21/2022 Call \$40.00 Estimated Yield: 0.00%	SPCE	Margin	2	\$0.02	\$4.00	\$0.00	0.00%
TLRY 01/21/2022 Call \$15.00 Estimated Yield: 0.00%	TLRY	Margin	1	\$0.05	\$5.00	\$0.00	0.00%
TLRY 01/21/2022 Call \$25.00 Estimated Yield: 0.00%	TLRY	Margin	1S	\$0.02	(\$2.00)	\$0.00	0.00%
TME 01/21/2022 Call \$25.00 Estimated Yield: 0.00%	TME	Margin	1	\$0.01	\$1.00	\$0.00	0.00%
TSM 01/21/2022 Call \$140.00 Estimated Yield: 0.00%	TSM	Margin	1	\$0.17	\$17.00	\$0.00	0.01%
TSM 01/21/2022 Call \$150.00 Estimated Yield: 0.00%	TSM	Margin	1S	\$0.09	(\$9.00)	\$0.00	0.00%
UUP 01/20/2023 Call \$25.00 Estimated Yield: 0.00%	UUP	Margin	1	\$1.10	\$110.00	\$0.00	0.06%
VUZI 01/21/2022 Call \$15.00 Estimated Yield: 0.00%	VUZI	Margin	1	\$0.08	\$8.00	\$0.00	0.00%
WFC 01/21/2022 Call \$50.00 Estimated Yield: 0.00%	WFC	Margin	1S	\$0.55	(\$55.00)	\$0.00	0.03%
WFC 01/21/2022 Call \$40.00 Estimated Yield: 0.00%	WFC	Margin	1	\$8.15	\$815.00	\$0.00	0.44%

## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
XPEV 01/21/2022 Call \$40.00 Estimated Yield: 0.00%	XPEV	Margin	1	\$10.83	\$1,083.00	\$0.00	0.59%
XPEV 01/21/2022 Call \$50.00 Estimated Yield: 0.00%	XPEV	Margin	1S	\$3.30	(\$330.00)	\$0.00	0.18%
ZNGA 04/14/2022 Call \$10.00 Estimated Yield: 0.00%	ZNGA	Margin	1	\$0.07	\$7.00	\$0.00	0.00%
<b>Total Securities</b>					<b>\$93,604.52</b>	<b>\$874.59</b>	<b>77.34%</b>
<b>Brokerage Cash Balance</b>					<b>(\$41,632.87)</b>		<b>22.66%</b>
<b>Deposit Sweep Balance</b>					<b>\$0.00</b>		<b>0.00%</b>
<b>Total Priced Portfolio</b>					<b>\$51,971.65</b>		

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Ally Financial CUSIP: 02005N100	ALLY	Margin	Buy	11/29/2021	2	\$47.44	\$94.88	
Ally Financial CUSIP: 02005N100	ALLY	Margin	Sell	11/29/2021	1	\$47.53		\$47.53
Alphabet Class A CUSIP: 02079K305	GOOGL	Margin	Buy	11/29/2021	1	\$2,906.87	\$2,906.87	
Cash Div: R/D 2021-11-08 P/D 2021-12-01 - 0.427944 shares at 1.62	GWW	Margin	CDIV	12/01/2021				\$0.69
Cash Div: R/D 2021-11-08 P/D 2021-12-01 - 7.632958 shares at 0.18	LPX	Margin	CDIV	12/01/2021				\$1.37
Cash Div: R/D 2021-11-12 P/D 2021-12-01 - 4 shares at 0.99	SJM	Margin	CDIV	12/01/2021				\$3.96
Catalent CUSIP: 148806102	CTLT	Margin	Buy	11/29/2021	3	\$133.51	\$400.54	
Catalent CUSIP: 148806102	CTLT	Margin	Buy	11/29/2021	3	\$133.80	\$401.40	
DISH Network CUSIP: 25470M109	DISH	Margin	Sell	11/29/2021	30	\$33.27		\$998.09
DocuSign CUSIP: 256163106	DOCU	Margin	Sell	11/29/2021	5	\$249.23		\$1,246.14
Eli Lilly CUSIP: 532457108	LLY	Margin	Sell	11/29/2021	3	\$254.88		\$764.63
Intuitive Surgical CUSIP: 46120E602	ISRG	Margin	Buy	11/29/2021	2	\$332.85	\$665.71	
Manufactured Div: R/D 2021-11-12 P/D 2021-12-01 - 2 shares at 0.99	SJM	Margin	MDIV	12/01/2021				\$1.98
Merck CUSIP: 58933Y105	MRK	Margin	Buy	11/29/2021	1	\$75.62	\$75.62	
Merck CUSIP: 58933Y105	MRK	Margin	Sell	11/29/2021	1	\$75.77		\$75.77
Microsoft CUSIP: 594918104	MSFT	Margin	Buy	11/29/2021	2	\$338.26	\$676.52	

