

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 156901654</b>	Statement Date: 03/15/2021 Document ID: ES1U H0T 04U8	2020
	Jiaping Zhao 27 Camden St Milford, CT 06461  RECIPIENT'S TIN: XXX-XX-8126		

[ ] FATCA filing requirement (see instructions) **Summary Information**

<table border="0"> <tr> <td style="width: 30%;"><b>DIVIDENDS AND DISTRIBUTIONS</b></td> <td style="width: 20%;"><b>2020 1099-DIV*</b></td> <td style="width: 50%; text-align: right;">OMB No. 1545-0110</td> </tr> <tr> <td>1a- Total ordinary dividends (includes lines 1b, 5)</td> <td></td> <td style="text-align: right;">1,292.64</td> </tr> <tr> <td>1b- Qualified dividends</td> <td></td> <td style="text-align: right;">1,000.37</td> </tr> <tr> <td>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)</td> <td></td> <td style="text-align: right;">35.51</td> </tr> <tr> <td>2b- Unrecaptured Section 1250 gain</td> <td></td> <td style="text-align: right;">0.14</td> </tr> <tr> <td>2c- Section 1202 gain</td> <td></td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>2d- Collectibles (28%) gain</td> <td></td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>3- Nondividend distributions</td> <td></td> <td style="text-align: right;">24.62</td> </tr> <tr> <td><b>4- Federal income tax withheld</b></td> <td></td> <td style="text-align: right;"><b>0.00</b></td> </tr> <tr> <td>5- Section 199A dividends</td> <td></td> <td style="text-align: right;">24.60</td> </tr> <tr> <td>6- Investment expenses</td> <td></td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>8- Foreign country or US possession: See detail</td> <td>7- Foreign tax paid:</td> <td style="text-align: right;">4.83</td> </tr> <tr> <td>9- Cash liquidation distributions</td> <td></td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>10- Noncash liquidation distributions</td> <td></td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>11- Exempt-interest dividends (includes line 12)</td> <td></td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>12- Specified private activity bond interest dividends (AMT)</td> <td></td> <td style="text-align: right;">0.00</td> </tr> </table>	<b>DIVIDENDS AND DISTRIBUTIONS</b>	<b>2020 1099-DIV*</b>	OMB No. 1545-0110	1a- Total ordinary dividends (includes lines 1b, 5)		1,292.64	1b- Qualified dividends		1,000.37	2a- Total capital gain distributions (includes lines 2b, 2c, 2d)		35.51	2b- Unrecaptured Section 1250 gain		0.14	2c- Section 1202 gain		0.00	2d- Collectibles (28%) gain		0.00	3- Nondividend distributions		24.62	<b>4- Federal income tax withheld</b>		<b>0.00</b>	5- Section 199A dividends		24.60	6- Investment expenses		0.00	8- Foreign country or US possession: See detail	7- Foreign tax paid:	4.83	9- Cash liquidation distributions		0.00	10- Noncash liquidation distributions		0.00	11- Exempt-interest dividends (includes line 12)		0.00	12- Specified private activity bond interest dividends (AMT)		0.00	<table border="0"> <tr> <td style="width: 30%;"><b>MISCELLANEOUS INCOME</b></td> <td style="width: 20%;"><b>2020 1099-MISC*</b></td> <td style="width: 50%; text-align: right;">OMB No. 1545-0115</td> </tr> <tr> <td>2- Royalties</td> <td></td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>3- Other income</td> <td></td> <td style="text-align: right;">0.00</td> </tr> <tr> <td><b>4- Federal income tax withheld</b></td> <td></td> <td style="text-align: right;"><b>0.00</b></td> </tr> <tr> <td>8- Substitute payments in lieu of dividends or interest</td> <td></td> <td style="text-align: right;">0.24</td> </tr> </table> <table border="0"> <tr> <td style="width: 30%;"><b>SECTION 1256 CONTRACTS</b></td> <td style="width: 20%;"><b>2020 1099-B*</b></td> <td style="width: 50%; text-align: right;">OMB No. 1545-0715</td> </tr> <tr> <td>8- Profit or (loss) realized in 2020 on closed contracts</td> <td></td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>9- Unrealized profit or (loss) on open contracts-12/31/2019</td> <td></td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>10- Unrealized profit or (loss) on open contracts-12/31/2020</td> <td></td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>11- Aggregate profit or (loss) on contracts</td> <td></td> <td style="text-align: right;">0.00</td> </tr> </table> <p style="margin-left: 20px;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>	<b>MISCELLANEOUS INCOME</b>	<b>2020 1099-MISC*</b>	OMB No. 1545-0115	2- Royalties		0.00	3- Other income		0.00	<b>4- Federal income tax withheld</b>		<b>0.00</b>	8- Substitute payments in lieu of dividends or interest		0.24	<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715	8- Profit or (loss) realized in 2020 on closed contracts		0.00	9- Unrealized profit or (loss) on open contracts-12/31/2019		0.00	10- Unrealized profit or (loss) on open contracts-12/31/2020		0.00	11- Aggregate profit or (loss) on contracts		0.00
<b>DIVIDENDS AND DISTRIBUTIONS</b>	<b>2020 1099-DIV*</b>	OMB No. 1545-0110																																																																													
1a- Total ordinary dividends (includes lines 1b, 5)		1,292.64																																																																													
1b- Qualified dividends		1,000.37																																																																													
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)		35.51																																																																													
2b- Unrecaptured Section 1250 gain		0.14																																																																													
2c- Section 1202 gain		0.00																																																																													
2d- Collectibles (28%) gain		0.00																																																																													
3- Nondividend distributions		24.62																																																																													
<b>4- Federal income tax withheld</b>		<b>0.00</b>																																																																													
5- Section 199A dividends		24.60																																																																													
6- Investment expenses		0.00																																																																													
8- Foreign country or US possession: See detail	7- Foreign tax paid:	4.83																																																																													
9- Cash liquidation distributions		0.00																																																																													
10- Noncash liquidation distributions		0.00																																																																													
11- Exempt-interest dividends (includes line 12)		0.00																																																																													
12- Specified private activity bond interest dividends (AMT)		0.00																																																																													
<b>MISCELLANEOUS INCOME</b>	<b>2020 1099-MISC*</b>	OMB No. 1545-0115																																																																													
2- Royalties		0.00																																																																													
3- Other income		0.00																																																																													
<b>4- Federal income tax withheld</b>		<b>0.00</b>																																																																													
8- Substitute payments in lieu of dividends or interest		0.24																																																																													
<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715																																																																													
8- Profit or (loss) realized in 2020 on closed contracts		0.00																																																																													
9- Unrealized profit or (loss) on open contracts-12/31/2019		0.00																																																																													
10- Unrealized profit or (loss) on open contracts-12/31/2020		0.00																																																																													
11- Aggregate profit or (loss) on contracts		0.00																																																																													

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	9,870,308.88	10,201,335.04	0.00	298,630.39	-32,395.77
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	6.91	8.38	0.00	0.00	-1.47
	<b>Total Short-term</b>	<b>9,870,315.79</b>	<b>10,201,343.42</b>	<b>0.00</b>	<b>298,630.39</b>	<b>-32,397.24</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	1.09	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>1.09</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>9,870,316.88</b>	<b>10,201,343.42</b>	<b>0.00</b>	<b>298,630.39</b>	<b>-32,397.24</b>

  

<b>Withholding</b>	<b>Amount</b>
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 156901654

**Summary Information**

2020

(continued)

03/15/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	3.67
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	46.82
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	1.09
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

03/15/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
A 06/19/2020 CALL \$92.50 / CUSIP: / Symbol:							
06/05/20	1.000	196.98	06/04/20	133.00	...	63.98	Option sale to close-call <sup>25</sup>
A 07/17/2020 CALL \$92.50 / CUSIP: / Symbol:							
06/05/20	1.000	299.98	06/04/20	265.00	...	34.98	Option sale to close-call <sup>25</sup>
AA 07/02/2020 CALL \$11.50 / CUSIP: / Symbol:							
3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	32.00	07/01/20	25.00	...	7.00	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	127.98	07/01/20	100.00	...	27.98	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	159.98	07/01/20	125.00	...	34.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/01/20	10.000	319.96	Various	250.00	...	69.96	Total of 3 transactions
AAL 06/12/2020 PUT \$11.50 / CUSIP: / Symbol:							
06/04/20	1.000	19.98	06/03/20	48.00	...	-28.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 06/19/2020 PUT \$16.50 / CUSIP: / Symbol:							
06/15/20	1.000	94.98	06/15/20	90.00	...	4.98	Option sale to close-put <sup>25</sup>
AAL 07/02/2020 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	2.000	599.98	06/03/20	190.00	...	409.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	599.98	06/03/20	190.00	...	409.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/04/20	4.000	1,199.96	Various	380.00	...	819.96	Total of 2 transactions
AAL 07/02/2020 CALL \$13.50 / CUSIP: / Symbol:							
06/29/20	1.000	54.98	06/29/20	49.00	...	5.98	Option sale to close-call <sup>25</sup>
5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	25.99	06/29/20	63.00	...	-37.01	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	26.00	06/29/20	58.00	...	-32.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	25.99	06/29/20	54.00	...	-28.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	26.00	06/29/20	54.00	...	-28.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	26.00	06/29/20	49.00	...	-23.00	5 of 5 - Option sale to close-call <sup>25</sup>
06/30/20	5.000	129.98	Various	278.00	...	-148.02	Total of 5 transactions
	<b>Security total:</b>	<b>184.96</b>		<b>327.00</b>	...	<b>-142.04</b>	
AAL 07/10/2020 CALL \$13.50 / CUSIP: / Symbol:							
4 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	70.99	07/01/20	69.00	...	1.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	70.99	07/01/20	69.00	...	1.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	70.99	07/01/20	69.00	...	1.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	141.99	07/01/20	138.00	...	3.99	4 of 4 - Option sale to close-call <sup>25</sup>
07/01/20	5.000	354.96	Various	345.00	...	9.96	Total of 4 transactions
AAL 07/17/2020 CALL \$13.50 / CUSIP: / Symbol:							
07/15/20	2.000	89.98	07/15/20	88.00	...	1.98	Option sale to close-call <sup>25</sup>
AAL 07/24/2020 CALL \$13.00 / CUSIP: / Symbol:							
07/15/20	1.000	134.98	07/15/20	133.00	...	1.98	Option sale to close-call <sup>25</sup>
AAL 07/31/2020 CALL \$13.00 / CUSIP: / Symbol:							
07/31/20	1.000	0.00	07/06/20	137.00	...	-137.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 08/21/2020 CALL \$13.50 / CUSIP: / Symbol:							
9 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	08/19/20	26.00	...	8.98	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	34.98	08/19/20	26.00	...	8.98	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	34.98	08/19/20	26.00	...	8.98	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	34.98	08/19/20	26.00	...	8.98	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	34.98	08/19/20	26.00	...	8.98	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	34.98	08/19/20	26.00	...	8.98	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	34.98	08/19/20	26.00	...	8.98	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	34.98	08/19/20	26.00	...	8.98	8 of 9 - Option sale to close-call <sup>25</sup>
	2.000	69.98	08/19/20	52.00	...	17.98	9 of 9 - Option sale to close-call <sup>25</sup>
08/19/20	10.000	349.82	Various	260.00	...	89.82	Total of 9 transactions
AAL 09/18/2020 CALL \$13.50 / CUSIP: / Symbol:							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	117.99	09/04/20	108.00	...	9.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	117.99	09/04/20	108.00	...	9.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/08/20	2.000	235.98	Various	216.00	...	19.98	Total of 2 transactions
AAL 11/20/2020 CALL \$12.50 / CUSIP: / Symbol:							
11/13/20	1.000	37.98	11/13/20	31.00	...	6.98	Option sale to close-call <sup>25</sup>
AAPL 04/03/2020 CALL \$297.50 / CUSIP: / Symbol:							
03/03/20	1.000	1,584.95	02/28/20	640.00	...	944.95	Option sale to close-call <sup>25</sup>
AAPL 04/17/2020 CALL \$325.00 / CUSIP: / Symbol:							
03/19/20	2.000	199.98	03/05/20	1,010.00	...	-810.02	Option sale to close-call <sup>25</sup>
AAPL 06/19/2020 CALL \$342.50 / CUSIP: / Symbol:							
06/10/20	2.000	1,899.94	06/09/20	900.00	...	999.94	Option sale to close-call <sup>25</sup>
AAPL 06/19/2020 PUT \$330.00 / CUSIP: / Symbol:							
06/10/20	1.000	153.98	06/09/20	195.00	...	-41.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/02/2020 CALL \$367.50 / CUSIP: / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	124.99	07/01/20	196.02	...	-71.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	124.99	07/01/20	123.00	...	1.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/01/20	2.000	249.98	Various	319.02	...	-69.04	Total of 2 transactions
07/01/20	1.000	119.98	07/01/20	166.00	46.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>369.96</b>		<b>485.02</b>	<b>46.02 W</b>	<b>-69.04</b>	
AAPL 07/10/2020 CALL \$367.50 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	584.97	07/02/20	580.00	...	4.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	579.97	07/02/20	560.00	...	19.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/02/20	2.000	1,164.94	Various	1,140.00	...	24.94	Total of 2 transactions
AAPL 07/10/2020 CALL \$370.00 / CUSIP: / Symbol:							
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	404.98	07/02/20	403.00	...	1.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	434.98	07/02/20	430.00	...	4.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	574.97	07/02/20	555.00	...	19.97	3 of 3 - Option sale to close-call <sup>25</sup>
07/02/20	3.000	1,414.93	Various	1,388.00	...	26.93	Total of 3 transactions
AAPL 07/10/2020 CALL \$375.00 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	404.99	07/06/20	383.00	...	21.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	404.98	07/06/20	360.00	...	44.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	2.000	809.97	Various	743.00	...	66.97	Total of 2 transactions
AAPL 07/10/2020 CALL \$377.50 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	379.98	07/06/20	360.00	...	19.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	379.99	07/06/20	360.00	...	19.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	2.000	759.97	Various	720.00	...	39.97	Total of 2 transactions
4 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	374.98	07/07/20	340.00	...	34.98	1 of 4 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/10/2020 CALL \$377.50 / CUSIP: / Symbol: (cont'd)							
	1.000	474.98	07/07/20	440.00	...	34.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	474.98	07/07/20	440.00	...	34.98	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	1,499.95	07/07/20	1,360.00	...	139.95	4 of 4 - Option sale to close-call <sup>25</sup>
07/07/20	7.000	2,824.89	Various	2,580.00	...	244.89	Total of 4 transactions
	<b>Security total:</b>	<b>3,584.86</b>		<b>3,300.00</b>	...	<b>284.86</b>	
AAPL 07/10/2020 CALL \$380.00 / CUSIP: / Symbol:							
9 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	319.98	07/07/20	310.00	...	9.98	1 of 9 - Option sale to close-call <sup>25</sup>
	2.000	653.98	07/07/20	640.00	...	13.98	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	344.98	07/07/20	327.00	...	17.98	3 of 9 - Option sale to close-call <sup>25</sup>
	2.000	639.97	07/07/20	620.00	...	19.97	4 of 9 - Option sale to close-call <sup>25</sup>
	2.000	639.97	07/07/20	620.00	...	19.97	5 of 9 - Option sale to close-call <sup>25</sup>
	5.000	1,699.95	07/07/20	1,675.00	...	24.95	6 of 9 - Option sale to close-call <sup>25</sup>
	3.000	980.97	07/07/20	945.00	...	35.97	7 of 9 - Option sale to close-call <sup>25</sup>
	2.000	689.97	07/07/20	654.00	...	35.97	8 of 9 - Option sale to close-call <sup>25</sup>
	2.000	689.97	07/07/20	654.00	...	35.97	9 of 9 - Option sale to close-call <sup>25</sup>
07/07/20	20.000	6,659.74	Various	6,445.00	...	214.74	Total of 9 transactions
7 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	2.000	539.98	07/07/20	530.00	...	9.98	1 of 7 - Option sale to close-call <sup>25</sup>
	3.000	809.98	07/07/20	795.00	...	14.98	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	399.98	07/07/20	199.01	...	200.97	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	399.98	07/07/20	199.00	...	200.98	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	749.98	07/08/20	800.02	...	-50.04	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	374.99	07/08/20	400.01	...	-25.02	6 of 7 - Option sale to close-call <sup>25</sup>
	2.000	749.97	07/08/20	730.00	...	19.97	7 of 7 - Option sale to close-call <sup>25</sup>
07/08/20	12.000	4,024.86	Various	3,653.04	...	371.82	Total of 7 transactions
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	3.000	809.98	07/07/20	915.00	105.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	539.98	07/07/20	610.00	70.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/08/20	5.000	1,349.96	Various	1,525.00	175.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>12,034.56</b>		<b>11,623.04</b>	<b>175.04 W</b>	<b>586.56</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/10/2020 CALL \$382.50 / CUSIP: / Symbol:							
4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	2.000	563.98	07/08/20	580.02	...	-16.04	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	563.98	07/08/20	572.00	...	-8.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	269.99	07/08/20	269.02	...	0.97	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	539.98	07/08/20	528.02	...	11.96	4 of 4 - Option sale to close-call <sup>25</sup>
07/08/20	7.000	1,937.93	Various	1,949.06	...	-11.13	Total of 4 transactions
4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	281.99	07/08/20	286.00	4.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	563.98	07/08/20	572.00	8.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	563.98	07/08/20	572.00	8.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	281.99	07/08/20	290.01	8.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
07/08/20	6.000	1,691.94	Various	1,720.01	28.07 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>3,629.87</b>		<b>3,669.07</b>	<b>28.07 W</b>	<b>-11.13</b>	
AAPL 07/17/2020 CALL \$375.00 / CUSIP: / Symbol:							
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	414.99	06/22/20	395.00	...	19.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	414.98	06/22/20	390.00	...	24.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/22/20	2.000	829.97	Various	785.00	...	44.97	Total of 2 transactions
AAPL 07/17/2020 CALL \$382.50 / CUSIP: / Symbol:							
07/10/20	1.000	629.97	07/10/20	655.00	...	-25.03	Option sale to close-call <sup>25</sup>
AAPL 07/17/2020 CALL \$385.00 / CUSIP: / Symbol:							
07/10/20	1.000	564.97	07/10/20	565.00	0.03 W	0.00	Option sale to close-call <sup>25</sup>
07/14/20	1.000	594.97	07/14/20	577.03	...	17.94	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,159.94</b>		<b>1,142.03</b>	<b>0.03 W</b>	<b>17.94</b>	
AAPL 07/17/2020 CALL \$387.50 / CUSIP: / Symbol:							
07/14/20	1.000	580.97	07/14/20	580.00	...	0.97	Option sale to close-call <sup>25</sup>
AAPL 07/17/2020 CALL \$395.00 / CUSIP: / Symbol:							
07/13/20	1.000	784.97	07/13/20	675.00	...	109.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/17/2020 CALL \$400.00 / CUSIP: / Symbol:							
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	732.97	07/13/20	745.00	...	-12.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	734.97	07/13/20	703.00	...	31.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	689.97	07/13/20	655.03	...	34.94	3 of 3 - Option sale to close-call <sup>25</sup>
07/13/20	3.000	2,157.91	Various	2,103.03	...	54.88	Total of 3 transactions
07/13/20	1.000	689.97	07/13/20	715.00	25.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,847.88</b>		<b>2,818.03</b>	<b>25.03 W</b>	<b>54.88</b>	
AAPL 07/17/2020 PUT \$385.00 / CUSIP: / Symbol:							
07/14/20	1.000	684.97	07/14/20	690.00	...	-5.03	Option sale to close-put <sup>25</sup>
AAPL 07/24/2020 CALL \$340.00 / CUSIP: / Symbol:							
06/18/20	1.000	2,122.94	06/09/20	1,270.00	...	852.94	Option sale to close-call <sup>25</sup>
AAPL 07/24/2020 CALL \$342.50 / CUSIP: / Symbol:							
06/19/20	1.000	-783.04	06/18/20	0.00	...	-783.04	Short sale closed- call <sup>25</sup> Option written: 06/09/20
AAPL 07/24/2020 CALL \$382.50 / CUSIP: / Symbol:							
07/14/20	1.000	1,029.96	07/14/20	950.00	...	79.96	Option sale to close-call <sup>25</sup>
AAPL 07/24/2020 CALL \$385.00 / CUSIP: / Symbol:							
07/14/20	1.000	1,019.96	07/14/20	950.00	...	69.96	Option sale to close-call <sup>25</sup>
07/23/20	1.000	226.98	07/23/20	244.00	...	-17.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,246.94</b>		<b>1,194.00</b>	...	<b>52.94</b>	
AAPL 07/24/2020 CALL \$387.50 / CUSIP: / Symbol:							
07/17/20	1.000	584.98	07/17/20	600.00	...	-15.02	Option sale to close-call <sup>25</sup>
07/17/20	1.000	584.98	07/17/20	630.00	45.02 W	0.00	Option sale to close-call <sup>25</sup>
07/22/20	1.000	379.98	07/22/20	420.02	...	-40.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,549.94</b>		<b>1,650.02</b>	<b>45.02 W</b>	<b>-55.06</b>	
AAPL 07/24/2020 CALL \$390.00 / CUSIP: / Symbol:							
07/20/20	1.000	689.97	07/20/20	665.00	...	24.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/24/2020 CALL \$392.50 / CUSIP: / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	514.97	07/20/20	490.00	...	24.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	439.98	07/20/20	395.00	...	44.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/20/20	2.000	954.95	Various	885.00	...	69.95	Total of 2 transactions
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	351.98	07/21/20	341.00	...	10.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	351.98	07/21/20	341.00	...	10.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	351.98	07/21/20	341.00	...	10.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/21/20	3.000	1,055.94	Various	1,023.00	...	32.94	Total of 3 transactions
07/21/20	1.000	324.98	07/21/20	355.00	30.02 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	394.98	07/21/20	355.00	...	39.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	189.99	07/22/20	233.02	...	-43.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	189.99	07/22/20	203.00	...	-13.01	3 of 3 - Option sale to close-call <sup>25</sup>
07/22/20	3.000	774.96	Various	791.02	...	-16.06	Total of 3 transactions
	<b>Security total:</b>	<b>3,110.83</b>		<b>3,054.02</b>	<b>30.02 W</b>	<b>86.83</b>	
AAPL 07/24/2020 CALL \$395.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	364.98	07/17/20	370.00	5.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	359.98	07/17/20	373.02	13.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/17/20	2.000	724.96	Various	743.02	18.06 W	0.00	Total of 2 transactions
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	351.98	07/20/20	351.04	...	0.94	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	349.98	07/20/20	338.00	...	11.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/20/20	2.000	701.96	Various	689.04	...	12.92	Total of 2 transactions
4 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	354.98	07/20/20	355.00	0.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	344.99	07/20/20	355.00	10.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	344.98	07/20/20	350.02	5.04 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	459.97	07/20/20	470.01	10.04 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
07/20/20	4.000	1,504.92	Various	1,530.03	25.11 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAPL 07/24/2020 CALL \$395.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	339.98	07/21/20	315.04	...	24.94	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	339.99	07/21/20	310.04	...	29.95	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	400.98	07/21/20	360.00	...	40.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	400.99	07/21/20	360.00	...	40.99	4 of 4 - Option sale to close-call <sup>25</sup>
07/21/20	4.000	1,481.94	Various	1,345.08	...	136.86	Total of 4 transactions
	<b>Security total:</b>	<b>4,413.78</b>		<b>4,307.17</b>	<b>43.17 W</b>	<b>149.78</b>	
AAPL 07/24/2020 PUT \$335.00 / CUSIP: / Symbol:							
06/19/20	1.000	202.96	06/18/20	0.00	...	202.96	Short sale closed- put <sup>25</sup> Option written: 06/09/20
AAPL 07/24/2020 PUT \$337.50 / CUSIP: / Symbol:							
06/18/20	1.000	861.97	06/09/20	1,150.00	...	-288.03	Option sale to close-put <sup>25</sup>
AAPL 07/24/2020 PUT \$382.50 / CUSIP: / Symbol:							
07/23/20	1.000	253.98	07/23/20	301.00	...	-47.02	Option sale to close-put <sup>25</sup>
AAPL 07/24/2020 PUT \$385.00 / CUSIP: / Symbol:							
07/22/20	1.000	299.98	07/22/20	343.02	...	-43.04	Option sale to close-put <sup>25</sup>
07/22/20	1.000	299.98	07/22/20	335.00	35.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>599.96</b>		<b>678.02</b>	<b>35.02 W</b>	<b>-43.04</b>	
AAPL 07/31/2020 CALL \$372.50 / CUSIP: / Symbol:							
07/24/20	1.000	719.97	07/24/20	685.00	...	34.97	Option sale to close-call <sup>25</sup>
AAPL 07/31/2020 CALL \$377.50 / CUSIP: / Symbol:							
4 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	681.97	07/24/20	715.06	...	-33.09	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	544.97	07/24/20	526.00	...	18.97	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	627.97	07/24/20	590.00	...	37.97	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	509.97	07/24/20	460.00	...	49.97	4 of 4 - Option sale to close-call <sup>25</sup>
07/24/20	4.000	2,364.88	Various	2,291.06	...	73.82	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/31/2020 CALL \$377.50 / CUSIP: / Symbol: (cont'd)							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	599.97	07/24/20	640.00	40.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	599.97	07/24/20	655.03	55.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/24/20	2.000	1,199.94	Various	1,295.03	95.09 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,564.82</b>		<b>3,586.09</b>	<b>95.09 W</b>	<b>73.82</b>	
AAPL 07/31/2020 CALL \$380.00 / CUSIP: / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	594.97	07/24/20	605.00	...	-10.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	509.97	07/24/20	499.03	...	10.94	2 of 2 - Option sale to close-call <sup>25</sup>
07/24/20	2.000	1,104.94	Various	1,104.03	...	0.91	Total of 2 transactions
07/24/20	1.000	574.97	07/24/20	590.00	15.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,679.91</b>		<b>1,694.03</b>	<b>15.03 W</b>	<b>0.91</b>	
AAPL 07/31/2020 CALL \$382.50 / CUSIP: / Symbol:							
07/24/20	1.000	414.98	07/24/20	420.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
07/30/20	1.000	554.97	07/30/20	580.02	...	-25.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>969.95</b>		<b>1,000.02</b>	<b>5.02 W</b>	<b>-25.05</b>	
AAPL 07/31/2020 CALL \$385.00 / CUSIP: / Symbol:							
07/24/20	1.000	459.97	07/24/20	468.00	...	-8.03	Option sale to close-call <sup>25</sup>
AAPL 07/31/2020 CALL \$387.50 / CUSIP: / Symbol:							
07/24/20	1.000	431.98	07/24/20	360.00	...	71.98	Option sale to close-call <sup>25</sup>
07/27/20	1.000	539.97	07/27/20	560.03	...	-20.06	Option sale to close-call <sup>25</sup>
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	514.97	07/27/20	530.00	15.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	519.97	07/27/20	530.00	10.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/27/20	2.000	1,034.94	Various	1,060.00	25.06 W	0.00	Total of 2 transactions
07/28/20	1.000	309.98	07/28/20	330.03	...	-20.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,316.87</b>		<b>2,310.06</b>	<b>25.06 W</b>	<b>31.87</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/31/2020 CALL \$390.00 / CUSIP: / Symbol:							
07/24/20	1.000	304.98	07/24/20	315.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
07/27/20	1.000	454.97	07/27/20	455.02	0.05 W	0.00	Option sale to close-call <sup>25</sup>
07/28/20	1.000	334.98	07/28/20	330.05	...	4.93	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,094.93</b>		<b>1,100.07</b>	<b>10.07 W</b>	<b>4.93</b>	
AAPL 07/31/2020 PUT \$350.00 / CUSIP: / Symbol:							
07/24/20	1.000	389.98	07/24/20	420.00	...	-30.02	Option sale to close-put <sup>25</sup>
AAPL 08/07/2020 CALL \$390.00 / CUSIP: / Symbol:							
	7 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	1.000	674.97	07/30/20	700.02	...	-25.05	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	699.97	07/30/20	695.00	...	4.97	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	699.97	07/30/20	695.00	...	4.97	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	674.97	07/30/20	655.00	...	19.97	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	699.97	07/30/20	679.21	...	20.76	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	694.97	07/30/20	665.00	...	29.97	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	674.97	07/30/20	615.00	...	59.97	7 of 7 - Option sale to close-call <sup>25</sup>
07/30/20	7.000	4,819.79	Various	4,704.23	...	115.56	Total of 7 transactions
	9 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	1.000	609.97	07/30/20	675.00	65.03 W	0.00	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	604.97	07/30/20	690.03	85.06 W	0.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	629.97	07/30/20	685.06	55.09 W	0.00	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	634.97	07/30/20	675.09	40.12 W	0.00	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	644.97	07/30/20	670.12	25.15 W	0.00	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	649.97	07/30/20	683.15	33.18 W	0.00	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	669.97	07/30/20	684.18	14.21 W	0.00	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	649.98	07/30/20	675.00	25.02 W	0.00	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	649.98	07/30/20	675.00	25.02 W	0.00	9 of 9 - Option sale to close-call <sup>25</sup>
07/30/20	9.000	5,744.75	Various	6,112.63	367.88 W	0.00	Total of 9 transactions
07/31/20	1.000	2,084.94	07/30/20	680.02	...	1,404.92	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>12,649.48</b>		<b>11,496.88</b>	<b>367.88 W</b>	<b>1,520.48</b>	
AAPL 08/07/2020 CALL \$395.00 / CUSIP: / Symbol:							
07/30/20	1.000	469.97	07/30/20	465.00	...	4.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/07/2020 CALL \$412.50 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	549.97	07/31/20	555.00	...	-5.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	539.97	07/31/20	490.00	...	49.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/31/20	2.000	1,089.94	Various	1,045.00	...	44.94	Total of 2 transactions
AAPL 08/07/2020 CALL \$415.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	709.97	07/31/20	751.21	...	-41.24	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	520.97	07/31/20	505.00	...	15.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/31/20	2.000	1,230.94	Various	1,256.21	...	-25.27	Total of 2 transactions
7 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	494.97	07/31/20	564.00	69.03 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	509.97	07/31/20	574.03	64.06 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	509.97	07/31/20	554.06	44.09 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	569.97	07/31/20	603.09	33.12 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	569.97	07/31/20	603.12	33.15 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	579.97	07/31/20	623.15	43.18 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	624.97	07/31/20	687.18	62.21 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
07/31/20	7.000	3,859.79	Various	4,208.63	348.84 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>5,090.73</b>		<b>5,464.84</b>	<b>348.84 W</b>	<b>-25.27</b>	
AAPL 08/07/2020 CALL \$420.00 / CUSIP: / Symbol:							
7 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	354.98	07/31/20	348.00	...	6.98	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	439.98	07/31/20	430.00	...	9.98	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	784.97	07/31/20	770.03	...	14.94	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	419.98	07/31/20	405.02	...	14.96	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	739.97	07/31/20	725.00	...	14.97	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	639.97	07/31/20	620.00	...	19.97	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	449.98	07/31/20	430.00	...	19.98	7 of 7 - Option sale to close-call <sup>25</sup>
07/31/20	7.000	3,829.83	Various	3,728.05	...	101.78	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/07/2020 CALL \$420.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	374.98	07/31/20	380.00	5.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	729.97	07/31/20	755.00	25.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/31/20	2.000	1,104.95	Various	1,135.00	30.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>4,934.78</b>		<b>4,863.05</b>	<b>30.05 W</b>	<b>101.78</b>	
AAPL 08/07/2020 CALL \$425.00 / CUSIP: / Symbol:							
3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	769.97	07/31/20	746.00	...	23.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	694.97	07/31/20	670.00	...	24.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	634.97	07/31/20	603.00	...	31.97	3 of 3 - Option sale to close-call <sup>25</sup>
07/31/20	3.000	2,099.91	Various	2,019.00	...	80.91	Total of 3 transactions
AAPL 08/07/2020 CALL \$440.00 / CUSIP: / Symbol:							
08/03/20	1.000	771.97	08/03/20	739.00	...	32.97	Option sale to close-call <sup>25</sup>
08/05/20	1.000	352.98	08/05/20	400.00	...	-47.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,124.95</b>		<b>1,139.00</b>	...	<b>-14.05</b>	
AAPL 08/07/2020 CALL \$445.00 / CUSIP: / Symbol:							
08/03/20	1.000	649.97	08/03/20	745.00	...	-95.03	Option sale to close-call <sup>25</sup>
AAPL 08/07/2020 CALL \$450.00 / CUSIP: / Symbol:							
08/03/20	1.000	714.97	08/03/20	625.00	...	89.97	Option sale to close-call <sup>25</sup>
08/04/20	1.000	170.98	08/04/20	480.00	...	-309.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>885.95</b>		<b>1,105.00</b>	...	<b>-219.05</b>	
AAPL 08/07/2020 PUT \$375.00 / CUSIP: / Symbol:							
07/31/20	1.000	55.98	07/30/20	675.00	...	-619.02	Option sale to close-put <sup>25</sup>
AAPL 08/07/2020 PUT \$435.00 / CUSIP: / Symbol:							
08/03/20	1.000	729.97	08/03/20	745.00	15.03 W	0.00	Option sale to close-put <sup>25</sup>
08/04/20	1.000	554.97	08/04/20	575.03	...	-20.06	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,284.94</b>		<b>1,320.03</b>	<b>15.03 W</b>	<b>-20.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAPL 08/14/2020 CALL \$450.00 / CUSIP: / Symbol:							
08/06/20	1.000	929.96	08/06/20	860.00	...	69.96	Option sale to close-call <sup>25</sup>
	4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	1.000	899.97	08/10/20	869.00	...	30.97	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	799.97	08/10/20	745.00	...	54.97	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	892.97	08/10/20	820.00	...	72.97	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	849.97	08/10/20	772.06	...	77.91	4 of 4 - Option sale to close-call <sup>25</sup>
08/10/20	4.000	3,442.88	Various	3,206.06	...	236.82	Total of 4 transactions
	7 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	1.000	797.97	08/10/20	820.00	22.03 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	739.97	08/10/20	757.03	17.06 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	809.97	08/10/20	845.00	35.03 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	837.97	08/10/20	865.03	27.06 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	814.97	08/10/20	867.06	52.09 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	779.97	08/10/20	837.09	57.12 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	769.97	08/10/20	852.12	82.15 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
08/10/20	7.000	5,550.79	Various	5,843.33	292.54 W	0.00	Total of 7 transactions
	3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	1.000	559.97	08/11/20	607.15	47.18 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	534.97	08/11/20	627.18	92.21 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	519.97	08/11/20	602.21	82.24 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/11/20	3.000	1,614.91	Various	1,836.54	221.63 W	0.00	Total of 3 transactions
	3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	1.000	544.97	08/12/20	698.15	...	-153.18	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	629.97	08/12/20	602.32	...	27.65	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	429.98	08/12/20	390.00	...	39.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/12/20	3.000	1,604.92	Various	1,690.47	...	-85.55	Total of 3 transactions
	8 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	1.000	364.98	08/12/20	472.24	107.26 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	489.97	08/12/20	547.26	57.29 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	529.97	08/12/20	557.29	27.32 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	479.97	08/12/20	624.00	144.03 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	479.97	08/12/20	649.03	169.06 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	544.97	08/12/20	699.06	154.09 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/14/2020 CALL \$450.00 / CUSIP: / Symbol: (cont'd)							
	1.000	524.97	08/12/20	651.09	126.12 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	529.97	08/12/20	668.12	138.15 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
08/12/20	8.000	3,944.77	Various	4,868.09	923.32 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>17,088.23</b>		<b>18,304.49</b>	<b>1,437.49 W</b>	<b>221.23</b>	
AAPL 08/14/2020 CALL \$455.00 / CUSIP: / Symbol:							
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	809.97	08/06/20	795.05	...	14.92	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	904.96	08/06/20	870.00	...	34.96	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	944.96	08/06/20	890.00	...	54.96	3 of 3 - Option sale to close-call <sup>25</sup>
08/06/20	3.000	2,659.89	Various	2,555.05	...	104.84	Total of 3 transactions
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	424.98	08/06/20	455.00	30.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	744.97	08/06/20	785.02	40.05 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/06/20	2.000	1,169.95	Various	1,240.02	70.07 W	0.00	Total of 2 transactions
08/07/20	1.000	454.97	08/07/20	795.00	340.03 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	699.98	08/13/20	1,085.41	...	-385.43	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	699.97	08/13/20	890.00	...	-190.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	869.97	08/13/20	847.03	...	22.94	3 of 3 - Option sale to close-call <sup>25</sup>
08/13/20	3.000	2,269.92	Various	2,822.44	...	-552.52	Total of 3 transactions
13 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	709.97	08/13/20	1,015.03	305.06 W	0.00	1 of 13 - Option sale to close-call <sup>25</sup>
	1.000	839.97	08/13/20	1,085.06	245.09 W	0.00	2 of 13 - Option sale to close-call <sup>25</sup>
	1.000	789.97	08/13/20	1,080.09	290.12 W	0.00	3 of 13 - Option sale to close-call <sup>25</sup>
	1.000	679.97	08/13/20	1,025.12	345.15 W	0.00	4 of 13 - Option sale to close-call <sup>25</sup>
	1.000	729.97	08/13/20	1,055.15	325.18 W	0.00	5 of 13 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAPL 08/14/2020 CALL \$455.00 / CUSIP: / Symbol: (cont'd)							
	1.000	799.98	08/13/20	1,075.18	275.20 W	0.00	6 of 13 - Option sale to close-call <sup>25</sup>
	1.000	799.97	08/13/20	842.00	42.03 W	0.00	7 of 13 - Option sale to close-call <sup>25</sup>
	1.000	869.98	08/13/20	1,080.20	210.22 W	0.00	8 of 13 - Option sale to close-call <sup>25</sup>
	1.000	859.97	08/13/20	1,085.22	225.25 W	0.00	9 of 13 - Option sale to close-call <sup>25</sup>
	1.000	979.96	08/13/20	1,140.25	160.29 W	0.00	10 of 13 - Option sale to close-call <sup>25</sup>
	1.000	934.96	08/13/20	1,075.29	140.33 W	0.00	11 of 13 - Option sale to close-call <sup>25</sup>
	1.000	979.96	08/13/20	1,115.33	135.37 W	0.00	12 of 13 - Option sale to close-call <sup>25</sup>
	1.000	949.96	08/13/20	1,085.37	135.41 W	0.00	13 of 13 - Option sale to close-call <sup>25</sup>
08/13/20	13.000	10,924.59	Various	13,759.29	2,834.70 W	0.00	Total of 13 transactions
	<b>Security total:</b>	<b>17,479.32</b>		<b>21,171.80</b>	<b>3,244.80 W</b>	<b>-447.68</b>	
AAPL 08/14/2020 CALL \$460.00 / CUSIP: / Symbol:							
4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	819.97	08/06/20	790.00	...	29.97	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	799.97	08/06/20	765.00	...	34.97	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	729.97	08/06/20	695.00	...	34.97	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	769.97	08/06/20	730.00	...	39.97	4 of 4 - Option sale to close-call <sup>25</sup>
08/06/20	4.000	3,119.88	Various	2,980.00	...	139.88	Total of 4 transactions
AAPL 08/14/2020 PUT \$445.00 / CUSIP: / Symbol:							
08/07/20	1.000	779.97	08/07/20	835.03	...	-55.06	Option sale to close-put <sup>25</sup>
08/07/20	1.000	614.97	08/07/20	655.00	40.03 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,394.94</b>		<b>1,490.03</b>	<b>40.03 W</b>	<b>-55.06</b>	
AAPL 08/14/2020 PUT \$450.00 / CUSIP: / Symbol:							
08/12/20	1.000	440.98	08/12/20	455.00	...	-14.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/14/2020 PUT \$455.00 / CUSIP: / Symbol:							
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	754.97	08/12/20	765.00	...	-10.03	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	724.97	08/12/20	720.00	...	4.97	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	719.97	08/12/20	710.03	...	9.94	3 of 3 - Option sale to close-put <sup>25</sup>
08/12/20	3.000	2,199.91	Various	2,195.03	...	4.88	Total of 3 transactions
08/12/20	1.000	679.97	08/12/20	680.00	0.03 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>2,879.88</b>		<b>2,875.03</b>	<b>0.03 W</b>	<b>4.88</b>	
AAPL 08/14/2020 PUT \$460.00 / CUSIP: / Symbol:							
6 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	2.000	869.97	08/13/20	878.00	...	-8.03	1 of 6 - Option sale to close-put <sup>25</sup>
	1.000	394.98	08/13/20	393.00	...	1.98	2 of 6 - Option sale to close-put <sup>25</sup>
	1.000	394.99	08/13/20	393.00	...	1.99	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	446.98	08/13/20	440.00	...	6.98	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	446.98	08/13/20	435.00	...	11.98	5 of 6 - Option sale to close-put <sup>25</sup>
	2.000	679.97	08/13/20	660.00	...	19.97	6 of 6 - Option sale to close-put <sup>25</sup>
08/13/20	8.000	3,233.87	Various	3,199.00	...	34.87	Total of 6 transactions
AAPL 08/14/2020 PUT \$465.00 / CUSIP: / Symbol:							
5 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	599.97	08/13/20	660.00	...	-60.03	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	599.97	08/13/20	660.00	...	-60.03	2 of 5 - Option sale to close-put <sup>25</sup>
	1.000	634.98	08/13/20	675.03	...	-40.05	3 of 5 - Option sale to close-put <sup>25</sup>
	1.000	634.98	08/13/20	660.00	...	-25.02	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	689.98	08/13/20	662.00	...	27.98	5 of 5 - Option sale to close-put <sup>25</sup>
08/13/20	5.000	3,159.88	Various	3,317.03	...	-157.15	Total of 5 transactions
08/13/20	1.000	689.97	08/13/20	705.00	15.03 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>3,849.85</b>		<b>4,022.03</b>	<b>15.03 W</b>	<b>-157.15</b>	
AAPL 08/21/2020 CALL \$460.00 / CUSIP: / Symbol:							
6 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	759.97	08/14/20	755.00	...	4.97	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	709.97	08/14/20	699.03	...	10.94	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	789.97	08/14/20	779.00	...	10.97	3 of 6 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/21/2020 CALL \$460.00 / CUSIP: / Symbol: (cont'd)							
	1.000	829.97	08/14/20	800.00	...	29.97	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	794.97	08/14/20	761.00	...	33.97	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	729.97	08/14/20	695.00	...	34.97	6 of 6 - Option sale to close-call <sup>25</sup>
08/14/20	6.000	4,614.82	Various	4,489.03	...	125.79	Total of 6 transactions
	12 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	1.000	789.97	08/14/20	850.00	60.03 W	0.00	1 of 12 - Option sale to close-call <sup>25</sup>
	1.000	769.97	08/14/20	860.03	90.06 W	0.00	2 of 12 - Option sale to close-call <sup>25</sup>
	1.000	814.97	08/14/20	879.06	64.09 W	0.00	3 of 12 - Option sale to close-call <sup>25</sup>
	1.000	804.97	08/14/20	879.09	74.12 W	0.00	4 of 12 - Option sale to close-call <sup>25</sup>
	1.000	719.97	08/14/20	787.12	67.15 W	0.00	5 of 12 - Option sale to close-call <sup>25</sup>
	1.000	719.97	08/14/20	780.15	60.18 W	0.00	6 of 12 - Option sale to close-call <sup>25</sup>
	1.000	714.98	08/14/20	787.18	72.20 W	0.00	7 of 12 - Option sale to close-call <sup>25</sup>
	1.000	714.97	08/14/20	727.00	12.03 W	0.00	8 of 12 - Option sale to close-call <sup>25</sup>
	1.000	659.97	08/14/20	732.20	72.23 W	0.00	9 of 12 - Option sale to close-call <sup>25</sup>
	1.000	729.98	08/14/20	771.23	41.25 W	0.00	10 of 12 - Option sale to close-call <sup>25</sup>
	1.000	759.98	08/14/20	775.25	15.27 W	0.00	11 of 12 - Option sale to close-call <sup>25</sup>
	1.000	789.98	08/14/20	794.27	4.29 W	0.00	12 of 12 - Option sale to close-call <sup>25</sup>
08/14/20	12.000	8,989.68	Various	9,622.58	632.90 W	0.00	Total of 12 transactions
	5 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	669.97	08/18/20	669.06	...	0.91	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	509.97	08/18/20	504.29	...	5.68	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	609.97	08/18/20	596.15	...	13.82	3 of 5 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/21/2020 CALL \$460.00 / CUSIP: / Symbol: (cont'd)							
	1.000	729.97	08/18/20	712.06	...	17.91	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	579.97	08/18/20	510.00	...	69.97	5 of 5 - Option sale to close-call <sup>25</sup>
08/18/20	5.000	3,099.85	Various	2,991.56	...	108.29	Total of 5 transactions
	12 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	574.97	08/18/20	583.00	8.03 W	0.00	1 of 12 - Option sale to close-call <sup>25</sup>
	1.000	549.97	08/18/20	566.03	16.06 W	0.00	2 of 12 - Option sale to close-call <sup>25</sup>
	1.000	579.97	08/18/20	581.06	1.09 W	0.00	3 of 12 - Option sale to close-call <sup>25</sup>
	1.000	519.97	08/18/20	581.09	61.12 W	0.00	4 of 12 - Option sale to close-call <sup>25</sup>
	1.000	539.97	08/18/20	586.12	46.15 W	0.00	5 of 12 - Option sale to close-call <sup>25</sup>
	1.000	634.97	08/18/20	654.00	19.03 W	0.00	6 of 12 - Option sale to close-call <sup>25</sup>
	1.000	599.97	08/18/20	634.03	34.06 W	0.00	7 of 12 - Option sale to close-call <sup>25</sup>
	1.000	679.97	08/18/20	687.00	7.03 W	0.00	8 of 12 - Option sale to close-call <sup>25</sup>
	1.000	674.97	08/18/20	697.03	22.06 W	0.00	9 of 12 - Option sale to close-call <sup>25</sup>
	1.000	719.97	08/18/20	733.00	13.03 W	0.00	10 of 12 - Option sale to close-call <sup>25</sup>
	1.000	664.97	08/18/20	743.03	78.06 W	0.00	11 of 12 - Option sale to close-call <sup>25</sup>
	1.000	609.97	08/18/20	711.06	101.09 W	0.00	12 of 12 - Option sale to close-call <sup>25</sup>
08/18/20	12.000	7,349.64	Various	7,756.45	406.81 W	0.00	Total of 12 transactions
	2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	1.000	557.98	08/19/20	836.21	...	-278.23	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	557.98	08/19/20	675.00	...	-117.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/19/20	2.000	1,115.96	Various	1,511.21	...	-395.25	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAPL 08/21/2020 CALL \$460.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	694.97	08/19/20	776.09	81.12 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	699.97	08/19/20	766.12	66.15 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	749.97	08/19/20	781.15	31.18 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	744.97	08/19/20	806.18	61.21 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/19/20	4.000	2,889.88	Various	3,129.54	239.66 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>28,059.83</b>		<b>29,500.37</b>	<b>1,279.37 W</b>	<b>-161.17</b>	
AAPL 08/21/2020 CALL \$465.00 / CUSIP: / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	434.98	08/18/20	430.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	409.98	08/18/20	400.00	...	9.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/18/20	2.000	844.96	Various	830.00	...	14.96	Total of 2 transactions
08/19/20	1.000	580.97	08/19/20	580.00	...	0.97	Option sale to close-call <sup>25</sup>
08/19/20	1.000	404.98	08/19/20	441.00	36.02 W	0.00	Option sale to close-call <sup>25</sup>
08/20/20	1.000	589.97	08/20/20	798.08	...	-208.11	Option sale to close-call <sup>25</sup>
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	515.97	08/20/20	639.02	123.05 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	489.97	08/20/20	658.05	168.08 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	2.000	1,005.94	Various	1,297.07	291.13 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,426.82</b>		<b>3,946.15</b>	<b>327.15 W</b>	<b>-192.18</b>	
AAPL 08/21/2020 CALL \$470.00 / CUSIP: / Symbol:							
08/19/20	1.000	334.98	08/19/20	385.00	...	-50.02	Option sale to close-call <sup>25</sup>
AAPL 08/21/2020 PUT \$455.00 / CUSIP: / Symbol:							
3 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	699.97	08/14/20	724.06	...	-24.09	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	704.97	08/14/20	696.04	...	8.93	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	904.96	08/14/20	875.00	...	29.96	3 of 3 - Option sale to close-put <sup>25</sup>
08/14/20	3.000	2,309.90	Various	2,295.10	...	14.80	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/21/2020 PUT \$455.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	919.96	08/14/20	921.00	1.04 W	0.00	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	724.97	08/14/20	734.00	9.03 W	0.00	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	739.97	08/14/20	749.03	9.06 W	0.00	3 of 3 - Option sale to close-put <sup>25</sup>
08/14/20	3.000	2,384.90	Various	2,404.03	19.13 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>4,694.80</b>		<b>4,699.13</b>	<b>19.13 W</b>	<b>14.80</b>	
AAPL 08/21/2020 PUT \$465.00 / CUSIP: / Symbol:							
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	158.98	08/20/20	162.00	...	-3.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	158.98	08/20/20	155.00	...	3.98	2 of 2 - Option sale to close-put <sup>25</sup>
08/20/20	2.000	317.96	Various	317.00	...	0.96	Total of 2 transactions
AAPL 08/28/2020 CALL \$475.00 / CUSIP: / Symbol:							
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	869.97	08/20/20	890.12	...	-20.15	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	669.97	08/20/20	665.00	...	4.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	2.000	1,539.94	Various	1,555.12	...	-15.18	Total of 2 transactions
4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	664.97	08/20/20	685.00	20.03 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	689.97	08/20/20	715.03	25.06 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	849.97	08/20/20	850.06	0.09 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	854.97	08/20/20	880.09	25.12 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/20/20	4.000	3,059.88	Various	3,130.18	70.30 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>4,599.82</b>		<b>4,685.30</b>	<b>70.30 W</b>	<b>-15.18</b>	
AAPL 08/28/2020 CALL \$500.00 / CUSIP: / Symbol:							
08/25/20	1.000	839.97	08/25/20	960.03	...	-120.06	Option sale to close-call <sup>25</sup>
08/25/20	1.000	739.97	08/25/20	795.00	55.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,579.94</b>		<b>1,755.03</b>	<b>55.03 W</b>	<b>-120.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAPL 08/28/2020 CALL \$505.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	1,006.96	08/21/20	972.00	...	34.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	924.96	08/21/20	865.00	...	59.96	2 of 2 - Option sale to close-call <sup>25</sup>
08/21/20	2.000	1,931.92	Various	1,837.00	...	94.92	Total of 2 transactions
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	758.97	08/26/20	858.03	...	-99.06	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	809.97	08/26/20	773.00	...	36.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	2.000	1,568.94	Various	1,631.03	...	-62.09	Total of 2 transactions
08/26/20	1.000	726.97	08/26/20	815.00	88.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,227.83</b>		<b>4,283.03</b>	<b>88.03 W</b>	<b>32.83</b>	
AAPL 08/28/2020 PUT \$490.00 / CUSIP: / Symbol:							
08/21/20	1.000	879.97	08/21/20	955.00	...	-75.03	Option sale to close-put <sup>25</sup>
AAPL 09/04/2020 CALL \$130.00 / CUSIP: / Symbol:							
5 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	279.98	08/31/20	272.00	...	7.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	279.99	08/31/20	272.00	...	7.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	261.98	08/31/20	243.00	...	18.98	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	304.98	08/31/20	242.00	...	62.98	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	309.98	08/31/20	242.00	...	67.98	5 of 5 - Option sale to close-call <sup>25</sup>
08/31/20	5.000	1,436.91	Various	1,271.00	...	165.91	Total of 5 transactions
AAPL 09/04/2020 CALL \$131.25 / CUSIP: / Symbol:							
08/31/20	1.000	212.98	08/31/20	283.04	...	-70.06	Option sale to close-call <sup>25</sup>
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	232.98	08/31/20	258.00	25.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	212.98	08/31/20	258.02	45.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/31/20	2.000	445.96	Various	516.02	70.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>658.94</b>		<b>799.06</b>	<b>70.06 W</b>	<b>-70.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/04/2020 CALL \$132.50 / CUSIP: / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	219.99	09/01/20	244.02	...	-24.03	1 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	1.000	219.99	09/01/20	244.02	...	-24.03	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	439.98	Various	488.04	...	-48.06	Total of 2 transactions
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	212.98	09/01/20	231.00	18.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	1.000	212.98	09/01/20	231.00	18.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	425.96	Various	462.00	36.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>865.94</b>		<b>950.04</b>	<b>36.04 W</b>	<b>-48.06</b>	
AAPL 09/04/2020 CALL \$133.75 / CUSIP: / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	229.98	09/01/20	224.00	...	5.98	1 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	1.000	269.98	09/01/20	237.00	...	32.98	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	499.96	Various	461.00	...	38.96	Total of 2 transactions
AAPL 09/04/2020 CALL \$135.00 / CUSIP: / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	197.99	09/01/20	235.04	...	-37.05	1 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	1.000	197.99	09/01/20	214.00	...	-16.01	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	395.98	Various	449.04	...	-53.06	Total of 2 transactions
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	215.98	09/01/20	242.00	26.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	1.000	226.98	09/01/20	248.02	21.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	442.96	Various	490.02	47.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>838.94</b>		<b>939.06</b>	<b>47.06 W</b>	<b>-53.06</b>	
AAPL 09/04/2020 CALL \$515.00 / CUSIP: / Symbol:							
08/28/20	1.000	659.97	08/28/20	915.03	...	-255.06	Option sale to close-call <sup>25</sup>
08/28/20	1.000	799.97	08/28/20	865.00	65.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,459.94</b>		<b>1,780.03</b>	<b>65.03 W</b>	<b>-255.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/11/2020 CALL \$117.50 / CUSIP: / Symbol:							
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	264.98	09/09/20	248.00	...	16.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	275.98	09/09/20	248.00	...	27.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/09/20	2.000	540.96	Various	496.00	...	44.96	Total of 2 transactions
AAPL 09/11/2020 CALL \$118.75 / CUSIP: / Symbol:							
5 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	165.98	09/09/20	197.08	...	-31.10	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	165.98	09/09/20	169.02	...	-3.04	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	179.98	09/09/20	177.00	...	2.98	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	204.99	09/09/20	188.02	...	16.97	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	204.99	09/09/20	183.00	...	21.99	5 of 5 - Option sale to close-call <sup>25</sup>
09/09/20	5.000	921.92	Various	914.12	...	7.80	Total of 5 transactions
6 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	167.98	09/09/20	178.00	10.02 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	167.98	09/09/20	183.00	15.02 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	179.98	09/09/20	192.02	12.04 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	168.98	09/09/20	191.04	22.06 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	168.98	09/09/20	179.00	10.02 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	162.98	09/09/20	201.06	38.08 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
09/09/20	6.000	1,016.88	Various	1,124.12	107.24 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>1,938.80</b>		<b>2,038.24</b>	<b>107.24 W</b>	<b>7.80</b>	
AAPL 09/11/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	449.98	09/04/20	440.00	...	9.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	408.98	09/04/20	380.00	...	28.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/04/20	2.000	858.96	Various	820.00	...	38.96	Total of 2 transactions
AAPL 09/11/2020 CALL \$122.50 / CUSIP: / Symbol:							
09/04/20	1.000	404.98	09/04/20	407.02	...	-2.04	Option sale to close-call <sup>25</sup>
09/04/20	1.000	379.98	09/04/20	400.00	20.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>784.96</b>		<b>807.02</b>	<b>20.02 W</b>	<b>-2.04</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/11/2020 CALL \$123.75 / CUSIP: / Symbol:							
09/08/20	1.000	49.98	09/03/20	440.00	...	-390.02	Option sale to close-call <sup>25</sup>
AAPL 09/11/2020 CALL \$128.75 / CUSIP: / Symbol:							
08/31/20	1.000	424.98	08/31/20	440.00	...	-15.02	Option sale to close-call <sup>25</sup>
AAPL 10/16/2020 CALL \$122.50 / CUSIP: / Symbol:							
3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	285.98	10/12/20	279.00	...	6.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	285.98	10/12/20	279.00	...	6.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	235.98	10/12/20	228.00	...	7.98	3 of 3 - Option sale to close-call <sup>25</sup>
10/12/20	3.000	807.94	Various	786.00	...	21.94	Total of 3 transactions
AAPL 10/16/2020 CALL \$123.00 / CUSIP: / Symbol:							
4 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	229.99	10/12/20	229.00	...	0.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	229.99	10/12/20	229.00	...	0.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	229.99	10/12/20	229.00	...	0.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	511.97	10/12/20	480.00	...	31.97	4 of 4 - Option sale to close-call <sup>25</sup>
10/12/20	5.000	1,201.94	Various	1,167.00	...	34.94	Total of 4 transactions
2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	2.000	55.99	10/13/20	576.00	...	-520.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	55.99	10/13/20	572.00	...	-516.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/15/20	4.000	111.98	Various	1,148.00	...	-1,036.02	Total of 2 transactions
	<b>Security total:</b>	<b>1,313.92</b>		<b>2,315.00</b>	...	<b>-1,001.08</b>	
AAPL 10/23/2020 CALL \$122.00 / CUSIP: / Symbol:							
10/12/20	1.000	394.98	10/12/20	384.00	...	10.98	Option sale to close-call <sup>25</sup>
AAPL 10/30/2020 CALL \$113.00 / CUSIP: / Symbol:							
10/28/20	1.000	284.98	09/22/20	661.00	...	-376.02	Option sale to close-call <sup>25</sup>
AAPL 10/30/2020 CALL \$117.00 / CUSIP: / Symbol:							
10/29/20	1.000	356.97	10/28/20	0.00	...	356.97	Short sale closed- call <sup>25</sup> Option written: 09/22/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 11/06/2020 PUT \$114.00 / CUSIP: / Symbol:							
2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	1.000	548.97	10/12/20	252.00	...	296.97	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	549.97	10/12/20	252.00	...	297.97	2 of 2 - Option sale to close-put <sup>25</sup>
10/28/20	2.000	1,098.94	Various	504.00	...	594.94	Total of 2 transactions
AAPL 11/06/2020 PUT \$116.00 / CUSIP: / Symbol:							
2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	1.000	-356.02	10/28/20	0.00	...	-356.02	1 of 2 - Short sale closed- put <sup>25</sup>
	1.000	-355.01	10/28/20	0.00	...	-355.01	2 of 2 - Short sale closed- put <sup>25</sup>
10/29/20	2.000	-711.03	Various	0.00	...	-711.03	Option written: 10/12/20 Total of 2 transactions
AAPL 12/04/2020 PUT \$128.00 / CUSIP: / Symbol:							
12/04/20	1.000	589.97	12/02/20	505.00	...	84.97	Option sale to close-put <sup>25</sup>
AAPL 12/11/2020 PUT \$127.00 / CUSIP: / Symbol:							
12/09/20	1.000	369.98	12/09/20	185.00	...	184.98	Option sale to close-put <sup>25</sup>
ABB 12/18/2020 PUT \$29.00 / CUSIP: / Symbol:							
12/10/20	1.000	209.98	12/10/20	210.00	...	-0.02	Option sale to close-put <sup>25</sup>
ABBV 07/24/2020 CALL \$101.00 / CUSIP: / Symbol:							
07/17/20	5.000	629.97	07/17/20	625.00	...	4.97	Option sale to close-call <sup>25</sup>
ABT 01/15/2021 CALL \$125.00 / CUSIP: / Symbol:							
11/06/20	1.000	211.98	09/29/20	179.00	...	32.98	Option sale to close-call <sup>25</sup>
ABT 01/15/2021 CALL \$130.00 / CUSIP: / Symbol:							
11/09/20	1.000	-4.02	11/06/20	0.00	...	-4.02	Short sale closed- call <sup>25</sup> Option written: 09/29/20
ABT 07/17/2020 CALL \$105.00 / CUSIP: / Symbol:							
06/12/20	2.000	69.98	06/10/20	84.00	...	-14.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABT 07/17/2020 CALL \$95.00 / CUSIP: / Symbol:							
07/13/20	5.000	979.96	07/13/20	975.00	...	4.96	Option sale to close-call <sup>25</sup>
ACB 01/15/2021 CALL \$6.00 / CUSIP: / Symbol:							
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	1.000	59.98	10/14/20	58.00	...	1.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	59.98	10/14/20	58.00	...	1.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/02/20	2.000	119.96	Various	116.00	...	3.96	Total of 2 transactions
ACB 01/31/2020 CALL \$3.00 / CUSIP: / Symbol:							
01/31/20	3.000	0.00	01/15/20	15.00	...	-15.00	Option expiration- long call
ADBE 08/07/2020 CALL \$447.50 / CUSIP: / Symbol:							
08/03/20	1.000	599.97	08/03/20	515.00	...	84.97	Option sale to close-call <sup>25</sup>
ADBE 08/07/2020 CALL \$450.00 / CUSIP: / Symbol:							
08/03/20	1.000	504.97	08/03/20	510.00	...	-5.03	Option sale to close-call <sup>25</sup>
ADBE 08/14/2020 CALL \$462.50 / CUSIP: / Symbol:							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	814.98	08/06/20	840.00	...	-25.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	814.97	08/06/20	838.00	...	-23.03	2 of 2 - Option sale to close-call <sup>25</sup>
08/06/20	2.000	1,629.95	Various	1,678.00	...	-48.05	Total of 2 transactions
ADBE 08/14/2020 CALL \$465.00 / CUSIP: / Symbol:							
08/06/20	1.000	719.97	08/06/20	690.00	...	29.97	Option sale to close-call <sup>25</sup>
AG 11/13/2020 PUT \$11.50 / CUSIP: / Symbol:							
11/13/20	1.000	49.98	11/12/20	59.00	...	-9.02	Option sale to close-put <sup>25</sup>
AG 11/20/2020 PUT \$12.50 / CUSIP: / Symbol:							
11/18/20	1.000	205.98	11/13/20	155.00	...	50.98	Option sale to close-put <sup>25</sup>
AMAT 12/04/2020 CALL \$83.50 / CUSIP: / Symbol:							
12/01/20	1.000	150.98	12/01/20	133.00	...	17.98	Option sale to close-call <sup>25</sup>
AMC 11/20/2020 CALL \$3.00 / CUSIP: / Symbol:							
11/18/20	4.000	143.98	11/17/20	60.00	...	83.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 11/20/2020 PUT \$3.50 / CUSIP: / Symbol:							
11/18/20	2.000	55.98	11/17/20	110.00	...	-54.02	Option sale to close-put <sup>25</sup>
AMC 11/27/2020 CALL \$1.00 / CUSIP: / Symbol:							
11/06/20	1.000	150.98	11/06/20	141.00	...	9.98	Option sale to close-call <sup>25</sup>
AMC 12/04/2020 CALL \$4.50 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	11/24/20	44.00	...	-44.00	Option expiration- long call
AMD 03/27/2020 CALL \$47.00 / CUSIP: / Symbol:							
3 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	1.000	94.99	03/11/20	262.00	...	-167.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	95.00	03/11/20	252.00	...	-157.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	94.99	03/13/20	175.00	...	-80.01	3 of 3 - Option sale to close-call <sup>25</sup>
03/18/20	3.000	284.98	Various	689.00	...	-404.02	Total of 3 transactions
AMD 04/09/2020 CALL \$51.00 / CUSIP: / Symbol:							
03/04/20	1.000	334.98	02/28/20	220.00	...	114.98	Option sale to close-call <sup>25</sup>
AMD 07/02/2020 CALL \$50.00 / CUSIP: / Symbol:							
06/29/20	1.000	115.98	06/29/20	94.00	...	21.98	Option sale to close-call <sup>25</sup>
AMD 07/02/2020 CALL \$52.00 / CUSIP: / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	2.000	159.98	07/01/20	168.00	...	-8.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	79.98	07/01/20	62.00	...	17.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/01/20	3.000	239.96	Various	230.00	...	9.96	Total of 2 transactions
AMD 07/10/2020 CALL \$52.00 / CUSIP: / Symbol:							
07/02/20	1.000	135.98	07/02/20	138.00	...	-2.02	Option sale to close-call <sup>25</sup>
AMD 07/10/2020 CALL \$53.00 / CUSIP: / Symbol:							
07/02/20	1.000	89.98	07/02/20	90.00	...	-0.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 07/10/2020 CALL \$54.00 / CUSIP: / Symbol:							
7 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	85.00	07/07/20	97.00	12.00 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	169.99	07/07/20	194.00	24.01 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	169.99	07/07/20	202.00	32.01 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	75.00	07/07/20	113.00	38.00 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	75.00	07/07/20	113.01	38.01 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	74.99	07/07/20	113.00	38.01 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	2.000	149.99	07/07/20	234.01	84.02 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
07/07/20	10.000	799.96	Various	1,066.02	266.06 W	0.00	Total of 7 transactions
4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	2.000	71.99	07/07/20	230.02	...	-158.03	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	35.98	07/07/20	111.00	...	-75.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	35.99	07/07/20	111.01	...	-75.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	36.00	07/07/20	111.01	...	-75.01	4 of 4 - Option sale to close-call <sup>25</sup>
07/08/20	5.000	179.96	Various	563.04	...	-383.08	Total of 4 transactions
	<b>Security total:</b>	<b>979.92</b>		<b>1,629.06</b>	<b>266.06 W</b>	<b>-383.08</b>	
AMD 07/24/2020 CALL \$55.00 / CUSIP: / Symbol:							
07/16/20	3.000	464.97	07/16/20	453.00	...	11.97	Option sale to close-call <sup>25</sup>
AMD 07/31/2020 CALL \$69.00 / CUSIP: / Symbol:							
07/28/20	1.000	249.98	07/27/20	315.00	...	-65.02	Option sale to close-call <sup>25</sup>
AMD 07/31/2020 CALL \$70.00 / CUSIP: / Symbol:							
07/27/20	2.000	629.97	07/27/20	610.00	...	19.97	Option sale to close-call <sup>25</sup>
AMD 07/31/2020 CALL \$71.00 / CUSIP: / Symbol:							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	230.98	07/27/20	264.00	...	-33.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	246.98	07/27/20	264.00	...	-17.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/27/20	2.000	477.96	Various	528.00	...	-50.04	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 07/31/2020 PUT \$68.00 / CUSIP: / Symbol:							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	304.98	07/27/20	320.00	...	-15.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	304.98	07/27/20	320.00	...	-15.02	2 of 2 - Option sale to close-put <sup>25</sup>
07/27/20	2.000	609.96	Various	640.00	...	-30.04	Total of 2 transactions
AMD 07/31/2020 PUT \$69.00 / CUSIP: / Symbol:							
07/28/20	1.000	379.98	07/27/20	315.00	...	64.98	Option sale to close-put <sup>25</sup>
AMD 08/07/2020 CALL \$78.00 / CUSIP: / Symbol:							
3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	165.98	08/03/20	161.00	...	4.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	165.98	08/03/20	161.00	...	4.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	165.98	08/03/20	161.00	...	4.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/03/20	3.000	497.94	Various	483.00	...	14.94	Total of 3 transactions
AMD 08/07/2020 CALL \$86.00 / CUSIP: / Symbol:							
08/05/20	3.000	320.98	08/05/20	435.00	...	-114.02	Option sale to close-call <sup>25</sup>
AMD 08/07/2020 PUT \$85.00 / CUSIP: / Symbol:							
08/05/20	3.000	443.98	08/05/20	486.00	...	-42.02	Option sale to close-put <sup>25</sup>
AMD 09/04/2020 CALL \$87.50 / CUSIP: / Symbol:							
08/31/20	2.000	549.97	08/31/20	424.00	...	125.97	Option sale to close-call <sup>25</sup>
AMD 09/04/2020 CALL \$92.00 / CUSIP: / Symbol:							
09/01/20	1.000	189.98	09/01/20	197.00	...	-7.02	Option sale to close-call <sup>25</sup>
AMD 09/04/2020 CALL \$93.00 / CUSIP: / Symbol:							
09/01/20	2.000	303.98	09/01/20	328.00	...	-24.02	Option sale to close-call <sup>25</sup>
AMD 09/25/2020 CALL \$79.50 / CUSIP: / Symbol:							
09/15/20	1.000	279.98	09/15/20	276.00	...	3.98	Option sale to close-call <sup>25</sup>
09/25/20	1.000	0.00	09/15/20	320.00	...	-320.00	Option expiration- long call
	<b>Security total:</b>	<b>279.98</b>		<b>596.00</b>	...	<b>-316.02</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 11/20/2020 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	799.98	09/28/20	493.00	...	306.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	799.97	09/30/20	672.00	...	127.97	2 of 2 - Option sale to close-call <sup>25</sup>
10/05/20	2.000	1,599.95	Various	1,165.00	...	434.95	Total of 2 transactions
10/28/20	1.000	175.98	10/05/20	850.00	...	-674.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,775.93</b>		<b>2,015.00</b>	...	<b>-239.07</b>	
AMD 11/20/2020 CALL \$87.50 / CUSIP: / Symbol:							
10/06/20	1.000	-115.03	10/05/20	0.00	...	-115.03	Short sale closed- call <sup>25</sup>
10/06/20	1.000	-275.02	10/05/20	0.00	275.02 W	0.00	Option written: 09/30/20 Short sale closed- call <sup>25</sup>
10/29/20	1.000	330.95	10/28/20	0.00	...	330.95	Option written: 09/28/20 Short sale closed- call <sup>25</sup>
	<b>Security total:</b>	<b>-59.10</b>		<b>0.00</b>	<b>275.02 W</b>	<b>215.92</b>	
AMRN 05/08/2020 CALL \$8.50 / CUSIP: / Symbol:							
04/27/20	5.000	229.98	04/24/20	165.00	...	64.98	Option sale to close-call <sup>25</sup>
AMTD 07/24/2020 CALL \$37.00 / CUSIP: / Symbol:							
07/21/20	1.000	169.98	07/20/20	100.00	...	69.98	Option sale to close-call <sup>25</sup>
AMTD 07/24/2020 PUT \$36.50 / CUSIP: / Symbol:							
07/21/20	1.000	44.98	07/20/20	120.00	...	-75.02	Option sale to close-put <sup>25</sup>
AMZN 08/21/2020 CALL \$3,330.00 / CUSIP: / Symbol:							
08/14/20	1.000	629.97	08/14/20	970.00	...	-340.03	Option sale to close-call <sup>25</sup>
APA 04/24/2020 CALL \$10.00 / CUSIP: / Symbol:							
04/23/20	1.000	89.98	04/13/20	71.00	...	18.98	Option sale to close-call <sup>25</sup>
APHA 12/04/2020 PUT \$10.00 / CUSIP: / Symbol:							
12/04/20	1.000	147.98	11/27/20	250.00	...	-102.02	Option sale to close-put <sup>25</sup>
ASAN 12/18/2020 PUT \$35.00 / CUSIP: / Symbol:							
12/10/20	1.000	459.97	12/10/20	400.00	...	59.97	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASHR 01/15/2021 CALL \$38.00 / CUSIP: / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	181.99	11/12/20	113.00	...	68.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	181.99	11/23/20	140.00	...	41.99	2 of 2 - Option sale to close-call <sup>25</sup>
12/01/20	2.000	363.98	Various	253.00	...	110.98	Total of 2 transactions
ATVI 06/12/2020 PUT \$67.50 / CUSIP: / Symbol:							
06/05/20	1.000	96.98	06/03/20	70.00	...	26.98	Option sale to close-put <sup>25</sup>
ATVI 06/26/2020 CALL \$72.50 / CUSIP: / Symbol:							
06/05/20	1.000	84.98	06/03/20	150.00	...	-65.02	Option sale to close-call <sup>25</sup>
AU 04/17/2020 CALL \$22.00 / CUSIP: / Symbol:							
03/18/20	2.000	139.98	03/10/20	110.00	...	29.98	Option sale to close-call <sup>25</sup>
BA 06/26/2020 CALL \$185.00 / CUSIP: / Symbol:							
06/24/20	1.000	-36.03	06/23/20	0.00	...	-36.03	Short sale closed- call <sup>25</sup> Option written: 06/23/20
BA 06/26/2020 PUT \$170.00 / CUSIP: / Symbol:							
06/23/20	1.000	77.98	06/23/20	89.00	...	-11.02	Option sale to close-put <sup>25</sup>
BA 07/02/2020 CALL \$185.00 / CUSIP: / Symbol:							
07/01/20	2.000	499.97	07/01/20	690.00	...	-190.03	Option sale to close-call <sup>25</sup>
BA 07/02/2020 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	116.99	06/10/20	315.00	...	-198.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	116.99	06/10/20	260.00	...	-143.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/12/20	2.000	233.98	Various	575.00	...	-341.02	Total of 2 transactions
BA 07/10/2020 CALL \$185.00 / CUSIP: / Symbol:							
07/06/20	1.000	515.97	07/06/20	475.00	...	40.97	Option sale to close-call <sup>25</sup>
BA 07/17/2020 CALL \$190.00 / CUSIP: / Symbol:							
07/15/20	1.000	389.98	07/15/20	395.00	...	-5.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 07/31/2020 CALL \$175.00 / CUSIP: / Symbol:							
07/28/20	1.000	424.98	07/28/20	429.00	...	-4.02	Option sale to close-call <sup>25</sup>
BA 08/21/2020 CALL \$177.50 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	529.98	08/14/20	555.00	...	-25.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	529.98	08/14/20	555.00	...	-25.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/14/20	2.000	1,059.96	Various	1,110.00	...	-50.04	Total of 2 transactions
BABA 07/02/2020 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	219.98	07/01/20	215.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	220.98	07/01/20	215.00	...	5.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/01/20	2.000	440.96	Various	430.00	...	10.96	Total of 2 transactions
BABA 07/10/2020 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	399.98	07/06/20	395.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	379.98	07/06/20	355.00	...	24.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	2.000	779.96	Various	750.00	...	29.96	Total of 2 transactions
BABA 07/10/2020 CALL \$242.50 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	269.99	07/06/20	262.00	...	7.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	269.98	07/06/20	261.00	...	8.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	2.000	539.97	Various	523.00	...	16.97	Total of 2 transactions
BABA 07/10/2020 CALL \$247.50 / CUSIP: / Symbol:							
5 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	399.99	07/08/20	477.05	...	-77.06	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	399.99	07/08/20	385.01	...	14.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	399.99	07/08/20	385.01	...	14.98	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	399.98	07/08/20	342.00	...	57.98	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	399.99	07/08/20	342.00	...	57.99	5 of 5 - Option sale to close-call <sup>25</sup>
07/08/20	5.000	1,999.94	Various	1,931.07	...	68.87	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 07/10/2020 CALL \$247.50 / CUSIP: / Symbol: (cont'd)							
4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	298.98	07/08/20	345.00	46.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	298.99	07/08/20	391.02	92.03 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	597.98	07/08/20	684.00	86.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	298.98	07/08/20	434.03	135.05 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
07/08/20	5.000	1,494.93	Various	1,854.05	359.12 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>3,494.87</b>		<b>3,785.12</b>	<b>359.12 W</b>	<b>68.87</b>	

BABA 07/10/2020 CALL \$252.50 / CUSIP: / Symbol:

6 transactions for 07/08/20. Total proceeds and cost reported to the IRS.

	1.000	374.98	07/08/20	370.00	...	4.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	374.98	07/08/20	370.00	...	4.98	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	374.99	07/08/20	370.00	...	4.99	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	749.97	07/08/20	740.00	...	9.97	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	819.97	07/08/20	710.00	...	109.97	5 of 6 - Option sale to close-call <sup>25</sup>
	3.000	1,229.96	07/08/20	1,065.00	...	164.96	6 of 6 - Option sale to close-call <sup>25</sup>
07/08/20	10.000	3,924.85	Various	3,625.00	...	299.85	Total of 6 transactions

BABA 07/10/2020 CALL \$255.00 / CUSIP: / Symbol:

07/08/20	5.000	2,349.93	07/08/20	2,300.00	...	49.93	Option sale to close-call <sup>25</sup>
----------	-------	----------	----------	----------	-----	-------	---

BABA 07/10/2020 CALL \$260.00 / CUSIP: / Symbol:

21 transactions for 07/08/20. Total proceeds and cost reported to the IRS.

	1.000	444.99	07/08/20	480.04	...	-35.05	1 of 21 - Option sale to close-call <sup>25</sup>
	1.000	444.99	07/08/20	455.03	...	-10.04	2 of 21 - Option sale to close-call <sup>25</sup>
	1.000	444.99	07/08/20	455.03	...	-10.04	3 of 21 - Option sale to close-call <sup>25</sup>
	1.000	409.99	07/08/20	406.10	...	3.89	4 of 21 - Option sale to close-call <sup>25</sup>
	1.000	409.98	07/08/20	405.05	...	4.93	5 of 21 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 07/10/2020 CALL \$260.00 / CUSIP: / Symbol: (cont'd)							
	1.000	409.98	07/08/20	405.03	...	4.95	6 of 21 - Option sale to close-call 25
	1.000	409.99	07/08/20	405.03	...	4.96	7 of 21 - Option sale to close-call 25
	1.000	409.99	07/08/20	405.03	...	4.96	8 of 21 - Option sale to close-call 25
	1.000	444.99	07/08/20	435.02	...	9.97	9 of 21 - Option sale to close-call 25
	1.000	444.98	07/08/20	428.03	...	16.95	10 of 21 - Option sale to close-call 25
	1.000	444.99	07/08/20	428.03	...	16.96	11 of 21 - Option sale to close-call 25
	2.000	959.96	07/08/20	940.02	...	19.94	12 of 21 - Option sale to close-call 25
	1.000	424.99	07/08/20	403.02	...	21.97	13 of 21 - Option sale to close-call 25
	1.000	424.99	07/08/20	395.03	...	29.96	14 of 21 - Option sale to close-call 25
	1.000	444.98	07/08/20	410.00	...	34.98	15 of 21 - Option sale to close-call 25
	1.000	479.97	07/08/20	440.01	...	39.96	16 of 21 - Option sale to close-call 25
	2.000	849.97	07/08/20	806.05	...	43.92	17 of 21 - Option sale to close-call 25
	1.000	444.98	07/08/20	375.03	...	69.95	18 of 21 - Option sale to close-call 25
	2.000	789.98	07/08/20	690.00	...	99.98	19 of 21 - Option sale to close-call 25
	4.000	1,679.95	07/08/20	1,540.00	...	139.95	20 of 21 - Option sale to close-call 25
	3.000	1,184.96	07/08/20	1,020.00	...	164.96	21 of 21 - Option sale to close-call 25
07/08/20	29.000	12,404.59	Various	11,726.58	...	678.01	Total of 21 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 07/10/2020 CALL \$260.00 / CUSIP: / Symbol: (cont'd)							
29 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	2.000	899.97	07/08/20	900.03	0.03 W	-0.03	1 of 29 - Option sale to close-call 25
	1.000	394.99	07/08/20	430.00	35.01 W	0.00	2 of 29 - Option sale to close-call 25
	4.000	1,579.95	07/08/20	1,720.00	140.05 W	0.00	3 of 29 - Option sale to close-call 25
	5.000	1,974.95	07/08/20	2,125.00	150.05 W	0.00	4 of 29 - Option sale to close-call 25
	1.000	424.99	07/08/20	425.01	0.02 W	0.00	5 of 29 - Option sale to close-call 25
	4.000	1,699.95	07/08/20	1,700.05	0.10 W	0.00	6 of 29 - Option sale to close-call 25
	1.000	444.98	07/08/20	470.01	25.03 W	0.00	7 of 29 - Option sale to close-call 25
	2.000	889.97	07/08/20	940.02	50.05 W	0.00	8 of 29 - Option sale to close-call 25
	1.000	424.99	07/08/20	501.02	76.03 W	0.00	9 of 29 - Option sale to close-call 25
	1.000	444.99	07/08/20	486.03	41.04 W	0.00	10 of 29 - Option sale to close-call 25
	1.000	439.99	07/08/20	481.04	41.05 W	0.00	11 of 29 - Option sale to close-call 25
	1.000	439.99	07/08/20	440.00	0.01 W	0.00	12 of 29 - Option sale to close-call 25
	1.000	439.98	07/08/20	440.00	0.02 W	0.00	13 of 29 - Option sale to close-call 25
	1.000	479.97	07/08/20	481.05	1.08 W	0.00	14 of 29 - Option sale to close-call 25
	1.000	359.99	07/08/20	435.02	75.03 W	0.00	15 of 29 - Option sale to close-call 25
	1.000	359.99	07/08/20	436.08	76.09 W	0.00	16 of 29 - Option sale to close-call 25
	1.000	359.99	07/08/20	435.00	75.01 W	0.00	17 of 29 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 07/10/2020 CALL \$260.00 / CUSIP: / Symbol: (cont'd)							
	1.000	359.99	07/08/20	435.00	75.01 W	0.00	18 of 29 - Option sale to close-call <sup>25</sup>
	1.000	359.99	07/08/20	435.00	75.01 W	0.00	19 of 29 - Option sale to close-call <sup>25</sup>
	1.000	409.98	07/08/20	460.03	50.05 W	0.00	20 of 29 - Option sale to close-call <sup>25</sup>
	1.000	409.99	07/08/20	461.09	51.10 W	0.00	21 of 29 - Option sale to close-call <sup>25</sup>
	1.000	409.98	07/08/20	460.01	50.03 W	0.00	22 of 29 - Option sale to close-call <sup>25</sup>
	1.000	409.98	07/08/20	460.01	50.03 W	0.00	23 of 29 - Option sale to close-call <sup>25</sup>
	1.000	409.98	07/08/20	430.01	20.03 W	0.00	24 of 29 - Option sale to close-call <sup>25</sup>
	2.000	819.97	07/08/20	830.00	10.03 W	0.00	25 of 29 - Option sale to close-call <sup>25</sup>
	3.000	1,229.96	07/08/20	1,245.00	15.04 W	0.00	26 of 29 - Option sale to close-call <sup>25</sup>
	1.000	449.98	07/08/20	450.02	0.04 W	0.00	27 of 29 - Option sale to close-call <sup>25</sup>
	1.000	449.98	07/08/20	450.01	0.03 W	0.00	28 of 29 - Option sale to close-call <sup>25</sup>
	1.000	449.98	07/08/20	450.01	0.03 W	0.00	29 of 29 - Option sale to close-call <sup>25</sup>
07/08/20	44.000	18,229.39	Various	19,411.55	1,182.13 W	-0.03	Total of 29 transactions
	<b>Security total:</b>	<b>30,633.98</b>		<b>31,138.13</b>	<b>1,182.13 W</b>	<b>677.98</b>	
BABA 07/10/2020 CALL \$270.00 / CUSIP: / Symbol:							
5 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	3.000	281.99	07/09/20	1,710.01	...	-1,428.02	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	187.99	07/09/20	1,140.01	...	-952.02	2 of 5 - Option sale to close-call <sup>25</sup>
	4.000	375.98	07/09/20	1,288.02	...	-912.04	3 of 5 - Option sale to close-call <sup>25</sup>
	5.000	469.97	07/09/20	895.00	...	-425.03	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	94.00	07/09/20	322.01	...	-228.01	5 of 5 - Option sale to close-call <sup>25</sup>
07/09/20	15.000	1,409.93	Various	5,355.05	...	-3,945.12	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 07/10/2020 CALL \$270.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	5.000	624.98	07/09/20	2,175.00	1,550.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	124.99	07/09/20	268.00	143.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	499.98	07/09/20	1,072.00	572.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/09/20	10.000	1,249.95	Various	3,515.00	2,265.05 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>2,659.88</b>		<b>8,870.05</b>	<b>2,265.05 W</b>	<b>-3,945.12</b>	
BABA 07/10/2020 PUT \$255.00 / CUSIP: / Symbol:							
07/08/20	2.000	899.97	07/08/20	940.00	...	-40.03	Option sale to close-put <sup>25</sup>
BABA 07/24/2020 CALL \$257.50 / CUSIP: / Symbol:							
07/20/20	1.000	319.98	07/20/20	325.00	...	-5.02	Option sale to close-call <sup>25</sup>
BABA 07/24/2020 CALL \$265.00 / CUSIP: / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	218.98	07/21/20	235.00	...	-16.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	218.98	07/21/20	235.00	...	-16.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/21/20	2.000	437.96	Various	470.00	...	-32.04	Total of 2 transactions
BABA 07/31/2020 CALL \$252.50 / CUSIP: / Symbol:							
6 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	334.98	07/27/20	330.00	...	4.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	339.98	07/27/20	330.00	...	9.98	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	339.98	07/27/20	330.00	...	9.98	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	375.98	07/27/20	365.00	...	10.98	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	314.98	07/27/20	303.02	...	11.96	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	424.98	07/27/20	405.00	...	19.98	6 of 6 - Option sale to close-call <sup>25</sup>
07/27/20	6.000	2,130.88	Various	2,063.02	...	67.86	Total of 6 transactions
07/27/20	1.000	292.98	07/27/20	298.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,423.86</b>		<b>2,361.02</b>	<b>5.02 W</b>	<b>67.86</b>	
BABA 08/07/2020 CALL \$262.50 / CUSIP: / Symbol:							
08/04/20	1.000	359.98	07/27/20	268.00	...	91.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BABA 08/07/2020 CALL \$265.00 / CUSIP: / Symbol:							
08/05/20	1.000	274.98	08/05/20	295.00	...	-20.02	Option sale to close-call <sup>25</sup>
BABA 08/07/2020 CALL \$267.50 / CUSIP: / Symbol:							
08/05/20	1.000	203.98	08/05/20	206.00	...	-2.02	Option sale to close-call <sup>25</sup>
BABA 08/28/2020 CALL \$260.00 / CUSIP: / Symbol:							
08/20/20	1.000	529.97	08/20/20	530.00	...	-0.03	Option sale to close-call <sup>25</sup>
BABA 08/28/2020 CALL \$262.50 / CUSIP: / Symbol:							
08/21/20	1.000	699.97	08/21/20	480.00	...	219.97	Option sale to close-call <sup>25</sup>
BABA 08/28/2020 CALL \$267.50 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	615.97	08/21/20	650.00	...	-34.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	574.97	08/21/20	544.00	...	30.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/21/20	2.000	1,190.94	Various	1,194.00	...	-3.06	Total of 2 transactions
BABA 08/28/2020 CALL \$270.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	504.97	08/21/20	503.00	...	1.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	499.97	08/21/20	495.00	...	4.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/21/20	2.000	1,004.94	Various	998.00	...	6.94	Total of 2 transactions
BABA 08/28/2020 CALL \$275.00 / CUSIP: / Symbol:							
08/24/20	1.000	559.97	08/24/20	505.03	...	54.94	Option sale to close-call <sup>25</sup>
08/24/20	1.000	479.97	08/24/20	485.00	5.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,039.94</b>		<b>990.03</b>	<b>5.03 W</b>	<b>54.94</b>	
BABA 08/28/2020 CALL \$277.50 / CUSIP: / Symbol:							
08/24/20	1.000	594.97	08/24/20	628.08	...	-33.11	Option sale to close-call <sup>25</sup>
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	449.98	08/24/20	465.00	15.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	499.97	08/24/20	520.02	20.05 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	551.97	08/24/20	585.05	33.08 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/24/20	3.000	1,501.92	Various	1,570.07	68.15 W	0.00	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 08/28/2020 CALL \$277.50 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>2,096.89</b>		<b>2,198.15</b>	<b>68.15 W</b>	<b>-33.11</b>	
BABA 08/28/2020 CALL \$282.50 / CUSIP: / Symbol:							
08/25/20	1.000	534.97	08/25/20	555.02	...	-20.05	Option sale to close-call <sup>25</sup>
08/25/20	1.000	424.98	08/25/20	525.00	100.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>959.95</b>		<b>1,080.02</b>	<b>100.02 W</b>	<b>-20.05</b>	
BABA 08/28/2020 CALL \$285.00 / CUSIP: / Symbol:							
08/25/20	1.000	529.97	08/25/20	470.00	...	59.97	Option sale to close-call <sup>25</sup>
BABA 08/28/2020 CALL \$295.00 / CUSIP: / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	344.98	08/26/20	351.00	...	-6.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	367.98	08/26/20	351.00	...	16.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	2.000	712.96	Various	702.00	...	10.96	Total of 2 transactions
BABA 09/04/2020 CALL \$295.00 / CUSIP: / Symbol:							
3 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	629.97	09/01/20	600.00	...	29.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	504.97	09/01/20	455.00	...	49.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	589.97	09/01/20	530.00	...	59.97	3 of 3 - Option sale to close-call <sup>25</sup>
09/01/20	3.000	1,724.91	Various	1,585.00	...	139.91	Total of 3 transactions
BABA 09/04/2020 CALL \$297.50 / CUSIP: / Symbol:							
09/01/20	1.000	459.97	09/01/20	480.00	...	-20.03	Option sale to close-call <sup>25</sup>
BABA 09/04/2020 CALL \$300.00 / CUSIP: / Symbol:							
09/01/20	1.000	359.98	09/01/20	380.00	...	-20.02	Option sale to close-call <sup>25</sup>
BABA 09/11/2020 CALL \$275.00 / CUSIP: / Symbol:							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	439.98	09/08/20	470.00	...	-30.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	459.97	09/08/20	445.00	...	14.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/08/20	2.000	899.95	Various	915.00	...	-15.05	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 10/30/2020 CALL \$317.50 / CUSIP: / Symbol:							
3 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	1.000	439.98	10/27/20	435.00	...	4.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	429.98	10/27/20	420.02	...	9.96	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	474.97	10/27/20	460.00	...	14.97	3 of 3 - Option sale to close-call <sup>25</sup>
10/27/20	3.000	1,344.93	Various	1,315.02	...	29.91	Total of 3 transactions
10/27/20	1.000	424.98	10/27/20	455.00	30.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,769.91</b>		<b>1,770.02</b>	<b>30.02 W</b>	<b>29.91</b>	
BABA 10/30/2020 CALL \$320.00 / CUSIP: / Symbol:							
10/27/20	1.000	349.98	10/27/20	345.00	...	4.98	Option sale to close-call <sup>25</sup>
BABA 10/30/2020 PUT \$315.00 / CUSIP: / Symbol:							
10/27/20	1.000	459.97	10/27/20	475.00	...	-15.03	Option sale to close-put <sup>25</sup>
BAC 01/21/2022 CALL \$28.00 / CUSIP: / Symbol:							
11/03/20	1.000	247.98	08/14/20	350.00	...	-102.02	Option sale to close-call <sup>25</sup>
11/10/20	1.000	344.98	08/14/20	370.00	...	-25.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>592.96</b>		<b>720.00</b>	...	<b>-127.04</b>	
BAC 07/02/2020 CALL \$23.50 / CUSIP: / Symbol:							
07/01/20	1.000	29.98	06/29/20	53.00	...	-23.02	Option sale to close-call <sup>25</sup>
BAC 07/24/2020 CALL \$23.50 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	2.000	93.98	07/17/20	104.00	...	-10.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	46.98	07/17/20	52.00	...	-5.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/17/20	3.000	140.96	Various	156.00	...	-15.04	Total of 2 transactions
BAC 07/24/2020 PUT \$23.50 / CUSIP: / Symbol:							
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	56.98	07/17/20	62.00	...	-5.02	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	56.98	07/17/20	62.00	...	-5.02	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	56.98	07/17/20	62.00	...	-5.02	3 of 3 - Option sale to close-put <sup>25</sup>
07/17/20	3.000	170.94	Various	186.00	...	-15.06	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 07/31/2020 CALL \$25.50 / CUSIP: / Symbol:							
07/29/20	3.000	50.98	07/29/20	51.00	...	-0.02	Option sale to close-call <sup>25</sup>
BB 12/04/2020 PUT \$9.50 / CUSIP: / Symbol:							
12/04/20	1.000	157.98	12/01/20	145.00	...	12.98	Option sale to close-put <sup>25</sup>
BDX 03/06/2020 CALL \$272.50 / CUSIP: / Symbol:							
02/14/20	1.000	79.99	02/07/20	35.00	...	44.99	Option sale to close-call <sup>25</sup>
	2 transactions for 02/14/20. Total proceeds and cost reported to the IRS.						
	1.000	79.99	02/06/20	110.00	30.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	80.00	02/06/20	155.00	75.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
02/14/20	2.000	159.99	Various	265.00	105.01 W	0.00	Total of 2 transactions
	3 transactions for 03/06/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	02/07/20	110.00	...	-110.00	1 of 3 - Option expiration- long call
	1.000	0.00	02/07/20	65.01	...	-65.01	2 of 3 - Option expiration- long call
	1.000	0.00	02/12/20	29.00	...	-29.00	3 of 3 - Option expiration- long call
03/06/20	3.000	0.00	Various	204.01	...	-204.01	Total of 3 transactions
	<b>Security total:</b>	<b>239.98</b>		<b>504.01</b>	<b>105.01 W</b>	<b>-159.02</b>	
BDX 03/13/2020 CALL \$272.50 / CUSIP: / Symbol:							
	4 transactions for 02/14/20. Total proceeds and cost reported to the IRS.						
	3.000	329.99	02/06/20	510.00	...	-180.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	110.00	02/07/20	85.00	...	25.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	109.99	02/12/20	45.00	...	64.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	219.99	02/12/20	90.00	...	129.99	4 of 4 - Option sale to close-call <sup>25</sup>
02/14/20	7.000	769.97	Various	730.00	...	39.97	Total of 4 transactions
BDX 04/03/2020 CALL \$277.50 / CUSIP: / Symbol:							
	2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	1.000	49.99	02/14/20	95.00	...	-45.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	49.99	02/18/20	100.00	...	-50.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/17/20	2.000	99.98	Various	195.00	...	-95.02	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIDU 07/10/2020 CALL \$129.00 / CUSIP: / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	3.000	605.98	07/08/20	585.00	...	20.98	1 of 2 - Option sale to close-call <sup>25</sup>
	7.000	1,413.95	07/08/20	1,365.00	...	48.95	2 of 2 - Option sale to close-call <sup>25</sup>
07/08/20	10.000	2,019.93	Various	1,950.00	...	69.93	Total of 2 transactions
BIDU 07/10/2020 CALL \$130.00 / CUSIP: / Symbol:							
3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	2.000	403.98	07/08/20	364.01	...	39.97	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	605.98	07/08/20	546.02	...	59.96	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	1,009.96	07/08/20	925.00	...	84.96	3 of 3 - Option sale to close-call <sup>25</sup>
07/08/20	10.000	2,019.92	Various	1,835.03	...	184.89	Total of 3 transactions
07/08/20	5.000	734.97	07/08/20	925.00	190.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,754.89</b>		<b>2,760.03</b>	<b>190.03 W</b>	<b>184.89</b>	
BIDU 07/10/2020 CALL \$134.00 / CUSIP: / Symbol:							
3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	8.000	703.96	07/09/20	1,296.04	...	-592.08	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	87.99	07/09/20	162.00	...	-74.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	87.99	07/09/20	162.00	...	-74.01	3 of 3 - Option sale to close-call <sup>25</sup>
07/09/20	10.000	879.94	Various	1,620.04	...	-740.10	Total of 3 transactions
07/09/20	10.000	879.96	07/09/20	1,600.00	720.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,759.90</b>		<b>3,220.04</b>	<b>720.04 W</b>	<b>-740.10</b>	
BIDU 09/04/2020 CALL \$124.00 / CUSIP: / Symbol:							
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	251.98	08/21/20	315.00	...	-63.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	251.98	08/21/20	315.00	...	-63.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	2.000	503.96	Various	630.00	...	-126.04	Total of 2 transactions
08/25/20	1.000	349.98	08/21/20	315.00	...	34.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>853.94</b>		<b>945.00</b>	...	<b>-91.06</b>	
BIDU 09/04/2020 CALL \$128.00 / CUSIP: / Symbol:							
08/27/20	2.000	609.97	08/27/20	570.00	...	39.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIDU 09/18/2020 CALL \$122.00 / CUSIP: / Symbol:							
09/11/20	1.000	182.98	09/11/20	242.00	...	-59.02	Option sale to close-call <sup>25</sup>
BIDU 11/06/2020 CALL \$139.00 / CUSIP: / Symbol:							
11/04/20	1.000	305.98	10/16/20	292.00	...	13.98	Option sale to close-call <sup>25</sup>
BIDU 11/06/2020 CALL \$140.00 / CUSIP: / Symbol:							
11/05/20	1.000	20.98	11/04/20	0.00	...	20.98	Short sale closed- call <sup>25</sup> Option written: 10/16/20
BIGC 01/15/2021 CALL \$80.00 / CUSIP: / Symbol:							
12/31/20	1.000	267.98	12/30/20	0.00	...	267.98	Short sale closed- call <sup>25</sup> Option written: 12/22/20
BP 08/07/2020 CALL \$22.00 / CUSIP: / Symbol:							
08/04/20	1.000	155.98	08/03/20	57.00	...	98.98	Option sale to close-call <sup>25</sup>
BP 08/07/2020 PUT \$22.00 / CUSIP: / Symbol:							
08/04/20	1.000	5.98	08/03/20	50.00	...	-44.02	Option sale to close-put <sup>25</sup>
BSX 03/06/2020 PUT \$38.50 / CUSIP: / Symbol:							
03/03/20	1.000	174.98	02/25/20	40.00	...	134.98	Option sale to close-put <sup>25</sup>
BSX 04/17/2020 CALL \$40.00 / CUSIP: / Symbol:							
03/06/20	1.000	84.98	02/25/20	210.00	...	-125.02	Option sale to close-call <sup>25</sup>
BX 07/24/2020 CALL \$58.00 / CUSIP: / Symbol:							
		2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.					
	1.000	74.98	07/22/20	75.01	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	74.99	07/22/20	72.00	...	2.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	2.000	149.97	Various	147.01	...	2.96	Total of 2 transactions
07/22/20	1.000	74.99	07/22/20	75.00	0.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>224.96</b>		<b>222.01</b>	<b>0.01 W</b>	<b>2.96</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BX 07/24/2020 PUT \$57.00 / CUSIP: / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	48.98	07/22/20	55.00	...	-6.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	48.98	07/22/20	55.00	...	-6.02	2 of 2 - Option sale to close-put <sup>25</sup>
07/22/20	2.000	97.96	Various	110.00	...	-12.04	Total of 2 transactions
BX 11/13/2020 PUT \$57.00 / CUSIP: / Symbol:							
11/10/20	1.000	63.98	11/09/20	106.00	...	-42.02	Option sale to close-put <sup>25</sup>
BYND 07/02/2020 CALL \$144.00 / CUSIP: / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	226.99	07/01/20	222.00	...	4.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	226.98	07/01/20	218.00	...	8.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/01/20	2.000	453.97	Various	440.00	...	13.97	Total of 2 transactions
BYND 07/02/2020 CALL \$145.00 / CUSIP: / Symbol:							
07/01/20	1.000	280.98	07/01/20	355.00	...	-74.02	Option sale to close-call <sup>25</sup>
BYND 07/10/2020 CALL \$142.00 / CUSIP: / Symbol:							
07/02/20	1.000	544.97	07/02/20	545.00	...	-0.03	Option sale to close-call <sup>25</sup>
BYND 07/17/2020 CALL \$129.00 / CUSIP: / Symbol:							
07/14/20	1.000	374.98	07/14/20	390.00	...	-15.02	Option sale to close-call <sup>25</sup>
BYND 07/17/2020 CALL \$132.00 / CUSIP: / Symbol:							
07/15/20	1.000	469.97	07/15/20	400.00	...	69.97	Option sale to close-call <sup>25</sup>
BYND 07/24/2020 CALL \$131.00 / CUSIP: / Symbol:							
07/16/20	1.000	546.97	07/16/20	545.00	...	1.97	Option sale to close-call <sup>25</sup>
BYND 08/07/2020 CALL \$140.00 / CUSIP: / Symbol:							
08/04/20	1.000	864.97	08/04/20	850.00	...	14.97	Option sale to close-call <sup>25</sup>
BYND 08/07/2020 CALL \$150.00 / CUSIP: / Symbol:							
08/04/20	1.000	582.97	08/04/20	575.00	...	7.97	Option sale to close-call <sup>25</sup>
BYND 08/28/2020 PUT \$127.00 / CUSIP: / Symbol:							
08/21/20	1.000	349.98	08/21/20	375.00	...	-25.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 09/04/2020 CALL \$128.00 / CUSIP: / Symbol:							
08/21/20	1.000	389.98	08/21/20	480.00	90.02 W	0.00	Option sale to close-call <sup>25</sup>
08/26/20	1.000	324.98	08/21/20	500.02	...	-175.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>714.96</b>		<b>980.02</b>	<b>90.02 W</b>	<b>-175.04</b>	
C 07/31/2020 CALL \$52.00 / CUSIP: / Symbol:							
4 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	5.000	574.97	07/23/20	585.00	...	-10.03	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	89.98	07/23/20	89.00	...	0.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	89.98	07/23/20	89.00	...	0.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	89.98	07/23/20	89.00	...	0.98	4 of 4 - Option sale to close-call <sup>25</sup>
07/23/20	8.000	844.91	Various	852.00	...	-7.09	Total of 4 transactions
C 07/31/2020 CALL \$53.00 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	261.98	07/13/20	256.00	...	5.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	261.99	07/13/20	255.00	...	6.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/13/20	2.000	523.97	Various	511.00	...	12.97	Total of 2 transactions
C 08/07/2020 CALL \$51.50 / CUSIP: / Symbol:							
07/23/20	3.000	494.97	07/23/20	450.00	...	44.97	Option sale to close-call <sup>25</sup>
C 09/04/2020 CALL \$54.00 / CUSIP: / Symbol:							
09/04/20	3.000	0.00	09/03/20	135.00	...	-135.00	Option expiration- long call
CAKE 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/18/20	5.000	124.98	06/08/20	575.00	...	-450.02	Option sale to close-call <sup>25</sup>
CALM 11/20/2020 CALL \$42.50 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/16/20	5.00	...	-5.00	Option expiration- long call
CAT 07/17/2020 CALL \$139.00 / CUSIP: / Symbol:							
07/15/20	2.000	289.98	07/15/20	280.00	...	9.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAT 07/24/2020 CALL \$140.00 / CUSIP: / Symbol:							
07/15/20	1.000	211.98	07/15/20	248.02	...	-36.04	Option sale to close-call <sup>25</sup>
07/15/20	1.000	211.98	07/15/20	230.00	18.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>423.96</b>		<b>478.02</b>	<b>18.02 W</b>	<b>-36.04</b>	
CAT 07/31/2020 CALL \$140.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	304.98	07/27/20	274.00	...	30.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	609.97	07/27/20	548.00	...	61.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/27/20	3.000	914.95	Various	822.00	...	92.95	Total of 2 transactions
CAT 09/04/2020 CALL \$152.50 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/03/20	67.00	...	-67.00	Option expiration- long call
CAT 09/11/2020 CALL \$152.50 / CUSIP: / Symbol:							
09/09/20	2.000	499.97	09/09/20	326.00	...	173.97	Option sale to close-call <sup>25</sup>
CAT 09/11/2020 CALL \$155.00 / CUSIP: / Symbol:							
09/04/20	1.000	97.98	09/03/20	135.00	37.02 W	0.00	Option sale to close-call <sup>25</sup>
<i>3 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	121.98	09/09/20	195.05	...	-73.07	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	137.98	09/09/20	155.00	...	-17.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	138.99	09/09/20	134.00	...	4.99	3 of 3 - Option sale to close-call <sup>25</sup>
09/09/20	3.000	398.95	Various	484.05	...	-85.10	Total of 3 transactions
<i>2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	138.99	09/09/20	167.02	28.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	121.98	09/09/20	162.03	40.05 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/09/20	2.000	260.97	Various	329.05	68.08 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>757.90</b>		<b>948.10</b>	<b>105.10 W</b>	<b>-85.10</b>	
CAT 09/18/2020 CALL \$148.00 / CUSIP: / Symbol:							
09/04/20	1.000	489.97	09/04/20	395.00	...	94.97	Option sale to close-call <sup>25</sup>
CAT 09/18/2020 CALL \$150.00 / CUSIP: / Symbol:							
09/08/20	1.000	404.98	09/04/20	390.00	...	14.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAT 09/18/2020 CALL \$152.50 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	244.98	09/11/20	232.00	...	12.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	284.98	09/11/20	268.00	...	16.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/11/20	2.000	529.96	Various	500.00	...	29.96	Total of 2 transactions
CAT 09/18/2020 CALL \$155.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	161.98	09/11/20	206.00	...	-44.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	194.98	09/11/20	182.00	...	12.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/11/20	2.000	356.96	Various	388.00	...	-31.04	Total of 2 transactions
CAT 09/25/2020 CALL \$157.50 / CUSIP: / Symbol:							
09/18/20	1.000	191.98	09/17/20	188.00	...	3.98	Option sale to close-call <sup>25</sup>
CAT 10/02/2020 CALL \$150.00 / CUSIP: / Symbol:							
09/16/20	1.000	389.98	09/15/20	380.00	...	9.98	Option sale to close-call <sup>25</sup>
CCL 07/17/2020 CALL \$15.50 / CUSIP: / Symbol:							
4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	127.98	07/10/20	123.00	...	4.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	130.98	07/10/20	123.00	...	7.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	131.98	07/10/20	123.00	...	8.98	3 of 4 - Option sale to close-call <sup>25</sup>
	7.000	916.96	07/10/20	861.00	...	55.96	4 of 4 - Option sale to close-call <sup>25</sup>
07/10/20	10.000	1,307.90	Various	1,230.00	...	77.90	Total of 4 transactions
CCL 07/17/2020 CALL \$16.00 / CUSIP: / Symbol:							
4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	89.98	07/10/20	102.00	...	-12.02	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	89.98	07/10/20	102.00	...	-12.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	89.98	07/10/20	102.00	...	-12.02	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	193.98	07/10/20	204.00	...	-10.02	4 of 4 - Option sale to close-call <sup>25</sup>
07/10/20	5.000	463.92	Various	510.00	...	-46.08	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCL 07/24/2020 CALL \$16.50 / CUSIP: / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	3.000	344.98	07/15/20	351.00	...	-6.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	233.98	07/15/20	234.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/15/20	5.000	578.96	Various	585.00	...	-6.04	Total of 2 transactions
CCL 07/24/2020 CALL \$17.00 / CUSIP: / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	114.98	07/15/20	114.00	...	0.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	114.98	07/15/20	110.00	...	4.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/15/20	2.000	229.96	Various	224.00	...	5.96	Total of 2 transactions
CCL 07/24/2020 PUT \$13.00 / CUSIP: / Symbol:							
07/20/20	1.000	-1.02	07/17/20	0.00	...	-1.02	Short sale closed- put <sup>25</sup> Option written: 07/17/20
CCL 07/24/2020 PUT \$15.50 / CUSIP: / Symbol:							
07/17/20	1.000	91.98	07/17/20	91.00	...	0.98	Option sale to close-put <sup>25</sup>
CCL 07/24/2020 PUT \$16.50 / CUSIP: / Symbol:							
07/15/20	1.000	106.98	07/15/20	114.00	...	-7.02	Option sale to close-put <sup>25</sup>
CCL 08/21/2020 CALL \$15.50 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	8.000	0.00	08/19/20	192.00	...	-192.00	1 of 2 - Option expiration- long call
	2.000	0.00	08/19/20	50.00	...	-50.00	2 of 2 - Option expiration- long call
08/21/20	10.000	0.00	Various	242.00	...	-242.00	Total of 2 transactions
CCL 08/21/2020 PUT \$14.50 / CUSIP: / Symbol:							
08/19/20	1.000	16.98	08/19/20	17.00	...	-0.02	Option sale to close-put <sup>25</sup>
CGC 06/26/2020 CALL \$17.50 / CUSIP: / Symbol:							
06/25/20	3.000	89.98	06/24/20	0.00	...	89.98	Short sale closed- call <sup>25</sup> Option written: 06/22/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CGC 07/02/2020 CALL \$17.50 / CUSIP: / Symbol:							
07/02/20	3.000	14.98	07/02/20	0.00	...	14.98	Short sale closed- call expired Option written: 06/30/20
CGC 07/31/2020 CALL \$19.50 / CUSIP: / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	53.99	07/28/20	53.00	...	0.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	53.99	07/28/20	53.00	...	0.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/28/20	2.000	107.98	Various	106.00	...	1.98	Total of 2 transactions
CGNX 06/19/2020 PUT \$55.00 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	2.000	179.99	06/04/20	330.00	...	-150.01	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	179.99	06/04/20	320.00	...	-140.01	2 of 2 - Option sale to close-put <sup>25</sup>
06/05/20	4.000	359.98	Various	650.00	...	-290.02	Total of 2 transactions
CGNX 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
8 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	5.000	1,124.96	06/03/20	1,300.00	...	-175.04	1 of 8 - Option sale to close-call <sup>25</sup>
	2.000	449.99	06/03/20	510.00	...	-60.01	2 of 8 - Option sale to close-call <sup>25</sup>
	2.000	449.99	06/03/20	480.00	...	-30.01	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	224.99	06/03/20	255.00	...	-30.01	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	224.99	06/03/20	250.00	...	-25.01	5 of 8 - Option sale to close-call <sup>25</sup>
	2.000	449.99	06/04/20	430.00	...	19.99	6 of 8 - Option sale to close-call <sup>25</sup>
	2.000	449.99	06/04/20	330.00	...	119.99	7 of 8 - Option sale to close-call <sup>25</sup>
	2.000	449.98	06/04/20	320.00	...	129.98	8 of 8 - Option sale to close-call <sup>25</sup>
06/05/20	17.000	3,824.88	Various	3,875.00	...	-50.12	Total of 8 transactions
CGNX 07/17/2020 CALL \$65.00 / CUSIP: / Symbol:							
4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	2.000	159.99	06/03/20	190.00	...	-30.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	80.00	06/03/20	105.00	...	-25.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	79.99	06/03/20	95.00	...	-15.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	80.00	06/03/20	95.00	...	-15.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/05/20	5.000	399.98	Various	485.00	...	-85.02	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CGNX 07/17/2020 PUT \$50.00 / CUSIP: / Symbol:							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	109.99	06/03/20	105.00	...	4.99	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	109.99	06/03/20	105.00	...	4.99	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	110.00	06/03/20	100.00	...	10.00	3 of 3 - Option sale to close-put <sup>25</sup>
06/04/20	3.000	329.98	Various	310.00	...	19.98	Total of 3 transactions
CHGG 08/21/2020 CALL \$90.00 / CUSIP: / Symbol:							
08/03/20	1.000	659.97	08/03/20	630.00	...	29.97	Option sale to close-call <sup>25</sup>
CHWY 08/07/2020 CALL \$57.00 / CUSIP: / Symbol:							
08/03/20	2.000	281.98	08/03/20	288.00	...	-6.02	Option sale to close-call <sup>25</sup>
CLF 11/27/2020 PUT \$10.00 / CUSIP: / Symbol:							
11/24/20	1.000	2.98	11/20/20	74.00	...	-71.02	Option sale to close-put <sup>25</sup>
CLF 12/04/2020 PUT \$11.50 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	11/24/20	92.00	...	-92.00	Option expiration- long put
CLF 12/11/2020 CALL \$12.50 / CUSIP: / Symbol:							
12/10/20	1.000	81.98	12/01/20	26.00	...	55.98	Option sale to close-call <sup>25</sup>
CLF 12/11/2020 PUT \$13.00 / CUSIP: / Symbol:							
12/10/20	1.000	7.98	12/08/20	50.00	...	-42.02	Option sale to close-put <sup>25</sup>
CLF 12/11/2020 PUT \$14.00 / CUSIP: / Symbol:							
12/11/20	1.000	37.98	12/07/20	132.00	...	-94.02	Option sale to close-put <sup>25</sup>
CLF 12/18/2020 PUT \$15.00 / CUSIP: / Symbol:							
12/14/20	1.000	187.98	12/10/20	175.00	...	12.98	Option sale to close-put <sup>25</sup>
CNQ 09/18/2020 CALL \$22.00 / CUSIP: / Symbol:							
4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	204.99	06/04/20	140.00	...	64.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	205.00	06/04/20	140.00	...	65.00	2 of 4 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CNQ 09/18/2020 CALL \$22.00 / CUSIP: / Symbol: (cont'd)							
	2.000	409.98	06/04/20	300.00	...	109.98	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	1,024.96	06/04/20	750.00	...	274.96	4 of 4 - Option sale to close-call <sup>25</sup>
06/05/20	9.000	1,844.93	Various	1,330.00	...	514.93	Total of 4 transactions
CNTY 07/17/2020 CALL \$7.50 / CUSIP: / Symbol:							
06/18/20	2.000	29.98	06/05/20	80.00	...	-50.02	Option sale to close-call <sup>25</sup>
CODX 03/20/2020 CALL \$14.00 / CUSIP: / Symbol:							
03/16/20	1.000	79.98	03/13/20	255.00	...	-175.02	Option sale to close-call <sup>25</sup>
CODX 08/07/2020 CALL \$29.00 / CUSIP: / Symbol:							
08/03/20	1.000	229.98	08/03/20	220.00	...	9.98	Option sale to close-call <sup>25</sup>
CODX 08/07/2020 CALL \$30.00 / CUSIP: / Symbol:							
08/03/20	1.000	189.98	08/03/20	215.00	...	-25.02	Option sale to close-call <sup>25</sup>
COST 01/15/2021 CALL \$360.00 / CUSIP: / Symbol:							
10/09/20	1.000	2,287.93	09/25/20	1,299.00	...	988.93	Option sale to close-call <sup>25</sup>
COST 01/15/2021 CALL \$365.00 / CUSIP: / Symbol:							
10/12/20	1.000	-881.04	10/09/20	0.00	...	-881.04	Short sale closed- call <sup>25</sup> Option written: 09/25/20
COST 07/10/2020 CALL \$317.50 / CUSIP: / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	5.000	1,059.96	07/08/20	1,170.00	...	-110.04	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	1,179.96	07/08/20	1,170.00	...	9.96	2 of 2 - Option sale to close-call <sup>25</sup>
07/08/20	10.000	2,239.92	Various	2,340.00	...	-100.08	Total of 2 transactions
COST 08/21/2020 CALL \$340.00 / CUSIP: / Symbol:							
08/17/20	1.000	274.98	08/17/20	307.02	...	-32.04	Option sale to close-call <sup>25</sup>
08/17/20	1.000	274.98	08/17/20	290.00	15.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>549.96</b>		<b>597.02</b>	<b>15.02 W</b>	<b>-32.04</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRK 01/15/2021 PUT \$5.00 / CUSIP: / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	53.99	11/23/20	70.00	...	-16.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	53.99	11/23/20	65.00	...	-11.01	2 of 2 - Option sale to close-put <sup>25</sup>
12/01/20	2.000	107.98	Various	135.00	...	-27.02	Total of 2 transactions
CRM 01/08/2021 CALL \$222.50 / CUSIP: / Symbol:							
12/03/20	1.000	1,074.96	12/03/20	1,185.00	...	-110.04	Option sale to close-call <sup>25</sup>
CRM 09/04/2020 CALL \$277.50 / CUSIP: / Symbol:							
09/01/20	1.000	649.97	09/01/20	590.00	...	59.97	Option sale to close-call <sup>25</sup>
CRM 09/04/2020 CALL \$280.00 / CUSIP: / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	594.97	09/01/20	675.09	...	-80.12	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	654.97	09/01/20	565.00	...	89.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	2.000	1,249.94	Various	1,240.09	...	9.85	Total of 2 transactions
3 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	539.97	09/01/20	585.00	45.03 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	519.97	09/01/20	585.03	65.06 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	484.97	09/01/20	565.06	80.09 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/01/20	3.000	1,544.91	Various	1,735.09	190.18 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>2,794.85</b>		<b>2,975.18</b>	<b>190.18 W</b>	<b>9.85</b>	
CRM 09/25/2020 CALL \$250.00 / CUSIP: / Symbol:							
09/22/20	1.000	329.98	09/22/20	288.00	...	41.98	Option sale to close-call <sup>25</sup>
CRM 10/02/2020 CALL \$260.00 / CUSIP: / Symbol:							
09/30/20	1.000	116.98	09/30/20	197.00	...	-80.02	Option sale to close-call <sup>25</sup>
CRON 12/04/2020 PUT \$9.00 / CUSIP: / Symbol:							
12/04/20	1.000	38.98	11/30/20	86.00	...	-47.02	Option sale to close-put <sup>25</sup>
CRWD 09/18/2020 CALL \$132.00 / CUSIP: / Symbol:							
09/15/20	1.000	248.98	09/15/20	218.00	...	30.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CSCO 11/13/2020 CALL \$38.50 / CUSIP: / Symbol:							
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	1.000	271.98	11/12/20	136.00	...	135.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	271.98	11/12/20	124.00	...	147.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/13/20	2.000	543.96	Various	260.00	...	283.96	Total of 2 transactions
CSCO 11/13/2020 PUT \$38.50 / CUSIP: / Symbol:							
11/13/20	1.000	0.98	11/12/20	252.02	...	-251.04	Option sale to close-put <sup>25</sup>
11/13/20	1.000	0.98	11/12/20	132.00	131.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1.96</b>		<b>384.02</b>	<b>131.02 W</b>	<b>-251.04</b>	
CVS 03/06/2020 CALL \$72.00 / CUSIP: / Symbol:							
02/10/20	1.000	309.98	02/07/20	250.00	...	59.98	Option sale to close-call <sup>25</sup>
CVS 08/07/2020 CALL \$67.00 / CUSIP: / Symbol:							
08/07/20	1.000	0.00	08/04/20	65.00	...	-65.00	Option expiration- long call
CZR 06/19/2020 CALL \$12.50 / CUSIP: / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	36.99	06/05/20	35.00	...	1.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	36.99	06/05/20	35.00	...	1.99	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	147.98	06/05/20	140.00	...	7.98	3 of 3 - Option sale to close-call <sup>25</sup>
06/08/20	6.000	221.96	Various	210.00	...	11.96	Total of 3 transactions
CZR 07/17/2020 CALL \$13.00 / CUSIP: / Symbol:							
06/10/20	3.000	104.98	06/05/20	150.00	...	-45.02	Option sale to close-call <sup>25</sup>
06/16/20	7.000	167.98	06/05/20	350.00	...	-182.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>272.96</b>		<b>500.00</b>	...	<b>-227.04</b>	
DAL 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/03/20	1.000	92.98	09/17/20	271.00	...	-178.02	Option sale to close-call <sup>25</sup>
DAL 03/20/2020 PUT \$40.00 / CUSIP: / Symbol:							
03/11/20	1.000	194.98	03/03/20	70.00	...	124.98	Option sale to close-put <sup>25</sup>
DAL 04/03/2020 PUT \$25.00 / CUSIP: / Symbol:							
03/18/20	2.000	799.97	03/13/20	462.00	...	337.97	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DAL 08/21/2020 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	2.000	49.99	08/19/20	66.00	...	-16.01	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	49.99	08/19/20	66.00	...	-16.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	25.00	08/19/20	33.00	...	-8.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/19/20	5.000	124.98	Various	165.00	...	-40.02	Total of 3 transactions
DAL 08/21/2020 PUT \$28.00 / CUSIP: / Symbol:							
08/20/20	2.000	103.98	08/20/20	102.00	...	1.98	Option sale to close-put <sup>25</sup>
DAL 09/18/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/12/20	1.000	39.98	03/03/20	190.00	...	-150.02	Option sale to close-call <sup>25</sup>
DAL 10/16/2020 PUT \$30.00 / CUSIP: / Symbol:							
10/13/20	2.000	29.98	10/12/20	50.00	...	-20.02	Option sale to close-put <sup>25</sup>
DBX 08/07/2020 CALL \$23.50 / CUSIP: / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	4.000	463.97	08/05/20	488.00	...	-24.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	118.98	08/05/20	122.00	...	-3.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/05/20	5.000	582.95	Various	610.00	...	-27.05	Total of 2 transactions
DD 07/31/2020 CALL \$55.50 / CUSIP: / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	2.000	205.98	07/24/20	222.00	...	-16.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	96.98	07/24/20	111.00	...	-14.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/24/20	3.000	302.96	Various	333.00	...	-30.04	Total of 2 transactions
DD 09/11/2020 CALL \$57.50 / CUSIP: / Symbol:							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	72.98	09/08/20	88.00	...	-15.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	73.98	09/08/20	88.00	...	-14.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/08/20	2.000	146.96	Various	176.00	...	-29.04	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DD 09/11/2020 CALL \$58.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	124.99	09/02/20	112.00	...	12.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	124.99	09/02/20	106.00	...	18.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	249.98	Various	218.00	...	31.98	Total of 2 transactions
DD 09/11/2020 CALL \$58.50 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	127.99	09/02/20	110.00	...	17.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	127.99	09/02/20	110.00	...	17.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	255.98	Various	220.00	...	35.98	Total of 2 transactions
DD 09/11/2020 CALL \$60.50 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/02/20	111.00	...	-111.00	Option expiration- long call
DD 09/18/2020 CALL \$58.50 / CUSIP: / Symbol:							
09/11/20	1.000	112.98	09/10/20	135.00	...	-22.02	Option sale to close-call <sup>25</sup>
DD 09/18/2020 CALL \$59.50 / CUSIP: / Symbol:							
09/14/20	1.000	89.98	09/14/20	140.00	...	-50.02	Option sale to close-call <sup>25</sup>
DD 09/18/2020 CALL \$60.00 / CUSIP: / Symbol:							
09/14/20	1.000	97.98	09/14/20	95.00	...	2.98	Option sale to close-call <sup>25</sup>
DD 09/25/2020 CALL \$59.00 / CUSIP: / Symbol:							
09/14/20	1.000	169.98	09/14/20	185.00	...	-15.02	Option sale to close-call <sup>25</sup>
DDM 07/17/2020 CALL \$43.00 / CUSIP: / Symbol:							
06/16/20	2.000	499.97	06/12/20	330.00	...	169.97	Option sale to close-call <sup>25</sup>
DDM 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/16/20	5.000	799.97	06/11/20	775.00	...	24.97	Option sale to close-call <sup>25</sup>
DDOG 01/08/2021 CALL \$93.00 / CUSIP: / Symbol:							
12/10/20	2.000	2,209.94	11/25/20	1,366.00	...	843.94	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DDOG 01/08/2021 CALL \$95.00 / CUSIP: / Symbol:							
12/11/20	2.000	-782.04	12/10/20	0.00	...	-782.04	Short sale closed- call <sup>25</sup> Option written: 11/25/20
DE 07/24/2020 PUT \$172.50 / CUSIP: / Symbol:							
07/20/20	1.000	209.98	07/20/20	203.00	...	6.98	Option sale to close-put <sup>25</sup>
DG 04/17/2020 CALL \$175.00 / CUSIP: / Symbol:							
04/14/20	1.000	459.97	04/07/20	220.00	...	239.97	Option sale to close-call <sup>25</sup>
DG 06/26/2020 CALL \$192.50 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	429.99	06/08/20	175.00	...	254.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	859.97	06/08/20	400.00	...	459.97	2 of 2 - Option sale to close-call <sup>25</sup>
06/11/20	3.000	1,289.96	Various	575.00	...	714.96	Total of 2 transactions
DG 07/02/2020 CALL \$192.50 / CUSIP: / Symbol:							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	509.99	06/08/20	255.00	...	254.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	509.98	06/08/20	235.00	...	274.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	509.98	06/08/20	235.00	...	274.98	3 of 3 - Option sale to close-call <sup>25</sup>
06/11/20	3.000	1,529.95	Various	725.00	...	804.95	Total of 3 transactions
DIS 06/12/2020 CALL \$124.00 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	458.98	06/04/20	195.00	...	263.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	458.98	06/04/20	193.00	...	265.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/05/20	2.000	917.96	Various	388.00	...	529.96	Total of 2 transactions
DIS 06/18/2021 CALL \$145.00 / CUSIP: / Symbol:							
11/09/20	1.000	1,414.95	10/22/20	706.00	...	708.95	Option sale to close-call <sup>25</sup>
DIS 06/18/2021 CALL \$150.00 / CUSIP: / Symbol:							
11/10/20	1.000	-628.03	11/09/20	0.00	...	-628.03	Short sale closed- call <sup>25</sup> Option written: 10/22/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 06/19/2020 CALL \$120.00 / CUSIP: / Symbol:							
06/03/20	1.000	454.97	06/02/20	275.00	...	179.97	Option sale to close-call <sup>25</sup>
DIS 06/26/2020 CALL \$130.00 / CUSIP: / Symbol:							
06/23/20	5.000	19.98	06/10/20	695.00	...	-675.02	Option sale to close-call <sup>25</sup>
DIS 07/10/2020 CALL \$115.00 / CUSIP: / Symbol:							
07/08/20	10.000	1,239.95	07/08/20	1,230.00	...	9.95	Option sale to close-call <sup>25</sup>
DIS 07/10/2020 CALL \$117.00 / CUSIP: / Symbol:							
6 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	146.99	07/08/20	168.02	...	-21.03	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	147.00	07/08/20	168.02	...	-21.02	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	440.98	07/08/20	459.02	...	-18.04	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	293.99	07/08/20	298.02	...	-4.03	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	146.99	07/08/20	149.00	...	-2.01	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	293.99	07/08/20	268.00	...	25.99	6 of 6 - Option sale to close-call <sup>25</sup>
07/08/20	10.000	1,469.94	Various	1,510.08	...	-40.14	Total of 6 transactions
5 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	3.000	374.98	07/08/20	420.00	45.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	249.98	07/08/20	280.00	30.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	3.000	362.98	07/08/20	420.00	57.02 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	120.99	07/08/20	155.01	34.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	120.99	07/08/20	155.01	34.02 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
07/08/20	10.000	1,229.92	Various	1,430.02	200.10 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>2,699.86</b>		<b>2,940.10</b>	<b>200.10 W</b>	<b>-40.14</b>	
DIS 07/10/2020 CALL \$118.00 / CUSIP: / Symbol:							
3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	4.000	147.99	07/09/20	460.00	...	-312.01	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	184.98	07/09/20	390.00	...	-205.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	37.00	07/09/20	153.02	...	-116.02	3 of 3 - Option sale to close-call <sup>25</sup>
07/09/20	10.000	369.97	Various	1,003.02	...	-633.05	Total of 3 transactions
07/09/20	1.000	36.98	07/09/20	115.00	78.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>406.95</b>		<b>1,118.02</b>	<b>78.02 W</b>	<b>-633.05</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DIS 07/17/2020 CALL \$116.00 / CUSIP: / Symbol:							
07/10/20	1.000	372.98	07/09/20	297.00	...	75.98	Option sale to close-call <sup>25</sup>
DIS 07/17/2020 PUT \$117.00 / CUSIP: / Symbol:							
07/10/20	1.000	216.98	07/09/20	282.00	...	-65.02	Option sale to close-put <sup>25</sup>
DIS 07/24/2020 CALL \$119.00 / CUSIP: / Symbol:							
07/16/20	1.000	249.98	07/16/20	246.00	...	3.98	Option sale to close-call <sup>25</sup>
DIS 07/24/2020 CALL \$120.00 / CUSIP: / Symbol:							
07/16/20	2.000	449.98	07/16/20	450.00	...	-0.02	Option sale to close-call <sup>25</sup>
DIS 08/07/2020 CALL \$118.00 / CUSIP: / Symbol:							
08/05/20	1.000	651.97	08/04/20	298.00	...	353.97	Option sale to close-call <sup>25</sup>
DIS 08/07/2020 CALL \$130.00 / CUSIP: / Symbol:							
08/05/20	1.000	214.98	08/05/20	195.00	...	19.98	Option sale to close-call <sup>25</sup>
DIS 08/07/2020 PUT \$118.00 / CUSIP: / Symbol:							
08/07/20	1.000	0.00	08/04/20	297.00	...	-297.00	Option expiration- long put
DIS 08/14/2020 CALL \$129.00 / CUSIP: / Symbol:							
08/06/20	2.000	567.97	08/06/20	472.00	...	95.97	Option sale to close-call <sup>25</sup>
DIS 08/14/2020 CALL \$130.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	274.98	08/06/20	258.02	...	16.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	274.98	08/06/20	258.01	...	16.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/06/20	2.000	549.96	Various	516.03	...	33.93	Total of 2 transactions
08/06/20	2.000	499.97	08/06/20	500.00	0.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,049.93</b>		<b>1,016.03</b>	<b>0.03 W</b>	<b>33.93</b>	
DIS 08/14/2020 CALL \$131.00 / CUSIP: / Symbol:							
	<i>3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	289.99	08/06/20	337.01	...	-47.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	289.99	08/06/20	271.01	...	18.98	2 of 3 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 08/14/2020 CALL \$131.00 / CUSIP: / Symbol: (cont'd)							
	2.000	579.98	08/06/20	548.00	...	31.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/06/20	4.000	1,159.96	Various	1,156.02	...	3.94	Total of 3 transactions
08/06/20	2.000	399.98	08/06/20	526.00	126.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,559.94</b>		<b>1,682.02</b>	<b>126.02 W</b>	<b>3.94</b>	
DIS 09/04/2020 CALL \$130.00 / CUSIP: / Symbol:							
08/25/20	1.000	193.98	08/25/20	211.00	...	-17.02	Option sale to close-call <sup>25</sup>
DIS 09/04/2020 CALL \$131.00 / CUSIP: / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	227.99	08/14/20	355.00	127.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	227.98	08/14/20	332.00	104.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/17/20	2.000	455.97	Various	687.00	231.03 W	0.00	Total of 2 transactions
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	206.98	08/24/20	347.01	...	-140.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	206.98	08/24/20	324.02	...	-117.04	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	2.000	413.96	Various	671.03	...	-257.07	Total of 2 transactions
	<b>Security total:</b>	<b>869.93</b>		<b>1,358.03</b>	<b>231.03 W</b>	<b>-257.07</b>	
DIS 09/04/2020 CALL \$137.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	58.99	08/28/20	218.00	...	-159.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	58.99	08/28/20	208.00	...	-149.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	117.98	Various	426.00	...	-308.02	Total of 2 transactions
DIS 09/11/2020 PUT \$136.00 / CUSIP: / Symbol:							
09/08/20	1.000	283.98	09/08/20	293.00	...	-9.02	Option sale to close-put <sup>25</sup>
DIS 09/18/2020 CALL \$137.00 / CUSIP: / Symbol:							
09/10/20	1.000	239.98	09/10/20	286.02	...	-46.04	Option sale to close-call <sup>25</sup>
09/10/20	1.000	220.98	09/10/20	260.00	39.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>460.96</b>		<b>546.02</b>	<b>39.02 W</b>	<b>-46.04</b>	
DISH 08/14/2020 CALL \$37.00 / CUSIP: / Symbol:							
08/07/20	1.000	106.98	08/07/20	142.00	...	-35.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 12/11/2020 CALL \$51.50 / CUSIP: / Symbol:							
12/09/20	1.000	56.98	12/08/20	110.00	...	-53.02	Option sale to close-call <sup>25</sup>
DKNG 12/11/2020 PUT \$52.00 / CUSIP: / Symbol:							
12/08/20	1.000	239.98	12/08/20	285.00	...	-45.02	Option sale to close-put <sup>25</sup>
DOCU 08/07/2020 CALL \$215.00 / CUSIP: / Symbol:							
3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	559.97	07/31/20	580.00	...	-20.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	504.97	07/31/20	465.00	...	39.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	589.97	07/31/20	485.00	...	104.97	3 of 3 - Option sale to close-call <sup>25</sup>
07/31/20	3.000	1,654.91	Various	1,530.00	...	124.91	Total of 3 transactions
DOCU 08/07/2020 CALL \$217.50 / CUSIP: / Symbol:							
07/31/20	1.000	439.98	07/31/20	440.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
08/03/20	1.000	834.97	07/31/20	495.02	...	339.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,274.95</b>		<b>935.02</b>	<b>0.02 W</b>	<b>339.95</b>	
DOCU 08/07/2020 CALL \$225.00 / CUSIP: / Symbol:							
08/03/20	1.000	584.97	08/03/20	560.00	...	24.97	Option sale to close-call <sup>25</sup>
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	604.98	08/03/20	620.00	...	-15.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	604.98	08/04/20	485.00	...	119.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/04/20	2.000	1,209.96	Various	1,105.00	...	104.96	Total of 2 transactions
	<b>Security total:</b>	<b>1,794.93</b>		<b>1,665.00</b>	...	<b>129.93</b>	
DOCU 08/07/2020 CALL \$227.50 / CUSIP: / Symbol:							
08/03/20	1.000	494.97	08/03/20	485.00	...	9.97	Option sale to close-call <sup>25</sup>
08/04/20	1.000	549.97	08/04/20	510.00	...	39.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,044.94</b>		<b>995.00</b>	...	<b>49.94</b>	
DOCU 08/21/2020 CALL \$205.00 / CUSIP: / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	609.98	08/13/20	590.00	...	19.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	609.98	08/13/20	515.00	...	94.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/17/20	2.000	1,219.96	Various	1,105.00	...	114.96	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOCU 08/21/2020 CALL \$210.00 / CUSIP: / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	569.97	08/17/20	450.00	...	119.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	594.97	08/17/20	360.00	...	234.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/18/20	2.000	1,164.94	Various	810.00	...	354.94	Total of 2 transactions
DOCU 08/21/2020 CALL \$212.50 / CUSIP: / Symbol:							
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	309.98	08/19/20	270.00	...	39.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	309.99	08/19/20	270.00	...	39.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	2.000	619.97	Various	540.00	...	79.97	Total of 2 transactions
DOCU 08/28/2020 CALL \$215.00 / CUSIP: / Symbol:							
08/26/20	1.000	399.98	08/20/20	620.00	...	-220.02	Option sale to close-call <sup>25</sup>
DOCU 08/28/2020 CALL \$217.50 / CUSIP: / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	359.98	08/26/20	390.00	30.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	369.98	08/26/20	415.02	45.04 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	429.98	08/26/20	440.04	10.06 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/26/20	3.000	1,159.94	Various	1,245.06	85.12 W	0.00	Total of 3 transactions
08/27/20	1.000	349.98	08/27/20	273.06	...	76.92	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,509.92</b>		<b>1,518.12</b>	<b>85.12 W</b>	<b>76.92</b>	
DOCU 09/04/2020 CALL \$265.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/02/20	2,201.00	...	-2,201.00	Option expiration- long call
DOCU 09/04/2020 CALL \$280.00 / CUSIP: / Symbol:							
09/04/20	1.000	1,750.95	09/04/20	0.00	...	1,750.95	Short sale closed- call expired Option written: 09/02/20
DOW 07/24/2020 CALL \$44.50 / CUSIP: / Symbol:							
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	128.99	07/16/20	123.00	...	5.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	128.99	07/16/20	120.00	...	8.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/16/20	2.000	257.98	Various	243.00	...	14.98	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ERI 06/26/2020 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	239.99	06/05/20	250.00	...	-10.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	239.99	06/05/20	235.00	...	4.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	239.99	06/05/20	220.00	...	19.99	3 of 3 - Option sale to close-call <sup>25</sup>
06/08/20	3.000	719.97	Various	705.00	...	14.97	Total of 3 transactions
ERX 12/04/2020 PUT \$15.50 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	12/03/20	85.00	...	-85.00	Option expiration- long put
ERX 12/11/2020 PUT \$17.50 / CUSIP: / Symbol:							
12/11/20	1.000	97.98	12/04/20	174.00	...	-76.02	Option sale to close-put <sup>25</sup>
ETSY 08/07/2020 CALL \$140.00 / CUSIP: / Symbol:							
08/07/20	1.000	0.98	08/05/20	470.00	...	-469.02	Option sale to close-call <sup>25</sup>
ETSY 08/07/2020 CALL \$150.00 / CUSIP: / Symbol:							
08/05/20	1.000	239.98	08/05/20	204.00	...	35.98	Option sale to close-call <sup>25</sup>
ETSY 08/07/2020 PUT \$130.00 / CUSIP: / Symbol:							
08/06/20	1.000	349.98	08/05/20	515.00	...	-165.02	Option sale to close-put <sup>25</sup>
ETSY 08/14/2020 CALL \$130.00 / CUSIP: / Symbol:							
08/06/20	1.000	519.97	08/06/20	560.00	...	-40.03	Option sale to close-call <sup>25</sup>
ETSY 08/14/2020 CALL \$140.00 / CUSIP: / Symbol:							
08/05/20	1.000	699.97	08/05/20	690.00	...	9.97	Option sale to close-call <sup>25</sup>
ETSY 08/14/2020 CALL \$145.00 / CUSIP: / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	449.98	08/05/20	476.00	...	-26.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	449.99	08/05/20	476.00	...	-26.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/05/20	2.000	899.97	Various	952.00	...	-52.03	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EVR1 09/18/2020 CALL \$12.50 / CUSIP: / Symbol:							
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/08/20	240.00	...	-240.00	1 of 3 - Option expiration- long call
	5.000	0.00	06/09/20	675.00	...	-675.00	2 of 3 - Option expiration- long call
	2.000	0.00	06/09/20	250.00	...	-250.00	3 of 3 - Option expiration- long call
09/18/20	9.000	0.00	Various	1,165.00	...	-1,165.00	Total of 3 transactions
F 02/14/2020 CALL \$10.00 / CUSIP: / Symbol:							
02/14/20	5.000	0.00	02/04/20	25.00	...	-25.00	Option expiration- long call
F 06/05/2020 CALL \$6.00 / CUSIP: / Symbol:							
06/01/20	1.000	6.98	05/29/20	0.00	...	6.98	Short sale closed- call <sup>25</sup>
06/02/20	1.000	4.98	06/01/20	0.00	...	4.98	Option written: 05/26/20 Short sale closed- call <sup>25</sup>
	<b>Security total:</b>	<b>11.96</b>		<b>0.00</b>	...	<b>11.96</b>	
F 06/05/2020 PUT \$6.00 / CUSIP: / Symbol:							
05/29/20	1.000	43.98	05/26/20	33.00	...	10.98	Option sale to close-put <sup>25</sup>
F 06/26/2020 CALL \$6.00 / CUSIP: / Symbol:							
05/29/20	1.000	22.98	05/26/20	29.00	6.02 W	0.00	Option sale to close-call <sup>25</sup>
06/01/20	1.000	26.98	05/26/20	35.02	...	-8.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>49.96</b>		<b>64.02</b>	<b>6.02 W</b>	<b>-8.04</b>	
F 07/02/2020 CALL \$6.00 / CUSIP: / Symbol:							
05/29/20	1.000	22.98	05/26/20	32.00	...	-9.02	Option sale to close-call <sup>25</sup>
F 07/10/2020 CALL \$6.00 / CUSIP: / Symbol:							
06/03/20	20.000	799.94	06/02/20	700.00	...	99.94	Option sale to close-call <sup>25</sup>
F 07/17/2020 CALL \$6.00 / CUSIP: / Symbol:							
06/03/20	10.000	469.96	06/02/20	400.00	...	69.96	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
F 10/30/2020 CALL \$7.00 / CUSIP: / Symbol:							
3 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	1.000	91.99	10/29/20	121.04	...	-29.05	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	91.98	10/29/20	111.03	...	-19.05	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	91.99	10/29/20	107.03	...	-15.04	3 of 3 - Option sale to close-call <sup>25</sup>
10/29/20	3.000	275.96	Various	339.10	...	-63.14	Total of 3 transactions
8 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	2.000	183.98	10/29/20	202.00	18.02 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	90.99	10/29/20	110.01	19.02 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	90.99	10/29/20	112.01	21.02 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	90.98	10/29/20	122.02	31.04 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	85.98	10/29/20	103.00	17.02 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	87.99	10/29/20	108.02	20.03 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	88.00	10/29/20	118.04	30.04 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	87.99	10/29/20	104.02	16.03 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
10/29/20	9.000	806.90	Various	979.12	172.22 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>1,082.86</b>		<b>1,318.22</b>	<b>172.22 W</b>	<b>-63.14</b>	
F 10/30/2020 CALL \$7.50 / CUSIP: / Symbol:							
10/30/20	2.000	31.98	10/29/20	90.00	...	-58.02	Option sale to close-call <sup>25</sup>
F 11/06/2020 CALL \$6.50 / CUSIP: / Symbol:							
11/03/20	1.000	137.98	10/29/20	144.00	...	-6.02	Option sale to close-call <sup>25</sup>
F 11/13/2020 CALL \$6.50 / CUSIP: / Symbol:							
11/09/20	1.000	179.98	11/09/20	172.00	...	7.98	Option sale to close-call <sup>25</sup>
F 11/13/2020 PUT \$9.00 / CUSIP: / Symbol:							
11/10/20	2.000	101.98	11/09/20	164.00	...	-62.02	Option sale to close-put <sup>25</sup>
F 11/20/2020 CALL \$8.50 / CUSIP: / Symbol:							
11/17/20	1.000	-8.02	11/16/20	0.00	...	-8.02	Short sale closed- call <sup>25</sup> Option written: 11/13/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
F 11/20/2020 PUT \$9.50 / CUSIP: / Symbol:							
11/12/20	1.000	134.98	11/10/20	100.00	...	34.98	Option sale to close-put <sup>25</sup>
11/16/20	1.000	84.98	11/10/20	100.00	15.02 W	0.00	Option sale to close-put <sup>25</sup>
11/19/20	1.000	70.98	11/17/20	89.02	...	-18.04	Option sale to close-put <sup>25</sup>
<b>Security total:</b>		<b>290.94</b>		<b>289.02</b>	<b>15.02 W</b>	<b>16.94</b>	
F 11/27/2020 PUT \$10.50 / CUSIP: / Symbol:							
11/24/20	1.000	105.98	11/19/20	175.00	...	-69.02	Option sale to close-put <sup>25</sup>
F 12/04/2020 PUT \$10.00 / CUSIP: / Symbol:							
<i>3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	58.98	11/25/20	90.00	...	-31.02	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	58.98	11/25/20	90.00	...	-31.02	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	58.98	11/25/20	90.00	...	-31.02	3 of 3 - Option sale to close-put <sup>25</sup>
12/04/20	3.000	176.94	Various	270.00	...	-93.06	Total of 3 transactions
FAZ 06/19/2020 CALL \$15.50 / CUSIP: / Symbol:							
<i>2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	171.99	06/09/20	140.00	...	31.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	171.99	06/09/20	140.00	...	31.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/10/20	4.000	343.98	Various	280.00	...	63.98	Total of 2 transactions
FB 07/02/2020 CALL \$222.50 / CUSIP: / Symbol:							
<i>2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	362.98	06/30/20	355.00	...	7.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	362.98	06/30/20	345.00	...	17.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/30/20	2.000	725.96	Various	700.00	...	25.96	Total of 2 transactions
FB 07/02/2020 CALL \$225.00 / CUSIP: / Symbol:							
06/30/20	1.000	287.98	06/30/20	295.02	...	-7.04	Option sale to close-call <sup>25</sup>
06/30/20	1.000	309.98	06/30/20	320.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>597.96</b>		<b>615.02</b>	<b>10.02 W</b>	<b>-7.04</b>	
FB 07/02/2020 CALL \$230.00 / CUSIP: / Symbol:							
07/01/20	1.000	269.98	07/01/20	217.00	...	52.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 07/02/2020 CALL \$240.00 / CUSIP: / Symbol:							
3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	131.98	07/01/20	127.00	...	4.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	131.98	07/01/20	127.00	...	4.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	259.98	07/01/20	254.00	...	5.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/01/20	4.000	523.94	Various	508.00	...	15.94	Total of 3 transactions
FB 07/02/2020 CALL \$242.50 / CUSIP: / Symbol:							
07/01/20	2.000	147.98	07/01/20	140.00	...	7.98	Option sale to close-call <sup>25</sup>
FB 07/10/2020 CALL \$240.00 / CUSIP: / Symbol:							
07/02/20	1.000	359.98	07/02/20	420.00	...	-60.02	Option sale to close-call <sup>25</sup>
FB 07/17/2020 CALL \$255.00 / CUSIP: / Symbol:							
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	305.98	06/22/20	305.00	...	0.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	305.98	06/22/20	297.00	...	8.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/22/20	2.000	611.96	Various	602.00	...	9.96	Total of 2 transactions
FB 07/24/2020 CALL \$242.50 / CUSIP: / Symbol:							
07/16/20	1.000	549.97	07/16/20	555.03	...	-5.06	Option sale to close-call <sup>25</sup>
07/16/20	1.000	589.97	07/16/20	590.00	0.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,139.94</b>		<b>1,145.03</b>	<b>0.03 W</b>	<b>-5.06</b>	
FB 07/31/2020 CALL \$235.00 / CUSIP: / Symbol:							
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	569.97	07/30/20	560.00	...	9.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	574.97	07/30/20	555.00	...	19.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/30/20	2.000	1,144.94	Various	1,115.00	...	29.94	Total of 2 transactions
FB 07/31/2020 CALL \$237.50 / CUSIP: / Symbol:							
07/30/20	1.000	509.97	07/30/20	490.00	...	19.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 08/07/2020 CALL \$235.00 / CUSIP: / Symbol:							
3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	809.98	07/29/20	740.00	...	69.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	809.97	07/30/20	805.00	...	4.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	809.97	07/30/20	805.00	...	4.97	3 of 3 - Option sale to close-call <sup>25</sup>
07/30/20	3.000	2,429.92	Various	2,350.00	...	79.92	Total of 3 transactions
07/31/20	1.000	1,779.95	07/30/20	810.00	...	969.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,209.87</b>		<b>3,160.00</b>	...	<b>1,049.87</b>	
FB 08/07/2020 CALL \$252.50 / CUSIP: / Symbol:							
08/03/20	1.000	434.98	08/03/20	395.00	...	39.98	Option sale to close-call <sup>25</sup>
FB 08/07/2020 CALL \$255.00 / CUSIP: / Symbol:							
08/03/20	1.000	379.98	08/03/20	390.00	...	-10.02	Option sale to close-call <sup>25</sup>
FB 08/07/2020 PUT \$232.50 / CUSIP: / Symbol:							
07/31/20	1.000	54.98	07/29/20	800.00	...	-745.02	Option sale to close-put <sup>25</sup>
FB 08/07/2020 PUT \$252.50 / CUSIP: / Symbol:							
08/03/20	1.000	374.98	08/03/20	430.00	...	-55.02	Option sale to close-put <sup>25</sup>
FB 08/14/2020 CALL \$257.50 / CUSIP: / Symbol:							
4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	464.97	08/06/20	435.00	...	29.97	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	599.97	08/06/20	565.03	...	34.94	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	679.97	08/06/20	642.00	...	37.97	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	549.97	08/06/20	500.00	...	49.97	4 of 4 - Option sale to close-call <sup>25</sup>
08/06/20	4.000	2,294.88	Various	2,142.03	...	152.85	Total of 4 transactions
08/06/20	1.000	514.97	08/06/20	535.00	20.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,809.85</b>		<b>2,677.03</b>	<b>20.03 W</b>	<b>152.85</b>	
FB 08/14/2020 CALL \$260.00 / CUSIP: / Symbol:							
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	644.97	08/06/20	630.02	...	14.95	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	784.97	08/06/20	760.00	...	24.97	2 of 3 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 08/14/2020 CALL \$260.00 / CUSIP: / Symbol: (cont'd)							
	1.000	759.97	08/06/20	685.00	...	74.97	3 of 3 - Option sale to close-call <sup>25</sup>
08/06/20	3.000	2,189.91	Various	2,075.02	...	114.89	Total of 3 transactions
08/06/20	1.000	409.98	08/06/20	425.00	15.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,599.89</b>		<b>2,500.02</b>	<b>15.02 W</b>	<b>114.89</b>	
FB 08/14/2020 CALL \$262.50 / CUSIP: / Symbol:							
08/06/20	1.000	534.97	08/06/20	555.00	20.03 W	0.00	Option sale to close-call <sup>25</sup>
08/12/20	1.000	349.98	08/12/20	480.03	...	-130.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>884.95</b>		<b>1,035.03</b>	<b>20.03 W</b>	<b>-130.05</b>	
FB 08/14/2020 CALL \$265.00 / CUSIP: / Symbol:							
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	539.97	08/06/20	599.00	...	-59.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	579.97	08/06/20	570.00	...	9.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	554.97	08/06/20	528.00	...	26.97	3 of 3 - Option sale to close-call <sup>25</sup>
08/06/20	3.000	1,674.91	Various	1,697.00	...	-22.09	Total of 3 transactions
FB 08/14/2020 CALL \$267.50 / CUSIP: / Symbol:							
08/06/20	1.000	489.97	08/06/20	515.03	...	-25.06	Option sale to close-call <sup>25</sup>
08/06/20	1.000	459.97	08/06/20	490.00	30.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>949.94</b>		<b>1,005.03</b>	<b>30.03 W</b>	<b>-25.06</b>	
FB 08/14/2020 CALL \$280.00 / CUSIP: / Symbol:							
08/07/20	1.000	709.97	08/07/20	695.00	...	14.97	Option sale to close-call <sup>25</sup>
08/14/20	1.000	0.00	08/07/20	670.00	...	-670.00	Option expiration- long call
	<b>Security total:</b>	<b>709.97</b>		<b>1,365.00</b>	...	<b>-655.03</b>	
FB 08/14/2020 PUT \$260.00 / CUSIP: / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	229.98	08/12/20	294.00	...	-64.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	229.99	08/12/20	292.00	...	-62.01	2 of 2 - Option sale to close-put <sup>25</sup>
08/12/20	2.000	459.97	Various	586.00	...	-126.03	Total of 2 transactions
FB 08/14/2020 PUT \$275.00 / CUSIP: / Symbol:							
08/07/20	1.000	624.97	08/07/20	680.00	...	-55.03	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 08/21/2020 CALL \$260.00 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	2.000	1,159.96	08/14/20	1,150.00	...	9.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	569.97	08/14/20	545.00	...	24.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/14/20	3.000	1,729.93	Various	1,695.00	...	34.93	Total of 2 transactions
FB 08/21/2020 CALL \$262.50 / CUSIP: / Symbol:							
08/14/20	2.000	919.96	08/14/20	910.00	...	9.96	Option sale to close-call <sup>25</sup>
08/14/20	1.000	429.98	08/14/20	440.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
08/17/20	1.000	314.98	08/17/20	302.02	...	12.96	Option sale to close-call <sup>25</sup>
3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	334.98	08/18/20	315.00	...	19.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	369.98	08/18/20	334.00	...	35.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	489.97	08/18/20	434.00	...	55.97	3 of 3 - Option sale to close-call <sup>25</sup>
08/18/20	3.000	1,194.93	Various	1,083.00	...	111.93	Total of 3 transactions
08/18/20	1.000	499.97	08/18/20	535.00	35.03 W	0.00	Option sale to close-call <sup>25</sup>
08/19/20	1.000	344.98	08/19/20	425.03	...	-80.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,704.80</b>		<b>3,695.05</b>	<b>45.05 W</b>	<b>54.80</b>	
FB 08/21/2020 CALL \$265.00 / CUSIP: / Symbol:							
08/19/20	1.000	399.98	08/19/20	385.00	...	14.98	Option sale to close-call <sup>25</sup>
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	339.98	08/20/20	330.02	...	9.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	339.99	08/20/20	309.04	...	30.95	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	2.000	679.97	Various	639.06	...	40.91	Total of 2 transactions
3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	225.98	08/20/20	237.00	11.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	263.98	08/20/20	275.02	11.04 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	263.98	08/20/20	264.00	0.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/20/20	3.000	753.94	Various	776.02	22.08 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,833.89</b>		<b>1,800.08</b>	<b>22.08 W</b>	<b>55.89</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 08/21/2020 CALL \$267.50 / CUSIP: / Symbol:							
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	234.98	08/20/20	270.00	...	-35.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	259.98	08/20/20	270.00	...	-10.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	2.000	494.96	Various	540.00	...	-45.04	Total of 2 transactions
FB 08/28/2020 CALL \$267.50 / CUSIP: / Symbol:							
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	559.97	08/20/20	578.10	...	-18.13	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	539.97	08/20/20	525.00	...	14.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	2.000	1,099.94	Various	1,103.10	...	-3.16	Total of 2 transactions
11 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	444.98	08/20/20	510.00	65.02 W	0.00	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	544.97	08/20/20	590.02	45.05 W	0.00	2 of 11 - Option sale to close-call <sup>25</sup>
	1.000	464.97	08/20/20	540.05	75.08 W	0.00	3 of 11 - Option sale to close-call <sup>25</sup>
	1.000	444.98	08/20/20	495.00	50.02 W	0.00	4 of 11 - Option sale to close-call <sup>25</sup>
	1.000	514.97	08/20/20	580.08	65.11 W	0.00	5 of 11 - Option sale to close-call <sup>25</sup>
	1.000	514.97	08/20/20	555.02	40.05 W	0.00	6 of 11 - Option sale to close-call <sup>25</sup>
	1.000	510.97	08/20/20	585.11	74.14 W	0.00	7 of 11 - Option sale to close-call <sup>25</sup>
	1.000	511.97	08/20/20	560.05	48.08 W	0.00	8 of 11 - Option sale to close-call <sup>25</sup>
	1.000	529.98	08/20/20	599.14	69.16 W	0.00	9 of 11 - Option sale to close-call <sup>25</sup>
	1.000	529.98	08/20/20	573.08	43.10 W	0.00	10 of 11 - Option sale to close-call <sup>25</sup>
	1.000	549.97	08/20/20	604.16	54.19 W	0.00	11 of 11 - Option sale to close-call <sup>25</sup>
08/20/20	11.000	5,562.71	Various	6,191.71	629.00 W	0.00	Total of 11 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 08/28/2020 CALL \$267.50 / CUSIP: / Symbol: (cont'd)							
08/21/20	1.000	534.97	08/21/20	589.19	...	-54.22	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>7,197.62</b>		<b>7,884.00</b>	<b>629.00 W</b>	<b>-57.38</b>	
FB 08/28/2020 CALL \$270.00 / CUSIP: / Symbol:							
08/20/20	2.000	939.96	08/20/20	880.00	...	59.96	Option sale to close-call <sup>25</sup>
	<i>2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	469.97	08/20/20	500.00	30.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	470.97	08/20/20	520.03	49.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	2.000	940.94	Various	1,020.03	79.09 W	0.00	Total of 2 transactions
08/21/20	1.000	529.97	08/21/20	534.06	...	-4.09	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,410.87</b>		<b>2,434.09</b>	<b>79.09 W</b>	<b>55.87</b>	
FB 08/28/2020 CALL \$272.50 / CUSIP: / Symbol:							
	<i>2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	404.98	08/24/20	505.01	...	-100.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.98	08/24/20	440.05	...	-40.07	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	2.000	804.96	Various	945.06	...	-140.10	Total of 2 transactions
	<i>2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	819.97	08/24/20	930.00	110.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	469.97	08/24/20	505.02	35.05 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	3.000	1,289.94	Various	1,435.02	145.08 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,094.90</b>		<b>2,380.08</b>	<b>145.08 W</b>	<b>-140.10</b>	
FB 08/28/2020 CALL \$275.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	240.98	08/21/20	370.00	...	-129.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	240.98	08/21/20	370.00	...	-129.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/21/20	2.000	481.96	Various	740.00	...	-258.04	Total of 2 transactions
FB 08/28/2020 CALL \$277.50 / CUSIP: / Symbol:							
08/25/20	1.000	599.97	08/25/20	580.00	...	19.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 08/28/2020 CALL \$280.00 / CUSIP: / Symbol:							
08/25/20	1.000	619.97	08/25/20	600.16	...	19.81	Option sale to close-call <sup>25</sup>
	<i>6 transactions for 08/25/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	384.98	08/25/20	455.00	70.02 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	449.98	08/25/20	515.02	65.04 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	459.97	08/25/20	533.04	73.07 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	559.97	08/25/20	593.07	33.10 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	547.97	08/25/20	598.10	50.13 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	599.97	08/25/20	620.13	20.16 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
08/25/20	6.000	3,002.84	Various	3,314.36	311.52 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>3,622.81</b>		<b>3,914.52</b>	<b>311.52 W</b>	<b>19.81</b>	
FB 08/28/2020 CALL \$282.50 / CUSIP: / Symbol:							
08/25/20	1.000	449.98	08/25/20	579.03	...	-129.05	Option sale to close-call <sup>25</sup>
08/25/20	1.000	460.97	08/25/20	540.00	79.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>910.95</b>		<b>1,119.03</b>	<b>79.03 W</b>	<b>-129.05</b>	
FB 08/28/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/26/20	1.000	514.97	08/26/20	430.00	...	84.97	Option sale to close-call <sup>25</sup>
FB 08/28/2020 PUT \$262.50 / CUSIP: / Symbol:							
08/20/20	1.000	444.98	08/20/20	480.00	...	-35.02	Option sale to close-put <sup>25</sup>
FB 08/28/2020 PUT \$265.00 / CUSIP: / Symbol:							
08/21/20	1.000	414.98	08/21/20	425.00	...	-10.02	Option sale to close-put <sup>25</sup>
FB 08/28/2020 PUT \$267.50 / CUSIP: / Symbol:							
08/20/20	1.000	611.97	08/20/20	630.03	...	-18.06	Option sale to close-put <sup>25</sup>
08/20/20	1.000	664.97	08/20/20	680.00	15.03 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,276.94</b>		<b>1,310.03</b>	<b>15.03 W</b>	<b>-18.06</b>	
FB 09/04/2020 CALL \$297.50 / CUSIP: / Symbol:							
	<i>2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	449.98	08/31/20	478.00	...	-28.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	474.97	08/31/20	455.00	...	19.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/31/20	2.000	924.95	Various	933.00	...	-8.05	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 09/04/2020 CALL \$300.00 / CUSIP: / Symbol:							
09/01/20	1.000	689.97	09/01/20	560.00	...	129.97	Option sale to close-call <sup>25</sup>
		<i>2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	426.98	09/02/20	395.00	...	31.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	499.97	09/02/20	418.00	...	81.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	926.95	Various	813.00	...	113.95	Total of 2 transactions
	<b>Security total:</b>	<b>1,616.92</b>		<b>1,373.00</b>	...	<b>243.92</b>	
FB 09/04/2020 CALL \$302.50 / CUSIP: / Symbol:							
08/27/20	1.000	794.97	08/27/20	840.00	45.03 W	0.00	Option sale to close-call <sup>25</sup>
09/01/20	1.000	389.98	09/01/20	435.03	...	-45.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,184.95</b>		<b>1,275.03</b>	<b>45.03 W</b>	<b>-45.05</b>	
FB 09/04/2020 CALL \$305.00 / CUSIP: / Symbol:							
09/01/20	1.000	272.98	09/01/20	420.00	...	-147.02	Option sale to close-call <sup>25</sup>
FB 09/04/2020 CALL \$307.50 / CUSIP: / Symbol:							
09/01/20	1.000	196.98	09/01/20	260.00	...	-63.02	Option sale to close-call <sup>25</sup>
FB 09/11/2020 CALL \$285.00 / CUSIP: / Symbol:							
09/04/20	1.000	509.97	09/04/20	725.03	...	-215.06	Option sale to close-call <sup>25</sup>
09/04/20	1.000	609.97	09/04/20	660.00	50.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,119.94</b>		<b>1,385.03</b>	<b>50.03 W</b>	<b>-215.06</b>	
FB 09/11/2020 CALL \$295.00 / CUSIP: / Symbol:							
09/03/20	1.000	589.97	09/03/20	630.00	...	-40.03	Option sale to close-call <sup>25</sup>
FB 09/11/2020 CALL \$297.50 / CUSIP: / Symbol:							
09/03/20	1.000	649.97	09/03/20	680.00	...	-30.03	Option sale to close-call <sup>25</sup>
FB 09/25/2020 CALL \$252.50 / CUSIP: / Symbol:							
09/22/20	1.000	499.97	09/22/20	475.00	...	24.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FB 10/16/2020 CALL \$280.00 / CUSIP: / Symbol:							
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	252.98	09/18/20	318.00	...	-65.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	252.99	09/18/20	318.00	...	-65.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/30/20	2.000	505.97	Various	636.00	...	-130.03	Total of 2 transactions
FB 10/16/2020 CALL \$285.00 / CUSIP: / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	74.98	09/30/20	0.00	...	74.98	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 09/18/20
	1.000	74.98	09/30/20	0.00	...	74.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 09/18/20
10/01/20	2.000	149.96	Various	0.00	...	149.96	Total of 2 transactions
FB 10/30/2020 CALL \$290.00 / CUSIP: / Symbol:							
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	663.98	10/13/20	693.00	...	-29.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	663.98	10/13/20	693.00	...	-29.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/23/20	2.000	1,327.96	Various	1,386.00	...	-58.04	Total of 2 transactions
FB 10/30/2020 CALL \$292.50 / CUSIP: / Symbol:							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1.000	39.97	10/23/20	0.00	...	39.97	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 10/13/20
	1.000	39.97	10/23/20	0.00	...	39.97	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 10/13/20
10/26/20	2.000	79.94	Various	0.00	...	79.94	Total of 2 transactions
FB 10/30/2020 PUT \$265.00 / CUSIP: / Symbol:							
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	400.98	10/13/20	655.00	...	-254.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	400.99	10/13/20	584.00	...	-183.01	2 of 2 - Option sale to close-put <sup>25</sup>
10/23/20	2.000	801.97	Various	1,239.00	...	-437.03	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 10/30/2020 PUT \$270.00 / CUSIP: / Symbol:							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1.000	199.97	10/23/20	0.00	...	199.97	1 of 2 - Short sale closed- put <sup>25</sup> Option written: 10/13/20
	1.000	285.97	10/23/20	0.00	...	285.97	2 of 2 - Short sale closed- put <sup>25</sup> Option written: 10/13/20
10/26/20	2.000	485.94	Various	0.00	...	485.94	Total of 2 transactions
FCEL 11/20/2020 CALL \$2.00 / CUSIP: / Symbol:							
11/09/20	1.000	57.98	11/09/20	62.00	...	-4.02	Option sale to close-call <sup>25</sup>
FCEL 11/20/2020 PUT \$3.50 / CUSIP: / Symbol:							
11/16/20	2.000	67.98	11/13/20	140.00	...	-72.02	Option sale to close-put <sup>25</sup>
FCEL 11/20/2020 PUT \$7.00 / CUSIP: / Symbol:							
11/19/20	1.000	171.98	11/18/20	185.00	...	-13.02	Option sale to close-put <sup>25</sup>
FDX 07/31/2020 CALL \$167.50 / CUSIP: / Symbol:							
07/16/20	1.000	494.97	07/16/20	490.00	...	4.97	Option sale to close-call <sup>25</sup>
FDX 07/31/2020 CALL \$170.00 / CUSIP: / Symbol:							
3 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	209.99	07/27/20	302.02	...	-92.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	209.99	07/27/20	212.00	...	-2.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	243.99	07/27/20	242.00	...	1.99	3 of 3 - Option sale to close-call <sup>25</sup>
07/27/20	3.000	663.97	Various	756.02	...	-92.05	Total of 3 transactions
07/27/20	1.000	243.98	07/27/20	273.00	29.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>907.95</b>		<b>1,029.02</b>	<b>29.02 W</b>	<b>-92.05</b>	
FDX 07/31/2020 CALL \$175.00 / CUSIP: / Symbol:							
07/27/20	1.000	153.98	07/27/20	149.00	...	4.98	Option sale to close-call <sup>25</sup>
07/30/20	1.000	114.98	07/30/20	112.00	...	2.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>268.96</b>		<b>261.00</b>	...	<b>7.96</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FDX 08/14/2020 CALL \$180.00 / CUSIP: / Symbol:							
4 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	399.98	08/07/20	380.00	...	19.98	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	859.97	08/07/20	830.00	...	29.97	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	289.98	08/07/20	250.00	...	39.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	364.98	08/07/20	325.00	...	39.98	4 of 4 - Option sale to close-call <sup>25</sup>
08/07/20	5.000	1,914.91	Various	1,785.00	...	129.91	Total of 4 transactions
FDX 08/14/2020 CALL \$182.50 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	379.98	08/07/20	365.00	...	14.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	379.99	08/07/20	335.00	...	44.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/07/20	2.000	759.97	Various	700.00	...	59.97	Total of 2 transactions
FDX 08/14/2020 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	294.98	08/07/20	289.00	...	5.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	294.98	08/07/20	289.00	...	5.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/07/20	2.000	589.96	Various	578.00	...	11.96	Total of 2 transactions
FDX 08/14/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	08/10/20	435.00	...	-135.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	404.98	08/10/20	380.00	...	24.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/10/20	2.000	704.96	Various	815.00	...	-110.04	Total of 2 transactions
FDX 08/21/2020 CALL \$170.00 / CUSIP: / Symbol:							
07/16/20	1.000	624.97	07/15/20	395.00	...	229.97	Option sale to close-call <sup>25</sup>
FDX 08/21/2020 CALL \$210.00 / CUSIP: / Symbol:							
10 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	293.98	08/14/20	288.04	...	5.94	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	419.98	08/14/20	405.00	...	14.98	2 of 10 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FDX 08/21/2020 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	419.99	08/14/20	400.00	...	19.99	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	386.98	08/14/20	365.00	...	21.98	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	389.98	08/14/20	360.00	...	29.98	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	389.98	08/14/20	360.00	...	29.98	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	293.98	08/14/20	255.00	...	38.98	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	259.98	08/14/20	220.00	...	39.98	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	354.98	08/14/20	315.00	...	39.98	9 of 10 - Option sale to close-call <sup>25</sup>
	1.000	354.99	08/14/20	315.00	...	39.99	10 of 10 - Option sale to close-call <sup>25</sup>
08/14/20	10.000	3,564.82	Various	3,283.04	...	281.78	Total of 10 transactions
	2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	1.000	230.98	08/14/20	262.00	31.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	249.98	08/14/20	283.02	33.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/14/20	2.000	480.96	Various	545.02	64.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>4,045.78</b>		<b>3,828.06</b>	<b>64.06 W</b>	<b>281.78</b>	
FDX 08/21/2020 CALL \$215.00 / CUSIP: / Symbol:							
	2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	2.000	433.98	08/14/20	470.00	36.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	216.98	08/14/20	235.00	18.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/14/20	3.000	650.96	Various	705.00	54.04 W	0.00	Total of 2 transactions
	2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	2.000	291.99	08/14/20	484.02	...	-192.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	145.99	08/14/20	242.02	...	-96.03	2 of 2 - Option sale to close-call <sup>25</sup>
08/17/20	3.000	437.98	Various	726.04	...	-288.06	Total of 2 transactions
	<b>Security total:</b>	<b>1,088.94</b>		<b>1,431.04</b>	<b>54.04 W</b>	<b>-288.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FDX 08/28/2020 CALL \$212.50 / CUSIP: / Symbol:							
08/21/20	1.000	246.98	08/21/20	276.00	29.02 W	0.00	Option sale to close-call <sup>25</sup>
08/24/20	1.000	339.98	08/21/20	290.02	...	49.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>586.96</b>		<b>566.02</b>	<b>29.02 W</b>	<b>49.96</b>	
FDX 08/28/2020 CALL \$217.50 / CUSIP: / Symbol:							
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	304.98	08/24/20	282.00	...	22.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	269.98	08/24/20	218.00	...	51.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	2.000	574.96	Various	500.00	...	74.96	Total of 2 transactions
FDX 09/04/2020 CALL \$217.50 / CUSIP: / Symbol:							
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	409.99	08/24/20	429.04	...	-19.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	409.98	08/24/20	385.00	...	24.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	2.000	819.97	Various	814.04	...	5.93	Total of 2 transactions
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	399.98	08/24/20	422.00	22.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.98	08/24/20	444.02	44.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	2.000	799.96	Various	866.02	66.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,619.93</b>		<b>1,680.06</b>	<b>66.06 W</b>	<b>5.93</b>	
FDX 09/04/2020 CALL \$220.00 / CUSIP: / Symbol:							
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	354.98	08/27/20	420.04	...	-65.06	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	354.99	08/27/20	405.02	...	-50.03	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	789.97	08/27/20	720.00	...	69.97	3 of 3 - Option sale to close-call <sup>25</sup>
08/27/20	4.000	1,499.94	Various	1,545.06	...	-45.12	Total of 3 transactions
4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	344.99	08/27/20	375.00	30.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	344.98	08/27/20	390.00	45.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	364.99	08/27/20	390.01	25.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	364.98	08/27/20	405.02	40.04 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/27/20	4.000	1,419.94	Various	1,560.03	140.09 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FDX 09/04/2020 CALL \$220.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>2,919.88</b>		<b>3,105.09</b>	<b>140.09 W</b>	<b>-45.12</b>	
FDX 09/04/2020 CALL \$227.50 / CUSIP: / Symbol:							
09/01/20	1.000	181.98	09/01/20	257.00	...	-75.02	Option sale to close-call <sup>25</sup>
09/02/20	1.000	269.98	09/01/20	257.00	...	12.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>451.96</b>		<b>514.00</b>	<b>...</b>	<b>-62.04</b>	
FDX 09/04/2020 PUT \$217.50 / CUSIP: / Symbol:							
09/01/20	2.000	217.98	09/01/20	248.00	...	-30.02	Option sale to close-put <sup>25</sup>
FDX 09/04/2020 PUT \$220.00 / CUSIP: / Symbol:							
09/03/20	1.000	268.98	09/03/20	152.00	...	116.98	Option sale to close-put <sup>25</sup>
FDX 09/04/2020 PUT \$222.50 / CUSIP: / Symbol:							
09/01/20	1.000	239.98	09/01/20	271.00	...	-31.02	Option sale to close-put <sup>25</sup>
FDX 09/04/2020 PUT \$225.00 / CUSIP: / Symbol:							
09/03/20	1.000	214.98	09/03/20	238.00	...	-23.02	Option sale to close-put <sup>25</sup>
FDX 09/11/2020 CALL \$227.50 / CUSIP: / Symbol:							
09/10/20	1.000	85.98	09/10/20	212.00	...	-126.02	Option sale to close-call <sup>25</sup>
FDX 09/11/2020 CALL \$235.00 / CUSIP: / Symbol:							
09/10/20	1.000	76.98	09/03/20	375.00	...	-298.02	Option sale to close-call <sup>25</sup>
FDX 09/18/2020 CALL \$240.00 / CUSIP: / Symbol:							
09/16/20	1.000	1,207.96	09/11/20	689.00	...	518.96	Option sale to close-call <sup>25</sup>
FDX 09/18/2020 CALL \$245.00 / CUSIP: / Symbol:							
09/17/20	1.000	-272.03	09/16/20	0.00	...	-272.03	Short sale closed- call <sup>25</sup> Option written: 09/11/20
FDX 09/18/2020 CALL \$250.00 / CUSIP: / Symbol:							
09/11/20	1.000	374.98	09/11/20	500.00	...	-125.02	Option sale to close-call <sup>25</sup>
FSLY 08/07/2020 CALL \$130.00 / CUSIP: / Symbol:							
08/05/20	1.000	239.98	08/05/20	255.00	...	-15.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 08/14/2020 CALL \$77.00 / CUSIP: / Symbol:							
08/13/20	1.000	359.98	08/12/20	210.00	...	149.98	Option sale to close-call <sup>25</sup>
FUTU 01/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
12/31/20	1.000	102.98	12/30/20	0.00	...	102.98	Short sale closed- call <sup>25</sup> Option written: 12/22/20
FUTU 12/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
12/14/20	1.000	114.98	12/14/20	115.00	...	-0.02	Option sale to close-call <sup>25</sup>
FXI 07/17/2020 CALL \$45.50 / CUSIP: / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	121.98	07/08/20	95.00	...	26.98	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	1,106.95	07/08/20	855.00	...	251.95	2 of 2 - Option sale to close-call <sup>25</sup>
07/09/20	10.000	1,228.93	Various	950.00	...	278.93	Total of 2 transactions
07/17/20	5.000	0.00	07/09/20	390.00	...	-390.00	Option expiration- long call
	<b>Security total:</b>	<b>1,228.93</b>		<b>1,340.00</b>	...	<b>-111.07</b>	
GBDC 07/17/2020 CALL \$12.50 / CUSIP: / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	64.99	06/05/20	55.00	...	9.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	65.00	06/05/20	50.00	...	15.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	129.99	06/05/20	100.00	...	29.99	3 of 3 - Option sale to close-call <sup>25</sup>
06/08/20	4.000	259.98	Various	205.00	...	54.98	Total of 3 transactions
GDY 08/14/2020 PUT \$43.00 / CUSIP: / Symbol:							
08/10/20	5.000	419.98	08/10/20	440.00	...	-20.02	Option sale to close-put <sup>25</sup>
GDY 11/27/2020 CALL \$36.50 / CUSIP: / Symbol:							
11/27/20	1.000	0.00	11/20/20	48.00	...	-48.00	Option expiration- long call
GE 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
11/09/20	1.000	145.98	10/23/20	110.00	...	35.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 06/19/2020 CALL \$8.00 / CUSIP: / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	68.98	04/07/20	63.00	...	5.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	68.98	04/07/20	63.00	...	5.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	137.98	04/07/20	126.00	...	11.98	3 of 3 - Option sale to close-call <sup>25</sup>
06/08/20	4.000	275.94	Various	252.00	...	23.94	Total of 3 transactions
GE 12/04/2020 PUT \$11.00 / CUSIP: / Symbol:							
12/04/20	5.000	44.98	12/02/20	360.00	...	-315.02	Option sale to close-put <sup>25</sup>
GILD 02/28/2020 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 02/07/20. Total proceeds and cost reported to the IRS.							
	1.000	244.98	02/06/20	155.00	...	89.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	689.97	02/06/20	420.00	...	269.97	2 of 2 - Option sale to close-call <sup>25</sup>
02/07/20	3.000	934.95	Various	575.00	...	359.95	Total of 2 transactions
02/21/20	1.000	34.98	02/06/20	150.00	...	-115.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>969.93</b>		<b>725.00</b>	...	<b>244.93</b>	
GILD 04/03/2020 CALL \$81.50 / CUSIP: / Symbol:							
04/01/20	1.000	33.98	03/19/20	700.00	...	-666.02	Option sale to close-call <sup>25</sup>
GILD 04/24/2020 PUT \$69.00 / CUSIP: / Symbol:							
03/13/20	1.000	599.97	03/12/20	685.00	85.03 W	0.00	Option sale to close-put <sup>25</sup>
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	1.000	514.98	03/13/20	685.03	...	-170.05	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	514.98	03/13/20	610.00	...	-95.02	2 of 2 - Option sale to close-put <sup>25</sup>
03/17/20	2.000	1,029.96	Various	1,295.03	...	-265.07	Total of 2 transactions
	<b>Security total:</b>	<b>1,629.93</b>		<b>1,980.03</b>	<b>85.03 W</b>	<b>-265.07</b>	
GILD 04/24/2020 PUT \$71.50 / CUSIP: / Symbol:							
03/17/20	2.000	1,319.96	03/16/20	1,810.00	...	-490.04	Option sale to close-put <sup>25</sup>
GILD 06/12/2020 CALL \$75.00 / CUSIP: / Symbol:							
05/29/20	2.000	-74.02	05/28/20	0.00	...	-74.02	Short sale closed- call <sup>25</sup> Option written: 05/26/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GILD 06/19/2020 PUT \$73.00 / CUSIP: / Symbol:							
05/28/20	1.000	184.98	05/26/20	300.00	...	-115.02	Option sale to close-put <sup>25</sup>
GILD 07/17/2020 CALL \$75.00 / CUSIP: / Symbol:							
05/28/20	2.000	799.97	05/26/20	664.00	...	135.97	Option sale to close-call <sup>25</sup>
GILD 08/07/2020 CALL \$70.50 / CUSIP: / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	2.000	73.99	08/04/20	160.00	...	-86.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	36.99	08/04/20	80.00	...	-43.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/05/20	3.000	110.98	Various	240.00	...	-129.02	Total of 2 transactions
GILD 08/07/2020 CALL \$73.50 / CUSIP: / Symbol:							
07/31/20	1.000	22.98	07/29/20	171.00	...	-148.02	Option sale to close-call <sup>25</sup>
GILD 08/07/2020 PUT \$73.00 / CUSIP: / Symbol:							
07/31/20	1.000	346.98	07/29/20	174.00	...	172.98	Option sale to close-put <sup>25</sup>
GILD 08/21/2020 CALL \$70.00 / CUSIP: / Symbol:							
03/17/20	2.000	2,489.93	03/16/20	1,858.00	...	631.93	Option sale to close-call <sup>25</sup>
GILD 08/21/2020 CALL \$72.50 / CUSIP: / Symbol:							
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	1.000	1,119.97	03/12/20	890.00	...	229.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,119.97	03/12/20	865.00	...	254.97	2 of 2 - Option sale to close-call <sup>25</sup>
03/17/20	2.000	2,239.94	Various	1,755.00	...	484.94	Total of 2 transactions
GILD 08/21/2020 CALL \$77.50 / CUSIP: / Symbol:							
05/28/20	1.000	429.98	05/26/20	345.00	...	84.98	Option sale to close-call <sup>25</sup>
GLD 01/15/2021 CALL \$210.00 / CUSIP: / Symbol:							
3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	499.99	07/22/20	211.00	...	288.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	499.98	07/23/20	292.00	...	207.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	1,499.96	07/23/20	795.00	...	704.96	3 of 3 - Option sale to close-call <sup>25</sup>
07/31/20	5.000	2,499.93	Various	1,298.00	...	1,201.93	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLD 01/15/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	4.000	1,999.94	07/28/20	2,120.00	60.03 W	-60.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	499.99	07/28/20	530.00	30.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/31/20	5.000	2,499.93	Various	2,650.00	90.04 W	-60.03	Total of 2 transactions
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	369.98	08/10/20	605.01	...	-235.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	369.99	08/10/20	590.02	...	-220.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	369.99	08/10/20	590.01	...	-220.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/11/20	3.000	1,109.96	Various	1,785.04	...	-675.08	Total of 3 transactions
	<b>Security total:</b>	<b>6,109.82</b>		<b>5,733.04</b>	<b>90.04 W</b>	<b>466.82</b>	
GLD 05/01/2020 CALL \$161.00 / CUSIP: / Symbol:							
04/22/20	1.000	264.98	04/20/20	204.00	...	60.98	Option sale to close-call <sup>25</sup>
GLD 06/19/2020 CALL \$164.00 / CUSIP: / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	58.99	06/10/20	164.00	...	-105.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	58.99	06/10/20	164.00	...	-105.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/16/20	2.000	117.98	Various	328.00	...	-210.02	Total of 2 transactions
GLD 06/26/2020 PUT \$162.00 / CUSIP: / Symbol:							
06/15/20	2.000	439.98	06/12/20	380.00	...	59.98	Option sale to close-put <sup>25</sup>
GLD 06/30/2020 CALL \$160.00 / CUSIP: / Symbol:							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	284.99	06/03/20	242.00	...	42.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	284.99	06/05/20	175.00	...	109.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	569.98	06/05/20	290.00	...	279.98	3 of 3 - Option sale to close-call <sup>25</sup>
06/09/20	4.000	1,139.96	Various	707.00	...	432.96	Total of 3 transactions
GLD 07/10/2020 CALL \$167.00 / CUSIP: / Symbol:							
07/02/20	2.000	303.98	07/02/20	294.00	...	9.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLD 07/10/2020 CALL \$167.50 / CUSIP: / Symbol:							
4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	107.00	07/02/20	125.00	...	-18.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	106.99	07/02/20	124.00	...	-17.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	107.00	07/02/20	121.00	...	-14.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	106.99	07/02/20	111.00	...	-4.01	4 of 4 - Option sale to close-call <sup>25</sup>
07/02/20	4.000	427.98	Various	481.00	...	-53.02	Total of 4 transactions
GLD 07/17/2020 CALL \$167.00 / CUSIP: / Symbol:							
5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	230.98	06/09/20	155.00	...	75.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	230.99	06/09/20	155.00	...	75.99	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	461.99	06/09/20	310.00	...	151.99	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	461.98	06/09/20	308.00	...	153.98	4 of 5 - Option sale to close-call <sup>25</sup>
	4.000	923.97	06/09/20	620.00	...	303.97	5 of 5 - Option sale to close-call <sup>25</sup>
06/10/20	10.000	2,309.91	Various	1,548.00	...	761.91	Total of 5 transactions
GLD 07/31/2020 CALL \$182.50 / CUSIP: / Symbol:							
3 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	235.98	07/27/20	219.01	...	16.97	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	451.98	07/27/20	434.00	...	17.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	469.97	07/27/20	438.01	...	31.96	3 of 3 - Option sale to close-call <sup>25</sup>
07/27/20	5.000	1,157.93	Various	1,091.02	...	66.91	Total of 3 transactions
07/27/20	3.000	677.98	07/27/20	687.00	9.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,835.91</b>		<b>1,778.02</b>	<b>9.02 W</b>	<b>66.91</b>	
GLD 08/14/2020 CALL \$200.00 / CUSIP: / Symbol:							
4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	2.000	211.99	08/05/20	232.00	...	-20.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	105.99	08/05/20	125.00	...	-19.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	106.00	08/05/20	124.00	...	-18.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	105.99	08/05/20	115.00	...	-9.01	4 of 4 - Option sale to close-call <sup>25</sup>
08/05/20	5.000	529.97	Various	596.00	...	-66.03	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
GLD 08/14/2020 PUT \$191.00 / CUSIP: / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	4.000	711.97	08/10/20	780.00	...	-68.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	179.98	08/10/20	195.00	...	-15.02	2 of 2 - Option sale to close-put <sup>25</sup>
08/10/20	5.000	891.95	Various	975.00	...	-83.05	Total of 2 transactions
GLD 08/21/2020 PUT \$190.00 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	2.000	599.98	08/07/20	660.00	...	-60.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	299.99	08/07/20	330.00	...	-30.01	2 of 2 - Option sale to close-put <sup>25</sup>
08/07/20	3.000	899.97	Various	990.00	...	-90.03	Total of 2 transactions
GLD 10/16/2020 CALL \$205.00 / CUSIP: / Symbol:							
4 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	314.98	07/31/20	255.00	...	59.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	314.99	07/31/20	250.00	...	64.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	314.99	07/31/20	250.00	...	64.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	629.97	07/31/20	510.00	...	119.97	4 of 4 - Option sale to close-call <sup>25</sup>
08/04/20	5.000	1,574.93	Various	1,265.00	...	309.93	Total of 4 transactions
GLD 10/16/2020 CALL \$215.00 / CUSIP: / Symbol:							
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	166.99	08/05/20	243.00	76.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	167.00	08/05/20	243.00	76.00 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	500.98	08/06/20	849.00	116.01 W	-232.01	3 of 3 - Option sale to close-call <sup>25</sup>
08/10/20	5.000	834.97	Various	1,335.00	268.02 W	-232.01	Total of 3 transactions
3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/07/20	329.01	...	-329.01	1 of 3 - Option expiration- long call
	1.000	0.00	08/07/20	289.01	...	-289.01	2 of 3 - Option expiration- long call
	1.000	0.00	08/07/20	289.00	...	-289.00	3 of 3 - Option expiration- long call
10/16/20	3.000	0.00	Various	907.02	...	-907.02	Total of 3 transactions
	<b>Security total:</b>	<b>834.97</b>		<b>2,242.02</b>	<b>268.02 W</b>	<b>-1,139.03</b>	
GM 01/15/2021 CALL \$28.00 / CUSIP: / Symbol:							
08/10/20	1.000	314.98	08/10/20	305.00	...	9.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GME 11/20/2020 CALL \$12.50 / CUSIP: / Symbol:							
11/19/20	1.000	39.98	11/19/20	23.00	...	16.98	Option sale to close-call <sup>25</sup>
GME 11/27/2020 PUT \$14.50 / CUSIP: / Symbol:							
11/23/20	1.000	140.98	11/20/20	187.00	...	-46.02	Option sale to close-put <sup>25</sup>
GME 12/04/2020 CALL \$15.00 / CUSIP: / Symbol:							
11/27/20	1.000	214.98	11/25/20	125.00	...	89.98	Option sale to close-call <sup>25</sup>
GME 12/04/2020 PUT \$15.00 / CUSIP: / Symbol:							
11/27/20	1.000	76.98	11/25/20	155.00	...	-78.02	Option sale to close-put <sup>25</sup>
GNUS 06/26/2020 CALL \$2.50 / CUSIP: / Symbol:							
	3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	3.000	119.99	06/23/20	117.00	...	2.99	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	119.99	06/23/20	117.00	...	2.99	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	159.99	06/23/20	156.00	...	3.99	3 of 3 - Option sale to close-call <sup>25</sup>
06/23/20	10.000	399.97	Various	390.00	...	9.97	Total of 3 transactions
GNUS 06/26/2020 CALL \$3.00 / CUSIP: / Symbol:							
	2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	4.000	19.99	06/23/20	0.00	...	19.99	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 06/23/20
	6.000	59.98	06/23/20	0.00	...	59.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 06/23/20
06/24/20	10.000	79.97	Various	0.00	...	79.97	Total of 2 transactions
GOLD 10/09/2020 CALL \$28.00 / CUSIP: / Symbol:							
09/29/20	1.000	79.98	09/29/20	84.00	...	-4.02	Option sale to close-call <sup>25</sup>
GOLD 11/13/2020 PUT \$27.00 / CUSIP: / Symbol:							
11/13/20	1.000	105.98	11/09/20	55.00	...	50.98	Option sale to close-put <sup>25</sup>
GOLD 11/20/2020 PUT \$28.50 / CUSIP: / Symbol:							
11/18/20	1.000	369.98	11/16/20	258.00	...	111.98	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOLD 12/11/2020 PUT \$25.00 / CUSIP: / Symbol:							
12/09/20	1.000	180.98	12/07/20	96.00	...	84.98	Option sale to close-put <sup>25</sup>
GS 07/02/2020 CALL \$197.50 / CUSIP: / Symbol:							
06/30/20	1.000	259.98	06/30/20	260.00	...	-0.02	Option sale to close-call <sup>25</sup>
GS 07/24/2020 CALL \$217.50 / CUSIP: / Symbol:							
07/15/20	1.000	614.97	07/15/20	645.00	30.03 W	0.00	Option sale to close-call <sup>25</sup>
07/17/20	1.000	264.98	07/15/20	675.03	...	-410.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>879.95</b>		<b>1,320.03</b>	<b>30.03 W</b>	<b>-410.05</b>	
GS 07/31/2020 CALL \$202.50 / CUSIP: / Symbol:							
07/28/20	1.000	231.98	07/28/20	233.00	...	-1.02	Option sale to close-call <sup>25</sup>
GS 07/31/2020 CALL \$205.00 / CUSIP: / Symbol:							
07/24/20	1.000	367.98	07/24/20	378.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
	<i>2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	184.99	07/28/20	187.02	...	-2.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	184.99	07/28/20	177.00	...	7.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/28/20	2.000	369.98	Various	364.02	...	5.96	Total of 2 transactions
	<b>Security total:</b>	<b>737.96</b>		<b>742.02</b>	<b>10.02 W</b>	<b>5.96</b>	
GS 09/04/2020 CALL \$207.50 / CUSIP: / Symbol:							
	<i>2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	155.99	09/01/20	151.00	...	4.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	155.99	09/01/20	148.00	...	7.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	2.000	311.98	Various	299.00	...	12.98	Total of 2 transactions
GS 09/04/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/27/20	1.000	419.98	08/27/20	360.00	...	59.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GS 09/04/2020 CALL \$212.50 / CUSIP: / Symbol:							
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	309.98	08/27/20	320.00	...	-10.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	309.98	08/27/20	320.00	...	-10.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	379.98	08/27/20	300.00	...	79.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/27/20	3.000	999.94	Various	940.00	...	59.94	Total of 3 transactions
GS 09/11/2020 CALL \$205.00 / CUSIP: / Symbol:							
09/08/20	1.000	249.98	09/08/20	315.00	65.02 W	0.00	Option sale to close-call <sup>25</sup>
09/09/20	1.000	175.98	09/09/20	255.04	...	-79.06	Option sale to close-call <sup>25</sup>
09/09/20	1.000	169.98	09/09/20	231.02	61.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>595.94</b>		<b>801.06</b>	<b>126.06 W</b>	<b>-79.06</b>	
GS 09/11/2020 CALL \$207.50 / CUSIP: / Symbol:							
09/01/20	2.000	597.97	09/01/20	596.00	...	1.97	Option sale to close-call <sup>25</sup>
09/08/20	1.000	185.98	09/08/20	212.00	...	-26.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>783.95</b>		<b>808.00</b>	...	<b>-24.05</b>	
GS 09/11/2020 CALL \$210.00 / CUSIP: / Symbol:							
09/02/20	1.000	389.98	09/02/20	395.00	...	-5.02	Option sale to close-call <sup>25</sup>
GS 09/11/2020 CALL \$212.50 / CUSIP: / Symbol:							
09/02/20	1.000	299.98	09/02/20	330.00	...	-30.02	Option sale to close-call <sup>25</sup>
GS 12/18/2020 CALL \$220.00 / CUSIP: / Symbol:							
11/09/20	1.000	587.97	10/21/20	430.00	...	157.97	Option sale to close-call <sup>25</sup>
GS 12/18/2020 CALL \$225.00 / CUSIP: / Symbol:							
11/10/20	1.000	-109.02	11/09/20	0.00	...	-109.02	Short sale closed- call <sup>25</sup> Option written: 10/21/20
GSX 04/17/2020 PUT \$25.00 / CUSIP: / Symbol:							
04/17/20	2.000	0.00	04/07/20	230.00	...	-230.00	Option expiration- long put
HD 07/24/2020 CALL \$260.00 / CUSIP: / Symbol:							
07/15/20	2.000	565.97	07/15/20	800.00	...	-234.03	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HD 08/21/2020 CALL \$285.00 / CUSIP: / Symbol:							
08/20/20	3.000	122.98	08/19/20	414.00	...	-291.02	Option sale to close-call <sup>25</sup>
HD 08/21/2020 CALL \$287.50 / CUSIP: / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	227.98	08/18/20	195.00	...	32.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	227.98	08/18/20	195.00	...	32.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/18/20	2.000	455.96	Various	390.00	...	65.96	Total of 2 transactions
HD 08/28/2020 CALL \$282.50 / CUSIP: / Symbol:							
08/19/20	1.000	479.97	08/19/20	450.04	...	29.93	Option sale to close-call <sup>25</sup>
6 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	2.000	779.97	08/19/20	850.00	70.03 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	429.98	08/19/20	440.02	10.04 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	434.98	08/19/20	440.01	5.03 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	444.98	08/19/20	475.03	30.05 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	449.98	08/19/20	470.00	20.02 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	439.98	08/19/20	495.05	55.07 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
08/19/20	7.000	2,979.87	Various	3,170.11	190.24 W	0.00	Total of 6 transactions
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	334.99	08/20/20	365.02	30.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	334.98	08/20/20	400.07	65.09 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	2.000	669.97	Various	765.09	95.12 W	0.00	Total of 2 transactions
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	304.98	08/21/20	343.09	...	-38.11	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	304.99	08/21/20	308.03	...	-3.04	2 of 2 - Option sale to close-call <sup>25</sup>
08/21/20	2.000	609.97	Various	651.12	...	-41.15	Total of 2 transactions
	<b>Security total:</b>	<b>4,739.78</b>		<b>5,036.36</b>	<b>285.36 W</b>	<b>-11.22</b>	
HD 08/28/2020 CALL \$285.00 / CUSIP: / Symbol:							
08/19/20	2.000	689.97	08/19/20	670.00	...	19.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HD 08/28/2020 CALL \$292.50 / CUSIP: / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	206.98	08/26/20	205.00	...	1.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	206.98	08/26/20	205.00	...	1.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	206.98	08/26/20	205.00	...	1.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/26/20	3.000	620.94	Various	615.00	...	5.94	Total of 3 transactions
HD 08/28/2020 PUT \$282.50 / CUSIP: / Symbol:							
08/19/20	2.000	769.97	08/19/20	790.03	...	-20.06	Option sale to close-put <sup>25</sup>
08/19/20	2.000	799.97	08/19/20	810.00	10.03 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,569.94</b>		<b>1,600.03</b>	<b>10.03 W</b>	<b>-20.06</b>	
HD 09/04/2020 CALL \$282.50 / CUSIP: / Symbol:							
08/20/20	2.000	909.96	08/20/20	900.00	...	9.96	Option sale to close-call <sup>25</sup>
HD 09/04/2020 CALL \$285.00 / CUSIP: / Symbol:							
08/19/20	2.000	1,359.95	08/18/20	1,150.00	...	209.95	Option sale to close-call <sup>25</sup>
HD 09/04/2020 CALL \$287.50 / CUSIP: / Symbol:							
09/01/20	2.000	351.98	09/01/20	380.00	...	-28.02	Option sale to close-call <sup>25</sup>
HD 09/04/2020 CALL \$290.00 / CUSIP: / Symbol:							
08/26/20	2.000	673.97	08/26/20	650.00	...	23.97	Option sale to close-call <sup>25</sup>
HD 09/04/2020 CALL \$292.50 / CUSIP: / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	329.99	08/26/20	356.02	...	-26.03	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	659.97	08/26/20	610.00	...	49.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	3.000	989.96	Various	966.02	...	23.94	Total of 2 transactions
08/26/20	1.000	187.98	08/26/20	239.00	51.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,177.94</b>		<b>1,205.02</b>	<b>51.02 W</b>	<b>23.94</b>	
HD 09/11/2020 CALL \$270.00 / CUSIP: / Symbol:							
09/08/20	2.000	493.97	09/08/20	610.00	...	-116.03	Option sale to close-call <sup>25</sup>
HD 09/11/2020 CALL \$272.50 / CUSIP: / Symbol:							
09/08/20	2.000	453.97	09/08/20	532.00	...	-78.03	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HD 09/11/2020 CALL \$277.50 / CUSIP: / Symbol:							
09/09/20	1.000	222.98	09/09/20	240.00	...	-17.02	Option sale to close-call <sup>25</sup>
HD 09/11/2020 CALL \$280.00 / CUSIP: / Symbol:							
4 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	243.98	09/09/20	253.06	...	-9.08	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	243.98	09/09/20	237.00	...	6.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	219.98	09/09/20	212.03	...	7.95	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	230.98	09/09/20	206.00	...	24.98	4 of 4 - Option sale to close-call <sup>25</sup>
09/09/20	4.000	938.92	Various	908.09	...	30.83	Total of 4 transactions
6 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	133.99	09/09/20	157.00	23.01 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	133.99	09/09/20	157.00	23.01 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	189.98	09/09/20	208.01	18.03 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	184.98	09/09/20	208.01	23.03 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	202.98	09/09/20	244.03	41.05 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	230.99	09/09/20	247.05	16.06 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
09/09/20	6.000	1,076.91	Various	1,221.10	144.19 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>2,015.83</b>		<b>2,129.19</b>	<b>144.19 W</b>	<b>30.83</b>	
HD 09/11/2020 CALL \$287.50 / CUSIP: / Symbol:							
09/01/20	2.000	749.97	09/01/20	730.00	...	19.97	Option sale to close-call <sup>25</sup>
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	370.98	09/02/20	370.00	...	0.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	371.98	09/02/20	370.00	...	1.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	742.96	Various	740.00	...	2.96	Total of 2 transactions
	<b>Security total:</b>	<b>1,492.93</b>		<b>1,470.00</b>	...	<b>22.93</b>	
HD 09/11/2020 PUT \$270.00 / CUSIP: / Symbol:							
09/08/20	2.000	919.96	09/08/20	960.00	...	-40.04	Option sale to close-put <sup>25</sup>
HD 09/18/2020 CALL \$282.50 / CUSIP: / Symbol:							
09/14/20	1.000	236.98	09/14/20	310.00	...	-73.02	Option sale to close-call <sup>25</sup>
HD 09/18/2020 CALL \$287.50 / CUSIP: / Symbol:							
09/15/20	1.000	199.98	09/15/20	185.00	...	14.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
HD 09/25/2020 CALL \$277.50 / CUSIP: / Symbol:								
09/22/20	1.000	119.98	09/22/20	122.00	...	-2.02	Option sale to close-call <sup>25</sup>	
HD 11/20/2020 CALL \$290.00 / CUSIP: / Symbol:								
10/20/20	1.000	916.96	09/30/20	819.00	...	97.96	Option sale to close-call <sup>25</sup>	
HD 11/20/2020 CALL \$295.00 / CUSIP: / Symbol:								
10/21/20	1.000	-52.03	10/20/20	0.00	...	-52.03	Short sale closed- call <sup>25</sup> Option written: 09/30/20	
HOLX 01/15/2021 PUT \$75.00 / CUSIP: / Symbol:								
12/23/20	1.000	279.98	12/17/20	295.00	...	-15.02	Option sale to close-put <sup>25</sup>	
HOLX 12/18/2020 PUT \$80.00 / CUSIP: / Symbol:								
12/14/20	1.000	389.98	12/10/20	480.00	...	-90.02	Option sale to close-put <sup>25</sup>	
HOME 11/20/2020 CALL \$15.00 / CUSIP: / Symbol:								
11/18/20	1.000	109.98	11/17/20	52.00	...	57.98	Option sale to close-call <sup>25</sup>	
HOME 11/20/2020 PUT \$15.00 / CUSIP: / Symbol:								
11/18/20	1.000	14.98	11/17/20	46.00	...	-31.02	Option sale to close-put <sup>25</sup>	
HON 09/18/2020 CALL \$167.50 / CUSIP: / Symbol:								
09/14/20	1.000	262.98	09/11/20	233.00	...	29.98	Option sale to close-call <sup>25</sup>	
HON 09/18/2020 CALL \$170.00 / CUSIP: / Symbol:								
09/14/20	1.000	145.98	09/14/20	130.00	...	15.98	Option sale to close-call <sup>25</sup>	
		2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	1.000	124.99	09/14/20	145.00	20.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>	
	1.000	124.99	09/14/20	145.00	20.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>	
09/14/20	2.000	249.98	Various	290.00	40.02 W	0.00	Total of 2 transactions	
09/15/20	1.000	114.98	09/15/20	200.01	...	-85.03	Option sale to close-call <sup>25</sup>	
09/16/20	1.000	178.98	09/15/20	200.01	...	-21.03	Option sale to close-call <sup>25</sup>	
	<b>Security total:</b>	<b>689.92</b>		<b>820.02</b>	<b>40.02 W</b>	<b>-90.08</b>		
HST 08/21/2020 CALL \$11.00 / CUSIP: / Symbol:								
08/07/20	5.000	349.98	08/07/20	375.00	...	-25.02	Option sale to close-call <sup>25</sup>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HUYA 12/24/2020 CALL \$20.50 / CUSIP: / Symbol:							
12/24/20	1.000	0.00	12/16/20	75.00	...	-75.00	Option expiration- long call
HUYA 12/24/2020 PUT \$20.50 / CUSIP: / Symbol:							
12/24/20	1.000	159.98	12/16/20	90.00	...	69.98	Option sale to close-put <sup>25</sup>
HWM 09/18/2020 CALL \$18.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/11/20	45.00	...	-45.00	Option expiration- long call
HWM 09/18/2020 PUT \$18.00 / CUSIP: / Symbol:							
09/18/20	1.000	22.98	09/11/20	55.00	...	-32.02	Option sale to close-put <sup>25</sup>
IBB 07/24/2020 CALL \$145.00 / CUSIP: / Symbol:							
	3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	163.99	07/17/20	164.00	...	-0.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	164.98	07/17/20	164.01	...	0.97	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	329.98	07/17/20	328.00	...	1.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/17/20	4.000	658.95	Various	656.01	...	2.94	Total of 3 transactions
07/17/20	1.000	163.99	07/17/20	164.00	0.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>822.94</b>		<b>820.01</b>	<b>0.01 W</b>	<b>2.94</b>	
IBM 07/24/2020 CALL \$132.00 / CUSIP: / Symbol:							
07/20/20	1.000	119.98	07/20/20	125.00	...	-5.02	Option sale to close-call <sup>25</sup>
IBM 08/28/2020 CALL \$127.00 / CUSIP: / Symbol:							
07/24/20	1.000	286.98	07/20/20	461.00	...	-174.02	Option sale to close-call <sup>25</sup>
IBM 08/28/2020 CALL \$128.00 / CUSIP: / Symbol:							
07/27/20	1.000	140.98	07/24/20	0.00	...	140.98	Short sale closed- call <sup>25</sup> Option written: 07/20/20
IBM 08/28/2020 PUT \$125.00 / CUSIP: / Symbol:							
07/27/20	1.000	55.97	07/24/20	0.00	...	55.97	Short sale closed- put <sup>25</sup> Option written: 07/20/20
IBM 08/28/2020 PUT \$126.00 / CUSIP: / Symbol:							
07/24/20	1.000	511.97	07/20/20	543.00	...	-31.03	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBM 09/04/2020 CALL \$128.00 / CUSIP: / Symbol:							
09/02/20	2.000	161.98	09/02/20	186.00	...	-24.02	Option sale to close-call <sup>25</sup>
IBM 09/18/2020 CALL \$125.00 / CUSIP: / Symbol:							
09/08/20	1.000	129.98	09/03/20	320.00	...	-190.02	Option sale to close-call <sup>25</sup>
ICE 08/21/2020 CALL \$105.00 / CUSIP: / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	44.99	08/17/20	35.00	...	9.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	44.99	08/17/20	35.00	...	9.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/17/20	2.000	89.98	Various	70.00	...	19.98	Total of 2 transactions
IDEX 07/17/2020 CALL \$4.00 / CUSIP: / Symbol:							
8 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	10.98	06/22/20	0.00	...	10.98	1 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
	1.000	10.98	06/22/20	0.00	...	10.98	2 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
	3.000	14.98	06/22/20	0.00	...	14.98	3 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
	2.000	21.98	06/22/20	0.00	...	21.98	4 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
	2.000	21.98	06/22/20	0.00	...	21.98	5 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
	2.000	21.98	06/22/20	0.00	...	21.98	6 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
	2.000	21.98	06/22/20	0.00	...	21.98	7 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
	10.000	199.95	06/22/20	0.00	...	199.95	8 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
06/23/20	23.000	324.81	Various	0.00	...	324.81	Total of 8 transactions
8 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	06/23/20	0.00	...	34.98	1 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
	1.000	34.98	06/23/20	0.00	...	34.98	2 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
IDEX 07/17/2020 CALL \$4.00 / CUSIP: / Symbol: (cont'd)							
	1.000	34.98	06/23/20	0.00	...	34.98	3 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
	1.000	34.98	06/23/20	0.00	...	34.98	4 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
	2.000	69.98	06/23/20	0.00	...	69.98	5 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
	2.000	69.98	06/23/20	0.00	...	69.98	6 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
	2.000	69.98	06/23/20	0.00	...	69.98	7 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
	2.000	69.99	06/23/20	0.00	...	69.99	8 of 8 - Short sale closed- call <sup>25</sup> Option written: 06/22/20
06/24/20	12.000	419.85	Various	0.00	...	419.85	Total of 8 transactions
	<b>Security total:</b>	<b>744.66</b>		<b>0.00</b>	...	<b>744.66</b>	
INTC 07/24/2020 CALL \$60.50 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	146.98	07/17/20	150.00	...	-3.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	146.98	07/17/20	150.00	...	-3.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/17/20	2.000	293.96	Various	300.00	...	-6.04	Total of 2 transactions
INTC 07/31/2020 CALL \$60.50 / CUSIP: / Symbol:							
07/31/20	1.000	0.00	07/23/20	153.00	...	-153.00	Option expiration- long call
INTC 07/31/2020 CALL \$61.50 / CUSIP: / Symbol:							
07/23/20	1.000	144.98	07/23/20	158.00	...	-13.02	Option sale to close-call <sup>25</sup>
INTC 07/31/2020 PUT \$60.50 / CUSIP: / Symbol:							
07/24/20	1.000	854.97	07/23/20	177.00	...	677.97	Option sale to close-put <sup>25</sup>
INTC 09/04/2020 CALL \$49.50 / CUSIP: / Symbol:							
08/28/20	2.000	285.98	08/13/20	252.00	...	33.98	Option sale to close-call <sup>25</sup>
IQ 12/11/2020 PUT \$23.50 / CUSIP: / Symbol:							
12/11/20	1.000	97.98	11/27/20	196.00	...	-98.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IVR 06/19/2020 PUT \$4.00 / CUSIP: / Symbol:							
06/10/20	20.000	999.93	06/09/20	700.00	...	299.93	Option sale to close-put <sup>25</sup>
IVR 06/19/2020 PUT \$7.00 / CUSIP: / Symbol:							
06/10/20	2.000	379.98	06/09/20	360.00	...	19.98	Option sale to close-put <sup>25</sup>
IVR 07/17/2020 CALL \$8.00 / CUSIP: / Symbol:							
<i>11 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	69.99	06/09/20	170.00	100.01 W	0.00	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	69.99	06/09/20	185.00	115.01 W	0.00	2 of 11 - Option sale to close-call <sup>25</sup>
	1.000	71.00	06/09/20	265.01	194.01 W	0.00	3 of 11 - Option sale to close-call <sup>25</sup>
	3.000	212.99	06/09/20	450.00	237.01 W	0.00	4 of 11 - Option sale to close-call <sup>25</sup>
	5.000	354.98	06/09/20	725.00	370.02 W	0.00	5 of 11 - Option sale to close-call <sup>25</sup>
	1.000	71.00	06/09/20	285.01	214.01 W	0.00	6 of 11 - Option sale to close-call <sup>25</sup>
	3.000	212.99	06/09/20	555.00	342.01 W	0.00	7 of 11 - Option sale to close-call <sup>25</sup>
	2.000	141.99	06/09/20	280.00	138.01 W	0.00	8 of 11 - Option sale to close-call <sup>25</sup>
	2.000	141.99	06/09/20	280.00	138.01 W	0.00	9 of 11 - Option sale to close-call <sup>25</sup>
	2.000	141.99	06/09/20	280.00	138.01 W	0.00	10 of 11 - Option sale to close-call <sup>25</sup>
	1.000	70.99	06/09/20	135.00	64.01 W	0.00	11 of 11 - Option sale to close-call <sup>25</sup>
06/10/20	22.000	1,559.90	Various	3,610.02	2,050.12 W	0.00	Total of 11 transactions
<i>8 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	30.00	06/10/20	369.03	...	-339.03	1 of 8 - Option sale to close-call <sup>25</sup>
	2.000	59.99	06/10/20	258.01	...	-198.02	2 of 8 - Option sale to close-call <sup>25</sup>
	2.000	59.99	06/10/20	258.01	...	-198.02	3 of 8 - Option sale to close-call <sup>25</sup>
	2.000	60.00	06/10/20	258.01	...	-198.01	4 of 8 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IVR 07/17/2020 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	1.000	30.00	06/10/20	114.01	...	-84.01	5 of 8 - Option sale to close-call <sup>25</sup>
	5.000	149.98	06/10/20	225.00	...	-75.02	6 of 8 - Option sale to close-call <sup>25</sup>
	3.000	89.99	06/10/20	150.00	...	-60.01	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	30.00	06/10/20	45.00	...	-15.00	8 of 8 - Option sale to close-call <sup>25</sup>
06/12/20	17.000	509.95	Various	1,677.07	...	-1,167.12	Total of 8 transactions
	8 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	1.000	29.98	06/09/20	349.01	319.03 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	30.00	06/09/20	324.01	294.01 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	30.00	06/09/20	244.00	214.00 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	30.00	06/09/20	209.00	179.00 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	2.000	59.99	06/09/20	488.01	428.02 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	2.000	59.99	06/09/20	418.01	358.02 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	30.00	06/09/20	204.00	174.00 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	4.000	119.99	06/10/20	536.02	104.01 W	-312.02	8 of 8 - Option sale to close-call <sup>25</sup>
06/12/20	13.000	389.95	Various	2,772.06	2,070.09 W	-312.02	Total of 8 transactions
	7 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	2.000	0.00	06/10/20	518.02	...	-518.02	1 of 7 - Option expiration- long call
	2.000	0.00	06/10/20	448.02	...	-448.02	2 of 7 - Option expiration- long call
	1.000	0.00	06/10/20	339.01	...	-339.01	3 of 7 - Option expiration- long call
	1.000	0.00	06/10/20	259.00	...	-259.00	4 of 7 - Option expiration- long call
	1.000	0.00	06/10/20	224.00	...	-224.00	5 of 7 - Option expiration- long call
	1.000	0.00	06/10/20	219.00	...	-219.00	6 of 7 - Option expiration- long call
	1.000	0.00	06/10/20	149.01	...	-149.01	7 of 7 - Option expiration- long call
07/17/20	9.000	0.00	Various	2,156.06	...	-2,156.06	Total of 7 transactions
	<b>Security total:</b>	<b>2,459.80</b>		<b>10,215.21</b>	<b>4,120.21 W</b>	<b>-3,635.20</b>	
IVR 11/20/2020 PUT \$5.50 / CUSIP: / Symbol:							
11/19/20	1.000	229.98	11/17/20	210.00	...	19.98	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IWM 05/15/2020 CALL \$140.00 / CUSIP: / Symbol:							
3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	04/29/20	795.00	...	-795.00	1 of 3 - Option expiration- long call
	1.000	0.00	04/30/20	115.00	...	-115.00	2 of 3 - Option expiration- long call
	1.000	0.00	04/30/20	101.00	...	-101.00	3 of 3 - Option expiration- long call
05/15/20	5.000	0.00	Various	1,011.00	...	-1,011.00	Total of 3 transactions
IWM 07/02/2020 CALL \$142.00 / CUSIP: / Symbol:							
4 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	2.000	263.99	07/01/20	254.00	...	9.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	164.99	07/01/20	153.00	...	11.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	131.99	07/01/20	120.00	...	11.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	164.99	07/01/20	150.00	...	14.99	4 of 4 - Option sale to close-call <sup>25</sup>
07/01/20	5.000	725.96	Various	677.00	...	48.96	Total of 4 transactions
IWM 07/17/2020 CALL \$141.00 / CUSIP: / Symbol:							
07/14/20	2.000	403.98	07/14/20	448.02	...	-44.04	Option sale to close-call <sup>25</sup>
07/14/20	2.000	431.98	07/14/20	448.00	16.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>835.96</b>		<b>896.02</b>	<b>16.02 W</b>	<b>-44.04</b>	
IWM 07/24/2020 CALL \$146.50 / CUSIP: / Symbol:							
07/15/20	1.000	372.98	07/15/20	366.00	...	6.98	Option sale to close-call <sup>25</sup>
JD 06/19/2020 CALL \$64.00 / CUSIP: / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	2.000	85.99	06/10/20	190.00	...	-104.01	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	85.99	06/10/20	190.00	...	-104.01	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	86.00	06/10/20	190.00	...	-104.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/16/20	6.000	257.98	Various	570.00	...	-312.02	Total of 3 transactions
JD 06/26/2020 CALL \$61.00 / CUSIP: / Symbol:							
5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	259.98	06/08/20	165.00	...	94.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	259.99	06/08/20	165.00	...	94.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	259.99	06/08/20	165.00	...	94.99	3 of 5 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JD 06/26/2020 CALL \$61.00 / CUSIP: / Symbol: (cont'd)							
	1.000	259.99	06/08/20	162.00	...	97.99	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	259.99	06/08/20	147.00	...	112.99	5 of 5 - Option sale to close-call <sup>25</sup>
06/10/20	5.000	1,299.94	Various	804.00	...	495.94	Total of 5 transactions
JD 07/10/2020 CALL \$64.00 / CUSIP: / Symbol:							
	6 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	5.000	699.97	07/08/20	805.00	...	-105.03	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	141.98	07/08/20	179.01	...	-37.03	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	141.99	07/08/20	179.01	...	-37.02	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	142.00	07/08/20	179.01	...	-37.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	141.99	07/08/20	179.00	...	-37.01	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	141.99	07/08/20	179.00	...	-37.01	6 of 6 - Option sale to close-call <sup>25</sup>
07/08/20	10.000	1,409.92	Various	1,700.03	...	-290.11	Total of 6 transactions
	4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	2.000	279.99	07/08/20	322.00	42.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	139.99	07/08/20	161.00	21.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	139.99	07/08/20	161.00	21.01 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	140.00	07/08/20	161.00	21.00 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
07/08/20	5.000	699.97	Various	805.00	105.03 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>2,109.89</b>		<b>2,505.03</b>	<b>105.03 W</b>	<b>-290.11</b>	
JD 07/10/2020 CALL \$66.00 / CUSIP: / Symbol:							
	2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	1.000	111.99	07/08/20	112.00	...	-0.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	111.99	07/08/20	110.00	...	1.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/08/20	2.000	223.98	Various	222.00	...	1.98	Total of 2 transactions
JD 07/31/2020 CALL \$62.00 / CUSIP: / Symbol:							
	4 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	171.99	07/23/20	173.00	...	-1.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	173.98	07/23/20	173.00	...	0.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	173.98	07/23/20	173.00	...	0.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	171.99	07/23/20	165.00	...	6.99	4 of 4 - Option sale to close-call <sup>25</sup>
07/23/20	4.000	691.94	Various	684.00	...	7.94	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JD 08/28/2020 CALL \$75.00 / CUSIP: / Symbol:							
4 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	2.000	377.99	08/21/20	418.02	...	-40.03	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	188.99	08/21/20	208.00	...	-19.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	188.99	08/21/20	186.00	...	2.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	184.99	08/21/20	175.00	...	9.99	4 of 4 - Option sale to close-call <sup>25</sup>
08/21/20	5.000	940.96	Various	987.02	...	-46.06	Total of 4 transactions
08/21/20	2.000	369.98	08/21/20	372.00	2.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,310.94</b>		<b>1,359.02</b>	<b>2.02 W</b>	<b>-46.06</b>	
JD 08/28/2020 CALL \$76.00 / CUSIP: / Symbol:							
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	206.98	08/21/20	139.00	...	67.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	206.99	08/21/20	139.00	...	67.99	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	620.98	08/21/20	420.00	...	200.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/24/20	5.000	1,034.95	Various	698.00	...	336.95	Total of 3 transactions
JD 08/28/2020 CALL \$77.00 / CUSIP: / Symbol:							
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	120.98	08/24/20	130.00	...	-9.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	120.98	08/24/20	130.00	...	-9.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	120.98	08/24/20	130.00	...	-9.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/24/20	3.000	362.94	Various	390.00	...	-27.06	Total of 3 transactions
JD 09/04/2020 CALL \$83.00 / CUSIP: / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	158.98	09/01/20	129.00	...	29.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	158.98	09/01/20	129.00	...	29.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	2.000	317.96	Various	258.00	...	59.96	Total of 2 transactions
JD 11/06/2020 CALL \$80.00 / CUSIP: / Symbol:							
10/30/20	1.000	334.98	10/30/20	335.00	...	-0.02	Option sale to close-call <sup>25</sup>
JD 11/13/2020 CALL \$83.00 / CUSIP: / Symbol:							
11/03/20	1.000	378.98	10/29/20	411.00	...	-32.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
JD 11/13/2020 CALL \$85.00 / CUSIP: / Symbol:							
11/04/20	1.000	15.98	11/03/20	0.00	...	15.98	Short sale closed- call <sup>25</sup> Option written: 10/29/20
JD 11/13/2020 CALL \$96.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.00	11/05/20	127.00	...	-127.00	Option expiration- long call
JD 11/20/2020 CALL \$82.50 / CUSIP: / Symbol:							
10/09/20	1.000	394.98	10/02/20	344.00	...	50.98	Option sale to close-call <sup>25</sup>
JD 11/20/2020 CALL \$85.00 / CUSIP: / Symbol:							
10/12/20	1.000	-38.02	10/09/20	0.00	...	-38.02	Short sale closed- call <sup>25</sup> Option written: 10/02/20
JD 11/20/2020 CALL \$90.00 / CUSIP: / Symbol:							
10/29/20	1.000	196.98	10/02/20	178.00	...	18.98	Option sale to close-call <sup>25</sup>
JD 11/20/2020 CALL \$95.00 / CUSIP: / Symbol:							
10/30/20	1.000	11.98	10/29/20	0.00	...	11.98	Short sale closed- call <sup>25</sup> Option written: 10/02/20
JNJ 08/21/2020 CALL \$149.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	149.99	08/17/20	153.00	...	-3.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	149.99	08/17/20	153.00	...	-3.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/17/20	2.000	299.98	Various	306.00	...	-6.02	Total of 2 transactions
JNJ 09/11/2020 CALL \$150.00 / CUSIP: / Symbol:							
<i>5 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	404.98	09/09/20	429.00	...	-24.02	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	143.99	09/09/20	140.03	...	3.96	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	143.99	09/09/20	139.01	...	4.98	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	157.98	09/09/20	105.00	...	52.98	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	157.98	09/09/20	105.00	...	52.98	5 of 5 - Option sale to close-call <sup>25</sup>
09/09/20	7.000	1,008.92	Various	918.04	...	90.88	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JNJ 09/11/2020 CALL \$150.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	157.98	09/09/20	161.00	3.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	106.99	09/09/20	120.02	13.03 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	106.99	09/09/20	117.00	10.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/09/20	3.000	371.96	Various	398.02	26.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,380.88</b>		<b>1,316.06</b>	<b>26.06 W</b>	<b>90.88</b>	
JNJ 11/06/2020 CALL \$155.00 / CUSIP: / Symbol:							
2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/28/20	214.00	...	-214.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/28/20	201.00	...	-201.00	2 of 2 - Option expiration- long call
11/06/20	2.000	0.00	Various	415.00	...	-415.00	Total of 2 transactions
JNJ 11/06/2020 CALL \$157.50 / CUSIP: / Symbol:							
2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	137.98	11/06/20	0.00	...	137.98	1 of 2 - Short sale closed- call expired
	1.000	150.98	11/06/20	0.00	...	150.98	Option written: 09/28/20 2 of 2 - Short sale closed- call expired
11/06/20	2.000	288.96	Various	0.00	...	288.96	Option written: 09/28/20 Total of 2 transactions
JNUG 07/24/2020 CALL \$160.00 / CUSIP: / Symbol:							
07/22/20	1.000	449.98	07/22/20	570.00	...	-120.02	Option sale to close-call <sup>25</sup>
JPM 06/19/2020 PUT \$88.00 / CUSIP: / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	103.98	05/27/20	78.00	...	25.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	103.98	05/29/20	95.00	...	8.98	2 of 2 - Option sale to close-put <sup>25</sup>
05/29/20	2.000	207.96	Various	173.00	...	34.96	Total of 2 transactions
JPM 06/26/2020 CALL \$101.00 / CUSIP: / Symbol:							
06/25/20	1.000	127.98	06/24/20	0.00	...	127.98	Short sale closed- call <sup>25</sup> Option written: 06/23/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JPM 07/02/2020 CALL \$100.00 / CUSIP: / Symbol:							
07/02/20	1.000	5.98	07/02/20	0.00	...	5.98	Short sale closed- call expired Option written: 06/30/20
JPM 07/10/2020 CALL \$93.00 / CUSIP: / Symbol:							
07/02/20	1.000	178.98	07/02/20	175.00	...	3.98	Option sale to close-call <sup>25</sup>
JPM 07/17/2020 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	30.97	05/29/20	0.00	...	30.97	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	1.000	189.97	05/29/20	0.00	...	189.97	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 05/27/20
06/01/20	2.000	220.94	Various	0.00	...	220.94	Total of 2 transactions
07/01/20	1.000	-1.02	06/30/20	0.00	...	-1.02	Short sale closed- call <sup>25</sup> Option written: 06/30/20
<b>Security total:</b>		<b>219.92</b>		<b>0.00</b>	...	<b>219.92</b>	
JPM 07/17/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	06/10/20	480.00	...	-480.00	1 of 2 - Option expiration- long call
	2.000	0.00	06/10/20	320.00	...	-320.00	2 of 2 - Option expiration- long call
07/17/20	5.000	0.00	Various	800.00	...	-800.00	Total of 2 transactions
JPM 07/17/2020 CALL \$93.00 / CUSIP: / Symbol:							
07/10/20	3.000	1,034.96	07/10/20	1,020.00	...	14.96	Option sale to close-call <sup>25</sup>
JPM 07/17/2020 CALL \$99.50 / CUSIP: / Symbol:							
07/15/20	1.000	-13.02	07/14/20	0.00	...	-13.02	Short sale closed- call <sup>25</sup> Option written: 07/14/20
JPM 07/24/2020 CALL \$99.00 / CUSIP: / Symbol:							
07/13/20	1.000	400.98	07/10/20	282.00	...	118.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JPM 07/31/2020 CALL \$99.00 / CUSIP: / Symbol:							
07/10/20	1.000	269.98	07/10/20	270.00	...	-0.02	Option sale to close-call <sup>25</sup>
07/13/20	1.000	-70.02	07/10/20	0.00	...	-70.02	Short sale closed- call <sup>25</sup> Option written: 07/10/20
<b>Security total:</b>		<b>199.96</b>		<b>270.00</b>	...	<b>-70.04</b>	
JPM 08/14/2020 CALL \$102.00 / CUSIP: / Symbol:							
08/10/20	1.000	132.98	08/10/20	162.00	...	-29.02	Option sale to close-call <sup>25</sup>
JPM 08/28/2020 CALL \$102.00 / CUSIP: / Symbol:							
08/25/20	1.000	61.98	08/25/20	106.00	44.02 W	0.00	Option sale to close-call <sup>25</sup>
<i>3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	111.98	08/25/20	106.00	...	5.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	96.98	08/27/20	132.02	...	-35.04	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	96.98	08/27/20	88.00	...	8.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/27/20	3.000	305.94	Various	326.02	...	-20.08	Total of 3 transactions
<b>Security total:</b>		<b>367.92</b>		<b>432.02</b>	<b>44.02 W</b>	<b>-20.08</b>	
JPM 09/04/2020 CALL \$104.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	209.99	08/14/20	284.00	...	-74.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	209.99	08/14/20	270.00	...	-60.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/17/20	2.000	419.98	Various	554.00	...	-134.02	Total of 2 transactions
JPM 09/18/2020 CALL \$100.00 / CUSIP: / Symbol:							
05/29/20	1.000	711.97	05/29/20	940.03	...	-228.06	Option sale to close-call <sup>25</sup>
05/29/20	1.000	707.97	05/27/20	899.00	191.03 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,419.94</b>		<b>1,839.03</b>	<b>191.03 W</b>	<b>-228.06</b>	
JPM 09/18/2020 CALL \$130.00 / CUSIP: / Symbol:							
05/29/20	1.000	58.98	05/29/20	75.02	...	-16.04	Option sale to close-call <sup>25</sup>
05/29/20	1.000	57.98	05/27/20	73.00	15.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>116.96</b>		<b>148.02</b>	<b>15.02 W</b>	<b>-16.04</b>	
JPM 10/30/2020 CALL \$104.00 / CUSIP: / Symbol:							
10/23/20	1.000	144.98	10/23/20	153.00	...	-8.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JPM 10/30/2020 CALL \$105.00 / CUSIP: / Symbol:							
10/23/20	1.000	94.98	10/23/20	128.00	...	-33.02	Option sale to close-call <sup>25</sup>
JPM 11/27/2020 CALL \$108.00 / CUSIP: / Symbol:							
4 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	1.000	238.98	10/22/20	196.00	...	42.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	238.98	10/22/20	196.00	...	42.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	243.98	10/22/20	196.00	...	47.98	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	477.97	10/22/20	392.00	...	85.97	4 of 4 - Option sale to close-call <sup>25</sup>
11/03/20	5.000	1,199.91	Various	980.00	...	219.91	Total of 4 transactions
JPM 11/27/2020 CALL \$109.00 / CUSIP: / Symbol:							
2 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	1.000	-119.05	11/03/20	0.00	...	-119.05	1 of 2 - Short sale closed- call <sup>25</sup>
	1.000	-76.03	11/03/20	0.00	...	-76.03	Option written: 10/22/20 2 of 2 - Short sale closed- call <sup>25</sup>
11/04/20	2.000	-195.08	Various	0.00	...	-195.08	Option written: 10/22/20 Total of 2 transactions
2 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	2.000	-76.02	11/03/20	0.00	76.02 W	0.00	1 of 2 - Short sale closed- call <sup>25</sup>
	1.000	-76.03	11/03/20	0.00	76.03 W	0.00	Option written: 10/22/20 2 of 2 - Short sale closed- call <sup>25</sup>
11/04/20	3.000	-152.05	Various	0.00	152.05 W	0.00	Option written: 10/22/20 Total of 2 transactions
	<b>Security total:</b>	<b>-347.13</b>		<b>0.00</b>	<b>152.05 W</b>	<b>-195.08</b>	
JWN 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/18/20	10.000	59.97	06/09/20	200.00	...	-140.03	Option sale to close-call <sup>25</sup>
JWN 11/13/2020 PUT \$17.00 / CUSIP: / Symbol:							
11/12/20	1.000	160.98	11/12/20	104.00	...	56.98	Option sale to close-put <sup>25</sup>
11/13/20	1.000	0.00	11/12/20	153.00	...	-153.00	Option expiration- long put
	<b>Security total:</b>	<b>160.98</b>		<b>257.00</b>	...	<b>-96.02</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JWN 11/20/2020 CALL \$17.50 / CUSIP: / Symbol: 11/17/20	1.000	-30.02	11/16/20	0.00	...	-30.02	Short sale closed- call <sup>25</sup> Option written: 11/13/20
JWN 11/20/2020 CALL \$19.00 / CUSIP: / Symbol: 11/16/20	1.000	108.98	11/16/20	82.00	...	26.98	Option sale to close-call <sup>25</sup>
KEY 03/20/2020 PUT \$14.00 / CUSIP: / Symbol: 03/10/20	1.000	209.98	02/28/20	33.00	...	176.98	Option sale to close-put <sup>25</sup>
KEY 06/05/2020 CALL \$11.00 / CUSIP: / Symbol: 05/29/20	1.000	-18.02	05/28/20	0.00	...	-18.02	Short sale closed- call <sup>25</sup> Option written: 05/26/20
KEY 06/19/2020 CALL \$16.00 / CUSIP: / Symbol: 06/08/20	4.000	127.98	03/10/20	224.00	...	-96.02	Option sale to close-call <sup>25</sup>
KEY 06/19/2020 PUT \$10.00 / CUSIP: / Symbol: 06/19/20	1.000	0.00	05/26/20	14.00	...	-14.00	Option expiration- long put
KEY 07/02/2020 CALL \$11.00 / CUSIP: / Symbol: 06/02/20	1.000	149.98	05/26/20	137.00	...	12.98	Option sale to close-call <sup>25</sup>
KEY 07/17/2020 CALL \$15.00 / CUSIP: / Symbol: 05/29/20	3.000	20.98	05/26/20	21.00	...	-0.02	Option sale to close-call <sup>25</sup>
KEY 11/13/2020 CALL \$15.00 / CUSIP: / Symbol: 11/10/20	1.000	64.98	11/10/20	55.00	...	9.98	Option sale to close-call <sup>25</sup>
KEY 11/13/2020 PUT \$16.00 / CUSIP: / Symbol: 11/12/20	1.000	139.98	11/10/20	65.00	...	74.98	Option sale to close-put <sup>25</sup>
KNDI 12/18/2020 CALL \$14.00 / CUSIP: / Symbol: 11/23/20	1.000	-10.02	11/20/20	0.00	...	-10.02	Short sale closed- call <sup>25</sup> Option written: 11/20/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KO 06/05/2020 CALL \$47.00 / CUSIP: / Symbol:							
5 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	3.000	-57.02	05/28/20	0.00	...	-57.02	1 of 5 - Short sale closed- call <sup>25</sup> Option written: 05/27/20
	3.000	-45.02	05/28/20	0.00	...	-45.02	2 of 5 - Short sale closed- call <sup>25</sup> Option written: 05/27/20
	3.000	-42.02	05/28/20	0.00	...	-42.02	3 of 5 - Short sale closed- call <sup>25</sup> Option written: 05/27/20
	3.000	-39.02	05/28/20	0.00	...	-39.02	4 of 5 - Short sale closed- call <sup>25</sup> Option written: 05/27/20
	3.000	-39.02	05/28/20	0.00	...	-39.02	5 of 5 - Short sale closed- call <sup>25</sup> Option written: 05/27/20
05/29/20	15.000	-222.10	Various	0.00	...	-222.10	Total of 5 transactions
KO 06/05/2020 CALL \$47.50 / CUSIP: / Symbol:							
5 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	55.99	05/27/20	47.00	...	8.99	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	55.99	05/27/20	46.00	...	9.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	56.00	05/27/20	46.00	...	10.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	56.00	05/27/20	45.00	...	11.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	56.00	05/27/20	45.00	...	11.00	5 of 5 - Option sale to close-call <sup>25</sup>
05/28/20	5.000	279.98	Various	229.00	...	50.98	Total of 5 transactions
KO 06/05/2020 PUT \$46.00 / CUSIP: / Symbol:							
5 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	30.00	05/27/20	46.00	...	-16.00	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	30.00	05/27/20	44.00	...	-14.00	2 of 5 - Option sale to close-put <sup>25</sup>
	1.000	29.99	05/27/20	43.00	...	-13.01	3 of 5 - Option sale to close-put <sup>25</sup>
	1.000	29.99	05/27/20	42.00	...	-12.01	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	30.00	05/27/20	41.00	...	-11.00	5 of 5 - Option sale to close-put <sup>25</sup>
05/28/20	5.000	149.98	Various	216.00	...	-66.02	Total of 5 transactions
KO 06/12/2020 PUT \$46.00 / CUSIP: / Symbol:							
05/27/20	1.000	69.98	05/26/20	135.00	...	-65.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KO 06/19/2020 CALL \$47.00 / CUSIP: / Symbol:							
6 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	114.99	05/27/20	94.00	...	20.99	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	229.99	05/27/20	186.00	...	43.99	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	344.98	05/27/20	285.00	...	59.98	3 of 6 - Option sale to close-call <sup>25</sup>
	3.000	344.99	05/27/20	285.00	...	59.99	4 of 6 - Option sale to close-call <sup>25</sup>
	3.000	344.99	05/27/20	285.00	...	59.99	5 of 6 - Option sale to close-call <sup>25</sup>
	3.000	344.99	05/27/20	270.00	...	74.99	6 of 6 - Option sale to close-call <sup>25</sup>
05/28/20	15.000	1,724.93	Various	1,405.00	...	319.93	Total of 6 transactions
KO 07/02/2020 CALL \$45.50 / CUSIP: / Symbol:							
05/27/20	1.000	214.98	05/26/20	180.00	...	34.98	Option sale to close-call <sup>25</sup>
KO 09/18/2020 CALL \$47.00 / CUSIP: / Symbol:							
05/27/20	1.000	239.98	05/26/20	208.00	...	31.98	Option sale to close-call <sup>25</sup>
KRO 07/17/2020 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/05/20	30.00	...	-30.00	1 of 2 - Option expiration- long call
	3.000	0.00	06/05/20	30.00	...	-30.00	2 of 2 - Option expiration- long call
07/17/20	5.000	0.00	Various	60.00	...	-60.00	Total of 2 transactions
KRO 08/21/2020 CALL \$12.50 / CUSIP: / Symbol:							
06/08/20	2.000	69.98	06/05/20	60.00	...	9.98	Option sale to close-call <sup>25</sup>
KSS 06/19/2020 PUT \$22.50 / CUSIP: / Symbol:							
06/12/20	1.000	114.98	06/10/20	75.00	...	39.98	Option sale to close-put <sup>25</sup>
KSS 07/10/2020 CALL \$35.00 / CUSIP: / Symbol:							
07/10/20	2.000	0.00	06/09/20	160.00	...	-160.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KSS 10/30/2020 CALL \$21.50 / CUSIP: / Symbol:							
3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	144.98	10/07/20	90.00	...	54.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	144.99	10/07/20	90.00	...	54.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	144.99	10/07/20	90.00	...	54.99	3 of 3 - Option sale to close-call <sup>25</sup>
10/12/20	3.000	434.96	Various	270.00	...	164.96	Total of 3 transactions
KSS 10/30/2020 CALL \$22.50 / CUSIP: / Symbol:							
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	1.000	-82.04	10/12/20	0.00	...	-82.04	1 of 2 - Short sale closed- call <sup>25</sup>
	1.000	-41.02	10/12/20	0.00	...	-41.02	Option written: 10/07/20 2 of 2 - Short sale closed- call <sup>25</sup>
10/13/20	2.000	-123.06	Various	0.00	...	-123.06	Option written: 10/07/20 Total of 2 transactions
10/13/20	1.000	-41.02	10/12/20	0.00	41.02 W	0.00	Short sale closed- call <sup>25</sup> Option written: 10/07/20
	<b>Security total:</b>	<b>-164.08</b>		<b>0.00</b>	<b>41.02 W</b>	<b>-123.06</b>	
KSS 11/20/2020 CALL \$24.50 / CUSIP: / Symbol:							
11/17/20	1.000	429.98	11/13/20	147.00	...	282.98	Option sale to close-call <sup>25</sup>
KSS 11/20/2020 CALL \$29.00 / CUSIP: / Symbol:							
11/20/20	1.000	37.98	11/18/20	84.00	...	-46.02	Option sale to close-call <sup>25</sup>
KSS 11/20/2020 PUT \$24.50 / CUSIP: / Symbol:							
11/17/20	1.000	2.98	11/13/20	173.00	...	-170.02	Option sale to close-put <sup>25</sup>
KSS 11/20/2020 PUT \$29.50 / CUSIP: / Symbol:							
11/20/20	1.000	47.98	11/18/20	77.00	...	-29.02	Option sale to close-put <sup>25</sup>
LI 11/20/2020 CALL \$40.00 / CUSIP: / Symbol:							
11/12/20	1.000	215.98	11/12/20	191.00	...	24.98	Option sale to close-call <sup>25</sup>
LI 12/11/2020 PUT \$33.00 / CUSIP: / Symbol:							
12/11/20	1.000	106.98	12/11/20	75.00	...	31.98	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LITE 08/14/2020 PUT \$87.00 / CUSIP: / Symbol:							
08/10/20	1.000	284.98	08/10/20	285.00	...	-0.02	Option sale to close-put <sup>25</sup>
LMT 07/24/2020 CALL \$385.00 / CUSIP: / Symbol:							
07/22/20	1.000	309.98	07/22/20	255.00	...	54.98	Option sale to close-call <sup>25</sup>
LMT 07/24/2020 CALL \$390.00 / CUSIP: / Symbol:							
07/22/20	1.000	409.98	07/22/20	380.00	...	29.98	Option sale to close-call <sup>25</sup>
LMT 07/31/2020 CALL \$400.00 / CUSIP: / Symbol:							
07/22/20	1.000	391.98	07/22/20	480.00	...	-88.02	Option sale to close-call <sup>25</sup>
LMT 08/07/2020 CALL \$385.00 / CUSIP: / Symbol:							
08/05/20	2.000	379.98	08/05/20	490.00	...	-110.02	Option sale to close-call <sup>25</sup>
LMT 10/16/2020 CALL \$395.00 / CUSIP: / Symbol:							
10/14/20	1.000	243.98	10/08/20	230.00	...	13.98	Option sale to close-call <sup>25</sup>
LMT 10/16/2020 CALL \$400.00 / CUSIP: / Symbol:							
10/15/20	1.000	21.98	10/14/20	0.00	...	21.98	Short sale closed- call <sup>25</sup> Option written: 10/08/20
LOW 08/21/2020 CALL \$160.00 / CUSIP: / Symbol:							
08/17/20	1.000	384.98	08/17/20	380.00	...	4.98	Option sale to close-call <sup>25</sup>
LQD 07/17/2020 CALL \$139.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	06/15/20	50.00	...	-50.00	Option expiration- long call
LVS 06/19/2020 CALL \$55.00 / CUSIP: / Symbol:							
<i>6 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	254.99	06/05/20	210.00	...	44.99	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	254.99	06/05/20	195.00	...	59.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	255.00	06/05/20	195.00	...	60.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	254.99	06/05/20	185.00	...	69.99	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	254.99	06/05/20	175.00	...	79.99	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	509.99	06/05/20	390.00	...	119.99	6 of 6 - Option sale to close-call <sup>25</sup>
06/08/20	7.000	1,784.95	Various	1,350.00	...	434.95	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
M 01/15/2021 CALL \$7.00 / CUSIP: / Symbol:							
09/01/20	2.000	295.98	08/28/20	282.00	...	13.98	Option sale to close-call <sup>25</sup>
M 07/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/18/20	3.000	23.98	06/09/20	129.00	105.02 W	0.00	Option sale to close-call <sup>25</sup>
	8 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	7.000	55.99	06/09/20	301.00	...	-245.01	1 of 8 - Option sale to close-call <sup>25</sup>
	10.000	79.98	06/09/20	280.00	...	-200.02	2 of 8 - Option sale to close-call <sup>25</sup>
	3.000	23.99	06/09/20	207.02	...	-183.03	3 of 8 - Option sale to close-call <sup>25</sup>
	7.000	55.98	06/09/20	238.00	...	-182.02	4 of 8 - Option sale to close-call <sup>25</sup>
	7.000	55.99	06/09/20	210.00	...	-154.01	5 of 8 - Option sale to close-call <sup>25</sup>
	3.000	23.99	06/09/20	90.00	...	-66.01	6 of 8 - Option sale to close-call <sup>25</sup>
	6.000	47.99	06/10/20	102.00	...	-54.01	7 of 8 - Option sale to close-call <sup>25</sup>
	4.000	31.99	06/10/20	68.00	...	-36.01	8 of 8 - Option sale to close-call <sup>25</sup>
06/19/20	47.000	375.90	Various	1,496.02	...	-1,120.12	Total of 8 transactions
	<b>Security total:</b>	<b>399.88</b>		<b>1,625.02</b>	<b>105.02 W</b>	<b>-1,120.12</b>	
M 07/24/2020 CALL \$6.50 / CUSIP: / Symbol:							
	3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	5.000	74.98	07/22/20	90.00	...	-15.02	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	44.98	07/22/20	54.00	...	-9.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	15.00	07/22/20	21.00	...	-6.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/22/20	9.000	134.96	Various	165.00	...	-30.04	Total of 3 transactions
07/22/20	1.000	15.00	07/22/20	18.00	3.00 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>149.96</b>		<b>183.00</b>	<b>3.00 W</b>	<b>-30.04</b>	
M 11/20/2020 PUT \$10.00 / CUSIP: / Symbol:							
	2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	1.000	83.98	11/19/20	138.00	...	-54.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	84.98	11/19/20	138.00	...	-53.02	2 of 2 - Option sale to close-put <sup>25</sup>
11/19/20	2.000	168.96	Various	276.00	...	-107.04	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
M 12/04/2020 PUT \$12.50 / CUSIP: / Symbol:							
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	5.000	384.98	12/02/20	950.00	...	-565.02	1 of 3 - Option sale to close-put <sup>25</sup>
	4.000	311.98	12/02/20	760.00	...	-448.02	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	78.00	12/02/20	190.00	...	-112.00	3 of 3 - Option sale to close-put <sup>25</sup>
12/04/20	10.000	774.96	Various	1,900.00	...	-1,125.04	Total of 3 transactions
MA 09/04/2020 CALL \$367.50 / CUSIP: / Symbol:							
08/28/20	1.000	484.97	08/28/20	470.00	...	14.97	Option sale to close-call <sup>25</sup>
MAC 12/18/2020 CALL \$11.00 / CUSIP: / Symbol:							
12/14/20	1.000	42.98	12/03/20	80.00	...	-37.02	Option sale to close-call <sup>25</sup>
MAC 12/18/2020 PUT \$11.00 / CUSIP: / Symbol:							
12/14/20	1.000	31.98	12/03/20	79.00	...	-47.02	Option sale to close-put <sup>25</sup>
MCD 01/17/2020 CALL \$220.00 / CUSIP: / Symbol:							
01/17/20	4.000	0.00	12/09/19	16.00	...	-16.00	Option expiration- long call
MCD 07/17/2020 CALL \$190.00 / CUSIP: / Symbol:							
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	161.98	07/13/20	180.00	...	-18.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	169.98	07/13/20	156.00	...	13.98	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	679.97	07/13/20	624.00	...	55.97	3 of 3 - Option sale to close-call <sup>25</sup>
07/13/20	6.000	1,011.93	Various	960.00	...	51.93	Total of 3 transactions
07/13/20	1.000	161.98	07/13/20	180.00	18.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	187.99	07/13/20	180.00	...	7.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	187.99	07/13/20	178.02	...	9.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/14/20	2.000	375.98	Various	358.02	...	17.96	Total of 2 transactions
	<b>Security total:</b>	<b>1,549.89</b>		<b>1,498.02</b>	<b>18.02 W</b>	<b>69.89</b>	
MCD 07/17/2020 PUT \$190.00 / CUSIP: / Symbol:							
07/15/20	3.000	494.97	07/15/20	519.00	...	-24.03	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MCD 07/24/2020 CALL \$190.00 / CUSIP: / Symbol:							
07/14/20	1.000	339.98	07/14/20	360.00	...	-20.02	Option sale to close-call <sup>25</sup>
MCD 07/24/2020 CALL \$192.50 / CUSIP: / Symbol:							
07/15/20	3.000	1,169.96	07/15/20	975.00	...	194.96	Option sale to close-call <sup>25</sup>
	<i>2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	309.98	07/15/20	310.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	309.98	07/15/20	310.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/21/20	2.000	619.96	Various	620.00	...	-0.04	Total of 2 transactions
	<b>Security total:</b>	<b>1,789.92</b>		<b>1,595.00</b>	...	<b>194.92</b>	
MCD 07/24/2020 CALL \$195.00 / CUSIP: / Symbol:							
07/15/20	2.000	435.98	07/15/20	530.00	...	-94.02	Option sale to close-call <sup>25</sup>
MCD 07/24/2020 CALL \$197.50 / CUSIP: / Symbol:							
	<i>2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	229.98	07/22/20	213.00	...	16.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	229.98	07/22/20	213.00	...	16.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	2.000	459.96	Various	426.00	...	33.96	Total of 2 transactions
MCD 07/24/2020 CALL \$200.00 / CUSIP: / Symbol:							
	<i>2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	112.98	07/22/20	116.00	...	-3.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	112.98	07/22/20	116.00	...	-3.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	2.000	225.96	Various	232.00	...	-6.04	Total of 2 transactions
MCD 07/24/2020 PUT \$190.00 / CUSIP: / Symbol:							
	<i>2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	259.98	07/16/20	285.01	...	-25.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	254.98	07/16/20	270.00	...	-15.02	2 of 2 - Option sale to close-put <sup>25</sup>
07/16/20	2.000	514.96	Various	555.01	...	-40.05	Total of 2 transactions
07/16/20	1.000	254.99	07/16/20	270.00	15.01 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>769.95</b>		<b>825.01</b>	<b>15.01 W</b>	<b>-40.05</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MCD 07/31/2020 CALL \$197.50 / CUSIP: / Symbol:							
07/24/20	1.000	349.98	07/24/20	350.00	...	-0.02	Option sale to close-call <sup>25</sup>
MCD 07/31/2020 CALL \$202.50 / CUSIP: / Symbol:							
3 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	172.98	07/24/20	185.00	...	-12.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	176.98	07/24/20	185.00	...	-8.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	177.98	07/24/20	173.00	...	4.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/24/20	3.000	527.94	Various	543.00	...	-15.06	Total of 3 transactions
MCD 08/14/2020 CALL \$202.50 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	359.98	08/07/20	395.02	...	-35.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	384.98	08/07/20	360.00	...	24.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/07/20	2.000	744.96	Various	755.02	...	-10.06	Total of 2 transactions
08/07/20	1.000	379.98	08/07/20	390.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,124.94</b>		<b>1,145.02</b>	<b>10.02 W</b>	<b>-10.06</b>	
MCD 08/21/2020 CALL \$207.50 / CUSIP: / Symbol:							
9 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	334.98	08/12/20	220.00	...	114.98	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	334.98	08/12/20	220.00	...	114.98	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	233.98	08/17/20	257.00	...	-23.02	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	237.98	08/17/20	257.00	...	-19.02	4 of 9 - Option sale to close-call <sup>25</sup>
	2.000	515.97	08/17/20	510.00	...	5.97	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	249.98	08/17/20	225.00	...	24.98	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	249.99	08/17/20	225.00	...	24.99	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	248.98	08/17/20	208.00	...	40.98	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	248.99	08/17/20	208.00	...	40.99	9 of 9 - Option sale to close-call <sup>25</sup>
08/17/20	10.000	2,655.83	Various	2,330.00	...	325.83	Total of 9 transactions
MCD 08/21/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/17/20	2.000	237.98	08/17/20	244.00	6.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	156.99	08/18/20	155.06	...	1.93	1 of 2 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MCD 08/21/2020 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	156.99	08/18/20	155.06	...	1.93	2 of 2 - Option sale to close-call <sup>25</sup>
08/18/20	2.000	313.98	Various	310.12	...	3.86	Total of 2 transactions
	6 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	140.98	08/18/20	151.01	10.03 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	140.98	08/18/20	151.01	10.03 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	146.98	08/18/20	162.03	15.05 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	146.98	08/18/20	162.03	15.05 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	146.99	08/18/20	151.05	4.06 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	146.99	08/18/20	151.05	4.06 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
08/18/20	6.000	869.90	Various	928.18	58.28 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>1,421.86</b>		<b>1,482.30</b>	<b>64.30 W</b>	<b>3.86</b>	
MCD 08/28/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/17/20	2.000	477.97	08/17/20	508.00	30.03 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	2.000	639.97	08/21/20	660.03	...	-20.06	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	319.99	08/21/20	315.00	...	4.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	3.000	959.96	Various	975.03	...	-15.07	Total of 2 transactions
	<b>Security total:</b>	<b>1,437.93</b>		<b>1,483.03</b>	<b>30.03 W</b>	<b>-15.07</b>	
MCD 08/28/2020 CALL \$212.50 / CUSIP: / Symbol:							
	3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	1.000	189.98	08/21/20	188.00	...	1.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	379.98	08/21/20	376.00	...	3.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	379.98	08/21/20	376.00	...	3.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/21/20	5.000	949.94	Various	940.00	...	9.94	Total of 3 transactions
MCD 08/28/2020 PUT \$210.00 / CUSIP: / Symbol:							
08/21/20	3.000	404.98	08/21/20	399.00	...	5.98	Option sale to close-put <sup>25</sup>
MCD 08/28/2020 PUT \$212.50 / CUSIP: / Symbol:							
08/21/20	3.000	770.97	08/21/20	771.00	...	-0.03	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MCD 09/04/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/18/20	2.000	629.97	08/17/20	610.00	...	19.97	Option sale to close-call <sup>25</sup>
MCD 09/04/2020 CALL \$212.50 / CUSIP: / Symbol:							
08/21/20	3.000	686.97	08/20/20	615.00	...	71.97	Option sale to close-call <sup>25</sup>
MCD 09/04/2020 CALL \$215.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	301.98	08/24/20	310.00	8.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	313.98	08/24/20	334.02	20.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	4.000	615.96	Various	644.02	28.06 W	0.00	Total of 2 transactions
	<i>8 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	315.98	08/26/20	320.00	...	-4.02	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	157.99	08/26/20	160.00	...	-2.01	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	139.99	08/26/20	137.03	...	2.96	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	140.00	08/26/20	137.02	...	2.98	4 of 8 - Option sale to close-call <sup>25</sup>
	3.000	419.98	08/26/20	411.00	...	8.98	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	146.99	08/26/20	137.00	...	9.99	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	146.99	08/26/20	131.00	...	15.99	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	147.00	08/26/20	131.00	...	16.00	8 of 8 - Option sale to close-call <sup>25</sup>
08/26/20	11.000	1,614.92	Various	1,564.05	...	50.87	Total of 8 transactions
08/26/20	2.000	293.99	08/26/20	294.04	0.05 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,524.87</b>		<b>2,502.11</b>	<b>28.11 W</b>	<b>50.87</b>	
MCD 09/04/2020 PUT \$215.00 / CUSIP: / Symbol:							
08/28/20	1.000	299.98	08/28/20	300.00	...	-0.02	Option sale to close-put <sup>25</sup>
MCD 09/11/2020 CALL \$215.00 / CUSIP: / Symbol:							
08/28/20	2.000	591.97	08/28/20	578.00	...	13.97	Option sale to close-call <sup>25</sup>
MCD 09/11/2020 CALL \$217.50 / CUSIP: / Symbol:							
	<i>4 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	190.98	09/09/20	188.00	...	2.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	179.98	09/09/20	162.00	...	17.98	2 of 4 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MCD 09/11/2020 CALL \$217.50 / CUSIP: / Symbol: (cont'd)							
	1.000	182.99	09/09/20	162.00	...	20.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	182.99	09/09/20	158.00	...	24.99	4 of 4 - Option sale to close-call <sup>25</sup>
09/09/20	4.000	736.94	Various	670.00	...	66.94	Total of 4 transactions
MCD 09/11/2020 CALL \$220.00 / CUSIP: / Symbol:							
09/09/20	2.000	133.98	09/09/20	174.00	...	-40.02	Option sale to close-call <sup>25</sup>
MCD 09/18/2020 CALL \$220.00 / CUSIP: / Symbol:							
09/11/20	1.000	184.98	09/11/20	194.00	9.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	1.000	324.98	09/14/20	355.00	...	-30.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	389.98	09/14/20	359.02	...	30.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/14/20	2.000	714.96	Various	714.02	...	0.94	Total of 2 transactions
	<b>Security total:</b>	<b>899.94</b>		<b>908.02</b>	<b>9.02 W</b>	<b>0.94</b>	
MCD 09/18/2020 CALL \$222.50 / CUSIP: / Symbol:							
09/14/20	1.000	97.98	09/14/20	167.00	...	-69.02	Option sale to close-call <sup>25</sup>
MCD 10/02/2020 CALL \$225.00 / CUSIP: / Symbol:							
09/02/20	2.000	439.98	08/27/20	316.00	...	123.98	Option sale to close-call <sup>25</sup>
MCD 11/06/2020 CALL \$235.00 / CUSIP: / Symbol:							
	3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	10/14/20	310.00	...	-310.00	1 of 3 - Option expiration- long call
	1.000	0.00	10/14/20	305.00	...	-305.00	2 of 3 - Option expiration- long call
	1.000	0.00	10/22/20	221.00	...	-221.00	3 of 3 - Option expiration- long call
11/06/20	3.000	0.00	Various	836.00	...	-836.00	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MCD 11/06/2020 CALL \$237.50 / CUSIP: / Symbol:							
3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	160.98	11/06/20	0.00	...	160.98	1 of 3 - Short sale closed- call expired
	1.000	232.98	11/06/20	0.00	...	232.98	Option written: 10/22/20 2 of 3 - Short sale closed- call expired
	1.000	233.98	11/06/20	0.00	...	233.98	Option written: 10/14/20 3 of 3 - Short sale closed- call expired
11/06/20	3.000	627.94	Various	0.00	...	627.94	Option written: 10/14/20 Total of 3 transactions
MCD 11/20/2020 CALL \$240.00 / CUSIP: / Symbol:							
10/05/20	1.000	381.98	09/29/20	260.00	...	121.98	Option sale to close-call <sup>25</sup>
MCD 11/20/2020 CALL \$250.00 / CUSIP: / Symbol:							
10/06/20	1.000	-70.02	10/05/20	0.00	...	-70.02	Short sale closed- call <sup>25</sup> Option written: 09/29/20
MFA 06/19/2020 CALL \$5.00 / CUSIP: / Symbol:							
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/09/20	250.00	...	-250.00	1 of 4 - Option expiration- long call
	5.000	0.00	06/09/20	200.00	...	-200.00	2 of 4 - Option expiration- long call
	5.000	0.00	06/09/20	150.00	...	-150.00	3 of 4 - Option expiration- long call
	5.000	0.00	06/09/20	125.00	...	-125.00	4 of 4 - Option expiration- long call
06/19/20	20.000	0.00	Various	725.00	...	-725.00	Total of 4 transactions
MGI 01/17/2020 PUT \$2.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	12/13/19	15.00	...	-15.00	Option expiration- long put