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
SPY 12/01/2021 Call \$458.00	SPY	Margin	BTO	11/30/2021	1	\$2.95	\$295.00	
Synchrony Financial CUSIP: 87165B103	SYF	Margin	Sell	11/29/2021	0.051805	\$47.16		\$2.44
Synchrony Financial CUSIP: 87165B103	SYF	Margin	Sell	11/29/2021	14	\$47.15		\$660.09
UUP 01/20/2023 Call \$25.00	UUP	Margin	BTO	11/30/2021	1	\$1.34	\$134.00	
Westinghouse CUSIP: 929740108	WAB	Margin	Sell	11/29/2021	1	\$92.45		\$92.45
Zoom CUSIP: 98980L101	ZM	Margin	Sell	11/29/2021	5	\$210.43		\$1,052.12
CF Industries CUSIP: 125269100	CF	Margin	Sell	11/30/2021	5	\$61.82		\$309.12
CF Industries CUSIP: 125269100	CF	Margin	Sell	11/30/2021	1.56344	\$62.31		\$97.42
Cash Div: R/D 2021-11-11 P/D 2021-12-02 - 12.641998 shares at 0.25	LKQ	Margin	CDIV	12/02/2021				\$3.16
Cash Div: R/D 2021-11-11 P/D 2021-12-02 - 15.645666 shares at 0.26	TJX	Margin	CDIV	12/02/2021				\$4.07
Direxion Daily Gold Miners Index Bull 2X Shares CUSIP: 25460G781	NUGT	Margin	Buy	11/30/2021	5	\$49.94	\$249.70	
Direxion Daily Gold Miners Index Bull 2X Shares CUSIP: 25460G781	NUGT	Margin	Sell	11/30/2021	3.477656	\$49.66		\$172.70
Direxion Daily Gold Miners Index Bull 2X Shares CUSIP: 25460G781	NUGT	Margin	Sell	11/30/2021	5	\$48.98		\$244.90
Manufactured Div: R/D 2021-11-11 P/D 2021-12-02 - 5 shares at 0.25	LKQ	Margin	MDIV	12/02/2021				\$1.25
New York Community Bank CUSIP: 649445103	NYCB	Margin	Buy	11/30/2021	2	\$12.03	\$24.05	
SPY 12/01/2021 Call \$458.00	SPY	Margin	STC	12/01/2021	1	\$3.16		\$315.98

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Ulta Beauty CUSIP: 90384S303	ULTA	Margin	Sell	11/30/2021	0.037355	\$390.89		\$14.60
Ulta Beauty CUSIP: 90384S303	ULTA	Margin	Sell	11/30/2021	1	\$390.77		\$390.77
Westinghouse CUSIP: 929740108 Bulk Equity Order Allocation Execution	WAB	Margin	Buy	11/30/2021	0.009354	\$91.94	\$0.86	
Cash Div: R/D 2021-11-12 P/D 2021-12-03 - 2.25938 shares at 0.98	HON	Margin	CDIV	12/03/2021				\$2.21
Microsoft CUSIP: 594918104	MSFT	Margin	Buy	12/01/2021	1	\$334.70	\$334.70	
NXP Semiconductors CUSIP: N6596X109	NXPI	Margin	Buy	12/01/2021	0.428412	\$233.42	\$100.00	
Valero CUSIP: 91913Y100	VLO	Margin	Buy	12/01/2021	10	\$69.04	\$690.43	
DocuSign CUSIP: 256163106	DOCU	Margin	Buy	12/02/2021	2	\$180.00	\$360.00	
Dollar General CUSIP: 256677105	DG	Margin	Sell	12/02/2021	1	\$214.78		\$214.78
Grainger CUSIP: 384802104 Bulk Equity Order Allocation Execution	GWW	Margin	Buy	12/02/2021	0.001435	\$480.78	\$0.69	
International Flavors & Fragrances CUSIP: 459506101	IFF	Margin	Sell	12/02/2021	4	\$140.26		\$561.03
Option Expiration for KHC 2021-12-03 call \$37.00		Margin	OEXP	12/03/2021	1S			
Option Expiration for VLO 2021-12-03 call \$77.00		Margin	OEXP	12/03/2021	1S			
Option Expiration for VLO 2021-12-03 call \$80.00		Margin	OEXP	12/03/2021	1			
SPY 12/03/2021 Call \$452.00	SPY	Margin	BTO	12/03/2021	1	\$2.18	\$218.00	
SPY 12/03/2021 Call \$452.00	SPY	Margin	STC	12/03/2021	1	\$0.89		\$88.98

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
AutoZone CUSIP: 053332102	AZO	Margin	Buy	12/03/2021	0.10575	\$1,891.24	\$200.00	
AutoZone CUSIP: 053332102	AZO	Margin	Buy	12/03/2021	0.105522	\$1,895.33	\$200.00	
Cash Div: R/D 2021-11-23 P/D 2021-12-07 - 3.060162 shares at 1.06	JNJ	Margin	CDIV	12/07/2021				\$3.24
DocuSign CUSIP: 256163106	DOCU	Margin	Sell	12/03/2021	2	\$144.32		\$288.64
Draftkings CUSIP: 26142R104	DKNG	Margin	Sell	12/03/2021	20	\$29.74		\$594.69
Lennar CUSIP: 526057104	LEN	Margin	Buy	12/03/2021	1	\$113.38	\$113.38	
Lennar CUSIP: 526057104	LEN	Margin	Buy	12/03/2021	1	\$113.44	\$113.44	
Norfolk Southern CUSIP: 655844108	NSC	Margin	Buy	12/03/2021	1	\$284.70	\$284.70	
ProShares UltraPro QQQ CUSIP: 74347X831	TQQQ	Margin	Buy	12/03/2021	0.988036	\$148.78	\$147.00	
Progressive CUSIP: 743315103	PGR	Margin	Buy	12/03/2021	0.083007	\$96.02	\$7.97	
Progressive CUSIP: 743315103	PGR	Margin	Buy	12/03/2021	1.999591	\$96.03	\$192.03	
Quest Diagnostics CUSIP: 74834L100	DGX	Margin	Sell	12/03/2021	0.131105	\$157.16		\$20.60
Quest Diagnostics CUSIP: 74834L100	DGX	Margin	Sell	12/03/2021	4	\$157.09		\$628.35
Sherwin-Williams CUSIP: 824348106	SHW	Margin	Buy	12/03/2021	0.58219	\$343.53	\$200.00	
Alliant Energy CUSIP: 018802108	LNT	Margin	Buy	12/06/2021	1.718833	\$58.18	\$100.00	

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Cash Div: R/D 2021-11-16 P/D 2021-12-08 - 3.710838 shares at 1.76	AMGN	Margin	CDIV	12/08/2021				\$6.53
Catalent CUSIP: 148806102	CTLT	Margin	Sell	12/06/2021	6	\$122.00		\$732.01
Charter Communications CUSIP: 16119P108	CHTR	Margin	Buy	12/06/2021	0.050816	\$688.75	\$35.00	
Crypto Money Movement		Margin	COIN	12/08/2021			\$90.04	
Eversource CUSIP: 30040W108	ES	Margin	Buy	12/06/2021	0.307736	\$86.66	\$26.67	
Eversource CUSIP: 30040W108	ES	Margin	Buy	12/06/2021	1.998962	\$86.71	\$173.33	
Kroger CUSIP: 501044101	KR	Margin	Buy	12/06/2021	0.236636	\$44.71	\$10.58	
Kroger CUSIP: 501044101	KR	Margin	Buy	12/06/2021	2	\$44.71	\$89.42	
Kroger CUSIP: 501044101	KR	Margin	Buy	12/06/2021	0.207018	\$45.31	\$9.38	
Kroger CUSIP: 501044101	KR	Margin	Buy	12/06/2021	1.999717	\$45.32	\$90.62	
NVIDIA CUSIP: 67066G104	NVDA	Margin	Buy	12/06/2021	0.4672	\$288.96	\$135.00	
Northrop Grumman CUSIP: 666807102	NOC	Margin	Buy	12/06/2021	0.549609	\$363.90	\$200.00	
Quanta CUSIP: 74762E102	PWR	Margin	Sell	12/06/2021	3	\$111.83		\$335.49
Southern CUSIP: 842587107	SO	Margin	Buy	12/06/2021	0.540001	\$64.94	\$35.06	
Southern CUSIP: 842587107	SO	Margin	Buy	12/06/2021	1	\$64.94	\$64.94	

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Southern CUSIP: 842587107	SO	Margin	Buy	12/06/2021	1.537293	\$65.05	\$100.00	
Cash Div: R/D 2021-11-18 P/D 2021-12-09 - 20 shares at 0.98	VLO	Margin	CDIV	12/09/2021				\$19.60
Cash Div: R/D 2021-11-18 P/D 2021-12-09 - 4 shares at 0.62	MSFT	Margin	CDIV	12/09/2021				\$2.48
Charter Communications CUSIP: 16119P108	CHTR	Margin	Sell	12/07/2021	0.050816	\$648.64		\$32.96
Cooper CUSIP: 216648402	COO	Margin	Buy	12/07/2021	0.738642	\$406.15	\$300.00	
Dollar General CUSIP: 256677105	DG	Margin	Sell	12/07/2021	0.307274	\$220.57		\$67.78
Eaton CUSIP: G29183103	ETN	Margin	Buy	12/07/2021	0.402561	\$173.89	\$70.00	
Hollyfrontier CUSIP: 436106108	HFC	Margin	Buy	12/07/2021	2.86459	\$34.91	\$100.00	
PulteGroup CUSIP: 745867101	PHM	Margin	Sell	12/07/2021	13.833017	\$53.91		\$745.77
UnitedHealth CUSIP: 91324P102	UNH	Margin	Buy	12/07/2021	0.002154	\$464.04	\$1.00	
UnitedHealth CUSIP: 91324P102	UNH	Margin	Buy	12/07/2021	0.64589	\$464.48	\$300.00	
Cash Div: R/D 2021-11-19 P/D 2021-12-10 - 1.270029 shares at 1.42	SNA	Margin	CDIV	12/10/2021				\$1.80
Manufactured Div: R/D 2021-11-19 P/D 2021-12-10 - 2 shares at 1.42	SNA	Margin	MDIV	12/10/2021				\$2.84
ZIM Integrated Shipping Services CUSIP: M9T951109	ZIM	Margin	Buy	12/08/2021	1	\$60.89	\$60.89	
Option Expiration for DIDI 2021-12-10 call \$8.50		Margin	OEXP	12/10/2021	1S			
Cash Div: R/D 2021-11-29 P/D 2021-12-15 - 1 shares at 1.57	NOC	Margin	CDIV	12/15/2021				\$1.57



## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Cash Div: R/D 2021-11-30 P/D 2021-12-15 - 20 shares at 0.093333	CSX	Margin	CDIV	12/15/2021				\$1.87
Cash Div: R/D 2021-12-01 P/D 2021-12-15 - 100 shares at 0.42	KO	Margin	CDIV	12/15/2021				\$42.00
Cash Div: R/D 2021-12-01 P/D 2021-12-15 - 5 shares at 1.38	MCD	Margin	CDIV	12/15/2021				\$6.90
Cash Div: R/D 2021-12-06 P/D 2021-12-15 - 12 shares at 0.14	ONB	Margin	CDIV	12/15/2021				\$1.68
Manufactured Div: R/D 2021-12-06 P/D 2021-12-15 - 8 shares at 0.14	ONB	Margin	MDIV	12/15/2021				\$1.12
AbbVie CUSIP: 00287Y109	ABBV	Margin	Sell	12/14/2021	5	\$127.31		\$636.55
American Electric Power CUSIP: 025537101	AEP	Margin	Buy	12/14/2021	0.939132	\$85.19	\$80.00	
Cash Div: R/D 2021-12-02 P/D 2021-12-16 - 1 shares at 1.65	HD	Margin	CDIV	12/16/2021				\$1.65
AMD CUSIP: 007903107	AMD	Margin	Buy	12/15/2021	1.778174	\$145.65	\$259.00	
Arista CUSIP: 040413106	ANET	Margin	Buy	12/15/2021	2	\$139.08	\$278.15	
Cash Div: R/D 2021-12-03 P/D 2021-12-17 - 2.286174 shares at 0.48	AJG	Margin	CDIV	12/17/2021				\$1.10
Invesco QQQ CUSIP: 46090E103	QQQ	Margin	BCXL	12/16/2021	0.284175	\$389.36		\$110.64
Invesco QQQ CUSIP: 46090E103	QQQ	Margin	Buy	12/15/2021	0.000102	\$389.32	\$0.04	
Invesco QQQ CUSIP: 46090E103	QQQ	Margin	Buy	12/15/2021	1	\$389.32	\$389.32	
Invesco QQQ CUSIP: 46090E103	QQQ	Margin	Buy	12/15/2021	0.284175	\$389.36	\$110.64	
Invesco QQQ CUSIP: 46090E103	QQQ	Margin	Buy	12/16/2021	0.284175	\$389.28	\$110.63	