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MGM 06/26/2020 PUT \$23.00 / CUSIP: / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	2.000	349.99	06/05/20	450.00	...	-100.01	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	174.99	06/05/20	220.00	...	-45.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	174.99	06/05/20	195.00	...	-20.01	3 of 3 - Option sale to close-put <sup>25</sup>
06/08/20	4.000	699.97	Various	865.00	...	-165.03	Total of 3 transactions
MGM 07/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
8 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	199.98	06/05/20	169.00	...	30.98	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	199.98	06/05/20	169.00	...	30.98	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	199.98	06/05/20	169.00	...	30.98	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	199.98	06/05/20	169.00	...	30.98	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	199.99	06/05/20	169.00	...	30.99	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	199.99	06/05/20	169.00	...	30.99	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	199.99	06/05/20	169.00	...	30.99	7 of 8 - Option sale to close-call <sup>25</sup>
	3.000	599.99	06/05/20	507.00	...	92.99	8 of 8 - Option sale to close-call <sup>25</sup>
06/08/20	10.000	1,999.88	Various	1,690.00	...	309.88	Total of 8 transactions
06/18/20	5.000	144.98	06/05/20	790.00	...	-645.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,144.86</b>		<b>2,480.00</b>	...	<b>-335.14</b>	
MGM 08/14/2020 PUT \$22.50 / CUSIP: / Symbol:							
08/10/20	1.000	141.98	08/10/20	145.00	...	-3.02	Option sale to close-put <sup>25</sup>
MITT 07/17/2020 CALL \$7.00 / CUSIP: / Symbol:							
5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	4.000	523.98	06/09/20	660.02	...	-136.04	1 of 5 - Option sale to close-call <sup>25</sup>
	3.000	359.98	06/09/20	450.00	...	-90.02	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	261.99	06/09/20	350.01	...	-88.02	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	261.99	06/09/20	330.01	...	-68.02	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	261.99	06/09/20	330.01	...	-68.02	5 of 5 - Option sale to close-call <sup>25</sup>
06/10/20	13.000	1,669.93	Various	2,120.05	...	-450.12	Total of 5 transactions
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	5.000	599.98	06/08/20	675.00	30.01 W	-45.01	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	239.99	06/08/20	290.00	50.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MITT 07/17/2020 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	2.000	239.99	06/08/20	270.00	30.01 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	479.98	06/08/20	540.00	60.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/10/20	13.000	1,559.94	Various	1,775.00	170.05 W	-45.01	Total of 4 transactions
	<b>Security total:</b>	<b>3,229.87</b>		<b>3,895.05</b>	<b>170.05 W</b>	<b>-495.13</b>	
MMM 07/31/2020 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	369.98	07/24/20	365.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	809.97	07/24/20	770.00	...	39.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/24/20	3.000	1,179.95	Various	1,135.00	...	44.95	Total of 2 transactions
MOMO 03/06/2020 CALL \$36.50 / CUSIP: / Symbol:							
03/06/20	1.000	0.00	02/11/20	70.00	...	-70.00	Option expiration- long call
MOMO 12/04/2020 PUT \$14.50 / CUSIP: / Symbol:							
12/02/20	1.000	88.98	12/02/20	99.00	...	-10.02	Option sale to close-put <sup>25</sup>
MOS 12/04/2020 PUT \$21.50 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	12/03/20	30.00	...	-30.00	Option expiration- long put
MOS 12/11/2020 PUT \$23.50 / CUSIP: / Symbol:							
12/11/20	1.000	135.98	12/04/20	117.00	...	18.98	Option sale to close-put <sup>25</sup>
MRK 01/15/2021 CALL \$82.50 / CUSIP: / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	469.98	08/11/20	455.00	...	14.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	469.98	08/11/20	455.00	...	14.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/12/20	2.000	939.96	Various	910.00	...	29.96	Total of 2 transactions
MRK 01/17/2020 PUT \$77.50 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	12/13/19	9.00	...	-9.00	Option expiration- long put
MRK 01/31/2020 CALL \$89.50 / CUSIP: / Symbol:							
01/15/20	1.000	229.98	01/08/20	130.00	...	99.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRK 02/21/2020 CALL \$89.00 / CUSIP: / Symbol:							
02/04/20	1.000	179.98	01/29/20	139.00	...	40.98	Option sale to close-call <sup>25</sup>
MRK 03/20/2020 CALL \$87.50 / CUSIP: / Symbol:							
	<i>3 transactions for 03/04/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	9.99	02/05/20	145.00	...	-135.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	10.00	02/06/20	112.00	...	-102.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	9.99	02/13/20	25.00	...	-15.01	3 of 3 - Option sale to close-call <sup>25</sup>
03/04/20	3.000	29.98	Various	282.00	...	-252.02	Total of 3 transactions
MRK 03/20/2020 PUT \$75.00 / CUSIP: / Symbol:							
03/05/20	1.000	61.98	03/04/20	85.00	...	-23.02	Option sale to close-put <sup>25</sup>
MRK 03/20/2020 PUT \$80.50 / CUSIP: / Symbol:							
03/12/20	1.000	654.97	03/05/20	165.00	...	489.97	Option sale to close-put <sup>25</sup>
MRK 04/03/2020 CALL \$86.50 / CUSIP: / Symbol:							
	<i>3 transactions for 03/04/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	299.97	02/14/20	255.00	...	44.97	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	119.99	02/18/20	124.00	...	-4.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	60.00	02/18/20	52.00	...	8.00	3 of 3 - Option sale to close-call <sup>25</sup>
03/04/20	8.000	479.96	Various	431.00	...	48.96	Total of 3 transactions
MRK 04/17/2020 CALL \$82.50 / CUSIP: / Symbol:							
	<i>6 transactions for 03/04/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	239.99	02/14/20	215.00	...	24.99	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	239.99	03/04/20	190.00	...	49.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	239.99	03/04/20	185.00	...	54.99	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	239.99	03/04/20	175.00	...	64.99	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	240.00	03/04/20	173.00	...	67.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	239.99	03/04/20	168.00	...	71.99	6 of 6 - Option sale to close-call <sup>25</sup>
03/04/20	6.000	1,439.95	Various	1,106.00	...	333.95	Total of 6 transactions
MRK 04/17/2020 PUT \$77.50 / CUSIP: / Symbol:							
03/12/20	1.000	599.97	03/06/20	258.00	...	341.97	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRK 04/24/2020 PUT \$70.00 / CUSIP: / Symbol:							
03/20/20	5.000	2,324.93	03/19/20	2,675.00	...	-350.07	Option sale to close-put <sup>25</sup>
MRK 05/01/2020 PUT \$72.50 / CUSIP: / Symbol:							
03/19/20	5.000	3,174.91	03/18/20	2,325.00	...	849.91	Option sale to close-put <sup>25</sup>
MRK 05/15/2020 CALL \$80.00 / CUSIP: / Symbol:							
04/08/20	1.000	409.98	04/07/20	380.00	...	29.98	Option sale to close-call <sup>25</sup>
MRK 05/15/2020 PUT \$80.00 / CUSIP: / Symbol:							
03/12/20	1.000	839.97	03/06/20	455.00	...	384.97	Option sale to close-put <sup>25</sup>
MRK 05/15/2020 PUT \$82.50 / CUSIP: / Symbol:							
03/12/20	1.000	989.96	03/05/20	435.00	...	554.96	Option sale to close-put <sup>25</sup>
MRK 06/19/2020 CALL \$75.00 / CUSIP: / Symbol:							
03/20/20	5.000	1,999.94	03/19/20	2,525.00	...	-525.06	Option sale to close-call <sup>25</sup>
MRK 06/19/2020 CALL \$79.50 / CUSIP: / Symbol:							
06/05/20	1.000	-59.02	06/04/20	0.00	...	-59.02	Short sale closed- call <sup>25</sup> Option written: 06/02/20
MRK 06/19/2020 CALL \$80.00 / CUSIP: / Symbol:							
	<i>6 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	229.99	06/02/20	169.00	...	60.99	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	229.99	06/02/20	167.00	...	62.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	229.99	06/02/20	167.00	...	62.99	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	229.99	06/02/20	159.00	...	70.99	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	459.98	06/02/20	370.00	...	89.98	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	459.99	06/02/20	370.00	...	89.99	6 of 6 - Option sale to close-call <sup>25</sup>
06/03/20	8.000	1,839.93	Various	1,402.00	...	437.93	Total of 6 transactions
MRK 06/19/2020 CALL \$80.50 / CUSIP: / Symbol:							
06/04/20	3.000	-261.02	06/03/20	0.00	...	-261.02	Short sale closed- call <sup>25</sup> Option written: 06/02/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRK 06/19/2020 PUT \$75.00 / CUSIP: / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	139.99	05/21/20	324.00	...	-184.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	139.99	05/21/20	264.00	...	-124.01	2 of 2 - Option sale to close-put <sup>25</sup>
05/26/20	2.000	279.98	Various	588.02	...	-308.04	Total of 2 transactions
4 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	129.99	05/21/20	198.00	68.01 W	0.00	1 of 4 - Option sale to close-put <sup>25</sup>
	2.000	259.99	05/21/20	396.00	136.01 W	0.00	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	134.99	05/21/20	263.01	128.02 W	0.00	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	134.99	05/21/20	263.01	128.02 W	0.00	4 of 4 - Option sale to close-put <sup>25</sup>
05/26/20	5.000	659.96	Various	1,120.02	460.06 W	0.00	Total of 4 transactions
05/27/20	1.000	177.98	05/21/20	328.02	...	-150.04	Option sale to close-put <sup>25</sup>
05/28/20	1.000	10.98	05/27/20	0.00	...	10.98	Short sale closed- put <sup>25</sup> Option written: 05/27/20
<b>Security total:</b>		<b>1,128.90</b>		<b>2,036.06</b>	<b>460.06 W</b>	<b>-447.10</b>	
MRK 06/19/2020 PUT \$80.00 / CUSIP: / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	134.99	06/02/20	219.00	84.01 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	134.99	06/02/20	209.00	74.01 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
06/03/20	2.000	269.98	Various	428.00	158.02 W	0.00	Total of 2 transactions
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	116.99	06/02/20	295.01	...	-178.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	116.99	06/02/20	284.01	...	-167.02	2 of 2 - Option sale to close-put <sup>25</sup>
06/04/20	2.000	233.98	Various	579.02	...	-345.04	Total of 2 transactions
<b>Security total:</b>		<b>503.96</b>		<b>1,007.02</b>	<b>158.02 W</b>	<b>-345.04</b>	
MRK 07/10/2020 CALL \$79.50 / CUSIP: / Symbol:							
06/04/20	1.000	321.98	06/02/20	270.00	...	51.98	Option sale to close-call <sup>25</sup>
MRK 07/10/2020 CALL \$80.50 / CUSIP: / Symbol:							
06/03/20	3.000	887.97	06/02/20	648.00	...	239.97	Option sale to close-call <sup>25</sup>
MRK 07/17/2020 CALL \$85.00 / CUSIP: / Symbol:							
03/12/20	1.000	259.98	03/05/20	350.00	...	-90.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRK 08/07/2020 CALL \$83.50 / CUSIP: / Symbol:							
3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	94.99	08/03/20	110.00	...	-15.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	94.99	08/03/20	109.00	...	-14.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	95.00	08/03/20	107.00	...	-12.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/03/20	3.000	284.98	Various	326.00	...	-41.02	Total of 3 transactions
MRK 08/21/2020 PUT \$82.50 / CUSIP: / Symbol:							
08/04/20	1.000	224.98	08/03/20	175.00	...	49.98	Option sale to close-put <sup>25</sup>
MRK 09/04/2020 CALL \$81.50 / CUSIP: / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	244.99	08/12/20	222.00	...	22.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	489.98	08/12/20	444.00	...	45.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/12/20	3.000	734.97	Various	666.00	...	68.97	Total of 2 transactions
MRK 09/04/2020 CALL \$82.50 / CUSIP: / Symbol:							
08/17/20	2.000	553.97	08/12/20	400.00	...	153.97	Option sale to close-call <sup>25</sup>
MRK 09/04/2020 CALL \$83.00 / CUSIP: / Symbol:							
08/04/20	1.000	155.98	08/03/20	225.00	...	-69.02	Option sale to close-call <sup>25</sup>
MRK 09/18/2020 CALL \$75.00 / CUSIP: / Symbol:							
03/19/20	5.000	3,174.91	03/18/20	3,300.00	...	-125.09	Option sale to close-call <sup>25</sup>
MRK 09/18/2020 CALL \$80.00 / CUSIP: / Symbol:							
5 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	339.99	05/21/20	285.00	...	54.99	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	339.99	05/21/20	275.00	...	64.99	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	679.98	05/21/20	574.00	...	105.98	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	679.97	05/21/20	570.00	...	109.97	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	679.98	05/21/20	570.00	...	109.98	5 of 5 - Option sale to close-call <sup>25</sup>
05/26/20	8.000	2,719.91	Various	2,274.00	...	445.91	Total of 5 transactions
MRK 09/18/2020 CALL \$82.50 / CUSIP: / Symbol:							
03/12/20	1.000	384.98	03/05/20	540.00	...	-155.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRK 10/02/2020 CALL \$84.50 / CUSIP: / Symbol:							
08/17/20	2.000	545.97	08/17/20	530.00	...	15.97	Option sale to close-call <sup>25</sup>
09/02/20	2.000	559.97	08/17/20	520.00	...	39.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,105.94</b>		<b>1,050.00</b>	...	<b>55.94</b>	
MRK 10/16/2020 CALL \$82.50 / CUSIP: / Symbol:							
03/12/20	1.000	419.98	03/06/20	580.00	...	-160.02	Option sale to close-call <sup>25</sup>
MRK 10/16/2020 CALL \$87.50 / CUSIP: / Symbol:							
03/12/20	1.000	237.98	03/06/20	380.00	...	-142.02	Option sale to close-call <sup>25</sup>
MRNA 07/17/2020 CALL \$72.00 / CUSIP: / Symbol:							
07/13/20	1.000	435.98	07/13/20	425.00	...	10.98	Option sale to close-call <sup>25</sup>
MRNA 07/17/2020 CALL \$73.00 / CUSIP: / Symbol:							
07/13/20	1.000	459.97	07/13/20	470.02	...	-10.05	Option sale to close-call <sup>25</sup>
07/13/20	1.000	399.98	07/13/20	430.00	30.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>859.95</b>		<b>900.02</b>	<b>30.02 W</b>	<b>-10.05</b>	
MRNA 07/17/2020 CALL \$74.00 / CUSIP: / Symbol:							
07/13/20	1.000	424.98	07/13/20	394.00	...	30.98	Option sale to close-call <sup>25</sup>
MRNA 07/17/2020 CALL \$75.00 / CUSIP: / Symbol:							
07/13/20	1.000	469.97	07/13/20	440.00	...	29.97	Option sale to close-call <sup>25</sup>
	<i>2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	379.99	07/14/20	374.00	...	5.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	379.98	07/14/20	365.00	...	14.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/14/20	2.000	759.97	Various	739.00	...	20.97	Total of 2 transactions
	<b>Security total:</b>	<b>1,229.94</b>		<b>1,179.00</b>	...	<b>50.94</b>	
MRNA 07/17/2020 CALL \$80.00 / CUSIP: / Symbol:							
	<i>2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	444.98	07/13/20	395.00	...	49.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	457.97	07/13/20	395.00	...	62.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/13/20	2.000	902.95	Various	790.00	...	112.95	Total of 2 transactions
07/13/20	1.000	309.98	07/13/20	430.00	120.02 W	0.00	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRNA 07/17/2020 CALL \$80.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	359.99	07/13/20	540.02	...	-180.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	359.98	07/13/20	268.00	...	91.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	359.99	07/13/20	268.00	...	91.99	3 of 3 - Option sale to close-call <sup>25</sup>
07/14/20	3.000	1,079.96	Various	1,076.02	...	3.94	Total of 3 transactions
	<b>Security total:</b>	<b>2,292.89</b>		<b>2,296.02</b>	<b>120.02 W</b>	<b>116.89</b>	
MRNA 07/24/2020 CALL \$115.00 / CUSIP: / Symbol:							
07/20/20	1.000	-91.02	07/17/20	0.00	...	-91.02	Short sale closed- call <sup>25</sup> Option written: 07/17/20
MRNA 07/24/2020 CALL \$85.00 / CUSIP: / Symbol:							
07/22/20	2.000	395.98	07/22/20	418.00	...	-22.02	Option sale to close-call <sup>25</sup>
MRNA 07/24/2020 CALL \$86.00 / CUSIP: / Symbol:							
4 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	154.99	07/22/20	201.01	...	-46.02	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	154.99	07/22/20	201.01	...	-46.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	231.98	07/22/20	200.00	...	31.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	231.98	07/22/20	200.00	...	31.98	4 of 4 - Option sale to close-call <sup>25</sup>
07/22/20	4.000	773.94	Various	802.02	...	-28.08	Total of 4 transactions
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	139.99	07/22/20	169.00	29.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	139.99	07/22/20	169.00	29.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	2.000	279.98	Various	338.00	58.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,053.92</b>		<b>1,140.02</b>	<b>58.02 W</b>	<b>-28.08</b>	
MRNA 07/24/2020 CALL \$89.00 / CUSIP: / Symbol:							
07/17/20	1.000	564.97	07/17/20	565.00	...	-0.03	Option sale to close-call <sup>25</sup>
MRNA 07/24/2020 CALL \$95.00 / CUSIP: / Symbol:							
07/17/20	1.000	754.97	07/17/20	605.00	...	149.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRNA 07/31/2020 CALL \$79.00 / CUSIP: / Symbol:							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	394.98	07/27/20	415.00	...	-20.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	409.98	07/27/20	415.00	...	-5.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/27/20	2.000	804.96	Various	830.00	...	-25.04	Total of 2 transactions
MRNA 07/31/2020 CALL \$80.00 / CUSIP: / Symbol:							
6 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	359.99	07/27/20	355.02	...	4.97	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	359.98	07/27/20	355.00	...	4.98	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	369.98	07/27/20	365.00	...	4.98	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	389.98	07/27/20	380.00	...	9.98	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	394.98	07/27/20	385.00	...	9.98	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	359.98	07/27/20	345.00	...	14.98	6 of 6 - Option sale to close-call <sup>25</sup>
07/27/20	6.000	2,234.89	Various	2,185.02	...	49.87	Total of 6 transactions
07/27/20	1.000	364.98	07/27/20	365.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,599.87</b>		<b>2,550.02</b>	<b>0.02 W</b>	<b>49.87</b>	
MRNA 07/31/2020 CALL \$83.00 / CUSIP: / Symbol:							
07/28/20	1.000	276.98	07/28/20	330.00	...	-53.02	Option sale to close-call <sup>25</sup>
MRNA 08/07/2020 CALL \$77.00 / CUSIP: / Symbol:							
08/03/20	1.000	329.98	08/03/20	340.00	...	-10.02	Option sale to close-call <sup>25</sup>
MRNA 08/21/2020 CALL \$69.50 / CUSIP: / Symbol:							
08/14/20	1.000	284.98	08/14/20	263.00	...	21.98	Option sale to close-call <sup>25</sup>
MRNA 08/21/2020 CALL \$70.50 / CUSIP: / Symbol:							
3 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	228.98	08/14/20	256.00	...	-27.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	228.98	08/14/20	256.00	...	-27.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	228.98	08/14/20	256.00	...	-27.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/14/20	3.000	686.94	Various	768.00	...	-81.06	Total of 3 transactions
MRNA 08/28/2020 PUT \$68.00 / CUSIP: / Symbol:							
08/27/20	1.000	116.98	08/27/20	130.00	...	-13.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRNA 09/11/2020 PUT \$55.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.98	09/08/20	172.00	...	-171.02	Option sale to close-put <sup>25</sup>
MRO 12/04/2020 PUT \$7.00 / CUSIP: / Symbol:							
12/04/20	1.000	17.98	12/02/20	95.00	...	-77.02	Option sale to close-put <sup>25</sup>
MS 07/24/2020 CALL \$50.50 / CUSIP: / Symbol:							
<i>2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	182.99	07/13/20	181.00	...	1.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	389.98	07/13/20	372.00	...	17.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/13/20	3.000	572.97	Various	553.00	...	19.97	Total of 2 transactions
<i>2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	182.99	07/13/20	197.00	14.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	182.99	07/13/20	196.00	13.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/13/20	2.000	365.98	Various	393.00	27.02 W	0.00	Total of 2 transactions
<i>3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	224.99	07/13/20	205.01	...	19.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	224.99	07/13/20	195.01	...	29.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	224.99	07/13/20	192.00	...	32.99	3 of 3 - Option sale to close-call <sup>25</sup>
07/15/20	3.000	674.97	Various	592.02	...	82.95	Total of 3 transactions
	<b>Security total:</b>	<b>1,613.92</b>		<b>1,538.02</b>	<b>27.02 W</b>	<b>102.92</b>	
MS 07/24/2020 CALL \$53.50 / CUSIP: / Symbol:							
07/16/20	2.000	167.98	07/16/20	270.00	...	-102.02	Option sale to close-call <sup>25</sup>
MS 07/24/2020 PUT \$52.50 / CUSIP: / Symbol:							
07/16/20	1.000	138.98	07/16/20	152.00	...	-13.02	Option sale to close-put <sup>25</sup>
MS 07/24/2020 PUT \$53.50 / CUSIP: / Symbol:							
07/16/20	2.000	339.98	07/16/20	344.00	...	-4.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 04/17/2020 CALL \$170.00 / CUSIP: / Symbol:							
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	4.000	1,199.96	03/30/20	1,148.00	...	51.96	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	299.98	04/01/20	108.00	...	191.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	299.98	04/01/20	108.00	...	191.98	3 of 3 - Option sale to close-call <sup>25</sup>
04/07/20	6.000	1,799.92	Various	1,364.00	...	435.92	Total of 3 transactions
MSFT 07/02/2020 CALL \$202.50 / CUSIP: / Symbol:							
4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	109.98	06/30/20	106.00	...	3.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	109.98	06/30/20	105.00	...	4.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	144.99	06/30/20	140.00	...	4.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	144.99	06/30/20	138.00	...	6.99	4 of 4 - Option sale to close-call <sup>25</sup>
06/30/20	4.000	509.94	Various	489.00	...	20.94	Total of 4 transactions
MSFT 07/02/2020 CALL \$207.50 / CUSIP: / Symbol:							
07/01/20	2.000	131.98	07/01/20	130.00	...	1.98	Option sale to close-call <sup>25</sup>
MSFT 07/10/2020 CALL \$205.00 / CUSIP: / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	2.000	421.99	06/09/20	200.00	...	221.99	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	1,054.96	06/09/20	675.00	...	379.96	2 of 2 - Option sale to close-call <sup>25</sup>
06/10/20	7.000	1,476.95	Various	875.00	...	601.95	Total of 2 transactions
07/01/20	1.000	334.98	07/01/20	330.00	...	4.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,811.93</b>		<b>1,205.00</b>	...	<b>606.93</b>	
MSFT 07/10/2020 CALL \$210.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	198.98	07/02/20	198.00	...	0.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	198.98	07/02/20	196.00	...	2.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/02/20	2.000	397.96	Various	394.00	...	3.96	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 07/10/2020 CALL \$212.50 / CUSIP: / Symbol:							
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	209.99	07/06/20	188.00	...	21.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	209.99	07/06/20	188.00	...	21.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	419.99	07/06/20	378.00	...	41.99	3 of 3 - Option sale to close-call <sup>25</sup>
07/06/20	4.000	839.97	Various	754.00	...	85.97	Total of 3 transactions
4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	2.000	383.98	07/06/20	404.00	20.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	196.98	07/06/20	202.00	5.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	196.98	07/06/20	214.01	17.03 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	190.98	07/06/20	214.01	23.03 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
07/06/20	5.000	968.92	Various	1,034.02	65.10 W	0.00	Total of 4 transactions
9 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	170.99	07/08/20	204.03	...	-33.04	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	171.00	07/08/20	204.02	...	-33.02	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	170.99	07/08/20	176.01	...	-5.02	3 of 9 - Option sale to close-call <sup>25</sup>
	2.000	341.99	07/08/20	326.01	...	15.98	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	249.99	07/08/20	218.03	...	31.96	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	249.99	07/08/20	212.03	...	37.96	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	249.99	07/08/20	200.02	...	49.97	7 of 9 - Option sale to close-call <sup>25</sup>
	2.000	499.99	07/08/20	390.00	...	109.99	8 of 9 - Option sale to close-call <sup>25</sup>
	5.000	1,209.96	07/08/20	975.00	...	234.96	9 of 9 - Option sale to close-call <sup>25</sup>
07/08/20	15.000	3,314.89	Various	2,905.15	...	409.74	Total of 9 transactions
5 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	5.000	824.97	07/08/20	900.00	30.01 W	-45.02	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	303.98	07/08/20	360.00	56.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	151.98	07/08/20	208.01	56.03 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	151.99	07/08/20	208.01	56.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	151.99	07/08/20	180.00	28.01 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
07/08/20	10.000	1,584.91	Various	1,856.02	226.09 W	-45.02	Total of 5 transactions
	<b>Security total:</b>	<b>6,708.69</b>		<b>6,549.19</b>	<b>291.19 W</b>	<b>450.69</b>	
MSFT 07/10/2020 PUT \$210.00 / CUSIP: / Symbol:							
07/06/20	1.000	246.98	07/06/20	245.00	...	1.98	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MSFT 07/10/2020 PUT \$217.50 / CUSIP: / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	07/09/20	295.00	...	4.98	1 of 2 - Option sale to close-put <sup>25</sup>
	4.000	1,199.96	07/09/20	1,180.00	...	19.96	2 of 2 - Option sale to close-put <sup>25</sup>
07/09/20	5.000	1,499.94	Various	1,475.00	...	24.94	Total of 2 transactions
MSFT 07/17/2020 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	286.98	07/10/20	325.00	38.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	286.98	07/10/20	343.02	56.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/10/20	2.000	573.96	Various	668.02	94.06 W	0.00	Total of 2 transactions
07/13/20	1.000	292.98	07/13/20	361.08	...	-68.10	Option sale to close-call <sup>25</sup>
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	329.98	07/10/20	354.04	24.06 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	292.98	07/13/20	339.06	46.08 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/13/20	2.000	622.96	Various	693.10	70.14 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,489.90</b>		<b>1,722.20</b>	<b>164.20 W</b>	<b>-68.10</b>	
MSFT 07/17/2020 CALL \$217.50 / CUSIP: / Symbol:							
4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	3.000	422.98	07/13/20	705.00	...	-282.02	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	281.99	07/13/20	484.02	...	-202.03	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	248.98	07/13/20	238.00	...	10.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	248.98	07/13/20	238.00	...	10.98	4 of 4 - Option sale to close-call <sup>25</sup>
07/13/20	7.000	1,202.93	Various	1,665.02	...	-462.09	Total of 4 transactions
07/13/20	2.000	407.98	07/13/20	470.00	62.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,610.91</b>		<b>2,135.02</b>	<b>62.02 W</b>	<b>-462.09</b>	
MSFT 07/24/2020 CALL \$205.00 / CUSIP: / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	590.97	07/20/20	585.00	...	5.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	582.97	07/20/20	550.00	...	32.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/20/20	2.000	1,173.94	Various	1,135.00	...	38.94	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 07/24/2020 CALL \$207.50 / CUSIP: / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	494.97	07/20/20	495.00	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	534.97	07/20/20	495.00	...	39.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/20/20	2.000	1,029.94	Various	990.00	...	39.94	Total of 2 transactions
MSFT 07/24/2020 CALL \$212.50 / CUSIP: / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	374.98	07/20/20	355.00	...	19.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	374.98	07/20/20	355.00	...	19.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/20/20	2.000	749.96	Various	710.00	...	39.96	Total of 2 transactions
07/22/20	1.000	404.98	07/22/20	423.00	...	-18.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,154.94</b>		<b>1,133.00</b>	...	<b>21.94</b>	
MSFT 07/24/2020 CALL \$215.00 / CUSIP: / Symbol:							
07/22/20	2.000	639.97	07/22/20	680.00	...	-40.03	Option sale to close-call <sup>25</sup>
MSFT 07/24/2020 CALL \$217.50 / CUSIP: / Symbol:							
07/21/20	1.000	334.98	07/21/20	365.00	...	-30.02	Option sale to close-call <sup>25</sup>
MSFT 07/31/2020 CALL \$210.00 / CUSIP: / Symbol:							
07/23/20	1.000	484.97	07/23/20	405.00	...	79.97	Option sale to close-call <sup>25</sup>
MSFT 08/07/2020 CALL \$215.00 / CUSIP: / Symbol:							
08/03/20	1.000	474.97	08/03/20	653.11	...	-178.14	Option sale to close-call <sup>25</sup>
5 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	229.98	08/03/20	400.00	170.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	274.98	08/03/20	456.02	181.04 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	304.98	08/03/20	485.04	180.06 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	344.98	08/03/20	508.06	163.08 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	464.97	08/03/20	598.08	133.11 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
08/03/20	5.000	1,619.89	Various	2,447.20	827.31 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>2,094.86</b>		<b>3,100.31</b>	<b>827.31 W</b>	<b>-178.14</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 08/07/2020 CALL \$217.50 / CUSIP: / Symbol:							
08/03/20	1.000	309.98	08/03/20	299.00	...	10.98	Option sale to close-call <sup>25</sup>
08/06/20	1.000	63.98	08/03/20	360.00	...	-296.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>373.96</b>		<b>659.00</b>	...	<b>-285.04</b>	
MSFT 08/14/2020 CALL \$207.50 / CUSIP: / Symbol:							
08/11/20	2.000	427.98	08/11/20	482.00	54.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	1.000	277.98	08/12/20	403.07	...	-125.09	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	277.99	08/12/20	398.09	...	-120.10	2 of 2 - Option sale to close-call <sup>25</sup>
08/12/20	2.000	555.97	Various	801.16	...	-245.19	Total of 2 transactions
	8 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	1.000	279.98	08/12/20	332.01	52.03 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	304.99	08/12/20	372.01	67.02 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	304.98	08/12/20	392.03	87.05 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	324.99	08/12/20	382.02	57.03 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	324.98	08/12/20	402.05	77.07 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	306.98	08/12/20	387.03	80.05 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	306.98	08/12/20	407.07	100.09 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	284.98	08/12/20	390.05	105.07 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
08/12/20	8.000	2,438.86	Various	3,064.27	625.41 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>3,422.81</b>		<b>4,347.43</b>	<b>679.43 W</b>	<b>-245.19</b>	
MSFT 08/14/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/12/20	1.000	165.98	08/12/20	168.02	...	-2.04	Option sale to close-call <sup>25</sup>
08/12/20	1.000	165.98	08/12/20	167.00	1.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>331.96</b>		<b>335.02</b>	<b>1.02 W</b>	<b>-2.04</b>	
MSFT 08/14/2020 CALL \$217.50 / CUSIP: / Symbol:							
	5 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	1.000	304.98	08/06/20	343.02	...	-38.04	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	304.99	08/06/20	343.01	...	-38.02	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	305.98	08/06/20	304.00	...	1.98	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	305.99	08/06/20	304.00	...	1.99	4 of 5 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 08/14/2020 CALL \$217.50 / CUSIP: / Symbol: (cont'd)							
	2.000	611.97	08/06/20	596.00	...	15.97	5 of 5 - Option sale to close-call <sup>25</sup>
08/06/20	6.000	1,833.91	Various	1,890.03	...	-56.12	Total of 5 transactions
	2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	1.000	289.99	08/06/20	290.00	0.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	289.98	08/06/20	290.00	0.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/06/20	2.000	579.97	Various	580.00	0.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,413.88</b>		<b>2,470.03</b>	<b>0.03 W</b>	<b>-56.12</b>	
MSFT 08/21/2020 CALL \$207.50 / CUSIP: / Symbol:							
08/12/20	1.000	484.97	08/12/20	480.00	...	4.97	Option sale to close-call <sup>25</sup>
MSFT 08/21/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/18/20	1.000	241.98	08/18/20	248.00	6.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	2.000	505.97	08/19/20	530.00	...	-24.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	252.98	08/19/20	271.02	...	-18.04	2 of 2 - Option sale to close-call <sup>25</sup>
08/19/20	3.000	758.95	Various	801.02	...	-42.07	Total of 2 transactions
	<b>Security total:</b>	<b>1,000.93</b>		<b>1,049.02</b>	<b>6.02 W</b>	<b>-42.07</b>	
MSFT 08/21/2020 CALL \$212.50 / CUSIP: / Symbol:							
	2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	1.000	127.98	08/19/20	114.00	...	13.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	249.98	08/19/20	228.00	...	21.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/19/20	3.000	377.96	Various	342.00	...	35.96	Total of 2 transactions
MSFT 08/28/2020 CALL \$215.00 / CUSIP: / Symbol:							
08/20/20	1.000	282.98	08/20/20	286.00	3.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	1.000	301.99	08/25/20	294.03	...	7.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	301.98	08/25/20	292.01	...	9.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/25/20	2.000	603.97	Various	586.04	...	17.93	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 08/28/2020 CALL \$215.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	2.000	523.98	08/25/20	554.00	15.01 W	-15.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	261.99	08/25/20	279.02	17.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/25/20	3.000	785.97	Various	833.02	32.04 W	-15.01	Total of 2 transactions
	<b>Security total:</b>	<b>1,672.92</b>		<b>1,705.06</b>	<b>35.06 W</b>	<b>2.92</b>	
MSFT 08/28/2020 CALL \$222.50 / CUSIP: / Symbol:							
08/26/20	3.000	569.97	08/26/20	561.00	...	8.97	Option sale to close-call <sup>25</sup>
MSFT 08/28/2020 CALL \$232.50 / CUSIP: / Symbol:							
08/27/20	1.000	224.98	08/27/20	405.00	...	-180.02	Option sale to close-call <sup>25</sup>
MSFT 09/04/2020 CALL \$212.50 / CUSIP: / Symbol:							
08/20/20	1.000	439.98	08/20/20	435.00	...	4.98	Option sale to close-call <sup>25</sup>
MSFT 09/04/2020 CALL \$217.50 / CUSIP: / Symbol:							
08/25/20	1.000	359.98	08/21/20	380.02	...	-20.04	Option sale to close-call <sup>25</sup>
08/25/20	1.000	339.98	08/21/20	375.00	35.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>699.96</b>		<b>755.02</b>	<b>35.02 W</b>	<b>-20.04</b>	
MSFT 09/04/2020 CALL \$222.50 / CUSIP: / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	379.98	08/26/20	390.00	...	-10.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	379.99	08/26/20	383.00	...	-3.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	2.000	759.97	Various	773.00	...	-13.03	Total of 2 transactions
MSFT 09/04/2020 CALL \$230.00 / CUSIP: / Symbol:							
08/27/20	1.000	579.97	08/27/20	615.00	35.03 W	0.00	Option sale to close-call <sup>25</sup>
08/28/20	1.000	434.98	08/28/20	480.03	...	-45.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,014.95</b>		<b>1,095.03</b>	<b>35.03 W</b>	<b>-45.05</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 09/04/2020 CALL \$232.50 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	287.98	09/02/20	292.00	...	-4.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	259.98	09/02/20	225.00	...	34.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	547.96	Various	517.00	...	30.96	Total of 2 transactions
MSFT 09/04/2020 CALL \$235.00 / CUSIP: / Symbol:							
09/02/20	1.000	131.98	09/02/20	188.00	...	-56.02	Option sale to close-call <sup>25</sup>
MSFT 09/04/2020 PUT \$225.00 / CUSIP: / Symbol:							
09/03/20	1.000	155.98	09/03/20	142.00	...	13.98	Option sale to close-put <sup>25</sup>
MSFT 09/11/2020 CALL \$212.50 / CUSIP: / Symbol:							
09/09/20	1.000	319.98	09/09/20	277.00	...	42.98	Option sale to close-call <sup>25</sup>
MSFT 09/11/2020 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	304.98	09/04/20	395.00	90.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	299.98	09/04/20	415.02	115.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/04/20	2.000	604.96	Various	810.02	205.06 W	0.00	Total of 2 transactions
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	247.98	09/09/20	360.10	...	-112.12	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	212.98	09/09/20	308.03	...	-95.05	2 of 2 - Option sale to close-call <sup>25</sup>
09/09/20	2.000	460.96	Various	668.13	...	-207.17	Total of 2 transactions
5 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	176.98	09/09/20	294.04	117.06 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	189.98	09/09/20	311.06	121.08 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	123.98	09/09/20	194.00	70.02 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	225.98	09/09/20	347.08	121.10 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	247.99	09/09/20	309.02	61.03 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
09/09/20	5.000	964.91	Various	1,455.20	490.29 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>2,030.83</b>		<b>2,933.35</b>	<b>695.35 W</b>	<b>-207.17</b>	
MSFT 09/11/2020 CALL \$227.50 / CUSIP: / Symbol:							
09/03/20	1.000	186.98	09/03/20	250.00	...	-63.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MSFT 09/11/2020 CALL \$235.00 / CUSIP: / Symbol:							
09/02/20	1.000	344.98	09/02/20	325.00	...	19.98	Option sale to close-call <sup>25</sup>
MSFT 09/18/2020 CALL \$207.50 / CUSIP: / Symbol:							
09/14/20	1.000	277.98	09/14/20	310.00	...	-32.02	Option sale to close-call <sup>25</sup>
MSFT 09/18/2020 CALL \$245.00 / CUSIP: / Symbol:							
09/08/20	1.000	27.98	09/02/20	284.00	...	-256.02	Option sale to close-call <sup>25</sup>
MSFT 10/16/2020 CALL \$215.00 / CUSIP: / Symbol:							
09/30/20	1.000	267.98	09/22/20	383.00	...	-115.02	Option sale to close-call <sup>25</sup>
MSFT 10/16/2020 CALL \$220.00 / CUSIP: / Symbol:							
10/01/20	1.000	105.98	09/30/20	0.00	...	105.98	Short sale closed- call <sup>25</sup> Option written: 09/22/20
MSFT 10/30/2020 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	1.000	409.98	10/27/20	405.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	449.98	10/27/20	445.00	...	4.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/27/20	2.000	859.96	Various	850.00	...	9.96	Total of 2 transactions
MU 07/02/2020 CALL \$52.50 / CUSIP: / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	62.99	06/30/20	68.02	...	-5.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	62.99	06/30/20	66.00	...	-3.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/30/20	2.000	125.98	Various	134.02	...	-8.04	Total of 2 transactions
06/30/20	1.000	62.98	06/30/20	65.00	2.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>188.96</b>		<b>199.02</b>	<b>2.02 W</b>	<b>-8.04</b>	
MU 08/21/2020 CALL \$44.50 / CUSIP: / Symbol:							
3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	54.99	08/18/20	75.00	...	-20.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	54.99	08/18/20	75.00	...	-20.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	55.00	08/18/20	75.00	...	-20.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/18/20	3.000	164.98	Various	225.00	...	-60.02	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MU 09/04/2020 CALL \$44.00 / CUSIP: / Symbol:							
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	99.99	08/20/20	96.00	...	3.99	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	199.99	08/20/20	192.00	...	7.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	199.99	08/20/20	192.00	...	7.99	3 of 3 - Option sale to close-call <sup>25</sup>
08/24/20	5.000	499.97	Various	480.00	...	19.97	Total of 3 transactions
MU 09/18/2020 CALL \$49.00 / CUSIP: / Symbol:							
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	90.98	09/14/20	106.00	...	-15.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	90.98	09/14/20	106.00	...	-15.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/14/20	2.000	181.96	Various	212.00	...	-30.04	Total of 2 transactions
NAT 12/18/2020 PUT \$4.00 / CUSIP: / Symbol:							
12/04/20	1.000	55.98	11/27/20	70.00	...	-14.02	Option sale to close-put <sup>25</sup>
NCLH 01/15/2021 CALL \$17.50 / CUSIP: / Symbol:							
08/24/20	1.000	369.98	08/10/20	315.00	...	54.98	Option sale to close-call <sup>25</sup>
NEE 06/19/2020 CALL \$280.00 / CUSIP: / Symbol:							
03/04/20	1.000	1,119.96	02/28/20	330.00	...	789.96	Option sale to close-call <sup>25</sup>
NEE 08/21/2020 CALL \$290.00 / CUSIP: / Symbol:							
08/04/20	1.000	279.98	08/04/20	340.00	...	-60.02	Option sale to close-call <sup>25</sup>
NFLX 07/02/2020 CALL \$450.00 / CUSIP: / Symbol:							
06/29/20	1.000	439.98	06/29/20	525.00	...	-85.02	Option sale to close-call <sup>25</sup>
NFLX 07/02/2020 CALL \$475.00 / CUSIP: / Symbol:							
07/01/20	1.000	284.98	07/01/20	278.00	...	6.98	Option sale to close-call <sup>25</sup>
NFLX 07/02/2020 CALL \$480.00 / CUSIP: / Symbol:							
07/01/20	1.000	474.97	07/01/20	444.00	...	30.97	Option sale to close-call <sup>25</sup>
NFLX 07/02/2020 CALL \$495.00 / CUSIP: / Symbol:							
07/01/20	1.000	309.98	07/01/20	285.00	...	24.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NFLX 07/10/2020 CALL \$500.00 / CUSIP: / Symbol:							
07/01/20	1.000	649.97	07/01/20	650.00	0.03 W	0.00	Option sale to close-call <sup>25</sup>
07/02/20	1.000	374.98	07/02/20	364.03	...	10.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,024.95</b>		<b>1,014.03</b>	<b>0.03 W</b>	<b>10.95</b>	
NFLX 07/17/2020 CALL \$595.00 / CUSIP: / Symbol:							
07/10/20	1.000	1,009.96	07/10/20	1,075.04	...	-65.08	Option sale to close-call <sup>25</sup>
07/10/20	1.000	929.96	07/10/20	1,020.00	90.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,939.92</b>		<b>2,095.04</b>	<b>90.04 W</b>	<b>-65.08</b>	
NFLX 07/17/2020 CALL \$600.00 / CUSIP: / Symbol:							
07/10/20	1.000	894.97	07/10/20	1,150.00	...	-255.03	Option sale to close-call <sup>25</sup>
NFLX 07/17/2020 CALL \$605.00 / CUSIP: / Symbol:							
07/10/20	1.000	1,069.96	07/10/20	985.00	...	84.96	Option sale to close-call <sup>25</sup>
NFLX 07/17/2020 CALL \$610.00 / CUSIP: / Symbol:							
07/10/20	1.000	789.97	07/10/20	764.00	...	25.97	Option sale to close-call <sup>25</sup>
NFLX 07/17/2020 CALL \$615.00 / CUSIP: / Symbol:							
07/10/20	1.000	944.96	07/10/20	935.03	...	9.93	Option sale to close-call <sup>25</sup>
07/10/20	1.000	684.97	07/10/20	705.00	20.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,629.93</b>		<b>1,640.03</b>	<b>20.03 W</b>	<b>9.93</b>	
NFLX 07/17/2020 PUT \$505.00 / CUSIP: / Symbol:							
07/10/20	1.000	844.97	07/10/20	875.00	...	-30.03	Option sale to close-put <sup>25</sup>
NFLX 07/17/2020 PUT \$515.00 / CUSIP: / Symbol:							
07/10/20	1.000	1,109.96	07/10/20	1,095.00	...	14.96	Option sale to close-put <sup>25</sup>
NFLX 07/31/2020 CALL \$490.00 / CUSIP: / Symbol:							
07/24/20	1.000	754.97	07/24/20	710.00	...	44.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 07/31/2020 CALL \$495.00 / CUSIP: / Symbol:							
3 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	509.97	07/24/20	555.00	...	-45.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	524.97	07/24/20	515.00	...	9.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	609.97	07/24/20	580.00	...	29.97	3 of 3 - Option sale to close-call <sup>25</sup>
07/24/20	3.000	1,644.91	Various	1,650.00	...	-5.09	Total of 3 transactions
NFLX 07/31/2020 CALL \$500.00 / CUSIP: / Symbol:							
07/24/20	1.000	459.97	07/24/20	475.00	...	-15.03	Option sale to close-call <sup>25</sup>
NFLX 07/31/2020 CALL \$510.00 / CUSIP: / Symbol:							
07/24/20	1.000	389.98	07/24/20	420.00	...	-30.02	Option sale to close-call <sup>25</sup>
NFLX 07/31/2020 CALL \$515.00 / CUSIP: / Symbol:							
07/24/20	1.000	294.98	07/24/20	315.00	...	-20.02	Option sale to close-call <sup>25</sup>
NFLX 07/31/2020 CALL \$525.00 / CUSIP: / Symbol:							
07/24/20	1.000	203.98	07/24/20	198.00	...	5.98	Option sale to close-call <sup>25</sup>
NFLX 07/31/2020 CALL \$530.00 / CUSIP: / Symbol:							
07/24/20	1.000	159.98	07/24/20	160.00	...	-0.02	Option sale to close-call <sup>25</sup>
NFLX 08/07/2020 CALL \$500.00 / CUSIP: / Symbol:							
08/06/20	1.000	509.97	08/06/20	505.00	...	4.97	Option sale to close-call <sup>25</sup>
NFLX 08/07/2020 CALL \$505.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	539.97	07/31/20	529.03	...	10.94	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	494.97	07/31/20	475.00	...	19.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/31/20	2.000	1,034.94	Various	1,004.03	...	30.91	Total of 2 transactions
07/31/20	1.000	464.97	07/31/20	470.00	5.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,499.91</b>		<b>1,474.03</b>	<b>5.03 W</b>	<b>30.91</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NFLX 08/07/2020 CALL \$510.00 / CUSIP: / Symbol:							
07/31/20	1.000	444.98	07/31/20	430.00	...	14.98	Option sale to close-call <sup>25</sup>
07/31/20	1.000	369.98	07/31/20	450.00	80.02 W	0.00	Option sale to close-call <sup>25</sup>
08/03/20	1.000	370.98	08/03/20	545.02	...	-174.04	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,185.94</b>		<b>1,425.02</b>	<b>80.02 W</b>	<b>-159.06</b>	
NFLX 08/07/2020 CALL \$515.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	270.98	07/31/20	360.00	89.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	266.98	07/31/20	383.02	116.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/31/20	2.000	537.96	Various	743.02	205.06 W	0.00	Total of 2 transactions
08/04/20	1.000	539.97	08/04/20	646.04	...	-106.07	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,077.93</b>		<b>1,389.06</b>	<b>205.06 W</b>	<b>-106.07</b>	
NFLX 08/14/2020 CALL \$480.00 / CUSIP: / Symbol:							
08/12/20	1.000	654.97	08/12/20	650.00	...	4.97	Option sale to close-call <sup>25</sup>
NFLX 08/14/2020 CALL \$505.00 / CUSIP: / Symbol:							
08/06/20	1.000	819.97	08/06/20	800.00	...	19.97	Option sale to close-call <sup>25</sup>
NFLX 08/14/2020 CALL \$510.00 / CUSIP: / Symbol:							
08/06/20	1.000	729.97	08/06/20	765.00	...	-35.03	Option sale to close-call <sup>25</sup>
NFLX 08/14/2020 CALL \$515.00 / CUSIP: / Symbol:							
07/31/20	1.000	544.97	07/31/20	530.00	...	14.97	Option sale to close-call <sup>25</sup>
NFLX 08/21/2020 CALL \$485.00 / CUSIP: / Symbol:							
08/19/20	1.000	809.97	08/19/20	775.00	...	34.97	Option sale to close-call <sup>25</sup>
NFLX 08/21/2020 CALL \$490.00 / CUSIP: / Symbol:							
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	484.97	08/19/20	515.00	...	-30.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	469.97	08/19/20	455.00	...	14.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/19/20	2.000	954.94	Various	970.00	...	-15.06	Total of 2 transactions
NFLX 08/21/2020 CALL \$495.00 / CUSIP: / Symbol:							
08/18/20	1.000	609.97	08/18/20	585.00	...	24.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 08/28/2020 CALL \$500.00 / CUSIP: / Symbol:							
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	874.97	08/20/20	885.00	...	-10.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	849.97	08/20/20	815.00	...	34.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	2.000	1,724.94	Various	1,700.00	...	24.94	Total of 2 transactions
NFLX 09/11/2020 CALL \$545.00 / CUSIP: / Symbol:							
09/08/20	1.000	319.98	09/08/20	625.00	...	-305.02	Option sale to close-call <sup>25</sup>
NFLX 11/20/2020 CALL \$520.00 / CUSIP: / Symbol:							
10/27/20	1.000	997.96	10/23/20	930.00	...	67.96	Option sale to close-call <sup>25</sup>
NFLX 11/20/2020 CALL \$525.00 / CUSIP: / Symbol:							
10/28/20	1.000	-58.03	10/27/20	0.00	...	-58.03	Short sale closed- call <sup>25</sup> Option written: 10/23/20
NIO 01/15/2021 CALL \$12.00 / CUSIP: / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	679.98	07/17/20	370.00	...	309.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	679.97	08/11/20	475.00	...	204.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/25/20	2.000	1,359.95	Various	845.00	...	514.95	Total of 2 transactions
NIO 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
09/30/20	1.000	439.98	09/03/20	400.00	...	39.98	Option sale to close-call <sup>25</sup>
10/14/20	1.000	499.97	09/04/20	320.00	...	179.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>939.95</b>		<b>720.00</b>	...	<b>219.95</b>	
NIO 01/15/2021 CALL \$32.00 / CUSIP: / Symbol:							
11/02/20	1.000	629.97	10/30/20	505.00	...	124.97	Option sale to close-call <sup>25</sup>
NIO 01/31/2020 CALL \$5.50 / CUSIP: / Symbol:							
01/31/20	3.000	0.00	01/17/20	54.00	...	-54.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 03/20/2020 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/03/20	2.00	...	-2.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/03/20	1.00	...	-1.00	2 of 2 - Option expiration- long call
03/20/20	2.000	0.00	Various	3.00	...	-3.00	Total of 2 transactions
NIO 04/03/2020 CALL \$3.50 / CUSIP: / Symbol:							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	2.000	17.99	03/12/20	70.00	...	-52.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	8.99	03/12/20	35.00	...	-26.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/25/20	3.000	26.98	Various	105.00	...	-78.02	Total of 2 transactions
NIO 04/03/2020 CALL \$4.50 / CUSIP: / Symbol:							
04/03/20	10.000	0.00	03/13/20	110.00	...	-110.00	Option expiration- long call
NIO 06/05/2020 CALL \$4.50 / CUSIP: / Symbol:							
3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	23.00	05/26/20	14.00	...	9.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	45.99	05/26/20	28.00	...	17.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	45.99	05/26/20	28.00	...	17.99	3 of 3 - Option sale to close-call <sup>25</sup>
05/27/20	5.000	114.98	Various	70.00	...	44.98	Total of 3 transactions
NIO 06/05/2020 PUT \$3.50 / CUSIP: / Symbol:							
05/27/20	2.000	29.98	05/26/20	48.00	...	-18.02	Option sale to close-put <sup>25</sup>
NIO 07/10/2020 CALL \$15.50 / CUSIP: / Symbol:							
6 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	3.000	347.98	07/08/20	354.00	...	-6.02	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	115.99	07/08/20	120.02	...	-4.03	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	116.00	07/08/20	120.02	...	-4.02	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	115.98	07/08/20	118.00	...	-2.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	115.98	07/08/20	118.00	...	-2.02	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	120.98	07/08/20	118.00	...	2.98	6 of 6 - Option sale to close-call <sup>25</sup>
07/08/20	8.000	932.91	Various	948.04	...	-15.13	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 07/10/2020 CALL \$15.50 / CUSIP: / Symbol: (cont'd)							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	115.98	07/08/20	118.00	2.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	115.98	07/08/20	118.00	2.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/08/20	2.000	231.96	Various	236.00	4.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,164.87</b>		<b>1,184.04</b>	<b>4.04 W</b>	<b>-15.13</b>	
NIO 07/10/2020 CALL \$9.50 / CUSIP: / Symbol:							
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	2.000	95.98	07/02/20	100.00	...	-4.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	95.98	07/02/20	100.00	...	-4.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	47.98	07/02/20	50.00	...	-2.02	3 of 3 - Option sale to close-call <sup>25</sup>
07/02/20	5.000	239.94	Various	250.00	...	-10.06	Total of 3 transactions
NIO 07/24/2020 CALL \$12.50 / CUSIP: / Symbol:							
3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	2.000	155.98	07/20/20	156.00	...	-0.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	78.98	07/20/20	78.00	...	0.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	157.98	07/20/20	156.00	...	1.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/20/20	5.000	392.94	Various	390.00	...	2.94	Total of 3 transactions
NIO 07/31/2020 PUT \$12.00 / CUSIP: / Symbol:							
07/31/20	1.000	10.98	07/14/20	111.00	...	-100.02	Option sale to close-put <sup>25</sup>
NIO 08/07/2020 CALL \$15.00 / CUSIP: / Symbol:							
08/07/20	1.000	0.00	07/14/20	198.00	...	-198.00	Option expiration- long call
NIO 08/14/2020 CALL \$13.50 / CUSIP: / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	149.99	08/10/20	133.00	...	16.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	299.99	08/10/20	266.00	...	33.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/10/20	3.000	449.98	Various	399.00	...	50.98	Total of 2 transactions
NIO 08/14/2020 CALL \$15.50 / CUSIP: / Symbol:							
08/14/20	2.000	0.00	08/10/20	152.00	...	-152.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 08/28/2020 PUT \$17.50 / CUSIP: / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	130.99	08/25/20	135.00	...	-4.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	130.99	08/25/20	135.00	...	-4.01	2 of 2 - Option sale to close-put <sup>25</sup>
08/25/20	2.000	261.98	Various	270.00	...	-8.02	Total of 2 transactions
NIO 09/04/2020 CALL \$19.50 / CUSIP: / Symbol:							
09/04/20	3.000	0.00	08/31/20	324.00	...	-324.00	Option expiration- long call
NIO 09/25/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	190.98	08/28/20	216.00	...	-25.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	191.98	08/28/20	216.00	...	-24.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/28/20	2.000	382.96	Various	432.00	...	-49.04	Total of 2 transactions
NIO 10/16/2020 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	186.98	10/14/20	182.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	186.98	10/14/20	182.00	...	4.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/14/20	2.000	373.96	Various	364.00	...	9.96	Total of 2 transactions
NIO 10/16/2020 CALL \$29.00 / CUSIP: / Symbol:							
10/16/20	1.000	1.98	10/15/20	86.00	...	-84.02	Option sale to close-call <sup>25</sup>
NIO 11/27/2020 CALL \$51.00 / CUSIP: / Symbol:							
11/20/20	1.000	229.98	11/20/20	270.00	...	-40.02	Option sale to close-call <sup>25</sup>
NIO 11/27/2020 PUT \$51.00 / CUSIP: / Symbol:							
11/23/20	1.000	209.98	11/23/20	232.00	...	-22.02	Option sale to close-put <sup>25</sup>
NIO 12/24/2020 CALL \$46.00 / CUSIP: / Symbol:							
11/17/20	1.000	777.97	11/13/20	662.00	...	115.97	Option sale to close-call <sup>25</sup>
NIO 12/24/2020 CALL \$51.00 / CUSIP: / Symbol:							
11/18/20	1.000	-83.03	11/17/20	0.00	...	-83.03	Short sale closed- call <sup>25</sup> Option written: 11/13/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIU 11/20/2020 CALL \$30.00 / CUSIP: / Symbol:							
11/17/20	1.000	449.98	11/17/20	340.00	...	109.98	Option sale to close-call <sup>25</sup>
NIU 11/20/2020 CALL \$35.00 / CUSIP: / Symbol:							
11/18/20	1.000	-23.02	11/17/20	0.00	...	-23.02	Short sale closed- call <sup>25</sup> Option written: 11/16/20
NIU 11/20/2020 PUT \$35.00 / CUSIP: / Symbol:							
11/17/20	1.000	154.98	11/16/20	235.00	...	-80.02	Option sale to close-put <sup>25</sup>
NIU 12/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
11/02/20	1.000	289.98	10/30/20	200.00	...	89.98	Option sale to close-call <sup>25</sup>
NKE 09/04/2020 CALL \$114.00 / CUSIP: / Symbol:							
09/01/20	2.000	247.98	09/01/20	248.00	...	-0.02	Option sale to close-call <sup>25</sup>
NKLA 09/18/2020 CALL \$35.00 / CUSIP: / Symbol:							
09/15/20	1.000	-55.02	09/14/20	0.00	...	-55.02	Short sale closed- call <sup>25</sup> Option written: 09/14/20
NLS 01/17/2020 CALL \$2.50 / CUSIP: / Symbol:							
01/10/20	1.000	29.98	01/09/20	35.00	...	-5.02	Option sale to close-call <sup>25</sup>
01/13/20	1.000	89.98	01/09/20	35.00	...	54.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>119.96</b>		<b>70.00</b>	...	<b>49.96</b>	
NOV 11/20/2020 PUT \$13.00 / CUSIP: / Symbol:							
11/19/20	1.000	104.98	11/17/20	90.00	...	14.98	Option sale to close-put <sup>25</sup>
NUGT 04/17/2020 CALL \$10.00 / CUSIP: / Symbol:							
03/25/20	1.000	194.98	03/24/20	182.00	...	12.98	Option sale to close-call <sup>25</sup>
NUGT 04/17/2020 CALL \$19.00 / CUSIP: / Symbol:							
03/25/20	2.000	109.98	03/17/20	530.00	...	-420.02	Option sale to close-call <sup>25</sup>
NUGT 11/27/2020 CALL \$63.00 / CUSIP: / Symbol:							
11/24/20	1.000	55.98	11/24/20	107.00	51.02 W	0.00	Option sale to close-call <sup>25</sup>
11/25/20	1.000	112.98	11/24/20	148.02	...	-35.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>168.96</b>		<b>255.02</b>	<b>51.02 W</b>	<b>-35.04</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NUGT 12/04/2020 CALL \$66.00 / CUSIP: / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	369.98	12/01/20	354.00	...	15.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	369.99	12/01/20	210.00	...	159.99	2 of 2 - Option sale to close-call <sup>25</sup>
12/01/20	2.000	739.97	Various	564.00	...	175.97	Total of 2 transactions
NUGT 12/04/2020 CALL \$67.50 / CUSIP: / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	-58.02	12/01/20	0.00	...	-58.02	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 12/01/20
	1.000	-15.02	12/01/20	0.00	...	-15.02	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 12/01/20
12/02/20	2.000	-73.04	Various	0.00	...	-73.04	Total of 2 transactions
NVDA 07/10/2020 CALL \$395.00 / CUSIP: / Symbol:							
07/02/20	1.000	389.98	07/02/20	390.00	...	-0.02	Option sale to close-call <sup>25</sup>
NVDA 07/17/2020 CALL \$420.00 / CUSIP: / Symbol:							
5 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	324.99	06/10/20	720.00	...	-395.01	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	324.99	06/10/20	650.00	...	-325.01	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	324.99	06/10/20	615.00	...	-290.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	324.99	06/10/20	610.00	...	-285.01	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	324.99	06/10/20	550.00	...	-225.01	5 of 5 - Option sale to close-call <sup>25</sup>
06/17/20	5.000	1,624.95	Various	3,145.00	...	-1,520.05	Total of 5 transactions
NVDA 08/07/2020 CALL \$430.00 / CUSIP: / Symbol:							
07/30/20	1.000	704.97	07/30/20	780.03	...	-75.06	Option sale to close-call <sup>25</sup>
07/30/20	1.000	694.97	07/30/20	745.00	50.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,399.94</b>		<b>1,525.03</b>	<b>50.03 W</b>	<b>-75.06</b>	
NVDA 08/07/2020 CALL \$437.50 / CUSIP: / Symbol:							
07/30/20	1.000	539.97	07/30/20	525.00	...	14.97	Option sale to close-call <sup>25</sup>
NVDA 08/07/2020 CALL \$445.00 / CUSIP: / Symbol:							
08/03/20	1.000	769.97	08/03/20	735.00	...	34.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 08/21/2020 CALL \$495.00 / CUSIP: / Symbol:							
08/20/20	1.000	769.97	08/20/20	760.00	...	9.97	Option sale to close-call <sup>25</sup>
NVDA 08/28/2020 CALL \$500.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	844.97	08/20/20	845.00	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	819.97	08/20/20	815.00	...	4.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	2.000	1,664.94	Various	1,660.00	...	4.94	Total of 2 transactions
NVDA 09/04/2020 CALL \$540.00 / CUSIP: / Symbol:							
08/28/20	1.000	899.97	08/28/20	835.00	...	64.97	Option sale to close-call <sup>25</sup>
NVDA 09/04/2020 CALL \$560.00 / CUSIP: / Symbol:							
08/31/20	1.000	554.97	08/31/20	710.00	...	-155.03	Option sale to close-call <sup>25</sup>
NVDA 09/04/2020 CALL \$565.00 / CUSIP: / Symbol:							
08/31/20	1.000	544.97	08/31/20	531.00	...	13.97	Option sale to close-call <sup>25</sup>
NVDA 11/20/2020 CALL \$562.50 / CUSIP: / Symbol:							
11/03/20	1.000	1,151.96	10/22/20	1,983.00	...	-831.04	Option sale to close-call <sup>25</sup>
NVDA 11/20/2020 CALL \$565.00 / CUSIP: / Symbol:							
11/04/20	1.000	807.94	11/03/20	0.00	...	807.94	Short sale closed- call <sup>25</sup> Option written: 10/22/20
NXPI 07/31/2020 CALL \$120.00 / CUSIP: / Symbol:							
07/31/20	1.000	0.00	07/27/20	340.00	...	-340.00	Option expiration- long call
NXPI 07/31/2020 PUT \$120.00 / CUSIP: / Symbol:							
07/30/20	1.000	304.98	07/27/20	350.00	...	-45.02	Option sale to close-put <sup>25</sup>
ORCL 06/26/2020 CALL \$52.50 / CUSIP: / Symbol:							
<i>9 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.98	06/01/20	0.00	...	0.98	1 of 9 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	1.000	0.99	06/01/20	0.00	...	0.99	2 of 9 - Short sale closed- call <sup>25</sup> Option written: 05/29/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORCL 06/26/2020 CALL \$52.50 / CUSIP: / Symbol: (cont'd)							
	1.000	1.97	06/01/20	0.00	...	1.97	3 of 9 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	1.000	3.98	06/01/20	0.00	...	3.98	4 of 9 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	1.000	4.99	06/01/20	0.00	...	4.99	5 of 9 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	1.000	6.98	06/01/20	0.00	...	6.98	6 of 9 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	1.000	13.98	06/01/20	0.00	...	13.98	7 of 9 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	1.000	17.98	06/01/20	0.00	...	17.98	8 of 9 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	2.000	21.97	06/01/20	0.00	...	21.97	9 of 9 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
06/02/20	10.000	73.82	Various	0.00	...	73.82	Total of 9 transactions
06/02/20	1.000	-0.01	06/01/20	0.00	0.01 W	0.00	Short sale closed- call <sup>25</sup> Option written: 05/29/20
06/03/20	1.000	19.99	06/02/20	0.00	...	19.99	Short sale closed- call <sup>25</sup> Option written: 05/29/20
12 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	-1.02	06/03/20	0.00	...	-1.02	1 of 12 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	1.000	1.97	06/03/20	0.00	...	1.97	2 of 12 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	2.000	1.99	06/03/20	0.00	...	1.99	3 of 12 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	1.000	1.99	06/03/20	0.00	...	1.99	4 of 12 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	2.000	7.94	06/03/20	0.00	...	7.94	5 of 12 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	2.000	9.92	06/03/20	0.00	...	9.92	6 of 12 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	2.000	11.98	06/03/20	0.00	...	11.98	7 of 12 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	2.000	13.98	06/03/20	0.00	...	13.98	8 of 12 - Short sale closed- call <sup>25</sup> Option written: 05/29/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ORCL 06/26/2020 CALL \$52.50 / CUSIP: / Symbol: (cont'd)							
	3.000	17.98	06/03/20	0.00	...	17.98	9 of 12 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	1.000	23.98	06/03/20	0.00	...	23.98	10 of 12 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	3.000	26.98	06/03/20	0.00	...	26.98	11 of 12 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	5.000	34.96	06/03/20	0.00	...	34.96	12 of 12 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
06/04/20	25.000	152.65	Various	0.00	...	152.65	Total of 12 transactions
	6 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	3.000	-12.03	06/03/20	0.00	12.03 W	0.00	1 of 6 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	2.000	-8.02	06/03/20	0.00	8.02 W	0.00	2 of 6 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	3.000	-24.05	06/03/20	0.00	24.05 W	0.00	3 of 6 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	2.000	-14.04	06/03/20	0.00	14.04 W	0.00	4 of 6 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	3.000	-3.07	06/03/20	0.00	3.07 W	0.00	5 of 6 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
	2.000	-2.06	06/03/20	0.00	2.06 W	0.00	6 of 6 - Short sale closed- call <sup>25</sup> Option written: 05/29/20
06/04/20	15.000	-63.27	Various	0.00	63.27 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>183.18</b>		<b>0.00</b>	<b>63.28 W</b>	<b>246.46</b>	
ORCL 07/10/2020 CALL \$53.50 / CUSIP: / Symbol:							
	6 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	2.000	411.98	05/29/20	446.00	34.02 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	205.98	05/29/20	237.01	31.03 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	200.99	05/29/20	252.03	51.04 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	200.99	05/29/20	238.01	37.02 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	199.99	05/29/20	270.04	70.05 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	199.99	05/29/20	256.02	56.03 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
06/01/20	7.000	1,419.92	Various	1,699.11	279.19 W	0.00	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORCL 07/10/2020 CALL \$53.50 / CUSIP: / Symbol: (cont'd)							
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	198.99	05/29/20	307.07	...	-108.08	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	198.99	05/29/20	274.03	...	-75.04	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	198.99	05/29/20	214.00	...	-15.01	3 of 3 - Option sale to close-call <sup>25</sup>
06/02/20	3.000	596.97	Various	795.10	...	-198.13	Total of 3 transactions
06/02/20	1.000	198.98	05/29/20	288.05	89.07 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,215.87</b>		<b>2,782.26</b>	<b>368.26 W</b>	<b>-198.13</b>	
ORCL 07/10/2020 PUT \$53.00 / CUSIP: / Symbol:							
4 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	2.000	421.98	05/29/20	416.00	...	5.98	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	212.98	05/29/20	205.00	...	7.98	2 of 4 - Option sale to close-put <sup>25</sup>
	2.000	429.98	05/29/20	412.00	...	17.98	3 of 4 - Option sale to close-put <sup>25</sup>
	2.000	429.98	05/29/20	410.00	...	19.98	4 of 4 - Option sale to close-put <sup>25</sup>
06/01/20	7.000	1,494.92	Various	1,443.00	...	51.92	Total of 4 transactions
4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	227.99	05/29/20	212.00	...	15.99	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	224.98	05/29/20	205.00	...	19.98	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	227.99	05/29/20	205.00	...	22.99	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	227.99	05/29/20	204.00	...	23.99	4 of 4 - Option sale to close-put <sup>25</sup>
06/02/20	4.000	908.95	Various	826.00	...	82.95	Total of 4 transactions
	<b>Security total:</b>	<b>2,403.87</b>		<b>2,269.00</b>	...	<b>134.87</b>	
ORCL 07/17/2020 CALL \$52.50 / CUSIP: / Symbol:							
06/01/20	1.000	274.98	05/29/20	286.00	11.02 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	9.000	2,474.92	05/29/20	2,574.00	...	-99.08	1 of 3 - Option sale to close-call <sup>25</sup>
	6.000	1,649.95	05/29/20	1,710.00	...	-60.05	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	274.99	05/29/20	292.02	...	-17.03	3 of 3 - Option sale to close-call <sup>25</sup>
06/03/20	16.000	4,399.86	Various	4,576.02	...	-176.16	Total of 3 transactions
9 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	5.000	1,374.96	05/29/20	1,425.00	10.01 W	-40.03	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	281.99	05/29/20	297.02	15.03 W	0.00	2 of 9 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORCL 07/17/2020 CALL \$52.50 / CUSIP: / Symbol: (cont'd)							
	3.000	845.97	05/29/20	858.00	12.03 W	0.00	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	281.99	05/29/20	285.00	3.01 W	0.00	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	281.99	05/29/20	300.03	18.04 W	0.00	5 of 9 - Option sale to close-call <sup>25</sup>
	3.000	845.97	05/29/20	867.03	21.06 W	0.00	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	281.99	05/29/20	288.01	6.02 W	0.00	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	274.99	05/29/20	303.04	28.05 W	0.00	8 of 9 - Option sale to close-call <sup>25</sup>
	3.000	824.98	05/29/20	876.06	51.08 W	0.00	9 of 9 - Option sale to close-call <sup>25</sup>
06/03/20	19.000	5,294.83	Various	5,499.19	164.33 W	-40.03	Total of 9 transactions
	3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	3.000	809.98	05/29/20	906.08	...	-96.10	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	269.99	05/29/20	313.05	...	-43.06	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	269.99	05/29/20	308.01	...	-38.02	3 of 3 - Option sale to close-call <sup>25</sup>
06/05/20	5.000	1,349.96	Various	1,527.14	...	-177.18	Total of 3 transactions
	<b>Security total:</b>	<b>11,319.63</b>		<b>11,888.35</b>	<b>175.35 W</b>	<b>-393.37</b>	
ORCL 07/17/2020 PUT \$52.50 / CUSIP: / Symbol:							
	4 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	1.000	219.98	05/29/20	212.00	...	7.98	1 of 4 - Option sale to close-put <sup>25</sup>
	2.000	437.98	05/29/20	428.00	...	9.98	2 of 4 - Option sale to close-put <sup>25</sup>
	2.000	443.98	05/29/20	426.00	...	17.98	3 of 4 - Option sale to close-put <sup>25</sup>
	2.000	445.98	05/29/20	424.00	...	21.98	4 of 4 - Option sale to close-put <sup>25</sup>
06/01/20	7.000	1,547.92	Various	1,490.00	...	57.92	Total of 4 transactions
	4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	1.000	224.98	05/29/20	214.00	...	10.98	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	224.99	05/29/20	214.00	...	10.99	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	224.99	05/29/20	210.00	...	14.99	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	224.99	05/29/20	197.00	...	27.99	4 of 4 - Option sale to close-put <sup>25</sup>
06/02/20	4.000	899.95	Various	835.00	...	64.95	Total of 4 transactions
	<b>Security total:</b>	<b>2,447.87</b>		<b>2,325.00</b>	...	<b>122.87</b>	
ORCL 12/31/2020 PUT \$67.50 / CUSIP: / Symbol:							
12/28/20	1.000	264.98	12/28/20	195.00	...	69.98	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OXY 07/17/2020 CALL \$21.00 / CUSIP: / Symbol:							
5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	369.99	06/05/20	210.00	...	159.99	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	369.99	06/05/20	210.00	...	159.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	369.99	06/05/20	175.00	...	194.99	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	739.97	06/05/20	420.00	...	319.97	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	759.97	06/05/20	350.00	...	409.97	5 of 5 - Option sale to close-call <sup>25</sup>
06/08/20	7.000	2,609.91	Various	1,365.00	...	1,244.91	Total of 5 transactions
OXY 07/17/2020 CALL \$22.50 / CUSIP: / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	309.99	06/05/20	160.00	...	149.99	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	619.98	06/05/20	320.00	...	299.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	619.98	06/05/20	320.00	...	299.98	3 of 3 - Option sale to close-call <sup>25</sup>
06/08/20	5.000	1,549.95	Various	800.00	...	749.95	Total of 3 transactions
OXY 07/24/2020 CALL \$18.00 / CUSIP: / Symbol:							
07/21/20	5.000	214.98	07/21/20	215.00	...	-0.02	Option sale to close-call <sup>25</sup>
OXY 11/13/2020 PUT \$14.00 / CUSIP: / Symbol:							
11/12/20	1.000	264.98	11/09/20	183.00	...	81.98	Option sale to close-put <sup>25</sup>
PCG 12/04/2020 PUT \$14.00 / CUSIP: / Symbol:							
12/03/20	1.000	146.98	11/27/20	124.00	...	22.98	Option sale to close-put <sup>25</sup>
PCG 12/11/2020 PUT \$13.00 / CUSIP: / Symbol:							
12/10/20	1.000	92.98	12/08/20	71.00	...	21.98	Option sale to close-put <sup>25</sup>
PDD 07/10/2020 CALL \$91.00 / CUSIP: / Symbol:							
07/08/20	5.000	1,414.95	07/08/20	1,405.00	...	9.95	Option sale to close-call <sup>25</sup>
PDD 08/07/2020 CALL \$97.00 / CUSIP: / Symbol:							
08/05/20	1.000	217.98	08/05/20	215.00	...	2.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PDD 08/14/2020 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	254.98	08/11/20	238.00	...	16.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	254.98	08/11/20	238.00	...	16.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/11/20	2.000	509.96	Various	476.00	...	33.96	Total of 2 transactions
08/12/20	1.000	159.98	08/11/20	238.00	...	-78.02	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>669.94</b>		<b>714.00</b>	...	<b>-44.06</b>	
PENN 07/24/2020 CALL \$34.50 / CUSIP: / Symbol:							
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	215.98	07/16/20	215.00	...	0.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	431.98	07/16/20	430.00	...	1.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/16/20	3.000	647.96	Various	645.00	...	2.96	Total of 2 transactions
PEP 07/17/2020 CALL \$138.00 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	2.000	213.98	07/13/20	246.00	...	-32.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	106.98	07/13/20	123.00	...	-16.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/13/20	3.000	320.96	Various	369.00	...	-48.04	Total of 2 transactions
PFE 07/24/2020 CALL \$37.00 / CUSIP: / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	133.99	07/22/20	134.00	...	-0.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	133.99	07/22/20	134.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	2.000	267.98	Various	268.00	...	-0.02	Total of 2 transactions
PFE 07/24/2020 CALL \$38.50 / CUSIP: / Symbol:							
07/22/20	5.000	209.98	07/22/20	185.00	...	24.98	Option sale to close-call <sup>25</sup>
PFE 07/31/2020 CALL \$38.50 / CUSIP: / Symbol:							
10 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	82.99	07/28/20	94.01	...	-11.02	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	82.99	07/28/20	90.01	...	-7.02	2 of 10 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 07/31/2020 CALL \$38.50 / CUSIP: / Symbol: (cont'd)							
	1.000	63.98	07/28/20	59.00	...	4.98	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	63.98	07/28/20	59.00	...	4.98	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	63.98	07/28/20	59.00	...	4.98	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	63.99	07/28/20	59.00	...	4.99	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	63.99	07/28/20	59.00	...	4.99	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	77.98	07/28/20	72.00	...	5.98	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	77.99	07/28/20	72.00	...	5.99	9 of 10 - Option sale to close-call <sup>25</sup>
	3.000	233.99	07/28/20	216.00	...	17.99	10 of 10 - Option sale to close-call <sup>25</sup>
07/28/20	12.000	875.86	Various	839.02	...	36.84	Total of 10 transactions
	2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	1.000	81.99	07/28/20	84.00	2.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	81.99	07/28/20	88.00	6.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/28/20	2.000	163.98	Various	172.00	8.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,039.84</b>		<b>1,011.02</b>	<b>8.02 W</b>	<b>36.84</b>	
PFE 09/11/2020 PUT \$36.00 / CUSIP: / Symbol:							
09/10/20	1.000	38.98	09/09/20	23.00	...	15.98	Option sale to close-put <sup>25</sup>
PFE 09/18/2020 CALL \$37.50 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/14/20	31.00	...	-31.00	Option expiration- long call
PFE 12/04/2020 PUT \$38.00 / CUSIP: / Symbol:							
12/01/20	1.000	14.98	11/23/20	182.00	...	-167.02	Option sale to close-put <sup>25</sup>
PFE 12/11/2020 CALL \$39.00 / CUSIP: / Symbol:							
12/07/20	1.000	220.98	12/01/20	172.00	...	48.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 12/11/2020 CALL \$41.00 / CUSIP: / Symbol:							
12/08/20	1.000	-8.02	12/07/20	0.00	...	-8.02	Short sale closed- call <sup>25</sup> Option written: 12/01/20
PFGC 09/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/10/20	70.00	...	-70.00	Option expiration- long call
PINS 01/31/2020 CALL \$22.50 / CUSIP: / Symbol:							
01/17/20	1.000	169.98	01/14/20	80.00	...	89.98	Option sale to close-call <sup>25</sup>
PINS 01/31/2020 CALL \$23.00 / CUSIP: / Symbol:							
01/17/20	1.000	144.98	01/14/20	70.00	...	74.98	Option sale to close-call <sup>25</sup>
PINS 02/14/2020 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 02/06/20. Total proceeds and cost reported to the IRS.							
	1.000	94.98	02/05/20	55.00	...	39.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	159.98	02/05/20	110.00	...	49.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/06/20	3.000	254.96	Various	165.00	...	89.96	Total of 2 transactions
02/07/20	1.000	184.98	02/05/20	55.00	...	129.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>439.94</b>		<b>220.00</b>	...	<b>219.94</b>	
PINS 10/02/2020 CALL \$36.50 / CUSIP: / Symbol:							
09/22/20	1.000	309.98	09/17/20	189.00	...	120.98	Option sale to close-call <sup>25</sup>
PINS 11/13/2020 PUT \$60.00 / CUSIP: / Symbol:							
11/11/20	1.000	165.98	11/11/20	163.00	...	2.98	Option sale to close-put <sup>25</sup>
PLAY 07/02/2020 CALL \$20.00 / CUSIP: / Symbol:							
5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	379.99	06/05/20	370.00	...	9.99	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	759.98	06/05/20	740.00	...	19.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	379.99	06/05/20	360.00	...	19.99	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	379.99	06/05/20	295.00	...	84.99	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	759.98	06/05/20	590.00	...	169.98	5 of 5 - Option sale to close-call <sup>25</sup>
06/08/20	7.000	2,659.93	Various	2,355.00	...	304.93	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLAY 07/10/2020 CALL \$25.00 / CUSIP: / Symbol:							
07/10/20	1.000	0.00	06/08/20	265.00	...	-265.00	Option expiration- long call
PLAY 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/08/20	390.00	...	-390.00	1 of 2 - Option expiration- long call
	2.000	0.00	06/09/20	300.00	...	-300.00	2 of 2 - Option expiration- long call
07/17/20	4.000	0.00	Various	690.00	...	-690.00	Total of 2 transactions
PLUG 06/19/2020 CALL \$6.50 / CUSIP: / Symbol:							
06/19/20	10.000	0.00	06/10/20	330.00	...	-330.00	Option expiration- long call
PM 05/15/2020 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	03/18/20	460.00	...	-460.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/18/20	205.00	...	-205.00	2 of 2 - Option expiration- long call
05/15/20	3.000	0.00	Various	665.00	...	-665.00	Total of 2 transactions
PSTG 02/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
12/28/20	2.000	309.98	10/16/20	110.00	...	199.98	Option sale to close-call <sup>25</sup>
PSTG 11/20/2020 PUT \$17.50 / CUSIP: / Symbol:							
11/10/20	1.000	44.98	11/10/20	50.00	...	-5.02	Option sale to close-put <sup>25</sup>
PSTG 11/20/2020 PUT \$20.00 / CUSIP: / Symbol:							
11/13/20	1.000	219.98	11/10/20	245.00	25.02 W	0.00	Option sale to close-put <sup>25</sup>
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	99.98	11/17/20	215.02	115.04 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	99.98	11/17/20	300.04	200.06 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
11/20/20	2.000	199.96	Various	515.06	315.10 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>419.94</b>		<b>760.06</b>	<b>340.12 W</b>	<b>0.00</b>	
PTON 07/24/2020 CALL \$63.50 / CUSIP: / Symbol:							
07/20/20	1.000	213.98	07/20/20	234.00	...	-20.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PTON 09/25/2020 CALL \$93.00 / CUSIP: / Symbol:							
09/21/20	1.000	469.97	09/21/20	450.00	...	19.97	Option sale to close-call <sup>25</sup>
PYPL 08/07/2020 CALL \$197.50 / CUSIP: / Symbol:							
07/31/20	1.000	249.98	07/31/20	242.00	...	7.98	Option sale to close-call <sup>25</sup>
PYPL 09/11/2020 CALL \$197.50 / CUSIP: / Symbol:							
09/10/20	1.000	54.98	09/10/20	194.02	...	-139.04	Option sale to close-call <sup>25</sup>
09/10/20	1.000	139.98	09/10/20	183.00	43.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>194.96</b>		<b>377.02</b>	<b>43.02 W</b>	<b>-139.04</b>	
PYPL 11/20/2020 CALL \$212.50 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	10/21/20	1,308.00	...	-1,308.00	Option expiration- long call
PYPL 11/20/2020 CALL \$215.00 / CUSIP: / Symbol:							
11/20/20	1.000	1,189.96	11/20/20	0.00	...	1,189.96	Short sale closed- call expired Option written: 10/21/20
QCOM 01/08/2021 CALL \$146.00 / CUSIP: / Symbol:							
12/14/20	1.000	561.97	11/30/20	653.00	...	-91.03	Option sale to close-call <sup>25</sup>
QCOM 01/08/2021 CALL \$149.00 / CUSIP: / Symbol:							
12/15/20	1.000	95.97	12/14/20	0.00	...	95.97	Short sale closed- call <sup>25</sup> Option written: 11/30/20
QCOM 06/19/2020 PUT \$85.00 / CUSIP: / Symbol:							
06/12/20	2.000	425.98	06/11/20	364.00	...	61.98	Option sale to close-put <sup>25</sup>
QCOM 07/17/2020 CALL \$100.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	969.96	06/10/20	1,700.00	...	-730.04	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	193.99	06/10/20	290.00	...	-96.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/17/20	12.000	1,163.95	Various	1,990.00	...	-826.05	Total of 2 transactions
QCOM 08/14/2020 CALL \$112.00 / CUSIP: / Symbol:							
08/12/20	1.000	236.98	08/12/20	187.00	...	49.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QCOM 08/14/2020 CALL \$113.00 / CUSIP: / Symbol:							
08/12/20	2.000	379.98	08/12/20	356.00	...	23.98	Option sale to close-call <sup>25</sup>
QCOM 08/14/2020 CALL \$115.00 / CUSIP: / Symbol:							
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	3.000	572.98	08/12/20	594.00	...	-21.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	190.99	08/12/20	203.04	...	-12.05	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	190.99	08/12/20	198.00	...	-7.01	3 of 3 - Option sale to close-call <sup>25</sup>
08/12/20	5.000	954.96	Various	995.04	...	-40.08	Total of 3 transactions
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	117.98	08/12/20	128.00	10.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	128.98	08/12/20	134.02	5.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/12/20	2.000	246.96	Various	262.02	15.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,201.92</b>		<b>1,257.06</b>	<b>15.06 W</b>	<b>-40.08</b>	
QCOM 09/04/2020 CALL \$117.00 / CUSIP: / Symbol:							
08/27/20	1.000	233.98	08/27/20	214.00	...	19.98	Option sale to close-call <sup>25</sup>
QGEN 03/20/2020 CALL \$42.00 / CUSIP: / Symbol:							
03/06/20	1.000	34.98	03/03/20	35.00	...	-0.02	Option sale to close-call <sup>25</sup>
QQQ 06/19/2020 CALL \$228.00 / CUSIP: / Symbol:							
05/29/20	1.000	-297.03	05/28/20	0.00	...	-297.03	Short sale closed- call <sup>25</sup> Option written: 05/27/20
QQQ 07/17/2020 CALL \$228.00 / CUSIP: / Symbol:							
05/28/20	1.000	1,154.96	05/27/20	863.00	...	291.96	Option sale to close-call <sup>25</sup>
QQQ 08/28/2020 CALL \$290.00 / CUSIP: / Symbol:							
08/26/20	2.000	471.97	08/26/20	446.00	...	25.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 08/28/2020 CALL \$291.00 / CUSIP: / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	232.98	08/26/20	228.00	...	4.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	465.97	08/26/20	456.00	...	9.97	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	629.97	08/26/20	609.00	...	20.97	3 of 3 - Option sale to close-call <sup>25</sup>
08/26/20	6.000	1,328.92	Various	1,293.00	...	35.92	Total of 3 transactions
QQQ 08/28/2020 CALL \$292.00 / CUSIP: / Symbol:							
4 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	2.000	429.98	08/26/20	468.02	...	-38.04	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	214.99	08/26/20	226.02	...	-11.03	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	199.99	08/26/20	197.00	...	2.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	399.98	08/26/20	394.00	...	5.98	4 of 4 - Option sale to close-call <sup>25</sup>
08/26/20	6.000	1,244.94	Various	1,285.04	...	-40.10	Total of 4 transactions
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	2.000	429.98	08/26/20	452.00	22.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	222.98	08/26/20	226.00	3.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	3.000	652.96	Various	678.00	25.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,897.90</b>		<b>1,963.04</b>	<b>25.04 W</b>	<b>-40.10</b>	
QQQ 08/28/2020 CALL \$292.50 / CUSIP: / Symbol:							
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	197.98	08/27/20	233.00	...	-35.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	197.98	08/27/20	233.00	...	-35.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	197.98	08/27/20	233.00	...	-35.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/27/20	3.000	593.94	Various	699.00	...	-105.06	Total of 3 transactions
QQQ 08/28/2020 PUT \$292.00 / CUSIP: / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	264.98	08/27/20	253.00	...	11.98	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	529.97	08/27/20	506.00	...	23.97	2 of 2 - Option sale to close-put <sup>25</sup>
08/27/20	3.000	794.95	Various	759.00	...	35.95	Total of 2 transactions
QQQ 09/04/2020 CALL \$293.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/03/20	264.00	...	-264.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
QQQ 09/04/2020 CALL \$302.00 / CUSIP: / Symbol:							
09/02/20	1.000	186.98	09/02/20	237.00	...	-50.02	Option sale to close-call <sup>25</sup>
QQQ 09/04/2020 PUT \$291.00 / CUSIP: / Symbol:							
09/03/20	1.000	509.97	09/03/20	291.00	...	218.97	Option sale to close-put <sup>25</sup>
QQQ 09/04/2020 PUT \$292.50 / CUSIP: / Symbol:							
09/03/20	1.000	204.98	09/03/20	196.00	...	8.98	Option sale to close-put <sup>25</sup>
QQQ 09/11/2020 CALL \$280.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	271.98	09/09/20	384.02	...	-112.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	322.98	09/09/20	310.00	...	12.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/09/20	2.000	594.96	Various	694.02	...	-99.06	Total of 2 transactions
09/09/20	1.000	303.98	09/09/20	344.00	40.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>898.94</b>		<b>1,038.02</b>	<b>40.02 W</b>	<b>-99.06</b>	
QQQ 09/18/2020 CALL \$276.00 / CUSIP: / Symbol:							
09/14/20	1.000	442.98	09/14/20	428.00	...	14.98	Option sale to close-call <sup>25</sup>
QQQ 09/18/2020 CALL \$280.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	297.98	09/15/20	310.00	...	-12.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	318.98	09/15/20	307.00	...	11.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	616.96	Various	617.00	...	-0.04	Total of 2 transactions
QQQ 10/30/2020 CALL \$282.50 / CUSIP: / Symbol:							
	<i>2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	350.98	10/27/20	355.00	...	-4.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	371.98	10/27/20	370.01	...	1.97	2 of 2 - Option sale to close-call <sup>25</sup>
10/27/20	2.000	722.96	Various	725.01	...	-2.05	Total of 2 transactions
10/27/20	1.000	350.99	10/27/20	361.00	10.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,073.95</b>		<b>1,086.01</b>	<b>10.01 W</b>	<b>-2.05</b>	
QQQ 10/30/2020 PUT \$280.00 / CUSIP: / Symbol:							
10/27/20	1.000	277.98	10/27/20	296.00	...	-18.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QSR 03/20/2020 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.							
	1.000	49.98	02/07/20	35.00	...	14.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	49.98	02/07/20	35.00	...	14.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/10/20	2.000	99.96	Various	70.00	...	29.96	Total of 2 transactions
RF 06/19/2020 PUT \$12.00 / CUSIP: / Symbol:							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	2.000	71.99	06/03/20	92.00	...	-20.01	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	72.00	06/03/20	92.00	...	-20.00	2 of 3 - Option sale to close-put <sup>25</sup>
	2.000	71.99	06/04/20	110.00	...	-38.01	3 of 3 - Option sale to close-put <sup>25</sup>
06/04/20	6.000	215.98	Various	294.00	...	-78.02	Total of 3 transactions
RIOT 06/12/2020 CALL \$3.50 / CUSIP: / Symbol:							
06/12/20	1.000	0.00	06/10/20	21.00	...	-21.00	Option expiration- long call
RKT 11/13/2020 CALL \$22.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.98	11/12/20	37.00	...	-36.02	Option sale to close-call <sup>25</sup>
RKT 11/20/2020 CALL \$21.50 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/19/20	29.00	...	-29.00	Option expiration- long call
RKT 12/04/2020 PUT \$23.00 / CUSIP: / Symbol:							
11/30/20	1.000	309.98	11/27/20	260.00	...	49.98	Option sale to close-put <sup>25</sup>
ROKU 07/02/2020 CALL \$129.00 / CUSIP: / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	194.98	07/01/20	238.00	...	-43.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	267.98	07/01/20	245.00	...	22.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/01/20	2.000	462.96	Various	483.00	...	-20.04	Total of 2 transactions
ROKU 07/10/2020 CALL \$137.00 / CUSIP: / Symbol:							
07/06/20	2.000	429.98	07/06/20	750.00	...	-320.02	Option sale to close-call <sup>25</sup>
ROKU 07/17/2020 CALL \$160.00 / CUSIP: / Symbol:							
07/10/20	1.000	419.98	07/10/20	505.00	...	-85.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 08/07/2020 CALL \$157.50 / CUSIP: / Symbol:							
08/06/20	1.000	273.98	08/06/20	360.00	...	-86.02	Option sale to close-call <sup>25</sup>
ROKU 08/07/2020 CALL \$175.00 / CUSIP: / Symbol:							
3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	664.97	08/03/20	655.00	...	9.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	594.97	08/03/20	580.03	...	14.94	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	644.97	08/03/20	630.00	...	14.97	3 of 3 - Option sale to close-call <sup>25</sup>
08/03/20	3.000	1,904.91	Various	1,865.03	...	39.88	Total of 3 transactions
08/03/20	1.000	504.97	08/03/20	550.00	45.03 W	0.00	Option sale to close-call <sup>25</sup>
08/07/20	1.000	0.00	08/05/20	545.00	...	-545.00	Option expiration- long call
	<b>Security total:</b>	<b>2,409.88</b>		<b>2,960.03</b>	<b>45.03 W</b>	<b>-505.12</b>	
ROKU 08/07/2020 CALL \$180.00 / CUSIP: / Symbol:							
08/04/20	1.000	459.97	08/04/20	555.00	95.03 W	0.00	Option sale to close-call <sup>25</sup>
08/05/20	1.000	444.98	08/04/20	550.03	...	-105.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>904.95</b>		<b>1,105.03</b>	<b>95.03 W</b>	<b>-105.05</b>	
ROKU 08/07/2020 CALL \$182.50 / CUSIP: / Symbol:							
08/05/20	1.000	384.98	08/05/20	370.00	...	14.98	Option sale to close-call <sup>25</sup>
ROKU 08/07/2020 PUT \$152.50 / CUSIP: / Symbol:							
08/05/20	1.000	324.98	08/04/20	425.00	...	-100.02	Option sale to close-put <sup>25</sup>
ROKU 08/07/2020 PUT \$155.00 / CUSIP: / Symbol:							
08/06/20	1.000	349.98	08/06/20	370.00	...	-20.02	Option sale to close-put <sup>25</sup>
ROKU 08/07/2020 PUT \$157.50 / CUSIP: / Symbol:							
08/04/20	1.000	569.97	08/04/20	570.00	0.03 W	0.00	Option sale to close-put <sup>25</sup>
08/06/20	1.000	505.97	08/05/20	545.03	...	-39.06	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,075.94</b>		<b>1,115.03</b>	<b>0.03 W</b>	<b>-39.06</b>	
ROKU 08/07/2020 PUT \$160.00 / CUSIP: / Symbol:							
08/05/20	1.000	614.97	08/05/20	625.00	...	-10.03	Option sale to close-put <sup>25</sup>
ROKU 08/14/2020 CALL \$152.50 / CUSIP: / Symbol:							
08/06/20	1.000	499.97	08/06/20	525.00	...	-25.03	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 08/14/2020 CALL \$157.50 / CUSIP: / Symbol:							
08/06/20	1.000	529.97	08/06/20	620.00	...	-90.03	Option sale to close-call <sup>25</sup>
ROKU 08/14/2020 PUT \$150.00 / CUSIP: / Symbol:							
08/06/20	1.000	536.97	08/06/20	530.00	...	6.97	Option sale to close-put <sup>25</sup>
RRGB 12/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/23/20	2.000	89.98	06/08/20	440.00	...	-350.02	Option sale to close-call <sup>25</sup>
RTX 01/21/2022 CALL \$85.00 / CUSIP: / Symbol:							
10/26/20	1.000	255.98	09/16/20	340.00	...	-84.02	Option sale to close-call <sup>25</sup>
RTX 07/02/2020 CALL \$61.00 / CUSIP: / Symbol:							
	2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	1.000	98.99	06/30/20	105.00	...	-6.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	98.99	06/30/20	83.00	...	15.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/30/20	2.000	197.98	Various	188.00	...	9.98	Total of 2 transactions
RTX 07/31/2020 PUT \$59.00 / CUSIP: / Symbol:							
07/29/20	1.000	31.98	07/27/20	53.00	...	-21.02	Option sale to close-put <sup>25</sup>
RTX 08/07/2020 PUT \$56.50 / CUSIP: / Symbol:							
08/07/20	1.000	0.00	07/31/20	119.00	...	-119.00	Option expiration- long put
RTX 08/21/2020 CALL \$64.00 / CUSIP: / Symbol:							
08/14/20	2.000	249.98	08/14/20	252.00	...	-2.02	Option sale to close-call <sup>25</sup>
RTX 08/21/2020 PUT \$60.00 / CUSIP: / Symbol:							
07/06/20	1.000	272.98	07/06/20	289.00	...	-16.02	Option sale to close-put <sup>25</sup>
RTX 08/28/2020 CALL \$61.00 / CUSIP: / Symbol:							
08/24/20	3.000	269.98	08/21/20	216.00	...	53.98	Option sale to close-call <sup>25</sup>
RTX 09/04/2020 CALL \$66.50 / CUSIP: / Symbol:							
08/11/20	1.000	205.98	08/10/20	148.00	...	57.98	Option sale to close-call <sup>25</sup>
RTX 10/30/2020 CALL \$61.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/26/20	113.00	...	-113.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RTX 10/30/2020 PUT \$60.50 / CUSIP: / Symbol:							
10/27/20	1.000	151.98	10/26/20	107.00	...	44.98	Option sale to close-put <sup>25</sup>
RTX 11/20/2020 CALL \$68.50 / CUSIP: / Symbol:							
11/16/20	1.000	110.98	11/16/20	107.00	...	3.98	Option sale to close-call <sup>25</sup>
SABR 07/17/2020 CALL \$17.50 / CUSIP: / Symbol:							
7 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/08/20	175.00	...	-175.00	1 of 7 - Option expiration- long call
	5.000	0.00	06/08/20	175.00	...	-175.00	2 of 7 - Option expiration- long call
	5.000	0.00	06/08/20	175.00	...	-175.00	3 of 7 - Option expiration- long call
	5.000	0.00	06/08/20	175.00	...	-175.00	4 of 7 - Option expiration- long call
	5.000	0.00	06/08/20	150.00	...	-150.00	5 of 7 - Option expiration- long call
	5.000	0.00	06/09/20	100.00	...	-100.00	6 of 7 - Option expiration- long call
	5.000	0.00	06/09/20	100.00	...	-100.00	7 of 7 - Option expiration- long call
07/17/20	35.000	0.00	Various	1,050.00	...	-1,050.00	Total of 7 transactions
SBUX 06/26/2020 CALL \$75.50 / CUSIP: / Symbol:							
06/22/20	1.000	105.98	06/22/20	121.02	...	-15.04	Option sale to close-call <sup>25</sup>
06/22/20	1.000	117.98	06/22/20	119.00	1.02 W	0.00	Option sale to close-call <sup>25</sup>
06/25/20	1.000	74.98	06/24/20	0.00	...	74.98	Short sale closed- call <sup>25</sup>
							Option written: 06/22/20
	<b>Security total:</b>	<b>298.94</b>		<b>240.02</b>	<b>1.02 W</b>	<b>59.94</b>	
SBUX 07/02/2020 CALL \$75.50 / CUSIP: / Symbol:							
07/02/20	1.000	10.98	07/02/20	0.00	...	10.98	Short sale closed- call expired Option written: 06/30/20
SBUX 07/10/2020 CALL \$75.00 / CUSIP: / Symbol:							
06/30/20	1.000	5.98	06/29/20	0.00	...	5.98	Short sale closed- call <sup>25</sup> Option written: 06/29/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SBUX 08/28/2020 CALL \$81.00 / CUSIP: / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	86.99	08/25/20	85.00	...	1.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	86.99	08/25/20	85.00	...	1.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/25/20	2.000	173.98	Various	170.00	...	3.98	Total of 2 transactions
SBUX 08/28/2020 CALL \$81.50 / CUSIP: / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	85.98	08/25/20	73.00	...	12.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	177.98	08/25/20	146.00	...	31.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/25/20	3.000	263.96	Various	219.00	...	44.96	Total of 2 transactions
SBUX 09/04/2020 CALL \$82.50 / CUSIP: / Symbol:							
4 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	155.99	08/25/20	138.00	...	17.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	171.98	08/25/20	141.00	...	30.98	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	311.98	08/25/20	268.00	...	43.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	190.98	08/25/20	141.00	...	49.98	4 of 4 - Option sale to close-call <sup>25</sup>
08/25/20	5.000	830.93	Various	688.00	...	142.93	Total of 4 transactions
SBUX 09/04/2020 CALL \$83.50 / CUSIP: / Symbol:							
5 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	135.00	08/27/20	163.05	...	-28.05	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	134.99	08/27/20	162.03	...	-27.04	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	134.99	08/27/20	162.01	...	-27.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	134.99	08/27/20	147.01	...	-12.02	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	134.99	08/27/20	142.00	...	-7.01	5 of 5 - Option sale to close-call <sup>25</sup>
08/27/20	5.000	674.96	Various	776.10	...	-101.14	Total of 5 transactions
9 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	113.98	08/27/20	134.00	20.02 W	0.00	1 of 9 - Option sale to close-call <sup>25</sup>
	2.000	227.98	08/27/20	268.00	40.02 W	0.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	140.99	08/27/20	157.02	16.03 W	0.00	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	140.99	08/27/20	157.01	16.02 W	0.00	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	141.00	08/27/20	157.01	16.01 W	0.00	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	140.99	08/27/20	146.00	5.01 W	0.00	6 of 9 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SBUX 09/04/2020 CALL \$83.50 / CUSIP: / Symbol: (cont'd)							
	1.000	140.98	08/27/20	162.03	21.05 W	0.00	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	134.99	08/27/20	158.02	23.03 W	0.00	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	135.00	08/27/20	158.01	23.01 W	0.00	9 of 9 - Option sale to close-call <sup>25</sup>
08/27/20	10.000	1,316.90	Various	1,497.10	180.20 W	0.00	Total of 9 transactions
	<b>Security total:</b>	<b>1,991.86</b>		<b>2,273.20</b>	<b>180.20 W</b>	<b>-101.14</b>	
SBUX 09/04/2020 CALL \$84.50 / CUSIP: / Symbol:							
3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	152.98	08/28/20	116.00	...	36.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	152.98	08/28/20	116.00	...	36.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	152.98	08/28/20	116.00	...	36.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/28/20	3.000	458.94	Various	348.00	...	110.94	Total of 3 transactions
SBUX 09/04/2020 CALL \$89.00 / CUSIP: / Symbol:							
09/02/20	1.000	82.98	09/02/20	84.00	...	-1.02	Option sale to close-call <sup>25</sup>
SBUX 09/11/2020 CALL \$88.00 / CUSIP: / Symbol:							
4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	198.98	09/02/20	187.00	...	11.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	198.98	09/02/20	187.00	...	11.98	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	389.98	09/02/20	374.00	...	15.98	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	373.98	09/02/20	350.00	...	23.98	4 of 4 - Option sale to close-call <sup>25</sup>
09/02/20	6.000	1,161.92	Various	1,098.00	...	63.92	Total of 4 transactions
SBUX 09/11/2020 CALL \$89.00 / CUSIP: / Symbol:							
09/02/20	1.000	167.98	09/02/20	173.02	...	-5.04	Option sale to close-call <sup>25</sup>
09/02/20	1.000	145.98	09/02/20	156.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>313.96</b>		<b>329.02</b>	<b>10.02 W</b>	<b>-5.04</b>	
SBUX 09/18/2020 CALL \$87.50 / CUSIP: / Symbol:							
09/10/20	1.000	122.98	09/10/20	121.00	...	1.98	Option sale to close-call <sup>25</sup>
09/16/20	1.000	164.98	09/10/20	131.00	...	33.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>287.96</b>		<b>252.00</b>	...	<b>35.96</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SBUX 09/18/2020 PUT \$86.00 / CUSIP: / Symbol:							
09/10/20	1.000	202.98	09/10/20	137.00	...	65.98	Option sale to close-put <sup>25</sup>
SHOP 10/30/2020 CALL \$1,020.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/23/20	3,780.00	...	-3,780.00	Option expiration- long call
SHOP 10/30/2020 CALL \$1,022.50 / CUSIP: / Symbol:							
10/30/20	1.000	3,654.90	10/30/20	0.00	...	3,654.90	Short sale closed- call expired Option written: 10/23/20
SIX 07/17/2020 CALL \$27.50 / CUSIP: / Symbol:							
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	289.99	06/03/20	200.00	...	89.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	289.99	06/04/20	215.00	...	74.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	289.99	06/04/20	190.00	...	99.99	3 of 3 - Option sale to close-call <sup>25</sup>
06/05/20	3.000	869.97	Various	605.00	...	264.97	Total of 3 transactions
SIX 08/21/2020 CALL \$22.50 / CUSIP: / Symbol:							
07/30/20	1.000	49.98	07/29/20	0.00	...	49.98	Short sale closed- call <sup>25</sup> Option written: 07/28/20
SIX 08/21/2020 PUT \$20.00 / CUSIP: / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	94.98	08/18/20	90.00	...	4.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	94.98	08/18/20	90.00	...	4.98	2 of 2 - Option sale to close-put <sup>25</sup>
08/18/20	2.000	189.96	Various	180.00	...	9.96	Total of 2 transactions
SIX 09/18/2020 CALL \$22.50 / CUSIP: / Symbol:							
08/27/20	2.000	289.98	08/27/20	340.00	...	-50.02	Option sale to close-call <sup>25</sup>
SLV 01/15/2021 CALL \$23.00 / CUSIP: / Symbol:							
08/04/20	3.000	1,139.96	08/03/20	855.00	...	284.96	Option sale to close-call <sup>25</sup>
SLV 01/15/2021 CALL \$24.00 / CUSIP: / Symbol:							
7 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	246.99	07/22/20	174.00	...	72.99	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	246.99	07/23/20	184.00	...	62.99	2 of 7 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SLV 01/15/2021 CALL \$24.00 / CUSIP: / Symbol: (cont'd)							
	1.000	246.99	07/23/20	184.00	...	62.99	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	246.99	07/23/20	159.00	...	87.99	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	493.98	07/23/20	366.00	...	127.98	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	247.00	07/24/20	160.00	...	87.00	6 of 7 - Option sale to close-call <sup>25</sup>
	3.000	740.98	07/28/20	846.00	...	-105.02	7 of 7 - Option sale to close-call <sup>25</sup>
07/31/20	10.000	2,469.92	Various	2,073.00	...	396.92	Total of 7 transactions
SLV 07/24/2020 CALL \$19.00 / CUSIP: / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	75.00	07/20/20	13.00	...	62.00	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	299.98	07/20/20	52.00	...	247.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/21/20	5.000	374.98	Various	65.00	...	309.98	Total of 2 transactions
SLV 07/24/2020 CALL \$20.50 / CUSIP: / Symbol:							
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	3.000	44.98	07/21/20	45.00	...	-0.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	14.98	07/21/20	14.00	...	0.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	15.00	07/21/20	14.00	...	1.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/21/20	5.000	74.96	Various	73.00	...	1.96	Total of 3 transactions
SLV 07/24/2020 CALL \$21.50 / CUSIP: / Symbol:							
07/22/20	2.000	115.98	07/22/20	54.00	...	61.98	Option sale to close-call <sup>25</sup>
SLV 07/24/2020 CALL \$22.00 / CUSIP: / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	2.000	85.98	07/22/20	36.00	...	49.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	128.98	07/22/20	54.00	...	74.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	5.000	214.96	Various	90.00	...	124.96	Total of 2 transactions
SLV 07/24/2020 CALL \$22.50 / CUSIP: / Symbol:							
3 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	07/22/20	76.00	...	-76.00	1 of 3 - Option expiration- long call
	1.000	0.00	07/22/20	75.00	...	-75.00	2 of 3 - Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SLV 07/24/2020 CALL \$22.50 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	07/22/20	38.00	...	-38.00	3 of 3 - Option expiration- long call
07/24/20	4.000	0.00	Various	189.02	...	-189.02	Total of 3 transactions
07/24/20	1.000	0.98	07/22/20	38.00	37.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>0.98</b>		<b>227.02</b>	<b>37.02 W</b>	<b>-189.02</b>	
SLV 07/31/2020 CALL \$21.50 / CUSIP: / Symbol:							
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	3.000	302.98	07/23/20	243.00	...	59.98	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	302.98	07/23/20	243.00	...	59.98	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	403.98	07/23/20	324.00	...	79.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/23/20	10.000	1,009.94	Various	810.00	...	199.94	Total of 3 transactions
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	3.000	164.99	07/23/20	297.00	132.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	109.99	07/23/20	198.00	88.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/23/20	5.000	274.98	Various	495.00	220.02 W	0.00	Total of 2 transactions
07/24/20	2.000	143.98	07/23/20	196.03	...	-52.05	Option sale to close-call <sup>25</sup>
8 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	2.000	139.99	07/23/20	254.01	114.02 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	70.00	07/23/20	125.00	55.00 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	70.00	07/23/20	125.01	55.01 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	69.99	07/23/20	116.00	46.01 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	70.00	07/23/20	110.00	40.00 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	70.00	07/23/20	110.01	40.01 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	69.99	07/23/20	101.01	31.02 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	2.000	139.99	07/23/20	224.02	84.03 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
07/24/20	10.000	699.96	Various	1,165.06	465.10 W	0.00	Total of 8 transactions
3 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	144.99	07/23/20	96.00	...	48.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	145.00	07/23/20	96.01	...	48.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	144.99	07/23/20	87.02	...	57.97	3 of 3 - Option sale to close-call <sup>25</sup>
07/27/20	3.000	434.98	Various	279.03	...	155.95	Total of 3 transactions
	<b>Security total:</b>	<b>2,563.84</b>		<b>2,945.12</b>	<b>685.12 W</b>	<b>303.84</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SLV 07/31/2020 CALL \$25.50 / CUSIP: / Symbol:							
5 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	07/27/20	66.00	...	-66.00	1 of 5 - Option expiration- long call
	2.000	0.00	07/27/20	44.00	...	-44.00	2 of 5 - Option expiration- long call
	2.000	0.00	07/27/20	34.00	...	-34.00	3 of 5 - Option expiration- long call
	2.000	0.00	07/27/20	30.00	...	-30.00	4 of 5 - Option expiration- long call
	1.000	0.00	07/27/20	22.00	...	-22.00	5 of 5 - Option expiration- long call
07/31/20	10.000	0.00	Various	196.00	...	-196.00	Total of 5 transactions
SLV 07/31/2020 PUT \$20.50 / CUSIP: / Symbol:							
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	4.000	223.98	07/23/20	220.00	...	3.98	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	56.00	07/23/20	52.00	...	4.00	2 of 3 - Option sale to close-put <sup>25</sup>
	5.000	279.98	07/23/20	275.00	...	4.98	3 of 3 - Option sale to close-put <sup>25</sup>
07/23/20	10.000	559.96	Various	547.00	...	12.96	Total of 3 transactions
SLV 07/31/2020 PUT \$21.00 / CUSIP: / Symbol:							
07/24/20	1.000	55.98	07/24/20	67.00	...	-11.02	Option sale to close-put <sup>25</sup>
07/24/20	2.000	111.98	07/24/20	134.00	11.01 W	-11.01	Option sale to close-put <sup>25</sup>
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/24/20	78.01	...	-78.01	1 of 2 - Option expiration- long put
	1.000	0.00	07/24/20	67.00	...	-67.00	2 of 2 - Option expiration- long put
07/31/20	2.000	0.00	Various	145.01	...	-145.01	Total of 2 transactions
	<b>Security total:</b>	<b>167.96</b>		<b>346.01</b>	<b>11.01 W</b>	<b>-167.04</b>	
SLV 07/31/2020 PUT \$22.50 / CUSIP: / Symbol:							
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	5.000	159.98	07/29/20	280.00	...	-120.02	1 of 2 - Option sale to close-put <sup>25</sup>
	5.000	159.98	07/29/20	280.00	...	-120.02	2 of 2 - Option sale to close-put <sup>25</sup>
07/29/20	10.000	319.96	Various	560.00	...	-240.04	Total of 2 transactions
SLV 08/07/2020 CALL \$22.50 / CUSIP: / Symbol:							
08/03/20	5.000	344.98	07/31/20	370.00	...	-25.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SLV 08/14/2020 CALL \$24.50 / CUSIP: / Symbol:							
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	64.99	08/12/20	63.00	...	1.99	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	129.98	08/12/20	126.00	...	3.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	129.99	08/12/20	126.00	...	3.99	3 of 3 - Option sale to close-call <sup>25</sup>
08/13/20	5.000	324.96	Various	315.00	...	9.96	Total of 3 transactions
SLV 08/14/2020 PUT \$25.00 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	164.99	08/11/20	129.00	...	35.99	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	164.99	08/11/20	129.00	...	35.99	2 of 2 - Option sale to close-put <sup>25</sup>
08/11/20	2.000	329.98	Various	258.00	...	71.98	Total of 2 transactions
SLV 08/14/2020 PUT \$27.00 / CUSIP: / Symbol:							
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	2.000	197.98	08/10/20	210.00	...	-12.02	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	197.98	08/10/20	210.00	...	-12.02	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	98.98	08/10/20	105.00	...	-6.02	3 of 3 - Option sale to close-put <sup>25</sup>
08/10/20	5.000	494.94	Various	525.00	...	-30.06	Total of 3 transactions
SLV 10/16/2020 CALL \$25.50 / CUSIP: / Symbol:							
08/05/20	2.000	503.97	08/04/20	386.00	...	117.97	Option sale to close-call <sup>25</sup>
4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	2.000	489.97	08/05/20	526.00	36.03 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	244.99	08/05/20	263.00	18.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	244.98	08/05/20	282.02	37.04 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	244.98	08/05/20	282.01	37.03 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/05/20	5.000	1,224.92	Various	1,353.03	128.11 W	0.00	Total of 4 transactions
4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	384.99	08/05/20	292.04	...	92.95	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	384.99	08/05/20	272.01	...	112.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	384.99	08/06/20	362.03	...	22.96	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	769.97	08/06/20	650.00	...	119.97	4 of 4 - Option sale to close-call <sup>25</sup>
08/10/20	5.000	1,924.94	Various	1,576.08	...	348.86	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SLV 10/16/2020 CALL \$25.50 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>3,653.83</b>		<b>3,315.11</b>		<b>128.11 W</b>	<b>466.83</b>
SLV 11/20/2020 CALL \$27.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	08/10/20	378.00	...	-378.00	Option expiration- long call
SLV 12/04/2020 PUT \$22.00 / CUSIP: / Symbol:							
12/01/20	1.000	18.98	11/27/20	100.00	...	-81.02	Option sale to close-put <sup>25</sup>
SLV 12/11/2020 PUT \$23.00 / CUSIP: / Symbol:							
12/11/20	1.000	74.98	12/01/20	101.00	...	-26.02	Option sale to close-put <sup>25</sup>
SNAP 06/12/2020 CALL \$18.00 / CUSIP: / Symbol:							
05/29/20	2.000	-64.02	05/28/20	0.00	...	-64.02	Short sale closed- call <sup>25</sup> Option written: 05/26/20
SNAP 06/12/2020 PUT \$18.00 / CUSIP: / Symbol:							
05/28/20	1.000	69.98	05/26/20	96.00	...	-26.02	Option sale to close-put <sup>25</sup>
SNAP 07/17/2020 CALL \$18.00 / CUSIP: / Symbol:							
05/28/20	2.000	285.98	05/26/20	226.00	...	59.98	Option sale to close-call <sup>25</sup>
SNAP 08/21/2020 CALL \$23.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	100.99	07/22/20	141.00	...	-40.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	100.99	07/22/20	141.00	...	-40.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/27/20	2.000	201.98	Various	282.00	...	-80.02	Total of 2 transactions
SNAP 10/16/2020 CALL \$20.00 / CUSIP: / Symbol:							
05/28/20	1.000	177.98	05/26/20	150.00	...	27.98	Option sale to close-call <sup>25</sup>
SNAP 10/30/2020 CALL \$41.00 / CUSIP: / Symbol:							
10/27/20	1.000	121.98	10/27/20	115.00	...	6.98	Option sale to close-call <sup>25</sup>
SNAP 11/06/2020 CALL \$42.00 / CUSIP: / Symbol:							
10/27/20	1.000	147.98	10/27/20	156.00	...	-8.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNAP 11/20/2020 CALL \$29.00 / CUSIP: / Symbol: 10/21/20	1.000	772.97	10/07/20	162.00	...	610.97	Option sale to close-call <sup>25</sup>
SNAP 11/20/2020 CALL \$30.00 / CUSIP: / Symbol: 10/22/20	1.000	-553.02	10/21/20	0.00	...	-553.02	Short sale closed- call <sup>25</sup> Option written: 10/07/20
SNAP 12/04/2020 PUT \$47.00 / CUSIP: / Symbol: 12/03/20	1.000	31.98	11/25/20	265.00	...	-233.02	Option sale to close-put <sup>25</sup>
SNAP 12/11/2020 CALL \$50.00 / CUSIP: / Symbol: 12/10/20	1.000	171.98	12/09/20	98.00	...	73.98	Option sale to close-call <sup>25</sup>
SNAP 12/11/2020 CALL \$51.00 / CUSIP: / Symbol: 12/09/20	1.000	54.98	12/09/20	58.00	...	-3.02	Option sale to close-call <sup>25</sup>
SNAP 12/11/2020 PUT \$51.00 / CUSIP: / Symbol: 12/10/20	1.000	49.98	12/03/20	370.00	...	-320.02	Option sale to close-put <sup>25</sup>
SPCE 07/24/2020 CALL \$27.50 / CUSIP: / Symbol: 07/23/20	1.000	91.98	07/23/20	92.02	...	-0.04	Option sale to close-call <sup>25</sup>
07/23/20	1.000	91.98	07/23/20	92.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>183.96</b>		<b>184.02</b>	<b>0.02 W</b>	<b>-0.04</b>	
SPCE 08/07/2020 CALL \$24.00 / CUSIP: / Symbol: 08/07/20	1.000	0.00	08/03/20	174.00	...	-174.00	Option expiration- long call
SPCE 08/07/2020 PUT \$24.00 / CUSIP: / Symbol: 08/04/20	1.000	334.98	08/03/20	173.00	...	161.98	Option sale to close-put <sup>25</sup>
SPCE 08/14/2020 CALL \$20.50 / CUSIP: / Symbol: 08/14/20	5.000	0.00	08/10/20	125.00	...	-125.00	Option expiration- long call
SPCE 11/06/2020 CALL \$18.50 / CUSIP: / Symbol: 11/06/20	1.000	52.98	11/04/20	80.00	...	-27.02	Option sale to close-call <sup>25</sup>
SPCE 11/06/2020 PUT \$18.50 / CUSIP: / Symbol: 11/06/20	1.000	11.98	11/04/20	91.00	...	-79.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPCE 11/27/2020 CALL \$24.00 / CUSIP: / Symbol:							
11/25/20	1.000	315.98	11/19/20	114.00	...	201.98	Option sale to close-call <sup>25</sup>
SPCE 11/27/2020 PUT \$24.00 / CUSIP: / Symbol:							
11/25/20	1.000	4.98	11/19/20	125.00	...	-120.02	Option sale to close-put <sup>25</sup>
SPOT 07/10/2020 CALL \$280.00 / CUSIP: / Symbol:							
07/09/20	10.000	3,199.90	07/09/20	3,200.00	...	-0.10	Option sale to close-call <sup>25</sup>
SPOT 07/17/2020 CALL \$277.50 / CUSIP: / Symbol:							
07/10/20	1.000	919.96	07/10/20	1,110.00	...	-190.04	Option sale to close-call <sup>25</sup>
SPOT 07/31/2020 PUT \$252.50 / CUSIP: / Symbol:							
07/28/20	1.000	409.98	07/28/20	410.00	...	-0.02	Option sale to close-put <sup>25</sup>
SPOT 08/28/2020 CALL \$280.00 / CUSIP: / Symbol:							
08/26/20	1.000	439.98	08/26/20	460.00	...	-20.02	Option sale to close-call <sup>25</sup>
SPXS 06/19/2020 CALL \$8.00 / CUSIP: / Symbol:							
06/10/20	10.000	149.97	06/09/20	150.00	...	-0.03	Option sale to close-call <sup>25</sup>
SPXU 06/12/2020 CALL \$13.50 / CUSIP: / Symbol:							
	<i>2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	15.00	06/11/20	5.00	...	10.00	1 of 2 - Option sale to close-call <sup>25</sup>
	19.000	284.95	06/11/20	95.00	...	189.95	2 of 2 - Option sale to close-call <sup>25</sup>
06/11/20	20.000	299.95	Various	100.00	...	199.95	Total of 2 transactions
SPXU 12/04/2020 PUT \$7.00 / CUSIP: / Symbol:							
12/03/20	1.000	67.98	12/01/20	62.00	...	5.98	Option sale to close-put <sup>25</sup>
SPXU 12/04/2020 PUT \$7.50 / CUSIP: / Symbol:							
11/30/20	1.000	83.98	11/30/20	82.00	...	1.98	Option sale to close-put <sup>25</sup>
SPXU 12/11/2020 PUT \$7.00 / CUSIP: / Symbol:							
	<i>2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	61.99	12/08/20	82.00	...	-20.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	61.99	12/08/20	82.00	...	-20.01	2 of 2 - Option sale to close-put <sup>25</sup>
12/09/20	2.000	123.98	Various	164.00	...	-40.02	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 04/29/2020 PUT \$282.00 / CUSIP: / Symbol:							
04/20/20	1.000	689.97	04/20/20	630.00	...	59.97	Option sale to close-put <sup>25</sup>
SPY 06/12/2020 CALL \$330.00 / CUSIP: / Symbol:							
4 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/10/20	37.00	...	-37.00	1 of 4 - Option expiration- long call
	1.000	0.00	06/10/20	36.00	...	-36.00	2 of 4 - Option expiration- long call
	1.000	0.00	06/10/20	30.00	...	-30.00	3 of 4 - Option expiration- long call
	1.000	0.00	06/10/20	28.00	...	-28.00	4 of 4 - Option expiration- long call
06/12/20	4.000	0.00	Various	131.00	...	-131.00	Total of 4 transactions
SPY 07/15/2020 CALL \$314.00 / CUSIP: / Symbol:							
3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	3.000	1,025.96	07/10/20	1,014.00	...	11.96	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	1,049.96	07/10/20	1,038.00	...	11.96	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	1,094.96	07/10/20	1,083.00	...	11.96	3 of 3 - Option sale to close-call <sup>25</sup>
07/10/20	9.000	3,170.88	Various	3,135.00	...	35.88	Total of 3 transactions
SPY 07/15/2020 CALL \$315.00 / CUSIP: / Symbol:							
3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	347.99	07/10/20	397.01	...	-49.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	299.99	07/10/20	347.00	...	-47.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	347.98	07/10/20	373.01	...	-25.03	3 of 3 - Option sale to close-call <sup>25</sup>
07/10/20	3.000	995.96	Various	1,117.02	...	-121.06	Total of 3 transactions
07/10/20	2.000	599.98	07/10/20	700.00	100.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,595.94</b>		<b>1,817.02</b>	<b>100.02 W</b>	<b>-121.06</b>	
SPY 07/15/2020 CALL \$316.00 / CUSIP: / Symbol:							
07/10/20	1.000	329.98	07/10/20	325.00	...	4.98	Option sale to close-call <sup>25</sup>
SPY 07/15/2020 CALL \$317.00 / CUSIP: / Symbol:							
07/13/20	2.000	419.98	07/13/20	466.00	...	-46.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 07/15/2020 CALL \$318.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	5.000	1,269.96	07/10/20	1,255.00	...	14.96	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	1,234.96	07/10/20	1,205.00	...	29.96	2 of 2 - Option sale to close-call <sup>25</sup>
07/10/20	10.000	2,504.92	Various	2,460.00	...	44.92	Total of 2 transactions
SPY 07/15/2020 CALL \$319.00 / CUSIP: / Symbol:							
07/13/20	2.000	313.98	07/13/20	456.00	...	-142.02	Option sale to close-call <sup>25</sup>
SPY 07/15/2020 CALL \$320.00 / CUSIP: / Symbol:							
07/13/20	1.000	209.98	07/13/20	200.00	...	9.98	Option sale to close-call <sup>25</sup>
SPY 07/15/2020 CALL \$321.00 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	201.98	07/13/20	215.00	...	-13.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	229.98	07/13/20	211.00	...	18.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/13/20	2.000	431.96	Various	426.00	...	5.96	Total of 2 transactions
SPY 07/15/2020 CALL \$322.00 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	2.000	439.98	07/13/20	462.00	...	-22.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	429.98	07/13/20	426.00	...	3.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/13/20	4.000	869.96	Various	888.00	...	-18.04	Total of 2 transactions
SPY 07/15/2020 PUT \$314.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	2.000	611.97	07/10/20	632.00	...	-20.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	305.98	07/10/20	316.00	...	-10.02	2 of 2 - Option sale to close-put <sup>25</sup>
07/10/20	3.000	917.95	Various	948.00	...	-30.05	Total of 2 transactions
SPY 07/15/2020 PUT \$316.00 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	2.000	573.98	07/13/20	600.00	...	-26.02	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	573.98	07/13/20	518.00	...	55.98	2 of 2 - Option sale to close-put <sup>25</sup>
07/13/20	4.000	1,147.96	Various	1,118.00	...	29.96	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 07/15/2020 PUT \$319.00 / CUSIP: / Symbol:							
07/13/20	2.000	717.97	07/13/20	576.00	...	141.97	Option sale to close-put <sup>25</sup>
SPY 07/15/2020 PUT \$320.00 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	274.98	07/13/20	259.00	...	15.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	274.98	07/13/20	259.00	...	15.98	2 of 2 - Option sale to close-put <sup>25</sup>
07/13/20	2.000	549.96	Various	518.00	...	31.96	Total of 2 transactions
SPY 07/17/2020 CALL \$323.00 / CUSIP: / Symbol:							
07/15/20	3.000	485.97	07/15/20	603.00	...	-117.03	Option sale to close-call <sup>25</sup>
SPY 07/20/2020 CALL \$321.00 / CUSIP: / Symbol:							
07/16/20	1.000	219.98	07/16/20	218.02	...	1.96	Option sale to close-call <sup>25</sup>
07/16/20	1.000	240.98	07/16/20	241.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>460.96</b>		<b>459.02</b>	<b>0.02 W</b>	<b>1.96</b>	
SPY 07/20/2020 CALL \$322.00 / CUSIP: / Symbol:							
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	186.98	07/16/20	200.00	...	-13.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	186.98	07/16/20	200.00	...	-13.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	186.98	07/16/20	200.00	...	-13.02	3 of 3 - Option sale to close-call <sup>25</sup>
07/16/20	3.000	560.94	Various	600.00	...	-39.06	Total of 3 transactions
SPY 07/24/2020 CALL \$326.00 / CUSIP: / Symbol:							
07/22/20	2.000	385.98	07/22/20	398.00	12.02 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	173.98	07/23/20	162.01	...	11.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	173.99	07/23/20	162.01	...	11.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	521.98	07/23/20	468.00	...	53.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/23/20	5.000	869.95	Various	792.02	...	77.93	Total of 3 transactions
	<b>Security total:</b>	<b>1,255.93</b>		<b>1,190.02</b>	<b>12.02 W</b>	<b>77.93</b>	
SPY 07/24/2020 CALL \$327.00 / CUSIP: / Symbol:							
07/22/20	5.000	924.96	07/22/20	900.00	...	24.96	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 07/24/2020 PUT \$322.00 / CUSIP: / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	127.99	07/23/20	190.00	...	-62.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	127.99	07/23/20	136.00	...	-8.01	2 of 2 - Option sale to close-put <sup>25</sup>
07/23/20	2.000	255.98	Various	326.00	...	-70.02	Total of 2 transactions
SPY 07/24/2020 PUT \$324.00 / CUSIP: / Symbol:							
07/23/20	3.000	605.97	07/23/20	366.00	...	239.97	Option sale to close-put <sup>25</sup>
SPY 07/24/2020 PUT \$326.00 / CUSIP: / Symbol:							
07/23/20	5.000	874.97	07/23/20	900.00	...	-25.03	Option sale to close-put <sup>25</sup>
SPY 07/27/2020 CALL \$321.00 / CUSIP: / Symbol:							
07/24/20	3.000	395.98	07/24/20	462.00	...	-66.02	Option sale to close-call <sup>25</sup>
SPY 07/27/2020 CALL \$326.00 / CUSIP: / Symbol:							
4 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	93.99	07/23/20	197.01	...	-103.02	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	118.99	07/23/20	203.00	...	-84.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	93.99	07/23/20	170.00	...	-76.01	3 of 4 - Option sale to close-call <sup>25</sup>
	3.000	590.97	07/23/20	561.00	...	29.97	4 of 4 - Option sale to close-call <sup>25</sup>
07/23/20	6.000	897.94	Various	1,131.01	...	-233.07	Total of 4 transactions
07/23/20	2.000	237.99	07/23/20	404.00	166.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,135.93</b>		<b>1,535.01</b>	<b>166.01 W</b>	<b>-233.07</b>	
SPY 07/27/2020 PUT \$320.00 / CUSIP: / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	127.99	07/24/20	145.00	...	-17.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	127.99	07/24/20	145.00	...	-17.01	2 of 2 - Option sale to close-put <sup>25</sup>
07/24/20	2.000	255.98	Various	290.00	...	-34.02	Total of 2 transactions
SPY 07/27/2020 PUT \$323.00 / CUSIP: / Symbol:							
07/23/20	2.000	311.98	07/23/20	306.00	...	5.98	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/03/2020 PUT \$322.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	155.99	07/31/20	193.00	...	-37.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	155.99	07/31/20	184.00	...	-28.01	2 of 2 - Option sale to close-put <sup>25</sup>
07/31/20	2.000	311.98	Various	377.00	...	-65.02	Total of 2 transactions
SPY 08/10/2020 PUT \$332.00 / CUSIP: / Symbol:							
7 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	4.000	227.98	08/07/20	408.00	...	-180.02	1 of 7 - Option sale to close-put <sup>25</sup>
	1.000	81.98	08/07/20	102.00	...	-20.02	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	89.98	08/07/20	87.00	...	2.98	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	89.98	08/07/20	87.00	...	2.98	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	89.98	08/07/20	87.00	...	2.98	5 of 7 - Option sale to close-put <sup>25</sup>
	1.000	89.99	08/07/20	87.00	...	2.99	6 of 7 - Option sale to close-put <sup>25</sup>
	1.000	89.99	08/07/20	87.00	...	2.99	7 of 7 - Option sale to close-put <sup>25</sup>
08/07/20	10.000	759.88	Various	945.00	...	-185.12	Total of 7 transactions
SPY 08/12/2020 CALL \$333.00 / CUSIP: / Symbol:							
08/12/20	2.000	599.97	08/11/20	484.00	...	115.97	Option sale to close-call <sup>25</sup>
SPY 08/12/2020 CALL \$335.00 / CUSIP: / Symbol:							
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	175.98	08/07/20	191.00	...	-15.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	183.98	08/07/20	191.00	...	-7.02	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	530.97	08/07/20	522.00	...	8.97	3 of 3 - Option sale to close-call <sup>25</sup>
08/07/20	5.000	890.93	Various	904.00	...	-13.07	Total of 3 transactions
SPY 08/12/2020 CALL \$336.00 / CUSIP: / Symbol:							
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	2.000	247.99	08/10/20	270.04	...	-22.05	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	245.98	08/10/20	254.03	...	-8.05	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	123.99	08/10/20	127.01	...	-3.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/10/20	5.000	617.96	Various	651.08	...	-33.12	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/12/2020 CALL \$336.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	5.000	569.97	08/10/20	605.00	35.03 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	599.97	08/10/20	610.03	10.06 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	245.98	08/10/20	254.02	8.04 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/10/20	12.000	1,415.92	Various	1,469.05	53.13 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>2,033.88</b>		<b>2,120.13</b>	<b>53.13 W</b>	<b>-33.12</b>	
SPY 08/12/2020 PUT \$336.00 / CUSIP: / Symbol:							
08/11/20	2.000	577.97	08/11/20	490.00	...	87.97	Option sale to close-put <sup>25</sup>
SPY 08/14/2020 CALL \$334.00 / CUSIP: / Symbol:							
5 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	08/07/20	279.00	...	20.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	300.98	08/07/20	279.00	...	21.98	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	561.97	08/07/20	534.00	...	27.97	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	291.98	08/07/20	262.04	...	29.94	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	603.97	08/07/20	546.00	...	57.97	5 of 5 - Option sale to close-call <sup>25</sup>
08/07/20	7.000	2,058.88	Various	1,900.04	...	158.84	Total of 5 transactions
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	276.98	08/07/20	284.00	7.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	262.98	08/07/20	266.02	3.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/07/20	2.000	539.96	Various	550.02	10.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,598.84</b>		<b>2,450.06</b>	<b>10.06 W</b>	<b>158.84</b>	
SPY 08/14/2020 CALL \$337.00 / CUSIP: / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	2.000	365.99	08/12/20	346.00	...	19.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	365.98	08/12/20	322.00	...	43.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/12/20	4.000	731.97	Various	668.00	...	63.97	Total of 2 transactions
08/13/20	5.000	959.96	08/13/20	905.00	...	54.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,691.93</b>		<b>1,573.00</b>	...	<b>118.93</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/14/2020 CALL \$338.00 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	149.98	08/11/20	143.00	...	6.98	1 of 2 - Option sale to close-call <sup>25</sup>
08/11/20	4.000	595.97	08/11/20	572.00	...	23.97	2 of 2 - Option sale to close-call <sup>25</sup>
	5.000	745.95	Various	715.00	...	30.95	Total of 2 transactions
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	151.98	08/12/20	150.00	...	1.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	151.99	08/12/20	150.00	...	1.99	2 of 3 - Option sale to close-call <sup>25</sup>
08/12/20	1.000	151.99	08/12/20	150.00	...	1.99	3 of 3 - Option sale to close-call <sup>25</sup>
	3.000	455.96	Various	450.00	...	5.96	Total of 3 transactions
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	2.000	211.98	08/13/20	192.00	...	19.98	1 of 2 - Option sale to close-call <sup>25</sup>
08/13/20	5.000	629.97	08/13/20	550.00	...	79.97	2 of 2 - Option sale to close-call <sup>25</sup>
	7.000	841.95	Various	742.00	...	99.95	Total of 2 transactions
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	55.99	08/13/20	122.00	66.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
08/13/20	2.000	111.99	08/13/20	244.00	132.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
	3.000	167.98	Various	366.00	198.02 W	0.00	Total of 2 transactions
4 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	2.000	49.99	08/13/20	376.01	...	-326.02	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	25.00	08/13/20	181.01	...	-156.01	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	74.99	08/13/20	222.00	...	-147.01	3 of 4 - Option sale to close-call <sup>25</sup>
08/14/20	1.000	25.00	08/13/20	115.00	...	-90.00	4 of 4 - Option sale to close-call <sup>25</sup>
	7.000	174.98	Various	894.02	...	-719.04	Total of 4 transactions
	<b>Security total:</b>	<b>2,386.82</b>		<b>3,167.02</b>	<b>198.02 W</b>	<b>-582.18</b>	

SPY 08/14/2020 PUT \$336.00 / CUSIP: / Symbol:

3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	78.98	08/13/20	205.01	...	-126.03	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	78.98	08/13/20	205.00	...	-126.02	2 of 3 - Option sale to close-put <sup>25</sup>
08/13/20	1.000	86.99	08/13/20	146.00	...	-59.01	3 of 3 - Option sale to close-put <sup>25</sup>
	3.000	244.95	Various	556.01	...	-311.06	Total of 3 transactions
08/13/20	2.000	173.99	08/13/20	292.00	118.01 W	0.00	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/14/2020 PUT \$336.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>418.94</b>		<b>848.01</b>	<b>118.01 W</b>	<b>-311.06</b>	
SPY 08/14/2020 PUT \$337.00 / CUSIP: / Symbol:							
08/13/20	5.000	679.97	08/13/20	705.03	...	-25.06	Option sale to close-put <sup>25</sup>
08/13/20	5.000	549.97	08/13/20	570.00	20.03 W	0.00	Option sale to close-put <sup>25</sup>
<b>Security total:</b>		<b>1,229.94</b>		<b>1,275.03</b>	<b>20.03 W</b>	<b>-25.06</b>	
SPY 08/17/2020 CALL \$336.00 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	154.98	08/14/20	142.02	...	12.96	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	309.98	08/14/20	284.03	...	25.95	2 of 2 - Option sale to close-call <sup>25</sup>
08/14/20	3.000	464.96	Various	426.05	...	38.91	Total of 2 transactions
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	3.000	506.97	08/14/20	507.00	0.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	374.98	08/14/20	399.03	24.05 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/14/20	6.000	881.95	Various	906.03	24.08 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>1,346.91</b>		<b>1,332.08</b>	<b>24.08 W</b>	<b>38.91</b>	
SPY 08/17/2020 PUT \$336.00 / CUSIP: / Symbol:							
4 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	2.000	245.99	08/14/20	258.00	...	-12.01	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	122.99	08/14/20	129.00	...	-6.01	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	138.99	08/14/20	135.00	...	3.99	3 of 4 - Option sale to close-put <sup>25</sup>
	2.000	277.99	08/14/20	274.00	...	3.99	4 of 4 - Option sale to close-put <sup>25</sup>
08/14/20	6.000	785.96	Various	796.00	...	-10.04	Total of 4 transactions
SPY 08/19/2020 CALL \$337.00 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	3.000	461.98	08/14/20	567.03	...	-105.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	153.99	08/14/20	176.00	...	-22.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/14/20	4.000	615.97	Various	743.03	...	-127.06	Total of 2 transactions
08/14/20	3.000	524.97	08/14/20	564.00	39.03 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,140.94</b>		<b>1,307.03</b>	<b>39.03 W</b>	<b>-127.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/19/2020 CALL \$338.00 / CUSIP: / Symbol:							
5 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	112.98	08/18/20	111.00	...	1.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	147.99	08/18/20	111.00	...	36.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	148.00	08/18/20	87.00	...	61.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	147.99	08/18/20	86.00	...	61.99	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	147.99	08/18/20	85.00	...	62.99	5 of 5 - Option sale to close-call <sup>25</sup>
08/18/20	5.000	704.95	Various	480.00	...	224.95	Total of 5 transactions
SPY 08/19/2020 PUT \$336.00 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	3.000	620.98	08/14/20	648.04	...	-27.06	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	206.99	08/14/20	217.02	...	-10.03	2 of 2 - Option sale to close-put <sup>25</sup>
08/14/20	4.000	827.97	Various	865.06	...	-37.09	Total of 2 transactions
4 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	3.000	563.98	08/14/20	585.00	21.02 W	0.00	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	187.99	08/14/20	196.00	8.01 W	0.00	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	205.99	08/14/20	212.01	6.02 W	0.00	3 of 4 - Option sale to close-put <sup>25</sup>
	3.000	617.98	08/14/20	633.02	15.04 W	0.00	4 of 4 - Option sale to close-put <sup>25</sup>
08/14/20	8.000	1,575.94	Various	1,626.03	50.09 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>2,403.91</b>		<b>2,491.09</b>	<b>50.09 W</b>	<b>-37.09</b>	
SPY 08/21/2020 CALL \$338.00 / CUSIP: / Symbol:							
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	173.99	08/19/20	172.00	...	1.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	173.99	08/19/20	172.00	...	1.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	173.99	08/19/20	172.00	...	1.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	347.98	08/19/20	344.00	...	3.98	4 of 4 - Option sale to close-call <sup>25</sup>
08/19/20	5.000	869.95	Various	860.00	...	9.95	Total of 4 transactions
SPY 08/21/2020 PUT \$338.00 / CUSIP: / Symbol:							
6 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	148.99	08/19/20	146.00	...	2.99	1 of 6 - Option sale to close-put <sup>25</sup>
	1.000	148.99	08/19/20	146.00	...	2.99	2 of 6 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/21/2020 PUT \$338.00 / CUSIP: / Symbol: (cont'd)							
	1.000	149.00	08/19/20	146.00	...	3.00	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	123.98	08/19/20	113.00	...	10.98	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	123.98	08/19/20	113.00	...	10.98	5 of 6 - Option sale to close-put <sup>25</sup>
	1.000	123.98	08/19/20	113.00	...	10.98	6 of 6 - Option sale to close-put <sup>25</sup>
08/19/20	6.000	818.92	Various	777.00	...	41.92	Total of 6 transactions
SPY 08/24/2020 CALL \$337.00 / CUSIP: / Symbol:							
08/20/20	3.000	587.97	08/20/20	561.00	...	26.97	Option sale to close-call <sup>25</sup>
SPY 08/26/2020 CALL \$342.00 / CUSIP: / Symbol:							
08/24/20	3.000	359.98	08/24/20	372.02	...	-12.04	Option sale to close-call <sup>25</sup>
08/24/20	3.000	353.98	08/24/20	378.00	24.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>713.96</b>		<b>750.02</b>	<b>24.02 W</b>	<b>-12.04</b>	
SPY 08/26/2020 PUT \$341.00 / CUSIP: / Symbol:							
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	126.98	08/24/20	123.00	...	3.98	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	253.98	08/24/20	246.00	...	7.98	2 of 2 - Option sale to close-put <sup>25</sup>
08/24/20	3.000	380.96	Various	369.00	...	11.96	Total of 2 transactions
SPY 08/28/2020 CALL \$344.00 / CUSIP: / Symbol:							
08/25/20	2.000	319.98	08/25/20	318.00	...	1.98	Option sale to close-call <sup>25</sup>
SPY 08/28/2020 CALL \$345.00 / CUSIP: / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	146.99	08/26/20	144.00	...	2.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	293.99	08/26/20	288.00	...	5.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	3.000	440.98	Various	432.00	...	8.98	Total of 2 transactions
SPY 08/28/2020 CALL \$346.00 / CUSIP: / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	160.98	08/26/20	158.00	...	2.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	160.98	08/26/20	158.00	...	2.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	160.98	08/26/20	158.00	...	2.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/26/20	3.000	482.94	Various	474.00	...	8.94	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/28/2020 CALL \$347.00 / CUSIP: / Symbol:							
6 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	169.98	08/26/20	169.01	...	0.97	1 of 6 - Option sale to close-call <sup>25</sup>
	3.000	509.97	08/26/20	507.01	...	2.96	2 of 6 - Option sale to close-call <sup>25</sup>
	5.000	819.97	08/26/20	810.00	...	9.97	3 of 6 - Option sale to close-call <sup>25</sup>
	3.000	443.98	08/26/20	426.00	...	17.98	4 of 6 - Option sale to close-call <sup>25</sup>
	3.000	407.98	08/26/20	375.00	...	32.98	5 of 6 - Option sale to close-call <sup>25</sup>
	5.000	764.97	08/26/20	720.00	...	44.97	6 of 6 - Option sale to close-call <sup>25</sup>
08/26/20	20.000	3,116.85	Various	3,007.02	...	109.83	Total of 6 transactions
4 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	5.000	814.97	08/26/20	820.00	5.03 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	168.98	08/26/20	169.01	0.03 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	183.99	08/26/20	188.03	4.04 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	367.98	08/26/20	376.00	8.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/26/20	9.000	1,535.92	Various	1,553.04	17.12 W	0.00	Total of 4 transactions
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	201.98	08/27/20	203.04	...	-1.06	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	411.98	08/27/20	406.02	...	5.96	2 of 2 - Option sale to close-call <sup>25</sup>
08/27/20	3.000	613.96	Various	609.06	...	4.90	Total of 2 transactions
	<b>Security total:</b>	<b>5,266.73</b>		<b>5,169.12</b>	<b>17.12 W</b>	<b>114.73</b>	

SPY 08/28/2020 CALL \$348.00 / CUSIP: / Symbol:

12 transactions for 08/26/20. Total proceeds and cost reported to the IRS.