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Invesco QQQ CUSIP: 46090E103	QQQ	Margin	Sell	12/15/2021	0.001682	\$392.34		\$0.66
Invesco QQQ CUSIP: 46090E103	QQQ	Margin	Sell	12/15/2021	0.000038	\$392.33		\$0.01
Invesco QQQ CUSIP: 46090E103	QQQ	Margin	Sell	12/15/2021	1	\$392.33		\$392.33
Invesco QQQ CUSIP: 46090E103	QQQ	Margin	Sell	12/15/2021	0.282557	\$392.59		\$110.93
CSX CUSIP: 126408103 Bulk Equity Order Allocation Execution	CSX	Margin	Buy	12/16/2021	0.050787	\$36.82	\$1.87	
NYCB 12/17/2021 Call \$11.00	NYCB	Margin	STC	12/17/2021	2	\$0.74		\$147.98
Northrop Grumman CUSIP: 666807102 Bulk Equity Order Allocation Execution	NOC	Margin	Buy	12/16/2021	0.004122	\$380.85	\$1.57	
Old National Bancorp CUSIP: 680033107 Bulk Equity Order Allocation Execution	ONB	Margin	Buy	12/16/2021	0.15891	\$17.62	\$2.80	
Cash Div: R/D 2021-11-23 P/D 2021-12-21 - 2.009255 shares at 0.28	XYL	Margin	CDIV	12/21/2021				\$0.56
Cash Div: R/D 2021-12-01 P/D 2021-12-21 - 8.082253 shares at 0.64	PFG	Margin	CDIV	12/21/2021				\$5.17
Manufactured Div: R/D 2021-11-23 P/D 2021-12-21 - 1 shares at 0.28	XYL	Margin	MDIV	12/21/2021				\$0.28
Asana CUSIP: 04342Y104	ASAN	Margin	Buy	12/20/2021	2	\$78.17	\$156.34	
Asana CUSIP: 04342Y104	ASAN	Margin	Sell	12/20/2021	2	\$77.55		\$155.10
O'Reilly Auto Parts CUSIP: 67103H107	ORLY	Margin	Sell	12/20/2021	0.082751	\$670.66		\$55.50
O'Reilly Auto Parts CUSIP: 67103H107	ORLY	Margin	Sell	12/20/2021	1	\$670.50		\$670.49

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Sitime CUSIP: 82982T106	SITM	Margin	Buy	12/20/2021	0.139665	\$266.74	\$37.25	
Sitime CUSIP: 82982T106	SITM	Margin	Buy	12/20/2021	0.996674	\$267.64	\$266.75	
Sitime CUSIP: 82982T106	SITM	Margin	Sell	12/20/2021	0.136339	\$263.83		\$35.97
Sitime CUSIP: 82982T106	SITM	Margin	Sell	12/20/2021	1	\$263.83		\$263.83
Cash Div: R/D 2021-12-02 P/D 2021-12-23 - 3 shares at 0.04	NVDA	Margin	CDIV	12/23/2021				\$0.12
Cash Div: R/D 2021-12-21 P/D 2021-12-23 - 0.111411 shares at 0.394852	KRE	Margin	CDIV	12/23/2021				\$0.05
Manufactured Div: R/D 2021-12-21 P/D 2021-12-23 - 17 shares at 0.394852	KRE	Margin	MDIV	12/23/2021				\$6.71
AMD CUSIP: 007903107	AMD	Margin	Buy	12/22/2021	3	\$143.78	\$431.34	
Cash Div: R/D 2021-12-16 P/D 2021-12-27 - 1 shares at 2.5	ZIM	Margin	CDIV	12/27/2021				\$2.50
Foreign Tax Withholding at \$0.63	ZIM	Margin	DTAX	12/27/2021			\$0.63	
Principal Financial CUSIP: 74251V102 Bulk Equity Order Allocation Execution	PFG	Margin	Buy	12/22/2021	0.073662	\$70.18	\$5.17	
ACH Withdrawal		Margin	ACH	12/28/2021			\$2,500.00	
AMD CUSIP: 007903107	AMD	Margin	Buy	12/23/2021	5	\$147.76	\$738.81	
AutoZone CUSIP: 053332102	AZO	Margin	Sell	12/23/2021	0.211272	\$2,023.38		\$427.48
Brown & Brown CUSIP: 115236101	BRO	Margin	Sell	12/23/2021	8	\$67.92		\$543.36
Cash Div: R/D 2021-12-09 P/D 2021-12-28 - 10.07456 shares at 0.55	NEM	Margin	CDIV	12/28/2021				\$5.54

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
DexCom CUSIP: 252131107	DXCM	Margin	Sell	12/23/2021	1	\$575.84		\$575.83
Disney CUSIP: 254687106	DIS	Margin	Buy	12/23/2021	2	\$153.35	\$306.71	
Draftkings CUSIP: 26142R104	DKNG	Margin	Buy	12/23/2021	4	\$29.52	\$118.07	
Eaton CUSIP: G29183103	ETN	Margin	Sell	12/23/2021	0.402561	\$168.87		\$67.98
Freeport-McMoRan CUSIP: 35671D857	FCX	Margin	Sell	12/23/2021	0.693888	\$40.82		\$28.33
Freeport-McMoRan CUSIP: 35671D857	FCX	Margin	Sell	12/23/2021	16	\$40.82		\$653.15
Hilton Worldwide CUSIP: 43300A203	HLT	Margin	Sell	12/23/2021	1.771297	\$153.52		\$271.93
Hollyfrontier CUSIP: 436106108	HFC	Margin	Sell	12/23/2021	2.86459	\$32.50		\$93.11
Home Depot CUSIP: 437076102	HD	Margin	Sell	12/23/2021	1	\$396.99		\$396.99
International Flavors & Fragrances CUSIP: 459506101	IFF	Margin	Sell	12/23/2021	0.014371	\$142.98		\$2.05
J.B. Hunt CUSIP: 445658107	JBHT	Margin	Sell	12/23/2021	0.049394	\$196.62		\$9.71
J.B. Hunt CUSIP: 445658107	JBHT	Margin	Sell	12/23/2021	2	\$196.62		\$393.24
KO 02/18/2022 Call \$60.00	KO	Margin	BTC	12/27/2021	1	\$0.84	\$84.00	
Kroger CUSIP: 501044101	KR	Margin	Sell	12/23/2021	4.443371	\$43.42		\$192.94
Lennar CUSIP: 526057104	LEN	Margin	Sell	12/23/2021	2	\$111.64		\$223.27

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Lowe's CUSIP: 548661107	LOW	Margin	Sell	12/23/2021	2	\$249.46		\$498.93
NVIDIA CUSIP: 67066G104	NVDA	Margin	Buy	12/23/2021	0.239706	\$299.70	\$71.84	
NXP Semiconductors CUSIP: N6596X109	NXPI	Margin	Sell	12/23/2021	0.428412	\$227.78		\$97.58
NorthShore Global Uranium Mining CUSIP: 301505715	URNM	Margin	Sell	12/23/2021	0.468647	\$78.66		\$36.86
Northrop Grumman CUSIP: 666807102	NOC	Margin	Sell	12/23/2021	1.553731	\$381.26		\$592.36
Nutrien CUSIP: 67077M108	NTR	Margin	Sell	12/23/2021	9	\$75.11		\$675.99
Packaging Corporation of America CUSIP: 695156109	PKG	Margin	Sell	12/23/2021	2	\$132.00		\$264.00
ProShares UltraPro QQQ CUSIP: 74347X831	TQQQ	Margin	Sell	12/23/2021	0.988036	\$165.76		\$163.77
Progressive CUSIP: 743315103	PGR	Margin	Sell	12/23/2021	0.082598	\$101.65		\$8.40
Progressive CUSIP: 743315103	PGR	Margin	Sell	12/23/2021	2	\$101.63		\$203.26
Republic Services CUSIP: 760759100	RSG	Margin	Sell	12/23/2021	0.199909	\$135.67		\$27.12
Republic Services CUSIP: 760759100	RSG	Margin	Sell	12/23/2021	2	\$135.67		\$271.34
Sherwin-Williams CUSIP: 824348106	SHW	Margin	Sell	12/23/2021	0.58219	\$341.21		\$198.65
Snap-On CUSIP: 833034101	SNA	Margin	Sell	12/23/2021	0.270029	\$209.48		\$56.57
Snap-On CUSIP: 833034101	SNA	Margin	Sell	12/23/2021	3	\$209.38		\$628.13

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Tencent CUSIP: 88032Q109	TCEHY	Margin	Buy	12/23/2021	5	\$59.90	\$299.50	
Tencent CUSIP: 88032Q109	TCEHY	Margin	Buy	12/23/2021	5	\$59.89	\$299.44	
Tesla CUSIP: 88160R101	TSLA	Margin	Buy	12/23/2021	1	\$1,043.77	\$1,043.77	
ZIM Integrated Shipping Services CUSIP: M9T951109	ZIM	Margin	Sell	12/23/2021	1	\$52.49		\$52.49
AMD CUSIP: 007903107	AMD	Margin	Buy	12/27/2021	5	\$153.26	\$766.33	
AMD CUSIP: 007903107	AMD	Margin	Sell	12/27/2021	5	\$152.87		\$764.33
Cash Div: R/D 2021-12-20 P/D 2021-12-29 - 2.082598 shares at 1.5	PGR	Margin	CDIV	12/29/2021				\$3.12
Cash Div: R/D 2021-12-22 P/D 2021-12-29 - 4.030404 shares at 0.033	CURE	Margin	CDIV	12/29/2021				\$0.13
Coinbase CUSIP: 19260Q107	COIN	Margin	Buy	12/27/2021	5	\$275.46	\$1,377.30	
Coinbase CUSIP: 19260Q107	COIN	Margin	Sell	12/27/2021	5	\$272.80		\$1,363.99
Domino's CUSIP: 25754A201	DPZ	Margin	Buy	12/27/2021	3	\$556.99	\$1,670.98	
Domino's CUSIP: 25754A201	DPZ	Margin	Sell	12/27/2021	1	\$558.00		\$557.99
Domino's CUSIP: 25754A201	DPZ	Margin	Sell	12/27/2021	2	\$558.00		\$1,115.99
Lam Research CUSIP: 512807108	LRCX	Margin	Buy	12/27/2021	2	\$718.43	\$1,436.86	
Lam Research CUSIP: 512807108	LRCX	Margin	Sell	12/27/2021	1	\$723.00		\$722.99