	2.000	289.98	08/26/20	288.00	...	1.98	1 of 12 - Option sale to close-call <sup>25</sup>
	1.000	155.99	08/26/20	153.02	...	2.97	2 of 12 - Option sale to close-call <sup>25</sup>
	1.000	155.99	08/26/20	153.01	...	2.98	3 of 12 - Option sale to close-call <sup>25</sup>
	1.000	156.00	08/26/20	153.01	...	2.99	4 of 12 - Option sale to close-call <sup>25</sup>
	1.000	154.98	08/26/20	150.00	...	4.98	5 of 12 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 08/28/2020 CALL \$348.00 / CUSIP: / Symbol: (cont'd)							
	3.000	554.98	08/26/20	549.00	...	5.98	6 of 12 - Option sale to close-call <sup>25</sup>
	1.000	173.99	08/26/20	167.00	...	6.99	7 of 12 - Option sale to close-call <sup>25</sup>
	4.000	619.97	08/26/20	612.00	...	7.97	8 of 12 - Option sale to close-call <sup>25</sup>
	2.000	369.98	08/26/20	362.00	...	7.98	9 of 12 - Option sale to close-call <sup>25</sup>
	2.000	311.99	08/26/20	304.00	...	7.99	10 of 12 - Option sale to close-call <sup>25</sup>
	2.000	347.99	08/26/20	340.00	...	7.99	11 of 12 - Option sale to close-call <sup>25</sup>
	2.000	347.99	08/26/20	334.00	...	13.99	12 of 12 - Option sale to close-call <sup>25</sup>
08/26/20	22.000	3,639.83	Various	3,565.04	...	74.79	Total of 12 transactions
	2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	1.000	142.98	08/26/20	144.00	1.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	285.98	08/26/20	288.00	2.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	3.000	428.96	Various	432.00	3.04 W	0.00	Total of 2 transactions
	3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	1.000	220.98	08/27/20	196.03	...	24.95	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	220.99	08/27/20	184.04	...	36.95	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	220.99	08/27/20	184.03	...	36.96	3 of 3 - Option sale to close-call <sup>25</sup>
08/27/20	3.000	662.96	Various	564.10	...	98.86	Total of 3 transactions
	5 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	1.000	161.98	08/27/20	172.00	10.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	323.98	08/27/20	344.00	20.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	167.98	08/27/20	184.02	16.04 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	167.98	08/27/20	184.01	16.03 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	167.98	08/27/20	184.01	16.03 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
08/27/20	6.000	989.90	Various	1,068.04	78.14 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>5,721.65</b>		<b>5,629.18</b>	<b>81.18 W</b>	<b>173.65</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/28/2020 CALL \$349.00 / CUSIP: / Symbol:							
08/26/20	1.000	126.98	08/26/20	127.00	...	-0.02	Option sale to close-call <sup>25</sup>
SPY 08/28/2020 CALL \$350.00 / CUSIP: / Symbol:							
7 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	88.99	08/27/20	119.01	...	-30.02	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	88.99	08/27/20	114.01	...	-25.02	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	89.00	08/27/20	114.00	...	-25.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	140.99	08/27/20	133.00	...	7.99	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	140.99	08/27/20	133.00	...	7.99	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	149.99	08/27/20	139.00	...	10.99	6 of 7 - Option sale to close-call <sup>25</sup>
	4.000	599.98	08/27/20	556.00	...	43.98	7 of 7 - Option sale to close-call <sup>25</sup>
08/27/20	10.000	1,298.93	Various	1,308.02	...	-9.09	Total of 7 transactions
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	3.000	338.98	08/27/20	366.00	9.01 W	-18.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	112.99	08/27/20	117.00	4.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	113.00	08/27/20	117.00	4.00 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/27/20	5.000	564.97	Various	600.00	17.02 W	-18.01	Total of 3 transactions
	<b>Security total:</b>	<b>1,863.90</b>		<b>1,908.02</b>	<b>17.02 W</b>	<b>-27.10</b>	
SPY 08/28/2020 PUT \$347.00 / CUSIP: / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	3.000	596.97	08/26/20	579.00	...	17.97	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	638.97	08/26/20	609.00	...	29.97	2 of 2 - Option sale to close-put <sup>25</sup>
08/26/20	6.000	1,235.94	Various	1,188.00	...	47.94	Total of 2 transactions
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	2.000	385.98	08/27/20	380.02	...	5.96	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	192.99	08/27/20	179.00	...	13.99	2 of 2 - Option sale to close-put <sup>25</sup>
08/27/20	3.000	578.97	Various	559.02	...	19.95	Total of 2 transactions
08/27/20	2.000	301.98	08/27/20	324.00	22.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>2,116.89</b>		<b>2,071.02</b>	<b>22.02 W</b>	<b>67.89</b>	
SPY 08/28/2020 PUT \$348.00 / CUSIP: / Symbol:							
08/26/20	2.000	467.97	08/26/20	442.00	...	25.97	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/31/2020 CALL \$349.00 / CUSIP: / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	215.99	08/28/20	189.02	...	26.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	215.99	08/28/20	168.00	...	47.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/28/20	2.000	431.98	Various	357.02	...	74.96	Total of 2 transactions
08/28/20	1.000	169.98	08/28/20	179.00	9.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>601.96</b>		<b>536.02</b>	<b>9.02 W</b>	<b>74.96</b>	
SPY 08/31/2020 PUT \$348.00 / CUSIP: / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	106.98	08/28/20	186.02	...	-79.04	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	122.98	08/28/20	180.00	...	-57.02	2 of 2 - Option sale to close-put <sup>25</sup>
08/28/20	2.000	229.96	Various	366.02	...	-136.06	Total of 2 transactions
08/28/20	1.000	146.98	08/28/20	180.00	33.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>376.94</b>		<b>546.02</b>	<b>33.02 W</b>	<b>-136.06</b>	
SPY 08/31/2020 PUT \$351.00 / CUSIP: / Symbol:							
08/28/20	3.000	434.98	08/28/20	444.00	...	-9.02	Option sale to close-put <sup>25</sup>
SPY 09/02/2020 CALL \$350.00 / CUSIP: / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	2.000	389.98	08/31/20	378.00	...	11.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	554.97	08/31/20	519.00	...	35.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/31/20	5.000	944.95	Various	897.00	...	47.95	Total of 2 transactions
SPY 09/02/2020 CALL \$351.00 / CUSIP: / Symbol:							
13 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	2.000	273.98	08/31/20	310.00	36.02 W	0.00	1 of 13 - Option sale to close-call <sup>25</sup>
	2.000	233.98	08/31/20	292.02	58.04 W	0.00	2 of 13 - Option sale to close-call <sup>25</sup>
	1.000	109.98	08/31/20	155.00	45.02 W	0.00	3 of 13 - Option sale to close-call <sup>25</sup>
	1.000	128.99	08/31/20	185.02	56.03 W	0.00	4 of 13 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 09/02/2020 CALL \$351.00 / CUSIP: / Symbol: (cont'd)							
	1.000	129.00	08/31/20	169.02	40.02 W	0.00	5 of 13 - Option sale to close-call <sup>25</sup>
	1.000	128.99	08/31/20	167.02	38.03 W	0.00	6 of 13 - Option sale to close-call <sup>25</sup>
	1.000	138.99	08/31/20	191.03	52.04 W	0.00	7 of 13 - Option sale to close-call <sup>25</sup>
	1.000	138.99	08/31/20	175.02	36.03 W	0.00	8 of 13 - Option sale to close-call <sup>25</sup>
	1.000	130.99	08/31/20	173.03	42.04 W	0.00	9 of 13 - Option sale to close-call <sup>25</sup>
	1.000	130.99	08/31/20	187.04	56.05 W	0.00	10 of 13 - Option sale to close-call <sup>25</sup>
	1.000	150.99	08/31/20	184.03	33.04 W	0.00	11 of 13 - Option sale to close-call <sup>25</sup>
	1.000	150.99	08/31/20	190.04	39.05 W	0.00	12 of 13 - Option sale to close-call <sup>25</sup>
	1.000	150.99	08/31/20	204.05	53.06 W	0.00	13 of 13 - Option sale to close-call <sup>25</sup>
08/31/20	15.000	1,997.85	Various	2,582.32	584.47 W	0.00	Total of 13 transactions
	3 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	1.000	113.99	09/01/20	180.06	...	-66.07	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	113.99	09/01/20	165.05	...	-51.06	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	110.98	09/01/20	159.04	...	-48.06	3 of 3 - Option sale to close-call <sup>25</sup>
09/01/20	3.000	338.96	Various	504.15	...	-165.19	Total of 3 transactions
	<b>Security total:</b>	<b>2,336.81</b>		<b>3,086.47</b>	<b>584.47 W</b>	<b>-165.19</b>	
SPY 09/02/2020 CALL \$352.00 / CUSIP: / Symbol:							
08/31/20	1.000	83.98	08/31/20	112.00	...	-28.02	Option sale to close-call <sup>25</sup>
08/31/20	1.000	89.98	08/31/20	112.00	22.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	1.000	90.99	08/31/20	112.00	...	-21.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	90.99	09/01/20	99.02	...	-8.03	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	2.000	181.98	Various	211.02	...	-29.04	Total of 2 transactions
	<b>Security total:</b>	<b>355.94</b>		<b>435.02</b>	<b>22.02 W</b>	<b>-57.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 09/02/2020 PUT \$350.00 / CUSIP: / Symbol:							
08/31/20	2.000	271.98	08/31/20	272.00	0.02 W	0.00	Option sale to close-put <sup>25</sup>
09/01/20	2.000	221.98	09/01/20	216.02	...	5.96	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>493.96</b>		<b>488.02</b>	<b>0.02 W</b>	<b>5.96</b>	
SPY 09/04/2020 CALL \$351.00 / CUSIP: / Symbol:							
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	1.000	41.98	09/03/20	191.02	...	-149.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	41.98	09/03/20	117.00	...	-75.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/03/20	2.000	83.96	Various	308.02	...	-224.06	Total of 2 transactions
	3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	1.000	99.98	09/03/20	117.00	17.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	99.98	09/03/20	117.00	17.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	185.98	09/03/20	234.00	48.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/03/20	4.000	385.94	Various	468.00	82.06 W	0.00	Total of 3 transactions
	3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	09/03/20	191.02	...	-191.02	1 of 3 - Option expiration- long call
	1.000	0.00	09/03/20	132.01	...	-132.01	2 of 3 - Option expiration- long call
	1.000	0.00	09/03/20	132.01	...	-132.01	3 of 3 - Option expiration- long call
09/04/20	3.000	0.00	Various	455.04	...	-455.04	Total of 3 transactions
	<b>Security total:</b>	<b>469.90</b>		<b>1,231.06</b>	<b>82.06 W</b>	<b>-679.10</b>	
SPY 09/04/2020 CALL \$352.00 / CUSIP: / Symbol:							
09/01/20	2.000	385.98	09/01/20	382.00	...	3.98	Option sale to close-call <sup>25</sup>
SPY 09/04/2020 CALL \$355.00 / CUSIP: / Symbol:							
	5 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	1.000	177.98	09/02/20	172.00	...	5.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	167.98	09/02/20	159.00	...	8.98	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	341.98	09/02/20	332.00	...	9.98	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	175.98	09/02/20	159.00	...	16.98	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	311.98	09/02/20	294.00	...	17.98	5 of 5 - Option sale to close-call <sup>25</sup>
09/02/20	7.000	1,175.90	Various	1,116.00	...	59.90	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/04/2020 PUT \$345.00 / CUSIP: / Symbol:							
09/03/20	2.000	359.98	09/03/20	440.00	...	-80.02	Option sale to close-put <sup>25</sup>
SPY 09/08/2020 CALL \$339.00 / CUSIP: / Symbol:							
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	344.98	09/04/20	339.00	...	5.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	344.99	09/04/20	339.00	...	5.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	389.98	09/04/20	311.00	...	78.98	3 of 3 - Option sale to close-call <sup>25</sup>
09/04/20	3.000	1,079.95	Various	989.00	...	90.95	Total of 3 transactions
SPY 09/08/2020 CALL \$342.00 / CUSIP: / Symbol:							
5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	174.99	09/04/20	172.00	...	2.99	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	349.98	09/04/20	338.00	...	11.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	188.98	09/04/20	177.00	...	11.98	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	381.98	09/04/20	354.00	...	27.98	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	289.98	09/04/20	254.00	...	35.98	5 of 5 - Option sale to close-call <sup>25</sup>
09/04/20	7.000	1,385.91	Various	1,295.00	...	90.91	Total of 5 transactions
SPY 09/08/2020 CALL \$343.00 / CUSIP: / Symbol:							
6 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	2.000	709.97	09/04/20	708.00	...	1.97	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	375.98	09/04/20	365.00	...	10.98	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	375.98	09/04/20	365.00	...	10.98	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	769.97	09/04/20	742.00	...	27.97	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	354.99	09/04/20	259.00	...	95.99	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	354.99	09/04/20	259.00	...	95.99	6 of 6 - Option sale to close-call <sup>25</sup>
09/04/20	8.000	2,941.88	Various	2,698.00	...	243.88	Total of 6 transactions
SPY 09/08/2020 CALL \$344.00 / CUSIP: / Symbol:							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	190.98	09/04/20	270.00	...	-79.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	529.97	09/04/20	506.00	...	23.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/04/20	3.000	720.95	Various	776.00	...	-55.05	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/08/2020 CALL \$344.00 / CUSIP: / Symbol: (cont'd)							
09/04/20	1.000	217.98	09/04/20	270.00	52.02 W	0.00	Option sale to close-call <sup>25</sup>
09/08/20	1.000	0.00	09/04/20	274.02	...	-274.02	Option expiration- long call
	<b>Security total:</b>	<b>938.93</b>		<b>1,320.02</b>	<b>52.02 W</b>	<b>-329.07</b>	
SPY 09/08/2020 CALL \$345.00 / CUSIP: / Symbol:							
09/04/20	2.000	463.97	09/04/20	502.00	...	-38.03	Option sale to close-call <sup>25</sup>
SPY 09/08/2020 PUT \$336.00 / CUSIP: / Symbol:							
09/04/20	2.000	535.97	09/04/20	534.00	...	1.97	Option sale to close-put <sup>25</sup>
SPY 09/09/2020 CALL \$337.00 / CUSIP: / Symbol:							
3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	257.98	09/08/20	290.00	...	-32.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	314.98	09/08/20	311.00	...	3.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	314.98	09/08/20	311.00	...	3.98	3 of 3 - Option sale to close-call <sup>25</sup>
09/08/20	3.000	887.94	Various	912.00	...	-24.06	Total of 3 transactions
SPY 09/09/2020 CALL \$338.00 / CUSIP: / Symbol:							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	480.97	09/04/20	439.00	...	41.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	509.97	09/04/20	439.00	...	70.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/04/20	2.000	990.94	Various	878.00	...	112.94	Total of 2 transactions
SPY 09/11/2020 CALL \$341.00 / CUSIP: / Symbol:							
09/09/20	1.000	304.98	09/09/20	308.02	...	-3.04	Option sale to close-call <sup>25</sup>
09/09/20	1.000	274.98	09/09/20	284.00	9.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>579.96</b>		<b>592.02</b>	<b>9.02 W</b>	<b>-3.04</b>	
SPY 09/11/2020 CALL \$343.00 / CUSIP: / Symbol:							
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	242.98	09/09/20	247.00	...	-4.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	251.98	09/09/20	243.00	...	8.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/09/20	2.000	494.96	Various	490.00	...	4.96	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/11/2020 PUT \$335.00 / CUSIP: / Symbol:							
4 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	1.000	207.98	09/10/20	289.02	...	-81.04	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	291.99	09/10/20	202.00	...	89.99	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	291.99	09/10/20	202.00	...	89.99	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	291.99	09/10/20	187.00	...	104.99	4 of 4 - Option sale to close-put <sup>25</sup>
09/10/20	4.000	1,083.95	Various	880.02	...	203.93	Total of 4 transactions
09/10/20	1.000	210.98	09/10/20	250.00	39.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,294.93</b>		<b>1,130.02</b>	<b>39.02 W</b>	<b>203.93</b>	
SPY 09/14/2020 CALL \$333.00 / CUSIP: / Symbol:							
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	284.99	09/11/20	247.00	...	37.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	280.98	09/11/20	226.00	...	54.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	284.98	09/11/20	221.00	...	63.98	3 of 3 - Option sale to close-call <sup>25</sup>
09/11/20	3.000	850.95	Various	694.00	...	156.95	Total of 3 transactions
SPY 09/14/2020 CALL \$334.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	226.98	09/11/20	354.12	...	-127.14	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	254.98	09/11/20	232.00	...	22.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/11/20	2.000	481.96	Various	586.12	...	-104.16	Total of 2 transactions
6 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	305.98	09/11/20	342.00	36.02 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	222.98	09/11/20	273.02	50.04 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	215.98	09/11/20	275.04	59.06 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	240.98	09/11/20	291.06	50.08 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	249.98	09/11/20	325.08	75.10 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	218.98	09/11/20	325.10	106.12 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
09/11/20	6.000	1,454.88	Various	1,831.30	376.42 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>1,936.84</b>		<b>2,417.42</b>	<b>376.42 W</b>	<b>-104.16</b>	
SPY 09/14/2020 CALL \$335.00 / CUSIP: / Symbol:							
09/11/20	1.000	186.98	09/11/20	224.00	...	-37.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/14/2020 CALL \$336.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	245.98	09/11/20	233.00	...	12.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	286.98	09/11/20	255.00	...	31.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/11/20	2.000	532.96	Various	488.00	...	44.96	Total of 2 transactions
SPY 09/14/2020 CALL \$337.00 / CUSIP: / Symbol:							
09/11/20	1.000	229.98	09/11/20	237.00	...	-7.02	Option sale to close-call <sup>25</sup>
SPY 09/14/2020 CALL \$340.00 / CUSIP: / Symbol:							
09/14/20	1.000	101.98	09/10/20	285.00	...	-183.02	Option sale to close-call <sup>25</sup>
SPY 09/14/2020 PUT \$332.00 / CUSIP: / Symbol:							
09/11/20	1.000	193.98	09/11/20	386.02	...	-192.04	Option sale to close-put <sup>25</sup>
09/11/20	1.000	161.98	09/11/20	266.00	104.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>355.96</b>		<b>652.02</b>	<b>104.02 W</b>	<b>-192.04</b>	
SPY 09/14/2020 PUT \$333.00 / CUSIP: / Symbol:							
09/11/20	1.000	253.98	09/11/20	290.02	...	-36.04	Option sale to close-put <sup>25</sup>
09/11/20	1.000	210.98	09/11/20	241.00	30.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>464.96</b>		<b>531.02</b>	<b>30.02 W</b>	<b>-36.04</b>	
SPY 09/14/2020 PUT \$334.00 / CUSIP: / Symbol:							
09/11/20	1.000	299.98	09/11/20	310.02	...	-10.04	Option sale to close-put <sup>25</sup>
09/11/20	1.000	260.98	09/11/20	284.00	23.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>560.96</b>		<b>594.02</b>	<b>23.02 W</b>	<b>-10.04</b>	
SPY 09/14/2020 PUT \$335.00 / CUSIP: / Symbol:							
09/11/20	1.000	232.98	09/11/20	258.04	...	-25.06	Option sale to close-put <sup>25</sup>
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	258.98	09/11/20	284.00	25.02 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	282.98	09/11/20	303.02	20.04 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
09/11/20	2.000	541.96	Various	587.02	45.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>774.94</b>		<b>845.06</b>	<b>45.06 W</b>	<b>-25.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/16/2020 CALL \$339.00 / CUSIP: / Symbol:							
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	2.000	543.97	09/14/20	526.00	...	17.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	256.98	09/14/20	236.00	...	20.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	256.99	09/14/20	236.00	...	20.99	3 of 3 - Option sale to close-call <sup>25</sup>
09/14/20	4.000	1,057.94	Various	998.00	...	59.94	Total of 3 transactions
SPY 09/16/2020 CALL \$340.00 / CUSIP: / Symbol:							
09/14/20	1.000	253.98	09/14/20	279.00	25.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	180.99	09/15/20	204.04	...	-23.05	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	361.98	09/15/20	376.00	...	-14.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	3.000	542.97	Various	580.04	...	-37.07	Total of 2 transactions
09/15/20	1.000	277.98	09/15/20	294.02	16.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,074.93</b>		<b>1,153.06</b>	<b>41.06 W</b>	<b>-37.07</b>	
SPY 09/16/2020 CALL \$341.00 / CUSIP: / Symbol:							
09/15/20	1.000	234.98	09/15/20	226.00	...	8.98	Option sale to close-call <sup>25</sup>
SPY 09/16/2020 CALL \$342.00 / CUSIP: / Symbol:							
5 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	3.000	533.97	09/15/20	531.00	...	2.97	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	182.98	09/15/20	179.00	...	3.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	182.98	09/15/20	179.00	...	3.98	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	175.98	09/15/20	169.00	...	6.98	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	351.98	09/15/20	338.00	...	13.98	5 of 5 - Option sale to close-call <sup>25</sup>
09/15/20	8.000	1,427.89	Various	1,396.00	...	31.89	Total of 5 transactions
SPY 09/16/2020 PUT \$339.00 / CUSIP: / Symbol:							
09/14/20	3.000	914.96	09/14/20	867.00	...	47.96	Option sale to close-put <sup>25</sup>
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	3.000	488.97	09/15/20	534.00	...	-45.03	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	440.98	09/15/20	432.00	...	8.98	2 of 2 - Option sale to close-put <sup>25</sup>
09/15/20	6.000	929.95	Various	966.00	...	-36.05	Total of 2 transactions
	<b>Security total:</b>	<b>1,844.91</b>		<b>1,833.00</b>	...	<b>11.91</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/16/2020 PUT \$340.00 / CUSIP: / Symbol:							
3 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	230.99	09/15/20	194.00	...	36.99	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	230.99	09/15/20	194.00	...	36.99	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	230.99	09/15/20	194.00	...	36.99	3 of 3 - Option sale to close-put <sup>25</sup>
09/15/20	3.000	692.97	Various	582.00	...	110.97	Total of 3 transactions
SPY 09/18/2020 CALL \$334.00 / CUSIP: / Symbol:							
5 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	199.99	09/17/20	192.00	...	7.99	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	192.98	09/17/20	183.00	...	9.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	204.99	09/17/20	195.00	...	9.99	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	204.99	09/17/20	192.00	...	12.99	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	199.99	09/17/20	181.00	...	18.99	5 of 5 - Option sale to close-call <sup>25</sup>
09/17/20	5.000	1,002.94	Various	943.00	...	59.94	Total of 5 transactions
SPY 09/18/2020 CALL \$335.00 / CUSIP: / Symbol:							
09/17/20	1.000	211.98	09/17/20	220.00	...	-8.02	Option sale to close-call <sup>25</sup>
SPY 09/18/2020 CALL \$336.00 / CUSIP: / Symbol:							
3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	123.98	09/17/20	171.00	...	-47.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	123.98	09/17/20	171.00	...	-47.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	128.98	09/17/20	171.00	...	-42.02	3 of 3 - Option sale to close-call <sup>25</sup>
09/17/20	3.000	376.94	Various	513.00	...	-136.06	Total of 3 transactions
SPY 09/18/2020 CALL \$338.00 / CUSIP: / Symbol:							
3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	96.99	09/17/20	139.04	...	-42.05	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	96.99	09/17/20	124.00	...	-27.01	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	461.97	09/17/20	450.00	...	11.97	3 of 3 - Option sale to close-call <sup>25</sup>
09/17/20	5.000	655.95	Various	713.04	...	-57.09	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/18/2020 CALL \$338.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	121.98	09/17/20	127.00	5.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	117.98	09/17/20	131.02	13.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/17/20	2.000	239.96	Various	258.02	18.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>895.91</b>		<b>971.06</b>	<b>18.06 W</b>	<b>-57.09</b>	
SPY 09/18/2020 CALL \$342.00 / CUSIP: / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	211.98	09/16/20	195.00	...	16.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	425.98	09/16/20	390.00	...	35.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/16/20	3.000	637.96	Various	585.00	...	52.96	Total of 2 transactions
SPY 09/18/2020 PUT \$332.00 / CUSIP: / Symbol:							
09/17/20	1.000	254.98	09/17/20	251.00	...	3.98	Option sale to close-put <sup>25</sup>
SPY 09/18/2020 PUT \$333.00 / CUSIP: / Symbol:							
09/17/20	1.000	171.98	09/17/20	169.00	...	2.98	Option sale to close-put <sup>25</sup>
SPY 09/18/2020 PUT \$335.00 / CUSIP: / Symbol:							
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	296.98	09/17/20	296.00	...	0.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	296.99	09/17/20	296.00	...	0.99	2 of 2 - Option sale to close-put <sup>25</sup>
09/17/20	2.000	593.97	Various	592.00	...	1.97	Total of 2 transactions
SPY 09/18/2020 PUT \$336.00 / CUSIP: / Symbol:							
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	388.98	09/17/20	415.00	...	-26.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	429.98	09/17/20	381.00	...	48.98	2 of 2 - Option sale to close-put <sup>25</sup>
09/17/20	2.000	818.96	Various	796.00	...	22.96	Total of 2 transactions
SPY 09/18/2020 PUT \$339.00 / CUSIP: / Symbol:							
09/16/20	1.000	227.98	09/16/20	264.00	...	-36.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/21/2020 CALL \$335.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	198.99	09/18/20	196.00	...	2.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	198.99	09/18/20	184.00	...	14.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/18/20	2.000	397.98	Various	380.00	...	17.98	Total of 2 transactions
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	187.98	09/18/20	197.00	9.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	195.98	09/18/20	209.02	13.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/18/20	2.000	383.96	Various	406.02	22.06 W	0.00	Total of 2 transactions
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/18/20	213.04	...	-213.04	1 of 2 - Option expiration- long call
	1.000	0.00	09/18/20	136.00	...	-136.00	2 of 2 - Option expiration- long call
09/21/20	2.000	0.00	Various	349.04	...	-349.04	Total of 2 transactions
	<b>Security total:</b>	<b>781.94</b>		<b>1,135.06</b>	<b>22.06 W</b>	<b>-331.06</b>	
SPY 09/21/2020 PUT \$331.00 / CUSIP: / Symbol:							
09/18/20	1.000	223.98	09/18/20	232.00	...	-8.02	Option sale to close-put <sup>25</sup>
SPY 09/21/2020 PUT \$333.00 / CUSIP: / Symbol:							
09/18/20	1.000	225.98	09/18/20	239.00	...	-13.02	Option sale to close-put <sup>25</sup>
SPY 09/21/2020 PUT \$334.00 / CUSIP: / Symbol:							
09/18/20	1.000	244.98	09/18/20	227.00	...	17.98	Option sale to close-put <sup>25</sup>
SPY 09/23/2020 CALL \$332.00 / CUSIP: / Symbol:							
09/23/20	1.000	0.00	09/18/20	309.00	...	-309.00	Option expiration- long call
SPY 09/25/2020 CALL \$323.00 / CUSIP: / Symbol:							
09/24/20	1.000	216.98	09/24/20	207.00	...	9.98	Option sale to close-call <sup>25</sup>
SPY 09/25/2020 PUT \$329.00 / CUSIP: / Symbol:							
09/23/20	1.000	246.98	09/23/20	262.00	...	-15.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 10/02/2020 CALL \$337.00 / CUSIP: / Symbol:							
09/30/20	1.000	272.98	09/30/20	268.00	...	4.98	Option sale to close-call <sup>25</sup>
10/01/20	1.000	202.98	10/01/20	236.00	...	-33.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>475.96</b>		<b>504.00</b>	...	<b>-28.04</b>	
SPY 10/23/2020 CALL \$342.00 / CUSIP: / Symbol:							
10/22/20	1.000	307.98	10/22/20	170.00	...	137.98	Option sale to close-call <sup>25</sup>
SPY 10/23/2020 CALL \$344.00 / CUSIP: / Symbol:							
2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	1.000	219.98	10/21/20	240.00	...	-20.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	245.98	10/21/20	223.00	...	22.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/21/20	2.000	465.96	Various	463.00	...	2.96	Total of 2 transactions
SPY 10/23/2020 PUT \$342.00 / CUSIP: / Symbol:							
10/22/20	1.000	108.98	10/22/20	242.04	...	-133.06	Option sale to close-put <sup>25</sup>
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	173.98	10/22/20	192.00	18.02 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	145.98	10/22/20	211.02	65.04 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
10/22/20	2.000	319.96	Various	403.02	83.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>428.94</b>		<b>645.06</b>	<b>83.06 W</b>	<b>-133.06</b>	
SPY 10/23/2020 PUT \$343.00 / CUSIP: / Symbol:							
10/22/20	1.000	260.98	10/22/20	237.00	...	23.98	Option sale to close-put <sup>25</sup>
SPY 10/26/2020 PUT \$341.00 / CUSIP: / Symbol:							
10/22/20	1.000	222.98	10/22/20	304.00	...	-81.02	Option sale to close-put <sup>25</sup>
SPY 10/28/2020 CALL \$339.00 / CUSIP: / Symbol:							
3 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	1.000	171.99	10/27/20	170.00	...	1.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	171.99	10/27/20	170.00	...	1.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	214.98	10/27/20	204.00	...	10.98	3 of 3 - Option sale to close-call <sup>25</sup>
10/27/20	3.000	558.96	Various	544.00	...	14.96	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 10/28/2020 CALL \$340.00 / CUSIP: / Symbol:							
10/27/20	1.000	105.98	10/27/20	130.00	...	-24.02	Option sale to close-call <sup>25</sup>
SPY 10/28/2020 CALL \$341.00 / CUSIP: / Symbol:							
10/27/20	1.000	122.98	10/27/20	173.00	...	-50.02	Option sale to close-call <sup>25</sup>
SPY 10/28/2020 PUT \$338.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	197.98	10/27/20	193.00	...	4.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	197.98	10/27/20	189.00	...	8.98	2 of 2 - Option sale to close-put <sup>25</sup>
10/27/20	2.000	395.96	Various	382.00	...	13.96	Total of 2 transactions
SPY 10/30/2020 CALL \$348.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	238.98	10/21/20	280.00	...	-41.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	246.98	10/21/20	280.00	...	-33.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/23/20	2.000	485.96	Various	560.00	...	-74.04	Total of 2 transactions
SPY 10/30/2020 CALL \$349.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	35.99	10/23/20	0.00	...	35.99	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 10/21/20
	1.000	43.98	10/23/20	0.00	...	43.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 10/21/20
10/26/20	2.000	79.97	Various	0.00	...	79.97	Total of 2 transactions
SPY 11/13/2020 CALL \$355.00 / CUSIP: / Symbol:							
11/13/20	1.000	115.98	11/12/20	93.00	...	22.98	Option sale to close-call <sup>25</sup>
SPY 11/20/2020 CALL \$357.00 / CUSIP: / Symbol:							
11/19/20	1.000	180.98	11/19/20	142.00	...	38.98	Option sale to close-call <sup>25</sup>
SPY 11/23/2020 CALL \$356.00 / CUSIP: / Symbol:							
11/23/20	1.000	255.98	11/20/20	170.00	...	85.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 11/23/2020 CALL \$357.00 / CUSIP: / Symbol:							
3 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	124.98	11/20/20	177.02	...	-52.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	172.98	11/20/20	162.00	...	10.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	174.98	11/20/20	163.00	...	11.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/20/20	3.000	472.94	Various	502.02	...	-29.08	Total of 3 transactions
11/20/20	1.000	148.98	11/20/20	163.00	14.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>621.92</b>		<b>665.02</b>	<b>14.02 W</b>	<b>-29.08</b>	
SPY 11/23/2020 PUT \$356.00 / CUSIP: / Symbol:							
11/23/20	1.000	25.98	11/20/20	174.00	...	-148.02	Option sale to close-put <sup>25</sup>
SPY 11/23/2020 PUT \$357.00 / CUSIP: / Symbol:							
11/20/20	1.000	172.98	11/20/20	170.00	...	2.98	Option sale to close-put <sup>25</sup>
SPY 12/09/2020 CALL \$369.00 / CUSIP: / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	142.98	12/08/20	140.00	...	2.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	151.98	12/08/20	148.00	...	3.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/08/20	2.000	294.96	Various	288.00	...	6.96	Total of 2 transactions
SPY 12/11/2020 CALL \$368.00 / CUSIP: / Symbol:							
12/09/20	2.000	283.98	12/09/20	304.00	...	-20.02	Option sale to close-call <sup>25</sup>
SPY 12/14/2020 PUT \$365.00 / CUSIP: / Symbol:							
12/11/20	1.000	193.98	12/11/20	185.00	...	8.98	Option sale to close-put <sup>25</sup>
SQ 07/10/2020 CALL \$133.00 / CUSIP: / Symbol:							
4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	5.000	1,549.95	07/08/20	1,695.04	...	-145.09	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	1,649.95	07/08/20	1,625.00	...	24.95	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	339.98	07/08/20	315.00	...	24.98	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	1,359.95	07/08/20	1,260.00	...	99.95	4 of 4 - Option sale to close-call <sup>25</sup>
07/08/20	15.000	4,899.83	Various	4,895.04	...	4.79	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 07/10/2020 CALL \$133.00 / CUSIP: / Symbol: (cont'd)							
<i>2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,289.96	07/08/20	1,470.00	36.01 W	-144.03	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	1,199.96	07/08/20	1,470.00	270.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/08/20	10.000	2,489.92	Various	2,940.00	306.05 W	-144.03	Total of 2 transactions
07/09/20	1.000	107.98	07/08/20	324.01	...	-216.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>7,497.73</b>		<b>8,159.05</b>	<b>306.05 W</b>	<b>-355.27</b>	
SQ 07/17/2020 CALL \$129.00 / CUSIP: / Symbol:							
07/10/20	1.000	434.98	07/10/20	435.00	...	-0.02	Option sale to close-call <sup>25</sup>
SQ 07/24/2020 CALL \$121.00 / CUSIP: / Symbol:							
07/16/20	1.000	479.97	07/16/20	495.00	...	-15.03	Option sale to close-call <sup>25</sup>
SQ 07/24/2020 CALL \$128.00 / CUSIP: / Symbol:							
07/20/20	1.000	329.98	07/20/20	335.00	...	-5.02	Option sale to close-call <sup>25</sup>
SQ 07/24/2020 CALL \$130.00 / CUSIP: / Symbol:							
07/20/20	1.000	319.98	07/20/20	330.00	...	-10.02	Option sale to close-call <sup>25</sup>
SQ 08/07/2020 CALL \$135.00 / CUSIP: / Symbol:							
08/05/20	1.000	2,149.94	08/03/20	650.00	...	1,499.94	Option sale to close-call <sup>25</sup>
SQ 08/07/2020 CALL \$148.00 / CUSIP: / Symbol:							
08/05/20	1.000	394.98	08/05/20	375.00	...	19.98	Option sale to close-call <sup>25</sup>
SQ 08/07/2020 PUT \$135.00 / CUSIP: / Symbol:							
08/05/20	1.000	10.98	08/03/20	640.00	...	-629.02	Option sale to close-put <sup>25</sup>
SQ 08/07/2020 PUT \$147.00 / CUSIP: / Symbol:							
08/05/20	1.000	264.98	08/05/20	335.00	...	-70.02	Option sale to close-put <sup>25</sup>
SQ 08/21/2020 CALL \$152.50 / CUSIP: / Symbol:							
08/17/20	1.000	309.98	08/17/20	349.04	...	-39.06	Option sale to close-call <sup>25</sup>
<i>2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	314.98	08/17/20	315.00	0.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 08/21/2020 CALL \$152.50 / CUSIP: / Symbol: (cont'd)							
	1.000	309.98	08/17/20	325.02	15.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/17/20	2.000	624.96	Various	640.02	15.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>934.94</b>		<b>989.06</b>	<b>15.06 W</b>	<b>-39.06</b>	
SQ 08/28/2020 CALL \$155.00 / CUSIP: / Symbol:							
3 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	304.98	08/25/20	367.02	...	-62.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	309.98	08/25/20	284.00	...	25.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	309.98	08/25/20	284.00	...	25.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/25/20	3.000	924.94	Various	935.02	...	-10.08	Total of 3 transactions
08/25/20	2.000	535.97	08/25/20	590.00	27.02 W	-27.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,460.91</b>		<b>1,525.02</b>	<b>27.02 W</b>	<b>-37.09</b>	
SQ 09/04/2020 CALL \$160.00 / CUSIP: / Symbol:							
4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	289.98	08/31/20	282.00	...	7.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	289.99	08/31/20	282.00	...	7.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	286.98	08/31/20	271.00	...	15.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	354.98	08/31/20	325.00	...	29.98	4 of 4 - Option sale to close-call <sup>25</sup>
08/31/20	4.000	1,221.93	Various	1,160.00	...	61.93	Total of 4 transactions
SQ 09/04/2020 CALL \$162.50 / CUSIP: / Symbol:							
09/02/20	1.000	286.98	09/02/20	325.00	...	-38.02	Option sale to close-call <sup>25</sup>
SQ 11/20/2020 CALL \$195.00 / CUSIP: / Symbol:							
10/13/20	1.000	1,416.95	10/08/20	1,240.00	...	176.95	Option sale to close-call <sup>25</sup>
SQ 11/20/2020 CALL \$200.00 / CUSIP: / Symbol:							
10/14/20	1.000	-150.04	10/13/20	0.00	...	-150.04	Short sale closed- call <sup>25</sup> Option written: 10/08/20
SQ 12/11/2020 CALL \$190.00 / CUSIP: / Symbol:							
11/20/20	1.000	1,335.96	11/19/20	881.00	...	454.96	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 12/11/2020 CALL \$195.00 / CUSIP: / Symbol:							
11/23/20	1.000	-393.03	11/20/20	0.00	...	-393.03	Short sale closed- call <sup>25</sup> Option written: 11/19/20
SQQQ 03/20/2020 CALL \$32.00 / CUSIP: / Symbol:							
03/17/20	2.000	779.97	03/13/20	570.00	...	209.97	Option sale to close-call <sup>25</sup>
SQQQ 04/17/2020 CALL \$17.00 / CUSIP: / Symbol:							
2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	1.000	59.99	04/09/20	125.01	...	-65.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	59.99	04/09/20	110.01	...	-50.02	2 of 2 - Option sale to close-call <sup>25</sup>
04/13/20	2.000	119.98	Various	235.02	...	-115.04	Total of 2 transactions
2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	1.000	59.99	04/07/20	100.00	40.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	59.99	04/09/20	85.00	25.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
04/13/20	2.000	119.98	Various	185.00	65.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>239.96</b>		<b>420.02</b>	<b>65.02 W</b>	<b>-115.04</b>	
SQQQ 04/17/2020 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	2.000	1,999.95	03/03/20	510.00	...	1,489.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	999.97	03/04/20	200.00	...	799.97	2 of 2 - Option sale to close-call <sup>25</sup>
03/12/20	3.000	2,999.92	Various	710.00	...	2,289.92	Total of 2 transactions
SQQQ 04/17/2020 CALL \$29.00 / CUSIP: / Symbol:							
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	1.000	124.99	03/24/20	310.00	...	-185.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	124.99	03/24/20	300.00	...	-175.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/01/20	2.000	249.98	Various	610.00	...	-360.02	Total of 2 transactions
SQQQ 06/19/2020 CALL \$9.50 / CUSIP: / Symbol:							
4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	29.98	06/05/20	25.00	...	4.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	29.98	06/05/20	25.00	...	4.98	2 of 4 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQQQ 06/19/2020 CALL \$9.50 / CUSIP: / Symbol: (cont'd)							
	4.000	119.98	06/05/20	100.00	...	19.98	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	119.98	06/05/20	100.00	...	19.98	4 of 4 - Option sale to close-call <sup>25</sup>
06/11/20	10.000	299.92	Various	250.00	...	49.92	Total of 4 transactions
SQQQ 09/18/2020 CALL \$26.50 / CUSIP: / Symbol:							
09/11/20	1.000	27.98	09/10/20	0.00	...	27.98	Short sale closed- call <sup>25</sup> Option written: 09/10/20
SQQQ 09/18/2020 CALL \$27.50 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	127.98	09/11/20	171.00	...	-43.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	128.98	09/11/20	171.00	...	-42.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/11/20	2.000	256.96	Various	342.00	...	-85.04	Total of 2 transactions
SQQQ 09/18/2020 PUT \$27.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	183.99	09/11/20	174.00	...	9.99	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	183.99	09/11/20	174.00	...	9.99	2 of 2 - Option sale to close-put <sup>25</sup>
09/11/20	2.000	367.98	Various	348.00	...	19.98	Total of 2 transactions
09/14/20	1.000	225.98	09/11/20	188.00	...	37.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>593.96</b>		<b>536.00</b>	...	<b>57.96</b>	
SQQQ 11/20/2020 CALL \$18.00 / CUSIP: / Symbol:							
11/19/20	1.000	139.98	11/19/20	133.00	...	6.98	Option sale to close-call <sup>25</sup>
SRNE 11/20/2020 PUT \$8.00 / CUSIP: / Symbol:							
11/19/20	1.000	74.98	11/19/20	90.00	...	-15.02	Option sale to close-put <sup>25</sup>
SVXY 04/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
03/27/20	5.000	449.98	03/24/20	960.00	...	-510.02	Option sale to close-call <sup>25</sup>
SWBI 11/20/2020 CALL \$15.00 / CUSIP: / Symbol:							
11/20/20	1.000	-16.02	11/19/20	0.00	...	-16.02	Short sale closed- call <sup>25</sup> Option written: 11/16/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SWBI 11/20/2020 PUT \$15.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/16/20	24.00	...	-24.00	Option expiration- long put
T 06/12/2020 CALL \$29.50 / CUSIP: / Symbol:							
05/13/20	2.000	109.98	05/12/20	150.00	40.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	2.000	179.99	05/12/20	186.02	...	-6.03	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	269.99	05/12/20	225.00	...	44.99	2 of 2 - Option sale to close-call <sup>25</sup>
05/20/20	5.000	449.98	Various	411.02	...	38.96	Total of 2 transactions
	<b>Security total:</b>	<b>559.96</b>		<b>561.02</b>	<b>40.02 W</b>	<b>38.96</b>	
T 06/19/2020 CALL \$30.00 / CUSIP: / Symbol:							
	2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	1.000	89.98	05/12/20	66.00	...	23.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	89.98	05/12/20	66.00	...	23.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/22/20	2.000	179.96	Various	132.00	...	47.96	Total of 2 transactions
T 07/10/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/01/20	1.000	182.98	05/29/20	150.00	...	32.98	Option sale to close-call <sup>25</sup>
06/03/20	1.000	201.98	05/29/20	150.00	...	51.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>384.96</b>		<b>300.00</b>	...	<b>84.96</b>	
T 07/17/2020 CALL \$31.00 / CUSIP: / Symbol:							
	2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	3.000	0.00	06/16/20	315.00	...	-315.00	1 of 2 - Option expiration- long call
	2.000	0.00	06/16/20	200.00	...	-200.00	2 of 2 - Option expiration- long call
07/17/20	5.000	0.00	Various	515.00	...	-515.00	Total of 2 transactions
T 07/31/2020 CALL \$30.50 / CUSIP: / Symbol:							
	2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	3.000	143.99	07/22/20	147.00	...	-3.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	95.99	07/22/20	98.00	...	-2.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	5.000	239.98	Various	245.00	...	-5.02	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
T 08/21/2020 CALL \$32.00 / CUSIP: / Symbol:							
06/22/20	1.000	66.98	06/12/20	95.00	...	-28.02	Option sale to close-call <sup>25</sup>
T 08/21/2020 CALL \$33.00 / CUSIP: / Symbol:							
06/23/20	1.000	20.98	06/22/20	0.00	...	20.98	Short sale closed- call <sup>25</sup> Option written: 06/12/20
T 08/21/2020 PUT \$30.00 / CUSIP: / Symbol:							
06/23/20	1.000	9.98	06/22/20	0.00	...	9.98	Short sale closed- put <sup>25</sup> Option written: 06/12/20
T 08/21/2020 PUT \$31.00 / CUSIP: / Symbol:							
06/22/20	1.000	236.98	06/12/20	248.00	...	-11.02	Option sale to close-put <sup>25</sup>
T 10/30/2020 CALL \$28.00 / CUSIP: / Symbol:							
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	46.99	10/22/20	41.00	...	5.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	46.99	10/22/20	41.00	...	5.99	2 of 2 - Option sale to close-call <sup>25</sup>
10/22/20	2.000	93.98	Various	82.00	...	11.98	Total of 2 transactions
T 11/20/2020 CALL \$28.00 / CUSIP: / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	77.99	10/22/20	85.00	...	-7.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	77.99	10/22/20	85.00	...	-7.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/20	2.000	155.98	Various	170.00	...	-14.02	Total of 2 transactions
T 11/20/2020 PUT \$30.00 / CUSIP: / Symbol:							
11/18/20	1.000	89.98	11/16/20	87.00	...	2.98	Option sale to close-put <sup>25</sup>
T 12/18/2020 CALL \$32.00 / CUSIP: / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	5.000	949.97	05/12/20	575.00	...	374.97	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	379.99	05/15/20	190.00	...	189.99	2 of 2 - Option sale to close-call <sup>25</sup>
05/26/20	7.000	1,329.96	Various	765.00	...	564.96	Total of 2 transactions
TLRY 01/31/2020 PUT \$17.00 / CUSIP: / Symbol:							
01/24/20	1.000	2.98	01/16/20	28.00	...	-25.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TLRY 11/20/2020 CALL \$6.50 / CUSIP: / Symbol:							
11/02/20	1.000	63.98	10/26/20	51.00	...	12.98	Option sale to close-call <sup>25</sup>
TLT 06/26/2020 CALL \$162.00 / CUSIP: / Symbol:							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	2.000	229.99	06/08/20	130.00	...	99.99	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	229.99	06/08/20	114.00	...	115.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	229.99	06/08/20	114.00	...	115.99	3 of 3 - Option sale to close-call <sup>25</sup>
06/09/20	6.000	689.97	Various	358.00	...	331.97	Total of 3 transactions
TLT 06/26/2020 PUT \$149.00 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	3.000	62.99	06/08/20	138.00	...	-75.01	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	62.99	06/08/20	138.00	...	-75.01	2 of 2 - Option sale to close-put <sup>25</sup>
06/09/20	6.000	125.98	Various	276.00	...	-150.02	Total of 2 transactions
TNA 07/24/2020 CALL \$29.00 / CUSIP: / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	126.98	07/20/20	121.00	...	5.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	507.97	07/20/20	484.00	...	23.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/20/20	5.000	634.95	Various	605.00	...	29.95	Total of 2 transactions
TQQQ 03/27/2020 CALL \$43.00 / CUSIP: / Symbol:							
2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	2.000	259.98	03/18/20	940.00	...	-680.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	259.99	03/18/20	910.00	...	-650.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/23/20	4.000	519.97	Various	1,850.00	...	-1,330.03	Total of 2 transactions
TQQQ 06/26/2020 CALL \$102.50 / CUSIP: / Symbol:							
06/25/20	1.000	208.98	06/24/20	0.00	...	208.98	Short sale closed- call <sup>25</sup> Option written: 06/23/20
TQQQ 06/26/2020 CALL \$94.00 / CUSIP: / Symbol:							
06/25/20	1.000	234.98	06/25/20	195.00	...	39.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TQQQ 06/26/2020 CALL \$95.00 / CUSIP: / Symbol:							
8 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	182.99	06/25/20	173.00	...	9.99	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	182.99	06/25/20	171.00	...	11.99	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	187.99	06/25/20	175.00	...	12.99	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	187.99	06/25/20	174.00	...	13.99	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	187.99	06/25/20	172.00	...	15.99	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	182.99	06/25/20	165.00	...	17.99	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	188.00	06/25/20	163.00	...	25.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	187.99	06/25/20	149.00	...	38.99	8 of 8 - Option sale to close-call <sup>25</sup>
06/25/20	8.000	1,488.93	Various	1,342.00	...	146.93	Total of 8 transactions
TQQQ 06/26/2020 CALL \$96.00 / CUSIP: / Symbol:							
5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	184.99	06/25/20	190.07	...	-5.08	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	160.99	06/25/20	160.00	...	0.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	160.99	06/25/20	158.00	...	2.99	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	184.99	06/25/20	177.00	...	7.99	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	139.99	06/25/20	125.00	...	14.99	5 of 5 - Option sale to close-call <sup>25</sup>
06/25/20	5.000	831.95	Various	810.07	...	21.88	Total of 5 transactions
4 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	137.98	06/25/20	145.00	7.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	137.98	06/25/20	152.02	14.04 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	139.98	06/25/20	150.04	10.06 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	139.99	06/25/20	145.06	5.07 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/25/20	4.000	555.93	Various	592.12	36.19 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>1,387.88</b>		<b>1,402.19</b>	<b>36.19 W</b>	<b>21.88</b>	
TQQQ 06/26/2020 CALL \$98.50 / CUSIP: / Symbol:							
06/17/20	1.000	364.98	06/17/20	360.00	...	4.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TQQQ 07/02/2020 CALL \$99.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	4.98	07/01/20	0.00	...	4.98	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 07/01/20
	1.000	4.99	07/01/20	0.00	...	4.99	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 07/01/20
07/02/20	2.000	9.97	Various	0.00	...	9.97	Total of 2 transactions
TQQQ 07/10/2020 CALL \$98.00 / CUSIP: / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	9.98	06/29/20	0.00	...	9.98	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 06/29/20
	1.000	9.99	06/29/20	0.00	...	9.99	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 06/29/20
06/30/20	2.000	19.97	Various	0.00	...	19.97	Total of 2 transactions
07/02/20	2.000	-670.02	07/01/20	0.00	...	-670.02	Short sale closed- call <sup>25</sup> Option written: 06/29/20
	<b>Security total:</b>	<b>-650.05</b>		<b>0.00</b>	...	<b>-650.05</b>	
TQQQ 07/17/2020 CALL \$116.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	504.98	07/10/20	505.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	504.98	07/10/20	505.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/10/20	2.000	1,009.96	Various	1,010.00	...	-0.04	Total of 2 transactions
TQQQ 07/17/2020 CALL \$116.50 / CUSIP: / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	229.98	07/13/20	375.00	...	-145.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	229.99	07/13/20	375.00	...	-145.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/14/20	2.000	459.97	Various	750.00	...	-290.03	Total of 2 transactions
TQQQ 07/17/2020 CALL \$120.00 / CUSIP: / Symbol:							
07/13/20	2.000	969.96	07/13/20	890.00	...	79.96	Option sale to close-call <sup>25</sup>
TQQQ 07/17/2020 CALL \$124.00 / CUSIP: / Symbol:							
07/13/20	1.000	501.97	07/13/20	485.00	...	16.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TQQQ 07/17/2020 CALL \$125.00 / CUSIP: / Symbol:							
07/13/20	1.000	459.97	07/13/20	470.00	...	-10.03	Option sale to close-call <sup>25</sup>
TQQQ 07/17/2020 PUT \$110.00 / CUSIP: / Symbol:							
07/14/20	2.000	859.97	07/13/20	850.00	...	9.97	Option sale to close-put <sup>25</sup>
TQQQ 07/24/2020 CALL \$111.00 / CUSIP: / Symbol:							
07/16/20	1.000	674.97	07/16/20	665.03	...	9.94	Option sale to close-call <sup>25</sup>
07/16/20	1.000	614.97	07/16/20	645.00	30.03 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,289.94</b>		<b>1,310.03</b>	<b>30.03 W</b>	<b>9.94</b>	
TQQQ 07/24/2020 CALL \$119.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	259.98	07/22/20	262.00	...	-2.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	259.99	07/22/20	259.00	...	0.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	2.000	519.97	Various	521.00	...	-1.03	Total of 2 transactions
TQQQ 07/24/2020 PUT \$111.00 / CUSIP: / Symbol:							
07/16/20	1.000	674.97	07/16/20	685.00	...	-10.03	Option sale to close-put <sup>25</sup>
TQQQ 07/24/2020 PUT \$112.00 / CUSIP: / Symbol:							
07/15/20	1.000	754.97	07/15/20	794.00	...	-39.03	Option sale to close-put <sup>25</sup>
TQQQ 07/24/2020 PUT \$117.00 / CUSIP: / Symbol:							
07/22/20	1.000	349.98	07/22/20	345.00	...	4.98	Option sale to close-put <sup>25</sup>
TQQQ 07/24/2020 PUT \$118.00 / CUSIP: / Symbol:							
07/22/20	1.000	379.98	07/22/20	385.00	...	-5.02	Option sale to close-put <sup>25</sup>
TQQQ 07/31/2020 CALL \$109.00 / CUSIP: / Symbol:							
07/27/20	1.000	444.98	07/27/20	440.02	...	4.96	Option sale to close-call <sup>25</sup>
07/27/20	1.000	439.98	07/27/20	440.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>884.96</b>		<b>880.02</b>	<b>0.02 W</b>	<b>4.96</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TQQQ 07/31/2020 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	419.98	07/27/20	430.00	...	-10.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	419.99	07/27/20	430.00	...	-10.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/27/20	2.000	839.97	Various	860.00	...	-20.03	Total of 2 transactions
TQQQ 07/31/2020 CALL \$111.00 / CUSIP: / Symbol:							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	384.98	07/27/20	388.00	...	-3.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	384.99	07/27/20	388.00	...	-3.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/27/20	2.000	769.97	Various	776.00	...	-6.03	Total of 2 transactions
TQQQ 08/14/2020 CALL \$123.50 / CUSIP: / Symbol:							
08/11/20	1.000	419.98	08/11/20	410.00	...	9.98	Option sale to close-call <sup>25</sup>
TQQQ 08/14/2020 CALL \$129.00 / CUSIP: / Symbol:							
08/06/20	1.000	489.97	08/06/20	485.00	...	4.97	Option sale to close-call <sup>25</sup>
TQQQ 08/14/2020 PUT \$130.00 / CUSIP: / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	262.98	08/13/20	255.00	...	7.98	1 of 2 - Option sale to close-put <sup>25</sup>
	4.000	1,035.96	08/13/20	1,020.00	...	15.96	2 of 2 - Option sale to close-put <sup>25</sup>
08/13/20	5.000	1,298.94	Various	1,275.00	...	23.94	Total of 2 transactions
TQQQ 08/21/2020 CALL \$131.00 / CUSIP: / Symbol:							
08/17/20	1.000	389.98	08/17/20	385.00	...	4.98	Option sale to close-call <sup>25</sup>
TQQQ 08/21/2020 CALL \$135.00 / CUSIP: / Symbol:							
08/19/20	2.000	357.98	08/19/20	564.02	...	-206.04	Option sale to close-call <sup>25</sup>
08/19/20	5.000	999.96	08/19/20	1,335.00	134.02 W	-201.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,357.94</b>		<b>1,899.02</b>	<b>134.02 W</b>	<b>-407.06</b>	
TQQQ 09/04/2020 CALL \$170.00 / CUSIP: / Symbol:							
08/31/20	1.000	269.98	08/31/20	260.00	...	9.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TRIP 09/04/2020 CALL \$23.00 / CUSIP: / Symbol:							
08/27/20	1.000	117.98	08/11/20	110.00	...	7.98	Option sale to close-call <sup>25</sup>
TSLA 07/02/2020 CALL \$1,130.00 / CUSIP: / Symbol:							
06/30/20	1.000	659.97	06/30/20	545.00	...	114.97	Option sale to close-call <sup>25</sup>
TSLA 07/02/2020 CALL \$1,140.00 / CUSIP: / Symbol:							
06/30/20	1.000	789.97	06/30/20	600.00	...	189.97	Option sale to close-call <sup>25</sup>
TSLA 07/02/2020 CALL \$1,160.00 / CUSIP: / Symbol:							
06/30/20	1.000	589.97	06/30/20	660.02	...	-70.05	Option sale to close-call <sup>25</sup>
06/30/20	1.000	449.98	06/30/20	670.00	220.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,039.95</b>		<b>1,330.02</b>	<b>220.02 W</b>	<b>-70.05</b>	
TSLA 07/02/2020 CALL \$1,170.00 / CUSIP: / Symbol:							
07/01/20	1.000	849.97	07/01/20	840.00	...	9.97	Option sale to close-call <sup>25</sup>
TSLA 07/02/2020 CALL \$1,190.00 / CUSIP: / Symbol:							
	3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	1.000	504.97	07/01/20	835.06	...	-330.09	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	499.98	07/01/20	475.00	...	24.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	499.98	07/01/20	425.00	...	74.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/01/20	3.000	1,504.93	Various	1,735.06	...	-230.13	Total of 3 transactions
	2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	1.000	474.97	07/01/20	720.00	245.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	499.97	07/01/20	805.03	305.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/01/20	2.000	974.94	Various	1,525.03	550.09 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,479.87</b>		<b>3,260.09</b>	<b>550.09 W</b>	<b>-230.13</b>	
TSLA 07/02/2020 PUT \$1,030.00 / CUSIP: / Symbol:							
07/01/20	1.000	134.98	07/01/20	174.00	...	-39.02	Option sale to close-put <sup>25</sup>
TSLA 07/10/2020 CALL \$1,340.00 / CUSIP: / Symbol:							
07/02/20	1.000	719.97	07/02/20	705.00	...	14.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 07/10/2020 CALL \$1,360.00 / CUSIP: / Symbol:							
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	974.96	07/02/20	1,010.03	...	-35.07	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	759.97	07/02/20	755.00	...	4.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	884.97	07/02/20	830.00	...	54.97	3 of 3 - Option sale to close-call <sup>25</sup>
07/02/20	3.000	2,619.90	Various	2,595.03	...	24.87	Total of 3 transactions
07/02/20	1.000	854.97	07/02/20	925.00	70.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,474.87</b>		<b>3,520.03</b>	<b>70.03 W</b>	<b>24.87</b>	
TSLA 07/10/2020 CALL \$1,380.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	854.97	07/02/20	875.06	...	-20.09	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	809.97	07/02/20	800.00	...	9.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/02/20	2.000	1,664.94	Various	1,675.06	...	-10.12	Total of 2 transactions
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	799.97	07/02/20	800.00	0.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	809.97	07/02/20	845.03	35.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/02/20	2.000	1,609.94	Various	1,645.03	35.09 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,274.88</b>		<b>3,320.09</b>	<b>35.09 W</b>	<b>-10.12</b>	
TSLA 07/10/2020 CALL \$1,440.00 / CUSIP: / Symbol:							
07/02/20	1.000	419.98	07/02/20	505.00	...	-85.02	Option sale to close-call <sup>25</sup>
TSLA 07/10/2020 CALL \$1,460.00 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	1,489.96	07/06/20	1,320.00	...	169.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,489.96	07/06/20	1,295.00	...	194.96	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	2.000	2,979.92	Various	2,615.00	...	364.92	Total of 2 transactions
TSLA 07/10/2020 CALL \$1,500.00 / CUSIP: / Symbol:							
07/06/20	1.000	1,499.95	07/06/20	1,565.00	...	-65.05	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 07/10/2020 CALL \$1,560.00 / CUSIP: / Symbol:							
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	779.97	07/06/20	860.02	...	-80.05	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	764.97	07/06/20	780.02	...	-15.05	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	629.98	07/06/20	625.00	...	4.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/06/20	3.000	2,174.92	Various	2,265.04	...	-90.12	Total of 3 transactions
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	629.98	07/06/20	705.00	75.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	629.98	07/06/20	715.00	85.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	2.000	1,259.96	Various	1,420.00	160.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,434.88</b>		<b>3,685.04</b>	<b>160.04 W</b>	<b>-90.12</b>	
TSLA 07/10/2020 CALL \$1,600.00 / CUSIP: / Symbol:							
07/06/20	1.000	934.96	07/06/20	865.00	...	69.96	Option sale to close-call <sup>25</sup>
TSLA 07/10/2020 CALL \$1,640.00 / CUSIP: / Symbol:							
07/07/20	1.000	969.96	07/07/20	860.00	...	109.96	Option sale to close-call <sup>25</sup>
TSLA 07/10/2020 CALL \$1,720.00 / CUSIP: / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	929.97	07/07/20	1,020.04	...	-90.07	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	929.97	07/07/20	765.00	...	164.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/07/20	2.000	1,859.94	Various	1,785.04	...	74.90	Total of 2 transactions
07/07/20	1.000	1,009.96	07/07/20	1,120.00	110.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,869.90</b>		<b>2,905.04</b>	<b>110.04 W</b>	<b>74.90</b>	
TSLA 07/10/2020 CALL \$1,740.00 / CUSIP: / Symbol:							
07/07/20	1.000	649.97	07/06/20	415.00	...	234.97	Option sale to close-call <sup>25</sup>
TSLA 07/10/2020 CALL \$1,760.00 / CUSIP: / Symbol:							
07/07/20	1.000	799.97	07/07/20	750.00	...	49.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 07/10/2020 CALL \$1,820.00 / CUSIP: / Symbol:							
3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	43.99	07/07/20	675.00	...	-631.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	43.99	07/07/20	650.00	...	-606.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	44.00	07/07/20	560.00	...	-516.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/08/20	3.000	131.98	Various	1,885.00	...	-1,753.02	Total of 3 transactions
TSLA 07/31/2020 CALL \$1,680.00 / CUSIP: / Symbol:							
07/29/20	1.000	599.97	07/29/20	500.00	...	99.97	Option sale to close-call <sup>25</sup>
TSLA 07/31/2020 CALL \$1,740.00 / CUSIP: / Symbol:							
07/28/20	1.000	554.97	07/28/20	590.00	...	-35.03	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,595.00 / CUSIP: / Symbol:							
08/12/20	1.000	861.97	08/12/20	780.00	...	81.97	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,615.00 / CUSIP: / Symbol:							
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	1,299.96	08/12/20	2,070.00	...	-770.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	844.97	08/12/20	835.00	...	9.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2,384.93	08/12/20	2,160.00	...	224.93	3 of 3 - Option sale to close-call <sup>25</sup>
08/12/20	3.000	4,529.86	Various	5,065.00	...	-535.14	Total of 3 transactions
TSLA 08/14/2020 CALL \$1,625.00 / CUSIP: / Symbol:							
08/12/20	1.000	2,589.93	08/12/20	2,385.00	...	204.93	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,630.00 / CUSIP: / Symbol:							
08/12/20	1.000	1,759.95	08/12/20	1,705.00	...	54.95	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,635.00 / CUSIP: / Symbol:							
08/12/20	1.000	969.96	08/12/20	915.03	...	54.93	Option sale to close-call <sup>25</sup>
08/12/20	1.000	829.97	08/12/20	870.00	40.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,799.93</b>		<b>1,785.03</b>	<b>40.03 W</b>	<b>54.93</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 08/14/2020 CALL \$1,640.00 / CUSIP: / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	1,269.96	08/12/20	1,215.00	...	54.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,324.96	08/12/20	1,190.00	...	134.96	2 of 2 - Option sale to close-call <sup>25</sup>
08/12/20	2.000	2,594.92	Various	2,405.00	...	189.92	Total of 2 transactions
TSLA 08/14/2020 CALL \$1,655.00 / CUSIP: / Symbol:							
08/12/20	1.000	1,394.95	08/12/20	1,280.00	...	114.95	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,690.00 / CUSIP: / Symbol:							
08/12/20	1.000	1,299.96	08/12/20	1,450.00	...	-150.04	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,710.00 / CUSIP: / Symbol:							
08/13/20	1.000	1,009.96	08/13/20	930.00	...	79.96	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,720.00 / CUSIP: / Symbol:							
08/13/20	1.000	399.98	08/13/20	845.03	...	-445.05	Option sale to close-call <sup>25</sup>
08/13/20	1.000	834.97	08/13/20	835.00	0.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,234.95</b>		<b>1,680.03</b>	<b>0.03 W</b>	<b>-445.05</b>	
TSLA 08/14/2020 CALL \$1,740.00 / CUSIP: / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	949.96	08/13/20	930.00	...	19.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	949.96	08/13/20	815.00	...	134.96	2 of 2 - Option sale to close-call <sup>25</sup>
08/13/20	2.000	1,899.92	Various	1,745.00	...	154.92	Total of 2 transactions
TSLA 08/14/2020 CALL \$1,750.00 / CUSIP: / Symbol:							
08/13/20	1.000	899.97	08/13/20	865.00	...	34.97	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,760.00 / CUSIP: / Symbol:							
08/13/20	1.000	1,119.96	08/13/20	890.00	...	229.96	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 08/14/2020 CALL \$1,770.00 / CUSIP: / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	624.97	08/13/20	785.15	...	-160.18	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	889.97	08/13/20	845.00	...	44.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/13/20	2.000	1,514.94	Various	1,630.15	...	-115.21	Total of 2 transactions
5 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	709.97	08/13/20	970.00	260.03 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	829.97	08/13/20	1,020.03	190.06 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	774.97	08/13/20	845.06	70.09 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	784.97	08/13/20	805.09	20.12 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	664.97	08/13/20	795.12	130.15 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
08/13/20	5.000	3,764.85	Various	4,435.30	670.45 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>5,279.79</b>		<b>6,065.45</b>	<b>670.45 W</b>	<b>-115.21</b>	
TSLA 08/14/2020 CALL \$1,780.00 / CUSIP: / Symbol:							
08/13/20	1.000	889.97	08/13/20	790.00	...	99.97	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,790.00 / CUSIP: / Symbol:							
08/13/20	1.000	689.97	08/13/20	860.00	...	-170.03	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,840.00 / CUSIP: / Symbol:							
08/13/20	1.000	679.97	08/13/20	630.00	...	49.97	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,880.00 / CUSIP: / Symbol:							
08/14/20	1.000	0.00	08/13/20	210.00	...	-210.00	Option expiration- long call
TSLA 08/14/2020 PUT \$1,485.00 / CUSIP: / Symbol:							
08/12/20	1.000	1,014.96	08/12/20	1,080.00	...	-65.04	Option sale to close-put <sup>25</sup>
TSLA 08/14/2020 PUT \$1,490.00 / CUSIP: / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	1,184.96	08/12/20	1,330.00	...	-145.04	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	1,309.96	08/12/20	1,245.00	...	64.96	2 of 2 - Option sale to close-put <sup>25</sup>
08/12/20	2.000	2,494.92	Various	2,575.00	...	-80.08	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TSLA 08/14/2020 PUT \$1,535.00 / CUSIP: / Symbol:							
08/13/20	1.000	834.97	08/13/20	830.00	...	4.97	Option sale to close-put <sup>25</sup>
TSLA 08/14/2020 PUT \$1,555.00 / CUSIP: / Symbol:							
08/13/20	1.000	689.97	08/13/20	785.03	...	-95.06	Option sale to close-put <sup>25</sup>
08/13/20	1.000	739.97	08/13/20	780.00	40.03 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,429.94</b>		<b>1,565.03</b>	<b>40.03 W</b>	<b>-95.06</b>	
TSLA 08/14/2020 PUT \$1,570.00 / CUSIP: / Symbol:							
08/13/20	1.000	814.97	08/13/20	1,115.03	...	-300.06	Option sale to close-put <sup>25</sup>
08/13/20	1.000	849.97	08/13/20	1,085.00	235.03 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,664.94</b>		<b>2,200.03</b>	<b>235.03 W</b>	<b>-300.06</b>	
TSLA 08/14/2020 PUT \$1,575.00 / CUSIP: / Symbol:							
08/13/20	1.000	834.97	08/13/20	845.00	...	-10.03	Option sale to close-put <sup>25</sup>
TSLA 08/21/2020 CALL \$1,870.00 / CUSIP: / Symbol:							
08/12/20	1.000	954.96	08/12/20	955.00	...	-0.04	Option sale to close-call <sup>25</sup>
TSLA 08/21/2020 CALL \$1,970.00 / CUSIP: / Symbol:							
08/14/20	1.000	979.96	08/14/20	1,005.00	...	-25.04	Option sale to close-call <sup>25</sup>
TSLA 08/21/2020 CALL \$2,200.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	924.96	08/17/20	945.10	...	-20.14	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	559.97	08/17/20	502.00	...	57.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/17/20	2.000	1,484.93	Various	1,447.10	...	37.83	Total of 2 transactions
	<i>4 transactions for 08/17/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	429.98	08/17/20	495.00	65.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	424.98	08/17/20	525.02	100.04 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	584.97	08/17/20	670.04	85.07 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	744.97	08/17/20	775.07	30.10 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/17/20	4.000	2,184.90	Various	2,465.13	280.23 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>3,669.83</b>		<b>3,912.23</b>	<b>280.23 W</b>	<b>37.83</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 08/21/2020 CALL \$2,250.00 / CUSIP: / Symbol:							
08/18/20	1.000	549.97	08/18/20	550.00	...	-0.03	Option sale to close-call <sup>25</sup>
TSLA 08/28/2020 CALL \$2,350.00 / CUSIP: / Symbol:							
08/27/20	1.000	1,529.95	08/27/20	1,485.13	...	44.82	Option sale to close-call <sup>25</sup>
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	1,229.96	08/27/20	1,325.00	95.04 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,319.96	08/27/20	1,410.04	90.08 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,524.95	08/27/20	1,565.08	40.13 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/27/20	3.000	4,074.87	Various	4,300.12	225.25 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>5,604.82</b>		<b>5,785.25</b>	<b>225.25 W</b>	<b>44.82</b>	
TSLA 08/28/2020 CALL \$2,400.00 / CUSIP: / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	681.97	08/26/20	615.00	...	66.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	724.97	08/26/20	575.00	...	149.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	2.000	1,406.94	Various	1,190.00	...	216.94	Total of 2 transactions
08/27/20	1.000	1,499.95	08/27/20	1,195.00	...	304.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,906.89</b>		<b>2,385.00</b>	...	<b>521.89</b>	
TSLA 08/28/2020 CALL \$2,450.00 / CUSIP: / Symbol:							
08/27/20	1.000	514.97	08/27/20	505.00	...	9.97	Option sale to close-call <sup>25</sup>
TSLA 09/04/2020 CALL \$475.00 / CUSIP: / Symbol:							
08/31/20	1.000	1,459.95	08/31/20	1,590.00	...	-130.05	Option sale to close-call <sup>25</sup>
TSLA 09/04/2020 CALL \$480.00 / CUSIP: / Symbol:							
08/31/20	1.000	1,594.95	08/31/20	1,580.00	...	14.95	Option sale to close-call <sup>25</sup>
TSLA 09/04/2020 CALL \$495.00 / CUSIP: / Symbol:							
08/31/20	1.000	1,539.95	08/31/20	1,305.00	...	234.95	Option sale to close-call <sup>25</sup>
TSLA 09/04/2020 CALL \$520.00 / CUSIP: / Symbol:							
08/31/20	1.000	839.97	08/31/20	950.10	...	-110.13	Option sale to close-call <sup>25</sup>
3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	1,084.96	08/31/20	1,170.00	85.04 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/04/2020 CALL \$520.00 / CUSIP: / Symbol: (cont'd)							
	1.000	884.97	08/31/20	1,035.04	150.07 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	769.97	08/31/20	905.07	135.10 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/31/20	3.000	2,739.90	Various	3,110.11	370.21 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>3,579.87</b>		<b>4,060.21</b>	<b>370.21 W</b>	<b>-110.13</b>	
TSLA 09/04/2020 CALL \$525.00 / CUSIP: / Symbol:							
09/01/20	1.000	679.97	09/01/20	750.00	...	-70.03	Option sale to close-call <sup>25</sup>
TSLA 09/04/2020 CALL \$540.00 / CUSIP: / Symbol:							
08/31/20	1.000	924.96	08/31/20	979.21	...	-54.25	Option sale to close-call <sup>25</sup>
	7 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	1.000	339.98	08/31/20	365.00	25.02 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	569.97	08/31/20	660.02	90.05 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	635.97	08/31/20	705.05	69.08 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	829.97	08/31/20	894.08	64.11 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	894.97	08/31/20	949.11	54.14 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	894.97	08/31/20	954.14	59.17 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	939.96	08/31/20	984.17	44.21 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
08/31/20	7.000	5,105.79	Various	5,511.57	405.78 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>6,030.75</b>		<b>6,490.78</b>	<b>405.78 W</b>	<b>-54.25</b>	
TSLA 09/11/2020 CALL \$485.00 / CUSIP: / Symbol:							
09/04/20	1.000	589.97	09/04/20	580.00	...	9.97	Option sale to close-call <sup>25</sup>
TSLA 09/18/2020 CALL \$430.00 / CUSIP: / Symbol:							
	2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	1.000	914.96	09/14/20	948.04	...	-33.08	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	974.96	09/14/20	865.00	...	109.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/14/20	2.000	1,889.92	Various	1,813.04	...	76.88	Total of 2 transactions
09/14/20	1.000	914.96	09/14/20	955.00	40.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,804.88</b>		<b>2,768.04</b>	<b>40.04 W</b>	<b>76.88</b>	
TSLA 09/18/2020 CALL \$435.00 / CUSIP: / Symbol:							
09/14/20	1.000	929.96	09/14/20	920.00	...	9.96	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TSLA 09/18/2020 CALL \$475.00 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	904.96	09/15/20	885.00	...	19.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,002.96	09/15/20	930.00	...	72.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	1,907.92	Various	1,815.00	...	92.92	Total of 2 transactions
TSLA 09/18/2020 CALL \$480.00 / CUSIP: / Symbol:							
3 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	839.97	09/15/20	850.00	...	-10.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	879.97	09/15/20	865.03	...	14.94	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	819.97	09/15/20	780.00	...	39.97	3 of 3 - Option sale to close-call <sup>25</sup>
09/15/20	3.000	2,539.91	Various	2,495.03	...	44.88	Total of 3 transactions
09/15/20	1.000	794.97	09/15/20	825.00	30.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,334.88</b>		<b>3,320.03</b>	<b>30.03 W</b>	<b>44.88</b>	
TSLA 09/18/2020 CALL \$495.00 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	939.96	09/15/20	920.00	...	19.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	984.96	09/15/20	965.00	...	19.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	1,924.92	Various	1,885.00	...	39.92	Total of 2 transactions
09/18/20	1.000	0.00	09/15/20	930.00	...	-930.00	Option expiration- long call
	<b>Security total:</b>	<b>1,924.92</b>		<b>2,815.00</b>	...	<b>-890.08</b>	
TSLA 09/25/2020 CALL \$575.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/21/20	745.00	...	-745.00	Option expiration- long call
TSLA 10/16/2020 CALL \$430.00 / CUSIP: / Symbol:							
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	1,643.95	10/07/20	1,444.00	...	199.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,643.95	10/07/20	1,342.00	...	301.95	2 of 2 - Option sale to close-call <sup>25</sup>
10/12/20	2.000	3,287.90	Various	2,786.00	...	501.90	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/16/2020 CALL \$431.00 / CUSIP: / Symbol:							
10/13/20	1.000	-466.09	10/12/20	0.00	...	-466.09	Short sale closed- call <sup>25</sup> Option written: 10/07/20
10/13/20	1.000	-183.05	10/12/20	0.00	183.05 W	0.00	Short sale closed- call <sup>25</sup> Option written: 10/07/20
<b>Security total:</b>		<b>-649.14</b>		<b>0.00</b>	<b>183.05 W</b>	<b>-466.09</b>	
TSLA 10/16/2020 CALL \$490.00 / CUSIP: / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	204.98	10/14/20	202.02	...	2.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	258.98	10/14/20	220.00	...	38.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/14/20	2.000	463.96	Various	422.02	...	41.94	Total of 2 transactions
10/14/20	1.000	196.98	10/14/20	203.00	6.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>660.94</b>		<b>625.02</b>	<b>6.02 W</b>	<b>41.94</b>	
TSLA 10/23/2020 CALL \$445.00 / CUSIP: / Symbol:							
10/22/20	1.000	544.97	10/21/20	855.00	...	-310.03	Option sale to close-call <sup>25</sup>
TSLA 10/23/2020 CALL \$447.50 / CUSIP: / Symbol:							
10/23/20	1.000	314.97	10/22/20	0.00	...	314.97	Short sale closed- call <sup>25</sup> Option written: 10/21/20
TSLA 11/06/2020 CALL \$402.50 / CUSIP: / Symbol:							
3 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	924.96	10/30/20	940.00	...	-15.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,029.96	10/30/20	1,010.00	...	19.96	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,029.96	10/30/20	985.00	...	44.96	3 of 3 - Option sale to close-call <sup>25</sup>
10/30/20	3.000	2,984.88	Various	2,935.00	...	49.88	Total of 3 transactions
TSLA 11/06/2020 CALL \$405.00 / CUSIP: / Symbol:							
10/30/20	1.000	849.97	10/30/20	945.00	...	-95.03	Option sale to close-call <sup>25</sup>
TSLA 11/06/2020 PUT \$375.00 / CUSIP: / Symbol:							
10/21/20	1.000	930.96	10/12/20	990.00	...	-59.04	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TSLA 11/06/2020 PUT \$390.00 / CUSIP: / Symbol:							
10/22/20	1.000	-5.05	10/21/20	0.00	...	-5.05	Short sale closed- put <sup>25</sup> Option written: 10/12/20
TSLA 11/20/2020 CALL \$450.00 / CUSIP: / Symbol:							
09/28/20	1.000	5,234.87	09/25/20	4,250.00	...	984.87	Option sale to close-call <sup>25</sup>
TSLA 11/20/2020 CALL \$460.00 / CUSIP: / Symbol:							
09/29/20	1.000	-955.10	09/28/20	0.00	...	-955.10	Short sale closed- call <sup>25</sup> Option written: 09/25/20
TSLA 11/20/2020 CALL \$470.00 / CUSIP: / Symbol:							
11/18/20	1.000	634.97	11/18/20	360.00	...	274.97	Option sale to close-call <sup>25</sup>
TSLA 11/20/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/20/20	1.000	316.98	11/19/20	1,337.00	...	-1,020.02	Option sale to close-call <sup>25</sup>
TSLA 11/20/2020 CALL \$505.00 / CUSIP: / Symbol:							
11/23/20	1.000	930.96	11/20/20	0.00	...	930.96	Short sale closed- call <sup>25</sup> Option written: 11/19/20
TSLA 11/27/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/20/20	1.000	1,319.96	11/20/20	1,310.00	...	9.96	Option sale to close-call <sup>25</sup>
TSM 07/17/2020 CALL \$66.50 / CUSIP: / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	2.000	285.99	07/14/20	276.00	...	9.99	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	428.98	07/14/20	414.00	...	14.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/14/20	5.000	714.97	Various	690.00	...	24.97	Total of 2 transactions
TSM 07/24/2020 CALL \$66.50 / CUSIP: / Symbol:							
07/17/20	1.000	115.98	07/17/20	252.04	...	-136.06	Option sale to close-call <sup>25</sup>
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	115.98	07/14/20	189.00	73.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	115.98	07/17/20	222.02	106.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/17/20	2.000	231.96	Various	411.02	179.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>347.94</b>		<b>663.06</b>	<b>179.06 W</b>	<b>-136.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSM 07/24/2020 PUT \$66.50 / CUSIP: / Symbol:							
07/17/20	1.000	84.98	07/14/20	217.00	...	-132.02	Option sale to close-put <sup>25</sup>
TSM 07/31/2020 CALL \$65.00 / CUSIP: / Symbol:							
07/21/20	1.000	97.98	07/20/20	0.00	...	97.98	Short sale closed- call <sup>25</sup> Option written: 07/14/20
TSM 08/14/2020 CALL \$65.00 / CUSIP: / Symbol:							
07/20/20	1.000	282.98	07/14/20	370.00	...	-87.02	Option sale to close-call <sup>25</sup>
TSN 07/17/2020 CALL \$70.00 / CUSIP: / Symbol:							
06/16/20	4.000	699.97	06/09/20	860.00	...	-160.03	Option sale to close-call <sup>25</sup>
TWLO 08/07/2020 CALL \$310.00 / CUSIP: / Symbol:							
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	599.98	08/04/20	595.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	599.98	08/04/20	520.00	...	79.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/04/20	2.000	1,199.96	Various	1,115.00	...	84.96	Total of 2 transactions
TWO 07/17/2020 CALL \$8.00 / CUSIP: / Symbol:							
5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	2.000	29.99	06/09/20	250.00	...	-220.01	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	29.99	06/09/20	220.00	...	-190.01	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	15.00	06/09/20	120.00	...	-105.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	15.00	06/09/20	120.00	...	-105.00	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	29.99	06/11/20	50.00	...	-20.01	5 of 5 - Option sale to close-call <sup>25</sup>
06/12/20	8.000	119.97	Various	760.00	...	-640.03	Total of 5 transactions
TWTR 05/01/2020 CALL \$28.00 / CUSIP: / Symbol:							
04/23/20	1.000	236.98	04/22/20	185.00	...	51.98	Option sale to close-call <sup>25</sup>
04/24/20	1.000	179.98	04/22/20	185.00	...	-5.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>416.96</b>		<b>370.00</b>	...	<b>46.96</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 07/17/2020 CALL \$35.50 / CUSIP: / Symbol:							
4 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	74.98	07/15/20	73.00	...	1.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	74.98	07/15/20	73.00	...	1.98	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	131.99	07/15/20	124.00	...	7.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	131.99	07/15/20	124.00	...	7.99	4 of 4 - Option sale to close-call <sup>25</sup>
07/15/20	6.000	413.94	Various	394.00	...	19.94	Total of 4 transactions
TWTR 07/17/2020 CALL \$36.00 / CUSIP: / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	46.98	07/15/20	50.01	...	-3.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	45.00	07/15/20	45.00	...	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/15/20	2.000	91.98	Various	95.01	...	-3.03	Total of 2 transactions
07/15/20	4.000	179.98	07/15/20	180.00	0.01 W	-0.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>271.96</b>		<b>275.01</b>	<b>0.01 W</b>	<b>-3.04</b>	
TWTR 07/17/2020 PUT \$35.50 / CUSIP: / Symbol:							
07/15/20	1.000	53.98	07/15/20	62.00	...	-8.02	Option sale to close-put <sup>25</sup>
TWTR 07/24/2020 CALL \$36.00 / CUSIP: / Symbol:							
4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	207.98	07/17/20	188.00	...	19.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	207.98	07/17/20	188.00	...	19.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	207.99	07/17/20	188.00	...	19.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	415.98	07/17/20	376.00	...	39.98	4 of 4 - Option sale to close-call <sup>25</sup>
07/17/20	5.000	1,039.93	Various	940.00	...	99.93	Total of 4 transactions
TWTR 07/24/2020 CALL \$38.00 / CUSIP: / Symbol:							
3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	-26.03	07/17/20	0.00	...	-26.03	1 of 3 - Short sale closed- call <sup>25</sup> Option written: 07/17/20
	2.000	-26.01	07/17/20	0.00	...	-26.01	2 of 3 - Short sale closed- call <sup>25</sup> Option written: 07/17/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 07/24/2020 CALL \$38.00 / CUSIP: / Symbol: (cont'd)							
	1.000	-13.01	07/17/20	0.00	...	-13.01	3 of 3 - Short sale closed- call <sup>25</sup> Option written: 07/17/20
07/20/20	4.000	-65.05	Various	0.00	...	-65.05	Total of 3 transactions
07/20/20	1.000	-13.02	07/17/20	0.00	13.02 W	0.00	Short sale closed- call <sup>25</sup> Option written: 07/17/20
	<b>Security total:</b>	<b>-78.07</b>		<b>0.00</b>	<b>13.02 W</b>	<b>-65.05</b>	
TWTR 07/31/2020 CALL \$38.00 / CUSIP: / Symbol:							
07/24/20	3.000	260.98	07/24/20	264.00	...	-3.02	Option sale to close-call <sup>25</sup>
TWTR 07/31/2020 CALL \$39.50 / CUSIP: / Symbol:							
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	150.99	07/23/20	143.00	...	7.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	150.99	07/23/20	143.00	...	7.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	150.99	07/23/20	143.00	...	7.99	3 of 3 - Option sale to close-call <sup>25</sup>
07/23/20	3.000	452.97	Various	429.00	...	23.97	Total of 3 transactions
TWTR 07/31/2020 CALL \$40.50 / CUSIP: / Symbol:							
07/23/20	5.000	609.97	07/23/20	630.00	...	-20.03	Option sale to close-call <sup>25</sup>
TWTR 07/31/2020 PUT \$37.50 / CUSIP: / Symbol:							
07/24/20	3.000	293.98	07/24/20	306.00	...	-12.02	Option sale to close-put <sup>25</sup>
TWTR 08/07/2020 CALL \$36.50 / CUSIP: / Symbol:							
3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	2.000	249.98	07/30/20	246.00	...	3.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	237.99	07/30/20	216.00	...	21.99	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	356.98	07/30/20	324.00	...	32.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/30/20	7.000	844.95	Various	786.00	...	58.95	Total of 3 transactions
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	2.000	161.98	07/30/20	240.00	...	-78.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	80.98	07/30/20	120.00	...	-39.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/31/20	3.000	242.96	Various	360.00	...	-117.04	Total of 2 transactions
	<b>Security total:</b>	<b>1,087.91</b>		<b>1,146.00</b>	...	<b>-58.09</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 08/14/2020 CALL \$37.00 / CUSIP: / Symbol:							
6 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	138.00	08/06/20	138.00	...	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	137.99	08/06/20	136.00	...	1.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	138.00	08/06/20	136.00	...	2.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	137.99	08/06/20	125.02	...	12.97	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	275.99	08/06/20	250.00	...	25.99	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	273.98	08/06/20	234.00	...	39.98	6 of 6 - Option sale to close-call <sup>25</sup>
08/06/20	8.000	1,101.95	Various	1,019.02	...	82.93	Total of 6 transactions
08/06/20	1.000	137.98	08/06/20	138.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	133.99	08/06/20	123.00	...	10.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	133.99	08/06/20	123.00	...	10.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/07/20	2.000	267.98	Various	246.00	...	21.98	Total of 2 transactions
	<b>Security total:</b>	<b>1,507.91</b>		<b>1,403.02</b>	<b>0.02 W</b>	<b>104.91</b>	
TWTR 08/21/2020 CALL \$38.00 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	77.99	08/14/20	78.02	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	233.99	08/14/20	234.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/14/20	4.000	311.98	Various	312.02	...	-0.04	Total of 2 transactions
08/14/20	1.000	77.98	08/14/20	78.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>389.96</b>		<b>390.02</b>	<b>0.02 W</b>	<b>-0.04</b>	
TWTR 09/11/2020 CALL \$41.50 / CUSIP: / Symbol:							
09/02/20	1.000	155.98	09/02/20	120.00	...	35.98	Option sale to close-call <sup>25</sup>
TWTR 10/09/2020 CALL \$47.50 / CUSIP: / Symbol:							
10/09/20	3.000	0.00	10/06/20	117.00	...	-117.00	Option expiration- long call
TWTR 10/09/2020 CALL \$48.00 / CUSIP: / Symbol:							
10/09/20	3.000	80.98	10/09/20	0.00	...	80.98	Short sale closed- call expired Option written: 10/06/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 10/30/2020 CALL \$51.50 / CUSIP: / Symbol:							
2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	1.000	288.98	10/27/20	287.00	...	1.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	291.98	10/27/20	278.00	...	13.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/27/20	2.000	580.96	Various	565.00	...	15.96	Total of 2 transactions
10/28/20	1.000	209.98	10/27/20	295.00	...	-85.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>790.94</b>		<b>860.00</b>	...	<b>-69.06</b>	
TWTR 11/13/2020 PUT \$45.00 / CUSIP: / Symbol:							
11/13/20	1.000	172.98	11/11/20	173.00	...	-0.02	Option sale to close-put <sup>25</sup>
TWTR 11/20/2020 CALL \$43.50 / CUSIP: / Symbol:							
11/17/20	1.000	32.98	11/16/20	0.00	...	32.98	Short sale closed- call <sup>25</sup> Option written: 11/13/20
TWTR 11/20/2020 PUT \$46.50 / CUSIP: / Symbol:							
11/16/20	1.000	384.98	11/13/20	340.00	...	44.98	Option sale to close-put <sup>25</sup>
TWTR 12/04/2020 CALL \$44.00 / CUSIP: / Symbol:							
11/25/20	1.000	223.98	11/20/20	196.00	...	27.98	Option sale to close-call <sup>25</sup>
TWTR 12/04/2020 CALL \$46.00 / CUSIP: / Symbol:							
11/27/20	1.000	3.98	11/25/20	0.00	...	3.98	Short sale closed- call <sup>25</sup> Option written: 11/20/20
UBER 01/15/2021 CALL \$55.00 / CUSIP: / Symbol:							
12/04/20	1.000	329.98	12/02/20	257.00	...	72.98	Option sale to close-call <sup>25</sup>
UBER 01/15/2021 PUT \$50.00 / CUSIP: / Symbol:							
12/04/20	1.000	174.98	12/02/20	229.00	...	-54.02	Option sale to close-put <sup>25</sup>
UBER 06/12/2020 CALL \$33.50 / CUSIP: / Symbol:							
06/12/20	2.000	0.00	06/11/20	46.00	...	-46.00	Option expiration- long call
UNFI 07/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
07/17/20	3.000	0.00	06/08/20	525.00	...	-525.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNFI 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	06/08/20	85.00	...	-85.00	Option expiration- long call
UNP 07/17/2020 CALL \$172.50 / CUSIP: / Symbol:							
07/14/20	1.000	197.98	07/14/20	202.00	...	-4.02	Option sale to close-call <sup>25</sup>
UPS 07/24/2020 CALL \$121.00 / CUSIP: / Symbol:							
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	104.98	07/17/20	182.00	...	-77.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	134.98	07/17/20	182.00	...	-47.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	134.98	07/17/20	182.00	...	-47.02	3 of 3 - Option sale to close-call <sup>25</sup>
07/17/20	3.000	374.94	Various	546.00	...	-171.06	Total of 3 transactions
UPS 07/24/2020 PUT \$119.00 / CUSIP: / Symbol:							
07/17/20	1.000	157.98	07/17/20	178.00	...	-20.02	Option sale to close-put <sup>25</sup>
UPS 07/31/2020 CALL \$140.00 / CUSIP: / Symbol:							
3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	234.98	07/30/20	238.00	...	-3.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	242.98	07/30/20	192.00	...	50.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	242.98	07/30/20	190.00	...	52.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/30/20	3.000	720.94	Various	620.00	...	100.94	Total of 3 transactions
UPS 08/07/2020 CALL \$145.00 / CUSIP: / Symbol:							
3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	319.98	07/30/20	335.02	...	-15.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	161.99	07/30/20	161.00	...	0.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	161.99	07/30/20	159.00	...	2.99	3 of 3 - Option sale to close-call <sup>25</sup>
07/30/20	3.000	643.96	Various	655.02	...	-11.06	Total of 3 transactions
07/30/20	1.000	164.98	07/30/20	165.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>808.94</b>		<b>820.02</b>	<b>0.02 W</b>	<b>-11.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UPS 08/21/2020 CALL \$162.50 / CUSIP: / Symbol:							
3 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	213.99	08/14/20	240.00	...	-26.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	213.99	08/14/20	230.00	...	-16.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	224.98	08/14/20	194.00	...	30.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/14/20	3.000	652.96	Various	664.00	...	-11.04	Total of 3 transactions
UPS 09/04/2020 CALL \$162.50 / CUSIP: / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	2.000	387.98	08/28/20	420.00	...	-32.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	253.98	08/28/20	210.00	...	43.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/28/20	3.000	641.96	Various	630.00	...	11.96	Total of 2 transactions
UPS 09/04/2020 CALL \$165.00 / CUSIP: / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	186.98	08/31/20	199.02	...	-12.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	186.98	08/31/20	158.00	...	28.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/31/20	2.000	373.96	Various	357.02	...	16.94	Total of 2 transactions
08/31/20	1.000	164.98	08/31/20	206.00	41.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>538.94</b>		<b>563.02</b>	<b>41.02 W</b>	<b>16.94</b>	
UPS 09/11/2020 CALL \$160.00 / CUSIP: / Symbol:							
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	324.98	09/04/20	315.00	...	9.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	289.98	09/04/20	277.00	...	12.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	324.99	09/04/20	298.00	...	26.99	3 of 3 - Option sale to close-call <sup>25</sup>
09/04/20	3.000	939.95	Various	890.00	...	49.95	Total of 3 transactions
UPS 09/11/2020 CALL \$162.50 / CUSIP: / Symbol:							
08/28/20	2.000	559.97	08/28/20	480.00	...	79.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UPS 09/11/2020 CALL \$165.00 / CUSIP: / Symbol:							
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	255.98	09/02/20	271.00	...	-15.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	262.98	09/02/20	236.00	...	26.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	262.99	09/02/20	236.00	...	26.99	3 of 3 - Option sale to close-call <sup>25</sup>
09/02/20	3.000	781.95	Various	743.00	...	38.95	Total of 3 transactions
UPS 09/18/2020 CALL \$160.00 / CUSIP: / Symbol:							
09/04/20	1.000	364.98	09/04/20	400.00	35.02 W	0.00	Option sale to close-call <sup>25</sup>
09/10/20	1.000	237.98	09/10/20	330.02	...	-92.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>602.96</b>		<b>730.02</b>	<b>35.02 W</b>	<b>-92.04</b>	
UPS 10/02/2020 CALL \$172.50 / CUSIP: / Symbol:							
09/28/20	1.000	240.98	09/23/20	115.00	...	125.98	Option sale to close-call <sup>25</sup>
UPS 10/30/2020 CALL \$192.50 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/27/20	141.00	...	-141.00	Option expiration- long call
UPS 11/20/2020 CALL \$185.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	09/29/20	436.00	...	-436.00	Option expiration- long call
UPS 11/20/2020 CALL \$190.00 / CUSIP: / Symbol:							
11/20/20	1.000	330.98	11/20/20	0.00	...	330.98	Short sale closed- call expired Option written: 09/29/20
USFD 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
4 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	2.000	39.99	06/08/20	300.00	...	-260.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	20.00	06/08/20	150.00	...	-130.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	20.00	06/08/20	150.00	...	-130.00	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	99.98	06/09/20	500.00	...	-400.02	4 of 4 - Option sale to close-call <sup>25</sup>
06/23/20	9.000	179.97	Various	1,100.00	...	-920.03	Total of 4 transactions
3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	5.000	99.99	06/08/20	775.00	270.00 W	-405.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	20.00	06/08/20	152.00	132.00 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
USFD 07/17/2020 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	1.000	20.00	06/08/20	152.00	132.00 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/23/20	7.000	139.99	Various	1,079.00	534.00 W	-405.01	Total of 3 transactions
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/10/20	420.00	...	-420.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/10/20	207.00	...	-207.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/10/20	207.00	...	-207.00	3 of 3 - Option expiration- long call
07/17/20	4.000	0.00	Various	834.00	...	-834.00	Total of 3 transactions
	<b>Security total:</b>	<b>319.96</b>		<b>3,013.00</b>	<b>534.00 W</b>	<b>-2,159.04</b>	
USFD 08/21/2020 CALL \$40.00 / CUSIP: / Symbol:							
06/12/20	1.000	29.98	06/08/20	74.00	...	-44.02	Option sale to close-call <sup>25</sup>
USO1 05/08/2020 CALL \$6.50 / CUSIP: / Symbol:							
05/08/20	4.000	0.00	04/03/20	260.00	...	-260.00	Option expiration- long call
V 06/19/2020 CALL \$210.00 / CUSIP: / Symbol:							
7 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	5.000	109.99	06/10/20	485.00	...	-375.01	1 of 7 - Option sale to close-call <sup>25</sup>
	5.000	109.99	06/10/20	355.00	...	-245.01	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	43.99	06/10/20	192.00	...	-148.01	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	43.99	06/10/20	172.00	...	-128.01	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	22.00	06/10/20	96.00	...	-74.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	22.00	06/10/20	96.00	...	-74.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	22.00	06/10/20	96.00	...	-74.00	7 of 7 - Option sale to close-call <sup>25</sup>
06/12/20	17.000	373.96	Various	1,492.00	...	-1,118.04	Total of 7 transactions
V 06/19/2020 PUT \$182.50 / CUSIP: / Symbol:							
06/11/20	1.000	194.98	06/11/20	152.00	...	42.98	Option sale to close-put <sup>25</sup>
V 06/19/2020 PUT \$185.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	251.98	06/11/20	285.00	...	-33.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	251.98	06/11/20	285.00	...	-33.02	2 of 2 - Option sale to close-put <sup>25</sup>
06/12/20	2.000	503.96	Various	570.00	...	-66.04	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
V 07/31/2020 CALL \$197.50 / CUSIP: / Symbol:							
07/24/20	1.000	264.98	07/24/20	275.00	...	-10.02	Option sale to close-call <sup>25</sup>
V 08/14/2020 CALL \$200.00 / CUSIP: / Symbol:							
5 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	239.99	08/11/20	331.02	...	-91.03	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	239.98	08/11/20	262.00	...	-22.02	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	208.99	08/11/20	184.00	...	24.99	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	417.98	08/11/20	368.00	...	49.98	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	549.97	08/11/20	436.00	...	113.97	5 of 5 - Option sale to close-call <sup>25</sup>
08/11/20	7.000	1,656.91	Various	1,581.02	...	75.89	Total of 5 transactions
08/11/20	1.000	245.98	08/11/20	315.00	69.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,902.89</b>		<b>1,896.02</b>	<b>69.02 W</b>	<b>75.89</b>	
V 08/21/2020 CALL \$200.00 / CUSIP: / Symbol:							
08/17/20	2.000	413.98	08/17/20	404.00	...	9.98	Option sale to close-call <sup>25</sup>
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	2.000	293.98	08/18/20	278.00	...	15.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	160.98	08/19/20	157.00	...	3.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/19/20	3.000	454.96	Various	435.00	...	19.96	Total of 2 transactions
	<b>Security total:</b>	<b>868.94</b>		<b>839.00</b>	...	<b>29.94</b>	
V 09/04/2020 CALL \$205.00 / CUSIP: / Symbol:							
08/24/20	1.000	354.98	08/20/20	355.00	...	-0.02	Option sale to close-call <sup>25</sup>
V 09/04/2020 CALL \$207.50 / CUSIP: / Symbol:							
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	239.98	08/24/20	221.00	...	18.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	239.98	08/24/20	221.00	...	18.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	2.000	479.96	Various	442.00	...	37.96	Total of 2 transactions
V 09/04/2020 CALL \$215.00 / CUSIP: / Symbol:							
3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	2.000	589.97	08/28/20	580.00	...	9.97	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	595.97	08/28/20	570.00	...	25.97	2 of 3 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
V 09/04/2020 CALL \$215.00 / CUSIP: / Symbol: (cont'd)							
	1.000	255.98	08/28/20	230.00	...	25.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/28/20	5.000	1,441.92	Various	1,380.00	...	61.92	Total of 3 transactions
08/31/20	2.000	247.98	08/31/20	280.00	...	-32.02	Option sale to close-call <sup>25</sup>
09/02/20	1.000	235.98	08/31/20	140.00	...	95.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,925.88</b>		<b>1,800.00</b>	...	<b>125.88</b>	
V 09/04/2020 CALL \$217.50 / CUSIP: / Symbol:							
09/02/20	1.000	157.98	09/02/20	127.00	...	30.98	Option sale to close-call <sup>25</sup>
V 09/11/2020 CALL \$202.50 / CUSIP: / Symbol:							
09/08/20	1.000	256.98	09/08/20	290.00	...	-33.02	Option sale to close-call <sup>25</sup>
V 09/11/2020 CALL \$215.00 / CUSIP: / Symbol:							
09/02/20	1.000	324.98	09/02/20	312.02	...	12.96	Option sale to close-call <sup>25</sup>
09/02/20	1.000	324.98	09/02/20	340.00	15.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>649.96</b>		<b>652.02</b>	<b>15.02 W</b>	<b>12.96</b>	
V 09/11/2020 CALL \$217.50 / CUSIP: / Symbol:							
09/02/20	2.000	487.97	09/02/20	506.00	...	-18.03	Option sale to close-call <sup>25</sup>
V 09/18/2020 CALL \$207.50 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/10/20	287.00	...	-287.00	Option expiration- long call
VLO 10/30/2020 PUT \$40.00 / CUSIP: / Symbol:							
10/22/20	1.000	155.98	10/22/20	168.00	...	-12.02	Option sale to close-put <sup>25</sup>
VLO 11/06/2020 PUT \$39.00 / CUSIP: / Symbol:							
10/27/20	1.000	172.98	10/27/20	177.00	...	-4.02	Option sale to close-put <sup>25</sup>
VLO 11/20/2020 CALL \$54.00 / CUSIP: / Symbol:							
11/18/20	1.000	108.98	11/17/20	120.00	...	-11.02	Option sale to close-call <sup>25</sup>
VLO 11/20/2020 PUT \$39.00 / CUSIP: / Symbol:							
10/27/20	1.000	278.98	10/22/20	182.00	...	96.98	Option sale to close-put <sup>25</sup>
VXX 03/20/2020 CALL \$38.00 / CUSIP: / Symbol:							
03/11/20	1.000	389.98	03/06/20	198.00	...	191.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 03/27/2020 CALL \$30.00 / CUSIP: / Symbol:							
03/06/20	2.000	859.97	03/05/20	250.00	...	609.97	Option sale to close-call <sup>25</sup>
VXX 03/27/2020 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	1.000	814.98	03/13/20	465.00	...	349.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1,629.96	03/13/20	860.00	...	769.96	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	2,444.94	03/13/20	1,395.00	...	1,049.94	3 of 3 - Option sale to close-call <sup>25</sup>
03/16/20	6.000	4,889.88	Various	2,720.00	...	2,169.88	Total of 3 transactions
VXX 03/27/2020 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	2.000	1,219.97	03/16/20	740.00	...	479.97	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,219.96	03/16/20	680.00	...	539.96	2 of 2 - Option sale to close-call <sup>25</sup>
03/18/20	4.000	2,439.93	Various	1,420.00	...	1,019.93	Total of 2 transactions
VXX 04/03/2020 CALL \$80.00 / CUSIP: / Symbol:							
03/18/20	5.000	2,924.92	03/16/20	1,725.00	...	1,199.92	Option sale to close-call <sup>25</sup>
VXX 04/09/2020 CALL \$51.00 / CUSIP: / Symbol:							
2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	1.000	1,809.95	03/13/20	455.00	...	1,354.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,809.95	03/13/20	450.00	...	1,359.95	2 of 2 - Option sale to close-call <sup>25</sup>
03/18/20	2.000	3,619.90	Various	905.00	...	2,714.90	Total of 2 transactions
VXX 04/09/2020 CALL \$53.00 / CUSIP: / Symbol:							
03/16/20	2.000	1,969.94	03/13/20	790.00	...	1,179.94	Option sale to close-call <sup>25</sup>
VXX 04/09/2020 CALL \$85.00 / CUSIP: / Symbol:							
03/20/20	4.000	1,839.94	03/18/20	2,200.00	360.06 W	0.00	Option sale to close-call <sup>25</sup>
03/25/20	2.000	399.98	03/18/20	1,260.03	860.05 W	0.00	Option sale to close-call <sup>25</sup>
4 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	1.000	175.98	03/18/20	715.02	...	-539.04	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	175.98	03/18/20	715.01	...	-539.03	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	175.98	03/19/20	1,530.03	...	-1,354.05	3 of 4 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 04/09/2020 CALL \$85.00 / CUSIP: / Symbol: (cont'd)							
	1.000	175.98	03/19/20	1,345.02	...	-1,169.04	4 of 4 - Option sale to close-call <sup>25</sup>
03/27/20	4.000	703.92	Various	4,305.08	...	-3,601.16	Total of 4 transactions
	<b>Security total:</b>	<b>2,943.84</b>		<b>7,765.11</b>	<b>1,220.11 W</b>	<b>-3,601.16</b>	
VXX 04/17/2020 CALL \$63.00 / CUSIP: / Symbol:							
03/24/20	3.000	626.97	03/23/20	2,205.00	...	-1,578.03	Option sale to close-call <sup>25</sup>
VXX 04/17/2020 CALL \$95.00 / CUSIP: / Symbol:							
4 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	1.000	286.99	03/20/20	465.00	...	-178.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	286.99	03/20/20	465.00	...	-178.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	286.99	03/23/20	270.00	...	16.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	286.99	03/23/20	270.00	...	16.99	4 of 4 - Option sale to close-call <sup>25</sup>
03/23/20	4.000	1,147.96	Various	1,470.00	...	-322.04	Total of 4 transactions
VXX 04/17/2020 PUT \$49.00 / CUSIP: / Symbol:							
03/23/20	1.000	649.97	03/20/20	700.00	...	-50.03	Option sale to close-put <sup>25</sup>
VXX 04/17/2020 PUT \$50.00 / CUSIP: / Symbol:							
03/20/20	1.000	644.97	03/20/20	750.00	...	-105.03	Option sale to close-put <sup>25</sup>
VXX 06/19/2020 CALL \$35.50 / CUSIP: / Symbol:							
22 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	10.000	2,899.92	06/11/20	3,050.00	...	-150.08	1 of 22 - Option sale to close-call <sup>25</sup>
	5.000	1,449.96	06/11/20	1,525.00	...	-75.04	2 of 22 - Option sale to close-call <sup>25</sup>
	1.000	289.99	06/11/20	305.00	...	-15.01	3 of 22 - Option sale to close-call <sup>25</sup>
	1.000	296.98	06/11/20	253.00	...	43.98	4 of 22 - Option sale to close-call <sup>25</sup>
	1.000	296.99	06/11/20	253.00	...	43.99	5 of 22 - Option sale to close-call <sup>25</sup>
	1.000	296.99	06/11/20	253.00	...	43.99	6 of 22 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 06/19/2020 CALL \$35.50 / CUSIP: / Symbol: (cont'd)							
	1.000	296.99	06/11/20	253.00	...	43.99	7 of 22 - Option sale to close-call <sub>25</sub>
	1.000	296.99	06/11/20	253.00	...	43.99	8 of 22 - Option sale to close-call <sub>25</sub>
	1.000	296.98	06/11/20	242.00	...	54.98	9 of 22 - Option sale to close-call <sub>25</sub>
	1.000	296.98	06/11/20	242.00	...	54.98	10 of 22 - Option sale to close-call <sub>25</sub>
	1.000	296.98	06/11/20	242.00	...	54.98	11 of 22 - Option sale to close-call <sub>25</sub>
	1.000	296.98	06/11/20	242.00	...	54.98	12 of 22 - Option sale to close-call <sub>25</sub>
	1.000	296.98	06/11/20	242.00	...	54.98	13 of 22 - Option sale to close-call <sub>25</sub>
	1.000	296.99	06/11/20	242.00	...	54.99	14 of 22 - Option sale to close-call <sub>25</sub>
	1.000	296.99	06/11/20	242.00	...	54.99	15 of 22 - Option sale to close-call <sub>25</sub>
	1.000	296.99	06/11/20	242.00	...	54.99	16 of 22 - Option sale to close-call <sub>25</sub>
	1.000	296.99	06/11/20	242.00	...	54.99	17 of 22 - Option sale to close-call <sub>25</sub>
	1.000	296.99	06/11/20	242.00	...	54.99	18 of 22 - Option sale to close-call <sub>25</sub>
	1.000	379.98	06/11/20	320.01	...	59.97	19 of 22 - Option sale to close-call <sub>25</sub>
	1.000	379.98	06/11/20	320.01	...	59.97	20 of 22 - Option sale to close-call <sub>25</sub>
	2.000	579.98	06/11/20	480.00	...	99.98	21 of 22 - Option sale to close-call <sub>25</sub>
	5.000	1,484.96	06/11/20	1,265.00	...	219.96	22 of 22 - Option sale to close-call <sub>25</sub>
06/11/20	40.000	11,919.56	Various	10,950.02	...	969.54	Total of 22 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 06/19/2020 CALL \$35.50 / CUSIP: / Symbol: (cont'd)							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	289.99	06/11/20	305.00	15.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	289.99	06/11/20	305.00	15.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/11/20	2.000	579.98	Various	610.00	30.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>12,499.54</b>		<b>11,560.02</b>	<b>30.02 W</b>	<b>969.54</b>	
VXX 06/19/2020 CALL \$36.50 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	319.98	06/11/20	295.00	...	24.98	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	2,879.91	06/11/20	2,655.00	...	224.91	2 of 2 - Option sale to close-call <sup>25</sup>
06/11/20	10.000	3,199.89	Various	2,950.00	...	249.89	Total of 2 transactions
VXX 06/19/2020 CALL \$38.00 / CUSIP: / Symbol:							
19 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	364.99	06/11/20	426.04	...	-61.05	1 of 19 - Option sale to close-call <sup>25</sup>
	1.000	459.99	06/11/20	471.06	...	-11.07	2 of 19 - Option sale to close-call <sup>25</sup>
	1.000	364.99	06/11/20	375.01	...	-10.02	3 of 19 - Option sale to close-call <sup>25</sup>
	1.000	459.97	06/11/20	451.04	...	8.93	4 of 19 - Option sale to close-call <sup>25</sup>
	1.000	459.99	06/11/20	451.04	...	8.95	5 of 19 - Option sale to close-call <sup>25</sup>
	1.000	459.99	06/11/20	451.03	...	8.96	6 of 19 - Option sale to close-call <sup>25</sup>
	1.000	459.99	06/11/20	450.02	...	9.97	7 of 19 - Option sale to close-call <sup>25</sup>
	1.000	459.98	06/11/20	436.02	...	23.96	8 of 19 - Option sale to close-call <sup>25</sup>
	1.000	364.98	06/11/20	326.01	...	38.97	9 of 19 - Option sale to close-call <sup>25</sup>
	1.000	364.99	06/11/20	326.01	...	38.98	10 of 19 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 06/19/2020 CALL \$38.00 / CUSIP: / Symbol: (cont'd)							
	1.000	459.99	06/11/20	420.01	...	39.98	11 of 19 - Option sale to close-call <sub>25</sub>
	1.000	459.99	06/11/20	420.01	...	39.98	12 of 19 - Option sale to close-call <sub>25</sub>
	1.000	459.99	06/11/20	415.02	...	44.97	13 of 19 - Option sale to close-call <sub>25</sub>
	1.000	459.98	06/11/20	381.02	...	78.96	14 of 19 - Option sale to close-call <sub>25</sub>
	1.000	459.99	06/11/20	381.02	...	78.97	15 of 19 - Option sale to close-call <sub>25</sub>
	1.000	459.99	06/11/20	365.03	...	94.96	16 of 19 - Option sale to close-call <sub>25</sub>
	1.000	459.98	06/11/20	365.02	...	94.96	17 of 19 - Option sale to close-call <sub>25</sub>
	3.000	1,094.97	06/11/20	993.01	...	101.96	18 of 19 - Option sale to close-call <sub>25</sub>
	2.000	919.97	06/11/20	762.03	...	157.94	19 of 19 - Option sale to close-call <sub>25</sub>
06/11/20	22.000	9,454.71	Various	8,665.45	...	789.26	Total of 19 transactions
	30 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	3.000	1,094.96	06/11/20	1,125.01	10.02 W	-20.03	1 of 30 - Option sale to close-call <sub>25</sub>
	1.000	224.98	06/11/20	226.00	1.02 W	0.00	2 of 30 - Option sale to close-call <sub>25</sub>
	1.000	224.98	06/11/20	226.00	1.02 W	0.00	3 of 30 - Option sale to close-call <sub>25</sub>
	1.000	224.98	06/11/20	226.00	1.02 W	0.00	4 of 30 - Option sale to close-call <sub>25</sub>
	1.000	224.98	06/11/20	226.00	1.02 W	0.00	5 of 30 - Option sale to close-call <sub>25</sub>
	1.000	224.98	06/11/20	276.02	51.04 W	0.00	6 of 30 - Option sale to close-call <sub>25</sub>
	1.000	224.99	06/11/20	276.02	51.03 W	0.00	7 of 30 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 06/19/2020 CALL \$38.00 / CUSIP: / Symbol: (cont'd)							
	1.000	224.99	06/11/20	276.02	51.03 W	0.00	8 of 30 - Option sale to close-call 25
	1.000	224.99	06/11/20	276.02	51.03 W	0.00	9 of 30 - Option sale to close-call 25
	1.000	224.99	06/11/20	276.02	51.03 W	0.00	10 of 30 - Option sale to close-call 25
	3.000	674.98	06/11/20	825.00	150.02 W	0.00	11 of 30 - Option sale to close-call 25
	1.000	224.99	06/11/20	326.04	101.05 W	0.00	12 of 30 - Option sale to close-call 25
	1.000	224.99	06/11/20	275.00	50.01 W	0.00	13 of 30 - Option sale to close-call 25
	5.000	1,124.97	06/11/20	1,375.00	250.03 W	0.00	14 of 30 - Option sale to close-call 25
	8.000	1,799.95	06/11/20	2,328.00	528.05 W	0.00	15 of 30 - Option sale to close-call 25
	2.000	449.99	06/11/20	582.00	132.01 W	0.00	16 of 30 - Option sale to close-call 25
	1.000	224.99	06/11/20	326.03	101.04 W	0.00	17 of 30 - Option sale to close-call 25
	1.000	224.99	06/11/20	326.03	101.04 W	0.00	18 of 30 - Option sale to close-call 25
	1.000	224.99	06/11/20	326.03	101.04 W	0.00	19 of 30 - Option sale to close-call 25
	1.000	225.00	06/11/20	326.03	101.03 W	0.00	20 of 30 - Option sale to close-call 25
	1.000	224.99	06/11/20	325.01	100.02 W	0.00	21 of 30 - Option sale to close-call 25
	2.000	449.98	06/11/20	580.01	130.03 W	0.00	22 of 30 - Option sale to close-call 25
	1.000	224.99	06/11/20	341.05	116.06 W	0.00	23 of 30 - Option sale to close-call 25
	1.000	225.00	06/11/20	290.01	65.01 W	0.00	24 of 30 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 06/19/2020 CALL \$38.00 / CUSIP: / Symbol: (cont'd)							
	1.000	224.99	06/11/20	306.01	81.02 W	0.00	25 of 30 - Option sale to close-call <sup>25</sup>
	1.000	364.98	06/11/20	375.01	10.03 W	0.00	26 of 30 - Option sale to close-call <sup>25</sup>
	1.000	224.98	06/11/20	226.00	1.02 W	0.00	27 of 30 - Option sale to close-call <sup>25</sup>
	2.000	729.98	06/11/20	782.01	52.03 W	0.00	28 of 30 - Option sale to close-call <sup>25</sup>
	1.000	364.99	06/11/20	391.01	26.02 W	0.00	29 of 30 - Option sale to close-call <sup>25</sup>
	1.000	364.98	06/11/20	391.00	26.02 W	0.00	30 of 30 - Option sale to close-call <sup>25</sup>
06/11/20	48.000	11,919.52	Various	14,431.39	2,491.84 W	-20.03	Total of 30 transactions
	<b>Security total:</b>	<b>21,374.23</b>		<b>23,096.84</b>	<b>2,491.84 W</b>	<b>769.23</b>	
VXX 06/19/2020 CALL \$39.00 / CUSIP: / Symbol:							
4 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	289.98	06/11/20	640.02	...	-350.04	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	279.98	06/11/20	460.00	...	-180.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	284.98	06/11/20	460.00	...	-175.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	289.99	06/11/20	460.00	...	-170.01	4 of 4 - Option sale to close-call <sup>25</sup>
06/12/20	4.000	1,144.93	Various	2,020.02	...	-875.09	Total of 4 transactions
06/12/20	1.000	279.98	06/11/20	460.00	180.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,424.91</b>		<b>2,480.02</b>	<b>180.02 W</b>	<b>-875.09</b>	
VXX 06/19/2020 CALL \$47.00 / CUSIP: / Symbol:							
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	349.99	06/12/20	390.00	...	-40.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	349.99	06/12/20	390.00	...	-40.01	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	699.97	06/12/20	600.00	...	99.97	3 of 3 - Option sale to close-call <sup>25</sup>
06/12/20	4.000	1,399.95	Various	1,380.00	...	19.95	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
4 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	154.99	06/12/20	125.00	...	29.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	154.99	06/12/20	71.00	...	83.99	2 of 4 - Option sale to close-call <sup>25</sup>
	9.000	1,394.96	06/12/20	1,125.00	...	269.96	3 of 4 - Option sale to close-call <sup>25</sup>
	9.000	1,394.95	06/12/20	639.00	...	755.95	4 of 4 - Option sale to close-call <sup>25</sup>
06/12/20	20.000	3,099.89	Various	1,960.00	...	1,139.89	Total of 4 transactions
VXX 06/19/2020 CALL \$63.00 / CUSIP: / Symbol:							
14 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	59.98	06/11/20	50.00	...	9.98	1 of 14 - Option sale to close-call <sup>25</sup>
	1.000	59.98	06/11/20	50.00	...	9.98	2 of 14 - Option sale to close-call <sup>25</sup>
	1.000	59.98	06/11/20	50.00	...	9.98	3 of 14 - Option sale to close-call <sup>25</sup>
	1.000	59.99	06/11/20	50.00	...	9.99	4 of 14 - Option sale to close-call <sup>25</sup>
	1.000	59.99	06/11/20	50.00	...	9.99	5 of 14 - Option sale to close-call <sup>25</sup>
	1.000	60.00	06/11/20	50.00	...	10.00	6 of 14 - Option sale to close-call <sup>25</sup>
	1.000	60.00	06/11/20	50.00	...	10.00	7 of 14 - Option sale to close-call <sup>25</sup>
	1.000	60.00	06/11/20	50.00	...	10.00	8 of 14 - Option sale to close-call <sup>25</sup>
	2.000	119.99	06/11/20	100.00	...	19.99	9 of 14 - Option sale to close-call <sup>25</sup>
	2.000	119.99	06/11/20	100.00	...	19.99	10 of 14 - Option sale to close-call <sup>25</sup>
	2.000	119.99	06/11/20	100.00	...	19.99	11 of 14 - Option sale to close-call <sup>25</sup>
	6.000	359.98	06/11/20	300.00	...	59.98	12 of 14 - Option sale to close-call <sup>25</sup>
	5.000	299.98	06/12/20	270.00	...	29.98	13 of 14 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 06/19/2020 CALL \$63.00 / CUSIP: / Symbol: (cont'd)							
	5.000	299.98	06/12/20	270.00	...	29.98	14 of 14 - Option sale to close-call <sup>25</sup>
06/12/20	30.000	1,799.83	Various	1,540.00	...	259.83	Total of 14 transactions
VXX 06/19/2020 PUT \$38.50 / CUSIP: / Symbol:							
8 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	319.99	06/12/20	535.16	...	-215.17	1 of 8 - Option sale to close-put <sup>25</sup>
	1.000	319.99	06/12/20	440.06	...	-120.07	2 of 8 - Option sale to close-put <sup>25</sup>
	1.000	299.99	06/12/20	415.05	...	-115.06	3 of 8 - Option sale to close-put <sup>25</sup>
	1.000	299.99	06/12/20	410.05	...	-110.06	4 of 8 - Option sale to close-put <sup>25</sup>
	1.000	319.98	06/12/20	410.04	...	-90.06	5 of 8 - Option sale to close-put <sup>25</sup>
	1.000	299.99	06/12/20	340.02	...	-40.03	6 of 8 - Option sale to close-put <sup>25</sup>
	1.000	299.99	06/12/20	340.01	...	-40.02	7 of 8 - Option sale to close-put <sup>25</sup>
	1.000	319.98	06/12/20	345.03	...	-25.05	8 of 8 - Option sale to close-put <sup>25</sup>
06/12/20	8.000	2,479.90	Various	3,235.42	...	-755.52	Total of 8 transactions
25 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	289.98	06/12/20	305.00	15.02 W	0.00	1 of 25 - Option sale to close-put <sup>25</sup>
	1.000	289.98	06/12/20	320.02	30.04 W	0.00	2 of 25 - Option sale to close-put <sup>25</sup>
	1.000	289.98	06/12/20	345.04	55.06 W	0.00	3 of 25 - Option sale to close-put <sup>25</sup>
	1.000	289.98	06/12/20	365.06	75.08 W	0.00	4 of 25 - Option sale to close-put <sup>25</sup>
	1.000	289.98	06/12/20	385.08	95.10 W	0.00	5 of 25 - Option sale to close-put <sup>25</sup>
	1.000	279.98	06/12/20	420.10	140.12 W	0.00	6 of 25 - Option sale to close-put <sup>25</sup>
	1.000	279.98	06/12/20	325.00	45.02 W	0.00	7 of 25 - Option sale to close-put <sup>25</sup>
	1.000	279.98	06/12/20	325.00	45.02 W	0.00	8 of 25 - Option sale to close-put <sup>25</sup>
	1.000	279.98	06/12/20	325.00	45.02 W	0.00	9 of 25 - Option sale to close-put <sup>25</sup>
	1.000	279.98	06/12/20	325.00	45.02 W	0.00	10 of 25 - Option sale to close-put <sup>25</sup>
	1.000	279.99	06/12/20	365.02	85.03 W	0.00	11 of 25 - Option sale to close-put <sup>25</sup>
	1.000	279.99	06/12/20	365.02	85.03 W	0.00	12 of 25 - Option sale to close-put <sup>25</sup>
	1.000	279.99	06/12/20	365.02	85.03 W	0.00	13 of 25 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 06/19/2020 PUT \$38.50 / CUSIP: / Symbol: (cont'd)							
	1.000	279.99	06/12/20	365.02	85.03 W	0.00	14 of 25 - Option sale to close-put <sup>25</sup>
	1.000	279.99	06/12/20	460.12	180.13 W	0.00	15 of 25 - Option sale to close-put <sup>25</sup>
	1.000	289.98	06/12/20	500.13	210.15 W	0.00	16 of 25 - Option sale to close-put <sup>25</sup>
	1.000	289.98	06/12/20	405.03	115.05 W	0.00	17 of 25 - Option sale to close-put <sup>25</sup>
	1.000	289.98	06/12/20	320.00	30.02 W	0.00	18 of 25 - Option sale to close-put <sup>25</sup>
	2.000	579.97	06/12/20	640.00	60.03 W	0.00	19 of 25 - Option sale to close-put <sup>25</sup>
	1.000	299.98	06/12/20	405.03	105.05 W	0.00	20 of 25 - Option sale to close-put <sup>25</sup>
	1.000	299.98	06/12/20	405.03	105.05 W	0.00	21 of 25 - Option sale to close-put <sup>25</sup>
	1.000	299.99	06/12/20	405.03	105.04 W	0.00	22 of 25 - Option sale to close-put <sup>25</sup>
	1.000	299.99	06/12/20	530.15	230.16 W	0.00	23 of 25 - Option sale to close-put <sup>25</sup>
	1.000	299.99	06/12/20	435.05	135.06 W	0.00	24 of 25 - Option sale to close-put <sup>25</sup>
	1.000	299.99	06/12/20	340.02	40.03 W	0.00	25 of 25 - Option sale to close-put <sup>25</sup>
06/12/20	26.000	7,499.58	Various	9,745.97	2,246.39 W	0.00	Total of 25 transactions
	<b>Security total:</b>	<b>9,979.48</b>		<b>12,981.39</b>	<b>2,246.39 W</b>	<b>-755.52</b>	
VXX 06/26/2020 CALL \$43.00 / CUSIP: / Symbol:							
06/26/20	1.000	0.00	06/16/20	280.00	...	-280.00	Option expiration- long call
VXX 06/26/2020 PUT \$35.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	264.98	06/11/20	265.03	...	-0.05	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	264.99	06/11/20	265.02	...	-0.03	2 of 2 - Option sale to close-put <sup>25</sup>
06/11/20	2.000	529.97	Various	530.05	...	-0.08	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 06/26/2020 PUT \$35.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	264.99	06/11/20	265.00	0.01 W	0.00	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	264.98	06/11/20	265.00	0.02 W	0.00	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	264.98	06/11/20	265.01	0.03 W	0.00	3 of 3 - Option sale to close-put <sup>25</sup>
06/11/20	3.000	794.95	Various	795.01	0.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,324.92</b>		<b>1,325.06</b>	<b>0.06 W</b>	<b>-0.08</b>	
VXX 07/02/2020 CALL \$38.50 / CUSIP: / Symbol:							
06/24/20	1.000	268.98	06/24/20	270.00	...	-1.02	Option sale to close-call <sup>25</sup>
VXX 07/10/2020 CALL \$32.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	163.99	07/02/20	175.00	...	-11.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	163.99	07/02/20	175.00	...	-11.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/02/20	2.000	327.98	Various	350.00	...	-22.02	Total of 2 transactions
VXX 07/10/2020 CALL \$35.00 / CUSIP: / Symbol:							
9 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	4.000	183.99	07/09/20	368.01	...	-184.02	1 of 9 - Option sale to close-call <sup>25</sup>
	3.000	137.98	07/09/20	276.01	...	-138.03	2 of 9 - Option sale to close-call <sup>25</sup>
	2.000	91.99	07/09/20	184.01	...	-92.02	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	46.00	07/09/20	92.00	...	-46.00	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	84.98	07/09/20	83.00	...	1.98	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	84.98	07/09/20	83.00	...	1.98	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	84.98	07/09/20	83.00	...	1.98	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	84.98	07/09/20	83.00	...	1.98	8 of 9 - Option sale to close-call <sup>25</sup>
	4.000	339.98	07/09/20	332.00	...	7.98	9 of 9 - Option sale to close-call <sup>25</sup>
07/09/20	18.000	1,139.86	Various	1,584.03	...	-444.17	Total of 9 transactions
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	10.000	619.97	07/09/20	830.00	168.02 W	-42.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	123.99	07/09/20	166.00	42.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/09/20	12.000	743.96	Various	996.00	210.03 W	-42.01	Total of 2 transactions
	<b>Security total:</b>	<b>1,883.82</b>		<b>2,580.03</b>	<b>210.03 W</b>	<b>-486.18</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 07/17/2020 CALL \$33.50 / CUSIP: / Symbol:							
4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	134.98	07/13/20	134.00	...	0.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	134.98	07/13/20	134.00	...	0.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	134.98	07/13/20	134.00	...	0.98	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	269.98	07/13/20	268.00	...	1.98	4 of 4 - Option sale to close-call <sup>25</sup>
07/13/20	5.000	674.92	Various	670.00	...	4.92	Total of 4 transactions
VXX 08/14/2020 CALL \$24.00 / CUSIP: / Symbol:							
08/13/20	5.000	694.97	08/13/20	660.00	...	34.97	Option sale to close-call <sup>25</sup>
VXX 08/14/2020 CALL \$25.00 / CUSIP: / Symbol:							
6 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	87.99	08/13/20	95.06	...	-7.07	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	87.98	08/13/20	92.04	...	-4.06	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	87.98	08/13/20	83.04	...	4.94	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	87.98	08/13/20	80.00	...	7.98	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	175.99	08/13/20	160.00	...	15.99	5 of 6 - Option sale to close-call <sup>25</sup>
	4.000	351.98	08/13/20	320.00	...	31.98	6 of 6 - Option sale to close-call <sup>25</sup>
08/13/20	10.000	879.90	Various	830.14	...	49.76	Total of 6 transactions
7 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	60.98	08/13/20	73.00	12.02 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	60.98	08/13/20	73.00	12.02 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	42.98	08/13/20	73.00	30.02 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	42.98	08/13/20	85.02	42.04 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	72.98	08/13/20	85.02	12.04 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	72.98	08/13/20	76.02	3.04 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	72.98	08/13/20	88.04	15.06 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
08/13/20	7.000	426.86	Various	553.10	126.24 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>1,306.76</b>		<b>1,383.24</b>	<b>126.24 W</b>	<b>49.76</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 08/14/2020 CALL \$26.00 / CUSIP: / Symbol:							
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	8.000	263.97	08/13/20	376.00	...	-112.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	33.00	08/13/20	47.00	...	-14.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	33.00	08/13/20	47.00	...	-14.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/13/20	10.000	329.97	Various	470.00	...	-140.03	Total of 3 transactions
VXX 08/14/2020 CALL \$27.00 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	2.000	151.98	08/11/20	138.00	...	13.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	227.98	08/11/20	207.00	...	20.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/11/20	5.000	379.96	Various	345.00	...	34.96	Total of 2 transactions
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	4.000	207.98	08/11/20	300.00	...	-92.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	52.00	08/11/20	74.00	...	-22.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/12/20	5.000	259.98	Various	374.00	...	-114.02	Total of 2 transactions
	<b>Security total:</b>	<b>639.94</b>		<b>719.00</b>	...	<b>-79.06</b>	
VXX 08/21/2020 CALL \$24.50 / CUSIP: / Symbol:							
5 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	117.98	08/19/20	61.00	...	56.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	117.99	08/19/20	61.00	...	56.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	117.99	08/19/20	61.00	...	56.99	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	117.99	08/19/20	61.00	...	56.99	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	118.00	08/19/20	61.00	...	57.00	5 of 5 - Option sale to close-call <sup>25</sup>
08/20/20	5.000	589.95	Various	305.00	...	284.95	Total of 5 transactions
VXX 08/21/2020 CALL \$25.00 / CUSIP: / Symbol:							
08/14/20	1.000	132.99	08/14/20	131.00	...	1.99	Option sale to close-call <sup>25</sup>
08/14/20	2.000	265.99	08/14/20	266.00	0.01 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	5.000	239.98	08/18/20	360.00	...	-120.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	119.98	08/18/20	116.01	...	3.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/18/20	7.000	359.96	Various	476.01	...	-116.05	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 08/21/2020 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>758.94</b>		<b>873.01</b>	<b>0.01 W</b>	<b>-114.06</b>	
VXX 08/21/2020 PUT \$24.00 / CUSIP: / Symbol:							
08/21/20	1.000	1.98	08/19/20	90.02	...	-88.04	Option sale to close-put <sup>25</sup>
08/21/20	1.000	1.98	08/19/20	46.00	44.02 W	0.00	Option sale to close-put <sup>25</sup>
<b>Security total:</b>		<b>3.96</b>		<b>136.02</b>	<b>44.02 W</b>	<b>-88.04</b>	
VXX 08/21/2020 PUT \$26.00 / CUSIP: / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	168.98	08/13/20	158.00	...	10.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	169.98	08/13/20	158.00	...	11.98	2 of 2 - Option sale to close-put <sup>25</sup>
08/17/20	2.000	338.96	Various	316.00	...	22.96	Total of 2 transactions
VXX 08/28/2020 CALL \$26.00 / CUSIP: / Symbol:							
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	33.98	08/24/20	94.01	...	-60.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	34.00	08/24/20	34.00	...	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	2.000	67.98	Various	128.01	...	-60.03	Total of 2 transactions
08/24/20	3.000	101.98	08/20/20	282.00	180.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	2.000	155.99	08/24/20	188.01	...	-32.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	77.99	08/24/20	34.00	...	43.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/27/20	3.000	233.98	Various	222.01	...	11.97	Total of 2 transactions
<b>Security total:</b>		<b>403.94</b>		<b>632.02</b>	<b>180.02 W</b>	<b>-48.06</b>	
VXX 08/28/2020 CALL \$28.50 / CUSIP: / Symbol:							
08/27/20	2.000	111.98	08/27/20	50.00	...	61.98	Option sale to close-call <sup>25</sup>
VXX 09/04/2020 CALL \$26.50 / CUSIP: / Symbol:							
08/27/20	2.000	391.98	08/26/20	108.00	...	283.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 09/04/2020 CALL \$27.00 / CUSIP: / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	202.99	08/27/20	180.00	...	22.99	1 of 2 - Option sale to close-call <sup>25</sup>
08/27/20	1.000	202.99	08/27/20	180.00	...	22.99	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	405.98	Various	360.00	...	45.98	Total of 2 transactions
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	95.98	08/27/20	180.00	84.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
08/31/20	1.000	95.98	08/27/20	241.02	145.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	191.96	Various	421.02	229.06 W	0.00	Total of 2 transactions
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	205.99	08/31/20	222.04	...	-16.05	1 of 2 - Option sale to close-call <sup>25</sup>
09/03/20	2.000	411.98	08/31/20	188.00	...	223.98	2 of 2 - Option sale to close-call <sup>25</sup>
	3.000	617.97	Various	410.04	...	207.93	Total of 2 transactions
	<b>Security total:</b>	<b>1,215.91</b>		<b>1,191.06</b>	<b>229.06 W</b>	<b>253.91</b>	
VXX 09/04/2020 CALL \$28.50 / CUSIP: / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	104.99	09/02/20	56.00	...	48.99	1 of 2 - Option sale to close-call <sup>25</sup>
09/03/20	2.000	209.99	09/02/20	150.00	...	59.99	2 of 2 - Option sale to close-call <sup>25</sup>
	3.000	314.98	Various	206.00	...	108.98	Total of 2 transactions
VXX 09/04/2020 CALL \$31.00 / CUSIP: / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	2.000	353.99	09/03/20	230.00	...	123.99	1 of 2 - Option sale to close-call <sup>25</sup>
09/03/20	3.000	530.98	09/03/20	291.02	...	239.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/03/20	5.000	884.97	Various	521.02	...	363.95	Total of 2 transactions
	3.000	167.98	09/03/20	345.00	177.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,052.95</b>		<b>866.02</b>	<b>177.02 W</b>	<b>363.95</b>	
VXX 09/04/2020 PUT \$30.00 / CUSIP: / Symbol:							
09/04/20	2.000	187.98	09/03/20	60.00	...	127.98	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 09/11/2020 CALL \$38.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	09/04/20	284.00	...	-284.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/04/20	140.00	...	-140.00	2 of 2 - Option expiration- long call
09/11/20	3.000	0.00	Various	424.00	...	-424.00	Total of 2 transactions
VXX 09/11/2020 CALL \$39.00 / CUSIP: / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	101.98	09/03/20	110.00	8.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	101.98	09/03/20	110.00	8.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/03/20	2.000	203.96	Various	220.00	16.04 W	0.00	Total of 2 transactions
4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	172.99	09/03/20	118.02	...	54.97	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	172.99	09/03/20	118.02	...	54.97	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	175.98	09/03/20	110.00	...	65.98	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	345.99	09/03/20	220.00	...	125.99	4 of 4 - Option sale to close-call <sup>25</sup>
09/04/20	5.000	867.95	Various	566.04	...	301.91	Total of 4 transactions
	<b>Security total:</b>	<b>1,071.91</b>		<b>786.04</b>	<b>16.04 W</b>	<b>301.91</b>	
VXX 09/11/2020 PUT \$27.00 / CUSIP: / Symbol:							
09/11/20	1.000	91.98	09/10/20	65.00	...	26.98	Option sale to close-put <sup>25</sup>
VXX 09/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
09/14/20	2.000	237.98	09/14/20	228.00	...	9.98	Option sale to close-call <sup>25</sup>
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	102.98	09/15/20	95.00	...	7.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	102.98	09/15/20	95.00	...	7.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	205.96	Various	190.00	...	15.96	Total of 2 transactions
	<b>Security total:</b>	<b>443.94</b>		<b>418.00</b>	...	<b>25.94</b>	
VXX 09/18/2020 CALL \$25.50 / CUSIP: / Symbol:							
09/18/20	2.000	0.00	09/15/20	188.00	...	-188.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 09/18/2020 CALL \$28.00 / CUSIP: / Symbol:							
09/10/20	1.000	133.98	09/10/20	162.00	...	-28.02	Option sale to close-call <sup>25</sup>
09/18/20	2.000	0.00	09/10/20	324.00	...	-324.00	Option expiration- long call
	<b>Security total:</b>	<b>133.98</b>		<b>486.00</b>	...	<b>-352.02</b>	
VXX 09/25/2020 CALL \$25.50 / CUSIP: / Symbol:							
09/23/20	1.000	132.98	09/23/20	68.00	...	64.98	Option sale to close-call <sup>25</sup>
VXX 10/02/2020 CALL \$24.50 / CUSIP: / Symbol:							
09/30/20	2.000	115.98	09/30/20	90.00	...	25.98	Option sale to close-call <sup>25</sup>
VXX 10/02/2020 CALL \$25.50 / CUSIP: / Symbol:							
10/02/20	1.000	69.98	10/01/20	35.00	...	34.98	Option sale to close-call <sup>25</sup>
VXX 10/09/2020 CALL \$26.50 / CUSIP: / Symbol:							
	2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	1.99	10/02/20	103.00	...	-101.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1.99	10/02/20	87.00	...	-85.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/08/20	2.000	3.98	Various	190.00	...	-186.02	Total of 2 transactions
VXX 10/23/2020 CALL \$23.50 / CUSIP: / Symbol:							
10/22/20	1.000	16.98	10/21/20	47.00	...	-30.02	Option sale to close-call <sup>25</sup>
VXX 10/30/2020 CALL \$22.50 / CUSIP: / Symbol:							
10/26/20	1.000	154.98	10/22/20	113.00	...	41.98	Option sale to close-call <sup>25</sup>
VXX 10/30/2020 CALL \$24.00 / CUSIP: / Symbol:							
10/27/20	1.000	113.98	10/27/20	122.00	...	-8.02	Option sale to close-call <sup>25</sup>
VXX 10/30/2020 CALL \$24.50 / CUSIP: / Symbol:							
	2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.						
	1.000	65.98	10/27/20	98.00	...	-32.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	187.98	10/27/20	150.00	...	37.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/27/20	3.000	253.96	Various	248.00	...	5.96	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 10/30/2020 CALL \$26.00 / CUSIP: / Symbol:							
2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	1.000	63.98	10/29/20	98.00	...	-34.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	63.98	10/29/20	98.00	...	-34.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/29/20	2.000	127.96	Various	196.00	...	-68.04	Total of 2 transactions
VXX 11/06/2020 CALL \$26.00 / CUSIP: / Symbol:							
11/02/20	1.000	175.98	11/02/20	182.00	...	-6.02	Option sale to close-call <sup>25</sup>
VXX 11/27/2020 CALL \$20.00 / CUSIP: / Symbol:							
11/27/20	4.000	0.00	11/23/20	56.00	...	-56.00	Option expiration- long call
VXX 12/11/2020 PUT \$17.50 / CUSIP: / Symbol:							
12/11/20	2.000	3.98	12/09/20	152.00	...	-148.02	Option sale to close-put <sup>25</sup>
VZ 07/02/2020 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	4.000	-160.02	05/28/20	0.00	...	-160.02	1 of 2 - Short sale closed- call <sup>25</sup>
	1.000	-45.01	05/28/20	0.00	...	-45.01	Option written: 05/26/20
05/29/20	5.000	-205.03	Various	0.00	...	-205.03	2 of 2 - Short sale closed- call <sup>25</sup>
							Option written: 05/26/20
							Total of 2 transactions
VZ 07/02/2020 PUT \$54.00 / CUSIP: / Symbol:							
05/28/20	1.000	85.98	05/26/20	114.00	...	-28.02	Option sale to close-put <sup>25</sup>
VZ 07/17/2020 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	184.98	05/26/20	143.00	...	41.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	735.97	05/26/20	572.00	...	163.97	2 of 2 - Option sale to close-call <sup>25</sup>
05/28/20	5.000	920.95	Various	715.00	...	205.95	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VZ 07/31/2020 CALL \$56.00 / CUSIP: / Symbol:							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	104.99	07/23/20	80.00	...	24.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	104.99	07/23/20	79.00	...	25.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/27/20	2.000	209.98	Various	159.00	...	50.98	Total of 2 transactions
VZ 07/31/2020 PUT \$56.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/23/20	84.00	...	-84.00	1 of 2 - Option expiration- long put
	1.000	0.00	07/23/20	84.00	...	-84.00	2 of 2 - Option expiration- long put
07/31/20	2.000	0.00	Various	168.00	...	-168.00	Total of 2 transactions
VZ 09/18/2020 CALL \$55.00 / CUSIP: / Symbol:							
05/28/20	1.000	266.98	05/26/20	225.00	...	41.98	Option sale to close-call <sup>25</sup>
VZ 10/30/2020 CALL \$60.00 / CUSIP: / Symbol:							
10/13/20	3.000	260.98	10/13/20	258.00	...	2.98	Option sale to close-call <sup>25</sup>
W 12/04/2020 CALL \$265.00 / CUSIP: / Symbol:							
11/03/20	1.000	2,792.92	10/23/20	3,192.00	...	-399.08	Option sale to close-call <sup>25</sup>
W 12/04/2020 CALL \$267.50 / CUSIP: / Symbol:							
11/04/20	1.000	418.92	11/03/20	0.00	...	418.92	Short sale closed- call <sup>25</sup> Option written: 10/23/20
WBA 11/20/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/17/20	65.00	...	-65.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/17/20	60.00	...	-60.00	2 of 2 - Option expiration- long call
11/20/20	2.000	0.00	Various	125.00	...	-125.00	Total of 2 transactions
WBA 11/20/2020 CALL \$41.50 / CUSIP: / Symbol:							
11/13/20	1.000	104.98	11/13/20	61.00	...	43.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WDC 07/31/2020 CALL \$47.50 / CUSIP: / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	134.98	07/23/20	133.00	...	1.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	134.98	07/23/20	133.00	...	1.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/23/20	2.000	269.96	Various	266.00	...	3.96	Total of 2 transactions
WDC 08/07/2020 CALL \$44.00 / CUSIP: / Symbol:							
08/07/20	1.000	0.00	08/03/20	182.00	...	-182.00	Option expiration- long call
WDC 08/07/2020 PUT \$43.50 / CUSIP: / Symbol:							
08/06/20	1.000	484.97	08/03/20	167.00	...	317.97	Option sale to close-put <sup>25</sup>
WFC 07/31/2020 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	134.99	07/14/20	88.00	...	46.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	134.99	07/14/20	75.00	...	59.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/21/20	2.000	269.98	Various	163.00	...	106.98	Total of 2 transactions
WFC 07/31/2020 PUT \$23.00 / CUSIP: / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	7.99	07/14/20	73.00	...	-65.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	7.99	07/14/20	68.00	...	-60.01	2 of 2 - Option sale to close-put <sup>25</sup>
07/21/20	2.000	15.98	Various	141.00	...	-125.02	Total of 2 transactions
WKHS 08/07/2020 CALL \$17.00 / CUSIP: / Symbol:							
07/30/20	1.000	134.98	07/30/20	130.00	...	4.98	Option sale to close-call <sup>25</sup>
WKHS 12/11/2020 CALL \$21.50 / CUSIP: / Symbol:							
12/11/20	1.000	29.98	12/03/20	145.00	...	-115.02	Option sale to close-call <sup>25</sup>
WKHS 12/11/2020 CALL \$23.00 / CUSIP: / Symbol:							
12/14/20	1.000	161.98	12/11/20	0.00	...	161.98	Short sale closed- call <sup>25</sup> Option written: 12/03/20