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Lam Research CUSIP: 512807108	LRCX	Margin	Sell	12/27/2021	1	\$723.00		\$722.99
Manufactured Div: R/D 2021-12-22 P/D 2021-12-29 - 5 shares at 0.35754	FAS	Margin	MDIV	12/29/2021				\$1.79
MongoDB CUSIP: 60937P106	MDB	Margin	Buy	12/27/2021	3	\$563.79	\$1,691.36	
MongoDB CUSIP: 60937P106	MDB	Margin	Sell	12/27/2021	3	\$560.42		\$1,681.25
NVIDIA CUSIP: 67066G104	NVDA	Margin	Buy	12/27/2021	5	\$302.13	\$1,510.65	
WMT 01/20/2023 Call \$145.00	WMT	Margin	STC	12/28/2021	1	\$10.73		\$1,072.98
WMT 01/20/2023 Call \$155.00	WMT	Margin	BTC	12/28/2021	1	\$6.94	\$694.00	
West Pharmaceutical Services CUSIP: 955306105	WST	Margin	Sell	12/27/2021	2.680861	\$464.49		\$1,245.21
Aggregated Margin Interest 2.5% APR		Margin	MINT	12/30/2021			\$93.93	
Amphenol CUSIP: 032095101	APH	Margin	Sell	12/28/2021	3.103523	\$86.62		\$268.81
Aptargroup CUSIP: 038336103	ATR	Margin	Buy	12/28/2021	10	\$121.83	\$1,218.30	
Aptargroup CUSIP: 038336103	ATR	Margin	Sell	12/28/2021	10	\$120.93		\$1,209.29
Arista CUSIP: 040413106	ANET	Margin	Sell	12/28/2021	2	\$145.03		\$290.06
Cash Div: R/D 2021-12-29 P/D 2021-12-30 - 22 shares at 0.073558	MJ	Margin	CDIV	12/30/2021				\$1.62
Citigroup CUSIP: 172967424	C	Margin	Buy	12/28/2021	10	\$60.66	\$606.59	
Colgate CUSIP: 194162103	CL	Margin	Sell	12/28/2021	15	\$84.45		\$1,266.74

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Cooper CUSIP: 216648402	COO	Margin	Sell	12/28/2021	0.738642	\$421.13		\$311.06
Draftkings CUSIP: 26142R104	DKNG	Margin	Buy	12/28/2021	6	\$27.86	\$167.15	
Draftkings CUSIP: 26142R104	DKNG	Margin	Buy	12/28/2021	10	\$27.49	\$274.86	
Federal Realty Investment Trust CUSIP: 313747206	FRT	Margin	Sell	12/28/2021	2	\$135.02		\$270.05
GE CUSIP: 369604301	GE	Margin	Buy	12/28/2021	20	\$95.84	\$1,916.83	
General Mills CUSIP: 370334104	GIS	Margin	Sell	12/28/2021	2	\$66.48		\$132.95
Gold Fee		Margin	GOLD	12/30/2021			\$5.00	
Grainger CUSIP: 384802104	GWW	Margin	Sell	12/28/2021	0.217957	\$517.72		\$112.84
IWM 12/29/2021 Call \$222.00	IWM	Margin	BTO	12/29/2021	1	\$0.81	\$81.00	
IWM 12/29/2021 Call \$222.00	IWM	Margin	STC	12/29/2021	1	\$1.41		\$140.98
Innovative Industrial Properties CUSIP: 45781V101	IIPR	Margin	Buy	12/28/2021	1	\$261.35	\$261.35	
J.B. Hunt CUSIP: 445658107	JBHT	Margin	Buy	12/28/2021	5	\$203.00	\$1,015.01	
J.B. Hunt CUSIP: 445658107	JBHT	Margin	Sell	12/28/2021	5	\$202.67		\$1,013.34
Manufactured Div: R/D 2021-12-29 P/D 2021-12-30 - 78 shares at 0.073558	MJ	Margin	MDIV	12/30/2021				\$5.74
NIO 12/31/2021 Call \$27.00	NIO	Margin	BTO	12/29/2021	1	\$1.23	\$123.00	
NorthShore Global Uranium Mining CUSIP: 301505715	URNM	Margin	Sell	12/28/2021	10	\$77.02		\$770.19



## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
QQQ 12/29/2021 Call \$400.00	QQQ	Margin	BTO	12/29/2021	1	\$0.91	\$91.00	
QQQ 12/29/2021 Call \$400.00	QQQ	Margin	STC	12/29/2021	1	\$1.60		\$159.98
QQQ 12/29/2021 Put \$399.00	QQQ	Margin	BTO	12/29/2021	1	\$0.91	\$91.00	
QQQ 12/29/2021 Put \$399.00	QQQ	Margin	STC	12/29/2021	1	\$0.02		\$1.98
SPY 12/29/2021 Call \$477.00	SPY	Margin	BTO	12/29/2021	1	\$0.54	\$54.00	
SPY 12/29/2021 Call \$477.00	SPY	Margin	STC	12/29/2021	1	\$0.64		\$63.98
SPY 12/29/2021 Put \$476.00	SPY	Margin	BTO	12/29/2021	1	\$0.84	\$84.00	
SPY 12/29/2021 Put \$476.00	SPY	Margin	STC	12/29/2021	1	\$0.05		\$4.98
Verisk Analytics CUSIP: 92345Y106	VRSK	Margin	Sell	12/28/2021	0.93027	\$228.16		\$212.26
Waters CUSIP: 941848103	WAT	Margin	Buy	12/28/2021	1	\$368.20	\$368.20	
Cash Div: R/D 2021-12-15 P/D 2021-12-31 - 0.93027 shares at 0.29	VRSK	Margin	CDIV	12/31/2021				\$0.27
Cash Div: R/D 2021-12-15 P/D 2021-12-31 - 8 shares at 0.14	OVV	Margin	CDIV	12/31/2021				\$1.12
Cash Div: R/D 2021-12-17 P/D 2021-12-31 - 1.306698 shares at 0.6025	ES	Margin	CDIV	12/31/2021				\$0.79
Deckers Outdoor CUSIP: 243537107	DECK	Margin	Buy	12/29/2021	0.168831	\$367.23	\$62.00	
Deckers Outdoor CUSIP: 243537107	DECK	Margin	Sell	12/29/2021	0.168831	\$368.46		\$62.21
GE CUSIP: 369604301	GE	Margin	Sell	12/29/2021	20	\$94.44		\$1,888.79
Lam Research CUSIP: 512807108	LRCX	Margin	Buy	12/29/2021	2	\$722.20	\$1,444.40	