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMC 07/17/2020 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	5.000	124.99	06/08/20	75.00	...	49.99	1 of 2 - Option sale to close-call <sup>25</sup>
	15.000	374.95	06/08/20	225.00	...	149.95	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/20	20.000	499.94	Various	300.00	...	199.94	Total of 2 transactions
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/08/20	75.00	...	-75.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/08/20	10.00	...	-10.00	2 of 2 - Option expiration- long call
07/17/20	6.000	0.00	Various	85.00	...	-85.00	Total of 2 transactions
	<b>Security total:</b>	<b>499.94</b>		<b>385.00</b>	...	<b>114.94</b>	
WMC 07/17/2020 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	64.98	06/15/20	0.00	...	64.98	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 06/09/20
	2.000	119.98	06/15/20	0.00	...	119.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 06/09/20
06/16/20	3.000	184.96	Various	0.00	...	184.96	Total of 2 transactions
WMC 10/16/2020 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	6.000	299.98	06/08/20	210.00	...	89.98	1 of 2 - Option sale to close-call <sup>25</sup>
	14.000	699.95	06/08/20	490.00	...	209.95	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/20	20.000	999.93	Various	700.00	...	299.93	Total of 2 transactions
WMC 10/16/2020 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	2.000	111.99	06/09/20	248.00	...	-136.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	55.99	06/09/20	129.00	...	-73.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/15/20	3.000	167.98	Various	377.00	...	-209.02	Total of 2 transactions
WMT 07/10/2020 CALL \$127.00 / CUSIP: / Symbol:							
07/08/20	10.000	2,069.93	07/08/20	1,600.00	...	469.93	Option sale to close-call <sup>25</sup>
07/09/20	10.000	1,319.95	07/09/20	1,130.00	...	189.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,389.88</b>		<b>2,730.00</b>	...	<b>659.88</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 07/17/2020 CALL \$129.00 / CUSIP: / Symbol:							
4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	246.99	07/10/20	236.00	...	10.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	246.99	07/10/20	236.00	...	10.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	247.00	07/10/20	236.00	...	11.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	493.98	07/10/20	472.00	...	21.98	4 of 4 - Option sale to close-call <sup>25</sup>
07/10/20	5.000	1,234.96	Various	1,180.00	...	54.96	Total of 4 transactions
WMT 07/17/2020 CALL \$132.00 / CUSIP: / Symbol:							
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	2.000	515.98	07/13/20	510.00	...	5.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	531.97	07/13/20	444.00	...	87.97	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	782.97	07/13/20	675.00	...	107.97	3 of 3 - Option sale to close-call <sup>25</sup>
07/13/20	7.000	1,830.92	Various	1,629.00	...	201.92	Total of 3 transactions
07/13/20	3.000	773.98	07/13/20	792.00	12.02 W	-6.00	Option sale to close-call <sup>25</sup>
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	151.98	07/14/20	166.01	...	-14.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	164.98	07/14/20	166.01	...	-1.03	2 of 2 - Option sale to close-call <sup>25</sup>
07/14/20	2.000	316.96	Various	332.02	...	-15.06	Total of 2 transactions
	<b>Security total:</b>	<b>2,921.86</b>		<b>2,753.02</b>	<b>12.02 W</b>	<b>180.86</b>	
WMT 07/17/2020 CALL \$133.00 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	4.000	575.98	07/13/20	780.00	...	-204.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	143.99	07/13/20	195.00	...	-51.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/13/20	5.000	719.97	Various	975.00	...	-255.03	Total of 2 transactions
WMT 07/24/2020 CALL \$131.00 / CUSIP: / Symbol:							
07/13/20	1.000	354.98	07/13/20	272.00	...	82.98	Option sale to close-call <sup>25</sup>
07/14/20	2.000	585.97	07/13/20	552.00	...	33.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>940.95</b>		<b>824.00</b>	...	<b>116.95</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WMT 07/24/2020 CALL \$134.00 / CUSIP: / Symbol:							
07/13/20	2.000	529.97	07/13/20	620.00	90.03 W	0.00	Option sale to close-call <sup>25</sup>
07/15/20	1.000	209.98	07/15/20	325.02	115.04 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	1.000	130.99	07/21/20	220.06	...	-89.07	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	130.99	07/21/20	150.03	...	-19.04	2 of 2 - Option sale to close-call <sup>25</sup>
07/21/20	2.000	261.98	Various	370.09	...	-108.11	Total of 2 transactions
	4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	1.000	122.99	07/21/20	140.01	17.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	122.99	07/21/20	210.04	87.05 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	94.99	07/21/20	136.02	41.03 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	94.99	07/21/20	206.05	111.06 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
07/21/20	4.000	435.96	Various	692.12	256.16 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>1,437.89</b>		<b>2,007.23</b>	<b>461.23 W</b>	<b>-108.11</b>	
WMT 07/31/2020 CALL \$132.00 / CUSIP: / Symbol:							
	3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	1.000	464.97	07/15/20	380.00	...	84.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	464.98	07/15/20	380.00	...	84.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	464.98	07/15/20	330.00	...	134.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/15/20	3.000	1,394.93	Various	1,090.00	...	304.93	Total of 3 transactions
07/24/20	1.000	134.98	07/24/20	144.00	9.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	2.000	271.99	07/27/20	292.00	...	-20.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	135.99	07/27/20	155.02	...	-19.03	2 of 2 - Option sale to close-call <sup>25</sup>
07/27/20	3.000	407.98	Various	447.02	...	-39.04	Total of 2 transactions
	<b>Security total:</b>	<b>1,937.89</b>		<b>1,681.02</b>	<b>9.02 W</b>	<b>265.89</b>	
WMT 07/31/2020 CALL \$133.00 / CUSIP: / Symbol:							
	3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	2.000	505.98	07/15/20	660.00	...	-154.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	252.99	07/15/20	330.00	...	-77.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	252.99	07/16/20	294.00	...	-41.01	3 of 3 - Option sale to close-call <sup>25</sup>
07/17/20	4.000	1,011.96	Various	1,284.00	...	-272.04	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 08/07/2020 CALL \$128.00 / CUSIP: / Symbol:							
08/04/20	1.000	304.98	07/31/20	186.00	...	118.98	Option sale to close-call <sup>25</sup>
WMT 08/07/2020 CALL \$132.00 / CUSIP: / Symbol:							
07/23/20	2.000	639.97	07/22/20	590.00	...	49.97	Option sale to close-call <sup>25</sup>
WMT 08/07/2020 CALL \$133.00 / CUSIP: / Symbol:							
07/22/20	1.000	243.98	07/22/20	252.00	8.02 W	0.00	Option sale to close-call <sup>25</sup>
07/28/20	1.000	163.98	07/28/20	178.02	14.04 W	0.00	Option sale to close-call <sup>25</sup>
08/04/20	1.000	45.98	07/28/20	184.04	...	-138.06	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>453.94</b>		<b>614.06</b>	<b>22.06 W</b>	<b>-138.06</b>	
WMT 08/07/2020 PUT \$128.00 / CUSIP: / Symbol:							
08/04/20	1.000	29.98	07/31/20	167.00	...	-137.02	Option sale to close-put <sup>25</sup>
WMT 08/14/2020 CALL \$130.00 / CUSIP: / Symbol:							
08/10/20	1.000	214.98	08/10/20	178.00	...	36.98	Option sale to close-call <sup>25</sup>
WMT 08/21/2020 CALL \$136.00 / CUSIP: / Symbol:							
	5 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	1.000	294.98	08/17/20	294.00	...	0.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	299.98	08/17/20	298.00	...	1.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	299.99	08/17/20	298.00	...	1.99	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	294.99	08/17/20	293.00	...	1.99	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	599.97	08/17/20	596.00	...	3.97	5 of 5 - Option sale to close-call <sup>25</sup>
08/17/20	6.000	1,789.91	Various	1,779.00	...	10.91	Total of 5 transactions
WMT 08/28/2020 CALL \$131.00 / CUSIP: / Symbol:							
08/20/20	2.000	339.98	08/20/20	356.00	...	-16.02	Option sale to close-call <sup>25</sup>
WMT 08/28/2020 CALL \$132.00 / CUSIP: / Symbol:							
	3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	1.000	219.99	08/19/20	234.02	...	-14.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	219.99	08/19/20	230.00	...	-10.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	226.98	08/19/20	230.00	...	-3.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/19/20	3.000	666.96	Various	694.02	...	-27.06	Total of 3 transactions
08/19/20	1.000	226.98	08/19/20	230.00	3.02 W	0.00	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 08/28/2020 CALL \$132.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>893.94</b>		<b>924.02</b>	<b>3.02 W</b>	<b>-27.06</b>	
WMT 08/28/2020 CALL \$138.00 / CUSIP: / Symbol:							
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	171.98	08/27/20	147.00	...	24.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	171.98	08/27/20	147.00	...	24.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	171.98	08/27/20	147.00	...	24.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/27/20	3.000	515.94	Various	441.00	...	74.94	Total of 3 transactions
WMT 08/28/2020 CALL \$139.00 / CUSIP: / Symbol:							
9 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	137.99	08/27/20	171.02	...	-33.03	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	138.00	08/27/20	167.02	...	-29.02	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	137.99	08/27/20	167.01	...	-29.02	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	169.99	08/27/20	146.00	...	23.99	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	169.99	08/27/20	146.00	...	23.99	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	169.99	08/27/20	146.00	...	23.99	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	202.98	08/27/20	164.00	...	38.98	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	202.99	08/27/20	164.00	...	38.99	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	202.99	08/27/20	164.00	...	38.99	9 of 9 - Option sale to close-call <sup>25</sup>
08/27/20	9.000	1,532.91	Various	1,435.05	...	97.86	Total of 9 transactions
4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	164.99	08/27/20	184.00	19.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	329.98	08/27/20	368.00	38.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	120.99	08/27/20	149.01	28.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	241.99	08/27/20	290.02	48.03 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/27/20	6.000	857.95	Various	991.03	133.08 W	0.00	Total of 4 transactions
<b>Security total:</b>		<b>2,390.86</b>		<b>2,426.08</b>	<b>133.08 W</b>	<b>97.86</b>	
WMT 09/04/2020 CALL \$131.00 / CUSIP: / Symbol:							
08/20/20	1.000	212.99	08/20/20	227.01	...	-14.02	Option sale to close-call <sup>25</sup>
4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	229.99	08/20/20	230.00	0.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	229.99	08/20/20	234.00	4.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 09/04/2020 CALL \$131.00 / CUSIP: / Symbol: (cont'd)							
	3.000	689.98	08/20/20	702.00	12.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	212.99	08/20/20	223.01	10.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/20/20	6.000	1,362.95	Various	1,389.01	26.06 W	0.00	Total of 4 transactions
	3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	2.000	293.98	08/25/20	300.01	...	-6.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	150.99	08/26/20	165.02	...	-14.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	150.99	08/26/20	159.01	...	-8.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/26/20	4.000	595.96	Various	624.04	...	-28.08	Total of 3 transactions
	<b>Security total:</b>	<b>2,171.90</b>		<b>2,240.06</b>	<b>26.06 W</b>	<b>-42.10</b>	
WMT 09/04/2020 CALL \$132.00 / CUSIP: / Symbol:							
08/10/20	2.000	839.97	08/10/20	840.00	...	-0.03	Option sale to close-call <sup>25</sup>
WMT 09/04/2020 CALL \$133.00 / CUSIP: / Symbol:							
	2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	2.000	759.97	08/10/20	750.00	...	9.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	379.99	08/12/20	330.00	...	49.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/14/20	3.000	1,139.96	Various	1,080.00	...	59.96	Total of 2 transactions
	2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	1.000	489.97	08/14/20	395.00	...	94.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	489.97	08/14/20	395.00	...	94.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/17/20	2.000	979.94	Various	790.00	...	189.94	Total of 2 transactions
08/19/20	2.000	459.97	08/19/20	452.00	...	7.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,579.87</b>		<b>2,322.00</b>	...	<b>257.87</b>	
WMT 09/04/2020 CALL \$136.00 / CUSIP: / Symbol:							
08/17/20	1.000	389.98	08/17/20	395.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	1.000	116.98	08/18/20	281.00	...	-164.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	147.98	08/18/20	286.02	...	-138.04	2 of 2 - Option sale to close-call <sup>25</sup>
08/19/20	2.000	264.96	Various	567.02	...	-302.06	Total of 2 transactions
	<b>Security total:</b>	<b>654.94</b>		<b>962.02</b>	<b>5.02 W</b>	<b>-302.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 09/04/2020 CALL \$138.00 / CUSIP: / Symbol:							
4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	349.99	08/27/20	350.00	...	-0.01	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	699.97	08/27/20	692.00	...	7.97	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	329.98	08/27/20	315.00	...	14.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	329.98	08/27/20	315.00	...	14.98	4 of 4 - Option sale to close-call <sup>25</sup>
08/27/20	5.000	1,709.92	Various	1,672.00	...	37.92	Total of 4 transactions
WMT 09/04/2020 CALL \$139.00 / CUSIP: / Symbol:							
08/27/20	2.000	569.97	08/27/20	588.00	...	-18.03	Option sale to close-call <sup>25</sup>
WMT 09/04/2020 CALL \$140.00 / CUSIP: / Symbol:							
08/28/20	1.000	254.98	08/28/20	329.00	...	-74.02	Option sale to close-call <sup>25</sup>
WMT 09/04/2020 CALL \$145.00 / CUSIP: / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	293.98	09/01/20	254.00	...	39.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	293.99	09/01/20	254.00	...	39.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	2.000	587.97	Various	508.00	...	79.97	Total of 2 transactions
WMT 09/04/2020 CALL \$148.00 / CUSIP: / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	237.98	09/01/20	296.00	...	-58.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	237.98	09/01/20	296.00	...	-58.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/20	2.000	475.96	Various	592.00	...	-116.04	Total of 2 transactions
WMT 09/04/2020 CALL \$155.00 / CUSIP: / Symbol:							
3 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	106.99	09/01/20	189.01	...	-82.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	106.99	09/01/20	187.02	...	-80.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	106.99	09/01/20	187.02	...	-80.03	3 of 3 - Option sale to close-call <sup>25</sup>
09/01/20	3.000	320.97	Various	563.05	...	-242.08	Total of 3 transactions
3 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	2.000	277.98	09/01/20	330.00	52.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	277.98	09/01/20	386.02	108.04 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WMT 09/04/2020 CALL \$155.00 / CUSIP: / Symbol: (cont'd)							
	1.000	106.99	09/01/20	167.00	60.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/01/20	5.000	662.95	Various	883.02	220.07 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>983.92</b>		<b>1,446.07</b>	<b>220.07 W</b>	<b>-242.08</b>	
WMT 09/04/2020 PUT \$145.00 / CUSIP: / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	201.99	09/01/20	224.01	...	-22.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	201.99	09/01/20	198.01	...	3.98	2 of 2 - Option sale to close-put <sup>25</sup>
09/01/20	2.000	403.98	Various	422.02	...	-18.04	Total of 2 transactions
09/01/20	2.000	355.98	09/01/20	396.00	40.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>759.96</b>		<b>818.02</b>	<b>40.02 W</b>	<b>-18.04</b>	
WMT 09/11/2020 CALL \$141.00 / CUSIP: / Symbol:							
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	227.98	09/09/20	222.00	...	5.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	227.99	09/09/20	198.00	...	29.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/09/20	2.000	455.97	Various	420.00	...	35.97	Total of 2 transactions
WMT 09/11/2020 CALL \$142.00 / CUSIP: / Symbol:							
3 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	164.99	09/09/20	190.08	...	-25.09	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	164.99	09/09/20	168.00	...	-3.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	161.98	09/09/20	152.04	...	9.94	3 of 3 - Option sale to close-call <sup>25</sup>
09/09/20	3.000	491.96	Various	510.12	...	-18.16	Total of 3 transactions
6 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	157.98	09/09/20	187.00	29.02 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	157.98	09/09/20	187.00	29.02 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	157.98	09/09/20	197.02	39.04 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	174.98	09/09/20	197.02	22.04 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	161.98	09/09/20	169.04	7.06 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	153.98	09/09/20	176.06	22.08 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
09/09/20	6.000	964.88	Various	1,113.14	148.26 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>1,456.84</b>		<b>1,623.26</b>	<b>148.26 W</b>	<b>-18.16</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 09/11/2020 CALL \$143.00 / CUSIP: / Symbol:							
09/01/20	1.000	434.98	09/01/20	375.00	...	59.98	Option sale to close-call <sup>25</sup>
	<i>2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	132.98	09/09/20	127.00	...	5.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	275.98	09/09/20	254.00	...	21.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/09/20	3.000	408.96	Various	381.00	...	27.96	Total of 2 transactions
	<b>Security total:</b>	<b>843.94</b>		<b>756.00</b>	...	<b>87.94</b>	
WMT 09/11/2020 CALL \$149.00 / CUSIP: / Symbol:							
09/03/20	1.000	349.98	09/03/20	360.00	...	-10.02	Option sale to close-call <sup>25</sup>
WMT 09/11/2020 CALL \$150.00 / CUSIP: / Symbol:							
09/01/20	2.000	679.97	09/01/20	534.00	...	145.97	Option sale to close-call <sup>25</sup>
09/03/20	1.000	334.98	09/03/20	320.00	...	14.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,014.95</b>		<b>854.00</b>	...	<b>160.95</b>	
WMT 09/18/2020 CALL \$138.00 / CUSIP: / Symbol:							
	<i>3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	252.98	09/11/20	267.00	...	-14.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	266.99	09/11/20	266.00	...	0.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	266.98	09/11/20	248.00	...	18.98	3 of 3 - Option sale to close-call <sup>25</sup>
09/11/20	3.000	786.95	Various	781.00	...	5.95	Total of 3 transactions
WMT 09/25/2020 CALL \$139.00 / CUSIP: / Symbol:							
09/14/20	1.000	283.98	09/14/20	294.00	...	-10.02	Option sale to close-call <sup>25</sup>
WMT 09/25/2020 CALL \$140.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/14/20	255.00	...	-255.00	Option expiration- long call
WMT 10/02/2020 CALL \$137.00 / CUSIP: / Symbol:							
09/22/20	1.000	344.98	09/22/20	294.00	...	50.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 11/20/2020 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	418.98	09/28/20	231.00	...	187.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	418.98	09/28/20	229.00	...	189.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/01/20	2.000	837.96	Various	460.00	...	377.96	Total of 2 transactions
WMT 11/20/2020 CALL \$155.00 / CUSIP: / Symbol:							
10/02/20	1.000	-254.04	10/01/20	0.00	...	-254.04	Short sale closed- call <sup>25</sup> Option written: 09/28/20
10/02/20	1.000	-127.02	10/01/20	0.00	127.02 W	0.00	Short sale closed- call <sup>25</sup> Option written: 09/28/20
	<b>Security total:</b>	<b>-381.06</b>		<b>0.00</b>	<b>127.02 W</b>	<b>-254.04</b>	
WORK 01/15/2021 CALL \$31.00 / CUSIP: / Symbol:							
11/25/20	1.000	819.97	10/21/20	241.00	...	578.97	Option sale to close-call <sup>25</sup>
WORK 01/15/2021 CALL \$32.00 / CUSIP: / Symbol:							
11/23/20	1.000	36.99	11/20/20	0.00	...	36.99	Short sale closed- call <sup>25</sup> Option written: 10/21/20
WORK 05/08/2020 CALL \$29.00 / CUSIP: / Symbol:							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	2.000	209.99	04/27/20	180.00	...	29.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	209.99	04/27/20	160.00	...	49.99	2 of 2 - Option sale to close-call <sup>25</sup>
04/28/20	4.000	419.98	Various	340.00	...	79.98	Total of 2 transactions
WORK 09/11/2020 CALL \$34.50 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	349.98	09/01/20	300.00	...	49.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	349.98	09/01/20	300.00	...	49.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	699.96	Various	600.00	...	99.96	Total of 2 transactions
WPM 08/07/2020 CALL \$55.00 / CUSIP: / Symbol:							
07/24/20	1.000	154.98	07/24/20	148.00	...	6.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WPM 11/13/2020 PUT \$46.50 / CUSIP: / Symbol:							
11/10/20	1.000	139.98	11/10/20	110.00	...	29.98	Option sale to close-put <sup>25</sup>
WYNN 08/14/2020 PUT \$87.00 / CUSIP: / Symbol:							
08/12/20	1.000	252.98	08/12/20	245.00	...	7.98	Option sale to close-put <sup>25</sup>
XBI 09/18/2020 CALL \$108.00 / CUSIP: / Symbol:							
09/10/20	1.000	160.98	09/10/20	181.00	...	-20.02	Option sale to close-call <sup>25</sup>
XLE 10/02/2020 CALL \$38.50 / CUSIP: / Symbol:							
08/18/20	1.000	120.98	08/18/20	143.00	...	-22.02	Option sale to close-call <sup>25</sup>
XLNX 09/11/2020 CALL \$110.00 / CUSIP: / Symbol:							
09/08/20	1.000	13.98	09/02/20	218.00	...	-204.02	Option sale to close-call <sup>25</sup>
XOM 06/19/2020 PUT \$48.00 / CUSIP: / Symbol:							
06/12/20	2.000	289.98	06/10/20	110.00	...	179.98	Option sale to close-put <sup>25</sup>
XOM 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	4.000	107.99	06/08/20	384.00	...	-276.01	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	53.99	06/08/20	186.00	...	-132.01	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	53.99	06/08/20	186.00	...	-132.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	27.00	06/08/20	96.00	...	-69.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	27.00	06/08/20	93.00	...	-66.00	5 of 5 - Option sale to close-call <sup>25</sup>
06/12/20	10.000	269.97	Various	945.00	...	-675.03	Total of 5 transactions
XOM 07/24/2020 CALL \$58.00 / CUSIP: / Symbol:							
07/24/20	5.000	0.00	06/08/20	825.00	...	-825.00	Option expiration- long call
XOP 07/17/2020 CALL \$49.00 / CUSIP: / Symbol:							
07/14/20	1.000	134.98	07/14/20	130.00	...	4.98	Option sale to close-call <sup>25</sup>
YY 08/28/2020 CALL \$92.00 / CUSIP: / Symbol:							
08/25/20	1.000	219.98	08/25/20	210.00	...	9.98	Option sale to close-call <sup>25</sup>
YY 09/18/2020 CALL \$84.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/15/20	155.00	...	-155.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
YY 11/20/2020 CALL \$100.00 / CUSIP: / Symbol:							
10/27/20	2.000	413.98	09/25/20	342.00	...	71.98	Option sale to close-call <sup>25</sup>
YY 11/20/2020 CALL \$105.00 / CUSIP: / Symbol:							
10/28/20	2.000	-2.02	10/27/20	0.00	...	-2.02	Short sale closed- call <sup>25</sup> Option written: 09/25/20
ZM 01/15/2021 CALL \$550.00 / CUSIP: / Symbol:							
10/21/20	1.000	6,716.84	10/01/20	5,092.00	...	1,624.84	Option sale to close-call <sup>25</sup>
ZM 01/15/2021 CALL \$560.00 / CUSIP: / Symbol:							
10/22/20	1.000	-1,523.12	10/21/20	0.00	...	-1,523.12	Short sale closed- call <sup>25</sup> Option written: 10/01/20
ZM 04/24/2020 CALL \$150.00 / CUSIP: / Symbol:							
03/18/20	1.000	309.98	03/16/20	500.00	...	-190.02	Option sale to close-call <sup>25</sup>
ZM 07/24/2020 CALL \$265.00 / CUSIP: / Symbol:							
07/20/20	1.000	479.97	07/20/20	580.00	...	-100.03	Option sale to close-call <sup>25</sup>
ZM 07/24/2020 CALL \$272.50 / CUSIP: / Symbol:							
07/20/20	1.000	529.97	07/20/20	540.00	...	-10.03	Option sale to close-call <sup>25</sup>
ZM 07/24/2020 CALL \$280.00 / CUSIP: / Symbol:							
07/20/20	1.000	389.98	07/20/20	420.00	...	-30.02	Option sale to close-call <sup>25</sup>
ZM 09/11/2020 CALL \$410.00 / CUSIP: / Symbol:							
09/09/20	1.000	509.97	09/09/20	570.00	...	-60.03	Option sale to close-call <sup>25</sup>
ZNGA 07/02/2020 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	20.000	539.95	06/08/20	540.00	...	-0.05	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	269.97	06/08/20	270.00	...	-0.03	2 of 2 - Option sale to close-call <sup>25</sup>
06/10/20	30.000	809.92	Various	810.00	...	-0.08	Total of 2 transactions
ZNGA 08/14/2020 CALL \$10.00 / CUSIP: / Symbol:							
08/14/20	1.000	0.00	07/20/20	48.00	...	-48.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ZNGA 12/04/2020 PUT \$9.00 / CUSIP: / Symbol:							
12/04/20	1.000	21.98	11/23/20	80.00	...	-58.02	Option sale to close-put <sup>25</sup>
ZNGA 12/24/2020 PUT \$10.00 / CUSIP: / Symbol:							
12/24/20	1.000	11.98	12/04/20	140.00	...	-128.02	Option sale to close-put <sup>25</sup>
ZS 07/02/2020 CALL \$110.00 / CUSIP: / Symbol:							
07/01/20	1.000	179.98	07/01/20	170.00	...	9.98	Option sale to close-call <sup>25</sup>
ZTO 01/15/2021 CALL \$31.00 / CUSIP: / Symbol:							
11/12/20	1.000	264.98	11/09/20	210.00	...	54.98	Option sale to close-call <sup>25</sup>
ZTO 06/19/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/05/20	2.000	119.98	06/02/20	110.00	...	9.98	Option sale to close-call <sup>25</sup>
ZTO 09/18/2020 CALL \$32.00 / CUSIP: / Symbol:							
08/24/20	2.000	239.98	08/24/20	230.00	...	9.98	Option sale to close-call <sup>25</sup>
ABB LTD. / CUSIP: 000375204 / Symbol:							
		2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.					
	78.000	2,101.27	12/10/20	2,106.00	...	-4.73	1 of 2 - Sale <sup>25</sup>
	22.000	592.66	12/10/20	594.00	...	-1.34	2 of 2 - Sale <sup>25</sup>
12/10/20	100.000	2,693.93	Various	2,700.00	...	-6.07	Total of 2 transactions
12/10/20	100.000	2,693.93	12/10/20	2,708.00	14.07 W	0.00	Sale <sup>25</sup>
12/16/20	100.000	2,689.92 N	12/10/20	2,702.02	...	-12.10	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$210.00
<b>Security total:</b>		<b>8,077.78</b>		<b>8,110.02</b>	<b>14.07 W</b>	<b>-18.17</b>	
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
08/07/20	50.000	139.25	08/07/20	141.00	...	-1.75	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AGNC INVESTMENT CORP. COMMON S TOCK / CUSIP: 00123Q104 / Symbol:							
2 transactions for 12/12/20. Total proceeds and cost reported to the IRS.							
	100.000	1,539.95 N	12/10/20	1,547.75	...	-7.80	1 of 2 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$10.00
	100.000	1,539.95 N	12/10/20	1,546.00	...	-6.05	2 of 2 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$10.00
12/12/20	200.000	3,079.90	Various	3,093.75	...	-13.85	Total of 2 transactions
12/12/20	100.000	1,539.95 N	12/10/20	1,548.00	8.05 W	0.00	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$10.00
	<b>Security total:</b>	<b>4,619.85</b>		<b>4,641.75</b>	<b>8.05 W</b>	<b>-13.85</b>	
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
11/13/20	100.000	306.99 N	11/11/20	312.86	5.87 W	0.00	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$43.00
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	100.000	311.99	11/16/20	323.75	11.76 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	309.99	11/16/20	325.26	15.27 W	0.00	2 of 2 - Sale <sup>25</sup>
11/16/20	200.000	621.98	Various	649.01	27.03 W	0.00	Total of 2 transactions
3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	100.000	295.98	11/17/20	311.27	15.29 W	0.00	1 of 3 - Sale <sup>25</sup>
	43.000	127.27	11/17/20	127.28	0.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	57.000	168.71	11/17/20	168.72	0.01 W	0.00	3 of 3 - Sale <sup>25</sup>
11/17/20	200.000	591.96	Various	607.27	15.31 W	0.00	Total of 3 transactions
11/23/20	100.000	359.99	11/23/20	379.17	19.18 W	0.00	Sale <sup>25</sup>
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	100.000	457.49	11/24/20	452.98	...	4.51	1 of 3 - Sale <sup>25</sup>
	43.000	196.99	11/24/20	187.87	...	9.12	2 of 3 - Sale <sup>25</sup>
	57.000	261.13	11/24/20	249.03	...	12.10	3 of 3 - Sale <sup>25</sup>
11/24/20	200.000	915.61	Various	889.88	...	25.73	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
12/04/20	100.000	407.99 N	11/30/20	413.88	...	-5.89	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$92.00
<b>Security total:</b>		<b>3,204.52</b>		<b>3,252.07</b>	<b>67.39 W</b>	<b>19.84</b>	
AT&T INC. / CUSIP: 00206R102 / Symbol:							
2 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	10.000	391.30	10/28/19	387.20	...	4.10	1 of 2 - Sale <sup>25</sup>
	2.000	78.26	11/25/19	74.80	...	3.46	2 of 2 - Sale <sup>25</sup>
01/22/20	12.000	469.56	Various	462.00	...	7.56	Total of 2 transactions
8 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	31.03	03/24/20	27.13	...	3.90	1 of 8 - Sale <sup>25</sup>
	3.000	93.03	03/24/20	81.38	...	11.65	2 of 8 - Sale <sup>25</sup>
	3.000	93.09	03/24/20	81.38	...	11.71	3 of 8 - Sale <sup>25</sup>
	5.000	155.05	03/24/20	135.64	...	19.41	4 of 8 - Sale <sup>25</sup>
	8.000	248.08	03/24/20	217.02	...	31.06	5 of 8 - Sale <sup>25</sup>
	30.000	930.87	03/24/20	813.83	...	117.04	6 of 8 - Sale <sup>25</sup>
	50.000	1,550.46	04/30/20	1,526.50	...	23.96	7 of 8 - Sale <sup>25</sup>
	50.000	1,550.45	05/12/20	1,450.90	...	99.55	8 of 8 - Sale <sup>25</sup>
06/11/20	150.000	4,652.06	Various	4,333.78	...	318.28	Total of 8 transactions
06/18/20	50.000	1,509.06	06/16/20	1,539.99	...	-30.93	Sale <sup>25</sup>
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	10.000	282.99	10/21/20	267.50	...	15.49	1 of 2 - Sale <sup>25</sup>
	10.000	282.99	10/21/20	267.00	...	15.99	2 of 2 - Sale <sup>25</sup>
10/22/20	20.000	565.98	Various	534.50	...	31.48	Total of 2 transactions
10/23/20	10.000	280.15	10/22/20	281.57	1.42 W	0.00	Sale <sup>25</sup>
3 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	10.000	272.24	10/26/20	275.02	2.78 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	544.49	10/26/20	547.20	2.71 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	544.48	10/26/20	546.16	1.68 W	0.00	3 of 3 - Sale <sup>25</sup>
11/02/20	50.000	1,361.21	Various	1,368.38	7.17 W	0.00	Total of 3 transactions
11/10/20	10.000	288.45	11/09/20	290.15	1.70 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AT&T INC. / CUSIP: 00206R102 / Symbol: (cont'd)							
2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	10.000	286.99	11/11/20	287.84	0.85 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	573.99	11/11/20	576.71	2.72 W	0.00	2 of 2 - Sale <sup>25</sup>
11/11/20	30.000	860.98	Various	864.55	3.57 W	0.00	Total of 2 transactions
5 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	3.000	87.27	11/16/20	87.81	0.54 W	0.00	1 of 5 - Sale <sup>25</sup>
	7.000	203.62	11/16/20	204.89	1.27 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	290.89	11/16/20	291.84	0.95 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	290.89	11/16/20	291.85	0.96 W	0.00	4 of 5 - Sale <sup>25</sup>
	20.000	581.79	11/16/20	584.72	2.93 W	0.00	5 of 5 - Sale <sup>25</sup>
11/16/20	50.000	1,454.46	Various	1,461.11	6.65 W	0.00	Total of 5 transactions
11/18/20	100.000	2,911.05	11/16/20	2,910.00	...	1.05	Sale <sup>25</sup>
6 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	20.000	579.99 N	12/02/20	583.33	...	-3.34	1 of 6 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$20.00
	7.000	202.99 N	12/02/20	204.41	...	-1.42	2 of 6 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$7.00
	10.000	289.99 N	12/02/20	291.16	...	-1.17	3 of 6 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$10.00
	10.000	289.99 N	12/02/20	291.15	...	-1.16	4 of 6 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$10.00
	50.000	1,449.96 N	12/02/20	1,451.00	...	-1.04	5 of 6 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$50.00
	3.000	87.00 N	12/02/20	87.60	...	-0.60	6 of 6 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$3.00
12/04/20	100.000	2,899.92	Various	2,908.65	...	-8.73	Total of 6 transactions
	<b>Security total:</b>	<b>17,252.88</b>		<b>16,954.68</b>	<b>20.51 W</b>	<b>318.71</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABBOTT LABORATORIES / CUSIP: 002824100 / Symbol:							
<i>5 transactions for 10/01/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	219.10	10/01/20	218.10	...	1.00	1 of 5 - Sale <sup>25</sup>
	3.000	328.64	10/01/20	327.15	...	1.49	2 of 5 - Sale <sup>25</sup>
	5.000	546.89	10/01/20	545.25	...	1.64	3 of 5 - Sale <sup>25</sup>
	5.000	547.74	10/01/20	546.10	...	1.64	4 of 5 - Sale <sup>25</sup>
	10.000	1,095.47	10/01/20	1,092.48	...	2.99	5 of 5 - Sale <sup>25</sup>
10/01/20	25.000	2,737.84	Various	2,729.08	...	8.76	Total of 5 transactions
ABBVIE INC. / CUSIP: 00287Y109 / Symbol:							
03/13/20	5.000	416.50	10/18/19	383.00	...	33.50	Sale <sup>25</sup>
<i>2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	420.69	10/29/19	391.50	...	29.19	1 of 2 - Sale <sup>25</sup>
	3.000	252.41	01/28/20	252.75	...	-0.34	2 of 2 - Sale <sup>25</sup>
04/20/20	8.000	673.10	Various	644.25	...	28.85	Total of 2 transactions
<i>2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	831.01	01/29/20	837.20	...	-6.19	1 of 2 - Sale <sup>25</sup>
	10.000	831.01	03/17/20	727.50	...	103.51	2 of 2 - Sale <sup>25</sup>
04/23/20	20.000	1,662.02	Various	1,564.70	...	97.32	Total of 2 transactions
<i>3 transactions for 10/30/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	854.18	10/30/20	861.79	...	-7.61	1 of 3 - Sale <sup>25</sup>
	10.000	854.18	10/30/20	857.69	...	-3.51	2 of 3 - Sale <sup>25</sup>
	20.000	1,710.76	10/30/20	1,696.34	...	14.42	3 of 3 - Sale <sup>25</sup>
10/30/20	40.000	3,419.12	Various	3,415.82	...	3.30	Total of 3 transactions
10/30/20	10.000	855.38	10/30/20	859.48	4.10 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,026.12</b>		<b>6,867.25</b>	<b>4.10 W</b>	<b>162.97</b>	
ACADIA PHARMACEUTICALS INC. CO MMON STOCK / CUSIP: 004225108 / Symbol:							
11/25/20	10.000	564.08	11/25/20	569.70	...	-5.62	Sale <sup>25</sup>
ACLARIS THERAPEUTICS, INC. COM MON STOCK / CUSIP: 00461U105 / Symbol:							
02/06/20	20.000	27.63	01/10/20	41.50	...	-13.87	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ACTIVISION BLIZZARD, INC. COMM ON STOCK / CUSIP: 00507V109 / Symbol:							
10/16/20	3.000	243.01	10/15/20	242.64	...	0.37	Sale <sup>25</sup>
	3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	5.000	404.99	10/22/20	401.41	...	3.58	1 of 3 - Sale <sup>25</sup>
	5.000	404.99	10/22/20	400.71	...	4.28	2 of 3 - Sale <sup>25</sup>
	10.000	810.78	10/22/20	800.92	...	9.86	3 of 3 - Sale <sup>25</sup>
10/22/20	20.000	1,620.76	Various	1,603.04	...	17.72	Total of 3 transactions
	3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	10.000	798.98	10/22/20	801.70	2.72 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	399.49	10/22/20	401.80	2.31 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	798.98	10/22/20	802.19	3.21 W	0.00	3 of 3 - Sale <sup>25</sup>
10/22/20	25.000	1,997.45	Various	2,005.69	8.24 W	0.00	Total of 3 transactions
	2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.						
	5.000	405.55	10/23/20	405.85	...	-0.30	1 of 2 - Sale <sup>25</sup>
	5.000	405.54	10/23/20	404.25	...	1.29	2 of 2 - Sale <sup>25</sup>
10/26/20	10.000	811.09	Various	810.10	...	0.99	Total of 2 transactions
12/07/20	100.000	8,209.80	12/07/20	8,235.90	...	-26.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>12,882.11</b>		<b>12,897.37</b>	<b>8.24 W</b>	<b>-7.02</b>	
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol:							
03/03/20	2,000.000	522.01	02/28/20	456.00	...	66.01	Sale <sup>25</sup>
	10 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	100.000	18.55	04/22/20	17.00	...	1.55	1 of 10 - Sale <sup>25</sup>
	100.000	18.55	04/22/20	17.00	...	1.55	2 of 10 - Sale <sup>25</sup>
	100.000	18.55	04/22/20	17.00	...	1.55	3 of 10 - Sale <sup>25</sup>
	100.000	18.55	04/22/20	17.00	...	1.55	4 of 10 - Sale <sup>25</sup>
	100.000	18.55	04/22/20	17.00	...	1.55	5 of 10 - Sale <sup>25</sup>
	100.000	18.55	04/22/20	17.00	...	1.55	6 of 10 - Sale <sup>25</sup>
	100.000	18.55	04/22/20	17.00	...	1.55	7 of 10 - Sale <sup>25</sup>
	100.000	18.55	04/22/20	17.00	...	1.55	8 of 10 - Sale <sup>25</sup>
	100.000	18.55	04/22/20	17.00	...	1.55	9 of 10 - Sale <sup>25</sup>
	100.000	18.55	04/22/20	17.00	...	1.55	10 of 10 - Sale <sup>25</sup>
05/21/20	1,000.000	185.50	Various	170.00	...	15.50	Total of 10 transactions
	<b>Security total:</b>	<b>707.51</b>		<b>626.00</b>	...	<b>81.51</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol:							
2 transactions for 01/13/20. Total proceeds and cost reported to the IRS.							
	50.000	232.50	01/13/20	262.50	30.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	147.00	01/13/20	174.00	27.00 W	0.00	2 of 2 - Sale <sup>25</sup>
01/13/20	80.000	379.50	Various	436.50	57.00 W	0.00	Total of 2 transactions
4 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	30.000	166.50	01/13/20	172.50	...	-6.00	1 of 4 - Sale <sup>25</sup>
	1.000	5.55	01/13/20	5.10	...	0.45	2 of 4 - Sale <sup>25</sup>
	9.000	49.95	01/13/20	45.90	...	4.05	3 of 4 - Sale <sup>25</sup>
	10.000	55.50	01/13/20	51.00	...	4.50	4 of 4 - Sale <sup>25</sup>
01/14/20	50.000	277.50	Various	274.50	...	3.00	Total of 4 transactions
3 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	10.000	55.50	01/13/20	58.00	2.50 W	0.00	1 of 3 - Sale <sup>25</sup>
	9.000	49.95	01/13/20	52.20	2.25 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	5.55	01/13/20	5.80	0.25 W	0.00	3 of 3 - Sale <sup>25</sup>
01/14/20	20.000	111.00	Various	116.00	5.00 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>768.00</b>		<b>827.00</b>	<b>62.00 W</b>	<b>3.00</b>	
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	463.64	08/06/20	463.70	0.06 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	1,854.55	08/06/20	1,854.80	0.25 W	0.00	2 of 2 - Sale <sup>25</sup>
08/06/20	5.000	2,318.19	Various	2,318.50	0.31 W	0.00	Total of 2 transactions
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	519.69	08/26/20	518.06	...	1.63	1 of 2 - Sale <sup>25</sup>
	2.000	1,039.37	08/26/20	1,036.13	...	3.24	2 of 2 - Sale <sup>25</sup>
08/26/20	3.000	1,559.06	Various	1,554.19	...	4.87	Total of 2 transactions
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	2.000	1,050.44	09/01/20	1,041.46	...	8.98	1 of 2 - Sale <sup>25</sup>
	3.000	1,575.65	09/01/20	1,562.01	...	13.64	2 of 2 - Sale <sup>25</sup>
09/01/20	5.000	2,626.09	Various	2,603.47	...	22.62	Total of 2 transactions
10/06/20	5.000	2,435.49	10/06/20	2,445.40	9.91 W	0.00	Sale <sup>25</sup>
10/08/20	3.000	1,471.76	10/08/20	1,471.75	...	0.01	Sale <sup>25</sup>
10/08/20	2.000	981.18	10/08/20	984.61	3.43 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol: (cont'd)							
3 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	1.000	499.06	10/09/20	496.00	...	3.06	1 of 3 - Sale <sup>25</sup>
	2.000	998.12	10/09/20	992.09	...	6.03	2 of 3 - Sale <sup>25</sup>
	2.000	998.11	10/09/20	991.42	...	6.69	3 of 3 - Sale <sup>25</sup>
10/09/20	5.000	2,495.29	Various	2,479.51	...	15.78	Total of 3 transactions
10/09/20	2.000	995.26	10/09/20	995.43	0.17 W	0.00	Sale <sup>25</sup>
10/16/20	1.000	504.13	10/16/20	505.60	...	-1.47	Sale <sup>25</sup>
	<b>Security total:</b>	<b>15,386.45</b>		<b>15,358.46</b>	<b>13.82 W</b>	<b>41.81</b>	
ADVAXIS, INC. COMMON STOCK / CUSIP: 007624307 / Symbol:							
9 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	74.000	53.63	04/28/20	52.54	...	1.09	1 of 9 - Sale <sup>25</sup>
	50.000	36.23	04/28/20	34.00	...	2.23	2 of 9 - Sale <sup>25</sup>
	100.000	72.47	04/28/20	70.00	...	2.47	3 of 9 - Sale <sup>25</sup>
	100.000	72.47	04/28/20	69.00	...	3.47	4 of 9 - Sale <sup>25</sup>
	426.000	308.71	04/28/20	302.46	...	6.25	5 of 9 - Sale <sup>25</sup>
	100.000	72.46	04/28/20	65.40	...	7.06	6 of 9 - Sale <sup>25</sup>
	100.000	72.46	04/28/20	65.40	...	7.06	7 of 9 - Sale <sup>25</sup>
	100.000	72.47	04/28/20	65.40	...	7.07	8 of 9 - Sale <sup>25</sup>
	150.000	108.70	04/28/20	98.10	...	10.60	9 of 9 - Sale <sup>25</sup>
05/06/20	1,200.000	869.60	Various	822.30	...	47.30	Total of 9 transactions
5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	100.000	79.99	06/02/20	76.90	...	3.09	1 of 5 - Sale <sup>25</sup>
	152.000	121.58	06/02/20	116.89	...	4.69	2 of 5 - Sale <sup>25</sup>
	300.000	239.96	06/02/20	229.65	...	10.31	3 of 5 - Sale <sup>25</sup>
	400.000	319.95	06/02/20	307.60	...	12.35	4 of 5 - Sale <sup>25</sup>
	848.000	678.27	06/02/20	652.11	...	26.16	5 of 5 - Sale <sup>25</sup>
06/03/20	1,800.000	1,439.75	Various	1,383.15	...	56.60	Total of 5 transactions
10/21/20	100.000	48.99	10/21/20	53.19	...	-4.20	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,358.34</b>		<b>2,258.64</b>	...	<b>99.70</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVERUM BIOTECHNOLOGIES, INC. COMMON STOCK / CUSIP: 00773U108 / Symbol:							
<i>4 transactions for 01/08/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	56.25	01/06/20	65.10	...	-8.85	1 of 4 - Sale <sup>25</sup>
	5.000	56.25	01/06/20	65.10	...	-8.85	2 of 4 - Sale <sup>25</sup>
	8.000	90.00	01/06/20	92.00	...	-2.00	3 of 4 - Sale <sup>25</sup>
	1.000	11.25	01/06/20	12.75	...	-1.50	4 of 4 - Sale <sup>25</sup>
01/08/20	19.000	213.75	Various	234.95	...	-21.20	Total of 4 transactions
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
06/29/20	10.000	490.00	06/29/20	489.50	...	0.50	Sale <sup>25</sup>
<i>2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	497.89	06/29/20	498.10	0.21 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	497.88	06/29/20	498.00	0.12 W	0.00	2 of 2 - Sale <sup>25</sup>
06/29/20	20.000	995.77	Various	996.10	0.33 W	0.00	Total of 2 transactions
<i>2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	527.79	07/01/20	528.61	...	-0.82	1 of 2 - Sale <sup>25</sup>
	10.000	527.78	07/01/20	528.52	...	-0.74	2 of 2 - Sale <sup>25</sup>
07/01/20	20.000	1,055.57	Various	1,057.13	...	-1.56	Total of 2 transactions
<i>8 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	89.77	08/31/20	88.28	...	1.49	1 of 8 - Sale <sup>25</sup>
	10.000	919.38	08/31/20	916.55	...	2.83	2 of 8 - Sale <sup>25</sup>
	5.000	459.69	08/31/20	456.17	...	3.52	3 of 8 - Sale <sup>25</sup>
	20.000	1,838.75	08/31/20	1,831.53	...	7.22	4 of 8 - Sale <sup>25</sup>
	15.000	1,379.07	08/31/20	1,368.97	...	10.10	5 of 8 - Sale <sup>25</sup>
	10.000	895.38	08/31/20	882.80	...	12.58	6 of 8 - Sale <sup>25</sup>
	20.000	1,790.76	08/31/20	1,762.80	...	27.96	7 of 8 - Sale <sup>25</sup>
	19.000	1,705.65	08/31/20	1,677.32	...	28.33	8 of 8 - Sale <sup>25</sup>
08/31/20	100.000	9,078.45	Various	8,984.42	...	94.03	Total of 8 transactions
<i>11 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	2,693.13	08/31/20	2,695.50	2.37 W	0.00	1 of 11 - Sale <sup>25</sup>
	30.000	2,675.94	08/31/20	2,693.37	17.43 W	0.00	2 of 11 - Sale <sup>25</sup>
	20.000	1,783.96	08/31/20	1,794.00	10.04 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	451.25	08/31/20	452.16	0.91 W	0.00	4 of 11 - Sale <sup>25</sup>
	15.000	1,353.27	08/31/20	1,356.46	3.19 W	0.00	5 of 11 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
	10.000	902.18	08/31/20	908.21	6.03 W	0.00	6 of 11 - Sale <sup>25</sup>
	20.000	1,804.36	08/31/20	1,814.84	10.48 W	0.00	7 of 11 - Sale <sup>25</sup>
	5.000	452.94	08/31/20	454.16	1.22 W	0.00	8 of 11 - Sale <sup>25</sup>
	10.000	905.88	08/31/20	912.53	6.65 W	0.00	9 of 11 - Sale <sup>25</sup>
	15.000	1,358.82	08/31/20	1,362.94	4.12 W	0.00	10 of 11 - Sale <sup>25</sup>
	20.000	1,811.75	08/31/20	1,823.48	11.73 W	0.00	11 of 11 - Sale <sup>25</sup>
08/31/20	180.000	16,193.48	Various	16,267.65	74.17 W	0.00	Total of 11 transactions
09/15/20	20.000	1,572.76	09/15/20	1,578.35	5.59 W	0.00	Sale <sup>25</sup>
	4 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	10.000	787.93	09/28/20	787.28	...	0.65	1 of 4 - Sale <sup>25</sup>
	10.000	784.71	09/28/20	780.76	...	3.95	2 of 4 - Sale <sup>25</sup>
	20.000	1,569.44	09/28/20	1,565.36	...	4.08	3 of 4 - Sale <sup>25</sup>
	10.000	784.72	09/28/20	780.39	...	4.33	4 of 4 - Sale <sup>25</sup>
09/28/20	50.000	3,926.80	Various	3,913.79	...	13.01	Total of 4 transactions
	6 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	20.000	1,568.36	09/28/20	1,581.40	13.04 W	0.00	1 of 6 - Sale <sup>25</sup>
	20.000	1,567.76	09/28/20	1,591.79	24.03 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	787.93	09/28/20	799.52	11.59 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.000	787.93	09/28/20	799.28	11.35 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	790.69	09/28/20	802.49	11.80 W	0.00	5 of 6 - Sale <sup>25</sup>
	10.000	790.68	09/28/20	802.23	11.55 W	0.00	6 of 6 - Sale <sup>25</sup>
09/28/20	80.000	6,293.35	Various	6,376.71	83.36 W	0.00	Total of 6 transactions
	9 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	5.000	403.19	09/28/20	401.81	...	1.38	1 of 9 - Sale <sup>25</sup>
	5.000	403.90	09/28/20	401.80	...	2.10	2 of 9 - Sale <sup>25</sup>
	10.000	806.38	09/28/20	803.86	...	2.52	3 of 9 - Sale <sup>25</sup>
	10.000	807.81	09/28/20	792.05	...	15.76	4 of 9 - Sale <sup>25</sup>
	20.000	1,623.16	09/29/20	1,623.15	...	0.01	5 of 9 - Sale <sup>25</sup>
	20.000	1,623.17	09/29/20	1,622.77	...	0.40	6 of 9 - Sale <sup>25</sup>
	10.000	817.28	09/29/20	815.42	...	1.86	7 of 9 - Sale <sup>25</sup>
	20.000	1,634.56	09/29/20	1,630.80	...	3.76	8 of 9 - Sale <sup>25</sup>
	15.000	1,211.71	09/29/20	1,201.04	...	10.67	9 of 9 - Sale <sup>25</sup>
09/29/20	115.000	9,331.16	Various	9,292.70	...	38.46	Total of 9 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
<i>18 transactions for 09/29/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	811.58	09/29/20	811.60	0.02 W	0.00	1 of 18 - Sale <sup>25</sup>
	10.000	819.98	09/29/20	822.70	2.72 W	0.00	2 of 18 - Sale <sup>25</sup>
	10.000	819.98	09/29/20	824.20	4.22 W	0.00	3 of 18 - Sale <sup>25</sup>
	13.000	1,065.98	09/29/20	1,071.70	5.72 W	0.00	4 of 18 - Sale <sup>25</sup>
	7.000	573.98	09/29/20	580.02	6.04 W	0.00	5 of 18 - Sale <sup>25</sup>
	10.000	819.98	09/29/20	827.10	7.12 W	0.00	6 of 18 - Sale <sup>25</sup>
	3.000	246.63	09/29/20	247.99	1.36 W	0.00	7 of 18 - Sale <sup>25</sup>
	7.000	575.48	09/29/20	578.76	3.28 W	0.00	8 of 18 - Sale <sup>25</sup>
	4.000	328.85	09/29/20	332.21	3.36 W	0.00	9 of 18 - Sale <sup>25</sup>
	6.000	493.27	09/29/20	496.67	3.40 W	0.00	10 of 18 - Sale <sup>25</sup>
	4.000	327.91	09/29/20	333.09	5.18 W	0.00	11 of 18 - Sale <sup>25</sup>
	6.000	491.87	09/29/20	498.73	6.86 W	0.00	12 of 18 - Sale <sup>25</sup>
	3.000	245.93	09/29/20	249.79	3.86 W	0.00	13 of 18 - Sale <sup>25</sup>
	3.000	245.93	09/29/20	248.56	2.63 W	0.00	14 of 18 - Sale <sup>25</sup>
	4.000	327.91	09/29/20	331.47	3.56 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	81.98	09/29/20	83.12	1.14 W	0.00	16 of 18 - Sale <sup>25</sup>
	3.000	245.94	09/29/20	248.25	2.31 W	0.00	17 of 18 - Sale <sup>25</sup>
	6.000	491.87	09/29/20	497.08	5.21 W	0.00	18 of 18 - Sale <sup>25</sup>
09/29/20	110.000	9,015.05	Various	9,083.04	67.99 W	0.00	Total of 18 transactions
<i>12 transactions for 09/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	81.65	09/30/20	83.38	1.73 W	0.00	1 of 12 - Sale <sup>25</sup>
	3.000	244.94	09/30/20	248.78	3.84 W	0.00	2 of 12 - Sale <sup>25</sup>
	6.000	489.89	09/30/20	499.37	9.48 W	0.00	3 of 12 - Sale <sup>25</sup>
	1.000	81.65	09/30/20	83.02	1.37 W	0.00	4 of 12 - Sale <sup>25</sup>
	3.000	244.94	09/30/20	250.27	5.33 W	0.00	5 of 12 - Sale <sup>25</sup>
	3.000	244.94	09/30/20	250.25	5.31 W	0.00	6 of 12 - Sale <sup>25</sup>
	3.000	244.94	09/30/20	249.02	4.08 W	0.00	7 of 12 - Sale <sup>25</sup>
	1.000	81.65	09/30/20	83.44	1.79 W	0.00	8 of 12 - Sale <sup>25</sup>
	3.000	244.95	09/30/20	249.57	4.62 W	0.00	9 of 12 - Sale <sup>25</sup>
	3.000	244.94	09/30/20	249.21	4.27 W	0.00	10 of 12 - Sale <sup>25</sup>
	6.000	489.89	09/30/20	499.01	9.12 W	0.00	11 of 12 - Sale <sup>25</sup>
	17.000	1,388.02	09/30/20	1,399.09	11.07 W	0.00	12 of 12 - Sale <sup>25</sup>
09/30/20	50.000	4,082.40	Various	4,144.41	62.01 W	0.00	Total of 12 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
37 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
1.000		84.19	10/01/20	85.87	1.68 W	0.00	1 of 37 - Sale <sup>25</sup>
1.000		84.19	10/01/20	85.51	1.32 W	0.00	2 of 37 - Sale <sup>25</sup>
3.000		252.57	10/01/20	256.26	3.69 W	0.00	3 of 37 - Sale <sup>25</sup>
3.000		252.57	10/01/20	257.75	5.18 W	0.00	4 of 37 - Sale <sup>25</sup>
3.000		252.56	10/01/20	257.73	5.17 W	0.00	5 of 37 - Sale <sup>25</sup>
3.000		252.57	10/01/20	256.50	3.93 W	0.00	6 of 37 - Sale <sup>25</sup>
6.000		505.14	10/01/20	514.32	9.18 W	0.00	7 of 37 - Sale <sup>25</sup>
1.000		84.76	10/01/20	86.08	1.32 W	0.00	8 of 37 - Sale <sup>25</sup>
3.000		254.28	10/01/20	257.49	3.21 W	0.00	9 of 37 - Sale <sup>25</sup>
3.000		254.28	10/01/20	257.14	2.86 W	0.00	10 of 37 - Sale <sup>25</sup>
6.000		508.56	10/01/20	514.85	6.29 W	0.00	11 of 37 - Sale <sup>25</sup>
17.000		1,440.91	10/01/20	1,443.97	3.06 W	0.00	12 of 37 - Sale <sup>25</sup>
1.000		84.76	10/01/20	85.87	1.11 W	0.00	13 of 37 - Sale <sup>25</sup>
3.000		254.27	10/01/20	256.25	1.98 W	0.00	14 of 37 - Sale <sup>25</sup>
6.000		508.55	10/01/20	514.31	5.76 W	0.00	15 of 37 - Sale <sup>25</sup>
1.000		84.76	10/01/20	85.63	0.87 W	0.00	16 of 37 - Sale <sup>25</sup>
3.000		254.27	10/01/20	258.10	3.83 W	0.00	17 of 37 - Sale <sup>25</sup>
3.000		254.28	10/01/20	258.09	3.81 W	0.00	18 of 37 - Sale <sup>25</sup>
3.000		254.27	10/01/20	256.85	2.58 W	0.00	19 of 37 - Sale <sup>25</sup>
3.000		254.27	10/01/20	256.13	1.86 W	0.00	20 of 37 - Sale <sup>25</sup>
3.000		254.28	10/01/20	255.79	1.51 W	0.00	21 of 37 - Sale <sup>25</sup>
4.000		339.03	10/01/20	341.42	2.39 W	0.00	22 of 37 - Sale <sup>25</sup>
1.000		84.76	10/01/20	85.10	0.34 W	0.00	23 of 37 - Sale <sup>25</sup>
1.000		84.91	10/01/20	86.03	1.12 W	0.00	24 of 37 - Sale <sup>25</sup>
1.000		84.91	10/01/20	86.30	1.39 W	0.00	25 of 37 - Sale <sup>25</sup>
1.000		84.91	10/01/20	86.09	1.18 W	0.00	26 of 37 - Sale <sup>25</sup>
1.000		84.91	10/01/20	85.85	0.94 W	0.00	27 of 37 - Sale <sup>25</sup>
1.000		84.91	10/01/20	85.32	0.41 W	0.00	28 of 37 - Sale <sup>25</sup>
3.000		254.73	10/01/20	256.91	2.18 W	0.00	29 of 37 - Sale <sup>25</sup>
3.000		254.73	10/01/20	258.76	4.03 W	0.00	30 of 37 - Sale <sup>25</sup>
3.000		254.73	10/01/20	258.74	4.01 W	0.00	31 of 37 - Sale <sup>25</sup>
3.000		254.73	10/01/20	257.51	2.78 W	0.00	32 of 37 - Sale <sup>25</sup>
3.000		254.72	10/01/20	256.79	2.07 W	0.00	33 of 37 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
	3.000	254.73	10/01/20	256.43	1.70 W	0.00	34 of 37 - Sale <sup>25</sup>
	4.000	339.63	10/01/20	342.29	2.66 W	0.00	35 of 37 - Sale <sup>25</sup>
	6.000	509.45	10/01/20	515.62	6.17 W	0.00	36 of 37 - Sale <sup>25</sup>
	17.000	1,443.45	10/01/20	1,447.66	4.21 W	0.00	37 of 37 - Sale <sup>25</sup>
10/01/20	131.000	11,099.53	Various	11,207.31	107.78 W	0.00	Total of 37 transactions
	16 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	85.81	10/05/20	85.77	...	0.04	1 of 16 - Sale <sup>25</sup>
	1.000	85.40	10/05/20	85.35	...	0.05	2 of 16 - Sale <sup>25</sup>
	3.000	257.43	10/05/20	257.33	...	0.10	3 of 16 - Sale <sup>25</sup>
	1.000	86.24	10/05/20	86.13	...	0.11	4 of 16 - Sale <sup>25</sup>
	1.000	86.24	10/05/20	86.04	...	0.20	5 of 16 - Sale <sup>25</sup>
	2.000	172.48	10/05/20	172.27	...	0.21	6 of 16 - Sale <sup>25</sup>
	1.000	85.82	10/05/20	85.54	...	0.28	7 of 16 - Sale <sup>25</sup>
	2.000	171.64	10/05/20	171.13	...	0.51	8 of 16 - Sale <sup>25</sup>
	2.000	171.61	10/05/20	171.02	...	0.59	9 of 16 - Sale <sup>25</sup>
	3.000	257.42	10/05/20	256.62	...	0.80	10 of 16 - Sale <sup>25</sup>
	7.000	597.79	10/05/20	596.61	...	1.18	11 of 16 - Sale <sup>25</sup>
	3.000	257.43	10/05/20	256.22	...	1.21	12 of 16 - Sale <sup>25</sup>
	17.000	1,442.41	10/05/20	1,441.05	...	1.36	13 of 16 - Sale <sup>25</sup>
	6.000	517.43	10/05/20	515.92	...	1.51	14 of 16 - Sale <sup>25</sup>
	10.000	853.88	10/05/20	852.29	...	1.59	15 of 16 - Sale <sup>25</sup>
	33.000	2,845.86	10/05/20	2,835.67	...	10.19	16 of 16 - Sale <sup>25</sup>
10/05/20	93.000	7,974.89	Various	7,954.96	...	19.93	Total of 16 transactions
	36 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	84.85	10/05/20	85.64	0.79 W	0.00	1 of 36 - Sale <sup>25</sup>
	1.000	84.85	10/05/20	85.91	1.06 W	0.00	2 of 36 - Sale <sup>25</sup>
	1.000	84.85	10/05/20	85.25	0.40 W	0.00	3 of 36 - Sale <sup>25</sup>
	1.000	84.85	10/05/20	85.74	0.89 W	0.00	4 of 36 - Sale <sup>25</sup>
	1.000	84.85	10/05/20	85.49	0.64 W	0.00	5 of 36 - Sale <sup>25</sup>
	1.000	84.85	10/05/20	84.97	0.12 W	0.00	6 of 36 - Sale <sup>25</sup>
	2.000	169.70	10/05/20	170.56	0.86 W	0.00	7 of 36 - Sale <sup>25</sup>
	3.000	254.54	10/05/20	257.70	3.16 W	0.00	8 of 36 - Sale <sup>25</sup>
	3.000	254.54	10/05/20	257.68	3.14 W	0.00	9 of 36 - Sale <sup>25</sup>
	3.000	254.54	10/05/20	256.45	1.91 W	0.00	10 of 36 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
	3.000	254.54	10/05/20	255.74	1.20 W	0.00	11 of 36 - Sale <sup>25</sup>
	3.000	254.55	10/05/20	255.36	0.81 W	0.00	12 of 36 - Sale <sup>25</sup>
	4.000	339.39	10/05/20	340.88	1.49 W	0.00	13 of 36 - Sale <sup>25</sup>
	6.000	509.09	10/05/20	513.51	4.42 W	0.00	14 of 36 - Sale <sup>25</sup>
	1.000	85.40	10/05/20	86.02	0.62 W	0.00	15 of 36 - Sale <sup>25</sup>
	1.000	85.40	10/05/20	86.29	0.89 W	0.00	16 of 36 - Sale <sup>25</sup>
	1.000	85.40	10/05/20	85.63	0.23 W	0.00	17 of 36 - Sale <sup>25</sup>
	1.000	85.40	10/05/20	86.12	0.72 W	0.00	18 of 36 - Sale <sup>25</sup>
	1.000	85.40	10/05/20	85.87	0.47 W	0.00	19 of 36 - Sale <sup>25</sup>
	2.000	170.80	10/05/20	171.32	0.52 W	0.00	20 of 36 - Sale <sup>25</sup>
	3.000	256.19	10/05/20	258.85	2.66 W	0.00	21 of 36 - Sale <sup>25</sup>
	3.000	256.19	10/05/20	258.83	2.64 W	0.00	22 of 36 - Sale <sup>25</sup>
	3.000	256.19	10/05/20	257.60	1.41 W	0.00	23 of 36 - Sale <sup>25</sup>
	3.000	256.19	10/05/20	256.89	0.70 W	0.00	24 of 36 - Sale <sup>25</sup>
	3.000	256.19	10/05/20	256.50	0.31 W	0.00	25 of 36 - Sale <sup>25</sup>
	4.000	341.59	10/05/20	342.41	0.82 W	0.00	26 of 36 - Sale <sup>25</sup>
	6.000	512.39	10/05/20	515.80	3.41 W	0.00	27 of 36 - Sale <sup>25</sup>
	1.000	85.82	10/05/20	85.93	0.11 W	0.00	28 of 36 - Sale <sup>25</sup>
	1.000	85.82	10/05/20	86.20	0.38 W	0.00	29 of 36 - Sale <sup>25</sup>
	1.000	85.82	10/05/20	86.02	0.20 W	0.00	30 of 36 - Sale <sup>25</sup>
	3.000	257.45	10/05/20	258.58	1.13 W	0.00	31 of 36 - Sale <sup>25</sup>
	6.000	514.90	10/05/20	515.24	0.34 W	0.00	32 of 36 - Sale <sup>25</sup>
	3.000	257.42	10/05/20	258.55	1.13 W	0.00	33 of 36 - Sale <sup>25</sup>
	1.000	86.24	10/05/20	86.31	0.07 W	0.00	34 of 36 - Sale <sup>25</sup>
	3.000	258.71	10/05/20	258.92	0.21 W	0.00	35 of 36 - Sale <sup>25</sup>
	3.000	258.72	10/05/20	258.92	0.20 W	0.00	36 of 36 - Sale <sup>25</sup>
10/05/20	87.000	7,423.62	Various	7,463.68	40.06 W	0.00	Total of 36 transactions
	12 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	86.14	10/06/20	87.05	0.91 W	0.00	1 of 12 - Sale <sup>25</sup>
	3.000	258.41	10/06/20	261.14	2.73 W	0.00	2 of 12 - Sale <sup>25</sup>
	3.000	258.41	10/06/20	261.13	2.72 W	0.00	3 of 12 - Sale <sup>25</sup>
	3.000	258.41	10/06/20	260.94	2.53 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	86.14	10/06/20	87.05	0.91 W	0.00	5 of 12 - Sale <sup>25</sup>
	29.000	2,498.01	10/06/20	2,524.45	26.44 W	0.00	6 of 12 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
	1.000	85.80	10/06/20	87.18	1.38 W	0.00	7 of 12 - Sale <sup>25</sup>
	3.000	257.39	10/06/20	261.54	4.15 W	0.00	8 of 12 - Sale <sup>25</sup>
	3.000	257.39	10/06/20	261.53	4.14 W	0.00	9 of 12 - Sale <sup>25</sup>
	3.000	257.39	10/06/20	261.34	3.95 W	0.00	10 of 12 - Sale <sup>25</sup>
	1.000	85.80	10/06/20	87.25	1.45 W	0.00	11 of 12 - Sale <sup>25</sup>
	29.000	2,488.15	10/06/20	2,530.30	42.15 W	0.00	12 of 12 - Sale <sup>25</sup>
10/06/20	80.000	6,877.44	Various	6,970.90	93.46 W	0.00	Total of 12 transactions
	16 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	86.75	10/07/20	88.91	2.16 W	0.00	1 of 16 - Sale <sup>25</sup>
	3.000	260.24	10/07/20	266.74	6.50 W	0.00	2 of 16 - Sale <sup>25</sup>
	3.000	260.24	10/07/20	266.73	6.49 W	0.00	3 of 16 - Sale <sup>25</sup>
	3.000	260.24	10/07/20	266.54	6.30 W	0.00	4 of 16 - Sale <sup>25</sup>
	1.000	86.75	10/07/20	88.95	2.20 W	0.00	5 of 16 - Sale <sup>25</sup>
	1.000	86.75	10/07/20	88.95	2.20 W	0.00	6 of 16 - Sale <sup>25</sup>
	28.000	2,428.94	10/07/20	2,490.70	61.76 W	0.00	7 of 16 - Sale <sup>25</sup>
	1.000	86.75	10/07/20	89.57	2.82 W	0.00	8 of 16 - Sale <sup>25</sup>
	1.000	86.75	10/07/20	89.61	2.86 W	0.00	9 of 16 - Sale <sup>25</sup>
	1.000	86.75	10/07/20	89.61	2.86 W	0.00	10 of 16 - Sale <sup>25</sup>
	3.000	260.24	10/07/20	268.73	8.49 W	0.00	11 of 16 - Sale <sup>25</sup>
	3.000	260.24	10/07/20	268.72	8.48 W	0.00	12 of 16 - Sale <sup>25</sup>
	3.000	260.25	10/07/20	268.53	8.28 W	0.00	13 of 16 - Sale <sup>25</sup>
	8.000	693.98	10/07/20	699.26	5.28 W	0.00	14 of 16 - Sale <sup>25</sup>
	1.000	86.43	10/07/20	88.83	2.40 W	0.00	15 of 16 - Sale <sup>25</sup>
	19.000	1,642.13	10/07/20	1,687.69	45.56 W	0.00	16 of 16 - Sale <sup>25</sup>
10/07/20	80.000	6,933.43	Various	7,108.07	174.64 W	0.00	Total of 16 transactions
	10 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	86.47	10/08/20	90.00	3.53 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	86.47	10/08/20	90.04	3.57 W	0.00	2 of 10 - Sale <sup>25</sup>
	1.000	86.47	10/08/20	90.04	3.57 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	86.47	10/08/20	89.58	3.11 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	86.47	10/08/20	89.57	3.10 W	0.00	5 of 10 - Sale <sup>25</sup>
	3.000	259.40	10/08/20	270.02	10.62 W	0.00	6 of 10 - Sale <sup>25</sup>
	3.000	259.40	10/08/20	270.01	10.61 W	0.00	7 of 10 - Sale <sup>25</sup>
	3.000	259.41	10/08/20	269.81	10.40 W	0.00	8 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
	8.000	691.74	10/08/20	715.05	23.31 W	0.00	9 of 10 - Sale <sup>25</sup>
	8.000	691.74	10/08/20	702.69	10.95 W	0.00	10 of 10 - Sale <sup>25</sup>
10/08/20	30.000	2,594.04	Various	2,676.81	82.77 W	0.00	Total of 10 transactions
	2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	2.000	168.47	10/14/20	168.10	...	0.37	1 of 2 - Sale <sup>25</sup>
	2.000	169.11	10/14/20	168.74	...	0.37	2 of 2 - Sale <sup>25</sup>
10/14/20	4.000	337.58	Various	336.84	...	0.74	Total of 2 transactions
	36 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	1.000	84.23	10/14/20	87.58	3.35 W	0.00	1 of 36 - Sale <sup>25</sup>
	1.000	84.23	10/14/20	87.62	3.39 W	0.00	2 of 36 - Sale <sup>25</sup>
	1.000	84.23	10/14/20	87.62	3.39 W	0.00	3 of 36 - Sale <sup>25</sup>
	1.000	84.23	10/14/20	87.16	2.93 W	0.00	4 of 36 - Sale <sup>25</sup>
	1.000	84.23	10/14/20	87.15	2.92 W	0.00	5 of 36 - Sale <sup>25</sup>
	3.000	252.69	10/14/20	262.77	10.08 W	0.00	6 of 36 - Sale <sup>25</sup>
	3.000	252.69	10/14/20	262.76	10.07 W	0.00	7 of 36 - Sale <sup>25</sup>
	3.000	252.69	10/14/20	262.55	9.86 W	0.00	8 of 36 - Sale <sup>25</sup>
	8.000	673.85	10/14/20	695.71	21.86 W	0.00	9 of 36 - Sale <sup>25</sup>
	8.000	673.85	10/14/20	683.35	9.50 W	0.00	10 of 36 - Sale <sup>25</sup>
	18.000	1,516.16	10/14/20	1,556.06	39.90 W	0.00	11 of 36 - Sale <sup>25</sup>
	1.000	84.56	10/14/20	87.72	3.16 W	0.00	12 of 36 - Sale <sup>25</sup>
	1.000	84.56	10/14/20	87.76	3.20 W	0.00	13 of 36 - Sale <sup>25</sup>
	1.000	84.56	10/14/20	87.76	3.20 W	0.00	14 of 36 - Sale <sup>25</sup>
	1.000	84.56	10/14/20	87.30	2.74 W	0.00	15 of 36 - Sale <sup>25</sup>
	1.000	84.56	10/14/20	87.29	2.73 W	0.00	16 of 36 - Sale <sup>25</sup>
	3.000	253.67	10/14/20	263.19	9.52 W	0.00	17 of 36 - Sale <sup>25</sup>
	3.000	253.68	10/14/20	263.18	9.50 W	0.00	18 of 36 - Sale <sup>25</sup>
	3.000	253.67	10/14/20	262.97	9.30 W	0.00	19 of 36 - Sale <sup>25</sup>
	8.000	676.46	10/14/20	696.82	20.36 W	0.00	20 of 36 - Sale <sup>25</sup>
	8.000	676.47	10/14/20	684.46	7.99 W	0.00	21 of 36 - Sale <sup>25</sup>
	18.000	1,522.04	10/14/20	1,558.56	36.52 W	0.00	22 of 36 - Sale <sup>25</sup>
	11.000	925.07	10/14/20	950.35	25.28 W	0.00	23 of 36 - Sale <sup>25</sup>
	4.000	336.40	10/14/20	345.58	9.18 W	0.00	24 of 36 - Sale <sup>25</sup>
	1.000	84.10	10/14/20	87.53	3.43 W	0.00	25 of 36 - Sale <sup>25</sup>
	1.000	84.10	10/14/20	87.57	3.47 W	0.00	26 of 36 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
	1.000	84.10	10/14/20	87.57	3.47 W	0.00	27 of 36 - Sale <sup>25</sup>
	1.000	84.10	10/14/20	87.11	3.01 W	0.00	28 of 36 - Sale <sup>25</sup>
	1.000	84.10	10/14/20	87.09	2.99 W	0.00	29 of 36 - Sale <sup>25</sup>
	3.000	252.29	10/14/20	262.62	10.33 W	0.00	30 of 36 - Sale <sup>25</sup>
	3.000	252.29	10/14/20	262.60	10.31 W	0.00	31 of 36 - Sale <sup>25</sup>
	3.000	252.29	10/14/20	262.40	10.11 W	0.00	32 of 36 - Sale <sup>25</sup>
	8.000	672.79	10/14/20	695.30	22.51 W	0.00	33 of 36 - Sale <sup>25</sup>
	8.000	672.79	10/14/20	682.92	10.13 W	0.00	34 of 36 - Sale <sup>25</sup>
	3.000	252.29	10/14/20	259.19	6.90 W	0.00	35 of 36 - Sale <sup>25</sup>
	2.000	168.19	10/14/20	168.73	0.54 W	0.00	36 of 36 - Sale <sup>25</sup>
10/14/20	146.000	12,306.77	Various	12,653.90	347.13 W	0.00	Total of 36 transactions
	6 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	1.000	83.37	10/14/20	87.64	4.27 W	0.00	1 of 6 - Sale <sup>25</sup>
	3.000	250.11	10/14/20	259.53	9.42 W	0.00	2 of 6 - Sale <sup>25</sup>
	3.000	250.10	10/14/20	262.95	12.85 W	0.00	3 of 6 - Sale <sup>25</sup>
	4.000	333.48	10/14/20	346.01	12.53 W	0.00	4 of 6 - Sale <sup>25</sup>
	8.000	666.95	10/14/20	696.18	29.23 W	0.00	5 of 6 - Sale <sup>25</sup>
	11.000	917.06	10/14/20	951.57	34.51 W	0.00	6 of 6 - Sale <sup>25</sup>
10/16/20	30.000	2,501.07	Various	2,603.88	102.81 W	0.00	Total of 6 transactions
11/06/20	2.000	169.80	11/06/20	169.44	...	0.36	Sale <sup>25</sup>
	38 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	10.000	849.00	11/06/20	875.87	26.87 W	0.00	1 of 38 - Sale <sup>25</sup>
	1.000	84.90	11/06/20	87.92	3.02 W	0.00	2 of 38 - Sale <sup>25</sup>
	1.000	84.90	11/06/20	87.92	3.02 W	0.00	3 of 38 - Sale <sup>25</sup>
	1.000	84.90	11/06/20	87.46	2.56 W	0.00	4 of 38 - Sale <sup>25</sup>
	1.000	84.90	11/06/20	87.44	2.54 W	0.00	5 of 38 - Sale <sup>25</sup>
	3.000	254.70	11/06/20	263.66	8.96 W	0.00	6 of 38 - Sale <sup>25</sup>
	3.000	254.70	11/06/20	263.46	8.76 W	0.00	7 of 38 - Sale <sup>25</sup>
	8.000	679.21	11/06/20	685.73	6.52 W	0.00	8 of 38 - Sale <sup>25</sup>
	1.000	84.94	11/06/20	88.06	3.12 W	0.00	9 of 38 - Sale <sup>25</sup>
	1.000	84.94	11/06/20	89.18	4.24 W	0.00	10 of 38 - Sale <sup>25</sup>
	3.000	254.81	11/06/20	264.16	9.35 W	0.00	11 of 38 - Sale <sup>25</sup>
	3.000	254.81	11/06/20	267.60	12.79 W	0.00	12 of 38 - Sale <sup>25</sup>
	4.000	339.75	11/06/20	352.19	12.44 W	0.00	13 of 38 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
	8.000	679.51	11/06/20	708.55	29.04 W	0.00	14 of 38 - Sale <sup>25</sup>
	10.000	846.29	11/06/20	875.07	28.78 W	0.00	15 of 38 - Sale <sup>25</sup>
	1.000	84.63	11/06/20	87.84	3.21 W	0.00	16 of 38 - Sale <sup>25</sup>
	1.000	84.63	11/06/20	87.84	3.21 W	0.00	17 of 38 - Sale <sup>25</sup>
	2.000	169.25	11/06/20	171.27	2.02 W	0.00	18 of 38 - Sale <sup>25</sup>
	3.000	253.89	11/06/20	263.42	9.53 W	0.00	19 of 38 - Sale <sup>25</sup>
	3.000	253.89	11/06/20	263.22	9.33 W	0.00	20 of 38 - Sale <sup>25</sup>
	1.000	85.21	11/06/20	88.35	3.14 W	0.00	21 of 38 - Sale <sup>25</sup>
	3.000	255.62	11/06/20	265.04	9.42 W	0.00	22 of 38 - Sale <sup>25</sup>
	4.000	340.83	11/06/20	353.36	12.53 W	0.00	23 of 38 - Sale <sup>25</sup>
	4.000	340.83	11/06/20	355.44	14.61 W	0.00	24 of 38 - Sale <sup>25</sup>
	1.000	85.21	11/06/20	87.79	2.58 W	0.00	25 of 38 - Sale <sup>25</sup>
	1.000	85.21	11/06/20	87.77	2.56 W	0.00	26 of 38 - Sale <sup>25</sup>
	6.000	511.25	11/06/20	516.27	5.02 W	0.00	27 of 38 - Sale <sup>25</sup>
	1.000	85.68	11/06/20	90.07	4.39 W	0.00	28 of 38 - Sale <sup>25</sup>
	1.000	85.68	11/06/20	88.97	3.29 W	0.00	29 of 38 - Sale <sup>25</sup>
	1.000	85.68	11/06/20	88.95	3.27 W	0.00	30 of 38 - Sale <sup>25</sup>
	3.000	257.03	11/06/20	270.28	13.25 W	0.00	31 of 38 - Sale <sup>25</sup>
	4.000	342.71	11/06/20	357.83	15.12 W	0.00	32 of 38 - Sale <sup>25</sup>
	10.000	856.78	11/06/20	887.07	30.29 W	0.00	33 of 38 - Sale <sup>25</sup>
	1.000	85.68	11/06/20	89.04	3.36 W	0.00	34 of 38 - Sale <sup>25</sup>
	1.000	85.68	11/06/20	89.04	3.36 W	0.00	35 of 38 - Sale <sup>25</sup>
	2.000	171.35	11/06/20	173.68	2.33 W	0.00	36 of 38 - Sale <sup>25</sup>
	3.000	257.03	11/06/20	267.02	9.99 W	0.00	37 of 38 - Sale <sup>25</sup>
	3.000	257.04	11/06/20	266.81	9.77 W	0.00	38 of 38 - Sale <sup>25</sup>
11/06/20	118.000	10,043.05	Various	10,380.64	337.59 W	0.00	Total of 38 transactions
	4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	3.000	260.81	11/20/20	264.33	3.52 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	173.87	11/20/20	176.24	2.37 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	86.19	11/20/20	88.12	1.93 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	344.76	11/20/20	354.52	9.76 W	0.00	4 of 4 - Sale <sup>25</sup>
11/23/20	10.000	865.63	Various	883.21	17.58 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	5.000	434.04	11/24/20	433.18	...	0.86	1 of 2 - Sale <sup>25</sup>
	1.000	86.81	11/24/20	85.68	...	1.13	2 of 2 - Sale <sup>25</sup>
11/25/20	6.000	520.85	Various	518.86	...	1.99	Total of 2 transactions
26 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	86.81	11/24/20	88.62	1.81 W	0.00	1 of 26 - Sale <sup>25</sup>
	1.000	86.81	11/24/20	87.42	0.61 W	0.00	2 of 26 - Sale <sup>25</sup>
	1.000	86.81	11/24/20	87.40	0.59 W	0.00	3 of 26 - Sale <sup>25</sup>
	1.000	86.81	11/25/20	90.98	4.17 W	0.00	4 of 26 - Sale <sup>25</sup>
	1.000	86.80	11/25/20	91.01	4.21 W	0.00	5 of 26 - Sale <sup>25</sup>
	3.000	260.42	11/25/20	271.11	10.69 W	0.00	6 of 26 - Sale <sup>25</sup>
	1.000	86.25	11/25/20	88.37	2.12 W	0.00	7 of 26 - Sale <sup>25</sup>
	2.000	172.50	11/25/20	175.25	2.75 W	0.00	8 of 26 - Sale <sup>25</sup>
	3.000	258.74	11/25/20	262.84	4.10 W	0.00	9 of 26 - Sale <sup>25</sup>
	4.000	344.99	11/25/20	355.52	10.53 W	0.00	10 of 26 - Sale <sup>25</sup>
	1.000	86.25	11/25/20	88.25	2.00 W	0.00	11 of 26 - Sale <sup>25</sup>
	1.000	86.25	11/25/20	89.73	3.48 W	0.00	12 of 26 - Sale <sup>25</sup>
	1.000	86.25	11/25/20	89.71	3.46 W	0.00	13 of 26 - Sale <sup>25</sup>
	1.000	86.25	11/25/20	90.61	4.36 W	0.00	14 of 26 - Sale <sup>25</sup>
	1.000	86.25	11/25/20	90.65	4.40 W	0.00	15 of 26 - Sale <sup>25</sup>
	2.000	172.50	11/25/20	181.71	9.21 W	0.00	16 of 26 - Sale <sup>25</sup>
	3.000	258.74	11/25/20	270.01	11.27 W	0.00	17 of 26 - Sale <sup>25</sup>
	10.000	862.48	11/25/20	894.69	32.21 W	0.00	18 of 26 - Sale <sup>25</sup>
	1.000	86.25	11/25/20	87.05	0.80 W	0.00	19 of 26 - Sale <sup>25</sup>
	1.000	86.25	11/25/20	87.03	0.78 W	0.00	20 of 26 - Sale <sup>25</sup>
	1.000	86.25	11/25/20	89.80	3.55 W	0.00	21 of 26 - Sale <sup>25</sup>
	1.000	86.25	11/25/20	89.80	3.55 W	0.00	22 of 26 - Sale <sup>25</sup>
	2.000	172.49	11/25/20	175.21	2.72 W	0.00	23 of 26 - Sale <sup>25</sup>
	3.000	258.74	11/25/20	269.31	10.57 W	0.00	24 of 26 - Sale <sup>25</sup>
	3.000	258.74	11/25/20	269.09	10.35 W	0.00	25 of 26 - Sale <sup>25</sup>
	8.000	689.98	11/25/20	691.52	1.54 W	0.00	26 of 26 - Sale <sup>25</sup>
11/25/20	58.000	5,006.86	Various	5,152.69	145.83 W	0.00	Total of 26 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
<i>2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	179.50	11/30/20	179.21	...	0.29	1 of 2 - Sale <sup>25</sup>
	3.000	269.24	11/30/20	268.79	...	0.45	2 of 2 - Sale <sup>25</sup>
11/30/20	5.000	448.74	Various	448.00	...	0.74	Total of 2 transactions
<i>34 transactions for 11/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	89.75	11/30/20	90.35	0.60 W	0.00	1 of 34 - Sale <sup>25</sup>
	2.000	179.49	11/30/20	181.73	2.24 W	0.00	2 of 34 - Sale <sup>25</sup>
	2.000	179.50	11/30/20	183.62	4.12 W	0.00	3 of 34 - Sale <sup>25</sup>
	1.000	89.75	11/30/20	91.18	1.43 W	0.00	4 of 34 - Sale <sup>25</sup>
	2.000	179.49	11/30/20	187.57	8.08 W	0.00	5 of 34 - Sale <sup>25</sup>
	1.000	88.93	11/30/20	92.40	3.47 W	0.00	6 of 34 - Sale <sup>25</sup>
	1.000	88.93	11/30/20	91.83	2.90 W	0.00	7 of 34 - Sale <sup>25</sup>
	9.000	800.35	11/30/20	824.19	23.84 W	0.00	8 of 34 - Sale <sup>25</sup>
	1.000	88.93	11/30/20	92.48	3.55 W	0.00	9 of 34 - Sale <sup>25</sup>
	1.000	88.93	11/30/20	92.78	3.85 W	0.00	10 of 34 - Sale <sup>25</sup>
	1.000	88.93	11/30/20	93.38	4.45 W	0.00	11 of 34 - Sale <sup>25</sup>
	1.000	88.93	11/30/20	93.42	4.49 W	0.00	12 of 34 - Sale <sup>25</sup>
	2.000	177.86	11/30/20	185.55	7.69 W	0.00	13 of 34 - Sale <sup>25</sup>
	1.000	88.93	11/30/20	89.82	0.89 W	0.00	14 of 34 - Sale <sup>25</sup>
	1.000	88.93	11/30/20	89.80	0.87 W	0.00	15 of 34 - Sale <sup>25</sup>
	2.000	177.85	11/30/20	185.09	7.24 W	0.00	16 of 34 - Sale <sup>25</sup>
	1.000	88.93	11/30/20	93.18	4.25 W	0.00	17 of 34 - Sale <sup>25</sup>
	1.000	88.93	11/30/20	93.21	4.28 W	0.00	18 of 34 - Sale <sup>25</sup>
	1.000	88.93	11/30/20	93.21	4.28 W	0.00	19 of 34 - Sale <sup>25</sup>
	1.000	88.92	11/30/20	89.85	0.93 W	0.00	20 of 34 - Sale <sup>25</sup>
	2.000	177.85	11/30/20	182.04	4.19 W	0.00	21 of 34 - Sale <sup>25</sup>
	3.000	266.78	11/30/20	279.33	12.55 W	0.00	22 of 34 - Sale <sup>25</sup>
	1.000	88.37	11/30/20	89.72	1.35 W	0.00	23 of 34 - Sale <sup>25</sup>
	2.000	176.74	11/30/20	180.48	3.74 W	0.00	24 of 34 - Sale <sup>25</sup>
	2.000	176.74	11/30/20	182.36	5.62 W	0.00	25 of 34 - Sale <sup>25</sup>
	1.000	88.37	11/30/20	90.55	2.18 W	0.00	26 of 34 - Sale <sup>25</sup>
	1.000	88.37	11/30/20	92.59	4.22 W	0.00	27 of 34 - Sale <sup>25</sup>
	1.000	88.37	11/30/20	92.02	3.65 W	0.00	28 of 34 - Sale <sup>25</sup>
	1.000	88.37	11/30/20	92.67	4.30 W	0.00	29 of 34 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
	1.000	88.37	11/30/20	92.97	4.60 W	0.00	30 of 34 - Sale <sup>25</sup>
	2.000	176.74	11/30/20	186.32	9.58 W	0.00	31 of 34 - Sale <sup>25</sup>
	2.000	176.73	11/30/20	185.92	9.19 W	0.00	32 of 34 - Sale <sup>25</sup>
	9.000	795.30	11/30/20	825.89	30.59 W	0.00	33 of 34 - Sale <sup>25</sup>
	7.000	618.57	11/30/20	625.18	6.61 W	0.00	34 of 34 - Sale <sup>25</sup>
11/30/20	68.000	6,036.86	Various	6,232.68	195.82 W	0.00	Total of 34 transactions
	8 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	2.000	187.32	11/30/20	187.22	...	0.10	1 of 8 - Sale <sup>25</sup>
	1.000	93.66	11/30/20	92.98	...	0.68	2 of 8 - Sale <sup>25</sup>
	2.000	186.81	11/30/20	185.79	...	1.02	3 of 8 - Sale <sup>25</sup>
	1.000	93.66	11/30/20	92.15	...	1.51	4 of 8 - Sale <sup>25</sup>
	1.000	93.40	11/30/20	91.73	...	1.67	5 of 8 - Sale <sup>25</sup>
	2.000	187.31	11/30/20	185.34	...	1.97	6 of 8 - Sale <sup>25</sup>
	1.000	93.66	11/30/20	91.69	...	1.97	7 of 8 - Sale <sup>25</sup>
	1.000	93.66	11/30/20	91.67	...	1.99	8 of 8 - Sale <sup>25</sup>
12/01/20	11.000	1,029.48	Various	1,018.57	...	10.91	Total of 8 transactions
	11 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	1.000	93.66	11/30/20	95.25	1.59 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	93.66	11/30/20	95.29	1.63 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	93.66	11/30/20	95.02	1.36 W	0.00	3 of 11 - Sale <sup>25</sup>
	2.000	187.31	11/30/20	191.17	3.86 W	0.00	4 of 11 - Sale <sup>25</sup>
	6.000	561.94	11/30/20	565.17	3.23 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	93.65	11/30/20	94.42	0.77 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	93.41	11/30/20	95.05	1.64 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	93.41	11/30/20	95.08	1.67 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	93.41	11/30/20	95.08	1.67 W	0.00	9 of 11 - Sale <sup>25</sup>
	1.000	93.41	11/30/20	94.42	1.01 W	0.00	10 of 11 - Sale <sup>25</sup>
	3.000	280.22	11/30/20	284.95	4.73 W	0.00	11 of 11 - Sale <sup>25</sup>
12/01/20	19.000	1,777.74	Various	1,800.90	23.16 W	0.00	Total of 11 transactions
12/04/20	16.000	1,493.55	12/04/20	1,486.99	...	6.56	Sale <sup>25</sup>
	17 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	1.000	93.35	12/04/20	94.53	1.18 W	0.00	1 of 17 - Sale <sup>25</sup>
	1.000	93.35	12/04/20	94.57	1.22 W	0.00	2 of 17 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
	1.000	93.35	12/04/20	94.30	0.95 W	0.00	3 of 17 - Sale <sup>25</sup>
	2.000	186.70	12/04/20	189.74	3.04 W	0.00	4 of 17 - Sale <sup>25</sup>
	6.000	560.09	12/04/20	560.86	0.77 W	0.00	5 of 17 - Sale <sup>25</sup>
	1.000	93.35	12/04/20	96.59	3.24 W	0.00	6 of 17 - Sale <sup>25</sup>
	1.000	93.35	12/04/20	97.24	3.89 W	0.00	7 of 17 - Sale <sup>25</sup>
	1.000	93.35	12/04/20	97.54	4.19 W	0.00	8 of 17 - Sale <sup>25</sup>
	2.000	186.69	12/04/20	195.07	8.38 W	0.00	9 of 17 - Sale <sup>25</sup>
	3.000	280.04	12/04/20	289.02	8.98 W	0.00	10 of 17 - Sale <sup>25</sup>
	1.000	93.35	12/04/20	93.71	0.36 W	0.00	11 of 17 - Sale <sup>25</sup>
	1.000	93.35	12/04/20	93.95	0.60 W	0.00	12 of 17 - Sale <sup>25</sup>
	1.000	93.35	12/04/20	94.58	1.23 W	0.00	13 of 17 - Sale <sup>25</sup>
	1.000	93.35	12/04/20	94.61	1.26 W	0.00	14 of 17 - Sale <sup>25</sup>
	1.000	93.35	12/04/20	94.61	1.26 W	0.00	15 of 17 - Sale <sup>25</sup>
	3.000	280.04	12/04/20	283.54	3.50 W	0.00	16 of 17 - Sale <sup>25</sup>
	7.000	653.43	12/04/20	657.18	3.75 W	0.00	17 of 17 - Sale <sup>25</sup>
12/04/20	34.000	3,173.84	Various	3,221.64	47.80 W	0.00	Total of 17 transactions
	21 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	40.000	3,751.90	12/14/20	3,768.00	...	-16.10	1 of 21 - Sale <sup>25</sup>
	3.000	281.39	12/14/20	291.40	...	-10.01	2 of 21 - Sale <sup>25</sup>
	2.000	187.60	12/14/20	196.66	...	-9.06	3 of 21 - Sale <sup>25</sup>
	20.000	1,875.95	12/14/20	1,881.11	...	-5.16	4 of 21 - Sale <sup>25</sup>
	1.000	93.80	12/14/20	98.33	...	-4.53	5 of 21 - Sale <sup>25</sup>
	3.000	281.39	12/14/20	285.92	...	-4.53	6 of 21 - Sale <sup>25</sup>
	1.000	93.80	12/14/20	98.03	...	-4.23	7 of 21 - Sale <sup>25</sup>
	2.000	187.60	12/14/20	191.32	...	-3.72	8 of 21 - Sale <sup>25</sup>
	1.000	93.80	12/14/20	97.38	...	-3.58	9 of 21 - Sale <sup>25</sup>
	6.000	562.79	12/14/20	565.61	...	-2.82	10 of 21 - Sale <sup>25</sup>
	3.000	281.39	12/14/20	284.03	...	-2.64	11 of 21 - Sale <sup>25</sup>
	4.000	375.19	12/14/20	377.66	...	-2.47	12 of 21 - Sale <sup>25</sup>
	1.000	93.80	12/14/20	95.40	...	-1.60	13 of 21 - Sale <sup>25</sup>
	1.000	93.80	12/14/20	95.40	...	-1.60	14 of 21 - Sale <sup>25</sup>
	1.000	93.80	12/14/20	95.37	...	-1.57	15 of 21 - Sale <sup>25</sup>
	1.000	93.80	12/14/20	95.36	...	-1.56	16 of 21 - Sale <sup>25</sup>
	1.000	93.80	12/14/20	95.32	...	-1.52	17 of 21 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
	1.000	93.80	12/14/20	95.09	...	-1.29	18 of 21 - Sale <sup>25</sup>
	1.000	93.80	12/14/20	94.74	...	-0.94	19 of 21 - Sale <sup>25</sup>
	1.000	93.80	12/14/20	94.50	...	-0.70	20 of 21 - Sale <sup>25</sup>
	6.000	562.78	12/14/20	563.28	...	-0.50	21 of 21 - Sale <sup>25</sup>
12/14/20	100.000	9,379.78	Various	9,459.91	...	-80.13	Total of 21 transactions
	<b>Security total:</b>	<b>160,029.34</b>		<b>161,933.68</b>	<b>2,009.88 W</b>	<b>105.54</b>	
AETHLON MEDICAL, INC. COMMON STOCK / CUSIP: 00808Y307 / Symbol:							
2 transactions for 01/21/20. Total proceeds and cost reported to the IRS.							
	36.000	88.21	01/17/20	71.64	...	16.57	1 of 2 - Sale <sup>25</sup>
	64.000	156.81	01/17/20	127.36	...	29.45	2 of 2 - Sale <sup>25</sup>
01/21/20	100.000	245.02	Various	199.00	...	46.02	Total of 2 transactions
28 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	100.000	264.98	06/18/20	278.04	...	-13.06	1 of 28 - Sale <sup>25</sup>
	220.000	582.96	06/18/20	594.04	...	-11.08	2 of 28 - Sale <sup>25</sup>
	80.000	211.99	06/18/20	222.43	...	-10.44	3 of 28 - Sale <sup>25</sup>
	60.000	158.99	06/18/20	163.21	...	-4.22	4 of 28 - Sale <sup>25</sup>
	20.000	53.00	06/18/20	55.60	...	-2.60	5 of 28 - Sale <sup>25</sup>
	13.000	34.45	06/18/20	36.15	...	-1.70	6 of 28 - Sale <sup>25</sup>
	7.000	18.55	06/18/20	19.46	...	-0.91	7 of 28 - Sale <sup>25</sup>
	2.000	5.84	06/18/20	5.74	...	0.10	8 of 28 - Sale <sup>25</sup>
	10.000	26.50	06/18/20	26.40	...	0.10	9 of 28 - Sale <sup>25</sup>
	42.000	111.29	06/18/20	110.89	...	0.40	10 of 28 - Sale <sup>25</sup>
	10.000	29.20	06/18/20	28.70	...	0.50	11 of 28 - Sale <sup>25</sup>
	50.000	141.50	06/18/20	141.00	...	0.50	12 of 28 - Sale <sup>25</sup>
	50.000	141.50	06/18/20	141.00	...	0.50	13 of 28 - Sale <sup>25</sup>
	70.000	185.49	06/18/20	184.82	...	0.67	14 of 28 - Sale <sup>25</sup>
	158.000	418.67	06/18/20	417.15	...	1.52	15 of 28 - Sale <sup>25</sup>
	200.000	565.96	06/18/20	564.00	...	1.96	16 of 28 - Sale <sup>25</sup>
	200.000	565.96	06/18/20	564.00	...	1.96	17 of 28 - Sale <sup>25</sup>
	70.000	199.50	06/18/20	197.40	...	2.10	18 of 28 - Sale <sup>25</sup>
	100.000	281.98	06/18/20	279.00	...	2.98	19 of 28 - Sale <sup>25</sup>
	100.000	281.98	06/18/20	278.00	...	3.98	20 of 28 - Sale <sup>25</sup>
	300.000	824.94	06/18/20	819.00	...	5.94	21 of 28 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AETHLON MEDICAL, INC. COMMON S TOCK / CUSIP: 00808Y307 / Symbol: (cont'd)							
	300.000	824.94	06/18/20	819.00	...	5.94	22 of 28 - Sale <sup>25</sup>
	400.000	1,099.93	06/18/20	1,092.00	...	7.93	23 of 28 - Sale <sup>25</sup>
	300.000	845.94	06/18/20	834.00	...	11.94	24 of 28 - Sale <sup>25</sup>
	400.000	1,127.93	06/18/20	1,112.00	...	15.93	25 of 28 - Sale <sup>25</sup>
	488.000	1,424.87	06/18/20	1,400.56	...	24.31	26 of 28 - Sale <sup>25</sup>
	720.000	1,907.86	06/18/20	1,872.00	...	35.86	27 of 28 - Sale <sup>25</sup>
	1,500.000	4,379.72	06/18/20	4,305.00	...	74.72	28 of 28 - Sale <sup>25</sup>
06/18/20	5,970.000	16,716.42	Various	16,560.59	...	155.83	Total of 28 transactions
	12 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	100.000	277.00	06/18/20	278.00	1.00 W	0.00	1 of 12 - Sale <sup>25</sup>
	100.000	261.98	06/18/20	268.00	6.02 W	0.00	2 of 12 - Sale <sup>25</sup>
	113.000	296.03	06/18/20	302.84	6.81 W	0.00	3 of 12 - Sale <sup>25</sup>
	7.000	18.34	06/18/20	18.76	0.42 W	0.00	4 of 12 - Sale <sup>25</sup>
	7.000	18.34	06/18/20	19.18	0.84 W	0.00	5 of 12 - Sale <sup>25</sup>
	20.000	52.40	06/18/20	54.80	2.40 W	0.00	6 of 12 - Sale <sup>25</sup>
	113.000	296.04	06/18/20	309.65	13.61 W	0.00	7 of 12 - Sale <sup>25</sup>
	60.000	157.19	06/18/20	160.80	3.61 W	0.00	8 of 12 - Sale <sup>25</sup>
	80.000	209.59	06/18/20	219.22	9.63 W	0.00	9 of 12 - Sale <sup>25</sup>
	300.000	785.94	06/18/20	798.00	12.06 W	0.00	10 of 12 - Sale <sup>25</sup>
	158.000	413.93	06/18/20	420.28	6.35 W	0.00	11 of 12 - Sale <sup>25</sup>
	42.000	110.03	06/18/20	111.72	1.69 W	0.00	12 of 12 - Sale <sup>25</sup>
06/18/20	1,100.000	2,896.81	Various	2,961.25	64.44 W	0.00	Total of 12 transactions
	<b>Security total:</b>	<b>19,858.25</b>		<b>19,720.84</b>	<b>64.44 W</b>	<b>201.85</b>	
AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol:							
03/04/20	200.000	493.98	03/04/20	554.00	56.42 W	-3.60	Sale <sup>25</sup>
03/09/20	188.000	714.36	03/04/20	554.62	...	159.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,208.34</b>		<b>1,108.62</b>	<b>56.42 W</b>	<b>156.14</b>	
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol:							
12/10/20	1.000	145.07	12/10/20	146.00	...	-0.93	Sale <sup>25</sup>
ALCOA CORPORATION / CUSIP: 013872106 / Symbol:							
07/13/20	100.000	1,187.96	07/13/20	1,179.80	...	8.16	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
6 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	266.59	08/21/20	264.88	...	1.71	1 of 6 - Sale <sup>25</sup>
	2.000	533.18	08/21/20	529.76	...	3.42	2 of 6 - Sale <sup>25</sup>
	5.000	1,331.34	08/21/20	1,326.50	...	4.84	3 of 6 - Sale <sup>25</sup>
	5.000	1,331.35	08/21/20	1,326.05	...	5.30	4 of 6 - Sale <sup>25</sup>
	4.000	1,066.38	08/21/20	1,059.64	...	6.74	5 of 6 - Sale <sup>25</sup>
	15.000	3,998.91	08/21/20	3,978.15	...	20.76	6 of 6 - Sale <sup>25</sup>
08/21/20	32.000	8,527.75	Various	8,484.98	...	42.77	Total of 6 transactions
3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	10.000	2,655.94	08/21/20	2,662.40	6.46 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	2,655.94	08/21/20	2,659.60	3.66 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	1,332.77	08/21/20	1,339.51	6.74 W	0.00	3 of 3 - Sale <sup>25</sup>
08/21/20	25.000	6,644.65	Various	6,661.51	16.86 W	0.00	Total of 3 transactions
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	5.000	1,380.24	08/24/20	1,378.93	...	1.31	1 of 2 - Sale <sup>25</sup>
	5.000	1,380.24	08/24/20	1,377.53	...	2.71	2 of 2 - Sale <sup>25</sup>
08/24/20	10.000	2,760.48	Various	2,756.46	...	4.02	Total of 2 transactions
6 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	5.000	1,373.24	08/24/20	1,379.28	6.04 W	0.00	1 of 6 - Sale <sup>25</sup>
	5.000	1,373.24	08/24/20	1,384.19	10.95 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	2,746.49	08/24/20	2,754.90	8.41 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	1,378.47	08/24/20	1,380.94	2.47 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	1,378.46	08/24/20	1,385.84	7.38 W	0.00	5 of 6 - Sale <sup>25</sup>
	10.000	2,759.93	08/24/20	2,766.91	6.98 W	0.00	6 of 6 - Sale <sup>25</sup>
08/24/20	40.000	11,009.83	Various	11,052.06	42.23 W	0.00	Total of 6 transactions
4 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	10.000	2,883.94	08/25/20	2,881.38	...	2.56	1 of 4 - Sale <sup>25</sup>
	5.000	1,417.51	08/25/20	1,409.11	...	8.40	2 of 4 - Sale <sup>25</sup>
	10.000	2,883.93	08/25/20	2,874.40	...	9.53	3 of 4 - Sale <sup>25</sup>
	5.000	1,417.52	08/25/20	1,404.20	...	13.32	4 of 4 - Sale <sup>25</sup>
08/25/20	30.000	8,602.90	Various	8,569.09	...	33.81	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
<i>2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	5,772.47	08/28/20	5,772.08	...	0.39	1 of 2 - Sale <sup>25</sup>
	10.000	2,886.23	08/28/20	2,878.10	...	8.13	2 of 2 - Sale <sup>25</sup>
08/28/20	30.000	8,658.70	Various	8,650.18	...	8.52	Total of 2 transactions
<i>2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	5,694.60	08/28/20	5,728.30	33.70 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	5,760.89	08/28/20	5,765.00	4.11 W	0.00	2 of 2 - Sale <sup>25</sup>
08/28/20	40.000	11,455.49	Various	11,493.30	37.81 W	0.00	Total of 2 transactions
09/01/20	10.000	2,935.70	09/01/20	2,924.06	...	11.64	Sale <sup>25</sup>
09/16/20	5.000	1,413.70	09/16/20	1,411.37	...	2.33	Sale <sup>25</sup>
09/16/20	5.000	1,413.70	09/16/20	1,414.57	0.87 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,380.97	09/23/20	1,381.85	0.88 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	1,380.96	09/23/20	1,380.98	0.02 W	0.00	2 of 2 - Sale <sup>25</sup>
09/23/20	10.000	2,761.93	Various	2,762.83	0.90 W	0.00	Total of 2 transactions
<i>2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,348.60	09/24/20	1,349.67	1.07 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	1,348.61	09/24/20	1,348.92	0.31 W	0.00	2 of 2 - Sale <sup>25</sup>
09/24/20	10.000	2,697.21	Various	2,698.59	1.38 W	0.00	Total of 2 transactions
<i>6 transactions for 09/25/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,329.50	09/25/20	1,330.73	1.23 W	0.00	1 of 6 - Sale <sup>25</sup>
	5.000	1,324.17	09/25/20	1,329.70	5.53 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	1,332.08	09/25/20	1,332.96	0.88 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	267.26	09/25/20	269.01	1.75 W	0.00	4 of 6 - Sale <sup>25</sup>
	4.000	1,069.06	09/25/20	1,076.02	6.96 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	1,336.32	09/25/20	1,340.38	4.06 W	0.00	6 of 6 - Sale <sup>25</sup>
09/25/20	25.000	6,658.39	Various	6,678.80	20.41 W	0.00	Total of 6 transactions
09/30/20	5.000	1,445.12	09/30/20	1,441.66	...	3.46	Sale <sup>25</sup>
<i>8 transactions for 09/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	289.02	09/30/20	289.27	0.25 W	0.00	1 of 8 - Sale <sup>25</sup>
	4.000	1,156.09	09/30/20	1,157.04	0.95 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	289.49	09/30/20	290.95	1.46 W	0.00	3 of 8 - Sale <sup>25</sup>
	4.000	1,157.97	09/30/20	1,163.75	5.78 W	0.00	4 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	5.000	1,447.47	09/30/20	1,453.50	6.03 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	292.07	09/30/20	294.16	2.09 W	0.00	6 of 8 - Sale <sup>25</sup>
	4.000	1,168.29	09/30/20	1,176.58	8.29 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	1,460.37	09/30/20	1,469.53	9.16 W	0.00	8 of 8 - Sale <sup>25</sup>
09/30/20	25.000	7,260.77	Various	7,294.78	34.01 W	0.00	Total of 8 transactions
	3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	1.000	291.31	10/01/20	292.48	1.17 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	1,165.26	10/01/20	1,169.84	4.58 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	1,456.57	10/01/20	1,461.10	4.53 W	0.00	3 of 3 - Sale <sup>25</sup>
10/01/20	10.000	2,913.14	Various	2,923.42	10.28 W	0.00	Total of 3 transactions
	9 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	290.02	10/02/20	290.18	0.16 W	0.00	1 of 9 - Sale <sup>25</sup>
	4.000	1,160.09	10/02/20	1,160.62	0.53 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	289.88	10/02/20	290.57	0.69 W	0.00	3 of 9 - Sale <sup>25</sup>
	4.000	1,159.53	10/02/20	1,162.17	2.64 W	0.00	4 of 9 - Sale <sup>25</sup>
	5.000	1,449.42	10/02/20	1,456.59	7.17 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	288.05	10/02/20	289.86	1.81 W	0.00	6 of 9 - Sale <sup>25</sup>
	4.000	1,152.21	10/02/20	1,159.32	7.11 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	1,440.27	10/02/20	1,453.02	12.75 W	0.00	8 of 9 - Sale <sup>25</sup>
	5.000	1,439.61	10/02/20	1,454.96	15.35 W	0.00	9 of 9 - Sale <sup>25</sup>
10/02/20	30.000	8,669.08	Various	8,717.29	48.21 W	0.00	Total of 9 transactions
	4 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	296.62	10/06/20	297.46	0.84 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	593.25	10/06/20	594.86	1.61 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	593.24	10/06/20	594.85	1.61 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	1,480.66	10/06/20	1,493.60	12.94 W	0.00	4 of 4 - Sale <sup>25</sup>
10/06/20	10.000	2,963.77	Various	2,980.77	17.00 W	0.00	Total of 4 transactions
	2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	297.98	10/07/20	297.91	...	0.07	1 of 2 - Sale <sup>25</sup>
	1.000	297.98	10/07/20	297.87	...	0.11	2 of 2 - Sale <sup>25</sup>
10/07/20	2.000	595.96	Various	595.78	...	0.18	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
<i>7 transactions for 10/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	297.98	10/07/20	298.58	0.60 W	0.00	1 of 7 - Sale <sup>25</sup>
	2.000	595.97	10/07/20	597.16	1.19 W	0.00	2 of 7 - Sale <sup>25</sup>
	5.000	1,489.92	10/07/20	1,501.83	11.91 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	295.73	10/07/20	296.40	0.67 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	591.47	10/07/20	592.79	1.32 W	0.00	5 of 7 - Sale <sup>25</sup>
	5.000	1,478.67	10/07/20	1,490.91	12.24 W	0.00	6 of 7 - Sale <sup>25</sup>
	12.000	3,548.79	10/07/20	3,549.60	0.81 W	0.00	7 of 7 - Sale <sup>25</sup>
10/07/20	28.000	8,298.53	Various	8,327.27	28.74 W	0.00	Total of 7 transactions
<i>2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	301.85	10/08/20	300.62	...	1.23	1 of 2 - Sale <sup>25</sup>
	2.000	603.70	10/08/20	601.22	...	2.48	2 of 2 - Sale <sup>25</sup>
10/08/20	3.000	905.55	Various	901.84	...	3.71	Total of 2 transactions
<i>4 transactions for 10/08/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	603.69	10/08/20	604.80	1.11 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	603.18	10/08/20	609.37	6.19 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	301.59	10/08/20	304.60	3.01 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	301.60	10/08/20	302.22	0.62 W	0.00	4 of 4 - Sale <sup>25</sup>
10/08/20	6.000	1,810.06	Various	1,820.99	10.93 W	0.00	Total of 4 transactions
<i>2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	921.28	10/12/20	921.58	0.30 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	614.18	10/12/20	617.30	3.12 W	0.00	2 of 2 - Sale <sup>25</sup>
10/12/20	5.000	1,535.46	Various	1,538.88	3.42 W	0.00	Total of 2 transactions
10/14/20	1.000	307.50	10/14/20	309.87	2.37 W	0.00	Sale <sup>25</sup>
10/23/20	2.000	611.62	10/16/20	620.11	8.49 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	631.73	10/27/20	629.91	...	1.82	1 of 2 - Sale <sup>25</sup>
	3.000	947.58	10/27/20	943.41	...	4.17	2 of 2 - Sale <sup>25</sup>
10/27/20	5.000	1,579.31	Various	1,573.32	...	5.99	Total of 2 transactions
<i>4 transactions for 10/27/20. Total proceeds and cost reported to the IRS.</i>							
	0.149	47.30	10/27/20	47.77	0.47 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.149	47.27	10/27/20	47.40	0.13 W	0.00	2 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	1.000	317.31	10/27/20	320.57	3.26 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.851	587.36	10/27/20	593.55	6.19 W	0.00	4 of 4 - Sale <sup>25</sup>
10/27/20	3.149	999.24	Various	1,009.29	10.05 W	0.00	Total of 4 transactions
	4 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	1.000	304.47	10/30/20	304.03	...	0.44	1 of 4 - Sale <sup>25</sup>
	0.851	259.12	10/30/20	257.25	...	1.87	2 of 4 - Sale <sup>25</sup>
	2.000	608.95	10/30/20	606.44	...	2.51	3 of 4 - Sale <sup>25</sup>
	3.000	913.42	10/30/20	905.28	...	8.14	4 of 4 - Sale <sup>25</sup>
10/30/20	6.851	2,085.96	Various	2,073.00	...	12.96	Total of 4 transactions
	18 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	2.000	608.95	10/30/20	611.81	2.86 W	0.00	1 of 18 - Sale <sup>25</sup>
	0.149	45.36	10/30/20	45.41	0.05 W	0.00	2 of 18 - Sale <sup>25</sup>
	1.000	304.47	10/30/20	305.00	0.53 W	0.00	3 of 18 - Sale <sup>25</sup>
	2.000	606.79	10/30/20	611.52	4.73 W	0.00	4 of 18 - Sale <sup>25</sup>
	0.149	45.19	10/30/20	45.46	0.27 W	0.00	5 of 18 - Sale <sup>25</sup>
	0.149	45.19	10/30/20	45.38	0.19 W	0.00	6 of 18 - Sale <sup>25</sup>
	0.851	258.20	10/30/20	261.85	3.65 W	0.00	7 of 18 - Sale <sup>25</sup>
	1.000	303.39	10/30/20	307.59	4.20 W	0.00	8 of 18 - Sale <sup>25</sup>
	1.000	303.39	10/30/20	304.86	1.47 W	0.00	9 of 18 - Sale <sup>25</sup>
	4.851	1,471.78	10/30/20	1,476.32	4.54 W	0.00	10 of 18 - Sale <sup>25</sup>
	1.000	302.99	10/30/20	305.87	2.88 W	0.00	11 of 18 - Sale <sup>25</sup>
	1.000	302.99	10/30/20	305.86	2.87 W	0.00	12 of 18 - Sale <sup>25</sup>
	0.149	45.13	10/30/20	45.48	0.35 W	0.00	13 of 18 - Sale <sup>25</sup>
	0.149	45.14	10/30/20	45.40	0.26 W	0.00	14 of 18 - Sale <sup>25</sup>
	0.851	257.86	10/30/20	261.94	4.08 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	302.99	10/30/20	307.70	4.71 W	0.00	16 of 18 - Sale <sup>25</sup>
	1.000	302.99	10/30/20	304.97	1.98 W	0.00	17 of 18 - Sale <sup>25</sup>
	4.851	1,469.84	10/30/20	1,476.83	6.99 W	0.00	18 of 18 - Sale <sup>25</sup>
10/30/20	23.149	7,022.64	Various	7,069.25	46.61 W	0.00	Total of 18 transactions
	6 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	0.851	244.63	11/03/20	244.63	...	0.00	1 of 6 - Sale <sup>25</sup>
	4.000	1,149.77	11/03/20	1,149.76	...	0.01	2 of 6 - Sale <sup>25</sup>
	4.851	1,415.13	11/03/20	1,414.73	...	0.40	3 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	1.000	291.31	11/03/20	290.90	...	0.41	4 of 6 - Sale <sup>25</sup>
	1.851	539.23	11/03/20	538.47	...	0.76	5 of 6 - Sale <sup>25</sup>
	2.000	582.63	11/03/20	581.80	...	0.83	6 of 6 - Sale <sup>25</sup>
11/03/20	14.553	4,222.70	Various	4,220.29	...	2.41	Total of 6 transactions
	42 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	1.000	287.44	11/03/20	288.88	1.44 W	0.00	1 of 42 - Sale <sup>25</sup>
	1.000	287.44	11/03/20	288.87	1.43 W	0.00	2 of 42 - Sale <sup>25</sup>
	0.149	42.82	11/03/20	42.95	0.13 W	0.00	3 of 42 - Sale <sup>25</sup>
	0.149	42.82	11/03/20	42.86	0.04 W	0.00	4 of 42 - Sale <sup>25</sup>
	0.851	244.63	11/03/20	247.48	2.85 W	0.00	5 of 42 - Sale <sup>25</sup>
	1.000	287.44	11/03/20	290.71	3.27 W	0.00	6 of 42 - Sale <sup>25</sup>
	1.000	287.44	11/03/20	287.98	0.54 W	0.00	7 of 42 - Sale <sup>25</sup>
	1.000	288.92	11/03/20	292.17	3.25 W	0.00	8 of 42 - Sale <sup>25</sup>
	1.000	288.92	11/03/20	292.16	3.24 W	0.00	9 of 42 - Sale <sup>25</sup>
	0.149	43.04	11/03/20	43.44	0.40 W	0.00	10 of 42 - Sale <sup>25</sup>
	0.149	43.04	11/03/20	43.35	0.31 W	0.00	11 of 42 - Sale <sup>25</sup>
	0.851	245.88	11/03/20	250.27	4.39 W	0.00	12 of 42 - Sale <sup>25</sup>
	0.851	245.88	11/03/20	247.88	2.00 W	0.00	13 of 42 - Sale <sup>25</sup>
	1.000	288.93	11/03/20	294.00	5.07 W	0.00	14 of 42 - Sale <sup>25</sup>
	1.000	291.31	11/03/20	296.55	5.24 W	0.00	15 of 42 - Sale <sup>25</sup>
	1.000	291.31	11/03/20	296.54	5.23 W	0.00	16 of 42 - Sale <sup>25</sup>
	0.149	43.39	11/03/20	43.77	0.38 W	0.00	17 of 42 - Sale <sup>25</sup>
	0.149	43.40	11/03/20	44.09	0.69 W	0.00	18 of 42 - Sale <sup>25</sup>
	0.149	43.40	11/03/20	44.00	0.60 W	0.00	19 of 42 - Sale <sup>25</sup>
	0.702	204.52	11/03/20	207.57	3.05 W	0.00	20 of 42 - Sale <sup>25</sup>
	0.851	247.92	11/03/20	254.00	6.08 W	0.00	21 of 42 - Sale <sup>25</sup>
	1.000	291.31	11/03/20	298.37	7.06 W	0.00	22 of 42 - Sale <sup>25</sup>
	0.149	43.40	11/03/20	43.68	0.28 W	0.00	23 of 42 - Sale <sup>25</sup>
	1.000	291.81	11/03/20	297.14	5.33 W	0.00	24 of 42 - Sale <sup>25</sup>
	1.000	291.81	11/03/20	297.13	5.32 W	0.00	25 of 42 - Sale <sup>25</sup>
	0.149	43.47	11/03/20	43.86	0.39 W	0.00	26 of 42 - Sale <sup>25</sup>
	0.149	43.47	11/03/20	44.17	0.70 W	0.00	27 of 42 - Sale <sup>25</sup>
	0.149	43.47	11/03/20	44.08	0.61 W	0.00	28 of 42 - Sale <sup>25</sup>
	0.149	43.47	11/03/20	43.76	0.29 W	0.00	29 of 42 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	0.702	204.88	11/03/20	207.98	3.10 W	0.00	30 of 42 - Sale <sup>25</sup>
	0.851	248.34	11/03/20	254.50	6.16 W	0.00	31 of 42 - Sale <sup>25</sup>
	1.000	291.81	11/03/20	298.96	7.15 W	0.00	32 of 42 - Sale <sup>25</sup>
	4.851	1,415.60	11/03/20	1,416.01	0.41 W	0.00	33 of 42 - Sale <sup>25</sup>
	1.000	291.71	11/03/20	296.88	5.17 W	0.00	34 of 42 - Sale <sup>25</sup>
	1.000	291.71	11/03/20	296.87	5.16 W	0.00	35 of 42 - Sale <sup>25</sup>
	0.149	43.45	11/03/20	43.82	0.37 W	0.00	36 of 42 - Sale <sup>25</sup>
	0.149	43.45	11/03/20	44.13	0.68 W	0.00	37 of 42 - Sale <sup>25</sup>
	0.149	43.45	11/03/20	44.04	0.59 W	0.00	38 of 42 - Sale <sup>25</sup>
	0.149	43.45	11/03/20	43.72	0.27 W	0.00	39 of 42 - Sale <sup>25</sup>
	0.702	204.81	11/03/20	207.79	2.98 W	0.00	40 of 42 - Sale <sup>25</sup>
	0.851	248.26	11/03/20	254.28	6.02 W	0.00	41 of 42 - Sale <sup>25</sup>
	1.000	291.71	11/03/20	298.70	6.99 W	0.00	42 of 42 - Sale <sup>25</sup>
11/03/20	30.447	8,844.73	Various	8,959.39	114.66 W	0.00	Total of 42 transactions
11/04/20	4.851	1,440.74	11/04/20	1,440.74	...	0.00	Sale <sup>25</sup>
	17 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	1.000	296.99	11/04/20	302.17	5.18 W	0.00	1 of 17 - Sale <sup>25</sup>
	1.000	296.99	11/04/20	302.15	5.16 W	0.00	2 of 17 - Sale <sup>25</sup>
	0.149	44.24	11/04/20	44.61	0.37 W	0.00	3 of 17 - Sale <sup>25</sup>
	0.149	44.24	11/04/20	44.92	0.68 W	0.00	4 of 17 - Sale <sup>25</sup>
	0.149	44.24	11/04/20	44.83	0.59 W	0.00	5 of 17 - Sale <sup>25</sup>
	0.149	44.24	11/04/20	44.51	0.27 W	0.00	6 of 17 - Sale <sup>25</sup>
	0.702	208.51	11/04/20	211.49	2.98 W	0.00	7 of 17 - Sale <sup>25</sup>
	0.851	252.75	11/04/20	258.77	6.02 W	0.00	8 of 17 - Sale <sup>25</sup>
	1.000	296.99	11/04/20	303.99	7.00 W	0.00	9 of 17 - Sale <sup>25</sup>
	1.000	295.49	11/04/20	301.68	6.19 W	0.00	10 of 17 - Sale <sup>25</sup>
	1.000	295.49	11/04/20	301.66	6.17 W	0.00	11 of 17 - Sale <sup>25</sup>
	0.149	44.02	11/04/20	44.54	0.52 W	0.00	12 of 17 - Sale <sup>25</sup>
	0.149	44.02	11/04/20	44.85	0.83 W	0.00	13 of 17 - Sale <sup>25</sup>
	0.149	44.02	11/04/20	44.76	0.74 W	0.00	14 of 17 - Sale <sup>25</sup>
	0.702	207.45	11/04/20	211.14	3.69 W	0.00	15 of 17 - Sale <sup>25</sup>
	0.851	251.48	11/04/20	258.35	6.87 W	0.00	16 of 17 - Sale <sup>25</sup>
	1.000	295.49	11/04/20	303.50	8.01 W	0.00	17 of 17 - Sale <sup>25</sup>
11/04/20	10.149	3,006.65	Various	3,067.92	61.27 W	0.00	Total of 17 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
9 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	283.74	11/04/20	302.45	18.71 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	283.74	11/04/20	302.43	18.69 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.149	42.27	11/04/20	44.40	2.13 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.149	42.27	11/04/20	44.65	2.38 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.149	42.27	11/04/20	44.96	2.69 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.149	42.27	11/04/20	44.87	2.60 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.553	156.94	11/04/20	166.78	9.84 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.851	241.48	11/04/20	259.00	17.52 W	0.00	8 of 9 - Sale <sup>25</sup>
	1.000	283.74	11/04/20	304.27	20.53 W	0.00	9 of 9 - Sale <sup>25</sup>
11/05/20	5.000	1,418.72	Various	1,513.81	95.09 W	0.00	Total of 9 transactions
10 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	1.000	269.99	11/10/20	284.50	14.51 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	270.00	11/10/20	284.48	14.48 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.149	40.22	11/10/20	41.72	1.50 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.149	40.22	11/10/20	41.97	1.75 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.149	40.22	11/10/20	42.28	2.06 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.149	40.22	11/10/20	42.20	1.98 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.404	109.12	11/10/20	114.61	5.49 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.851	229.77	11/10/20	243.72	13.95 W	0.00	8 of 10 - Sale <sup>25</sup>
	1.000	269.99	11/10/20	286.32	16.33 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.149	40.22	11/10/20	40.37	0.15 W	0.00	10 of 10 - Sale <sup>25</sup>
11/10/20	5.000	1,349.97	Various	1,422.17	72.20 W	0.00	Total of 10 transactions
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	0.608	160.63	11/12/20	169.49	8.86 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.149	39.33	11/12/20	41.99	2.66 W	0.00	2 of 2 - Sale <sup>25</sup>
11/13/20	0.757	199.96	Various	211.48	11.52 W	0.00	Total of 2 transactions
6 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	0.541	140.91	11/13/20	148.23	...	-7.32	1 of 6 - Sale <sup>25</sup>
	0.459	119.74	11/13/20	125.93	...	-6.19	2 of 6 - Sale <sup>25</sup>
	0.392	102.09	11/13/20	106.53	...	-4.44	3 of 6 - Sale <sup>25</sup>
	0.310	80.92	11/13/20	85.04	...	-4.12	4 of 6 - Sale <sup>25</sup>
	0.149	38.83	11/13/20	40.11	...	-1.28	5 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	0.149	38.83	11/13/20	39.87	...	-1.04	6 of 6 - Sale <sup>25</sup>
11/16/20	2.000	521.32	Various	545.71	...	-24.39	Total of 6 transactions
	2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	0.608	158.57	11/13/20	165.70	5.38 W	-1.75	1 of 2 - Sale <sup>25</sup>
	0.392	102.09	11/13/20	106.68	4.59 W	0.00	2 of 2 - Sale <sup>25</sup>
11/16/20	1.000	260.66	Various	272.38	9.97 W	-1.75	Total of 2 transactions
	9 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	0.608	154.84	11/18/20	165.55	...	-10.71	1 of 9 - Sale <sup>25</sup>
	0.541	137.60	11/18/20	148.07	...	-10.47	2 of 9 - Sale <sup>25</sup>
	0.459	116.93	11/18/20	123.70	...	-6.77	3 of 9 - Sale <sup>25</sup>
	0.404	102.87	11/18/20	109.58	...	-6.71	4 of 9 - Sale <sup>25</sup>
	0.392	99.69	11/18/20	105.46	...	-5.77	5 of 9 - Sale <sup>25</sup>
	0.149	37.91	11/18/20	41.03	...	-3.12	6 of 9 - Sale <sup>25</sup>
	0.149	37.92	11/18/20	40.43	...	-2.51	7 of 9 - Sale <sup>25</sup>
	0.149	37.91	11/18/20	40.35	...	-2.44	8 of 9 - Sale <sup>25</sup>
	0.149	37.91	11/18/20	38.52	...	-0.61	9 of 9 - Sale <sup>25</sup>
11/19/20	3.000	763.58	Various	812.69	...	-49.11	Total of 9 transactions
	<b>Security total:</b>	<b>146,573.17</b>		<b>147,221.90</b>	<b>705.28 W</b>	<b>56.55</b>	
ALIGN TECHNOLOGY, INC. COMMON STOCK / CUSIP: 016255101 / Symbol:							
	2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	5.000	1,629.96	10/08/20	1,634.10	4.14 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	1,629.96	10/08/20	1,637.90	7.94 W	0.00	2 of 2 - Sale <sup>25</sup>
10/08/20	10.000	3,259.92	Various	3,272.00	12.08 W	0.00	Total of 2 transactions
	2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	1.000	442.10	10/21/20	398.58	...	43.52	1 of 2 - Sale <sup>25</sup>
	1.000	449.40	10/22/20	440.83	...	8.57	2 of 2 - Sale <sup>25</sup>
10/22/20	2.000	891.50	Various	839.41	...	52.09	Total of 2 transactions
	3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	1.000	442.10	10/22/20	443.01	0.91 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	438.02	10/22/20	442.25	4.23 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	454.75	10/22/20	458.50	3.75 W	0.00	3 of 3 - Sale <sup>25</sup>
10/22/20	3.000	1,334.87	Various	1,343.76	8.89 W	0.00	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALIGN TECHNOLOGY, INC. COMMON STOCK / CUSIP: 016255101 / Symbol: (cont'd)							
10/23/20	2.000	917.86	10/23/20	926.78	8.92 W	0.00	Sale <sup>25</sup>
10/28/20	1.000	440.46	10/27/20	458.38	17.92 W	0.00	Sale <sup>25</sup>
	6 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	2.000	910.65	11/02/20	913.40	...	-2.75	1 of 6 - Sale <sup>25</sup>
	1.000	446.58	11/02/20	445.70	...	0.88	2 of 6 - Sale <sup>25</sup>
	1.000	446.58	11/02/20	445.22	...	1.36	3 of 6 - Sale <sup>25</sup>
	2.000	903.74	11/02/20	900.98	...	2.76	4 of 6 - Sale <sup>25</sup>
	1.000	446.58	11/02/20	442.38	...	4.20	5 of 6 - Sale <sup>25</sup>
	2.000	893.16	11/02/20	886.11	...	7.05	6 of 6 - Sale <sup>25</sup>
11/02/20	9.000	4,047.29	Various	4,033.79	...	13.50	Total of 6 transactions
	7 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	1.000	448.41	11/02/20	466.97	18.56 W	0.00	1 of 7 - Sale <sup>25</sup>
	2.000	896.82	11/02/20	907.02	10.20 W	0.00	2 of 7 - Sale <sup>25</sup>
	2.000	896.82	11/02/20	898.10	1.28 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	451.87	11/02/20	468.41	16.54 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	903.74	11/02/20	909.90	6.16 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	455.33	11/02/20	473.24	17.91 W	0.00	6 of 7 - Sale <sup>25</sup>
	2.000	910.66	11/02/20	919.56	8.90 W	0.00	7 of 7 - Sale <sup>25</sup>
11/02/20	11.000	4,963.65	Various	5,043.20	79.55 W	0.00	Total of 7 transactions
	2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	1.000	457.44	11/03/20	474.91	...	-17.47	1 of 2 - Sale <sup>25</sup>
	2.000	914.87	11/03/20	922.90	...	-8.03	2 of 2 - Sale <sup>25</sup>
11/03/20	3.000	1,372.31	Various	1,397.81	...	-25.50	Total of 2 transactions
	<b>Security total:</b>	<b>17,227.86</b>		<b>17,315.13</b>	<b>127.36 W</b>	<b>40.09</b>	
ALLIANCE DATA SYSTEMS CORPORAT ION / CUSIP: 018581108 / Symbol:							
	3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	60.000	4,859.88	12/07/20	4,882.21	...	-22.33	1 of 3 - Sale <sup>25</sup>
	20.000	1,619.96	12/07/20	1,627.40	...	-7.44	2 of 3 - Sale <sup>25</sup>
	20.000	1,619.96	12/07/20	1,627.40	...	-7.44	3 of 3 - Sale <sup>25</sup>
12/07/20	100.000	8,099.80	Various	8,137.01	...	-37.21	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALLIED HEALTHCARE PRODUCTS, IN C. COMMON STOCK / CUSIP: 019222207 / Symbol:							
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	100.000	1,180.98	06/19/20	1,230.00	...	-49.02	1 of 3 - Sale <sup>25</sup>
	100.000	1,180.98	06/19/20	1,192.00	...	-11.02	2 of 3 - Sale <sup>25</sup>
	36.000	425.15	06/19/20	429.12	...	-3.97	3 of 3 - Sale <sup>25</sup>
06/19/20	236.000	2,787.11	Various	2,851.12	...	-64.01	Total of 3 transactions
ALLY FINANCIAL INC. / CUSIP: 02005N100 / Symbol:							
07/14/20	1.000	20.66	07/10/20	20.12	...	0.54	Sale <sup>25</sup>
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	30.000	817.78	10/05/20	821.40	...	-3.62	1 of 2 - Sale <sup>25</sup>
	20.000	545.18	10/05/20	547.20	...	-2.02	2 of 2 - Sale <sup>25</sup>
10/05/20	50.000	1,362.96	Various	1,368.60	...	-5.64	Total of 2 transactions
	<b>Security total:</b>	<b>1,383.62</b>		<b>1,388.72</b>	...	<b>-5.10</b>	
ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol:							
04/03/20	10.000	161.50	02/28/20	430.00	...	-268.50	Sale <sup>25</sup>
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	50.000	691.99	06/17/20	707.50	...	-15.51	1 of 3 - Sale <sup>25</sup>
	20.000	281.99	06/17/20	279.60	...	2.39	2 of 3 - Sale <sup>25</sup>
	20.000	281.99	06/17/20	279.20	...	2.79	3 of 3 - Sale <sup>25</sup>
06/17/20	90.000	1,255.97	Various	1,266.30	...	-10.33	Total of 3 transactions
	<b>Security total:</b>	<b>1,417.47</b>		<b>1,696.30</b>	...	<b>-278.83</b>	
ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:							
08/18/20	3.000	4,609.72	08/18/20	4,605.15	...	4.57	Sale <sup>25</sup>
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	1,562.39	08/19/20	1,560.40	...	1.99	1 of 2 - Sale <sup>25</sup>
	4.000	6,249.58	08/19/20	6,241.60	...	7.98	2 of 2 - Sale <sup>25</sup>
08/19/20	5.000	7,811.97	Various	7,802.00	...	9.97	Total of 2 transactions
	<b>Security total:</b>	<b>12,421.69</b>		<b>12,407.15</b>	...	<b>14.54</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	4.000	6,108.78	08/13/20	6,126.00	17.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	1,527.20	08/13/20	1,531.70	4.50 W	0.00	2 of 2 - Sale <sup>25</sup>
08/13/20	5.000	7,635.98	Various	7,657.70	21.72 W	0.00	Total of 2 transactions
08/20/20	1.000	1,559.51	08/19/20	1,566.70	...	-7.19	Sale <sup>25</sup>
08/20/20	4.000	6,238.06	08/19/20	6,266.02	20.97 W	-6.99	Sale <sup>25</sup>
08/24/20	3.000	4,743.19	08/24/20	4,774.77	...	-31.58	Sale <sup>25</sup>
14 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	2.000	2,946.63	09/30/20	2,965.80	19.17 W	0.00	1 of 14 - Sale <sup>25</sup>
	2.000	2,945.95	09/30/20	2,980.69	34.74 W	0.00	2 of 14 - Sale <sup>25</sup>
	3.000	4,418.93	09/30/20	4,442.28	23.35 W	0.00	3 of 14 - Sale <sup>25</sup>
	2.000	2,953.93	09/30/20	2,979.34	25.41 W	0.00	4 of 14 - Sale <sup>25</sup>
	3.000	4,430.90	09/30/20	4,440.25	9.35 W	0.00	5 of 14 - Sale <sup>25</sup>
	2.000	2,941.93	09/30/20	2,978.41	36.48 W	0.00	6 of 14 - Sale <sup>25</sup>
	3.000	4,412.90	09/30/20	4,438.85	25.95 W	0.00	7 of 14 - Sale <sup>25</sup>
	2.000	2,938.93	09/30/20	2,980.52	41.59 W	0.00	8 of 14 - Sale <sup>25</sup>
	3.000	4,408.40	09/30/20	4,442.01	33.61 W	0.00	9 of 14 - Sale <sup>25</sup>
	2.000	2,927.21	09/30/20	2,977.67	50.46 W	0.00	10 of 14 - Sale <sup>25</sup>
	3.000	4,390.82	09/30/20	4,437.73	46.91 W	0.00	11 of 14 - Sale <sup>25</sup>
	1.000	1,470.97	09/30/20	1,493.70	22.73 W	0.00	12 of 14 - Sale <sup>25</sup>
	1.000	1,470.97	09/30/20	1,493.70	22.73 W	0.00	13 of 14 - Sale <sup>25</sup>
	3.000	4,412.89	09/30/20	4,452.32	39.43 W	0.00	14 of 14 - Sale <sup>25</sup>
09/30/20	32.000	47,071.36	Various	47,503.27	431.91 W	0.00	Total of 14 transactions
9 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	1,478.13	10/01/20	1,508.16	30.03 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	1,478.14	10/01/20	1,508.15	30.01 W	0.00	2 of 9 - Sale <sup>25</sup>
	3.000	4,457.93	10/01/20	4,503.43	45.50 W	0.00	3 of 9 - Sale <sup>25</sup>
	1.000	1,482.73	10/01/20	1,516.13	33.40 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	1,482.73	10/01/20	1,516.11	33.38 W	0.00	5 of 9 - Sale <sup>25</sup>
	3.000	4,448.17	10/01/20	4,511.33	63.16 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	1,486.74	10/01/20	1,519.98	33.24 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.000	1,486.74	10/01/20	1,519.96	33.22 W	0.00	8 of 9 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol: (cont'd)							
	1.000	1,486.73	10/01/20	1,507.63	20.90 W	0.00	9 of 9 - Sale <sup>25</sup>
10/01/20	13.000	19,288.04	Various	19,610.88	322.84 W	0.00	Total of 9 transactions
10/02/20	1.000	1,471.46	10/02/20	1,499.51	28.05 W	0.00	Sale <sup>25</sup>
	3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	1,461.98	10/07/20	1,480.75	18.77 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	1,461.98	10/07/20	1,492.94	30.96 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	1,461.97	10/07/20	1,492.92	30.95 W	0.00	3 of 3 - Sale <sup>25</sup>
10/07/20	3.000	4,385.93	Various	4,466.61	80.68 W	0.00	Total of 3 transactions
	26 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	1,479.97	10/08/20	1,493.70	13.73 W	0.00	1 of 26 - Sale <sup>25</sup>
	1.000	1,479.96	10/08/20	1,500.85	20.89 W	0.00	2 of 26 - Sale <sup>25</sup>
	1.000	1,479.37	10/08/20	1,491.57	12.20 W	0.00	3 of 26 - Sale <sup>25</sup>
	1.000	1,479.12	10/08/20	1,509.41	30.29 W	0.00	4 of 26 - Sale <sup>25</sup>
	1.000	1,479.12	10/08/20	1,509.40	30.28 W	0.00	5 of 26 - Sale <sup>25</sup>
	1.000	1,479.11	10/08/20	1,492.18	13.07 W	0.00	6 of 26 - Sale <sup>25</sup>
	1.000	1,479.31	10/08/20	1,506.60	27.29 W	0.00	7 of 26 - Sale <sup>25</sup>
	1.000	1,479.30	10/08/20	1,495.86	16.56 W	0.00	8 of 26 - Sale <sup>25</sup>
	1.000	1,479.31	10/08/20	1,513.14	33.83 W	0.00	9 of 26 - Sale <sup>25</sup>
	1.000	1,479.30	10/08/20	1,513.60	34.30 W	0.00	10 of 26 - Sale <sup>25</sup>
	1.000	1,479.31	10/08/20	1,495.57	16.26 W	0.00	11 of 26 - Sale <sup>25</sup>
	1.000	1,478.36	10/08/20	1,507.79	29.43 W	0.00	12 of 26 - Sale <sup>25</sup>
	1.000	1,478.36	10/08/20	1,497.06	18.70 W	0.00	13 of 26 - Sale <sup>25</sup>
	1.000	1,478.35	10/08/20	1,514.33	35.98 W	0.00	14 of 26 - Sale <sup>25</sup>
	1.000	1,479.51	10/08/20	1,514.95	35.44 W	0.00	15 of 26 - Sale <sup>25</sup>
	1.000	1,479.50	10/08/20	1,496.91	17.41 W	0.00	16 of 26 - Sale <sup>25</sup>
	1.000	1,479.51	10/08/20	1,509.16	29.65 W	0.00	17 of 26 - Sale <sup>25</sup>
	1.000	1,479.50	10/08/20	1,498.43	18.93 W	0.00	18 of 26 - Sale <sup>25</sup>
	1.000	1,483.54	10/08/20	1,517.48	33.94 W	0.00	19 of 26 - Sale <sup>25</sup>
	1.000	1,483.54	10/08/20	1,516.94	33.40 W	0.00	20 of 26 - Sale <sup>25</sup>
	1.000	1,483.53	10/08/20	1,498.91	15.38 W	0.00	21 of 26 - Sale <sup>25</sup>
	1.000	1,482.97	10/08/20	1,513.50	30.53 W	0.00	22 of 26 - Sale <sup>25</sup>
	1.000	1,482.97	10/08/20	1,502.78	19.81 W	0.00	23 of 26 - Sale <sup>25</sup>
	1.000	1,482.96	10/08/20	1,517.79	34.83 W	0.00	24 of 26 - Sale <sup>25</sup>
	1.000	1,482.96	10/08/20	1,517.25	34.29 W	0.00	25 of 26 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol: (cont'd)							
10/08/20	1.000	1,482.97	10/08/20	1,499.23	16.26 W	0.00	26 of 26 - Sale <sup>25</sup>
	26.000	38,491.71	Various	39,144.39	652.68 W	0.00	Total of 26 transactions
	3 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	1.000	1,495.31	10/09/20	1,526.38	31.07 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	1,495.31	10/09/20	1,515.66	20.35 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	1,510.61	10/09/20	1,530.68	20.07 W	0.00	3 of 3 - Sale <sup>25</sup>
10/09/20	3.000	4,501.23	Various	4,572.72	71.49 W	0.00	Total of 3 transactions
	6 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	1.000	1,541.97	10/12/20	1,579.36	37.39 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	1,541.96	10/12/20	1,561.33	19.37 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	1,542.93	10/12/20	1,576.14	33.21 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	1,575.64	10/12/20	1,576.10	0.46 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	1,575.63	10/12/20	1,575.82	0.19 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	1,575.64	10/12/20	1,593.13	17.49 W	0.00	6 of 6 - Sale <sup>25</sup>
10/12/20	6.000	9,353.77	Various	9,461.88	108.11 W	0.00	Total of 6 transactions
	2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	1.000	1,579.76	10/13/20	1,602.83	23.07 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	1,571.96	10/13/20	1,616.67	44.71 W	0.00	2 of 2 - Sale <sup>25</sup>
10/13/20	2.000	3,151.72	Various	3,219.50	67.78 W	0.00	Total of 2 transactions
10/19/20	1.000	1,544.49	10/16/20	1,572.40	27.91 W	0.00	Sale <sup>25</sup>
	2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	0.266	427.99	10/16/20	419.05	...	8.94	1 of 2 - Sale <sup>25</sup>
	0.272	438.71	10/16/20	428.11	...	10.60	2 of 2 - Sale <sup>25</sup>
10/22/20	0.538	866.70	Various	847.16	...	19.54	Total of 2 transactions
	2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	0.462	747.88	10/23/20	749.79	1.91 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.538	870.79	10/23/20	882.33	11.54 W	0.00	2 of 2 - Sale <sup>25</sup>
10/23/20	1.000	1,618.67	Various	1,632.12	13.45 W	0.00	Total of 2 transactions
	2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	0.092	147.99	10/29/20	146.57	...	1.42	1 of 2 - Sale <sup>25</sup>
	0.609	975.07	10/29/20	969.11	...	5.96	2 of 2 - Sale <sup>25</sup>
10/29/20	0.702	1,123.06	Various	1,115.68	...	7.38	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol: (cont'd)							
6 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	0.071	111.72	10/23/20	117.29	5.57 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.298	476.90	10/23/20	489.17	12.27 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.391	663.94	10/29/20	669.78	5.84 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.609	1,036.02	10/29/20	1,058.33	22.31 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.609	1,006.16	10/29/20	1,019.54	13.38 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.391	644.80	10/29/20	659.93	15.13 W	0.00	6 of 6 - Sale <sup>25</sup>
10/29/20	2.370	3,939.54	Various	4,014.04	74.50 W	0.00	Total of 6 transactions
2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	0.462	751.78	11/03/20	751.51	...	0.27	1 of 2 - Sale <sup>25</sup>
	0.702	1,151.91	11/03/20	1,149.70	...	2.21	2 of 2 - Sale <sup>25</sup>
11/03/20	1.164	1,903.69	Various	1,901.21	...	2.48	Total of 2 transactions
21 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	0.071	116.29	11/03/20	121.52	5.23 W	0.00	1 of 21 - Sale <sup>25</sup>
	0.298	484.99	11/03/20	495.85	10.86 W	0.00	2 of 21 - Sale <sup>25</sup>
	0.240	390.35	11/03/20	394.49	4.14 W	0.00	3 of 21 - Sale <sup>25</sup>
	0.391	635.49	11/03/20	644.55	9.06 W	0.00	4 of 21 - Sale <sup>25</sup>
	0.391	635.49	11/03/20	639.49	4.00 W	0.00	5 of 21 - Sale <sup>25</sup>
	0.538	875.34	11/03/20	884.34	9.00 W	0.00	6 of 21 - Sale <sup>25</sup>
	0.609	991.64	11/03/20	1,011.06	19.42 W	0.00	7 of 21 - Sale <sup>25</sup>
	0.071	117.29	11/03/20	121.94	4.65 W	0.00	8 of 21 - Sale <sup>25</sup>
	0.298	489.15	11/03/20	497.60	8.45 W	0.00	9 of 21 - Sale <sup>25</sup>
	0.240	393.70	11/03/20	395.90	2.20 W	0.00	10 of 21 - Sale <sup>25</sup>
	0.370	606.44	11/03/20	611.56	5.12 W	0.00	11 of 21 - Sale <sup>25</sup>
	0.391	640.94	11/03/20	646.85	5.91 W	0.00	12 of 21 - Sale <sup>25</sup>
	0.240	393.70	11/03/20	395.77	2.07 W	0.00	13 of 21 - Sale <sup>25</sup>
	0.391	640.94	11/03/20	652.92	11.98 W	0.00	14 of 21 - Sale <sup>25</sup>
	0.298	489.15	11/03/20	493.19	4.04 W	0.00	15 of 21 - Sale <sup>25</sup>
	0.391	640.94	11/03/20	643.70	2.76 W	0.00	16 of 21 - Sale <sup>25</sup>
	0.609	1,000.13	11/03/20	1,017.62	17.49 W	0.00	17 of 21 - Sale <sup>25</sup>
	0.071	118.11	11/03/20	122.99	4.88 W	0.00	18 of 21 - Sale <sup>25</sup>
	0.298	492.59	11/03/20	501.99	9.40 W	0.00	19 of 21 - Sale <sup>25</sup>
	0.261	431.21	11/03/20	435.99	4.78 W	0.00	20 of 21 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol: (cont'd)							
11/03/20	0.370	610.71	11/03/20	617.00	6.29 W	0.00	21 of 21 - Sale <sup>25</sup>
	6.836	11,194.59	Various	11,346.32	151.73 W	0.00	Total of 21 transactions
	23 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	0.071	126.14	11/05/20	131.27	5.13 W	0.00	1 of 23 - Sale <sup>25</sup>
	0.298	526.08	11/05/20	536.53	10.45 W	0.00	2 of 23 - Sale <sup>25</sup>
	0.130	228.80	11/05/20	231.22	2.42 W	0.00	3 of 23 - Sale <sup>25</sup>
	0.240	423.42	11/05/20	426.47	3.05 W	0.00	4 of 23 - Sale <sup>25</sup>
	0.332	586.67	11/05/20	593.50	6.83 W	0.00	5 of 23 - Sale <sup>25</sup>
	0.240	423.42	11/05/20	426.34	2.92 W	0.00	6 of 23 - Sale <sup>25</sup>
	0.298	526.08	11/05/20	531.17	5.09 W	0.00	7 of 23 - Sale <sup>25</sup>
	0.391	689.32	11/05/20	702.69	13.37 W	0.00	8 of 23 - Sale <sup>25</sup>
	0.391	689.32	11/05/20	693.47	4.15 W	0.00	9 of 23 - Sale <sup>25</sup>
	0.609	1,075.63	11/05/20	1,095.28	19.65 W	0.00	10 of 23 - Sale <sup>25</sup>
	0.037	65.55	11/05/20	66.42	0.87 W	0.00	11 of 23 - Sale <sup>25</sup>
	0.261	460.52	11/05/20	466.99	6.47 W	0.00	12 of 23 - Sale <sup>25</sup>
	1.702	3,003.85	11/05/20	3,014.84	10.99 W	0.00	13 of 23 - Sale <sup>25</sup>
	0.071	125.78	11/05/20	131.36	5.58 W	0.00	14 of 23 - Sale <sup>25</sup>
	0.298	524.59	11/05/20	536.90	12.31 W	0.00	15 of 23 - Sale <sup>25</sup>
	0.130	228.15	11/05/20	231.38	3.23 W	0.00	16 of 23 - Sale <sup>25</sup>
	0.240	422.22	11/05/20	426.76	4.54 W	0.00	17 of 23 - Sale <sup>25</sup>
	0.332	585.00	11/05/20	593.91	8.91 W	0.00	18 of 23 - Sale <sup>25</sup>
	0.240	422.22	11/05/20	426.63	4.41 W	0.00	19 of 23 - Sale <sup>25</sup>
	0.298	524.59	11/05/20	531.54	6.95 W	0.00	20 of 23 - Sale <sup>25</sup>
	0.391	687.37	11/05/20	703.18	15.81 W	0.00	21 of 23 - Sale <sup>25</sup>
	0.391	687.37	11/05/20	693.96	6.59 W	0.00	22 of 23 - Sale <sup>25</sup>
	0.609	1,072.59	11/05/20	1,096.04	23.45 W	0.00	23 of 23 - Sale <sup>25</sup>
11/05/20	8.000	14,104.68	Various	14,287.85	183.17 W	0.00	Total of 23 transactions
	3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	1.000	1,757.96	11/06/20	1,768.56	...	-10.60	1 of 3 - Sale <sup>25</sup>
	0.261	458.70	11/06/20	466.25	...	-7.55	2 of 3 - Sale <sup>25</sup>
	0.702	1,233.97	11/06/20	1,241.40	...	-7.43	3 of 3 - Sale <sup>25</sup>
11/06/20	1.963	3,450.63	Various	3,476.21	...	-25.58	Total of 3 transactions
11/06/20	0.037	65.29	11/06/20	66.32	0.02 W	-1.01	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol: (cont'd)							
17 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	0.298	525.87	11/24/20	535.76	...	-9.89	1 of 17 - Sale <sup>25</sup>
	0.071	126.09	11/24/20	131.09	...	-5.00	2 of 17 - Sale <sup>25</sup>
	0.130	228.71	11/24/20	230.89	...	-2.18	3 of 17 - Sale <sup>25</sup>
	0.070	123.94	11/24/20	124.71	...	-0.77	4 of 17 - Sale <sup>25</sup>
	0.391	689.01	11/25/20	707.10	...	-18.09	5 of 17 - Sale <sup>25</sup>
	0.298	525.84	11/25/20	534.53	...	-8.69	6 of 17 - Sale <sup>25</sup>
	0.257	452.82	11/25/20	459.62	...	-6.80	7 of 17 - Sale <sup>25</sup>
	0.240	423.22	11/25/20	429.04	...	-5.82	8 of 17 - Sale <sup>25</sup>
	0.170	299.31	11/25/20	302.47	...	-3.16	9 of 17 - Sale <sup>25</sup>
	0.071	124.61	11/25/20	126.90	...	-2.29	10 of 17 - Sale <sup>25</sup>
	0.002	3.00	11/25/20	3.05	...	-0.05	11 of 17 - Sale <sup>25</sup>
	0.001	1.99	11/25/20	2.03	...	-0.04	12 of 17 - Sale <sup>25</sup>
	0.001	1.47	11/25/20	1.50	...	-0.03	13 of 17 - Sale <sup>25</sup>
	0.001	1.00	11/25/20	1.02	...	-0.02	14 of 17 - Sale <sup>25</sup>
	0.001	1.00	11/25/20	1.02	...	-0.02	15 of 17 - Sale <sup>25</sup>
	0.001	1.47	11/25/20	1.49	...	-0.02	16 of 17 - Sale <sup>25</sup>
	0.000	0.53	11/25/20	0.54	...	-0.01	17 of 17 - Sale <sup>25</sup>
11/25/20	2.001	3,529.88	Various	3,592.76	...	-62.88	Total of 17 transactions
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.609	1,076.61	11/25/20	1,102.16	...	-25.55	1 of 2 - Sale <sup>25</sup>
	0.391	689.95	11/25/20	697.88	...	-7.93	2 of 2 - Sale <sup>25</sup>
11/30/20	1.000	1,766.56	Various	1,800.04	...	-33.48	Total of 2 transactions
	<b>Security total:</b>	<b>192,999.74</b>		<b>195,396.06</b>	<b>2,257.01 W</b>	<b>-139.31</b>	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	0.000	0.95	05/22/20	0.95	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.000	0.05	05/22/20	0.05	...	0.00	2 of 2 - Sale <sup>25</sup>
05/26/20	0.000	1.00	Various	1.00	...	0.00	Total of 2 transactions
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	3,202.87	08/05/20	3,198.73	...	4.14	1 of 3 - Sale <sup>25</sup>
	1.000	3,194.92	08/05/20	3,188.17	...	6.75	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	1.000	3,174.92	08/05/20	3,152.11	...	22.81	3 of 3 - Sale <sup>25</sup>
08/05/20	3.000	9,572.71	Various	9,539.01	...	33.70	Total of 3 transactions
	3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	1.000	3,179.32	08/05/20	3,183.99	4.67 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	3,188.00	08/05/20	3,189.49	1.49 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	3,188.17	08/05/20	3,193.05	4.88 W	0.00	3 of 3 - Sale <sup>25</sup>
08/05/20	3.000	9,555.49	Various	9,566.53	11.04 W	0.00	Total of 3 transactions
08/12/20	1.000	3,148.29	08/12/20	3,132.47	...	15.82	Sale <sup>25</sup>
08/12/20	1.000	3,142.72	08/12/20	3,150.99	8.27 W	0.00	Sale <sup>25</sup>
08/13/20	1.000	3,212.69	08/13/20	3,207.00	...	5.69	Sale <sup>25</sup>
08/13/20	1.000	3,206.11	08/13/20	3,215.27	9.16 W	0.00	Sale <sup>25</sup>
	5 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	3,284.03	08/18/20	3,282.91	...	1.12	1 of 5 - Sale <sup>25</sup>
	1.000	3,284.04	08/18/20	3,282.04	...	2.00	2 of 5 - Sale <sup>25</sup>
	1.000	3,289.92	08/18/20	3,286.52	...	3.40	3 of 5 - Sale <sup>25</sup>
	1.000	3,289.92	08/18/20	3,286.52	...	3.40	4 of 5 - Sale <sup>25</sup>
	1.000	3,289.92	08/18/20	3,284.32	...	5.60	5 of 5 - Sale <sup>25</sup>
08/18/20	5.000	16,437.83	Various	16,422.31	...	15.52	Total of 5 transactions
	5 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	3,272.20	08/18/20	3,281.15	8.95 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	3,272.19	08/18/20	3,282.00	9.81 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	3,284.28	08/18/20	3,286.12	1.84 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	3,284.27	08/18/20	3,286.98	2.71 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	3,313.17	08/18/20	3,313.80	0.63 W	0.00	5 of 5 - Sale <sup>25</sup>
08/18/20	5.000	16,426.11	Various	16,450.05	23.94 W	0.00	Total of 5 transactions
	2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	1.000	3,439.92	08/26/20	3,438.12	...	1.80	1 of 2 - Sale <sup>25</sup>
	1.000	3,439.92	08/26/20	3,437.49	...	2.43	2 of 2 - Sale <sup>25</sup>
08/26/20	2.000	6,879.84	Various	6,875.61	...	4.23	Total of 2 transactions
09/24/20	2.000	6,091.26	09/24/20	6,021.00	...	70.26	Sale <sup>25</sup>
09/25/20	1.000	3,089.23	09/25/20	3,083.94	...	5.29	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
<i>2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	6,163.86	09/25/20	6,167.88	4.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	3,099.93	09/25/20	3,101.18	1.25 W	0.00	2 of 2 - Sale <sup>25</sup>
09/25/20	3.000	9,263.79	Various	9,269.06	5.27 W	0.00	Total of 2 transactions
09/28/20	1.000	3,153.94	09/28/20	3,144.82	...	9.12	Sale <sup>25</sup>
<i>3 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3,153.94	09/28/20	3,156.65	2.71 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	3,164.72	09/28/20	3,172.28	7.56 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	3,173.05	09/28/20	3,176.26	3.21 W	0.00	3 of 3 - Sale <sup>25</sup>
09/28/20	3.000	9,491.71	Various	9,505.19	13.48 W	0.00	Total of 3 transactions
09/30/20	1.000	3,191.55	09/30/20	3,187.21	...	4.34	Sale <sup>25</sup>
09/30/20	1.000	3,195.38	09/30/20	3,201.00	5.62 W	0.00	Sale <sup>25</sup>
10/01/20	1.000	3,197.99	10/01/20	3,204.05	6.06 W	0.00	Sale <sup>25</sup>
10/02/20	1.000	3,185.56	10/02/20	3,186.65	1.09 W	0.00	Sale <sup>25</sup>
10/05/20	1.000	3,183.51	10/05/20	3,173.59	...	9.92	Sale <sup>25</sup>
<i>2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3,188.25	10/07/20	3,183.77	...	4.48	1 of 2 - Sale <sup>25</sup>
	1.000	3,189.25	10/07/20	3,183.77	...	5.48	2 of 2 - Sale <sup>25</sup>
10/07/20	2.000	6,377.50	Various	6,367.54	...	9.96	Total of 2 transactions
<i>4 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>							
	0.092	315.28	10/09/20	300.00	...	15.28	1 of 4 - Sale <sup>25</sup>
	0.017	59.39	10/12/20	59.28	...	0.11	2 of 4 - Sale <sup>25</sup>
	0.109	371.46	10/12/20	370.86	...	0.60	3 of 4 - Sale <sup>25</sup>
	0.784	2,672.54	10/12/20	2,669.86	...	2.68	4 of 4 - Sale <sup>25</sup>
10/14/20	1.003	3,418.67	Various	3,400.00	...	18.67	Total of 4 transactions
<i>2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>							
	0.217	740.90	10/12/20	752.97	12.07 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.216	734.61	10/12/20	747.03	12.42 W	0.00	2 of 2 - Sale <sup>25</sup>
10/14/20	0.433	1,475.51	Various	1,500.00	24.49 W	0.00	Total of 2 transactions
10/16/20	0.567	1,905.45	10/14/20	1,904.91	...	0.54	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
<i>2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.</i>							
	0.216	724.47	10/14/20	736.69	12.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.217	730.23	10/14/20	742.09	11.86 W	0.00	2 of 2 - Sale <sup>25</sup>
10/16/20	0.433	1,454.70	Various	1,478.78	24.08 W	0.00	Total of 2 transactions
<i>8 transactions for 10/19/20. Total proceeds and cost reported to the IRS.</i>							
	0.043	141.76	10/16/20	147.37	5.61 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.080	264.11	10/16/20	274.39	10.28 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.173	572.16	10/16/20	594.80	22.64 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.138	455.48	10/16/20	474.67	19.19 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.157	519.51	10/16/20	532.84	13.33 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.410	1,357.67	10/16/20	1,374.80	17.13 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.000	0.48	10/16/20	0.49	0.01 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.000	0.50	10/16/20	0.53	0.03 W	0.00	8 of 8 - Sale <sup>25</sup>
10/19/20	1.000	3,311.67	Various	3,399.89	88.22 W	0.00	Total of 8 transactions
<i>5 transactions for 10/21/20. Total proceeds and cost reported to the IRS.</i>							
	0.031	99.44	10/20/20	104.64	5.20 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.043	136.83	10/20/20	144.06	7.23 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.173	554.23	10/20/20	583.53	29.30 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.049	156.39	10/20/20	164.00	7.61 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.106	339.40	10/20/20	357.04	17.64 W	0.00	5 of 5 - Sale <sup>25</sup>
10/21/20	0.401	1,286.29	Various	1,353.27	66.98 W	0.00	Total of 5 transactions
<i>10 transactions for 10/26/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.49	10/26/20	0.52	0.03 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.031	99.42	10/26/20	104.83	5.41 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.043	136.80	10/26/20	144.32	7.52 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.049	156.35	10/26/20	164.30	7.95 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.106	339.32	10/26/20	357.69	18.37 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.173	554.10	10/26/20	584.60	30.50 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.032	101.79	10/26/20	106.44	4.65 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.000	0.46	10/26/20	0.48	0.02 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.157	503.13	10/26/20	517.54	14.41 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.410	1,314.85	10/26/20	1,334.80	19.95 W	0.00	10 of 10 - Sale <sup>25</sup>
10/26/20	1.000	3,206.71	Various	3,315.52	108.81 W	0.00	Total of 10 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
24 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	0.000	0.49	10/26/20	0.52	0.03 W	0.00	1 of 24 - Sale <sup>25</sup>
	0.017	56.09	10/26/20	58.19	2.10 W	0.00	2 of 24 - Sale <sup>25</sup>
	0.031	100.79	10/26/20	104.58	3.79 W	0.00	3 of 24 - Sale <sup>25</sup>
	0.043	138.68	10/26/20	143.98	5.30 W	0.00	4 of 24 - Sale <sup>25</sup>
	0.049	158.51	10/26/20	163.92	5.41 W	0.00	5 of 24 - Sale <sup>25</sup>
	0.173	561.74	10/26/20	583.22	21.48 W	0.00	6 of 24 - Sale <sup>25</sup>
	0.089	287.91	10/27/20	304.07	16.16 W	0.00	7 of 24 - Sale <sup>25</sup>
	0.032	103.19	10/27/20	108.13	4.94 W	0.00	8 of 24 - Sale <sup>25</sup>
	0.030	96.08	10/27/20	97.79	1.71 W	0.00	9 of 24 - Sale <sup>25</sup>
	0.157	510.06	10/27/20	525.88	15.82 W	0.00	10 of 24 - Sale <sup>25</sup>
	0.000	0.47	10/29/20	0.49	0.02 W	0.00	11 of 24 - Sale <sup>25</sup>
	0.380	1,236.90	10/29/20	1,250.86	13.96 W	0.00	12 of 24 - Sale <sup>25</sup>
	0.000	0.48	10/29/20	0.52	0.04 W	0.00	13 of 24 - Sale <sup>25</sup>
	0.017	55.01	10/29/20	57.99	2.98 W	0.00	14 of 24 - Sale <sup>25</sup>
	0.031	98.84	10/29/20	104.21	5.37 W	0.00	15 of 24 - Sale <sup>25</sup>
	0.043	136.00	10/29/20	143.48	7.48 W	0.00	16 of 24 - Sale <sup>25</sup>
	0.049	155.44	10/29/20	163.34	7.90 W	0.00	17 of 24 - Sale <sup>25</sup>
	0.173	550.86	10/29/20	581.16	30.30 W	0.00	18 of 24 - Sale <sup>25</sup>
	0.089	282.32	10/29/20	303.01	20.69 W	0.00	19 of 24 - Sale <sup>25</sup>
	0.032	101.19	10/29/20	107.75	6.56 W	0.00	20 of 24 - Sale <sup>25</sup>
	0.030	94.22	10/29/20	97.44	3.22 W	0.00	21 of 24 - Sale <sup>25</sup>
	0.157	500.17	10/29/20	524.01	23.84 W	0.00	22 of 24 - Sale <sup>25</sup>
	0.000	0.46	10/29/20	0.48	0.02 W	0.00	23 of 24 - Sale <sup>25</sup>
	0.380	1,212.92	10/29/20	1,221.16	8.24 W	0.00	24 of 24 - Sale <sup>25</sup>
10/29/20	2.000	6,438.82	Various	6,646.18	207.36 W	0.00	Total of 24 transactions
10 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	0.000	0.46	10/29/20	0.52	0.06 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.017	52.50	10/29/20	57.73	5.23 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.031	94.34	10/29/20	103.74	9.40 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.043	129.81	10/29/20	142.84	13.03 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.049	148.36	10/29/20	162.60	14.24 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.173	525.78	10/29/20	578.56	52.78 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.089	269.47	10/29/20	301.68	32.21 W	0.00	7 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.032	96.59	10/29/20	107.27	10.68 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.030	89.93	10/29/20	97.00	7.07 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.157	477.41	10/29/20	521.66	44.25 W	0.00	10 of 10 - Sale <sup>25</sup>
10/30/20	0.619	1,884.65	Various	2,073.60	188.95 W	0.00	Total of 10 transactions
	2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	0.380	1,259.03	11/06/20	1,257.08	...	1.95	1 of 2 - Sale <sup>25</sup>
	0.380	1,255.53	11/06/20	1,248.58	...	6.95	2 of 2 - Sale <sup>25</sup>
11/06/20	0.761	2,514.56	Various	2,505.66	...	8.90	Total of 2 transactions
	22 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	0.000	0.50	11/06/20	0.56	0.06 W	0.00	1 of 22 - Sale <sup>25</sup>
	0.017	56.94	11/06/20	61.48	4.54 W	0.00	2 of 22 - Sale <sup>25</sup>
	0.031	102.31	11/06/20	110.47	8.16 W	0.00	3 of 22 - Sale <sup>25</sup>
	0.043	140.77	11/06/20	152.10	11.33 W	0.00	4 of 22 - Sale <sup>25</sup>
	0.049	160.90	11/06/20	173.19	12.29 W	0.00	5 of 22 - Sale <sup>25</sup>
	0.173	570.21	11/06/20	616.09	45.88 W	0.00	6 of 22 - Sale <sup>25</sup>
	0.089	292.24	11/06/20	320.92	28.68 W	0.00	7 of 22 - Sale <sup>25</sup>
	0.032	104.75	11/06/20	114.16	9.41 W	0.00	8 of 22 - Sale <sup>25</sup>
	0.030	97.53	11/06/20	103.42	5.89 W	0.00	9 of 22 - Sale <sup>25</sup>
	0.157	517.75	11/06/20	555.73	37.98 W	0.00	10 of 22 - Sale <sup>25</sup>
	0.000	0.48	11/06/20	0.50	0.02 W	0.00	11 of 22 - Sale <sup>25</sup>
	0.000	0.50	11/06/20	0.56	0.06 W	0.00	12 of 22 - Sale <sup>25</sup>
	0.017	57.10	11/06/20	61.55	4.45 W	0.00	13 of 22 - Sale <sup>25</sup>
	0.031	102.60	11/06/20	110.60	8.00 W	0.00	14 of 22 - Sale <sup>25</sup>
	0.043	141.17	11/06/20	152.28	11.11 W	0.00	15 of 22 - Sale <sup>25</sup>
	0.049	161.35	11/06/20	173.39	12.04 W	0.00	16 of 22 - Sale <sup>25</sup>
	0.173	571.80	11/06/20	616.79	44.99 W	0.00	17 of 22 - Sale <sup>25</sup>
	0.089	293.06	11/06/20	321.29	28.23 W	0.00	18 of 22 - Sale <sup>25</sup>
	0.032	105.04	11/06/20	114.29	9.25 W	0.00	19 of 22 - Sale <sup>25</sup>
	0.030	97.80	11/06/20	103.54	5.74 W	0.00	20 of 22 - Sale <sup>25</sup>
	0.157	519.19	11/06/20	556.37	37.18 W	0.00	21 of 22 - Sale <sup>25</sup>
	0.000	0.48	11/06/20	0.50	0.02 W	0.00	22 of 22 - Sale <sup>25</sup>
11/06/20	1.239	4,094.47	Various	4,419.78	325.31 W	0.00	Total of 22 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
<i>12 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.47	11/18/20	0.53	0.06 W	0.00	1 of 12 - Sale <sup>25</sup>
	0.017	53.65	11/18/20	58.31	4.66 W	0.00	2 of 12 - Sale <sup>25</sup>
	0.031	96.41	11/18/20	104.78	8.37 W	0.00	3 of 12 - Sale <sup>25</sup>
	0.043	132.65	11/18/20	144.28	11.63 W	0.00	4 of 12 - Sale <sup>25</sup>
	0.049	151.61	11/18/20	164.24	12.63 W	0.00	5 of 12 - Sale <sup>25</sup>
	0.173	537.30	11/18/20	584.38	47.08 W	0.00	6 of 12 - Sale <sup>25</sup>
	0.089	275.38	11/18/20	304.68	29.30 W	0.00	7 of 12 - Sale <sup>25</sup>
	0.032	98.70	11/18/20	108.33	9.63 W	0.00	8 of 12 - Sale <sup>25</sup>
	0.030	91.90	11/18/20	98.00	6.10 W	0.00	9 of 12 - Sale <sup>25</sup>
	0.157	487.87	11/18/20	526.95	39.08 W	0.00	10 of 12 - Sale <sup>25</sup>
	0.000	0.45	11/18/20	0.48	0.03 W	0.00	11 of 12 - Sale <sup>25</sup>
	0.380	1,183.09	11/18/20	1,187.67	4.58 W	0.00	12 of 12 - Sale <sup>25</sup>
11/18/20	1.000	3,109.48	Various	3,282.63	173.15 W	0.00	Total of 12 transactions
<i>5 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.47	11/24/20	0.53	0.06 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.010	32.60	11/24/20	35.22	2.62 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.031	96.77	11/24/20	104.86	8.09 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.043	133.15	11/24/20	144.39	11.24 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.173	539.32	11/24/20	584.85	45.53 W	0.00	5 of 5 - Sale <sup>25</sup>
11/24/20	0.257	802.31	Various	869.85	67.54 W	0.00	Total of 5 transactions
<i>3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.</i>							
	0.017	54.92	11/25/20	59.66	4.74 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.038	121.93	11/25/20	132.03	10.10 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.007	22.85	11/25/20	25.27	2.42 W	0.00	3 of 3 - Sale <sup>25</sup>
11/25/20	0.063	199.70	Various	216.96	17.26 W	0.00	Total of 3 transactions
11/30/20	0.334	1,058.80	11/30/20	1,057.87	...	0.93	Sale <sup>25</sup>
<i>5 transactions for 11/30/20. Total proceeds and cost reported to the IRS.</i>							
	0.081	258.10	11/30/20	283.82	25.72 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.032	100.67	11/30/20	109.83	9.16 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.030	93.74	11/30/20	99.40	5.66 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.157	497.62	11/30/20	534.37	36.75 W	0.00	4 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.000	0.46	11/30/20	0.49	0.03 W	0.00	5 of 5 - Sale <sup>25</sup>
11/30/20	0.300	950.59	Various	1,027.91	77.32 W	0.00	Total of 5 transactions
	15 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	0.000	0.48	12/08/20	0.54	0.06 W	0.00	1 of 15 - Sale <sup>25</sup>
	0.010	32.88	12/08/20	35.59	2.71 W	0.00	2 of 15 - Sale <sup>25</sup>
	0.017	54.31	12/08/20	59.20	4.89 W	0.00	3 of 15 - Sale <sup>25</sup>
	0.031	97.59	12/08/20	105.94	8.35 W	0.00	4 of 15 - Sale <sup>25</sup>
	0.038	120.59	12/08/20	131.01	10.42 W	0.00	5 of 15 - Sale <sup>25</sup>
	0.043	134.28	12/08/20	145.87	11.59 W	0.00	6 of 15 - Sale <sup>25</sup>
	0.173	543.89	12/08/20	590.87	46.98 W	0.00	7 of 15 - Sale <sup>25</sup>
	0.007	22.60	12/08/20	25.08	2.48 W	0.00	8 of 15 - Sale <sup>25</sup>
	0.081	256.16	12/08/20	282.55	26.39 W	0.00	9 of 15 - Sale <sup>25</sup>
	0.032	99.91	12/08/20	109.34	9.43 W	0.00	10 of 15 - Sale <sup>25</sup>
	0.030	93.03	12/08/20	98.94	5.91 W	0.00	11 of 15 - Sale <sup>25</sup>
	0.157	493.85	12/08/20	531.91	38.06 W	0.00	12 of 15 - Sale <sup>25</sup>
	0.000	0.46	12/08/20	0.49	0.03 W	0.00	13 of 15 - Sale <sup>25</sup>
	0.047	146.79	12/08/20	147.74	0.95 W	0.00	14 of 15 - Sale <sup>25</sup>
	0.334	1,050.81	12/08/20	1,053.58	2.77 W	0.00	15 of 15 - Sale <sup>25</sup>
12/09/20	1.000	3,147.63	Various	3,318.65	171.02 W	0.00	Total of 15 transactions
	16 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	0.000	0.47	12/10/20	0.53	0.06 W	0.00	1 of 16 - Sale <sup>25</sup>
	0.010	32.49	12/10/20	35.27	2.78 W	0.00	2 of 16 - Sale <sup>25</sup>
	0.017	53.66	12/10/20	58.67	5.01 W	0.00	3 of 16 - Sale <sup>25</sup>
	0.031	96.42	12/10/20	104.99	8.57 W	0.00	4 of 16 - Sale <sup>25</sup>
	0.038	119.15	12/10/20	129.84	10.69 W	0.00	5 of 16 - Sale <sup>25</sup>
	0.043	132.67	12/10/20	144.56	11.89 W	0.00	6 of 16 - Sale <sup>25</sup>
	0.173	537.38	12/10/20	585.58	48.20 W	0.00	7 of 16 - Sale <sup>25</sup>
	0.007	22.33	12/10/20	24.86	2.53 W	0.00	8 of 16 - Sale <sup>25</sup>
	0.081	253.09	12/10/20	280.05	26.96 W	0.00	9 of 16 - Sale <sup>25</sup>
	0.032	98.72	12/10/20	108.37	9.65 W	0.00	10 of 16 - Sale <sup>25</sup>
	0.030	91.92	12/10/20	98.04	6.12 W	0.00	11 of 16 - Sale <sup>25</sup>
	0.157	487.94	12/10/20	527.11	39.17 W	0.00	12 of 16 - Sale <sup>25</sup>
	0.000	0.45	12/10/20	0.49	0.04 W	0.00	13 of 16 - Sale <sup>25</sup>
	0.047	145.04	12/10/20	146.31	1.27 W	0.00	14 of 16 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.334	1,038.21	12/10/20	1,043.34	5.13 W	0.00	15 of 16 - Sale <sup>25</sup>
	1.000	3,109.94	12/10/20	3,117.01	7.07 W	0.00	16 of 16 - Sale <sup>25</sup>
12/10/20	2.000	6,219.88	Various	6,405.02	185.14 W	0.00	Total of 16 transactions
	15 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	0.000	0.48	12/14/20	0.54	0.06 W	0.00	1 of 15 - Sale <sup>25</sup>
	0.010	32.79	12/14/20	35.80	3.01 W	0.00	2 of 15 - Sale <sup>25</sup>
	0.017	54.17	12/14/20	59.55	5.38 W	0.00	3 of 15 - Sale <sup>25</sup>
	0.031	97.33	12/14/20	106.57	9.24 W	0.00	4 of 15 - Sale <sup>25</sup>
	0.038	120.28	12/14/20	131.79	11.51 W	0.00	5 of 15 - Sale <sup>25</sup>
	0.043	133.93	12/14/20	146.73	12.80 W	0.00	6 of 15 - Sale <sup>25</sup>
	0.173	542.47	12/14/20	594.39	51.92 W	0.00	7 of 15 - Sale <sup>25</sup>
	0.007	22.54	12/14/20	25.23	2.69 W	0.00	8 of 15 - Sale <sup>25</sup>
	0.081	255.48	12/14/20	284.20	28.72 W	0.00	9 of 15 - Sale <sup>25</sup>
	0.032	99.65	12/14/20	109.98	10.33 W	0.00	10 of 15 - Sale <sup>25</sup>
	0.030	92.79	12/14/20	99.54	6.75 W	0.00	11 of 15 - Sale <sup>25</sup>
	0.157	492.56	12/14/20	535.11	42.55 W	0.00	12 of 15 - Sale <sup>25</sup>
	0.000	0.46	12/14/20	0.50	0.04 W	0.00	13 of 15 - Sale <sup>25</sup>
	0.047	146.41	12/14/20	148.68	2.27 W	0.00	14 of 15 - Sale <sup>25</sup>
	0.334	1,048.05	12/14/20	1,060.37	12.32 W	0.00	15 of 15 - Sale <sup>25</sup>
12/15/20	1.000	3,139.39	Various	3,338.98	199.59 W	0.00	Total of 15 transactions
12/24/20	1.000	3,169.92	12/21/20	3,190.07	20.15 W	0.00	Sale <sup>25</sup>
	15 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	0.000	0.50	12/28/20	0.56	0.06 W	0.00	1 of 15 - Sale <sup>25</sup>
	0.010	34.26	12/28/20	37.06	2.80 W	0.00	2 of 15 - Sale <sup>25</sup>
	0.017	56.60	12/28/20	61.63	5.03 W	0.00	3 of 15 - Sale <sup>25</sup>
	0.031	101.69	12/28/20	110.31	8.62 W	0.00	4 of 15 - Sale <sup>25</sup>
	0.038	125.66	12/28/20	136.40	10.74 W	0.00	5 of 15 - Sale <sup>25</sup>
	0.043	139.92	12/28/20	151.86	11.94 W	0.00	6 of 15 - Sale <sup>25</sup>
	0.173	566.77	12/28/20	615.19	48.42 W	0.00	7 of 15 - Sale <sup>25</sup>
	0.007	23.55	12/28/20	26.10	2.55 W	0.00	8 of 15 - Sale <sup>25</sup>
	0.081	266.93	12/28/20	294.00	27.07 W	0.00	9 of 15 - Sale <sup>25</sup>
	0.032	104.11	12/28/20	113.80	9.69 W	0.00	10 of 15 - Sale <sup>25</sup>
	0.030	96.94	12/28/20	103.10	6.16 W	0.00	11 of 15 - Sale <sup>25</sup>
	0.157	514.62	12/28/20	554.00	39.38 W	0.00	12 of 15 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.000	0.48	12/28/20	0.52	0.04 W	0.00	13 of 15 - Sale <sup>25</sup>
	0.047	152.97	12/28/20	154.29	1.32 W	0.00	14 of 15 - Sale <sup>25</sup>
	0.334	1,094.99	12/28/20	1,100.55	5.56 W	0.00	15 of 15 - Sale <sup>25</sup>
12/29/20	1.000	3,279.99	Various	3,459.37	179.38 W	0.00	Total of 15 transactions
12/30/20	1.000	3,290.92	12/30/20	3,326.95	36.03 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>184,364.32</b>		<b>186,396.14</b>	<b>2,244.71 W</b>	<b>212.89</b>	
AMBOW EDUCATION HOLDING LTD. A MERICAN DEPOSITORY SHARES, EAC H REPRESENTING TWO CLASS A ORD / CUSIP: 02322P200 / Symbol:							
06/11/20	400.000	1,051.92	06/11/20	1,040.00	...	11.92	Sale <sup>25</sup>
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
06/12/20	1,000.000	16,439.51	06/12/20	16,409.90	...	29.61	Sale <sup>25</sup>
	19 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	1.000	16.25	06/15/20	16.24	...	0.01	1 of 19 - Sale <sup>25</sup>
	30.000	487.48	06/15/20	487.37	...	0.11	2 of 19 - Sale <sup>25</sup>
	19.000	308.74	06/15/20	308.57	...	0.17	3 of 19 - Sale <sup>25</sup>
	10.000	162.59	06/15/20	162.00	...	0.59	4 of 19 - Sale <sup>25</sup>
	50.000	812.48	06/15/20	811.52	...	0.96	5 of 19 - Sale <sup>25</sup>
	70.000	1,138.17	06/15/20	1,137.15	...	1.02	6 of 19 - Sale <sup>25</sup>
	20.000	325.19	06/15/20	324.00	...	1.19	7 of 19 - Sale <sup>25</sup>
	30.000	487.78	06/15/20	485.40	...	2.38	8 of 19 - Sale <sup>25</sup>
	30.000	487.78	06/15/20	484.50	...	3.28	9 of 19 - Sale <sup>25</sup>
	30.000	487.49	06/15/20	483.54	...	3.95	10 of 19 - Sale <sup>25</sup>
	70.000	1,138.17	06/15/20	1,134.00	...	4.17	11 of 19 - Sale <sup>25</sup>
	70.000	1,137.47	06/15/20	1,132.60	...	4.87	12 of 19 - Sale <sup>25</sup>
	70.000	1,138.86	06/15/20	1,130.50	...	8.36	13 of 19 - Sale <sup>25</sup>
	70.000	1,138.17	06/15/20	1,128.27	...	9.90	14 of 19 - Sale <sup>25</sup>
	100.000	1,624.96	06/15/20	1,612.00	...	12.96	15 of 19 - Sale <sup>25</sup>
	100.000	1,626.95	06/15/20	1,613.00	...	13.95	16 of 19 - Sale <sup>25</sup>
	100.000	1,627.95	06/15/20	1,613.00	...	14.95	17 of 19 - Sale <sup>25</sup>
	200.000	3,361.92	06/15/20	3,346.00	...	15.92	18 of 19 - Sale <sup>25</sup>
	500.000	8,404.80	06/15/20	8,369.30	...	35.50	19 of 19 - Sale <sup>25</sup>
06/15/20	1,570.000	25,913.20	Various	25,778.96	...	134.24	Total of 19 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
30 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	19.000	307.79	06/15/20	308.56	0.77 W	0.00	1 of 30 - Sale <sup>25</sup>
	1.000	16.20	06/15/20	16.24	0.04 W	0.00	2 of 30 - Sale <sup>25</sup>
	50.000	809.98	06/15/20	811.50	1.52 W	0.00	3 of 30 - Sale <sup>25</sup>
	30.000	485.98	06/15/20	486.00	0.02 W	0.00	4 of 30 - Sale <sup>25</sup>
	100.000	1,666.95	06/15/20	1,708.81	41.86 W	0.00	5 of 30 - Sale <sup>25</sup>
	20.000	333.39	06/15/20	343.00	9.61 W	0.00	6 of 30 - Sale <sup>25</sup>
	380.000	6,334.41	06/15/20	6,517.00	182.59 W	0.00	7 of 30 - Sale <sup>25</sup>
	93.000	1,557.70	06/15/20	1,633.88	76.18 W	0.00	8 of 30 - Sale <sup>25</sup>
	7.000	117.25	06/15/20	122.98	5.73 W	0.00	9 of 30 - Sale <sup>25</sup>
	20.000	334.99	06/15/20	352.01	17.02 W	0.00	10 of 30 - Sale <sup>25</sup>
	80.000	1,339.96	06/15/20	1,408.03	68.07 W	0.00	11 of 30 - Sale <sup>25</sup>
	63.000	1,055.21	06/15/20	1,107.57	52.36 W	0.00	12 of 30 - Sale <sup>25</sup>
	100.000	1,674.95	06/15/20	1,758.05	83.10 W	0.00	13 of 30 - Sale <sup>25</sup>
	132.000	2,210.93	06/15/20	2,320.63	109.70 W	0.00	14 of 30 - Sale <sup>25</sup>
	5.000	83.75	06/15/20	87.90	4.15 W	0.00	15 of 30 - Sale <sup>25</sup>
	7.000	117.46	06/15/20	125.43	7.97 W	0.00	16 of 30 - Sale <sup>25</sup>
	20.000	335.59	06/15/20	359.02	23.43 W	0.00	17 of 30 - Sale <sup>25</sup>
	80.000	1,342.36	06/15/20	1,436.07	93.71 W	0.00	18 of 30 - Sale <sup>25</sup>
	93.000	1,560.49	06/15/20	1,666.48	105.99 W	0.00	19 of 30 - Sale <sup>25</sup>
	63.000	1,057.23	06/15/20	1,120.21	62.98 W	0.00	20 of 30 - Sale <sup>25</sup>
	37.000	620.91	06/15/20	657.90	36.99 W	0.00	21 of 30 - Sale <sup>25</sup>
	63.000	1,058.37	06/15/20	1,120.20	61.83 W	0.00	22 of 30 - Sale <sup>25</sup>
	37.000	621.58	06/15/20	657.90	36.32 W	0.00	23 of 30 - Sale <sup>25</sup>
	5.000	83.25	06/15/20	88.90	5.65 W	0.00	24 of 30 - Sale <sup>25</sup>
	7.000	116.55	06/15/20	126.62	10.07 W	0.00	25 of 30 - Sale <sup>25</sup>
	93.000	1,548.40	06/15/20	1,682.34	133.94 W	0.00	26 of 30 - Sale <sup>25</sup>
	95.000	1,581.70	06/15/20	1,689.20	107.50 W	0.00	27 of 30 - Sale <sup>25</sup>
	20.000	336.99	06/15/20	362.43	25.44 W	0.00	28 of 30 - Sale <sup>25</sup>
	80.000	1,347.96	06/15/20	1,449.71	101.75 W	0.00	29 of 30 - Sale <sup>25</sup>
	50.000	842.47	06/15/20	888.89	46.42 W	0.00	30 of 30 - Sale <sup>25</sup>
06/15/20	1,850.000	30,900.75	Various	32,413.46	1,512.71 W	0.00	Total of 30 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
7 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	13.000	213.45	06/19/20	228.09	14.64 W	0.00	1 of 7 - Sale <sup>25</sup>
	37.000	607.52	06/19/20	649.15	41.63 W	0.00	2 of 7 - Sale <sup>25</sup>
	37.000	607.52	06/19/20	646.82	39.30 W	0.00	3 of 7 - Sale <sup>25</sup>
	63.000	1,034.43	06/19/20	1,101.33	66.90 W	0.00	4 of 7 - Sale <sup>25</sup>
	3.000	49.26	06/19/20	52.83	3.57 W	0.00	5 of 7 - Sale <sup>25</sup>
	95.000	1,559.85	06/19/20	1,673.10	113.25 W	0.00	6 of 7 - Sale <sup>25</sup>
	2.000	32.84	06/19/20	35.22	2.38 W	0.00	7 of 7 - Sale <sup>25</sup>
06/19/20	250.000	4,104.87	Various	4,386.54	281.67 W	0.00	Total of 7 transactions
15 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	7.000	96.95	06/23/20	106.80	9.85 W	0.00	1 of 15 - Sale <sup>25</sup>
	93.000	1,288.00	06/23/20	1,419.02	131.02 W	0.00	2 of 15 - Sale <sup>25</sup>
	20.000	277.19	06/23/20	302.04	24.85 W	0.00	3 of 15 - Sale <sup>25</sup>
	80.000	1,108.76	06/23/20	1,208.15	99.39 W	0.00	4 of 15 - Sale <sup>25</sup>
	5.000	69.00	06/23/20	73.53	4.53 W	0.00	5 of 15 - Sale <sup>25</sup>
	13.000	179.39	06/23/20	193.75	14.36 W	0.00	6 of 15 - Sale <sup>25</sup>
	37.000	510.58	06/23/20	551.42	40.84 W	0.00	7 of 15 - Sale <sup>25</sup>
	45.000	620.98	06/23/20	661.80	40.82 W	0.00	8 of 15 - Sale <sup>25</sup>
	37.000	510.58	06/23/20	549.09	38.51 W	0.00	9 of 15 - Sale <sup>25</sup>
	63.000	869.37	06/23/20	934.92	65.55 W	0.00	10 of 15 - Sale <sup>25</sup>
	2.000	27.60	06/23/20	29.96	2.36 W	0.00	11 of 15 - Sale <sup>25</sup>
	3.000	41.40	06/23/20	44.94	3.54 W	0.00	12 of 15 - Sale <sup>25</sup>
	95.000	1,310.96	06/23/20	1,423.30	112.34 W	0.00	13 of 15 - Sale <sup>25</sup>
	7.000	96.60	06/23/20	106.38	9.78 W	0.00	14 of 15 - Sale <sup>25</sup>
	93.000	1,283.36	06/23/20	1,413.49	130.13 W	0.00	15 of 15 - Sale <sup>25</sup>
06/23/20	600.000	8,290.72	Various	9,018.59	727.87 W	0.00	Total of 15 transactions
20 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	10.000	130.11	06/29/20	141.43	11.32 W	0.00	1 of 20 - Sale <sup>25</sup>
	1.000	13.01	06/29/20	14.14	1.13 W	0.00	2 of 20 - Sale <sup>25</sup>
	8.000	104.09	06/29/20	113.14	9.05 W	0.00	3 of 20 - Sale <sup>25</sup>
	1.000	13.01	06/29/20	14.14	1.13 W	0.00	4 of 20 - Sale <sup>25</sup>
	20.000	260.23	06/29/20	282.85	22.62 W	0.00	5 of 20 - Sale <sup>25</sup>
	50.000	650.58	06/29/20	706.62	56.04 W	0.00	6 of 20 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	10.000	127.50	06/29/20	141.52	14.02 W	0.00	7 of 20 - Sale <sup>25</sup>
	5.000	63.75	06/29/20	69.08	5.33 W	0.00	8 of 20 - Sale <sup>25</sup>
	34.000	433.50	06/29/20	469.78	36.28 W	0.00	9 of 20 - Sale <sup>25</sup>
	1.000	12.75	06/29/20	13.82	1.07 W	0.00	10 of 20 - Sale <sup>25</sup>
	10.000	134.65	06/29/20	143.07	8.42 W	0.00	11 of 20 - Sale <sup>25</sup>
	7.000	92.85	06/29/20	101.88	9.03 W	0.00	12 of 20 - Sale <sup>25</sup>
	13.000	172.44	06/29/20	189.21	16.77 W	0.00	13 of 20 - Sale <sup>25</sup>
	1.000	13.26	06/29/20	14.54	1.28 W	0.00	14 of 20 - Sale <sup>25</sup>
	19.000	252.03	06/29/20	276.33	24.30 W	0.00	15 of 20 - Sale <sup>25</sup>
	5.000	66.10	06/29/20	72.52	6.42 W	0.00	16 of 20 - Sale <sup>25</sup>
	5.000	66.10	06/29/20	72.52	6.42 W	0.00	17 of 20 - Sale <sup>25</sup>
	5.000	66.10	06/29/20	72.20	6.10 W	0.00	18 of 20 - Sale <sup>25</sup>
	20.000	264.39	06/29/20	288.71	24.32 W	0.00	19 of 20 - Sale <sup>25</sup>
	20.000	264.38	06/29/20	288.81	24.43 W	0.00	20 of 20 - Sale <sup>25</sup>
06/29/20	245.000	3,200.83	Various	3,486.31	285.48 W	0.00	Total of 20 transactions
	23 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	48.000	614.38	07/06/20	667.44	...	-53.06	1 of 23 - Sale <sup>25</sup>
	45.000	575.98	07/06/20	612.86	...	-36.88	2 of 23 - Sale <sup>25</sup>
	34.000	435.19	07/06/20	461.22	...	-26.03	3 of 23 - Sale <sup>25</sup>
	19.000	243.19	07/06/20	261.76	...	-18.57	4 of 23 - Sale <sup>25</sup>
	20.000	255.99	07/06/20	274.39	...	-18.40	5 of 23 - Sale <sup>25</sup>
	20.000	255.99	07/06/20	274.29	...	-18.30	6 of 23 - Sale <sup>25</sup>
	20.000	255.99	07/06/20	272.72	...	-16.73	7 of 23 - Sale <sup>25</sup>
	13.000	166.39	07/06/20	179.25	...	-12.86	8 of 23 - Sale <sup>25</sup>
	10.000	128.00	07/06/20	139.00	...	-11.00	9 of 23 - Sale <sup>25</sup>
	10.000	128.00	07/06/20	136.38	...	-8.38	10 of 23 - Sale <sup>25</sup>
	7.000	89.60	07/06/20	97.31	...	-7.71	11 of 23 - Sale <sup>25</sup>
	7.000	89.60	07/06/20	96.51	...	-6.91	12 of 23 - Sale <sup>25</sup>
	8.000	102.40	07/06/20	109.09	...	-6.69	13 of 23 - Sale <sup>25</sup>
	10.000	128.00	07/06/20	133.40	...	-5.40	14 of 23 - Sale <sup>25</sup>
	5.000	64.00	07/06/20	68.91	...	-4.91	15 of 23 - Sale <sup>25</sup>
	5.000	64.00	07/06/20	68.91	...	-4.91	16 of 23 - Sale <sup>25</sup>
	5.000	64.00	07/06/20	68.59	...	-4.59	17 of 23 - Sale <sup>25</sup>
	5.000	64.00	07/06/20	68.13	...	-4.13	18 of 23 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	5.000	64.00	07/06/20	67.82	...	-3.82	19 of 23 - Sale <sup>25</sup>
	1.000	12.80	07/06/20	13.78	...	-0.98	20 of 23 - Sale <sup>25</sup>
	1.000	12.80	07/06/20	13.64	...	-0.84	21 of 23 - Sale <sup>25</sup>
	1.000	12.80	07/06/20	13.64	...	-0.84	22 of 23 - Sale <sup>25</sup>
	1.000	12.80	07/06/20	13.57	...	-0.77	23 of 23 - Sale <sup>25</sup>
07/06/20	300.000	3,839.90	Various	4,112.61	...	-272.71	Total of 23 transactions
	36 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	18.000	228.05	07/06/20	241.89	13.84 W	0.00	1 of 36 - Sale <sup>25</sup>
	37.000	468.77	07/06/20	497.22	28.45 W	0.00	2 of 36 - Sale <sup>25</sup>
	45.000	570.13	07/06/20	611.09	40.96 W	0.00	3 of 36 - Sale <sup>25</sup>
	1.000	12.67	07/06/20	13.60	0.93 W	0.00	4 of 36 - Sale <sup>25</sup>
	1.000	12.67	07/06/20	13.60	0.93 W	0.00	5 of 36 - Sale <sup>25</sup>
	2.000	25.34	07/06/20	27.29	1.95 W	0.00	6 of 36 - Sale <sup>25</sup>
	3.000	38.01	07/06/20	40.94	2.93 W	0.00	7 of 36 - Sale <sup>25</sup>
	5.000	63.35	07/06/20	67.94	4.59 W	0.00	8 of 36 - Sale <sup>25</sup>
	7.000	88.69	07/06/20	97.05	8.36 W	0.00	9 of 36 - Sale <sup>25</sup>
	8.000	101.36	07/06/20	108.79	7.43 W	0.00	10 of 36 - Sale <sup>25</sup>
	10.000	126.70	07/06/20	136.00	9.30 W	0.00	11 of 36 - Sale <sup>25</sup>
	20.000	253.39	07/06/20	271.96	18.57 W	0.00	12 of 36 - Sale <sup>25</sup>
	50.000	633.48	07/06/20	682.51	49.03 W	0.00	13 of 36 - Sale <sup>25</sup>
	93.000	1,178.27	07/06/20	1,289.61	111.34 W	0.00	14 of 36 - Sale <sup>25</sup>
	1.000	12.67	07/06/20	13.53	0.86 W	0.00	15 of 36 - Sale <sup>25</sup>
	1.000	12.67	07/06/20	13.74	1.07 W	0.00	16 of 36 - Sale <sup>25</sup>
	5.000	63.35	07/06/20	67.63	4.28 W	0.00	17 of 36 - Sale <sup>25</sup>
	5.000	63.35	07/06/20	68.72	5.37 W	0.00	18 of 36 - Sale <sup>25</sup>
	5.000	63.35	07/06/20	68.72	5.37 W	0.00	19 of 36 - Sale <sup>25</sup>
	5.000	63.35	07/06/20	68.40	5.05 W	0.00	20 of 36 - Sale <sup>25</sup>
	7.000	88.69	07/06/20	96.25	7.56 W	0.00	21 of 36 - Sale <sup>25</sup>
	10.000	126.70	07/06/20	138.62	11.92 W	0.00	22 of 36 - Sale <sup>25</sup>
	10.000	126.70	07/06/20	133.02	6.32 W	0.00	23 of 36 - Sale <sup>25</sup>
	13.000	164.70	07/06/20	178.75	14.05 W	0.00	24 of 36 - Sale <sup>25</sup>
	19.000	240.72	07/06/20	261.04	20.32 W	0.00	25 of 36 - Sale <sup>25</sup>
	20.000	253.38	07/06/20	273.52	20.14 W	0.00	26 of 36 - Sale <sup>25</sup>
	20.000	253.39	07/06/20	273.63	20.24 W	0.00	27 of 36 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	34.000	430.76	07/06/20	459.92	29.16 W	0.00	28 of 36 - Sale <sup>25</sup>
	45.000	570.13	07/06/20	611.14	41.01 W	0.00	29 of 36 - Sale <sup>25</sup>
	18.000	230.39	07/06/20	243.34	12.95 W	0.00	30 of 36 - Sale <sup>25</sup>
	37.000	473.59	07/06/20	500.20	26.61 W	0.00	31 of 36 - Sale <sup>25</sup>
	45.000	575.99	07/06/20	614.71	38.72 W	0.00	32 of 36 - Sale <sup>25</sup>
	2.000	25.60	07/06/20	27.45	1.85 W	0.00	33 of 36 - Sale <sup>25</sup>
	3.000	38.40	07/06/20	41.18	2.78 W	0.00	34 of 36 - Sale <sup>25</sup>
	45.000	575.99	07/06/20	627.62	51.63 W	0.00	35 of 36 - Sale <sup>25</sup>
	50.000	639.98	07/06/20	686.53	46.55 W	0.00	36 of 36 - Sale <sup>25</sup>
07/06/20	700.000	8,894.73	Various	9,567.15	672.42 W	0.00	Total of 36 transactions
	3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	45.000	535.94	07/13/20	562.43	...	-26.49	1 of 3 - Sale <sup>25</sup>
	37.000	440.66	07/13/20	457.22	...	-16.56	2 of 3 - Sale <sup>25</sup>
	18.000	214.37	07/13/20	222.44	...	-8.07	3 of 3 - Sale <sup>25</sup>
07/13/20	100.000	1,190.97	Various	1,242.09	...	-51.12	Total of 3 transactions
	4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	2.000	25.21	08/05/20	27.39	2.18 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	37.81	08/05/20	41.08	3.27 W	0.00	2 of 4 - Sale <sup>25</sup>
	45.000	567.21	08/05/20	626.19	58.98 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	630.23	08/05/20	684.96	54.73 W	0.00	4 of 4 - Sale <sup>25</sup>
08/05/20	100.000	1,260.46	Various	1,379.62	119.16 W	0.00	Total of 4 transactions
	3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	2.000	26.40	08/19/20	28.93	2.53 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	13.20	08/19/20	14.46	1.26 W	0.00	2 of 3 - Sale <sup>25</sup>
	17.000	224.40	08/19/20	245.90	21.50 W	0.00	3 of 3 - Sale <sup>25</sup>
08/19/20	20.000	264.00	Various	289.29	25.29 W	0.00	Total of 3 transactions
	12 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	2.000	26.00	08/24/20	27.88	1.88 W	0.00	1 of 12 - Sale <sup>25</sup>
	3.000	39.00	08/24/20	41.82	2.82 W	0.00	2 of 12 - Sale <sup>25</sup>
	30.000	389.99	08/24/20	418.34	28.35 W	0.00	3 of 12 - Sale <sup>25</sup>
	36.000	467.98	08/24/20	509.78	41.80 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	13.00	08/24/20	14.11	1.11 W	0.00	5 of 12 - Sale <sup>25</sup>
	2.000	26.00	08/24/20	28.23	2.23 W	0.00	6 of 12 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	9.000	117.00	08/24/20	127.45	10.45 W	0.00	7 of 12 - Sale <sup>25</sup>
	17.000	220.99	08/24/20	239.95	18.96 W	0.00	8 of 12 - Sale <sup>25</sup>
	2.000	26.44	08/24/20	28.08	1.64 W	0.00	9 of 12 - Sale <sup>25</sup>
	3.000	39.66	08/24/20	42.12	2.46 W	0.00	10 of 12 - Sale <sup>25</sup>
	15.000	198.29	08/24/20	213.92	15.63 W	0.00	11 of 12 - Sale <sup>25</sup>
	30.000	396.59	08/24/20	421.35	24.76 W	0.00	12 of 12 - Sale <sup>25</sup>
08/24/20	150.000	1,960.94	Various	2,113.03	152.09 W	0.00	Total of 12 transactions
	4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	15.000	204.03	09/04/20	218.85	...	-14.82	1 of 4 - Sale <sup>25</sup>
	3.000	40.81	09/04/20	43.10	...	-2.29	2 of 4 - Sale <sup>25</sup>
	2.000	27.20	09/04/20	28.74	...	-1.54	3 of 4 - Sale <sup>25</sup>
	100.000	1,360.21	09/04/20	1,358.75	...	1.46	4 of 4 - Sale <sup>25</sup>
09/04/20	120.000	1,632.25	Various	1,649.44	...	-17.19	Total of 4 transactions
	6 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	30.000	408.06	09/04/20	431.20	15.43 W	-7.71	1 of 6 - Sale <sup>25</sup>
	1.000	13.60	09/04/20	14.66	1.06 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.000	27.20	09/04/20	29.33	2.13 W	0.00	3 of 6 - Sale <sup>25</sup>
	9.000	122.42	09/04/20	132.38	9.96 W	0.00	4 of 6 - Sale <sup>25</sup>
	17.000	231.24	09/04/20	249.28	18.04 W	0.00	5 of 6 - Sale <sup>25</sup>
	21.000	285.64	09/04/20	308.89	23.25 W	0.00	6 of 6 - Sale <sup>25</sup>
09/04/20	80.000	1,088.16	Various	1,165.74	69.87 W	-7.71	Total of 6 transactions
	7 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	20.000	246.28	09/29/20	268.44	...	-22.16	1 of 7 - Sale <sup>25</sup>
	17.000	209.34	09/29/20	227.47	...	-18.13	2 of 7 - Sale <sup>25</sup>
	20.000	246.28	09/29/20	261.82	...	-15.54	3 of 7 - Sale <sup>25</sup>
	9.000	110.83	09/29/20	120.83	...	-10.00	4 of 7 - Sale <sup>25</sup>
	2.000	24.63	09/29/20	26.77	...	-2.14	5 of 7 - Sale <sup>25</sup>
	1.000	12.31	09/29/20	13.43	...	-1.12	6 of 7 - Sale <sup>25</sup>
	1.000	12.31	09/29/20	13.38	...	-1.07	7 of 7 - Sale <sup>25</sup>
09/29/20	70.000	861.98	Various	932.14	...	-70.16	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
<i>2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	601.47 N	11/13/20	608.81	...	-7.34	1 of 2 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$123.50
	50.000	601.48 N	11/13/20	603.87	...	-2.39	2 of 2 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$123.50
11/20/20	100.000	1,202.95	Various	1,212.68	...	-9.73	Total of 2 transactions
	<b>Security total:</b>	<b>111,046.22</b>		<b>115,157.55</b>	<b>3,846.56 W</b>	<b>-264.77</b>	
AMERICAN EXPRESS COMPANY / CUSIP: 025816109 / Symbol:							
<i>4 transactions for 04/29/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	375.99	12/06/19	484.74	...	-108.75	1 of 4 - Sale <sup>25</sup>
	2.000	188.00	12/06/19	242.06	...	-54.06	2 of 4 - Sale <sup>25</sup>
	1.000	94.00	01/21/20	130.60	...	-36.60	3 of 4 - Sale <sup>25</sup>
	10.000	939.97	03/17/20	844.75	...	95.22	4 of 4 - Sale <sup>25</sup>
04/29/20	17.000	1,597.96	Various	1,702.15	...	-104.19	Total of 4 transactions
08/07/20	20.000	1,968.95	08/07/20	1,968.40	...	0.55	Sale <sup>25</sup>
08/11/20	5.000	525.08	08/11/20	525.40	...	-0.32	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,091.99</b>		<b>4,195.95</b>	...	<b>-103.96</b>	
AMERICAN TOWER CORPORATION / CUSIP: 03027X100 / Symbol:							
10/08/20	5.000	1,225.72	10/08/20	1,231.58	...	-5.86	Sale <sup>25</sup>
10/08/20	5.000	1,225.37	10/08/20	1,230.95	5.58 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,451.09</b>		<b>2,462.53</b>	<b>5.58 W</b>	<b>-5.86</b>	
AMERICAN VIRTUAL CLOUD TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 030382105 / Symbol:							
04/20/20	95.000	327.74	04/20/20	354.83	5.70 W	-21.39	Sale <sup>25</sup>
<i>2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	42.40	04/20/20	89.30	...	-46.90	1 of 2 - Sale <sup>25</sup>
	5.000	10.60	04/20/20	18.67	...	-8.07	2 of 2 - Sale <sup>25</sup>
04/27/20	25.000	53.00	Various	107.97	...	-54.97	Total of 2 transactions
	<b>Security total:</b>	<b>380.74</b>		<b>462.80</b>	<b>5.70 W</b>	<b>-76.36</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMETEK, INC. / CUSIP: 031100100 / Symbol:							
10/07/20	20.000	2,129.55	10/07/20	2,124.80	...	4.75	Sale <sup>25</sup>
AMGEN INC. COMMON STOCK / CUSIP: 031162100 / Symbol:							
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	246.14	09/28/20	248.60	2.46 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	984.58	09/28/20	994.40	9.82 W	0.00	2 of 2 - Sale <sup>25</sup>
09/28/20	5.000	1,230.72	Various	1,243.00	12.28 W	0.00	Total of 2 transactions
3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	253.86	10/05/20	254.63	0.77 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	507.72	10/05/20	509.25	1.53 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	506.80	10/05/20	509.25	2.45 W	0.00	3 of 3 - Sale <sup>25</sup>
10/05/20	5.000	1,268.38	Various	1,273.13	4.75 W	0.00	Total of 3 transactions
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	2.000	510.60	10/06/20	511.85	0.63 W	-0.62	1 of 3 - Sale <sup>25</sup>
	1.000	255.30	10/06/20	255.47	0.17 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	510.60	10/06/20	510.93	0.33 W	0.00	3 of 3 - Sale <sup>25</sup>
10/06/20	5.000	1,276.50	Various	1,278.25	1.13 W	-0.62	Total of 3 transactions
4 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	255.80	10/07/20	257.03	...	-1.23	1 of 4 - Sale <sup>25</sup>
	1.000	255.80	10/07/20	256.77	...	-0.97	2 of 4 - Sale <sup>25</sup>
	1.000	255.80	10/07/20	256.57	...	-0.77	3 of 4 - Sale <sup>25</sup>
	1.000	255.81	10/07/20	256.56	...	-0.75	4 of 4 - Sale <sup>25</sup>
10/07/20	4.000	1,023.21	Various	1,026.93	...	-3.72	Total of 4 transactions
	<b>Security total:</b>	<b>4,798.81</b>		<b>4,821.31</b>	<b>18.16 W</b>	<b>-4.34</b>	
AMPHENOL CORPORATION / CUSIP: 032095101 / Symbol:							
2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	4.000	464.18	10/26/20	465.20	...	-1.02	1 of 2 - Sale <sup>25</sup>
	1.000	116.05	10/26/20	116.12	...	-0.07	2 of 2 - Sale <sup>25</sup>
10/27/20	5.000	580.23	Various	581.32	...	-1.09	Total of 2 transactions
AMYRIS, INC. COMMON STOCK / CUSIP: 03236M200 / Symbol:							
01/16/20	20.000	61.50	01/13/20	56.96	...	4.54	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANAPLAN, INC. / CUSIP: 03272L108 / Symbol:							
<i>4 transactions for 07/28/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	667.19	07/17/20	666.83	...	0.36	1 of 4 - Sale <sup>25</sup>
	50.000	2,223.94	07/17/20	2,222.50	...	1.44	2 of 4 - Sale <sup>25</sup>
	20.000	889.58	07/17/20	888.00	...	1.58	3 of 4 - Sale <sup>25</sup>
	15.000	667.18	07/24/20	655.20	...	11.98	4 of 4 - Sale <sup>25</sup>
07/28/20	100.000	4,447.89	Various	4,432.53	...	15.36	Total of 4 transactions
<i>2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	936.39	08/13/20	938.65	...	-2.26	1 of 2 - Sale <sup>25</sup>
	30.000	1,389.57	08/13/20	1,391.75	...	-2.18	2 of 2 - Sale <sup>25</sup>
08/13/20	50.000	2,325.96	Various	2,330.40	...	-4.44	Total of 2 transactions
<i>2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	923.57	08/13/20	924.00	0.43 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	926.38	08/13/20	928.26	1.88 W	0.00	2 of 2 - Sale <sup>25</sup>
08/13/20	40.000	1,849.95	Various	1,852.26	2.31 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>8,623.80</b>		<b>8,615.19</b>	<b>2.31 W</b>	<b>10.92</b>	
ANAVEX LIFE SCIENCES CORP. COM MON STOCK / CUSIP: 032797300 / Symbol:							
06/18/20	500.000	2,299.93	06/18/20	2,325.00	...	-25.07	Sale <sup>25</sup>
ANGLOGOLD ASHANTI LIMITED / CUSIP: 035128206 / Symbol:							
07/27/20	50.000	1,905.95	07/27/20	1,917.50	...	-11.55	Sale <sup>25</sup>
ANNALY CAPITAL MANAGEMENT. INC. / CUSIP: 035710409 / Symbol:							
12/07/20	100.000	829.98	12/07/20	830.50	...	-0.52	Sale <sup>25</sup>
ANTERO RESOURCES CORPORATION / CUSIP: 03674X106 / Symbol:							
<i>2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3.27	06/08/20	3.22	...	0.05	1 of 2 - Sale <sup>25</sup>
	1.000	3.28	06/10/20	3.93	...	-0.65	2 of 2 - Sale <sup>25</sup>
06/12/20	2.000	6.55	Various	7.15	...	-0.60	Total of 2 transactions
APACHE CORPORATION COMMON STOC K / CUSIP: 037411105 / Symbol:							
11/24/20	20.000	277.80	11/24/20	275.20	...	2.60	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
11/20/20	100.000	641.97 N	11/18/20	649.79	7.82 W	0.00	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$58.00
12/04/20	100.000	848.11	11/27/20	757.70	...	90.41	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,490.08</b>		<b>1,407.49</b>	<b>7.82 W</b>	<b>90.41</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
	3 transactions for 02/19/20. Total proceeds and cost reported to the IRS.						
	2.000	647.34	01/28/20	633.26	...	14.08	1 of 3 - Sale <sup>25</sup>
	3.000	971.02	01/28/20	938.73	...	32.29	2 of 3 - Sale <sup>25</sup>
	3.000	971.02	02/18/20	949.86	...	21.16	3 of 3 - Sale <sup>25</sup>
02/19/20	8.000	2,589.38	Various	2,521.85	...	67.53	Total of 3 transactions
	2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	8.000	2,519.94	02/21/20	2,488.77	...	31.17	1 of 2 - Sale <sup>25</sup>
	3.000	944.98	02/24/20	903.35	...	41.63	2 of 2 - Sale <sup>25</sup>
05/18/20	11.000	3,464.92	Various	3,392.12	...	72.80	Total of 2 transactions
05/18/20	9.000	2,834.94	02/21/20	2,841.08	6.14 W	0.00	Sale <sup>25</sup>
	7 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	8.000	2,742.74	06/12/20	2,747.62	...	-4.88	1 of 7 - Sale <sup>25</sup>
	1.000	342.84	06/12/20	343.30	...	-0.46	2 of 7 - Sale <sup>25</sup>
	1.000	342.84	06/12/20	342.77	...	0.07	3 of 7 - Sale <sup>25</sup>
	9.000	3,085.58	06/12/20	3,084.30	...	1.28	4 of 7 - Sale <sup>25</sup>
	5.000	1,714.21	06/12/20	1,712.25	...	1.96	5 of 7 - Sale <sup>25</sup>
	15.000	5,142.64	06/12/20	5,136.75	...	5.89	6 of 7 - Sale <sup>25</sup>
	10.000	3,428.42	06/12/20	3,413.80	...	14.62	7 of 7 - Sale <sup>25</sup>
06/12/20	49.000	16,799.27	Various	16,780.79	...	18.48	Total of 7 transactions
06/12/20	1.000	342.85	06/12/20	343.45	0.60 W	0.00	Sale <sup>25</sup>
	2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	10.000	4,520.49	08/12/20	4,514.52	...	5.97	1 of 2 - Sale <sup>25</sup>
	10.000	4,525.09	08/12/20	4,514.52	...	10.57	2 of 2 - Sale <sup>25</sup>
08/12/20	20.000	9,045.58	Various	9,029.04	...	16.54	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	3.000	1,382.67	08/13/20	1,380.84	...	1.83	1 of 2 - Sale <sup>25</sup>
	11.000	5,069.78	08/13/20	5,063.08	...	6.70	2 of 2 - Sale <sup>25</sup>
08/13/20	14.000	6,452.45	Various	6,443.92	...	8.53	Total of 2 transactions
7 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	458.56	08/13/20	459.99	1.43 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	460.89	08/13/20	461.71	0.82 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	460.35	08/13/20	461.90	1.55 W	0.00	3 of 7 - Sale <sup>25</sup>
	9.000	4,143.14	08/13/20	4,149.72	6.58 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	461.42	08/13/20	463.22	1.80 W	0.00	5 of 7 - Sale <sup>25</sup>
	9.000	4,152.77	08/13/20	4,161.56	8.79 W	0.00	6 of 7 - Sale <sup>25</sup>
	5.000	2,307.10	08/13/20	2,308.33	1.23 W	0.00	7 of 7 - Sale <sup>25</sup>
08/13/20	27.000	12,444.23	Various	12,466.43	22.20 W	0.00	Total of 7 transactions
4 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	461.78	08/18/20	460.98	...	0.80	1 of 4 - Sale <sup>25</sup>
	1.000	461.80	08/18/20	460.98	...	0.82	2 of 4 - Sale <sup>25</sup>
	1.000	461.80	08/18/20	460.98	...	0.82	3 of 4 - Sale <sup>25</sup>
	1.000	461.80	08/18/20	460.97	...	0.83	4 of 4 - Sale <sup>25</sup>
08/18/20	4.000	1,847.18	Various	1,843.91	...	3.27	Total of 4 transactions
11 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	461.79	08/18/20	461.80	0.01 W	0.00	1 of 11 - Sale <sup>25</sup>
	5.000	2,302.00	08/18/20	2,311.72	9.72 W	0.00	2 of 11 - Sale <sup>25</sup>
	5.000	2,301.99	08/18/20	2,308.06	6.07 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	458.95	08/18/20	461.40	2.45 W	0.00	4 of 11 - Sale <sup>25</sup>
	4.000	1,835.80	08/18/20	1,850.41	14.61 W	0.00	5 of 11 - Sale <sup>25</sup>
	5.000	2,294.75	08/18/20	2,316.66	21.91 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	461.09	08/18/20	462.78	1.69 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	461.09	08/18/20	464.02	2.93 W	0.00	8 of 11 - Sale <sup>25</sup>
	3.000	1,383.26	08/18/20	1,397.84	14.58 W	0.00	9 of 11 - Sale <sup>25</sup>
	2.000	922.38	08/18/20	931.46	9.08 W	0.00	10 of 11 - Sale <sup>25</sup>
	3.000	1,383.56	08/18/20	1,395.01	11.45 W	0.00	11 of 11 - Sale <sup>25</sup>
08/18/20	31.000	14,266.66	Various	14,361.16	94.50 W	0.00	Total of 11 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
<i>6 transactions for 08/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	466.06	08/19/20	470.70	4.64 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	466.06	08/19/20	468.74	2.68 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	466.05	08/19/20	469.98	3.93 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	932.11	08/19/20	943.18	11.07 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.000	932.11	08/19/20	941.73	9.62 W	0.00	5 of 6 - Sale <sup>25</sup>
	3.000	1,398.17	08/19/20	1,415.73	17.56 W	0.00	6 of 6 - Sale <sup>25</sup>
08/19/20	10.000	4,660.56	Various	4,710.06	49.50 W	0.00	Total of 6 transactions
<i>3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	487.29	08/21/20	487.24	...	0.05	1 of 3 - Sale <sup>25</sup>
	1.000	487.29	08/21/20	487.13	...	0.16	2 of 3 - Sale <sup>25</sup>
	1.000	487.29	08/21/20	485.99	...	1.30	3 of 3 - Sale <sup>25</sup>
08/21/20	3.000	1,461.87	Various	1,460.36	...	1.51	Total of 3 transactions
<i>2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	487.29	08/21/20	487.95	0.66 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	487.28	08/21/20	489.16	1.88 W	0.00	2 of 2 - Sale <sup>25</sup>
08/21/20	2.000	974.57	Various	977.11	2.54 W	0.00	Total of 2 transactions
<i>2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	1,003.00	08/24/20	1,030.51	27.51 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	1,002.99	08/24/20	1,029.87	26.88 W	0.00	2 of 2 - Sale <sup>25</sup>
08/24/20	4.000	2,005.99	Various	2,060.38	54.39 W	0.00	Total of 2 transactions
<i>2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	502.02	08/28/20	507.15	5.13 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	502.02	08/28/20	505.60	3.58 W	0.00	2 of 2 - Sale <sup>25</sup>
08/28/20	2.000	1,004.04	Various	1,012.75	8.71 W	0.00	Total of 2 transactions
<i>4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	508.86	08/28/20	505.13	...	3.73	1 of 4 - Sale <sup>25</sup>
	1.000	129.12	08/31/20	128.56	...	0.56	2 of 4 - Sale <sup>25</sup>
	5.000	645.59	08/31/20	644.94	...	0.65	3 of 4 - Sale <sup>25</sup>
	10.000	1,291.18	08/31/20	1,282.77	...	8.41	4 of 4 - Sale <sup>25</sup>
08/31/20	20.000	2,574.75	Various	2,561.40	...	13.35	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	5.000	645.59	08/31/20	648.65	3.06 W	0.00	1 of 3 - Sale <sup>25</sup>
	23.000	2,973.37	08/31/20	2,995.52	22.15 W	0.00	2 of 3 - Sale <sup>25</sup>
	27.000	3,490.48	08/31/20	3,517.01	26.53 W	0.00	3 of 3 - Sale <sup>25</sup>
08/31/20	55.000	7,109.44	Various	7,161.18	51.74 W	0.00	Total of 3 transactions
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	9.000	1,195.53	09/01/20	1,200.33	4.80 W	0.00	1 of 2 - Sale <sup>25</sup>
	11.000	1,461.21	09/01/20	1,461.36	0.15 W	0.00	2 of 2 - Sale <sup>25</sup>
09/01/20	20.000	2,656.74	Various	2,661.69	4.95 W	0.00	Total of 2 transactions
7 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	4.000	488.23	09/03/20	502.64	14.41 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	610.29	09/03/20	624.99	14.70 W	0.00	2 of 7 - Sale <sup>25</sup>
	5.000	610.29	09/03/20	626.45	16.16 W	0.00	3 of 7 - Sale <sup>25</sup>
	16.000	1,952.93	09/03/20	1,998.43	45.50 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	1,220.59	09/03/20	1,246.23	25.64 W	0.00	5 of 7 - Sale <sup>25</sup>
	13.000	1,577.90	09/03/20	1,611.25	33.35 W	0.00	6 of 7 - Sale <sup>25</sup>
	17.000	2,063.41	09/03/20	2,106.69	43.28 W	0.00	7 of 7 - Sale <sup>25</sup>
09/03/20	70.000	8,523.64	Various	8,716.68	193.04 W	0.00	Total of 7 transactions
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	121.43	09/04/20	119.37	...	2.06	1 of 3 - Sale <sup>25</sup>
	6.000	684.58	09/04/20	681.07	...	3.51	2 of 3 - Sale <sup>25</sup>
	9.000	1,092.84	09/04/20	1,073.49	...	19.35	3 of 3 - Sale <sup>25</sup>
09/04/20	16.000	1,898.85	Various	1,873.93	...	24.92	Total of 3 transactions
9 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	4.000	480.03	09/04/20	492.01	11.98 W	0.00	1 of 9 - Sale <sup>25</sup>
	6.000	720.04	09/04/20	740.60	20.56 W	0.00	2 of 9 - Sale <sup>25</sup>
	5.000	600.04	09/04/20	616.44	16.40 W	0.00	3 of 9 - Sale <sup>25</sup>
	5.000	600.03	09/04/20	613.83	13.80 W	0.00	4 of 9 - Sale <sup>25</sup>
	4.000	456.39	09/04/20	465.75	9.36 W	0.00	5 of 9 - Sale <sup>25</sup>
	4.000	480.59	09/04/20	500.32	19.73 W	0.00	6 of 9 - Sale <sup>25</sup>
	4.000	480.59	09/04/20	496.17	15.58 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	600.73	09/04/20	623.55	22.82 W	0.00	8 of 9 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	7.000	841.03	09/04/20	870.25	29.22 W	0.00	9 of 9 - Sale <sup>25</sup>
09/04/20	44.000	5,259.47	Various	5,418.92	159.45 W	0.00	Total of 9 transactions
	2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	4.000	453.99	09/10/20	466.70	12.71 W	0.00	1 of 2 - Sale <sup>25</sup>
	6.000	680.98	09/10/20	700.05	19.07 W	0.00	2 of 2 - Sale <sup>25</sup>
09/10/20	10.000	1,134.97	Various	1,166.75	31.78 W	0.00	Total of 2 transactions
09/11/20	5.000	560.26	09/11/20	574.33	14.07 W	0.00	Sale <sup>25</sup>
	2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	1.000	114.05	09/11/20	114.85	0.80 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	456.21	09/11/20	459.46	3.25 W	0.00	2 of 2 - Sale <sup>25</sup>
09/14/20	5.000	570.26	Various	574.31	4.05 W	0.00	Total of 2 transactions
	6 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	10.000	1,142.17	09/14/20	1,178.26	36.09 W	0.00	1 of 6 - Sale <sup>25</sup>
	6.000	684.17	09/16/20	699.03	14.86 W	0.00	2 of 6 - Sale <sup>25</sup>
	4.000	456.11	09/16/20	469.55	13.44 W	0.00	3 of 6 - Sale <sup>25</sup>
	4.000	455.87	09/16/20	467.82	11.95 W	0.00	4 of 6 - Sale <sup>25</sup>
	4.000	455.87	09/16/20	468.95	13.08 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.000	227.94	09/16/20	234.77	6.83 W	0.00	6 of 6 - Sale <sup>25</sup>
09/16/20	30.000	3,422.13	Various	3,518.38	96.25 W	0.00	Total of 6 transactions
	85 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	1.000	109.94	09/17/20	112.67	2.73 W	0.00	1 of 85 - Sale <sup>25</sup>
	4.000	439.75	09/17/20	446.91	7.16 W	0.00	2 of 85 - Sale <sup>25</sup>
	5.000	549.68	09/17/20	560.74	11.06 W	0.00	3 of 85 - Sale <sup>25</sup>
	1.000	110.55	09/17/20	114.27	3.72 W	0.00	4 of 85 - Sale <sup>25</sup>
	3.000	331.64	09/17/20	343.98	12.34 W	0.00	5 of 85 - Sale <sup>25</sup>
	6.000	663.28	09/17/20	685.62	22.34 W	0.00	6 of 85 - Sale <sup>25</sup>
	2.000	221.10	09/17/20	228.43	7.33 W	0.00	7 of 85 - Sale <sup>25</sup>
	3.000	331.64	09/17/20	343.75	12.11 W	0.00	8 of 85 - Sale <sup>25</sup>
	3.000	331.70	09/17/20	340.64	8.94 W	0.00	9 of 85 - Sale <sup>25</sup>
	1.000	110.57	09/17/20	114.58	4.01 W	0.00	10 of 85 - Sale <sup>25</sup>
	1.000	110.56	09/17/20	113.54	2.98 W	0.00	11 of 85 - Sale <sup>25</sup>
	5.000	551.99	09/17/20	564.89	12.90 W	0.00	12 of 85 - Sale <sup>25</sup>
	1.000	110.40	09/17/20	113.00	2.60 W	0.00	13 of 85 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	4.000	441.59	09/17/20	451.99	10.40 W	0.00	14 of 85 - Sale <sup>25</sup>
	5.000	551.99	09/17/20	563.17	11.18 W	0.00	15 of 85 - Sale <sup>25</sup>
	1.000	110.40	09/17/20	111.24	0.84 W	0.00	16 of 85 - Sale <sup>25</sup>
	4.000	441.59	09/17/20	445.02	3.43 W	0.00	17 of 85 - Sale <sup>25</sup>
	5.000	550.95	09/17/20	569.55	18.60 W	0.00	18 of 85 - Sale <sup>25</sup>
	5.000	550.94	09/17/20	568.94	18.00 W	0.00	19 of 85 - Sale <sup>25</sup>
	5.000	552.54	09/17/20	563.13	10.59 W	0.00	20 of 85 - Sale <sup>25</sup>
	1.000	110.51	09/17/20	112.63	2.12 W	0.00	21 of 85 - Sale <sup>25</sup>
	4.000	442.03	09/17/20	454.04	12.01 W	0.00	22 of 85 - Sale <sup>25</sup>
	2.000	221.02	09/17/20	227.77	6.75 W	0.00	23 of 85 - Sale <sup>25</sup>
	4.000	442.03	09/17/20	453.83	11.80 W	0.00	24 of 85 - Sale <sup>25</sup>
	4.000	442.04	09/17/20	454.96	12.92 W	0.00	25 of 85 - Sale <sup>25</sup>
	1.000	110.88	09/17/20	113.20	2.32 W	0.00	26 of 85 - Sale <sup>25</sup>
	4.000	443.51	09/17/20	449.04	5.53 W	0.00	27 of 85 - Sale <sup>25</sup>
	5.000	554.39	09/17/20	563.41	9.02 W	0.00	28 of 85 - Sale <sup>25</sup>
	1.000	110.75	09/17/20	114.19	3.44 W	0.00	29 of 85 - Sale <sup>25</sup>
	3.000	332.24	09/17/20	343.75	11.51 W	0.00	30 of 85 - Sale <sup>25</sup>
	6.000	664.48	09/17/20	685.16	20.68 W	0.00	31 of 85 - Sale <sup>25</sup>
	1.000	110.81	09/17/20	114.59	3.78 W	0.00	32 of 85 - Sale <sup>25</sup>
	1.000	110.81	09/17/20	113.56	2.75 W	0.00	33 of 85 - Sale <sup>25</sup>
	2.000	221.62	09/17/20	228.50	6.88 W	0.00	34 of 85 - Sale <sup>25</sup>
	3.000	332.42	09/17/20	343.87	11.45 W	0.00	35 of 85 - Sale <sup>25</sup>
	3.000	332.42	09/17/20	340.70	8.28 W	0.00	36 of 85 - Sale <sup>25</sup>
	1.000	110.81	09/17/20	113.20	2.39 W	0.00	37 of 85 - Sale <sup>25</sup>
	4.000	443.23	09/17/20	452.80	9.57 W	0.00	38 of 85 - Sale <sup>25</sup>
	5.000	554.04	09/17/20	565.90	11.86 W	0.00	39 of 85 - Sale <sup>25</sup>
	1.000	110.81	09/17/20	111.74	0.93 W	0.00	40 of 85 - Sale <sup>25</sup>
	4.000	443.23	09/17/20	447.03	3.80 W	0.00	41 of 85 - Sale <sup>25</sup>
	5.000	554.04	09/17/20	565.68	11.64 W	0.00	42 of 85 - Sale <sup>25</sup>
	5.000	551.41	09/17/20	573.25	21.84 W	0.00	43 of 85 - Sale <sup>25</sup>
	5.000	551.41	09/17/20	572.65	21.24 W	0.00	44 of 85 - Sale <sup>25</sup>
	1.000	110.10	09/17/20	113.02	2.92 W	0.00	45 of 85 - Sale <sup>25</sup>
	4.000	440.42	09/17/20	455.61	15.19 W	0.00	46 of 85 - Sale <sup>25</sup>
	5.000	550.52	09/17/20	565.09	14.57 W	0.00	47 of 85 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.000	109.85	09/17/20	113.51	3.66 W	0.00	48 of 85 - Sale <sup>25</sup>
	1.000	109.85	09/17/20	113.50	3.65 W	0.00	49 of 85 - Sale <sup>25</sup>
	4.000	439.39	09/17/20	452.32	12.93 W	0.00	50 of 85 - Sale <sup>25</sup>
	4.000	439.38	09/17/20	453.44	14.06 W	0.00	51 of 85 - Sale <sup>25</sup>
	1.000	111.24	09/17/20	113.03	1.79 W	0.00	52 of 85 - Sale <sup>25</sup>
	4.000	444.94	09/17/20	448.37	3.43 W	0.00	53 of 85 - Sale <sup>25</sup>
	5.000	556.18	09/17/20	562.56	6.38 W	0.00	54 of 85 - Sale <sup>25</sup>
	1.000	111.23	09/17/20	114.19	2.96 W	0.00	55 of 85 - Sale <sup>25</sup>
	3.000	333.71	09/17/20	343.76	10.05 W	0.00	56 of 85 - Sale <sup>25</sup>
	6.000	667.41	09/17/20	685.18	17.77 W	0.00	57 of 85 - Sale <sup>25</sup>
	1.000	111.39	09/17/20	114.53	3.14 W	0.00	58 of 85 - Sale <sup>25</sup>
	1.000	111.39	09/17/20	113.50	2.11 W	0.00	59 of 85 - Sale <sup>25</sup>
	2.000	222.77	09/17/20	228.38	5.61 W	0.00	60 of 85 - Sale <sup>25</sup>
	3.000	334.16	09/17/20	343.70	9.54 W	0.00	61 of 85 - Sale <sup>25</sup>
	3.000	334.16	09/17/20	340.53	6.37 W	0.00	62 of 85 - Sale <sup>25</sup>
	1.000	111.39	09/17/20	111.90	0.51 W	0.00	63 of 85 - Sale <sup>25</sup>
	4.000	445.55	09/17/20	447.68	2.13 W	0.00	64 of 85 - Sale <sup>25</sup>
	1.000	111.39	09/17/20	113.36	1.97 W	0.00	65 of 85 - Sale <sup>25</sup>
	4.000	445.55	09/17/20	453.44	7.89 W	0.00	66 of 85 - Sale <sup>25</sup>
	5.000	556.94	09/17/20	566.70	9.76 W	0.00	67 of 85 - Sale <sup>25</sup>
	5.000	556.93	09/17/20	566.48	9.55 W	0.00	68 of 85 - Sale <sup>25</sup>
	1.000	110.70	09/17/20	115.22	4.52 W	0.00	69 of 85 - Sale <sup>25</sup>
	4.000	442.79	09/17/20	460.85	18.06 W	0.00	70 of 85 - Sale <sup>25</sup>
	5.000	553.48	09/17/20	575.47	21.99 W	0.00	71 of 85 - Sale <sup>25</sup>
	1.000	110.60	09/17/20	113.76	3.16 W	0.00	72 of 85 - Sale <sup>25</sup>
	1.000	110.60	09/17/20	113.77	3.17 W	0.00	73 of 85 - Sale <sup>25</sup>
	4.000	442.39	09/17/20	455.06	12.67 W	0.00	74 of 85 - Sale <sup>25</sup>
	4.000	442.38	09/17/20	458.59	16.21 W	0.00	75 of 85 - Sale <sup>25</sup>
	1.000	110.59	09/17/20	115.41	4.82 W	0.00	76 of 85 - Sale <sup>25</sup>
	1.000	110.59	09/17/20	115.40	4.81 W	0.00	77 of 85 - Sale <sup>25</sup>
	1.000	110.59	09/17/20	113.54	2.95 W	0.00	78 of 85 - Sale <sup>25</sup>
	1.000	110.59	09/17/20	114.71	4.12 W	0.00	79 of 85 - Sale <sup>25</sup>
	3.000	331.76	09/17/20	345.31	13.55 W	0.00	80 of 85 - Sale <sup>25</sup>
	4.000	442.35	09/17/20	459.94	17.59 W	0.00	81 of 85 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	4.000	442.35	09/17/20	461.07	18.72 W	0.00	82 of 85 - Sale <sup>25</sup>
	4.000	442.35	09/17/20	450.44	8.09 W	0.00	83 of 85 - Sale <sup>25</sup>
	5.000	552.93	09/17/20	565.15	12.22 W	0.00	84 of 85 - Sale <sup>25</sup>
	6.000	663.52	09/17/20	688.29	24.77 W	0.00	85 of 85 - Sale <sup>25</sup>
09/17/20	260.000	28,770.14	Various	29,527.27	757.13 W	0.00	Total of 85 transactions
	5 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	1.000	108.60	09/17/20	112.59	3.99 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	108.60	09/17/20	111.56	2.96 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	217.20	09/17/20	224.51	7.31 W	0.00	3 of 5 - Sale <sup>25</sup>
	3.000	325.79	09/17/20	337.89	12.10 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.000	325.79	09/17/20	334.72	8.93 W	0.00	5 of 5 - Sale <sup>25</sup>
09/18/20	10.000	1,085.98	Various	1,121.27	35.29 W	0.00	Total of 5 transactions
	8 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	1.000	111.98	09/17/20	109.94	...	2.04	1 of 8 - Sale <sup>25</sup>
	5.000	559.89	09/17/20	556.91	...	2.98	2 of 8 - Sale <sup>25</sup>
	4.000	447.91	09/17/20	439.85	...	8.06	3 of 8 - Sale <sup>25</sup>
	1.000	111.98	09/18/20	111.00	...	0.98	4 of 8 - Sale <sup>25</sup>
	1.000	111.98	09/18/20	110.83	...	1.15	5 of 8 - Sale <sup>25</sup>
	4.000	447.91	09/18/20	444.00	...	3.91	6 of 8 - Sale <sup>25</sup>
	4.000	447.91	09/18/20	443.37	...	4.54	7 of 8 - Sale <sup>25</sup>
	5.000	559.89	09/18/20	554.69	...	5.20	8 of 8 - Sale <sup>25</sup>
09/22/20	25.000	2,799.45	Various	2,770.59	...	28.86	Total of 8 transactions
	3 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	1.000	111.98	09/18/20	112.87	0.89 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	447.91	09/18/20	451.46	3.55 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	559.88	09/18/20	560.14	0.26 W	0.00	3 of 3 - Sale <sup>25</sup>
09/22/20	10.000	1,119.77	Various	1,124.47	4.70 W	0.00	Total of 3 transactions
	8 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	1.000	106.85	09/23/20	111.02	4.17 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	106.85	09/23/20	112.67	5.82 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	106.85	09/23/20	112.66	5.81 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	106.85	09/23/20	110.80	3.95 W	0.00	4 of 8 - Sale <sup>25</sup>
	4.000	427.39	09/23/20	447.62	20.23 W	0.00	5 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	4.000	427.39	09/23/20	449.00	21.61 W	0.00	6 of 8 - Sale <sup>25</sup>
	4.000	427.39	09/23/20	450.13	22.74 W	0.00	7 of 8 - Sale <sup>25</sup>
	4.000	427.38	09/23/20	441.19	13.81 W	0.00	8 of 8 - Sale <sup>25</sup>
09/23/20	20.000	2,136.95	Various	2,235.09	98.14 W	0.00	Total of 8 transactions
	2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	5.000	544.17	09/24/20	543.24	...	0.93	1 of 2 - Sale <sup>25</sup>
	45.000	4,870.83	09/24/20	4,864.48	...	6.35	2 of 2 - Sale <sup>25</sup>
09/24/20	50.000	5,415.00	Various	5,407.72	...	7.28	Total of 2 transactions
	170 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	1.000	107.88	09/24/20	108.99	1.11 W	0.00	1 of 170 - Sale <sup>25</sup>
	4.000	431.51	09/24/20	435.95	4.44 W	0.00	2 of 170 - Sale <sup>25</sup>
	5.000	539.39	09/24/20	540.76	1.37 W	0.00	3 of 170 - Sale <sup>25</sup>
	1.000	107.88	09/24/20	112.09	4.21 W	0.00	4 of 170 - Sale <sup>25</sup>
	1.000	107.88	09/24/20	111.06	3.18 W	0.00	5 of 170 - Sale <sup>25</sup>
	2.000	215.76	09/24/20	223.51	7.75 W	0.00	6 of 170 - Sale <sup>25</sup>
	3.000	323.63	09/24/20	336.40	12.77 W	0.00	7 of 170 - Sale <sup>25</sup>
	3.000	323.63	09/24/20	333.23	9.60 W	0.00	8 of 170 - Sale <sup>25</sup>
	1.000	107.88	09/24/20	110.54	2.66 W	0.00	9 of 170 - Sale <sup>25</sup>
	1.000	107.88	09/24/20	112.22	4.34 W	0.00	10 of 170 - Sale <sup>25</sup>
	1.000	107.88	09/24/20	112.27	4.39 W	0.00	11 of 170 - Sale <sup>25</sup>
	1.000	107.88	09/24/20	113.92	6.04 W	0.00	12 of 170 - Sale <sup>25</sup>
	1.000	107.88	09/24/20	113.91	6.03 W	0.00	13 of 170 - Sale <sup>25</sup>
	3.000	323.63	09/24/20	337.85	14.22 W	0.00	14 of 170 - Sale <sup>25</sup>
	4.000	431.51	09/24/20	440.49	8.98 W	0.00	15 of 170 - Sale <sup>25</sup>
	4.000	431.51	09/24/20	452.63	21.12 W	0.00	16 of 170 - Sale <sup>25</sup>
	4.000	431.51	09/24/20	454.01	22.50 W	0.00	17 of 170 - Sale <sup>25</sup>
	4.000	431.51	09/24/20	455.14	23.63 W	0.00	18 of 170 - Sale <sup>25</sup>
	6.000	647.27	09/24/20	673.37	26.10 W	0.00	19 of 170 - Sale <sup>25</sup>
	1.000	108.24	09/24/20	112.05	3.81 W	0.00	20 of 170 - Sale <sup>25</sup>
	4.000	432.96	09/24/20	446.21	13.25 W	0.00	21 of 170 - Sale <sup>25</sup>
	1.000	108.83	09/24/20	109.48	0.65 W	0.00	22 of 170 - Sale <sup>25</sup>
	4.000	435.33	09/24/20	437.94	2.61 W	0.00	23 of 170 - Sale <sup>25</sup>
	1.000	108.83	09/24/20	112.58	3.75 W	0.00	24 of 170 - Sale <sup>25</sup>
	1.000	108.83	09/24/20	111.55	2.72 W	0.00	25 of 170 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	2.000	217.67	09/24/20	224.50	6.83 W	0.00	26 of 170 - Sale <sup>25</sup>
	3.000	326.50	09/24/20	337.89	11.39 W	0.00	27 of 170 - Sale <sup>25</sup>
	3.000	326.50	09/24/20	334.72	8.22 W	0.00	28 of 170 - Sale <sup>25</sup>
	1.000	108.83	09/24/20	111.03	2.20 W	0.00	29 of 170 - Sale <sup>25</sup>
	1.000	108.83	09/24/20	112.71	3.88 W	0.00	30 of 170 - Sale <sup>25</sup>
	1.000	108.83	09/24/20	112.76	3.93 W	0.00	31 of 170 - Sale <sup>25</sup>
	1.000	108.83	09/24/20	114.42	5.59 W	0.00	32 of 170 - Sale <sup>25</sup>
	1.000	108.83	09/24/20	114.40	5.57 W	0.00	33 of 170 - Sale <sup>25</sup>
	3.000	326.50	09/24/20	339.35	12.85 W	0.00	34 of 170 - Sale <sup>25</sup>
	4.000	435.33	09/24/20	442.48	7.15 W	0.00	35 of 170 - Sale <sup>25</sup>
	4.000	435.33	09/24/20	454.62	19.29 W	0.00	36 of 170 - Sale <sup>25</sup>
	4.000	435.34	09/24/20	456.00	20.66 W	0.00	37 of 170 - Sale <sup>25</sup>
	4.000	435.34	09/24/20	457.13	21.79 W	0.00	38 of 170 - Sale <sup>25</sup>
	6.000	653.00	09/24/20	676.35	23.35 W	0.00	39 of 170 - Sale <sup>25</sup>
	1.000	108.62	09/24/20	109.64	1.02 W	0.00	40 of 170 - Sale <sup>25</sup>
	4.000	434.50	09/24/20	438.57	4.07 W	0.00	41 of 170 - Sale <sup>25</sup>
	1.000	108.62	09/24/20	112.74	4.12 W	0.00	42 of 170 - Sale <sup>25</sup>
	1.000	108.62	09/24/20	111.71	3.09 W	0.00	43 of 170 - Sale <sup>25</sup>
	2.000	217.25	09/24/20	224.81	7.56 W	0.00	44 of 170 - Sale <sup>25</sup>
	3.000	325.87	09/24/20	338.36	12.49 W	0.00	45 of 170 - Sale <sup>25</sup>
	3.000	325.87	09/24/20	335.19	9.32 W	0.00	46 of 170 - Sale <sup>25</sup>
	1.000	108.62	09/24/20	112.80	4.18 W	0.00	47 of 170 - Sale <sup>25</sup>
	4.000	434.50	09/24/20	449.21	14.71 W	0.00	48 of 170 - Sale <sup>25</sup>
	1.000	108.92	09/24/20	111.19	2.27 W	0.00	49 of 170 - Sale <sup>25</sup>
	1.000	108.92	09/24/20	112.87	3.95 W	0.00	50 of 170 - Sale <sup>25</sup>
	1.000	108.92	09/24/20	112.92	4.00 W	0.00	51 of 170 - Sale <sup>25</sup>
	1.000	108.92	09/24/20	114.58	5.66 W	0.00	52 of 170 - Sale <sup>25</sup>
	1.000	108.92	09/24/20	114.56	5.64 W	0.00	53 of 170 - Sale <sup>25</sup>
	3.000	326.76	09/24/20	339.82	13.06 W	0.00	54 of 170 - Sale <sup>25</sup>
	4.000	435.68	09/24/20	443.11	7.43 W	0.00	55 of 170 - Sale <sup>25</sup>
	4.000	435.69	09/24/20	455.25	19.56 W	0.00	56 of 170 - Sale <sup>25</sup>
	4.000	435.69	09/24/20	456.62	20.93 W	0.00	57 of 170 - Sale <sup>25</sup>
	4.000	435.68	09/24/20	457.75	22.07 W	0.00	58 of 170 - Sale <sup>25</sup>
	6.000	653.53	09/24/20	677.29	23.76 W	0.00	59 of 170 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.000	108.92	09/24/20	109.82	0.90 W	0.00	60 of 170 - Sale <sup>25</sup>
	4.000	435.69	09/24/20	439.28	3.59 W	0.00	61 of 170 - Sale <sup>25</sup>
	1.000	108.92	09/24/20	112.92	4.00 W	0.00	62 of 170 - Sale <sup>25</sup>
	1.000	108.92	09/24/20	111.89	2.97 W	0.00	63 of 170 - Sale <sup>25</sup>
	2.000	217.84	09/24/20	225.16	7.32 W	0.00	64 of 170 - Sale <sup>25</sup>
	3.000	326.76	09/24/20	338.90	12.14 W	0.00	65 of 170 - Sale <sup>25</sup>
	3.000	326.77	09/24/20	335.73	8.96 W	0.00	66 of 170 - Sale <sup>25</sup>
	1.000	108.92	09/24/20	112.98	4.06 W	0.00	67 of 170 - Sale <sup>25</sup>
	4.000	435.69	09/24/20	449.92	14.23 W	0.00	68 of 170 - Sale <sup>25</sup>
	1.000	108.64	09/24/20	111.07	2.43 W	0.00	69 of 170 - Sale <sup>25</sup>
	1.000	108.64	09/24/20	112.75	4.11 W	0.00	70 of 170 - Sale <sup>25</sup>
	1.000	108.64	09/24/20	112.80	4.16 W	0.00	71 of 170 - Sale <sup>25</sup>
	1.000	108.64	09/24/20	114.46	5.82 W	0.00	72 of 170 - Sale <sup>25</sup>
	1.000	108.64	09/24/20	114.44	5.80 W	0.00	73 of 170 - Sale <sup>25</sup>
	3.000	325.92	09/24/20	339.47	13.55 W	0.00	74 of 170 - Sale <sup>25</sup>
	4.000	434.56	09/24/20	442.64	8.08 W	0.00	75 of 170 - Sale <sup>25</sup>
	4.000	434.56	09/24/20	454.77	20.21 W	0.00	76 of 170 - Sale <sup>25</sup>
	4.000	434.57	09/24/20	456.14	21.57 W	0.00	77 of 170 - Sale <sup>25</sup>
	4.000	434.56	09/24/20	457.29	22.73 W	0.00	78 of 170 - Sale <sup>25</sup>
	6.000	651.85	09/24/20	676.58	24.73 W	0.00	79 of 170 - Sale <sup>25</sup>
	1.000	108.69	09/24/20	112.78	4.09 W	0.00	80 of 170 - Sale <sup>25</sup>
	1.000	108.69	09/24/20	114.44	5.75 W	0.00	81 of 170 - Sale <sup>25</sup>
	1.000	108.69	09/24/20	114.42	5.73 W	0.00	82 of 170 - Sale <sup>25</sup>
	3.000	326.06	09/24/20	339.41	13.35 W	0.00	83 of 170 - Sale <sup>25</sup>
	4.000	434.75	09/24/20	454.68	19.93 W	0.00	84 of 170 - Sale <sup>25</sup>
	4.000	434.75	09/24/20	456.04	21.29 W	0.00	85 of 170 - Sale <sup>25</sup>
	4.000	434.75	09/24/20	457.20	22.45 W	0.00	86 of 170 - Sale <sup>25</sup>
	2.000	217.37	09/24/20	225.48	8.11 W	0.00	87 of 170 - Sale <sup>25</sup>
	1.000	108.69	09/24/20	109.63	0.94 W	0.00	88 of 170 - Sale <sup>25</sup>
	4.000	434.75	09/24/20	438.48	3.73 W	0.00	89 of 170 - Sale <sup>25</sup>
	1.000	108.69	09/24/20	112.73	4.04 W	0.00	90 of 170 - Sale <sup>25</sup>
	1.000	108.69	09/24/20	111.70	3.01 W	0.00	91 of 170 - Sale <sup>25</sup>
	2.000	217.37	09/24/20	224.77	7.40 W	0.00	92 of 170 - Sale <sup>25</sup>
	3.000	326.06	09/24/20	338.31	12.25 W	0.00	93 of 170 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	3.000	326.06	09/24/20	335.12	9.06 W	0.00	94 of 170 - Sale <sup>25</sup>
	1.000	108.69	09/24/20	112.78	4.09 W	0.00	95 of 170 - Sale <sup>25</sup>
	1.000	108.69	09/24/20	111.16	2.47 W	0.00	96 of 170 - Sale <sup>25</sup>
	1.000	108.69	09/24/20	112.83	4.14 W	0.00	97 of 170 - Sale <sup>25</sup>
	4.000	434.75	09/24/20	449.12	14.37 W	0.00	98 of 170 - Sale <sup>25</sup>
	4.000	434.75	09/24/20	442.97	8.22 W	0.00	99 of 170 - Sale <sup>25</sup>
	4.000	434.74	09/24/20	451.38	16.64 W	0.00	100 of 170 - Sale <sup>25</sup>
	1.000	108.90	09/24/20	109.52	0.62 W	0.00	101 of 170 - Sale <sup>25</sup>
	4.000	435.62	09/24/20	438.06	2.44 W	0.00	102 of 170 - Sale <sup>25</sup>
	1.000	108.90	09/24/20	112.62	3.72 W	0.00	103 of 170 - Sale <sup>25</sup>
	1.000	108.90	09/24/20	111.59	2.69 W	0.00	104 of 170 - Sale <sup>25</sup>
	2.000	217.81	09/24/20	224.56	6.75 W	0.00	105 of 170 - Sale <sup>25</sup>
	3.000	326.72	09/24/20	338.00	11.28 W	0.00	106 of 170 - Sale <sup>25</sup>
	3.000	326.72	09/24/20	334.81	8.09 W	0.00	107 of 170 - Sale <sup>25</sup>
	1.000	108.91	09/24/20	112.68	3.77 W	0.00	108 of 170 - Sale <sup>25</sup>
	1.000	108.90	09/24/20	111.05	2.15 W	0.00	109 of 170 - Sale <sup>25</sup>
	1.000	108.91	09/24/20	112.73	3.82 W	0.00	110 of 170 - Sale <sup>25</sup>
	4.000	435.62	09/24/20	448.70	13.08 W	0.00	111 of 170 - Sale <sup>25</sup>
	4.000	435.62	09/24/20	442.55	6.93 W	0.00	112 of 170 - Sale <sup>25</sup>
	4.000	435.62	09/24/20	450.96	15.34 W	0.00	113 of 170 - Sale <sup>25</sup>
	1.000	108.96	09/24/20	113.10	4.14 W	0.00	114 of 170 - Sale <sup>25</sup>
	1.000	108.96	09/24/20	114.76	5.80 W	0.00	115 of 170 - Sale <sup>25</sup>
	1.000	108.96	09/24/20	114.74	5.78 W	0.00	116 of 170 - Sale <sup>25</sup>
	2.000	217.92	09/24/20	226.13	8.21 W	0.00	117 of 170 - Sale <sup>25</sup>
	3.000	326.87	09/24/20	340.38	13.51 W	0.00	118 of 170 - Sale <sup>25</sup>
	4.000	435.83	09/24/20	455.96	20.13 W	0.00	119 of 170 - Sale <sup>25</sup>
	4.000	435.83	09/24/20	457.33	21.50 W	0.00	120 of 170 - Sale <sup>25</sup>
	4.000	435.83	09/24/20	458.48	22.65 W	0.00	121 of 170 - Sale <sup>25</sup>
	1.000	109.89	09/24/20	110.41	0.52 W	0.00	122 of 170 - Sale <sup>25</sup>
	4.000	439.57	09/24/20	441.59	2.02 W	0.00	123 of 170 - Sale <sup>25</sup>
	2.000	219.79	09/24/20	226.33	6.54 W	0.00	124 of 170 - Sale <sup>25</sup>
	3.000	329.68	09/24/20	340.64	10.96 W	0.00	125 of 170 - Sale <sup>25</sup>
	1.000	109.89	09/24/20	113.51	3.62 W	0.00	126 of 170 - Sale <sup>25</sup>
	1.000	109.89	09/24/20	112.48	2.59 W	0.00	127 of 170 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	3.000	329.68	09/24/20	337.47	7.79 W	0.00	128 of 170 - Sale <sup>25</sup>
	1.000	109.89	09/24/20	113.56	3.67 W	0.00	129 of 170 - Sale <sup>25</sup>
	1.000	109.89	09/24/20	111.94	2.05 W	0.00	130 of 170 - Sale <sup>25</sup>
	1.000	109.89	09/24/20	113.61	3.72 W	0.00	131 of 170 - Sale <sup>25</sup>
	4.000	439.57	09/24/20	452.25	12.68 W	0.00	132 of 170 - Sale <sup>25</sup>
	4.000	439.58	09/24/20	446.10	6.52 W	0.00	133 of 170 - Sale <sup>25</sup>
	4.000	439.58	09/24/20	454.51	14.93 W	0.00	134 of 170 - Sale <sup>25</sup>
	1.000	109.89	09/24/20	113.78	3.89 W	0.00	135 of 170 - Sale <sup>25</sup>
	2.000	219.79	09/24/20	227.49	7.70 W	0.00	136 of 170 - Sale <sup>25</sup>
	3.000	329.68	09/24/20	342.43	12.75 W	0.00	137 of 170 - Sale <sup>25</sup>
	4.000	439.57	09/24/20	458.69	19.12 W	0.00	138 of 170 - Sale <sup>25</sup>
	1.000	109.18	09/24/20	110.32	1.14 W	0.00	139 of 170 - Sale <sup>25</sup>
	4.000	436.72	09/24/20	441.23	4.51 W	0.00	140 of 170 - Sale <sup>25</sup>
	1.000	109.18	09/24/20	113.42	4.24 W	0.00	141 of 170 - Sale <sup>25</sup>
	1.000	109.18	09/24/20	112.39	3.21 W	0.00	142 of 170 - Sale <sup>25</sup>
	2.000	218.36	09/24/20	226.14	7.78 W	0.00	143 of 170 - Sale <sup>25</sup>
	3.000	327.54	09/24/20	340.37	12.83 W	0.00	144 of 170 - Sale <sup>25</sup>
	3.000	327.54	09/24/20	337.20	9.66 W	0.00	145 of 170 - Sale <sup>25</sup>
	1.000	109.18	09/24/20	115.60	6.42 W	0.00	146 of 170 - Sale <sup>25</sup>
	1.000	109.18	09/24/20	115.58	6.40 W	0.00	147 of 170 - Sale <sup>25</sup>
	3.000	327.55	09/24/20	345.53	17.98 W	0.00	148 of 170 - Sale <sup>25</sup>
	1.000	109.12	09/24/20	113.47	4.35 W	0.00	149 of 170 - Sale <sup>25</sup>
	1.000	109.12	09/24/20	115.18	6.06 W	0.00	150 of 170 - Sale <sup>25</sup>
	4.000	436.47	09/24/20	461.86	25.39 W	0.00	151 of 170 - Sale <sup>25</sup>
	4.000	436.46	09/24/20	451.89	15.43 W	0.00	152 of 170 - Sale <sup>25</sup>
	1.000	109.80	09/24/20	111.44	1.64 W	0.00	153 of 170 - Sale <sup>25</sup>
	1.000	109.80	09/24/20	113.11	3.31 W	0.00	154 of 170 - Sale <sup>25</sup>
	4.000	439.19	09/24/20	444.08	4.89 W	0.00	155 of 170 - Sale <sup>25</sup>
	4.000	439.19	09/24/20	452.49	13.30 W	0.00	156 of 170 - Sale <sup>25</sup>
	1.000	109.80	09/24/20	110.66	0.86 W	0.00	157 of 170 - Sale <sup>25</sup>
	4.000	439.19	09/24/20	442.57	3.38 W	0.00	158 of 170 - Sale <sup>25</sup>
	2.000	219.59	09/24/20	226.81	7.22 W	0.00	159 of 170 - Sale <sup>25</sup>
	3.000	329.39	09/24/20	341.38	11.99 W	0.00	160 of 170 - Sale <sup>25</sup>
	1.000	109.80	09/24/20	113.41	3.61 W	0.00	161 of 170 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	2.000	219.59	09/24/20	226.73	7.14 W	0.00	162 of 170 - Sale <sup>25</sup>
	3.000	329.39	09/24/20	341.30	11.91 W	0.00	163 of 170 - Sale <sup>25</sup>
	4.000	439.19	09/24/20	457.18	17.99 W	0.00	164 of 170 - Sale <sup>25</sup>
	1.000	107.81	09/24/20	113.45	5.64 W	0.00	165 of 170 - Sale <sup>25</sup>
	1.000	107.81	09/24/20	112.42	4.61 W	0.00	166 of 170 - Sale <sup>25</sup>
	3.000	323.42	09/24/20	337.28	13.86 W	0.00	167 of 170 - Sale <sup>25</sup>
	1.000	107.81	09/24/20	115.63	7.82 W	0.00	168 of 170 - Sale <sup>25</sup>
	1.000	107.81	09/24/20	115.61	7.80 W	0.00	169 of 170 - Sale <sup>25</sup>
	3.000	323.42	09/24/20	345.60	22.18 W	0.00	170 of 170 - Sale <sup>25</sup>
09/24/20	410.000	44,633.51	Various	46,146.77	1,513.26 W	0.00	Total of 170 transactions
33 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	108.23	09/24/20	114.58	6.35 W	0.00	1 of 33 - Sale <sup>25</sup>
	1.000	108.22	09/24/20	112.87	4.65 W	0.00	2 of 33 - Sale <sup>25</sup>
	1.000	108.23	09/24/20	110.16	1.93 W	0.00	3 of 33 - Sale <sup>25</sup>
	1.000	108.22	09/24/20	111.83	3.61 W	0.00	4 of 33 - Sale <sup>25</sup>
	4.000	432.90	09/24/20	459.46	26.56 W	0.00	5 of 33 - Sale <sup>25</sup>
	4.000	432.90	09/24/20	449.50	16.60 W	0.00	6 of 33 - Sale <sup>25</sup>
	4.000	432.90	09/24/20	438.97	6.07 W	0.00	7 of 33 - Sale <sup>25</sup>
	4.000	432.90	09/24/20	447.37	14.47 W	0.00	8 of 33 - Sale <sup>25</sup>
	1.000	108.63	09/25/20	109.90	1.27 W	0.00	9 of 33 - Sale <sup>25</sup>
	4.000	434.51	09/25/20	439.54	5.03 W	0.00	10 of 33 - Sale <sup>25</sup>
	2.000	217.25	09/25/20	225.30	8.05 W	0.00	11 of 33 - Sale <sup>25</sup>
	3.000	325.88	09/25/20	339.10	13.22 W	0.00	12 of 33 - Sale <sup>25</sup>
	1.000	110.33	09/25/20	113.77	3.44 W	0.00	13 of 33 - Sale <sup>25</sup>
	1.000	110.33	09/25/20	115.84	5.51 W	0.00	14 of 33 - Sale <sup>25</sup>
	1.000	110.33	09/25/20	114.81	4.48 W	0.00	15 of 33 - Sale <sup>25</sup>
	3.000	331.00	09/25/20	344.46	13.46 W	0.00	16 of 33 - Sale <sup>25</sup>
	1.000	110.33	09/25/20	113.77	3.44 W	0.00	17 of 33 - Sale <sup>25</sup>
	1.000	110.34	09/25/20	113.81	3.47 W	0.00	18 of 33 - Sale <sup>25</sup>
	3.000	331.00	09/25/20	342.51	11.51 W	0.00	19 of 33 - Sale <sup>25</sup>
	4.000	441.34	09/25/20	458.79	17.45 W	0.00	20 of 33 - Sale <sup>25</sup>
	1.000	110.33	09/25/20	116.61	6.28 W	0.00	21 of 33 - Sale <sup>25</sup>
	4.000	441.34	09/25/20	467.59	26.25 W	0.00	22 of 33 - Sale <sup>25</sup>
	1.000	110.34	09/25/20	118.08	7.74 W	0.00	23 of 33 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.000	110.33	09/25/20	118.06	7.73 W	0.00	24 of 33 - Sale <sup>25</sup>
	3.000	331.01	09/25/20	352.95	21.94 W	0.00	25 of 33 - Sale <sup>25</sup>
	1.000	110.33	09/25/20	111.57	1.24 W	0.00	26 of 33 - Sale <sup>25</sup>
	4.000	441.31	09/25/20	446.21	4.90 W	0.00	27 of 33 - Sale <sup>25</sup>
	1.000	110.33	09/25/20	114.95	4.62 W	0.00	28 of 33 - Sale <sup>25</sup>
	1.000	110.33	09/25/20	112.23	1.90 W	0.00	29 of 33 - Sale <sup>25</sup>
	1.000	110.33	09/25/20	113.91	3.58 W	0.00	30 of 33 - Sale <sup>25</sup>
	4.000	441.31	09/25/20	457.79	16.48 W	0.00	31 of 33 - Sale <sup>25</sup>
	4.000	441.31	09/25/20	447.25	5.94 W	0.00	32 of 33 - Sale <sup>25</sup>
	4.000	441.32	09/25/20	455.65	14.33 W	0.00	33 of 33 - Sale <sup>25</sup>
09/25/20	75.000	8,215.69	Various	8,509.19	293.50 W	0.00	Total of 33 transactions
53 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	113.29	09/28/20	118.96	5.67 W	0.00	1 of 53 - Sale <sup>25</sup>
	2.000	226.57	09/28/20	234.94	8.37 W	0.00	2 of 53 - Sale <sup>25</sup>
	3.000	339.86	09/28/20	353.56	13.70 W	0.00	3 of 53 - Sale <sup>25</sup>
	3.000	339.86	09/28/20	353.80	13.94 W	0.00	4 of 53 - Sale <sup>25</sup>
	1.000	113.29	09/28/20	116.89	3.60 W	0.00	5 of 53 - Sale <sup>25</sup>
	1.000	113.29	09/28/20	117.71	4.42 W	0.00	6 of 53 - Sale <sup>25</sup>
	1.000	113.29	09/28/20	116.67	3.38 W	0.00	7 of 53 - Sale <sup>25</sup>
	1.000	113.29	09/28/20	116.70	3.41 W	0.00	8 of 53 - Sale <sup>25</sup>
	3.000	339.86	09/28/20	351.19	11.33 W	0.00	9 of 53 - Sale <sup>25</sup>
	4.000	453.15	09/28/20	470.36	17.21 W	0.00	10 of 53 - Sale <sup>25</sup>
	1.000	113.29	09/28/20	120.11	6.82 W	0.00	11 of 53 - Sale <sup>25</sup>
	4.000	453.15	09/28/20	481.57	28.42 W	0.00	12 of 53 - Sale <sup>25</sup>
	1.000	113.29	09/28/20	121.57	8.28 W	0.00	13 of 53 - Sale <sup>25</sup>
	1.000	113.28	09/28/20	121.56	8.28 W	0.00	14 of 53 - Sale <sup>25</sup>
	3.000	339.86	09/28/20	363.43	23.57 W	0.00	15 of 53 - Sale <sup>25</sup>
	1.000	113.83	09/28/20	114.79	0.96 W	0.00	16 of 53 - Sale <sup>25</sup>
	4.000	455.31	09/28/20	459.10	3.79 W	0.00	17 of 53 - Sale <sup>25</sup>
	1.000	113.83	09/28/20	118.17	4.34 W	0.00	18 of 53 - Sale <sup>25</sup>
	4.000	455.31	09/28/20	470.68	15.37 W	0.00	19 of 53 - Sale <sup>25</sup>
	1.000	113.86	09/28/20	115.45	1.59 W	0.00	20 of 53 - Sale <sup>25</sup>
	1.000	113.86	09/28/20	117.13	3.27 W	0.00	21 of 53 - Sale <sup>25</sup>
	4.000	455.43	09/28/20	460.14	4.71 W	0.00	22 of 53 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	4.000	455.42	09/28/20	468.53	13.11 W	0.00	23 of 53 - Sale <sup>25</sup>
	1.000	114.69	09/28/20	118.09	3.40 W	0.00	24 of 53 - Sale <sup>25</sup>
	1.000	114.69	09/28/20	118.10	3.41 W	0.00	25 of 53 - Sale <sup>25</sup>
	1.000	114.69	09/28/20	119.58	4.89 W	0.00	26 of 53 - Sale <sup>25</sup>
	1.000	114.69	09/28/20	118.33	3.64 W	0.00	27 of 53 - Sale <sup>25</sup>
	3.000	344.06	09/28/20	355.43	11.37 W	0.00	28 of 53 - Sale <sup>25</sup>
	3.000	344.06	09/28/20	355.67	11.61 W	0.00	29 of 53 - Sale <sup>25</sup>
	1.000	114.41	09/28/20	117.51	3.10 W	0.00	30 of 53 - Sale <sup>25</sup>
	1.000	114.41	09/28/20	117.29	2.88 W	0.00	31 of 53 - Sale <sup>25</sup>
	1.000	114.41	09/28/20	117.32	2.91 W	0.00	32 of 53 - Sale <sup>25</sup>
	3.000	343.22	09/28/20	353.06	9.84 W	0.00	33 of 53 - Sale <sup>25</sup>
	4.000	457.63	09/28/20	472.85	15.22 W	0.00	34 of 53 - Sale <sup>25</sup>
	1.000	114.05	09/28/20	114.98	0.93 W	0.00	35 of 53 - Sale <sup>25</sup>
	4.000	456.19	09/28/20	459.87	3.68 W	0.00	36 of 53 - Sale <sup>25</sup>
	1.000	114.05	09/28/20	120.84	6.79 W	0.00	37 of 53 - Sale <sup>25</sup>
	1.000	114.05	09/28/20	118.36	4.31 W	0.00	38 of 53 - Sale <sup>25</sup>
	4.000	456.19	09/28/20	484.50	28.31 W	0.00	39 of 53 - Sale <sup>25</sup>
	4.000	456.18	09/28/20	471.44	15.26 W	0.00	40 of 53 - Sale <sup>25</sup>
	1.000	114.05	09/28/20	122.30	8.25 W	0.00	41 of 53 - Sale <sup>25</sup>
	1.000	114.05	09/28/20	122.30	8.25 W	0.00	42 of 53 - Sale <sup>25</sup>
	3.000	342.13	09/28/20	365.63	23.50 W	0.00	43 of 53 - Sale <sup>25</sup>
	1.000	114.32	09/28/20	115.91	1.59 W	0.00	44 of 53 - Sale <sup>25</sup>
	1.000	114.32	09/28/20	117.59	3.27 W	0.00	45 of 53 - Sale <sup>25</sup>
	1.000	114.32	09/28/20	117.72	3.40 W	0.00	46 of 53 - Sale <sup>25</sup>
	1.000	114.32	09/28/20	117.73	3.41 W	0.00	47 of 53 - Sale <sup>25</sup>
	1.000	114.31	09/28/20	119.21	4.90 W	0.00	48 of 53 - Sale <sup>25</sup>
	1.000	114.31	09/28/20	117.96	3.65 W	0.00	49 of 53 - Sale <sup>25</sup>
	3.000	342.95	09/28/20	354.33	11.38 W	0.00	50 of 53 - Sale <sup>25</sup>
	3.000	342.95	09/28/20	354.56	11.61 W	0.00	51 of 53 - Sale <sup>25</sup>
	4.000	457.27	09/28/20	461.99	4.72 W	0.00	52 of 53 - Sale <sup>25</sup>
	4.000	457.27	09/28/20	470.39	13.12 W	0.00	53 of 53 - Sale <sup>25</sup>
09/28/20	110.000	12,533.71	Various	12,973.85	440.14 W	0.00	Total of 53 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
7 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	114.61	09/28/20	118.24	3.63 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	114.61	09/28/20	118.02	3.41 W	0.00	2 of 7 - Sale <sup>25</sup>
	3.000	343.81	09/28/20	355.26	11.45 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	114.61	09/29/20	115.29	0.68 W	0.00	4 of 7 - Sale <sup>25</sup>
	4.000	458.44	09/29/20	461.12	2.68 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	114.61	09/29/20	117.27	2.66 W	0.00	6 of 7 - Sale <sup>25</sup>
	4.000	458.43	09/29/20	472.66	14.23 W	0.00	7 of 7 - Sale <sup>25</sup>
09/29/20	15.000	1,719.12	Various	1,757.86	38.74 W	0.00	Total of 7 transactions
26 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	115.81	09/30/20	122.64	6.83 W	0.00	1 of 26 - Sale <sup>25</sup>
	1.000	115.81	09/30/20	120.16	4.35 W	0.00	2 of 26 - Sale <sup>25</sup>
	4.000	463.23	09/30/20	491.71	28.48 W	0.00	3 of 26 - Sale <sup>25</sup>
	4.000	463.22	09/30/20	478.66	15.44 W	0.00	4 of 26 - Sale <sup>25</sup>
	1.000	116.34	09/30/20	118.20	1.86 W	0.00	5 of 26 - Sale <sup>25</sup>
	4.000	465.35	09/30/20	471.15	5.80 W	0.00	6 of 26 - Sale <sup>25</sup>
	1.000	116.34	09/30/20	124.86	8.52 W	0.00	7 of 26 - Sale <sup>25</sup>
	1.000	116.33	09/30/20	124.86	8.53 W	0.00	8 of 26 - Sale <sup>25</sup>
	3.000	349.01	09/30/20	373.32	24.31 W	0.00	9 of 26 - Sale <sup>25</sup>
	1.000	116.03	09/30/20	119.74	3.71 W	0.00	10 of 26 - Sale <sup>25</sup>
	1.000	116.03	09/30/20	119.87	3.84 W	0.00	11 of 26 - Sale <sup>25</sup>
	1.000	116.03	09/30/20	119.88	3.85 W	0.00	12 of 26 - Sale <sup>25</sup>
	1.000	116.03	09/30/20	121.37	5.34 W	0.00	13 of 26 - Sale <sup>25</sup>
	1.000	116.02	09/30/20	120.12	4.10 W	0.00	14 of 26 - Sale <sup>25</sup>
	3.000	348.08	09/30/20	360.79	12.71 W	0.00	15 of 26 - Sale <sup>25</sup>
	3.000	348.08	09/30/20	361.01	12.93 W	0.00	16 of 26 - Sale <sup>25</sup>
	4.000	464.11	09/30/20	478.99	14.88 W	0.00	17 of 26 - Sale <sup>25</sup>
	1.000	115.17	09/30/20	116.66	1.49 W	0.00	18 of 26 - Sale <sup>25</sup>
	4.000	460.67	09/30/20	466.60	5.93 W	0.00	19 of 26 - Sale <sup>25</sup>
	1.000	115.17	09/30/20	119.61	4.44 W	0.00	20 of 26 - Sale <sup>25</sup>
	1.000	115.16	09/30/20	119.39	4.23 W	0.00	21 of 26 - Sale <sup>25</sup>
	3.000	345.50	09/30/20	359.39	13.89 W	0.00	22 of 26 - Sale <sup>25</sup>
	1.000	116.29	09/30/20	122.22	5.93 W	0.00	23 of 26 - Sale <sup>25</sup>
	4.000	465.17	09/30/20	490.03	24.86 W	0.00	24 of 26 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.000	116.08	09/30/20	118.05	1.97 W	0.00	25 of 26 - Sale <sup>25</sup>
	4.000	464.30	09/30/20	475.78	11.48 W	0.00	26 of 26 - Sale <sup>25</sup>
09/30/20	55.000	6,375.36	Various	6,615.06	239.70 W	0.00	Total of 26 transactions
	2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	1.000	116.34	10/01/20	120.83	4.49 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	465.38	10/01/20	481.34	15.96 W	0.00	2 of 2 - Sale <sup>25</sup>
10/01/20	5.000	581.72	Various	602.17	20.45 W	0.00	Total of 2 transactions
	24 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	115.10	10/05/20	116.41	1.31 W	0.00	1 of 24 - Sale <sup>25</sup>
	4.000	460.39	10/05/20	464.00	3.61 W	0.00	2 of 24 - Sale <sup>25</sup>
	1.000	115.10	10/05/20	123.07	7.97 W	0.00	3 of 24 - Sale <sup>25</sup>
	1.000	115.10	10/05/20	123.08	7.98 W	0.00	4 of 24 - Sale <sup>25</sup>
	3.000	345.28	10/05/20	367.96	22.68 W	0.00	5 of 24 - Sale <sup>25</sup>
	1.000	115.00	10/05/20	118.98	3.98 W	0.00	6 of 24 - Sale <sup>25</sup>
	1.000	115.00	10/05/20	119.11	4.11 W	0.00	7 of 24 - Sale <sup>25</sup>
	1.000	115.00	10/05/20	119.12	4.12 W	0.00	8 of 24 - Sale <sup>25</sup>
	3.000	344.98	10/05/20	358.52	13.54 W	0.00	9 of 24 - Sale <sup>25</sup>
	4.000	459.99	10/05/20	475.96	15.97 W	0.00	10 of 24 - Sale <sup>25</sup>
	1.000	115.80	10/05/20	121.02	5.22 W	0.00	11 of 24 - Sale <sup>25</sup>
	1.000	115.79	10/05/20	119.79	4.00 W	0.00	12 of 24 - Sale <sup>25</sup>
	3.000	347.39	10/05/20	359.98	12.59 W	0.00	13 of 24 - Sale <sup>25</sup>
	1.000	115.44	10/05/20	116.90	1.46 W	0.00	14 of 24 - Sale <sup>25</sup>
	4.000	461.76	10/05/20	467.57	5.81 W	0.00	15 of 24 - Sale <sup>25</sup>
	1.000	115.44	10/05/20	119.85	4.41 W	0.00	16 of 24 - Sale <sup>25</sup>
	1.000	115.44	10/05/20	119.64	4.20 W	0.00	17 of 24 - Sale <sup>25</sup>
	3.000	346.32	10/05/20	360.12	13.80 W	0.00	18 of 24 - Sale <sup>25</sup>
	1.000	116.32	10/05/20	121.31	4.99 W	0.00	19 of 24 - Sale <sup>25</sup>
	1.000	116.32	10/05/20	121.60	5.28 W	0.00	20 of 24 - Sale <sup>25</sup>
	3.000	348.95	10/05/20	364.78	15.83 W	0.00	21 of 24 - Sale <sup>25</sup>
	1.000	116.32	10/05/20	117.35	1.03 W	0.00	22 of 24 - Sale <sup>25</sup>
	3.000	348.95	10/05/20	354.75	5.80 W	0.00	23 of 24 - Sale <sup>25</sup>
	1.000	116.31	10/05/20	118.25	1.94 W	0.00	24 of 24 - Sale <sup>25</sup>
10/05/20	45.000	5,197.49	Various	5,369.12	171.63 W	0.00	Total of 24 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
14 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	114.99	10/06/20	119.93	4.94 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	114.99	10/06/20	116.75	1.76 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.000	114.99	10/06/20	119.42	4.43 W	0.00	3 of 14 - Sale <sup>25</sup>
	4.000	459.97	10/06/20	477.72	17.75 W	0.00	4 of 14 - Sale <sup>25</sup>
	4.000	459.97	10/06/20	465.37	5.40 W	0.00	5 of 14 - Sale <sup>25</sup>
	4.000	459.97	10/06/20	477.72	17.75 W	0.00	6 of 14 - Sale <sup>25</sup>
	1.000	114.99	10/06/20	123.41	8.42 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	114.99	10/06/20	123.42	8.43 W	0.00	8 of 14 - Sale <sup>25</sup>
	3.000	344.98	10/06/20	369.00	24.02 W	0.00	9 of 14 - Sale <sup>25</sup>
	1.000	112.90	10/06/20	115.07	2.17 W	0.00	10 of 14 - Sale <sup>25</sup>
	4.000	451.59	10/06/20	460.25	8.66 W	0.00	11 of 14 - Sale <sup>25</sup>
	1.000	112.90	10/06/20	117.72	4.82 W	0.00	12 of 14 - Sale <sup>25</sup>
	1.000	112.90	10/06/20	117.73	4.83 W	0.00	13 of 14 - Sale <sup>25</sup>
	3.000	338.68	10/06/20	354.37	15.69 W	0.00	14 of 14 - Sale <sup>25</sup>
10/06/20	30.000	3,428.81	Various	3,557.88	129.07 W	0.00	Total of 14 transactions
16 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	114.52	10/06/20	118.83	4.31 W	0.00	1 of 16 - Sale <sup>25</sup>
	1.000	114.52	10/06/20	117.61	3.09 W	0.00	2 of 16 - Sale <sup>25</sup>
	1.000	114.52	10/06/20	118.02	3.50 W	0.00	3 of 16 - Sale <sup>25</sup>
	1.000	114.52	10/06/20	117.81	3.29 W	0.00	4 of 16 - Sale <sup>25</sup>
	3.000	343.55	10/06/20	353.42	9.87 W	0.00	5 of 16 - Sale <sup>25</sup>
	3.000	343.54	10/06/20	354.63	11.09 W	0.00	6 of 16 - Sale <sup>25</sup>
	1.000	115.15	10/07/20	120.12	4.97 W	0.00	7 of 16 - Sale <sup>25</sup>
	1.000	115.15	10/07/20	120.40	5.25 W	0.00	8 of 16 - Sale <sup>25</sup>
	3.000	345.44	10/07/20	361.20	15.76 W	0.00	9 of 16 - Sale <sup>25</sup>
	1.000	115.15	10/07/20	116.16	1.01 W	0.00	10 of 16 - Sale <sup>25</sup>
	1.000	115.14	10/07/20	117.07	1.93 W	0.00	11 of 16 - Sale <sup>25</sup>
	3.000	345.44	10/07/20	351.17	5.73 W	0.00	12 of 16 - Sale <sup>25</sup>
	1.000	115.20	10/07/20	120.10	4.90 W	0.00	13 of 16 - Sale <sup>25</sup>
	1.000	115.20	10/07/20	116.92	1.72 W	0.00	14 of 16 - Sale <sup>25</sup>
	4.000	460.79	10/07/20	478.39	17.60 W	0.00	15 of 16 - Sale <sup>25</sup>
	4.000	460.78	10/07/20	466.04	5.26 W	0.00	16 of 16 - Sale <sup>25</sup>
10/07/20	30.000	3,448.61	Various	3,547.89	99.28 W	0.00	Total of 16 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	122.81	10/07/20	119.58	...	3.23	1 of 2 - Sale <sup>25</sup>
10/12/20	4.000	491.23	10/07/20	478.35	...	12.88	2 of 2 - Sale <sup>25</sup>
	5.000	614.04	Various	597.93	...	16.11	Total of 2 transactions
8 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	122.81	10/07/20	123.57	0.76 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	122.81	10/07/20	123.58	0.77 W	0.00	2 of 8 - Sale <sup>25</sup>
	3.000	368.41	10/07/20	369.47	1.06 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	122.59	10/12/20	124.88	2.29 W	0.00	4 of 8 - Sale <sup>25</sup>
	4.000	490.37	10/12/20	499.48	9.11 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	122.59	10/12/20	127.53	4.94 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	122.59	10/12/20	127.54	4.95 W	0.00	7 of 8 - Sale <sup>25</sup>
	3.000	367.79	10/12/20	383.80	16.01 W	0.00	8 of 8 - Sale <sup>25</sup>
10/12/20	15.000	1,839.96	Various	1,879.85	39.89 W	0.00	Total of 8 transactions
14 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	120.96	10/12/20	126.99	6.03 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	120.96	10/12/20	125.77	4.81 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.000	120.96	10/12/20	126.18	5.22 W	0.00	3 of 14 - Sale <sup>25</sup>
	1.000	120.96	10/12/20	125.97	5.01 W	0.00	4 of 14 - Sale <sup>25</sup>
	3.000	362.87	10/12/20	377.91	15.04 W	0.00	5 of 14 - Sale <sup>25</sup>
	3.000	362.87	10/12/20	379.13	16.26 W	0.00	6 of 14 - Sale <sup>25</sup>
	1.000	120.96	10/12/20	129.42	8.46 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	120.96	10/12/20	129.70	8.74 W	0.00	8 of 14 - Sale <sup>25</sup>
	3.000	362.87	10/12/20	389.11	26.24 W	0.00	9 of 14 - Sale <sup>25</sup>
	1.000	120.96	10/12/20	125.46	4.50 W	0.00	10 of 14 - Sale <sup>25</sup>
	1.000	120.96	10/12/20	126.38	5.42 W	0.00	11 of 14 - Sale <sup>25</sup>
	3.000	362.88	10/12/20	379.08	16.20 W	0.00	12 of 14 - Sale <sup>25</sup>
	1.000	120.96	10/13/20	126.99	6.03 W	0.00	13 of 14 - Sale <sup>25</sup>
	4.000	483.83	10/13/20	505.96	22.13 W	0.00	14 of 14 - Sale <sup>25</sup>
10/16/20	25.000	3,023.96	Various	3,174.05	150.09 W	0.00	Total of 14 transactions
5 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	1.000	115.80	10/13/20	123.56	7.76 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	115.80	10/13/20	123.57	7.77 W	0.00	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	3.000	347.39	10/13/20	369.46	22.07 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	115.80	10/13/20	124.52	8.72 W	0.00	4 of 5 - Sale <sup>25</sup>
	4.000	463.18	10/13/20	496.46	33.28 W	0.00	5 of 5 - Sale <sup>25</sup>
10/29/20	10.000	1,157.97	Various	1,237.57	79.60 W	0.00	Total of 5 transactions
	5 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	1.000	107.48	10/29/20	117.94	10.46 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	429.91	10/29/20	471.71	41.80 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	107.48	10/29/20	120.59	13.11 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	107.47	10/29/20	120.60	13.13 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.000	322.43	10/29/20	362.96	40.53 W	0.00	5 of 5 - Sale <sup>25</sup>
11/02/20	10.000	1,074.77	Various	1,193.80	119.03 W	0.00	Total of 5 transactions
	3 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	1.000	114.79	11/02/20	113.56	...	1.23	1 of 3 - Sale <sup>25</sup>
	1.000	114.79	11/02/20	112.64	...	2.15	2 of 3 - Sale <sup>25</sup>
	3.000	344.36	11/02/20	340.62	...	3.74	3 of 3 - Sale <sup>25</sup>
11/04/20	5.000	573.94	Various	566.82	...	7.12	Total of 3 transactions
	15 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	1.000	114.80	10/29/20	121.88	7.08 W	0.00	1 of 15 - Sale <sup>25</sup>
	1.000	114.80	10/29/20	120.66	5.86 W	0.00	2 of 15 - Sale <sup>25</sup>
	1.000	114.80	10/29/20	121.07	6.27 W	0.00	3 of 15 - Sale <sup>25</sup>
	1.000	114.80	10/29/20	120.86	6.06 W	0.00	4 of 15 - Sale <sup>25</sup>
	3.000	344.39	10/29/20	362.59	18.20 W	0.00	5 of 15 - Sale <sup>25</sup>
	3.000	344.38	10/29/20	363.81	19.43 W	0.00	6 of 15 - Sale <sup>25</sup>
	1.000	114.80	11/02/20	116.60	1.80 W	0.00	7 of 15 - Sale <sup>25</sup>
	1.000	114.80	11/02/20	116.88	2.08 W	0.00	8 of 15 - Sale <sup>25</sup>
	1.000	114.80	11/02/20	116.89	2.09 W	0.00	9 of 15 - Sale <sup>25</sup>
	2.000	229.57	11/02/20	233.77	4.20 W	0.00	10 of 15 - Sale <sup>25</sup>
	1.000	114.30	11/04/20	122.35	8.05 W	0.00	11 of 15 - Sale <sup>25</sup>
	1.000	114.30	11/04/20	122.37	8.07 W	0.00	12 of 15 - Sale <sup>25</sup>
	3.000	342.89	11/04/20	365.85	22.96 W	0.00	13 of 15 - Sale <sup>25</sup>
	1.000	114.30	11/04/20	120.62	6.32 W	0.00	14 of 15 - Sale <sup>25</sup>
	4.000	457.18	11/04/20	480.51	23.33 W	0.00	15 of 15 - Sale <sup>25</sup>
11/04/20	25.000	2,864.91	Various	3,006.71	141.80 W	0.00	Total of 15 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
23 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	118.99	11/05/20	127.19	8.20 W	0.00	1 of 23 - Sale <sup>25</sup>
	4.000	475.95	11/05/20	507.16	31.21 W	0.00	2 of 23 - Sale <sup>25</sup>
	1.000	118.99	11/05/20	125.55	6.56 W	0.00	3 of 23 - Sale <sup>25</sup>
	1.000	118.99	11/05/20	124.33	5.34 W	0.00	4 of 23 - Sale <sup>25</sup>
	3.000	356.96	11/05/20	373.62	16.66 W	0.00	5 of 23 - Sale <sup>25</sup>
	1.000	118.99	11/05/20	128.93	9.94 W	0.00	6 of 23 - Sale <sup>25</sup>
	4.000	475.94	11/05/20	515.69	39.75 W	0.00	7 of 23 - Sale <sup>25</sup>
	1.000	118.99	11/05/20	131.58	12.59 W	0.00	8 of 23 - Sale <sup>25</sup>
	1.000	118.98	11/05/20	131.60	12.62 W	0.00	9 of 23 - Sale <sup>25</sup>
	3.000	356.96	11/05/20	395.94	38.98 W	0.00	10 of 23 - Sale <sup>25</sup>
	1.000	118.75	11/05/20	127.27	8.52 W	0.00	11 of 23 - Sale <sup>25</sup>
	1.000	118.75	11/05/20	127.28	8.53 W	0.00	12 of 23 - Sale <sup>25</sup>
	3.000	356.24	11/05/20	380.61	24.37 W	0.00	13 of 23 - Sale <sup>25</sup>
	1.000	118.75	11/05/20	125.48	6.73 W	0.00	14 of 23 - Sale <sup>25</sup>
	1.000	118.75	11/05/20	125.27	6.52 W	0.00	15 of 23 - Sale <sup>25</sup>
	3.000	356.24	11/05/20	377.08	20.84 W	0.00	16 of 23 - Sale <sup>25</sup>
	1.000	118.75	11/05/20	121.01	2.26 W	0.00	17 of 23 - Sale <sup>25</sup>
	1.000	118.75	11/05/20	121.30	2.55 W	0.00	18 of 23 - Sale <sup>25</sup>
	1.000	118.75	11/05/20	121.30	2.55 W	0.00	19 of 23 - Sale <sup>25</sup>
	2.000	237.49	11/05/20	242.63	5.14 W	0.00	20 of 23 - Sale <sup>25</sup>
	1.000	118.74	11/05/20	125.53	6.79 W	0.00	21 of 23 - Sale <sup>25</sup>
	3.000	356.24	11/05/20	375.14	18.90 W	0.00	22 of 23 - Sale <sup>25</sup>
	1.000	118.75	11/05/20	125.05	6.30 W	0.00	23 of 23 - Sale <sup>25</sup>
11/05/20	40.000	4,754.69	Various	5,056.54	301.85 W	0.00	Total of 23 transactions
16 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	120.79	11/09/20	129.05	8.26 W	0.00	1 of 16 - Sale <sup>25</sup>
	4.000	483.15	11/09/20	514.61	31.46 W	0.00	2 of 16 - Sale <sup>25</sup>
	1.000	120.79	11/09/20	127.41	6.62 W	0.00	3 of 16 - Sale <sup>25</sup>
	1.000	120.79	11/09/20	126.19	5.40 W	0.00	4 of 16 - Sale <sup>25</sup>
	3.000	362.36	11/09/20	379.21	16.85 W	0.00	5 of 16 - Sale <sup>25</sup>
	1.000	120.79	11/09/20	130.79	10.00 W	0.00	6 of 16 - Sale <sup>25</sup>
	4.000	483.15	11/09/20	523.15	40.00 W	0.00	7 of 16 - Sale <sup>25</sup>
	1.000	120.79	11/09/20	133.44	12.65 W	0.00	8 of 16 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.000	120.79	11/09/20	133.47	12.68 W	0.00	9 of 16 - Sale <sup>25</sup>
	3.000	362.36	11/09/20	401.53	39.17 W	0.00	10 of 16 - Sale <sup>25</sup>
	1.000	120.79	11/09/20	129.47	8.68 W	0.00	11 of 16 - Sale <sup>25</sup>
	1.000	120.78	11/09/20	129.48	8.70 W	0.00	12 of 16 - Sale <sup>25</sup>
	3.000	362.36	11/09/20	387.22	24.86 W	0.00	13 of 16 - Sale <sup>25</sup>
	1.000	120.78	11/09/20	127.68	6.90 W	0.00	14 of 16 - Sale <sup>25</sup>
	1.000	120.79	11/09/20	127.47	6.68 W	0.00	15 of 16 - Sale <sup>25</sup>
	3.000	362.35	11/09/20	383.69	21.34 W	0.00	16 of 16 - Sale <sup>25</sup>
11/09/20	30.000	3,623.61	Various	3,883.86	260.25 W	0.00	Total of 16 transactions
	7 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	1.000	116.49	11/10/20	119.05	2.56 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	116.49	11/10/20	119.34	2.85 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	116.49	11/10/20	119.34	2.85 W	0.00	3 of 7 - Sale <sup>25</sup>
	2.000	232.97	11/10/20	238.72	5.75 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	116.49	11/10/20	123.58	7.09 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	116.49	11/10/20	123.09	6.60 W	0.00	6 of 7 - Sale <sup>25</sup>
	3.000	349.45	11/10/20	369.27	19.82 W	0.00	7 of 7 - Sale <sup>25</sup>
11/10/20	10.000	1,164.87	Various	1,212.39	47.52 W	0.00	Total of 7 transactions
	18 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	2.000	232.55	11/25/20	247.50	14.95 W	0.00	1 of 18 - Sale <sup>25</sup>
	1.000	116.28	11/25/20	124.91	8.63 W	0.00	2 of 18 - Sale <sup>25</sup>
	1.000	116.28	11/25/20	124.51	8.23 W	0.00	3 of 18 - Sale <sup>25</sup>
	1.000	116.28	11/25/20	125.33	9.05 W	0.00	4 of 18 - Sale <sup>25</sup>
	1.000	116.28	11/25/20	125.34	9.06 W	0.00	5 of 18 - Sale <sup>25</sup>
	3.000	348.83	11/25/20	374.80	25.97 W	0.00	6 of 18 - Sale <sup>25</sup>
	3.000	348.83	11/25/20	373.52	24.69 W	0.00	7 of 18 - Sale <sup>25</sup>
	1.000	116.28	11/25/20	123.26	6.98 W	0.00	8 of 18 - Sale <sup>25</sup>
	1.000	116.28	11/25/20	122.04	5.76 W	0.00	9 of 18 - Sale <sup>25</sup>
	1.000	116.28	11/25/20	123.54	7.26 W	0.00	10 of 18 - Sale <sup>25</sup>
	1.000	116.28	11/25/20	123.33	7.05 W	0.00	11 of 18 - Sale <sup>25</sup>
	1.000	116.28	11/25/20	123.75	7.47 W	0.00	12 of 18 - Sale <sup>25</sup>
	3.000	348.83	11/25/20	366.78	17.95 W	0.00	13 of 18 - Sale <sup>25</sup>
	1.000	116.28	11/25/20	126.64	10.36 W	0.00	14 of 18 - Sale <sup>25</sup>
	4.000	465.10	11/25/20	506.57	41.47 W	0.00	15 of 18 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.000	116.28	11/25/20	129.29	13.01 W	0.00	16 of 18 - Sale <sup>25</sup>
	1.000	116.27	11/25/20	129.32	13.05 W	0.00	17 of 18 - Sale <sup>25</sup>
	3.000	348.83	11/25/20	389.10	40.27 W	0.00	18 of 18 - Sale <sup>25</sup>
11/25/20	30.000	3,488.32	Various	3,759.53	271.21 W	0.00	Total of 18 transactions
	26 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	1.000	122.08	12/02/20	131.98	9.90 W	0.00	1 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	131.99	9.91 W	0.00	2 of 26 - Sale <sup>25</sup>
	3.000	366.25	12/02/20	394.75	28.50 W	0.00	3 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	131.56	9.48 W	0.00	4 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	131.16	9.08 W	0.00	5 of 26 - Sale <sup>25</sup>
	3.000	366.25	12/02/20	393.47	27.22 W	0.00	6 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	129.91	7.83 W	0.00	7 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	128.69	6.61 W	0.00	8 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	130.19	8.11 W	0.00	9 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	129.98	7.90 W	0.00	10 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	130.40	8.32 W	0.00	11 of 26 - Sale <sup>25</sup>
	2.000	244.16	12/02/20	260.80	16.64 W	0.00	12 of 26 - Sale <sup>25</sup>
	3.000	366.25	12/02/20	386.73	20.48 W	0.00	13 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	133.29	11.21 W	0.00	14 of 26 - Sale <sup>25</sup>
	4.000	488.33	12/02/20	533.18	44.85 W	0.00	15 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	125.49	3.41 W	0.00	16 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	125.78	3.70 W	0.00	17 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	125.78	3.70 W	0.00	18 of 26 - Sale <sup>25</sup>
	2.000	244.17	12/02/20	251.60	7.43 W	0.00	19 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	135.94	13.86 W	0.00	20 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	135.98	13.90 W	0.00	21 of 26 - Sale <sup>25</sup>
	3.000	366.25	12/02/20	409.05	42.80 W	0.00	22 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	130.02	7.94 W	0.00	23 of 26 - Sale <sup>25</sup>
	1.000	122.08	12/02/20	129.53	7.45 W	0.00	24 of 26 - Sale <sup>25</sup>
	3.000	366.25	12/02/20	388.60	22.35 W	0.00	25 of 26 - Sale <sup>25</sup>
	60.000	7,324.95	12/02/20	7,375.61	50.66 W	0.00	26 of 26 - Sale <sup>25</sup>
12/04/20	100.000	12,208.22	Various	12,611.46	403.24 W	0.00	Total of 26 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
<i>3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	2,466.40	12/09/20	2,524.79	...	-58.39	1 of 3 - Sale <sup>25</sup>
	15.000	1,849.79	12/09/20	1,895.91	...	-46.12	2 of 3 - Sale <sup>25</sup>
	5.000	616.60	12/09/20	631.97	...	-15.37	3 of 3 - Sale <sup>25</sup>
12/09/20	40.000	4,932.79	Various	5,052.67	...	-119.88	Total of 3 transactions
<i>26 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	2,466.40	12/09/20	2,521.89	13.87 W	-41.62	1 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	135.14	11.82 W	0.00	2 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	135.16	11.84 W	0.00	3 of 26 - Sale <sup>25</sup>
	3.000	369.96	12/09/20	404.24	34.28 W	0.00	4 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	134.72	11.40 W	0.00	5 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	134.33	11.01 W	0.00	6 of 26 - Sale <sup>25</sup>
	3.000	369.96	12/09/20	402.95	32.99 W	0.00	7 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	133.08	9.76 W	0.00	8 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	131.86	8.54 W	0.00	9 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	133.35	10.03 W	0.00	10 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	133.15	9.83 W	0.00	11 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	133.56	10.24 W	0.00	12 of 26 - Sale <sup>25</sup>
	2.000	246.64	12/09/20	267.13	20.49 W	0.00	13 of 26 - Sale <sup>25</sup>
	3.000	369.96	12/09/20	396.21	26.25 W	0.00	14 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	136.46	13.14 W	0.00	15 of 26 - Sale <sup>25</sup>
	4.000	493.28	12/09/20	545.83	52.55 W	0.00	16 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	128.65	5.33 W	0.00	17 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	128.95	5.63 W	0.00	18 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	128.94	5.62 W	0.00	19 of 26 - Sale <sup>25</sup>
	2.000	246.64	12/09/20	257.92	11.28 W	0.00	20 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	139.11	15.79 W	0.00	21 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	139.15	15.83 W	0.00	22 of 26 - Sale <sup>25</sup>
	3.000	369.96	12/09/20	418.55	48.59 W	0.00	23 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	133.19	9.87 W	0.00	24 of 26 - Sale <sup>25</sup>
	1.000	123.32	12/09/20	132.70	9.38 W	0.00	25 of 26 - Sale <sup>25</sup>
	3.000	369.96	12/09/20	398.10	28.14 W	0.00	26 of 26 - Sale <sup>25</sup>
12/09/20	60.000	7,399.20	Various	7,884.32	443.50 W	-41.62	Total of 26 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
6 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	3.000	372.35	12/18/20	417.49	...	-45.14	1 of 6 - Sale <sup>25</sup>
	3.000	372.34	12/18/20	416.20	...	-43.86	2 of 6 - Sale <sup>25</sup>
	1.000	124.12	12/18/20	139.58	...	-15.46	3 of 6 - Sale <sup>25</sup>
	1.000	124.12	12/18/20	139.56	...	-15.44	4 of 6 - Sale <sup>25</sup>
	1.000	124.12	12/18/20	139.14	...	-15.02	5 of 6 - Sale <sup>25</sup>
	1.000	124.12	12/18/20	138.74	...	-14.62	6 of 6 - Sale <sup>25</sup>
12/21/20	10.000	1,241.17	Various	1,390.71	...	-149.54	Total of 6 transactions
7 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	2.000	260.51	12/21/20	269.31	...	-8.80	1 of 7 - Sale <sup>25</sup>
	3.000	390.76	12/21/20	399.48	...	-8.72	2 of 7 - Sale <sup>25</sup>
	1.000	130.25	12/21/20	134.65	...	-4.40	3 of 7 - Sale <sup>25</sup>
	1.000	130.25	12/21/20	134.44	...	-4.19	4 of 7 - Sale <sup>25</sup>
	1.000	130.25	12/21/20	134.24	...	-3.99	5 of 7 - Sale <sup>25</sup>
	1.000	130.25	12/21/20	134.17	...	-3.92	6 of 7 - Sale <sup>25</sup>
	1.000	130.25	12/21/20	132.95	...	-2.70	7 of 7 - Sale <sup>25</sup>
12/22/20	10.000	1,302.52	Various	1,339.24	...	-36.72	Total of 7 transactions
	<b>Security total:</b>	<b>292,631.25</b>		<b>299,585.63</b>	<b>6,892.92 W</b>	<b>-61.46</b>	
APPLIED DNA SCIENCES, INC. COM MON STOCK / CUSIP: 03815U300 / Symbol:							
4 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	71.000	276.89	02/27/20	290.39	...	-13.50	1 of 4 - Sale <sup>25</sup>
	42.000	163.80	02/27/20	175.14	...	-11.34	2 of 4 - Sale <sup>25</sup>
	52.000	203.83	02/27/20	212.68	...	-8.85	3 of 4 - Sale <sup>25</sup>
	6.000	23.52	02/27/20	25.02	...	-1.50	4 of 4 - Sale <sup>25</sup>
02/28/20	171.000	668.04	Various	703.23	...	-35.19	Total of 4 transactions
02/28/20	48.000	188.16	02/27/20	192.00	3.84 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>856.20</b>		<b>895.23</b>	<b>3.84 W</b>	<b>-35.19</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARCONIC CORPORATION / CUSIP: 03966V107 / Symbol:							
07/22/20	50.000	858.98	07/22/20	849.00	...	9.98	Sale <sup>25</sup>
08/19/20	20.000	464.00	08/19/20	474.77	...	-10.77	Sale <sup>25</sup>
08/19/20	50.000	1,171.08	08/19/20	1,180.50	3.77 W	-5.65	Sale <sup>25</sup>
12/18/20	100.000	2,929.91 N	12/02/20	2,924.00	...	5.91	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$570.00
<b>Security total:</b>		<b>5,423.97</b>		<b>5,428.27</b>	<b>3.77 W</b>	<b>-0.53</b>	
ARISTA NETWORKS / CUSIP: 040413106 / Symbol:							
10/14/20	2.000	463.64	10/14/20	463.10	...	0.54	Sale <sup>25</sup>
ARLO TECHNOLOGIES, INC. / CUSIP: 04206A101 / Symbol:							
12/18/20	100.000	624.97 N	12/03/20	618.88	...	6.09	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$275.00
ARTARA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 04300J107 / Symbol:							
01/10/20	1.000	33.40	01/09/20	32.32	...	1.08	Sale <sup>25</sup>
ASANA, INC. CLASS A COMMON STO CK / CUSIP: 04342Y104 / Symbol:							
12/10/20	100.000	3,090.42	12/10/20	3,100.00	...	-9.58	Sale <sup>25</sup>
ATHERSYS, INC. COMMON STOCK / CUSIP: 04744L106 / Symbol:							
<i>4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	257.99	06/22/20	257.00	...	0.99	1 of 4 - Sale <sup>25</sup>
	100.000	257.99	06/22/20	257.00	...	0.99	2 of 4 - Sale <sup>25</sup>
	100.000	257.99	06/22/20	257.00	...	0.99	3 of 4 - Sale <sup>25</sup>
	200.000	515.96	06/22/20	514.00	...	1.96	4 of 4 - Sale <sup>25</sup>
06/22/20	500.000	1,289.93	Various	1,285.00	...	4.93	Total of 4 transactions
ATLAS AIR WORLDWIDE HOLDINGS N EW COMMON STOCK / CUSIP: 049164205 / Symbol:							
06/02/20	1.000	43.57	06/01/20	40.98	...	2.59	Sale <sup>25</sup>
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
10/15/20	18.000	77.43	10/15/20	78.30	...	-0.87	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AUTODESK, INC. COMMON STOCK / CUSIP: 052769106 / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	19.000	4,594.09	08/05/20	4,618.90	...	-24.81	1 of 2 - Sale <sup>25</sup>
	1.000	241.80	08/05/20	243.10	...	-1.30	2 of 2 - Sale <sup>25</sup>
08/05/20	20.000	4,835.89	Various	4,862.00	...	-26.11	Total of 2 transactions
12/09/20	10.000	2,863.38	12/09/20	2,887.85	...	-24.47	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,699.27</b>		<b>7,749.85</b>	...	<b>-50.58</b>	
AUTOMATIC DATA PROCESSING, INC. COMMON STOCK / CUSIP: 053015103 / Symbol:							
10/30/20	10.000	1,568.66	10/30/20	1,594.98	...	-26.32	Sale <sup>25</sup>
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	10.000	1,568.66	10/30/20	1,581.50	12.84 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,573.86	10/30/20	1,593.84	19.98 W	0.00	2 of 2 - Sale <sup>25</sup>
10/30/20	20.000	3,142.52	Various	3,175.34	32.82 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>4,711.18</b>		<b>4,770.32</b>	<b>32.82 W</b>	<b>-26.32</b>	
AXONICS MODULATION TECHNOLOGIE S, INC. COMMON STOCK / CUSIP: 05465P101 / Symbol:							
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	20.000	985.97	10/07/20	975.94	...	10.03	1 of 2 - Sale <sup>25</sup>
	10.000	503.60	10/08/20	498.50	...	5.10	2 of 2 - Sale <sup>25</sup>
10/08/20	30.000	1,489.57	Various	1,474.44	...	15.13	Total of 2 transactions
11/02/20	20.000	909.17	10/30/20	923.80	14.63 W	0.00	Sale <sup>25</sup>
11/03/20	10.000	485.60	11/03/20	492.62	7.02 W	0.00	Sale <sup>25</sup>
3 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	10.000	509.99	11/04/20	499.16	...	10.83	1 of 3 - Sale <sup>25</sup>
	10.000	509.99	11/04/20	498.87	...	11.12	2 of 3 - Sale <sup>25</sup>
	30.000	1,529.96	11/04/20	1,475.54	...	54.42	3 of 3 - Sale <sup>25</sup>
11/04/20	50.000	2,549.94	Various	2,473.57	...	76.37	Total of 3 transactions
11/06/20	20.000	925.97	11/06/20	934.40	...	-8.43	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,360.25</b>		<b>6,298.83</b>	<b>21.65 W</b>	<b>83.07</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	50.000	173.13	07/02/20	180.50	...	-7.37	1 of 4 - Sale <sup>25</sup>
	50.000	173.12	07/02/20	179.00	...	-5.88	2 of 4 - Sale <sup>25</sup>
	35.000	115.15	07/02/20	114.45	...	0.70	3 of 4 - Sale <sup>25</sup>
	65.000	213.84	07/02/20	212.55	...	1.29	4 of 4 - Sale <sup>25</sup>
07/02/20	200.000	675.24	Various	686.50	...	-11.26	Total of 4 transactions
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	130.00	07/06/20	129.95	...	0.05	1 of 2 - Sale <sup>25</sup>
	49.000	6,369.85	07/06/20	6,367.55	...	2.30	2 of 2 - Sale <sup>25</sup>
07/06/20	50.000	6,499.85	Various	6,497.50	...	2.35	Total of 2 transactions
08/18/20	10.000	1,253.47	08/18/20	1,262.80	9.33 W	0.00	Sale <sup>25</sup>
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	10.000	1,279.67	08/27/20	1,291.82	12.15 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,279.67	08/27/20	1,282.49	2.82 W	0.00	2 of 2 - Sale <sup>25</sup>
08/27/20	20.000	2,559.34	Various	2,574.31	14.97 W	0.00	Total of 2 transactions
08/28/20	10.000	1,286.52	08/28/20	1,286.22	...	0.30	Sale <sup>25</sup>
08/28/20	10.000	1,288.17	08/28/20	1,295.55	7.38 W	0.00	Sale <sup>25</sup>
09/11/20	10.000	1,206.47	09/11/20	1,211.28	...	-4.81	Sale <sup>25</sup>
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	3.000	405.15	10/14/20	391.32	...	13.83	1 of 2 - Sale <sup>25</sup>
	3.000	408.51	10/14/20	391.32	...	17.19	2 of 2 - Sale <sup>25</sup>
10/14/20	6.000	813.66	Various	782.64	...	31.02	Total of 2 transactions
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	7.000	953.20	10/14/20	955.50	2.30 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	1,363.86	10/14/20	1,365.00	1.14 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	679.98	10/14/20	685.84	5.86 W	0.00	3 of 3 - Sale <sup>25</sup>
10/14/20	22.000	2,997.04	Various	3,006.34	9.30 W	0.00	Total of 3 transactions
3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	130.99	10/16/20	131.78	0.79 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	131.24	10/16/20	131.78	0.54 W	0.00	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol: (cont'd)							
	3.000	393.71	10/16/20	394.69	0.98 W	0.00	3 of 3 - Sale <sup>25</sup>
10/16/20	5.000	655.94	Various	658.25	2.31 W	0.00	Total of 3 transactions
10/19/20	5.000	648.73	10/19/20	666.82	18.09 W	0.00	Sale <sup>25</sup>
	5 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	1.000	135.08	10/21/20	136.92	1.84 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	135.08	10/21/20	136.67	1.59 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	135.07	10/21/20	136.46	1.39 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	270.15	10/21/20	272.49	2.34 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	675.39	10/21/20	686.51	11.12 W	0.00	5 of 5 - Sale <sup>25</sup>
10/21/20	10.000	1,350.77	Various	1,369.05	18.28 W	0.00	Total of 5 transactions
	10 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	1.000	132.10	10/30/20	134.34	2.24 W	0.00	1 of 10 - Sale <sup>25</sup>
	2.000	264.19	10/30/20	264.89	0.70 W	0.00	2 of 10 - Sale <sup>25</sup>
	2.000	264.19	10/30/20	266.58	2.39 W	0.00	3 of 10 - Sale <sup>25</sup>
	5.000	660.49	10/30/20	678.69	18.20 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	131.88	10/30/20	133.99	2.11 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	131.88	10/30/20	133.74	1.86 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	131.88	10/30/20	133.54	1.66 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	131.87	10/30/20	135.79	3.92 W	0.00	8 of 10 - Sale <sup>25</sup>
	2.000	263.75	10/30/20	265.00	1.25 W	0.00	9 of 10 - Sale <sup>25</sup>
	4.000	527.51	10/30/20	537.50	9.99 W	0.00	10 of 10 - Sale <sup>25</sup>
10/30/20	20.000	2,639.74	Various	2,684.06	44.32 W	0.00	Total of 10 transactions
	18 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	1.000	135.88	11/02/20	139.68	3.80 W	0.00	1 of 18 - Sale <sup>25</sup>
	2.000	271.75	11/02/20	277.27	5.52 W	0.00	2 of 18 - Sale <sup>25</sup>
	3.000	407.63	11/02/20	419.81	12.18 W	0.00	3 of 18 - Sale <sup>25</sup>
	4.000	543.50	11/02/20	564.32	20.82 W	0.00	4 of 18 - Sale <sup>25</sup>
	1.000	134.27	11/02/20	137.08	2.81 W	0.00	5 of 18 - Sale <sup>25</sup>
	1.000	134.27	11/02/20	136.69	2.42 W	0.00	6 of 18 - Sale <sup>25</sup>
	1.000	134.27	11/02/20	136.44	2.17 W	0.00	7 of 18 - Sale <sup>25</sup>
	1.000	134.27	11/02/20	136.24	1.97 W	0.00	8 of 18 - Sale <sup>25</sup>
	1.000	134.27	11/02/20	138.50	4.23 W	0.00	9 of 18 - Sale <sup>25</sup>
	2.000	268.53	11/02/20	270.42	1.89 W	0.00	10 of 18 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol: (cont'd)							
	3.000	402.79	11/02/20	419.38	16.59 W	0.00	11 of 18 - Sale <sup>25</sup>
	1.000	134.00	11/02/20	139.87	5.87 W	0.00	12 of 18 - Sale <sup>25</sup>
	1.000	134.00	11/02/20	138.47	4.47 W	0.00	13 of 18 - Sale <sup>25</sup>
	1.000	134.00	11/02/20	137.48	3.48 W	0.00	14 of 18 - Sale <sup>25</sup>
	1.000	134.00	11/02/20	137.09	3.09 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	133.99	11/02/20	136.84	2.85 W	0.00	16 of 18 - Sale <sup>25</sup>
	2.000	267.99	11/02/20	274.86	6.87 W	0.00	17 of 18 - Sale <sup>25</sup>
	3.000	401.99	11/02/20	416.19	14.20 W	0.00	18 of 18 - Sale <sup>25</sup>
11/02/20	30.000	4,041.40	Various	4,156.63	115.23 W	0.00	Total of 18 transactions
11/04/20	2.000	280.65	11/04/20	290.87	...	-10.22	Sale <sup>25</sup>
	5 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	1.000	140.33	11/04/20	143.97	3.64 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	140.33	11/04/20	146.23	5.90 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	140.32	11/04/20	147.87	7.55 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	280.65	11/04/20	285.89	5.24 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.000	420.98	11/04/20	442.59	21.61 W	0.00	5 of 5 - Sale <sup>25</sup>
11/04/20	8.000	1,122.61	Various	1,166.55	43.94 W	0.00	Total of 5 transactions
	7 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	3.000	430.07	11/06/20	445.45	...	-15.38	1 of 7 - Sale <sup>25</sup>
	2.000	286.71	11/06/20	292.74	...	-6.03	2 of 7 - Sale <sup>25</sup>
	1.000	143.36	11/06/20	148.22	...	-4.86	3 of 7 - Sale <sup>25</sup>
	1.000	143.36	11/06/20	147.39	...	-4.03	4 of 7 - Sale <sup>25</sup>
	1.000	143.35	11/06/20	147.23	...	-3.88	5 of 7 - Sale <sup>25</sup>
	1.000	143.36	11/06/20	146.84	...	-3.48	6 of 7 - Sale <sup>25</sup>
	1.000	143.35	11/06/20	146.60	...	-3.25	7 of 7 - Sale <sup>25</sup>
11/06/20	10.000	1,433.56	Various	1,474.47	...	-40.91	Total of 7 transactions
	3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	1.000	132.62	11/23/20	139.34	6.72 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	132.61	11/23/20	140.99	8.38 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	397.85	11/23/20	421.93	24.08 W	0.00	3 of 3 - Sale <sup>25</sup>
11/23/20	5.000	663.08	Various	702.26	39.18 W	0.00	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol: (cont'd)							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	27.000	3,893.03	12/08/20	3,900.15	...	-7.12	1 of 2 - Sale <sup>25</sup>
	20.000	2,883.73	12/08/20	2,888.00	...	-4.27	2 of 2 - Sale <sup>25</sup>
12/08/20	47.000	6,776.76	Various	6,788.15	...	-11.39	Total of 2 transactions
4 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	18.000	2,595.36	12/08/20	2,600.10	1.32 W	-3.42	1 of 4 - Sale <sup>25</sup>
	1.000	144.19	12/08/20	151.17	6.98 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	144.19	12/08/20	152.83	8.64 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	432.56	12/08/20	457.43	24.87 W	0.00	4 of 4 - Sale <sup>25</sup>
12/08/20	23.000	3,316.30	Various	3,361.53	41.81 W	-3.42	Total of 4 transactions
4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	3.000	582.28	12/28/20	605.76	...	-23.48	1 of 4 - Sale <sup>25</sup>
	1.000	194.10	12/28/20	202.27	...	-8.17	2 of 4 - Sale <sup>25</sup>
	1.000	194.10	12/28/20	200.61	...	-6.51	3 of 4 - Sale <sup>25</sup>
	5.000	970.47	12/28/20	969.47	...	1.00	4 of 4 - Sale <sup>25</sup>
12/28/20	10.000	1,940.95	Various	1,978.11	...	-37.16	Total of 4 transactions
	<b>Security total:</b>	<b>42,775.01</b>		<b>43,213.39</b>	<b>364.14 W</b>	<b>-74.24</b>	
BALLARD POWER SYSTEMS, INC. CO MMON SHARES / CUSIP: 058586108 / Symbol:							
02/10/20	2.000	22.30	02/10/20	22.56	...	-0.26	Sale <sup>25</sup>
02/10/20	48.000	535.18	02/10/20	541.44	1.04 W	-5.22	Sale <sup>25</sup>
02/18/20	8.000	99.20	02/18/20	98.64	...	0.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>656.68</b>		<b>662.64</b>	<b>1.04 W</b>	<b>-4.92</b>	
BANCO BRADESCO S.A. AMERICAN D EPOSITARY SHARES (EACH REPRES NTING ONE PREFERRED SHARES) / CUSIP: 059460303 / Symbol:							
05/22/20	100.000	335.99	04/28/20	354.83	...	-18.84	Sale <sup>25</sup>
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
2 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	10.000	333.49	10/25/19	317.70	...	15.79	1 of 2 - Sale <sup>25</sup>
	9.000	300.14	10/28/19	289.08	...	11.06	2 of 2 - Sale <sup>25</sup>
01/24/20	19.000	633.63	Various	606.78	...	26.85	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol: (cont'd)							
5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	24.50	10/28/19	32.12	...	-7.62	1 of 5 - Sale <sup>25</sup>
	30.000	734.97	01/28/20	997.65	...	-262.68	2 of 5 - Sale <sup>25</sup>
	1.000	24.50	01/28/20	33.04	...	-8.54	3 of 5 - Sale <sup>25</sup>
	4.000	98.00	02/24/20	132.20	...	-34.20	4 of 5 - Sale <sup>25</sup>
	20.000	489.98	03/17/20	417.00	...	72.98	5 of 5 - Sale <sup>25</sup>
06/12/20	56.000	1,371.95	Various	1,612.01	...	-240.06	Total of 5 transactions
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	10.000	255.41	08/05/20	255.60	0.19 W	0.00	1 of 2 - Sale <sup>25</sup>
	90.000	2,298.73	08/05/20	2,300.40	1.67 W	0.00	2 of 2 - Sale <sup>25</sup>
08/05/20	100.000	2,554.14	Various	2,556.00	1.86 W	0.00	Total of 2 transactions
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	10.000	263.02	09/04/20	261.78	...	1.24	1 of 3 - Sale <sup>25</sup>
	100.000	2,637.20	09/04/20	2,634.73	...	2.47	2 of 3 - Sale <sup>25</sup>
	90.000	2,367.18	09/04/20	2,355.98	...	11.20	3 of 3 - Sale <sup>25</sup>
09/04/20	200.000	5,267.40	Various	5,252.49	...	14.91	Total of 3 transactions
	<b>Security total:</b>	<b>9,827.12</b>		<b>10,027.28</b>	<b>1.86 W</b>	<b>-198.30</b>	
MICROSECTORS FANG+ INDEX 3X LE VERAGED ETNS DUE JANUARY 8, 20 38 / CUSIP: 063679872 / Symbol:							
3 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	5.000	1,056.97	10/26/20	1,087.50	30.53 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	1,018.97	10/26/20	1,092.03	73.06 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	1,018.07	10/26/20	1,098.83	80.76 W	0.00	3 of 3 - Sale <sup>25</sup>
10/26/20	15.000	3,094.01	Various	3,278.36	184.35 W	0.00	Total of 3 transactions
10/27/20	5.000	1,076.72	10/27/20	1,151.36	74.64 W	0.00	Sale <sup>25</sup>
2 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	1.000	204.98	11/04/20	219.42	14.44 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	819.90	11/04/20	877.67	57.77 W	0.00	2 of 2 - Sale <sup>25</sup>
11/04/20	5.000	1,024.88	Various	1,097.09	72.21 W	0.00	Total of 2 transactions
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	221.08	11/09/20	240.21	19.13 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	884.32	11/09/20	960.85	76.53 W	0.00	2 of 2 - Sale <sup>25</sup>
11/09/20	5.000	1,105.40	Various	1,201.06	95.66 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MICROSECTORS FANG+ INDEX 3X LE VERAGED ETNS DUE JANUARY 8, 20 38 / CUSIP: 063679872 / Symbol: (cont'd)							
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	1.000	191.85	11/12/20	212.70	20.85 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	767.40	11/12/20	850.81	83.41 W	0.00	2 of 2 - Sale <sup>25</sup>
11/12/20	5.000	959.25	Various	1,063.51	104.26 W	0.00	Total of 2 transactions
11/16/20	4.000	772.22	11/16/20	863.60	...	-91.38	Sale <sup>25</sup>
11/16/20	1.000	193.05	11/16/20	215.90	22.85 W	0.00	Sale <sup>25</sup>
11/18/20	1.000	202.17	11/18/20	223.38	...	-21.21	Sale <sup>25</sup>
12/28/20	10.000	2,716.19	12/28/20	2,688.60	...	27.59	Sale <sup>25</sup>
	<b>Security total:</b>	<b>11,143.89</b>		<b>11,782.86</b>	<b>553.97 W</b>	<b>-85.00</b>	

BAOZUN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 06684L103 / Symbol:

6 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	10.000	418.30	11/06/20	421.16	...	-2.86	1 of 6 - Sale <sup>25</sup>
	10.000	412.79	11/06/20	413.47	...	-0.68	2 of 6 - Sale <sup>25</sup>
	3.000	126.60	11/06/20	126.46	...	0.14	3 of 6 - Sale <sup>25</sup>
	8.000	337.60	11/06/20	337.24	...	0.36	4 of 6 - Sale <sup>25</sup>
	9.000	379.80	11/06/20	379.39	...	0.41	5 of 6 - Sale <sup>25</sup>
	10.000	412.79	11/06/20	412.33	...	0.46	6 of 6 - Sale <sup>25</sup>
11/06/20	50.000	2,087.88	Various	2,090.05	...	-2.17	Total of 6 transactions
4 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	30.000	1,227.65	11/06/20	1,229.55	1.90 W	0.00	1 of 4 - Sale <sup>25</sup>
	20.000	816.17	11/06/20	819.70	3.53 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	123.84	11/06/20	124.06	0.22 W	0.00	3 of 4 - Sale <sup>25</sup>
	17.000	701.74	11/06/20	703.01	1.27 W	0.00	4 of 4 - Sale <sup>25</sup>
11/06/20	70.000	2,869.40	Various	2,876.32	6.92 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>4,957.28</b>		<b>4,966.37</b>	<b>6.92 W</b>	<b>-2.17</b>	

IPATH SERIES B S&amp;P 500 VIX SHO RT-TERM FUTURES ETN / CUSIP: 06746P621 / Symbol:

3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	100.000	4,194.89	06/11/20	4,174.51	...	20.38	1 of 3 - Sale <sup>25</sup>
	100.000	4,194.89	06/11/20	4,150.00	...	44.89	2 of 3 - Sale <sup>25</sup>
	100.000	4,194.90	06/11/20	4,150.00	...	44.90	3 of 3 - Sale <sup>25</sup>
06/11/20	300.000	12,584.68	Various	12,474.51	...	110.17	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IPATH SERIES B S&P 500 VIX SHO RT-TERM FUTURES ETN / CUSIP: 06746P621 / Symbol: (cont'd)							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	100.000	4,154.36	06/11/20	4,185.00	30.64 W	0.00	1 of 2 - Sale <sup>25</sup>
06/11/20	100.000	4,194.89	06/11/20	4,210.64	15.75 W	0.00	2 of 2 - Sale <sup>25</sup>
	200.000	8,349.25	Various	8,395.64	46.39 W	0.00	Total of 2 transactions
8 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	10.000	372.00	06/17/20	373.68	1.68 W	0.00	1 of 8 - Sale <sup>25</sup>
	10.000	369.09	06/17/20	373.67	4.58 W	0.00	2 of 8 - Sale <sup>25</sup>
	10.000	369.09	06/17/20	375.58	6.49 W	0.00	3 of 8 - Sale <sup>25</sup>
	10.000	368.41	06/17/20	378.48	10.07 W	0.00	4 of 8 - Sale <sup>25</sup>
	10.000	368.42	06/17/20	379.49	11.07 W	0.00	5 of 8 - Sale <sup>25</sup>
	10.000	369.49	06/17/20	383.07	13.58 W	0.00	6 of 8 - Sale <sup>25</sup>
	10.000	369.49	06/17/20	373.00	3.51 W	0.00	7 of 8 - Sale <sup>25</sup>
	20.000	739.98	06/17/20	746.00	6.02 W	0.00	8 of 8 - Sale <sup>25</sup>
06/17/20	90.000	3,325.97	Various	3,382.97	57.00 W	0.00	Total of 8 transactions
10 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	10.000	372.99	06/19/20	383.96	...	-10.97	1 of 10 - Sale <sup>25</sup>
	10.000	372.99	06/19/20	381.45	...	-8.46	2 of 10 - Sale <sup>25</sup>
	50.000	1,864.95	06/19/20	1,868.38	...	-3.43	3 of 10 - Sale <sup>25</sup>
	10.000	372.98	06/19/20	373.45	...	-0.47	4 of 10 - Sale <sup>25</sup>
	5.000	186.49	06/19/20	186.95	...	-0.46	5 of 10 - Sale <sup>25</sup>
	3.000	111.90	06/19/20	112.18	...	-0.28	6 of 10 - Sale <sup>25</sup>
	2.000	74.60	06/19/20	74.77	...	-0.17	7 of 10 - Sale <sup>25</sup>
	60.000	2,237.94	06/19/20	2,235.00	...	2.94	8 of 10 - Sale <sup>25</sup>
	50.000	1,865.07	06/19/20	1,851.39	...	13.68	9 of 10 - Sale <sup>25</sup>
	100.000	3,730.14	06/19/20	3,700.00	...	30.14	10 of 10 - Sale <sup>25</sup>
06/19/20	300.000	11,190.05	Various	11,167.53	...	22.52	Total of 10 transactions
11 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	20.000	745.98	06/19/20	747.89	0.95 W	-0.96	1 of 11 - Sale <sup>25</sup>
	7.000	261.11	06/19/20	261.60	0.49 W	0.00	2 of 11 - Sale <sup>25</sup>
	10.000	373.01	06/19/20	381.27	8.26 W	0.00	3 of 11 - Sale <sup>25</sup>
	10.000	373.01	06/19/20	383.78	10.77 W	0.00	4 of 11 - Sale <sup>25</sup>
	3.000	111.90	06/19/20	112.13	0.23 W	0.00	5 of 11 - Sale <sup>25</sup>
	20.000	746.03	06/19/20	746.57	0.54 W	0.00	6 of 11 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IPATH SERIES B S&P 500 VIX SHO RT-TERM FUTURES ETN / CUSIP: 06746P621 / Symbol: (cont'd)							
	5.000	186.49	06/19/20	187.19	0.70 W	0.00	7 of 11 - Sale <sup>25</sup>
	10.000	372.99	06/19/20	381.94	8.95 W	0.00	8 of 11 - Sale <sup>25</sup>
	10.000	372.99	06/19/20	384.45	11.46 W	0.00	9 of 11 - Sale <sup>25</sup>
	3.000	111.90	06/19/20	112.33	0.43 W	0.00	10 of 11 - Sale <sup>25</sup>
	2.000	74.60	06/19/20	74.87	0.27 W	0.00	11 of 11 - Sale <sup>25</sup>
06/19/20	100.000	3,730.01	Various	3,774.02	43.05 W	-0.96	Total of 11 transactions
09/28/20	50.000	1,272.97	09/28/20	1,277.50	4.53 W	0.00	Sale <sup>25</sup>
10/21/20	50.000	1,162.47	10/21/20	1,172.53	10.06 W	0.00	Sale <sup>25</sup>
10/29/20	50.000	1,247.47	10/29/20	1,279.51	32.04 W	0.00	Sale <sup>25</sup>
11/02/20	20.000	513.98	11/02/20	524.97	...	-10.99	Sale <sup>25</sup>
2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	23.000	495.42	11/05/20	511.42	...	-16.00	1 of 2 - Sale <sup>25</sup>
	7.000	150.78	11/05/20	155.65	...	-4.87	2 of 2 - Sale <sup>25</sup>
11/05/20	30.000	646.20	Various	667.07	...	-20.87	Total of 2 transactions
12/14/20	100.000	1,783.14	12/09/20	1,706.50	...	76.64	Sale <sup>25</sup>
	<b>Security total:</b>	<b>45,806.19</b>		<b>45,822.75</b>	<b>193.07 W</b>	<b>176.51</b>	
BARINGS BDC, INC. / CUSIP: 06759L103 / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	110.000	923.96	06/04/20	920.15	...	3.81	1 of 2 - Sale <sup>25</sup>
	30.000	251.99	06/04/20	247.80	...	4.19	2 of 2 - Sale <sup>25</sup>
06/08/20	140.000	1,175.95	Various	1,167.95	...	8.00	Total of 2 transactions
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol:							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	15.000	359.99	06/18/20	359.70	...	0.29	1 of 3 - Sale <sup>25</sup>
	185.000	4,439.88	06/18/20	4,436.30	...	3.58	2 of 3 - Sale <sup>25</sup>
	100.000	2,399.93	06/18/20	2,396.00	...	3.93	3 of 3 - Sale <sup>25</sup>
06/18/20	300.000	7,199.80	Various	7,192.00	...	7.80	Total of 3 transactions
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	47.000	1,151.47	06/18/20	1,127.06	...	24.41	1 of 2 - Sale <sup>25</sup>
	53.000	1,298.46	06/18/20	1,270.94	...	27.52	2 of 2 - Sale <sup>25</sup>
06/19/20	100.000	2,449.93	Various	2,398.00	...	51.93	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol: (cont'd)							
<i>2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>							
	11.000	307.11	07/30/20	306.90	...	0.21	1 of 2 - Sale <sup>25</sup>
	39.000	1,088.85	07/30/20	1,088.10	...	0.75	2 of 2 - Sale <sup>25</sup>
07/30/20	50.000	1,395.96	Various	1,395.00	...	0.96	Total of 2 transactions
<i>5 transactions for 08/14/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	580.18	08/14/20	583.00	...	-2.82	1 of 5 - Sale <sup>25</sup>
	20.000	580.19	08/14/20	582.40	...	-2.21	2 of 5 - Sale <sup>25</sup>
	10.000	290.00	08/14/20	288.40	...	1.60	3 of 5 - Sale <sup>25</sup>
	15.000	435.00	08/14/20	432.60	...	2.40	4 of 5 - Sale <sup>25</sup>
	25.000	724.98	08/14/20	721.00	...	3.98	5 of 5 - Sale <sup>25</sup>
08/14/20	90.000	2,610.35	Various	2,607.40	...	2.95	Total of 5 transactions
10/21/20	20.000	546.98	10/21/20	546.60	...	0.38	Sale <sup>25</sup>
<i>2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	816.03	10/21/20	825.53	9.50 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	820.48	10/21/20	829.40	8.92 W	0.00	2 of 2 - Sale <sup>25</sup>
10/21/20	60.000	1,636.51	Various	1,654.93	18.42 W	0.00	Total of 2 transactions
11/04/20	30.000	838.48	11/04/20	850.72	12.24 W	0.00	Sale <sup>25</sup>
11/05/20	10.000	293.71	11/05/20	293.56	...	0.15	Sale <sup>25</sup>
<i>8 transactions for 11/05/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	878.68	11/05/20	895.99	17.31 W	0.00	1 of 8 - Sale <sup>25</sup>
	10.000	292.89	11/05/20	293.78	0.89 W	0.00	2 of 8 - Sale <sup>25</sup>
	10.000	293.52	11/05/20	294.77	1.25 W	0.00	3 of 8 - Sale <sup>25</sup>
	30.000	880.54	11/05/20	898.94	18.40 W	0.00	4 of 8 - Sale <sup>25</sup>
	10.000	293.51	11/05/20	293.88	0.37 W	0.00	5 of 8 - Sale <sup>25</sup>
	30.000	874.48	11/05/20	895.86	21.38 W	0.00	6 of 8 - Sale <sup>25</sup>
	10.000	293.71	11/05/20	294.43	0.72 W	0.00	7 of 8 - Sale <sup>25</sup>
	30.000	881.12	11/05/20	900.93	19.81 W	0.00	8 of 8 - Sale <sup>25</sup>
11/05/20	160.000	4,688.45	Various	4,768.58	80.13 W	0.00	Total of 8 transactions
<i>3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	174.54	11/06/20	175.79	1.25 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	116.36	11/06/20	117.20	0.84 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	581.78	11/06/20	597.74	15.96 W	0.00	3 of 3 - Sale <sup>25</sup>
11/06/20	30.000	872.68	Various	890.73	18.05 W	0.00	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol: (cont'd)							
6 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	4.000	102.85	11/09/20	108.47	5.62 W	0.00	1 of 6 - Sale <sup>25</sup>
	6.000	154.27	11/09/20	162.70	8.43 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	257.12	11/09/20	275.68	18.56 W	0.00	3 of 6 - Sale <sup>25</sup>
	20.000	514.23	11/09/20	554.13	39.90 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	257.12	11/09/20	269.09	11.97 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	1,285.57	11/09/20	1,342.47	56.90 W	0.00	6 of 6 - Sale <sup>25</sup>
11/16/20	100.000	2,571.16	Various	2,712.54	141.38 W	0.00	Total of 6 transactions
6 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	4.000	99.17	11/16/20	109.21	10.04 W	0.00	1 of 6 - Sale <sup>25</sup>
	6.000	148.75	11/16/20	163.82	15.07 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	247.92	11/16/20	277.54	29.62 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.000	247.92	11/16/20	270.95	23.03 W	0.00	4 of 6 - Sale <sup>25</sup>
	20.000	495.84	11/16/20	557.85	62.01 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	1,239.59	11/16/20	1,351.78	112.19 W	0.00	6 of 6 - Sale <sup>25</sup>
11/18/20	100.000	2,479.19	Various	2,731.15	251.96 W	0.00	Total of 6 transactions
6 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	4.000	92.80	12/07/20	105.90	13.10 W	0.00	1 of 6 - Sale <sup>25</sup>
	6.000	139.20	12/07/20	158.86	19.66 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	231.99	12/07/20	269.27	37.28 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.000	231.99	12/07/20	262.68	30.69 W	0.00	4 of 6 - Sale <sup>25</sup>
	20.000	463.98	12/07/20	541.31	77.33 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	1,159.97	12/07/20	1,310.44	150.47 W	0.00	6 of 6 - Sale <sup>25</sup>
12/09/20	100.000	2,319.93	Various	2,648.46	328.53 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>29,903.13</b>		<b>30,689.67</b>	<b>850.71 W</b>	<b>64.17</b>	
BEASLEY BROADCAST GROUP, INC. CLASS A COMMON STOCK / CUSIP: 074014101 / Symbol:							
50 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	281.000	1,390.88	06/19/20	1,450.36	...	-59.48	1 of 50 - Sale <sup>25</sup>
	61.000	301.93	06/19/20	320.94	...	-19.01	2 of 50 - Sale <sup>25</sup>
	40.000	197.99	06/19/20	207.54	...	-9.55	3 of 50 - Sale <sup>25</sup>
	19.000	94.05	06/19/20	99.94	...	-5.89	4 of 50 - Sale <sup>25</sup>
	24.000	118.79	06/19/20	124.24	...	-5.45	5 of 50 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BEASLEY BROADCAST GROUP, INC. CLASS A COMMON STOCK / CUSIP: 074014101 / Symbol: (cont'd)							
17.000		84.15	06/19/20	89.43	...	-5.28	6 of 50 - Sale <sup>25</sup>
14.000		69.30	06/19/20	72.10	...	-2.80	7 of 50 - Sale <sup>25</sup>
5.000		24.75	06/19/20	25.75	...	-1.00	8 of 50 - Sale <sup>25</sup>
2.000		9.90	06/19/20	10.52	...	-0.62	9 of 50 - Sale <sup>25</sup>
1.000		4.95	06/19/20	5.26	...	-0.31	10 of 50 - Sale <sup>25</sup>
30.000		108.60	06/19/20	108.30	...	0.30	11 of 50 - Sale <sup>25</sup>
40.000		160.40	06/19/20	160.00	...	0.40	12 of 50 - Sale <sup>25</sup>
50.000		200.50	06/19/20	200.00	...	0.50	13 of 50 - Sale <sup>25</sup>
70.000		253.38	06/19/20	252.70	...	0.68	14 of 50 - Sale <sup>25</sup>
70.000		253.39	06/19/20	252.70	...	0.69	15 of 50 - Sale <sup>25</sup>
36.000		178.19	06/19/20	177.34	...	0.85	16 of 50 - Sale <sup>25</sup>
20.000		93.80	06/19/20	91.20	...	2.60	17 of 50 - Sale <sup>25</sup>
400.000		1,447.91	06/19/20	1,444.00	...	3.91	18 of 50 - Sale <sup>25</sup>
430.000		1,556.51	06/19/20	1,552.30	...	4.21	19 of 50 - Sale <sup>25</sup>
453.000		1,816.44	06/19/20	1,812.00	...	4.44	20 of 50 - Sale <sup>25</sup>
457.000		1,832.47	06/19/20	1,828.00	...	4.47	21 of 50 - Sale <sup>25</sup>
70.000		253.39	06/19/20	248.50	...	4.89	22 of 50 - Sale <sup>25</sup>
40.000		187.59	06/19/20	182.41	...	5.18	23 of 50 - Sale <sup>25</sup>
28.000		131.31	06/19/20	121.80	...	9.51	24 of 50 - Sale <sup>25</sup>
200.000		859.96	06/19/20	850.00	...	9.96	25 of 50 - Sale <sup>25</sup>
100.000		404.98	06/19/20	395.00	...	9.98	26 of 50 - Sale <sup>25</sup>
100.000		434.98	06/19/20	425.00	...	9.98	27 of 50 - Sale <sup>25</sup>
80.000		375.18	06/19/20	364.82	...	10.36	28 of 50 - Sale <sup>25</sup>
218.000		850.16	06/19/20	839.30	...	10.86	29 of 50 - Sale <sup>25</sup>
100.000		405.99	06/19/20	395.00	...	10.99	30 of 50 - Sale <sup>25</sup>
100.000		405.99	06/19/20	395.00	...	10.99	31 of 50 - Sale <sup>25</sup>
100.000		405.99	06/19/20	395.00	...	10.99	32 of 50 - Sale <sup>25</sup>
100.000		405.99	06/19/20	395.00	...	10.99	33 of 50 - Sale <sup>25</sup>
182.000		713.40	06/19/20	700.70	...	12.70	34 of 50 - Sale <sup>25</sup>
114.000		534.63	06/19/20	519.87	...	14.76	35 of 50 - Sale <sup>25</sup>
100.000		400.98	06/19/20	385.00	...	15.98	36 of 50 - Sale <sup>25</sup>
200.000		869.95	06/19/20	850.00	...	19.95	37 of 50 - Sale <sup>25</sup>
72.000		337.66	06/19/20	317.54	...	20.12	38 of 50 - Sale <sup>25</sup>
210.000		984.85	06/19/20	957.65	...	27.20	39 of 50 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BEASLEY BROADCAST GROUP, INC. CLASS A COMMON STOCK / CUSIP: 074014101 / Symbol: (cont'd)							
	600.000	2,339.87	06/19/20	2,310.00	...	29.87	40 of 50 - Sale <sup>25</sup>
	430.000	1,556.51	06/19/20	1,526.50	...	30.01	41 of 50 - Sale <sup>25</sup>
	500.000	1,809.89	06/19/20	1,775.00	...	34.89	42 of 50 - Sale <sup>25</sup>
	250.000	1,012.44	06/19/20	975.00	...	37.44	43 of 50 - Sale <sup>25</sup>
	250.000	1,012.45	06/19/20	975.00	...	37.45	44 of 50 - Sale <sup>25</sup>
	171.000	801.96	06/19/20	743.85	...	58.11	45 of 50 - Sale <sup>25</sup>
	348.000	1,395.41	06/19/20	1,322.40	...	73.01	46 of 50 - Sale <sup>25</sup>
	229.000	1,073.96	06/19/20	996.15	...	77.81	47 of 50 - Sale <sup>25</sup>
	1,000.000	3,899.79	06/19/20	3,800.00	...	99.79	48 of 50 - Sale <sup>25</sup>
	652.000	2,614.38	06/19/20	2,477.60	...	136.78	49 of 50 - Sale <sup>25</sup>
	900.000	3,608.81	06/19/20	3,465.00	...	143.81	50 of 50 - Sale <sup>25</sup>
06/19/20	9,964.000	40,286.73	Various	39,388.71	...	898.02	Total of 50 transactions
	51 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	119.000	475.97	06/19/20	510.51	34.54 W	0.00	1 of 51 - Sale <sup>25</sup>
	100.000	399.98	06/19/20	429.00	29.02 W	0.00	2 of 51 - Sale <sup>25</sup>
	281.000	1,123.94	06/19/20	1,208.30	84.36 W	0.00	3 of 51 - Sale <sup>25</sup>
	100.000	411.99	06/19/20	454.03	42.04 W	0.00	4 of 51 - Sale <sup>25</sup>
	81.000	333.71	06/19/20	367.76	34.05 W	0.00	5 of 51 - Sale <sup>25</sup>
	19.000	78.28	06/19/20	86.26	7.98 W	0.00	6 of 51 - Sale <sup>25</sup>
	19.000	77.90	06/19/20	86.26	8.36 W	0.00	7 of 51 - Sale <sup>25</sup>
	281.000	1,152.04	06/19/20	1,278.61	126.57 W	0.00	8 of 51 - Sale <sup>25</sup>
	19.000	77.90	06/19/20	83.98	6.08 W	0.00	9 of 51 - Sale <sup>25</sup>
	81.000	332.08	06/19/20	358.05	25.97 W	0.00	10 of 51 - Sale <sup>25</sup>
	100.000	409.97	06/19/20	442.04	32.07 W	0.00	11 of 51 - Sale <sup>25</sup>
	19.000	80.37	06/19/20	84.36	3.99 W	0.00	12 of 51 - Sale <sup>25</sup>
	281.000	1,188.56	06/19/20	1,250.57	62.01 W	0.00	13 of 51 - Sale <sup>25</sup>
	40.000	184.92	06/19/20	194.83	9.91 W	0.00	14 of 51 - Sale <sup>25</sup>
	60.000	277.39	06/19/20	291.52	14.13 W	0.00	15 of 51 - Sale <sup>25</sup>
	19.000	89.11	06/19/20	97.28	8.17 W	0.00	16 of 51 - Sale <sup>25</sup>
	61.000	286.08	06/19/20	312.36	26.28 W	0.00	17 of 51 - Sale <sup>25</sup>
	1.000	4.69	06/19/20	5.12	0.43 W	0.00	18 of 51 - Sale <sup>25</sup>
	2.000	9.38	06/19/20	10.24	0.86 W	0.00	19 of 51 - Sale <sup>25</sup>
	17.000	79.73	06/19/20	87.05	7.32 W	0.00	20 of 51 - Sale <sup>25</sup>
	19.000	89.11	06/19/20	95.19	6.08 W	0.00	21 of 51 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BEASLEY BROADCAST GROUP, INC. CLASS A COMMON STOCK / CUSIP: 074014101 / Symbol: (cont'd)							
	40.000	187.59	06/19/20	201.91	14.32 W	0.00	22 of 51 - Sale <sup>25</sup>
	60.000	281.39	06/19/20	302.13	20.74 W	0.00	23 of 51 - Sale <sup>25</sup>
	281.000	1,317.82	06/19/20	1,410.81	92.99 W	0.00	24 of 51 - Sale <sup>25</sup>
	210.000	984.85	06/19/20	997.50	12.65 W	0.00	25 of 51 - Sale <sup>25</sup>
	20.000	93.80	06/19/20	95.00	1.20 W	0.00	26 of 51 - Sale <sup>25</sup>
	40.000	187.59	06/19/20	190.00	2.41 W	0.00	27 of 51 - Sale <sup>25</sup>
	80.000	375.18	06/19/20	380.00	4.82 W	0.00	28 of 51 - Sale <sup>25</sup>
	186.000	872.29	06/19/20	883.50	11.21 W	0.00	29 of 51 - Sale <sup>25</sup>
	1.000	4.69	06/19/20	5.18	0.49 W	0.00	30 of 51 - Sale <sup>25</sup>
	2.000	9.38	06/19/20	10.36	0.98 W	0.00	31 of 51 - Sale <sup>25</sup>
	14.000	65.66	06/19/20	70.98	5.32 W	0.00	32 of 51 - Sale <sup>25</sup>
	17.000	79.73	06/19/20	88.07	8.34 W	0.00	33 of 51 - Sale <sup>25</sup>
	19.000	89.11	06/19/20	98.42	9.31 W	0.00	34 of 51 - Sale <sup>25</sup>
	61.000	286.08	06/19/20	316.03	29.95 W	0.00	35 of 51 - Sale <sup>25</sup>
	5.000	23.45	06/19/20	25.35	1.90 W	0.00	36 of 51 - Sale <sup>25</sup>
	24.000	112.55	06/19/20	122.30	9.75 W	0.00	37 of 51 - Sale <sup>25</sup>
	40.000	187.59	06/19/20	204.32	16.73 W	0.00	38 of 51 - Sale <sup>25</sup>
	281.000	1,317.82	06/19/20	1,427.74	109.92 W	0.00	39 of 51 - Sale <sup>25</sup>
	36.000	168.83	06/19/20	174.44	5.61 W	0.00	40 of 51 - Sale <sup>25</sup>
	1.000	4.70	06/19/20	5.11	0.41 W	0.00	41 of 51 - Sale <sup>25</sup>
	2.000	9.40	06/19/20	10.22	0.82 W	0.00	42 of 51 - Sale <sup>25</sup>
	5.000	23.50	06/19/20	25.00	1.50 W	0.00	43 of 51 - Sale <sup>25</sup>
	14.000	65.80	06/19/20	70.00	4.20 W	0.00	44 of 51 - Sale <sup>25</sup>
	17.000	79.90	06/19/20	86.88	6.98 W	0.00	45 of 51 - Sale <sup>25</sup>
	19.000	89.30	06/19/20	97.09	7.79 W	0.00	46 of 51 - Sale <sup>25</sup>
	24.000	112.79	06/19/20	120.63	7.84 W	0.00	47 of 51 - Sale <sup>25</sup>
	36.000	169.19	06/19/20	171.93	2.74 W	0.00	48 of 51 - Sale <sup>25</sup>
	40.000	187.99	06/19/20	201.53	13.54 W	0.00	49 of 51 - Sale <sup>25</sup>
	61.000	286.68	06/19/20	311.77	25.09 W	0.00	50 of 51 - Sale <sup>25</sup>
	281.000	1,320.63	06/19/20	1,408.14	87.51 W	0.00	51 of 51 - Sale <sup>25</sup>
06/19/20	3,636.000	16,158.38	Various	17,245.66	1,087.28 W	0.00	Total of 51 transactions
	<b>Security total:</b>	<b>56,445.11</b>		<b>56,634.37</b>	<b>1,087.28 W</b>	<b>898.02</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
4 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	30.000	617.38	10/02/20	617.04	...	0.34	1 of 4 - Sale <sup>25</sup>
	5.000	103.55	10/02/20	102.85	...	0.70	2 of 4 - Sale <sup>25</sup>
	20.000	411.59	10/02/20	408.75	...	2.84	3 of 4 - Sale <sup>25</sup>
	95.000	1,967.40	10/02/20	1,954.15	...	13.25	4 of 4 - Sale <sup>25</sup>
10/02/20	150.000	3,099.92	Various	3,082.79	...	17.13	Total of 4 transactions
10/02/20	30.000	616.48	10/02/20	620.39	3.91 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,716.40</b>		<b>3,703.18</b>	<b>3.91 W</b>	<b>17.13</b>	
BEST BUY COMPANY, INC. / CUSIP: 086516101 / Symbol:							
4 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	10.000	1,215.67	10/19/20	1,227.80	...	-12.13	1 of 4 - Sale <sup>25</sup>
	5.000	610.83	10/19/20	610.20	...	0.63	2 of 4 - Sale <sup>25</sup>
	10.000	1,219.07	10/19/20	1,211.70	...	7.37	3 of 4 - Sale <sup>25</sup>
	10.000	1,222.27	10/19/20	1,211.70	...	10.57	4 of 4 - Sale <sup>25</sup>
10/19/20	35.000	4,267.84	Various	4,261.40	...	6.44	Total of 4 transactions
11/27/20	10.000	1,134.22	11/25/20	1,138.35	...	-4.13	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,402.06</b>		<b>5,399.75</b>	...	<b>2.31</b>	
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
08/21/20	10.000	1,260.77	08/21/20	1,277.00	16.23 W	0.00	Sale <sup>25</sup>
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	10.000	1,355.57	08/27/20	1,349.33	...	6.24	1 of 2 - Sale <sup>25</sup>
	10.000	1,355.57	08/27/20	1,333.10	...	22.47	2 of 2 - Sale <sup>25</sup>
08/27/20	20.000	2,711.14	Various	2,682.43	...	28.71	Total of 2 transactions
09/04/20	1.000	129.92	09/03/20	136.00	6.08 W	0.00	Sale <sup>25</sup>
09/22/20	1.000	160.05	09/22/20	168.48	8.43 W	0.00	Sale <sup>25</sup>
8 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	151.32	09/25/20	160.01	8.69 W	0.00	1 of 8 - Sale <sup>25</sup>
	4.000	605.26	09/25/20	606.32	1.06 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	152.74	09/25/20	162.32	9.58 W	0.00	3 of 8 - Sale <sup>25</sup>
	4.000	610.94	09/25/20	615.60	4.66 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	763.68	09/25/20	768.17	4.49 W	0.00	5 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol: (cont'd)							
	1.000	153.05	09/25/20	163.40	10.35 W	0.00	6 of 8 - Sale <sup>25</sup>
	4.000	612.18	09/25/20	619.96	7.78 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	765.23	09/25/20	773.62	8.39 W	0.00	8 of 8 - Sale <sup>25</sup>
09/25/20	25.000	3,814.40	Various	3,869.40	55.00 W	0.00	Total of 8 transactions
	3 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	1.000	155.96	09/28/20	166.70	10.74 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	623.82	09/28/20	633.18	9.36 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	779.77	09/28/20	790.14	10.37 W	0.00	3 of 3 - Sale <sup>25</sup>
09/28/20	10.000	1,559.55	Various	1,590.02	30.47 W	0.00	Total of 3 transactions
	5 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	4.000	678.78	09/29/20	676.80	...	1.98	1 of 5 - Sale <sup>25</sup>
	2.000	337.00	09/29/20	330.95	...	6.05	2 of 5 - Sale <sup>25</sup>
	3.000	506.42	09/29/20	496.42	...	10.00	3 of 5 - Sale <sup>25</sup>
	4.000	675.22	09/29/20	662.96	...	12.26	4 of 5 - Sale <sup>25</sup>
	5.000	848.48	09/29/20	817.00	...	31.48	5 of 5 - Sale <sup>25</sup>
09/29/20	18.000	3,045.90	Various	2,984.13	...	61.77	Total of 5 transactions
	10 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	1.000	168.81	09/29/20	174.14	5.33 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	169.70	09/29/20	174.53	4.83 W	0.00	2 of 10 - Sale <sup>25</sup>
	11.000	1,842.45	09/29/20	1,866.80	24.35 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	167.40	09/29/20	174.54	7.14 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	167.40	09/29/20	169.71	2.31 W	0.00	5 of 10 - Sale <sup>25</sup>
	22.000	3,682.71	09/29/20	3,733.60	50.89 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	168.65	09/29/20	175.84	7.19 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	168.65	09/29/20	171.01	2.36 W	0.00	8 of 10 - Sale <sup>25</sup>
	3.000	505.96	09/29/20	513.04	7.08 W	0.00	9 of 10 - Sale <sup>25</sup>
	3.000	497.46	09/29/20	502.99	5.53 W	0.00	10 of 10 - Sale <sup>25</sup>
09/29/20	45.000	7,539.19	Various	7,656.20	117.01 W	0.00	Total of 10 transactions
09/30/20	6.000	999.65	09/30/20	1,024.88	25.23 W	0.00	Sale <sup>25</sup>
10/01/20	10.000	1,703.31	10/01/20	1,709.77	6.46 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol: (cont'd)							
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	5.000	876.26	10/05/20	870.56	...	5.70	1 of 2 - Sale <sup>25</sup>
	5.000	876.25	10/05/20	869.93	...	6.32	2 of 2 - Sale <sup>25</sup>
10/05/20	10.000	1,752.51	Various	1,740.49	...	12.02	Total of 2 transactions
30 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	10.000	1,738.76	10/05/20	1,762.10	23.34 W	0.00	1 of 30 - Sale <sup>25</sup>
	1.000	173.88	10/05/20	181.32	7.44 W	0.00	2 of 30 - Sale <sup>25</sup>
	1.000	173.88	10/05/20	176.34	2.46 W	0.00	3 of 30 - Sale <sup>25</sup>
	1.000	173.88	10/05/20	176.49	2.61 W	0.00	4 of 30 - Sale <sup>25</sup>
	3.000	521.63	10/05/20	529.45	7.82 W	0.00	5 of 30 - Sale <sup>25</sup>
	3.000	521.62	10/05/20	527.91	6.29 W	0.00	6 of 30 - Sale <sup>25</sup>
	5.000	869.38	10/05/20	873.85	4.47 W	0.00	7 of 30 - Sale <sup>25</sup>
	6.000	1,043.25	10/05/20	1,069.98	26.73 W	0.00	8 of 30 - Sale <sup>25</sup>
	5.000	866.43	10/05/20	871.54	5.11 W	0.00	9 of 30 - Sale <sup>25</sup>
	5.000	866.43	10/05/20	879.98	13.55 W	0.00	10 of 30 - Sale <sup>25</sup>
	1.000	173.29	10/05/20	181.11	7.82 W	0.00	11 of 30 - Sale <sup>25</sup>
	1.000	173.29	10/05/20	176.13	2.84 W	0.00	12 of 30 - Sale <sup>25</sup>
	1.000	173.28	10/05/20	176.28	3.00 W	0.00	13 of 30 - Sale <sup>25</sup>
	2.000	346.57	10/05/20	352.54	5.97 W	0.00	14 of 30 - Sale <sup>25</sup>
	5.000	866.43	10/05/20	880.02	13.59 W	0.00	15 of 30 - Sale <sup>25</sup>
	1.000	173.74	10/05/20	176.09	2.35 W	0.00	16 of 30 - Sale <sup>25</sup>
	2.000	347.49	10/05/20	351.15	3.66 W	0.00	17 of 30 - Sale <sup>25</sup>
	1.000	173.75	10/05/20	175.58	1.83 W	0.00	18 of 30 - Sale <sup>25</sup>
	6.000	1,042.47	10/05/20	1,067.61	25.14 W	0.00	19 of 30 - Sale <sup>25</sup>
	1.000	175.00	10/05/20	182.66	7.66 W	0.00	20 of 30 - Sale <sup>25</sup>
	4.000	699.99	10/05/20	710.23	10.24 W	0.00	21 of 30 - Sale <sup>25</sup>
	5.000	874.98	10/05/20	887.75	12.77 W	0.00	22 of 30 - Sale <sup>25</sup>
	1.000	175.00	10/05/20	177.72	2.72 W	0.00	23 of 30 - Sale <sup>25</sup>
	1.000	175.00	10/05/20	177.84	2.84 W	0.00	24 of 30 - Sale <sup>25</sup>
	1.000	174.99	10/05/20	178.00	3.01 W	0.00	25 of 30 - Sale <sup>25</sup>
	1.000	175.00	10/05/20	177.35	2.35 W	0.00	26 of 30 - Sale <sup>25</sup>
	1.000	174.99	10/05/20	176.83	1.84 W	0.00	27 of 30 - Sale <sup>25</sup>
	1.000	175.00	10/05/20	179.18	4.18 W	0.00	28 of 30 - Sale <sup>25</sup>
	2.000	349.99	10/05/20	355.96	5.97 W	0.00	29 of 30 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol: (cont'd)							
10/05/20	2.000	349.99	10/05/20	353.65	3.66 W	0.00	30 of 30 - Sale <sup>25</sup>
	80.000	13,919.38	Various	14,142.64	223.26 W	0.00	Total of 30 transactions
	27 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	183.90	10/06/20	191.51	7.61 W	0.00	1 of 27 - Sale <sup>25</sup>
	4.000	735.60	10/06/20	745.64	10.04 W	0.00	2 of 27 - Sale <sup>25</sup>
	5.000	919.50	10/06/20	940.22	20.72 W	0.00	3 of 27 - Sale <sup>25</sup>
	1.000	183.90	10/06/20	186.15	2.25 W	0.00	4 of 27 - Sale <sup>25</sup>
	1.000	183.90	10/06/20	186.32	2.42 W	0.00	5 of 27 - Sale <sup>25</sup>
	1.000	183.90	10/06/20	186.44	2.54 W	0.00	6 of 27 - Sale <sup>25</sup>
	1.000	183.90	10/06/20	186.61	2.71 W	0.00	7 of 27 - Sale <sup>25</sup>
	2.000	367.81	10/06/20	373.17	5.36 W	0.00	8 of 27 - Sale <sup>25</sup>
	4.000	735.61	10/06/20	744.64	9.03 W	0.00	9 of 27 - Sale <sup>25</sup>
	1.000	184.35	10/06/20	191.30	6.95 W	0.00	10 of 27 - Sale <sup>25</sup>
	1.000	184.34	10/06/20	186.04	1.70 W	0.00	11 of 27 - Sale <sup>25</sup>
	1.000	184.35	10/06/20	185.53	1.18 W	0.00	12 of 27 - Sale <sup>25</sup>
	1.000	184.34	10/06/20	187.87	3.53 W	0.00	13 of 27 - Sale <sup>25</sup>
	2.000	368.69	10/06/20	371.04	2.35 W	0.00	14 of 27 - Sale <sup>25</sup>
	4.000	737.38	10/06/20	751.32	13.94 W	0.00	15 of 27 - Sale <sup>25</sup>
	1.000	184.33	10/06/20	189.14	4.81 W	0.00	16 of 27 - Sale <sup>25</sup>
	1.000	184.34	10/06/20	187.25	2.91 W	0.00	17 of 27 - Sale <sup>25</sup>
	4.000	737.34	10/06/20	750.04	12.70 W	0.00	18 of 27 - Sale <sup>25</sup>
	4.000	737.34	10/06/20	749.03	11.69 W	0.00	19 of 27 - Sale <sup>25</sup>
	1.000	183.90	10/06/20	191.62	7.72 W	0.00	20 of 27 - Sale <sup>25</sup>
	1.000	183.89	10/06/20	187.09	3.20 W	0.00	21 of 27 - Sale <sup>25</sup>
	1.000	183.90	10/06/20	187.21	3.31 W	0.00	22 of 27 - Sale <sup>25</sup>
	1.000	183.89	10/06/20	187.38	3.49 W	0.00	23 of 27 - Sale <sup>25</sup>
	1.000	183.90	10/06/20	186.38	2.48 W	0.00	24 of 27 - Sale <sup>25</sup>
	1.000	183.89	10/06/20	185.85	1.96 W	0.00	25 of 27 - Sale <sup>25</sup>
	2.000	367.79	10/06/20	374.71	6.92 W	0.00	26 of 27 - Sale <sup>25</sup>
	2.000	367.79	10/06/20	371.70	3.91 W	0.00	27 of 27 - Sale <sup>25</sup>
10/06/20	50.000	9,203.77	Various	9,361.20	157.43 W	0.00	Total of 27 transactions
	4 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	189.85	10/07/20	194.12	4.27 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	189.85	10/07/20	195.40	5.55 W	0.00	2 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol: (cont'd)							
	1.000	189.84	10/07/20	193.77	3.93 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	759.38	10/07/20	776.30	16.92 W	0.00	4 of 4 - Sale <sup>25</sup>
10/07/20	7.000	1,328.92	Various	1,359.59	30.67 W	0.00	Total of 4 transactions
	13 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	1.000	149.18	10/30/20	158.72	9.54 W	0.00	1 of 13 - Sale <sup>25</sup>
	1.000	149.17	10/30/20	153.91	4.74 W	0.00	2 of 13 - Sale <sup>25</sup>
	1.000	149.18	10/30/20	154.20	5.02 W	0.00	3 of 13 - Sale <sup>25</sup>
	3.000	447.53	10/30/20	462.52	14.99 W	0.00	4 of 13 - Sale <sup>25</sup>
	4.000	596.70	10/30/20	615.69	18.99 W	0.00	5 of 13 - Sale <sup>25</sup>
	1.000	148.52	10/30/20	153.56	5.04 W	0.00	6 of 13 - Sale <sup>25</sup>
	1.000	148.52	10/30/20	153.74	5.22 W	0.00	7 of 13 - Sale <sup>25</sup>
	1.000	148.52	10/30/20	152.73	4.21 W	0.00	8 of 13 - Sale <sup>25</sup>
	1.000	148.51	10/30/20	152.21	3.70 W	0.00	9 of 13 - Sale <sup>25</sup>
	1.000	148.52	10/30/20	154.52	6.00 W	0.00	10 of 13 - Sale <sup>25</sup>
	1.000	148.51	10/30/20	154.48	5.97 W	0.00	11 of 13 - Sale <sup>25</sup>
	2.000	297.03	10/30/20	307.42	10.39 W	0.00	12 of 13 - Sale <sup>25</sup>
	2.000	297.03	10/30/20	304.41	7.38 W	0.00	13 of 13 - Sale <sup>25</sup>
10/30/20	20.000	2,976.92	Various	3,078.11	101.19 W	0.00	Total of 13 transactions
	3 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	1.000	156.70	11/04/20	154.60	...	2.10	1 of 3 - Sale <sup>25</sup>
	1.000	156.71	11/04/20	152.98	...	3.73	2 of 3 - Sale <sup>25</sup>
	3.000	470.12	11/04/20	459.84	...	10.28	3 of 3 - Sale <sup>25</sup>
11/05/20	5.000	783.53	Various	767.42	...	16.11	Total of 3 transactions
	13 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	2.000	308.45	11/09/20	342.80	...	-34.35	1 of 13 - Sale <sup>25</sup>
	2.000	308.45	11/09/20	339.79	...	-31.34	2 of 13 - Sale <sup>25</sup>
	4.000	595.98	11/09/20	618.19	...	-22.21	3 of 13 - Sale <sup>25</sup>
	1.000	154.22	11/09/20	172.20	...	-17.98	4 of 13 - Sale <sup>25</sup>
	1.000	154.22	11/09/20	172.18	...	-17.96	5 of 13 - Sale <sup>25</sup>
	3.000	446.99	11/09/20	464.39	...	-17.40	6 of 13 - Sale <sup>25</sup>
	1.000	154.22	11/09/20	171.42	...	-17.20	7 of 13 - Sale <sup>25</sup>
	1.000	154.22	11/09/20	171.24	...	-17.02	8 of 13 - Sale <sup>25</sup>
	1.000	154.22	11/09/20	170.41	...	-16.19	9 of 13 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol: (cont'd)							
	1.000	154.22	11/09/20	169.91	...	-15.69	10 of 13 - Sale <sup>25</sup>
	1.000	149.00	11/09/20	159.34	...	-10.34	11 of 13 - Sale <sup>25</sup>
	1.000	148.99	11/09/20	154.82	...	-5.83	12 of 13 - Sale <sup>25</sup>
	1.000	149.00	11/09/20	154.54	...	-5.54	13 of 13 - Sale <sup>25</sup>
11/09/20	20.000	3,032.18	Various	3,261.23	...	-229.05	Total of 13 transactions
	<b>Security total:</b>	<b>55,921.09</b>		<b>56,808.99</b>	<b>777.46 W</b>	<b>-110.44</b>	
BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol:							
09/04/20	10.000	999.97	09/04/20	1,026.15	...	-26.18	Sale <sup>25</sup>
11/25/20	5.000	382.01	11/23/20	360.20	...	21.81	Sale <sup>25</sup>
	4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	2.000	166.52	12/09/20	167.60	1.08 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	832.58	12/09/20	837.60	5.02 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	832.58	12/09/20	836.74	4.16 W	0.00	3 of 4 - Sale <sup>25</sup>
	6.000	499.54	12/09/20	502.20	2.66 W	0.00	4 of 4 - Sale <sup>25</sup>
12/09/20	28.000	2,331.22	Various	2,344.14	12.92 W	0.00	Total of 4 transactions
	7 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	2.000	140.30	12/15/20	142.88	2.58 W	0.00	1 of 7 - Sale <sup>25</sup>
	10.000	701.48	12/15/20	714.02	12.54 W	0.00	2 of 7 - Sale <sup>25</sup>
	6.000	420.89	12/15/20	427.90	7.01 W	0.00	3 of 7 - Sale <sup>25</sup>
	6.000	420.89	12/15/20	428.06	7.17 W	0.00	4 of 7 - Sale <sup>25</sup>
	4.000	280.59	12/15/20	285.26	4.67 W	0.00	5 of 7 - Sale <sup>25</sup>
	40.000	2,805.94	12/15/20	2,836.00	30.06 W	0.00	6 of 7 - Sale <sup>25</sup>
	32.000	2,244.75	12/15/20	2,268.80	24.05 W	0.00	7 of 7 - Sale <sup>25</sup>
12/15/20	100.000	7,014.84	Various	7,102.92	88.08 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>10,728.04</b>		<b>10,833.41</b>	<b>101.00 W</b>	<b>-4.37</b>	
BILIBILI INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 090040106 / Symbol:							
	2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	1.000	38.10	06/15/20	37.95	...	0.15	1 of 2 - Sale <sup>25</sup>
	49.000	1,866.85	06/15/20	1,859.55	...	7.30	2 of 2 - Sale <sup>25</sup>
06/15/20	50.000	1,904.95	Various	1,897.50	...	7.45	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BILIBILI INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 090040106 / Symbol: (cont'd)							
13 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	40.72	06/17/20	40.70	...	0.02	1 of 13 - Sale <sup>25</sup>
	1.000	41.40	06/17/20	41.36	...	0.04	2 of 13 - Sale <sup>25</sup>
	3.000	124.20	06/17/20	124.08	...	0.12	3 of 13 - Sale <sup>25</sup>
	4.000	165.60	06/17/20	165.39	...	0.21	4 of 13 - Sale <sup>25</sup>
	10.000	414.00	06/17/20	413.60	...	0.40	5 of 13 - Sale <sup>25</sup>
	8.000	331.20	06/17/20	330.78	...	0.42	6 of 13 - Sale <sup>25</sup>
	9.000	372.60	06/17/20	372.13	...	0.47	7 of 13 - Sale <sup>25</sup>
	9.000	372.60	06/17/20	372.12	...	0.48	8 of 13 - Sale <sup>25</sup>
	49.000	1,995.23	06/17/20	1,994.30	...	0.93	9 of 13 - Sale <sup>25</sup>
	20.000	827.98	06/17/20	826.95	...	1.03	10 of 13 - Sale <sup>25</sup>
	36.000	1,490.36	06/17/20	1,488.96	...	1.40	11 of 13 - Sale <sup>25</sup>
	50.000	2,069.95	06/17/20	2,063.60	...	6.35	12 of 13 - Sale <sup>25</sup>
	50.000	2,069.94	06/17/20	2,060.87	...	9.07	13 of 13 - Sale <sup>25</sup>
06/17/20	250.000	10,315.78	Various	10,294.84	...	20.94	Total of 13 transactions
5 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	20.000	960.37	11/06/20	966.53	...	-6.16	1 of 5 - Sale <sup>25</sup>
	9.000	432.17	11/06/20	433.43	...	-1.26	2 of 5 - Sale <sup>25</sup>
	1.000	48.02	11/06/20	48.16	...	-0.14	3 of 5 - Sale <sup>25</sup>
	10.000	479.40	11/06/20	473.50	...	5.90	4 of 5 - Sale <sup>25</sup>
	10.000	479.41	11/06/20	464.00	...	15.41	5 of 5 - Sale <sup>25</sup>
11/06/20	50.000	2,399.37	Various	2,385.62	...	13.75	Total of 5 transactions
7 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	20.000	955.97	11/06/20	964.48	8.51 W	0.00	1 of 7 - Sale <sup>25</sup>
	10.000	477.99	11/06/20	480.57	2.58 W	0.00	2 of 7 - Sale <sup>25</sup>
	20.000	955.97	11/06/20	959.32	3.35 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	47.66	11/06/20	48.23	0.57 W	0.00	4 of 7 - Sale <sup>25</sup>
	20.000	953.18	11/06/20	967.91	14.73 W	0.00	5 of 7 - Sale <sup>25</sup>
	9.000	428.93	11/06/20	434.05	5.12 W	0.00	6 of 7 - Sale <sup>25</sup>
	20.000	953.17	11/06/20	959.40	6.23 W	0.00	7 of 7 - Sale <sup>25</sup>
11/06/20	100.000	4,772.87	Various	4,813.96	41.09 W	0.00	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BILIBILI INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 090040106 / Symbol: (cont'd)							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	2.000	115.18	11/19/20	109.30	...	5.88	1 of 2 - Sale <sup>25</sup>
	8.000	460.73	11/19/20	437.67	...	23.06	2 of 2 - Sale <sup>25</sup>
11/19/20	10.000	575.91	Various	546.97	...	28.94	Total of 2 transactions
11/19/20	10.000	576.88	11/19/20	578.02	1.14 W	0.00	Sale <sup>25</sup>
12/08/20	10.000	750.23	12/08/20	758.44	8.21 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>21,295.99</b>		<b>21,275.35</b>	<b>50.44 W</b>	<b>71.08</b>	
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	10.000	45.80	06/26/20	45.50	...	0.30	1 of 2 - Sale <sup>25</sup>
	20.000	91.60	06/26/20	90.40	...	1.20	2 of 2 - Sale <sup>25</sup>
06/26/20	30.000	137.40	Various	135.90	...	1.50	Total of 2 transactions
BIOGEN INC. COMMON STOCK / CUSIP: 09062X103 / Symbol:							
07/22/20	20.000	5,681.87	07/22/20	5,698.00	...	-16.13	Sale <sup>25</sup>
2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	5.000	1,352.08	10/21/20	1,340.05	...	12.03	1 of 2 - Sale <sup>25</sup>
	5.000	1,348.02	10/21/20	1,333.85	...	14.17	2 of 2 - Sale <sup>25</sup>
10/21/20	10.000	2,700.10	Various	2,673.90	...	26.20	Total of 2 transactions
10/22/20	3.000	801.46	10/21/20	806.76	5.30 W	0.00	Sale <sup>25</sup>
2 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	7.000	2,417.40	11/04/20	2,446.88	...	-29.48	1 of 2 - Sale <sup>25</sup>
	3.000	1,036.03	11/04/20	1,053.97	...	-17.94	2 of 2 - Sale <sup>25</sup>
11/04/20	10.000	3,453.43	Various	3,500.85	...	-47.42	Total of 2 transactions
<b>Security total:</b>		<b>12,636.86</b>		<b>12,679.51</b>	<b>5.30 W</b>	<b>-37.35</b>	
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
10 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	500.000	479.93	06/18/20	494.23	...	-14.30	1 of 10 - Sale <sup>25</sup>
	500.000	479.93	06/18/20	491.78	...	-11.85	2 of 10 - Sale <sup>25</sup>
	500.000	479.92	06/18/20	482.22	...	-2.30	3 of 10 - Sale <sup>25</sup>
	30.000	28.20	06/18/20	27.90	...	0.30	4 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol: (cont'd)							
	70.000	65.79	06/18/20	65.10	...	0.69	5 of 10 - Sale <sup>25</sup>
	100.000	93.99	06/18/20	93.00	...	0.99	6 of 10 - Sale <sup>25</sup>
	370.000	347.75	06/18/20	344.10	...	3.65	7 of 10 - Sale <sup>25</sup>
	430.000	404.15	06/18/20	399.90	...	4.25	8 of 10 - Sale <sup>25</sup>
	500.000	479.93	06/18/20	475.00	...	4.93	9 of 10 - Sale <sup>25</sup>
	2,000.000	1,879.72	06/18/20	1,859.80	...	19.92	10 of 10 - Sale <sup>25</sup>
06/18/20	5,000.000	4,739.31	Various	4,733.03	...	6.28	Total of 10 transactions
	5 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	500.000	454.94	06/18/20	461.00	6.06 W	0.00	1 of 5 - Sale <sup>25</sup>
	500.000	452.49	06/18/20	461.00	8.51 W	0.00	2 of 5 - Sale <sup>25</sup>
	500.000	450.28	06/18/20	464.56	14.28 W	0.00	3 of 5 - Sale <sup>25</sup>
	500.000	450.28	06/18/20	467.01	16.73 W	0.00	4 of 5 - Sale <sup>25</sup>
	500.000	450.28	06/18/20	457.50	7.22 W	0.00	5 of 5 - Sale <sup>25</sup>
06/18/20	2,500.000	2,258.27	Various	2,311.07	52.80 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>6,997.58</b>		<b>7,044.10</b>	<b>52.80 W</b>	<b>6.28</b>	
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
12/03/20	10.000	1,187.77	12/03/20	1,178.80	...	8.97	Sale <sup>25</sup>
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
	2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	50.000	396.60	12/04/20	387.92	...	8.68	1 of 2 - Sale <sup>25</sup>
	50.000	396.59	12/04/20	383.00	...	13.59	2 of 2 - Sale <sup>25</sup>
12/04/20	100.000	793.19	Various	770.92	...	22.27	Total of 2 transactions
	2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	50.000	392.58	12/01/20	397.50	4.92 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	396.59	12/01/20	397.50	0.91 W	0.00	2 of 2 - Sale <sup>25</sup>
12/04/20	100.000	789.17	Various	795.00	5.83 W	0.00	Total of 2 transactions
	4 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	100.000	819.97	12/07/20	843.01	...	-23.04	1 of 4 - Sale <sup>25</sup>
	50.000	409.98	12/07/20	422.42	...	-12.44	2 of 4 - Sale <sup>25</sup>
	50.000	409.98	12/07/20	421.52	...	-11.54	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol: (cont'd)							
	100.000	819.97	12/07/20	830.00	...	-10.03	4 of 4 - Sale <sup>25</sup>
12/07/20	300.000	2,459.90	Various	2,516.95	...	-57.05	Total of 4 transactions
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	50.000	409.99	12/07/20	417.41	7.42 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	409.98	12/07/20	416.50	6.52 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	819.97	12/07/20	832.98	13.01 W	0.00	3 of 3 - Sale <sup>25</sup>
12/07/20	200.000	1,639.94	Various	1,666.89	26.95 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>5,682.20</b>		<b>5,749.76</b>	<b>32.78 W</b>	<b>-34.78</b>	
BLACKLINE, INC. COMMON STOCK / CUSIP: 09239B109 / Symbol:							
3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	97.78	10/07/20	96.80	...	0.98	1 of 3 - Sale <sup>25</sup>
	1.000	97.78	10/07/20	96.80	...	0.98	2 of 3 - Sale <sup>25</sup>
	3.000	293.34	10/07/20	290.40	...	2.94	3 of 3 - Sale <sup>25</sup>
10/08/20	5.000	488.90	Various	484.00	...	4.90	Total of 3 transactions
THE BLACKSTONE GROUP INC. / CUSIP: 09260D107 / Symbol:							
11/09/20	30.000	1,694.06	11/09/20	1,701.00	6.94 W	0.00	Sale <sup>25</sup>
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	30.000	1,717.19	11/09/20	1,707.94	...	9.25	1 of 2 - Sale <sup>25</sup>
	70.000	4,006.78	11/09/20	3,969.31	...	37.47	2 of 2 - Sale <sup>25</sup>
11/10/20	100.000	5,723.97	Various	5,677.25	...	46.72	Total of 2 transactions
	<b>Security total:</b>	<b>7,418.03</b>		<b>7,378.25</b>	<b>6.94 W</b>	<b>46.72</b>	
H&R BLOCK, INC. / CUSIP: 093671105 / Symbol:							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	400.000	6,551.80	06/18/20	6,551.96	...	-0.16	1 of 3 - Sale <sup>25</sup>
	100.000	1,637.95	06/18/20	1,637.99	...	-0.04	2 of 3 - Sale <sup>25</sup>
	1.000	16.38	06/18/20	16.38	...	0.00	3 of 3 - Sale <sup>25</sup>
06/18/20	501.000	8,206.13	Various	8,206.33	...	-0.20	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
01/28/20	2.000	630.10	01/13/20	664.00	33.90 W	0.00	Sale <sup>25</sup>
	6 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	2.000	269.45	01/13/20	694.90	...	-425.45	1 of 6 - Sale <sup>25</sup>
	1.000	134.73	01/13/20	332.00	...	-197.27	2 of 6 - Sale <sup>25</sup>
	1.000	134.73	03/17/20	109.60	...	25.13	3 of 6 - Sale <sup>25</sup>
	1.000	134.73	03/17/20	108.60	...	26.13	4 of 6 - Sale <sup>25</sup>
	5.000	673.63	03/17/20	551.80	...	121.83	5 of 6 - Sale <sup>25</sup>
	4.000	538.90	03/25/20	671.20	...	-132.30	6 of 6 - Sale <sup>25</sup>
05/18/20	14.000	1,886.17	Various	2,468.10	...	-581.93	Total of 6 transactions
	13 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	1.000	184.95	06/23/20	184.80	...	0.15	1 of 13 - Sale <sup>25</sup>
	1.000	184.95	06/23/20	184.80	...	0.15	2 of 13 - Sale <sup>25</sup>
	1.000	184.95	06/23/20	184.60	...	0.35	3 of 13 - Sale <sup>25</sup>
	3.000	554.84	06/23/20	554.46	...	0.38	4 of 13 - Sale <sup>25</sup>
	1.000	184.95	06/23/20	184.20	...	0.75	5 of 13 - Sale <sup>25</sup>
	7.000	1,294.62	06/23/20	1,293.74	...	0.88	6 of 13 - Sale <sup>25</sup>
	10.000	1,849.46	06/23/20	1,848.23	...	1.23	7 of 13 - Sale <sup>25</sup>
	10.000	1,849.46	06/23/20	1,848.20	...	1.26	8 of 13 - Sale <sup>25</sup>
	9.000	1,664.51	06/23/20	1,663.20	...	1.31	9 of 13 - Sale <sup>25</sup>
	9.000	1,664.51	06/23/20	1,663.20	...	1.31	10 of 13 - Sale <sup>25</sup>
	9.000	1,664.51	06/23/20	1,661.40	...	3.11	11 of 13 - Sale <sup>25</sup>
	10.000	1,849.45	06/23/20	1,845.50	...	3.95	12 of 13 - Sale <sup>25</sup>
	9.000	1,664.50	06/23/20	1,657.80	...	6.70	13 of 13 - Sale <sup>25</sup>
06/23/20	80.000	14,795.66	Various	14,774.13	...	21.53	Total of 13 transactions
	3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	10.000	1,849.46	06/23/20	1,850.40	0.94 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	184.95	06/23/20	185.05	0.10 W	0.00	2 of 3 - Sale <sup>25</sup>
	9.000	1,664.51	06/23/20	1,665.45	0.94 W	0.00	3 of 3 - Sale <sup>25</sup>
06/23/20	20.000	3,698.92	Various	3,700.90	1.98 W	0.00	Total of 3 transactions
	4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	1.000	186.54	07/06/20	186.40	...	0.14	1 of 4 - Sale <sup>25</sup>
	1.000	186.54	07/06/20	186.39	...	0.15	2 of 4 - Sale <sup>25</sup>
	9.000	1,678.82	07/06/20	1,677.64	...	1.18	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
	9.000	1,678.82	07/06/20	1,677.55	...	1.27	4 of 4 - Sale <sup>25</sup>
07/06/20	20.000	3,730.72	Various	3,727.98	...	2.74	Total of 4 transactions
11/09/20	15.000	2,735.93	07/07/20	2,720.12	...	15.81	Sale <sup>25</sup>
11/09/20	5.000	872.58	07/07/20	906.71	34.13 W	0.00	Sale <sup>25</sup>
11/13/20	5.000	920.55	11/13/20	944.97	24.42 W	0.00	Sale <sup>25</sup>
12/02/20	5.000	1,095.55	12/02/20	1,085.50	...	10.05	Sale <sup>25</sup>
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	5.000	1,095.56	12/02/20	1,109.92	14.36 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	2,191.11	12/02/20	2,191.60	0.49 W	0.00	2 of 2 - Sale <sup>25</sup>
12/02/20	15.000	3,286.67	Various	3,301.52	14.85 W	0.00	Total of 2 transactions
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	5.000	1,202.84	12/02/20	1,117.61	...	85.23	1 of 2 - Sale <sup>25</sup>
	5.000	1,202.83	12/02/20	1,103.50	...	99.33	2 of 2 - Sale <sup>25</sup>
12/03/20	10.000	2,405.67	Various	2,221.11	...	184.56	Total of 2 transactions
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	5.000	1,168.97	12/11/20	1,175.97	...	-7.00	1 of 2 - Sale <sup>25</sup>
	5.000	1,168.97	12/11/20	1,175.73	...	-6.76	2 of 2 - Sale <sup>25</sup>
12/11/20	10.000	2,337.94	Various	2,351.70	...	-13.76	Total of 2 transactions
	<b>Security total:</b>	<b>38,396.46</b>		<b>38,866.74</b>	<b>109.28 W</b>	<b>-361.00</b>	
BOSTON SCIENTIFIC CORP. / CUSIP: 101137107 / Symbol:							
01/24/20	5.000	215.45	01/17/20	221.25	5.80 W	0.00	Sale <sup>25</sup>
2 transactions for 01/30/20. Total proceeds and cost reported to the IRS.							
	5.000	216.99	01/28/20	220.38	...	-3.39	1 of 2 - Sale <sup>25</sup>
	13.000	564.19	01/28/20	557.89	...	6.30	2 of 2 - Sale <sup>25</sup>
01/30/20	18.000	781.18	Various	778.27	...	2.91	Total of 2 transactions
01/30/20	2.000	86.80	01/17/20	88.50	1.70 W	0.00	Sale <sup>25</sup>
02/05/20	2.000	85.90	01/28/20	87.53	...	-1.63	Sale <sup>25</sup>
08/28/20	10.000	404.52	08/27/20	409.80	...	-5.28	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,573.85</b>		<b>1,585.35</b>	<b>7.50 W</b>	<b>-4.00</b>	
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol:							
08/12/20	200.000	495.98	08/12/20	502.00	...	-6.02	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BRIGHTCOVE INC. COMMON STOCK / CUSIP: 10921T101 / Symbol:							
3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	60.000	1,023.45	11/30/20	1,046.40	22.95 W	0.00	1 of 3 - Sale <sup>25</sup>
	60.000	997.63	11/30/20	1,034.55	36.92 W	0.00	2 of 3 - Sale <sup>25</sup>
	40.000	665.08	11/30/20	674.40	9.32 W	0.00	3 of 3 - Sale <sup>25</sup>
11/30/20	160.000	2,686.16	Various	2,755.35	69.19 W	0.00	Total of 3 transactions
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	60.000	1,070.97	12/09/20	1,110.92	...	-39.95	1 of 2 - Sale <sup>25</sup>
	40.000	713.98	12/09/20	725.32	...	-11.34	2 of 2 - Sale <sup>25</sup>
12/09/20	100.000	1,784.95	Various	1,836.24	...	-51.29	Total of 2 transactions
	<b>Security total:</b>	<b>4,471.11</b>		<b>4,591.59</b>	<b>69.19 W</b>	<b>-51.29</b>	
BRISTOL-MYERS SQUIBB CO. / CUSIP: 110122108 / Symbol:							
01/08/20	5.000	321.08	10/25/19	276.00	...	45.08	Sale <sup>25</sup>
09/29/20	20.000	1,201.62	09/29/20	1,201.97	...	-0.35	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,522.70</b>		<b>1,477.97</b>	...	<b>44.73</b>	
BRITISH AMERICAN TOBACCO P.L.C . AMERICAN DEPOSITARY SHARES, AMERICAN DEPOSITARY SHARES, EA / CUSIP: 110448107 / Symbol:							
3 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	5.000	222.52	10/21/19	172.70	...	49.82	1 of 3 - Sale <sup>25</sup>
	7.000	311.53	11/05/19	251.65	...	59.88	2 of 3 - Sale <sup>25</sup>
	8.000	356.03	11/13/19	297.56	...	58.47	3 of 3 - Sale <sup>25</sup>
01/24/20	20.000	890.08	Various	721.91	...	168.17	Total of 3 transactions
4 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	2.000	74.64	11/13/19	74.39	...	0.25	1 of 4 - Sale <sup>25</sup>
	2.000	74.64	01/16/20	90.26	...	-15.62	2 of 4 - Sale <sup>25</sup>
	20.000	746.38	01/28/20	877.00	...	-130.62	3 of 4 - Sale <sup>25</sup>
	7.000	261.23	01/29/20	308.32	...	-47.09	4 of 4 - Sale <sup>25</sup>
04/17/20	31.000	1,156.89	Various	1,349.97	...	-193.08	Total of 4 transactions
04/23/20	1.000	36.33	01/29/20	44.04	...	-7.71	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,083.30</b>		<b>2,115.92</b>	...	<b>-32.62</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BROADWAY FINANCIAL CORPORATION COMMON STOCK / CUSIP: 111444105 / Symbol:							
26 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	200.000	699.96	06/19/20	752.08	...	-52.12	1 of 26 - Sale <sup>25</sup>
	100.000	349.98	06/19/20	391.06	...	-41.08	2 of 26 - Sale <sup>25</sup>
	97.000	339.48	06/19/20	380.31	...	-40.83	3 of 26 - Sale <sup>25</sup>
	100.000	349.98	06/19/20	376.03	...	-26.05	4 of 26 - Sale <sup>25</sup>
	160.000	559.97	06/19/20	572.86	...	-12.89	5 of 26 - Sale <sup>25</sup>
	37.000	129.49	06/19/20	139.13	...	-9.64	6 of 26 - Sale <sup>25</sup>
	37.000	129.49	06/19/20	132.48	...	-2.99	7 of 26 - Sale <sup>25</sup>
	3.000	10.50	06/19/20	11.82	...	-1.32	8 of 26 - Sale <sup>25</sup>
	6.000	21.00	06/19/20	22.08	...	-1.08	9 of 26 - Sale <sup>25</sup>
	3.000	10.50	06/19/20	10.77	...	-0.27	10 of 26 - Sale <sup>25</sup>
	28.000	103.03	06/19/20	102.76	...	0.27	11 of 26 - Sale <sup>25</sup>
	43.000	158.24	06/19/20	157.81	...	0.43	12 of 26 - Sale <sup>25</sup>
	67.000	246.55	06/19/20	245.89	...	0.66	13 of 26 - Sale <sup>25</sup>
	83.000	305.42	06/19/20	304.61	...	0.81	14 of 26 - Sale <sup>25</sup>
	100.000	367.98	06/19/20	367.00	...	0.98	15 of 26 - Sale <sup>25</sup>
	100.000	367.99	06/19/20	367.00	...	0.99	16 of 26 - Sale <sup>25</sup>
	116.000	426.86	06/19/20	425.72	...	1.14	17 of 26 - Sale <sup>25</sup>
	174.000	640.28	06/19/20	638.58	...	1.70	18 of 26 - Sale <sup>25</sup>
	97.000	339.48	06/19/20	337.58	...	1.90	19 of 26 - Sale <sup>25</sup>
	200.000	735.96	06/19/20	734.00	...	1.96	20 of 26 - Sale <sup>25</sup>
	305.000	1,122.33	06/19/20	1,119.35	...	2.98	21 of 26 - Sale <sup>25</sup>
	500.000	1,844.89	06/19/20	1,840.00	...	4.89	22 of 26 - Sale <sup>25</sup>
	500.000	1,844.90	06/19/20	1,840.00	...	4.90	23 of 26 - Sale <sup>25</sup>
	784.000	2,884.95	06/19/20	2,877.28	...	7.67	24 of 26 - Sale <sup>25</sup>
	400.000	1,475.91	06/19/20	1,460.00	...	15.91	25 of 26 - Sale <sup>25</sup>
	600.000	2,213.88	06/19/20	2,190.00	...	23.88	26 of 26 - Sale <sup>25</sup>
06/19/20	4,840.000	17,679.00	Various	17,796.20	...	-117.20	Total of 26 transactions
19 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	63.000	220.48	06/19/20	236.90	1.56 W	-14.86	1 of 19 - Sale <sup>25</sup>
	200.000	691.96	06/19/20	740.00	48.04 W	0.00	2 of 19 - Sale <sup>25</sup>
	100.000	345.99	06/19/20	394.02	48.03 W	0.00	3 of 19 - Sale <sup>25</sup>
	100.000	342.99	06/19/20	394.02	51.03 W	0.00	4 of 19 - Sale <sup>25</sup>
	100.000	342.99	06/19/20	370.00	27.01 W	0.00	5 of 19 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BROADWAY FINANCIAL CORPORATION COMMON STOCK / CUSIP: 111444105 / Symbol: (cont'd)							
	200.000	685.96	06/19/20	740.00	54.04 W	0.00	6 of 19 - Sale <sup>25</sup>
	100.000	342.99	06/19/20	370.00	27.01 W	0.00	7 of 19 - Sale <sup>25</sup>
	200.000	719.96	06/19/20	740.00	20.04 W	0.00	8 of 19 - Sale <sup>25</sup>
	100.000	359.99	06/19/20	403.03	43.04 W	0.00	9 of 19 - Sale <sup>25</sup>
	3.000	10.80	06/19/20	12.18	1.38 W	0.00	10 of 19 - Sale <sup>25</sup>
	97.000	338.51	06/19/20	393.85	55.34 W	0.00	11 of 19 - Sale <sup>25</sup>
	100.000	348.98	06/19/20	382.01	33.03 W	0.00	12 of 19 - Sale <sup>25</sup>
	200.000	697.96	06/19/20	764.04	66.08 W	0.00	13 of 19 - Sale <sup>25</sup>
	3.000	10.47	06/19/20	12.03	1.56 W	0.00	14 of 19 - Sale <sup>25</sup>
	100.000	348.98	06/19/20	382.01	33.03 W	0.00	15 of 19 - Sale <sup>25</sup>
	100.000	348.98	06/19/20	398.04	49.06 W	0.00	16 of 19 - Sale <sup>25</sup>
	200.000	697.96	06/19/20	730.04	32.08 W	0.00	17 of 19 - Sale <sup>25</sup>
	97.000	338.51	06/19/20	344.35	5.84 W	0.00	18 of 19 - Sale <sup>25</sup>
	97.000	339.48	06/19/20	388.05	48.57 W	0.00	19 of 19 - Sale <sup>25</sup>
06/19/20	2,160.000	7,533.94	Various	8,194.57	645.77 W	-14.86	Total of 19 transactions
	<b>Security total:</b>	<b>25,212.94</b>		<b>25,990.77</b>	<b>645.77 W</b>	<b>-132.06</b>	
BROWN & BROWN, INC. / CUSIP: 115236101 / Symbol:							
10/06/20	20.000	919.77	10/06/20	923.20	1.72 W	-1.71	Sale <sup>25</sup>
10/09/20	10.000	470.21	10/08/20	468.51	...	1.70	Sale <sup>25</sup>
	2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	86.000	4,046.20	11/17/20	4,050.60	...	-4.40	1 of 2 - Sale <sup>25</sup>
	14.000	658.68	11/17/20	659.40	...	-0.72	2 of 2 - Sale <sup>25</sup>
11/17/20	100.000	4,704.88	Various	4,710.00	...	-5.12	Total of 2 transactions
	<b>Security total:</b>	<b>6,094.86</b>		<b>6,101.71</b>	<b>1.72 W</b>	<b>-5.13</b>	
CIT GROUP INC. / CUSIP: 125581801 / Symbol:							
11/03/20	30.000	945.49	11/03/20	943.47	...	2.02	Sale <sup>25</sup>
11/11/20	50.000	1,652.96	11/11/20	1,656.75	0.76 W	-3.03	Sale <sup>25</sup>
11/23/20	10.000	354.70	11/23/20	357.16	...	-2.46	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,953.15</b>		<b>2,957.38</b>	<b>0.76 W</b>	<b>-3.47</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CVS HEALTH CORPORATION / CUSIP: 126650100 / Symbol:							
2 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	1.000	71.12	10/24/19	65.65	...	5.47	1 of 2 - Sale <sup>25</sup>
	3.000	213.36	10/25/19	196.35	...	17.01	2 of 2 - Sale <sup>25</sup>
01/24/20	4.000	284.48	Various	262.00	...	22.48	Total of 2 transactions
3 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	1.000	74.00	10/25/19	65.45	...	8.55	1 of 3 - Sale <sup>25</sup>
	1.000	74.00	01/28/20	71.31	...	2.69	2 of 3 - Sale <sup>25</sup>
	10.000	739.98	01/28/20	713.65	...	26.33	3 of 3 - Sale <sup>25</sup>
02/11/20	12.000	887.98	Various	850.41	...	37.57	Total of 3 transactions
02/12/20	1.000	73.28	01/28/20	71.36	...	1.92	Sale <sup>25</sup>
02/13/20	9.000	663.55	01/28/20	642.28	...	21.27	Sale <sup>25</sup>
11/06/20	30.000	1,931.65	11/06/20	1,915.14	...	16.51	Sale <sup>25</sup>
11/09/20	20.000	1,359.82	11/09/20	1,339.57	...	20.25	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,200.76</b>		<b>5,080.76</b>	...	<b>120.00</b>	
CAL-MAINE FOODS, INC. COMMON S TOCK / CUSIP: 128030202 / Symbol:							
11/17/20	10.000	403.64	11/16/20	407.70	...	-4.06	Sale <sup>25</sup>
CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol:							
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	61.000	147.61	06/02/20	220.83	...	-73.22	1 of 3 - Sale <sup>25</sup>
	29.000	70.18	06/02/20	104.99	...	-34.81	2 of 3 - Sale <sup>25</sup>
	10.000	24.20	06/02/20	41.75	...	-17.55	3 of 3 - Sale <sup>25</sup>
06/03/20	100.000	241.99	Various	367.57	...	-125.58	Total of 3 transactions
5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	10.000	27.00	06/02/20	29.75	2.75 W	0.00	1 of 5 - Sale <sup>25</sup>
	90.000	242.99	06/02/20	279.00	36.01 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	27.80	06/02/20	33.75	5.95 W	0.00	3 of 5 - Sale <sup>25</sup>
	90.000	250.19	06/02/20	310.51	60.32 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	24.20	06/02/20	36.45	12.25 W	0.00	5 of 5 - Sale <sup>25</sup>
06/03/20	210.000	572.18	Various	689.46	117.28 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>814.17</b>		<b>1,057.03</b>	<b>117.28 W</b>	<b>-125.58</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CANADIAN NATURAL RESOURCES LIMITED / CUSIP: 136385101 / Symbol:							
<i>3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	216.49	06/04/20	203.40	...	13.09	1 of 3 - Sale <sup>25</sup>
	50.000	1,082.47	06/04/20	1,029.93	...	52.54	2 of 3 - Sale <sup>25</sup>
	100.000	2,164.94	06/04/20	2,056.78	...	108.16	3 of 3 - Sale <sup>25</sup>
06/05/20	160.000	3,463.90	Various	3,290.11	...	173.79	Total of 3 transactions
CANOPY GROWTH CORPORATION COMMON SHARES / CUSIP: 138035100 / Symbol:							
01/24/20	50.000	1,136.47	01/15/20	1,265.00	...	-128.53	Sale <sup>25</sup>
<i>21 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	17.35	06/22/20	17.31	...	0.04	1 of 21 - Sale <sup>25</sup>
	1.000	17.35	06/22/20	17.29	...	0.06	2 of 21 - Sale <sup>25</sup>
	1.000	17.35	06/22/20	17.29	...	0.06	3 of 21 - Sale <sup>25</sup>
	1.000	17.35	06/22/20	17.29	...	0.06	4 of 21 - Sale <sup>25</sup>
	1.000	17.35	06/22/20	17.29	...	0.06	5 of 21 - Sale <sup>25</sup>
	1.000	17.35	06/22/20	17.29	...	0.06	6 of 21 - Sale <sup>25</sup>
	1.000	17.39	06/22/20	17.31	...	0.08	7 of 21 - Sale <sup>25</sup>
	1.000	17.39	06/22/20	17.31	...	0.08	8 of 21 - Sale <sup>25</sup>
	1.000	17.39	06/22/20	17.28	...	0.11	9 of 21 - Sale <sup>25</sup>
	3.000	52.05	06/22/20	51.93	...	0.12	10 of 21 - Sale <sup>25</sup>
	2.000	34.70	06/22/20	34.58	...	0.12	11 of 21 - Sale <sup>25</sup>
	3.000	52.05	06/22/20	51.87	...	0.18	12 of 21 - Sale <sup>25</sup>
	7.000	121.44	06/22/20	121.17	...	0.27	13 of 21 - Sale <sup>25</sup>
	8.000	138.80	06/22/20	138.48	...	0.32	14 of 21 - Sale <sup>25</sup>
	9.000	156.15	06/22/20	155.61	...	0.54	15 of 21 - Sale <sup>25</sup>
	11.000	190.85	06/22/20	190.19	...	0.66	16 of 21 - Sale <sup>25</sup>
	20.000	347.00	06/22/20	346.20	...	0.80	17 of 21 - Sale <sup>25</sup>
	19.000	330.40	06/22/20	328.89	...	1.51	18 of 21 - Sale <sup>25</sup>
	42.000	728.68	06/22/20	727.02	...	1.66	19 of 21 - Sale <sup>25</sup>
	48.000	832.78	06/22/20	830.88	...	1.90	20 of 21 - Sale <sup>25</sup>
	19.000	330.40	06/22/20	328.32	...	2.08	21 of 21 - Sale <sup>25</sup>
07/10/20	200.000	3,471.57	Various	3,460.80	...	10.77	Total of 21 transactions
<i>3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	538.21	06/22/20	518.70	...	19.51	1 of 3 - Sale <sup>25</sup>
	30.000	538.21	06/22/20	518.08	...	20.13	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol: (cont'd)							
	40.000	717.62	06/22/20	691.60	...	26.02	3 of 3 - Sale <sup>25</sup>
07/13/20	100.000	1,794.04	Various	1,728.38	...	65.66	Total of 3 transactions
	2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	50.000	962.47	07/28/20	960.50	...	1.97	1 of 2 - Sale <sup>25</sup>
	50.000	962.47	07/28/20	960.00	...	2.47	2 of 2 - Sale <sup>25</sup>
07/28/20	100.000	1,924.94	Various	1,920.50	...	4.44	Total of 2 transactions
07/28/20	100.000	1,937.94	07/28/20	1,950.00	12.06 W	0.00	Sale <sup>25</sup>
07/29/20	50.000	954.56	07/29/20	953.03	...	1.53	Sale <sup>25</sup>
	2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	50.000	934.22	07/29/20	943.53	9.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	934.22	07/29/20	937.50	3.28 W	0.00	2 of 2 - Sale <sup>25</sup>
07/29/20	100.000	1,868.44	Various	1,881.03	12.59 W	0.00	Total of 2 transactions
	4 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	10.000	190.80	07/31/20	192.86	2.06 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	191.79	07/31/20	193.46	1.67 W	0.00	2 of 4 - Sale <sup>25</sup>
	40.000	767.18	07/31/20	773.05	5.87 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	958.97	07/31/20	960.28	1.31 W	0.00	4 of 4 - Sale <sup>25</sup>
07/31/20	110.000	2,108.74	Various	2,119.65	10.91 W	0.00	Total of 4 transactions
	4 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	10.000	188.69	08/03/20	188.46	...	0.23	1 of 4 - Sale <sup>25</sup>
	40.000	754.78	08/03/20	753.03	...	1.75	2 of 4 - Sale <sup>25</sup>
	100.000	1,905.94	08/03/20	1,900.00	...	5.94	3 of 4 - Sale <sup>25</sup>
	50.000	943.47	08/03/20	935.27	...	8.20	4 of 4 - Sale <sup>25</sup>
08/03/20	200.000	3,792.88	Various	3,776.76	...	16.12	Total of 4 transactions
	2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	30.000	608.98	11/02/20	592.18	...	16.80	1 of 2 - Sale <sup>25</sup>
	20.000	429.56	11/02/20	394.78	...	34.78	2 of 2 - Sale <sup>25</sup>
11/02/20	50.000	1,038.54	Various	986.96	...	51.58	Total of 2 transactions
11/02/20	100.000	1,943.94	11/02/20	1,962.86	18.92 W	0.00	Sale <sup>25</sup>
11/03/20	50.000	1,022.47	11/03/20	1,014.22	...	8.25	Sale <sup>25</sup>
	9 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	50.000	993.97	11/03/20	1,028.46	34.49 W	0.00	1 of 9 - Sale <sup>25</sup>
	50.000	999.97	11/03/20	1,041.91	41.94 W	0.00	2 of 9 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol: (cont'd)							
	50.000	999.97	11/03/20	1,007.41	7.44 W	0.00	3 of 9 - Sale <sup>25</sup>
	50.000	1,026.21	11/03/20	1,048.73	22.52 W	0.00	4 of 9 - Sale <sup>25</sup>
	3.000	61.14	11/03/20	63.06	1.92 W	0.00	5 of 9 - Sale <sup>25</sup>
	13.000	264.81	11/03/20	273.25	8.44 W	0.00	6 of 9 - Sale <sup>25</sup>
	34.000	692.56	11/03/20	714.64	22.08 W	0.00	7 of 9 - Sale <sup>25</sup>
	47.000	957.36	11/03/20	966.72	9.36 W	0.00	8 of 9 - Sale <sup>25</sup>
	3.000	61.11	11/03/20	61.71	0.60 W	0.00	9 of 9 - Sale <sup>25</sup>
11/03/20	300.000	6,057.10	Various	6,205.89	148.79 W	0.00	Total of 9 transactions
	2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	3.000	64.71	11/05/20	63.14	...	1.57	1 of 2 - Sale <sup>25</sup>
	47.000	1,013.76	11/05/20	989.11	...	24.65	2 of 2 - Sale <sup>25</sup>
11/05/20	50.000	1,078.47	Various	1,052.25	...	26.22	Total of 2 transactions
	9 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	3.000	62.59	11/05/20	64.20	1.61 W	0.00	1 of 9 - Sale <sup>25</sup>
	13.000	271.22	11/05/20	278.31	7.09 W	0.00	2 of 9 - Sale <sup>25</sup>
	34.000	709.34	11/05/20	727.88	18.54 W	0.00	3 of 9 - Sale <sup>25</sup>
	3.000	64.71	11/05/20	65.35	0.64 W	0.00	4 of 9 - Sale <sup>25</sup>
	13.000	280.40	11/05/20	283.31	2.91 W	0.00	5 of 9 - Sale <sup>25</sup>
	34.000	733.36	11/05/20	740.95	7.59 W	0.00	6 of 9 - Sale <sup>25</sup>
	3.000	63.71	11/05/20	64.44	0.73 W	0.00	7 of 9 - Sale <sup>25</sup>
	13.000	276.07	11/05/20	279.36	3.29 W	0.00	8 of 9 - Sale <sup>25</sup>
	34.000	722.02	11/05/20	730.60	8.58 W	0.00	9 of 9 - Sale <sup>25</sup>
11/05/20	150.000	3,183.42	Various	3,234.40	50.98 W	0.00	Total of 9 transactions
	27 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	3.000	71.94	11/06/20	73.26	1.32 W	0.00	1 of 27 - Sale <sup>25</sup>
	4.000	95.92	11/06/20	97.71	1.79 W	0.00	2 of 27 - Sale <sup>25</sup>
	13.000	311.74	11/06/20	317.56	5.82 W	0.00	3 of 27 - Sale <sup>25</sup>
	30.000	713.98	11/06/20	738.67	24.69 W	0.00	4 of 27 - Sale <sup>25</sup>
	3.000	73.23	11/06/20	73.41	0.18 W	0.00	5 of 27 - Sale <sup>25</sup>
	4.000	97.64	11/06/20	97.91	0.27 W	0.00	6 of 27 - Sale <sup>25</sup>
	13.000	317.32	11/06/20	318.22	0.90 W	0.00	7 of 27 - Sale <sup>25</sup>
	30.000	732.28	11/06/20	745.63	13.35 W	0.00	8 of 27 - Sale <sup>25</sup>
	3.000	72.00	11/06/20	72.93	0.93 W	0.00	9 of 27 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol: (cont'd)							
	4.000	96.00	11/06/20	97.27	1.27 W	0.00	10 of 27 - Sale <sup>25</sup>
	10.000	239.99	11/06/20	246.95	6.96 W	0.00	11 of 27 - Sale <sup>25</sup>
	13.000	311.99	11/06/20	316.15	4.16 W	0.00	12 of 27 - Sale <sup>25</sup>
	3.000	73.32	11/06/20	73.67	0.35 W	0.00	13 of 27 - Sale <sup>25</sup>
	4.000	97.76	11/06/20	98.25	0.49 W	0.00	14 of 27 - Sale <sup>25</sup>
	10.000	244.39	11/06/20	249.42	5.03 W	0.00	15 of 27 - Sale <sup>25</sup>
	13.000	317.71	11/06/20	319.35	1.64 W	0.00	16 of 27 - Sale <sup>25</sup>
	20.000	488.79	11/06/20	493.82	5.03 W	0.00	17 of 27 - Sale <sup>25</sup>
	3.000	72.84	11/06/20	73.15	0.31 W	0.00	18 of 27 - Sale <sup>25</sup>
	4.000	97.12	11/06/20	97.55	0.43 W	0.00	19 of 27 - Sale <sup>25</sup>
	10.000	242.79	11/06/20	247.68	4.89 W	0.00	20 of 27 - Sale <sup>25</sup>
	13.000	315.63	11/06/20	317.08	1.45 W	0.00	21 of 27 - Sale <sup>25</sup>
	20.000	485.59	11/06/20	490.33	4.74 W	0.00	22 of 27 - Sale <sup>25</sup>
	3.000	71.58	11/06/20	71.81	0.23 W	0.00	23 of 27 - Sale <sup>25</sup>
	4.000	95.44	11/06/20	95.76	0.32 W	0.00	24 of 27 - Sale <sup>25</sup>
	10.000	238.59	11/06/20	243.22	4.63 W	0.00	25 of 27 - Sale <sup>25</sup>
	13.000	310.17	11/06/20	311.28	1.11 W	0.00	26 of 27 - Sale <sup>25</sup>
	20.000	477.19	11/06/20	481.40	4.21 W	0.00	27 of 27 - Sale <sup>25</sup>
11/06/20	280.000	6,762.94	Various	6,859.44	96.50 W	0.00	Total of 27 transactions
	5 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	20.000	489.99	11/11/20	491.71	1.72 W	0.00	1 of 5 - Sale <sup>25</sup>
	3.000	73.50	11/11/20	74.08	0.58 W	0.00	2 of 5 - Sale <sup>25</sup>
	4.000	98.00	11/11/20	98.79	0.79 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	244.99	11/11/20	250.79	5.80 W	0.00	4 of 5 - Sale <sup>25</sup>
	13.000	318.49	11/11/20	321.13	2.64 W	0.00	5 of 5 - Sale <sup>25</sup>
11/11/20	50.000	1,224.97	Various	1,236.50	11.53 W	0.00	Total of 5 transactions
	7 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	3.000	79.77	11/24/20	80.28	0.51 W	0.00	1 of 7 - Sale <sup>25</sup>
	7.000	186.12	11/24/20	187.40	1.28 W	0.00	2 of 7 - Sale <sup>25</sup>
	20.000	531.79	11/24/20	533.09	1.30 W	0.00	3 of 7 - Sale <sup>25</sup>
	4.000	106.36	11/24/20	109.59	3.23 W	0.00	4 of 7 - Sale <sup>25</sup>
	6.000	159.53	11/24/20	164.42	4.89 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	262.99	11/24/20	274.35	11.36 W	0.00	6 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol: (cont'd)							
11/24/20	10.000	262.99	11/24/20	269.20	6.21 W	0.00	7 of 7 - Sale <sup>25</sup>
11/24/20	60.000	1,589.55	Various	1,618.33	28.78 W	0.00	Total of 7 transactions
7 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	3.000	80.34	11/25/20	82.01	1.67 W	0.00	1 of 7 - Sale <sup>25</sup>
	4.000	107.12	11/25/20	111.90	4.78 W	0.00	2 of 7 - Sale <sup>25</sup>
	6.000	160.68	11/25/20	167.89	7.21 W	0.00	3 of 7 - Sale <sup>25</sup>
	7.000	187.46	11/25/20	191.45	3.99 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	267.79	11/25/20	272.32	4.53 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	267.79	11/25/20	283.03	15.24 W	0.00	6 of 7 - Sale <sup>25</sup>
	10.000	267.79	11/25/20	277.88	10.09 W	0.00	7 of 7 - Sale <sup>25</sup>
11/25/20	50.000	1,338.97	Various	1,386.48	47.51 W	0.00	Total of 7 transactions
14 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	3.000	78.54	12/23/20	83.75	5.21 W	0.00	1 of 14 - Sale <sup>25</sup>
	4.000	104.72	12/23/20	114.22	9.50 W	0.00	2 of 14 - Sale <sup>25</sup>
	6.000	157.08	12/23/20	171.37	14.29 W	0.00	3 of 14 - Sale <sup>25</sup>
	7.000	183.26	12/23/20	195.51	12.25 W	0.00	4 of 14 - Sale <sup>25</sup>
	10.000	261.79	12/23/20	278.13	16.34 W	0.00	5 of 14 - Sale <sup>25</sup>
	10.000	261.79	12/23/20	288.84	27.05 W	0.00	6 of 14 - Sale <sup>25</sup>
	10.000	261.79	12/23/20	283.69	21.90 W	0.00	7 of 14 - Sale <sup>25</sup>
	3.000	77.40	12/23/20	86.94	9.54 W	0.00	8 of 14 - Sale <sup>25</sup>
	4.000	103.20	12/23/20	118.48	15.28 W	0.00	9 of 14 - Sale <sup>25</sup>
	6.000	154.80	12/23/20	177.76	22.96 W	0.00	10 of 14 - Sale <sup>25</sup>
	7.000	180.60	12/23/20	202.97	22.37 W	0.00	11 of 14 - Sale <sup>25</sup>
	10.000	257.99	12/23/20	288.79	30.80 W	0.00	12 of 14 - Sale <sup>25</sup>
	10.000	257.99	12/23/20	299.50	41.51 W	0.00	13 of 14 - Sale <sup>25</sup>
	10.000	257.99	12/23/20	294.35	36.36 W	0.00	14 of 14 - Sale <sup>25</sup>
12/24/20	100.000	2,598.94	Various	2,884.30	285.36 W	0.00	Total of 14 transactions
5 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	10.000	247.89	12/23/20	312.30	...	-64.41	1 of 5 - Sale <sup>25</sup>
	10.000	247.89	12/23/20	307.15	...	-59.26	2 of 5 - Sale <sup>25</sup>
	6.000	148.74	12/23/20	185.43	...	-36.69	3 of 5 - Sale <sup>25</sup>
	4.000	99.16	12/23/20	123.60	...	-24.44	4 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol: (cont'd)							
	50.000	1,239.46	12/24/20	1,327.50	...	-88.04	5 of 5 - Sale <sup>25</sup>
12/28/20	80.000	1,983.14	Various	2,255.98	...	-272.84	Total of 5 transactions
	3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	10.000	247.89	12/23/20	301.59	53.70 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	74.37	12/23/20	90.78	16.41 W	0.00	2 of 3 - Sale <sup>25</sup>
	7.000	173.53	12/23/20	211.92	38.39 W	0.00	3 of 3 - Sale <sup>25</sup>
12/28/20	20.000	495.79	Various	604.29	108.50 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>49,307.82</b>		<b>50,357.05</b>	<b>832.43 W</b>	<b>-216.80</b>	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
	2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	39.000	566.26	09/22/20	570.18	...	-3.92	1 of 2 - Sale <sup>25</sup>
	1.000	14.52	09/22/20	14.62	...	-0.10	2 of 2 - Sale <sup>25</sup>
09/22/20	40.000	580.78	Various	584.80	...	-4.02	Total of 2 transactions
11/25/20	10.000	207.74	11/24/20	201.00	...	6.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>788.52</b>		<b>785.80</b>	...	<b>2.72</b>	
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
	2 transactions for 01/03/20. Total proceeds and cost reported to the IRS.						
	8.000	67.60	01/03/20	67.59	...	0.01	1 of 2 - Sale <sup>25</sup>
	12.000	101.40	01/03/20	101.39	...	0.01	2 of 2 - Sale <sup>25</sup>
01/03/20	20.000	169.00	Various	168.98	...	0.02	Total of 2 transactions
CATERPILLAR INC. / CUSIP: 149123101 / Symbol:							
08/10/20	10.000	1,419.96	08/10/20	1,414.10	...	5.86	Sale <sup>25</sup>
	2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	10.000	1,448.23	08/11/20	1,456.00	7.77 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,448.23	08/11/20	1,456.00	7.77 W	0.00	2 of 2 - Sale <sup>25</sup>
08/11/20	20.000	2,896.46	Various	2,912.00	15.54 W	0.00	Total of 2 transactions
08/25/20	10.000	1,436.31	08/25/20	1,455.17	18.86 W	0.00	Sale <sup>25</sup>
08/26/20	10.000	1,410.66	08/26/20	1,426.07	15.41 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CATERPILLAR INC. / CUSIP: 149123101 / Symbol: (cont'd)							
<i>2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,498.17	09/03/20	1,518.06	19.89 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,498.16	09/03/20	1,513.41	15.25 W	0.00	2 of 2 - Sale <sup>25</sup>
09/03/20	20.000	2,996.33	Various	3,031.47	35.14 W	0.00	Total of 2 transactions
<i>2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,516.21	09/09/20	1,532.59	16.38 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,539.46	09/09/20	1,556.01	16.55 W	0.00	2 of 2 - Sale <sup>25</sup>
09/09/20	20.000	3,055.67	Various	3,088.60	32.93 W	0.00	Total of 2 transactions
09/15/20	5.000	745.73	09/15/20	760.12	14.39 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	749.43	09/15/20	760.74	11.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	749.43	09/15/20	760.83	11.40 W	0.00	2 of 2 - Sale <sup>25</sup>
09/16/20	10.000	1,498.86	Various	1,521.57	22.71 W	0.00	Total of 2 transactions
<i>3 transactions for 09/25/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	145.40	09/25/20	147.12	1.72 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	581.59	09/25/20	588.50	6.91 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	726.98	09/25/20	741.74	14.76 W	0.00	3 of 3 - Sale <sup>25</sup>
09/25/20	10.000	1,453.97	Various	1,477.36	23.39 W	0.00	Total of 3 transactions
<i>11 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	743.84	09/28/20	755.30	11.46 W	0.00	1 of 11 - Sale <sup>25</sup>
	5.000	743.84	09/28/20	755.39	11.55 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	148.77	09/28/20	150.52	1.75 W	0.00	3 of 11 - Sale <sup>25</sup>
	4.000	595.07	09/28/20	602.10	7.03 W	0.00	4 of 11 - Sale <sup>25</sup>
	5.000	743.83	09/28/20	758.74	14.91 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	148.21	09/28/20	151.04	2.83 W	0.00	6 of 11 - Sale <sup>25</sup>
	4.000	592.83	09/28/20	604.17	11.34 W	0.00	7 of 11 - Sale <sup>25</sup>
	5.000	741.03	09/28/20	755.30	14.27 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	148.21	09/28/20	150.50	2.29 W	0.00	9 of 11 - Sale <sup>25</sup>
	4.000	592.82	09/28/20	602.03	9.21 W	0.00	10 of 11 - Sale <sup>25</sup>
	5.000	741.03	09/28/20	758.66	17.63 W	0.00	11 of 11 - Sale <sup>25</sup>
09/28/20	40.000	5,939.48	Various	6,043.75	104.27 W	0.00	Total of 11 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CATERPILLAR INC. / CUSIP: 149123101 / Symbol: (cont'd)							
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	148.34	09/29/20	151.36	3.02 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	296.67	09/29/20	302.77	6.10 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	593.34	09/29/20	605.46	12.12 W	0.00	3 of 3 - Sale <sup>25</sup>
09/29/20	7.000	1,038.35	Various	1,059.59	21.24 W	0.00	Total of 3 transactions
7 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	3.000	450.44	09/30/20	455.86	5.42 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	150.15	09/30/20	151.39	1.24 W	0.00	2 of 7 - Sale <sup>25</sup>
	2.000	300.29	09/30/20	305.25	4.96 W	0.00	3 of 7 - Sale <sup>25</sup>
	4.000	600.59	09/30/20	605.61	5.02 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	150.15	09/30/20	153.70	3.55 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	150.14	09/30/20	153.71	3.57 W	0.00	6 of 7 - Sale <sup>25</sup>
	3.000	450.44	09/30/20	462.63	12.19 W	0.00	7 of 7 - Sale <sup>25</sup>
09/30/20	15.000	2,252.20	Various	2,288.15	35.95 W	0.00	Total of 7 transactions
12 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	2.000	299.99	10/02/20	307.84	7.85 W	0.00	1 of 12 - Sale <sup>25</sup>
	3.000	449.99	10/02/20	461.70	11.71 W	0.00	2 of 12 - Sale <sup>25</sup>
	3.000	449.90	10/02/20	458.03	8.13 W	0.00	3 of 12 - Sale <sup>25</sup>
	1.000	149.97	10/02/20	152.11	2.14 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	149.96	10/02/20	152.12	2.16 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	150.59	10/02/20	154.32	3.73 W	0.00	6 of 12 - Sale <sup>25</sup>
	1.000	150.59	10/02/20	154.34	3.75 W	0.00	7 of 12 - Sale <sup>25</sup>
	2.000	301.17	10/02/20	309.39	8.22 W	0.00	8 of 12 - Sale <sup>25</sup>
	3.000	451.76	10/02/20	464.02	12.26 W	0.00	9 of 12 - Sale <sup>25</sup>
	2.000	301.17	10/02/20	306.50	5.33 W	0.00	10 of 12 - Sale <sup>25</sup>
	3.000	451.76	10/02/20	456.08	4.32 W	0.00	11 of 12 - Sale <sup>25</sup>
	3.000	451.76	10/02/20	464.50	12.74 W	0.00	12 of 12 - Sale <sup>25</sup>
10/02/20	25.000	3,758.61	Various	3,840.95	82.34 W	0.00	Total of 12 transactions
10 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	154.28	10/05/20	157.08	2.80 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	154.28	10/05/20	157.10	2.82 W	0.00	2 of 10 - Sale <sup>25</sup>
	2.000	308.55	10/05/20	314.92	6.37 W	0.00	3 of 10 - Sale <sup>25</sup>
	3.000	462.83	10/05/20	468.18	5.35 W	0.00	4 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CATERPILLAR INC. / CUSIP: 149123101 / Symbol: (cont'd)							
	3.000	462.82	10/05/20	472.31	9.49 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	153.96	10/05/20	155.49	1.53 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	153.96	10/05/20	155.51	1.55 W	0.00	7 of 10 - Sale <sup>25</sup>
	2.000	307.91	10/05/20	312.03	4.12 W	0.00	8 of 10 - Sale <sup>25</sup>
	3.000	461.86	10/05/20	464.37	2.51 W	0.00	9 of 10 - Sale <sup>25</sup>
	3.000	461.87	10/05/20	472.78	10.91 W	0.00	10 of 10 - Sale <sup>25</sup>
10/05/20	20.000	3,082.32	Various	3,129.77	47.45 W	0.00	Total of 10 transactions
	11 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	154.48	10/06/20	156.76	2.28 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	154.48	10/06/20	157.78	3.30 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	154.48	10/06/20	157.80	3.32 W	0.00	3 of 11 - Sale <sup>25</sup>
	2.000	308.95	10/06/20	313.53	4.58 W	0.00	4 of 11 - Sale <sup>25</sup>
	2.000	308.95	10/06/20	316.33	7.38 W	0.00	5 of 11 - Sale <sup>25</sup>
	3.000	463.43	10/06/20	474.43	11.00 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	154.48	10/06/20	156.51	2.03 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	154.48	10/06/20	156.53	2.05 W	0.00	8 of 11 - Sale <sup>25</sup>
	2.000	308.95	10/06/20	314.08	5.13 W	0.00	9 of 11 - Sale <sup>25</sup>
	3.000	463.43	10/06/20	467.45	4.02 W	0.00	10 of 11 - Sale <sup>25</sup>
	3.000	463.42	10/06/20	475.85	12.43 W	0.00	11 of 11 - Sale <sup>25</sup>
10/06/20	20.000	3,089.53	Various	3,147.05	57.52 W	0.00	Total of 11 transactions
	6 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	155.18	10/07/20	156.33	1.15 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	155.18	10/07/20	157.35	2.17 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	155.17	10/07/20	157.37	2.20 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	310.35	10/07/20	312.68	2.33 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.000	310.35	10/07/20	315.48	5.13 W	0.00	5 of 6 - Sale <sup>25</sup>
	3.000	465.53	10/07/20	473.15	7.62 W	0.00	6 of 6 - Sale <sup>25</sup>
10/07/20	10.000	1,551.76	Various	1,572.36	20.60 W	0.00	Total of 6 transactions
	3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	1.000	169.82	10/16/20	170.79	0.97 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	169.82	10/16/20	170.81	0.99 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	509.44	10/16/20	510.28	0.84 W	0.00	3 of 3 - Sale <sup>25</sup>
10/16/20	5.000	849.08	Various	851.88	2.80 W	0.00	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CATERPILLAR INC. / CUSIP: 149123101 / Symbol: (cont'd)							
<i>9 transactions for 10/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	167.80	10/22/20	170.29	2.49 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	167.80	10/22/20	171.31	3.51 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	167.80	10/22/20	171.34	3.54 W	0.00	3 of 9 - Sale <sup>25</sup>
	2.000	335.59	10/22/20	340.61	5.02 W	0.00	4 of 9 - Sale <sup>25</sup>
	2.000	335.59	10/22/20	343.41	7.82 W	0.00	5 of 9 - Sale <sup>25</sup>
	3.000	503.38	10/22/20	519.85	16.47 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	169.65	10/22/20	171.21	1.56 W	0.00	7 of 9 - Sale <sup>25</sup>
	2.000	339.29	10/22/20	343.13	3.84 W	0.00	8 of 9 - Sale <sup>25</sup>
	2.000	339.29	10/22/20	343.18	3.89 W	0.00	9 of 9 - Sale <sup>25</sup>
10/22/20	15.000	2,526.19	Various	2,574.33	48.14 W	0.00	Total of 9 transactions
<i>7 transactions for 10/30/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	311.57	10/30/20	314.42	...	-2.85	1 of 7 - Sale <sup>25</sup>
	1.000	155.79	10/30/20	158.24	...	-2.45	2 of 7 - Sale <sup>25</sup>
	1.000	155.79	10/30/20	158.21	...	-2.42	3 of 7 - Sale <sup>25</sup>
	1.000	155.79	10/30/20	157.19	...	-1.40	4 of 7 - Sale <sup>25</sup>
	1.000	155.79	10/30/20	155.69	...	0.10	5 of 7 - Sale <sup>25</sup>
	1.000	155.78	10/30/20	155.67	...	0.11	6 of 7 - Sale <sup>25</sup>
	3.000	467.35	10/30/20	464.94	...	2.41	7 of 7 - Sale <sup>25</sup>
10/30/20	10.000	1,557.86	Various	1,564.36	...	-6.50	Total of 7 transactions
<i>5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	522.53	11/09/20	535.66	...	-13.13	1 of 5 - Sale <sup>25</sup>
	2.000	348.36	11/09/20	353.95	...	-5.59	2 of 5 - Sale <sup>25</sup>
	2.000	348.35	11/09/20	350.01	...	-1.66	3 of 5 - Sale <sup>25</sup>
	2.000	348.36	11/09/20	349.97	...	-1.61	4 of 5 - Sale <sup>25</sup>
	1.000	174.18	11/09/20	174.62	...	-0.44	5 of 5 - Sale <sup>25</sup>
11/09/20	10.000	1,741.78	Various	1,764.21	...	-22.43	Total of 5 transactions
	<b>Security total:</b>	<b>44,301.11</b>		<b>44,922.86</b>	<b>598.68 W</b>	<b>-23.07</b>	

CENTENNIAL RESOURCE DEVELOPMEN T, INC. CLASS A COMMON STOCK / CUSIP: 15136A102 / Symbol:

*8 transactions for 04/24/20. Total proceeds and cost reported to the IRS.*

	1.000	0.74	04/23/20	0.57	...	0.17	1 of 8 - Sale <sup>25</sup>
	20.000	14.80	04/23/20	11.40	...	3.40	2 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CENTENNIAL RESOURCE DEVELOPMEN T, INC. CLASS A COMMON STOCK / CUSIP: 15136A102 / Symbol: (cont'd)							
	20.000	14.70	04/23/20	11.30	...	3.40	3 of 8 - Sale <sup>25</sup>
	24.000	17.64	04/23/20	13.68	...	3.96	4 of 8 - Sale <sup>25</sup>
	40.000	30.40	04/23/20	22.60	...	7.80	5 of 8 - Sale <sup>25</sup>
	50.000	36.75	04/23/20	28.50	...	8.25	6 of 8 - Sale <sup>25</sup>
	105.000	77.17	04/23/20	59.85	...	17.32	7 of 8 - Sale <sup>25</sup>
	100.000	73.99	04/23/20	56.00	...	17.99	8 of 8 - Sale <sup>25</sup>
04/24/20	360.000	266.19	Various	203.90	...	62.29	Total of 8 transactions
04/28/20	100.000	84.08	04/27/20	69.56	...	14.52	Sale <sup>25</sup>
	3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	100.000	146.38	12/04/20	144.00	...	2.38	1 of 3 - Sale <sup>25</sup>
	100.000	146.39	12/04/20	144.00	...	2.39	2 of 3 - Sale <sup>25</sup>
	100.000	146.39	12/04/20	144.00	...	2.39	3 of 3 - Sale <sup>25</sup>
12/04/20	300.000	439.16	Various	432.00	...	7.16	Total of 3 transactions
	<b>Security total:</b>	<b>789.43</b>		<b>705.46</b>	...	<b>83.97</b>	
CENTURY CASINOS, INC. COMMON S TOCK / CUSIP: 156492100 / Symbol:							
	6 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	300.000	1,433.93	06/05/20	1,980.00	...	-546.07	1 of 6 - Sale <sup>25</sup>
	156.000	745.64	06/05/20	1,029.60	...	-283.96	2 of 6 - Sale <sup>25</sup>
	44.000	210.31	06/05/20	358.16	...	-147.85	3 of 6 - Sale <sup>25</sup>
	51.000	243.77	06/05/20	323.85	...	-80.08	4 of 6 - Sale <sup>25</sup>
	3.000	14.34	06/05/20	23.07	...	-8.73	5 of 6 - Sale <sup>25</sup>
	2.000	9.56	06/05/20	15.38	...	-5.82	6 of 6 - Sale <sup>25</sup>
06/11/20	556.000	2,657.55	Various	3,730.06	...	-1,072.51	Total of 6 transactions
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	2.000	9.62	06/05/20	12.30	2.68 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	14.43	06/05/20	18.45	4.02 W	0.00	2 of 3 - Sale <sup>25</sup>
	44.000	211.64	06/05/20	279.40	67.76 W	0.00	3 of 3 - Sale <sup>25</sup>
06/11/20	49.000	235.69	Various	310.15	74.46 W	0.00	Total of 3 transactions
	2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	100.000	579.97	08/11/20	569.52	...	10.45	1 of 2 - Sale <sup>25</sup>
	100.000	581.97	08/11/20	569.52	...	12.45	2 of 2 - Sale <sup>25</sup>
08/12/20	200.000	1,161.94	Various	1,139.04	...	22.90	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CENTURY CASINOS, INC. COMMON S TOCK / CUSIP: 156492100 / Symbol: (cont'd)							
<b>Security total:</b>		<b>4,055.18</b>		<b>5,179.25</b>	<b>74.46 W</b>		<b>-1,049.61</b>
CERIDIAN HCM HOLDING / CUSIP: 15677J108 / Symbol:							
11/30/20	10.000	943.47	11/27/20	985.60	42.13 W	0.00	Sale <sup>25</sup>
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	10.000	964.68	12/07/20	1,012.53	47.85 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	964.67	12/07/20	970.40	5.73 W	0.00	2 of 2 - Sale <sup>25</sup>
12/07/20	20.000	1,929.35	Various	1,982.93	53.58 W	0.00	Total of 2 transactions
3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	10.000	978.98	12/08/20	1,025.85	...	-46.87	1 of 3 - Sale <sup>25</sup>
	10.000	978.97	12/08/20	983.73	...	-4.76	2 of 3 - Sale <sup>25</sup>
	10.000	978.98	12/08/20	978.00	...	0.98	3 of 3 - Sale <sup>25</sup>
12/08/20	30.000	2,936.93	Various	2,987.58	...	-50.65	Total of 3 transactions
<b>Security total:</b>		<b>5,809.75</b>		<b>5,956.11</b>	<b>95.71 W</b>		<b>-50.65</b>
CHANGE HEALTHCARE INC. COMMON STOCK / CUSIP: 15912K100 / Symbol:							
11/04/20	50.000	757.98	11/04/20	754.92	...	3.06	Sale <sup>25</sup>
CHAPARRAL ENERGY INC-NEW COMMO N STOCK / CUSIP: 15942R208 / Symbol:							
04/24/20	100.000	38.54	04/23/20	30.00	...	8.54	Sale <sup>25</sup>
04/29/20	250.000	79.72	04/28/20	71.60	...	8.12	Sale <sup>25</sup>
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	50.000	17.50	04/28/20	14.32	...	3.18	1 of 2 - Sale <sup>25</sup>
	200.000	71.98	04/28/20	57.28	...	14.70	2 of 2 - Sale <sup>25</sup>
04/30/20	250.000	89.48	Various	71.60	...	17.88	Total of 2 transactions
<b>Security total:</b>		<b>207.74</b>		<b>173.20</b>	<b>...</b>		<b>34.54</b>
CHEGG, INC. / CUSIP: 163092109 / Symbol:							
08/03/20	10.000	883.98	08/03/20	923.12	...	-39.14	Sale <sup>25</sup>
08/03/20	10.000	881.98	08/03/20	905.10	23.12 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>1,765.96</b>		<b>1,828.22</b>	<b>23.12 W</b>		<b>-39.14</b>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHEWY, INC. / CUSIP: 16679L109 / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	49.000	2,413.19	06/17/20	2,420.60	...	-7.41	1 of 2 - Sale <sup>25</sup>
	1.000	49.25	06/17/20	49.40	...	-0.15	2 of 2 - Sale <sup>25</sup>
06/19/20	50.000	2,462.44	Various	2,470.00	...	-7.56	Total of 2 transactions
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	10.000	513.53	07/31/20	519.40	...	-5.87	1 of 2 - Sale <sup>25</sup>
	10.000	513.54	07/31/20	519.40	...	-5.86	2 of 2 - Sale <sup>25</sup>
07/31/20	20.000	1,027.07	Various	1,038.80	...	-11.73	Total of 2 transactions
6 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	50.000	2,919.49	10/01/20	2,918.16	...	1.33	1 of 6 - Sale <sup>25</sup>
	10.000	565.68	10/01/20	563.50	...	2.18	2 of 6 - Sale <sup>25</sup>
	50.000	2,902.41	10/01/20	2,893.58	...	8.83	3 of 6 - Sale <sup>25</sup>
	10.000	578.72	10/01/20	567.38	...	11.34	4 of 6 - Sale <sup>25</sup>
	20.000	1,167.17	10/01/20	1,153.80	...	13.37	5 of 6 - Sale <sup>25</sup>
	30.000	1,750.76	10/01/20	1,735.99	...	14.77	6 of 6 - Sale <sup>25</sup>
10/01/20	170.000	9,884.23	Various	9,832.41	...	51.82	Total of 6 transactions
10/01/20	30.000	1,754.61	10/01/20	1,759.90	5.29 W	0.00	Sale <sup>25</sup>
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	10.000	568.06	10/02/20	562.54	...	5.52	1 of 2 - Sale <sup>25</sup>
	10.000	574.69	10/02/20	562.54	...	12.15	2 of 2 - Sale <sup>25</sup>
10/05/20	20.000	1,142.75	Various	1,125.08	...	17.67	Total of 2 transactions
14 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	58.12	10/06/20	58.50	0.38 W	0.00	1 of 14 - Sale <sup>25</sup>
	19.000	1,104.25	10/06/20	1,111.50	7.25 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.000	58.12	10/06/20	58.58	0.46 W	0.00	3 of 14 - Sale <sup>25</sup>
	9.000	523.07	10/06/20	527.22	4.15 W	0.00	4 of 14 - Sale <sup>25</sup>
	1.000	57.50	10/06/20	58.18	0.68 W	0.00	5 of 14 - Sale <sup>25</sup>
	1.000	57.50	10/06/20	58.26	0.76 W	0.00	6 of 14 - Sale <sup>25</sup>
	9.000	517.49	10/06/20	524.35	6.86 W	0.00	7 of 14 - Sale <sup>25</sup>
	19.000	1,092.47	10/06/20	1,105.45	12.98 W	0.00	8 of 14 - Sale <sup>25</sup>
	20.000	1,149.97	10/06/20	1,156.00	6.03 W	0.00	9 of 14 - Sale <sup>25</sup>
	1.000	57.66	10/06/20	58.62	0.96 W	0.00	10 of 14 - Sale <sup>25</sup>
	1.000	57.66	10/06/20	58.70	1.04 W	0.00	11 of 14 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHEWY, INC. / CUSIP: 16679L109 / Symbol: (cont'd)							
	9.000	518.93	10/06/20	528.28	9.35 W	0.00	12 of 14 - Sale <sup>25</sup>
	19.000	1,095.51	10/06/20	1,113.76	18.25 W	0.00	13 of 14 - Sale <sup>25</sup>
	20.000	1,153.17	10/06/20	1,164.75	11.58 W	0.00	14 of 14 - Sale <sup>25</sup>
10/06/20	130.000	7,501.42	Various	7,582.15	80.73 W	0.00	Total of 14 transactions
	12 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	58.21	10/08/20	60.10	1.89 W	0.00	1 of 12 - Sale <sup>25</sup>
	19.000	1,105.96	10/08/20	1,141.92	35.96 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	58.21	10/08/20	60.14	1.93 W	0.00	3 of 12 - Sale <sup>25</sup>
	9.000	523.88	10/08/20	541.25	17.37 W	0.00	4 of 12 - Sale <sup>25</sup>
	10.000	582.08	10/08/20	595.59	13.51 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	58.22	10/08/20	60.70	2.48 W	0.00	6 of 12 - Sale <sup>25</sup>
	10.000	582.19	10/08/20	593.89	11.70 W	0.00	7 of 12 - Sale <sup>25</sup>
	19.000	1,106.15	10/08/20	1,153.35	47.20 W	0.00	8 of 12 - Sale <sup>25</sup>
	1.000	58.52	10/08/20	60.58	2.06 W	0.00	9 of 12 - Sale <sup>25</sup>
	9.000	526.67	10/08/20	545.22	18.55 W	0.00	10 of 12 - Sale <sup>25</sup>
	10.000	585.18	10/08/20	600.01	14.83 W	0.00	11 of 12 - Sale <sup>25</sup>
	10.000	585.19	10/08/20	598.21	13.02 W	0.00	12 of 12 - Sale <sup>25</sup>
10/08/20	100.000	5,830.46	Various	6,010.96	180.50 W	0.00	Total of 12 transactions
	8 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	10.000	608.89	10/09/20	636.27	...	-27.38	1 of 8 - Sale <sup>25</sup>
	9.000	552.40	10/09/20	574.50	...	-22.10	2 of 8 - Sale <sup>25</sup>
	9.000	547.99	10/09/20	568.82	...	-20.83	3 of 8 - Sale <sup>25</sup>
	10.000	617.69	10/09/20	621.55	...	-3.86	4 of 8 - Sale <sup>25</sup>
	5.000	308.84	10/09/20	312.05	...	-3.21	5 of 8 - Sale <sup>25</sup>
	1.000	61.38	10/09/20	63.83	...	-2.45	6 of 8 - Sale <sup>25</sup>
	1.000	60.89	10/09/20	63.20	...	-2.31	7 of 8 - Sale <sup>25</sup>
	5.000	308.84	10/09/20	309.88	...	-1.04	8 of 8 - Sale <sup>25</sup>
10/09/20	50.000	3,066.92	Various	3,150.10	...	-83.18	Total of 8 transactions
	9 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	1.000	59.20	10/09/20	61.92	2.72 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	59.20	10/09/20	61.50	2.30 W	0.00	2 of 9 - Sale <sup>25</sup>
	9.000	532.79	10/09/20	553.51	20.72 W	0.00	3 of 9 - Sale <sup>25</sup>
	19.000	1,124.77	10/09/20	1,176.56	51.79 W	0.00	4 of 9 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHEWY, INC. / CUSIP: 16679L109 / Symbol: (cont'd)							
	10.000	611.34	10/09/20	619.63	8.29 W	0.00	5 of 9 - Sale <sup>25</sup>
	5.000	305.66	10/09/20	308.91	3.25 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	60.70	10/09/20	63.20	2.50 W	0.00	7 of 9 - Sale <sup>25</sup>
	9.000	546.28	10/09/20	568.85	22.57 W	0.00	8 of 9 - Sale <sup>25</sup>
	5.000	303.49	10/09/20	308.91	5.42 W	0.00	9 of 9 - Sale <sup>25</sup>
10/09/20	60.000	3,603.43	Various	3,722.99	119.56 W	0.00	Total of 9 transactions
	<b>Security total:</b>	<b>36,273.33</b>		<b>36,692.39</b>	<b>386.08 W</b>	<b>-32.98</b>	
CHINA JO-JO DRUGSTORES, INC. C OMMON STOCK / CUSIP: 16949A206 / Symbol:							
01/29/20	100.000	195.99	01/27/20	205.00	...	-9.01	Sale <sup>25</sup>
CITIZENS & NORTHERN CORP COMMO N STOCK / CUSIP: 172922106 / Symbol:							
4 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	10.000	206.99	10/21/19	256.40	...	-49.41	1 of 4 - Sale <sup>25</sup>
	11.000	227.69	12/04/19	278.85	...	-51.16	2 of 4 - Sale <sup>25</sup>
	6.000	124.20	12/04/19	152.40	...	-28.20	3 of 4 - Sale <sup>25</sup>
	3.000	62.10	12/04/19	76.05	...	-13.95	4 of 4 - Sale <sup>25</sup>
06/23/20	30.000	620.98	Various	763.70	...	-142.72	Total of 4 transactions
CITIGROUP INC. / CUSIP: 172967424 / Symbol:							
07/24/20	3.000	155.57	07/23/20	156.45	...	-0.88	Sale <sup>25</sup>
07/27/20	17.000	862.22	07/23/20	886.55	...	-24.33	Sale <sup>25</sup>
09/03/20	10.000	523.71	09/03/20	535.00	...	-11.29	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,541.50</b>		<b>1,578.00</b>	...	<b>-36.50</b>	
CLEAR CHANNEL OUTDOOR HOLDINGS, INC. COMMON STOCK / CUSIP: 18453H106 / Symbol:							
6 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	50.000	54.00	04/22/20	38.79	...	15.21	1 of 6 - Sale <sup>25</sup>
	50.000	54.00	04/22/20	38.79	...	15.21	2 of 6 - Sale <sup>25</sup>
	100.000	107.99	04/22/20	77.58	...	30.41	3 of 6 - Sale <sup>25</sup>
	100.000	107.99	04/22/20	77.58	...	30.41	4 of 6 - Sale <sup>25</sup>
	100.000	107.99	04/22/20	77.58	...	30.41	5 of 6 - Sale <sup>25</sup>
	100.000	107.99	04/22/20	77.58	...	30.41	6 of 6 - Sale <sup>25</sup>
04/23/20	500.000	539.96	Various	387.90	...	152.06	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLEVELAND BIOLABS, INC. COMMON STOCK / CUSIP: 185860202 / Symbol:							
10/20/20	10.000	35.70	10/20/20	50.00	14.30 W	0.00	Sale <sup>25</sup>
10/23/20	10.000	25.80	10/20/20	63.80	...	-38.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>61.50</b>		<b>113.80</b>	<b>14.30 W</b>	<b>-38.00</b>	
CLEVELAND-CLIFFS INC. / CUSIP: 185899101 / Symbol:							
3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	30.000	171.98	10/21/19	210.45	...	-38.47	1 of 3 - Sale <sup>25</sup>
	10.000	57.33	10/23/19	67.65	...	-10.32	2 of 3 - Sale <sup>25</sup>
	20.000	114.65	02/25/20	128.00	...	-13.35	3 of 3 - Sale <sup>25</sup>
06/23/20	60.000	343.96	Various	406.10	...	-62.14	Total of 3 transactions
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	5.000	30.30	08/10/20	29.95	...	0.35	1 of 3 - Sale <sup>25</sup>
	10.000	60.60	08/10/20	59.90	...	0.70	2 of 3 - Sale <sup>25</sup>
	85.000	514.65	08/10/20	509.15	...	5.50	3 of 3 - Sale <sup>25</sup>
08/10/20	100.000	605.55	Various	599.00	...	6.55	Total of 3 transactions
11/12/20	50.000	418.00	11/12/20	419.75	1.75 W	0.00	Sale <sup>25</sup>
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	50.000	591.73	11/20/20	466.75	...	124.98	1 of 2 - Sale <sup>25</sup>
	50.000	591.73	11/20/20	465.00	...	126.73	2 of 2 - Sale <sup>25</sup>
12/01/20	100.000	1,183.46	Various	931.75	...	251.71	Total of 2 transactions
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	100.000	1,359.13	12/07/20	1,260.50	...	98.63	1 of 2 - Sale <sup>25</sup>
	100.000	1,359.12	12/08/20	1,263.50	...	95.62	2 of 2 - Sale <sup>25</sup>
12/11/20	200.000	2,718.25	Various	2,524.00	...	194.25	Total of 2 transactions
	<b>Security total:</b>	<b>5,269.22</b>		<b>4,880.60</b>	<b>1.75 W</b>	<b>390.37</b>	
CLOUDFLARE, INC. CLASS A COMMO N STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	10.000	317.99	06/15/20	317.84	...	0.15	1 of 2 - Sale <sup>25</sup>
	10.000	317.99	06/15/20	317.50	...	0.49	2 of 2 - Sale <sup>25</sup>
06/15/20	20.000	635.98	Various	635.34	...	0.64	Total of 2 transactions
06/15/20	20.000	635.99	06/15/20	636.40	0.41 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CLOUDFLARE, INC. CLASS A COMMO N STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol: (cont'd)							
<i>2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	738.98	06/18/20	738.41	...	0.57	1 of 2 - Sale <sup>25</sup>
	80.000	2,955.92	06/18/20	2,952.00	...	3.92	2 of 2 - Sale <sup>25</sup>
06/18/20	100.000	3,694.90	Various	3,690.41	...	4.49	Total of 2 transactions
06/25/20	10.000	357.84	06/25/20	356.07	...	1.77	Sale <sup>25</sup>
10/16/20	15.000	915.65	10/15/20	873.75	...	41.90	Sale <sup>25</sup>
11/04/20	20.000	1,125.57	11/04/20	1,124.21	...	1.36	Sale <sup>25</sup>
11/06/20	20.000	1,336.50	11/06/20	1,357.00	20.50 W	0.00	Sale <sup>25</sup>
11/11/20	10.000	659.58	11/11/20	652.60	...	6.98	Sale <sup>25</sup>
11/11/20	20.000	1,319.17	11/11/20	1,325.70	6.53 W	0.00	Sale <sup>25</sup>
11/17/20	10.000	652.48	11/17/20	657.76	5.28 W	0.00	Sale <sup>25</sup>
11/20/20	10.000	665.78	11/20/20	671.97	6.19 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	662.69	11/23/20	677.59	...	-14.90	1 of 2 - Sale <sup>25</sup>
	10.000	662.68	11/23/20	671.39	...	-8.71	2 of 2 - Sale <sup>25</sup>
11/23/20	20.000	1,325.37	Various	1,348.98	...	-23.61	Total of 2 transactions
11/23/20	10.000	662.68	11/23/20	676.68	14.00 W	0.00	Sale <sup>25</sup>
11/25/20	10.000	691.44	11/23/20	667.80	...	23.64	Sale <sup>25</sup>
<b>Security total:</b>		<b>14,678.93</b>		<b>14,674.67</b>	<b>52.91 W</b>	<b>57.17</b>	
CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol:							
02/27/20	10.000	192.00	02/26/20	89.50	...	102.50	Sale <sup>25</sup>
07/21/20	100.000	2,206.94	07/21/20	2,183.00	...	23.94	Sale <sup>25</sup>
08/03/20	50.000	1,454.96	08/03/20	1,448.75	...	6.21	Sale <sup>25</sup>
<i>5 transactions for 11/13/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	33.40	11/13/20	31.91	...	1.49	1 of 5 - Sale <sup>25</sup>
	30.000	493.80	11/13/20	489.00	...	4.80	2 of 5 - Sale <sup>25</sup>
	10.000	166.50	11/13/20	159.43	...	7.07	3 of 5 - Sale <sup>25</sup>
	18.000	300.60	11/13/20	286.98	...	13.62	4 of 5 - Sale <sup>25</sup>
	20.000	333.05	11/13/20	318.87	...	14.18	5 of 5 - Sale <sup>25</sup>
11/13/20	80.000	1,327.35	Various	1,286.19	...	41.16	Total of 5 transactions
<b>Security total:</b>		<b>5,181.25</b>		<b>5,007.44</b>	...	<b>173.81</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
06/11/20	100.000	4,730.89	06/10/20	4,859.83	...	-128.94	Sale <sup>25</sup>
COEUR MINING, INC. / CUSIP: 192108504 / Symbol:							
07/27/20	10.000	82.50	07/27/20	83.40	...	-0.90	Sale <sup>25</sup>
07/27/20	20.000	165.00	07/27/20	165.40	0.40 W	0.00	Sale <sup>25</sup>
07/28/20	20.000	166.50	07/27/20	167.20	...	-0.70	Sale <sup>25</sup>
	<b>Security total:</b>	<b>414.00</b>		<b>416.00</b>	<b>0.40 W</b>	<b>-1.60</b>	
COGNEX CORPORATION COMMON STOCK / CUSIP: 192422103 / Symbol:							
<i>2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	22.000	1,272.23	06/03/20	1,274.90	...	-2.67	1 of 2 - Sale <sup>25</sup>
	13.000	751.77	06/03/20	753.35	...	-1.58	2 of 2 - Sale <sup>25</sup>
06/05/20	35.000	2,024.00	Various	2,028.25	...	-4.25	Total of 2 transactions
COGNIZANT TECHNOLOGY SOLUTIONS CORPORATION CLASS A COMMON STOCK / CUSIP: 192446102 / Symbol:							
11/04/20	10.000	731.88	11/04/20	733.40	...	-1.52	Sale <sup>25</sup>
COHU, INC. COMMON STOCK / CUSIP: 192576106 / Symbol:							
<i>2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	1,419.46	11/30/20	1,439.89	20.43 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	1,419.46	11/30/20	1,436.00	16.54 W	0.00	2 of 2 - Sale <sup>25</sup>
11/30/20	100.000	2,838.92	Various	2,875.89	36.97 W	0.00	Total of 2 transactions
12/07/20	50.000	1,917.34	12/07/20	1,895.43	...	21.91	Sale <sup>25</sup>
<i>2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	1,859.95	12/28/20	1,936.54	...	-76.59	1 of 2 - Sale <sup>25</sup>
	50.000	1,859.95	12/28/20	1,920.00	...	-60.05	2 of 2 - Sale <sup>25</sup>
12/29/20	100.000	3,719.90	Various	3,856.54	...	-136.64	Total of 2 transactions
	<b>Security total:</b>	<b>8,476.16</b>		<b>8,627.86</b>	<b>36.97 W</b>	<b>-114.73</b>	
COMPANHIA ENERGETICA DE MINAS GERAIS-CEMIG / CUSIP: 204409601 / Symbol:							
<i>2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	180.18	04/28/20	167.00	...	13.18	1 of 2 - Sale <sup>25</sup>
	100.000	180.18	04/28/20	166.00	...	14.18	2 of 2 - Sale <sup>25</sup>
04/29/20	200.000	360.36	Various	333.00	...	27.36	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COMSTOCK HOLDING COMPANIES, IN C. CLASS A COMMON STOCK / CUSIP: 205684202 / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	400.000	1,463.92	06/19/20	1,355.96	...	107.96	1 of 2 - Sale <sup>25</sup>
	600.000	2,195.87	06/19/20	2,033.94	...	161.93	2 of 2 - Sale <sup>25</sup>
06/19/20	1,000.000	3,659.79	Various	3,389.90	...	269.89	Total of 2 transactions
COMSTOCK RESOURCES, INC. / CUSIP: 205768302 / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	100.000	495.99	11/23/20	468.78	...	27.21	1 of 2 - Sale <sup>25</sup>
	100.000	496.00	11/23/20	468.50	...	27.50	2 of 2 - Sale <sup>25</sup>
12/01/20	200.000	991.99	Various	937.28	...	54.71	Total of 2 transactions
9 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	200.000	915.95	12/03/20	926.00	...	-10.05	1 of 9 - Sale <sup>25</sup>
	200.000	915.95	12/03/20	926.00	...	-10.05	2 of 9 - Sale <sup>25</sup>
	183.000	838.10	12/03/20	847.29	...	-9.19	3 of 9 - Sale <sup>25</sup>
	100.000	457.99	12/03/20	463.00	...	-5.01	4 of 9 - Sale <sup>25</sup>
	100.000	457.99	12/03/20	463.00	...	-5.01	5 of 9 - Sale <sup>25</sup>
	100.000	457.99	12/03/20	463.00	...	-5.01	6 of 9 - Sale <sup>25</sup>
	100.000	457.99	12/03/20	463.00	...	-5.01	7 of 9 - Sale <sup>25</sup>
	10.000	45.80	12/03/20	46.30	...	-0.50	8 of 9 - Sale <sup>25</sup>
	7.000	32.06	12/03/20	32.41	...	-0.35	9 of 9 - Sale <sup>25</sup>
12/03/20	1,000.000	4,579.82	Various	4,630.00	...	-50.18	Total of 9 transactions
	<b>Security total:</b>	<b>5,571.81</b>		<b>5,567.28</b>	...	<b>4.53</b>	
CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol:							
7 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	3.000	12.66	08/07/20	12.66	...	0.00	1 of 7 - Sale <sup>25</sup>
	47.000	198.34	08/07/20	198.34	...	0.00	2 of 7 - Sale <sup>25</sup>
	10.000	42.20	08/07/20	41.80	...	0.40	3 of 7 - Sale <sup>25</sup>
	15.000	63.30	08/07/20	62.70	...	0.60	4 of 7 - Sale <sup>25</sup>
	50.000	210.99	08/07/20	210.00	...	0.99	5 of 7 - Sale <sup>25</sup>
	25.000	105.50	08/07/20	104.50	...	1.00	6 of 7 - Sale <sup>25</sup>
	20.000	84.40	08/07/20	82.70	...	1.70	7 of 7 - Sale <sup>25</sup>
08/07/20	170.000	717.39	Various	712.70	...	4.69	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol:							
<i>5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	193.60	06/29/20	197.00	...	-3.40	1 of 5 - Sale <sup>25</sup>
	6.000	59.88	06/29/20	59.67	...	0.21	2 of 5 - Sale <sup>25</sup>
	10.000	99.80	06/29/20	99.12	...	0.68	3 of 5 - Sale <sup>25</sup>
	94.000	938.08	06/29/20	934.83	...	3.25	4 of 5 - Sale <sup>25</sup>
	90.000	898.17	06/29/20	892.09	...	6.08	5 of 5 - Sale <sup>25</sup>
06/29/20	220.000	2,189.53	Various	2,182.71	...	6.82	Total of 5 transactions

CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:

*65 transactions for 06/11/20. Total proceeds and cost reported to the IRS.*

1.000	170.50	06/11/20	170.50	...	0.00	1 of 65 - Sale <sup>25</sup>
1.000	171.00	06/11/20	170.85	...	0.15	2 of 65 - Sale <sup>25</sup>
1.000	170.50	06/11/20	170.30	...	0.20	3 of 65 - Sale <sup>25</sup>
1.000	178.50	06/11/20	178.00	...	0.50	4 of 65 - Sale <sup>25</sup>
1.000	175.00	06/11/20	174.46	...	0.54	5 of 65 - Sale <sup>25</sup>
1.000	171.50	06/11/20	170.85	...	0.65	6 of 65 - Sale <sup>25</sup>
2.000	374.99	06/11/20	374.28	...	0.71	7 of 65 - Sale <sup>25</sup>
1.000	178.49	06/11/20	177.50	...	0.99	8 of 65 - Sale <sup>25</sup>
1.000	171.00	06/11/20	170.00	...	1.00	9 of 65 - Sale <sup>25</sup>
1.000	170.50	06/11/20	169.50	...	1.00	10 of 65 - Sale <sup>25</sup>
1.000	170.50	06/11/20	169.50	...	1.00	11 of 65 - Sale <sup>25</sup>
1.000	178.50	06/11/20	177.50	...	1.00	12 of 65 - Sale <sup>25</sup>
3.000	562.49	06/11/20	561.43	...	1.06	13 of 65 - Sale <sup>25</sup>
1.000	171.50	06/11/20	170.25	...	1.25	14 of 65 - Sale <sup>25</sup>
1.000	171.50	06/11/20	170.25	...	1.25	15 of 65 - Sale <sup>25</sup>
9.000	1,538.96	06/11/20	1,537.65	...	1.31	16 of 65 - Sale <sup>25</sup>
10.000	1,709.96	06/11/20	1,708.50	...	1.46	17 of 65 - Sale <sup>25</sup>
1.000	172.11	06/11/20	170.60	...	1.51	18 of 65 - Sale <sup>25</sup>
1.000	190.09	06/11/20	188.43	...	1.66	19 of 65 - Sale <sup>25</sup>
1.000	178.50	06/11/20	176.78	...	1.72	20 of 65 - Sale <sup>25</sup>
9.000	1,534.46	06/11/20	1,532.70	...	1.76	21 of 65 - Sale <sup>25</sup>
1.000	191.50	06/11/20	189.50	...	2.00	22 of 65 - Sale <sup>25</sup>
1.000	190.09	06/11/20	188.09	...	2.00	23 of 65 - Sale <sup>25</sup>
1.000	191.74	06/11/20	189.50	...	2.24	24 of 65 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
1.000		191.75	06/11/20	189.50	...	2.25	25 of 65 - Sale <sup>25</sup>
1.000		191.74	06/11/20	189.20	...	2.54	26 of 65 - Sale <sup>25</sup>
1.000		171.11	06/11/20	168.50	...	2.61	27 of 65 - Sale <sup>25</sup>
10.000		1,704.96	06/11/20	1,702.00	...	2.96	28 of 65 - Sale <sup>25</sup>
1.000		191.49	06/11/20	188.50	...	2.99	29 of 65 - Sale <sup>25</sup>
8.000		1,427.96	06/11/20	1,424.11	...	3.85	30 of 65 - Sale <sup>25</sup>
1.000		187.50	06/11/20	183.30	...	4.20	31 of 65 - Sale <sup>25</sup>
2.000		383.50	06/11/20	379.00	...	4.50	32 of 65 - Sale <sup>25</sup>
10.000		1,709.96	06/11/20	1,705.00	...	4.96	33 of 65 - Sale <sup>25</sup>
8.000		1,427.97	06/11/20	1,422.58	...	5.39	34 of 65 - Sale <sup>25</sup>
9.000		1,543.47	06/11/20	1,537.65	...	5.82	35 of 65 - Sale <sup>25</sup>
4.000		760.34	06/11/20	753.70	...	6.64	36 of 65 - Sale <sup>25</sup>
3.000		575.24	06/11/20	567.60	...	7.64	37 of 65 - Sale <sup>25</sup>
10.000		1,709.96	06/11/20	1,702.00	...	7.96	38 of 65 - Sale <sup>25</sup>
8.000		1,363.96	06/11/20	1,356.00	...	7.96	39 of 65 - Sale <sup>25</sup>
10.000		1,714.96	06/11/20	1,706.50	...	8.46	40 of 65 - Sale <sup>25</sup>
9.000		1,538.96	06/11/20	1,529.82	...	9.14	41 of 65 - Sale <sup>25</sup>
10.000		1,704.95	06/11/20	1,695.80	...	9.15	42 of 65 - Sale <sup>25</sup>
5.000		937.47	06/11/20	928.32	...	9.15	43 of 65 - Sale <sup>25</sup>
5.000		957.48	06/11/20	947.50	...	9.98	44 of 65 - Sale <sup>25</sup>
9.000		1,543.46	06/11/20	1,532.25	...	11.21	45 of 65 - Sale <sup>25</sup>
9.000		1,543.46	06/11/20	1,532.25	...	11.21	46 of 65 - Sale <sup>25</sup>
9.000		1,548.95	06/11/20	1,535.44	...	13.51	47 of 65 - Sale <sup>25</sup>
20.000		3,801.71	06/11/20	3,788.20	...	13.51	48 of 65 - Sale <sup>25</sup>
1.000		206.28	06/11/20	192.07	...	14.21	49 of 65 - Sale <sup>25</sup>
10.000		1,704.95	06/11/20	1,689.90	...	15.05	50 of 65 - Sale <sup>25</sup>
6.000		1,150.47	06/11/20	1,135.20	...	15.27	51 of 65 - Sale <sup>25</sup>
10.000		1,894.96	06/11/20	1,878.30	...	16.66	52 of 65 - Sale <sup>25</sup>
4.000		749.98	06/11/20	733.20	...	16.78	53 of 65 - Sale <sup>25</sup>
10.000		1,711.06	06/11/20	1,690.00	...	21.06	54 of 65 - Sale <sup>25</sup>
9.000		1,606.46	06/11/20	1,584.54	...	21.92	55 of 65 - Sale <sup>25</sup>
10.000		1,711.06	06/11/20	1,689.00	...	22.06	56 of 65 - Sale <sup>25</sup>
10.000		1,894.96	06/11/20	1,872.80	...	22.16	57 of 65 - Sale <sup>25</sup>
9.000		1,539.95	06/11/20	1,516.50	...	23.45	58 of 65 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	10.000	1,894.95	06/11/20	1,868.10	...	26.85	59 of 65 - Sale <sup>25</sup>
	9.000	1,723.46	06/11/20	1,696.50	...	26.96	60 of 65 - Sale <sup>25</sup>
	10.000	1,884.95	06/11/20	1,857.90	...	27.05	61 of 65 - Sale <sup>25</sup>
	9.000	1,710.77	06/11/20	1,683.38	...	27.39	62 of 65 - Sale <sup>25</sup>
	10.000	1,784.95	06/11/20	1,755.00	...	29.95	63 of 65 - Sale <sup>25</sup>
	10.000	1,894.95	06/11/20	1,862.00	...	32.95	64 of 65 - Sale <sup>25</sup>
	20.000	3,789.91	06/11/20	3,721.20	...	68.71	65 of 65 - Sale <sup>25</sup>
06/11/20	372.000	66,770.26	Various	66,147.23	...	623.03	Total of 65 transactions
	39 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	171.00	06/11/20	171.10	0.10 W	0.00	1 of 39 - Sale <sup>25</sup>
	9.000	1,538.96	06/11/20	1,539.90	0.94 W	0.00	2 of 39 - Sale <sup>25</sup>
	1.000	170.04	06/11/20	171.85	1.81 W	0.00	3 of 39 - Sale <sup>25</sup>
	1.000	170.00	06/11/20	173.66	3.66 W	0.00	4 of 39 - Sale <sup>25</sup>
	8.000	1,359.96	06/11/20	1,374.80	14.84 W	0.00	5 of 39 - Sale <sup>25</sup>
	1.000	170.00	06/11/20	171.00	1.00 W	0.00	6 of 39 - Sale <sup>25</sup>
	9.000	1,529.96	06/11/20	1,539.00	9.04 W	0.00	7 of 39 - Sale <sup>25</sup>
	1.000	170.50	06/11/20	174.51	4.01 W	0.00	8 of 39 - Sale <sup>25</sup>
	1.000	170.50	06/11/20	171.76	1.26 W	0.00	9 of 39 - Sale <sup>25</sup>
	8.000	1,363.97	06/11/20	1,380.92	16.95 W	0.00	10 of 39 - Sale <sup>25</sup>
	1.000	170.50	06/11/20	171.50	1.00 W	0.00	11 of 39 - Sale <sup>25</sup>
	8.000	1,363.97	06/11/20	1,372.04	8.07 W	0.00	12 of 39 - Sale <sup>25</sup>
	1.000	175.00	06/11/20	177.21	2.21 W	0.00	13 of 39 - Sale <sup>25</sup>
	8.000	1,399.97	06/11/20	1,402.55	2.58 W	0.00	14 of 39 - Sale <sup>25</sup>
	1.000	175.00	06/11/20	175.50	0.50 W	0.00	15 of 39 - Sale <sup>25</sup>
	1.000	174.99	06/11/20	176.71	1.72 W	0.00	16 of 39 - Sale <sup>25</sup>
	8.000	1,399.96	06/11/20	1,404.07	4.11 W	0.00	17 of 39 - Sale <sup>25</sup>
	1.000	178.50	06/11/20	179.22	0.72 W	0.00	18 of 39 - Sale <sup>25</sup>
	5.000	917.48	06/11/20	942.50	25.02 W	0.00	19 of 39 - Sale <sup>25</sup>
	10.000	1,834.95	06/11/20	1,872.80	37.85 W	0.00	20 of 39 - Sale <sup>25</sup>
	5.000	917.48	06/11/20	937.50	20.02 W	0.00	21 of 39 - Sale <sup>25</sup>
	5.000	915.98	06/11/20	962.52	46.54 W	0.00	22 of 39 - Sale <sup>25</sup>
	1.000	183.19	06/11/20	190.29	7.10 W	0.00	23 of 39 - Sale <sup>25</sup>
	4.000	732.78	06/11/20	761.14	28.36 W	0.00	24 of 39 - Sale <sup>25</sup>
	5.000	938.32	06/11/20	952.52	14.20 W	0.00	25 of 39 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	5.000	938.33	06/11/20	979.04	40.71 W	0.00	26 of 39 - Sale <sup>25</sup>
	5.000	938.33	06/11/20	951.42	13.09 W	0.00	27 of 39 - Sale <sup>25</sup>
	1.000	187.66	06/11/20	193.34	5.68 W	0.00	28 of 39 - Sale <sup>25</sup>
	4.000	750.66	06/11/20	773.32	22.66 W	0.00	29 of 39 - Sale <sup>25</sup>
	5.000	937.48	06/11/20	944.29	6.81 W	0.00	30 of 39 - Sale <sup>25</sup>
	1.000	187.50	06/11/20	189.98	2.48 W	0.00	31 of 39 - Sale <sup>25</sup>
	4.000	749.98	06/11/20	759.86	9.88 W	0.00	32 of 39 - Sale <sup>25</sup>
	5.000	937.48	06/11/20	962.22	24.74 W	0.00	33 of 39 - Sale <sup>25</sup>
	1.000	188.50	06/11/20	191.05	2.55 W	0.00	34 of 39 - Sale <sup>25</sup>
	1.000	188.50	06/11/20	188.58	0.08 W	0.00	35 of 39 - Sale <sup>25</sup>
	4.000	753.98	06/11/20	764.19	10.21 W	0.00	36 of 39 - Sale <sup>25</sup>
	4.000	753.98	06/11/20	754.28	0.30 W	0.00	37 of 39 - Sale <sup>25</sup>
	1.000	190.00	06/11/20	191.05	1.05 W	0.00	38 of 39 - Sale <sup>25</sup>
	4.000	760.34	06/11/20	763.61	3.27 W	0.00	39 of 39 - Sale <sup>25</sup>
06/11/20	149.000	26,755.68	Various	27,152.80	397.12 W	0.00	Total of 39 transactions
61 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	192.99	06/12/20	192.85	...	0.14	1 of 61 - Sale <sup>25</sup>
	12.000	2,285.94	06/12/20	2,285.58	...	0.36	2 of 61 - Sale <sup>25</sup>
	20.000	3,900.50	06/12/20	3,900.00	...	0.50	3 of 61 - Sale <sup>25</sup>
	1.000	190.50	06/12/20	190.00	...	0.50	4 of 61 - Sale <sup>25</sup>
	3.000	578.99	06/12/20	578.45	...	0.54	5 of 61 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	193.77	...	0.73	6 of 61 - Sale <sup>25</sup>
	9.000	1,736.96	06/12/20	1,735.65	...	1.31	7 of 61 - Sale <sup>25</sup>
	1.000	195.13	06/12/20	193.70	...	1.43	8 of 61 - Sale <sup>25</sup>
	1.000	192.99	06/12/20	191.50	...	1.49	9 of 61 - Sale <sup>25</sup>
	1.000	224.30	06/12/20	222.71	...	1.59	10 of 61 - Sale <sup>25</sup>
	1.000	193.49	06/12/20	191.85	...	1.64	11 of 61 - Sale <sup>25</sup>
	2.000	388.99	06/12/20	387.00	...	1.99	12 of 61 - Sale <sup>25</sup>
	1.000	227.23	06/12/20	225.20	...	2.03	13 of 61 - Sale <sup>25</sup>
	2.000	376.23	06/12/20	373.77	...	2.46	14 of 61 - Sale <sup>25</sup>
	1.000	215.99	06/12/20	213.50	...	2.49	15 of 61 - Sale <sup>25</sup>
	4.000	777.98	06/12/20	775.06	...	2.92	16 of 61 - Sale <sup>25</sup>
	1.000	193.50	06/12/20	190.50	...	3.00	17 of 61 - Sale <sup>25</sup>
	1.000	195.13	06/12/20	191.50	...	3.63	18 of 61 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
1.000		224.30	06/12/20	220.60	...	3.70	19 of 61 - Sale <sup>25</sup>
1.000		227.23	06/12/20	223.00	...	4.23	20 of 61 - Sale <sup>25</sup>
1.000		218.00	06/12/20	213.43	...	4.57	21 of 61 - Sale <sup>25</sup>
1.000		218.00	06/12/20	212.85	...	5.15	22 of 61 - Sale <sup>25</sup>
1.000		227.99	06/12/20	222.50	...	5.49	23 of 61 - Sale <sup>25</sup>
7.000		1,350.97	06/12/20	1,344.00	...	6.97	24 of 61 - Sale <sup>25</sup>
2.000		390.25	06/12/20	383.00	...	7.25	25 of 61 - Sale <sup>25</sup>
2.000		455.99	06/12/20	447.63	...	8.36	26 of 61 - Sale <sup>25</sup>
7.000		1,316.80	06/12/20	1,308.20	...	8.60	27 of 61 - Sale <sup>25</sup>
1.000		227.23	06/12/20	218.00	...	9.23	28 of 61 - Sale <sup>25</sup>
19.000		3,619.40	06/12/20	3,610.00	...	9.40	29 of 61 - Sale <sup>25</sup>
10.000		2,272.34	06/12/20	2,260.00	...	12.34	30 of 61 - Sale <sup>25</sup>
9.000		1,756.13	06/12/20	1,743.34	...	12.79	31 of 61 - Sale <sup>25</sup>
9.000		1,736.96	06/12/20	1,723.50	...	13.46	32 of 61 - Sale <sup>25</sup>
9.000		2,018.65	06/12/20	2,004.34	...	14.31	33 of 61 - Sale <sup>25</sup>
9.000		1,741.46	06/12/20	1,726.65	...	14.81	34 of 61 - Sale <sup>25</sup>
6.000		1,295.97	06/12/20	1,281.00	...	14.97	35 of 61 - Sale <sup>25</sup>
6.000		1,295.97	06/12/20	1,281.00	...	14.97	36 of 61 - Sale <sup>25</sup>
7.000		1,511.96	06/12/20	1,494.50	...	17.46	37 of 61 - Sale <sup>25</sup>
9.000		2,045.11	06/12/20	2,026.80	...	18.31	38 of 61 - Sale <sup>25</sup>
10.000		1,965.25	06/12/20	1,943.60	...	21.65	39 of 61 - Sale <sup>25</sup>
3.000		681.70	06/12/20	660.00	...	21.70	40 of 61 - Sale <sup>25</sup>
9.000		1,768.73	06/12/20	1,746.00	...	22.73	41 of 61 - Sale <sup>25</sup>
10.000		1,934.96	06/12/20	1,908.50	...	26.46	42 of 61 - Sale <sup>25</sup>
9.000		1,741.46	06/12/20	1,714.23	...	27.23	43 of 61 - Sale <sup>25</sup>
7.000		1,595.97	06/12/20	1,568.38	...	27.59	44 of 61 - Sale <sup>25</sup>
10.000		2,159.95	06/12/20	2,128.50	...	31.45	45 of 61 - Sale <sup>25</sup>
6.000		1,364.07	06/12/20	1,331.88	...	32.19	46 of 61 - Sale <sup>25</sup>
9.000		2,018.65	06/12/20	1,985.45	...	33.20	47 of 61 - Sale <sup>25</sup>
7.000		1,316.81	06/12/20	1,280.16	...	36.65	48 of 61 - Sale <sup>25</sup>
10.000		2,268.55	06/12/20	2,230.00	...	38.55	49 of 61 - Sale <sup>25</sup>
9.000		2,045.11	06/12/20	2,005.20	...	39.91	50 of 61 - Sale <sup>25</sup>
9.000		1,961.95	06/12/20	1,920.87	...	41.08	51 of 61 - Sale <sup>25</sup>
10.000		1,963.05	06/12/20	1,920.00	...	43.05	52 of 61 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	10.000	2,273.44	06/12/20	2,230.00	...	43.44	53 of 61 - Sale <sup>25</sup>
	9.000	1,961.96	06/12/20	1,915.65	...	46.31	54 of 61 - Sale <sup>25</sup>
	7.000	1,590.64	06/12/20	1,540.00	...	50.64	55 of 61 - Sale <sup>25</sup>
	17.000	3,317.13	06/12/20	3,255.50	...	61.63	56 of 61 - Sale <sup>25</sup>
	10.000	2,272.34	06/12/20	2,198.90	...	73.44	57 of 61 - Sale <sup>25</sup>
	9.000	2,045.11	06/12/20	1,962.00	...	83.11	58 of 61 - Sale <sup>25</sup>
	10.000	2,279.95	06/12/20	2,194.50	...	85.45	59 of 61 - Sale <sup>25</sup>
	10.000	2,272.35	06/12/20	2,185.00	...	87.35	60 of 61 - Sale <sup>25</sup>
	20.000	3,762.30	06/12/20	3,668.29	...	94.01	61 of 61 - Sale <sup>25</sup>
06/12/20	400.000	82,973.48	Various	81,669.54	...	1,303.94	Total of 61 transactions
625 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	193.00	06/12/20	193.85	0.85 W	0.00	1 of 625 - Sale <sup>25</sup>
	9.000	1,736.96	06/12/20	1,744.65	7.69 W	0.00	2 of 625 - Sale <sup>25</sup>
	1.000	208.57	06/12/20	216.57	8.00 W	0.00	3 of 625 - Sale <sup>25</sup>
	9.000	1,877.18	06/12/20	1,951.65	74.47 W	0.00	4 of 625 - Sale <sup>25</sup>
	1.000	214.00	06/12/20	224.50	10.50 W	0.00	5 of 625 - Sale <sup>25</sup>
	9.000	1,925.96	06/12/20	2,022.97	97.01 W	0.00	6 of 625 - Sale <sup>25</sup>
	1.000	213.99	06/12/20	226.35	12.36 W	0.00	7 of 625 - Sale <sup>25</sup>
	2.000	427.99	06/12/20	430.62	2.63 W	0.00	8 of 625 - Sale <sup>25</sup>
	7.000	1,497.97	06/12/20	1,508.85	10.88 W	0.00	9 of 625 - Sale <sup>25</sup>
	1.000	227.99	06/12/20	234.63	6.64 W	0.00	10 of 625 - Sale <sup>25</sup>
	1.000	227.99	06/12/20	236.21	8.22 W	0.00	11 of 625 - Sale <sup>25</sup>
	8.000	1,823.96	06/12/20	1,877.03	53.07 W	0.00	12 of 625 - Sale <sup>25</sup>
	1.000	227.23	06/12/20	234.14	6.91 W	0.00	13 of 625 - Sale <sup>25</sup>
	1.000	227.23	06/12/20	235.61	8.38 W	0.00	14 of 625 - Sale <sup>25</sup>
	8.000	1,817.87	06/12/20	1,872.19	54.32 W	0.00	15 of 625 - Sale <sup>25</sup>
	1.000	218.00	06/12/20	238.41	20.41 W	0.00	16 of 625 - Sale <sup>25</sup>
	4.000	871.98	06/12/20	953.16	81.18 W	0.00	17 of 625 - Sale <sup>25</sup>
	1.000	217.99	06/12/20	233.36	15.37 W	0.00	18 of 625 - Sale <sup>25</sup>
	4.000	871.98	06/12/20	927.08	55.10 W	0.00	19 of 625 - Sale <sup>25</sup>
	1.000	217.11	06/12/20	245.39	28.28 W	0.00	20 of 625 - Sale <sup>25</sup>
	4.000	868.42	06/12/20	981.11	112.69 W	0.00	21 of 625 - Sale <sup>25</sup>
	1.000	217.10	06/12/20	239.28	22.18 W	0.00	22 of 625 - Sale <sup>25</sup>
	1.000	217.10	06/12/20	240.87	23.77 W	0.00	23 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	3.000	651.32	06/12/20	717.82	66.50 W	0.00	24 of 625 - Sale <sup>25</sup>
	5.000	1,085.53	06/12/20	1,127.50	41.97 W	0.00	25 of 625 - Sale <sup>25</sup>
	1.000	217.10	06/12/20	225.85	8.75 W	0.00	26 of 625 - Sale <sup>25</sup>
	4.000	868.42	06/12/20	903.40	34.98 W	0.00	27 of 625 - Sale <sup>25</sup>
	1.000	217.00	06/12/20	254.13	37.13 W	0.00	28 of 625 - Sale <sup>25</sup>
	4.000	867.98	06/12/20	1,016.09	148.11 W	0.00	29 of 625 - Sale <sup>25</sup>
	1.000	216.99	06/12/20	248.18	31.19 W	0.00	30 of 625 - Sale <sup>25</sup>
	1.000	216.99	06/12/20	249.77	32.78 W	0.00	31 of 625 - Sale <sup>25</sup>
	3.000	650.99	06/12/20	744.50	93.51 W	0.00	32 of 625 - Sale <sup>25</sup>
	5.000	1,045.68	06/12/20	1,171.97	126.29 W	0.00	33 of 625 - Sale <sup>25</sup>
	1.000	209.14	06/12/20	231.60	22.46 W	0.00	34 of 625 - Sale <sup>25</sup>
	1.000	209.14	06/12/20	259.98	50.84 W	0.00	35 of 625 - Sale <sup>25</sup>
	4.000	836.54	06/12/20	926.38	89.84 W	0.00	36 of 625 - Sale <sup>25</sup>
	4.000	836.54	06/12/20	1,039.51	202.97 W	0.00	37 of 625 - Sale <sup>25</sup>
	1.000	209.13	06/12/20	253.60	44.47 W	0.00	38 of 625 - Sale <sup>25</sup>
	1.000	209.13	06/12/20	255.18	46.05 W	0.00	39 of 625 - Sale <sup>25</sup>
	3.000	627.41	06/12/20	760.73	133.32 W	0.00	40 of 625 - Sale <sup>25</sup>
	5.000	1,045.68	06/12/20	1,112.03	66.35 W	0.00	41 of 625 - Sale <sup>25</sup>
	1.000	209.13	06/12/20	222.52	13.39 W	0.00	42 of 625 - Sale <sup>25</sup>
	4.000	836.54	06/12/20	890.08	53.54 W	0.00	43 of 625 - Sale <sup>25</sup>
	5.000	1,024.97	06/12/20	1,238.89	213.92 W	0.00	44 of 625 - Sale <sup>25</sup>
	1.000	205.00	06/12/20	244.46	39.46 W	0.00	45 of 625 - Sale <sup>25</sup>
	1.000	204.99	06/12/20	272.84	67.85 W	0.00	46 of 625 - Sale <sup>25</sup>
	4.000	819.98	06/12/20	977.84	157.86 W	0.00	47 of 625 - Sale <sup>25</sup>
	4.000	819.98	06/12/20	1,090.97	270.99 W	0.00	48 of 625 - Sale <sup>25</sup>
	1.000	205.00	06/12/20	264.47	59.47 W	0.00	49 of 625 - Sale <sup>25</sup>
	1.000	205.00	06/12/20	266.05	61.05 W	0.00	50 of 625 - Sale <sup>25</sup>
	3.000	614.98	06/12/20	793.32	178.34 W	0.00	51 of 625 - Sale <sup>25</sup>
	5.000	991.93	06/12/20	1,166.35	174.42 W	0.00	52 of 625 - Sale <sup>25</sup>
	1.000	198.38	06/12/20	229.10	30.72 W	0.00	53 of 625 - Sale <sup>25</sup>
	4.000	793.54	06/12/20	916.38	122.84 W	0.00	54 of 625 - Sale <sup>25</sup>
	5.000	995.88	06/12/20	1,292.47	296.59 W	0.00	55 of 625 - Sale <sup>25</sup>
	1.000	199.18	06/12/20	252.46	53.28 W	0.00	56 of 625 - Sale <sup>25</sup>
	1.000	199.18	06/12/20	280.85	81.67 W	0.00	57 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	4.000	796.70	06/12/20	1,009.86	213.16 W	0.00	58 of 625 - Sale <sup>25</sup>
	4.000	796.70	06/12/20	1,122.99	326.29 W	0.00	59 of 625 - Sale <sup>25</sup>
	1.000	199.17	06/12/20	274.97	75.80 W	0.00	60 of 625 - Sale <sup>25</sup>
	1.000	199.17	06/12/20	276.55	77.38 W	0.00	61 of 625 - Sale <sup>25</sup>
	3.000	597.53	06/12/20	824.84	227.31 W	0.00	62 of 625 - Sale <sup>25</sup>
	5.000	1,009.98	06/12/20	1,251.92	241.94 W	0.00	63 of 625 - Sale <sup>25</sup>
	1.000	201.99	06/12/20	245.22	43.23 W	0.00	64 of 625 - Sale <sup>25</sup>
	4.000	807.98	06/12/20	980.84	172.86 W	0.00	65 of 625 - Sale <sup>25</sup>
	5.000	1,014.98	06/12/20	1,369.09	354.11 W	0.00	66 of 625 - Sale <sup>25</sup>
	1.000	202.99	06/12/20	264.28	61.29 W	0.00	67 of 625 - Sale <sup>25</sup>
	1.000	203.00	06/12/20	292.67	89.67 W	0.00	68 of 625 - Sale <sup>25</sup>
	4.000	811.98	06/12/20	1,057.16	245.18 W	0.00	69 of 625 - Sale <sup>25</sup>
	4.000	811.98	06/12/20	1,170.29	358.31 W	0.00	70 of 625 - Sale <sup>25</sup>
	1.000	207.23	06/12/20	280.80	73.57 W	0.00	71 of 625 - Sale <sup>25</sup>
	1.000	207.23	06/12/20	282.38	75.15 W	0.00	72 of 625 - Sale <sup>25</sup>
	3.000	621.67	06/12/20	842.31	220.64 W	0.00	73 of 625 - Sale <sup>25</sup>
	5.000	1,036.12	06/12/20	1,266.94	230.82 W	0.00	74 of 625 - Sale <sup>25</sup>
	1.000	207.23	06/12/20	246.56	39.33 W	0.00	75 of 625 - Sale <sup>25</sup>
	4.000	828.90	06/12/20	986.18	157.28 W	0.00	76 of 625 - Sale <sup>25</sup>
	5.000	1,036.12	06/12/20	1,370.76	334.64 W	0.00	77 of 625 - Sale <sup>25</sup>
	1.000	208.49	06/12/20	269.79	61.30 W	0.00	78 of 625 - Sale <sup>25</sup>
	4.000	833.98	06/12/20	1,079.18	245.20 W	0.00	79 of 625 - Sale <sup>25</sup>
	1.000	208.49	06/12/20	298.17	89.68 W	0.00	80 of 625 - Sale <sup>25</sup>
	1.000	208.50	06/12/20	282.07	73.57 W	0.00	81 of 625 - Sale <sup>25</sup>
	1.000	208.49	06/12/20	282.05	73.56 W	0.00	82 of 625 - Sale <sup>25</sup>
	4.000	833.98	06/12/20	1,192.31	358.33 W	0.00	83 of 625 - Sale <sup>25</sup>
	1.000	208.50	06/12/20	283.65	75.15 W	0.00	84 of 625 - Sale <sup>25</sup>
	2.000	416.99	06/12/20	564.09	147.10 W	0.00	85 of 625 - Sale <sup>25</sup>
	5.000	1,042.47	06/12/20	1,273.32	230.85 W	0.00	86 of 625 - Sale <sup>25</sup>
	1.000	208.49	06/12/20	251.08	42.59 W	0.00	87 of 625 - Sale <sup>25</sup>
	4.000	833.98	06/12/20	1,004.28	170.30 W	0.00	88 of 625 - Sale <sup>25</sup>
	5.000	1,042.48	06/12/20	1,393.40	350.92 W	0.00	89 of 625 - Sale <sup>25</sup>
	1.000	202.00	06/12/20	273.05	71.05 W	0.00	90 of 625 - Sale <sup>25</sup>
	1.000	202.00	06/12/20	301.43	99.43 W	0.00	91 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	4.000	807.98	06/12/20	1,092.20	284.22 W	0.00	92 of 625 - Sale <sup>25</sup>
	4.000	807.98	06/12/20	1,205.34	397.36 W	0.00	93 of 625 - Sale <sup>25</sup>
	1.000	201.99	06/12/20	281.07	79.08 W	0.00	94 of 625 - Sale <sup>25</sup>
	1.000	202.00	06/12/20	281.06	79.06 W	0.00	95 of 625 - Sale <sup>25</sup>
	1.000	201.99	06/12/20	282.65	80.66 W	0.00	96 of 625 - Sale <sup>25</sup>
	2.000	403.99	06/12/20	562.10	158.11 W	0.00	97 of 625 - Sale <sup>25</sup>
	5.000	1,009.98	06/12/20	1,268.35	258.37 W	0.00	98 of 625 - Sale <sup>25</sup>
	1.000	209.49	06/12/20	250.59	41.10 W	0.00	99 of 625 - Sale <sup>25</sup>
	4.000	837.98	06/12/20	1,002.30	164.32 W	0.00	100 of 625 - Sale <sup>25</sup>
	5.000	1,047.48	06/12/20	1,390.92	343.44 W	0.00	101 of 625 - Sale <sup>25</sup>
	1.000	203.00	06/12/20	277.05	74.05 W	0.00	102 of 625 - Sale <sup>25</sup>
	1.000	203.00	06/12/20	305.43	102.43 W	0.00	103 of 625 - Sale <sup>25</sup>
	4.000	811.98	06/12/20	1,108.22	296.24 W	0.00	104 of 625 - Sale <sup>25</sup>
	4.000	811.98	06/12/20	1,221.36	409.38 W	0.00	105 of 625 - Sale <sup>25</sup>
	1.000	203.00	06/12/20	285.58	82.58 W	0.00	106 of 625 - Sale <sup>25</sup>
	1.000	203.00	06/12/20	285.56	82.56 W	0.00	107 of 625 - Sale <sup>25</sup>
	1.000	203.00	06/12/20	287.16	84.16 W	0.00	108 of 625 - Sale <sup>25</sup>
	2.000	405.99	06/12/20	571.11	165.12 W	0.00	109 of 625 - Sale <sup>25</sup>
	5.000	1,014.97	06/12/20	1,290.87	275.90 W	0.00	110 of 625 - Sale <sup>25</sup>
	1.000	203.00	06/12/20	246.95	43.95 W	0.00	111 of 625 - Sale <sup>25</sup>
	4.000	811.98	06/12/20	987.72	175.74 W	0.00	112 of 625 - Sale <sup>25</sup>
	5.000	1,014.97	06/12/20	1,372.69	357.72 W	0.00	113 of 625 - Sale <sup>25</sup>
	10.000	2,029.95	06/12/20	2,058.50	28.55 W	0.00	114 of 625 - Sale <sup>25</sup>
	1.000	203.00	06/12/20	204.75	1.75 W	0.00	115 of 625 - Sale <sup>25</sup>
	9.000	1,826.95	06/12/20	1,842.75	15.80 W	0.00	116 of 625 - Sale <sup>25</sup>
	1.000	203.50	06/12/20	278.44	74.94 W	0.00	117 of 625 - Sale <sup>25</sup>
	1.000	203.49	06/12/20	306.82	103.33 W	0.00	118 of 625 - Sale <sup>25</sup>
	4.000	813.98	06/12/20	1,113.80	299.82 W	0.00	119 of 625 - Sale <sup>25</sup>
	4.000	813.98	06/12/20	1,226.94	412.96 W	0.00	120 of 625 - Sale <sup>25</sup>
	1.000	221.00	06/12/20	300.58	79.58 W	0.00	121 of 625 - Sale <sup>25</sup>
	1.000	220.99	06/12/20	300.56	79.57 W	0.00	122 of 625 - Sale <sup>25</sup>
	1.000	221.00	06/12/20	302.16	81.16 W	0.00	123 of 625 - Sale <sup>25</sup>
	2.000	441.99	06/12/20	601.12	159.13 W	0.00	124 of 625 - Sale <sup>25</sup>
	5.000	1,104.97	06/12/20	1,365.90	260.93 W	0.00	125 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	222.16	06/12/20	264.45	42.29 W	0.00	126 of 625 - Sale <sup>25</sup>
	3.000	666.48	06/12/20	793.31	126.83 W	0.00	127 of 625 - Sale <sup>25</sup>
	1.000	222.16	06/12/20	264.43	42.27 W	0.00	128 of 625 - Sale <sup>25</sup>
	5.000	1,110.78	06/12/20	1,460.22	349.44 W	0.00	129 of 625 - Sale <sup>25</sup>
	1.000	224.30	06/12/20	294.79	70.49 W	0.00	130 of 625 - Sale <sup>25</sup>
	1.000	224.29	06/12/20	323.18	98.89 W	0.00	131 of 625 - Sale <sup>25</sup>
	1.000	224.30	06/12/20	299.43	75.13 W	0.00	132 of 625 - Sale <sup>25</sup>
	1.000	224.29	06/12/20	299.42	75.13 W	0.00	133 of 625 - Sale <sup>25</sup>
	1.000	224.30	06/12/20	301.01	76.71 W	0.00	134 of 625 - Sale <sup>25</sup>
	2.000	448.59	06/12/20	598.83	150.24 W	0.00	135 of 625 - Sale <sup>25</sup>
	4.000	897.18	06/12/20	1,179.22	282.04 W	0.00	136 of 625 - Sale <sup>25</sup>
	4.000	897.18	06/12/20	1,292.36	395.18 W	0.00	137 of 625 - Sale <sup>25</sup>
	5.000	1,121.47	06/12/20	1,360.18	238.71 W	0.00	138 of 625 - Sale <sup>25</sup>
	1.000	226.85	06/12/20	266.79	39.94 W	0.00	139 of 625 - Sale <sup>25</sup>
	1.000	226.86	06/12/20	266.77	39.91 W	0.00	140 of 625 - Sale <sup>25</sup>
	1.000	226.86	06/12/20	294.99	68.13 W	0.00	141 of 625 - Sale <sup>25</sup>
	1.000	226.85	06/12/20	323.39	96.54 W	0.00	142 of 625 - Sale <sup>25</sup>
	3.000	680.56	06/12/20	800.33	119.77 W	0.00	143 of 625 - Sale <sup>25</sup>
	4.000	907.42	06/12/20	1,180.04	272.62 W	0.00	144 of 625 - Sale <sup>25</sup>
	5.000	1,134.27	06/12/20	1,471.94	337.67 W	0.00	145 of 625 - Sale <sup>25</sup>
	4.000	907.42	06/12/20	1,293.18	385.76 W	0.00	146 of 625 - Sale <sup>25</sup>
	1.000	226.86	06/12/20	299.13	72.27 W	0.00	147 of 625 - Sale <sup>25</sup>
	1.000	226.85	06/12/20	299.13	72.28 W	0.00	148 of 625 - Sale <sup>25</sup>
	1.000	226.86	06/12/20	300.71	73.85 W	0.00	149 of 625 - Sale <sup>25</sup>
	2.000	453.71	06/12/20	598.24	144.53 W	0.00	150 of 625 - Sale <sup>25</sup>
	5.000	1,134.27	06/12/20	1,358.71	224.44 W	0.00	151 of 625 - Sale <sup>25</sup>
	1.000	226.85	06/12/20	262.94	36.09 W	0.00	152 of 625 - Sale <sup>25</sup>
	1.000	226.86	06/12/20	262.91	36.05 W	0.00	153 of 625 - Sale <sup>25</sup>
	3.000	680.56	06/12/20	788.77	108.21 W	0.00	154 of 625 - Sale <sup>25</sup>
	5.000	1,134.27	06/12/20	1,452.67	318.40 W	0.00	155 of 625 - Sale <sup>25</sup>
	1.000	227.34	06/12/20	258.09	30.75 W	0.00	156 of 625 - Sale <sup>25</sup>
	1.000	227.34	06/12/20	258.05	30.71 W	0.00	157 of 625 - Sale <sup>25</sup>
	3.000	682.03	06/12/20	774.21	92.18 W	0.00	158 of 625 - Sale <sup>25</sup>
	5.000	1,136.72	06/12/20	1,428.40	291.68 W	0.00	159 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	227.34	06/12/20	291.13	63.79 W	0.00	160 of 625 - Sale <sup>25</sup>
	1.000	227.34	06/12/20	319.54	92.20 W	0.00	161 of 625 - Sale <sup>25</sup>
	1.000	227.34	06/12/20	295.27	67.93 W	0.00	162 of 625 - Sale <sup>25</sup>
	1.000	227.34	06/12/20	295.28	67.94 W	0.00	163 of 625 - Sale <sup>25</sup>
	1.000	227.34	06/12/20	296.85	69.51 W	0.00	164 of 625 - Sale <sup>25</sup>
	2.000	454.69	06/12/20	590.53	135.84 W	0.00	165 of 625 - Sale <sup>25</sup>
	4.000	909.37	06/12/20	1,164.62	255.25 W	0.00	166 of 625 - Sale <sup>25</sup>
	4.000	909.38	06/12/20	1,277.76	368.38 W	0.00	167 of 625 - Sale <sup>25</sup>
	5.000	1,136.72	06/12/20	1,339.44	202.72 W	0.00	168 of 625 - Sale <sup>25</sup>
	1.000	217.99	06/12/20	289.29	71.30 W	0.00	169 of 625 - Sale <sup>25</sup>
	4.000	871.98	06/12/20	1,157.25	285.27 W	0.00	170 of 625 - Sale <sup>25</sup>
	4.000	879.98	06/12/20	1,270.38	390.40 W	0.00	171 of 625 - Sale <sup>25</sup>
	1.000	220.00	06/12/20	317.70	97.70 W	0.00	172 of 625 - Sale <sup>25</sup>
	1.000	224.99	06/12/20	293.69	68.70 W	0.00	173 of 625 - Sale <sup>25</sup>
	1.000	225.00	06/12/20	293.70	68.70 W	0.00	174 of 625 - Sale <sup>25</sup>
	1.000	225.00	06/12/20	295.27	70.27 W	0.00	175 of 625 - Sale <sup>25</sup>
	2.000	449.99	06/12/20	587.36	137.37 W	0.00	176 of 625 - Sale <sup>25</sup>
	5.000	1,124.97	06/12/20	1,331.52	206.55 W	0.00	177 of 625 - Sale <sup>25</sup>
	1.000	224.99	06/12/20	256.25	31.26 W	0.00	178 of 625 - Sale <sup>25</sup>
	1.000	224.99	06/12/20	256.21	31.22 W	0.00	179 of 625 - Sale <sup>25</sup>
	3.000	674.99	06/12/20	768.68	93.69 W	0.00	180 of 625 - Sale <sup>25</sup>
	5.000	1,124.98	06/12/20	1,419.18	294.20 W	0.00	181 of 625 - Sale <sup>25</sup>
	2.000	444.00	06/12/20	533.44	89.44 W	0.00	182 of 625 - Sale <sup>25</sup>
	1.000	221.99	06/12/20	296.80	74.81 W	0.00	183 of 625 - Sale <sup>25</sup>
	1.000	222.00	06/12/20	323.20	101.20 W	0.00	184 of 625 - Sale <sup>25</sup>
	4.000	887.98	06/12/20	1,187.27	299.29 W	0.00	185 of 625 - Sale <sup>25</sup>
	4.000	887.98	06/12/20	1,292.40	404.42 W	0.00	186 of 625 - Sale <sup>25</sup>
	1.000	221.99	06/12/20	294.11	72.12 W	0.00	187 of 625 - Sale <sup>25</sup>
	1.000	222.00	06/12/20	294.11	72.11 W	0.00	188 of 625 - Sale <sup>25</sup>
	1.000	221.99	06/12/20	295.68	73.69 W	0.00	189 of 625 - Sale <sup>25</sup>
	2.000	443.99	06/12/20	588.19	144.20 W	0.00	190 of 625 - Sale <sup>25</sup>
	2.000	443.99	06/12/20	533.44	89.45 W	0.00	191 of 625 - Sale <sup>25</sup>
	1.000	222.00	06/12/20	266.72	44.72 W	0.00	192 of 625 - Sale <sup>25</sup>
	1.000	223.14	06/12/20	255.76	32.62 W	0.00	193 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	223.14	06/12/20	255.72	32.58 W	0.00	194 of 625 - Sale <sup>25</sup>
	3.000	669.40	06/12/20	767.19	97.79 W	0.00	195 of 625 - Sale <sup>25</sup>
	5.000	1,115.67	06/12/20	1,416.70	301.03 W	0.00	196 of 625 - Sale <sup>25</sup>
	1.000	223.14	06/12/20	298.81	75.67 W	0.00	197 of 625 - Sale <sup>25</sup>
	1.000	223.13	06/12/20	325.20	102.07 W	0.00	198 of 625 - Sale <sup>25</sup>
	4.000	892.54	06/12/20	1,195.29	302.75 W	0.00	199 of 625 - Sale <sup>25</sup>
	4.000	892.54	06/12/20	1,300.42	407.88 W	0.00	200 of 625 - Sale <sup>25</sup>
	1.000	223.50	06/12/20	296.12	72.62 W	0.00	201 of 625 - Sale <sup>25</sup>
	1.000	223.49	06/12/20	296.11	72.62 W	0.00	202 of 625 - Sale <sup>25</sup>
	1.000	223.50	06/12/20	297.69	74.19 W	0.00	203 of 625 - Sale <sup>25</sup>
	1.000	223.49	06/12/20	268.72	45.23 W	0.00	204 of 625 - Sale <sup>25</sup>
	2.000	446.99	06/12/20	592.20	145.21 W	0.00	205 of 625 - Sale <sup>25</sup>
	2.000	446.99	06/12/20	537.45	90.46 W	0.00	206 of 625 - Sale <sup>25</sup>
	2.000	446.99	06/12/20	537.44	90.45 W	0.00	207 of 625 - Sale <sup>25</sup>
	1.000	223.50	06/12/20	255.62	32.12 W	0.00	208 of 625 - Sale <sup>25</sup>
	2.000	446.99	06/12/20	511.19	64.20 W	0.00	209 of 625 - Sale <sup>25</sup>
	1.000	223.49	06/12/20	255.60	32.11 W	0.00	210 of 625 - Sale <sup>25</sup>
	1.000	223.50	06/12/20	255.58	32.08 W	0.00	211 of 625 - Sale <sup>25</sup>
	5.000	1,117.47	06/12/20	1,416.03	298.56 W	0.00	212 of 625 - Sale <sup>25</sup>
	1.000	223.31	06/12/20	298.67	75.36 W	0.00	213 of 625 - Sale <sup>25</sup>
	1.000	223.31	06/12/20	325.07	101.76 W	0.00	214 of 625 - Sale <sup>25</sup>
	4.000	893.24	06/12/20	1,194.75	301.51 W	0.00	215 of 625 - Sale <sup>25</sup>
	4.000	893.24	06/12/20	1,299.88	406.64 W	0.00	216 of 625 - Sale <sup>25</sup>
	1.000	223.31	06/12/20	296.12	72.81 W	0.00	217 of 625 - Sale <sup>25</sup>
	1.000	223.31	06/12/20	296.12	72.81 W	0.00	218 of 625 - Sale <sup>25</sup>
	1.000	223.31	06/12/20	297.69	74.38 W	0.00	219 of 625 - Sale <sup>25</sup>
	2.000	446.62	06/12/20	592.21	145.59 W	0.00	220 of 625 - Sale <sup>25</sup>
	1.000	223.31	06/12/20	262.23	38.92 W	0.00	221 of 625 - Sale <sup>25</sup>
	2.000	446.62	06/12/20	524.46	77.84 W	0.00	222 of 625 - Sale <sup>25</sup>
	2.000	446.63	06/12/20	524.45	77.82 W	0.00	223 of 625 - Sale <sup>25</sup>
	1.000	209.33	06/12/20	281.71	72.38 W	0.00	224 of 625 - Sale <sup>25</sup>
	4.000	837.34	06/12/20	1,126.85	289.51 W	0.00	225 of 625 - Sale <sup>25</sup>
	1.000	209.34	06/12/20	255.12	45.78 W	0.00	226 of 625 - Sale <sup>25</sup>
	1.000	209.33	06/12/20	255.11	45.78 W	0.00	227 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	209.34	06/12/20	255.08	45.74 W	0.00	228 of 625 - Sale <sup>25</sup>
	2.000	418.67	06/12/20	510.20	91.53 W	0.00	229 of 625 - Sale <sup>25</sup>
	1.000	210.60	06/12/20	297.36	86.76 W	0.00	230 of 625 - Sale <sup>25</sup>
	4.000	842.38	06/12/20	1,189.51	347.13 W	0.00	231 of 625 - Sale <sup>25</sup>
	1.000	210.60	06/12/20	324.04	113.44 W	0.00	232 of 625 - Sale <sup>25</sup>
	4.000	842.38	06/12/20	1,296.24	453.86 W	0.00	233 of 625 - Sale <sup>25</sup>
	1.000	210.59	06/12/20	294.51	83.92 W	0.00	234 of 625 - Sale <sup>25</sup>
	1.000	210.60	06/12/20	294.51	83.91 W	0.00	235 of 625 - Sale <sup>25</sup>
	1.000	210.59	06/12/20	296.08	85.49 W	0.00	236 of 625 - Sale <sup>25</sup>
	2.000	421.19	06/12/20	588.99	167.80 W	0.00	237 of 625 - Sale <sup>25</sup>
	1.000	210.00	06/12/20	255.92	45.92 W	0.00	238 of 625 - Sale <sup>25</sup>
	1.000	210.00	06/12/20	255.92	45.92 W	0.00	239 of 625 - Sale <sup>25</sup>
	1.000	209.99	06/12/20	255.92	45.93 W	0.00	240 of 625 - Sale <sup>25</sup>
	2.000	419.99	06/12/20	511.82	91.83 W	0.00	241 of 625 - Sale <sup>25</sup>
	1.000	209.99	06/12/20	263.28	53.29 W	0.00	242 of 625 - Sale <sup>25</sup>
	1.000	210.00	06/12/20	263.28	53.28 W	0.00	243 of 625 - Sale <sup>25</sup>
	1.000	209.99	06/12/20	263.24	53.25 W	0.00	244 of 625 - Sale <sup>25</sup>
	2.000	419.99	06/12/20	526.53	106.54 W	0.00	245 of 625 - Sale <sup>25</sup>
	1.000	212.00	06/12/20	289.88	77.88 W	0.00	246 of 625 - Sale <sup>25</sup>
	4.000	847.98	06/12/20	1,159.51	311.53 W	0.00	247 of 625 - Sale <sup>25</sup>
	1.000	211.06	06/12/20	303.60	92.54 W	0.00	248 of 625 - Sale <sup>25</sup>
	4.000	844.23	06/12/20	1,214.49	370.26 W	0.00	249 of 625 - Sale <sup>25</sup>
	1.000	206.00	06/12/20	317.94	111.94 W	0.00	250 of 625 - Sale <sup>25</sup>
	1.000	205.99	06/12/20	288.42	82.43 W	0.00	251 of 625 - Sale <sup>25</sup>
	1.000	206.00	06/12/20	288.41	82.41 W	0.00	252 of 625 - Sale <sup>25</sup>
	1.000	205.99	06/12/20	289.99	84.00 W	0.00	253 of 625 - Sale <sup>25</sup>
	2.000	411.99	06/12/20	576.80	164.81 W	0.00	254 of 625 - Sale <sup>25</sup>
	4.000	823.98	06/12/20	1,271.86	447.88 W	0.00	255 of 625 - Sale <sup>25</sup>
	1.000	206.00	06/12/20	249.92	43.92 W	0.00	256 of 625 - Sale <sup>25</sup>
	1.000	205.99	06/12/20	249.93	43.94 W	0.00	257 of 625 - Sale <sup>25</sup>
	1.000	206.00	06/12/20	249.92	43.92 W	0.00	258 of 625 - Sale <sup>25</sup>
	2.000	411.99	06/12/20	499.83	87.84 W	0.00	259 of 625 - Sale <sup>25</sup>
	1.000	195.20	06/12/20	248.29	53.09 W	0.00	260 of 625 - Sale <sup>25</sup>
	1.000	195.19	06/12/20	248.28	53.09 W	0.00	261 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	195.20	06/12/20	248.25	53.05 W	0.00	262 of 625 - Sale <sup>25</sup>
	1.000	195.19	06/12/20	272.88	77.69 W	0.00	263 of 625 - Sale <sup>25</sup>
	2.000	390.39	06/12/20	496.54	106.15 W	0.00	264 of 625 - Sale <sup>25</sup>
	4.000	780.78	06/12/20	1,091.53	310.75 W	0.00	265 of 625 - Sale <sup>25</sup>
	1.000	196.50	06/12/20	287.54	91.04 W	0.00	266 of 625 - Sale <sup>25</sup>
	1.000	196.53	06/12/20	306.94	110.41 W	0.00	267 of 625 - Sale <sup>25</sup>
	4.000	786.10	06/12/20	1,150.26	364.16 W	0.00	268 of 625 - Sale <sup>25</sup>
	4.000	786.10	06/12/20	1,227.88	441.78 W	0.00	269 of 625 - Sale <sup>25</sup>
	1.000	196.53	06/12/20	277.43	80.90 W	0.00	270 of 625 - Sale <sup>25</sup>
	1.000	196.53	06/12/20	277.41	80.88 W	0.00	271 of 625 - Sale <sup>25</sup>
	1.000	196.53	06/12/20	277.41	80.88 W	0.00	272 of 625 - Sale <sup>25</sup>
	1.000	196.53	06/12/20	277.40	80.87 W	0.00	273 of 625 - Sale <sup>25</sup>
	1.000	196.52	06/12/20	279.00	82.48 W	0.00	274 of 625 - Sale <sup>25</sup>
	1.000	196.53	06/12/20	238.92	42.39 W	0.00	275 of 625 - Sale <sup>25</sup>
	1.000	196.52	06/12/20	238.94	42.42 W	0.00	276 of 625 - Sale <sup>25</sup>
	1.000	196.53	06/12/20	238.92	42.39 W	0.00	277 of 625 - Sale <sup>25</sup>
	2.000	393.05	06/12/20	477.84	84.79 W	0.00	278 of 625 - Sale <sup>25</sup>
	1.000	196.52	06/12/20	247.09	50.57 W	0.00	279 of 625 - Sale <sup>25</sup>
	1.000	196.53	06/12/20	247.09	50.56 W	0.00	280 of 625 - Sale <sup>25</sup>
	1.000	196.52	06/12/20	247.05	50.53 W	0.00	281 of 625 - Sale <sup>25</sup>
	1.000	196.53	06/12/20	271.69	75.16 W	0.00	282 of 625 - Sale <sup>25</sup>
	1.000	196.52	06/12/20	285.04	88.52 W	0.00	283 of 625 - Sale <sup>25</sup>
	2.000	393.05	06/12/20	494.15	101.10 W	0.00	284 of 625 - Sale <sup>25</sup>
	4.000	786.10	06/12/20	1,086.75	300.65 W	0.00	285 of 625 - Sale <sup>25</sup>
	1.000	198.02	06/12/20	305.41	107.39 W	0.00	286 of 625 - Sale <sup>25</sup>
	1.000	198.01	06/12/20	275.90	77.89 W	0.00	287 of 625 - Sale <sup>25</sup>
	4.000	792.06	06/12/20	1,144.16	352.10 W	0.00	288 of 625 - Sale <sup>25</sup>
	4.000	792.06	06/12/20	1,221.78	429.72 W	0.00	289 of 625 - Sale <sup>25</sup>
	1.000	198.50	06/12/20	278.38	79.88 W	0.00	290 of 625 - Sale <sup>25</sup>
	1.000	198.50	06/12/20	278.38	79.88 W	0.00	291 of 625 - Sale <sup>25</sup>
	1.000	198.49	06/12/20	278.37	79.88 W	0.00	292 of 625 - Sale <sup>25</sup>
	1.000	198.50	06/12/20	279.98	81.48 W	0.00	293 of 625 - Sale <sup>25</sup>
	1.000	198.50	06/12/20	239.89	41.39 W	0.00	294 of 625 - Sale <sup>25</sup>
	1.000	198.49	06/12/20	239.92	41.43 W	0.00	295 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	198.50	06/12/20	239.89	41.39 W	0.00	296 of 625 - Sale <sup>25</sup>
	1.000	198.49	06/12/20	248.07	49.58 W	0.00	297 of 625 - Sale <sup>25</sup>
	1.000	198.50	06/12/20	248.06	49.56 W	0.00	298 of 625 - Sale <sup>25</sup>
	1.000	198.49	06/12/20	248.03	49.54 W	0.00	299 of 625 - Sale <sup>25</sup>
	1.000	198.50	06/12/20	272.66	74.16 W	0.00	300 of 625 - Sale <sup>25</sup>
	1.000	198.49	06/12/20	286.02	87.53 W	0.00	301 of 625 - Sale <sup>25</sup>
	2.000	396.99	06/12/20	479.79	82.80 W	0.00	302 of 625 - Sale <sup>25</sup>
	2.000	396.99	06/12/20	496.10	99.11 W	0.00	303 of 625 - Sale <sup>25</sup>
	4.000	793.98	06/12/20	1,090.65	296.67 W	0.00	304 of 625 - Sale <sup>25</sup>
	1.000	198.50	06/12/20	302.18	103.68 W	0.00	305 of 625 - Sale <sup>25</sup>
	1.000	198.49	06/12/20	272.68	74.19 W	0.00	306 of 625 - Sale <sup>25</sup>
	4.000	793.98	06/12/20	1,131.27	337.29 W	0.00	307 of 625 - Sale <sup>25</sup>
	4.000	793.98	06/12/20	1,208.90	414.92 W	0.00	308 of 625 - Sale <sup>25</sup>
	1.000	198.53	06/12/20	275.38	76.85 W	0.00	309 of 625 - Sale <sup>25</sup>
	1.000	198.53	06/12/20	275.38	76.85 W	0.00	310 of 625 - Sale <sup>25</sup>
	1.000	198.53	06/12/20	275.38	76.85 W	0.00	311 of 625 - Sale <sup>25</sup>
	1.000	198.53	06/12/20	276.98	78.45 W	0.00	312 of 625 - Sale <sup>25</sup>
	1.000	198.53	06/12/20	236.89	38.36 W	0.00	313 of 625 - Sale <sup>25</sup>
	1.000	198.53	06/12/20	236.93	38.40 W	0.00	314 of 625 - Sale <sup>25</sup>
	1.000	198.53	06/12/20	236.89	38.36 W	0.00	315 of 625 - Sale <sup>25</sup>
	1.000	198.54	06/12/20	245.08	46.54 W	0.00	316 of 625 - Sale <sup>25</sup>
	2.000	397.07	06/12/20	473.80	76.73 W	0.00	317 of 625 - Sale <sup>25</sup>
	1.000	203.00	06/12/20	250.56	47.56 W	0.00	318 of 625 - Sale <sup>25</sup>
	1.000	203.00	06/12/20	250.55	47.55 W	0.00	319 of 625 - Sale <sup>25</sup>
	1.000	203.00	06/12/20	250.56	47.56 W	0.00	320 of 625 - Sale <sup>25</sup>
	1.000	202.99	06/12/20	250.54	47.55 W	0.00	321 of 625 - Sale <sup>25</sup>
	1.000	203.00	06/12/20	275.16	72.16 W	0.00	322 of 625 - Sale <sup>25</sup>
	1.000	202.99	06/12/20	288.53	85.54 W	0.00	323 of 625 - Sale <sup>25</sup>
	4.000	811.98	06/12/20	1,100.67	288.69 W	0.00	324 of 625 - Sale <sup>25</sup>
	1.000	203.00	06/12/20	305.18	102.18 W	0.00	325 of 625 - Sale <sup>25</sup>
	1.000	202.99	06/12/20	275.69	72.70 W	0.00	326 of 625 - Sale <sup>25</sup>
	4.000	811.98	06/12/20	1,143.29	331.31 W	0.00	327 of 625 - Sale <sup>25</sup>
	4.000	811.98	06/12/20	1,220.92	408.94 W	0.00	328 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	268.85	74.35 W	0.00	329 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	194.50	06/12/20	268.85	74.35 W	0.00	330 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	268.85	74.35 W	0.00	331 of 625 - Sale <sup>25</sup>
	1.000	194.49	06/12/20	270.45	75.96 W	0.00	332 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	230.36	35.86 W	0.00	333 of 625 - Sale <sup>25</sup>
	1.000	194.49	06/12/20	230.40	35.91 W	0.00	334 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	230.36	35.86 W	0.00	335 of 625 - Sale <sup>25</sup>
	1.000	194.49	06/12/20	238.54	44.05 W	0.00	336 of 625 - Sale <sup>25</sup>
	2.000	388.99	06/12/20	460.73	71.74 W	0.00	337 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	238.06	43.56 W	0.00	338 of 625 - Sale <sup>25</sup>
	1.000	194.49	06/12/20	238.05	43.56 W	0.00	339 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	238.06	43.56 W	0.00	340 of 625 - Sale <sup>25</sup>
	1.000	194.49	06/12/20	238.05	43.56 W	0.00	341 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	262.66	68.16 W	0.00	342 of 625 - Sale <sup>25</sup>
	1.000	194.49	06/12/20	276.04	81.55 W	0.00	343 of 625 - Sale <sup>25</sup>
	4.000	777.98	06/12/20	1,050.69	272.71 W	0.00	344 of 625 - Sale <sup>25</sup>
	1.000	196.31	06/12/20	296.18	99.87 W	0.00	345 of 625 - Sale <sup>25</sup>
	1.000	196.31	06/12/20	266.70	70.39 W	0.00	346 of 625 - Sale <sup>25</sup>
	4.000	785.22	06/12/20	1,107.31	322.09 W	0.00	347 of 625 - Sale <sup>25</sup>
	4.000	785.22	06/12/20	1,184.94	399.72 W	0.00	348 of 625 - Sale <sup>25</sup>
	1.000	196.31	06/12/20	267.85	71.54 W	0.00	349 of 625 - Sale <sup>25</sup>
	1.000	196.31	06/12/20	267.85	71.54 W	0.00	350 of 625 - Sale <sup>25</sup>
	1.000	196.31	06/12/20	267.85	71.54 W	0.00	351 of 625 - Sale <sup>25</sup>
	1.000	196.31	06/12/20	269.46	73.15 W	0.00	352 of 625 - Sale <sup>25</sup>
	1.000	196.31	06/12/20	229.36	33.05 W	0.00	353 of 625 - Sale <sup>25</sup>
	1.000	196.31	06/12/20	229.41	33.10 W	0.00	354 of 625 - Sale <sup>25</sup>
	1.000	196.31	06/12/20	229.36	33.05 W	0.00	355 of 625 - Sale <sup>25</sup>
	1.000	196.31	06/12/20	237.55	41.24 W	0.00	356 of 625 - Sale <sup>25</sup>
	1.000	196.30	06/12/20	237.06	40.76 W	0.00	357 of 625 - Sale <sup>25</sup>
	1.000	196.31	06/12/20	237.06	40.75 W	0.00	358 of 625 - Sale <sup>25</sup>
	1.000	196.30	06/12/20	237.06	40.76 W	0.00	359 of 625 - Sale <sup>25</sup>
	1.000	196.31	06/12/20	237.06	40.75 W	0.00	360 of 625 - Sale <sup>25</sup>
	1.000	196.30	06/12/20	261.66	65.36 W	0.00	361 of 625 - Sale <sup>25</sup>
	1.000	196.31	06/12/20	275.05	78.74 W	0.00	362 of 625 - Sale <sup>25</sup>
	2.000	392.61	06/12/20	458.74	66.13 W	0.00	363 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	4.000	785.22	06/12/20	1,046.71	261.49 W	0.00	364 of 625 - Sale <sup>25</sup>
	1.000	195.03	06/12/20	295.37	100.34 W	0.00	365 of 625 - Sale <sup>25</sup>
	1.000	195.03	06/12/20	265.89	70.86 W	0.00	366 of 625 - Sale <sup>25</sup>
	1.000	195.03	06/12/20	267.04	72.01 W	0.00	367 of 625 - Sale <sup>25</sup>
	1.000	195.03	06/12/20	267.04	72.01 W	0.00	368 of 625 - Sale <sup>25</sup>
	1.000	195.03	06/12/20	267.04	72.01 W	0.00	369 of 625 - Sale <sup>25</sup>
	1.000	195.03	06/12/20	268.65	73.62 W	0.00	370 of 625 - Sale <sup>25</sup>
	1.000	195.03	06/12/20	228.55	33.52 W	0.00	371 of 625 - Sale <sup>25</sup>
	1.000	195.02	06/12/20	228.60	33.58 W	0.00	372 of 625 - Sale <sup>25</sup>
	1.000	195.03	06/12/20	228.55	33.52 W	0.00	373 of 625 - Sale <sup>25</sup>
	1.000	195.02	06/12/20	236.74	41.72 W	0.00	374 of 625 - Sale <sup>25</sup>
	2.000	390.05	06/12/20	457.13	67.08 W	0.00	375 of 625 - Sale <sup>25</sup>
	4.000	780.10	06/12/20	1,104.09	323.99 W	0.00	376 of 625 - Sale <sup>25</sup>
	4.000	780.10	06/12/20	1,181.72	401.62 W	0.00	377 of 625 - Sale <sup>25</sup>
	1.000	195.03	06/12/20	236.26	41.23 W	0.00	378 of 625 - Sale <sup>25</sup>
	1.000	195.02	06/12/20	236.25	41.23 W	0.00	379 of 625 - Sale <sup>25</sup>
	1.000	195.03	06/12/20	236.26	41.23 W	0.00	380 of 625 - Sale <sup>25</sup>
	1.000	195.02	06/12/20	236.25	41.23 W	0.00	381 of 625 - Sale <sup>25</sup>
	1.000	195.02	06/12/20	260.86	65.84 W	0.00	382 of 625 - Sale <sup>25</sup>
	1.000	195.03	06/12/20	274.24	79.21 W	0.00	383 of 625 - Sale <sup>25</sup>
	4.000	780.10	06/12/20	1,043.49	263.39 W	0.00	384 of 625 - Sale <sup>25</sup>
	1.000	176.10	06/12/20	274.50	98.40 W	0.00	385 of 625 - Sale <sup>25</sup>
	1.000	176.10	06/12/20	293.84	117.74 W	0.00	386 of 625 - Sale <sup>25</sup>
	1.000	176.10	06/12/20	264.36	88.26 W	0.00	387 of 625 - Sale <sup>25</sup>
	1.000	176.10	06/12/20	265.51	89.41 W	0.00	388 of 625 - Sale <sup>25</sup>
	1.000	176.10	06/12/20	265.51	89.41 W	0.00	389 of 625 - Sale <sup>25</sup>
	1.000	176.10	06/12/20	265.51	89.41 W	0.00	390 of 625 - Sale <sup>25</sup>
	1.000	176.10	06/12/20	267.12	91.02 W	0.00	391 of 625 - Sale <sup>25</sup>
	1.000	176.10	06/12/20	227.02	50.92 W	0.00	392 of 625 - Sale <sup>25</sup>
	1.000	176.10	06/12/20	227.08	50.98 W	0.00	393 of 625 - Sale <sup>25</sup>
	1.000	176.10	06/12/20	227.02	50.92 W	0.00	394 of 625 - Sale <sup>25</sup>
	1.000	176.09	06/12/20	235.22	59.13 W	0.00	395 of 625 - Sale <sup>25</sup>
	2.000	352.19	06/12/20	454.08	101.89 W	0.00	396 of 625 - Sale <sup>25</sup>
	3.000	528.30	06/12/20	823.49	295.19 W	0.00	397 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	4.000	704.39	06/12/20	1,175.62	471.23 W	0.00	398 of 625 - Sale <sup>25</sup>
	1.000	176.00	06/12/20	233.23	57.23 W	0.00	399 of 625 - Sale <sup>25</sup>
	1.000	176.00	06/12/20	233.23	57.23 W	0.00	400 of 625 - Sale <sup>25</sup>
	1.000	176.01	06/12/20	233.23	57.22 W	0.00	401 of 625 - Sale <sup>25</sup>
	1.000	176.00	06/12/20	233.23	57.23 W	0.00	402 of 625 - Sale <sup>25</sup>
	1.000	176.00	06/12/20	257.84	81.84 W	0.00	403 of 625 - Sale <sup>25</sup>
	1.000	175.99	06/12/20	271.21	95.22 W	0.00	404 of 625 - Sale <sup>25</sup>
	1.000	176.00	06/12/20	290.40	114.40 W	0.00	405 of 625 - Sale <sup>25</sup>
	1.000	176.00	06/12/20	309.74	133.74 W	0.00	406 of 625 - Sale <sup>25</sup>
	3.000	527.98	06/12/20	871.19	343.21 W	0.00	407 of 625 - Sale <sup>25</sup>
	4.000	703.98	06/12/20	1,031.39	327.41 W	0.00	408 of 625 - Sale <sup>25</sup>
	4.000	703.98	06/12/20	1,239.23	535.25 W	0.00	409 of 625 - Sale <sup>25</sup>
	1.000	176.00	06/12/20	280.26	104.26 W	0.00	410 of 625 - Sale <sup>25</sup>
	1.000	176.00	06/12/20	281.41	105.41 W	0.00	411 of 625 - Sale <sup>25</sup>
	1.000	176.00	06/12/20	281.41	105.41 W	0.00	412 of 625 - Sale <sup>25</sup>
	1.000	176.00	06/12/20	281.41	105.41 W	0.00	413 of 625 - Sale <sup>25</sup>
	1.000	175.99	06/12/20	283.02	107.03 W	0.00	414 of 625 - Sale <sup>25</sup>
	1.000	176.00	06/12/20	242.92	66.92 W	0.00	415 of 625 - Sale <sup>25</sup>
	1.000	175.99	06/12/20	242.98	66.99 W	0.00	416 of 625 - Sale <sup>25</sup>
	1.000	176.00	06/12/20	242.92	66.92 W	0.00	417 of 625 - Sale <sup>25</sup>
	1.000	175.99	06/12/20	251.13	75.14 W	0.00	418 of 625 - Sale <sup>25</sup>
	2.000	351.99	06/12/20	485.89	133.90 W	0.00	419 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	253.23	73.23 W	0.00	420 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	253.22	73.22 W	0.00	421 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	249.23	69.23 W	0.00	422 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	249.23	69.23 W	0.00	423 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	249.22	69.22 W	0.00	424 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	249.23	69.23 W	0.00	425 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	273.84	93.84 W	0.00	426 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	287.22	107.22 W	0.00	427 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	306.40	126.40 W	0.00	428 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	325.74	145.74 W	0.00	429 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	296.26	116.26 W	0.00	430 of 625 - Sale <sup>25</sup>
	3.000	539.99	06/12/20	919.21	379.22 W	0.00	431 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	4.000	719.98	06/12/20	1,095.41	375.43 W	0.00	432 of 625 - Sale <sup>25</sup>
	4.000	719.98	06/12/20	1,303.25	583.27 W	0.00	433 of 625 - Sale <sup>25</sup>
	1.000	179.99	06/12/20	297.91	117.92 W	0.00	434 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	297.91	117.91 W	0.00	435 of 625 - Sale <sup>25</sup>
	1.000	179.99	06/12/20	297.91	117.92 W	0.00	436 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	299.53	119.53 W	0.00	437 of 625 - Sale <sup>25</sup>
	1.000	179.99	06/12/20	259.42	79.43 W	0.00	438 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	249.99	69.99 W	0.00	439 of 625 - Sale <sup>25</sup>
	1.000	179.99	06/12/20	249.92	69.93 W	0.00	440 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	258.14	78.14 W	0.00	441 of 625 - Sale <sup>25</sup>
	2.000	359.99	06/12/20	499.90	139.91 W	0.00	442 of 625 - Sale <sup>25</sup>
	5.000	899.97	06/12/20	915.00	15.03 W	0.00	443 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	184.00	4.00 W	0.00	444 of 625 - Sale <sup>25</sup>
	9.000	1,619.95	06/12/20	1,656.00	36.05 W	0.00	445 of 625 - Sale <sup>25</sup>
	2.000	359.99	06/12/20	368.00	8.01 W	0.00	446 of 625 - Sale <sup>25</sup>
	1.000	180.00	06/12/20	253.23	73.23 W	0.00	447 of 625 - Sale <sup>25</sup>
	1.000	188.00	06/12/20	253.23	65.23 W	0.00	448 of 625 - Sale <sup>25</sup>
	1.000	188.12	06/12/20	277.84	89.72 W	0.00	449 of 625 - Sale <sup>25</sup>
	3.000	564.35	06/12/20	833.57	269.22 W	0.00	450 of 625 - Sale <sup>25</sup>
	1.000	188.12	06/12/20	277.33	89.21 W	0.00	451 of 625 - Sale <sup>25</sup>
	1.000	188.12	06/12/20	290.69	102.57 W	0.00	452 of 625 - Sale <sup>25</sup>
	1.000	188.12	06/12/20	309.87	121.75 W	0.00	453 of 625 - Sale <sup>25</sup>
	1.000	188.12	06/12/20	329.21	141.09 W	0.00	454 of 625 - Sale <sup>25</sup>
	3.000	564.35	06/12/20	929.63	365.28 W	0.00	455 of 625 - Sale <sup>25</sup>
	3.000	564.35	06/12/20	987.86	423.51 W	0.00	456 of 625 - Sale <sup>25</sup>
	1.000	188.12	06/12/20	325.67	137.55 W	0.00	457 of 625 - Sale <sup>25</sup>
	1.000	188.12	06/12/20	296.11	107.99 W	0.00	458 of 625 - Sale <sup>25</sup>
	1.000	188.11	06/12/20	297.77	109.66 W	0.00	459 of 625 - Sale <sup>25</sup>
	1.000	188.12	06/12/20	297.76	109.64 W	0.00	460 of 625 - Sale <sup>25</sup>
	1.000	188.11	06/12/20	297.77	109.66 W	0.00	461 of 625 - Sale <sup>25</sup>
	1.000	188.12	06/12/20	299.38	111.26 W	0.00	462 of 625 - Sale <sup>25</sup>
	1.000	188.11	06/12/20	259.28	71.17 W	0.00	463 of 625 - Sale <sup>25</sup>
	1.000	188.12	06/12/20	249.84	61.72 W	0.00	464 of 625 - Sale <sup>25</sup>
	2.000	376.23	06/12/20	499.61	123.38 W	0.00	465 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	188.11	06/12/20	255.33	67.22 W	0.00	466 of 625 - Sale <sup>25</sup>
	1.000	188.12	06/12/20	263.54	75.42 W	0.00	467 of 625 - Sale <sup>25</sup>
	1.000	188.12	06/12/20	189.40	1.28 W	0.00	468 of 625 - Sale <sup>25</sup>
	2.000	376.23	06/12/20	378.82	2.59 W	0.00	469 of 625 - Sale <sup>25</sup>
	5.000	940.57	06/12/20	942.05	1.48 W	0.00	470 of 625 - Sale <sup>25</sup>
	1.000	188.12	06/12/20	256.11	67.99 W	0.00	471 of 625 - Sale <sup>25</sup>
	1.000	188.11	06/12/20	256.11	68.00 W	0.00	472 of 625 - Sale <sup>25</sup>
	1.000	188.12	06/12/20	256.10	67.98 W	0.00	473 of 625 - Sale <sup>25</sup>
	1.000	188.11	06/12/20	248.11	60.00 W	0.00	474 of 625 - Sale <sup>25</sup>
	1.000	190.50	06/12/20	280.19	89.69 W	0.00	475 of 625 - Sale <sup>25</sup>
	1.000	190.50	06/12/20	279.68	89.18 W	0.00	476 of 625 - Sale <sup>25</sup>
	1.000	190.50	06/12/20	293.03	102.53 W	0.00	477 of 625 - Sale <sup>25</sup>
	1.000	190.50	06/12/20	312.22	121.72 W	0.00	478 of 625 - Sale <sup>25</sup>
	1.000	190.50	06/12/20	331.56	141.06 W	0.00	479 of 625 - Sale <sup>25</sup>
	1.000	190.50	06/12/20	328.02	137.52 W	0.00	480 of 625 - Sale <sup>25</sup>
	1.000	190.49	06/12/20	298.45	107.96 W	0.00	481 of 625 - Sale <sup>25</sup>
	1.000	190.50	06/12/20	300.13	109.63 W	0.00	482 of 625 - Sale <sup>25</sup>
	1.000	190.49	06/12/20	300.10	109.61 W	0.00	483 of 625 - Sale <sup>25</sup>
	1.000	190.50	06/12/20	300.13	109.63 W	0.00	484 of 625 - Sale <sup>25</sup>
	1.000	190.49	06/12/20	301.72	111.23 W	0.00	485 of 625 - Sale <sup>25</sup>
	1.000	190.50	06/12/20	261.64	71.14 W	0.00	486 of 625 - Sale <sup>25</sup>
	1.000	190.49	06/12/20	252.18	61.69 W	0.00	487 of 625 - Sale <sup>25</sup>
	1.000	190.50	06/12/20	257.69	67.19 W	0.00	488 of 625 - Sale <sup>25</sup>
	1.000	190.49	06/12/20	265.88	75.39 W	0.00	489 of 625 - Sale <sup>25</sup>
	1.000	190.49	06/12/20	191.74	1.25 W	0.00	490 of 625 - Sale <sup>25</sup>
	1.000	190.50	06/12/20	258.46	67.96 W	0.00	491 of 625 - Sale <sup>25</sup>
	1.000	190.49	06/12/20	258.46	67.97 W	0.00	492 of 625 - Sale <sup>25</sup>
	1.000	190.50	06/12/20	258.45	67.95 W	0.00	493 of 625 - Sale <sup>25</sup>
	1.000	190.49	06/12/20	250.46	59.97 W	0.00	494 of 625 - Sale <sup>25</sup>
	2.000	380.99	06/12/20	504.31	123.32 W	0.00	495 of 625 - Sale <sup>25</sup>
	2.000	380.99	06/12/20	383.52	2.53 W	0.00	496 of 625 - Sale <sup>25</sup>
	3.000	571.49	06/12/20	840.61	269.12 W	0.00	497 of 625 - Sale <sup>25</sup>
	3.000	571.49	06/12/20	936.67	365.18 W	0.00	498 of 625 - Sale <sup>25</sup>
	3.000	571.49	06/12/20	994.90	423.41 W	0.00	499 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	5.000	952.48	06/12/20	953.81	1.33 W	0.00	500 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	283.81	89.31 W	0.00	501 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	283.30	88.80 W	0.00	502 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	296.65	102.15 W	0.00	503 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	315.84	121.34 W	0.00	504 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	335.18	140.68 W	0.00	505 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	331.64	137.14 W	0.00	506 of 625 - Sale <sup>25</sup>
	1.000	194.49	06/12/20	302.08	107.59 W	0.00	507 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	303.75	109.25 W	0.00	508 of 625 - Sale <sup>25</sup>
	1.000	194.49	06/12/20	303.73	109.24 W	0.00	509 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	303.75	109.25 W	0.00	510 of 625 - Sale <sup>25</sup>
	1.000	194.49	06/12/20	305.35	110.86 W	0.00	511 of 625 - Sale <sup>25</sup>
	3.000	583.49	06/12/20	851.48	267.99 W	0.00	512 of 625 - Sale <sup>25</sup>
	3.000	583.48	06/12/20	947.54	364.06 W	0.00	513 of 625 - Sale <sup>25</sup>
	3.000	583.48	06/12/20	1,005.77	422.29 W	0.00	514 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	264.64	70.14 W	0.00	515 of 625 - Sale <sup>25</sup>
	1.000	194.49	06/12/20	255.19	60.70 W	0.00	516 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	260.69	66.19 W	0.00	517 of 625 - Sale <sup>25</sup>
	1.000	194.49	06/12/20	268.89	74.40 W	0.00	518 of 625 - Sale <sup>25</sup>
	2.000	388.99	06/12/20	510.32	121.33 W	0.00	519 of 625 - Sale <sup>25</sup>
	1.000	194.49	06/12/20	194.75	0.26 W	0.00	520 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	261.46	66.96 W	0.00	521 of 625 - Sale <sup>25</sup>
	1.000	194.49	06/12/20	261.47	66.98 W	0.00	522 of 625 - Sale <sup>25</sup>
	1.000	194.50	06/12/20	261.45	66.95 W	0.00	523 of 625 - Sale <sup>25</sup>
	1.000	194.49	06/12/20	253.47	58.98 W	0.00	524 of 625 - Sale <sup>25</sup>
	2.000	388.99	06/12/20	389.53	0.54 W	0.00	525 of 625 - Sale <sup>25</sup>
	1.000	184.12	06/12/20	333.18	149.06 W	0.00	526 of 625 - Sale <sup>25</sup>
	1.000	184.12	06/12/20	329.64	145.52 W	0.00	527 of 625 - Sale <sup>25</sup>
	1.000	184.12	06/12/20	300.09	115.97 W	0.00	528 of 625 - Sale <sup>25</sup>
	1.000	184.12	06/12/20	301.75	117.63 W	0.00	529 of 625 - Sale <sup>25</sup>
	1.000	184.12	06/12/20	301.74	117.62 W	0.00	530 of 625 - Sale <sup>25</sup>
	1.000	184.12	06/12/20	301.75	117.63 W	0.00	531 of 625 - Sale <sup>25</sup>
	1.000	184.11	06/12/20	303.36	119.25 W	0.00	532 of 625 - Sale <sup>25</sup>
	3.000	552.35	06/12/20	999.79	447.44 W	0.00	533 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	184.12	06/12/20	283.16	99.04 W	0.00	534 of 625 - Sale <sup>25</sup>
	1.000	184.12	06/12/20	282.65	98.53 W	0.00	535 of 625 - Sale <sup>25</sup>
	1.000	184.12	06/12/20	296.00	111.88 W	0.00	536 of 625 - Sale <sup>25</sup>
	1.000	184.12	06/12/20	315.19	131.07 W	0.00	537 of 625 - Sale <sup>25</sup>
	3.000	552.36	06/12/20	849.54	297.18 W	0.00	538 of 625 - Sale <sup>25</sup>
	3.000	552.36	06/12/20	945.61	393.25 W	0.00	539 of 625 - Sale <sup>25</sup>
	1.000	184.00	06/12/20	262.64	78.64 W	0.00	540 of 625 - Sale <sup>25</sup>
	1.000	184.00	06/12/20	253.20	69.20 W	0.00	541 of 625 - Sale <sup>25</sup>
	1.000	184.00	06/12/20	258.69	74.69 W	0.00	542 of 625 - Sale <sup>25</sup>
	1.000	183.99	06/12/20	266.90	82.91 W	0.00	543 of 625 - Sale <sup>25</sup>
	1.000	184.00	06/12/20	192.76	8.76 W	0.00	544 of 625 - Sale <sup>25</sup>
	1.000	183.99	06/12/20	259.46	75.47 W	0.00	545 of 625 - Sale <sup>25</sup>
	1.000	184.00	06/12/20	259.48	75.48 W	0.00	546 of 625 - Sale <sup>25</sup>
	1.000	183.99	06/12/20	259.45	75.46 W	0.00	547 of 625 - Sale <sup>25</sup>
	1.000	184.00	06/12/20	251.48	67.48 W	0.00	548 of 625 - Sale <sup>25</sup>
	1.000	183.99	06/12/20	291.54	107.55 W	0.00	549 of 625 - Sale <sup>25</sup>
	1.000	183.99	06/12/20	291.03	107.04 W	0.00	550 of 625 - Sale <sup>25</sup>
	1.000	184.00	06/12/20	304.38	120.38 W	0.00	551 of 625 - Sale <sup>25</sup>
	1.000	183.99	06/12/20	323.57	139.58 W	0.00	552 of 625 - Sale <sup>25</sup>
	2.000	367.99	06/12/20	506.33	138.34 W	0.00	553 of 625 - Sale <sup>25</sup>
	2.000	367.99	06/12/20	385.54	17.55 W	0.00	554 of 625 - Sale <sup>25</sup>
	3.000	551.99	06/12/20	874.68	322.69 W	0.00	555 of 625 - Sale <sup>25</sup>
	1.000	182.00	06/12/20	324.08	142.08 W	0.00	556 of 625 - Sale <sup>25</sup>
	1.000	182.05	06/12/20	342.06	160.01 W	0.00	557 of 625 - Sale <sup>25</sup>
	1.000	182.05	06/12/20	338.52	156.47 W	0.00	558 of 625 - Sale <sup>25</sup>
	1.000	182.04	06/12/20	308.97	126.93 W	0.00	559 of 625 - Sale <sup>25</sup>
	1.000	182.05	06/12/20	310.63	128.58 W	0.00	560 of 625 - Sale <sup>25</sup>
	2.000	364.09	06/12/20	648.17	284.08 W	0.00	561 of 625 - Sale <sup>25</sup>
	3.000	546.14	06/12/20	1,026.44	480.30 W	0.00	562 of 625 - Sale <sup>25</sup>
	1.000	182.04	06/12/20	309.62	127.58 W	0.00	563 of 625 - Sale <sup>25</sup>
	1.000	182.05	06/12/20	309.63	127.58 W	0.00	564 of 625 - Sale <sup>25</sup>
	1.000	182.04	06/12/20	311.25	129.21 W	0.00	565 of 625 - Sale <sup>25</sup>
	1.000	182.05	06/12/20	270.64	88.59 W	0.00	566 of 625 - Sale <sup>25</sup>
	1.000	182.04	06/12/20	261.20	79.16 W	0.00	567 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	182.05	06/12/20	266.69	84.64 W	0.00	568 of 625 - Sale <sup>25</sup>
	1.000	182.04	06/12/20	274.91	92.87 W	0.00	569 of 625 - Sale <sup>25</sup>
	1.000	182.05	06/12/20	200.76	18.71 W	0.00	570 of 625 - Sale <sup>25</sup>
	2.000	364.09	06/12/20	522.34	158.25 W	0.00	571 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	267.47	100.47 W	0.00	572 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	267.48	100.48 W	0.00	573 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	267.46	100.46 W	0.00	574 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	259.48	92.48 W	0.00	575 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	299.55	132.55 W	0.00	576 of 625 - Sale <sup>25</sup>
	2.000	333.99	06/12/20	401.55	67.56 W	0.00	577 of 625 - Sale <sup>25</sup>
	3.000	500.99	06/12/20	898.69	397.70 W	0.00	578 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	292.89	125.89 W	0.00	579 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	306.23	139.23 W	0.00	580 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	325.43	158.43 W	0.00	581 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	327.93	160.93 W	0.00	582 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	345.86	178.86 W	0.00	583 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	342.32	175.32 W	0.00	584 of 625 - Sale <sup>25</sup>
	1.000	166.99	06/12/20	312.78	145.79 W	0.00	585 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	314.43	147.43 W	0.00	586 of 625 - Sale <sup>25</sup>
	1.000	166.99	06/12/20	313.43	146.44 W	0.00	587 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	313.43	146.43 W	0.00	588 of 625 - Sale <sup>25</sup>
	1.000	166.99	06/12/20	315.06	148.07 W	0.00	589 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	274.44	107.44 W	0.00	590 of 625 - Sale <sup>25</sup>
	1.000	166.99	06/12/20	265.01	98.02 W	0.00	591 of 625 - Sale <sup>25</sup>
	2.000	333.99	06/12/20	655.78	321.79 W	0.00	592 of 625 - Sale <sup>25</sup>
	2.000	333.99	06/12/20	529.95	195.96 W	0.00	593 of 625 - Sale <sup>25</sup>
	3.000	500.99	06/12/20	1,037.85	536.86 W	0.00	594 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	271.56	104.56 W	0.00	595 of 625 - Sale <sup>25</sup>
	1.000	166.99	06/12/20	279.79	112.80 W	0.00	596 of 625 - Sale <sup>25</sup>
	1.000	167.00	06/12/20	205.63	38.63 W	0.00	597 of 625 - Sale <sup>25</sup>
	17.000	2,838.91	06/12/20	3,177.64	338.73 W	0.00	598 of 625 - Sale <sup>25</sup>
	1.000	166.00	06/12/20	287.39	121.39 W	0.00	599 of 625 - Sale <sup>25</sup>
	1.000	165.99	06/12/20	287.40	121.41 W	0.00	600 of 625 - Sale <sup>25</sup>
	2.000	331.99	06/12/20	441.40	109.41 W	0.00	601 of 625 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	166.00	06/12/20	287.38	121.38 W	0.00	602 of 625 - Sale <sup>25</sup>
	1.000	166.00	06/12/20	279.40	113.40 W	0.00	603 of 625 - Sale <sup>25</sup>
	1.000	166.00	06/12/20	319.47	153.47 W	0.00	604 of 625 - Sale <sup>25</sup>
	1.000	166.00	06/12/20	312.81	146.81 W	0.00	605 of 625 - Sale <sup>25</sup>
	1.000	166.00	06/12/20	326.15	160.15 W	0.00	606 of 625 - Sale <sup>25</sup>
	1.000	166.00	06/12/20	345.35	179.35 W	0.00	607 of 625 - Sale <sup>25</sup>
	1.000	165.99	06/12/20	347.85	181.86 W	0.00	608 of 625 - Sale <sup>25</sup>
	1.000	166.00	06/12/20	365.78	199.78 W	0.00	609 of 625 - Sale <sup>25</sup>
	1.000	166.00	06/12/20	362.24	196.24 W	0.00	610 of 625 - Sale <sup>25</sup>
	1.000	165.99	06/12/20	332.71	166.72 W	0.00	611 of 625 - Sale <sup>25</sup>
	1.000	166.00	06/12/20	334.35	168.35 W	0.00	612 of 625 - Sale <sup>25</sup>
	1.000	165.99	06/12/20	333.36	167.37 W	0.00	613 of 625 - Sale <sup>25</sup>
	1.000	166.00	06/12/20	333.35	167.35 W	0.00	614 of 625 - Sale <sup>25</sup>
	1.000	165.99	06/12/20	334.99	169.00 W	0.00	615 of 625 - Sale <sup>25</sup>
	1.000	166.00	06/12/20	294.36	128.36 W	0.00	616 of 625 - Sale <sup>25</sup>
	1.000	165.99	06/12/20	284.94	118.95 W	0.00	617 of 625 - Sale <sup>25</sup>
	2.000	331.99	06/12/20	695.63	363.64 W	0.00	618 of 625 - Sale <sup>25</sup>
	2.000	331.99	06/12/20	569.80	237.81 W	0.00	619 of 625 - Sale <sup>25</sup>
	3.000	497.99	06/12/20	958.46	460.47 W	0.00	620 of 625 - Sale <sup>25</sup>
	3.000	497.98	06/12/20	1,097.62	599.64 W	0.00	621 of 625 - Sale <sup>25</sup>
	1.000	166.00	06/12/20	286.56	120.56 W	0.00	622 of 625 - Sale <sup>25</sup>
	1.000	166.00	06/12/20	294.80	128.80 W	0.00	623 of 625 - Sale <sup>25</sup>
	1.000	166.00	06/12/20	220.63	54.63 W	0.00	624 of 625 - Sale <sup>25</sup>
	7.000	1,161.96	06/12/20	1,413.48	251.52 W	0.00	625 of 625 - Sale <sup>25</sup>
06/12/20	1,176.000	236,005.37	Various	315,325.81	79,320.44 W	0.00	Total of 625 transactions
			29 transactions for 06/15/20. Total proceeds and cost reported to the IRS.				
	10.000	2,008.15	06/12/20	2,019.25	11.10 W	0.00	1 of 29 - Sale <sup>25</sup>
	1.000	200.82	06/12/20	227.71	26.89 W	0.00	2 of 29 - Sale <sup>25</sup>
	1.000	200.82	06/12/20	227.34	26.52 W	0.00	3 of 29 - Sale <sup>25</sup>
	1.000	200.81	06/12/20	294.03	93.22 W	0.00	4 of 29 - Sale <sup>25</sup>
	1.000	200.82	06/12/20	294.05	93.23 W	0.00	5 of 29 - Sale <sup>25</sup>
	1.000	200.81	06/12/20	294.02	93.21 W	0.00	6 of 29 - Sale <sup>25</sup>
	1.000	200.82	06/12/20	286.04	85.22 W	0.00	7 of 29 - Sale <sup>25</sup>
	1.000	200.81	06/12/20	326.11	125.30 W	0.00	8 of 29 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	200.81	06/12/20	319.45	118.64 W	0.00	9 of 29 - Sale <sup>25</sup>
	1.000	200.82	06/12/20	332.79	131.97 W	0.00	10 of 29 - Sale <sup>25</sup>
	1.000	200.81	06/12/20	351.99	151.18 W	0.00	11 of 29 - Sale <sup>25</sup>
	1.000	200.82	06/12/20	354.50	153.68 W	0.00	12 of 29 - Sale <sup>25</sup>
	1.000	200.81	06/12/20	372.42	171.61 W	0.00	13 of 29 - Sale <sup>25</sup>
	2.000	401.63	06/12/20	708.92	307.29 W	0.00	14 of 29 - Sale <sup>25</sup>
	3.000	602.45	06/12/20	978.39	375.94 W	0.00	15 of 29 - Sale <sup>25</sup>
	3.000	602.45	06/12/20	1,117.56	515.11 W	0.00	16 of 29 - Sale <sup>25</sup>
	1.000	202.50	06/15/20	406.23	203.73 W	0.00	17 of 29 - Sale <sup>25</sup>
	1.000	202.50	06/15/20	376.71	174.21 W	0.00	18 of 29 - Sale <sup>25</sup>
	1.000	202.50	06/15/20	378.34	175.84 W	0.00	19 of 29 - Sale <sup>25</sup>
	1.000	202.49	06/15/20	377.36	174.87 W	0.00	20 of 29 - Sale <sup>25</sup>
	1.000	202.50	06/15/20	377.34	174.84 W	0.00	21 of 29 - Sale <sup>25</sup>
	1.000	202.49	06/15/20	378.99	176.50 W	0.00	22 of 29 - Sale <sup>25</sup>
	1.000	202.50	06/15/20	338.35	135.85 W	0.00	23 of 29 - Sale <sup>25</sup>
	1.000	202.49	06/15/20	328.94	126.45 W	0.00	24 of 29 - Sale <sup>25</sup>
	2.000	404.99	06/15/20	657.79	252.80 W	0.00	25 of 29 - Sale <sup>25</sup>
	1.000	202.50	06/15/20	328.56	126.06 W	0.00	26 of 29 - Sale <sup>25</sup>
	1.000	202.49	06/15/20	336.71	134.22 W	0.00	27 of 29 - Sale <sup>25</sup>
	1.000	202.50	06/15/20	262.54	60.04 W	0.00	28 of 29 - Sale <sup>25</sup>
	7.000	1,417.46	06/15/20	1,706.89	289.43 W	0.00	29 of 29 - Sale <sup>25</sup>
06/15/20	50.000	10,074.37	Various	14,759.32	4,684.95 W	0.00	Total of 29 transactions
	26 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	3.000	478.48	06/16/20	1,029.83	...	-551.35	1 of 26 - Sale <sup>25</sup>
	3.000	478.48	06/16/20	899.48	...	-421.00	2 of 26 - Sale <sup>25</sup>
	2.000	318.99	06/16/20	650.43	...	-331.44	3 of 26 - Sale <sup>25</sup>
	2.000	319.99	06/16/20	581.26	...	-261.27	4 of 26 - Sale <sup>25</sup>
	1.000	160.00	06/16/20	367.95	...	-207.95	5 of 26 - Sale <sup>25</sup>
	1.000	159.50	06/16/20	343.17	...	-183.67	6 of 26 - Sale <sup>25</sup>
	1.000	159.99	06/16/20	340.74	...	-180.75	7 of 26 - Sale <sup>25</sup>
	1.000	160.00	06/16/20	340.06	...	-180.06	8 of 26 - Sale <sup>25</sup>
	1.000	159.99	06/16/20	339.11	...	-179.12	9 of 26 - Sale <sup>25</sup>
	1.000	160.00	06/16/20	339.06	...	-179.06	10 of 26 - Sale <sup>25</sup>
	1.000	160.00	06/16/20	338.43	...	-178.43	11 of 26 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	159.49	06/16/20	325.26	...	-165.77	12 of 26 - Sale <sup>25</sup>
	1.000	159.50	06/16/20	322.74	...	-163.24	13 of 26 - Sale <sup>25</sup>
	1.000	159.50	06/16/20	303.54	...	-144.04	14 of 26 - Sale <sup>25</sup>
	1.000	159.50	06/16/20	299.80	...	-140.30	15 of 26 - Sale <sup>25</sup>
	1.000	160.00	06/16/20	300.07	...	-140.07	16 of 26 - Sale <sup>25</sup>
	1.000	159.50	06/16/20	290.20	...	-130.70	17 of 26 - Sale <sup>25</sup>
	1.000	159.99	06/16/20	290.69	...	-130.70	18 of 26 - Sale <sup>25</sup>
	1.000	159.49	06/16/20	267.74	...	-108.25	19 of 26 - Sale <sup>25</sup>
	1.000	159.50	06/16/20	267.72	...	-108.22	20 of 26 - Sale <sup>25</sup>
	1.000	159.50	06/16/20	267.71	...	-108.21	21 of 26 - Sale <sup>25</sup>
	1.000	159.49	06/16/20	259.74	...	-100.25	22 of 26 - Sale <sup>25</sup>
	1.000	159.50	06/16/20	201.39	...	-41.89	23 of 26 - Sale <sup>25</sup>
	1.000	159.50	06/16/20	201.02	...	-41.52	24 of 26 - Sale <sup>25</sup>
	1.000	163.00	06/16/20	159.61	...	3.39	25 of 26 - Sale <sup>25</sup>
	9.000	1,466.96	06/16/20	1,436.52	...	30.44	26 of 26 - Sale <sup>25</sup>
06/16/20	40.000	6,419.84	Various	10,763.27	...	-4,343.43	Total of 26 transactions
	58 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	7.000	1,126.96	06/16/20	1,398.96	194.29 W	-77.71	1 of 58 - Sale <sup>25</sup>
	1.000	160.50	06/16/20	162.11	1.61 W	0.00	2 of 58 - Sale <sup>25</sup>
	9.000	1,444.47	06/16/20	1,458.99	14.52 W	0.00	3 of 58 - Sale <sup>25</sup>
	1.000	160.50	06/16/20	185.89	25.39 W	0.00	4 of 58 - Sale <sup>25</sup>
	1.000	160.50	06/16/20	185.52	25.02 W	0.00	5 of 58 - Sale <sup>25</sup>
	1.000	160.50	06/16/20	252.22	91.72 W	0.00	6 of 58 - Sale <sup>25</sup>
	1.000	160.50	06/16/20	252.23	91.73 W	0.00	7 of 58 - Sale <sup>25</sup>
	1.000	160.50	06/16/20	252.21	91.71 W	0.00	8 of 58 - Sale <sup>25</sup>
	1.000	160.49	06/16/20	244.22	83.73 W	0.00	9 of 58 - Sale <sup>25</sup>
	1.000	160.50	06/16/20	284.30	123.80 W	0.00	10 of 58 - Sale <sup>25</sup>
	3.000	481.48	06/16/20	852.94	371.46 W	0.00	11 of 58 - Sale <sup>25</sup>
	1.000	160.50	06/16/20	277.14	116.64 W	0.00	12 of 58 - Sale <sup>25</sup>
	1.000	160.49	06/16/20	290.47	129.98 W	0.00	13 of 58 - Sale <sup>25</sup>
	1.000	160.50	06/16/20	309.68	149.18 W	0.00	14 of 58 - Sale <sup>25</sup>
	1.000	160.49	06/16/20	312.18	151.69 W	0.00	15 of 58 - Sale <sup>25</sup>
	1.000	160.50	06/16/20	330.11	169.61 W	0.00	16 of 58 - Sale <sup>25</sup>
	2.000	320.99	06/16/20	624.29	303.30 W	0.00	17 of 58 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	3.000	481.48	06/16/20	990.61	509.13 W	0.00	18 of 58 - Sale <sup>25</sup>
	1.000	160.53	06/16/20	361.20	200.67 W	0.00	19 of 58 - Sale <sup>25</sup>
	1.000	160.53	06/16/20	331.68	171.15 W	0.00	20 of 58 - Sale <sup>25</sup>
	1.000	160.53	06/16/20	333.31	172.78 W	0.00	21 of 58 - Sale <sup>25</sup>
	1.000	160.52	06/16/20	332.34	171.82 W	0.00	22 of 58 - Sale <sup>25</sup>
	1.000	160.53	06/16/20	332.31	171.78 W	0.00	23 of 58 - Sale <sup>25</sup>
	1.000	160.52	06/16/20	333.97	173.45 W	0.00	24 of 58 - Sale <sup>25</sup>
	1.000	160.53	06/16/20	293.32	132.79 W	0.00	25 of 58 - Sale <sup>25</sup>
	1.000	160.52	06/16/20	283.92	123.40 W	0.00	26 of 58 - Sale <sup>25</sup>
	2.000	321.05	06/16/20	567.74	246.69 W	0.00	27 of 58 - Sale <sup>25</sup>
	1.000	163.00	06/16/20	284.56	121.56 W	0.00	28 of 58 - Sale <sup>25</sup>
	1.000	163.00	06/16/20	292.72	129.72 W	0.00	29 of 58 - Sale <sup>25</sup>
	1.000	163.00	06/16/20	218.54	55.54 W	0.00	30 of 58 - Sale <sup>25</sup>
	7.000	1,140.97	06/16/20	1,398.93	257.96 W	0.00	31 of 58 - Sale <sup>25</sup>
	1.000	158.00	06/16/20	195.39	37.39 W	0.00	32 of 58 - Sale <sup>25</sup>
	1.000	158.00	06/16/20	195.02	37.02 W	0.00	33 of 58 - Sale <sup>25</sup>
	1.000	158.00	06/16/20	261.72	103.72 W	0.00	34 of 58 - Sale <sup>25</sup>
	1.000	157.99	06/16/20	261.73	103.74 W	0.00	35 of 58 - Sale <sup>25</sup>
	1.000	158.00	06/16/20	261.71	103.71 W	0.00	36 of 58 - Sale <sup>25</sup>
	1.000	157.99	06/16/20	253.73	95.74 W	0.00	37 of 58 - Sale <sup>25</sup>
	1.000	158.00	06/16/20	293.80	135.80 W	0.00	38 of 58 - Sale <sup>25</sup>
	3.000	473.98	06/16/20	881.46	407.48 W	0.00	39 of 58 - Sale <sup>25</sup>
	1.000	158.50	06/16/20	287.20	128.70 W	0.00	40 of 58 - Sale <sup>25</sup>
	1.000	158.50	06/16/20	300.54	142.04 W	0.00	41 of 58 - Sale <sup>25</sup>
	1.000	158.50	06/16/20	319.74	161.24 W	0.00	42 of 58 - Sale <sup>25</sup>
	1.000	158.49	06/16/20	322.25	163.76 W	0.00	43 of 58 - Sale <sup>25</sup>
	1.000	158.50	06/16/20	340.17	181.67 W	0.00	44 of 58 - Sale <sup>25</sup>
	2.000	316.99	06/16/20	644.42	327.43 W	0.00	45 of 58 - Sale <sup>25</sup>
	3.000	475.48	06/16/20	1,020.81	545.33 W	0.00	46 of 58 - Sale <sup>25</sup>
	1.000	160.00	06/16/20	367.95	207.95 W	0.00	47 of 58 - Sale <sup>25</sup>
	1.000	160.00	06/16/20	338.43	178.43 W	0.00	48 of 58 - Sale <sup>25</sup>
	1.000	160.00	06/16/20	340.06	180.06 W	0.00	49 of 58 - Sale <sup>25</sup>
	1.000	159.99	06/16/20	339.10	179.11 W	0.00	50 of 58 - Sale <sup>25</sup>
	1.000	160.00	06/16/20	339.06	179.06 W	0.00	51 of 58 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	159.99	06/16/20	340.73	180.74 W	0.00	52 of 58 - Sale <sup>25</sup>
	1.000	160.00	06/16/20	300.07	140.07 W	0.00	53 of 58 - Sale <sup>25</sup>
	1.000	159.99	06/16/20	290.68	130.69 W	0.00	54 of 58 - Sale <sup>25</sup>
	2.000	319.99	06/16/20	581.25	261.26 W	0.00	55 of 58 - Sale <sup>25</sup>
	1.000	161.00	06/16/20	284.56	123.56 W	0.00	56 of 58 - Sale <sup>25</sup>
	1.000	161.00	06/16/20	292.72	131.72 W	0.00	57 of 58 - Sale <sup>25</sup>
	1.000	161.00	06/16/20	218.54	57.54 W	0.00	58 of 58 - Sale <sup>25</sup>
06/16/20	90.000	14,424.96	Various	23,901.45	9,398.78 W	-77.71	Total of 58 transactions
	7 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	1.000	149.50	06/19/20	280.11	...	-130.61	1 of 7 - Sale <sup>25</sup>
	1.000	149.50	06/19/20	272.26	...	-122.76	2 of 7 - Sale <sup>25</sup>
	1.000	147.60	06/19/20	207.01	...	-59.41	3 of 7 - Sale <sup>25</sup>
	1.000	147.59	06/19/20	187.71	...	-40.12	4 of 7 - Sale <sup>25</sup>
	1.000	147.60	06/19/20	187.71	...	-40.11	5 of 7 - Sale <sup>25</sup>
	1.000	147.60	06/19/20	187.36	...	-39.76	6 of 7 - Sale <sup>25</sup>
	1.000	147.59	06/19/20	186.70	...	-39.11	7 of 7 - Sale <sup>25</sup>
06/19/20	7.000	1,036.98	Various	1,508.86	...	-471.88	Total of 7 transactions
06/26/20	1.000	148.50	06/26/20	187.20	...	-38.70	Sale <sup>25</sup>
	<b>Security total:</b>	<b>444,609.44</b>		<b>541,415.48</b>	<b>93,801.29 W</b>	<b>-3,004.75</b>	
CREE, INC. COMMON STOCK / CUSIP: 225447101 / Symbol:							
	3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	20.000	1,949.71	12/10/20	1,941.46	...	8.25	1 of 3 - Sale <sup>25</sup>
	10.000	972.04	12/10/20	961.95	...	10.09	2 of 3 - Sale <sup>25</sup>
	40.000	3,888.14	12/10/20	3,838.00	...	50.14	3 of 3 - Sale <sup>25</sup>
12/10/20	70.000	6,809.89	Various	6,741.41	...	68.48	Total of 3 transactions
CROCS, INC. COMMON STOCK / CUSIP: 227046109 / Symbol:							
11/27/20	100.000	5,852.86	11/27/20	5,868.00	...	-15.14	Sale <sup>25</sup>
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
01/29/20	8.000	62.40	01/15/20	69.60	7.20 W	0.00	Sale <sup>25</sup>
02/05/20	30.000	216.00	01/15/20	261.00	...	-45.00	Sale <sup>25</sup>
03/13/20	50.000	256.73	01/15/20	435.00	...	-178.27	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol: (cont'd)							
<i>2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.</i>							
	12.000	71.64	01/15/20	104.40	...	-32.76	1 of 2 - Sale <sup>25</sup>
	8.000	47.76	01/15/20	75.60	...	-27.84	2 of 2 - Sale <sup>25</sup>
04/23/20	20.000	119.40	Various	180.00	...	-60.60	Total of 2 transactions
12/11/20	100.000	842.96 N	11/30/20	858.94	15.98 W	0.00	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$107.00
<b>Security total:</b>		<b>1,497.49</b>		<b>1,804.54</b>	<b>23.18 W</b>	<b>-283.87</b>	
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
<i>2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	96.75	06/11/20	96.41	...	0.34	1 of 2 - Sale <sup>25</sup>
	4.000	387.00	06/11/20	385.64	...	1.36	2 of 2 - Sale <sup>25</sup>
06/11/20	5.000	483.75	Various	482.05	...	1.70	Total of 2 transactions
<i>5 transactions for 09/15/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	393.23	09/15/20	390.96	...	2.27	1 of 5 - Sale <sup>25</sup>
	5.000	655.38	09/15/20	651.20	...	4.18	2 of 5 - Sale <sup>25</sup>
	5.000	655.40	09/15/20	651.19	...	4.21	3 of 5 - Sale <sup>25</sup>
	10.000	1,310.81	09/15/20	1,302.60	...	8.21	4 of 5 - Sale <sup>25</sup>
	7.000	917.54	09/15/20	905.31	...	12.23	5 of 5 - Sale <sup>25</sup>
09/15/20	30.000	3,932.36	Various	3,901.26	...	31.10	Total of 5 transactions
<i>2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	382.80	09/15/20	385.77	2.97 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	2,631.74	09/15/20	2,641.00	9.26 W	0.00	2 of 2 - Sale <sup>25</sup>
09/15/20	23.000	3,014.54	Various	3,026.77	12.23 W	0.00	Total of 2 transactions
<i>6 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	762.47	10/13/20	768.22	...	-5.75	1 of 6 - Sale <sup>25</sup>
	1.000	152.50	10/13/20	153.56	...	-1.06	2 of 6 - Sale <sup>25</sup>
	5.000	762.48	10/13/20	761.81	...	0.67	3 of 6 - Sale <sup>25</sup>
	1.000	151.20	10/13/20	149.69	...	1.51	4 of 6 - Sale <sup>25</sup>
	4.000	604.78	10/13/20	599.04	...	5.74	5 of 6 - Sale <sup>25</sup>
	4.000	609.98	10/13/20	599.03	...	10.95	6 of 6 - Sale <sup>25</sup>
10/13/20	20.000	3,043.41	Various	3,031.35	...	12.06	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol: (cont'd)							
12/30/20	10.000	2,092.95	12/30/20	2,107.20	...	-14.25	Sale <sup>25</sup>
	<b>Security total:</b>	<b>12,567.01</b>		<b>12,548.63</b>	<b>12.23 W</b>	<b>30.61</b>	
CROWN HOLDINGS INC. / CUSIP: 228368106 / Symbol:							
06/23/20	1.000	65.40	06/18/20	65.70	...	-0.30	Sale <sup>25</sup>
XTRACKERS HARVEST CSI 300 CHIN A A-SHARES ETF / CUSIP: 233051879 / Symbol:							
11/04/20	10.000	376.13	11/04/20	371.67	...	4.46	Sale <sup>25</sup>
11/06/20	30.000	1,137.87	11/06/20	1,134.00	...	3.87	Sale <sup>25</sup>
11/11/20	30.000	1,130.07	11/09/20	1,151.69	...	-21.62	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,644.07</b>		<b>2,657.36</b>	...	<b>-13.29</b>	
D.R. HORTON INC. / CUSIP: 23331A109 / Symbol:							
	6 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	20.000	1,499.57	10/05/20	1,516.61	...	-17.04	1 of 6 - Sale <sup>25</sup>
	9.000	674.80	10/05/20	682.39	...	-7.59	2 of 6 - Sale <sup>25</sup>
	5.000	378.74	10/05/20	383.60	...	-4.86	3 of 6 - Sale <sup>25</sup>
	5.000	378.74	10/05/20	382.89	...	-4.15	4 of 6 - Sale <sup>25</sup>
	5.000	378.74	10/05/20	382.69	...	-3.95	5 of 6 - Sale <sup>25</sup>
	1.000	74.98	10/05/20	75.82	...	-0.84	6 of 6 - Sale <sup>25</sup>
10/05/20	45.000	3,385.57	Various	3,424.00	...	-38.43	Total of 6 transactions
	6 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	10.000	749.78	10/05/20	758.78	4.50 W	-4.50	1 of 6 - Sale <sup>25</sup>
	10.000	750.19	10/05/20	753.65	3.46 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	750.19	10/05/20	755.07	4.88 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.000	750.19	10/05/20	755.40	5.21 W	0.00	4 of 6 - Sale <sup>25</sup>
	20.000	1,500.39	10/05/20	1,511.00	10.61 W	0.00	5 of 6 - Sale <sup>25</sup>
	10.000	749.78	10/05/20	757.36	7.58 W	0.00	6 of 6 - Sale <sup>25</sup>
10/05/20	70.000	5,250.52	Various	5,291.26	36.24 W	-4.50	Total of 6 transactions
	<b>Security total:</b>	<b>8,636.09</b>		<b>8,715.26</b>	<b>36.24 W</b>	<b>-42.93</b>	
DADA NEXUS LIMITED AMERICAN DE POSITARY SHARES / CUSIP: 23344D108 / Symbol:							
12/11/20	30.000	1,271.35	12/11/20	1,287.00	...	-15.65	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DARDEN RESTAURANTS, INC. / CUSIP: 237194105 / Symbol:							
08/21/20	30.000	2,494.74	08/21/20	2,501.70	...	-6.96	Sale <sup>25</sup>
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
08/05/20	50.000	4,737.39	08/05/20	4,741.50	...	-4.11	Sale <sup>25</sup>
	2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	106.00	10/05/20	106.32	0.32 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	953.97	10/05/20	956.88	2.91 W	0.00	2 of 2 - Sale <sup>25</sup>
10/05/20	10.000	1,059.97	Various	1,063.20	3.23 W	0.00	Total of 2 transactions
	3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	107.15	10/07/20	107.97	0.82 W	0.00	1 of 3 - Sale <sup>25</sup>
	9.000	964.33	10/07/20	971.76	7.43 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	1,071.47	10/07/20	1,076.50	5.03 W	0.00	3 of 3 - Sale <sup>25</sup>
10/07/20	20.000	2,142.95	Various	2,156.23	13.28 W	0.00	Total of 3 transactions
	2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	9.000	997.83	10/12/20	1,013.81	...	-15.98	1 of 2 - Sale <sup>25</sup>
	1.000	110.87	10/12/20	112.64	...	-1.77	2 of 2 - Sale <sup>25</sup>
10/12/20	10.000	1,108.70	Various	1,126.45	...	-17.75	Total of 2 transactions
10/26/20	10.000	1,009.97	10/26/20	1,035.03	10.02 W	-15.04	Sale <sup>25</sup>
11/19/20	4.000	358.36	11/19/20	368.82	...	-10.46	Sale <sup>25</sup>
	<b>Security total:</b>	<b>10,417.34</b>		<b>10,491.23</b>	<b>26.53 W</b>	<b>-47.36</b>	
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	15.000	214.80	06/08/20	312.75	...	-97.95	1 of 3 - Sale <sup>25</sup>
	8.000	114.56	06/08/20	166.80	...	-52.24	2 of 3 - Sale <sup>25</sup>
	2.000	28.64	06/08/20	41.70	...	-13.06	3 of 3 - Sale <sup>25</sup>
06/11/20	25.000	358.00	Various	521.25	...	-163.25	Total of 3 transactions
07/17/20	20.000	263.82	07/16/20	265.00	...	-1.18	Sale <sup>25</sup>
	2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	10.000	258.90	12/10/20	270.00	...	-11.10	1 of 2 - Sale <sup>25</sup>
	5.000	129.45	12/10/20	133.00	...	-3.55	2 of 2 - Sale <sup>25</sup>
12/11/20	15.000	388.35	Various	403.00	...	-14.65	Total of 2 transactions
	<b>Security total:</b>	<b>1,010.17</b>		<b>1,189.25</b>	<b>...</b>	<b>-179.08</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DEERE & COMPANY / CUSIP: 244199105 / Symbol:							
9 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	9.000	1,700.86	08/10/20	1,703.65	...	-2.79	1 of 9 - Sale <sup>25</sup>
	5.000	944.93	08/10/20	945.35	...	-0.42	2 of 9 - Sale <sup>25</sup>
	1.000	188.99	08/10/20	189.29	...	-0.30	3 of 9 - Sale <sup>25</sup>
	1.000	188.01	08/10/20	187.56	...	0.45	4 of 9 - Sale <sup>25</sup>
	1.000	188.01	08/10/20	187.40	...	0.61	5 of 9 - Sale <sup>25</sup>
	9.000	1,692.05	08/10/20	1,688.04	...	4.01	6 of 9 - Sale <sup>25</sup>
	9.000	1,692.05	08/10/20	1,686.64	...	5.41	7 of 9 - Sale <sup>25</sup>
	10.000	1,880.05	08/10/20	1,871.20	...	8.85	8 of 9 - Sale <sup>25</sup>
	20.000	3,779.51	08/10/20	3,751.20	...	28.31	9 of 9 - Sale <sup>25</sup>
08/10/20	65.000	12,254.46	Various	12,210.33	...	44.13	Total of 9 transactions
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	185.97	08/10/20	186.25	0.28 W	0.00	1 of 3 - Sale <sup>25</sup>
	9.000	1,673.69	08/10/20	1,676.25	2.56 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	1,889.76	08/10/20	1,892.00	2.24 W	0.00	3 of 3 - Sale <sup>25</sup>
08/10/20	20.000	3,749.42	Various	3,754.50	5.08 W	0.00	Total of 3 transactions
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	5.000	1,129.97	10/06/20	1,137.30	7.33 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	226.00	10/06/20	227.46	1.46 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	903.98	10/06/20	909.84	5.86 W	0.00	3 of 3 - Sale <sup>25</sup>
10/06/20	10.000	2,259.95	Various	2,274.60	14.65 W	0.00	Total of 3 transactions
3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	4.000	932.30	10/08/20	938.58	3.14 W	-3.14	1 of 3 - Sale <sup>25</sup>
	1.000	233.07	10/08/20	234.64	1.57 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	1,165.37	10/08/20	1,169.08	3.71 W	0.00	3 of 3 - Sale <sup>25</sup>
10/08/20	10.000	2,330.74	Various	2,342.30	8.42 W	-3.14	Total of 3 transactions
10/09/20	5.000	1,169.97	10/09/20	1,186.72	...	-16.75	Sale <sup>25</sup>
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	2.000	473.29	10/12/20	477.58	...	-4.29	1 of 2 - Sale <sup>25</sup>
	1.000	236.64	10/12/20	238.79	...	-2.15	2 of 2 - Sale <sup>25</sup>
10/12/20	3.000	709.93	Various	716.37	...	-6.44	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DEERE & COMPANY / CUSIP: 244199105 / Symbol: (cont'd)							
4 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	10.000	2,528.44	12/08/20	2,534.50	...	-6.06	1 of 4 - Sale <sup>25</sup>
	5.000	1,262.47	12/08/20	1,267.25	...	-4.78	2 of 4 - Sale <sup>25</sup>
	3.000	757.48	12/08/20	760.35	...	-2.87	3 of 4 - Sale <sup>25</sup>
	2.000	504.98	12/08/20	506.90	...	-1.92	4 of 4 - Sale <sup>25</sup>
12/08/20	20.000	5,053.37	Various	5,069.00	...	-15.63	Total of 4 transactions
	<b>Security total:</b>	<b>27,527.84</b>		<b>27,553.82</b>	<b>28.15 W</b>	<b>2.17</b>	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
4 transactions for 01/21/20. Total proceeds and cost reported to the IRS.							
	15.000	878.15	10/16/19	809.70	...	68.45	1 of 4 - Sale <sup>25</sup>
	5.000	292.72	10/21/19	274.60	...	18.12	2 of 4 - Sale <sup>25</sup>
	10.000	585.44	10/21/19	547.05	...	38.39	3 of 4 - Sale <sup>25</sup>
	5.000	292.71	10/29/19	276.20	...	16.51	4 of 4 - Sale <sup>25</sup>
01/21/20	35.000	2,049.02	Various	1,907.55	...	141.47	Total of 4 transactions
08/19/20	50.000	1,449.96	08/19/20	1,424.00	...	25.96	Sale <sup>25</sup>
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	39.000	1,152.03	08/24/20	1,152.45	0.42 W	0.00	1 of 2 - Sale <sup>25</sup>
	11.000	324.93	08/24/20	325.05	0.12 W	0.00	2 of 2 - Sale <sup>25</sup>
08/24/20	50.000	1,476.96	Various	1,477.50	0.54 W	0.00	Total of 2 transactions
09/08/20	20.000	651.98	09/08/20	631.62	...	20.36	Sale <sup>25</sup>
5 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	20.000	680.71	09/17/20	710.91	...	-30.20	1 of 5 - Sale <sup>25</sup>
	19.000	646.66	09/17/20	676.70	...	-30.04	2 of 5 - Sale <sup>25</sup>
	9.000	306.31	09/17/20	320.01	...	-13.70	3 of 5 - Sale <sup>25</sup>
	1.000	34.03	09/17/20	35.61	...	-1.58	4 of 5 - Sale <sup>25</sup>
	1.000	34.03	09/17/20	35.55	...	-1.52	5 of 5 - Sale <sup>25</sup>
09/17/20	50.000	1,701.74	Various	1,778.78	...	-77.04	Total of 5 transactions
5 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	34.29	09/17/20	34.97	0.68 W	0.00	1 of 5 - Sale <sup>25</sup>
	19.000	651.50	09/17/20	664.53	13.03 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	34.29	09/17/20	34.94	0.65 W	0.00	3 of 5 - Sale <sup>25</sup>
	9.000	308.60	09/17/20	314.47	5.87 W	0.00	4 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	20.000	685.79	09/17/20	698.60	12.81 W	0.00	5 of 5 - Sale <sup>25</sup>
09/17/20	50.000	1,714.47	Various	1,747.51	33.04 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>9,044.13</b>		<b>8,966.96</b>	<b>33.58 W</b>	<b>110.75</b>	
DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol:							
04/24/20	1,000.000	429.88	04/23/20	316.50	...	113.38	Sale <sup>25</sup>
04/28/20	1,000.000	314.88	04/27/20	273.75	...	41.13	Sale <sup>25</sup>
	2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	100.000	33.39	04/28/20	29.28	...	4.11	1 of 2 - Sale <sup>25</sup>
	200.000	66.78	04/28/20	58.56	...	8.22	2 of 2 - Sale <sup>25</sup>
04/29/20	300.000	100.17	Various	87.84	...	12.33	Total of 2 transactions
	<b>Security total:</b>	<b>844.93</b>		<b>678.09</b>	...	<b>166.84</b>	
DELUXE CORPORATION / CUSIP: 248019101 / Symbol:							
	6 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	36.000	1,079.97	06/08/20	1,145.52	...	-65.55	1 of 6 - Sale <sup>25</sup>
	12.000	360.00	06/08/20	381.84	...	-21.84	2 of 6 - Sale <sup>25</sup>
	7.000	210.00	06/08/20	222.74	...	-12.74	3 of 6 - Sale <sup>25</sup>
	6.000	180.00	06/08/20	190.92	...	-10.92	4 of 6 - Sale <sup>25</sup>
	3.000	90.00	06/08/20	95.46	...	-5.46	5 of 6 - Sale <sup>25</sup>
	1.000	30.00	06/08/20	33.32	...	-3.32	6 of 6 - Sale <sup>25</sup>
06/09/20	65.000	1,949.97	Various	2,069.80	...	-119.83	Total of 6 transactions
06/09/20	16.000	480.00	06/08/20	509.12	1.82 W	-27.30	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,429.97</b>		<b>2,578.92</b>	<b>1.82 W</b>	<b>-147.13</b>	
DIREXION DAILY REAL ESTATE BUL L 3X / CUSIP: 25459W755 / Symbol:							
07/28/20	100.000	1,068.46	07/17/20	1,011.00	...	57.46	Sale <sup>25</sup>
DIREXION DAILY SMALL CAP BULL 3X SHARES / CUSIP: 25459W847 / Symbol:							
	2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	1.000	28.70	07/06/20	28.56	...	0.14	1 of 2 - Sale <sup>25</sup>
	99.000	2,841.22	07/06/20	2,827.43	...	13.79	2 of 2 - Sale <sup>25</sup>
07/06/20	100.000	2,869.92	Various	2,855.99	...	13.93	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SMALL CAP BULL 3X SHARES / CUSIP: 25459W847 / Symbol: (cont'd)							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	4.000	100.40	07/14/20	101.80	1.40 W	0.00	1 of 2 - Sale <sup>25</sup>
07/14/20	46.000	1,154.57	07/14/20	1,170.70	16.13 W	0.00	2 of 2 - Sale <sup>25</sup>
	50.000	1,254.97	Various	1,272.50	17.53 W	0.00	Total of 2 transactions
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	4.000	117.38	07/15/20	119.40	2.02 W	0.00	1 of 2 - Sale <sup>25</sup>
07/17/20	16.000	469.50	07/15/20	477.61	8.11 W	0.00	2 of 2 - Sale <sup>25</sup>
	20.000	586.88	Various	597.01	10.13 W	0.00	Total of 2 transactions
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	6.000	174.66	07/27/20	174.30	...	0.36	1 of 2 - Sale <sup>25</sup>
07/27/20	14.000	407.54	07/27/20	406.70	...	0.84	2 of 2 - Sale <sup>25</sup>
	20.000	582.20	Various	581.00	...	1.20	Total of 2 transactions
7 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	4.000	116.44	07/27/20	118.22	1.78 W	0.00	1 of 7 - Sale <sup>25</sup>
	16.000	465.74	07/27/20	472.91	7.17 W	0.00	2 of 7 - Sale <sup>25</sup>
	30.000	873.27	07/27/20	882.02	8.75 W	0.00	3 of 7 - Sale <sup>25</sup>
	4.000	116.90	07/27/20	119.10	2.20 W	0.00	4 of 7 - Sale <sup>25</sup>
	16.000	467.59	07/27/20	476.45	8.86 W	0.00	5 of 7 - Sale <sup>25</sup>
	22.000	642.93	07/27/20	651.68	8.75 W	0.00	6 of 7 - Sale <sup>25</sup>
	8.000	233.79	07/27/20	236.97	3.18 W	0.00	7 of 7 - Sale <sup>25</sup>
07/27/20	100.000	2,916.66	Various	2,957.35	40.69 W	0.00	Total of 7 transactions
6 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	16.000	555.18	08/24/20	560.56	...	-5.38	1 of 6 - Sale <sup>25</sup>
	12.000	416.39	08/24/20	420.45	...	-4.06	2 of 6 - Sale <sup>25</sup>
	8.000	277.59	08/24/20	280.30	...	-2.71	3 of 6 - Sale <sup>25</sup>
	10.000	346.99	08/24/20	348.79	...	-1.80	4 of 6 - Sale <sup>25</sup>
	4.000	138.80	08/24/20	140.12	...	-1.32	5 of 6 - Sale <sup>25</sup>
	50.000	1,734.96	08/24/20	1,731.99	...	2.97	6 of 6 - Sale <sup>25</sup>
08/24/20	100.000	3,469.91	Various	3,482.21	...	-12.30	Total of 6 transactions
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	4.000	138.24	08/24/20	139.80	1.56 W	0.00	1 of 3 - Sale <sup>25</sup>
	16.000	552.94	08/24/20	559.26	6.32 W	0.00	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DIREXION DAILY SMALL CAP BULL 3X SHARES / CUSIP: 25459W847 / Symbol: (cont'd)							
	10.000	345.59	08/24/20	347.98	2.39 W	0.00	3 of 3 - Sale <sup>25</sup>
08/24/20	30.000	1,036.77	Various	1,047.04	10.27 W	0.00	Total of 3 transactions
12/01/20	20.000	1,069.59	12/01/20	1,087.50	...	-17.91	Sale <sup>25</sup>
	<b>Security total:</b>	<b>13,786.90</b>		<b>13,880.60</b>	<b>78.62 W</b>	<b>-15.08</b>	
DIREXION DAILY S&P 500 BULL 3 X SHARES / CUSIP: 25459W862 / Symbol:							
07/27/20	30.000	1,425.41	07/27/20	1,425.90	...	-0.49	Sale <sup>25</sup>
	2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	25.000	1,451.21	10/09/20	1,452.48	...	-1.27	1 of 2 - Sale <sup>25</sup>
	25.000	1,451.46	10/09/20	1,452.47	...	-1.01	2 of 2 - Sale <sup>25</sup>
10/09/20	50.000	2,902.67	Various	2,904.95	...	-2.28	Total of 2 transactions
	<b>Security total:</b>	<b>4,328.08</b>		<b>4,330.85</b>	...	<b>-2.77</b>	
DIREXION DAILY FINANCIAL BULL 3X SHARES / CUSIP: 25459Y694 / Symbol:							
	3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	50.000	1,905.95	08/24/20	1,904.50	...	1.45	1 of 3 - Sale <sup>25</sup>
	20.000	754.98	08/24/20	753.17	...	1.81	2 of 3 - Sale <sup>25</sup>
	50.000	1,894.46	08/24/20	1,891.00	...	3.46	3 of 3 - Sale <sup>25</sup>
08/24/20	120.000	4,555.39	Various	4,548.67	...	6.72	Total of 3 transactions
10/20/20	30.000	1,145.67	10/20/20	1,137.00	...	8.67	Sale <sup>25</sup>
	2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	20.000	1,094.37	12/03/20	1,085.40	...	8.97	1 of 2 - Sale <sup>25</sup>
	30.000	1,641.56	12/03/20	1,628.70	...	12.86	2 of 2 - Sale <sup>25</sup>
12/03/20	50.000	2,735.93	Various	2,714.10	...	21.83	Total of 2 transactions
	<b>Security total:</b>	<b>8,436.99</b>		<b>8,399.77</b>	...	<b>37.22</b>	
DIREXION DAILY SMALL CAP BEAR 3X SHARES / CUSIP: 25460E125 / Symbol:							
	2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	100.000	850.07	11/24/20	858.85	...	-8.78	1 of 2 - Sale <sup>25</sup>
	20.000	170.01	11/24/20	170.60	...	-0.59	2 of 2 - Sale <sup>25</sup>
11/24/20	120.000	1,020.08	Various	1,029.45	...	-9.37	Total of 2 transactions
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460E166 / Symbol:							
01/10/20	3.000	225.06	01/09/20	224.64	...	0.42	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY GOLD MINERS IND EX BULL 2X SHARES / CUSIP: 25460E844 / Symbol:							
01/14/20	10.000	294.00	01/14/20	295.50	1.50 W	0.00	Sale <sup>25</sup>
	2 transactions for 01/29/20. Total proceeds and cost reported to the IRS.						
	10.000	307.44	01/24/20	337.85	...	-30.41	1 of 2 - Sale <sup>25</sup>
	10.000	307.44	01/24/20	336.35	...	-28.91	2 of 2 - Sale <sup>25</sup>
01/29/20	20.000	614.88	Various	674.20	...	-59.32	Total of 2 transactions
	<b>Security total:</b>	<b>908.88</b>		<b>969.70</b>	<b>1.50 W</b>	<b>-59.32</b>	
DIREXION DAILY S&P 500 BEAR 3X SHARES / CUSIP: 25460E885 / Symbol:							
	3 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	50.000	322.59	09/24/20	321.00	...	1.59	1 of 3 - Sale <sup>25</sup>
	50.000	325.10	09/24/20	322.50	...	2.60	2 of 3 - Sale <sup>25</sup>
	50.000	325.52	09/24/20	322.88	...	2.64	3 of 3 - Sale <sup>25</sup>
09/24/20	150.000	973.21	Various	966.38	...	6.83	Total of 3 transactions
09/24/20	50.000	322.59	09/24/20	322.97	0.38 W	0.00	Sale <sup>25</sup>
	2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	60.000	347.99	10/02/20	349.19	...	-1.20	1 of 2 - Sale <sup>25</sup>
	40.000	232.00	10/02/20	232.80	...	-0.80	2 of 2 - Sale <sup>25</sup>
10/02/20	100.000	579.99	Various	581.99	...	-2.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,875.79</b>		<b>1,871.34</b>	<b>0.38 W</b>	<b>4.83</b>	
DIREXION DAILY ENERGY BULL 2X SHARES / CUSIP: 25460G609 / Symbol:							
12/04/20	0.062	1.00	12/03/20	0.91	...	0.09	Sale <sup>25</sup>
12/14/20	99.938	1,595.15	12/03/20	1,461.09	...	134.06	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,596.15</b>		<b>1,462.00</b>	...	<b>134.15</b>	
DIREXION DAILY GOLD MINERS IND EX BULL 2X SHARES / CUSIP: 25460G781 / Symbol:							
06/30/20	15.000	1,121.76	06/30/20	1,116.00	...	5.76	Sale <sup>25</sup>
	3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	10.000	865.68	10/01/20	867.14	1.46 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	861.53	10/01/20	867.86	6.33 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	861.53	10/01/20	865.60	4.07 W	0.00	3 of 3 - Sale <sup>25</sup>
10/01/20	30.000	2,588.74	Various	2,600.60	11.86 W	0.00	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY GOLD MINERS IND EX BULL 2X SHARES / CUSIP: 25460G781 / Symbol: (cont'd)							
13 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	10.000	812.48	10/07/20	822.33	9.85 W	0.00	1 of 13 - Sale <sup>25</sup>
	1.000	81.25	10/07/20	81.96	0.71 W	0.00	2 of 13 - Sale <sup>25</sup>
	9.000	731.23	10/07/20	737.61	6.38 W	0.00	3 of 13 - Sale <sup>25</sup>
	10.000	812.48	10/07/20	815.50	3.02 W	0.00	4 of 13 - Sale <sup>25</sup>
	1.000	81.53	10/07/20	82.09	0.56 W	0.00	5 of 13 - Sale <sup>25</sup>
	9.000	733.75	10/07/20	738.80	5.05 W	0.00	6 of 13 - Sale <sup>25</sup>
	10.000	815.28	10/07/20	823.65	8.37 W	0.00	7 of 13 - Sale <sup>25</sup>
	10.000	815.28	10/07/20	816.82	1.54 W	0.00	8 of 13 - Sale <sup>25</sup>
	20.000	1,630.56	10/07/20	1,637.59	7.03 W	0.00	9 of 13 - Sale <sup>25</sup>
	7.000	571.57	10/07/20	576.78	5.21 W	0.00	10 of 13 - Sale <sup>25</sup>
	1.000	81.65	10/07/20	82.12	0.47 W	0.00	11 of 13 - Sale <sup>25</sup>
	3.000	244.96	10/07/20	247.19	2.23 W	0.00	12 of 13 - Sale <sup>25</sup>
	9.000	734.87	10/07/20	739.09	4.22 W	0.00	13 of 13 - Sale <sup>25</sup>
10/07/20	100.000	8,146.89	Various	8,201.53	54.64 W	0.00	Total of 13 transactions
3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	10.000	834.58	10/08/20	831.90	...	2.68	1 of 3 - Sale <sup>25</sup>
	10.000	829.88	10/08/20	826.04	...	3.84	2 of 3 - Sale <sup>25</sup>
	20.000	1,659.76	10/08/20	1,652.03	...	7.73	3 of 3 - Sale <sup>25</sup>
10/08/20	40.000	3,324.22	Various	3,309.97	...	14.25	Total of 3 transactions
4 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	83.46	10/08/20	83.67	0.21 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	250.37	10/08/20	251.82	1.45 W	0.00	2 of 4 - Sale <sup>25</sup>
	7.000	584.21	10/08/20	587.59	3.38 W	0.00	3 of 4 - Sale <sup>25</sup>
	9.000	751.12	10/08/20	752.98	1.86 W	0.00	4 of 4 - Sale <sup>25</sup>
10/08/20	20.000	1,669.16	Various	1,676.06	6.90 W	0.00	Total of 4 transactions
4 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	1.000	89.65	10/13/20	87.66	...	1.99	1 of 4 - Sale <sup>25</sup>
	3.000	268.96	10/13/20	263.80	...	5.16	2 of 4 - Sale <sup>25</sup>
	7.000	627.57	10/13/20	615.53	...	12.04	3 of 4 - Sale <sup>25</sup>
	9.000	806.87	10/13/20	788.91	...	17.96	4 of 4 - Sale <sup>25</sup>
10/13/20	20.000	1,793.05	Various	1,755.90	...	37.15	Total of 4 transactions
10/29/20	20.000	1,489.96	10/29/20	1,491.00	0.10 W	-0.94	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DIREXION DAILY GOLD MINERS IND EX BULL 2X SHARES / CUSIP: 25460G781 / Symbol: (cont'd)							
11/24/20	2.000	119.62	11/24/20	121.50	...	-1.88	Sale <sup>25</sup>
	<b>Security total:</b>	<b>20,253.40</b>		<b>20,272.56</b>	<b>73.50 W</b>	<b>54.34</b>	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460G831 / Symbol:							
08/12/20	10.000	1,420.76	08/12/20	1,418.30	...	2.46	Sale <sup>25</sup>
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
<i>8 transactions for 01/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	139.95	12/09/19	145.93	5.98 W	0.00	1 of 8 - Sale <sup>25</sup>
	2.000	279.89	12/09/19	292.30	12.41 W	0.00	2 of 8 - Sale <sup>25</sup>
	2.000	279.89	12/09/19	292.20	12.31 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	139.95	12/09/19	146.05	6.10 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	139.95	12/09/19	145.85	5.90 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	139.95	12/13/19	146.72	6.77 W	0.00	6 of 8 - Sale <sup>25</sup>
	2.000	279.89	12/17/19	296.50	16.61 W	0.00	7 of 8 - Sale <sup>25</sup>
	4.000	559.78	12/18/19	585.36	25.58 W	0.00	8 of 8 - Sale <sup>25</sup>
01/24/20	14.000	1,959.25	Various	2,050.91	91.66 W	0.00	Total of 8 transactions
<i>7 transactions for 01/29/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	274.11	01/28/20	287.67	...	-13.56	1 of 7 - Sale <sup>25</sup>
	2.000	274.11	01/28/20	287.57	...	-13.46	2 of 7 - Sale <sup>25</sup>
	1.000	137.05	01/28/20	145.94	...	-8.89	3 of 7 - Sale <sup>25</sup>
	1.000	137.06	01/28/20	144.40	...	-7.34	4 of 7 - Sale <sup>25</sup>
	1.000	137.06	01/28/20	143.73	...	-6.67	5 of 7 - Sale <sup>25</sup>
	1.000	137.06	01/28/20	143.61	...	-6.55	6 of 7 - Sale <sup>25</sup>
	1.000	137.06	01/28/20	143.53	...	-6.47	7 of 7 - Sale <sup>25</sup>
01/29/20	9.000	1,233.51	Various	1,296.45	...	-62.94	Total of 7 transactions
01/29/20	1.000	137.06	12/18/19	146.34	9.28 W	0.00	Sale <sup>25</sup>
<i>4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	464.55	01/28/20	576.09	...	-111.54	1 of 4 - Sale <sup>25</sup>
	5.000	580.68	01/28/20	688.14	...	-107.46	2 of 4 - Sale <sup>25</sup>
	1.000	116.14	01/28/20	146.91	...	-30.77	3 of 4 - Sale <sup>25</sup>
	1.000	116.14	01/28/20	145.93	...	-29.79	4 of 4 - Sale <sup>25</sup>
06/11/20	11.000	1,277.51	Various	1,557.07	...	-279.56	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol: (cont'd)							
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	128.60	08/05/20	128.45	...	0.15	1 of 3 - Sale <sup>25</sup>
	19.000	2,443.34	08/05/20	2,440.55	...	2.79	2 of 3 - Sale <sup>25</sup>
	50.000	6,431.85	08/05/20	6,379.95	...	51.90	3 of 3 - Sale <sup>25</sup>
08/05/20	70.000	9,003.79	Various	8,948.95	...	54.84	Total of 3 transactions
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	30.000	3,893.91	08/05/20	3,903.00	9.09 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,290.97	08/05/20	1,301.11	10.14 W	0.00	2 of 2 - Sale <sup>25</sup>
08/05/20	40.000	5,184.88	Various	5,204.11	19.23 W	0.00	Total of 2 transactions
08/06/20	20.000	2,623.94	08/06/20	2,585.86	...	38.08	Sale <sup>25</sup>
08/14/20	10.000	1,306.47	08/14/20	1,315.64	9.17 W	0.00	Sale <sup>25</sup>
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	10.000	1,287.17	08/14/20	1,315.37	28.20 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,287.17	08/14/20	1,309.20	22.03 W	0.00	2 of 2 - Sale <sup>25</sup>
08/17/20	20.000	2,574.34	Various	2,624.57	50.23 W	0.00	Total of 2 transactions
08/25/20	10.000	1,301.87	08/25/20	1,336.20	34.33 W	0.00	Sale <sup>25</sup>
08/28/20	50.000	6,823.34	08/28/20	6,821.00	...	2.34	Sale <sup>25</sup>
3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	10.000	1,364.67	08/28/20	1,386.23	21.56 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	1,364.67	08/28/20	1,398.53	33.86 W	0.00	2 of 3 - Sale <sup>25</sup>
	30.000	4,094.01	08/28/20	4,097.10	3.09 W	0.00	3 of 3 - Sale <sup>25</sup>
08/28/20	50.000	6,823.35	Various	6,881.86	58.51 W	0.00	Total of 3 transactions
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	10.000	1,363.77	09/03/20	1,383.06	19.29 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,363.76	09/03/20	1,395.36	31.60 W	0.00	2 of 2 - Sale <sup>25</sup>
09/03/20	20.000	2,727.53	Various	2,778.42	50.89 W	0.00	Total of 2 transactions
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	5.000	679.05	09/08/20	677.52	...	1.53	1 of 2 - Sale <sup>25</sup>
	5.000	679.05	09/08/20	677.26	...	1.79	2 of 2 - Sale <sup>25</sup>
09/08/20	10.000	1,358.10	Various	1,354.78	...	3.32	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol: (cont'd)							
<i>3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,357.57	09/08/20	1,385.29	27.72 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	2,715.15	09/08/20	2,734.06	18.91 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	1,356.97	09/08/20	1,392.10	35.13 W	0.00	3 of 3 - Sale <sup>25</sup>
09/08/20	40.000	5,429.69	Various	5,511.45	81.76 W	0.00	Total of 3 transactions
<i>3 transactions for 09/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	136.43	09/10/20	135.31	...	1.12	1 of 3 - Sale <sup>25</sup>
	10.000	1,364.26	09/10/20	1,359.90	...	4.36	2 of 3 - Sale <sup>25</sup>
	9.000	1,227.84	09/10/20	1,217.75	...	10.09	3 of 3 - Sale <sup>25</sup>
09/10/20	20.000	2,728.53	Various	2,712.96	...	15.57	Total of 3 transactions
09/10/20	10.000	1,364.27	09/10/20	1,371.32	7.05 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,319.27	09/10/20	1,389.52	70.25 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	659.64	09/16/20	666.08	6.44 W	0.00	2 of 2 - Sale <sup>25</sup>
09/16/20	15.000	1,978.91	Various	2,055.60	76.69 W	0.00	Total of 2 transactions
<i>4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,234.67	10/09/20	1,312.25	...	-77.58	1 of 4 - Sale <sup>25</sup>
	5.000	617.34	10/09/20	627.44	...	-10.10	2 of 4 - Sale <sup>25</sup>
	3.000	370.40	10/09/20	374.71	...	-4.31	3 of 4 - Sale <sup>25</sup>
	2.000	246.93	10/09/20	249.81	...	-2.88	4 of 4 - Sale <sup>25</sup>
10/09/20	20.000	2,469.34	Various	2,564.21	...	-94.87	Total of 4 transactions
11/12/20	5.000	677.98	11/12/20	675.05	...	2.93	Sale <sup>25</sup>
	<b>Security total:</b>	<b>58,983.66</b>		<b>59,792.75</b>	<b>488.80 W</b>	<b>-320.29</b>	
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
08/03/20	10.000	2,238.10	07/31/20	2,135.71	...	102.39	Sale <sup>25</sup>
<i>4 transactions for 08/17/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	4,135.90	08/13/20	4,072.00	...	63.90	1 of 4 - Sale <sup>25</sup>
	10.000	2,075.35	08/17/20	2,075.00	...	0.35	2 of 4 - Sale <sup>25</sup>
	1.000	208.62	08/17/20	207.50	...	1.12	3 of 4 - Sale <sup>25</sup>
	9.000	1,877.35	08/17/20	1,867.50	...	9.85	4 of 4 - Sale <sup>25</sup>
08/17/20	40.000	8,297.22	Various	8,222.00	...	75.22	Total of 4 transactions
08/17/20	20.000	4,150.71	08/17/20	4,163.10	12.39 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: (cont'd)							
08/18/20	20.000	4,245.90	08/17/20	4,177.59	...	68.31	Sale <sup>25</sup>
	3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	10.000	2,034.45	08/18/20	2,100.00	65.55 W	0.00	1 of 3 - Sale <sup>25</sup>
	7.000	1,444.21	08/24/20	1,479.91	35.70 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	618.94	08/24/20	634.24	15.30 W	0.00	3 of 3 - Sale <sup>25</sup>
08/24/20	20.000	4,097.60	Various	4,214.15	116.55 W	0.00	Total of 3 transactions
	4 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	3.000	644.20	08/26/20	644.11	...	0.09	1 of 4 - Sale <sup>25</sup>
	7.000	1,503.15	08/26/20	1,502.94	...	0.21	2 of 4 - Sale <sup>25</sup>
	10.000	2,165.05	08/26/20	2,146.50	...	18.55	3 of 4 - Sale <sup>25</sup>
	10.000	2,147.35	08/26/20	2,112.35	...	35.00	4 of 4 - Sale <sup>25</sup>
08/26/20	30.000	6,459.75	Various	6,405.90	...	53.85	Total of 4 transactions
	3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	20.000	4,275.90	08/26/20	4,293.00	17.10 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	4,330.10	08/26/20	4,359.50	29.40 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	4,343.10	08/26/20	4,367.60	24.50 W	0.00	3 of 3 - Sale <sup>25</sup>
08/26/20	60.000	12,949.10	Various	13,020.10	71.00 W	0.00	Total of 3 transactions
	4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	20.000	4,193.31	08/26/20	4,291.20	97.89 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	2,096.65	08/27/20	2,118.35	21.70 W	0.00	2 of 4 - Sale <sup>25</sup>
	20.000	4,297.33	08/27/20	4,352.89	55.56 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	2,148.67	08/27/20	2,149.20	0.53 W	0.00	4 of 4 - Sale <sup>25</sup>
08/27/20	60.000	12,735.96	Various	12,911.64	175.68 W	0.00	Total of 4 transactions
08/28/20	20.000	4,367.90	08/27/20	4,309.89	...	58.01	Sale <sup>25</sup>
08/28/20	10.000	2,180.45	08/28/20	2,210.43	29.98 W	0.00	Sale <sup>25</sup>
	6 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	1.000	221.39	08/31/20	220.35	...	1.04	1 of 6 - Sale <sup>25</sup>
	1.000	221.40	08/31/20	218.90	...	2.50	2 of 6 - Sale <sup>25</sup>
	1.000	221.40	08/31/20	218.65	...	2.75	3 of 6 - Sale <sup>25</sup>
	6.000	1,328.31	08/31/20	1,320.60	...	7.71	4 of 6 - Sale <sup>25</sup>
	8.000	1,771.08	08/31/20	1,762.78	...	8.30	5 of 6 - Sale <sup>25</sup>
	8.000	1,771.08	08/31/20	1,749.20	...	21.88	6 of 6 - Sale <sup>25</sup>
08/31/20	25.000	5,534.66	Various	5,490.48	...	44.18	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: (cont'd)							
<i>4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	221.40	08/31/20	221.65	0.25 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	221.40	08/31/20	221.65	0.25 W	0.00	2 of 4 - Sale <sup>25</sup>
	8.000	1,771.20	08/31/20	1,773.18	1.98 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	1,106.92	08/31/20	1,107.53	0.61 W	0.00	4 of 4 - Sale <sup>25</sup>
08/31/20	15.000	3,320.92	Various	3,324.01	3.09 W	0.00	Total of 4 transactions
09/01/20	1.000	244.09	08/31/20	240.11	...	3.98	Sale <sup>25</sup>
<i>3 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	1,013.58	09/01/20	1,057.11	43.53 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	506.79	09/01/20	528.31	21.52 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	1,013.61	09/01/20	1,056.62	43.01 W	0.00	3 of 3 - Sale <sup>25</sup>
09/01/20	10.000	2,533.98	Various	2,642.04	108.06 W	0.00	Total of 3 transactions
<i>3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	561.69	09/01/20	552.16	...	9.53	1 of 3 - Sale <sup>25</sup>
	4.000	1,123.37	09/01/20	1,104.81	...	18.56	2 of 3 - Sale <sup>25</sup>
	4.000	1,123.37	09/01/20	1,104.30	...	19.07	3 of 3 - Sale <sup>25</sup>
09/02/20	10.000	2,808.43	Various	2,761.27	...	47.16	Total of 3 transactions
09/02/20	10.000	2,619.94	09/02/20	2,647.50	27.56 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	2,283.86	09/03/20	2,347.90	64.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	2,283.87	09/03/20	2,320.33	36.46 W	0.00	2 of 2 - Sale <sup>25</sup>
09/03/20	20.000	4,567.73	Various	4,668.23	100.50 W	0.00	Total of 2 transactions
<i>6 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	214.80	09/04/20	214.78	...	0.02	1 of 6 - Sale <sup>25</sup>
	1.000	214.81	09/04/20	214.78	...	0.03	2 of 6 - Sale <sup>25</sup>
	1.000	214.81	09/04/20	214.78	...	0.03	3 of 6 - Sale <sup>25</sup>
	1.000	214.80	09/04/20	214.77	...	0.03	4 of 6 - Sale <sup>25</sup>
	2.000	429.61	09/04/20	429.55	...	0.06	5 of 6 - Sale <sup>25</sup>
	4.000	859.22	09/04/20	859.12	...	0.10	6 of 6 - Sale <sup>25</sup>
09/04/20	10.000	2,148.05	Various	2,147.78	...	0.27	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: (cont'd)							
<i>9 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	2,172.45	09/03/20	2,518.95	346.50 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	210.78	09/04/20	214.11	3.33 W	0.00	2 of 9 - Sale <sup>25</sup>
	4.000	843.10	09/04/20	856.42	13.32 W	0.00	3 of 9 - Sale <sup>25</sup>
	2.000	421.56	09/04/20	428.21	6.65 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	210.78	09/04/20	214.11	3.33 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	210.78	09/04/20	214.11	3.33 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	210.78	09/04/20	214.10	3.32 W	0.00	7 of 9 - Sale <sup>25</sup>
	10.000	2,087.55	09/04/20	2,461.00	373.45 W	0.00	8 of 9 - Sale <sup>25</sup>
	10.000	2,188.65	09/04/20	2,578.45	389.80 W	0.00	9 of 9 - Sale <sup>25</sup>
09/04/20	40.000	8,556.43	Various	9,699.46	1,143.03 W	0.00	Total of 9 transactions
<i>3 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	2,109.45	09/09/20	2,494.70	385.25 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	1,054.47	09/09/20	1,246.88	192.41 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	1,052.42	09/09/20	1,244.12	191.70 W	0.00	3 of 3 - Sale <sup>25</sup>
09/09/20	20.000	4,216.34	Various	4,985.70	769.36 W	0.00	Total of 3 transactions
<i>4 transactions for 10/01/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,110.65	10/01/20	1,303.21	192.56 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	1,110.65	10/01/20	1,302.50	191.85 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	1,113.07	10/01/20	1,305.71	192.64 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	1,113.08	10/01/20	1,305.00	191.92 W	0.00	4 of 4 - Sale <sup>25</sup>
10/01/20	20.000	4,447.45	Various	5,216.42	768.97 W	0.00	Total of 4 transactions
<i>13 transactions for 10/02/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	446.00	10/02/20	525.75	79.75 W	0.00	1 of 13 - Sale <sup>25</sup>
	5.000	1,114.22	10/02/20	1,315.09	200.87 W	0.00	2 of 13 - Sale <sup>25</sup>
	3.000	668.54	10/02/20	788.62	120.08 W	0.00	3 of 13 - Sale <sup>25</sup>
	2.000	445.31	10/02/20	523.11	77.80 W	0.00	4 of 13 - Sale <sup>25</sup>
	3.000	667.97	10/02/20	785.12	117.15 W	0.00	5 of 13 - Sale <sup>25</sup>
	5.000	1,113.28	10/02/20	1,309.27	195.99 W	0.00	6 of 13 - Sale <sup>25</sup>
	2.000	443.99	10/02/20	523.32	79.33 W	0.00	7 of 13 - Sale <sup>25</sup>
	3.000	665.99	10/02/20	785.43	119.44 W	0.00	8 of 13 - Sale <sup>25</sup>
	5.000	1,109.97	10/02/20	1,309.79	199.82 W	0.00	9 of 13 - Sale <sup>25</sup>
	2.000	437.47	10/02/20	518.43	80.96 W	0.00	10 of 13 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: (cont'd)							
	3.000	656.21	10/02/20	778.09	121.88 W	0.00	11 of 13 - Sale <sup>25</sup>
	5.000	1,093.69	10/02/20	1,297.57	203.88 W	0.00	12 of 13 - Sale <sup>25</sup>
	5.000	1,099.02	10/02/20	1,306.08	207.06 W	0.00	13 of 13 - Sale <sup>25</sup>
10/02/20	45.000	9,961.66	Various	11,765.67	1,804.01 W	0.00	Total of 13 transactions
	5 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	3.000	704.98	10/05/20	808.46	103.48 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	459.80	10/05/20	538.68	78.88 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	457.79	10/05/20	540.26	82.47 W	0.00	3 of 5 - Sale <sup>25</sup>
	3.000	686.68	10/05/20	795.55	108.87 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	1,144.47	10/05/20	1,360.51	216.04 W	0.00	5 of 5 - Sale <sup>25</sup>
10/05/20	15.000	3,453.72	Various	4,043.46	589.74 W	0.00	Total of 5 transactions
	3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	5.000	1,122.22	10/07/20	1,341.04	218.82 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	448.89	10/07/20	534.07	85.18 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	673.34	10/07/20	786.27	112.93 W	0.00	3 of 3 - Sale <sup>25</sup>
10/07/20	10.000	2,244.45	Various	2,661.38	416.93 W	0.00	Total of 3 transactions
	4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	5.000	1,120.38	10/09/20	1,342.80	133.45 W	-88.97	1 of 4 - Sale <sup>25</sup>
	5.000	1,129.22	10/09/20	1,345.07	215.85 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	448.15	10/09/20	535.96	87.81 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	672.22	10/09/20	789.10	116.88 W	0.00	4 of 4 - Sale <sup>25</sup>
10/09/20	15.000	3,369.97	Various	4,012.93	553.99 W	-88.97	Total of 4 transactions
	3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	3.000	712.12	10/13/20	846.85	...	-134.73	1 of 3 - Sale <sup>25</sup>
	3.000	708.07	10/13/20	828.30	...	-120.23	2 of 3 - Sale <sup>25</sup>
	2.000	474.75	10/13/20	563.41	...	-88.66	3 of 3 - Sale <sup>25</sup>
10/13/20	8.000	1,894.94	Various	2,238.56	...	-343.62	Total of 3 transactions
	<b>Security total:</b>	<b>123,645.45</b>		<b>130,315.51</b>	<b>6,690.84 W</b>	<b>20.78</b>	
DOORDASH, INC. / CUSIP: 25809K105 / Symbol:							
12/11/20	1.000	178.14	12/10/20	175.85	...	2.29	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOW INC. / CUSIP: 260557103 / Symbol:							
<i>5 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>							
	40.000	1,860.15	09/01/20	1,861.20	...	-1.05	1 of 5 - Sale <sup>25</sup>
	9.000	418.53	09/01/20	418.77	...	-0.24	2 of 5 - Sale <sup>25</sup>
	1.000	46.50	09/01/20	46.52	...	-0.02	3 of 5 - Sale <sup>25</sup>
	1.000	46.50	09/01/20	46.31	...	0.19	4 of 5 - Sale <sup>25</sup>
	49.000	2,278.69	09/01/20	2,269.19	...	9.50	5 of 5 - Sale <sup>25</sup>
09/01/20	100.000	4,650.37	Various	4,641.99	...	8.38	Total of 5 transactions
10/23/20	10.000	488.20	10/22/20	487.18	...	1.02	Sale <sup>25</sup>
11/18/20	20.000	1,118.67	11/18/20	1,120.58	...	-1.91	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,257.24</b>		<b>6,249.75</b>	...	<b>7.49</b>	
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
<i>3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	360.80	10/16/20	361.42	...	-0.62	1 of 3 - Sale <sup>25</sup>
	1.000	45.10	10/16/20	45.25	...	-0.15	2 of 3 - Sale <sup>25</sup>
	1.000	45.10	10/16/20	45.13	...	-0.03	3 of 3 - Sale <sup>25</sup>
10/16/20	10.000	451.00	Various	451.80	...	-0.80	Total of 3 transactions
11/18/20	20.000	967.97	11/18/20	971.96	3.99 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	1,010.07	12/08/20	984.93	...	25.14	1 of 2 - Sale <sup>25</sup>
	80.000	4,040.30	12/08/20	3,923.76	...	116.54	2 of 2 - Sale <sup>25</sup>
12/08/20	100.000	5,050.37	Various	4,908.69	...	141.68	Total of 2 transactions
	<b>Security total:</b>	<b>6,469.34</b>		<b>6,332.45</b>	<b>3.99 W</b>	<b>140.88</b>	
DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol:							
08/05/20	50.000	1,176.22	08/05/20	1,174.00	...	2.22	Sale <sup>25</sup>
DUKE ENERGY CORPORATION / CUSIP: 26441C204 / Symbol:							
10/05/20	20.000	1,833.15	10/05/20	1,811.60	...	21.55	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DUNKIN' BRANDS GROUP, INC. COM MON STOCK / CUSIP: 265504100 / Symbol:							
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	5.000	385.69	09/23/20	390.00	4.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	771.38	09/23/20	781.99	10.61 W	0.00	2 of 2 - Sale <sup>25</sup>
09/23/20	15.000	1,157.07	Various	1,171.99	14.92 W	0.00	Total of 2 transactions
09/28/20	15.000	1,214.50	09/28/20	1,209.90	...	4.60	Sale <sup>25</sup>
3 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	2.000	161.93	09/28/20	163.44	1.51 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	404.83	09/28/20	407.61	2.78 W	0.00	2 of 3 - Sale <sup>25</sup>
	8.000	647.73	09/28/20	653.77	6.04 W	0.00	3 of 3 - Sale <sup>25</sup>
09/28/20	15.000	1,214.49	Various	1,224.82	10.33 W	0.00	Total of 3 transactions
4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	8.000	655.98	10/05/20	662.37	...	-6.39	1 of 4 - Sale <sup>25</sup>
	5.000	409.99	10/05/20	412.98	...	-2.99	2 of 4 - Sale <sup>25</sup>
	2.000	164.00	10/05/20	165.59	...	-1.59	3 of 4 - Sale <sup>25</sup>
	5.000	409.99	10/05/20	410.20	...	-0.21	4 of 4 - Sale <sup>25</sup>
10/05/20	20.000	1,639.96	Various	1,651.14	...	-11.18	Total of 4 transactions
	<b>Security total:</b>	<b>5,226.02</b>		<b>5,257.85</b>	<b>25.25 W</b>	<b>-6.58</b>	
DUPONT DE NEMOURS, INC. COMMON STOCK / CUSIP: 26614N102 / Symbol:							
08/10/20	20.000	1,148.97	08/10/20	1,145.70	...	3.27	Sale <sup>25</sup>
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	40.000	2,381.94	09/02/20	2,381.20	...	0.74	1 of 3 - Sale <sup>25</sup>
	10.000	595.49	09/02/20	592.26	...	3.23	2 of 3 - Sale <sup>25</sup>
	10.000	595.49	09/02/20	591.71	...	3.78	3 of 3 - Sale <sup>25</sup>
09/02/20	60.000	3,572.92	Various	3,565.17	...	7.75	Total of 3 transactions
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	50.000	2,880.98	09/02/20	2,898.91	17.93 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	2,960.44	09/02/20	2,977.00	16.56 W	0.00	2 of 2 - Sale <sup>25</sup>
09/02/20	100.000	5,841.42	Various	5,875.91	34.49 W	0.00	Total of 2 transactions
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	10.000	599.49	09/02/20	600.80	1.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	599.48	09/02/20	601.61	2.13 W	0.00	2 of 2 - Sale <sup>25</sup>
09/03/20	20.000	1,198.97	Various	1,202.41	3.44 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DUPONT DE NEMOURS, INC. COMMON STOCK / CUSIP: 26614N102 / Symbol: (cont'd)							
09/10/20	30.000	1,740.64	09/10/20	1,761.01	20.37 W	0.00	Sale <sup>25</sup>
	3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	10.000	597.00	09/14/20	600.58	3.58 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	597.00	09/14/20	601.40	4.40 W	0.00	2 of 3 - Sale <sup>25</sup>
	30.000	1,788.56	09/14/20	1,818.17	29.61 W	0.00	3 of 3 - Sale <sup>25</sup>
09/14/20	50.000	2,982.56	Various	3,020.15	37.59 W	0.00	Total of 3 transactions
	10 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	2.000	118.52	09/15/20	119.90	1.38 W	0.00	1 of 10 - Sale <sup>25</sup>
	8.000	474.08	09/15/20	479.82	5.74 W	0.00	2 of 10 - Sale <sup>25</sup>
	10.000	592.59	09/15/20	600.60	8.01 W	0.00	3 of 10 - Sale <sup>25</sup>
	7.000	414.82	09/15/20	424.25	9.43 W	0.00	4 of 10 - Sale <sup>25</sup>
	2.000	119.08	09/15/20	120.82	1.74 W	0.00	5 of 10 - Sale <sup>25</sup>
	8.000	476.34	09/15/20	483.50	7.16 W	0.00	6 of 10 - Sale <sup>25</sup>
	10.000	595.42	09/15/20	605.21	9.79 W	0.00	7 of 10 - Sale <sup>25</sup>
	7.000	416.79	09/15/20	427.47	10.68 W	0.00	8 of 10 - Sale <sup>25</sup>
	23.000	1,369.46	09/15/20	1,396.26	26.80 W	0.00	9 of 10 - Sale <sup>25</sup>
	20.000	1,190.85	09/15/20	1,195.60	4.75 W	0.00	10 of 10 - Sale <sup>25</sup>
09/15/20	97.000	5,767.95	Various	5,853.43	85.48 W	0.00	Total of 10 transactions
	2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	2.000	121.10	09/16/20	121.90	0.80 W	0.00	1 of 2 - Sale <sup>25</sup>
	8.000	484.40	09/16/20	487.80	3.40 W	0.00	2 of 2 - Sale <sup>25</sup>
09/16/20	10.000	605.50	Various	609.70	4.20 W	0.00	Total of 2 transactions
	3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	7.000	422.83	09/17/20	433.53	...	-10.70	1 of 3 - Sale <sup>25</sup>
	20.000	1,208.08	09/17/20	1,212.90	...	-4.82	2 of 3 - Sale <sup>25</sup>
	30.000	1,812.13	09/17/20	1,812.23	...	-0.10	3 of 3 - Sale <sup>25</sup>
09/17/20	57.000	3,443.04	Various	3,458.66	...	-15.62	Total of 3 transactions
	4 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	23.000	1,389.30	09/17/20	1,416.18	11.69 W	-15.19	1 of 4 - Sale <sup>25</sup>
	2.000	120.81	09/17/20	121.62	0.81 W	0.00	2 of 4 - Sale <sup>25</sup>
	8.000	483.23	09/17/20	486.66	3.43 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	604.04	09/17/20	613.87	9.83 W	0.00	4 of 4 - Sale <sup>25</sup>
09/17/20	43.000	2,597.38	Various	2,638.33	25.76 W	-15.19	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DUPONT DE NEMOURS, INC. COMMON STOCK / CUSIP: 26614N102 / Symbol: (cont'd)							
4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	10.000	560.03	09/22/20	571.96	...	-11.93	1 of 4 - Sale <sup>25</sup>
	10.000	560.02	09/22/20	570.10	...	-10.08	2 of 4 - Sale <sup>25</sup>
	8.000	448.02	09/22/20	451.65	...	-3.63	3 of 4 - Sale <sup>25</sup>
	2.000	112.00	09/22/20	112.86	...	-0.86	4 of 4 - Sale <sup>25</sup>
09/23/20	30.000	1,680.07	Various	1,706.57	...	-26.50	Total of 4 transactions
	<b>Security total:</b>	<b>30,579.42</b>		<b>30,837.04</b>	<b>211.33 W</b>	<b>-46.29</b>	
EHANG HOLDINGS LIMITED ADS / CUSIP: 26853E102 / Symbol:							
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	10.000	112.50	11/13/20	117.73	5.23 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	112.50	11/13/20	112.99	0.49 W	0.00	2 of 2 - Sale <sup>25</sup>
11/16/20	20.000	225.00	Various	230.72	5.72 W	0.00	Total of 2 transactions
3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	30.000	373.64	11/23/20	382.50	...	-8.86	1 of 3 - Sale <sup>25</sup>
	10.000	124.55	11/23/20	132.73	...	-8.18	2 of 3 - Sale <sup>25</sup>
	10.000	124.54	11/23/20	127.99	...	-3.45	3 of 3 - Sale <sup>25</sup>
11/23/20	50.000	622.73	Various	643.22	...	-20.49	Total of 3 transactions
	<b>Security total:</b>	<b>847.73</b>		<b>873.94</b>	<b>5.72 W</b>	<b>-20.49</b>	
EKSO BIONICS HOLDINGS, INC. CO MMON STOCK / CUSIP: 282644301 / Symbol:							
5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	12.000	86.16	06/25/20	98.46	...	-12.30	1 of 5 - Sale <sup>25</sup>
	50.000	400.00	06/25/20	410.25	...	-10.25	2 of 5 - Sale <sup>25</sup>
	7.380	54.32	06/25/20	60.55	...	-6.23	3 of 5 - Sale <sup>25</sup>
	30.000	240.60	06/25/20	246.15	...	-5.55	4 of 5 - Sale <sup>25</sup>
	0.620	4.53	06/25/20	5.09	...	-0.56	5 of 5 - Sale <sup>25</sup>
06/25/20	100.000	785.61	Various	820.50	...	-34.89	Total of 5 transactions
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
5 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	50.000	157.50	07/02/20	160.45	...	-2.95	1 of 5 - Sale <sup>25</sup>
	45.000	141.74	07/02/20	143.50	...	-1.76	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	5.000	15.75	07/02/20	15.95	...	-0.20	3 of 5 - Sale <sup>25</sup>
	45.000	138.60	07/02/20	137.25	...	1.35	4 of 5 - Sale <sup>25</sup>
	100.000	307.99	07/02/20	305.00	...	2.99	5 of 5 - Sale <sup>25</sup>
07/02/20	245.000	761.58	Various	762.15	...	-0.57	Total of 5 transactions
	3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	55.000	167.74	07/02/20	167.75	0.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	55.000	171.59	07/02/20	172.09	0.50 W	0.00	2 of 3 - Sale <sup>25</sup>
	45.000	140.40	07/02/20	140.80	0.40 W	0.00	3 of 3 - Sale <sup>25</sup>
07/02/20	155.000	479.73	Various	480.64	0.91 W	0.00	Total of 3 transactions
12/01/20	100.000	717.97	12/01/20	733.92	15.95 W	0.00	Sale <sup>25</sup>
	2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	200.000	1,389.94	12/07/20	1,415.64	12.85 W	-12.85	1 of 2 - Sale <sup>25</sup>
	100.000	694.97	12/07/20	723.77	28.80 W	0.00	2 of 2 - Sale <sup>25</sup>
12/07/20	300.000	2,084.91	Various	2,139.41	41.65 W	-12.85	Total of 2 transactions
	3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	85.000	633.22	12/08/20	674.31	...	-41.09	1 of 3 - Sale <sup>25</sup>
	14.000	104.30	12/08/20	111.06	...	-6.76	2 of 3 - Sale <sup>25</sup>
	1.000	7.45	12/08/20	7.93	...	-0.48	3 of 3 - Sale <sup>25</sup>
12/08/20	100.000	744.97	Various	793.30	...	-48.33	Total of 3 transactions
	2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	94.000	629.77	12/30/20	651.28	...	-21.51	1 of 2 - Sale <sup>25</sup>
	6.000	40.20	12/30/20	41.57	...	-1.37	2 of 2 - Sale <sup>25</sup>
12/30/20	100.000	669.97	Various	692.85	...	-22.88	Total of 2 transactions
	<b>Security total:</b>	<b>5,459.13</b>		<b>5,602.27</b>	<b>58.51 W</b>	<b>-84.63</b>	
ENDOLOGIX, INC. / CUSIP: 29266S304 / Symbol:							
	3 transactions for 02/06/20. Total proceeds and cost reported to the IRS.						
	48.000	43.68	02/05/20	48.90	...	-5.22	1 of 3 - Sale <sup>25</sup>
	2.000	2.10	02/05/20	2.16	...	-0.06	2 of 3 - Sale <sup>25</sup>
	15.000	15.75	02/05/20	15.28	...	0.47	3 of 3 - Sale <sup>25</sup>
02/06/20	65.000	61.53	Various	66.34	...	-4.81	Total of 3 transactions
02/06/20	2.000	1.82	02/04/20	1.94	0.12 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>63.35</b>		<b>68.28</b>	<b>0.12 W</b>	<b>-4.81</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ENPHASE ENERGY, INC. COMMON STOCK / CUSIP: 29355A107 / Symbol:							
7 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	62.000	3,049.70	06/19/20	3,056.60	...	-6.90	1 of 7 - Sale <sup>25</sup>
	38.000	1,869.17	06/19/20	1,873.40	...	-4.23	2 of 7 - Sale <sup>25</sup>
	10.000	491.89	06/19/20	489.50	...	2.39	3 of 7 - Sale <sup>25</sup>
	100.000	4,918.88	06/19/20	4,910.00	...	8.88	4 of 7 - Sale <sup>25</sup>
	100.000	4,918.88	06/19/20	4,908.06	...	10.82	5 of 7 - Sale <sup>25</sup>
	90.000	4,426.99	06/19/20	4,405.50	...	21.49	6 of 7 - Sale <sup>25</sup>
	100.000	4,918.88	06/19/20	4,895.00	...	23.88	7 of 7 - Sale <sup>25</sup>
06/19/20	500.000	24,594.39	Various	24,538.06	...	56.33	Total of 7 transactions
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
6 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	7.000	946.73	08/05/20	956.28	...	-9.55	1 of 6 - Sale <sup>25</sup>
	5.000	676.23	08/05/20	683.06	...	-6.83	2 of 6 - Sale <sup>25</sup>
	4.000	540.99	08/05/20	546.45	...	-5.46	3 of 6 - Sale <sup>25</sup>
	4.000	540.99	08/05/20	546.44	...	-5.45	4 of 6 - Sale <sup>25</sup>
	50.000	6,766.35	08/05/20	6,745.00	...	21.35	5 of 6 - Sale <sup>25</sup>
	50.000	6,787.10	08/05/20	6,729.00	...	58.10	6 of 6 - Sale <sup>25</sup>
08/05/20	120.000	16,258.39	Various	16,206.23	...	52.16	Total of 6 transactions
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	9.000	1,217.22	08/05/20	1,223.10	5.88 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	540.98	08/05/20	543.60	2.62 W	0.00	2 of 3 - Sale <sup>25</sup>
	7.000	946.72	08/05/20	951.30	4.58 W	0.00	3 of 3 - Sale <sup>25</sup>
08/05/20	20.000	2,704.92	Various	2,718.00	13.08 W	0.00	Total of 3 transactions
2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	5.000	717.33	10/09/20	708.75	...	8.58	1 of 2 - Sale <sup>25</sup>
	5.000	718.23	10/09/20	708.75	...	9.48	2 of 2 - Sale <sup>25</sup>
10/09/20	10.000	1,435.56	Various	1,417.50	...	18.06	Total of 2 transactions
10/09/20	15.000	2,190.70	10/09/20	2,199.15	8.45 W	0.00	Sale <sup>25</sup>
10/12/20	5.000	752.73	10/12/20	751.07	...	1.66	Sale <sup>25</sup>
10/12/20	5.000	748.13	10/12/20	751.06	2.93 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol: (cont'd)							
3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	2.000	306.14	10/13/20	301.85	...	4.29	1 of 3 - Sale <sup>25</sup>
	5.000	761.98	10/13/20	754.52	...	7.46	2 of 3 - Sale <sup>25</sup>
	3.000	460.26	10/13/20	452.78	...	7.48	3 of 3 - Sale <sup>25</sup>
10/13/20	10.000	1,528.38	Various	1,509.15	...	19.23	Total of 3 transactions
10/14/20	2.000	306.00	10/14/20	308.90	2.90 W	0.00	Sale <sup>25</sup>
10/16/20	8.000	1,205.78	10/16/20	1,211.48	...	-5.70	Sale <sup>25</sup>
10/16/20	2.000	301.44	10/16/20	305.77	4.33 W	0.00	Sale <sup>25</sup>
10/23/20	2.000	275.20	10/23/20	279.51	...	-4.31	Sale <sup>25</sup>
12/03/20	10.000	1,555.96	12/03/20	1,559.50	...	-3.54	Sale <sup>25</sup>
<b>Security total:</b>		<b>29,263.19</b>		<b>29,217.32</b>	<b>31.69 W</b>	<b>77.56</b>	
EVOKE PHARMA, INC. COMMON STOCK / CUSIP: 30049G104 / Symbol:							
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	100.000	414.97	06/19/20	414.00	...	0.97	1 of 3 - Sale <sup>25</sup>
	20.000	79.00	06/19/20	78.00	...	1.00	2 of 3 - Sale <sup>25</sup>
	50.000	207.49	06/19/20	202.50	...	4.99	3 of 3 - Sale <sup>25</sup>
06/19/20	170.000	701.46	Various	694.50	...	6.96	Total of 3 transactions
EXACT SCIENCES CORPORATION COMMON STOCK / CUSIP: 30063P105 / Symbol:							
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	93.000	10,789.61	11/23/20	11,173.95	...	-384.34	1 of 2 - Sale <sup>25</sup>
	7.000	812.12	11/23/20	841.05	...	-28.93	2 of 2 - Sale <sup>25</sup>
11/25/20	100.000	11,601.73	Various	12,015.00	...	-413.27	Total of 2 transactions
EXPEDITORS INTERNATIONAL OF WASHINGTON, INC. COMMON STOCK / CUSIP: 302130109 / Symbol:							
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	91.10	08/31/20	88.90	...	2.20	1 of 2 - Sale <sup>25</sup>
	50.000	4,554.89	09/01/20	4,458.00	...	96.89	2 of 2 - Sale <sup>25</sup>
09/14/20	51.000	4,645.99	Various	4,546.90	...	99.09	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXTRACTION OIL & GAS INC COMMO N STOCK / CUSIP: 30227M105 / Symbol:							
7 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	24.000	13.56	04/23/20	10.56	...	3.00	1 of 7 - Sale <sup>25</sup>
	100.000	56.60	04/23/20	44.00	...	12.60	2 of 7 - Sale <sup>25</sup>
	115.000	64.96	04/23/20	50.60	...	14.36	3 of 7 - Sale <sup>25</sup>
	100.000	56.49	04/23/20	40.00	...	16.49	4 of 7 - Sale <sup>25</sup>
	100.000	59.99	04/23/20	40.00	...	19.99	5 of 7 - Sale <sup>25</sup>
	861.000	486.34	04/23/20	378.84	...	107.50	6 of 7 - Sale <sup>25</sup>
	900.000	539.87	04/23/20	396.00	...	143.87	7 of 7 - Sale <sup>25</sup>
04/24/20	2,200.000	1,277.81	Various	960.00	...	317.81	Total of 7 transactions
3 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	100.000	55.24	04/29/20	48.00	...	7.24	1 of 3 - Sale <sup>25</sup>
	100.000	55.24	04/29/20	47.00	...	8.24	2 of 3 - Sale <sup>25</sup>
	100.000	55.24	04/29/20	47.00	...	8.24	3 of 3 - Sale <sup>25</sup>
04/30/20	300.000	165.72	Various	142.00	...	23.72	Total of 3 transactions
	<b>Security total:</b>	<b>1,443.53</b>		<b>1,102.00</b>	...	<b>341.53</b>	
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	49.000	2,249.05	08/11/20	2,252.77	...	-3.72	1 of 2 - Sale <sup>25</sup>
	1.000	45.90	08/11/20	45.98	...	-0.08	2 of 2 - Sale <sup>25</sup>
08/11/20	50.000	2,294.95	Various	2,298.75	...	-3.80	Total of 2 transactions
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	30.000	992.98	10/02/20	996.89	3.91 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	661.98	10/02/20	664.17	2.19 W	0.00	2 of 2 - Sale <sup>25</sup>
10/02/20	50.000	1,654.96	Various	1,661.06	6.10 W	0.00	Total of 2 transactions
4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	25.000	833.73	10/05/20	837.97	...	-4.24	1 of 4 - Sale <sup>25</sup>
	20.000	666.98	10/05/20	669.96	...	-2.98	2 of 4 - Sale <sup>25</sup>
	4.000	133.40	10/05/20	134.07	...	-0.67	3 of 4 - Sale <sup>25</sup>
	1.000	33.35	10/05/20	33.52	...	-0.17	4 of 4 - Sale <sup>25</sup>
10/05/20	50.000	1,667.46	Various	1,675.52	...	-8.06	Total of 4 transactions
	<b>Security total:</b>	<b>5,617.37</b>		<b>5,635.33</b>	<b>6.10 W</b>	<b>-11.86</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
<i>2 transactions for 01/24/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	440.51	12/16/19	394.80	...	45.71	1 of 2 - Sale <sup>25</sup>
	3.000	660.76	12/17/19	594.60	...	66.16	2 of 2 - Sale <sup>25</sup>
01/24/20	5.000	1,101.27	Various	989.40	...	111.87	Total of 2 transactions
<i>6 transactions for 04/29/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	643.40	01/28/20	653.67	...	-10.27	1 of 6 - Sale <sup>25</sup>
	3.000	643.39	01/28/20	653.49	...	-10.10	2 of 6 - Sale <sup>25</sup>
	4.000	857.86	01/29/20	892.32	...	-34.46	3 of 6 - Sale <sup>25</sup>
	9.000	1,930.46	01/30/20	1,887.57	...	42.89	4 of 6 - Sale <sup>25</sup>
	1.000	214.49	02/10/20	212.30	...	2.19	5 of 6 - Sale <sup>25</sup>
	2.000	428.99	02/24/20	406.00	...	22.99	6 of 6 - Sale <sup>25</sup>
04/29/20	22.000	4,718.59	Various	4,705.35	...	13.24	Total of 6 transactions
07/23/20	15.000	3,605.92	07/23/20	3,604.20	...	1.72	Sale <sup>25</sup>
<i>2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	249.01	07/30/20	248.74	...	0.27	1 of 2 - Sale <sup>25</sup>
	4.000	996.06	07/30/20	995.08	...	0.98	2 of 2 - Sale <sup>25</sup>
07/30/20	5.000	1,245.07	Various	1,243.82	...	1.25	Total of 2 transactions
<i>4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,330.97	08/06/20	1,326.50	...	4.47	1 of 4 - Sale <sup>25</sup>
	5.000	1,330.97	08/06/20	1,326.38	...	4.59	2 of 4 - Sale <sup>25</sup>
	5.000	1,309.17	08/06/20	1,303.30	...	5.87	3 of 4 - Sale <sup>25</sup>
	10.000	2,618.34	08/06/20	2,606.80	...	11.54	4 of 4 - Sale <sup>25</sup>
08/06/20	25.000	6,589.45	Various	6,562.98	...	26.47	Total of 4 transactions
08/07/20	6.000	1,603.16	08/07/20	1,597.68	...	5.48	Sale <sup>25</sup>
08/12/20	20.000	5,270.68	08/12/20	5,252.60	...	18.08	Sale <sup>25</sup>
08/14/20	10.000	2,612.21	08/14/20	2,618.00	5.79 W	0.00	Sale <sup>25</sup>
<i>16 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,308.97	08/18/20	1,314.05	5.08 W	0.00	1 of 16 - Sale <sup>25</sup>
	5.000	1,300.52	08/18/20	1,306.00	5.48 W	0.00	2 of 16 - Sale <sup>25</sup>
	5.000	1,300.52	08/18/20	1,308.19	7.67 W	0.00	3 of 16 - Sale <sup>25</sup>
	10.000	2,601.04	08/18/20	2,606.23	5.19 W	0.00	4 of 16 - Sale <sup>25</sup>
	5.000	1,304.47	08/18/20	1,308.23	3.76 W	0.00	5 of 16 - Sale <sup>25</sup>
	5.000	1,304.47	08/18/20	1,310.42	5.95 W	0.00	6 of 16 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
	3.000	782.68	08/18/20	783.26	0.58 W	0.00	7 of 16 - Sale <sup>25</sup>
	7.000	1,826.25	08/18/20	1,827.59	1.34 W	0.00	8 of 16 - Sale <sup>25</sup>
	5.000	1,300.42	08/18/20	1,304.81	4.39 W	0.00	9 of 16 - Sale <sup>25</sup>
	5.000	1,300.42	08/18/20	1,307.00	6.58 W	0.00	10 of 16 - Sale <sup>25</sup>
	3.000	779.77	08/18/20	781.12	1.35 W	0.00	11 of 16 - Sale <sup>25</sup>
	7.000	1,819.47	08/18/20	1,822.60	3.13 W	0.00	12 of 16 - Sale <sup>25</sup>
	3.000	782.50	08/18/20	783.23	0.73 W	0.00	13 of 16 - Sale <sup>25</sup>
	5.000	1,304.17	08/18/20	1,307.52	3.35 W	0.00	14 of 16 - Sale <sup>25</sup>
	5.000	1,304.17	08/18/20	1,309.71	5.54 W	0.00	15 of 16 - Sale <sup>25</sup>
	7.000	1,825.84	08/18/20	1,827.52	1.68 W	0.00	16 of 16 - Sale <sup>25</sup>
08/18/20	85.000	22,145.68	Various	22,207.48	61.80 W	0.00	Total of 16 transactions
	4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	5.000	1,334.72	08/19/20	1,333.64	...	1.08	1 of 4 - Sale <sup>25</sup>
	3.000	800.83	08/19/20	797.59	...	3.24	2 of 4 - Sale <sup>25</sup>
	5.000	1,334.72	08/19/20	1,331.45	...	3.27	3 of 4 - Sale <sup>25</sup>
	7.000	1,868.61	08/19/20	1,861.02	...	7.59	4 of 4 - Sale <sup>25</sup>
08/19/20	20.000	5,338.88	Various	5,323.70	...	15.18	Total of 4 transactions
08/20/20	20.000	5,377.88	08/20/20	5,375.39	...	2.49	Sale <sup>25</sup>
	7 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	20.000	5,357.08	08/20/20	5,369.80	12.72 W	0.00	1 of 7 - Sale <sup>25</sup>
	3.000	801.94	08/20/20	806.30	4.36 W	0.00	2 of 7 - Sale <sup>25</sup>
	7.000	1,871.20	08/20/20	1,881.36	10.16 W	0.00	3 of 7 - Sale <sup>25</sup>
	3.000	806.98	08/20/20	810.97	3.99 W	0.00	4 of 7 - Sale <sup>25</sup>
	7.000	1,882.96	08/20/20	1,892.24	9.28 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	2,689.94	08/20/20	2,695.06	5.12 W	0.00	6 of 7 - Sale <sup>25</sup>
	10.000	2,695.34	08/20/20	2,699.88	4.54 W	0.00	7 of 7 - Sale <sup>25</sup>
08/20/20	60.000	16,105.44	Various	16,155.61	50.17 W	0.00	Total of 7 transactions
08/21/20	10.000	2,690.64	08/21/20	2,687.44	...	3.20	Sale <sup>25</sup>
	2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	3.000	807.19	08/21/20	808.86	1.67 W	0.00	1 of 2 - Sale <sup>25</sup>
	7.000	1,883.45	08/21/20	1,887.31	3.86 W	0.00	2 of 2 - Sale <sup>25</sup>
08/21/20	10.000	2,690.64	Various	2,696.17	5.53 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
<i>2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	812.57	08/24/20	813.08	0.51 W	0.00	1 of 2 - Sale <sup>25</sup>
08/24/20	7.000	1,895.99	08/24/20	1,897.14	1.15 W	0.00	2 of 2 - Sale <sup>25</sup>
	10.000	2,708.56	Various	2,710.22	1.66 W	0.00	Total of 2 transactions
<i>3 transactions for 08/25/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	837.88	08/25/20	837.39	...	0.49	1 of 3 - Sale <sup>25</sup>
	7.000	1,955.06	08/25/20	1,953.87	...	1.19	2 of 3 - Sale <sup>25</sup>
08/25/20	10.000	2,792.93	08/25/20	2,789.30	...	3.63	3 of 3 - Sale <sup>25</sup>
	20.000	5,585.87	Various	5,580.56	...	5.31	Total of 3 transactions
<i>2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	5,646.07	08/25/20	5,653.80	7.73 W	0.00	1 of 2 - Sale <sup>25</sup>
08/25/20	10.000	2,822.13	08/25/20	2,832.77	10.64 W	0.00	2 of 2 - Sale <sup>25</sup>
	30.000	8,468.20	Various	8,486.57	18.37 W	0.00	Total of 2 transactions
<i>4 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	2,414.74	08/26/20	2,418.69	3.95 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	603.68	08/26/20	604.67	0.99 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	302.18	08/26/20	302.89	0.71 W	0.00	3 of 4 - Sale <sup>25</sup>
08/26/20	9.000	2,719.55	08/26/20	2,726.05	6.50 W	0.00	4 of 4 - Sale <sup>25</sup>
	20.000	6,040.15	Various	6,052.30	12.15 W	0.00	Total of 4 transactions
<i>10 transactions for 08/28/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	584.99	08/28/20	588.89	3.90 W	0.00	1 of 10 - Sale <sup>25</sup>
	8.000	2,339.94	08/28/20	2,355.55	15.61 W	0.00	2 of 10 - Sale <sup>25</sup>
	1.000	291.53	08/28/20	293.48	1.95 W	0.00	3 of 10 - Sale <sup>25</sup>
	9.000	2,623.80	08/28/20	2,641.46	17.66 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	293.77	08/28/20	295.22	1.45 W	0.00	5 of 10 - Sale <sup>25</sup>
	2.000	587.55	08/28/20	590.45	2.90 W	0.00	6 of 10 - Sale <sup>25</sup>
	8.000	2,350.19	08/28/20	2,361.79	11.60 W	0.00	7 of 10 - Sale <sup>25</sup>
	9.000	2,643.96	08/28/20	2,657.12	13.16 W	0.00	8 of 10 - Sale <sup>25</sup>
	1.000	293.77	08/28/20	294.24	0.47 W	0.00	9 of 10 - Sale <sup>25</sup>
08/28/20	9.000	2,643.96	08/28/20	2,648.25	4.29 W	0.00	10 of 10 - Sale <sup>25</sup>
	50.000	14,653.46	Various	14,726.45	72.99 W	0.00	Total of 10 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	2.000	591.65	08/31/20	596.44	4.79 W	0.00	1 of 4 - Sale <sup>25</sup>
	8.000	2,366.58	08/31/20	2,385.76	19.18 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	295.82	08/31/20	298.20	2.38 W	0.00	3 of 4 - Sale <sup>25</sup>
	9.000	2,662.41	08/31/20	2,683.88	21.47 W	0.00	4 of 4 - Sale <sup>25</sup>
08/31/20	20.000	5,916.46	Various	5,964.28	47.82 W	0.00	Total of 4 transactions
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	300.20	09/02/20	297.65	...	2.55	1 of 3 - Sale <sup>25</sup>
	1.000	300.22	09/02/20	297.65	...	2.57	2 of 3 - Sale <sup>25</sup>
	8.000	2,401.54	09/02/20	2,381.22	...	20.32	3 of 3 - Sale <sup>25</sup>
09/02/20	10.000	3,001.96	Various	2,976.52	...	25.44	Total of 3 transactions
4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	2.000	602.53	09/02/20	609.15	6.62 W	0.00	1 of 4 - Sale <sup>25</sup>
	8.000	2,410.10	09/02/20	2,436.64	26.54 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	301.09	09/02/20	303.99	2.90 W	0.00	3 of 4 - Sale <sup>25</sup>
	9.000	2,709.84	09/02/20	2,735.97	26.13 W	0.00	4 of 4 - Sale <sup>25</sup>
09/02/20	20.000	6,023.56	Various	6,085.75	62.19 W	0.00	Total of 4 transactions
6 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	5.000	1,367.31	09/15/20	1,382.68	15.37 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	272.39	09/15/20	276.54	4.15 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.000	544.79	09/15/20	553.90	9.11 W	0.00	3 of 6 - Sale <sup>25</sup>
	3.000	817.18	09/15/20	830.87	13.69 W	0.00	4 of 6 - Sale <sup>25</sup>
	4.000	1,089.57	09/15/20	1,106.17	16.60 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	1,354.72	09/15/20	1,380.86	26.14 W	0.00	6 of 6 - Sale <sup>25</sup>
09/15/20	20.000	5,445.96	Various	5,531.02	85.06 W	0.00	Total of 6 transactions
09/25/20	5.000	1,274.82	09/25/20	1,293.07	18.25 W	0.00	Sale <sup>25</sup>
6 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	256.12	09/28/20	260.41	4.29 W	0.00	1 of 6 - Sale <sup>25</sup>
	2.000	512.25	09/28/20	521.63	9.38 W	0.00	2 of 6 - Sale <sup>25</sup>
	3.000	768.37	09/28/20	782.47	14.10 W	0.00	3 of 6 - Sale <sup>25</sup>
	4.000	1,024.50	09/28/20	1,041.64	17.14 W	0.00	4 of 6 - Sale <sup>25</sup>
	4.000	1,024.22	09/28/20	1,046.51	22.29 W	0.00	5 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
09/28/20	1.000	256.05	09/28/20	261.63	5.58 W	0.00	6 of 6 - Sale <sup>25</sup>
	15.000	3,841.51	Various	3,914.29	72.78 W	0.00	Total of 6 transactions
	29 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	5.000	1,305.22	09/29/20	1,320.15	14.93 W	0.00	1 of 29 - Sale <sup>25</sup>
	2.000	522.75	09/29/20	530.14	7.39 W	0.00	2 of 29 - Sale <sup>25</sup>
	3.000	784.12	09/29/20	795.24	11.12 W	0.00	3 of 29 - Sale <sup>25</sup>
	1.000	262.12	09/29/20	263.22	1.10 W	0.00	4 of 29 - Sale <sup>25</sup>
	1.000	262.12	09/29/20	263.21	1.09 W	0.00	5 of 29 - Sale <sup>25</sup>
	2.000	524.78	09/29/20	526.43	1.65 W	0.00	6 of 29 - Sale <sup>25</sup>
	1.000	261.92	09/29/20	263.21	1.29 W	0.00	7 of 29 - Sale <sup>25</sup>
	1.000	261.66	09/29/20	267.72	6.06 W	0.00	8 of 29 - Sale <sup>25</sup>
	1.000	261.65	09/29/20	263.24	1.59 W	0.00	9 of 29 - Sale <sup>25</sup>
	1.000	261.66	09/29/20	263.23	1.57 W	0.00	10 of 29 - Sale <sup>25</sup>
	1.000	261.65	09/29/20	263.43	1.78 W	0.00	11 of 29 - Sale <sup>25</sup>
	2.000	523.31	09/29/20	531.67	8.36 W	0.00	12 of 29 - Sale <sup>25</sup>
	2.000	523.31	09/29/20	525.92	2.61 W	0.00	13 of 29 - Sale <sup>25</sup>
	3.000	784.97	09/29/20	797.54	12.57 W	0.00	14 of 29 - Sale <sup>25</sup>
	4.000	1,046.62	09/29/20	1,070.85	24.23 W	0.00	15 of 29 - Sale <sup>25</sup>
	5.000	1,308.27	09/29/20	1,325.63	17.36 W	0.00	16 of 29 - Sale <sup>25</sup>
	1.000	261.48	09/29/20	268.30	6.82 W	0.00	17 of 29 - Sale <sup>25</sup>
	1.000	261.48	09/29/20	263.83	2.35 W	0.00	18 of 29 - Sale <sup>25</sup>
	1.000	261.48	09/29/20	263.81	2.33 W	0.00	19 of 29 - Sale <sup>25</sup>
	1.000	261.49	09/29/20	264.02	2.53 W	0.00	20 of 29 - Sale <sup>25</sup>
	2.000	522.97	09/29/20	532.84	9.87 W	0.00	21 of 29 - Sale <sup>25</sup>
	2.000	522.97	09/29/20	527.08	4.11 W	0.00	22 of 29 - Sale <sup>25</sup>
	3.000	784.45	09/29/20	799.29	14.84 W	0.00	23 of 29 - Sale <sup>25</sup>
	4.000	1,045.94	09/29/20	1,073.19	27.25 W	0.00	24 of 29 - Sale <sup>25</sup>
	5.000	1,307.42	09/29/20	1,328.56	21.14 W	0.00	25 of 29 - Sale <sup>25</sup>
	1.000	261.07	09/29/20	268.51	7.44 W	0.00	26 of 29 - Sale <sup>25</sup>
	1.000	261.07	09/29/20	268.52	7.45 W	0.00	27 of 29 - Sale <sup>25</sup>
	3.000	783.22	09/29/20	805.54	22.32 W	0.00	28 of 29 - Sale <sup>25</sup>
	5.000	1,305.38	09/29/20	1,329.64	24.26 W	0.00	29 of 29 - Sale <sup>25</sup>
09/29/20	65.000	16,996.55	Various	17,263.96	267.41 W	0.00	Total of 29 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
25 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	2.000	529.13	09/30/20	539.09	9.96 W	0.00	1 of 25 - Sale <sup>25</sup>
	3.000	793.69	09/30/20	808.66	14.97 W	0.00	2 of 25 - Sale <sup>25</sup>
	1.000	264.56	09/30/20	267.11	2.55 W	0.00	3 of 25 - Sale <sup>25</sup>
	1.000	264.56	09/30/20	267.09	2.53 W	0.00	4 of 25 - Sale <sup>25</sup>
	1.000	261.99	09/30/20	265.41	3.42 W	0.00	5 of 25 - Sale <sup>25</sup>
	1.000	262.00	09/30/20	270.32	8.32 W	0.00	6 of 25 - Sale <sup>25</sup>
	1.000	261.99	09/30/20	270.32	8.33 W	0.00	7 of 25 - Sale <sup>25</sup>
	2.000	523.99	09/30/20	529.87	5.88 W	0.00	8 of 25 - Sale <sup>25</sup>
	1.000	262.69	09/30/20	269.69	7.00 W	0.00	9 of 25 - Sale <sup>25</sup>
	2.000	525.39	09/30/20	539.36	13.97 W	0.00	10 of 25 - Sale <sup>25</sup>
	2.000	525.39	09/30/20	534.45	9.06 W	0.00	11 of 25 - Sale <sup>25</sup>
	5.000	1,313.47	09/30/20	1,335.45	21.98 W	0.00	12 of 25 - Sale <sup>25</sup>
	1.000	262.44	09/30/20	268.18	5.74 W	0.00	13 of 25 - Sale <sup>25</sup>
	1.000	262.44	09/30/20	265.74	3.30 W	0.00	14 of 25 - Sale <sup>25</sup>
	1.000	262.45	09/30/20	265.72	3.27 W	0.00	15 of 25 - Sale <sup>25</sup>
	1.000	262.44	09/30/20	266.61	4.17 W	0.00	16 of 25 - Sale <sup>25</sup>
	1.000	262.45	09/30/20	271.51	9.06 W	0.00	17 of 25 - Sale <sup>25</sup>
	1.000	262.44	09/30/20	271.52	9.08 W	0.00	18 of 25 - Sale <sup>25</sup>
	2.000	524.89	09/30/20	536.34	11.45 W	0.00	19 of 25 - Sale <sup>25</sup>
	2.000	524.89	09/30/20	532.26	7.37 W	0.00	20 of 25 - Sale <sup>25</sup>
	1.000	262.66	09/30/20	268.10	5.44 W	0.00	21 of 25 - Sale <sup>25</sup>
	2.000	525.31	09/30/20	536.17	10.86 W	0.00	22 of 25 - Sale <sup>25</sup>
	2.000	525.31	09/30/20	530.99	5.68 W	0.00	23 of 25 - Sale <sup>25</sup>
	2.000	525.11	09/30/20	531.26	6.15 W	0.00	24 of 25 - Sale <sup>25</sup>
	3.000	787.66	09/30/20	796.48	8.82 W	0.00	25 of 25 - Sale <sup>25</sup>
09/30/20	42.000	11,039.34	Various	11,237.70	198.36 W	0.00	Total of 25 transactions
30 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	265.17	10/01/20	272.95	7.78 W	0.00	1 of 30 - Sale <sup>25</sup>
	1.000	265.17	10/01/20	270.51	5.34 W	0.00	2 of 30 - Sale <sup>25</sup>
	1.000	265.17	10/01/20	270.49	5.32 W	0.00	3 of 30 - Sale <sup>25</sup>
	2.000	530.35	10/01/20	545.87	15.52 W	0.00	4 of 30 - Sale <sup>25</sup>
	1.000	265.18	10/01/20	271.57	6.39 W	0.00	5 of 30 - Sale <sup>25</sup>
	1.000	265.17	10/01/20	276.46	11.29 W	0.00	6 of 30 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
	1.000	265.18	10/01/20	276.48	11.30 W	0.00	7 of 30 - Sale <sup>25</sup>
	2.000	530.35	10/01/20	542.17	11.82 W	0.00	8 of 30 - Sale <sup>25</sup>
	1.000	266.99	10/01/20	271.64	4.65 W	0.00	9 of 30 - Sale <sup>25</sup>
	2.000	533.99	10/01/20	543.25	9.26 W	0.00	10 of 30 - Sale <sup>25</sup>
	2.000	533.99	10/01/20	538.07	4.08 W	0.00	11 of 30 - Sale <sup>25</sup>
	1.000	266.37	10/01/20	274.67	8.30 W	0.00	12 of 30 - Sale <sup>25</sup>
	1.000	266.38	10/01/20	272.23	5.85 W	0.00	13 of 30 - Sale <sup>25</sup>
	1.000	266.37	10/01/20	272.21	5.84 W	0.00	14 of 30 - Sale <sup>25</sup>
	2.000	532.75	10/01/20	539.93	7.18 W	0.00	15 of 30 - Sale <sup>25</sup>
	2.000	532.75	10/01/20	549.31	16.56 W	0.00	16 of 30 - Sale <sup>25</sup>
	3.000	799.12	10/01/20	809.49	10.37 W	0.00	17 of 30 - Sale <sup>25</sup>
	1.000	266.24	10/01/20	272.22	5.98 W	0.00	18 of 30 - Sale <sup>25</sup>
	1.000	266.24	10/01/20	277.12	10.88 W	0.00	19 of 30 - Sale <sup>25</sup>
	1.000	266.24	10/01/20	277.13	10.89 W	0.00	20 of 30 - Sale <sup>25</sup>
	1.000	266.24	10/01/20	270.48	4.24 W	0.00	21 of 30 - Sale <sup>25</sup>
	2.000	532.48	10/01/20	543.48	11.00 W	0.00	22 of 30 - Sale <sup>25</sup>
	2.000	532.48	10/01/20	540.92	8.44 W	0.00	23 of 30 - Sale <sup>25</sup>
	2.000	532.47	10/01/20	535.74	3.27 W	0.00	24 of 30 - Sale <sup>25</sup>
	2.000	533.83	10/01/20	539.28	5.45 W	0.00	25 of 30 - Sale <sup>25</sup>
	3.000	800.74	10/01/20	808.52	7.78 W	0.00	26 of 30 - Sale <sup>25</sup>
	1.000	267.60	10/01/20	274.35	6.75 W	0.00	27 of 30 - Sale <sup>25</sup>
	1.000	267.61	10/01/20	271.90	4.29 W	0.00	28 of 30 - Sale <sup>25</sup>
	1.000	267.60	10/01/20	271.89	4.29 W	0.00	29 of 30 - Sale <sup>25</sup>
	2.000	535.21	10/01/20	548.66	13.45 W	0.00	30 of 30 - Sale <sup>25</sup>
10/01/20	45.000	11,985.43	Various	12,228.99	243.56 W	0.00	Total of 30 transactions
	20 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	262.62	10/02/20	267.57	4.95 W	0.00	1 of 20 - Sale <sup>25</sup>
	1.000	262.62	10/02/20	272.47	9.85 W	0.00	2 of 20 - Sale <sup>25</sup>
	1.000	262.62	10/02/20	272.48	9.86 W	0.00	3 of 20 - Sale <sup>25</sup>
	2.000	525.23	10/02/20	534.18	8.95 W	0.00	4 of 20 - Sale <sup>25</sup>
	1.000	261.79	10/02/20	265.83	4.04 W	0.00	5 of 20 - Sale <sup>25</sup>
	2.000	523.59	10/02/20	531.62	8.03 W	0.00	6 of 20 - Sale <sup>25</sup>
	2.000	523.59	10/02/20	526.45	2.86 W	0.00	7 of 20 - Sale <sup>25</sup>
	1.000	260.89	10/02/20	267.61	6.72 W	0.00	8 of 20 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
	1.000	260.90	10/02/20	265.15	4.25 W	0.00	9 of 20 - Sale <sup>25</sup>
	1.000	260.89	10/02/20	265.15	4.26 W	0.00	10 of 20 - Sale <sup>25</sup>
	2.000	521.79	10/02/20	527.17	5.38 W	0.00	11 of 20 - Sale <sup>25</sup>
	2.000	521.79	10/02/20	535.17	13.38 W	0.00	12 of 20 - Sale <sup>25</sup>
	3.000	782.68	10/02/20	790.36	7.68 W	0.00	13 of 20 - Sale <sup>25</sup>
	1.000	261.86	10/02/20	265.96	4.10 W	0.00	14 of 20 - Sale <sup>25</sup>
	1.000	261.87	10/02/20	270.86	8.99 W	0.00	15 of 20 - Sale <sup>25</sup>
	1.000	261.86	10/02/20	270.87	9.01 W	0.00	16 of 20 - Sale <sup>25</sup>
	2.000	523.73	10/02/20	530.97	7.24 W	0.00	17 of 20 - Sale <sup>25</sup>
	1.000	260.97	10/02/20	265.05	4.08 W	0.00	18 of 20 - Sale <sup>25</sup>
	2.000	521.95	10/02/20	530.05	8.10 W	0.00	19 of 20 - Sale <sup>25</sup>
	2.000	521.95	10/02/20	524.88	2.93 W	0.00	20 of 20 - Sale <sup>25</sup>
10/02/20	30.000	7,845.19	Various	7,979.85	134.66 W	0.00	Total of 20 transactions
	4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	263.25	10/05/20	270.28	7.03 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	263.25	10/05/20	270.25	7.00 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	526.51	10/05/20	532.50	5.99 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	789.76	10/05/20	798.36	8.60 W	0.00	4 of 4 - Sale <sup>25</sup>
10/05/20	7.000	1,842.77	Various	1,871.39	28.62 W	0.00	Total of 4 transactions
	13 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	263.08	10/08/20	268.87	5.79 W	0.00	1 of 13 - Sale <sup>25</sup>
	1.000	263.08	10/08/20	266.44	3.36 W	0.00	2 of 13 - Sale <sup>25</sup>
	1.000	263.09	10/08/20	266.44	3.35 W	0.00	3 of 13 - Sale <sup>25</sup>
	2.000	526.17	10/08/20	531.61	5.44 W	0.00	4 of 13 - Sale <sup>25</sup>
	1.000	263.08	10/08/20	267.80	4.72 W	0.00	5 of 13 - Sale <sup>25</sup>
	1.000	263.09	10/08/20	272.69	9.60 W	0.00	6 of 13 - Sale <sup>25</sup>
	1.000	263.08	10/08/20	272.71	9.63 W	0.00	7 of 13 - Sale <sup>25</sup>
	2.000	526.17	10/08/20	535.50	9.33 W	0.00	8 of 13 - Sale <sup>25</sup>
	1.000	262.29	10/08/20	266.93	4.64 W	0.00	9 of 13 - Sale <sup>25</sup>
	2.000	524.59	10/08/20	528.63	4.04 W	0.00	10 of 13 - Sale <sup>25</sup>
	2.000	524.59	10/08/20	531.43	6.84 W	0.00	11 of 13 - Sale <sup>25</sup>
	1.000	263.99	10/08/20	266.62	2.63 W	0.00	12 of 13 - Sale <sup>25</sup>
	2.000	527.99	10/08/20	533.49	5.50 W	0.00	13 of 13 - Sale <sup>25</sup>
10/08/20	18.000	4,734.29	Various	4,809.16	74.87 W	0.00	Total of 13 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
<i>9 transactions for 10/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	262.81	10/09/20	270.54	7.73 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	262.81	10/09/20	270.51	7.70 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	262.82	10/09/20	269.30	6.48 W	0.00	3 of 9 - Sale <sup>25</sup>
	1.000	262.81	10/09/20	266.87	4.06 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	262.82	10/09/20	266.86	4.04 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	262.81	10/09/20	268.27	5.46 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	262.82	10/09/20	273.15	10.33 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.000	262.81	10/09/20	273.18	10.37 W	0.00	8 of 9 - Sale <sup>25</sup>
	2.000	525.63	10/09/20	532.54	6.91 W	0.00	9 of 9 - Sale <sup>25</sup>
10/09/20	10.000	2,628.14	Various	2,691.22	63.08 W	0.00	Total of 9 transactions
<i>2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	273.06	10/12/20	276.46	3.40 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	546.14	10/12/20	552.96	6.82 W	0.00	2 of 2 - Sale <sup>25</sup>
10/12/20	3.000	819.20	Various	829.42	10.22 W	0.00	Total of 2 transactions
<i>4 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	274.77	10/13/20	278.25	3.48 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	274.77	10/13/20	278.37	3.60 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	549.55	10/13/20	555.28	5.73 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	549.55	10/13/20	558.08	8.53 W	0.00	4 of 4 - Sale <sup>25</sup>
10/13/20	6.000	1,648.64	Various	1,669.98	21.34 W	0.00	Total of 4 transactions
<i>9 transactions for 10/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	266.99	10/30/20	271.87	4.88 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	266.99	10/30/20	276.85	9.86 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	267.00	10/30/20	276.82	9.82 W	0.00	3 of 9 - Sale <sup>25</sup>
	1.000	266.99	10/30/20	275.60	8.61 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	267.00	10/30/20	273.18	6.18 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	266.99	10/30/20	273.16	6.17 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	267.00	10/30/20	274.58	7.58 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.000	266.99	10/30/20	279.45	12.46 W	0.00	8 of 9 - Sale <sup>25</sup>
	2.000	533.99	10/30/20	545.15	11.16 W	0.00	9 of 9 - Sale <sup>25</sup>
10/30/20	10.000	2,669.94	Various	2,746.66	76.72 W	0.00	Total of 9 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
<i>7 transactions for 11/03/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	266.94	11/03/20	278.43	11.49 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	266.94	11/03/20	271.46	4.52 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	266.95	11/03/20	271.54	4.59 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	266.94	11/03/20	271.65	4.71 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	533.89	11/03/20	542.93	9.04 W	0.00	5 of 7 - Sale <sup>25</sup>
	2.000	533.89	11/03/20	541.84	7.95 W	0.00	6 of 7 - Sale <sup>25</sup>
	2.000	533.89	11/03/20	544.65	10.76 W	0.00	7 of 7 - Sale <sup>25</sup>
11/03/20	10.000	2,669.44	Various	2,722.50	53.06 W	0.00	Total of 7 transactions
<i>5 transactions for 11/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	287.80	11/04/20	293.23	5.43 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	287.80	11/04/20	298.21	10.41 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	287.81	11/04/20	298.17	10.36 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	287.80	11/04/20	296.96	9.16 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	287.80	11/04/20	294.53	6.73 W	0.00	5 of 5 - Sale <sup>25</sup>
11/04/20	5.000	1,439.01	Various	1,481.10	42.09 W	0.00	Total of 5 transactions
<i>17 transactions for 11/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	295.87	11/05/20	306.99	...	-11.12	1 of 17 - Sale <sup>25</sup>
	1.000	296.16	11/05/20	306.29	...	-10.13	2 of 17 - Sale <sup>25</sup>
	1.000	295.85	11/05/20	305.53	...	-9.68	3 of 17 - Sale <sup>25</sup>
	1.000	295.84	11/05/20	305.49	...	-9.65	4 of 17 - Sale <sup>25</sup>
	2.000	591.72	11/05/20	600.22	...	-8.50	5 of 17 - Sale <sup>25</sup>
	1.000	295.85	11/05/20	304.28	...	-8.43	6 of 17 - Sale <sup>25</sup>
	2.000	592.33	11/05/20	600.04	...	-7.71	7 of 17 - Sale <sup>25</sup>
	2.000	592.33	11/05/20	598.63	...	-6.30	8 of 17 - Sale <sup>25</sup>
	1.000	295.86	11/05/20	302.12	...	-6.26	9 of 17 - Sale <sup>25</sup>
	1.000	295.84	11/05/20	301.86	...	-6.02	10 of 17 - Sale <sup>25</sup>
	1.000	295.86	11/05/20	300.70	...	-4.84	11 of 17 - Sale <sup>25</sup>
	1.000	295.84	11/05/20	300.56	...	-4.72	12 of 17 - Sale <sup>25</sup>
	1.000	296.17	11/05/20	299.34	...	-3.17	13 of 17 - Sale <sup>25</sup>
	1.000	296.16	11/05/20	299.31	...	-3.15	14 of 17 - Sale <sup>25</sup>
	1.000	296.16	11/05/20	299.23	...	-3.07	15 of 17 - Sale <sup>25</sup>
	1.000	296.17	11/05/20	298.79	...	-2.62	16 of 17 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
11/05/20	1.000	296.16	11/05/20	298.61	...	-2.45	17 of 17 - Sale <sup>25</sup>
11/05/20	20.000	5,920.17	Various	6,027.99	...	-107.82	Total of 17 transactions
12 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	293.31	11/05/20	298.89	5.58 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	293.31	11/05/20	300.30	6.99 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	293.31	11/05/20	305.18	11.87 W	0.00	3 of 12 - Sale <sup>25</sup>
	1.000	293.31	11/05/20	304.21	10.90 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	293.32	11/05/20	297.24	3.92 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	293.31	11/05/20	296.71	3.40 W	0.00	6 of 12 - Sale <sup>25</sup>
	2.000	586.63	11/05/20	596.60	9.97 W	0.00	7 of 12 - Sale <sup>25</sup>
	2.000	586.63	11/05/20	594.48	7.85 W	0.00	8 of 12 - Sale <sup>25</sup>
	1.000	296.34	11/05/20	299.56	3.22 W	0.00	9 of 12 - Sale <sup>25</sup>
	1.000	296.34	11/05/20	300.18	3.84 W	0.00	10 of 12 - Sale <sup>25</sup>
	1.000	296.34	11/05/20	300.29	3.95 W	0.00	11 of 12 - Sale <sup>25</sup>
	2.000	592.67	11/05/20	601.93	9.26 W	0.00	12 of 12 - Sale <sup>25</sup>
11/05/20	15.000	4,414.82	Various	4,495.57	80.75 W	0.00	Total of 12 transactions
12/07/20	5.000	1,428.86	12/07/20	1,427.65	...	1.21	Sale <sup>25</sup>
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	286.80	12/09/20	285.99	...	0.81	1 of 2 - Sale <sup>25</sup>
	4.000	1,147.21	12/09/20	1,141.66	...	5.55	2 of 2 - Sale <sup>25</sup>
12/09/20	5.000	1,434.01	Various	1,427.65	...	6.36	Total of 2 transactions
<b>Security total:</b>		<b>223,571.82</b>		<b>225,251.64</b>	<b>1,809.30 W</b>	<b>129.48</b>	
FARFETCH LIMITED CLASS A ORDINARY SHARES / CUSIP: 30744W107 / Symbol:							
07/29/20	100.000	2,376.21	07/29/20	2,389.99	...	-13.78	Sale <sup>25</sup>
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	5.000	399.70	08/12/20	383.85	...	15.85	1 of 2 - Sale <sup>25</sup>
	45.000	3,597.22	08/12/20	3,454.65	...	142.57	2 of 2 - Sale <sup>25</sup>
08/13/20	50.000	3,996.92	Various	3,838.50	...	158.42	Total of 2 transactions
09/22/20	1.000	94.00	09/22/20	93.00	...	1.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
10/27/20	10.000	739.98	10/23/20	757.50	1.75 W	-15.77	Sale <sup>25</sup>
11/17/20	1.000	78.35	11/17/20	80.71	...	-2.36	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,909.25</b>		<b>4,769.71</b>	<b>1.75 W</b>	<b>141.29</b>	
FASTENAL COMPANY COMMON STOCK / CUSIP: 311900104 / Symbol:							
08/21/20	50.000	2,417.95	08/21/20	2,413.92	...	4.03	Sale <sup>25</sup>
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol:							
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	173.85	07/30/20	173.75	...	0.10	1 of 2 - Sale <sup>25</sup>
	9.000	1,564.61	07/30/20	1,563.75	...	0.86	2 of 2 - Sale <sup>25</sup>
07/30/20	10.000	1,738.46	Various	1,737.50	...	0.96	Total of 2 transactions
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	177.13	08/07/20	176.89	...	0.24	1 of 3 - Sale <sup>25</sup>
	9.000	1,594.13	08/07/20	1,592.01	...	2.12	2 of 3 - Sale <sup>25</sup>
	20.000	3,539.32	08/07/20	3,508.55	...	30.77	3 of 3 - Sale <sup>25</sup>
08/07/20	30.000	5,310.58	Various	5,277.45	...	33.13	Total of 3 transactions
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	2.000	392.30	08/10/20	389.80	...	2.50	1 of 2 - Sale <sup>25</sup>
	18.000	3,530.62	08/10/20	3,508.20	...	22.42	2 of 2 - Sale <sup>25</sup>
08/10/20	20.000	3,922.92	Various	3,898.00	...	24.92	Total of 2 transactions
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	20.000	3,893.61	08/10/20	3,908.00	14.39 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	194.68	08/10/20	195.45	0.77 W	0.00	2 of 3 - Sale <sup>25</sup>
	19.000	3,698.94	08/10/20	3,713.55	14.61 W	0.00	3 of 3 - Sale <sup>25</sup>
08/10/20	40.000	7,787.23	Various	7,817.00	29.77 W	0.00	Total of 3 transactions
08/13/20	20.000	4,041.91	08/13/20	4,037.39	...	4.52	Sale <sup>25</sup>
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	201.30	08/13/20	203.00	1.70 W	0.00	1 of 3 - Sale <sup>25</sup>
	19.000	3,824.61	08/13/20	3,856.98	32.37 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	1,006.47	08/13/20	1,013.70	7.23 W	0.00	3 of 3 - Sale <sup>25</sup>
08/13/20	25.000	5,032.38	Various	5,073.68	41.30 W	0.00	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol: (cont'd)							
14 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	213.80	08/24/20	215.28	1.48 W	0.00	1 of 14 - Sale <sup>25</sup>
	3.000	641.38	08/24/20	645.85	4.47 W	0.00	2 of 14 - Sale <sup>25</sup>
	3.000	641.38	08/24/20	645.85	4.47 W	0.00	3 of 14 - Sale <sup>25</sup>
	3.000	641.39	08/24/20	645.85	4.46 W	0.00	4 of 14 - Sale <sup>25</sup>
	4.000	855.18	08/24/20	861.22	6.04 W	0.00	5 of 14 - Sale <sup>25</sup>
	4.000	855.18	08/24/20	861.21	6.03 W	0.00	6 of 14 - Sale <sup>25</sup>
	2.000	427.59	08/24/20	430.61	3.02 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	216.40	08/24/20	217.70	1.30 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	216.40	08/24/20	217.73	1.33 W	0.00	9 of 14 - Sale <sup>25</sup>
	3.000	649.18	08/24/20	653.13	3.95 W	0.00	10 of 14 - Sale <sup>25</sup>
	3.000	649.19	08/24/20	653.13	3.94 W	0.00	11 of 14 - Sale <sup>25</sup>
	3.000	649.18	08/24/20	653.12	3.94 W	0.00	12 of 14 - Sale <sup>25</sup>
	4.000	865.58	08/24/20	870.92	5.34 W	0.00	13 of 14 - Sale <sup>25</sup>
	5.000	1,081.97	08/24/20	1,088.33	6.36 W	0.00	14 of 14 - Sale <sup>25</sup>
08/24/20	40.000	8,603.80	Various	8,659.93	56.13 W	0.00	Total of 14 transactions
9 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	2.000	431.79	08/26/20	435.81	4.02 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	215.90	08/26/20	217.91	2.01 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	215.89	08/26/20	217.91	2.02 W	0.00	3 of 9 - Sale <sup>25</sup>
	1.000	215.90	08/26/20	217.91	2.01 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	215.90	08/26/20	217.70	1.80 W	0.00	5 of 9 - Sale <sup>25</sup>
	3.000	647.68	08/26/20	653.15	5.47 W	0.00	6 of 9 - Sale <sup>25</sup>
	3.000	647.69	08/26/20	653.14	5.45 W	0.00	7 of 9 - Sale <sup>25</sup>
	3.000	647.68	08/26/20	653.14	5.46 W	0.00	8 of 9 - Sale <sup>25</sup>
	5.000	1,079.47	08/26/20	1,088.36	8.89 W	0.00	9 of 9 - Sale <sup>25</sup>
08/26/20	20.000	4,317.90	Various	4,355.03	37.13 W	0.00	Total of 9 transactions
14 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	216.49	08/27/20	218.57	2.08 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	216.48	08/27/20	219.25	2.77 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.000	216.49	08/27/20	219.26	2.77 W	0.00	3 of 14 - Sale <sup>25</sup>
	1.000	216.48	08/27/20	219.25	2.77 W	0.00	4 of 14 - Sale <sup>25</sup>
	2.000	432.97	08/27/20	438.50	5.53 W	0.00	5 of 14 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol: (cont'd)							
	4.000	865.94	08/27/20	874.30	8.36 W	0.00	6 of 14 - Sale <sup>25</sup>
	1.000	215.40	08/27/20	218.23	2.83 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	215.40	08/27/20	218.25	2.85 W	0.00	8 of 14 - Sale <sup>25</sup>
	3.000	646.22	08/27/20	654.75	8.53 W	0.00	9 of 14 - Sale <sup>25</sup>
	5.000	1,077.03	08/27/20	1,091.03	14.00 W	0.00	10 of 14 - Sale <sup>25</sup>
	1.000	217.75	08/27/20	220.56	2.81 W	0.00	11 of 14 - Sale <sup>25</sup>
	2.000	435.49	08/27/20	440.59	5.10 W	0.00	12 of 14 - Sale <sup>25</sup>
	3.000	653.23	08/27/20	660.90	7.67 W	0.00	13 of 14 - Sale <sup>25</sup>
	4.000	870.98	08/27/20	882.28	11.30 W	0.00	14 of 14 - Sale <sup>25</sup>
08/27/20	30.000	6,496.35	Various	6,575.72	79.37 W	0.00	Total of 14 transactions
	11 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	1.000	221.39	08/28/20	224.25	2.86 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	221.39	08/28/20	224.25	2.86 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	221.39	08/28/20	224.26	2.87 W	0.00	3 of 11 - Sale <sup>25</sup>
	2.000	442.77	08/28/20	448.50	5.73 W	0.00	4 of 11 - Sale <sup>25</sup>
	5.000	1,106.93	08/28/20	1,121.42	14.49 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	222.55	08/28/20	225.89	3.34 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	222.55	08/28/20	225.90	3.35 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	222.55	08/28/20	225.91	3.36 W	0.00	8 of 11 - Sale <sup>25</sup>
	2.000	445.11	08/28/20	451.81	6.70 W	0.00	9 of 11 - Sale <sup>25</sup>
	2.000	445.11	08/28/20	451.22	6.11 W	0.00	10 of 11 - Sale <sup>25</sup>
	3.000	667.66	08/28/20	676.85	9.19 W	0.00	11 of 11 - Sale <sup>25</sup>
08/28/20	20.000	4,439.40	Various	4,500.26	60.86 W	0.00	Total of 11 transactions
	6 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	1.000	224.35	09/04/20	222.71	...	1.64	1 of 6 - Sale <sup>25</sup>
	1.000	224.34	09/04/20	222.69	...	1.65	2 of 6 - Sale <sup>25</sup>
	1.000	224.35	09/04/20	222.69	...	1.66	3 of 6 - Sale <sup>25</sup>
	1.000	224.35	09/04/20	222.64	...	1.71	4 of 6 - Sale <sup>25</sup>
	2.000	448.69	09/04/20	445.39	...	3.30	5 of 6 - Sale <sup>25</sup>
	4.000	897.38	09/04/20	890.63	...	6.75	6 of 6 - Sale <sup>25</sup>
09/04/20	10.000	2,243.46	Various	2,226.75	...	16.71	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol: (cont'd)							
11 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	2.000	460.33	09/11/20	458.93	...	1.40	1 of 11 - Sale <sup>25</sup>
	1.000	232.11	09/11/20	230.65	...	1.46	2 of 11 - Sale <sup>25</sup>
	1.000	232.12	09/11/20	230.66	...	1.46	3 of 11 - Sale <sup>25</sup>
	1.000	232.11	09/11/20	230.64	...	1.47	4 of 11 - Sale <sup>25</sup>
	3.000	690.49	09/11/20	688.42	...	2.07	5 of 11 - Sale <sup>25</sup>
	2.000	464.23	09/11/20	461.30	...	2.93	6 of 11 - Sale <sup>25</sup>
	5.000	1,164.97	09/11/20	1,159.52	...	5.45	7 of 11 - Sale <sup>25</sup>
	5.000	1,164.97	09/11/20	1,158.65	...	6.32	8 of 11 - Sale <sup>25</sup>
	5.000	1,150.82	09/11/20	1,143.00	...	7.82	9 of 11 - Sale <sup>25</sup>
	5.000	1,160.57	09/11/20	1,150.99	...	9.58	10 of 11 - Sale <sup>25</sup>
	5.000	1,154.39	09/11/20	1,143.44	...	10.95	11 of 11 - Sale <sup>25</sup>
09/11/20	35.000	8,107.11	Various	8,056.20	...	50.91	Total of 11 transactions
5 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	2.000	457.87	09/11/20	466.31	8.44 W	0.00	1 of 5 - Sale <sup>25</sup>
	3.000	686.80	09/11/20	699.48	12.68 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	1,144.67	09/11/20	1,150.49	5.82 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	461.76	09/11/20	463.49	1.73 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.000	692.64	09/11/20	695.26	2.62 W	0.00	5 of 5 - Sale <sup>25</sup>
09/11/20	15.000	3,443.74	Various	3,475.03	31.29 W	0.00	Total of 5 transactions
09/14/20	5.000	1,170.68	09/11/20	1,160.10	...	10.58	Sale <sup>25</sup>
09/15/20	5.000	1,242.47	09/11/20	1,160.10	...	82.37	Sale <sup>25</sup>
09/15/20	2.000	513.98	09/15/20	519.00	5.02 W	0.00	Sale <sup>25</sup>
09/16/20	1.000	250.84	09/15/20	261.83	10.99 W	0.00	Sale <sup>25</sup>
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	255.68	09/29/20	267.19	...	-11.51	1 of 3 - Sale <sup>25</sup>
	1.000	255.68	09/29/20	258.71	...	-3.03	2 of 3 - Sale <sup>25</sup>
	3.000	767.03	09/29/20	768.60	...	-1.57	3 of 3 - Sale <sup>25</sup>
09/29/20	5.000	1,278.39	Various	1,294.50	...	-16.11	Total of 3 transactions
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	2.000	583.89	11/23/20	565.88	...	18.01	1 of 2 - Sale <sup>25</sup>
	8.000	2,335.54	11/23/20	2,286.24	...	49.30	2 of 2 - Sale <sup>25</sup>
11/24/20	10.000	2,919.43	Various	2,852.12	...	67.31	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol: (cont'd)							
<i>3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,440.56	11/24/20	1,465.53	24.97 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	1,436.22	11/25/20	1,464.57	28.35 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	1,436.21	11/25/20	1,439.60	3.39 W	0.00	3 of 3 - Sale <sup>25</sup>
11/25/20	15.000	4,312.99	Various	4,369.70	56.71 W	0.00	Total of 3 transactions
<i>2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,510.26	12/08/20	1,507.64	...	2.62	1 of 2 - Sale <sup>25</sup>
	10.000	3,020.53	12/08/20	3,008.50	...	12.03	2 of 2 - Sale <sup>25</sup>
12/08/20	15.000	4,530.79	Various	4,516.14	...	14.65	Total of 2 transactions
12/08/20	5.000	1,510.27	12/08/20	1,532.60	22.33 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,437.96	12/16/20	1,466.18	28.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	1,437.97	12/16/20	1,443.85	5.88 W	0.00	2 of 2 - Sale <sup>25</sup>
12/16/20	10.000	2,875.93	Various	2,910.03	34.10 W	0.00	Total of 2 transactions
<i>5 transactions for 12/31/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	515.90	12/30/20	531.49	15.59 W	0.00	1 of 5 - Sale <sup>25</sup>
	3.000	773.74	12/30/20	797.23	23.49 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	1,289.57	12/30/20	1,306.38	16.81 W	0.00	3 of 5 - Sale <sup>25</sup>
	7.000	1,805.40	12/30/20	1,820.70	15.30 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.000	773.83	12/30/20	780.30	6.47 W	0.00	5 of 5 - Sale <sup>25</sup>
12/31/20	20.000	5,158.44	Various	5,236.10	77.66 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>91,249.45</b>		<b>91,502.16</b>	<b>542.66 W</b>	<b>289.95</b>	
FIREEYE, INC. COMMON STOCK / CUSIP: 31816Q101 / Symbol:							
10/16/20	4.000	56.24	10/15/20	56.04	...	0.20	Sale <sup>25</sup>
FIRST HORIZON CORPORATION / CUSIP: 320517105 / Symbol:							
<i>2 transactions for 01/21/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	259.57	01/10/20	244.02	...	15.55	1 of 2 - Sale <sup>25</sup>
	20.000	346.09	01/13/20	322.30	...	23.79	2 of 2 - Sale <sup>25</sup>
01/21/20	35.000	605.66	Various	566.32	...	39.34	Total of 2 transactions
11/09/20	30.000	369.15	11/09/20	368.67	...	0.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>974.81</b>		<b>934.99</b>	<b>...</b>	<b>39.82</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FIRST MAJESTIC SILVER CORP / CUSIP: 32076V103 / Symbol:							
01/21/20	50.000	504.53	01/17/20	531.50	...	-26.97	Sale <sup>25</sup>
11/12/20	10.000	109.40	11/12/20	109.38	...	0.02	Sale <sup>25</sup>
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	65.000	678.00	11/12/20	710.78	...	-32.78	1 of 2 - Sale <sup>25</sup>
	35.000	365.07	11/12/20	382.77	...	-17.70	2 of 2 - Sale <sup>25</sup>
11/18/20	100.000	1,043.07	Various	1,093.55	...	-50.48	Total of 2 transactions
<b>Security total:</b>		<b>1,657.00</b>		<b>1,734.43</b>	...	<b>-77.43</b>	
FIRST SOLAR, INC. COMMON STOCK / CUSIP: 336433107 / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	27.000	2,098.66	08/25/20	2,103.84	...	-5.18	1 of 2 - Sale <sup>25</sup>
	23.000	1,787.75	08/25/20	1,792.16	...	-4.41	2 of 2 - Sale <sup>25</sup>
08/25/20	50.000	3,886.41	Various	3,896.00	...	-9.59	Total of 2 transactions
5 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	10.000	837.49	11/11/20	848.30	...	-10.81	1 of 5 - Sale <sup>25</sup>
	10.000	837.50	11/11/20	847.94	...	-10.44	2 of 5 - Sale <sup>25</sup>
	10.000	837.49	11/11/20	846.81	...	-9.32	3 of 5 - Sale <sup>25</sup>
	10.000	837.49	11/11/20	846.67	...	-9.18	4 of 5 - Sale <sup>25</sup>
	2.000	167.50	11/11/20	169.57	...	-2.07	5 of 5 - Sale <sup>25</sup>
11/11/20	42.000	3,517.47	Various	3,559.29	...	-41.82	Total of 5 transactions
5 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	18.000	1,507.49	11/11/20	1,526.17	10.38 W	-8.30	1 of 5 - Sale <sup>25</sup>
	20.000	1,668.86	11/11/20	1,687.40	18.54 W	0.00	2 of 5 - Sale <sup>25</sup>
	20.000	1,668.86	11/11/20	1,685.00	16.14 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	834.43	11/11/20	842.14	7.71 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	834.42	11/11/20	841.00	6.58 W	0.00	5 of 5 - Sale <sup>25</sup>
11/11/20	78.000	6,514.06	Various	6,581.71	59.35 W	-8.30	Total of 5 transactions
11/13/20	10.000	821.18	11/13/20	832.28	...	-11.10	Sale <sup>25</sup>
<b>Security total:</b>		<b>14,739.12</b>		<b>14,869.28</b>	<b>59.35 W</b>	<b>-70.81</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FISERV, INC. COMMON STOCK / CUSIP: 337738108 / Symbol:							
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	10.000	1,038.88	10/06/20	1,041.90	...	-3.02	1 of 3 - Sale <sup>25</sup>
	6.000	623.32	10/06/20	624.90	...	-1.58	2 of 3 - Sale <sup>25</sup>
	4.000	415.55	10/06/20	416.60	...	-1.05	3 of 3 - Sale <sup>25</sup>
10/06/20	20.000	2,077.75	Various	2,083.40	...	-5.65	Total of 3 transactions
FIRSTENERGY CORP. / CUSIP: 337932107 / Symbol:							
4 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	45.000	1,237.55	07/22/20	1,302.75	...	-65.20	1 of 4 - Sale <sup>25</sup>
	40.000	1,109.97	07/22/20	1,158.00	...	-48.03	2 of 4 - Sale <sup>25</sup>
	10.000	277.50	07/22/20	289.50	...	-12.00	3 of 4 - Sale <sup>25</sup>
	2.000	55.30	07/22/20	57.90	...	-2.60	4 of 4 - Sale <sup>25</sup>
07/22/20	97.000	2,680.32	Various	2,808.15	...	-127.83	Total of 4 transactions
07/23/20	3.000	85.26	07/22/20	86.85	...	-1.59	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,765.58</b>		<b>2,895.00</b>	...	<b>-129.42</b>	
FIVE BELOW, INC. COMMON STOCK / CUSIP: 33829M101 / Symbol:							
12/29/20	10.000	1,677.46	12/28/20	1,669.65	...	7.81	Sale <sup>25</sup>
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	100.000	619.97	03/24/20	462.00	...	157.97	1 of 2 - Sale <sup>25</sup>
	100.000	619.98	03/24/20	462.00	...	157.98	2 of 2 - Sale <sup>25</sup>
06/15/20	200.000	1,239.95	Various	924.00	...	315.95	Total of 2 transactions
08/04/20	1,000.000	6,859.72	08/04/20	6,847.20	...	12.52	Sale <sup>25</sup>
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	100.000	731.97	08/11/20	730.72	...	1.25	1 of 2 - Sale <sup>25</sup>
	100.000	731.97	08/11/20	730.72	...	1.25	2 of 2 - Sale <sup>25</sup>
08/11/20	200.000	1,463.94	Various	1,461.44	...	2.50	Total of 2 transactions
10/29/20	100.000	809.97	10/29/20	809.78	...	0.19	Sale <sup>25</sup>
11/12/20	100.000	814.25	11/09/20	823.00	8.75 W	0.00	Sale <sup>25</sup>
11/16/20	100.000	867.47	11/09/20	823.00	...	44.47	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol: (cont'd)							
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	100.000	880.99	11/16/20	890.75	9.76 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	880.99	11/16/20	882.00	1.01 W	0.00	2 of 2 - Sale <sup>25</sup>
11/16/20	200.000	1,761.98	Various	1,772.75	10.77 W	0.00	Total of 2 transactions
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	8.78	11/17/20	8.82	0.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	99.000	869.47	11/17/20	873.44	3.97 W	0.00	2 of 2 - Sale <sup>25</sup>
11/19/20	100.000	878.25	Various	882.26	4.01 W	0.00	Total of 2 transactions
11/23/20	100.000	883.97	11/19/20	877.01	...	6.96	Sale <sup>25</sup>
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	8.84	11/23/20	8.92	0.08 W	0.00	1 of 2 - Sale <sup>25</sup>
	99.000	875.13	11/23/20	883.09	7.96 W	0.00	2 of 2 - Sale <sup>25</sup>
11/23/20	100.000	883.97	Various	892.01	8.04 W	0.00	Total of 2 transactions
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	9.38	11/23/20	8.96	...	0.42	1 of 2 - Sale <sup>25</sup>
	99.000	928.58	11/23/20	887.08	...	41.50	2 of 2 - Sale <sup>25</sup>
11/24/20	100.000	937.96	Various	896.04	...	41.92	Total of 2 transactions
3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	100.000	919.96	11/25/20	906.74	...	13.22	1 of 3 - Sale <sup>25</sup>
	100.000	919.96	11/25/20	906.74	...	13.22	2 of 3 - Sale <sup>25</sup>
	100.000	919.96	11/25/20	906.74	...	13.22	3 of 3 - Sale <sup>25</sup>
12/02/20	300.000	2,759.88	Various	2,720.22	...	39.66	Total of 3 transactions
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	100.000	919.96	12/02/20	922.00	2.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	919.96	12/02/20	922.00	2.04 W	0.00	2 of 2 - Sale <sup>25</sup>
12/02/20	200.000	1,839.92	Various	1,844.00	4.08 W	0.00	Total of 2 transactions
12/04/20	300.000	2,810.89	12/02/20	2,766.00	...	44.89	Sale <sup>25</sup>
12/18/20	100.000	889.96 N	12/14/20	891.76	1.80 W	0.00	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$60.00