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Lam Research CUSIP: 512807108	LRCX	Margin	Sell	12/29/2021	1	\$725.50		\$725.49
Lam Research CUSIP: 512807108	LRCX	Margin	Sell	12/29/2021	1	\$725.55		\$725.54
Manufactured Div: R/D 2021-12-17 P/D 2021-12-31 - 1 shares at 0.6025	ES	Margin	MDIV	12/31/2021				\$0.60
Martin Marietta CUSIP: 573284106	MLM	Margin	Buy	12/29/2021	3	\$442.40	\$1,327.20	
Martin Marietta CUSIP: 573284106	MLM	Margin	Sell	12/29/2021	3	\$442.39		\$1,327.16
NIO 01/07/2022 Call \$33.00	NIO	Margin	BTO	12/30/2021	1	\$1.42	\$142.00	
NIO 01/07/2022 Call \$35.00	NIO	Margin	STO	12/30/2021	1	\$0.74		\$73.98
NIO 12/31/2021 Call \$27.00	NIO	Margin	STC	12/30/2021	1	\$3.24		\$323.98
NIO 12/31/2021 Call \$30.00	NIO	Margin	BTO	12/30/2021	1	\$0.69	\$69.00	
NIO 12/31/2021 Call \$30.00	NIO	Margin	STC	12/30/2021	1	\$2.81		\$280.98
NVIDIA CUSIP: 67066G104	NVDA	Margin	Sell	12/29/2021	5	\$299.31		\$1,496.54
Newmont CUSIP: 651639106 Bulk Equity Order Allocation Execution	NEM	Margin	Buy	12/29/2021	0.092598	\$59.83	\$5.54	
Shopify CUSIP: 82509L107	SHOP	Margin	Buy	12/29/2021	1.070973	\$1,400.59	\$1,500.00	
Shopify CUSIP: 82509L107	SHOP	Margin	Sell	12/29/2021	0.070973	\$1,395.50		\$99.04
Shopify CUSIP: 82509L107	SHOP	Margin	Sell	12/29/2021	1	\$1,395.28		\$1,395.27
Unity Software CUSIP: 91332U101	U	Margin	Buy	12/29/2021	10	\$141.59	\$1,415.93	

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Visa CUSIP: 92826C839	V	Margin	Sell	12/29/2021	2	\$217.91		\$435.83
West Pharmaceutical Services CUSIP: 955306105	WST	Margin	Buy	12/29/2021	1	\$469.55	\$469.55	
<b>Total Funds Paid and Received</b>							<b>\$40,707.38</b>	<b>\$45,491.84</b>

**Executed Trades Pending Settlement**

These transactions may not be reflected in the other summaries

Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
IDEX 01/21/2022 Put \$2.00	Margin	OASGN	12/31/2021	01/03/2022	2			
B. Riley Financial CUSIP: 05580M108	Margin	Buy	12/30/2021	01/03/2022	20	\$90.45	\$1,808.99	
McDonald's CUSIP: 580135101	Margin	Sell	12/30/2021	01/03/2022	5	\$267.15		\$1,335.74
Sitime CUSIP: 82982T106	Margin	Buy	12/30/2021	01/03/2022	3	\$295.00	\$885.00	
Sitime CUSIP: 82982T106	Margin	Sell	12/30/2021	01/03/2022	2	\$291.06		\$582.11
Tesla CUSIP: 88160R101	Margin	Buy	12/30/2021	01/03/2022	1	\$1,089.00	\$1,089.00	
Coca-Cola CUSIP: 191216100	Margin	Sell	12/30/2021	01/03/2022	30	\$58.85		\$1,765.51
F 01/21/2022 Call \$20.00	Margin	BTC	12/31/2021	01/03/2022	1	\$1.27	\$127.00	
F 01/21/2022 Call \$15.00	Margin	STC	12/31/2021	01/03/2022	1	\$5.82		\$581.98
Ideanomics CUSIP: 45166V106 2 IDEX Options Assigned	Margin	Buy	12/31/2021	01/04/2022	200	\$2.00	\$400.00	
Visa CUSIP: 92826C839	Margin	Sell	12/31/2021	01/04/2022	3	\$216.67		\$650.00
Eversource CUSIP: 30040W108	Margin	Sell	12/31/2021	01/04/2022	0.306698	\$90.36		\$27.71
Eversource CUSIP: 30040W108	Margin	Sell	12/31/2021	01/04/2022	2	\$90.36		\$180.72
Apple CUSIP: 037833100	Margin	Sell	12/31/2021	01/04/2022	30	\$177.79		\$5,333.70
West Pharmaceutical Services CUSIP: 955306105	Margin	Sell	12/31/2021	01/04/2022	2	\$470.17		\$940.33

**Executed Trades Pending Settlement**

These transactions may not be reflected in the other summaries

Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
Generac Holdings CUSIP: 368736104	Margin	Buy	12/31/2021	01/04/2022	5	\$353.80	\$1,768.99	
Shopify CUSIP: 82509L107	Margin	Buy	12/31/2021	01/04/2022	2	\$1,400.46	\$2,800.93	
NextEra Energy CUSIP: 65339F101	Margin	Buy	12/31/2021	01/04/2022	5	\$93.12	\$465.60	
Waters CUSIP: 941848103	Margin	Buy	12/31/2021	01/04/2022	3	\$374.42	\$1,123.26	
Direxion Daily Financial Bull ETF CUSIP: 25459Y694	Margin	Buy	12/31/2021	01/04/2022	3	\$131.84	\$395.52	
Salesforce CUSIP: 79466L302	Margin	Buy	12/31/2021	01/04/2022	5	\$256.18	\$1,280.89	
Disney CUSIP: 254687106	Margin	Buy	12/31/2021	01/04/2022	5	\$155.72	\$778.58	
Roblox CUSIP: 771049103	Margin	Buy	12/31/2021	01/04/2022	10	\$104.23	\$1,042.27	
<b>Total Executed Trades Pending Settlement</b>							<b>\$13,966.03</b>	<b>\$11,397.80</b>

**Deposit Sweep Program Banks**

These are the program banks where your uninvested cash is held as of 12/31/2021. Balances are insured by FDIC, not SIPC.