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol: (cont'd)							
<i>2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	889.97	12/21/20	897.76	7.79 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	889.97	12/21/20	896.80	6.83 W	0.00	2 of 2 - Sale <sup>25</sup>
12/21/20	200.000	1,779.94	Various	1,794.56	14.62 W	0.00	Total of 2 transactions
12/24/20	100.000	890.36 N	12/21/20	890.00	...	0.36	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$59.60
<i>5 transactions for 12/24/20. Total proceeds and cost reported to the IRS.</i>							
	65.000	578.74 N	12/21/20	586.16	7.42 W	0.00	1 of 5 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$38.74
	35.000	311.63 N	12/21/20	315.63	4.00 W	0.00	2 of 5 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$20.86
	100.000	890.37 N	12/21/20	898.83	8.46 W	0.00	3 of 5 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$59.60
	100.000	890.36 N	12/21/20	892.00	1.64 W	0.00	4 of 5 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$59.60
	100.000	890.37 N	12/21/20	890.70	0.33 W	0.00	5 of 5 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$59.60
12/24/20	400.000	3,561.47	Various	3,583.32	21.85 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>31,933.85</b>		<b>31,498.35</b>	<b>73.92 W</b>	<b>509.42</b>	
FORTERRA, INC. COMMON STOCK / CUSIP: 34960W106 / Symbol:							
06/03/20	10.000	96.70	06/02/20	94.80	...	1.90	Sale <sup>25</sup>
FORUM ENERGY TECHNOLOGIES, INC. / CUSIP: 34984V100 / Symbol:							
<i>6 transactions for 04/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.39	04/23/20	0.35	...	0.04	1 of 6 - Sale <sup>25</sup>
	5.000	1.95	04/23/20	1.88	...	0.07	2 of 6 - Sale <sup>25</sup>
	44.000	17.16	04/23/20	15.18	...	1.98	3 of 6 - Sale <sup>25</sup>
	100.000	39.99	04/23/20	37.66	...	2.33	4 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FORUM ENERGY TECHNOLOGIES, INC. / CUSIP: 34984V100 / Symbol: (cont'd)							
	125.000	49.99	04/23/20	47.08	...	2.91	5 of 6 - Sale <sup>25</sup>
	770.000	300.21	04/23/20	289.98	...	10.23	6 of 6 - Sale <sup>25</sup>
04/24/20	1,045.000	409.69	Various	392.13	...	17.56	Total of 6 transactions
FORUM MERGER II CORPORATION CL ASS A COMMON STOCK / CUSIP: 34986F103 / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	80.000	1,140.76	06/15/20	1,200.84	...	-60.08	1 of 2 - Sale <sup>25</sup>
	20.000	285.19	06/15/20	300.21	...	-15.02	2 of 2 - Sale <sup>25</sup>
06/15/20	100.000	1,425.95	Various	1,501.05	...	-75.10	Total of 2 transactions
06/15/20	100.000	1,425.95	06/15/20	1,465.00	39.05 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,851.90</b>		<b>2,966.05</b>	<b>39.05 W</b>	<b>-75.10</b>	
FORTUNA SILVER MINES INC. / CUSIP: 349915108 / Symbol:							
06/22/20	500.000	2,320.63	06/22/20	2,350.00	...	-29.37	Sale <sup>25</sup>
FRANCESCAS HLDGS CORP COMMON S TOCK / CUSIP: 351793203 / Symbol:							
11/30/20	10.000	30.00	11/30/20	29.45	...	0.55	Sale <sup>25</sup>
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	100.000	229.99	12/08/20	238.00	...	-8.01	1 of 2 - Sale <sup>25</sup>
	100.000	232.49	12/08/20	238.00	...	-5.51	2 of 2 - Sale <sup>25</sup>
12/08/20	200.000	462.48	Various	476.00	...	-13.52	Total of 2 transactions
	<b>Security total:</b>	<b>492.48</b>		<b>505.45</b>	...	<b>-12.97</b>	
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
03/20/20	100.000	130.99	03/19/20	138.00	...	-7.01	Sale <sup>25</sup>
8 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	100.000	309.98	06/12/20	318.00	...	-8.02	1 of 8 - Sale <sup>25</sup>
	100.000	309.98	06/12/20	317.50	...	-7.52	2 of 8 - Sale <sup>25</sup>
	100.000	309.98	06/12/20	316.00	...	-6.02	3 of 8 - Sale <sup>25</sup>
	100.000	309.98	06/12/20	314.00	...	-4.02	4 of 8 - Sale <sup>25</sup>
	100.000	309.98	06/12/20	313.00	...	-3.02	5 of 8 - Sale <sup>25</sup>
	100.000	309.98	06/12/20	312.87	...	-2.89	6 of 8 - Sale <sup>25</sup>
	100.000	309.98	06/12/20	312.00	...	-2.02	7 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	100.000	309.98	06/12/20	310.00	...	-0.02	8 of 8 - Sale <sup>25</sup>
06/12/20	800.000	2,479.84	Various	2,513.37	...	-33.53	Total of 8 transactions
11/11/20	100.000	267.99	11/11/20	271.89	3.90 W	0.00	Sale <sup>25</sup>
11/12/20	100.000	259.99	11/12/20	268.40	8.41 W	0.00	Sale <sup>25</sup>
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	100.000	336.09	11/13/20	291.22	...	44.87	1 of 2 - Sale <sup>25</sup>
	100.000	336.09	11/13/20	274.81	...	61.28	2 of 2 - Sale <sup>25</sup>
11/16/20	200.000	672.18	Various	566.03	...	106.15	Total of 2 transactions
11/19/20	100.000	531.24	11/18/20	512.00	...	19.24	Sale <sup>25</sup>
11/20/20	100.000	566.97	11/20/20	559.50	...	7.47	Sale <sup>25</sup>
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	20.000	176.00	11/24/20	199.60	23.60 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	88.00	11/24/20	95.35	7.35 W	0.00	2 of 2 - Sale <sup>25</sup>
11/24/20	30.000	264.00	Various	294.95	30.95 W	0.00	Total of 2 transactions
11/25/20	16.000	136.80	11/25/20	133.08	...	3.72	Sale <sup>25</sup>
7 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	4.000	34.20	11/24/20	36.04	1.84 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	8.55	11/24/20	9.01	0.46 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	8.55	11/24/20	9.01	0.46 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	8.55	11/24/20	9.01	0.46 W	0.00	4 of 7 - Sale <sup>25</sup>
	13.000	111.15	11/24/20	117.13	5.98 W	0.00	5 of 7 - Sale <sup>25</sup>
	4.000	34.20	11/25/20	35.11	0.91 W	0.00	6 of 7 - Sale <sup>25</sup>
	10.000	85.50	11/25/20	90.53	5.03 W	0.00	7 of 7 - Sale <sup>25</sup>
11/25/20	34.000	290.70	Various	305.84	15.14 W	0.00	Total of 7 transactions
7 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	13.000	99.32	12/04/20	106.21	...	-6.89	1 of 7 - Sale <sup>25</sup>
	10.000	76.40	12/04/20	82.13	...	-5.73	2 of 7 - Sale <sup>25</sup>
	70.000	534.77	12/04/20	539.70	...	-4.93	3 of 7 - Sale <sup>25</sup>
	4.000	30.56	12/04/20	31.75	...	-1.19	4 of 7 - Sale <sup>25</sup>
	1.000	7.64	12/04/20	8.17	...	-0.53	5 of 7 - Sale <sup>25</sup>
	1.000	7.64	12/04/20	8.17	...	-0.53	6 of 7 - Sale <sup>25</sup>
	1.000	7.64	12/04/20	8.17	...	-0.53	7 of 7 - Sale <sup>25</sup>
12/04/20	100.000	763.97	Various	784.30	...	-20.33	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
<b>Security total:</b>		<b>6,364.67</b>		<b>6,347.36</b>	<b>58.40 W</b>	<b>75.71</b>	
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
12/19/20	100.000	2,939.91 N	11/30/20	2,763.00	...	176.91	Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$439.98
FULL HOUSE RESORTS, INC. COMMO N STOCK / CUSIP: 359678109 / Symbol:							
4 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	95.000	170.99	06/05/20	205.67	...	-34.68	1 of 4 - Sale <sup>25</sup>
	100.000	179.99	06/05/20	202.50	...	-22.51	2 of 4 - Sale <sup>25</sup>
	5.000	9.00	06/05/20	11.83	...	-2.83	3 of 4 - Sale <sup>25</sup>
	5.000	9.00	06/05/20	10.00	...	-1.00	4 of 4 - Sale <sup>25</sup>
06/12/20	205.000	368.98	Various	430.00	...	-61.02	Total of 4 transactions
06/12/20	5.000	9.00	06/05/20	10.83	1.83 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>377.98</b>		<b>440.83</b>	<b>1.83 W</b>	<b>-61.02</b>	
FUTU HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 36118L106 / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	50.000	1,661.46	08/25/20	1,676.50	...	-15.04	1 of 2 - Sale <sup>25</sup>
	50.000	1,671.96	08/25/20	1,665.00	...	6.96	2 of 2 - Sale <sup>25</sup>
08/25/20	100.000	3,333.42	Various	3,341.50	...	-8.08	Total of 2 transactions
11/19/20	5.000	229.70	11/19/20	223.15	...	6.55	Sale <sup>25</sup>
12/14/20	100.000	4,354.89	12/14/20	4,357.00	2.11 W	0.00	Sale <sup>25</sup>
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	40.000	1,699.15	12/14/20	1,746.85	...	-47.70	1 of 2 - Sale <sup>25</sup>
	30.000	1,274.37	12/14/20	1,310.13	...	-35.76	2 of 2 - Sale <sup>25</sup>
12/30/20	70.000	2,973.52	Various	3,056.98	...	-83.46	Total of 2 transactions
12/30/20	30.000	1,274.37	12/14/20	1,311.03	12.22 W	-24.44	Sale <sup>25</sup>
<b>Security total:</b>		<b>12,165.90</b>		<b>12,289.66</b>	<b>14.33 W</b>	<b>-109.43</b>	
ARTHUR J. GALLAGHER & CO. / CUSIP: 363576109 / Symbol:							
07/17/20	3.000	311.54	07/17/20	310.86	...	0.68	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	12.00	11/19/20	11.75	...	0.25	1 of 2 - Sale <sup>25</sup>
	20.000	240.00	11/19/20	236.20	...	3.80	2 of 2 - Sale <sup>25</sup>
11/19/20	21.000	252.00	Various	247.95	...	4.05	Total of 2 transactions
5 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	20.000	249.80	11/19/20	251.00	1.20 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	124.21	11/19/20	125.00	0.79 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	123.90	11/19/20	125.00	1.10 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	123.90	11/19/20	127.34	3.44 W	0.00	4 of 5 - Sale <sup>25</sup>
	80.000	991.16	11/19/20	1,012.40	21.24 W	0.00	5 of 5 - Sale <sup>25</sup>
11/19/20	130.000	1,612.97	Various	1,640.74	27.77 W	0.00	Total of 5 transactions
4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	3.000	39.90	11/19/20	37.76	...	2.14	1 of 4 - Sale <sup>25</sup>
	10.000	132.80	11/19/20	128.21	...	4.59	2 of 4 - Sale <sup>25</sup>
	7.000	92.96	11/19/20	88.12	...	4.84	3 of 4 - Sale <sup>25</sup>
	80.000	1,062.36	11/19/20	1,019.44	...	42.92	4 of 4 - Sale <sup>25</sup>
11/23/20	100.000	1,328.02	Various	1,273.53	...	54.49	Total of 4 transactions
11/25/20	100.000	1,511.95	11/25/20	1,511.95	...	0.00	Sale <sup>25</sup>
11/25/20	100.000	1,475.95	11/25/20	1,492.50	16.55 W	0.00	Sale <sup>25</sup>
11/27/20	50.000	820.48	11/27/20	827.19	6.71 W	0.00	Sale <sup>25</sup>
2 transactions for 12/19/20. Total proceeds and cost reported to the IRS.							
	50.000	774.97 N	12/18/20	750.77	...	24.20	1 of 2 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$24.99
	50.000	774.96 N	12/18/20	749.21	...	25.75	2 of 2 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$24.99
12/19/20	100.000	1,549.93	Various	1,499.98	...	49.95	Total of 2 transactions
<b>Security total:</b>		<b>8,551.30</b>		<b>8,493.84</b>	<b>51.03 W</b>	<b>108.49</b>	
GENERAC HOLDINGS INC / CUSIP: 368736104 / Symbol:							
12/22/20	10.000	2,304.44	12/21/20	2,280.50	...	23.94	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENERAL DYNAMICS CORPORATION / CUSIP: 369550108 / Symbol:							
01/14/20	3.000	539.14	01/08/20	540.45	1.31 W	0.00	Sale <sup>25</sup>
	2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.						
	3.000	561.74	02/07/20	565.88	...	-4.14	1 of 2 - Sale <sup>25</sup>
	1.000	187.24	02/07/20	188.19	...	-0.95	2 of 2 - Sale <sup>25</sup>
02/10/20	4.000	748.98	Various	754.07	...	-5.09	Total of 2 transactions
08/24/20	10.000	1,526.26	08/24/20	1,527.50	...	-1.24	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,814.38</b>		<b>2,822.02</b>	<b>1.31 W</b>	<b>-6.33</b>	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
	3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	500.000	3,630.36	06/18/20	3,643.70	...	-13.34	1 of 3 - Sale <sup>25</sup>
	100.000	726.07	06/18/20	730.67	...	-4.60	2 of 3 - Sale <sup>25</sup>
	111.000	805.94	06/18/20	808.90	...	-2.96	3 of 3 - Sale <sup>25</sup>
06/18/20	711.000	5,162.37	Various	5,183.27	...	-20.90	Total of 3 transactions
06/18/20	389.000	2,824.41	06/18/20	2,834.80	5.34 W	-5.05	Sale <sup>25</sup>
07/14/20	100.000	679.97	07/14/20	681.41	...	-1.44	Sale <sup>25</sup>
	3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	50.000	352.98	09/17/20	352.00	...	0.98	1 of 3 - Sale <sup>25</sup>
	50.000	352.99	09/17/20	351.87	...	1.12	2 of 3 - Sale <sup>25</sup>
	100.000	705.97	09/17/20	703.71	...	2.26	3 of 3 - Sale <sup>25</sup>
09/17/20	200.000	1,411.94	Various	1,407.58	...	4.36	Total of 3 transactions
	2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	50.000	351.24	09/17/20	351.25	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	351.23	09/17/20	351.38	0.15 W	0.00	2 of 2 - Sale <sup>25</sup>
09/17/20	100.000	702.47	Various	702.63	0.16 W	0.00	Total of 2 transactions
	3 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	60.000	590.98 N	11/18/20	592.63	1.65 W	0.00	1 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$39.00
	10.000	98.50 N	11/18/20	98.77	0.27 W	0.00	2 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$6.50

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol: (cont'd)							
	30.000	295.48 N	11/18/20	296.70	1.22 W	0.00	3 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$19.50
11/20/20	100.000	984.96	Various	988.10	3.14 W	0.00	Total of 3 transactions
	4 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	10.000	103.72	12/02/20	102.94	...	0.78	1 of 4 - Sale <sup>25</sup>
	30.000	311.17	12/02/20	309.24	...	1.93	2 of 4 - Sale <sup>25</sup>
	60.000	622.33	12/02/20	617.69	...	4.64	3 of 4 - Sale <sup>25</sup>
	400.000	4,148.90	12/02/20	4,106.97	...	41.93	4 of 4 - Sale <sup>25</sup>
12/02/20	500.000	5,186.12	Various	5,136.84	...	49.28	Total of 4 transactions
12/12/20	500.000	5,424.80 N	12/02/20	5,183.70	...	241.10	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$575.00
	<b>Security total:</b>	<b>22,377.04</b>		<b>22,118.33</b>	<b>8.64 W</b>	<b>267.35</b>	
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
	3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	100.000	2,749.92	08/10/20	2,748.99	...	0.93	1 of 3 - Sale <sup>25</sup>
	40.000	1,110.77	08/10/20	1,103.60	...	7.17	2 of 3 - Sale <sup>25</sup>
	60.000	1,672.75	08/10/20	1,655.39	...	17.36	3 of 3 - Sale <sup>25</sup>
08/10/20	200.000	5,533.44	Various	5,507.98	...	25.46	Total of 3 transactions
	2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	2.000	55.54	08/10/20	55.88	0.34 W	0.00	1 of 2 - Sale <sup>25</sup>
	58.000	1,610.62	08/10/20	1,620.52	9.90 W	0.00	2 of 2 - Sale <sup>25</sup>
08/10/20	60.000	1,666.16	Various	1,676.40	10.24 W	0.00	Total of 2 transactions
	7 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	45.000	1,302.26	08/11/20	1,319.34	...	-17.08	1 of 7 - Sale <sup>25</sup>
	5.000	144.70	08/11/20	146.49	...	-1.79	2 of 7 - Sale <sup>25</sup>
	2.000	58.22	08/11/20	58.16	...	0.06	3 of 7 - Sale <sup>25</sup>
	10.000	291.09	08/11/20	290.71	...	0.38	4 of 7 - Sale <sup>25</sup>
	48.000	1,397.24	08/11/20	1,395.78	...	1.46	5 of 7 - Sale <sup>25</sup>
	50.000	1,446.96	08/11/20	1,445.41	...	1.55	6 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol: (cont'd)							
08/11/20	40.000	1,164.37	08/11/20	1,156.00	...	8.37	7 of 7 - Sale <sup>25</sup>
08/11/20	200.000	5,804.84	Various	5,811.89	...	-7.05	Total of 7 transactions
<i>2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	144.35	08/11/20	146.30	1.95 W	0.00	1 of 2 - Sale <sup>25</sup>
	45.000	1,298.22	08/11/20	1,316.70	18.48 W	0.00	2 of 2 - Sale <sup>25</sup>
08/11/20	50.000	1,442.57	Various	1,463.00	20.43 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>14,447.01</b>		<b>14,459.27</b>	<b>30.67 W</b>	<b>18.41</b>	
GENETIC TECHNOLOGIES LTD SPON SORED ADR / CUSIP: 37185R307 / Symbol:							
07/15/20	20.000	188.30	07/15/20	183.00	...	5.30	Sale <sup>25</sup>
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
<i>2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	202.40	06/03/20	151.00	...	51.40	1 of 2 - Sale <sup>25</sup>
	25.000	253.00	06/03/20	191.25	...	61.75	2 of 2 - Sale <sup>25</sup>
06/04/20	45.000	455.40	Various	342.25	...	113.15	Total of 2 transactions
<i>29 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>							
	89.000	251.86	06/23/20	277.77	...	-25.91	1 of 29 - Sale <sup>25</sup>
	153.000	433.73	06/23/20	459.09	...	-25.36	2 of 29 - Sale <sup>25</sup>
	100.000	282.98	06/23/20	307.08	...	-24.10	3 of 29 - Sale <sup>25</sup>
	70.000	198.44	06/23/20	218.47	...	-20.03	4 of 29 - Sale <sup>25</sup>
	70.000	198.08	06/23/20	214.95	...	-16.87	5 of 29 - Sale <sup>25</sup>
	50.000	141.49	06/23/20	153.54	...	-12.05	6 of 29 - Sale <sup>25</sup>
	50.000	141.49	06/23/20	153.53	...	-12.04	7 of 29 - Sale <sup>25</sup>
	30.000	84.89	06/23/20	93.93	...	-9.04	8 of 29 - Sale <sup>25</sup>
	30.000	85.04	06/23/20	93.64	...	-8.60	9 of 29 - Sale <sup>25</sup>
	30.000	84.89	06/23/20	92.13	...	-7.24	10 of 29 - Sale <sup>25</sup>
	30.000	84.89	06/23/20	92.13	...	-7.24	11 of 29 - Sale <sup>25</sup>
	30.000	84.89	06/23/20	92.12	...	-7.23	12 of 29 - Sale <sup>25</sup>
	20.000	56.60	06/23/20	62.62	...	-6.02	13 of 29 - Sale <sup>25</sup>
	35.000	99.22	06/23/20	105.03	...	-5.81	14 of 29 - Sale <sup>25</sup>
	34.000	96.38	06/23/20	102.01	...	-5.63	15 of 29 - Sale <sup>25</sup>
	20.000	56.60	06/23/20	61.41	...	-4.81	16 of 29 - Sale <sup>25</sup>
	20.000	56.60	06/23/20	61.41	...	-4.81	17 of 29 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	20.000	56.60	06/23/20	61.41	...	-4.81	18 of 29 - Sale <sup>25</sup>
	28.000	79.37	06/23/20	84.02	...	-4.65	19 of 29 - Sale <sup>25</sup>
	20.000	56.70	06/23/20	61.21	...	-4.51	20 of 29 - Sale <sup>25</sup>
	19.000	53.86	06/23/20	58.15	...	-4.29	21 of 29 - Sale <sup>25</sup>
	15.000	42.45	06/23/20	46.06	...	-3.61	22 of 29 - Sale <sup>25</sup>
	10.000	28.30	06/23/20	31.21	...	-2.91	23 of 29 - Sale <sup>25</sup>
	10.000	28.35	06/23/20	30.60	...	-2.25	24 of 29 - Sale <sup>25</sup>
	9.000	25.47	06/23/20	27.63	...	-2.16	25 of 29 - Sale <sup>25</sup>
	5.000	14.15	06/23/20	15.35	...	-1.20	26 of 29 - Sale <sup>25</sup>
	1.000	2.83	06/23/20	3.12	...	-0.29	27 of 29 - Sale <sup>25</sup>
	1.000	2.83	06/23/20	3.07	...	-0.24	28 of 29 - Sale <sup>25</sup>
	1.000	2.83	06/23/20	3.06	...	-0.23	29 of 29 - Sale <sup>25</sup>
06/23/20	1,000.000	2,831.81	Various	3,065.75	...	-233.94	Total of 29 transactions
	50 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	250.000	724.95	06/23/20	740.00	15.05 W	0.00	1 of 50 - Sale <sup>25</sup>
	450.000	1,304.92	06/23/20	1,332.00	27.08 W	0.00	2 of 50 - Sale <sup>25</sup>
	250.000	724.95	06/23/20	755.05	30.10 W	0.00	3 of 50 - Sale <sup>25</sup>
	50.000	144.99	06/23/20	148.00	3.01 W	0.00	4 of 50 - Sale <sup>25</sup>
	50.000	143.99	06/23/20	149.51	5.52 W	0.00	5 of 50 - Sale <sup>25</sup>
	250.000	719.95	06/23/20	762.60	42.65 W	0.00	6 of 50 - Sale <sup>25</sup>
	450.000	1,295.91	06/23/20	1,345.58	49.67 W	0.00	7 of 50 - Sale <sup>25</sup>
	250.000	719.96	06/23/20	732.50	12.54 W	0.00	8 of 50 - Sale <sup>25</sup>
	5.000	13.90	06/23/20	14.85	0.95 W	0.00	9 of 50 - Sale <sup>25</sup>
	45.000	125.10	06/23/20	133.67	8.57 W	0.00	10 of 50 - Sale <sup>25</sup>
	300.000	833.94	06/23/20	891.11	57.17 W	0.00	11 of 50 - Sale <sup>25</sup>
	20.000	55.60	06/23/20	59.41	3.81 W	0.00	12 of 50 - Sale <sup>25</sup>
	50.000	138.99	06/23/20	151.53	12.54 W	0.00	13 of 50 - Sale <sup>25</sup>
	80.000	222.38	06/23/20	237.63	15.25 W	0.00	14 of 50 - Sale <sup>25</sup>
	1.000	2.78	06/23/20	3.03	0.25 W	0.00	15 of 50 - Sale <sup>25</sup>
	169.000	469.79	06/23/20	512.17	42.38 W	0.00	16 of 50 - Sale <sup>25</sup>
	1.000	2.78	06/23/20	2.97	0.19 W	0.00	17 of 50 - Sale <sup>25</sup>
	30.000	83.39	06/23/20	90.92	7.53 W	0.00	18 of 50 - Sale <sup>25</sup>
	10.000	27.80	06/23/20	29.70	1.90 W	0.00	19 of 50 - Sale <sup>25</sup>
	20.000	55.60	06/23/20	59.41	3.81 W	0.00	20 of 50 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	19.000	52.82	06/23/20	56.44	3.62 W	0.00	21 of 50 - Sale <sup>25</sup>
	181.000	503.14	06/23/20	526.74	23.60 W	0.00	22 of 50 - Sale <sup>25</sup>
	34.000	94.51	06/23/20	98.94	4.43 W	0.00	23 of 50 - Sale <sup>25</sup>
	35.000	97.29	06/23/20	101.86	4.57 W	0.00	24 of 50 - Sale <sup>25</sup>
	5.000	13.90	06/23/20	15.40	1.50 W	0.00	25 of 50 - Sale <sup>25</sup>
	20.000	55.60	06/23/20	61.61	6.01 W	0.00	26 of 50 - Sale <sup>25</sup>
	15.000	41.70	06/23/20	46.21	4.51 W	0.00	27 of 50 - Sale <sup>25</sup>
	1.000	2.78	06/23/20	3.08	0.30 W	0.00	28 of 50 - Sale <sup>25</sup>
	30.000	83.39	06/23/20	92.42	9.03 W	0.00	29 of 50 - Sale <sup>25</sup>
	9.000	25.02	06/23/20	27.72	2.70 W	0.00	30 of 50 - Sale <sup>25</sup>
	20.000	55.60	06/23/20	61.61	6.01 W	0.00	31 of 50 - Sale <sup>25</sup>
	100.000	277.98	06/23/20	308.06	30.08 W	0.00	32 of 50 - Sale <sup>25</sup>
	70.000	194.59	06/23/20	215.64	21.05 W	0.00	33 of 50 - Sale <sup>25</sup>
	20.000	55.60	06/23/20	61.61	6.01 W	0.00	34 of 50 - Sale <sup>25</sup>
	20.000	55.60	06/23/20	62.82	7.22 W	0.00	35 of 50 - Sale <sup>25</sup>
	80.000	222.39	06/23/20	246.44	24.05 W	0.00	36 of 50 - Sale <sup>25</sup>
	80.000	222.38	06/23/20	246.45	24.07 W	0.00	37 of 50 - Sale <sup>25</sup>
	30.000	83.39	06/23/20	94.22	10.83 W	0.00	38 of 50 - Sale <sup>25</sup>
	1.000	2.79	06/23/20	3.14	0.35 W	0.00	39 of 50 - Sale <sup>25</sup>
	89.000	248.29	06/23/20	279.53	31.24 W	0.00	40 of 50 - Sale <sup>25</sup>
	1.000	2.79	06/23/20	3.08	0.29 W	0.00	41 of 50 - Sale <sup>25</sup>
	10.000	27.90	06/23/20	30.80	2.90 W	0.00	42 of 50 - Sale <sup>25</sup>
	19.000	53.01	06/23/20	58.53	5.52 W	0.00	43 of 50 - Sale <sup>25</sup>
	20.000	55.80	06/23/20	61.61	5.81 W	0.00	44 of 50 - Sale <sup>25</sup>
	28.000	78.11	06/23/20	84.57	6.46 W	0.00	45 of 50 - Sale <sup>25</sup>
	30.000	83.69	06/23/20	94.23	10.54 W	0.00	46 of 50 - Sale <sup>25</sup>
	80.000	223.18	06/23/20	251.26	28.08 W	0.00	47 of 50 - Sale <sup>25</sup>
	34.000	94.86	06/23/20	102.69	7.83 W	0.00	48 of 50 - Sale <sup>25</sup>
	35.000	97.64	06/23/20	105.72	8.08 W	0.00	49 of 50 - Sale <sup>25</sup>
	153.000	426.84	06/23/20	462.12	35.28 W	0.00	50 of 50 - Sale <sup>25</sup>
06/23/20	4,000.000	11,344.25	Various	12,016.19	671.94 W	0.00	Total of 50 transactions
	<b>Security total:</b>	<b>14,631.46</b>		<b>15,424.19</b>	<b>671.94 W</b>	<b>-120.79</b>	
GENMARK DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 372309104 / Symbol:							
04/22/20	50.000	437.50	04/20/20	413.50	...	24.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
2 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	1.000	64.18	02/04/20	67.75	3.57 W	0.00	1 of 2 - Sale <sup>25</sup>
02/05/20	1.000	64.18	02/04/20	67.80	3.62 W	0.00	2 of 2 - Sale <sup>25</sup>
	2.000	128.36	Various	135.55	7.19 W	0.00	Total of 2 transactions
4 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	1.000	66.85	02/04/20	70.92	4.07 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	66.85	02/04/20	71.00	4.15 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	200.54	02/04/20	202.13	1.59 W	0.00	3 of 4 - Sale <sup>25</sup>
02/19/20	3.000	200.54	02/06/20	203.34	2.80 W	0.00	4 of 4 - Sale <sup>25</sup>
	8.000	534.78	Various	547.39	12.61 W	0.00	Total of 4 transactions
4 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	1.000	69.60	03/10/20	73.43	3.83 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	69.60	03/10/20	73.51	3.91 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	208.79	03/10/20	209.66	0.87 W	0.00	3 of 4 - Sale <sup>25</sup>
03/13/20	3.000	208.79	03/10/20	210.88	2.09 W	0.00	4 of 4 - Sale <sup>25</sup>
	8.000	556.78	Various	567.48	10.70 W	0.00	Total of 4 transactions
6 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	1.000	79.44	03/17/20	79.81	...	-0.37	1 of 6 - Sale <sup>25</sup>
	1.000	79.44	03/17/20	79.73	...	-0.29	2 of 6 - Sale <sup>25</sup>
	2.000	158.89	03/17/20	151.80	...	7.09	3 of 6 - Sale <sup>25</sup>
	3.000	238.33	03/17/20	229.79	...	8.54	4 of 6 - Sale <sup>25</sup>
	3.000	238.33	03/17/20	228.57	...	9.76	5 of 6 - Sale <sup>25</sup>
	10.000	794.45	03/17/20	757.00	...	37.45	6 of 6 - Sale <sup>25</sup>
03/19/20	20.000	1,588.88	Various	1,526.70	...	62.18	Total of 6 transactions
08/06/20	10.000	687.88	08/06/20	690.08	2.20 W	0.00	Sale <sup>25</sup>
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	10.000	692.98	08/18/20	692.20	...	0.78	1 of 2 - Sale <sup>25</sup>
	10.000	692.98	08/18/20	690.00	...	2.98	2 of 2 - Sale <sup>25</sup>
08/18/20	20.000	1,385.96	Various	1,382.20	...	3.76	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol: (cont'd)							
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	5.000	313.49	10/22/20	317.50	...	-4.01	1 of 2 - Sale <sup>25</sup>
	5.000	313.49	10/22/20	314.85	...	-1.36	2 of 2 - Sale <sup>25</sup>
10/22/20	10.000	626.98	Various	632.35	...	-5.37	Total of 2 transactions
11/24/20	20.000	1,212.47	11/24/20	1,219.30	...	-6.83	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,722.09</b>		<b>6,701.05</b>	<b>32.70 W</b>	<b>53.74</b>	
GLOBAL X MSCI CHINA CONSUMER D ISCRETIONARY ETF / CUSIP: 37950E408 / Symbol:							
08/21/20	50.000	1,283.97	08/11/20	1,263.00	...	20.97	Sale <sup>25</sup>
GLOBAL X FUNDS GLOBAL X CLOUD COMPUTING ETF / CUSIP: 37954Y442 / Symbol:							
12/18/20	0.035	1.00	11/25/20	0.90	...	0.10	Sale <sup>25</sup>
GODADDY INC / CUSIP: 380237107 / Symbol:							
08/10/20	30.000	2,330.94	08/10/20	2,325.90	...	5.04	Sale <sup>25</sup>
GOLDMAN SACHS GROUP INC. / CUSIP: 38141G104 / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	3.000	624.81	08/10/20	630.90	6.09 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	416.54	08/10/20	420.60	4.06 W	0.00	2 of 2 - Sale <sup>25</sup>
08/10/20	5.000	1,041.35	Various	1,051.50	10.15 W	0.00	Total of 2 transactions
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	5.000	1,028.12	08/19/20	1,027.70	...	0.42	1 of 3 - Sale <sup>25</sup>
	5.000	1,027.48	08/19/20	1,019.86	...	7.62	2 of 3 - Sale <sup>25</sup>
	20.000	4,109.90	08/19/20	4,086.40	...	23.50	3 of 3 - Sale <sup>25</sup>
08/19/20	30.000	6,165.50	Various	6,133.96	...	31.54	Total of 3 transactions
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	2.000	410.99	08/19/20	412.00	1.01 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	616.49	08/19/20	618.01	1.52 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	411.25	08/19/20	412.09	0.84 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	616.88	08/19/20	618.14	1.26 W	0.00	4 of 4 - Sale <sup>25</sup>
08/19/20	10.000	2,055.61	Various	2,060.24	4.63 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOLDMAN SACHS GROUP INC. / CUSIP: 38141G104 / Symbol: (cont'd)							
3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	2.000	403.63	08/21/20	404.89	1.26 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	605.45	08/21/20	607.34	1.89 W	0.00	2 of 3 - Sale <sup>25</sup>
	15.000	3,027.23	08/21/20	3,030.37	3.14 W	0.00	3 of 3 - Sale <sup>25</sup>
08/21/20	20.000	4,036.31	Various	4,042.60	6.29 W	0.00	Total of 3 transactions
4 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	2.000	418.99	08/25/20	421.88	2.89 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	628.48	08/25/20	632.82	4.34 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	1,047.48	08/25/20	1,052.60	5.12 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	2,085.00	08/25/20	2,105.19	20.19 W	0.00	4 of 4 - Sale <sup>25</sup>
08/25/20	20.000	4,179.95	Various	4,212.49	32.54 W	0.00	Total of 4 transactions
6 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	5.000	1,051.22	08/27/20	1,051.17	...	0.05	1 of 6 - Sale <sup>25</sup>
	2.000	422.90	08/27/20	419.32	...	3.58	2 of 6 - Sale <sup>25</sup>
	5.000	1,055.39	08/27/20	1,051.17	...	4.22	3 of 6 - Sale <sup>25</sup>
	3.000	634.35	08/27/20	628.98	...	5.37	4 of 6 - Sale <sup>25</sup>
	5.000	1,057.25	08/27/20	1,046.20	...	11.05	5 of 6 - Sale <sup>25</sup>
	10.000	2,125.35	08/27/20	2,109.75	...	15.60	6 of 6 - Sale <sup>25</sup>
08/27/20	30.000	6,346.46	Various	6,306.59	...	39.87	Total of 6 transactions
6 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	2.000	417.31	08/27/20	418.49	1.18 W	0.00	1 of 6 - Sale <sup>25</sup>
	3.000	625.97	08/27/20	627.74	1.77 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	1,043.27	08/27/20	1,044.12	0.85 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.000	2,086.55	08/27/20	2,098.19	11.64 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	2,094.85	08/27/20	2,105.30	10.45 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	1,053.57	08/27/20	1,056.70	3.13 W	0.00	6 of 6 - Sale <sup>25</sup>
08/27/20	35.000	7,321.52	Various	7,350.54	29.02 W	0.00	Total of 6 transactions
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	5.000	1,040.88	09/02/20	1,039.23	...	1.65	1 of 3 - Sale <sup>25</sup>
	5.000	1,040.87	09/02/20	1,036.10	...	4.77	2 of 3 - Sale <sup>25</sup>
	20.000	4,169.30	09/02/20	4,161.28	...	8.02	3 of 3 - Sale <sup>25</sup>
09/02/20	30.000	6,251.05	Various	6,236.61	...	14.44	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOLDMAN SACHS GROUP INC. / CUSIP: 38141G104 / Symbol: (cont'd)							
<i>2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	2,029.75	09/09/20	2,036.99	3.62 W	-3.62	1 of 2 - Sale <sup>25</sup>
09/09/20	10.000	2,030.62	09/09/20	2,033.11	2.49 W	0.00	2 of 2 - Sale <sup>25</sup>
	20.000	4,060.37	Various	4,070.10	6.11 W	-3.62	Total of 2 transactions
<i>2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	414.08	10/08/20	412.55	...	1.53	1 of 2 - Sale <sup>25</sup>
10/08/20	3.000	621.16	10/08/20	618.82	...	2.34	2 of 2 - Sale <sup>25</sup>
	5.000	1,035.24	Various	1,031.37	...	3.87	Total of 2 transactions
11/16/20	20.000	4,437.30	11/16/20	4,431.40	...	5.90	Sale <sup>25</sup>
	<b>Security total:</b>	<b>46,930.66</b>		<b>46,927.40</b>	<b>88.74 W</b>	<b>92.00</b>	
GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
02/04/20	1.000	4.15	01/29/20	4.16	...	-0.01	Sale <sup>25</sup>
11/16/20	100.000	732.97	11/16/20	729.93	...	3.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>737.12</b>		<b>734.09</b>	...	<b>3.03</b>	
GREEN BRICK PARTNERS, INC. COM MON STOCK / CUSIP: 392709101 / Symbol:							
<i>3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.</i>							
	16.000	370.72	11/19/20	372.80	...	-2.08	1 of 3 - Sale <sup>25</sup>
	2.000	46.34	11/19/20	46.84	...	-0.50	2 of 3 - Sale <sup>25</sup>
11/19/20	2.000	46.34	11/19/20	46.82	...	-0.48	3 of 3 - Sale <sup>25</sup>
	20.000	463.40	Various	466.46	...	-3.06	Total of 3 transactions
<i>2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	46.36	11/19/20	46.58	0.22 W	0.00	1 of 2 - Sale <sup>25</sup>
11/19/20	2.000	46.36	11/19/20	46.60	0.24 W	0.00	2 of 2 - Sale <sup>25</sup>
	4.000	92.72	Various	93.18	0.46 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>556.12</b>		<b>559.64</b>	<b>0.46 W</b>	<b>-3.06</b>	
GREENPOWER MOTOR COMPANY INC. COMMON SHARES / CUSIP: 39540E302 / Symbol:							
<i>2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	130.20	11/18/20	129.70	...	0.50	1 of 2 - Sale <sup>25</sup>
11/18/20	43.000	799.77	11/18/20	788.53	...	11.24	2 of 2 - Sale <sup>25</sup>
	50.000	929.97	Various	918.23	...	11.74	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GREENPOWER MOTOR COMPANY INC. COMMON SHARES / CUSIP: 39540E302 / Symbol: (cont'd)							
11/18/20	7.000	122.50	11/18/20	123.83	1.33 W	0.00	Sale <sup>25</sup>
	2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	8.000	177.20	11/20/20	182.64	5.44 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	221.50	11/20/20	228.30	6.80 W	0.00	2 of 2 - Sale <sup>25</sup>
11/20/20	18.000	398.70	Various	410.94	12.24 W	0.00	Total of 2 transactions
	2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	2.000	58.00	11/23/20	53.40	...	4.60	1 of 2 - Sale <sup>25</sup>
	8.000	231.99	11/23/20	219.04	...	12.95	2 of 2 - Sale <sup>25</sup>
11/24/20	10.000	289.99	Various	272.44	...	17.55	Total of 2 transactions
	2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	2.000	58.00	11/23/20	58.16	0.16 W	0.00	1 of 2 - Sale <sup>25</sup>
	8.000	231.99	11/23/20	232.64	0.65 W	0.00	2 of 2 - Sale <sup>25</sup>
11/24/20	10.000	289.99	Various	290.80	0.81 W	0.00	Total of 2 transactions
	2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	27.57	11/25/20	26.13	...	1.44	1 of 2 - Sale <sup>25</sup>
	4.000	110.30	11/25/20	105.21	...	5.09	2 of 2 - Sale <sup>25</sup>
11/25/20	5.000	137.87	Various	131.34	...	6.53	Total of 2 transactions
	5 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	23.60	11/25/20	24.23	0.63 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	47.21	11/25/20	48.81	1.60 W	0.00	2 of 5 - Sale <sup>25</sup>
	7.000	165.23	11/25/20	170.85	5.62 W	0.00	3 of 5 - Sale <sup>25</sup>
	20.000	472.09	11/25/20	486.50	14.41 W	0.00	4 of 5 - Sale <sup>25</sup>
	20.000	472.09	11/25/20	497.07	24.98 W	0.00	5 of 5 - Sale <sup>25</sup>
11/25/20	50.000	1,180.22	Various	1,227.46	47.24 W	0.00	Total of 5 transactions
	5 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	20.000	370.99	12/07/20	393.16	...	-22.17	1 of 5 - Sale <sup>25</sup>
	20.000	370.99	12/07/20	382.59	...	-11.60	2 of 5 - Sale <sup>25</sup>
	3.000	55.65	12/07/20	57.64	...	-1.99	3 of 5 - Sale <sup>25</sup>
	2.000	37.10	12/07/20	38.42	...	-1.32	4 of 5 - Sale <sup>25</sup>
	55.000	1,020.21	12/07/20	1,012.49	...	7.72	5 of 5 - Sale <sup>25</sup>
12/07/20	100.000	1,854.94	Various	1,884.30	...	-29.36	Total of 5 transactions
	<b>Security total:</b>	<b>5,204.18</b>		<b>5,259.34</b>	<b>61.62 W</b>	<b>6.46</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol:							
<i>2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	1,252.96	08/07/20	1,242.50	...	10.46	1 of 2 - Sale <sup>25</sup>
	50.000	1,252.97	08/07/20	1,240.00	...	12.97	2 of 2 - Sale <sup>25</sup>
08/07/20	100.000	2,505.93	Various	2,482.50	...	23.43	Total of 2 transactions
GROWGENERATION CORP. COMMON STOCK / CUSIP: 39986L109 / Symbol:							
08/13/20	100.000	1,184.96	08/13/20	1,182.00	...	2.96	Sale <sup>25</sup>
GUARDANT HEALTH, INC. COMMON STOCK / CUSIP: 40131M109 / Symbol:							
09/21/20	5.000	516.88	09/21/20	517.98	1.10 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	548.66	09/25/20	554.05	...	-5.39	1 of 2 - Sale <sup>25</sup>
	5.000	548.66	09/25/20	552.94	...	-4.28	2 of 2 - Sale <sup>25</sup>
09/25/20	10.000	1,097.32	Various	1,106.99	...	-9.67	Total of 2 transactions
	<b>Security total:</b>	<b>1,614.20</b>		<b>1,624.97</b>	<b>1.10 W</b>	<b>-9.67</b>	
GULFPORT ENERGY CORPORATION COMMON STOCK / CUSIP: 402635304 / Symbol:							
<i>2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	519.96	04/29/20	447.98	...	71.98	1 of 2 - Sale <sup>25</sup>
	100.000	259.98	04/30/20	232.78	...	27.20	2 of 2 - Sale <sup>25</sup>
04/30/20	300.000	779.94	Various	680.76	...	99.18	Total of 2 transactions
HALLIBURTON COMPANY / CUSIP: 406216101 / Symbol:							
12/04/20	100.000	1,877.94	12/04/20	1,885.00	7.06 W	0.00	Sale <sup>25</sup>
12/09/20	100.000	1,974.94	12/09/20	2,003.81	...	-28.87	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,852.88</b>		<b>3,888.81</b>	<b>7.06 W</b>	<b>-28.87</b>	
HARMONY GOLD MINING COMPANY LIMITED / CUSIP: 413216300 / Symbol:							
<i>3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	534.97	07/07/20	504.00	...	30.97	1 of 3 - Sale <sup>25</sup>
	100.000	534.97	07/07/20	504.00	...	30.97	2 of 3 - Sale <sup>25</sup>
	100.000	534.97	07/07/20	504.00	...	30.97	3 of 3 - Sale <sup>25</sup>
07/08/20	300.000	1,604.91	Various	1,512.00	...	92.91	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HERCULES CAPITAL, INC. / CUSIP: 427096508 / Symbol:							
01/24/20	5.000	71.68	12/13/19	70.88	...	0.80	Sale <sup>25</sup>
01/24/20	9.000	129.01	01/21/20	129.77	0.76 W	0.00	Sale <sup>25</sup>
5 transactions for 02/13/20. Total proceeds and cost reported to the IRS.							
	1.000	15.25	01/21/20	14.42	...	0.83	1 of 5 - Sale <sup>25</sup>
	1.000	15.25	01/28/20	14.56	...	0.69	2 of 5 - Sale <sup>25</sup>
	4.000	61.00	01/28/20	58.26	...	2.74	3 of 5 - Sale <sup>25</sup>
	4.000	61.00	02/10/20	60.74	...	0.26	4 of 5 - Sale <sup>25</sup>
	6.000	91.50	02/10/20	90.59	...	0.91	5 of 5 - Sale <sup>25</sup>
02/13/20	16.000	244.00	Various	238.57	...	5.43	Total of 5 transactions
	<b>Security total:</b>	<b>444.69</b>		<b>439.22</b>	<b>0.76 W</b>	<b>6.23</b>	
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
4 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	1.17	06/23/20	1.16	...	0.01	1 of 4 - Sale <sup>25</sup>
	24.000	28.08	06/23/20	27.84	...	0.24	2 of 4 - Sale <sup>25</sup>
	27.000	31.59	06/23/20	31.32	...	0.27	3 of 4 - Sale <sup>25</sup>
	48.000	56.16	06/23/20	55.68	...	0.48	4 of 4 - Sale <sup>25</sup>
06/23/20	100.000	117.00	Various	116.00	...	1.00	Total of 4 transactions
HILTON WORLDWIDE HOLDINGS INC. / CUSIP: 43300A203 / Symbol:							
08/21/20	20.000	1,742.41	08/21/20	1,740.60	...	1.81	Sale <sup>25</sup>
HOLOGIC, INC. COMMON STOCK / CUSIP: 436440101 / Symbol:							
09/11/20	20.000	1,238.57	09/11/20	1,250.73	...	-12.16	Sale <sup>25</sup>
4 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	22.000	1,677.18	12/10/20	1,659.68	...	17.50	1 of 4 - Sale <sup>25</sup>
	15.000	1,143.54	12/10/20	1,125.75	...	17.79	2 of 4 - Sale <sup>25</sup>
	28.000	2,134.59	12/10/20	2,100.00	...	34.59	3 of 4 - Sale <sup>25</sup>
	35.000	2,668.24	12/10/20	2,626.75	...	41.49	4 of 4 - Sale <sup>25</sup>
12/14/20	100.000	7,623.55	Various	7,512.18	...	111.37	Total of 4 transactions
12/23/20	100.000	7,492.82	12/17/20	7,455.00	...	37.82	Sale <sup>25</sup>
	<b>Security total:</b>	<b>16,354.94</b>		<b>16,217.91</b>	...	<b>137.03</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol:							
<i>2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>							
	48.000	12,186.93	06/17/20	12,192.00	...	-5.07	1 of 2 - Sale <sup>25</sup>
	1.000	253.89	06/17/20	254.10	...	-0.21	2 of 2 - Sale <sup>25</sup>
06/17/20	49.000	12,440.82	Various	12,446.10	...	-5.28	Total of 2 transactions
06/17/20	1.000	253.90	06/17/20	254.00	0.10 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	273.46	08/07/20	273.30	...	0.16	1 of 3 - Sale <sup>25</sup>
	4.000	1,093.81	08/07/20	1,093.20	...	0.61	2 of 3 - Sale <sup>25</sup>
	5.000	1,367.21	08/07/20	1,366.50	...	0.71	3 of 3 - Sale <sup>25</sup>
08/07/20	10.000	2,734.48	Various	2,733.00	...	1.48	Total of 3 transactions
<i>2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	1,732.46	08/17/20	1,730.64	...	1.82	1 of 2 - Sale <sup>25</sup>
	14.000	4,042.41	08/17/20	4,038.16	...	4.25	2 of 2 - Sale <sup>25</sup>
08/17/20	20.000	5,774.87	Various	5,768.80	...	6.07	Total of 2 transactions
08/19/20	5.000	1,414.42	08/19/20	1,419.00	4.58 W	0.00	Sale <sup>25</sup>
<i>4 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,406.21	08/21/20	1,411.63	5.42 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	1,408.17	08/21/20	1,413.12	4.95 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	281.63	08/21/20	281.67	0.04 W	0.00	3 of 4 - Sale <sup>25</sup>
	9.000	2,534.70	08/21/20	2,535.03	0.33 W	0.00	4 of 4 - Sale <sup>25</sup>
08/21/20	20.000	5,630.71	Various	5,641.45	10.74 W	0.00	Total of 4 transactions
<i>2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,458.39	08/26/20	1,456.93	...	1.46	1 of 2 - Sale <sup>25</sup>
	5.000	1,458.39	08/26/20	1,456.75	...	1.64	2 of 2 - Sale <sup>25</sup>
08/26/20	10.000	2,916.78	Various	2,913.68	...	3.10	Total of 2 transactions
<i>7 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	288.45	08/26/20	289.04	0.59 W	0.00	1 of 7 - Sale <sup>25</sup>
	4.000	1,153.81	08/26/20	1,156.15	2.34 W	0.00	2 of 7 - Sale <sup>25</sup>
	5.000	1,442.27	08/26/20	1,449.95	7.68 W	0.00	3 of 7 - Sale <sup>25</sup>
	2.000	583.35	08/26/20	585.77	2.42 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	291.68	08/26/20	291.94	0.26 W	0.00	5 of 7 - Sale <sup>25</sup>
	3.000	875.03	08/26/20	878.66	3.63 W	0.00	6 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol: (cont'd)							
08/26/20	4.000	1,166.71	08/26/20	1,167.74	1.03 W	0.00	7 of 7 - Sale <sup>25</sup>
	20.000	5,801.30	Various	5,819.25	17.95 W	0.00	Total of 7 transactions
	5 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	2.000	573.87	09/02/20	573.76	...	0.11	1 of 5 - Sale <sup>25</sup>
	3.000	860.80	09/02/20	860.62	...	0.18	2 of 5 - Sale <sup>25</sup>
	5.000	1,434.66	09/02/20	1,433.84	...	0.82	3 of 5 - Sale <sup>25</sup>
	1.000	286.49	09/02/20	285.65	...	0.84	4 of 5 - Sale <sup>25</sup>
	4.000	1,145.97	09/02/20	1,142.59	...	3.38	5 of 5 - Sale <sup>25</sup>
09/02/20	15.000	4,301.79	Various	4,296.46	...	5.33	Total of 5 transactions
	2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	2.000	572.98	09/02/20	573.20	0.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	859.48	09/02/20	859.80	0.32 W	0.00	2 of 2 - Sale <sup>25</sup>
09/02/20	5.000	1,432.46	Various	1,433.00	0.54 W	0.00	Total of 2 transactions
09/08/20	5.000	1,344.37	09/08/20	1,345.60	1.23 W	0.00	Sale <sup>25</sup>
	3 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	1.000	275.65	09/09/20	274.04	...	1.61	1 of 3 - Sale <sup>25</sup>
	4.000	1,102.61	09/09/20	1,096.14	...	6.47	2 of 3 - Sale <sup>25</sup>
	5.000	1,378.27	09/09/20	1,368.95	...	9.32	3 of 3 - Sale <sup>25</sup>
09/09/20	10.000	2,756.53	Various	2,739.13	...	17.40	Total of 3 transactions
	2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	8.000	2,215.30	09/09/20	2,217.28	1.98 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	553.83	09/09/20	554.34	0.51 W	0.00	2 of 2 - Sale <sup>25</sup>
09/09/20	10.000	2,769.13	Various	2,771.62	2.49 W	0.00	Total of 2 transactions
	2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	2.000	553.25	09/18/20	555.75	2.50 W	0.00	1 of 2 - Sale <sup>25</sup>
	8.000	2,212.97	09/18/20	2,222.95	9.98 W	0.00	2 of 2 - Sale <sup>25</sup>
09/18/20	10.000	2,766.22	Various	2,778.70	12.48 W	0.00	Total of 2 transactions
	7 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	1.000	272.09	09/28/20	273.60	1.51 W	0.00	1 of 7 - Sale <sup>25</sup>
	4.000	1,088.37	09/28/20	1,094.39	6.02 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	273.45	09/28/20	275.20	1.75 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	273.45	09/28/20	275.46	2.01 W	0.00	4 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol: (cont'd)							
	2.000	546.91	09/28/20	550.39	3.48 W	0.00	5 of 7 - Sale <sup>25</sup>
	2.000	546.91	09/28/20	550.40	3.49 W	0.00	6 of 7 - Sale <sup>25</sup>
	4.000	1,093.81	09/28/20	1,101.82	8.01 W	0.00	7 of 7 - Sale <sup>25</sup>
09/28/20	15.000	4,094.99	Various	4,121.26	26.27 W	0.00	Total of 7 transactions
	25 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	1.000	275.84	09/30/20	276.10	0.26 W	0.00	1 of 25 - Sale <sup>25</sup>
	2.000	551.69	09/30/20	552.18	0.49 W	0.00	2 of 25 - Sale <sup>25</sup>
	2.000	551.68	09/30/20	552.19	0.51 W	0.00	3 of 25 - Sale <sup>25</sup>
	1.000	276.25	09/30/20	276.26	0.01 W	0.00	4 of 25 - Sale <sup>25</sup>
	4.000	1,104.99	09/30/20	1,105.01	0.02 W	0.00	5 of 25 - Sale <sup>25</sup>
	1.000	276.22	09/30/20	277.00	0.78 W	0.00	6 of 25 - Sale <sup>25</sup>
	1.000	276.22	09/30/20	276.75	0.53 W	0.00	7 of 25 - Sale <sup>25</sup>
	2.000	552.45	09/30/20	553.97	1.52 W	0.00	8 of 25 - Sale <sup>25</sup>
	2.000	552.45	09/30/20	553.99	1.54 W	0.00	9 of 25 - Sale <sup>25</sup>
	4.000	1,104.90	09/30/20	1,106.97	2.07 W	0.00	10 of 25 - Sale <sup>25</sup>
	1.000	276.77	09/30/20	278.53	1.76 W	0.00	11 of 25 - Sale <sup>25</sup>
	1.000	276.77	09/30/20	278.28	1.51 W	0.00	12 of 25 - Sale <sup>25</sup>
	2.000	553.55	09/30/20	557.02	3.47 W	0.00	13 of 25 - Sale <sup>25</sup>
	2.000	553.55	09/30/20	557.04	3.49 W	0.00	14 of 25 - Sale <sup>25</sup>
	4.000	1,107.09	09/30/20	1,113.07	5.98 W	0.00	15 of 25 - Sale <sup>25</sup>
	1.000	275.90	09/30/20	278.85	2.95 W	0.00	16 of 25 - Sale <sup>25</sup>
	1.000	275.90	09/30/20	278.60	2.70 W	0.00	17 of 25 - Sale <sup>25</sup>
	2.000	551.81	09/30/20	557.65	5.84 W	0.00	18 of 25 - Sale <sup>25</sup>
	2.000	551.81	09/30/20	557.67	5.86 W	0.00	19 of 25 - Sale <sup>25</sup>
	4.000	1,103.61	09/30/20	1,114.34	10.73 W	0.00	20 of 25 - Sale <sup>25</sup>
	1.000	277.45	09/30/20	278.71	1.26 W	0.00	21 of 25 - Sale <sup>25</sup>
	2.000	554.91	09/30/20	557.36	2.45 W	0.00	22 of 25 - Sale <sup>25</sup>
	2.000	554.90	09/30/20	557.38	2.48 W	0.00	23 of 25 - Sale <sup>25</sup>
	1.000	277.65	09/30/20	278.46	0.81 W	0.00	24 of 25 - Sale <sup>25</sup>
	4.000	1,110.61	09/30/20	1,113.77	3.16 W	0.00	25 of 25 - Sale <sup>25</sup>
09/30/20	50.000	13,824.97	Various	13,887.15	62.18 W	0.00	Total of 25 transactions
	10 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	281.34	10/06/20	283.24	1.90 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	281.34	10/06/20	282.79	1.45 W	0.00	2 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol: (cont'd)							
	2.000	562.69	10/06/20	566.41	3.72 W	0.00	3 of 10 - Sale <sup>25</sup>
	2.000	562.69	10/06/20	566.44	3.75 W	0.00	4 of 10 - Sale <sup>25</sup>
	4.000	1,125.37	10/06/20	1,131.08	5.71 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	276.90	10/06/20	279.89	2.99 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	276.90	10/06/20	279.44	2.54 W	0.00	7 of 10 - Sale <sup>25</sup>
	2.000	553.81	10/06/20	559.70	5.89 W	0.00	8 of 10 - Sale <sup>25</sup>
	2.000	553.81	10/06/20	559.73	5.92 W	0.00	9 of 10 - Sale <sup>25</sup>
	4.000	1,107.61	10/06/20	1,117.67	10.06 W	0.00	10 of 10 - Sale <sup>25</sup>
10/06/20	20.000	5,582.46	Various	5,626.39	43.93 W	0.00	Total of 10 transactions
	5 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	281.99	10/07/20	285.97	3.98 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	281.99	10/07/20	285.52	3.53 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	563.99	10/07/20	571.85	7.86 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	563.99	10/07/20	571.88	7.89 W	0.00	4 of 5 - Sale <sup>25</sup>
	4.000	1,127.97	10/07/20	1,141.98	14.01 W	0.00	5 of 5 - Sale <sup>25</sup>
10/07/20	10.000	2,819.93	Various	2,857.20	37.27 W	0.00	Total of 5 transactions
	10 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	282.27	10/08/20	286.52	4.25 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	282.27	10/08/20	286.07	3.80 W	0.00	2 of 10 - Sale <sup>25</sup>
	2.000	564.54	10/08/20	572.94	8.40 W	0.00	3 of 10 - Sale <sup>25</sup>
	2.000	564.54	10/08/20	572.97	8.43 W	0.00	4 of 10 - Sale <sup>25</sup>
	4.000	1,129.09	10/08/20	1,144.17	15.08 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	283.89	10/08/20	289.03	5.14 W	0.00	6 of 10 - Sale <sup>25</sup>
	2.000	567.79	10/08/20	577.97	10.18 W	0.00	7 of 10 - Sale <sup>25</sup>
	2.000	567.79	10/08/20	578.00	10.21 W	0.00	8 of 10 - Sale <sup>25</sup>
	1.000	283.89	10/08/20	288.15	4.26 W	0.00	9 of 10 - Sale <sup>25</sup>
	3.000	851.68	10/08/20	864.36	12.68 W	0.00	10 of 10 - Sale <sup>25</sup>
10/08/20	19.000	5,377.75	Various	5,460.18	82.43 W	0.00	Total of 10 transactions
	2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	3.000	859.75	10/09/20	872.96	...	-13.21	1 of 2 - Sale <sup>25</sup>
	1.000	286.58	10/09/20	291.02	...	-4.44	2 of 2 - Sale <sup>25</sup>
10/09/20	4.000	1,146.33	Various	1,163.98	...	-17.65	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol: (cont'd)							
<i>4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	286.58	10/09/20	290.53	3.95 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	286.58	10/09/20	291.90	5.32 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	573.17	10/09/20	583.70	10.53 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	573.17	10/09/20	583.73	10.56 W	0.00	4 of 4 - Sale <sup>25</sup>
10/09/20	6.000	1,719.50	Various	1,749.86	30.36 W	0.00	Total of 4 transactions
<i>4 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	576.11	10/13/20	584.74	...	-8.63	1 of 4 - Sale <sup>25</sup>
	2.000	576.11	10/13/20	584.71	...	-8.60	2 of 4 - Sale <sup>25</sup>
	1.000	288.05	10/13/20	292.41	...	-4.36	3 of 4 - Sale <sup>25</sup>
	1.000	288.05	10/13/20	291.04	...	-2.99	4 of 4 - Sale <sup>25</sup>
10/13/20	6.000	1,728.32	Various	1,752.90	...	-24.58	Total of 4 transactions
	<b>Security total:</b>	<b>88,632.03</b>		<b>88,978.71</b>	<b>332.55 W</b>	<b>-14.13</b>	
HONEYWELL INTERNATIONAL, INC. / CUSIP: 438516106 / Symbol:							
07/15/20	10.000	1,512.96	07/15/20	1,521.44	...	-8.48	Sale <sup>25</sup>
<i>2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	850.23	09/02/20	848.38	...	1.85	1 of 2 - Sale <sup>25</sup>
	5.000	850.23	09/02/20	848.03	...	2.20	2 of 2 - Sale <sup>25</sup>
09/02/20	10.000	1,700.46	Various	1,696.41	...	4.05	Total of 2 transactions
<i>5 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	839.83	09/02/20	845.16	5.33 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	839.48	09/02/20	845.16	5.68 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	1,700.46	09/02/20	1,703.75	3.29 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	1,695.86	09/02/20	1,708.29	12.43 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	1,695.86	09/02/20	1,704.20	8.34 W	0.00	5 of 5 - Sale <sup>25</sup>
09/02/20	40.000	6,771.49	Various	6,806.56	35.07 W	0.00	Total of 5 transactions
09/11/20	10.000	1,666.66	09/11/20	1,672.93	6.27 W	0.00	Sale <sup>25</sup>
09/14/20	10.000	1,690.63	09/14/20	1,697.14	6.51 W	0.00	Sale <sup>25</sup>
09/25/20	10.000	1,597.77	09/25/20	1,606.79	9.02 W	0.00	Sale <sup>25</sup>
09/28/20	5.000	823.93	09/28/20	831.72	7.79 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HONEYWELL INTERNATIONAL, INC. / CUSIP: 438516106 / Symbol: (cont'd)							
<i>2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	831.55	10/02/20	827.21	...	4.34	1 of 2 - Sale <sup>25</sup>
	5.000	831.70	10/02/20	825.96	...	5.74	2 of 2 - Sale <sup>25</sup>
10/02/20	10.000	1,663.25	Various	1,653.17	...	10.08	Total of 2 transactions
10/05/20	5.000	840.18	10/05/20	841.00	0.82 W	0.00	Sale <sup>25</sup>
10/06/20	5.000	852.53	10/06/20	850.67	...	1.86	Sale <sup>25</sup>
10/06/20	5.000	855.23	10/06/20	857.64	2.41 W	0.00	Sale <sup>25</sup>
<i>7 transactions for 10/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	171.23	10/07/20	172.01	0.78 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	171.23	10/07/20	172.31	1.08 W	0.00	2 of 7 - Sale <sup>25</sup>
	4.000	684.90	10/07/20	688.05	3.15 W	0.00	3 of 7 - Sale <sup>25</sup>
	14.000	2,397.16	10/07/20	2,401.42	4.26 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	170.02	10/07/20	171.61	1.59 W	0.00	5 of 7 - Sale <sup>25</sup>
	4.000	680.06	10/07/20	685.29	5.23 W	0.00	6 of 7 - Sale <sup>25</sup>
	10.000	1,700.16	10/07/20	1,708.39	8.23 W	0.00	7 of 7 - Sale <sup>25</sup>
10/07/20	35.000	5,974.76	Various	5,999.08	24.32 W	0.00	Total of 7 transactions
<i>5 transactions for 10/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	174.99	10/12/20	178.04	3.05 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	699.94	10/12/20	708.12	8.18 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	174.92	10/12/20	177.43	2.51 W	0.00	3 of 5 - Sale <sup>25</sup>
	3.000	524.75	10/12/20	531.43	6.68 W	0.00	4 of 5 - Sale <sup>25</sup>
	6.000	1,049.49	10/12/20	1,059.97	10.48 W	0.00	5 of 5 - Sale <sup>25</sup>
10/12/20	15.000	2,624.09	Various	2,654.99	30.90 W	0.00	Total of 5 transactions
<i>4 transactions for 10/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	164.87	10/30/20	168.12	3.25 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	164.86	10/30/20	167.30	2.44 W	0.00	2 of 4 - Sale <sup>25</sup>
	4.000	659.46	10/30/20	663.57	4.11 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	659.47	10/30/20	668.46	8.99 W	0.00	4 of 4 - Sale <sup>25</sup>
10/30/20	10.000	1,648.66	Various	1,667.45	18.79 W	0.00	Total of 4 transactions
<i>3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	1,109.64	11/06/20	1,119.07	...	-9.43	1 of 3 - Sale <sup>25</sup>
	1.000	184.94	11/06/20	187.28	...	-2.34	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HONEYWELL INTERNATIONAL, INC. / CUSIP: 438516106 / Symbol: (cont'd)							
	1.000	184.94	11/06/20	185.79	...	-0.85	3 of 3 - Sale <sup>25</sup>
11/06/20	8.000	1,479.52	Various	1,492.14	...	-12.62	Total of 3 transactions
11/06/20	2.000	369.88	11/06/20	373.98	2.05 W	-2.05	Sale <sup>25</sup>
5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	4.000	795.14	11/09/20	808.09	...	-12.95	1 of 5 - Sale <sup>25</sup>
	3.000	596.36	11/09/20	602.41	...	-6.05	2 of 5 - Sale <sup>25</sup>
	1.000	198.78	11/09/20	203.03	...	-4.25	3 of 5 - Sale <sup>25</sup>
	1.000	198.78	11/09/20	202.21	...	-3.43	4 of 5 - Sale <sup>25</sup>
	1.000	198.79	11/09/20	201.83	...	-3.04	5 of 5 - Sale <sup>25</sup>
11/09/20	10.000	1,987.85	Various	2,017.57	...	-29.72	Total of 5 transactions
	<b>Security total:</b>	<b>34,059.85</b>		<b>34,240.68</b>	<b>143.95 W</b>	<b>-36.88</b>	
HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol:							
6 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	25.000	5.95	04/24/20	5.88	...	0.07	1 of 6 - Sale <sup>25</sup>
	512.000	121.80	04/24/20	120.32	...	1.48	2 of 6 - Sale <sup>25</sup>
	300.000	73.46	04/24/20	70.50	...	2.96	3 of 6 - Sale <sup>25</sup>
	2,463.000	585.88	04/24/20	578.80	...	7.08	4 of 6 - Sale <sup>25</sup>
	725.000	177.54	04/24/20	170.37	...	7.17	5 of 6 - Sale <sup>25</sup>
	975.000	238.76	04/24/20	229.13	...	9.63	6 of 6 - Sale <sup>25</sup>
04/24/20	5,000.000	1,203.39	Various	1,175.00	...	28.39	Total of 6 transactions
HOWMET AEROSPACE INC. / CUSIP: 443201108 / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	90.000	1,609.15	09/11/20	1,614.59	...	-5.44	1 of 2 - Sale <sup>25</sup>
	10.000	178.80	09/11/20	179.40	...	-0.60	2 of 2 - Sale <sup>25</sup>
09/11/20	100.000	1,787.95	Various	1,793.99	...	-6.04	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
HUYA INC. / CUSIP: 44852D108 / Symbol:							
<i>4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	19.55 N	12/02/20	20.18	...	-0.63	1 of 4 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$1.95
	4.000	78.20 N	12/02/20	78.16	...	0.04	2 of 4 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$7.80
	94.000	1,837.64 N	12/02/20	1,836.76	...	0.88	3 of 4 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$183.30
	1.000	19.55 N	12/04/20	18.90	...	0.65	4 of 4 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$1.95
12/04/20	100.000	1,954.94	Various	1,954.00	...	0.94	Total of 4 transactions
12/04/20	1.000	18.90	12/02/20	19.54	0.64 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,973.84</b>		<b>1,973.54</b>	<b>0.64 W</b>	<b>0.94</b>	
IFRESH INC. COMMON STOCK / CUSIP: 449538107 / Symbol:							
<i>2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.</i>							
	35.000	56.70	03/19/20	91.00	...	-34.30	1 of 2 - Sale <sup>25</sup>
	44.000	71.27	03/19/20	96.80	...	-25.53	2 of 2 - Sale <sup>25</sup>
03/20/20	79.000	127.97	Various	187.80	...	-59.83	Total of 2 transactions
I-MAB AMERICAN DEPOSITARY SHAR ES / CUSIP: 44975P103 / Symbol:							
11/19/20	50.000	2,025.95	11/19/20	2,051.00	...	-25.05	Sale <sup>25</sup>
IBIO, INC. / CUSIP: 451033203 / Symbol:							
02/28/20	9.000	27.45	02/28/20	21.60	...	5.85	Sale <sup>25</sup>
03/18/20	100.000	162.49	03/17/20	111.50	...	50.99	Sale <sup>25</sup>
<i>6 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	52.000	134.15	06/26/20	144.05	...	-9.90	1 of 6 - Sale <sup>25</sup>
	20.000	51.60	06/26/20	56.20	...	-4.60	2 of 6 - Sale <sup>25</sup>
	18.000	46.44	06/26/20	49.51	...	-3.07	3 of 6 - Sale <sup>25</sup>
	10.000	25.80	06/26/20	28.00	...	-2.20	4 of 6 - Sale <sup>25</sup>
	2.000	5.16	06/26/20	5.12	...	0.04	5 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	48.000	123.83	06/26/20	121.44	...	2.39	6 of 6 - Sale <sup>25</sup>
06/26/20	150.000	386.98	Various	404.32	...	-17.34	Total of 6 transactions
<i>2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	256.99	06/26/20	268.00	11.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	256.99	06/26/20	276.01	19.02 W	0.00	2 of 2 - Sale <sup>25</sup>
06/26/20	200.000	513.98	Various	544.01	30.03 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>1,090.90</b>		<b>1,081.43</b>	<b>30.03 W</b>	<b>39.50</b>	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
<i>75 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.72	06/17/20	1.69	...	0.03	1 of 75 - Sale <sup>25</sup>
	2.000	4.00	06/17/20	3.96	...	0.04	2 of 75 - Sale <sup>25</sup>
	2.000	3.44	06/17/20	3.38	...	0.06	3 of 75 - Sale <sup>25</sup>
	3.000	6.00	06/17/20	5.91	...	0.09	4 of 75 - Sale <sup>25</sup>
	15.000	25.65	06/17/20	25.50	...	0.15	5 of 75 - Sale <sup>25</sup>
	15.000	25.65	06/17/20	25.43	...	0.22	6 of 75 - Sale <sup>25</sup>
	10.000	17.20	06/17/20	16.90	...	0.30	7 of 75 - Sale <sup>25</sup>
	13.000	24.05	06/17/20	23.73	...	0.32	8 of 75 - Sale <sup>25</sup>
	17.000	29.24	06/17/20	28.90	...	0.34	9 of 75 - Sale <sup>25</sup>
	20.000	40.00	06/17/20	39.60	...	0.40	10 of 75 - Sale <sup>25</sup>
	17.000	29.24	06/17/20	28.73	...	0.51	11 of 75 - Sale <sup>25</sup>
	19.000	32.68	06/17/20	32.11	...	0.57	12 of 75 - Sale <sup>25</sup>
	31.000	53.32	06/17/20	52.71	...	0.61	13 of 75 - Sale <sup>25</sup>
	21.000	36.12	06/17/20	35.49	...	0.63	14 of 75 - Sale <sup>25</sup>
	36.000	66.59	06/17/20	65.71	...	0.88	15 of 75 - Sale <sup>25</sup>
	31.000	53.32	06/17/20	52.40	...	0.92	16 of 75 - Sale <sup>25</sup>
	100.000	170.98	06/17/20	170.00	...	0.98	17 of 75 - Sale <sup>25</sup>
	52.000	89.43	06/17/20	88.41	...	1.02	18 of 75 - Sale <sup>25</sup>
	50.000	85.99	06/17/20	84.53	...	1.46	19 of 75 - Sale <sup>25</sup>
	50.000	85.99	06/17/20	84.52	...	1.47	20 of 75 - Sale <sup>25</sup>
	50.000	86.00	06/17/20	84.52	...	1.48	21 of 75 - Sale <sup>25</sup>
	50.000	85.99	06/17/20	84.51	...	1.48	22 of 75 - Sale <sup>25</sup>
	78.000	155.99	06/17/20	154.44	...	1.55	23 of 75 - Sale <sup>25</sup>
	64.000	118.39	06/17/20	116.83	...	1.56	24 of 75 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	42.000	95.76	06/17/20	94.08	...	1.68	25 of 75 - Sale <sup>25</sup>
	185.000	316.33	06/17/20	314.50	...	1.83	26 of 75 - Sale <sup>25</sup>
	100.000	181.98	06/17/20	180.00	...	1.98	27 of 75 - Sale <sup>25</sup>
	200.000	351.98	06/17/20	350.00	...	1.98	28 of 75 - Sale <sup>25</sup>
	100.000	181.99	06/17/20	180.00	...	1.99	29 of 75 - Sale <sup>25</sup>
	100.000	199.99	06/17/20	198.00	...	1.99	30 of 75 - Sale <sup>25</sup>
	50.000	113.99	06/17/20	112.00	...	1.99	31 of 75 - Sale <sup>25</sup>
	100.000	174.99	06/17/20	172.99	...	2.00	32 of 75 - Sale <sup>25</sup>
	87.000	160.94	06/17/20	158.82	...	2.12	33 of 75 - Sale <sup>25</sup>
	59.000	134.51	06/17/20	132.15	...	2.36	34 of 75 - Sale <sup>25</sup>
	100.000	197.99	06/17/20	195.54	...	2.45	35 of 75 - Sale <sup>25</sup>
	185.000	316.31	06/17/20	313.60	...	2.71	36 of 75 - Sale <sup>25</sup>
	300.000	512.94	06/17/20	510.00	...	2.94	37 of 75 - Sale <sup>25</sup>
	300.000	527.94	06/17/20	525.00	...	2.94	38 of 75 - Sale <sup>25</sup>
	100.000	174.99	06/17/20	172.00	...	2.99	39 of 75 - Sale <sup>25</sup>
	91.000	207.47	06/17/20	203.84	...	3.63	40 of 75 - Sale <sup>25</sup>
	386.000	671.58	06/17/20	667.78	...	3.80	41 of 75 - Sale <sup>25</sup>
	400.000	695.93	06/17/20	692.00	...	3.93	42 of 75 - Sale <sup>25</sup>
	400.000	683.93	06/17/20	680.00	...	3.93	43 of 75 - Sale <sup>25</sup>
	100.000	197.98	06/17/20	194.04	...	3.94	44 of 75 - Sale <sup>25</sup>
	200.000	363.96	06/17/20	360.00	...	3.96	45 of 75 - Sale <sup>25</sup>
	200.000	363.98	06/17/20	360.00	...	3.98	46 of 75 - Sale <sup>25</sup>
	100.000	171.99	06/17/20	168.00	...	3.99	47 of 75 - Sale <sup>25</sup>
	100.000	171.99	06/17/20	168.00	...	3.99	48 of 75 - Sale <sup>25</sup>
	50.000	113.99	06/17/20	110.00	...	3.99	49 of 75 - Sale <sup>25</sup>
	214.000	372.33	06/17/20	368.08	...	4.25	50 of 75 - Sale <sup>25</sup>
	214.000	374.47	06/17/20	370.22	...	4.25	51 of 75 - Sale <sup>25</sup>
	108.000	246.22	06/17/20	241.92	...	4.30	52 of 75 - Sale <sup>25</sup>
	100.000	197.98	06/17/20	193.02	...	4.96	53 of 75 - Sale <sup>25</sup>
	186.000	325.48	06/17/20	319.92	...	5.56	54 of 75 - Sale <sup>25</sup>
	300.000	545.94	06/17/20	540.00	...	5.94	55 of 75 - Sale <sup>25</sup>
	300.000	599.94	06/17/20	594.00	...	5.94	56 of 75 - Sale <sup>25</sup>
	100.000	198.98	06/17/20	193.02	...	5.96	57 of 75 - Sale <sup>25</sup>
	200.000	343.97	06/17/20	338.00	...	5.97	58 of 75 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	200.000	341.97	06/17/20	336.00	...	5.97	59 of 75 - Sale <sup>25</sup>
	100.000	198.98	06/17/20	193.01	...	5.97	60 of 75 - Sale <sup>25</sup>
	150.000	341.97	06/17/20	336.00	...	5.97	61 of 75 - Sale <sup>25</sup>
	199.000	342.25	06/17/20	335.31	...	6.94	62 of 75 - Sale <sup>25</sup>
	200.000	455.96	06/17/20	448.00	...	7.96	63 of 75 - Sale <sup>25</sup>
	300.000	515.95	06/17/20	507.00	...	8.95	64 of 75 - Sale <sup>25</sup>
	600.000	1,199.90	06/17/20	1,188.00	...	11.90	65 of 75 - Sale <sup>25</sup>
	300.000	683.94	06/17/20	671.97	...	11.97	66 of 75 - Sale <sup>25</sup>
	200.000	397.97	06/17/20	384.04	...	13.93	67 of 75 - Sale <sup>25</sup>
	300.000	596.95	06/17/20	579.06	...	17.89	68 of 75 - Sale <sup>25</sup>
	300.000	596.95	06/17/20	579.00	...	17.95	69 of 75 - Sale <sup>25</sup>
	1,000.000	1,719.84	06/17/20	1,700.00	...	19.84	70 of 75 - Sale <sup>25</sup>
	500.000	924.92	06/17/20	905.00	...	19.92	71 of 75 - Sale <sup>25</sup>
	800.000	1,479.87	06/17/20	1,456.00	...	23.87	72 of 75 - Sale <sup>25</sup>
	1,000.000	1,764.84	06/17/20	1,740.00	...	24.84	73 of 75 - Sale <sup>25</sup>
	997.000	1,993.83	06/17/20	1,964.09	...	29.74	74 of 75 - Sale <sup>25</sup>
	700.000	1,399.88	06/17/20	1,351.00	...	48.88	75 of 75 - Sale <sup>25</sup>
06/17/20	14,350.000	26,773.69	Various	26,353.91	...	419.78	Total of 75 transactions
	<i>105 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>						
	100.000	181.99	06/17/20	185.00	3.01 W	0.00	1 of 105 - Sale <sup>25</sup>
	100.000	181.98	06/17/20	185.00	3.02 W	0.00	2 of 105 - Sale <sup>25</sup>
	100.000	181.98	06/17/20	183.01	1.03 W	0.00	3 of 105 - Sale <sup>25</sup>
	100.000	181.98	06/17/20	183.02	1.04 W	0.00	4 of 105 - Sale <sup>25</sup>
	100.000	184.99	06/17/20	185.53	0.54 W	0.00	5 of 105 - Sale <sup>25</sup>
	100.000	184.99	06/17/20	185.54	0.55 W	0.00	6 of 105 - Sale <sup>25</sup>
	200.000	341.97	06/17/20	342.00	0.03 W	0.00	7 of 105 - Sale <sup>25</sup>
	100.000	170.99	06/17/20	175.00	4.01 W	0.00	8 of 105 - Sale <sup>25</sup>
	100.000	170.99	06/17/20	175.00	4.01 W	0.00	9 of 105 - Sale <sup>25</sup>
	2.000	3.42	06/17/20	3.58	0.16 W	0.00	10 of 105 - Sale <sup>25</sup>
	50.000	85.50	06/17/20	89.51	4.01 W	0.00	11 of 105 - Sale <sup>25</sup>
	48.000	82.08	06/17/20	85.92	3.84 W	0.00	12 of 105 - Sale <sup>25</sup>
	52.000	88.91	06/17/20	91.00	2.09 W	0.00	13 of 105 - Sale <sup>25</sup>
	98.000	167.57	06/17/20	171.50	3.93 W	0.00	14 of 105 - Sale <sup>25</sup>
	50.000	85.50	06/17/20	87.50	2.00 W	0.00	15 of 105 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	10.000	17.10	06/17/20	17.20	0.10 W	0.00	16 of 105 - Sale <sup>25</sup>
	19.000	32.49	06/17/20	32.68	0.19 W	0.00	17 of 105 - Sale <sup>25</sup>
	50.000	85.49	06/17/20	86.01	0.52 W	0.00	18 of 105 - Sale <sup>25</sup>
	2.000	3.42	06/17/20	3.52	0.10 W	0.00	19 of 105 - Sale <sup>25</sup>
	17.000	29.07	06/17/20	29.92	0.85 W	0.00	20 of 105 - Sale <sup>25</sup>
	21.000	35.91	06/17/20	36.12	0.21 W	0.00	21 of 105 - Sale <sup>25</sup>
	50.000	85.49	06/17/20	88.01	2.52 W	0.00	22 of 105 - Sale <sup>25</sup>
	17.000	29.07	06/17/20	29.41	0.34 W	0.00	23 of 105 - Sale <sup>25</sup>
	31.000	53.00	06/17/20	54.87	1.87 W	0.00	24 of 105 - Sale <sup>25</sup>
	52.000	88.91	06/17/20	89.96	1.05 W	0.00	25 of 105 - Sale <sup>25</sup>
	31.000	53.00	06/17/20	53.32	0.32 W	0.00	26 of 105 - Sale <sup>25</sup>
	50.000	85.49	06/17/20	86.01	0.52 W	0.00	27 of 105 - Sale <sup>25</sup>
	50.000	85.49	06/17/20	86.00	0.51 W	0.00	28 of 105 - Sale <sup>25</sup>
	2.000	3.44	06/17/20	3.46	0.02 W	0.00	29 of 105 - Sale <sup>25</sup>
	17.000	29.24	06/17/20	29.41	0.17 W	0.00	30 of 105 - Sale <sup>25</sup>
	31.000	53.32	06/17/20	53.95	0.63 W	0.00	31 of 105 - Sale <sup>25</sup>
	50.000	85.99	06/17/20	86.52	0.53 W	0.00	32 of 105 - Sale <sup>25</sup>
	100.000	196.98	06/17/20	199.50	2.52 W	0.00	33 of 105 - Sale <sup>25</sup>
	100.000	196.98	06/17/20	198.00	1.02 W	0.00	34 of 105 - Sale <sup>25</sup>
	100.000	196.98	06/17/20	198.00	1.02 W	0.00	35 of 105 - Sale <sup>25</sup>
	100.000	196.98	06/17/20	200.52	3.54 W	0.00	36 of 105 - Sale <sup>25</sup>
	100.000	196.98	06/17/20	199.02	2.04 W	0.00	37 of 105 - Sale <sup>25</sup>
	100.000	196.98	06/17/20	198.00	1.02 W	0.00	38 of 105 - Sale <sup>25</sup>
	300.000	590.94	06/17/20	594.00	3.06 W	0.00	39 of 105 - Sale <sup>25</sup>
	100.000	196.99	06/17/20	198.00	1.01 W	0.00	40 of 105 - Sale <sup>25</sup>
	200.000	395.96	06/17/20	396.00	0.04 W	0.00	41 of 105 - Sale <sup>25</sup>
	392.000	893.69	06/17/20	921.20	27.51 W	0.00	42 of 105 - Sale <sup>25</sup>
	130.000	279.48	06/17/20	305.50	26.02 W	0.00	43 of 105 - Sale <sup>25</sup>
	370.000	795.44	06/17/20	869.50	74.06 W	0.00	44 of 105 - Sale <sup>25</sup>
	108.000	237.58	06/17/20	253.80	16.22 W	0.00	45 of 105 - Sale <sup>25</sup>
	100.000	219.98	06/17/20	239.02	19.04 W	0.00	46 of 105 - Sale <sup>25</sup>
	8.000	17.60	06/17/20	19.60	2.00 W	0.00	47 of 105 - Sale <sup>25</sup>
	292.000	642.35	06/17/20	677.49	35.14 W	0.00	48 of 105 - Sale <sup>25</sup>
	122.000	268.37	06/17/20	298.92	30.55 W	0.00	49 of 105 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	24.000	52.80	06/17/20	58.80	6.00 W	0.00	50 of 105 - Sale <sup>25</sup>
	346.000	761.14	06/17/20	847.76	86.62 W	0.00	51 of 105 - Sale <sup>25</sup>
	8.000	17.52	06/17/20	19.20	1.68 W	0.00	52 of 105 - Sale <sup>25</sup>
	100.000	218.98	06/17/20	240.02	21.04 W	0.00	53 of 105 - Sale <sup>25</sup>
	100.000	218.98	06/17/20	244.04	25.06 W	0.00	54 of 105 - Sale <sup>25</sup>
	292.000	639.43	06/17/20	677.54	38.11 W	0.00	55 of 105 - Sale <sup>25</sup>
	8.000	16.80	06/17/20	19.60	2.80 W	0.00	56 of 105 - Sale <sup>25</sup>
	104.000	218.39	06/17/20	254.84	36.45 W	0.00	57 of 105 - Sale <sup>25</sup>
	24.000	50.40	06/17/20	58.80	8.40 W	0.00	58 of 105 - Sale <sup>25</sup>
	18.000	37.80	06/17/20	44.11	6.31 W	0.00	59 of 105 - Sale <sup>25</sup>
	346.000	726.53	06/17/20	847.82	121.29 W	0.00	60 of 105 - Sale <sup>25</sup>
	8.000	17.12	06/17/20	19.28	2.16 W	0.00	61 of 105 - Sale <sup>25</sup>
	92.000	196.87	06/17/20	221.76	24.89 W	0.00	62 of 105 - Sale <sup>25</sup>
	8.000	17.12	06/17/20	19.28	2.16 W	0.00	63 of 105 - Sale <sup>25</sup>
	53.000	113.41	06/17/20	129.88	16.47 W	0.00	64 of 105 - Sale <sup>25</sup>
	47.000	100.57	06/17/20	115.18	14.61 W	0.00	65 of 105 - Sale <sup>25</sup>
	100.000	213.98	06/17/20	233.05	19.07 W	0.00	66 of 105 - Sale <sup>25</sup>
	192.000	410.85	06/17/20	435.94	25.09 W	0.00	67 of 105 - Sale <sup>25</sup>
	8.000	17.20	06/17/20	19.92	2.72 W	0.00	68 of 105 - Sale <sup>25</sup>
	18.000	38.70	06/17/20	44.83	6.13 W	0.00	69 of 105 - Sale <sup>25</sup>
	24.000	51.60	06/17/20	59.76	8.16 W	0.00	70 of 105 - Sale <sup>25</sup>
	104.000	223.58	06/17/20	259.01	35.43 W	0.00	71 of 105 - Sale <sup>25</sup>
	154.000	331.07	06/17/20	383.54	52.47 W	0.00	72 of 105 - Sale <sup>25</sup>
	192.000	412.76	06/17/20	483.95	71.19 W	0.00	73 of 105 - Sale <sup>25</sup>
	8.000	16.80	06/17/20	19.52	2.72 W	0.00	74 of 105 - Sale <sup>25</sup>
	1.000	2.10	06/17/20	2.44	0.34 W	0.00	75 of 105 - Sale <sup>25</sup>
	11.000	23.10	06/17/20	26.85	3.75 W	0.00	76 of 105 - Sale <sup>25</sup>
	1.000	2.10	06/17/20	2.44	0.34 W	0.00	77 of 105 - Sale <sup>25</sup>
	8.000	16.80	06/17/20	19.52	2.72 W	0.00	78 of 105 - Sale <sup>25</sup>
	47.000	98.69	06/17/20	116.60	17.91 W	0.00	79 of 105 - Sale <sup>25</sup>
	53.000	111.29	06/17/20	131.48	20.19 W	0.00	80 of 105 - Sale <sup>25</sup>
	79.000	165.89	06/17/20	192.80	26.91 W	0.00	81 of 105 - Sale <sup>25</sup>
	100.000	209.98	06/17/20	236.07	26.09 W	0.00	82 of 105 - Sale <sup>25</sup>
	50.000	104.99	06/17/20	115.03	10.04 W	0.00	83 of 105 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	142.000	298.17	06/17/20	326.70	28.53 W	0.00	84 of 105 - Sale <sup>25</sup>
	8.000	16.96	06/17/20	20.08	3.12 W	0.00	85 of 105 - Sale <sup>25</sup>
	18.000	38.16	06/17/20	45.19	7.03 W	0.00	86 of 105 - Sale <sup>25</sup>
	24.000	50.88	06/17/20	60.24	9.36 W	0.00	87 of 105 - Sale <sup>25</sup>
	104.000	220.47	06/17/20	261.11	40.64 W	0.00	88 of 105 - Sale <sup>25</sup>
	46.000	97.51	06/17/20	115.49	17.98 W	0.00	89 of 105 - Sale <sup>25</sup>
	4.000	8.52	06/17/20	10.04	1.52 W	0.00	90 of 105 - Sale <sup>25</sup>
	104.000	221.51	06/17/20	261.12	39.61 W	0.00	91 of 105 - Sale <sup>25</sup>
	92.000	195.95	06/17/20	230.07	34.12 W	0.00	92 of 105 - Sale <sup>25</sup>
	1.000	2.14	06/17/20	2.47	0.33 W	0.00	93 of 105 - Sale <sup>25</sup>
	1.000	2.14	06/17/20	2.47	0.33 W	0.00	94 of 105 - Sale <sup>25</sup>
	8.000	17.12	06/17/20	19.76	2.64 W	0.00	95 of 105 - Sale <sup>25</sup>
	11.000	23.54	06/17/20	27.18	3.64 W	0.00	96 of 105 - Sale <sup>25</sup>
	79.000	169.05	06/17/20	195.18	26.13 W	0.00	97 of 105 - Sale <sup>25</sup>
	100.000	213.99	06/17/20	250.08	36.09 W	0.00	98 of 105 - Sale <sup>25</sup>
	8.000	16.80	06/17/20	19.76	2.96 W	0.00	99 of 105 - Sale <sup>25</sup>
	47.000	98.69	06/17/20	118.02	19.33 W	0.00	100 of 105 - Sale <sup>25</sup>
	53.000	111.29	06/17/20	133.08	21.79 W	0.00	101 of 105 - Sale <sup>25</sup>
	100.000	209.98	06/17/20	239.09	29.11 W	0.00	102 of 105 - Sale <sup>25</sup>
	8.000	16.80	06/17/20	20.16	3.36 W	0.00	103 of 105 - Sale <sup>25</sup>
	50.000	104.99	06/17/20	116.54	11.55 W	0.00	104 of 105 - Sale <sup>25</sup>
	142.000	298.18	06/17/20	330.99	32.81 W	0.00	105 of 105 - Sale <sup>25</sup>
06/17/20	8,400.000	17,159.20	Various	18,566.03	1,406.83 W	0.00	Total of 105 transactions
	33 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	100.000	189.01	06/18/20	233.08	44.07 W	0.00	1 of 33 - Sale <sup>25</sup>
	4.000	7.56	06/18/20	9.32	1.76 W	0.00	2 of 33 - Sale <sup>25</sup>
	4.000	7.56	06/18/20	9.28	1.72 W	0.00	3 of 33 - Sale <sup>25</sup>
	4.000	7.56	06/18/20	9.28	1.72 W	0.00	4 of 33 - Sale <sup>25</sup>
	18.000	34.02	06/18/20	41.95	7.93 W	0.00	5 of 33 - Sale <sup>25</sup>
	24.000	45.36	06/18/20	55.92	10.56 W	0.00	6 of 33 - Sale <sup>25</sup>
	46.000	86.93	06/18/20	107.22	20.29 W	0.00	7 of 33 - Sale <sup>25</sup>
	50.000	106.00	06/18/20	124.05	18.05 W	0.00	8 of 33 - Sale <sup>25</sup>
	27.000	58.59	06/18/20	68.33	9.74 W	0.00	9 of 33 - Sale <sup>25</sup>
	1.000	2.17	06/18/20	2.48	0.31 W	0.00	10 of 33 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	1.000	2.17	06/18/20	2.48	0.31 W	0.00	11 of 33 - Sale <sup>25</sup>
	8.000	17.36	06/18/20	19.84	2.48 W	0.00	12 of 33 - Sale <sup>25</sup>
	8.000	17.36	06/18/20	20.16	2.80 W	0.00	13 of 33 - Sale <sup>25</sup>
	11.000	23.87	06/18/20	27.29	3.42 W	0.00	14 of 33 - Sale <sup>25</sup>
	23.000	49.91	06/18/20	58.21	8.30 W	0.00	15 of 33 - Sale <sup>25</sup>
	50.000	108.49	06/18/20	128.06	19.57 W	0.00	16 of 33 - Sale <sup>25</sup>
	79.000	171.42	06/18/20	195.98	24.56 W	0.00	17 of 33 - Sale <sup>25</sup>
	92.000	199.62	06/18/20	231.92	32.30 W	0.00	18 of 33 - Sale <sup>25</sup>
	100.000	216.98	06/18/20	251.09	34.11 W	0.00	19 of 33 - Sale <sup>25</sup>
	3.000	6.51	06/18/20	7.68	1.17 W	0.00	20 of 33 - Sale <sup>25</sup>
	8.000	17.36	06/18/20	20.56	3.20 W	0.00	21 of 33 - Sale <sup>25</sup>
	47.000	101.98	06/18/20	120.38	18.40 W	0.00	22 of 33 - Sale <sup>25</sup>
	50.000	108.49	06/18/20	119.05	10.56 W	0.00	23 of 33 - Sale <sup>25</sup>
	100.000	216.98	06/18/20	244.11	27.13 W	0.00	24 of 33 - Sale <sup>25</sup>
	100.000	216.98	06/18/20	259.07	42.09 W	0.00	25 of 33 - Sale <sup>25</sup>
	142.000	308.12	06/18/20	338.11	29.99 W	0.00	26 of 33 - Sale <sup>25</sup>
	4.000	8.68	06/18/20	10.36	1.68 W	0.00	27 of 33 - Sale <sup>25</sup>
	4.000	8.68	06/18/20	10.32	1.64 W	0.00	28 of 33 - Sale <sup>25</sup>
	4.000	8.68	06/18/20	10.32	1.64 W	0.00	29 of 33 - Sale <sup>25</sup>
	18.000	39.06	06/18/20	46.63	7.57 W	0.00	30 of 33 - Sale <sup>25</sup>
	24.000	52.07	06/18/20	62.16	10.09 W	0.00	31 of 33 - Sale <sup>25</sup>
	46.000	99.81	06/18/20	119.19	19.38 W	0.00	32 of 33 - Sale <sup>25</sup>
	50.000	108.49	06/18/20	125.55	17.06 W	0.00	33 of 33 - Sale <sup>25</sup>
06/18/20	1,250.000	2,653.83	Various	3,089.43	435.60 W	0.00	Total of 33 transactions
65 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	3.80	06/22/20	3.80	...	0.00	1 of 65 - Sale <sup>25</sup>
	22.000	85.14	06/22/20	85.14	...	0.00	2 of 65 - Sale <sup>25</sup>
	1.000	3.95	06/22/20	3.95	...	0.00	3 of 65 - Sale <sup>25</sup>
	1.000	3.95	06/22/20	3.95	...	0.00	4 of 65 - Sale <sup>25</sup>
	8.000	30.96	06/22/20	30.95	...	0.01	5 of 65 - Sale <sup>25</sup>
	20.000	77.40	06/22/20	77.38	...	0.02	6 of 65 - Sale <sup>25</sup>
	20.000	74.20	06/22/20	74.16	...	0.04	7 of 65 - Sale <sup>25</sup>
	1.000	3.79	06/22/20	3.75	...	0.04	8 of 65 - Sale <sup>25</sup>
	1.000	3.79	06/22/20	3.75	...	0.04	9 of 65 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	3.000	11.37	06/22/20	11.33	...	0.04	10 of 65 - Sale <sup>25</sup>
	8.000	30.40	06/22/20	30.36	...	0.04	11 of 65 - Sale <sup>25</sup>
	2.000	7.90	06/22/20	7.86	...	0.04	12 of 65 - Sale <sup>25</sup>
	30.000	111.29	06/22/20	111.24	...	0.05	13 of 65 - Sale <sup>25</sup>
	8.000	30.40	06/22/20	30.34	...	0.06	14 of 65 - Sale <sup>25</sup>
	6.000	22.74	06/22/20	22.67	...	0.07	15 of 65 - Sale <sup>25</sup>
	2.000	7.74	06/22/20	7.67	...	0.07	16 of 65 - Sale <sup>25</sup>
	8.000	30.32	06/22/20	30.22	...	0.10	17 of 65 - Sale <sup>25</sup>
	3.000	11.61	06/22/20	11.51	...	0.10	18 of 65 - Sale <sup>25</sup>
	9.000	32.58	06/22/20	32.47	...	0.11	19 of 65 - Sale <sup>25</sup>
	20.000	77.40	06/22/20	77.29	...	0.11	20 of 65 - Sale <sup>25</sup>
	3.000	11.13	06/22/20	11.01	...	0.12	21 of 65 - Sale <sup>25</sup>
	30.000	116.09	06/22/20	115.95	...	0.14	22 of 65 - Sale <sup>25</sup>
	34.000	129.19	06/22/20	129.02	...	0.17	23 of 65 - Sale <sup>25</sup>
	100.000	361.97	06/22/20	361.78	...	0.19	24 of 65 - Sale <sup>25</sup>
	15.000	55.80	06/22/20	55.61	...	0.19	25 of 65 - Sale <sup>25</sup>
	15.000	56.85	06/22/20	56.66	...	0.19	26 of 65 - Sale <sup>25</sup>
	6.000	23.22	06/22/20	23.01	...	0.21	27 of 65 - Sale <sup>25</sup>
	26.000	98.53	06/22/20	98.28	...	0.25	28 of 65 - Sale <sup>25</sup>
	7.000	27.09	06/22/20	26.83	...	0.26	29 of 65 - Sale <sup>25</sup>
	22.000	83.59	06/22/20	83.28	...	0.31	30 of 65 - Sale <sup>25</sup>
	9.000	34.83	06/22/20	34.50	...	0.33	31 of 65 - Sale <sup>25</sup>
	34.000	128.85	06/22/20	128.50	...	0.35	32 of 65 - Sale <sup>25</sup>
	8.000	30.32	06/22/20	29.96	...	0.36	33 of 65 - Sale <sup>25</sup>
	35.000	130.19	06/22/20	129.80	...	0.39	34 of 65 - Sale <sup>25</sup>
	11.000	42.57	06/22/20	42.18	...	0.39	35 of 65 - Sale <sup>25</sup>
	12.000	46.44	06/22/20	46.02	...	0.42	36 of 65 - Sale <sup>25</sup>
	41.000	148.41	06/22/20	147.95	...	0.46	37 of 65 - Sale <sup>25</sup>
	11.000	41.69	06/22/20	41.21	...	0.48	38 of 65 - Sale <sup>25</sup>
	12.000	45.48	06/22/20	44.98	...	0.50	39 of 65 - Sale <sup>25</sup>
	17.000	65.79	06/22/20	65.16	...	0.63	40 of 65 - Sale <sup>25</sup>
	17.000	63.07	06/22/20	62.43	...	0.64	41 of 65 - Sale <sup>25</sup>
	18.000	69.66	06/22/20	68.99	...	0.67	42 of 65 - Sale <sup>25</sup>
	22.000	81.62	06/22/20	80.81	...	0.81	43 of 65 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	42.000	159.17	06/22/20	158.30	...	0.87	44 of 65 - Sale <sup>25</sup>
	100.000	396.98	06/22/20	396.02	...	0.96	45 of 65 - Sale <sup>25</sup>
	25.000	94.74	06/22/20	93.74	...	1.00	46 of 65 - Sale <sup>25</sup>
	70.000	260.39	06/22/20	259.00	...	1.39	47 of 65 - Sale <sup>25</sup>
	99.000	393.01	06/22/20	391.08	...	1.93	48 of 65 - Sale <sup>25</sup>
	101.000	400.95	06/22/20	398.99	...	1.96	49 of 65 - Sale <sup>25</sup>
	400.000	1,587.91	06/22/20	1,584.08	...	3.83	50 of 65 - Sale <sup>25</sup>
	200.000	627.97	06/22/20	623.98	...	3.99	51 of 65 - Sale <sup>25</sup>
	100.000	386.97	06/22/20	382.50	...	4.47	52 of 65 - Sale <sup>25</sup>
	100.000	386.97	06/22/20	382.50	...	4.47	53 of 65 - Sale <sup>25</sup>
	100.000	386.98	06/22/20	382.50	...	4.48	54 of 65 - Sale <sup>25</sup>
	100.000	386.98	06/22/20	382.50	...	4.48	55 of 65 - Sale <sup>25</sup>
	100.000	386.98	06/22/20	382.50	...	4.48	56 of 65 - Sale <sup>25</sup>
	100.000	386.98	06/22/20	382.50	...	4.48	57 of 65 - Sale <sup>25</sup>
	100.000	386.98	06/22/20	382.50	...	4.48	58 of 65 - Sale <sup>25</sup>
	100.000	386.98	06/22/20	382.50	...	4.48	59 of 65 - Sale <sup>25</sup>
	100.000	386.98	06/22/20	382.50	...	4.48	60 of 65 - Sale <sup>25</sup>
	100.000	386.98	06/22/20	382.50	...	4.48	61 of 65 - Sale <sup>25</sup>
	100.000	370.97	06/22/20	366.00	...	4.97	62 of 65 - Sale <sup>25</sup>
	292.000	1,106.61	06/22/20	1,092.08	...	14.53	63 of 65 - Sale <sup>25</sup>
	557.000	2,155.46	06/22/20	2,133.31	...	22.15	64 of 65 - Sale <sup>25</sup>
	1,000.000	3,869.79	06/22/20	3,780.00	...	89.79	65 of 65 - Sale <sup>25</sup>
06/22/20	4,665.000	17,846.84	Various	17,645.29	...	201.55	Total of 65 transactions
	1011 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	23.000	69.69	06/22/20	77.53	7.84 W	0.00	1 of 1011 - Sale <sup>25</sup>
	27.000	81.81	06/22/20	91.01	9.20 W	0.00	2 of 1011 - Sale <sup>25</sup>
	50.000	151.49	06/22/20	168.05	16.56 W	0.00	3 of 1011 - Sale <sup>25</sup>
	1.000	3.03	06/22/20	3.32	0.29 W	0.00	4 of 1011 - Sale <sup>25</sup>
	1.000	3.03	06/22/20	3.32	0.29 W	0.00	5 of 1011 - Sale <sup>25</sup>
	8.000	24.24	06/22/20	26.56	2.32 W	0.00	6 of 1011 - Sale <sup>25</sup>
	11.000	33.33	06/22/20	36.53	3.20 W	0.00	7 of 1011 - Sale <sup>25</sup>
	37.000	112.10	06/22/20	122.87	10.77 W	0.00	8 of 1011 - Sale <sup>25</sup>
	42.000	127.25	06/22/20	141.17	13.92 W	0.00	9 of 1011 - Sale <sup>25</sup>
	100.000	302.98	06/22/20	335.11	32.13 W	0.00	10 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	3.000	9.09	06/22/20	10.20	1.11 W	0.00	11 of 1011 - Sale <sup>25</sup>
	8.000	24.24	06/22/20	26.88	2.64 W	0.00	12 of 1011 - Sale <sup>25</sup>
	47.000	142.40	06/22/20	159.87	17.47 W	0.00	13 of 1011 - Sale <sup>25</sup>
	50.000	151.49	06/22/20	170.07	18.58 W	0.00	14 of 1011 - Sale <sup>25</sup>
	50.000	151.49	06/22/20	164.07	12.58 W	0.00	15 of 1011 - Sale <sup>25</sup>
	42.000	127.25	06/22/20	139.48	12.23 W	0.00	16 of 1011 - Sale <sup>25</sup>
	50.000	163.99	06/22/20	177.56	13.57 W	0.00	17 of 1011 - Sale <sup>25</sup>
	50.000	163.99	06/22/20	174.56	10.57 W	0.00	18 of 1011 - Sale <sup>25</sup>
	100.000	327.98	06/22/20	349.12	21.14 W	0.00	19 of 1011 - Sale <sup>25</sup>
	8.000	26.24	06/22/20	29.20	2.96 W	0.00	20 of 1011 - Sale <sup>25</sup>
	42.000	137.75	06/22/20	145.37	7.62 W	0.00	21 of 1011 - Sale <sup>25</sup>
	50.000	164.00	06/22/20	183.55	19.55 W	0.00	22 of 1011 - Sale <sup>25</sup>
	4.000	13.12	06/22/20	14.68	1.56 W	0.00	23 of 1011 - Sale <sup>25</sup>
	4.000	13.12	06/22/20	14.64	1.52 W	0.00	24 of 1011 - Sale <sup>25</sup>
	4.000	13.12	06/22/20	14.64	1.52 W	0.00	25 of 1011 - Sale <sup>25</sup>
	18.000	59.04	06/22/20	66.07	7.03 W	0.00	26 of 1011 - Sale <sup>25</sup>
	24.000	78.71	06/22/20	88.09	9.38 W	0.00	27 of 1011 - Sale <sup>25</sup>
	46.000	150.87	06/22/20	168.88	18.01 W	0.00	28 of 1011 - Sale <sup>25</sup>
	50.000	163.99	06/22/20	179.56	15.57 W	0.00	29 of 1011 - Sale <sup>25</sup>
	50.000	163.99	06/22/20	183.54	19.55 W	0.00	30 of 1011 - Sale <sup>25</sup>
	23.000	75.44	06/22/20	82.59	7.15 W	0.00	31 of 1011 - Sale <sup>25</sup>
	27.000	88.55	06/22/20	96.95	8.40 W	0.00	32 of 1011 - Sale <sup>25</sup>
	42.000	137.75	06/22/20	150.42	12.67 W	0.00	33 of 1011 - Sale <sup>25</sup>
	50.000	163.99	06/22/20	179.06	15.07 W	0.00	34 of 1011 - Sale <sup>25</sup>
	58.000	190.23	06/22/20	207.14	16.91 W	0.00	35 of 1011 - Sale <sup>25</sup>
	1.000	3.34	06/22/20	3.54	0.20 W	0.00	36 of 1011 - Sale <sup>25</sup>
	1.000	3.34	06/22/20	3.54	0.20 W	0.00	37 of 1011 - Sale <sup>25</sup>
	3.000	10.03	06/22/20	10.86	0.83 W	0.00	38 of 1011 - Sale <sup>25</sup>
	8.000	26.76	06/22/20	28.32	1.56 W	0.00	39 of 1011 - Sale <sup>25</sup>
	8.000	26.76	06/22/20	28.64	1.88 W	0.00	40 of 1011 - Sale <sup>25</sup>
	11.000	36.79	06/22/20	38.95	2.16 W	0.00	41 of 1011 - Sale <sup>25</sup>
	37.000	123.75	06/22/20	131.02	7.27 W	0.00	42 of 1011 - Sale <sup>25</sup>
	42.000	140.47	06/22/20	149.99	9.52 W	0.00	43 of 1011 - Sale <sup>25</sup>
	42.000	140.47	06/22/20	148.73	8.26 W	0.00	44 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	47.000	157.20	06/22/20	170.22	13.02 W	0.00	45 of 1011 - Sale <sup>25</sup>
	50.000	167.23	06/22/20	181.08	13.85 W	0.00	46 of 1011 - Sale <sup>25</sup>
	50.000	167.23	06/22/20	175.08	7.85 W	0.00	47 of 1011 - Sale <sup>25</sup>
	50.000	167.23	06/22/20	174.57	7.34 W	0.00	48 of 1011 - Sale <sup>25</sup>
	50.000	167.23	06/22/20	171.57	4.34 W	0.00	49 of 1011 - Sale <sup>25</sup>
	100.000	334.47	06/22/20	343.14	8.67 W	0.00	50 of 1011 - Sale <sup>25</sup>
	8.000	25.12	06/22/20	28.16	3.04 W	0.00	51 of 1011 - Sale <sup>25</sup>
	42.000	131.87	06/22/20	139.92	8.05 W	0.00	52 of 1011 - Sale <sup>25</sup>
	50.000	156.99	06/22/20	177.05	20.06 W	0.00	53 of 1011 - Sale <sup>25</sup>
	4.000	12.56	06/22/20	14.16	1.60 W	0.00	54 of 1011 - Sale <sup>25</sup>
	4.000	12.56	06/22/20	14.17	1.61 W	0.00	55 of 1011 - Sale <sup>25</sup>
	18.000	56.52	06/22/20	63.73	7.21 W	0.00	56 of 1011 - Sale <sup>25</sup>
	24.000	75.36	06/22/20	84.98	9.62 W	0.00	57 of 1011 - Sale <sup>25</sup>
	50.000	156.99	06/22/20	177.05	20.06 W	0.00	58 of 1011 - Sale <sup>25</sup>
	4.000	12.56	06/22/20	14.12	1.56 W	0.00	59 of 1011 - Sale <sup>25</sup>
	4.000	12.56	06/22/20	14.12	1.56 W	0.00	60 of 1011 - Sale <sup>25</sup>
	23.000	72.22	06/22/20	79.60	7.38 W	0.00	61 of 1011 - Sale <sup>25</sup>
	27.000	84.77	06/22/20	93.45	8.68 W	0.00	62 of 1011 - Sale <sup>25</sup>
	32.000	100.47	06/22/20	110.13	9.66 W	0.00	63 of 1011 - Sale <sup>25</sup>
	42.000	131.87	06/22/20	148.74	16.87 W	0.00	64 of 1011 - Sale <sup>25</sup>
	42.000	131.87	06/22/20	144.97	13.10 W	0.00	65 of 1011 - Sale <sup>25</sup>
	50.000	156.99	06/22/20	173.07	16.08 W	0.00	66 of 1011 - Sale <sup>25</sup>
	50.000	156.99	06/22/20	172.57	15.58 W	0.00	67 of 1011 - Sale <sup>25</sup>
	1.000	3.14	06/22/20	3.35	0.21 W	0.00	68 of 1011 - Sale <sup>25</sup>
	1.000	3.14	06/22/20	3.35	0.21 W	0.00	69 of 1011 - Sale <sup>25</sup>
	8.000	25.12	06/22/20	26.76	1.64 W	0.00	70 of 1011 - Sale <sup>25</sup>
	8.000	25.12	06/22/20	27.08	1.96 W	0.00	71 of 1011 - Sale <sup>25</sup>
	11.000	34.54	06/22/20	36.81	2.27 W	0.00	72 of 1011 - Sale <sup>25</sup>
	26.000	81.63	06/22/20	89.48	7.85 W	0.00	73 of 1011 - Sale <sup>25</sup>
	37.000	116.17	06/22/20	123.82	7.65 W	0.00	74 of 1011 - Sale <sup>25</sup>
	42.000	131.87	06/22/20	141.82	9.95 W	0.00	75 of 1011 - Sale <sup>25</sup>
	42.000	131.87	06/22/20	140.56	8.69 W	0.00	76 of 1011 - Sale <sup>25</sup>
	50.000	156.99	06/22/20	171.35	14.36 W	0.00	77 of 1011 - Sale <sup>25</sup>
	3.000	9.42	06/22/20	10.22	0.80 W	0.00	78 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
47.000		147.57	06/22/20	160.13	12.56 W	0.00	79 of 1011 - Sale <sup>25</sup>
50.000		156.99	06/22/20	164.35	7.36 W	0.00	80 of 1011 - Sale <sup>25</sup>
50.000		156.99	06/22/20	163.84	6.85 W	0.00	81 of 1011 - Sale <sup>25</sup>
50.000		156.99	06/22/20	160.84	3.85 W	0.00	82 of 1011 - Sale <sup>25</sup>
100.000		313.98	06/22/20	320.66	6.68 W	0.00	83 of 1011 - Sale <sup>25</sup>
20.000		64.80	06/22/20	67.23	2.43 W	0.00	84 of 1011 - Sale <sup>25</sup>
4.000		12.96	06/22/20	14.28	1.32 W	0.00	85 of 1011 - Sale <sup>25</sup>
4.000		12.96	06/22/20	14.29	1.33 W	0.00	86 of 1011 - Sale <sup>25</sup>
4.000		12.96	06/22/20	14.24	1.28 W	0.00	87 of 1011 - Sale <sup>25</sup>
4.000		12.96	06/22/20	14.24	1.28 W	0.00	88 of 1011 - Sale <sup>25</sup>
8.000		25.92	06/22/20	28.40	2.48 W	0.00	89 of 1011 - Sale <sup>25</sup>
18.000		58.32	06/22/20	64.27	5.95 W	0.00	90 of 1011 - Sale <sup>25</sup>
22.000		71.28	06/22/20	73.96	2.68 W	0.00	91 of 1011 - Sale <sup>25</sup>
23.000		74.51	06/22/20	80.29	5.78 W	0.00	92 of 1011 - Sale <sup>25</sup>
24.000		77.75	06/22/20	85.70	7.95 W	0.00	93 of 1011 - Sale <sup>25</sup>
26.000		84.23	06/22/20	90.27	6.04 W	0.00	94 of 1011 - Sale <sup>25</sup>
27.000		87.47	06/22/20	94.27	6.80 W	0.00	95 of 1011 - Sale <sup>25</sup>
32.000		103.67	06/22/20	111.10	7.43 W	0.00	96 of 1011 - Sale <sup>25</sup>
42.000		136.07	06/22/20	150.01	13.94 W	0.00	97 of 1011 - Sale <sup>25</sup>
42.000		136.07	06/22/20	146.24	10.17 W	0.00	98 of 1011 - Sale <sup>25</sup>
50.000		161.99	06/22/20	178.56	16.57 W	0.00	99 of 1011 - Sale <sup>25</sup>
50.000		161.99	06/22/20	178.56	16.57 W	0.00	100 of 1011 - Sale <sup>25</sup>
50.000		161.99	06/22/20	174.58	12.59 W	0.00	101 of 1011 - Sale <sup>25</sup>
50.000		161.99	06/22/20	174.08	12.09 W	0.00	102 of 1011 - Sale <sup>25</sup>
1.000		3.24	06/22/20	3.40	0.16 W	0.00	103 of 1011 - Sale <sup>25</sup>
1.000		3.24	06/22/20	3.40	0.16 W	0.00	104 of 1011 - Sale <sup>25</sup>
3.000		9.72	06/22/20	10.37	0.65 W	0.00	105 of 1011 - Sale <sup>25</sup>
8.000		25.92	06/22/20	27.16	1.24 W	0.00	106 of 1011 - Sale <sup>25</sup>
8.000		25.92	06/22/20	27.48	1.56 W	0.00	107 of 1011 - Sale <sup>25</sup>
11.000		35.64	06/22/20	37.36	1.72 W	0.00	108 of 1011 - Sale <sup>25</sup>
37.000		119.87	06/22/20	125.68	5.81 W	0.00	109 of 1011 - Sale <sup>25</sup>
42.000		136.07	06/22/20	143.93	7.86 W	0.00	110 of 1011 - Sale <sup>25</sup>
42.000		136.07	06/22/20	142.67	6.60 W	0.00	111 of 1011 - Sale <sup>25</sup>
26.000		84.24	06/22/20	89.89	5.65 W	0.00	112 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	50.000	161.99	06/22/20	173.86	11.87 W	0.00	113 of 1011 - Sale <sup>25</sup>
	4.000	12.96	06/22/20	14.08	1.12 W	0.00	114 of 1011 - Sale <sup>25</sup>
	4.000	12.96	06/22/20	14.09	1.13 W	0.00	115 of 1011 - Sale <sup>25</sup>
	4.000	12.96	06/22/20	14.04	1.08 W	0.00	116 of 1011 - Sale <sup>25</sup>
	4.000	12.96	06/22/20	14.04	1.08 W	0.00	117 of 1011 - Sale <sup>25</sup>
	8.000	25.92	06/22/20	28.00	2.08 W	0.00	118 of 1011 - Sale <sup>25</sup>
	18.000	58.32	06/22/20	63.37	5.05 W	0.00	119 of 1011 - Sale <sup>25</sup>
	20.000	64.80	06/22/20	66.23	1.43 W	0.00	120 of 1011 - Sale <sup>25</sup>
	22.000	71.28	06/22/20	72.86	1.58 W	0.00	121 of 1011 - Sale <sup>25</sup>
	23.000	74.51	06/22/20	79.15	4.64 W	0.00	122 of 1011 - Sale <sup>25</sup>
	24.000	77.75	06/22/20	84.51	6.76 W	0.00	123 of 1011 - Sale <sup>25</sup>
	26.000	84.24	06/22/20	88.98	4.74 W	0.00	124 of 1011 - Sale <sup>25</sup>
	27.000	87.47	06/22/20	92.93	5.46 W	0.00	125 of 1011 - Sale <sup>25</sup>
	32.000	103.67	06/22/20	109.51	5.84 W	0.00	126 of 1011 - Sale <sup>25</sup>
	42.000	136.07	06/22/20	147.92	11.85 W	0.00	127 of 1011 - Sale <sup>25</sup>
	42.000	136.07	06/22/20	144.15	8.08 W	0.00	128 of 1011 - Sale <sup>25</sup>
	21.000	68.04	06/22/20	72.60	4.56 W	0.00	129 of 1011 - Sale <sup>25</sup>
	50.000	161.99	06/22/20	166.86	4.87 W	0.00	130 of 1011 - Sale <sup>25</sup>
	50.000	161.99	06/22/20	166.35	4.36 W	0.00	131 of 1011 - Sale <sup>25</sup>
	50.000	161.99	06/22/20	163.35	1.36 W	0.00	132 of 1011 - Sale <sup>25</sup>
	50.000	161.99	06/22/20	176.07	14.08 W	0.00	133 of 1011 - Sale <sup>25</sup>
	50.000	161.99	06/22/20	176.07	14.08 W	0.00	134 of 1011 - Sale <sup>25</sup>
	50.000	161.99	06/22/20	172.09	10.10 W	0.00	135 of 1011 - Sale <sup>25</sup>
	50.000	161.99	06/22/20	171.59	9.60 W	0.00	136 of 1011 - Sale <sup>25</sup>
	100.000	323.98	06/22/20	325.68	1.70 W	0.00	137 of 1011 - Sale <sup>25</sup>
	1.000	3.18	06/22/20	3.40	0.22 W	0.00	138 of 1011 - Sale <sup>25</sup>
	1.000	3.18	06/22/20	3.40	0.22 W	0.00	139 of 1011 - Sale <sup>25</sup>
	8.000	25.44	06/22/20	27.16	1.72 W	0.00	140 of 1011 - Sale <sup>25</sup>
	8.000	25.44	06/22/20	27.48	2.04 W	0.00	141 of 1011 - Sale <sup>25</sup>
	11.000	34.98	06/22/20	37.36	2.38 W	0.00	142 of 1011 - Sale <sup>25</sup>
	37.000	117.65	06/22/20	125.69	8.04 W	0.00	143 of 1011 - Sale <sup>25</sup>
	42.000	133.55	06/22/20	143.94	10.39 W	0.00	144 of 1011 - Sale <sup>25</sup>
	42.000	133.55	06/22/20	142.68	9.13 W	0.00	145 of 1011 - Sale <sup>25</sup>
	50.000	158.99	06/22/20	173.87	14.88 W	0.00	146 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	3.000	9.54	06/22/20	10.37	0.83 W	0.00	147 of 1011 - Sale <sup>25</sup>
	21.000	66.78	06/22/20	72.60	5.82 W	0.00	148 of 1011 - Sale <sup>25</sup>
	26.000	82.68	06/22/20	89.89	7.21 W	0.00	149 of 1011 - Sale <sup>25</sup>
	50.000	158.99	06/22/20	166.87	7.88 W	0.00	150 of 1011 - Sale <sup>25</sup>
	15.000	47.70	06/22/20	49.01	1.31 W	0.00	151 of 1011 - Sale <sup>25</sup>
	50.000	159.00	06/22/20	166.36	7.36 W	0.00	152 of 1011 - Sale <sup>25</sup>
	35.000	111.29	06/22/20	114.35	3.06 W	0.00	153 of 1011 - Sale <sup>25</sup>
	100.000	317.99	06/22/20	325.70	7.71 W	0.00	154 of 1011 - Sale <sup>25</sup>
	3.000	9.45	06/22/20	9.93	0.48 W	0.00	155 of 1011 - Sale <sup>25</sup>
	8.000	25.20	06/22/20	28.00	2.80 W	0.00	156 of 1011 - Sale <sup>25</sup>
	17.000	53.55	06/22/20	56.30	2.75 W	0.00	157 of 1011 - Sale <sup>25</sup>
	22.000	69.30	06/22/20	72.86	3.56 W	0.00	158 of 1011 - Sale <sup>25</sup>
	50.000	157.49	06/22/20	176.08	18.59 W	0.00	159 of 1011 - Sale <sup>25</sup>
	10.000	31.50	06/22/20	35.22	3.72 W	0.00	160 of 1011 - Sale <sup>25</sup>
	3.000	9.45	06/22/20	10.33	0.88 W	0.00	161 of 1011 - Sale <sup>25</sup>
	4.000	12.60	06/22/20	14.08	1.48 W	0.00	162 of 1011 - Sale <sup>25</sup>
	4.000	12.60	06/22/20	14.09	1.49 W	0.00	163 of 1011 - Sale <sup>25</sup>
	4.000	12.60	06/22/20	14.04	1.44 W	0.00	164 of 1011 - Sale <sup>25</sup>
	4.000	12.60	06/22/20	14.04	1.44 W	0.00	165 of 1011 - Sale <sup>25</sup>
	18.000	56.70	06/22/20	63.37	6.67 W	0.00	166 of 1011 - Sale <sup>25</sup>
	24.000	75.60	06/22/20	84.52	8.92 W	0.00	167 of 1011 - Sale <sup>25</sup>
	42.000	132.29	06/22/20	147.93	15.64 W	0.00	168 of 1011 - Sale <sup>25</sup>
	40.000	125.99	06/22/20	140.86	14.87 W	0.00	169 of 1011 - Sale <sup>25</sup>
	50.000	157.49	06/22/20	172.10	14.61 W	0.00	170 of 1011 - Sale <sup>25</sup>
	23.000	72.45	06/22/20	79.16	6.71 W	0.00	171 of 1011 - Sale <sup>25</sup>
	24.000	75.59	06/22/20	82.61	7.02 W	0.00	172 of 1011 - Sale <sup>25</sup>
	25.000	78.74	06/22/20	85.56	6.82 W	0.00	173 of 1011 - Sale <sup>25</sup>
	42.000	132.29	06/22/20	144.16	11.87 W	0.00	174 of 1011 - Sale <sup>25</sup>
	50.000	157.49	06/22/20	171.60	14.11 W	0.00	175 of 1011 - Sale <sup>25</sup>
	26.000	81.90	06/22/20	88.98	7.08 W	0.00	176 of 1011 - Sale <sup>25</sup>
	7.000	22.05	06/22/20	23.96	1.91 W	0.00	177 of 1011 - Sale <sup>25</sup>
	1.000	3.42	06/22/20	3.63	0.21 W	0.00	178 of 1011 - Sale <sup>25</sup>
	1.000	3.42	06/22/20	3.63	0.21 W	0.00	179 of 1011 - Sale <sup>25</sup>
	3.000	10.26	06/22/20	11.06	0.80 W	0.00	180 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	8.000	27.36	06/22/20	29.00	1.64 W	0.00	181 of 1011 - Sale <sup>25</sup>
	8.000	27.36	06/22/20	29.32	1.96 W	0.00	182 of 1011 - Sale <sup>25</sup>
	11.000	37.62	06/22/20	39.89	2.27 W	0.00	183 of 1011 - Sale <sup>25</sup>
	21.000	71.81	06/22/20	77.43	5.62 W	0.00	184 of 1011 - Sale <sup>25</sup>
	26.000	88.91	06/22/20	95.86	6.95 W	0.00	185 of 1011 - Sale <sup>25</sup>
	37.000	126.53	06/22/20	134.20	7.67 W	0.00	186 of 1011 - Sale <sup>25</sup>
	42.000	143.63	06/22/20	153.60	9.97 W	0.00	187 of 1011 - Sale <sup>25</sup>
	42.000	143.63	06/22/20	152.34	8.71 W	0.00	188 of 1011 - Sale <sup>25</sup>
	50.000	170.99	06/22/20	185.37	14.38 W	0.00	189 of 1011 - Sale <sup>25</sup>
	50.000	170.99	06/22/20	178.37	7.38 W	0.00	190 of 1011 - Sale <sup>25</sup>
	3.000	10.26	06/22/20	10.71	0.45 W	0.00	191 of 1011 - Sale <sup>25</sup>
	8.000	27.36	06/22/20	30.08	2.72 W	0.00	192 of 1011 - Sale <sup>25</sup>
	15.000	51.30	06/22/20	52.46	1.16 W	0.00	193 of 1011 - Sale <sup>25</sup>
	17.000	58.13	06/22/20	60.72	2.59 W	0.00	194 of 1011 - Sale <sup>25</sup>
	22.000	75.23	06/22/20	78.58	3.35 W	0.00	195 of 1011 - Sale <sup>25</sup>
	35.000	119.69	06/22/20	122.40	2.71 W	0.00	196 of 1011 - Sale <sup>25</sup>
	50.000	170.99	06/22/20	177.85	6.86 W	0.00	197 of 1011 - Sale <sup>25</sup>
	50.000	170.99	06/22/20	189.08	18.09 W	0.00	198 of 1011 - Sale <sup>25</sup>
	100.000	341.98	06/22/20	348.69	6.71 W	0.00	199 of 1011 - Sale <sup>25</sup>
	4.000	13.68	06/22/20	15.12	1.44 W	0.00	200 of 1011 - Sale <sup>25</sup>
	4.000	13.68	06/22/20	15.13	1.45 W	0.00	201 of 1011 - Sale <sup>25</sup>
	4.000	13.68	06/22/20	15.08	1.40 W	0.00	202 of 1011 - Sale <sup>25</sup>
	4.000	13.68	06/22/20	15.08	1.40 W	0.00	203 of 1011 - Sale <sup>25</sup>
	10.000	34.20	06/22/20	37.82	3.62 W	0.00	204 of 1011 - Sale <sup>25</sup>
	18.000	61.56	06/22/20	68.05	6.49 W	0.00	205 of 1011 - Sale <sup>25</sup>
	18.000	61.56	06/22/20	66.63	5.07 W	0.00	206 of 1011 - Sale <sup>25</sup>
	24.000	82.07	06/22/20	90.75	8.68 W	0.00	207 of 1011 - Sale <sup>25</sup>
	40.000	136.79	06/22/20	151.26	14.47 W	0.00	208 of 1011 - Sale <sup>25</sup>
	42.000	143.63	06/22/20	158.85	15.22 W	0.00	209 of 1011 - Sale <sup>25</sup>
	3.000	10.26	06/22/20	11.11	0.85 W	0.00	210 of 1011 - Sale <sup>25</sup>
	23.000	78.65	06/22/20	85.14	6.49 W	0.00	211 of 1011 - Sale <sup>25</sup>
	24.000	82.07	06/22/20	88.86	6.79 W	0.00	212 of 1011 - Sale <sup>25</sup>
	32.000	109.43	06/22/20	118.47	9.04 W	0.00	213 of 1011 - Sale <sup>25</sup>
	50.000	170.99	06/22/20	184.61	13.62 W	0.00	214 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	7.000	23.94	06/22/20	25.78	1.84 W	0.00	215 of 1011 - Sale <sup>25</sup>
	25.000	85.50	06/22/20	92.07	6.57 W	0.00	216 of 1011 - Sale <sup>25</sup>
	26.000	88.91	06/22/20	95.74	6.83 W	0.00	217 of 1011 - Sale <sup>25</sup>
	42.000	143.63	06/22/20	155.09	11.46 W	0.00	218 of 1011 - Sale <sup>25</sup>
	1.000	3.42	06/22/20	3.59	0.17 W	0.00	219 of 1011 - Sale <sup>25</sup>
	1.000	3.42	06/22/20	3.59	0.17 W	0.00	220 of 1011 - Sale <sup>25</sup>
	8.000	27.36	06/22/20	28.68	1.32 W	0.00	221 of 1011 - Sale <sup>25</sup>
	11.000	37.62	06/22/20	39.45	1.83 W	0.00	222 of 1011 - Sale <sup>25</sup>
	37.000	126.53	06/22/20	132.73	6.20 W	0.00	223 of 1011 - Sale <sup>25</sup>
	42.000	143.63	06/22/20	151.93	8.30 W	0.00	224 of 1011 - Sale <sup>25</sup>
	3.000	10.29	06/22/20	11.03	0.74 W	0.00	225 of 1011 - Sale <sup>25</sup>
	8.000	27.44	06/22/20	29.24	1.80 W	0.00	226 of 1011 - Sale <sup>25</sup>
	21.000	72.03	06/22/20	77.23	5.20 W	0.00	227 of 1011 - Sale <sup>25</sup>
	26.000	89.17	06/22/20	95.61	6.44 W	0.00	228 of 1011 - Sale <sup>25</sup>
	42.000	144.05	06/22/20	151.93	7.88 W	0.00	229 of 1011 - Sale <sup>25</sup>
	50.000	171.49	06/22/20	184.88	13.39 W	0.00	230 of 1011 - Sale <sup>25</sup>
	50.000	171.49	06/22/20	177.88	6.39 W	0.00	231 of 1011 - Sale <sup>25</sup>
	15.000	52.80	06/22/20	53.06	0.26 W	0.00	232 of 1011 - Sale <sup>25</sup>
	35.000	123.19	06/22/20	123.81	0.62 W	0.00	233 of 1011 - Sale <sup>25</sup>
	50.000	176.00	06/22/20	179.86	3.86 W	0.00	234 of 1011 - Sale <sup>25</sup>
	3.000	10.56	06/22/20	10.83	0.27 W	0.00	235 of 1011 - Sale <sup>25</sup>
	8.000	28.16	06/22/20	30.40	2.24 W	0.00	236 of 1011 - Sale <sup>25</sup>
	17.000	59.84	06/22/20	61.41	1.57 W	0.00	237 of 1011 - Sale <sup>25</sup>
	22.000	77.43	06/22/20	79.47	2.04 W	0.00	238 of 1011 - Sale <sup>25</sup>
	50.000	175.99	06/22/20	191.09	15.10 W	0.00	239 of 1011 - Sale <sup>25</sup>
	100.000	351.98	06/22/20	352.71	0.73 W	0.00	240 of 1011 - Sale <sup>25</sup>
	4.000	14.08	06/22/20	15.28	1.20 W	0.00	241 of 1011 - Sale <sup>25</sup>
	4.000	14.08	06/22/20	15.29	1.21 W	0.00	242 of 1011 - Sale <sup>25</sup>
	10.000	35.20	06/22/20	38.22	3.02 W	0.00	243 of 1011 - Sale <sup>25</sup>
	18.000	63.36	06/22/20	68.77	5.41 W	0.00	244 of 1011 - Sale <sup>25</sup>
	24.000	84.47	06/22/20	91.72	7.25 W	0.00	245 of 1011 - Sale <sup>25</sup>
	40.000	140.80	06/22/20	152.87	12.07 W	0.00	246 of 1011 - Sale <sup>25</sup>
	4.000	14.08	06/22/20	15.24	1.16 W	0.00	247 of 1011 - Sale <sup>25</sup>
	4.000	14.08	06/22/20	15.24	1.16 W	0.00	248 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
18.000		63.36	06/22/20	67.35	3.99 W	0.00	249 of 1011 - Sale <sup>25</sup>
32.000		112.63	06/22/20	119.76	7.13 W	0.00	250 of 1011 - Sale <sup>25</sup>
42.000		147.84	06/22/20	160.54	12.70 W	0.00	251 of 1011 - Sale <sup>25</sup>
1.000		3.50	06/22/20	3.65	0.15 W	0.00	252 of 1011 - Sale <sup>25</sup>
1.000		3.50	06/22/20	3.65	0.15 W	0.00	253 of 1011 - Sale <sup>25</sup>
3.000		10.50	06/22/20	11.29	0.79 W	0.00	254 of 1011 - Sale <sup>25</sup>
3.000		10.50	06/22/20	11.18	0.68 W	0.00	255 of 1011 - Sale <sup>25</sup>
7.000		24.50	06/22/20	26.20	1.70 W	0.00	256 of 1011 - Sale <sup>25</sup>
8.000		28.00	06/22/20	29.16	1.16 W	0.00	257 of 1011 - Sale <sup>25</sup>
8.000		28.00	06/22/20	29.64	1.64 W	0.00	258 of 1011 - Sale <sup>25</sup>
11.000		38.50	06/22/20	40.11	1.61 W	0.00	259 of 1011 - Sale <sup>25</sup>
21.000		73.50	06/22/20	78.28	4.78 W	0.00	260 of 1011 - Sale <sup>25</sup>
23.000		80.50	06/22/20	86.53	6.03 W	0.00	261 of 1011 - Sale <sup>25</sup>
24.000		84.00	06/22/20	90.31	6.31 W	0.00	262 of 1011 - Sale <sup>25</sup>
25.000		87.49	06/22/20	93.57	6.08 W	0.00	263 of 1011 - Sale <sup>25</sup>
26.000		90.99	06/22/20	97.31	6.32 W	0.00	264 of 1011 - Sale <sup>25</sup>
26.000		90.99	06/22/20	96.92	5.93 W	0.00	265 of 1011 - Sale <sup>25</sup>
37.000		129.49	06/22/20	134.96	5.47 W	0.00	266 of 1011 - Sale <sup>25</sup>
42.000		146.99	06/22/20	157.62	10.63 W	0.00	267 of 1011 - Sale <sup>25</sup>
42.000		146.99	06/22/20	154.46	7.47 W	0.00	268 of 1011 - Sale <sup>25</sup>
42.000		146.99	06/22/20	154.04	7.05 W	0.00	269 of 1011 - Sale <sup>25</sup>
50.000		174.99	06/22/20	187.62	12.63 W	0.00	270 of 1011 - Sale <sup>25</sup>
50.000		174.99	06/22/20	187.39	12.40 W	0.00	271 of 1011 - Sale <sup>25</sup>
50.000		174.99	06/22/20	180.39	5.40 W	0.00	272 of 1011 - Sale <sup>25</sup>
15.000		51.60	06/22/20	52.31	0.71 W	0.00	273 of 1011 - Sale <sup>25</sup>
35.000		120.39	06/22/20	122.07	1.68 W	0.00	274 of 1011 - Sale <sup>25</sup>
50.000		171.99	06/22/20	177.36	5.37 W	0.00	275 of 1011 - Sale <sup>25</sup>
100.000		343.98	06/22/20	347.73	3.75 W	0.00	276 of 1011 - Sale <sup>25</sup>
3.000		10.32	06/22/20	10.53	0.21 W	0.00	277 of 1011 - Sale <sup>25</sup>
4.000		13.76	06/22/20	14.88	1.12 W	0.00	278 of 1011 - Sale <sup>25</sup>
8.000		27.52	06/22/20	29.60	2.08 W	0.00	279 of 1011 - Sale <sup>25</sup>
10.000		34.40	06/22/20	37.22	2.82 W	0.00	280 of 1011 - Sale <sup>25</sup>
17.000		58.48	06/22/20	59.71	1.23 W	0.00	281 of 1011 - Sale <sup>25</sup>
18.000		61.92	06/22/20	66.97	5.05 W	0.00	282 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	22.000	75.68	06/22/20	77.28	1.60 W	0.00	283 of 1011 - Sale <sup>25</sup>
	24.000	82.55	06/22/20	89.33	6.78 W	0.00	284 of 1011 - Sale <sup>25</sup>
	40.000	137.59	06/22/20	148.87	11.28 W	0.00	285 of 1011 - Sale <sup>25</sup>
	50.000	171.99	06/22/20	186.10	14.11 W	0.00	286 of 1011 - Sale <sup>25</sup>
	4.000	13.76	06/22/20	14.89	1.13 W	0.00	287 of 1011 - Sale <sup>25</sup>
	3.000	10.32	06/22/20	10.99	0.67 W	0.00	288 of 1011 - Sale <sup>25</sup>
	4.000	13.76	06/22/20	14.76	1.00 W	0.00	289 of 1011 - Sale <sup>25</sup>
	4.000	13.76	06/22/20	14.76	1.00 W	0.00	290 of 1011 - Sale <sup>25</sup>
	18.000	61.91	06/22/20	65.19	3.28 W	0.00	291 of 1011 - Sale <sup>25</sup>
	23.000	79.12	06/22/20	84.23	5.11 W	0.00	292 of 1011 - Sale <sup>25</sup>
	24.000	82.55	06/22/20	87.91	5.36 W	0.00	293 of 1011 - Sale <sup>25</sup>
	32.000	110.07	06/22/20	115.93	5.86 W	0.00	294 of 1011 - Sale <sup>25</sup>
	42.000	144.47	06/22/20	155.50	11.03 W	0.00	295 of 1011 - Sale <sup>25</sup>
	32.000	110.07	06/22/20	116.88	6.81 W	0.00	296 of 1011 - Sale <sup>25</sup>
	1.000	3.44	06/22/20	3.65	0.21 W	0.00	297 of 1011 - Sale <sup>25</sup>
	8.000	27.52	06/22/20	29.22	1.70 W	0.00	298 of 1011 - Sale <sup>25</sup>
	17.000	58.48	06/22/20	62.10	3.62 W	0.00	299 of 1011 - Sale <sup>25</sup>
	7.000	24.08	06/22/20	25.50	1.42 W	0.00	300 of 1011 - Sale <sup>25</sup>
	25.000	86.00	06/22/20	91.08	5.08 W	0.00	301 of 1011 - Sale <sup>25</sup>
	26.000	89.43	06/22/20	94.72	5.29 W	0.00	302 of 1011 - Sale <sup>25</sup>
	34.000	116.96	06/22/20	124.21	7.25 W	0.00	303 of 1011 - Sale <sup>25</sup>
	8.000	27.52	06/22/20	28.62	1.10 W	0.00	304 of 1011 - Sale <sup>25</sup>
	1.000	3.44	06/22/20	3.55	0.11 W	0.00	305 of 1011 - Sale <sup>25</sup>
	1.000	3.44	06/22/20	3.55	0.11 W	0.00	306 of 1011 - Sale <sup>25</sup>
	8.000	27.52	06/22/20	28.36	0.84 W	0.00	307 of 1011 - Sale <sup>25</sup>
	8.000	27.52	06/22/20	28.84	1.32 W	0.00	308 of 1011 - Sale <sup>25</sup>
	11.000	37.84	06/22/20	39.01	1.17 W	0.00	309 of 1011 - Sale <sup>25</sup>
	37.000	127.27	06/22/20	131.27	4.00 W	0.00	310 of 1011 - Sale <sup>25</sup>
	34.000	116.95	06/22/20	121.65	4.70 W	0.00	311 of 1011 - Sale <sup>25</sup>
	42.000	144.47	06/22/20	149.85	5.38 W	0.00	312 of 1011 - Sale <sup>25</sup>
	50.000	171.99	06/22/20	182.40	10.41 W	0.00	313 of 1011 - Sale <sup>25</sup>
	3.000	10.32	06/22/20	10.73	0.41 W	0.00	314 of 1011 - Sale <sup>25</sup>
	21.000	72.24	06/22/20	75.13	2.89 W	0.00	315 of 1011 - Sale <sup>25</sup>
	26.000	89.43	06/22/20	93.03	3.60 W	0.00	316 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	50.000	171.99	06/22/20	172.90	0.91 W	0.00	317 of 1011 - Sale <sup>25</sup>
	3.000	10.38	06/22/20	10.56	0.18 W	0.00	318 of 1011 - Sale <sup>25</sup>
	4.000	13.84	06/22/20	14.92	1.08 W	0.00	319 of 1011 - Sale <sup>25</sup>
	4.000	13.84	06/22/20	14.93	1.09 W	0.00	320 of 1011 - Sale <sup>25</sup>
	4.000	13.84	06/22/20	14.80	0.96 W	0.00	321 of 1011 - Sale <sup>25</sup>
	4.000	13.84	06/22/20	14.80	0.96 W	0.00	322 of 1011 - Sale <sup>25</sup>
	8.000	27.68	06/22/20	29.68	2.00 W	0.00	323 of 1011 - Sale <sup>25</sup>
	10.000	34.60	06/22/20	37.32	2.72 W	0.00	324 of 1011 - Sale <sup>25</sup>
	15.000	51.90	06/22/20	52.46	0.56 W	0.00	325 of 1011 - Sale <sup>25</sup>
	17.000	58.82	06/22/20	59.88	1.06 W	0.00	326 of 1011 - Sale <sup>25</sup>
	18.000	62.28	06/22/20	67.15	4.87 W	0.00	327 of 1011 - Sale <sup>25</sup>
	18.000	62.28	06/22/20	65.38	3.10 W	0.00	328 of 1011 - Sale <sup>25</sup>
	22.000	76.12	06/22/20	77.50	1.38 W	0.00	329 of 1011 - Sale <sup>25</sup>
	23.000	79.57	06/22/20	83.56	3.99 W	0.00	330 of 1011 - Sale <sup>25</sup>
	24.000	83.03	06/22/20	89.58	6.55 W	0.00	331 of 1011 - Sale <sup>25</sup>
	35.000	121.09	06/22/20	122.43	1.34 W	0.00	332 of 1011 - Sale <sup>25</sup>
	40.000	138.39	06/22/20	149.28	10.89 W	0.00	333 of 1011 - Sale <sup>25</sup>
	42.000	145.31	06/22/20	155.93	10.62 W	0.00	334 of 1011 - Sale <sup>25</sup>
	50.000	172.99	06/22/20	177.87	4.88 W	0.00	335 of 1011 - Sale <sup>25</sup>
	50.000	172.99	06/22/20	186.61	13.62 W	0.00	336 of 1011 - Sale <sup>25</sup>
	100.000	345.98	06/22/20	348.75	2.77 W	0.00	337 of 1011 - Sale <sup>25</sup>
	1.000	3.46	06/22/20	3.66	0.20 W	0.00	338 of 1011 - Sale <sup>25</sup>
	1.000	3.46	06/22/20	3.56	0.10 W	0.00	339 of 1011 - Sale <sup>25</sup>
	1.000	3.46	06/22/20	3.56	0.10 W	0.00	340 of 1011 - Sale <sup>25</sup>
	3.000	10.38	06/22/20	11.02	0.64 W	0.00	341 of 1011 - Sale <sup>25</sup>
	3.000	10.38	06/22/20	10.76	0.38 W	0.00	342 of 1011 - Sale <sup>25</sup>
	7.000	24.22	06/22/20	25.57	1.35 W	0.00	343 of 1011 - Sale <sup>25</sup>
	8.000	27.68	06/22/20	29.30	1.62 W	0.00	344 of 1011 - Sale <sup>25</sup>
	8.000	27.68	06/22/20	28.70	1.02 W	0.00	345 of 1011 - Sale <sup>25</sup>
	8.000	27.68	06/22/20	28.44	0.76 W	0.00	346 of 1011 - Sale <sup>25</sup>
	8.000	27.68	06/22/20	28.92	1.24 W	0.00	347 of 1011 - Sale <sup>25</sup>
	9.000	31.14	06/22/20	32.70	1.56 W	0.00	348 of 1011 - Sale <sup>25</sup>
	11.000	38.06	06/22/20	39.12	1.06 W	0.00	349 of 1011 - Sale <sup>25</sup>
	17.000	58.82	06/22/20	62.27	3.45 W	0.00	350 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
21.000		72.66	06/22/20	75.34	2.68 W	0.00	351 of 1011 - Sale <sup>25</sup>
23.000		79.58	06/22/20	84.46	4.88 W	0.00	352 of 1011 - Sale <sup>25</sup>
24.000		83.03	06/22/20	88.16	5.13 W	0.00	353 of 1011 - Sale <sup>25</sup>
25.000		86.49	06/22/20	91.33	4.84 W	0.00	354 of 1011 - Sale <sup>25</sup>
26.000		89.95	06/22/20	94.99	5.04 W	0.00	355 of 1011 - Sale <sup>25</sup>
26.000		89.95	06/22/20	93.30	3.35 W	0.00	356 of 1011 - Sale <sup>25</sup>
32.000		110.71	06/22/20	117.21	6.50 W	0.00	357 of 1011 - Sale <sup>25</sup>
34.000		117.63	06/22/20	124.55	6.92 W	0.00	358 of 1011 - Sale <sup>25</sup>
34.000		117.63	06/22/20	122.00	4.37 W	0.00	359 of 1011 - Sale <sup>25</sup>
37.000		128.01	06/22/20	131.65	3.64 W	0.00	360 of 1011 - Sale <sup>25</sup>
41.000		141.85	06/22/20	142.20	0.35 W	0.00	361 of 1011 - Sale <sup>25</sup>
42.000		145.31	06/22/20	150.28	4.97 W	0.00	362 of 1011 - Sale <sup>25</sup>
50.000		172.99	06/22/20	182.91	9.92 W	0.00	363 of 1011 - Sale <sup>25</sup>
9.000		31.14	06/22/20	31.21	0.07 W	0.00	364 of 1011 - Sale <sup>25</sup>
15.000		53.40	06/22/20	53.81	0.41 W	0.00	365 of 1011 - Sale <sup>25</sup>
35.000		124.60	06/22/20	125.59	0.99 W	0.00	366 of 1011 - Sale <sup>25</sup>
50.000		178.00	06/22/20	182.38	4.38 W	0.00	367 of 1011 - Sale <sup>25</sup>
100.000		355.99	06/22/20	357.77	1.78 W	0.00	368 of 1011 - Sale <sup>25</sup>
3.000		10.68	06/22/20	10.83	0.15 W	0.00	369 of 1011 - Sale <sup>25</sup>
4.000		14.24	06/22/20	15.28	1.04 W	0.00	370 of 1011 - Sale <sup>25</sup>
4.000		14.24	06/22/20	15.29	1.05 W	0.00	371 of 1011 - Sale <sup>25</sup>
4.000		14.24	06/22/20	15.16	0.92 W	0.00	372 of 1011 - Sale <sup>25</sup>
4.000		14.24	06/22/20	15.16	0.92 W	0.00	373 of 1011 - Sale <sup>25</sup>
8.000		28.48	06/22/20	30.40	1.92 W	0.00	374 of 1011 - Sale <sup>25</sup>
9.000		32.04	06/22/20	33.51	1.47 W	0.00	375 of 1011 - Sale <sup>25</sup>
10.000		35.60	06/22/20	38.22	2.62 W	0.00	376 of 1011 - Sale <sup>25</sup>
17.000		60.52	06/22/20	61.41	0.89 W	0.00	377 of 1011 - Sale <sup>25</sup>
18.000		64.08	06/22/20	68.77	4.69 W	0.00	378 of 1011 - Sale <sup>25</sup>
18.000		64.08	06/22/20	67.00	2.92 W	0.00	379 of 1011 - Sale <sup>25</sup>
22.000		78.32	06/22/20	79.48	1.16 W	0.00	380 of 1011 - Sale <sup>25</sup>
23.000		81.87	06/22/20	85.64	3.77 W	0.00	381 of 1011 - Sale <sup>25</sup>
24.000		85.44	06/22/20	91.75	6.31 W	0.00	382 of 1011 - Sale <sup>25</sup>
40.000		142.39	06/22/20	152.89	10.50 W	0.00	383 of 1011 - Sale <sup>25</sup>
42.000		149.51	06/22/20	159.72	10.21 W	0.00	384 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	50.000	177.99	06/22/20	191.12	13.13 W	0.00	385 of 1011 - Sale <sup>25</sup>
	1.000	3.61	06/22/20	3.80	0.19 W	0.00	386 of 1011 - Sale <sup>25</sup>
	3.000	10.83	06/22/20	11.44	0.61 W	0.00	387 of 1011 - Sale <sup>25</sup>
	17.000	61.37	06/22/20	64.65	3.28 W	0.00	388 of 1011 - Sale <sup>25</sup>
	23.000	83.03	06/22/20	87.68	4.65 W	0.00	389 of 1011 - Sale <sup>25</sup>
	24.000	86.64	06/22/20	91.53	4.89 W	0.00	390 of 1011 - Sale <sup>25</sup>
	32.000	115.51	06/22/20	121.70	6.19 W	0.00	391 of 1011 - Sale <sup>25</sup>
	1.000	3.62	06/22/20	3.70	0.08 W	0.00	392 of 1011 - Sale <sup>25</sup>
	1.000	3.62	06/22/20	3.70	0.08 W	0.00	393 of 1011 - Sale <sup>25</sup>
	7.000	25.34	06/22/20	26.55	1.21 W	0.00	394 of 1011 - Sale <sup>25</sup>
	8.000	28.96	06/22/20	30.42	1.46 W	0.00	395 of 1011 - Sale <sup>25</sup>
	8.000	28.96	06/22/20	29.82	0.86 W	0.00	396 of 1011 - Sale <sup>25</sup>
	8.000	28.96	06/22/20	29.56	0.60 W	0.00	397 of 1011 - Sale <sup>25</sup>
	11.000	39.82	06/22/20	40.66	0.84 W	0.00	398 of 1011 - Sale <sup>25</sup>
	25.000	90.49	06/22/20	94.84	4.35 W	0.00	399 of 1011 - Sale <sup>25</sup>
	25.000	90.49	06/22/20	92.46	1.97 W	0.00	400 of 1011 - Sale <sup>25</sup>
	26.000	94.12	06/22/20	98.64	4.52 W	0.00	401 of 1011 - Sale <sup>25</sup>
	34.000	123.07	06/22/20	129.32	6.25 W	0.00	402 of 1011 - Sale <sup>25</sup>
	34.000	123.07	06/22/20	126.77	3.70 W	0.00	403 of 1011 - Sale <sup>25</sup>
	12.000	43.44	06/22/20	44.38	0.94 W	0.00	404 of 1011 - Sale <sup>25</sup>
	3.000	10.86	06/22/20	11.18	0.32 W	0.00	405 of 1011 - Sale <sup>25</sup>
	8.000	28.96	06/22/20	30.04	1.08 W	0.00	406 of 1011 - Sale <sup>25</sup>
	6.000	21.72	06/22/20	22.37	0.65 W	0.00	407 of 1011 - Sale <sup>25</sup>
	26.000	94.11	06/22/20	96.95	2.84 W	0.00	408 of 1011 - Sale <sup>25</sup>
	42.000	152.03	06/22/20	156.17	4.14 W	0.00	409 of 1011 - Sale <sup>25</sup>
	50.000	180.99	06/22/20	189.92	8.93 W	0.00	410 of 1011 - Sale <sup>25</sup>
	15.000	54.30	06/22/20	54.41	0.11 W	0.00	411 of 1011 - Sale <sup>25</sup>
	15.000	54.30	06/22/20	55.91	1.61 W	0.00	412 of 1011 - Sale <sup>25</sup>
	35.000	126.69	06/22/20	126.99	0.30 W	0.00	413 of 1011 - Sale <sup>25</sup>
	50.000	180.99	06/22/20	184.38	3.39 W	0.00	414 of 1011 - Sale <sup>25</sup>
	3.000	10.86	06/22/20	10.95	0.09 W	0.00	415 of 1011 - Sale <sup>25</sup>
	8.000	28.96	06/22/20	30.72	1.76 W	0.00	416 of 1011 - Sale <sup>25</sup>
	10.000	36.20	06/22/20	38.62	2.42 W	0.00	417 of 1011 - Sale <sup>25</sup>
	17.000	61.54	06/22/20	62.09	0.55 W	0.00	418 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
22.000		79.63	06/22/20	80.36	0.73 W	0.00	419 of 1011 - Sale <sup>25</sup>
25.000		90.49	06/22/20	96.56	6.07 W	0.00	420 of 1011 - Sale <sup>25</sup>
50.000		180.99	06/22/20	193.13	12.14 W	0.00	421 of 1011 - Sale <sup>25</sup>
4.000		14.48	06/22/20	15.44	0.96 W	0.00	422 of 1011 - Sale <sup>25</sup>
4.000		14.48	06/22/20	15.45	0.97 W	0.00	423 of 1011 - Sale <sup>25</sup>
18.000		65.16	06/22/20	69.49	4.33 W	0.00	424 of 1011 - Sale <sup>25</sup>
24.000		86.87	06/22/20	92.71	5.84 W	0.00	425 of 1011 - Sale <sup>25</sup>
15.000		54.30	06/22/20	57.94	3.64 W	0.00	426 of 1011 - Sale <sup>25</sup>
4.000		14.48	06/22/20	15.32	0.84 W	0.00	427 of 1011 - Sale <sup>25</sup>
4.000		14.48	06/22/20	15.32	0.84 W	0.00	428 of 1011 - Sale <sup>25</sup>
9.000		32.58	06/22/20	33.87	1.29 W	0.00	429 of 1011 - Sale <sup>25</sup>
18.000		65.15	06/22/20	67.72	2.57 W	0.00	430 of 1011 - Sale <sup>25</sup>
23.000		83.25	06/22/20	86.57	3.32 W	0.00	431 of 1011 - Sale <sup>25</sup>
42.000		152.03	06/22/20	161.41	9.38 W	0.00	432 of 1011 - Sale <sup>25</sup>
3.000		11.16	06/22/20	11.71	0.55 W	0.00	433 of 1011 - Sale <sup>25</sup>
23.000		85.56	06/22/20	89.75	4.19 W	0.00	434 of 1011 - Sale <sup>25</sup>
24.000		89.28	06/22/20	93.69	4.41 W	0.00	435 of 1011 - Sale <sup>25</sup>
30.000		111.59	06/22/20	116.80	5.21 W	0.00	436 of 1011 - Sale <sup>25</sup>
1.000		3.72	06/22/20	3.89	0.17 W	0.00	437 of 1011 - Sale <sup>25</sup>
1.000		3.72	06/22/20	3.78	0.06 W	0.00	438 of 1011 - Sale <sup>25</sup>
1.000		3.72	06/22/20	3.78	0.06 W	0.00	439 of 1011 - Sale <sup>25</sup>
7.000		26.04	06/22/20	27.11	1.07 W	0.00	440 of 1011 - Sale <sup>25</sup>
8.000		29.76	06/22/20	31.06	1.30 W	0.00	441 of 1011 - Sale <sup>25</sup>
8.000		29.76	06/22/20	30.46	0.70 W	0.00	442 of 1011 - Sale <sup>25</sup>
8.000		29.76	06/22/20	30.20	0.44 W	0.00	443 of 1011 - Sale <sup>25</sup>
11.000		40.92	06/22/20	41.54	0.62 W	0.00	444 of 1011 - Sale <sup>25</sup>
12.000		44.64	06/22/20	45.34	0.70 W	0.00	445 of 1011 - Sale <sup>25</sup>
17.000		63.24	06/22/20	66.18	2.94 W	0.00	446 of 1011 - Sale <sup>25</sup>
25.000		92.99	06/22/20	96.85	3.86 W	0.00	447 of 1011 - Sale <sup>25</sup>
25.000		92.99	06/22/20	94.47	1.48 W	0.00	448 of 1011 - Sale <sup>25</sup>
26.000		96.71	06/22/20	100.72	4.01 W	0.00	449 of 1011 - Sale <sup>25</sup>
2.000		7.44	06/22/20	7.79	0.35 W	0.00	450 of 1011 - Sale <sup>25</sup>
34.000		126.47	06/22/20	132.05	5.58 W	0.00	451 of 1011 - Sale <sup>25</sup>
34.000		126.47	06/22/20	129.50	3.03 W	0.00	452 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	3.000	11.16	06/22/20	11.42	0.26 W	0.00	453 of 1011 - Sale <sup>25</sup>
	3.000	11.16	06/22/20	11.19	0.03 W	0.00	454 of 1011 - Sale <sup>25</sup>
	6.000	22.32	06/22/20	22.85	0.53 W	0.00	455 of 1011 - Sale <sup>25</sup>
	8.000	29.76	06/22/20	30.68	0.92 W	0.00	456 of 1011 - Sale <sup>25</sup>
	8.000	29.76	06/22/20	31.36	1.60 W	0.00	457 of 1011 - Sale <sup>25</sup>
	15.000	55.80	06/22/20	57.11	1.31 W	0.00	458 of 1011 - Sale <sup>25</sup>
	17.000	63.24	06/22/20	63.45	0.21 W	0.00	459 of 1011 - Sale <sup>25</sup>
	22.000	81.84	06/22/20	82.13	0.29 W	0.00	460 of 1011 - Sale <sup>25</sup>
	26.000	96.71	06/22/20	99.04	2.33 W	0.00	461 of 1011 - Sale <sup>25</sup>
	42.000	156.23	06/22/20	159.54	3.31 W	0.00	462 of 1011 - Sale <sup>25</sup>
	50.000	185.99	06/22/20	193.93	7.94 W	0.00	463 of 1011 - Sale <sup>25</sup>
	50.000	185.99	06/22/20	188.39	2.40 W	0.00	464 of 1011 - Sale <sup>25</sup>
	3.000	11.16	06/22/20	11.65	0.49 W	0.00	465 of 1011 - Sale <sup>25</sup>
	4.000	14.88	06/22/20	15.76	0.88 W	0.00	466 of 1011 - Sale <sup>25</sup>
	4.000	14.88	06/22/20	15.77	0.89 W	0.00	467 of 1011 - Sale <sup>25</sup>
	4.000	14.88	06/22/20	15.64	0.76 W	0.00	468 of 1011 - Sale <sup>25</sup>
	4.000	14.88	06/22/20	15.64	0.76 W	0.00	469 of 1011 - Sale <sup>25</sup>
	9.000	33.48	06/22/20	34.59	1.11 W	0.00	470 of 1011 - Sale <sup>25</sup>
	10.000	37.20	06/22/20	39.42	2.22 W	0.00	471 of 1011 - Sale <sup>25</sup>
	15.000	55.80	06/22/20	59.14	3.34 W	0.00	472 of 1011 - Sale <sup>25</sup>
	18.000	66.96	06/22/20	70.93	3.97 W	0.00	473 of 1011 - Sale <sup>25</sup>
	18.000	66.96	06/22/20	69.17	2.21 W	0.00	474 of 1011 - Sale <sup>25</sup>
	23.000	85.55	06/22/20	88.42	2.87 W	0.00	475 of 1011 - Sale <sup>25</sup>
	23.000	85.55	06/22/20	89.29	3.74 W	0.00	476 of 1011 - Sale <sup>25</sup>
	24.000	89.27	06/22/20	94.64	5.37 W	0.00	477 of 1011 - Sale <sup>25</sup>
	24.000	89.27	06/22/20	93.21	3.94 W	0.00	478 of 1011 - Sale <sup>25</sup>
	25.000	92.99	06/22/20	98.57	5.58 W	0.00	479 of 1011 - Sale <sup>25</sup>
	42.000	156.23	06/22/20	164.78	8.55 W	0.00	480 of 1011 - Sale <sup>25</sup>
	50.000	185.99	06/22/20	197.14	11.15 W	0.00	481 of 1011 - Sale <sup>25</sup>
	30.000	111.59	06/22/20	116.21	4.62 W	0.00	482 of 1011 - Sale <sup>25</sup>
	1.000	3.71	06/22/20	3.83	0.12 W	0.00	483 of 1011 - Sale <sup>25</sup>
	1.000	3.71	06/22/20	3.72	0.01 W	0.00	484 of 1011 - Sale <sup>25</sup>
	1.000	3.71	06/22/20	3.72	0.01 W	0.00	485 of 1011 - Sale <sup>25</sup>
	2.000	7.42	06/22/20	7.67	0.25 W	0.00	486 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	7.000	25.97	06/22/20	26.69	0.72 W	0.00	487 of 1011 - Sale <sup>25</sup>
	8.000	29.68	06/22/20	30.58	0.90 W	0.00	488 of 1011 - Sale <sup>25</sup>
	8.000	29.68	06/22/20	29.98	0.30 W	0.00	489 of 1011 - Sale <sup>25</sup>
	8.000	29.68	06/22/20	29.72	0.04 W	0.00	490 of 1011 - Sale <sup>25</sup>
	8.000	29.68	06/22/20	30.20	0.52 W	0.00	491 of 1011 - Sale <sup>25</sup>
	11.000	40.81	06/22/20	40.88	0.07 W	0.00	492 of 1011 - Sale <sup>25</sup>
	12.000	44.52	06/22/20	44.62	0.10 W	0.00	493 of 1011 - Sale <sup>25</sup>
	17.000	63.07	06/22/20	65.16	2.09 W	0.00	494 of 1011 - Sale <sup>25</sup>
	25.000	92.74	06/22/20	95.36	2.62 W	0.00	495 of 1011 - Sale <sup>25</sup>
	25.000	92.74	06/22/20	92.98	0.24 W	0.00	496 of 1011 - Sale <sup>25</sup>
	26.000	96.45	06/22/20	99.17	2.72 W	0.00	497 of 1011 - Sale <sup>25</sup>
	30.000	111.29	06/22/20	114.56	3.27 W	0.00	498 of 1011 - Sale <sup>25</sup>
	34.000	126.13	06/22/20	130.02	3.89 W	0.00	499 of 1011 - Sale <sup>25</sup>
	34.000	126.13	06/22/20	127.47	1.34 W	0.00	500 of 1011 - Sale <sup>25</sup>
	42.000	155.81	06/22/20	157.03	1.22 W	0.00	501 of 1011 - Sale <sup>25</sup>
	3.000	11.13	06/22/20	11.24	0.11 W	0.00	502 of 1011 - Sale <sup>25</sup>
	6.000	22.26	06/22/20	22.49	0.23 W	0.00	503 of 1011 - Sale <sup>25</sup>
	15.000	55.65	06/22/20	56.21	0.56 W	0.00	504 of 1011 - Sale <sup>25</sup>
	20.000	74.20	06/22/20	76.38	2.18 W	0.00	505 of 1011 - Sale <sup>25</sup>
	26.000	96.45	06/22/20	97.49	1.04 W	0.00	506 of 1011 - Sale <sup>25</sup>
	4.000	14.84	06/22/20	15.52	0.68 W	0.00	507 of 1011 - Sale <sup>25</sup>
	4.000	14.84	06/22/20	15.53	0.69 W	0.00	508 of 1011 - Sale <sup>25</sup>
	4.000	14.84	06/22/20	15.40	0.56 W	0.00	509 of 1011 - Sale <sup>25</sup>
	4.000	14.84	06/22/20	15.40	0.56 W	0.00	510 of 1011 - Sale <sup>25</sup>
	8.000	29.68	06/22/20	30.88	1.20 W	0.00	511 of 1011 - Sale <sup>25</sup>
	10.000	37.10	06/22/20	38.82	1.72 W	0.00	512 of 1011 - Sale <sup>25</sup>
	12.000	44.52	06/22/20	45.42	0.90 W	0.00	513 of 1011 - Sale <sup>25</sup>
	15.000	55.65	06/22/20	58.24	2.59 W	0.00	514 of 1011 - Sale <sup>25</sup>
	18.000	66.78	06/22/20	69.85	3.07 W	0.00	515 of 1011 - Sale <sup>25</sup>
	18.000	66.78	06/22/20	68.09	1.31 W	0.00	516 of 1011 - Sale <sup>25</sup>
	24.000	89.03	06/22/20	93.21	4.18 W	0.00	517 of 1011 - Sale <sup>25</sup>
	25.000	92.74	06/22/20	97.08	4.34 W	0.00	518 of 1011 - Sale <sup>25</sup>
	42.000	155.81	06/22/20	162.27	6.46 W	0.00	519 of 1011 - Sale <sup>25</sup>
	50.000	185.49	06/22/20	194.15	8.66 W	0.00	520 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	3.000	11.13	06/22/20	11.47	0.34 W	0.00	521 of 1011 - Sale <sup>25</sup>
	9.000	33.39	06/22/20	34.05	0.66 W	0.00	522 of 1011 - Sale <sup>25</sup>
	11.000	40.81	06/22/20	41.63	0.82 W	0.00	523 of 1011 - Sale <sup>25</sup>
	23.000	85.32	06/22/20	87.92	2.60 W	0.00	524 of 1011 - Sale <sup>25</sup>
	24.000	89.03	06/22/20	91.78	2.75 W	0.00	525 of 1011 - Sale <sup>25</sup>
	30.000	111.29	06/22/20	114.42	3.13 W	0.00	526 of 1011 - Sale <sup>25</sup>
	1.000	3.71	06/22/20	3.78	0.07 W	0.00	527 of 1011 - Sale <sup>25</sup>
	2.000	7.42	06/22/20	7.57	0.15 W	0.00	528 of 1011 - Sale <sup>25</sup>
	6.000	22.26	06/22/20	22.59	0.33 W	0.00	529 of 1011 - Sale <sup>25</sup>
	7.000	25.97	06/22/20	26.34	0.37 W	0.00	530 of 1011 - Sale <sup>25</sup>
	8.000	29.68	06/22/20	30.18	0.50 W	0.00	531 of 1011 - Sale <sup>25</sup>
	17.000	63.07	06/22/20	64.31	1.24 W	0.00	532 of 1011 - Sale <sup>25</sup>
	25.000	92.74	06/22/20	94.12	1.38 W	0.00	533 of 1011 - Sale <sup>25</sup>
	34.000	126.14	06/22/20	128.33	2.19 W	0.00	534 of 1011 - Sale <sup>25</sup>
	8.000	30.32	06/22/20	30.44	0.12 W	0.00	535 of 1011 - Sale <sup>25</sup>
	8.000	30.32	06/22/20	31.12	0.80 W	0.00	536 of 1011 - Sale <sup>25</sup>
	20.000	75.80	06/22/20	76.89	1.09 W	0.00	537 of 1011 - Sale <sup>25</sup>
	20.000	75.80	06/22/20	76.98	1.18 W	0.00	538 of 1011 - Sale <sup>25</sup>
	22.000	83.38	06/22/20	86.09	2.71 W	0.00	539 of 1011 - Sale <sup>25</sup>
	30.000	113.69	06/22/20	115.47	1.78 W	0.00	540 of 1011 - Sale <sup>25</sup>
	1.000	3.79	06/22/20	3.81	0.02 W	0.00	541 of 1011 - Sale <sup>25</sup>
	2.000	7.58	06/22/20	7.63	0.05 W	0.00	542 of 1011 - Sale <sup>25</sup>
	3.000	11.37	06/22/20	11.56	0.19 W	0.00	543 of 1011 - Sale <sup>25</sup>
	4.000	15.16	06/22/20	15.64	0.48 W	0.00	544 of 1011 - Sale <sup>25</sup>
	4.000	15.16	06/22/20	15.65	0.49 W	0.00	545 of 1011 - Sale <sup>25</sup>
	4.000	15.16	06/22/20	15.52	0.36 W	0.00	546 of 1011 - Sale <sup>25</sup>
	4.000	15.16	06/22/20	15.52	0.36 W	0.00	547 of 1011 - Sale <sup>25</sup>
	6.000	22.74	06/22/20	22.77	0.03 W	0.00	548 of 1011 - Sale <sup>25</sup>
	7.000	26.53	06/22/20	26.55	0.02 W	0.00	549 of 1011 - Sale <sup>25</sup>
	8.000	30.32	06/22/20	30.42	0.10 W	0.00	550 of 1011 - Sale <sup>25</sup>
	9.000	34.11	06/22/20	34.32	0.21 W	0.00	551 of 1011 - Sale <sup>25</sup>
	10.000	37.90	06/22/20	39.12	1.22 W	0.00	552 of 1011 - Sale <sup>25</sup>
	11.000	41.69	06/22/20	41.96	0.27 W	0.00	553 of 1011 - Sale <sup>25</sup>
	12.000	45.48	06/22/20	45.78	0.30 W	0.00	554 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	15.000	56.85	06/22/20	58.69	1.84 W	0.00	555 of 1011 - Sale <sup>25</sup>
	17.000	64.43	06/22/20	64.82	0.39 W	0.00	556 of 1011 - Sale <sup>25</sup>
	18.000	68.22	06/22/20	70.39	2.17 W	0.00	557 of 1011 - Sale <sup>25</sup>
	18.000	68.22	06/22/20	68.63	0.41 W	0.00	558 of 1011 - Sale <sup>25</sup>
	23.000	87.16	06/22/20	88.62	1.46 W	0.00	559 of 1011 - Sale <sup>25</sup>
	24.000	90.95	06/22/20	93.94	2.99 W	0.00	560 of 1011 - Sale <sup>25</sup>
	24.000	90.95	06/22/20	92.51	1.56 W	0.00	561 of 1011 - Sale <sup>25</sup>
	25.000	94.74	06/22/20	97.84	3.10 W	0.00	562 of 1011 - Sale <sup>25</sup>
	25.000	94.74	06/22/20	94.88	0.14 W	0.00	563 of 1011 - Sale <sup>25</sup>
	28.000	106.11	06/22/20	109.57	3.46 W	0.00	564 of 1011 - Sale <sup>25</sup>
	30.000	113.69	06/22/20	115.33	1.64 W	0.00	565 of 1011 - Sale <sup>25</sup>
	34.000	128.85	06/22/20	129.35	0.50 W	0.00	566 of 1011 - Sale <sup>25</sup>
	42.000	159.17	06/22/20	163.54	4.37 W	0.00	567 of 1011 - Sale <sup>25</sup>
	8.000	30.40	06/22/20	30.71	0.31 W	0.00	568 of 1011 - Sale <sup>25</sup>
	20.000	76.00	06/22/20	76.69	0.69 W	0.00	569 of 1011 - Sale <sup>25</sup>
	2.000	7.60	06/22/20	7.61	0.01 W	0.00	570 of 1011 - Sale <sup>25</sup>
	3.000	11.40	06/22/20	11.53	0.13 W	0.00	571 of 1011 - Sale <sup>25</sup>
	4.000	15.20	06/22/20	15.60	0.40 W	0.00	572 of 1011 - Sale <sup>25</sup>
	4.000	15.20	06/22/20	15.61	0.41 W	0.00	573 of 1011 - Sale <sup>25</sup>
	4.000	15.20	06/22/20	15.48	0.28 W	0.00	574 of 1011 - Sale <sup>25</sup>
	4.000	15.20	06/22/20	15.48	0.28 W	0.00	575 of 1011 - Sale <sup>25</sup>
	8.000	30.40	06/22/20	31.04	0.64 W	0.00	576 of 1011 - Sale <sup>25</sup>
	9.000	34.20	06/22/20	34.23	0.03 W	0.00	577 of 1011 - Sale <sup>25</sup>
	10.000	38.00	06/22/20	39.02	1.02 W	0.00	578 of 1011 - Sale <sup>25</sup>
	11.000	41.80	06/22/20	41.85	0.05 W	0.00	579 of 1011 - Sale <sup>25</sup>
	12.000	45.60	06/22/20	45.66	0.06 W	0.00	580 of 1011 - Sale <sup>25</sup>
	15.000	57.00	06/22/20	58.54	1.54 W	0.00	581 of 1011 - Sale <sup>25</sup>
	17.000	64.60	06/22/20	64.65	0.05 W	0.00	582 of 1011 - Sale <sup>25</sup>
	18.000	68.40	06/22/20	70.21	1.81 W	0.00	583 of 1011 - Sale <sup>25</sup>
	18.000	68.40	06/22/20	68.45	0.05 W	0.00	584 of 1011 - Sale <sup>25</sup>
	20.000	76.00	06/22/20	76.78	0.78 W	0.00	585 of 1011 - Sale <sup>25</sup>
	22.000	83.59	06/22/20	84.47	0.88 W	0.00	586 of 1011 - Sale <sup>25</sup>
	22.000	83.59	06/22/20	85.87	2.28 W	0.00	587 of 1011 - Sale <sup>25</sup>
	23.000	87.39	06/22/20	88.40	1.01 W	0.00	588 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
24.000		91.19	06/22/20	93.71	2.52 W	0.00	589 of 1011 - Sale <sup>25</sup>
24.000		91.19	06/22/20	92.28	1.09 W	0.00	590 of 1011 - Sale <sup>25</sup>
25.000		94.99	06/22/20	97.60	2.61 W	0.00	591 of 1011 - Sale <sup>25</sup>
28.000		106.39	06/22/20	109.30	2.91 W	0.00	592 of 1011 - Sale <sup>25</sup>
30.000		113.99	06/22/20	115.04	1.05 W	0.00	593 of 1011 - Sale <sup>25</sup>
42.000		159.59	06/22/20	163.13	3.54 W	0.00	594 of 1011 - Sale <sup>25</sup>
3.000		11.61	06/22/20	11.62	0.01 W	0.00	595 of 1011 - Sale <sup>25</sup>
4.000		15.48	06/22/20	15.72	0.24 W	0.00	596 of 1011 - Sale <sup>25</sup>
4.000		15.48	06/22/20	15.73	0.25 W	0.00	597 of 1011 - Sale <sup>25</sup>
4.000		15.48	06/22/20	15.60	0.12 W	0.00	598 of 1011 - Sale <sup>25</sup>
4.000		15.48	06/22/20	15.60	0.12 W	0.00	599 of 1011 - Sale <sup>25</sup>
8.000		30.96	06/22/20	31.28	0.32 W	0.00	600 of 1011 - Sale <sup>25</sup>
10.000		38.70	06/22/20	39.32	0.62 W	0.00	601 of 1011 - Sale <sup>25</sup>
15.000		58.05	06/22/20	58.99	0.94 W	0.00	602 of 1011 - Sale <sup>25</sup>
18.000		69.66	06/22/20	70.75	1.09 W	0.00	603 of 1011 - Sale <sup>25</sup>
22.000		85.14	06/22/20	86.54	1.40 W	0.00	604 of 1011 - Sale <sup>25</sup>
23.000		89.00	06/22/20	89.10	0.10 W	0.00	605 of 1011 - Sale <sup>25</sup>
24.000		92.87	06/22/20	94.44	1.57 W	0.00	606 of 1011 - Sale <sup>25</sup>
24.000		92.87	06/22/20	93.01	0.14 W	0.00	607 of 1011 - Sale <sup>25</sup>
25.000		96.74	06/22/20	98.36	1.62 W	0.00	608 of 1011 - Sale <sup>25</sup>
28.000		108.35	06/22/20	110.15	1.80 W	0.00	609 of 1011 - Sale <sup>25</sup>
42.000		162.53	06/22/20	164.40	1.87 W	0.00	610 of 1011 - Sale <sup>25</sup>
3.000		11.43	06/22/20	11.62	0.19 W	0.00	611 of 1011 - Sale <sup>25</sup>
4.000		15.24	06/22/20	15.72	0.48 W	0.00	612 of 1011 - Sale <sup>25</sup>
4.000		15.24	06/22/20	15.73	0.49 W	0.00	613 of 1011 - Sale <sup>25</sup>
4.000		15.24	06/22/20	15.60	0.36 W	0.00	614 of 1011 - Sale <sup>25</sup>
4.000		15.24	06/22/20	15.60	0.36 W	0.00	615 of 1011 - Sale <sup>25</sup>
8.000		30.48	06/22/20	31.28	0.80 W	0.00	616 of 1011 - Sale <sup>25</sup>
10.000		38.10	06/22/20	39.32	1.22 W	0.00	617 of 1011 - Sale <sup>25</sup>
15.000		57.15	06/22/20	58.99	1.84 W	0.00	618 of 1011 - Sale <sup>25</sup>
18.000		68.58	06/22/20	70.75	2.17 W	0.00	619 of 1011 - Sale <sup>25</sup>
22.000		83.82	06/22/20	86.54	2.72 W	0.00	620 of 1011 - Sale <sup>25</sup>
23.000		87.63	06/22/20	89.11	1.48 W	0.00	621 of 1011 - Sale <sup>25</sup>
24.000		91.43	06/22/20	94.45	3.02 W	0.00	622 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
24.000		91.43	06/22/20	93.02	1.59 W	0.00	623 of 1011 - Sale <sup>25</sup>
25.000		95.24	06/22/20	98.37	3.13 W	0.00	624 of 1011 - Sale <sup>25</sup>
28.000		106.67	06/22/20	110.16	3.49 W	0.00	625 of 1011 - Sale <sup>25</sup>
42.000		160.01	06/22/20	164.41	4.40 W	0.00	626 of 1011 - Sale <sup>25</sup>
242.000		921.97	06/22/20	936.54	14.57 W	0.00	627 of 1011 - Sale <sup>25</sup>
300.000		1,142.93	06/22/20	1,164.00	21.07 W	0.00	628 of 1011 - Sale <sup>25</sup>
168.000		640.05	06/22/20	651.84	11.79 W	0.00	629 of 1011 - Sale <sup>25</sup>
32.000		121.91	06/22/20	124.16	2.25 W	0.00	630 of 1011 - Sale <sup>25</sup>
3.000		11.61	06/22/20	11.89	0.28 W	0.00	631 of 1011 - Sale <sup>25</sup>
4.000		15.48	06/22/20	16.08	0.60 W	0.00	632 of 1011 - Sale <sup>25</sup>
4.000		15.48	06/22/20	16.09	0.61 W	0.00	633 of 1011 - Sale <sup>25</sup>
4.000		15.48	06/22/20	15.96	0.48 W	0.00	634 of 1011 - Sale <sup>25</sup>
4.000		15.48	06/22/20	15.96	0.48 W	0.00	635 of 1011 - Sale <sup>25</sup>
8.000		30.96	06/22/20	32.00	1.04 W	0.00	636 of 1011 - Sale <sup>25</sup>
10.000		38.70	06/22/20	40.22	1.52 W	0.00	637 of 1011 - Sale <sup>25</sup>
15.000		58.05	06/22/20	60.34	2.29 W	0.00	638 of 1011 - Sale <sup>25</sup>
18.000		69.66	06/22/20	72.37	2.71 W	0.00	639 of 1011 - Sale <sup>25</sup>
22.000		85.14	06/22/20	88.52	3.38 W	0.00	640 of 1011 - Sale <sup>25</sup>
23.000		89.01	06/22/20	91.18	2.17 W	0.00	641 of 1011 - Sale <sup>25</sup>
24.000		92.87	06/22/20	96.62	3.75 W	0.00	642 of 1011 - Sale <sup>25</sup>
24.000		92.88	06/22/20	95.19	2.31 W	0.00	643 of 1011 - Sale <sup>25</sup>
25.000		96.74	06/22/20	100.63	3.89 W	0.00	644 of 1011 - Sale <sup>25</sup>
28.000		108.35	06/22/20	112.69	4.34 W	0.00	645 of 1011 - Sale <sup>25</sup>
42.000		162.53	06/22/20	168.20	5.67 W	0.00	646 of 1011 - Sale <sup>25</sup>
62.000		239.93	06/22/20	245.53	5.60 W	0.00	647 of 1011 - Sale <sup>25</sup>
100.000		386.98	06/22/20	396.02	9.04 W	0.00	648 of 1011 - Sale <sup>25</sup>
80.000		309.58	06/22/20	316.82	7.24 W	0.00	649 of 1011 - Sale <sup>25</sup>
120.000		464.37	06/22/20	476.43	12.06 W	0.00	650 of 1011 - Sale <sup>25</sup>
32.000		123.83	06/22/20	127.05	3.22 W	0.00	651 of 1011 - Sale <sup>25</sup>
168.000		650.12	06/22/20	666.99	16.87 W	0.00	652 of 1011 - Sale <sup>25</sup>
180.000		696.56	06/22/20	714.64	18.08 W	0.00	653 of 1011 - Sale <sup>25</sup>
600.000		2,321.88	06/22/20	2,328.00	6.12 W	0.00	654 of 1011 - Sale <sup>25</sup>
400.000		1,547.92	06/22/20	1,552.00	4.08 W	0.00	655 of 1011 - Sale <sup>25</sup>
3.000		11.79	06/22/20	12.04	0.25 W	0.00	656 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	4.000	15.72	06/22/20	16.28	0.56 W	0.00	657 of 1011 - Sale <sup>25</sup>
	4.000	15.72	06/22/20	16.29	0.57 W	0.00	658 of 1011 - Sale <sup>25</sup>
	4.000	15.72	06/22/20	16.16	0.44 W	0.00	659 of 1011 - Sale <sup>25</sup>
	4.000	15.72	06/22/20	16.16	0.44 W	0.00	660 of 1011 - Sale <sup>25</sup>
	8.000	31.44	06/22/20	32.40	0.96 W	0.00	661 of 1011 - Sale <sup>25</sup>
	10.000	39.30	06/22/20	40.72	1.42 W	0.00	662 of 1011 - Sale <sup>25</sup>
	15.000	58.95	06/22/20	61.09	2.14 W	0.00	663 of 1011 - Sale <sup>25</sup>
	18.000	70.74	06/22/20	73.27	2.53 W	0.00	664 of 1011 - Sale <sup>25</sup>
	22.000	86.46	06/22/20	89.62	3.16 W	0.00	665 of 1011 - Sale <sup>25</sup>
	23.000	90.38	06/22/20	92.33	1.95 W	0.00	666 of 1011 - Sale <sup>25</sup>
	24.000	94.31	06/22/20	97.83	3.52 W	0.00	667 of 1011 - Sale <sup>25</sup>
	24.000	94.31	06/22/20	96.39	2.08 W	0.00	668 of 1011 - Sale <sup>25</sup>
	25.000	98.24	06/22/20	101.89	3.65 W	0.00	669 of 1011 - Sale <sup>25</sup>
	28.000	110.03	06/22/20	114.10	4.07 W	0.00	670 of 1011 - Sale <sup>25</sup>
	42.000	165.05	06/22/20	170.31	5.26 W	0.00	671 of 1011 - Sale <sup>25</sup>
	62.000	243.65	06/22/20	248.64	4.99 W	0.00	672 of 1011 - Sale <sup>25</sup>
	80.000	314.38	06/22/20	320.84	6.46 W	0.00	673 of 1011 - Sale <sup>25</sup>
	100.000	392.98	06/22/20	401.04	8.06 W	0.00	674 of 1011 - Sale <sup>25</sup>
	32.000	125.50	06/22/20	127.06	1.56 W	0.00	675 of 1011 - Sale <sup>25</sup>
	120.000	470.64	06/22/20	476.46	5.82 W	0.00	676 of 1011 - Sale <sup>25</sup>
	168.000	658.89	06/22/20	667.03	8.14 W	0.00	677 of 1011 - Sale <sup>25</sup>
	180.000	705.96	06/22/20	714.68	8.72 W	0.00	678 of 1011 - Sale <sup>25</sup>
	99.000	391.04	06/22/20	391.07	0.03 W	0.00	679 of 1011 - Sale <sup>25</sup>
	300.000	1,184.93	06/22/20	1,185.06	0.13 W	0.00	680 of 1011 - Sale <sup>25</sup>
	99.000	391.04	06/22/20	391.07	0.03 W	0.00	681 of 1011 - Sale <sup>25</sup>
	8.000	31.20	06/22/20	32.16	0.96 W	0.00	682 of 1011 - Sale <sup>25</sup>
	10.000	39.00	06/22/20	40.42	1.42 W	0.00	683 of 1011 - Sale <sup>25</sup>
	7.000	27.30	06/22/20	28.30	1.00 W	0.00	684 of 1011 - Sale <sup>25</sup>
	22.000	85.80	06/22/20	88.96	3.16 W	0.00	685 of 1011 - Sale <sup>25</sup>
	25.000	97.49	06/22/20	101.14	3.65 W	0.00	686 of 1011 - Sale <sup>25</sup>
	28.000	109.20	06/22/20	113.26	4.06 W	0.00	687 of 1011 - Sale <sup>25</sup>
	4.000	15.60	06/22/20	16.16	0.56 W	0.00	688 of 1011 - Sale <sup>25</sup>
	4.000	15.60	06/22/20	16.17	0.57 W	0.00	689 of 1011 - Sale <sup>25</sup>
	8.000	31.20	06/22/20	32.34	1.14 W	0.00	690 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	18.000	70.20	06/22/20	72.73	2.53 W	0.00	691 of 1011 - Sale <sup>25</sup>
	24.000	93.60	06/22/20	97.11	3.51 W	0.00	692 of 1011 - Sale <sup>25</sup>
	42.000	163.79	06/22/20	169.05	5.26 W	0.00	693 of 1011 - Sale <sup>25</sup>
	3.000	11.91	06/22/20	12.10	0.19 W	0.00	694 of 1011 - Sale <sup>25</sup>
	4.000	15.88	06/22/20	16.24	0.36 W	0.00	695 of 1011 - Sale <sup>25</sup>
	4.000	15.88	06/22/20	16.24	0.36 W	0.00	696 of 1011 - Sale <sup>25</sup>
	23.000	91.31	06/22/20	92.80	1.49 W	0.00	697 of 1011 - Sale <sup>25</sup>
	24.000	95.27	06/22/20	96.88	1.61 W	0.00	698 of 1011 - Sale <sup>25</sup>
	62.000	246.13	06/22/20	249.89	3.76 W	0.00	699 of 1011 - Sale <sup>25</sup>
	80.000	317.58	06/22/20	322.46	4.88 W	0.00	700 of 1011 - Sale <sup>25</sup>
	100.000	396.98	06/22/20	403.06	6.08 W	0.00	701 of 1011 - Sale <sup>25</sup>
	120.000	476.37	06/22/20	479.82	3.45 W	0.00	702 of 1011 - Sale <sup>25</sup>
	80.000	317.58	06/22/20	319.88	2.30 W	0.00	703 of 1011 - Sale <sup>25</sup>
	100.000	396.98	06/22/20	399.84	2.86 W	0.00	704 of 1011 - Sale <sup>25</sup>
	100.000	396.98	06/22/20	399.85	2.87 W	0.00	705 of 1011 - Sale <sup>25</sup>
	32.000	127.03	06/22/20	127.96	0.93 W	0.00	706 of 1011 - Sale <sup>25</sup>
	68.000	269.94	06/22/20	271.89	1.95 W	0.00	707 of 1011 - Sale <sup>25</sup>
	2.000	7.90	06/22/20	8.08	0.18 W	0.00	708 of 1011 - Sale <sup>25</sup>
	4.000	15.80	06/22/20	16.36	0.56 W	0.00	709 of 1011 - Sale <sup>25</sup>
	4.000	15.80	06/22/20	16.37	0.57 W	0.00	710 of 1011 - Sale <sup>25</sup>
	7.000	27.65	06/22/20	28.65	1.00 W	0.00	711 of 1011 - Sale <sup>25</sup>
	8.000	31.60	06/22/20	32.56	0.96 W	0.00	712 of 1011 - Sale <sup>25</sup>
	8.000	31.60	06/22/20	32.74	1.14 W	0.00	713 of 1011 - Sale <sup>25</sup>
	10.000	39.50	06/22/20	40.92	1.42 W	0.00	714 of 1011 - Sale <sup>25</sup>
	18.000	71.10	06/22/20	73.63	2.53 W	0.00	715 of 1011 - Sale <sup>25</sup>
	22.000	86.90	06/22/20	90.06	3.16 W	0.00	716 of 1011 - Sale <sup>25</sup>
	24.000	94.79	06/22/20	98.31	3.52 W	0.00	717 of 1011 - Sale <sup>25</sup>
	25.000	98.74	06/22/20	102.40	3.66 W	0.00	718 of 1011 - Sale <sup>25</sup>
	28.000	110.59	06/22/20	114.66	4.07 W	0.00	719 of 1011 - Sale <sup>25</sup>
	42.000	165.89	06/22/20	171.16	5.27 W	0.00	720 of 1011 - Sale <sup>25</sup>
	99.000	391.03	06/22/20	391.08	0.05 W	0.00	721 of 1011 - Sale <sup>25</sup>
	199.000	786.01	06/22/20	786.14	0.13 W	0.00	722 of 1011 - Sale <sup>25</sup>
	2.000	7.90	06/22/20	8.04	0.14 W	0.00	723 of 1011 - Sale <sup>25</sup>
	3.000	11.85	06/22/20	11.98	0.13 W	0.00	724 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	4.000	15.80	06/22/20	16.08	0.28 W	0.00	725 of 1011 - Sale <sup>25</sup>
	23.000	90.85	06/22/20	91.88	1.03 W	0.00	726 of 1011 - Sale <sup>25</sup>
	24.000	94.79	06/22/20	95.93	1.14 W	0.00	727 of 1011 - Sale <sup>25</sup>
	62.000	244.89	06/22/20	247.42	2.53 W	0.00	728 of 1011 - Sale <sup>25</sup>
	80.000	315.98	06/22/20	319.28	3.30 W	0.00	729 of 1011 - Sale <sup>25</sup>
	80.000	315.98	06/22/20	316.70	0.72 W	0.00	730 of 1011 - Sale <sup>25</sup>
	100.000	394.98	06/22/20	399.08	4.10 W	0.00	731 of 1011 - Sale <sup>25</sup>
	120.000	473.97	06/22/20	475.05	1.08 W	0.00	732 of 1011 - Sale <sup>25</sup>
	100.000	384.99	06/22/20	392.86	7.87 W	0.00	733 of 1011 - Sale <sup>25</sup>
	32.000	123.19	06/22/20	125.73	2.54 W	0.00	734 of 1011 - Sale <sup>25</sup>
	68.000	261.78	06/22/20	267.15	5.37 W	0.00	735 of 1011 - Sale <sup>25</sup>
	100.000	384.98	06/22/20	392.87	7.89 W	0.00	736 of 1011 - Sale <sup>25</sup>
	1.000	3.85	06/22/20	3.90	0.05 W	0.00	737 of 1011 - Sale <sup>25</sup>
	199.000	766.11	06/22/20	776.23	10.12 W	0.00	738 of 1011 - Sale <sup>25</sup>
	2.000	7.74	06/22/20	7.98	0.24 W	0.00	739 of 1011 - Sale <sup>25</sup>
	2.000	7.74	06/22/20	7.94	0.20 W	0.00	740 of 1011 - Sale <sup>25</sup>
	3.000	11.61	06/22/20	11.83	0.22 W	0.00	741 of 1011 - Sale <sup>25</sup>
	4.000	15.48	06/22/20	16.16	0.68 W	0.00	742 of 1011 - Sale <sup>25</sup>
	4.000	15.48	06/22/20	16.17	0.69 W	0.00	743 of 1011 - Sale <sup>25</sup>
	4.000	15.48	06/22/20	15.88	0.40 W	0.00	744 of 1011 - Sale <sup>25</sup>
	7.000	27.09	06/22/20	28.30	1.21 W	0.00	745 of 1011 - Sale <sup>25</sup>
	8.000	30.96	06/22/20	32.16	1.20 W	0.00	746 of 1011 - Sale <sup>25</sup>
	8.000	30.96	06/22/20	32.34	1.38 W	0.00	747 of 1011 - Sale <sup>25</sup>
	10.000	38.70	06/22/20	40.42	1.72 W	0.00	748 of 1011 - Sale <sup>25</sup>
	18.000	69.66	06/22/20	72.73	3.07 W	0.00	749 of 1011 - Sale <sup>25</sup>
	22.000	85.14	06/22/20	88.96	3.82 W	0.00	750 of 1011 - Sale <sup>25</sup>
	23.000	89.01	06/22/20	90.73	1.72 W	0.00	751 of 1011 - Sale <sup>25</sup>
	24.000	92.87	06/22/20	97.12	4.25 W	0.00	752 of 1011 - Sale <sup>25</sup>
	24.000	92.87	06/22/20	94.74	1.87 W	0.00	753 of 1011 - Sale <sup>25</sup>
	25.000	96.74	06/22/20	101.16	4.42 W	0.00	754 of 1011 - Sale <sup>25</sup>
	28.000	108.35	06/22/20	113.27	4.92 W	0.00	755 of 1011 - Sale <sup>25</sup>
	42.000	162.53	06/22/20	169.07	6.54 W	0.00	756 of 1011 - Sale <sup>25</sup>
	62.000	239.93	06/22/20	244.33	4.40 W	0.00	757 of 1011 - Sale <sup>25</sup>
	82.000	317.32	06/22/20	323.16	5.84 W	0.00	758 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
98.000		379.24	06/22/20	382.25	3.01 W	0.00	759 of 1011 - Sale <sup>25</sup>
18.000		69.66	06/22/20	70.58	0.92 W	0.00	760 of 1011 - Sale <sup>25</sup>
80.000		309.58	06/22/20	313.70	4.12 W	0.00	761 of 1011 - Sale <sup>25</sup>
80.000		309.58	06/22/20	311.12	1.54 W	0.00	762 of 1011 - Sale <sup>25</sup>
2.000		7.74	06/22/20	7.92	0.18 W	0.00	763 of 1011 - Sale <sup>25</sup>
120.000		464.37	06/22/20	466.68	2.31 W	0.00	764 of 1011 - Sale <sup>25</sup>
2.000		6.46	06/22/20	7.92	1.46 W	0.00	765 of 1011 - Sale <sup>25</sup>
98.000		316.52	06/22/20	387.95	71.43 W	0.00	766 of 1011 - Sale <sup>25</sup>
100.000		322.98	06/22/20	395.89	72.91 W	0.00	767 of 1011 - Sale <sup>25</sup>
50.000		161.49	06/22/20	197.95	36.46 W	0.00	768 of 1011 - Sale <sup>25</sup>
2.000		6.46	06/22/20	7.76	1.30 W	0.00	769 of 1011 - Sale <sup>25</sup>
16.000		51.68	06/22/20	62.54	10.86 W	0.00	770 of 1011 - Sale <sup>25</sup>
32.000		103.35	06/22/20	125.10	21.75 W	0.00	771 of 1011 - Sale <sup>25</sup>
1.000		3.23	06/22/20	3.90	0.67 W	0.00	772 of 1011 - Sale <sup>25</sup>
62.000		200.25	06/22/20	240.60	40.35 W	0.00	773 of 1011 - Sale <sup>25</sup>
197.000		636.27	06/22/20	768.47	132.20 W	0.00	774 of 1011 - Sale <sup>25</sup>
7.000		22.61	06/22/20	28.16	5.55 W	0.00	775 of 1011 - Sale <sup>25</sup>
8.000		25.84	06/22/20	32.00	6.16 W	0.00	776 of 1011 - Sale <sup>25</sup>
4.000		12.92	06/22/20	16.09	3.17 W	0.00	777 of 1011 - Sale <sup>25</sup>
10.000		32.30	06/22/20	40.22	7.92 W	0.00	778 of 1011 - Sale <sup>25</sup>
22.000		71.06	06/22/20	88.52	17.46 W	0.00	779 of 1011 - Sale <sup>25</sup>
25.000		80.74	06/22/20	100.67	19.93 W	0.00	780 of 1011 - Sale <sup>25</sup>
28.000		90.43	06/22/20	112.72	22.29 W	0.00	781 of 1011 - Sale <sup>25</sup>
36.000		116.27	06/22/20	139.71	23.44 W	0.00	782 of 1011 - Sale <sup>25</sup>
2.000		6.46	06/22/20	7.94	1.48 W	0.00	783 of 1011 - Sale <sup>25</sup>
2.000		6.46	06/22/20	7.90	1.44 W	0.00	784 of 1011 - Sale <sup>25</sup>
3.000		9.69	06/22/20	11.77	2.08 W	0.00	785 of 1011 - Sale <sup>25</sup>
4.000		12.92	06/22/20	16.08	3.16 W	0.00	786 of 1011 - Sale <sup>25</sup>
4.000		12.92	06/22/20	16.09	3.17 W	0.00	787 of 1011 - Sale <sup>25</sup>
4.000		12.92	06/22/20	15.80	2.88 W	0.00	788 of 1011 - Sale <sup>25</sup>
4.000		12.92	06/22/20	16.09	3.17 W	0.00	789 of 1011 - Sale <sup>25</sup>
18.000		58.14	06/22/20	72.37	14.23 W	0.00	790 of 1011 - Sale <sup>25</sup>
2.000		6.46	06/22/20	7.80	1.34 W	0.00	791 of 1011 - Sale <sup>25</sup>
23.000		74.28	06/22/20	90.27	15.99 W	0.00	792 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
24.000		77.51	06/22/20	96.65	19.14 W	0.00	793 of 1011 - Sale <sup>25</sup>
24.000		77.51	06/22/20	94.27	16.76 W	0.00	794 of 1011 - Sale <sup>25</sup>
42.000		135.65	06/22/20	168.24	32.59 W	0.00	795 of 1011 - Sale <sup>25</sup>
62.000		200.25	06/22/20	243.10	42.85 W	0.00	796 of 1011 - Sale <sup>25</sup>
82.000		264.84	06/22/20	321.54	56.70 W	0.00	797 of 1011 - Sale <sup>25</sup>
2.000		6.40	06/22/20	7.88	1.48 W	0.00	798 of 1011 - Sale <sup>25</sup>
2.000		6.40	06/22/20	9.16	2.76 W	0.00	799 of 1011 - Sale <sup>25</sup>
16.000		51.20	06/22/20	62.42	11.22 W	0.00	800 of 1011 - Sale <sup>25</sup>
80.000		255.98	06/22/20	312.12	56.14 W	0.00	801 of 1011 - Sale <sup>25</sup>
80.000		255.98	06/22/20	309.54	53.56 W	0.00	802 of 1011 - Sale <sup>25</sup>
120.000		383.98	06/22/20	464.31	80.33 W	0.00	803 of 1011 - Sale <sup>25</sup>
96.000		307.18	06/22/20	432.85	125.67 W	0.00	804 of 1011 - Sale <sup>25</sup>
4.000		12.80	06/22/20	18.04	5.24 W	0.00	805 of 1011 - Sale <sup>25</sup>
1.000		3.20	06/22/20	4.45	1.25 W	0.00	806 of 1011 - Sale <sup>25</sup>
2.000		6.40	06/22/20	9.02	2.62 W	0.00	807 of 1011 - Sale <sup>25</sup>
2.000		6.40	06/22/20	8.86	2.46 W	0.00	808 of 1011 - Sale <sup>25</sup>
4.000		12.80	06/22/20	17.72	4.92 W	0.00	809 of 1011 - Sale <sup>25</sup>
16.000		51.20	06/22/20	71.34	20.14 W	0.00	810 of 1011 - Sale <sup>25</sup>
32.000		102.39	06/22/20	142.71	40.32 W	0.00	811 of 1011 - Sale <sup>25</sup>
50.000		159.99	06/22/20	225.46	65.47 W	0.00	812 of 1011 - Sale <sup>25</sup>
96.000		307.18	06/22/20	432.87	125.69 W	0.00	813 of 1011 - Sale <sup>25</sup>
197.000		630.36	06/22/20	876.86	246.50 W	0.00	814 of 1011 - Sale <sup>25</sup>
6.000		19.20	06/22/20	27.30	8.10 W	0.00	815 of 1011 - Sale <sup>25</sup>
36.000		115.19	06/22/20	159.52	44.33 W	0.00	816 of 1011 - Sale <sup>25</sup>
58.000		185.59	06/22/20	256.99	71.40 W	0.00	817 of 1011 - Sale <sup>25</sup>
2.000		6.40	06/22/20	9.10	2.70 W	0.00	818 of 1011 - Sale <sup>25</sup>
6.000		19.20	06/22/20	27.44	8.24 W	0.00	819 of 1011 - Sale <sup>25</sup>
4.000		12.80	06/22/20	18.29	5.49 W	0.00	820 of 1011 - Sale <sup>25</sup>
2.000		6.40	06/22/20	9.15	2.75 W	0.00	821 of 1011 - Sale <sup>25</sup>
7.000		22.40	06/22/20	32.01	9.61 W	0.00	822 of 1011 - Sale <sup>25</sup>
10.000		32.00	06/22/20	45.72	13.72 W	0.00	823 of 1011 - Sale <sup>25</sup>
16.000		51.20	06/22/20	73.18	21.98 W	0.00	824 of 1011 - Sale <sup>25</sup>
25.000		79.99	06/22/20	114.43	34.44 W	0.00	825 of 1011 - Sale <sup>25</sup>
28.000		89.60	06/22/20	128.13	38.53 W	0.00	826 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	2.000	6.38	06/22/20	9.04	2.66 W	0.00	827 of 1011 - Sale <sup>25</sup>
	2.000	6.38	06/22/20	9.00	2.62 W	0.00	828 of 1011 - Sale <sup>25</sup>
	2.000	6.38	06/22/20	8.90	2.52 W	0.00	829 of 1011 - Sale <sup>25</sup>
	2.000	6.38	06/22/20	8.96	2.58 W	0.00	830 of 1011 - Sale <sup>25</sup>
	3.000	9.57	06/22/20	13.42	3.85 W	0.00	831 of 1011 - Sale <sup>25</sup>
	2.000	6.38	06/22/20	9.14	2.76 W	0.00	832 of 1011 - Sale <sup>25</sup>
	4.000	12.76	06/22/20	18.28	5.52 W	0.00	833 of 1011 - Sale <sup>25</sup>
	4.000	12.76	06/22/20	18.29	5.53 W	0.00	834 of 1011 - Sale <sup>25</sup>
	4.000	12.76	06/22/20	18.00	5.24 W	0.00	835 of 1011 - Sale <sup>25</sup>
	18.000	57.42	06/22/20	82.27	24.85 W	0.00	836 of 1011 - Sale <sup>25</sup>
	23.000	73.37	06/22/20	102.93	29.56 W	0.00	837 of 1011 - Sale <sup>25</sup>
	24.000	76.56	06/22/20	109.86	33.30 W	0.00	838 of 1011 - Sale <sup>25</sup>
	24.000	76.55	06/22/20	107.48	30.93 W	0.00	839 of 1011 - Sale <sup>25</sup>
	42.000	133.97	06/22/20	191.35	57.38 W	0.00	840 of 1011 - Sale <sup>25</sup>
	62.000	197.77	06/22/20	277.21	79.44 W	0.00	841 of 1011 - Sale <sup>25</sup>
	82.000	261.56	06/22/20	366.66	105.10 W	0.00	842 of 1011 - Sale <sup>25</sup>
	2.000	6.38	06/22/20	7.88	1.50 W	0.00	843 of 1011 - Sale <sup>25</sup>
	2.000	6.38	06/22/20	9.16	2.78 W	0.00	844 of 1011 - Sale <sup>25</sup>
	14.000	44.66	06/22/20	54.62	9.96 W	0.00	845 of 1011 - Sale <sup>25</sup>
	72.000	229.67	06/22/20	324.65	94.98 W	0.00	846 of 1011 - Sale <sup>25</sup>
	80.000	255.18	06/22/20	312.14	56.96 W	0.00	847 of 1011 - Sale <sup>25</sup>
	80.000	255.18	06/22/20	309.56	54.38 W	0.00	848 of 1011 - Sale <sup>25</sup>
	120.000	382.77	06/22/20	464.33	81.56 W	0.00	849 of 1011 - Sale <sup>25</sup>
	2.000	6.38	06/22/20	9.02	2.64 W	0.00	850 of 1011 - Sale <sup>25</sup>
	4.000	12.76	06/22/20	18.04	5.28 W	0.00	851 of 1011 - Sale <sup>25</sup>
	8.000	25.52	06/22/20	35.68	10.16 W	0.00	852 of 1011 - Sale <sup>25</sup>
	16.000	51.04	06/22/20	71.34	20.30 W	0.00	853 of 1011 - Sale <sup>25</sup>
	24.000	76.55	06/22/20	108.22	31.67 W	0.00	854 of 1011 - Sale <sup>25</sup>
	50.000	159.49	06/22/20	225.47	65.98 W	0.00	855 of 1011 - Sale <sup>25</sup>
	96.000	306.22	06/22/20	432.89	126.67 W	0.00	856 of 1011 - Sale <sup>25</sup>
	2.000	6.38	06/22/20	8.86	2.48 W	0.00	857 of 1011 - Sale <sup>25</sup>
	24.000	76.55	06/22/20	107.04	30.49 W	0.00	858 of 1011 - Sale <sup>25</sup>
	104.000	331.74	06/22/20	462.93	131.19 W	0.00	859 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	9.15	2.59 W	0.00	860 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	2.000	6.56	06/22/20	9.16	2.60 W	0.00	861 of 1011 - Sale <sup>25</sup>
	4.000	13.12	06/22/20	18.29	5.17 W	0.00	862 of 1011 - Sale <sup>25</sup>
	7.000	22.96	06/22/20	32.01	9.05 W	0.00	863 of 1011 - Sale <sup>25</sup>
	15.000	49.20	06/22/20	68.66	19.46 W	0.00	864 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	9.02	2.46 W	0.00	865 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	8.98	2.42 W	0.00	866 of 1011 - Sale <sup>25</sup>
	3.000	9.84	06/22/20	13.39	3.55 W	0.00	867 of 1011 - Sale <sup>25</sup>
	4.000	13.12	06/22/20	18.24	5.12 W	0.00	868 of 1011 - Sale <sup>25</sup>
	4.000	13.12	06/22/20	18.25	5.13 W	0.00	869 of 1011 - Sale <sup>25</sup>
	4.000	13.12	06/22/20	17.96	4.84 W	0.00	870 of 1011 - Sale <sup>25</sup>
	18.000	59.04	06/22/20	82.09	23.05 W	0.00	871 of 1011 - Sale <sup>25</sup>
	23.000	75.44	06/22/20	102.70	27.26 W	0.00	872 of 1011 - Sale <sup>25</sup>
	24.000	78.71	06/22/20	109.62	30.91 W	0.00	873 of 1011 - Sale <sup>25</sup>
	24.000	78.71	06/22/20	107.25	28.54 W	0.00	874 of 1011 - Sale <sup>25</sup>
	42.000	137.75	06/22/20	190.94	53.19 W	0.00	875 of 1011 - Sale <sup>25</sup>
	50.000	163.99	06/22/20	223.06	59.07 W	0.00	876 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	8.88	2.32 W	0.00	877 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	8.94	2.38 W	0.00	878 of 1011 - Sale <sup>25</sup>
	8.000	26.24	06/22/20	30.88	4.64 W	0.00	879 of 1011 - Sale <sup>25</sup>
	12.000	39.36	06/22/20	53.54	14.18 W	0.00	880 of 1011 - Sale <sup>25</sup>
	14.000	45.92	06/22/20	54.48	8.56 W	0.00	881 of 1011 - Sale <sup>25</sup>
	80.000	262.38	06/22/20	311.36	48.98 W	0.00	882 of 1011 - Sale <sup>25</sup>
	82.000	268.94	06/22/20	365.86	96.92 W	0.00	883 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	7.86	1.30 W	0.00	884 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	9.14	2.58 W	0.00	885 of 1011 - Sale <sup>25</sup>
	4.000	13.12	06/22/20	18.00	4.88 W	0.00	886 of 1011 - Sale <sup>25</sup>
	80.000	262.38	06/22/20	308.78	46.40 W	0.00	887 of 1011 - Sale <sup>25</sup>
	112.000	367.33	06/22/20	432.28	64.95 W	0.00	888 of 1011 - Sale <sup>25</sup>
	4.000	13.12	06/22/20	18.00	4.88 W	0.00	889 of 1011 - Sale <sup>25</sup>
	24.000	78.71	06/22/20	107.99	29.28 W	0.00	890 of 1011 - Sale <sup>25</sup>
	68.000	223.03	06/22/20	305.94	82.91 W	0.00	891 of 1011 - Sale <sup>25</sup>
	4.000	13.12	06/22/20	18.00	4.88 W	0.00	892 of 1011 - Sale <sup>25</sup>
	1.000	3.28	06/22/20	4.45	1.17 W	0.00	893 of 1011 - Sale <sup>25</sup>
	4.000	13.12	06/22/20	17.72	4.60 W	0.00	894 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	2.000	6.56	06/22/20	8.86	2.30 W	0.00	895 of 1011 - Sale <sup>25</sup>
	93.000	305.03	06/22/20	413.97	108.94 W	0.00	896 of 1011 - Sale <sup>25</sup>
	50.000	164.00	06/22/20	221.55	57.55 W	0.00	897 of 1011 - Sale <sup>25</sup>
	14.000	45.92	06/22/20	62.04	16.12 W	0.00	898 of 1011 - Sale <sup>25</sup>
	6.000	19.68	06/22/20	26.59	6.91 W	0.00	899 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	9.10	2.54 W	0.00	900 of 1011 - Sale <sup>25</sup>
	6.000	19.68	06/22/20	27.30	7.62 W	0.00	901 of 1011 - Sale <sup>25</sup>
	22.000	72.16	06/22/20	97.49	25.33 W	0.00	902 of 1011 - Sale <sup>25</sup>
	6.000	19.68	06/22/20	27.44	7.76 W	0.00	903 of 1011 - Sale <sup>25</sup>
	10.000	32.80	06/22/20	45.72	12.92 W	0.00	904 of 1011 - Sale <sup>25</sup>
	16.000	52.48	06/22/20	73.18	20.70 W	0.00	905 of 1011 - Sale <sup>25</sup>
	10.000	32.80	06/22/20	45.78	12.98 W	0.00	906 of 1011 - Sale <sup>25</sup>
	28.000	91.83	06/22/20	128.13	36.30 W	0.00	907 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	9.00	2.44 W	0.00	908 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	8.84	2.28 W	0.00	909 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	8.70	2.14 W	0.00	910 of 1011 - Sale <sup>25</sup>
	8.000	26.24	06/22/20	35.60	9.36 W	0.00	911 of 1011 - Sale <sup>25</sup>
	16.000	52.48	06/22/20	71.18	18.70 W	0.00	912 of 1011 - Sale <sup>25</sup>
	24.000	78.72	06/22/20	106.81	28.09 W	0.00	913 of 1011 - Sale <sup>25</sup>
	50.000	163.99	06/22/20	224.98	60.99 W	0.00	914 of 1011 - Sale <sup>25</sup>
	92.000	301.74	06/22/20	413.95	112.21 W	0.00	915 of 1011 - Sale <sup>25</sup>
	104.000	341.10	06/22/20	461.91	120.81 W	0.00	916 of 1011 - Sale <sup>25</sup>
	1.000	3.28	06/22/20	4.34	1.06 W	0.00	917 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	8.64	2.08 W	0.00	918 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	8.88	2.32 W	0.00	919 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	8.93	2.37 W	0.00	920 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	8.94	2.38 W	0.00	921 of 1011 - Sale <sup>25</sup>
	2.000	6.56	06/22/20	8.90	2.34 W	0.00	922 of 1011 - Sale <sup>25</sup>
	4.000	13.12	06/22/20	17.28	4.16 W	0.00	923 of 1011 - Sale <sup>25</sup>
	4.000	13.12	06/22/20	17.85	4.73 W	0.00	924 of 1011 - Sale <sup>25</sup>
	6.000	19.68	06/22/20	25.93	6.25 W	0.00	925 of 1011 - Sale <sup>25</sup>
	6.000	19.68	06/22/20	26.64	6.96 W	0.00	926 of 1011 - Sale <sup>25</sup>
	6.000	19.68	06/22/20	26.78	7.10 W	0.00	927 of 1011 - Sale <sup>25</sup>
	7.000	22.96	06/22/20	31.24	8.28 W	0.00	928 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
10.000		32.80	06/22/20	44.62	11.82 W	0.00	929 of 1011 - Sale <sup>25</sup>
10.000		32.80	06/22/20	44.68	11.88 W	0.00	930 of 1011 - Sale <sup>25</sup>
14.000		45.92	06/22/20	60.50	14.58 W	0.00	931 of 1011 - Sale <sup>25</sup>
15.000		49.20	06/22/20	67.01	17.81 W	0.00	932 of 1011 - Sale <sup>25</sup>
16.000		52.48	06/22/20	71.42	18.94 W	0.00	933 of 1011 - Sale <sup>25</sup>
22.000		72.16	06/22/20	95.07	22.91 W	0.00	934 of 1011 - Sale <sup>25</sup>
28.000		91.83	06/22/20	125.06	33.23 W	0.00	935 of 1011 - Sale <sup>25</sup>
50.000		163.99	06/22/20	216.05	52.06 W	0.00	936 of 1011 - Sale <sup>25</sup>
91.000		298.46	06/22/20	395.07	96.61 W	0.00	937 of 1011 - Sale <sup>25</sup>
2.000		6.56	06/22/20	8.90	2.34 W	0.00	938 of 1011 - Sale <sup>25</sup>
2.000		6.56	06/22/20	8.80	2.24 W	0.00	939 of 1011 - Sale <sup>25</sup>
2.000		6.56	06/22/20	8.76	2.20 W	0.00	940 of 1011 - Sale <sup>25</sup>
2.000		6.56	06/22/20	8.66	2.10 W	0.00	941 of 1011 - Sale <sup>25</sup>
2.000		6.56	06/22/20	8.72	2.16 W	0.00	942 of 1011 - Sale <sup>25</sup>
3.000		9.84	06/22/20	13.06	3.22 W	0.00	943 of 1011 - Sale <sup>25</sup>
4.000		13.12	06/22/20	17.81	4.69 W	0.00	944 of 1011 - Sale <sup>25</sup>
4.000		13.12	06/22/20	17.52	4.40 W	0.00	945 of 1011 - Sale <sup>25</sup>
4.000		13.12	06/22/20	15.13	2.01 W	0.00	946 of 1011 - Sale <sup>25</sup>
12.000		39.36	06/22/20	52.22	12.86 W	0.00	947 of 1011 - Sale <sup>25</sup>
18.000		59.04	06/22/20	80.11	21.07 W	0.00	948 of 1011 - Sale <sup>25</sup>
23.000		75.43	06/22/20	100.17	24.74 W	0.00	949 of 1011 - Sale <sup>25</sup>
24.000		78.71	06/22/20	106.99	28.28 W	0.00	950 of 1011 - Sale <sup>25</sup>
24.000		78.71	06/22/20	104.62	25.91 W	0.00	951 of 1011 - Sale <sup>25</sup>
42.000		137.75	06/22/20	186.33	48.58 W	0.00	952 of 1011 - Sale <sup>25</sup>
50.000		163.99	06/22/20	217.57	53.58 W	0.00	953 of 1011 - Sale <sup>25</sup>
82.000		268.93	06/22/20	356.86	87.93 W	0.00	954 of 1011 - Sale <sup>25</sup>
2.000		6.56	06/22/20	7.50	0.94 W	0.00	955 of 1011 - Sale <sup>25</sup>
8.000		26.24	06/22/20	30.00	3.76 W	0.00	956 of 1011 - Sale <sup>25</sup>
10.000		32.80	06/22/20	37.81	5.01 W	0.00	957 of 1011 - Sale <sup>25</sup>
80.000		262.37	06/22/20	302.58	40.21 W	0.00	958 of 1011 - Sale <sup>25</sup>
2.000		6.54	06/22/20	7.64	1.10 W	0.00	959 of 1011 - Sale <sup>25</sup>
2.000		6.54	06/22/20	8.92	2.38 W	0.00	960 of 1011 - Sale <sup>25</sup>
2.000		6.54	06/22/20	8.78	2.24 W	0.00	961 of 1011 - Sale <sup>25</sup>
4.000		13.08	06/22/20	17.56	4.48 W	0.00	962 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	4.000	13.08	06/22/20	17.56	4.48 W	0.00	963 of 1011 - Sale <sup>25</sup>
	4.000	13.08	06/22/20	17.56	4.48 W	0.00	964 of 1011 - Sale <sup>25</sup>
	24.000	78.47	06/22/20	105.36	26.89 W	0.00	965 of 1011 - Sale <sup>25</sup>
	68.000	222.35	06/22/20	298.47	76.12 W	0.00	966 of 1011 - Sale <sup>25</sup>
	80.000	261.58	06/22/20	300.00	38.42 W	0.00	967 of 1011 - Sale <sup>25</sup>
	110.000	359.67	06/22/20	412.49	52.82 W	0.00	968 of 1011 - Sale <sup>25</sup>
	2.000	6.54	06/22/20	9.08	2.54 W	0.00	969 of 1011 - Sale <sup>25</sup>
	2.000	6.54	06/22/20	8.92	2.38 W	0.00	970 of 1011 - Sale <sup>25</sup>
	8.000	26.16	06/22/20	35.92	9.76 W	0.00	971 of 1011 - Sale <sup>25</sup>
	16.000	52.32	06/22/20	71.82	19.50 W	0.00	972 of 1011 - Sale <sup>25</sup>
	24.000	78.47	06/22/20	107.77	29.30 W	0.00	973 of 1011 - Sale <sup>25</sup>
	50.000	163.49	06/22/20	226.99	63.50 W	0.00	974 of 1011 - Sale <sup>25</sup>
	58.000	189.64	06/22/20	259.93	70.29 W	0.00	975 of 1011 - Sale <sup>25</sup>
	90.000	294.28	06/22/20	408.57	114.29 W	0.00	976 of 1011 - Sale <sup>25</sup>
	2.000	6.54	06/22/20	8.78	2.24 W	0.00	977 of 1011 - Sale <sup>25</sup>
	46.000	150.41	06/22/20	206.16	55.75 W	0.00	978 of 1011 - Sale <sup>25</sup>
	2.000	6.54	06/22/20	8.76	2.22 W	0.00	979 of 1011 - Sale <sup>25</sup>
	50.000	163.49	06/22/20	219.09	55.60 W	0.00	980 of 1011 - Sale <sup>25</sup>
	1.000	3.27	06/22/20	4.38	1.11 W	0.00	981 of 1011 - Sale <sup>25</sup>
	2.000	6.54	06/22/20	8.72	2.18 W	0.00	982 of 1011 - Sale <sup>25</sup>
	2.000	6.54	06/22/20	8.96	2.42 W	0.00	983 of 1011 - Sale <sup>25</sup>
	2.000	6.54	06/22/20	9.01	2.47 W	0.00	984 of 1011 - Sale <sup>25</sup>
	2.000	6.54	06/22/20	9.02	2.48 W	0.00	985 of 1011 - Sale <sup>25</sup>
	2.000	6.53	06/22/20	8.98	2.45 W	0.00	986 of 1011 - Sale <sup>25</sup>
	2.000	6.54	06/22/20	8.98	2.44 W	0.00	987 of 1011 - Sale <sup>25</sup>
	4.000	13.08	06/22/20	17.44	4.36 W	0.00	988 of 1011 - Sale <sup>25</sup>
	4.000	13.08	06/22/20	18.01	4.93 W	0.00	989 of 1011 - Sale <sup>25</sup>
	6.000	19.62	06/22/20	26.17	6.55 W	0.00	990 of 1011 - Sale <sup>25</sup>
	6.000	19.62	06/22/20	26.88	7.26 W	0.00	991 of 1011 - Sale <sup>25</sup>
	6.000	19.62	06/22/20	27.02	7.40 W	0.00	992 of 1011 - Sale <sup>25</sup>
	7.000	22.89	06/22/20	31.52	8.63 W	0.00	993 of 1011 - Sale <sup>25</sup>
	10.000	32.70	06/22/20	45.02	12.32 W	0.00	994 of 1011 - Sale <sup>25</sup>
	10.000	32.70	06/22/20	45.08	12.38 W	0.00	995 of 1011 - Sale <sup>25</sup>
	14.000	45.78	06/22/20	61.06	15.28 W	0.00	996 of 1011 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	15.000	49.04	06/22/20	67.61	18.57 W	0.00	997 of 1011 - Sale <sup>25</sup>
	16.000	52.32	06/22/20	72.06	19.74 W	0.00	998 of 1011 - Sale <sup>25</sup>
	22.000	71.93	06/22/20	95.95	24.02 W	0.00	999 of 1011 - Sale <sup>25</sup>
	28.000	91.55	06/22/20	126.19	34.64 W	0.00	1000 of 1011 - Sale <sup>25</sup>
	39.000	127.52	06/22/20	170.88	43.36 W	0.00	1001 of 1011 - Sale <sup>25</sup>
	50.000	163.49	06/22/20	218.06	54.57 W	0.00	1002 of 1011 - Sale <sup>25</sup>
	2.000	6.54	06/22/20	8.88	2.34 W	0.00	1003 of 1011 - Sale <sup>25</sup>
	2.000	6.54	06/22/20	8.84	2.30 W	0.00	1004 of 1011 - Sale <sup>25</sup>
	3.000	9.81	06/22/20	13.18	3.37 W	0.00	1005 of 1011 - Sale <sup>25</sup>
	4.000	13.08	06/22/20	17.97	4.89 W	0.00	1006 of 1011 - Sale <sup>25</sup>
	4.000	13.08	06/22/20	17.68	4.60 W	0.00	1007 of 1011 - Sale <sup>25</sup>
	18.000	58.86	06/22/20	80.83	21.97 W	0.00	1008 of 1011 - Sale <sup>25</sup>
	24.000	78.48	06/22/20	107.96	29.48 W	0.00	1009 of 1011 - Sale <sup>25</sup>
	1.000	3.27	06/22/20	4.40	1.13 W	0.00	1010 of 1011 - Sale <sup>25</sup>
	42.000	137.33	06/22/20	188.02	50.69 W	0.00	1011 of 1011 - Sale <sup>25</sup>
06/22/20	27,535.000	97,017.25	Various	106,105.84	9,088.59 W	0.00	Total of 1011 transactions
	115 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	5.000	15.00	06/22/20	22.00	7.00 W	0.00	1 of 115 - Sale <sup>25</sup>
	23.000	69.00	06/22/20	101.10	32.10 W	0.00	2 of 115 - Sale <sup>25</sup>
	18.000	54.00	06/22/20	79.19	25.19 W	0.00	3 of 115 - Sale <sup>25</sup>
	29.000	86.99	06/22/20	127.36	40.37 W	0.00	4 of 115 - Sale <sup>25</sup>
	2.000	6.00	06/22/20	8.74	2.74 W	0.00	5 of 115 - Sale <sup>25</sup>
	2.000	6.00	06/22/20	8.80	2.80 W	0.00	6 of 115 - Sale <sup>25</sup>
	2.000	6.00	06/22/20	7.58	1.58 W	0.00	7 of 115 - Sale <sup>25</sup>
	2.000	6.00	06/22/20	7.60	1.60 W	0.00	8 of 115 - Sale <sup>25</sup>
	4.000	12.00	06/22/20	15.29	3.29 W	0.00	9 of 115 - Sale <sup>25</sup>
	8.000	24.00	06/22/20	30.32	6.32 W	0.00	10 of 115 - Sale <sup>25</sup>
	10.000	30.00	06/22/20	38.21	8.21 W	0.00	11 of 115 - Sale <sup>25</sup>
	12.000	36.00	06/22/20	52.70	16.70 W	0.00	12 of 115 - Sale <sup>25</sup>
	21.000	63.00	06/22/20	92.22	29.22 W	0.00	13 of 115 - Sale <sup>25</sup>
	80.000	239.98	06/22/20	305.81	65.83 W	0.00	14 of 115 - Sale <sup>25</sup>
	82.000	245.98	06/22/20	360.17	114.19 W	0.00	15 of 115 - Sale <sup>25</sup>
	2.000	6.00	06/22/20	9.30	3.30 W	0.00	16 of 115 - Sale <sup>25</sup>
	1.000	3.00	06/22/20	4.36	1.36 W	0.00	17 of 115 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	2.000	6.00	06/22/20	7.60	1.60 W	0.00	18 of 115 - Sale <sup>25</sup>
	2.000	6.00	06/22/20	8.88	2.88 W	0.00	19 of 115 - Sale <sup>25</sup>
	2.000	6.00	06/22/20	8.74	2.74 W	0.00	20 of 115 - Sale <sup>25</sup>
	2.000	6.00	06/22/20	9.04	3.04 W	0.00	21 of 115 - Sale <sup>25</sup>
	2.000	6.00	06/22/20	8.88	2.88 W	0.00	22 of 115 - Sale <sup>25</sup>
	2.000	6.00	06/22/20	8.74	2.74 W	0.00	23 of 115 - Sale <sup>25</sup>
	2.000	6.00	06/22/20	8.72	2.72 W	0.00	24 of 115 - Sale <sup>25</sup>
	2.000	6.00	06/22/20	8.68	2.68 W	0.00	25 of 115 - Sale <sup>25</sup>
	4.000	12.00	06/22/20	17.48	5.48 W	0.00	26 of 115 - Sale <sup>25</sup>
	4.000	12.00	06/22/20	17.48	5.48 W	0.00	27 of 115 - Sale <sup>25</sup>
	4.000	12.00	06/22/20	17.48	5.48 W	0.00	28 of 115 - Sale <sup>25</sup>
	4.000	12.00	06/22/20	17.36	5.36 W	0.00	29 of 115 - Sale <sup>25</sup>
	8.000	24.00	06/22/20	35.76	11.76 W	0.00	30 of 115 - Sale <sup>25</sup>
	16.000	48.00	06/22/20	71.50	23.50 W	0.00	31 of 115 - Sale <sup>25</sup>
	24.000	72.00	06/22/20	104.89	32.89 W	0.00	32 of 115 - Sale <sup>25</sup>
	24.000	71.99	06/22/20	107.30	35.31 W	0.00	33 of 115 - Sale <sup>25</sup>
	39.000	116.98	06/22/20	170.11	53.13 W	0.00	34 of 115 - Sale <sup>25</sup>
	46.000	137.99	06/22/20	205.25	67.26 W	0.00	35 of 115 - Sale <sup>25</sup>
	50.000	149.99	06/22/20	226.00	76.01 W	0.00	36 of 115 - Sale <sup>25</sup>
	50.000	149.99	06/22/20	218.10	68.11 W	0.00	37 of 115 - Sale <sup>25</sup>
	4.000	12.00	06/22/20	17.37	5.37 W	0.00	38 of 115 - Sale <sup>25</sup>
	58.000	173.99	06/22/20	258.79	84.80 W	0.00	39 of 115 - Sale <sup>25</sup>
	68.000	203.99	06/22/20	297.12	93.13 W	0.00	40 of 115 - Sale <sup>25</sup>
	80.000	239.98	06/22/20	298.42	58.44 W	0.00	41 of 115 - Sale <sup>25</sup>
	90.000	269.98	06/22/20	406.79	136.81 W	0.00	42 of 115 - Sale <sup>25</sup>
	108.000	323.98	06/22/20	402.86	78.88 W	0.00	43 of 115 - Sale <sup>25</sup>
	1.000	2.88	06/22/20	4.38	1.50 W	0.00	44 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	8.92	3.16 W	0.00	45 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	8.97	3.21 W	0.00	46 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	8.98	3.22 W	0.00	47 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	8.95	3.19 W	0.00	48 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	8.94	3.18 W	0.00	49 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	8.84	3.08 W	0.00	50 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	8.80	3.04 W	0.00	51 of 115 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	3.000	8.64	06/22/20	13.12	4.48 W	0.00	52 of 115 - Sale <sup>25</sup>
	4.000	11.52	06/22/20	17.93	6.41 W	0.00	53 of 115 - Sale <sup>25</sup>
	4.000	11.52	06/22/20	17.89	6.37 W	0.00	54 of 115 - Sale <sup>25</sup>
	4.000	11.52	06/22/20	17.60	6.08 W	0.00	55 of 115 - Sale <sup>25</sup>
	6.000	17.28	06/22/20	26.05	8.77 W	0.00	56 of 115 - Sale <sup>25</sup>
	6.000	17.28	06/22/20	26.76	9.48 W	0.00	57 of 115 - Sale <sup>25</sup>
	6.000	17.28	06/22/20	26.90	9.62 W	0.00	58 of 115 - Sale <sup>25</sup>
	7.000	20.16	06/22/20	31.38	11.22 W	0.00	59 of 115 - Sale <sup>25</sup>
	10.000	28.80	06/22/20	44.82	16.02 W	0.00	60 of 115 - Sale <sup>25</sup>
	10.000	28.80	06/22/20	44.88	16.08 W	0.00	61 of 115 - Sale <sup>25</sup>
	14.000	40.32	06/22/20	60.78	20.46 W	0.00	62 of 115 - Sale <sup>25</sup>
	15.000	43.20	06/22/20	67.32	24.12 W	0.00	63 of 115 - Sale <sup>25</sup>
	16.000	46.08	06/22/20	71.74	25.66 W	0.00	64 of 115 - Sale <sup>25</sup>
	18.000	51.84	06/22/20	80.47	28.63 W	0.00	65 of 115 - Sale <sup>25</sup>
	22.000	63.36	06/22/20	95.52	32.16 W	0.00	66 of 115 - Sale <sup>25</sup>
	24.000	69.12	06/22/20	107.48	38.36 W	0.00	67 of 115 - Sale <sup>25</sup>
	28.000	80.63	06/22/20	125.64	45.01 W	0.00	68 of 115 - Sale <sup>25</sup>
	42.000	120.95	06/22/20	187.19	66.24 W	0.00	69 of 115 - Sale <sup>25</sup>
	46.000	132.47	06/22/20	199.70	67.23 W	0.00	70 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	9.80	4.04 W	0.00	71 of 115 - Sale <sup>25</sup>
	1.000	2.88	06/22/20	4.61	1.73 W	0.00	72 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	9.24	3.48 W	0.00	73 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	9.30	3.54 W	0.00	74 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	8.08	2.32 W	0.00	75 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	8.10	2.34 W	0.00	76 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	8.10	2.34 W	0.00	77 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	9.38	3.62 W	0.00	78 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	9.24	3.48 W	0.00	79 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	9.54	3.78 W	0.00	80 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	9.38	3.62 W	0.00	81 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	9.24	3.48 W	0.00	82 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	9.22	3.46 W	0.00	83 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	9.18	3.42 W	0.00	84 of 115 - Sale <sup>25</sup>
	3.000	8.64	06/22/20	13.95	5.31 W	0.00	85 of 115 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	4.000	11.52	06/22/20	16.29	4.77 W	0.00	86 of 115 - Sale <sup>25</sup>
	4.000	11.52	06/22/20	18.48	6.96 W	0.00	87 of 115 - Sale <sup>25</sup>
	4.000	11.52	06/22/20	18.48	6.96 W	0.00	88 of 115 - Sale <sup>25</sup>
	4.000	11.52	06/22/20	18.48	6.96 W	0.00	89 of 115 - Sale <sup>25</sup>
	4.000	11.52	06/22/20	18.36	6.84 W	0.00	90 of 115 - Sale <sup>25</sup>
	4.000	11.52	06/22/20	18.37	6.85 W	0.00	91 of 115 - Sale <sup>25</sup>
	8.000	23.04	06/22/20	32.32	9.28 W	0.00	92 of 115 - Sale <sup>25</sup>
	8.000	23.04	06/22/20	37.76	14.72 W	0.00	93 of 115 - Sale <sup>25</sup>
	10.000	28.80	06/22/20	40.71	11.91 W	0.00	94 of 115 - Sale <sup>25</sup>
	12.000	34.56	06/22/20	55.70	21.14 W	0.00	95 of 115 - Sale <sup>25</sup>
	16.000	46.08	06/22/20	75.50	29.42 W	0.00	96 of 115 - Sale <sup>25</sup>
	18.000	51.84	06/22/20	83.69	31.85 W	0.00	97 of 115 - Sale <sup>25</sup>
	21.000	60.48	06/22/20	97.47	36.99 W	0.00	98 of 115 - Sale <sup>25</sup>
	23.000	66.24	06/22/20	106.85	40.61 W	0.00	99 of 115 - Sale <sup>25</sup>
	24.000	69.12	06/22/20	110.89	41.77 W	0.00	100 of 115 - Sale <sup>25</sup>
	24.000	69.11	06/22/20	113.31	44.20 W	0.00	101 of 115 - Sale <sup>25</sup>
	29.000	83.51	06/22/20	134.62	51.11 W	0.00	102 of 115 - Sale <sup>25</sup>
	39.000	112.31	06/22/20	179.88	67.57 W	0.00	103 of 115 - Sale <sup>25</sup>
	46.000	132.47	06/22/20	216.76	84.29 W	0.00	104 of 115 - Sale <sup>25</sup>
	50.000	143.99	06/22/20	238.51	94.52 W	0.00	105 of 115 - Sale <sup>25</sup>
	50.000	143.99	06/22/20	230.61	86.62 W	0.00	106 of 115 - Sale <sup>25</sup>
	58.000	167.03	06/22/20	273.30	106.27 W	0.00	107 of 115 - Sale <sup>25</sup>
	68.000	195.83	06/22/20	314.13	118.30 W	0.00	108 of 115 - Sale <sup>25</sup>
	80.000	230.38	06/22/20	325.83	95.45 W	0.00	109 of 115 - Sale <sup>25</sup>
	80.000	230.38	06/22/20	318.44	88.06 W	0.00	110 of 115 - Sale <sup>25</sup>
	82.000	236.14	06/22/20	380.69	144.55 W	0.00	111 of 115 - Sale <sup>25</sup>
	90.000	259.18	06/22/20	429.31	170.13 W	0.00	112 of 115 - Sale <sup>25</sup>
	108.000	311.02	06/22/20	429.88	118.86 W	0.00	113 of 115 - Sale <sup>25</sup>
	2.000	5.76	06/22/20	6.50	0.74 W	0.00	114 of 115 - Sale <sup>25</sup>
	1,000.000	2,879.79	06/23/20	2,980.00	20.04 W	-80.17	115 of 115 - Sale <sup>25</sup>
06/23/20	3,300.000	9,623.39	Various	13,017.52	3,313.96 W	-80.17	Total of 115 transactions
	7 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	46.000	133.40	06/24/20	202.01	...	-68.61	1 of 7 - Sale <sup>25</sup>
	22.000	63.79	06/24/20	96.62	...	-32.83	2 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	14.000	40.60	06/24/20	61.48	...	-20.88	3 of 7 - Sale <sup>25</sup>
	6.000	17.40	06/24/20	27.06	...	-9.66	4 of 7 - Sale <sup>25</sup>
	6.000	17.40	06/24/20	26.35	...	-8.95	5 of 7 - Sale <sup>25</sup>
	4.000	11.60	06/24/20	18.13	...	-6.53	6 of 7 - Sale <sup>25</sup>
	2.000	5.80	06/24/20	9.02	...	-3.22	7 of 7 - Sale <sup>25</sup>
06/24/20	100.000	289.99	Various	440.67	...	-150.68	Total of 7 transactions
	21 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	42.000	102.89	06/25/20	171.24	...	-68.35	1 of 21 - Sale <sup>25</sup>
	28.000	68.60	06/25/20	116.40	...	-47.80	2 of 21 - Sale <sup>25</sup>
	24.000	58.79	06/25/20	98.36	...	-39.57	3 of 21 - Sale <sup>25</sup>
	18.000	44.10	06/25/20	73.63	...	-29.53	4 of 21 - Sale <sup>25</sup>
	16.000	39.20	06/25/20	66.46	...	-27.26	5 of 21 - Sale <sup>25</sup>
	15.000	36.75	06/25/20	62.37	...	-25.62	6 of 21 - Sale <sup>25</sup>
	10.000	24.50	06/25/20	41.58	...	-17.08	7 of 21 - Sale <sup>25</sup>
	10.000	24.50	06/25/20	41.52	...	-17.02	8 of 21 - Sale <sup>25</sup>
	7.000	17.15	06/25/20	29.07	...	-11.92	9 of 21 - Sale <sup>25</sup>
	4.000	9.80	06/25/20	16.61	...	-6.81	10 of 21 - Sale <sup>25</sup>
	4.000	9.80	06/25/20	16.37	...	-6.57	11 of 21 - Sale <sup>25</sup>
	4.000	9.80	06/25/20	16.08	...	-6.28	12 of 21 - Sale <sup>25</sup>
	3.000	7.35	06/25/20	11.98	...	-4.63	13 of 21 - Sale <sup>25</sup>
	2.000	4.90	06/25/20	8.32	...	-3.42	14 of 21 - Sale <sup>25</sup>
	2.000	4.90	06/25/20	8.31	...	-3.41	15 of 21 - Sale <sup>25</sup>
	2.000	4.90	06/25/20	8.31	...	-3.41	16 of 21 - Sale <sup>25</sup>
	2.000	4.90	06/25/20	8.28	...	-3.38	17 of 21 - Sale <sup>25</sup>
	2.000	4.90	06/25/20	8.28	...	-3.38	18 of 21 - Sale <sup>25</sup>
	2.000	4.90	06/25/20	8.08	...	-3.18	19 of 21 - Sale <sup>25</sup>
	2.000	4.90	06/25/20	8.04	...	-3.14	20 of 21 - Sale <sup>25</sup>
	1.000	2.45	06/25/20	4.00	...	-1.55	21 of 21 - Sale <sup>25</sup>
06/25/20	200.000	489.98	Various	823.29	...	-333.31	Total of 21 transactions
	19 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	82.000	178.74	06/29/20	320.85	...	-142.11	1 of 19 - Sale <sup>25</sup>
	64.000	136.31	06/29/20	212.68	...	-76.37	2 of 19 - Sale <sup>25</sup>
	29.000	63.22	06/29/20	113.32	...	-50.10	3 of 19 - Sale <sup>25</sup>
	23.000	50.14	06/29/20	89.94	...	-39.80	4 of 19 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	21.000	45.78	06/29/20	82.03	...	-36.25	5 of 19 - Sale <sup>25</sup>
	18.000	39.24	06/29/20	70.46	...	-31.22	6 of 19 - Sale <sup>25</sup>
	16.000	34.08	06/29/20	53.17	...	-19.09	7 of 19 - Sale <sup>25</sup>
	8.000	17.44	06/29/20	31.29	...	-13.85	8 of 19 - Sale <sup>25</sup>
	8.000	17.04	06/29/20	26.57	...	-9.53	9 of 19 - Sale <sup>25</sup>
	8.000	17.04	06/29/20	26.32	...	-9.28	10 of 19 - Sale <sup>25</sup>
	4.000	8.72	06/29/20	15.63	...	-6.91	11 of 19 - Sale <sup>25</sup>
	3.000	6.54	06/29/20	11.75	...	-5.21	12 of 19 - Sale <sup>25</sup>
	4.000	8.72	06/29/20	13.37	...	-4.65	13 of 19 - Sale <sup>25</sup>
	2.000	4.36	06/29/20	8.33	...	-3.97	14 of 19 - Sale <sup>25</sup>
	2.000	4.36	06/29/20	7.84	...	-3.48	15 of 19 - Sale <sup>25</sup>
	2.000	4.36	06/29/20	7.78	...	-3.42	16 of 19 - Sale <sup>25</sup>
	2.000	4.26	06/29/20	6.60	...	-2.34	17 of 19 - Sale <sup>25</sup>
	2.000	4.36	06/29/20	6.68	...	-2.32	18 of 19 - Sale <sup>25</sup>
	2.000	4.26	06/29/20	6.58	...	-2.32	19 of 19 - Sale <sup>25</sup>
06/29/20	300.000	648.97	Various	1,111.19	...	-462.22	Total of 19 transactions
	27 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	88.000	209.43	06/30/20	381.07	...	-171.64	1 of 27 - Sale <sup>25</sup>
	64.000	154.23	06/30/20	268.14	...	-113.91	2 of 27 - Sale <sup>25</sup>
	100.000	233.49	06/30/20	338.56	...	-105.07	3 of 27 - Sale <sup>25</sup>
	80.000	192.79	06/30/20	284.06	...	-91.27	4 of 27 - Sale <sup>25</sup>
	48.000	109.19	06/30/20	199.79	...	-90.60	5 of 27 - Sale <sup>25</sup>
	46.000	104.64	06/30/20	191.46	...	-86.82	6 of 27 - Sale <sup>25</sup>
	40.000	91.00	06/30/20	173.22	...	-82.22	7 of 27 - Sale <sup>25</sup>
	24.000	54.60	06/30/20	102.76	...	-48.16	8 of 27 - Sale <sup>25</sup>
	24.000	57.84	06/30/20	100.57	...	-42.73	9 of 27 - Sale <sup>25</sup>
	16.000	36.40	06/30/20	68.46	...	-32.06	10 of 27 - Sale <sup>25</sup>
	10.000	22.75	06/30/20	42.72	...	-19.97	11 of 27 - Sale <sup>25</sup>
	10.000	23.80	06/30/20	43.30	...	-19.50	12 of 27 - Sale <sup>25</sup>
	8.000	18.20	06/30/20	34.24	...	-16.04	13 of 27 - Sale <sup>25</sup>
	8.000	19.28	06/30/20	28.40	...	-9.12	14 of 27 - Sale <sup>25</sup>
	4.000	9.64	06/30/20	16.76	...	-7.12	15 of 27 - Sale <sup>25</sup>
	4.000	9.64	06/30/20	16.76	...	-7.12	16 of 27 - Sale <sup>25</sup>
	4.000	9.64	06/30/20	16.76	...	-7.12	17 of 27 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	4.000	9.64	06/30/20	16.76	...	-7.12	18 of 27 - Sale <sup>25</sup>
	2.000	4.55	06/30/20	8.50	...	-3.95	19 of 27 - Sale <sup>25</sup>
	2.000	4.76	06/30/20	8.66	...	-3.90	20 of 27 - Sale <sup>25</sup>
	2.000	4.82	06/30/20	8.68	...	-3.86	21 of 27 - Sale <sup>25</sup>
	2.000	4.82	06/30/20	8.52	...	-3.70	22 of 27 - Sale <sup>25</sup>
	2.000	4.55	06/30/20	8.14	...	-3.59	23 of 27 - Sale <sup>25</sup>
	2.000	4.55	06/30/20	8.12	...	-3.57	24 of 27 - Sale <sup>25</sup>
	2.000	4.55	06/30/20	8.12	...	-3.57	25 of 27 - Sale <sup>25</sup>
	2.000	4.82	06/30/20	8.38	...	-3.56	26 of 27 - Sale <sup>25</sup>
	2.000	4.82	06/30/20	7.24	...	-2.42	27 of 27 - Sale <sup>25</sup>
06/30/20	600.000	1,408.44	Various	2,398.15	...	-989.71	Total of 27 transactions
9 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	48.000	83.99	07/01/20	171.48	...	-87.49	1 of 9 - Sale <sup>25</sup>
	39.000	68.24	07/01/20	139.33	...	-71.09	2 of 9 - Sale <sup>25</sup>
	100.000	174.98	07/01/20	192.02	...	-17.04	3 of 9 - Sale <sup>25</sup>
	100.000	174.98	07/01/20	190.02	...	-15.04	4 of 9 - Sale <sup>25</sup>
	4.000	7.00	07/01/20	14.21	...	-7.21	5 of 9 - Sale <sup>25</sup>
	4.000	7.00	07/01/20	14.20	...	-7.20	6 of 9 - Sale <sup>25</sup>
	2.000	3.50	07/01/20	7.10	...	-3.60	7 of 9 - Sale <sup>25</sup>
	1.000	1.75	07/01/20	3.57	...	-1.82	8 of 9 - Sale <sup>25</sup>
	2.000	3.50	07/01/20	4.42	...	-0.92	9 of 9 - Sale <sup>25</sup>
07/01/20	300.000	524.94	Various	736.35	...	-211.41	Total of 9 transactions
	<b>Security total:</b>	<b>174,436.52</b>		<b>190,287.67</b>	<b>14,244.98 W</b>	<b>-1,606.17</b>	
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol:							
7 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	95.000	156.74	08/12/20	186.71	...	-29.97	1 of 7 - Sale <sup>25</sup>
	100.000	142.49	08/12/20	157.00	...	-14.51	2 of 7 - Sale <sup>25</sup>
	45.000	74.24	08/12/20	87.54	...	-13.30	3 of 7 - Sale <sup>25</sup>
	30.000	49.50	08/12/20	58.36	...	-8.86	4 of 7 - Sale <sup>25</sup>
	20.000	33.00	08/12/20	38.90	...	-5.90	5 of 7 - Sale <sup>25</sup>
	5.000	8.25	08/12/20	9.83	...	-1.58	6 of 7 - Sale <sup>25</sup>
	5.000	8.25	08/12/20	9.73	...	-1.48	7 of 7 - Sale <sup>25</sup>
08/12/20	300.000	472.47	Various	548.07	...	-75.60	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol: (cont'd)							
<i>14 transactions for 08/12/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	148.99	08/12/20	159.00	10.01 W	0.00	1 of 14 - Sale <sup>25</sup>
	100.000	148.99	08/12/20	159.00	10.01 W	0.00	2 of 14 - Sale <sup>25</sup>
	5.000	7.45	08/12/20	8.45	1.00 W	0.00	3 of 14 - Sale <sup>25</sup>
	5.000	7.45	08/12/20	8.45	1.00 W	0.00	4 of 14 - Sale <sup>25</sup>
	95.000	141.54	08/12/20	160.56	19.02 W	0.00	5 of 14 - Sale <sup>25</sup>
	30.000	44.70	08/12/20	50.71	6.01 W	0.00	6 of 14 - Sale <sup>25</sup>
	20.000	29.80	08/12/20	33.80	4.00 W	0.00	7 of 14 - Sale <sup>25</sup>
	45.000	67.04	08/12/20	76.05	9.01 W	0.00	8 of 14 - Sale <sup>25</sup>
	5.000	7.12	08/12/20	8.95	1.83 W	0.00	9 of 14 - Sale <sup>25</sup>
	95.000	135.36	08/12/20	170.07	34.71 W	0.00	10 of 14 - Sale <sup>25</sup>
	5.000	7.12	08/12/20	8.85	1.73 W	0.00	11 of 14 - Sale <sup>25</sup>
	20.000	28.50	08/12/20	35.40	6.90 W	0.00	12 of 14 - Sale <sup>25</sup>
	30.000	42.75	08/12/20	53.11	10.36 W	0.00	13 of 14 - Sale <sup>25</sup>
	45.000	64.12	08/12/20	79.66	15.54 W	0.00	14 of 14 - Sale <sup>25</sup>
08/12/20	600.000	880.93	Various	1,012.06	131.13 W	0.00	Total of 14 transactions
	<b>Security total:</b>	<b>1,353.40</b>		<b>1,560.13</b>	<b>131.13 W</b>	<b>-75.60</b>	
INGERSOLL RAND INC. COMMON STO CK / CUSIP: 45687V106 / Symbol:							
08/10/20	50.000	1,742.46	08/10/20	1,735.50	...	6.96	Sale <sup>25</sup>
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
03/04/20	1.000	8.29	02/27/20	4.69	...	3.60	Sale <sup>25</sup>
<i>20 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	18.62	06/23/20	18.55	...	0.07	1 of 20 - Sale <sup>25</sup>
	4.000	74.28	06/23/20	74.08	...	0.20	2 of 20 - Sale <sup>25</sup>
	26.000	484.10	06/23/20	483.86	...	0.24	3 of 20 - Sale <sup>25</sup>
	74.000	1,377.84	06/23/20	1,377.14	...	0.70	4 of 20 - Sale <sup>25</sup>
	15.000	311.99	06/23/20	311.25	...	0.74	5 of 20 - Sale <sup>25</sup>
	20.000	416.00	06/23/20	415.00	...	1.00	6 of 20 - Sale <sup>25</sup>
	37.000	687.07	06/23/20	685.24	...	1.83	7 of 20 - Sale <sup>25</sup>
	100.000	1,866.94	06/23/20	1,865.00	...	1.94	8 of 20 - Sale <sup>25</sup>
	59.000	1,095.59	06/23/20	1,092.67	...	2.92	9 of 20 - Sale <sup>25</sup>
	71.000	1,476.75	06/23/20	1,473.25	...	3.50	10 of 20 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	100.000	1,866.94	06/23/20	1,863.00	...	3.94	11 of 20 - Sale <sup>25</sup>
	100.000	2,079.94	06/23/20	2,075.00	...	4.94	12 of 20 - Sale <sup>25</sup>
	100.000	1,860.31	06/23/20	1,855.00	...	5.31	13 of 20 - Sale <sup>25</sup>
	99.000	1,843.32	06/23/20	1,836.45	...	6.87	14 of 20 - Sale <sup>25</sup>
	100.000	1,861.94	06/23/20	1,854.00	...	7.94	15 of 20 - Sale <sup>25</sup>
	100.000	1,860.32	06/23/20	1,852.00	...	8.32	16 of 20 - Sale <sup>25</sup>
	100.000	1,863.94	06/23/20	1,855.00	...	8.94	17 of 20 - Sale <sup>25</sup>
	194.000	4,035.09	06/23/20	4,025.50	...	9.59	18 of 20 - Sale <sup>25</sup>
	100.000	1,866.95	06/23/20	1,855.00	...	11.95	19 of 20 - Sale <sup>25</sup>
	100.000	1,871.12	06/23/20	1,853.00	...	18.12	20 of 20 - Sale <sup>25</sup>
06/23/20	1,500.000	28,819.05	Various	28,719.99	...	99.06	Total of 20 transactions
06/23/20	200.000	4,149.88	06/23/20	4,170.00	4.32 W	-15.80	Sale <sup>25</sup>
	2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	40.000	1,129.97	06/25/20	1,155.62	...	-25.65	1 of 2 - Sale <sup>25</sup>
	3.000	84.75	06/25/20	85.26	...	-0.51	2 of 2 - Sale <sup>25</sup>
06/25/20	43.000	1,214.72	Various	1,240.88	...	-26.16	Total of 2 transactions
	<b>Security total:</b>	<b>34,191.94</b>		<b>34,135.56</b>	<b>4.32 W</b>	<b>60.70</b>	
INSEEGO CORP. COMMON STOCK / CUSIP: 45782B104 / Symbol:							
	3 transactions for 01/17/20. Total proceeds and cost reported to the IRS.						
	13.000	109.86	01/14/20	100.98	...	8.88	1 of 3 - Sale <sup>25</sup>
	38.000	321.13	01/14/20	294.04	...	27.09	2 of 3 - Sale <sup>25</sup>
	68.000	574.66	01/14/20	531.76	...	42.90	3 of 3 - Sale <sup>25</sup>
01/17/20	119.000	1,005.65	Various	926.78	...	78.87	Total of 3 transactions
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
	2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	300.000	329.96	04/27/20	365.73	...	-35.77	1 of 2 - Sale <sup>25</sup>
	100.000	109.99	04/27/20	121.91	...	-11.92	2 of 2 - Sale <sup>25</sup>
05/08/20	400.000	439.95	Various	487.64	...	-47.69	Total of 2 transactions
	2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	300.000	329.96	04/27/20	365.73	23.84 W	-11.93	1 of 2 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol: (cont'd)							
05/08/20	300.000	329.96	04/27/20	365.73	35.77 W	0.00	2 of 2 - Sale <sup>25</sup>
	600.000	659.92	Various	731.46	59.61 W	-11.93	Total of 2 transactions
	4 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	8.000	10.48	04/27/20	10.39	...	0.09	1 of 4 - Sale <sup>25</sup>
	10.000	13.10	04/27/20	12.99	...	0.11	2 of 4 - Sale <sup>25</sup>
	182.000	238.40	04/27/20	236.46	...	1.94	3 of 4 - Sale <sup>25</sup>
	300.000	392.96	04/27/20	389.77	...	3.19	4 of 4 - Sale <sup>25</sup>
05/12/20	500.000	654.94	Various	649.61	...	5.33	Total of 4 transactions
	<b>Security total:</b>	<b>1,754.81</b>		<b>1,868.71</b>	<b>59.61 W</b>	<b>-54.29</b>	
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
08/04/20	50.000	2,440.94	08/04/20	2,431.00	...	9.94	Sale <sup>25</sup>
INTERCONTINENTAL EXCHANGE INC. / CUSIP: 45866F104 / Symbol:							
08/17/20	20.000	2,076.35	08/17/20	2,068.20	...	8.15	Sale <sup>25</sup>
INTERNATIONAL BUSINESS MACHINE S CORPORATION / CUSIP: 459200101 / Symbol:							
08/28/20	20.000	2,494.04	08/28/20	2,498.20	4.16 W	0.00	Sale <sup>25</sup>
	2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	20.000	2,533.16	09/02/20	2,546.12	12.96 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,271.97	09/02/20	1,279.77	7.80 W	0.00	2 of 2 - Sale <sup>25</sup>
09/02/20	30.000	3,805.13	Various	3,825.89	20.76 W	0.00	Total of 2 transactions
	3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	20.000	2,571.54	09/03/20	2,588.70	...	-17.16	1 of 3 - Sale <sup>25</sup>
	10.000	1,285.77	09/03/20	1,297.50	...	-11.73	2 of 3 - Sale <sup>25</sup>
	10.000	1,285.77	09/03/20	1,296.18	...	-10.41	3 of 3 - Sale <sup>25</sup>
09/03/20	40.000	5,143.08	Various	5,182.38	...	-39.30	Total of 3 transactions
	<b>Security total:</b>	<b>11,442.25</b>		<b>11,506.47</b>	<b>24.92 W</b>	<b>-39.30</b>	
INTUIT INC. COMMON STOCK / CUSIP: 461202103 / Symbol:							
08/24/20	5.000	1,660.96	08/24/20	1,668.55	...	-7.59	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
<i>3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	463.20	06/15/20	468.00	...	-4.80	1 of 3 - Sale <sup>25</sup>
	100.000	463.20	06/15/20	467.00	...	-3.80	2 of 3 - Sale <sup>25</sup>
	100.000	463.21	06/15/20	463.00	...	0.21	3 of 3 - Sale <sup>25</sup>
06/15/20	300.000	1,389.61	Various	1,398.00	...	-8.39	Total of 3 transactions
11/19/20	100.000	320.99	11/17/20	339.00	18.01 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 12/17/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	339.97 N	11/20/20	346.51	...	-6.54	1 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$160.00
	200.000	679.95 N	12/01/20	688.00	...	-8.05	2 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$320.00
	200.000	679.96 N	12/01/20	688.00	...	-8.04	3 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$220.00
12/17/20	500.000	1,699.88	Various	1,722.51	...	-22.63	Total of 3 transactions
12/18/20	100.000	319.99 N	12/01/20	344.00	...	-24.01	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$80.00
	<b>Security total:</b>	<b>3,730.47</b>		<b>3,803.51</b>	<b>18.01 W</b>	<b>-55.03</b>	
INVITAE CORPORATION / CUSIP: 46185L103 / Symbol:							
<i>4 transactions for 10/27/20. Total proceeds and cost reported to the IRS.</i>							
	29.000	1,377.46	10/27/20	1,385.08	...	-7.62	1 of 4 - Sale <sup>25</sup>
	20.000	949.97	10/27/20	956.14	...	-6.17	2 of 4 - Sale <sup>25</sup>
	1.000	47.50	10/27/20	47.76	...	-0.26	3 of 4 - Sale <sup>25</sup>
	50.000	2,411.74	10/27/20	2,392.50	...	19.24	4 of 4 - Sale <sup>25</sup>
10/27/20	100.000	4,786.67	Various	4,781.48	...	5.19	Total of 4 transactions
10/27/20	50.000	2,386.44	10/27/20	2,397.50	11.06 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,173.11</b>		<b>7,178.98</b>	<b>11.06 W</b>	<b>5.19</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
IQIYI, INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 46267X108 / Symbol:							
02/18/20	5.000	126.00	02/14/20	135.84	...	-9.84	Sale <sup>25</sup>
	2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	10.000	228.99	09/18/20	229.20	0.21 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	686.98	09/18/20	688.20	1.22 W	0.00	2 of 2 - Sale <sup>25</sup>
09/18/20	40.000	915.97	Various	917.40	1.43 W	0.00	Total of 2 transactions
09/24/20	10.000	237.20	09/24/20	238.18	...	-0.98	Sale <sup>25</sup>
	2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	10.000	237.20	09/24/20	238.39	1.19 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	711.61	09/24/20	715.78	4.17 W	0.00	2 of 2 - Sale <sup>25</sup>
09/24/20	40.000	948.81	Various	954.17	5.36 W	0.00	Total of 2 transactions
	2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	30.000	686.38	10/01/20	692.02	...	-5.64	1 of 2 - Sale <sup>25</sup>
	10.000	228.79	10/01/20	230.48	...	-1.69	2 of 2 - Sale <sup>25</sup>
10/01/20	40.000	915.17	Various	922.50	...	-7.33	Total of 2 transactions
12/16/20	100.000	2,207.93 N	11/27/20	2,180.50	...	27.43	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$92.00
	<b>Security total:</b>	<b>5,351.08</b>		<b>5,348.59</b>	<b>6.79 W</b>	<b>9.28</b>	
ISHARES CHINA LARGE-CAP ETF / CUSIP: 464287184 / Symbol:							
07/09/20	100.000	4,524.89	07/08/20	4,552.72	...	-27.83	Sale <sup>25</sup>
ISHARES NASDAQ BIOTECHNOLOGY E TF / CUSIP: 464287556 / Symbol:							
11/24/20	10.000	1,389.66	11/24/20	1,389.10	...	0.56	Sale <sup>25</sup>
ISHARES U.S. AEROSPACE & DEFEN SE ETF / CUSIP: 464288760 / Symbol:							
10/01/20	10.000	1,590.38	10/01/20	1,599.53	...	-9.15	Sale <sup>25</sup>
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
06/30/20	20.000	338.60	06/26/20	332.20	...	6.40	3- Proceeds from collectibles [X] Sale <sup>25</sup>
07/24/20	15.000	318.75	07/24/20	318.15	...	0.60	3- Proceeds from collectibles [X] Sale <sup>25</sup>
07/29/20	50.000	1,118.97	07/29/20	1,115.00	...	3.97	3- Proceeds from collectibles [X] Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL (cont'd)							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	50.000	1,262.47	08/10/20	1,352.92	90.45 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	1,261.97	08/10/20	1,352.92	90.95 W	0.00	2 of 2 - Sale <sup>25</sup>
08/11/20	100.000	2,524.44	Various	2,705.84	181.40 W	0.00	3- Proceeds from collectibles [X] Total of 2 transactions
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	50.000	1,210.96	08/12/20	1,310.87	99.91 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	1,210.97	08/12/20	1,311.37	100.40 W	0.00	2 of 2 - Sale <sup>25</sup>
08/12/20	100.000	2,421.93	Various	2,622.24	200.31 W	0.00	3- Proceeds from collectibles [X] Total of 2 transactions
4 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	50.000	1,243.46	08/13/20	1,340.16	96.70 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	1,243.47	08/13/20	1,341.90	98.43 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	1,281.96	08/13/20	1,361.45	79.49 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	1,281.97	08/13/20	1,363.18	81.21 W	0.00	4 of 4 - Sale <sup>25</sup>
08/13/20	200.000	5,050.86	Various	5,406.69	355.83 W	0.00	3- Proceeds from collectibles [X] Total of 4 transactions
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	50.000	1,245.22	08/13/20	1,359.63	...	-114.41	1 of 2 - Sale <sup>25</sup>
	50.000	1,245.21	08/13/20	1,357.91	...	-112.70	2 of 2 - Sale <sup>25</sup>
08/14/20	100.000	2,490.43	Various	2,717.54	...	-227.11	3- Proceeds from collectibles [X] Total of 2 transactions
4 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	10.000	215.02	09/24/20	213.56	...	1.46	1 of 4 - Sale <sup>25</sup>
	20.000	429.80	09/24/20	427.13	...	2.67	2 of 4 - Sale <sup>25</sup>
	50.000	1,047.57	09/24/20	1,044.00	...	3.57	3 of 4 - Sale <sup>25</sup>
	50.000	1,064.47	09/24/20	1,044.00	...	20.47	4 of 4 - Sale <sup>25</sup>
09/24/20	130.000	2,756.86	Various	2,728.69	...	28.17	3- Proceeds from collectibles [X] Total of 4 transactions
09/24/20	20.000	423.60	09/24/20	427.12	3.52 W	0.00	3- Proceeds from collectibles [X] Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL (cont'd)							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	20.000	429.23	09/25/20	430.90	...	-1.67	1 of 2 - Sale <sup>25</sup>
	30.000	643.84	09/25/20	641.08	...	2.76	2 of 2 - Sale <sup>25</sup>
09/25/20	50.000	1,073.07	Various	1,071.98	...	1.09	3- Proceeds from collectibles [X] Total of 2 transactions
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	10.000	219.80	11/09/20	221.20	1.40 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	439.60	11/09/20	442.40	2.80 W	0.00	2 of 2 - Sale <sup>25</sup>
11/09/20	30.000	659.40	Various	663.60	4.20 W	0.00	3- Proceeds from collectibles [X] Total of 2 transactions
11/27/20	70.000	1,478.71	11/27/20	1,478.40	...	0.31	3- Proceeds from collectibles [X] Sale <sup>25</sup>
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	10.000	211.24	11/27/20	212.60	1.36 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	422.49	11/27/20	425.20	2.71 W	0.00	2 of 2 - Sale <sup>25</sup>
11/27/20	30.000	633.73	Various	637.80	4.07 W	0.00	3- Proceeds from collectibles [X] Total of 2 transactions
5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	10.000	222.41	11/27/20	212.84	...	9.57	1 of 5 - Sale <sup>25</sup>
	10.000	222.41	11/27/20	211.35	...	11.06	2 of 5 - Sale <sup>25</sup>
	20.000	444.83	11/27/20	425.67	...	19.16	3 of 5 - Sale <sup>25</sup>
	20.000	444.83	11/27/20	422.95	...	21.88	4 of 5 - Sale <sup>25</sup>
	40.000	889.65	11/27/20	845.40	...	44.25	5 of 5 - Sale <sup>25</sup>
12/11/20	100.000	2,224.13	Various	2,118.21	...	105.92	3- Proceeds from collectibles [X] Total of 5 transactions
<b>Security total:</b>		<b>23,513.48</b>		<b>24,343.46</b>	<b>749.33 W</b>	<b>-80.65</b>	
ISHARES MSCI CHINA ETF / CUSIP: 46429B671 / Symbol:							
09/23/20	20.000	1,455.76	08/24/20	1,506.80	...	-51.04	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
JOYY INC. AMERICAN DEPOSITORY SHARES / CUSIP: 46591M109 / Symbol:							
08/25/20	10.000	924.11	08/25/20	916.40	...	7.71	Sale <sup>25</sup>
	2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	10.000	753.88	09/11/20	764.00	...	-10.12	1 of 2 - Sale <sup>25</sup>
	10.000	753.87	09/11/20	763.50	...	-9.63	2 of 2 - Sale <sup>25</sup>
09/11/20	20.000	1,507.75	Various	1,527.50	...	-19.75	Total of 2 transactions
10/20/20	20.000	1,613.96	10/19/20	1,640.00	26.04 W	0.00	Sale <sup>25</sup>
	2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	10.000	838.38	10/23/20	862.92	24.54 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	838.38	10/23/20	862.52	24.14 W	0.00	2 of 2 - Sale <sup>25</sup>
10/23/20	20.000	1,676.76	Various	1,725.44	48.68 W	0.00	Total of 2 transactions
10/26/20	10.000	870.58	10/26/20	904.04	33.46 W	0.00	Sale <sup>25</sup>
11/04/20	10.000	968.54	11/04/20	998.34	29.80 W	0.00	Sale <sup>25</sup>
	2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	10.000	999.87	11/06/20	1,038.46	38.59 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,008.27	11/06/20	1,038.80	30.53 W	0.00	2 of 2 - Sale <sup>25</sup>
11/06/20	20.000	2,008.14	Various	2,077.26	69.12 W	0.00	Total of 2 transactions
11/16/20	10.000	949.97	11/16/20	991.79	41.82 W	0.00	Sale <sup>25</sup>
	2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	3.000	305.78	11/17/20	331.06	25.28 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	203.85	11/17/20	220.71	16.86 W	0.00	2 of 2 - Sale <sup>25</sup>
11/17/20	5.000	509.63	Various	551.77	42.14 W	0.00	Total of 2 transactions
	2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	5.000	420.89	11/20/20	439.96	19.07 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	420.89	11/20/20	445.61	24.72 W	0.00	2 of 2 - Sale <sup>25</sup>
11/20/20	10.000	841.78	Various	885.57	43.79 W	0.00	Total of 2 transactions
11/23/20	5.000	434.55	11/23/20	461.66	27.11 W	0.00	Sale <sup>25</sup>
	3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	2.000	167.03	12/15/20	177.70	10.67 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	250.55	12/15/20	266.54	15.99 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	417.58	12/15/20	421.17	3.59 W	0.00	3 of 3 - Sale <sup>25</sup>
12/16/20	10.000	835.16	Various	865.41	30.25 W	0.00	Total of 3 transactions
12/17/20	5.000	410.54	12/15/20	429.21	...	-18.67	Sale <sup>25</sup>
12/17/20	5.000	410.54	12/15/20	426.82	16.28 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOYY INC. AMERICAN DEPOSITORY SHARES / CUSIP: 46591M109 / Symbol: (cont'd)							
3 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	3.000	238.85	12/28/20	245.97	...	-7.12	1 of 3 - Sale <sup>25</sup>
	2.000	159.24	12/28/20	163.99	...	-4.75	2 of 3 - Sale <sup>25</sup>
	5.000	398.09	12/28/20	399.58	...	-1.49	3 of 3 - Sale <sup>25</sup>
12/30/20	10.000	796.18	Various	809.54	...	-13.36	Total of 3 transactions
	<b>Security total:</b>	<b>14,758.19</b>		<b>15,210.75</b>	<b>408.49 W</b>	<b>-44.07</b>	
J.JILL, INC. COMMON STOCK / CUSIP: 46620W102 / Symbol:							
4 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	277.000	113.73	04/27/20	138.50	...	-24.77	1 of 4 - Sale <sup>25</sup>
	123.000	50.50	04/27/20	67.66	...	-17.16	2 of 4 - Sale <sup>25</sup>
	100.000	41.06	04/27/20	55.01	...	-13.95	3 of 4 - Sale <sup>25</sup>
	200.000	89.98	04/27/20	100.00	...	-10.02	4 of 4 - Sale <sup>25</sup>
04/28/20	700.000	295.27	Various	361.17	...	-65.90	Total of 4 transactions
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	200.000	89.98	04/27/20	100.00	6.16 W	-3.86	1 of 2 - Sale <sup>25</sup>
	100.000	44.99	04/27/20	50.00	5.01 W	0.00	2 of 2 - Sale <sup>25</sup>
04/28/20	300.000	134.97	Various	150.00	11.17 W	-3.86	Total of 2 transactions
	<b>Security total:</b>	<b>430.24</b>		<b>511.17</b>	<b>11.17 W</b>	<b>-69.76</b>	
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol:							
01/24/20	5.000	662.74	10/21/19	615.25	...	47.49	Sale <sup>25</sup>
3 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	3.000	397.64	12/06/19	406.34	8.70 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	265.09	01/15/20	274.40	9.31 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	1,325.47	01/21/20	1,374.10	24.32 W	-24.31	3 of 3 - Sale <sup>25</sup>
01/24/20	15.000	1,988.20	Various	2,054.84	42.33 W	-24.31	Total of 3 transactions
06/11/20	5.000	501.23	03/17/20	441.84	...	59.39	Sale <sup>25</sup>
4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	3.000	300.74	01/24/20	413.82	113.08 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	200.50	01/24/20	279.39	78.89 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	501.24	01/24/20	699.52	198.28 W	0.00	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol: (cont'd)							
06/11/20	5.000	501.24	02/24/20	661.18	159.94 W	0.00	4 of 4 - Sale <sup>25</sup>
	15.000	1,503.72	Various	2,053.91	550.19 W	0.00	Total of 4 transactions
	3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	3.000	281.57	06/16/20	419.38	137.81 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	187.72	06/16/20	283.09	95.37 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	469.29	06/16/20	708.78	239.49 W	0.00	3 of 3 - Sale <sup>25</sup>
06/29/20	10.000	938.58	Various	1,411.25	472.67 W	0.00	Total of 3 transactions
	7 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	3.000	304.53	06/18/20	297.00	...	7.53	1 of 7 - Sale <sup>25</sup>
	3.000	304.53	06/18/20	297.00	...	7.53	2 of 7 - Sale <sup>25</sup>
	3.000	304.53	06/18/20	297.00	...	7.53	3 of 7 - Sale <sup>25</sup>
	10.000	1,015.09	06/18/20	997.00	...	18.09	4 of 7 - Sale <sup>25</sup>
	20.000	2,030.18	06/18/20	1,997.60	...	32.58	5 of 7 - Sale <sup>25</sup>
	31.000	3,146.77	06/18/20	3,069.00	...	77.77	6 of 7 - Sale <sup>25</sup>
	10.000	1,015.09	06/29/20	942.49	...	72.60	7 of 7 - Sale <sup>25</sup>
08/10/20	80.000	8,120.72	Various	7,897.09	...	223.63	Total of 7 transactions
	5 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	5.000	507.54	06/16/20	670.44	162.90 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	507.54	06/16/20	510.50	2.96 W	0.00	2 of 5 - Sale <sup>25</sup>
	3.000	304.53	06/18/20	436.91	132.38 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	203.02	06/18/20	294.77	91.75 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	507.54	06/18/20	737.99	230.45 W	0.00	5 of 5 - Sale <sup>25</sup>
08/10/20	20.000	2,030.17	Various	2,650.61	620.44 W	0.00	Total of 5 transactions
	5 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	3.000	317.63	08/11/20	450.43	132.80 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	211.76	08/11/20	303.78	92.02 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	529.39	08/11/20	760.52	231.13 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	529.39	08/11/20	692.98	163.59 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	529.38	08/11/20	533.03	3.65 W	0.00	5 of 5 - Sale <sup>25</sup>
08/11/20	20.000	2,117.55	Various	2,740.74	623.19 W	0.00	Total of 5 transactions
	11 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	3.000	305.93	08/14/20	438.59	132.66 W	0.00	1 of 11 - Sale <sup>25</sup>
	2.000	203.95	08/14/20	295.88	91.93 W	0.00	2 of 11 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol: (cont'd)							
	5.000	509.89	08/14/20	740.78	230.89 W	0.00	3 of 11 - Sale <sup>25</sup>
	3.000	308.24	08/14/20	439.29	131.05 W	0.00	4 of 11 - Sale <sup>25</sup>
	2.000	205.50	08/14/20	296.35	90.85 W	0.00	5 of 11 - Sale <sup>25</sup>
	5.000	513.74	08/14/20	741.93	228.19 W	0.00	6 of 11 - Sale <sup>25</sup>
	5.000	513.74	08/14/20	674.63	160.89 W	0.00	7 of 11 - Sale <sup>25</sup>
	5.000	513.73	08/14/20	514.70	0.97 W	0.00	8 of 11 - Sale <sup>25</sup>
	3.000	308.12	08/14/20	440.14	132.02 W	0.00	9 of 11 - Sale <sup>25</sup>
	2.000	205.41	08/14/20	296.91	91.50 W	0.00	10 of 11 - Sale <sup>25</sup>
	5.000	513.54	08/14/20	743.33	229.79 W	0.00	11 of 11 - Sale <sup>25</sup>
08/14/20	40.000	4,101.79	Various	5,622.53	1,520.74 W	0.00	Total of 11 transactions
	2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	5.000	488.59	08/21/20	653.04	164.45 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	488.58	08/21/20	493.12	4.54 W	0.00	2 of 2 - Sale <sup>25</sup>
08/21/20	10.000	977.17	Various	1,146.16	168.99 W	0.00	Total of 2 transactions
08/27/20	10.000	1,019.13	08/27/20	1,017.15	...	1.98	Sale <sup>25</sup>
	8 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	3.000	305.74	08/27/20	437.16	131.42 W	0.00	1 of 8 - Sale <sup>25</sup>
	2.000	203.83	08/27/20	294.93	91.10 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.000	509.56	08/27/20	738.37	228.81 W	0.00	3 of 8 - Sale <sup>25</sup>
	5.000	509.56	08/27/20	673.03	163.47 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	509.56	08/27/20	513.11	3.55 W	0.00	5 of 8 - Sale <sup>25</sup>
	3.000	307.05	08/27/20	438.74	131.69 W	0.00	6 of 8 - Sale <sup>25</sup>
	2.000	204.70	08/27/20	295.98	91.28 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	511.75	08/27/20	741.01	229.26 W	0.00	8 of 8 - Sale <sup>25</sup>
08/27/20	30.000	3,061.75	Various	4,132.33	1,070.58 W	0.00	Total of 8 transactions
	2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	5.000	492.37	09/16/20	663.96	171.59 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	493.06	09/16/20	504.03	10.97 W	0.00	2 of 2 - Sale <sup>25</sup>
09/17/20	10.000	985.43	Various	1,167.99	182.56 W	0.00	Total of 2 transactions
	8 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	3.000	282.29	09/24/20	412.34	130.05 W	0.00	1 of 8 - Sale <sup>25</sup>
	2.000	188.19	09/24/20	278.38	90.19 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.000	470.49	09/24/20	697.00	226.51 W	0.00	3 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol: (cont'd)							
	3.000	281.78	09/24/20	412.38	130.60 W	0.00	4 of 8 - Sale <sup>25</sup>
	2.000	187.86	09/24/20	278.41	90.55 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	469.64	09/24/20	697.06	227.42 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	469.64	09/24/20	642.14	172.50 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	469.63	09/24/20	481.52	11.89 W	0.00	8 of 8 - Sale <sup>25</sup>
09/24/20	30.000	2,819.52	Various	3,899.23	1,079.71 W	0.00	Total of 8 transactions
	3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	3.000	289.19	10/01/20	420.25	131.06 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	192.79	10/01/20	283.65	90.86 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	481.99	10/01/20	710.17	228.18 W	0.00	3 of 3 - Sale <sup>25</sup>
10/01/20	10.000	963.97	Various	1,414.07	450.10 W	0.00	Total of 3 transactions
	2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	5.000	495.34	10/05/20	665.65	170.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	495.33	10/05/20	505.04	9.71 W	0.00	2 of 2 - Sale <sup>25</sup>
10/05/20	10.000	990.67	Various	1,170.69	180.02 W	0.00	Total of 2 transactions
	3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	3.000	304.94	10/12/20	435.77	130.83 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	203.29	10/12/20	294.00	90.71 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	508.24	10/12/20	736.03	227.79 W	0.00	3 of 3 - Sale <sup>25</sup>
10/12/20	10.000	1,016.47	Various	1,465.80	449.33 W	0.00	Total of 3 transactions
	7 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	5.000	518.91	10/23/20	690.05	171.14 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	518.91	10/23/20	529.45	10.54 W	0.00	2 of 7 - Sale <sup>25</sup>
	3.000	311.69	10/23/20	442.41	130.72 W	0.00	3 of 7 - Sale <sup>25</sup>
	2.000	207.79	10/23/20	298.43	90.64 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	519.49	10/23/20	747.09	227.60 W	0.00	5 of 7 - Sale <sup>25</sup>
	5.000	519.48	10/23/20	688.14	168.66 W	0.00	6 of 7 - Sale <sup>25</sup>
	5.000	519.08	10/23/20	527.54	8.46 W	0.00	7 of 7 - Sale <sup>25</sup>
10/23/20	30.000	3,115.35	Various	3,923.11	807.76 W	0.00	Total of 7 transactions
	7 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	5.000	524.44	11/05/20	748.06	...	-223.62	1 of 7 - Sale <sup>25</sup>
	5.000	524.19	11/05/20	689.19	...	-165.00	2 of 7 - Sale <sup>25</sup>
	3.000	314.66	11/05/20	443.02	...	-128.36	3 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol: (cont'd)							
	2.000	209.77	11/05/20	298.81	...	-89.04	4 of 7 - Sale <sup>25</sup>
	3.000	314.51	11/05/20	317.38	...	-2.87	5 of 7 - Sale <sup>25</sup>
	2.000	209.68	11/05/20	211.59	...	-1.91	6 of 7 - Sale <sup>25</sup>
	10.000	1,048.37	11/05/20	1,047.37	...	1.00	7 of 7 - Sale <sup>25</sup>
11/05/20	30.000	3,145.62	Various	3,755.42	...	-609.80	Total of 7 transactions
	26 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	3.000	306.98	11/05/20	437.70	130.72 W	0.00	1 of 26 - Sale <sup>25</sup>
	2.000	204.66	11/05/20	295.29	90.63 W	0.00	2 of 26 - Sale <sup>25</sup>
	5.000	511.64	11/05/20	739.22	227.58 W	0.00	3 of 26 - Sale <sup>25</sup>
	5.000	511.63	11/05/20	681.84	170.21 W	0.00	4 of 26 - Sale <sup>25</sup>
	5.000	511.64	11/05/20	521.64	10.00 W	0.00	5 of 26 - Sale <sup>25</sup>
	3.000	311.00	11/05/20	439.30	128.30 W	0.00	6 of 26 - Sale <sup>25</sup>
	2.000	207.34	11/05/20	296.35	89.01 W	0.00	7 of 26 - Sale <sup>25</sup>
	5.000	518.34	11/05/20	741.88	223.54 W	0.00	8 of 26 - Sale <sup>25</sup>
	5.000	518.33	11/05/20	684.51	166.18 W	0.00	9 of 26 - Sale <sup>25</sup>
	3.000	311.01	11/05/20	314.58	3.57 W	0.00	10 of 26 - Sale <sup>25</sup>
	2.000	207.34	11/05/20	209.72	2.38 W	0.00	11 of 26 - Sale <sup>25</sup>
	3.000	312.59	11/05/20	441.02	128.43 W	0.00	12 of 26 - Sale <sup>25</sup>
	2.000	208.39	11/05/20	297.49	89.10 W	0.00	13 of 26 - Sale <sup>25</sup>
	5.000	520.99	11/05/20	744.74	223.75 W	0.00	14 of 26 - Sale <sup>25</sup>
	5.000	523.14	11/05/20	688.22	165.08 W	0.00	15 of 26 - Sale <sup>25</sup>
	2.000	209.25	11/05/20	211.20	1.95 W	0.00	16 of 26 - Sale <sup>25</sup>
	3.000	313.88	11/05/20	316.80	2.92 W	0.00	17 of 26 - Sale <sup>25</sup>
	3.000	313.94	11/05/20	442.62	128.68 W	0.00	18 of 26 - Sale <sup>25</sup>
	2.000	209.30	11/05/20	298.56	89.26 W	0.00	19 of 26 - Sale <sup>25</sup>
	5.000	523.24	11/05/20	747.40	224.16 W	0.00	20 of 26 - Sale <sup>25</sup>
	5.000	523.23	11/05/20	688.73	165.50 W	0.00	21 of 26 - Sale <sup>25</sup>
	2.000	209.30	11/05/20	211.41	2.11 W	0.00	22 of 26 - Sale <sup>25</sup>
	3.000	313.94	11/05/20	317.11	3.17 W	0.00	23 of 26 - Sale <sup>25</sup>
	3.000	314.51	11/05/20	442.89	128.38 W	0.00	24 of 26 - Sale <sup>25</sup>
	2.000	209.68	11/05/20	298.73	89.05 W	0.00	25 of 26 - Sale <sup>25</sup>
	5.000	524.19	11/05/20	747.85	223.66 W	0.00	26 of 26 - Sale <sup>25</sup>
11/05/20	90.000	9,349.48	Various	12,256.80	2,907.32 W	0.00	Total of 26 transactions
	<b>Security total:</b>	<b>49,409.26</b>		<b>60,836.81</b>	<b>11,125.93 W</b>	<b>-301.62</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
JD.COM, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 47215P106 / Symbol:							
08/03/20	50.000	3,227.51	08/03/20	3,230.75	3.24 W	0.00	Sale <sup>25</sup>
08/17/20	50.000	3,330.92	08/17/20	3,332.24	1.32 W	0.00	Sale <sup>25</sup>
08/20/20	20.000	1,461.96	08/20/20	1,459.53	...	2.43	Sale <sup>25</sup>
08/21/20	20.000	1,504.36	08/21/20	1,504.53	0.17 W	0.00	Sale <sup>25</sup>
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	10.000	763.98	08/24/20	762.06	...	1.92	1 of 3 - Sale <sup>25</sup>
	20.000	1,527.96	08/24/20	1,523.77	...	4.19	2 of 3 - Sale <sup>25</sup>
	20.000	1,527.97	08/24/20	1,523.59	...	4.38	3 of 3 - Sale <sup>25</sup>
08/24/20	50.000	3,819.91	Various	3,809.42	...	10.49	Total of 3 transactions
10/08/20	20.000	1,553.96	10/08/20	1,563.97	10.01 W	0.00	Sale <sup>25</sup>
3 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	20.000	1,589.76	10/09/20	1,609.78	20.02 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	1,596.02	10/09/20	1,619.62	23.60 W	0.00	2 of 3 - Sale <sup>25</sup>
	30.000	2,394.04	10/09/20	2,399.40	5.36 W	0.00	3 of 3 - Sale <sup>25</sup>
10/09/20	70.000	5,579.82	Various	5,628.80	48.98 W	0.00	Total of 3 transactions
10/20/20	5.000	412.20	10/20/20	415.74	3.54 W	0.00	Sale <sup>25</sup>
2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	5.000	401.70	10/27/20	418.48	16.78 W	0.00	1 of 2 - Sale <sup>25</sup>
	15.000	1,205.10	10/27/20	1,270.48	65.38 W	0.00	2 of 2 - Sale <sup>25</sup>
10/28/20	20.000	1,606.80	Various	1,688.96	82.16 W	0.00	Total of 2 transactions
10 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	20.000	1,673.82	10/29/20	1,682.95	9.13 W	0.00	1 of 10 - Sale <sup>25</sup>
	10.000	833.90	10/29/20	879.28	45.38 W	0.00	2 of 10 - Sale <sup>25</sup>
	5.000	416.95	10/29/20	418.73	1.78 W	0.00	3 of 10 - Sale <sup>25</sup>
	5.000	416.94	10/29/20	421.38	4.44 W	0.00	4 of 10 - Sale <sup>25</sup>
	5.000	416.91	10/29/20	439.18	22.27 W	0.00	5 of 10 - Sale <sup>25</sup>
	5.000	416.91	10/29/20	434.17	17.26 W	0.00	6 of 10 - Sale <sup>25</sup>
	20.000	1,667.64	10/29/20	1,678.69	11.05 W	0.00	7 of 10 - Sale <sup>25</sup>
	10.000	833.82	10/29/20	880.27	46.45 W	0.00	8 of 10 - Sale <sup>25</sup>
	5.000	416.91	10/29/20	419.23	2.32 W	0.00	9 of 10 - Sale <sup>25</sup>
	5.000	416.91	10/29/20	421.88	4.97 W	0.00	10 of 10 - Sale <sup>25</sup>
10/29/20	90.000	7,510.71	Various	7,675.76	165.05 W	0.00	Total of 10 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JD.COM, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 47215P106 / Symbol: (cont'd)							
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	5.000	409.55	10/29/20	434.70	...	-25.15	1 of 2 - Sale <sup>25</sup>
	10.000	819.09	10/30/20	824.13	...	-5.04	2 of 2 - Sale <sup>25</sup>
11/02/20	15.000	1,228.64	Various	1,258.83	...	-30.19	Total of 2 transactions
11/02/20	5.000	409.55	10/29/20	439.72	30.17 W	0.00	Sale <sup>25</sup>
4 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	10.000	895.98	11/09/20	945.89	...	-49.91	1 of 4 - Sale <sup>25</sup>
	10.000	895.98	11/09/20	904.95	...	-8.97	2 of 4 - Sale <sup>25</sup>
	5.000	447.99	11/09/20	454.68	...	-6.69	3 of 4 - Sale <sup>25</sup>
	5.000	447.99	11/09/20	452.04	...	-4.05	4 of 4 - Sale <sup>25</sup>
11/09/20	30.000	2,687.94	Various	2,757.56	...	-69.62	Total of 4 transactions
11/20/20	5.000	434.94	11/20/20	466.67	...	-31.73	Sale <sup>25</sup>
	<b>Security total:</b>	<b>34,769.22</b>		<b>35,232.48</b>	<b>344.64 W</b>	<b>-118.62</b>	
JINKOSOLAR HOLDINGS CO / CUSIP: 47759T100 / Symbol:							
4 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	10.000	616.18	11/02/20	628.71	...	-12.53	1 of 4 - Sale <sup>25</sup>
	5.000	308.44	11/02/20	315.71	...	-7.27	2 of 4 - Sale <sup>25</sup>
	5.000	308.44	11/02/20	315.66	...	-7.22	3 of 4 - Sale <sup>25</sup>
	5.000	311.50	11/02/20	311.00	...	0.50	4 of 4 - Sale <sup>25</sup>
11/02/20	25.000	1,544.56	Various	1,571.08	...	-26.52	Total of 4 transactions
4 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	5.000	310.95	11/02/20	311.00	0.05 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	307.24	11/02/20	310.05	2.81 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	307.24	11/02/20	310.00	2.76 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	614.49	11/02/20	620.00	5.51 W	0.00	4 of 4 - Sale <sup>25</sup>
11/02/20	25.000	1,539.92	Various	1,551.05	11.13 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>3,084.48</b>		<b>3,122.13</b>	<b>11.13 W</b>	<b>-26.52</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol:							
<i>2 transactions for 01/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	144.85	10/24/19	130.50	...	14.35	1 of 2 - Sale <sup>25</sup>
01/08/20	4.000	579.38	12/26/19	582.33	...	-2.95	2 of 2 - Sale <sup>25</sup>
	5.000	724.23	Various	712.83	...	11.40	Total of 2 transactions
<i>2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	751.23	09/09/20	749.95	...	1.28	1 of 2 - Sale <sup>25</sup>
09/09/20	5.000	750.74	09/09/20	746.10	...	4.64	2 of 2 - Sale <sup>25</sup>
	10.000	1,501.97	Various	1,496.05	...	5.92	Total of 2 transactions
<i>7 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	744.88	09/18/20	744.35	...	0.53	1 of 7 - Sale <sup>25</sup>
	2.000	297.95	09/18/20	297.10	...	0.85	2 of 7 - Sale <sup>25</sup>
	2.000	296.24	09/18/20	295.14	...	1.10	3 of 7 - Sale <sup>25</sup>
	3.000	447.00	09/18/20	445.65	...	1.35	4 of 7 - Sale <sup>25</sup>
	3.000	444.35	09/18/20	442.71	...	1.64	5 of 7 - Sale <sup>25</sup>
	5.000	740.59	09/18/20	738.85	...	1.74	6 of 7 - Sale <sup>25</sup>
	5.000	744.98	09/18/20	742.75	...	2.23	7 of 7 - Sale <sup>25</sup>
09/18/20	25.000	3,715.99	Various	3,706.55	...	9.44	Total of 7 transactions
10/05/20	5.000	737.53	10/05/20	737.59	...	-0.06	Sale <sup>25</sup>
12/10/20	10.000	1,526.66	12/10/20	1,528.10	...	-1.44	Sale <sup>25</sup>
	<b>Security total:</b>	<b>8,206.38</b>		<b>8,181.12</b>	...	<b>25.26</b>	
JUST ENERGY GROUP INC. / CUSIP: 48213W101 / Symbol:							
<i>7 transactions for 09/24/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	59.10	09/24/20	58.20	...	0.90	1 of 7 - Sale <sup>25</sup>
	100.000	65.80	09/24/20	64.68	...	1.12	2 of 7 - Sale <sup>25</sup>
	200.000	131.59	09/24/20	130.00	...	1.59	3 of 7 - Sale <sup>25</sup>
	100.000	54.28	09/24/20	49.50	...	4.78	4 of 7 - Sale <sup>25</sup>
	200.000	108.55	09/24/20	95.56	...	12.99	5 of 7 - Sale <sup>25</sup>
	100.000	58.99	09/24/20	44.73	...	14.26	6 of 7 - Sale <sup>25</sup>
	100.000	58.99	09/24/20	42.78	...	16.21	7 of 7 - Sale <sup>25</sup>
09/24/20	900.000	537.30	Various	485.45	...	51.85	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
JUST ENERGY GROUP INC / CUSIP: 48213W408 / Symbol:							
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.485	7.84	09/28/20	14.81	...	-6.97	1 of 2 - Sale <sup>25</sup>
	1.515	8.00	09/28/20	14.95	...	-6.95	2 of 2 - Sale <sup>25</sup>
09/29/20	3.000	15.84	Various	29.76	...	-13.92	Total of 2 transactions
KE HOLDINGS INC. AMERICAN DEPO SITARY SHARES (EACH REPRESENTI NG THREE CLASS A ORDINARY SHAR / CUSIP: 482497104 / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	50.000	1,853.95	08/17/20	1,873.75	...	-19.80	1 of 2 - Sale <sup>25</sup>
	50.000	1,853.95	08/17/20	1,873.75	...	-19.80	2 of 2 - Sale <sup>25</sup>
08/17/20	100.000	3,707.90	Various	3,747.50	...	-39.60	Total of 2 transactions
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
6 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.000	10.90	11/18/20	11.18	0.28 W	0.00	1 of 6 - Sale <sup>25</sup>
	30.000	327.00	11/18/20	335.25	8.25 W	0.00	2 of 6 - Sale <sup>25</sup>
	69.000	752.07	11/18/20	771.07	19.00 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	11.09	11/18/20	11.48	0.39 W	0.00	4 of 6 - Sale <sup>25</sup>
	30.000	332.84	11/18/20	344.22	11.38 W	0.00	5 of 6 - Sale <sup>25</sup>
	69.000	765.53	11/18/20	791.72	26.19 W	0.00	6 of 6 - Sale <sup>25</sup>
11/18/20	200.000	2,199.43	Various	2,264.92	65.49 W	0.00	Total of 6 transactions
3 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	13.03	11/19/20	14.60	1.57 W	0.00	1 of 3 - Sale <sup>25</sup>
	30.000	390.76	11/19/20	437.67	46.91 W	0.00	2 of 3 - Sale <sup>25</sup>
	69.000	898.75	11/19/20	1,006.65	107.90 W	0.00	3 of 3 - Sale <sup>25</sup>
11/20/20	100.000	1,302.54	Various	1,458.92	156.38 W	0.00	Total of 3 transactions
3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	14.70	11/23/20	16.27	1.57 W	0.00	1 of 3 - Sale <sup>25</sup>
	19.000	279.30	11/23/20	309.01	29.71 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	142.00	11/23/20	160.14	18.14 W	0.00	3 of 3 - Sale <sup>25</sup>
11/23/20	30.000	436.00	Various	485.42	49.42 W	0.00	Total of 3 transactions
5 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	8.22	12/03/20	9.58	1.36 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	8.22	12/03/20	9.59	1.37 W	0.00	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol: (cont'd)							
	10.000	82.20	12/03/20	98.34	16.14 W	0.00	3 of 5 - Sale <sup>25</sup>
	19.000	156.17	12/03/20	182.09	25.92 W	0.00	4 of 5 - Sale <sup>25</sup>
	69.000	567.16	12/03/20	661.28	94.12 W	0.00	5 of 5 - Sale <sup>25</sup>
12/03/20	100.000	821.97	Various	960.88	138.91 W	0.00	Total of 5 transactions
	5 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	1.000	8.00	12/04/20	9.38	1.38 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	8.00	12/04/20	9.39	1.39 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	80.00	12/04/20	96.34	16.34 W	0.00	3 of 5 - Sale <sup>25</sup>
	19.000	151.99	12/04/20	178.30	26.31 W	0.00	4 of 5 - Sale <sup>25</sup>
	69.000	551.99	12/04/20	647.50	95.51 W	0.00	5 of 5 - Sale <sup>25</sup>
12/04/20	100.000	799.98	Various	940.91	140.93 W	0.00	Total of 5 transactions
	5 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	1.000	8.90	12/08/20	10.46	1.56 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	8.90	12/08/20	10.47	1.57 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	89.00	12/08/20	107.12	18.12 W	0.00	3 of 5 - Sale <sup>25</sup>
	19.000	169.09	12/08/20	198.78	29.69 W	0.00	4 of 5 - Sale <sup>25</sup>
	69.000	614.08	12/08/20	721.88	107.80 W	0.00	5 of 5 - Sale <sup>25</sup>
12/08/20	100.000	889.97	Various	1,048.71	158.74 W	0.00	Total of 5 transactions
	6 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	69.000	502.99	12/30/20	615.98	...	-112.99	1 of 6 - Sale <sup>25</sup>
	19.000	138.50	12/30/20	169.62	...	-31.12	2 of 6 - Sale <sup>25</sup>
	10.000	72.90	12/30/20	91.77	...	-18.87	3 of 6 - Sale <sup>25</sup>
	100.000	728.97	12/30/20	736.50	...	-7.53	4 of 6 - Sale <sup>25</sup>
	1.000	7.29	12/30/20	8.94	...	-1.65	5 of 6 - Sale <sup>25</sup>
	1.000	7.29	12/30/20	8.93	...	-1.64	6 of 6 - Sale <sup>25</sup>
12/30/20	200.000	1,457.94	Various	1,631.74	...	-173.80	Total of 6 transactions
	<b>Security total:</b>	<b>7,907.83</b>		<b>8,791.50</b>	<b>709.87 W</b>	<b>-173.80</b>	
KEYCORP / CUSIP: 493267108 / Symbol:							
	8 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	4.000	52.08	10/29/19	73.88	...	-21.80	1 of 8 - Sale <sup>25</sup>
	30.000	390.59	01/28/20	577.05	...	-186.46	2 of 8 - Sale <sup>25</sup>
	30.000	390.59	01/28/20	568.35	...	-177.76	3 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
KEYCORP / CUSIP: 493267108 / Symbol: (cont'd)							
	20.000	260.39	02/25/20	370.00	...	-109.61	4 of 8 - Sale <sup>25</sup>
	16.000	208.31	02/25/20	297.08	...	-88.77	5 of 8 - Sale <sup>25</sup>
	2.000	26.04	02/26/20	35.90	...	-9.86	6 of 8 - Sale <sup>25</sup>
	12.000	156.24	02/28/20	194.40	...	-38.16	7 of 8 - Sale <sup>25</sup>
	14.000	182.28	03/25/20	141.82	...	40.46	8 of 8 - Sale <sup>25</sup>
06/12/20	128.000	1,666.52	Various	2,258.48	...	-591.96	Total of 8 transactions
	2 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	10.000	131.00	10/20/20	132.20	1.20 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	131.00	10/20/20	132.00	1.00 W	0.00	2 of 2 - Sale <sup>25</sup>
10/20/20	20.000	262.00	Various	264.20	2.20 W	0.00	Total of 2 transactions
	3 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	10.000	145.70	11/10/20	156.70	11.00 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	145.69	11/10/20	156.50	10.81 W	0.00	2 of 3 - Sale <sup>25</sup>
	80.000	1,165.56	11/10/20	1,244.00	78.44 W	0.00	3 of 3 - Sale <sup>25</sup>
11/12/20	100.000	1,456.95	Various	1,557.20	100.25 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>3,385.47</b>		<b>4,079.88</b>	<b>102.45 W</b>	<b>-591.96</b>	
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol:							
06/25/20	200.000	213.98	06/25/20	215.00	1.02 W	0.00	Sale <sup>25</sup>
	12 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	301.000	343.09	06/30/20	355.22	...	-12.13	1 of 12 - Sale <sup>25</sup>
	130.000	148.18	06/30/20	153.42	...	-5.24	2 of 12 - Sale <sup>25</sup>
	500.000	569.93	06/30/20	575.00	...	-5.07	3 of 12 - Sale <sup>25</sup>
	50.000	56.99	06/30/20	59.00	...	-2.01	4 of 12 - Sale <sup>25</sup>
	10.000	11.40	06/30/20	11.80	...	-0.40	5 of 12 - Sale <sup>25</sup>
	8.000	9.12	06/30/20	9.44	...	-0.32	6 of 12 - Sale <sup>25</sup>
	1.000	1.14	06/30/20	1.18	...	-0.04	7 of 12 - Sale <sup>25</sup>
	32.000	36.48	06/30/20	34.40	...	2.08	8 of 12 - Sale <sup>25</sup>
	50.000	56.99	06/30/20	53.76	...	3.23	9 of 12 - Sale <sup>25</sup>
	118.000	134.51	06/30/20	126.86	...	7.65	10 of 12 - Sale <sup>25</sup>
	152.000	173.26	06/30/20	162.64	...	10.62	11 of 12 - Sale <sup>25</sup>
	180.000	205.18	06/30/20	192.60	...	12.58	12 of 12 - Sale <sup>25</sup>
06/30/20	1,532.000	1,746.27	Various	1,735.32	...	10.95	Total of 12 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
<i>6 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	9.04	06/30/20	9.28	0.24 W	0.00	1 of 6 - Sale <sup>25</sup>
	50.000	56.50	06/30/20	58.00	1.50 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	11.30	06/30/20	11.60	0.30 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	1.13	06/30/20	1.16	0.03 W	0.00	4 of 6 - Sale <sup>25</sup>
	130.000	146.88	06/30/20	150.80	3.92 W	0.00	5 of 6 - Sale <sup>25</sup>
	301.000	340.09	06/30/20	349.16	9.07 W	0.00	6 of 6 - Sale <sup>25</sup>
06/30/20	500.000	564.94	Various	580.00	15.06 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>2,525.19</b>		<b>2,530.32</b>	<b>16.08 W</b>	<b>10.95</b>	
KOHLS CORPORATION / CUSIP: 500255104 / Symbol:							
<i>2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	195.39	10/02/20	196.67	1.28 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	390.79	10/02/20	393.59	2.80 W	0.00	2 of 2 - Sale <sup>25</sup>
10/02/20	30.000	586.18	Various	590.26	4.08 W	0.00	Total of 2 transactions
<i>3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	204.50	10/06/20	206.78	2.28 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	409.01	10/06/20	410.95	1.94 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	409.01	10/06/20	413.70	4.69 W	0.00	3 of 3 - Sale <sup>25</sup>
10/06/20	50.000	1,022.52	Various	1,031.43	8.91 W	0.00	Total of 3 transactions
<i>5 transactions for 11/04/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	210.49	11/04/20	218.74	...	-8.25	1 of 5 - Sale <sup>25</sup>
	10.000	210.49	11/04/20	218.66	...	-8.17	2 of 5 - Sale <sup>25</sup>
	20.000	420.99	11/04/20	425.11	...	-4.12	3 of 5 - Sale <sup>25</sup>
	5.000	105.25	11/04/20	106.97	...	-1.72	4 of 5 - Sale <sup>25</sup>
	5.000	105.25	11/04/20	106.96	...	-1.71	5 of 5 - Sale <sup>25</sup>
11/04/20	50.000	1,052.47	Various	1,076.44	...	-23.97	Total of 5 transactions
<i>2 transactions for 11/04/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	209.90	11/04/20	216.98	7.08 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	209.90	11/04/20	217.05	7.15 W	0.00	2 of 2 - Sale <sup>25</sup>
11/04/20	20.000	419.80	Various	434.03	14.23 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,080.97</b>		<b>3,132.16</b>	<b>27.22 W</b>	<b>-23.97</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE KROGER CO. / CUSIP: 501044101 / Symbol:							
10/01/20	10.000	340.12	10/01/20	340.18	...	-0.06	Sale <sup>25</sup>
KRYSTAL BIOTECH, INC. COMMON S TOCK / CUSIP: 501147102 / Symbol:							
02/14/20	1.000	64.10	02/11/20	63.90	...	0.20	Sale <sup>25</sup>
L BRANDS, INC. / CUSIP: 501797104 / Symbol:							
11/10/20	50.000	1,686.71	11/10/20	1,674.50	...	12.21	Sale <sup>25</sup>
11/13/20	40.000	1,367.56	11/12/20	1,313.96	...	53.60	Sale <sup>25</sup>
11/13/20	40.000	1,367.56	11/13/20	1,377.86	7.73 W	-2.57	Sale <sup>25</sup>
11/16/20	30.000	1,027.47	11/13/20	1,032.23	...	-4.76	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,449.30</b>		<b>5,398.55</b>	<b>7.73 W</b>	<b>58.48</b>	
LGI HOMES, INC. COMMON STOCK / CUSIP: 50187T106 / Symbol:							
10/13/20	10.000	1,248.97	10/12/20	1,269.80	...	-20.83	Sale <sup>25</sup>
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
11/23/20	5.000	215.90	11/23/20	214.15	...	1.75	Sale <sup>25</sup>
11/24/20	10.000	445.00	11/24/20	455.40	10.40 W	0.00	Sale <sup>25</sup>
	<i>2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	339.09	12/09/20	355.55	16.46 W	0.00	1 of 2 - Sale <sup>25</sup>
	90.000	3,051.82	12/09/20	3,106.35	54.53 W	0.00	2 of 2 - Sale <sup>25</sup>
12/09/20	100.000	3,390.91	Various	3,461.90	70.99 W	0.00	Total of 2 transactions
	<i>2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	319.59	12/11/20	338.64	19.05 W	0.00	1 of 2 - Sale <sup>25</sup>
	90.000	2,876.32	12/11/20	2,954.13	77.81 W	0.00	2 of 2 - Sale <sup>25</sup>
12/11/20	100.000	3,195.91	Various	3,292.77	96.86 W	0.00	Total of 2 transactions
	<i>2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>						
	90.000	2,918.62 N	12/21/20	3,050.51	...	-131.89	1 of 2 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$141.30
	10.000	324.29 N	12/21/20	349.35	...	-25.06	2 of 2 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$15.70
12/23/20	100.000	3,242.91	Various	3,399.86	...	-156.95	Total of 2 transactions
	<b>Security total:</b>	<b>10,490.63</b>		<b>10,824.08</b>	<b>178.25 W</b>	<b>-155.20</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074305 / Symbol:							
<i>13 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	26.70	06/19/20	26.51	...	0.19	1 of 13 - Sale <sup>25</sup>
	5.000	13.35	06/19/20	12.95	...	0.40	2 of 13 - Sale <sup>25</sup>
	26.000	69.41	06/19/20	68.93	...	0.48	3 of 13 - Sale <sup>25</sup>
	69.000	184.22	06/19/20	182.92	...	1.30	4 of 13 - Sale <sup>25</sup>
	85.000	235.44	06/19/20	232.95	...	2.49	5 of 13 - Sale <sup>25</sup>
	100.000	276.98	06/19/20	273.02	...	3.96	6 of 13 - Sale <sup>25</sup>
	100.000	276.98	06/19/20	273.02	...	3.96	7 of 13 - Sale <sup>25</sup>
	200.000	553.96	06/19/20	548.11	...	5.85	8 of 13 - Sale <sup>25</sup>
	90.000	240.28	06/19/20	230.42	...	9.86	9 of 13 - Sale <sup>25</sup>
	300.000	830.96	06/19/20	819.06	...	11.90	10 of 13 - Sale <sup>25</sup>
	185.000	493.91	06/19/20	479.19	...	14.72	11 of 13 - Sale <sup>25</sup>
	124.000	343.46	06/19/20	328.60	...	14.86	12 of 13 - Sale <sup>25</sup>
	191.000	529.03	06/19/20	492.78	...	36.25	13 of 13 - Sale <sup>25</sup>
06/19/20	1,485.000	4,074.68	Various	3,968.46	...	106.22	Total of 13 transactions
<i>130 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	1,299.91	06/19/20	1,425.00	125.09 W	0.00	1 of 130 - Sale <sup>25</sup>
	400.000	1,107.92	06/19/20	1,140.00	32.08 W	0.00	2 of 130 - Sale <sup>25</sup>
	100.000	276.98	06/19/20	285.00	8.02 W	0.00	3 of 130 - Sale <sup>25</sup>
	200.000	553.96	06/19/20	610.04	56.08 W	0.00	4 of 130 - Sale <sup>25</sup>
	100.000	276.98	06/19/20	305.02	28.04 W	0.00	5 of 130 - Sale <sup>25</sup>
	100.000	276.98	06/19/20	305.02	28.04 W	0.00	6 of 130 - Sale <sup>25</sup>
	100.000	276.98	06/19/20	305.01	28.03 W	0.00	7 of 130 - Sale <sup>25</sup>
	76.000	210.51	06/19/20	222.70	12.19 W	0.00	8 of 130 - Sale <sup>25</sup>
	100.000	276.98	06/19/20	293.04	16.06 W	0.00	9 of 130 - Sale <sup>25</sup>
	100.000	276.98	06/19/20	293.04	16.06 W	0.00	10 of 130 - Sale <sup>25</sup>
	200.000	553.97	06/19/20	586.08	32.11 W	0.00	11 of 130 - Sale <sup>25</sup>
	24.000	66.48	06/19/20	68.65	2.17 W	0.00	12 of 130 - Sale <sup>25</sup>
	15.000	40.05	06/19/20	42.61	2.56 W	0.00	13 of 130 - Sale <sup>25</sup>
	24.000	64.08	06/19/20	66.49	2.41 W	0.00	14 of 130 - Sale <sup>25</sup>
	76.000	202.90	06/19/20	215.87	12.97 W	0.00	15 of 130 - Sale <sup>25</sup>
	100.000	266.98	06/19/20	284.06	17.08 W	0.00	16 of 130 - Sale <sup>25</sup>
	185.000	493.91	06/19/20	495.80	1.89 W	0.00	17 of 130 - Sale <sup>25</sup>
	95.000	253.63	06/19/20	254.60	0.97 W	0.00	18 of 130 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074305 / Symbol: (cont'd)							
	5.000	13.35	06/19/20	14.25	0.90 W	0.00	19 of 130 - Sale <sup>25</sup>
	10.000	26.70	06/19/20	27.71	1.01 W	0.00	20 of 130 - Sale <sup>25</sup>
	26.000	69.41	06/19/20	72.04	2.63 W	0.00	21 of 130 - Sale <sup>25</sup>
	14.000	37.38	06/19/20	37.81	0.43 W	0.00	22 of 130 - Sale <sup>25</sup>
	74.000	197.57	06/19/20	205.04	7.47 W	0.00	23 of 130 - Sale <sup>25</sup>
	76.000	202.90	06/19/20	210.57	7.67 W	0.00	24 of 130 - Sale <sup>25</sup>
	10.000	26.70	06/19/20	26.80	0.10 W	0.00	25 of 130 - Sale <sup>25</sup>
	5.000	13.35	06/19/20	13.65	0.30 W	0.00	26 of 130 - Sale <sup>25</sup>
	5.000	11.65	06/19/20	13.25	1.60 W	0.00	27 of 130 - Sale <sup>25</sup>
	5.000	11.65	06/19/20	13.05	1.40 W	0.00	28 of 130 - Sale <sup>25</sup>
	10.000	23.30	06/19/20	25.60	2.30 W	0.00	29 of 130 - Sale <sup>25</sup>
	14.000	32.62	06/19/20	36.13	3.51 W	0.00	30 of 130 - Sale <sup>25</sup>
	76.000	177.07	06/19/20	201.47	24.40 W	0.00	31 of 130 - Sale <sup>25</sup>
	90.000	209.68	06/19/20	229.50	19.82 W	0.00	32 of 130 - Sale <sup>25</sup>
	235.000	547.50	06/19/20	596.90	49.40 W	0.00	33 of 130 - Sale <sup>25</sup>
	65.000	151.44	06/19/20	165.10	13.66 W	0.00	34 of 130 - Sale <sup>25</sup>
	5.000	10.50	06/19/20	14.30	3.80 W	0.00	35 of 130 - Sale <sup>25</sup>
	30.000	63.00	06/19/20	85.83	22.83 W	0.00	36 of 130 - Sale <sup>25</sup>
	5.000	10.50	06/19/20	13.85	3.35 W	0.00	37 of 130 - Sale <sup>25</sup>
	14.000	29.40	06/19/20	39.07	9.67 W	0.00	38 of 130 - Sale <sup>25</sup>
	46.000	96.59	06/19/20	131.61	35.02 W	0.00	39 of 130 - Sale <sup>25</sup>
	5.000	11.00	06/19/20	14.10	3.10 W	0.00	40 of 130 - Sale <sup>25</sup>
	5.000	11.00	06/19/20	13.85	2.85 W	0.00	41 of 130 - Sale <sup>25</sup>
	50.000	109.99	06/19/20	138.01	28.02 W	0.00	42 of 130 - Sale <sup>25</sup>
	40.000	87.99	06/19/20	110.41	22.42 W	0.00	43 of 130 - Sale <sup>25</sup>
	160.000	351.97	06/19/20	440.03	88.06 W	0.00	44 of 130 - Sale <sup>25</sup>
	5.000	11.00	06/19/20	16.50	5.50 W	0.00	45 of 130 - Sale <sup>25</sup>
	5.000	11.00	06/19/20	16.05	5.05 W	0.00	46 of 130 - Sale <sup>25</sup>
	14.000	30.80	06/19/20	45.23	14.43 W	0.00	47 of 130 - Sale <sup>25</sup>
	30.000	65.99	06/19/20	99.03	33.04 W	0.00	48 of 130 - Sale <sup>25</sup>
	46.000	101.19	06/19/20	151.86	50.67 W	0.00	49 of 130 - Sale <sup>25</sup>
	65.000	142.99	06/19/20	178.76	35.77 W	0.00	50 of 130 - Sale <sup>25</sup>
	75.000	164.99	06/19/20	206.27	41.28 W	0.00	51 of 130 - Sale <sup>25</sup>
	5.000	11.20	06/19/20	15.55	4.35 W	0.00	52 of 130 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074305 / Symbol: (cont'd)							
	5.000	11.20	06/19/20	15.80	4.60 W	0.00	53 of 130 - Sale <sup>25</sup>
	40.000	89.60	06/19/20	124.02	34.42 W	0.00	54 of 130 - Sale <sup>25</sup>
	50.000	111.99	06/19/20	155.02	43.03 W	0.00	55 of 130 - Sale <sup>25</sup>
	100.000	223.49	06/19/20	305.04	81.55 W	0.00	56 of 130 - Sale <sup>25</sup>
	60.000	134.99	06/19/20	179.42	44.43 W	0.00	57 of 130 - Sale <sup>25</sup>
	40.000	90.00	06/19/20	119.62	29.62 W	0.00	58 of 130 - Sale <sup>25</sup>
	5.000	11.25	06/19/20	17.70	6.45 W	0.00	59 of 130 - Sale <sup>25</sup>
	5.000	11.25	06/19/20	17.25	6.00 W	0.00	60 of 130 - Sale <sup>25</sup>
	14.000	31.50	06/19/20	48.59	17.09 W	0.00	61 of 130 - Sale <sup>25</sup>
	30.000	67.50	06/19/20	106.24	38.74 W	0.00	62 of 130 - Sale <sup>25</sup>
	46.000	103.49	06/19/20	162.91	59.42 W	0.00	63 of 130 - Sale <sup>25</sup>
	65.000	146.24	06/19/20	194.37	48.13 W	0.00	64 of 130 - Sale <sup>25</sup>
	35.000	78.75	06/19/20	104.66	25.91 W	0.00	65 of 130 - Sale <sup>25</sup>
	5.000	11.25	06/19/20	16.55	5.30 W	0.00	66 of 130 - Sale <sup>25</sup>
	5.000	11.25	06/19/20	16.80	5.55 W	0.00	67 of 130 - Sale <sup>25</sup>
	40.000	90.00	06/19/20	132.02	42.02 W	0.00	68 of 130 - Sale <sup>25</sup>
	50.000	112.49	06/19/20	165.03	52.54 W	0.00	69 of 130 - Sale <sup>25</sup>
	100.000	224.99	06/19/20	325.55	100.56 W	0.00	70 of 130 - Sale <sup>25</sup>
	500.000	1,124.91	06/19/20	1,220.00	95.09 W	0.00	71 of 130 - Sale <sup>25</sup>
	35.000	65.44	06/19/20	107.46	42.02 W	0.00	72 of 130 - Sale <sup>25</sup>
	40.000	74.79	06/19/20	122.82	48.03 W	0.00	73 of 130 - Sale <sup>25</sup>
	60.000	112.19	06/19/20	184.23	72.04 W	0.00	74 of 130 - Sale <sup>25</sup>
	65.000	121.54	06/19/20	199.58	78.04 W	0.00	75 of 130 - Sale <sup>25</sup>
	5.000	9.35	06/19/20	17.70	8.35 W	0.00	76 of 130 - Sale <sup>25</sup>
	5.000	9.35	06/19/20	17.25	7.90 W	0.00	77 of 130 - Sale <sup>25</sup>
	14.000	26.18	06/19/20	48.59	22.41 W	0.00	78 of 130 - Sale <sup>25</sup>
	30.000	56.09	06/19/20	106.24	50.15 W	0.00	79 of 130 - Sale <sup>25</sup>
	46.000	86.01	06/19/20	162.92	76.91 W	0.00	80 of 130 - Sale <sup>25</sup>
	5.000	9.35	06/19/20	16.85	7.50 W	0.00	81 of 130 - Sale <sup>25</sup>
	5.000	9.35	06/19/20	17.10	7.75 W	0.00	82 of 130 - Sale <sup>25</sup>
	6.000	11.22	06/19/20	20.16	8.94 W	0.00	83 of 130 - Sale <sup>25</sup>
	40.000	74.79	06/19/20	134.42	59.63 W	0.00	84 of 130 - Sale <sup>25</sup>
	44.000	82.27	06/19/20	147.88	65.61 W	0.00	85 of 130 - Sale <sup>25</sup>
	100.000	186.99	06/19/20	331.56	144.57 W	0.00	86 of 130 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074305 / Symbol: (cont'd)							
	6.000	10.14	06/19/20	15.00	4.86 W	0.00	87 of 130 - Sale <sup>25</sup>
	10.000	16.84	06/19/20	25.00	8.16 W	0.00	88 of 130 - Sale <sup>25</sup>
	284.000	478.24	06/19/20	710.05	231.81 W	0.00	89 of 130 - Sale <sup>25</sup>
	26.000	43.78	06/19/20	65.53	21.75 W	0.00	90 of 130 - Sale <sup>25</sup>
	174.000	293.01	06/19/20	438.51	145.50 W	0.00	91 of 130 - Sale <sup>25</sup>
	35.000	59.49	06/19/20	123.57	64.08 W	0.00	92 of 130 - Sale <sup>25</sup>
	40.000	68.00	06/19/20	141.23	73.23 W	0.00	93 of 130 - Sale <sup>25</sup>
	60.000	101.99	06/19/20	211.84	109.85 W	0.00	94 of 130 - Sale <sup>25</sup>
	15.000	25.50	06/19/20	52.96	27.46 W	0.00	95 of 130 - Sale <sup>25</sup>
	5.000	8.50	06/19/20	20.00	11.50 W	0.00	96 of 130 - Sale <sup>25</sup>
	5.000	8.50	06/19/20	19.55	11.05 W	0.00	97 of 130 - Sale <sup>25</sup>
	5.000	8.50	06/19/20	19.15	10.65 W	0.00	98 of 130 - Sale <sup>25</sup>
	5.000	8.50	06/19/20	19.40	10.90 W	0.00	99 of 130 - Sale <sup>25</sup>
	6.000	10.20	06/19/20	22.92	12.72 W	0.00	100 of 130 - Sale <sup>25</sup>
	6.000	10.20	06/19/20	18.84	8.64 W	0.00	101 of 130 - Sale <sup>25</sup>
	10.000	17.00	06/19/20	31.46	14.46 W	0.00	102 of 130 - Sale <sup>25</sup>
	14.000	23.80	06/19/20	55.03	31.23 W	0.00	103 of 130 - Sale <sup>25</sup>
	30.000	51.00	06/19/20	120.05	69.05 W	0.00	104 of 130 - Sale <sup>25</sup>
	40.000	67.99	06/19/20	152.83	84.84 W	0.00	105 of 130 - Sale <sup>25</sup>
	44.000	74.79	06/19/20	168.13	93.34 W	0.00	106 of 130 - Sale <sup>25</sup>
	46.000	78.19	06/19/20	184.09	105.90 W	0.00	107 of 130 - Sale <sup>25</sup>
	50.000	84.99	06/19/20	176.53	91.54 W	0.00	108 of 130 - Sale <sup>25</sup>
	100.000	169.98	06/19/20	377.57	207.59 W	0.00	109 of 130 - Sale <sup>25</sup>
	134.000	227.78	06/19/20	421.60	193.82 W	0.00	110 of 130 - Sale <sup>25</sup>
	150.000	254.97	06/19/20	471.93	216.96 W	0.00	111 of 130 - Sale <sup>25</sup>
	26.000	44.19	06/19/20	78.95	34.76 W	0.00	112 of 130 - Sale <sup>25</sup>
	124.000	210.78	06/19/20	376.49	165.71 W	0.00	113 of 130 - Sale <sup>25</sup>
	50.000	85.00	06/19/20	151.81	66.81 W	0.00	114 of 130 - Sale <sup>25</sup>
	5.000	9.35	06/19/20	22.50	13.15 W	0.00	115 of 130 - Sale <sup>25</sup>
	5.000	9.35	06/19/20	22.05	12.70 W	0.00	116 of 130 - Sale <sup>25</sup>
	14.000	26.18	06/19/20	62.03	35.85 W	0.00	117 of 130 - Sale <sup>25</sup>
	15.000	28.05	06/19/20	60.46	32.41 W	0.00	118 of 130 - Sale <sup>25</sup>
	30.000	56.09	06/19/20	135.05	78.96 W	0.00	119 of 130 - Sale <sup>25</sup>
	35.000	65.44	06/19/20	141.08	75.64 W	0.00	120 of 130 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074305 / Symbol: (cont'd)							
	40.000	74.79	06/19/20	161.23	86.44 W	0.00	121 of 130 - Sale <sup>25</sup>
	46.000	86.01	06/19/20	207.10	121.09 W	0.00	122 of 130 - Sale <sup>25</sup>
	50.000	93.49	06/19/20	201.54	108.05 W	0.00	123 of 130 - Sale <sup>25</sup>
	60.000	112.19	06/19/20	241.85	129.66 W	0.00	124 of 130 - Sale <sup>25</sup>
	5.000	9.35	06/19/20	22.00	12.65 W	0.00	125 of 130 - Sale <sup>25</sup>
	5.000	9.35	06/19/20	22.25	12.90 W	0.00	126 of 130 - Sale <sup>25</sup>
	6.000	11.22	06/19/20	26.34	15.12 W	0.00	127 of 130 - Sale <sup>25</sup>
	40.000	74.79	06/19/20	175.64	100.85 W	0.00	128 of 130 - Sale <sup>25</sup>
	44.000	82.27	06/19/20	193.22	110.95 W	0.00	129 of 130 - Sale <sup>25</sup>
	100.000	186.99	06/19/20	434.58	247.59 W	0.00	130 of 130 - Sale <sup>25</sup>
06/19/20	7,515.000	16,947.36	Various	22,677.50	5,730.14 W	0.00	Total of 130 transactions
24 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	100.000	185.98	06/19/20	453.60	...	-267.62	1 of 24 - Sale <sup>25</sup>
	100.000	185.98	06/19/20	383.47	...	-197.49	2 of 24 - Sale <sup>25</sup>
	84.000	156.23	06/19/20	321.43	...	-165.20	3 of 24 - Sale <sup>25</sup>
	60.000	111.59	06/19/20	261.68	...	-150.09	4 of 24 - Sale <sup>25</sup>
	44.000	81.83	06/19/20	201.60	...	-119.77	5 of 24 - Sale <sup>25</sup>
	68.000	126.47	06/19/20	238.32	...	-111.85	6 of 24 - Sale <sup>25</sup>
	40.000	74.39	06/19/20	183.26	...	-108.87	7 of 24 - Sale <sup>25</sup>
	50.000	92.99	06/19/20	190.92	...	-97.93	8 of 24 - Sale <sup>25</sup>
	35.000	65.09	06/19/20	152.64	...	-87.55	9 of 24 - Sale <sup>25</sup>
	50.000	92.99	06/19/20	176.83	...	-83.84	10 of 24 - Sale <sup>25</sup>
	50.000	92.99	06/19/20	176.83	...	-83.84	11 of 24 - Sale <sup>25</sup>
	30.000	55.79	06/19/20	130.53	...	-74.74	12 of 24 - Sale <sup>25</sup>
	36.000	66.95	06/19/20	137.48	...	-70.53	13 of 24 - Sale <sup>25</sup>
	26.000	48.36	06/19/20	91.13	...	-42.77	14 of 24 - Sale <sup>25</sup>
	14.000	26.04	06/19/20	53.57	...	-27.53	15 of 24 - Sale <sup>25</sup>
	6.000	11.16	06/19/20	27.48	...	-16.32	16 of 24 - Sale <sup>25</sup>
	8.000	14.88	06/19/20	30.61	...	-15.73	17 of 24 - Sale <sup>25</sup>
	5.000	9.30	06/19/20	23.20	...	-13.90	18 of 24 - Sale <sup>25</sup>
	5.000	9.30	06/19/20	22.95	...	-13.65	19 of 24 - Sale <sup>25</sup>
	5.000	9.30	06/19/20	21.81	...	-12.51	20 of 24 - Sale <sup>25</sup>
	6.000	11.16	06/19/20	22.92	...	-11.76	21 of 24 - Sale <sup>25</sup>
	6.000	11.16	06/19/20	21.03	...	-9.87	22 of 24 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074305 / Symbol: (cont'd)							
	2.000	3.72	06/19/20	8.74	...	-5.02	23 of 24 - Sale <sup>25</sup>
	2.000	3.72	06/19/20	7.65	...	-3.93	24 of 24 - Sale <sup>25</sup>
06/22/20	832.000	1,547.37	Various	3,339.68	...	-1,792.31	Total of 24 transactions
	53 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	6.000	11.70	06/19/20	22.26	10.56 W	0.00	1 of 53 - Sale <sup>25</sup>
	8.000	15.60	06/19/20	29.73	14.13 W	0.00	2 of 53 - Sale <sup>25</sup>
	2.000	3.90	06/19/20	7.43	3.53 W	0.00	3 of 53 - Sale <sup>25</sup>
	98.000	191.09	06/19/20	364.19	173.10 W	0.00	4 of 53 - Sale <sup>25</sup>
	36.000	70.48	06/19/20	133.79	63.31 W	0.00	5 of 53 - Sale <sup>25</sup>
	50.000	97.90	06/19/20	185.81	87.91 W	0.00	6 of 53 - Sale <sup>25</sup>
	100.000	194.18	06/19/20	371.63	177.45 W	0.00	7 of 53 - Sale <sup>25</sup>
	26.000	50.49	06/19/20	90.40	39.91 W	0.00	8 of 53 - Sale <sup>25</sup>
	74.000	143.69	06/19/20	257.25	113.56 W	0.00	9 of 53 - Sale <sup>25</sup>
	40.000	76.40	06/19/20	172.04	95.64 W	0.00	10 of 53 - Sale <sup>25</sup>
	50.000	95.49	06/19/20	173.81	78.32 W	0.00	11 of 53 - Sale <sup>25</sup>
	60.000	114.59	06/19/20	258.06	143.47 W	0.00	12 of 53 - Sale <sup>25</sup>
	50.000	95.50	06/19/20	173.82	78.32 W	0.00	13 of 53 - Sale <sup>25</sup>
	30.000	57.60	06/19/20	129.03	71.43 W	0.00	14 of 53 - Sale <sup>25</sup>
	2.000	3.80	06/19/20	8.60	4.80 W	0.00	15 of 53 - Sale <sup>25</sup>
	5.000	9.25	06/19/20	23.85	14.60 W	0.00	16 of 53 - Sale <sup>25</sup>
	5.000	9.25	06/19/20	23.40	14.15 W	0.00	17 of 53 - Sale <sup>25</sup>
	14.000	25.90	06/19/20	65.81	39.91 W	0.00	18 of 53 - Sale <sup>25</sup>
	15.000	27.75	06/19/20	64.51	36.76 W	0.00	19 of 53 - Sale <sup>25</sup>
	30.000	55.49	06/19/20	143.16	87.67 W	0.00	20 of 53 - Sale <sup>25</sup>
	3.000	5.55	06/19/20	12.91	7.36 W	0.00	21 of 53 - Sale <sup>25</sup>
	46.000	85.09	06/19/20	219.53	134.44 W	0.00	22 of 53 - Sale <sup>25</sup>
	50.000	92.49	06/19/20	215.05	122.56 W	0.00	23 of 53 - Sale <sup>25</sup>
	5.000	9.25	06/19/20	22.45	13.20 W	0.00	24 of 53 - Sale <sup>25</sup>
	5.000	9.25	06/19/20	22.70	13.45 W	0.00	25 of 53 - Sale <sup>25</sup>
	6.000	11.10	06/19/20	26.88	15.78 W	0.00	26 of 53 - Sale <sup>25</sup>
	40.000	73.99	06/19/20	179.25	105.26 W	0.00	27 of 53 - Sale <sup>25</sup>
	44.000	81.39	06/19/20	197.19	115.80 W	0.00	28 of 53 - Sale <sup>25</sup>
	2.000	3.70	06/19/20	7.45	3.75 W	0.00	29 of 53 - Sale <sup>25</sup>
	6.000	11.10	06/19/20	22.32	11.22 W	0.00	30 of 53 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074305 / Symbol: (cont'd)							
	8.000	14.80	06/19/20	29.81	15.01 W	0.00	31 of 53 - Sale <sup>25</sup>
	36.000	66.59	06/19/20	133.87	67.28 W	0.00	32 of 53 - Sale <sup>25</sup>
	50.000	92.49	06/19/20	185.91	93.42 W	0.00	33 of 53 - Sale <sup>25</sup>
	98.000	181.28	06/19/20	365.18	183.90 W	0.00	34 of 53 - Sale <sup>25</sup>
	100.000	184.98	06/19/20	373.45	188.47 W	0.00	35 of 53 - Sale <sup>25</sup>
	100.000	184.99	06/19/20	443.59	258.60 W	0.00	36 of 53 - Sale <sup>25</sup>
	26.000	48.10	06/19/20	90.87	42.77 W	0.00	37 of 53 - Sale <sup>25</sup>
	50.000	92.49	06/19/20	176.32	83.83 W	0.00	38 of 53 - Sale <sup>25</sup>
	50.000	92.49	06/19/20	176.32	83.83 W	0.00	39 of 53 - Sale <sup>25</sup>
	74.000	136.89	06/19/20	258.60	121.71 W	0.00	40 of 53 - Sale <sup>25</sup>
	2.000	3.70	06/19/20	8.72	5.02 W	0.00	41 of 53 - Sale <sup>25</sup>
	30.000	55.50	06/19/20	130.23	74.73 W	0.00	42 of 53 - Sale <sup>25</sup>
	40.000	73.99	06/19/20	174.04	100.05 W	0.00	43 of 53 - Sale <sup>25</sup>
	60.000	110.99	06/19/20	261.07	150.08 W	0.00	44 of 53 - Sale <sup>25</sup>
	3.000	5.58	06/19/20	13.24	7.66 W	0.00	45 of 53 - Sale <sup>25</sup>
	15.000	27.90	06/19/20	66.16	38.26 W	0.00	46 of 53 - Sale <sup>25</sup>
	2.000	3.72	06/19/20	8.82	5.10 W	0.00	47 of 53 - Sale <sup>25</sup>
	5.000	9.30	06/19/20	24.40	15.10 W	0.00	48 of 53 - Sale <sup>25</sup>
	5.000	9.30	06/19/20	23.95	14.65 W	0.00	49 of 53 - Sale <sup>25</sup>
	14.000	26.04	06/19/20	67.35	41.31 W	0.00	50 of 53 - Sale <sup>25</sup>
	30.000	55.80	06/19/20	146.47	90.67 W	0.00	51 of 53 - Sale <sup>25</sup>
	46.000	85.55	06/19/20	224.60	139.05 W	0.00	52 of 53 - Sale <sup>25</sup>
	48.000	89.27	06/19/20	211.74	122.47 W	0.00	53 of 53 - Sale <sup>25</sup>
06/22/20	1,800.000	3,384.70	Various	7,219.02	3,834.32 W	0.00	Total of 53 transactions
9 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	46.000	71.07	06/19/20	224.61	...	-153.54	1 of 9 - Sale <sup>25</sup>
	48.000	74.16	06/19/20	211.75	...	-137.59	2 of 9 - Sale <sup>25</sup>
	30.000	46.35	06/19/20	146.47	...	-100.12	3 of 9 - Sale <sup>25</sup>
	14.000	21.63	06/19/20	67.35	...	-45.72	4 of 9 - Sale <sup>25</sup>
	15.000	23.17	06/19/20	66.16	...	-42.99	5 of 9 - Sale <sup>25</sup>
	5.000	7.72	06/19/20	24.40	...	-16.68	6 of 9 - Sale <sup>25</sup>
	5.000	7.72	06/19/20	23.95	...	-16.23	7 of 9 - Sale <sup>25</sup>
	3.000	4.63	06/19/20	13.24	...	-8.61	8 of 9 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074305 / Symbol: (cont'd)							
	2.000	3.09	06/19/20	8.82	...	-5.73	9 of 9 - Sale <sup>25</sup>
06/23/20	168.000	259.54	Various	786.75	...	-527.21	Total of 9 transactions
10/28/20	30.000	16.80	10/15/20	20.10	...	-3.30	Sale <sup>25</sup>
	<b>Security total:</b>	<b>26,230.45</b>		<b>38,011.51</b>	<b>9,564.46 W</b>	<b>-2,216.60</b>	
LAKELAND INDUSTRIES, INC. COMM ON STOCK / CUSIP: 511795106 / Symbol:							
06/11/20	100.000	2,063.95	06/11/20	2,219.99	...	-156.04	Sale <sup>25</sup>
LAM RESEARCH CORPORATION COMMO N STOCK / CUSIP: 512807108 / Symbol:							
12/21/20	20.000	9,605.78	12/21/20	9,667.00	...	-61.22	Sale <sup>25</sup>
THE ESTEE LAUDER COMPANIES INC . CLASS A / CUSIP: 518439104 / Symbol:							
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	10.000	2,013.95	08/05/20	2,014.10	...	-0.15	1 of 3 - Sale <sup>25</sup>
	1.000	201.28	08/05/20	201.06	...	0.22	2 of 3 - Sale <sup>25</sup>
	19.000	3,824.23	08/05/20	3,820.13	...	4.10	3 of 3 - Sale <sup>25</sup>
08/05/20	30.000	6,039.46	Various	6,035.29	...	4.17	Total of 3 transactions
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	199.97	08/05/20	200.22	0.25 W	0.00	1 of 2 - Sale <sup>25</sup>
	19.000	3,799.34	08/05/20	3,804.18	4.84 W	0.00	2 of 2 - Sale <sup>25</sup>
08/05/20	20.000	3,999.31	Various	4,004.40	5.09 W	0.00	Total of 2 transactions
10/22/20	5.000	1,160.22	10/21/20	1,165.06	...	-4.84	Sale <sup>25</sup>
	<b>Security total:</b>	<b>11,198.99</b>		<b>11,204.75</b>	<b>5.09 W</b>	<b>-0.67</b>	
LENNAR CORPORATION CLASS A / CUSIP: 526057104 / Symbol:							
10/05/20	10.000	823.48	10/05/20	826.20	...	-2.72	Sale <sup>25</sup>
LOCKHEED MARTIN CORP. / CUSIP: 539830109 / Symbol:							
3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	5.000	1,738.51	07/10/20	1,735.10	...	3.41	1 of 3 - Sale <sup>25</sup>
	10.000	3,470.02	07/10/20	3,466.40	...	3.62	2 of 3 - Sale <sup>25</sup>
	8.000	2,771.13	07/10/20	2,766.10	...	5.03	3 of 3 - Sale <sup>25</sup>
07/10/20	23.000	7,979.66	Various	7,967.60	...	12.06	Total of 3 transactions
07/22/20	10.000	3,882.11	07/22/20	3,885.50	3.39 W	0.00	Sale <sup>25</sup>
07/23/20	10.000	3,906.41	07/23/20	3,938.39	31.98 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LOCKHEED MARTIN CORP. / CUSIP: 539830109 / Symbol: (cont'd)							
4 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	6.000	2,367.60	08/12/20	2,395.42	...	-27.82	1 of 4 - Sale <sup>25</sup>
	2.000	789.20	08/12/20	798.48	...	-9.28	2 of 4 - Sale <sup>25</sup>
	1.000	394.60	08/12/20	399.24	...	-4.64	3 of 4 - Sale <sup>25</sup>
	1.000	394.60	08/12/20	399.24	...	-4.64	4 of 4 - Sale <sup>25</sup>
08/12/20	10.000	3,946.00	Various	3,992.38	...	-46.38	Total of 4 transactions
	<b>Security total:</b>	<b>19,714.18</b>		<b>19,783.87</b>	<b>35.37 W</b>	<b>-34.32</b>	
LOWE'S COMPANIES INC. / CUSIP: 548661107 / Symbol:							
3 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	3.000	474.59	08/17/20	474.03	...	0.56	1 of 3 - Sale <sup>25</sup>
	7.000	1,107.37	08/17/20	1,106.07	...	1.30	2 of 3 - Sale <sup>25</sup>
	10.000	1,585.76	08/17/20	1,583.72	...	2.04	3 of 3 - Sale <sup>25</sup>
08/17/20	20.000	3,167.72	Various	3,163.82	...	3.90	Total of 3 transactions
08/17/20	20.000	3,158.57	08/17/20	3,158.80	0.12 W	-0.11	Sale <sup>25</sup>
3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	2.000	342.27	10/08/20	338.96	...	3.31	1 of 3 - Sale <sup>25</sup>
	2.000	342.27	10/08/20	338.58	...	3.69	2 of 3 - Sale <sup>25</sup>
	3.000	512.89	10/08/20	508.44	...	4.45	3 of 3 - Sale <sup>25</sup>
10/08/20	7.000	1,197.43	Various	1,185.98	...	11.45	Total of 3 transactions
4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	2.000	349.76	10/09/20	347.17	...	2.59	1 of 4 - Sale <sup>25</sup>
	5.000	866.82	10/09/20	864.20	...	2.62	2 of 4 - Sale <sup>25</sup>
	5.000	871.23	10/09/20	867.94	...	3.29	3 of 4 - Sale <sup>25</sup>
	3.000	524.38	10/09/20	520.76	...	3.62	4 of 4 - Sale <sup>25</sup>
10/09/20	15.000	2,612.19	Various	2,600.07	...	12.12	Total of 4 transactions
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	100.000	14,937.65	12/07/20	14,966.50	28.85 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	7,514.33	12/07/20	7,562.43	48.10 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	7,514.33	12/07/20	7,551.25	36.92 W	0.00	3 of 3 - Sale <sup>25</sup>
12/07/20	200.000	29,966.31	Various	30,080.18	113.87 W	0.00	Total of 3 transactions
12/08/20	50.000	7,558.33	12/08/20	7,614.19	...	-55.86	Sale <sup>25</sup>
12/08/20	50.000	7,558.32	12/08/20	7,625.37	13.41 W	-53.64	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LOWE'S COMPANIES INC. / CUSIP: 548661107 / Symbol: (cont'd)							
<b>Security total:</b>		<b>55,218.87</b>		<b>55,428.41</b>	<b>127.40 W</b>	<b>-82.14</b>	
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
5 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	10.000	306.19	09/11/20	300.70	...	5.49	1 of 5 - Sale <sup>25</sup>
	20.000	612.38	09/11/20	602.10	...	10.28	2 of 5 - Sale <sup>25</sup>
	20.000	619.98	09/11/20	606.80	...	13.18	3 of 5 - Sale <sup>25</sup>
	30.000	933.27	09/11/20	910.20	...	23.07	4 of 5 - Sale <sup>25</sup>
	50.000	1,549.96	09/11/20	1,517.00	...	32.96	5 of 5 - Sale <sup>25</sup>
09/11/20	130.000	4,021.78	Various	3,936.80	...	84.98	Total of 5 transactions
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	9.000	282.44	09/14/20	286.13	...	-3.69	1 of 3 - Sale <sup>25</sup>
	1.000	31.39	09/14/20	31.80	...	-0.41	2 of 3 - Sale <sup>25</sup>
	50.000	1,568.18	09/14/20	1,567.90	...	0.28	3 of 3 - Sale <sup>25</sup>
09/14/20	60.000	1,882.01	Various	1,885.83	...	-3.82	Total of 3 transactions
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	41.000	1,275.07	09/14/20	1,288.42	0.33 W	-13.02	1 of 2 - Sale <sup>25</sup>
	9.000	279.90	09/14/20	282.83	2.93 W	0.00	2 of 2 - Sale <sup>25</sup>
09/14/20	50.000	1,554.97	Various	1,571.25	3.26 W	-13.02	Total of 2 transactions
10/20/20	10.000	251.00	10/20/20	253.80	...	-2.80	Sale <sup>25</sup>
<b>Security total:</b>		<b>7,709.76</b>		<b>7,647.68</b>	<b>3.26 W</b>	<b>65.34</b>	
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
08/10/20	100.000	2,148.20	08/10/20	2,198.56	...	-50.36	Sale <sup>25</sup>
08/10/20	100.000	2,169.44	08/10/20	2,204.00	34.56 W	0.00	Sale <sup>25</sup>
09/17/20	20.000	447.20	09/15/20	476.40	...	-29.20	Sale <sup>25</sup>
<b>Security total:</b>		<b>4,764.84</b>		<b>4,878.96</b>	<b>34.56 W</b>	<b>-79.56</b>	
THE MACERICH COMPANY / CUSIP: 554382101 / Symbol:							
11/23/20	4.000	40.60	11/23/20	41.32	...	-0.72	Sale <sup>25</sup>
11/23/20	96.000	974.36	11/23/20	991.68	9.02 W	-8.30	Sale <sup>25</sup>
12/03/20	50.000	553.48	12/03/20	566.02	...	-12.54	Sale <sup>25</sup>
<b>Security total:</b>		<b>1,568.44</b>		<b>1,599.02</b>	<b>9.02 W</b>	<b>-21.56</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
07/21/20	100.000	697.07	07/21/20	697.83	...	-0.76	Sale <sup>25</sup>
11/19/20	200.000	1,832.41	11/19/20	1,723.66	...	108.75	Sale <sup>25</sup>
	6 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	100.000	1,174.96	12/02/20	1,059.00	...	115.96	1 of 6 - Sale <sup>25</sup>
	100.000	1,174.96	12/02/20	1,059.00	...	115.96	2 of 6 - Sale <sup>25</sup>
	100.000	1,174.96	12/02/20	1,059.00	...	115.96	3 of 6 - Sale <sup>25</sup>
	100.000	1,174.96	12/02/20	1,059.00	...	115.96	4 of 6 - Sale <sup>25</sup>
	100.000	1,174.96	12/02/20	1,059.00	...	115.96	5 of 6 - Sale <sup>25</sup>
	500.000	5,864.81	12/02/20	5,295.00	...	569.81	6 of 6 - Sale <sup>25</sup>
12/04/20	1,000.000	11,739.61	Various	10,590.00	...	1,149.61	Total of 6 transactions
	<b>Security total:</b>	<b>14,269.09</b>		<b>13,011.49</b>	...	<b>1,257.60</b>	
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
12/18/20	100.000	670.97 N	12/02/20	608.83	...	62.14	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$129.00
MARTIN MARIETTA MATERIALS / CUSIP: 573284106 / Symbol:							
10/07/20	10.000	2,620.44	10/07/20	2,629.07	8.63 W	0.00	Sale <sup>25</sup>
	3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	10.000	2,713.94	10/08/20	2,743.75	29.81 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	1,358.86	10/08/20	1,378.00	19.14 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	546.14	10/08/20	555.36	9.22 W	0.00	3 of 3 - Sale <sup>25</sup>
10/08/20	17.000	4,618.94	Various	4,677.11	58.17 W	0.00	Total of 3 transactions
	3 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	5.000	1,358.56	10/09/20	1,384.87	...	-26.31	1 of 3 - Sale <sup>25</sup>
	3.000	815.14	10/09/20	828.38	...	-13.24	2 of 3 - Sale <sup>25</sup>
	2.000	543.43	10/09/20	555.51	...	-12.08	3 of 3 - Sale <sup>25</sup>
10/09/20	10.000	2,717.13	Various	2,768.76	...	-51.63	Total of 3 transactions
	<b>Security total:</b>	<b>9,956.51</b>		<b>10,074.94</b>	<b>66.80 W</b>	<b>-51.63</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MASCO CORPORATION / CUSIP: 574599106 / Symbol:							
4 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	19.000	1,125.72	08/17/20	1,122.90	...	2.82	1 of 4 - Sale <sup>25</sup>
	21.000	1,244.22	08/17/20	1,241.10	...	3.12	2 of 4 - Sale <sup>25</sup>
	60.000	3,554.91	08/17/20	3,545.99	...	8.92	3 of 4 - Sale <sup>25</sup>
	50.000	2,952.43	08/17/20	2,943.00	...	9.43	4 of 4 - Sale <sup>25</sup>
08/17/20	150.000	8,877.28	Various	8,852.99	...	24.29	Total of 4 transactions
MASTERCARD INCORPORATED / CUSIP: 57636Q104 / Symbol:							
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	320.65	08/05/20	319.50	...	1.15	1 of 3 - Sale <sup>25</sup>
	10.000	3,239.92	08/05/20	3,232.50	...	7.42	2 of 3 - Sale <sup>25</sup>
	19.000	6,092.40	08/05/20	6,070.50	...	21.90	3 of 3 - Sale <sup>25</sup>
08/05/20	30.000	9,652.97	Various	9,622.50	...	30.47	Total of 3 transactions
7 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	9.000	2,927.36	08/05/20	2,932.11	4.75 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	326.77	08/05/20	327.43	0.66 W	0.00	2 of 7 - Sale <sup>25</sup>
	8.000	2,614.18	08/05/20	2,619.42	5.24 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	326.77	08/05/20	326.90	0.13 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	327.39	08/05/20	329.48	2.09 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	327.39	08/05/20	328.95	1.56 W	0.00	6 of 7 - Sale <sup>25</sup>
	8.000	2,619.14	08/05/20	2,635.80	16.66 W	0.00	7 of 7 - Sale <sup>25</sup>
08/05/20	29.000	9,469.00	Various	9,500.09	31.09 W	0.00	Total of 7 transactions
3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	8.000	2,932.73	08/28/20	2,947.06	...	-14.33	1 of 3 - Sale <sup>25</sup>
	1.000	366.59	08/28/20	368.39	...	-1.80	2 of 3 - Sale <sup>25</sup>
	1.000	366.59	08/28/20	367.86	...	-1.27	3 of 3 - Sale <sup>25</sup>
08/28/20	10.000	3,665.91	Various	3,683.31	...	-17.40	Total of 3 transactions
	<b>Security total:</b>	<b>22,787.88</b>		<b>22,805.90</b>	<b>31.09 W</b>	<b>13.07</b>	
MATADOR RESOURCES COMPANY / CUSIP: 576485205 / Symbol:							
4 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	10.000	45.50	04/23/20	42.60	...	2.90	1 of 4 - Sale <sup>25</sup>
	10.000	46.40	04/23/20	40.39	...	6.01	2 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MATADOR RESOURCES COMPANY / CUSIP: 576485205 / Symbol: (cont'd)							
	20.000	92.79	04/23/20	77.12	...	15.67	3 of 4 - Sale <sup>25</sup>
	90.000	417.58	04/23/20	383.39	...	34.19	4 of 4 - Sale <sup>25</sup>
04/24/20	130.000	602.27	Various	543.50	...	58.77	Total of 4 transactions
MATCH GROUP, INC. COMMON STOCK / CUSIP: 57667L107 / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	3.000	408.32	11/20/20	401.82	...	6.50	1 of 2 - Sale <sup>25</sup>
	2.000	272.21	11/23/20	275.74	...	-3.53	2 of 2 - Sale <sup>25</sup>
11/24/20	5.000	680.53	Various	677.56	...	2.97	Total of 2 transactions
MCDERMOTT INTL INC COMMON STOCK / CUSIP: 580037703 / Symbol:							
5 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	8.000	5.36	12/26/19	31.97	...	-26.61	1 of 5 - Sale <sup>25</sup>
	8.000	5.36	12/26/19	31.96	...	-26.60	2 of 5 - Sale <sup>25</sup>
	2.000	1.34	12/26/19	7.90	...	-6.56	3 of 5 - Sale <sup>25</sup>
	2.000	1.34	12/26/19	7.89	...	-6.55	4 of 5 - Sale <sup>25</sup>
	10.000	6.70	12/26/19	12.50	...	-5.80	5 of 5 - Sale <sup>25</sup>
01/14/20	30.000	20.10	Various	92.22	...	-72.12	Total of 5 transactions
02/04/20	70.000	3.28	12/26/19	87.50	...	-84.22	Sale <sup>25</sup>
	<b>Security total:</b>	<b>23.38</b>		<b>179.72</b>	...	<b>-156.34</b>	
MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol:							
4 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	2.000	423.01	11/18/19	388.60	...	34.41	1 of 4 - Sale <sup>25</sup>
	3.000	634.52	11/18/19	583.20	...	51.32	2 of 4 - Sale <sup>25</sup>
	1.000	211.50	12/06/19	195.45	...	16.05	3 of 4 - Sale <sup>25</sup>
	3.000	634.52	12/06/19	586.35	...	48.17	4 of 4 - Sale <sup>25</sup>
01/24/20	9.000	1,903.55	Various	1,753.60	...	149.95	Total of 4 transactions
02/04/20	1.000	215.34	12/17/19	197.68	...	17.66	Sale <sup>25</sup>
06/03/20	14.000	2,701.23	05/08/20	2,538.20	...	163.03	Sale <sup>25</sup>
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	5.000	964.73	01/28/20	1,054.90	90.17 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	192.95	02/10/20	212.60	19.65 W	0.00	2 of 2 - Sale <sup>25</sup>
06/03/20	6.000	1,157.68	Various	1,267.50	109.82 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol: (cont'd)							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	5.000	957.48	06/16/20	1,041.92	...	-84.44	1 of 3 - Sale <sup>25</sup>
	1.000	191.50	06/16/20	210.00	...	-18.50	2 of 3 - Sale <sup>25</sup>
	14.000	2,680.93	06/16/20	2,664.90	...	16.03	3 of 3 - Sale <sup>25</sup>
06/17/20	20.000	3,829.91	Various	3,916.82	...	-86.91	Total of 3 transactions
07/22/20	20.000	3,936.12	07/22/20	3,928.32	...	7.80	Sale <sup>25</sup>
08/05/20	50.000	9,965.06	08/05/20	9,957.50	...	7.56	Sale <sup>25</sup>
08/07/20	30.000	6,107.56	08/07/20	6,112.50	4.94 W	0.00	Sale <sup>25</sup>
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	5.000	1,022.38	08/10/20	1,023.27	0.89 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	613.42	08/11/20	619.63	6.21 W	0.00	2 of 3 - Sale <sup>25</sup>
	7.000	1,431.33	08/11/20	1,445.82	14.49 W	0.00	3 of 3 - Sale <sup>25</sup>
08/11/20	15.000	3,067.13	Various	3,088.72	21.59 W	0.00	Total of 3 transactions
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	5.000	1,031.53	08/11/20	1,027.74	...	3.79	1 of 2 - Sale <sup>25</sup>
	15.000	3,094.57	08/11/20	3,083.02	...	11.55	2 of 2 - Sale <sup>25</sup>
08/12/20	20.000	4,126.10	Various	4,110.76	...	15.34	Total of 2 transactions
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	10.000	2,086.85	08/17/20	2,086.39	...	0.46	1 of 2 - Sale <sup>25</sup>
	10.000	2,082.55	08/17/20	2,075.70	...	6.85	2 of 2 - Sale <sup>25</sup>
08/17/20	20.000	4,169.40	Various	4,162.09	...	7.31	Total of 2 transactions
17 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	3.000	625.37	08/17/20	633.26	7.89 W	0.00	1 of 17 - Sale <sup>25</sup>
	7.000	1,459.18	08/17/20	1,477.84	18.66 W	0.00	2 of 17 - Sale <sup>25</sup>
	10.000	2,084.55	08/17/20	2,090.50	5.95 W	0.00	3 of 17 - Sale <sup>25</sup>
	3.000	620.66	08/17/20	631.38	10.72 W	0.00	4 of 17 - Sale <sup>25</sup>
	7.000	1,448.19	08/17/20	1,473.47	25.28 W	0.00	5 of 17 - Sale <sup>25</sup>
	10.000	2,068.85	08/17/20	2,084.25	15.40 W	0.00	6 of 17 - Sale <sup>25</sup>
	3.000	624.76	08/17/20	633.37	8.61 W	0.00	7 of 17 - Sale <sup>25</sup>
	7.000	1,457.78	08/17/20	1,478.13	20.35 W	0.00	8 of 17 - Sale <sup>25</sup>
	10.000	2,082.55	08/17/20	2,091.10	8.55 W	0.00	9 of 17 - Sale <sup>25</sup>
	3.000	624.17	08/17/20	633.31	9.14 W	0.00	10 of 17 - Sale <sup>25</sup>
	7.000	1,456.38	08/17/20	1,477.99	21.61 W	0.00	11 of 17 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol: (cont'd)							
	10.000	2,080.55	08/17/20	2,090.89	10.34 W	0.00	12 of 17 - Sale <sup>25</sup>
	3.000	626.05	08/17/20	635.06	9.01 W	0.00	13 of 17 - Sale <sup>25</sup>
	7.000	1,460.79	08/17/20	1,482.08	21.29 W	0.00	14 of 17 - Sale <sup>25</sup>
	10.000	2,086.85	08/17/20	2,096.72	9.87 W	0.00	15 of 17 - Sale <sup>25</sup>
	3.000	626.21	08/17/20	635.25	9.04 W	0.00	16 of 17 - Sale <sup>25</sup>
	7.000	1,461.14	08/17/20	1,482.53	21.39 W	0.00	17 of 17 - Sale <sup>25</sup>
08/17/20	110.000	22,894.03	Various	23,127.13	233.10 W	0.00	Total of 17 transactions
08/26/20	10.000	2,142.45	08/26/20	2,149.67	7.22 W	0.00	Sale <sup>25</sup>
	3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	3.000	649.22	08/28/20	658.42	9.20 W	0.00	1 of 3 - Sale <sup>25</sup>
	7.000	1,514.83	08/28/20	1,536.61	21.78 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	2,164.05	08/28/20	2,171.82	7.77 W	0.00	3 of 3 - Sale <sup>25</sup>
08/28/20	20.000	4,328.10	Various	4,366.85	38.75 W	0.00	Total of 3 transactions
	4 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	1.000	214.90	09/08/20	217.35	2.45 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	644.71	09/08/20	651.92	7.21 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	429.80	09/08/20	435.33	5.53 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	859.61	09/08/20	872.65	13.04 W	0.00	4 of 4 - Sale <sup>25</sup>
09/08/20	10.000	2,149.02	Various	2,177.25	28.23 W	0.00	Total of 4 transactions
09/11/20	5.000	1,086.97	09/11/20	1,091.63	4.66 W	0.00	Sale <sup>25</sup>
	15 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	5.000	1,110.97	09/15/20	1,118.39	7.42 W	0.00	1 of 15 - Sale <sup>25</sup>
	1.000	222.19	09/15/20	225.20	3.01 W	0.00	2 of 15 - Sale <sup>25</sup>
	1.000	222.20	09/15/20	225.52	3.32 W	0.00	3 of 15 - Sale <sup>25</sup>
	3.000	666.59	09/15/20	675.46	8.87 W	0.00	4 of 15 - Sale <sup>25</sup>
	1.000	222.77	09/15/20	225.66	2.89 W	0.00	5 of 15 - Sale <sup>25</sup>
	4.000	891.10	09/15/20	904.64	13.54 W	0.00	6 of 15 - Sale <sup>25</sup>
	5.000	1,113.88	09/15/20	1,120.43	6.55 W	0.00	7 of 15 - Sale <sup>25</sup>
	5.000	1,113.87	09/15/20	1,123.18	9.31 W	0.00	8 of 15 - Sale <sup>25</sup>
	1.000	222.30	09/15/20	225.53	3.23 W	0.00	9 of 15 - Sale <sup>25</sup>
	1.000	222.31	09/15/20	225.84	3.53 W	0.00	10 of 15 - Sale <sup>25</sup>
	1.000	222.30	09/15/20	225.41	3.11 W	0.00	11 of 15 - Sale <sup>25</sup>
	3.000	666.92	09/15/20	676.44	9.52 W	0.00	12 of 15 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol: (cont'd)							
	4.000	889.22	09/15/20	903.63	14.41 W	0.00	13 of 15 - Sale <sup>25</sup>
	5.000	1,110.72	09/15/20	1,118.26	7.54 W	0.00	14 of 15 - Sale <sup>25</sup>
	5.000	1,110.73	09/15/20	1,121.03	10.30 W	0.00	15 of 15 - Sale <sup>25</sup>
09/15/20	45.000	10,008.07	Various	10,114.62	106.55 W	0.00	Total of 15 transactions
09/16/20	5.000	1,123.84	09/16/20	1,123.04	...	0.80	Sale <sup>25</sup>
	5 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	1.000	222.24	09/16/20	226.61	4.37 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	222.24	09/16/20	226.91	4.67 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	222.24	09/16/20	226.49	4.25 W	0.00	3 of 5 - Sale <sup>25</sup>
	3.000	666.73	09/16/20	679.66	12.93 W	0.00	4 of 5 - Sale <sup>25</sup>
	4.000	888.97	09/16/20	907.93	18.96 W	0.00	5 of 5 - Sale <sup>25</sup>
09/16/20	10.000	2,222.42	Various	2,267.60	45.18 W	0.00	Total of 5 transactions
	4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	1.000	222.59	09/18/20	227.57	4.98 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	222.59	09/18/20	227.87	5.28 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	667.77	09/18/20	682