**Bank**

**Balance**

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The Robinhood Cash Management Annual Percentage Yield (APY) is 0.30% as of the closing date of this statement. APY is determined by the program banks into which your uninvested cash is swept, and may change at any time. Investment products are not FDIC-insured, not bank guaranteed, and may lose value. Uninvested cash swept to the program banks is eligible for FDIC insurance up to applicable limits, subject to FDIC rules. The Securities Investor Protection Corporation has taken the position that uninvested cash swept to the program banks is not covered by the Securities Investor Protection Act.

**Deposit Sweep Activity**

These transactions reflect movements of uninvested cash to and from program banks.

<b>Description</b>	<b>Date</b>	<b>Debit</b>	<b>Credit</b>	<b>Balance</b>
Opening Sweep Balance	12/01/2021			\$0.00
Closing Sweep Balance	12/31/2021			\$0.00
<b>Total Swept Funds</b>		<b>\$0.00</b>	<b>\$0.00</b>	

## Important Information

Robinhood Securities, LLC (“RHS”) carries your account as the clearing broker by arrangement with your introducing broker-dealer, Robinhood Financial LLC (“RHF”). If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on debit balances in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 1st day of the month to the last day of the month. The interest is based on the average daily balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the new debit balance.

We are required to report to the Internal Revenue Service all cash dividends and interest credited to your account on securities held for you in our name. All dividends and interest credits should be included in your income tax return.

Information relative to fees and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of the information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from amongst all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise Robinhood of any material changes concerning your investment objectives or financial situation by updating your information using the Robinhood platform or by contacting help@robinhood.com.

Our financial statement is available for your personal inspection; it can also be emailed to you upon request.

RHS is a Member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available upon request or at www.sipc.org.

Any free credit balances represent funds payable upon demand which, although properly accounted for on our books and records, is not segregated, and may be used in the conduct of this firm’s business as permissible under the SEC Rule 15c3-2.

Notice to Customers

RHS acts as clearing agent for your trades. Your account, which was introduced to us by RHF, is established under your name on a “fully disclosed” basis at RHS. You remain a customer of RHF.

As required, under SEC rules, both the Firm’s Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

As a clearing agent, we provide securities clearance and may provide order execution based on RHF instructions. RHS will not be involved with or have any responsibility for decisions regarding securities transactions in your account. RHF will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders and any instructions regarding the deposit or withdrawal of securities or monies should be made through RHF.

In addition to the above mentioned services, RHS will provide cashing services, safeguarding of funds and securities while in our possession, monitoring compliance with applicable credit Regulation T and RHS internal policies, preparing and making accessible your account records (including transaction confirmations and periodic statements of your account).

The dividend totals reflected in the Income and Expense Summary are inclusive of both taxable and non-taxable dividends.

Interest charges to your account may be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charges to customers, consult the RHF Fee Schedule, available at <https://rbnhd.co/fees>.

We also offer Robinhood Cash Management as an additional feature of your account. Robinhood Cash Management includes debit card access to your account and automatic sweep of uninvested cash to bank deposits. If you participate in Robinhood Cash Management, your use of the debit card, and your rights with respect to debit card transactions, will be governed by the Robinhood Debit Card Agreement, which has been provided to you and is available at <https://rbnhd.co/debit-card-agreement>.

In case of errors or questions about your electronic transfers, including your debit card transactions, or if you think your statement or receipt is wrong or if you need more information about a transaction listed on the statement or receipt, email Robinhood at help@robinhood.com. Robinhood must hear from you no later than sixty (60) days after you were sent the FIRST statement on which the problem or error appeared.

- A. Tell Robinhood your name and account number.
- B. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- C. Tell Robinhood the dollar amount of the suspected error.

Robinhood will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes Robinhood to complete our investigation.

If you participate in Robinhood Cash Management, your uninvested cash is swept into accounts at FDIC-insured program banks, where your uninvested cash will earn interest from the program banks and be eligible for FDIC insurance up to applicable limits, subject to FDIC rules. Please see the Robinhood Securities, LLC & Robinhood Financial LLC Insured Network Deposit Sweep Program Disclosures, available at <https://rbnhd.co/ind-disclosure>, for the terms and conditions of this sweep program, including information regarding FDIC insurance coverage.

RHF and RHS are members of the Financial Industry Regulatory Authority, Inc. (“FINRA”), and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or via their website [www.finra.org](http://www.finra.org). RHS carries your account and acts as your custodian for funds and securities deposited with us directly by you, through RHF as a result of transactions we process to your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to RHF. In order to protect your rights, please confirm any oral communications in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to: help@robinhood.com.

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealers relationship with such venue. In addition, the Rule (SEC 606) requires broker-dealers to disclose, upon customer request, the venues to which the individual customer’s orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact RHF.

Statement of Financial Condition  
Robinhood Securities, LLC. Unaudited Statement of Financial Condition as of June 30, 2021 is available on the Company’s website at <http://www.robinhood.com/legal>. A paper copy may be requested at no cost by calling 1-(800)-282-1327. On June 30, 2021, Robinhood Securities, LLC. had a net capital of \$2,722,363,896, which was \$2,612,355,106 in excess of its required net capital of \$110,008,790.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS.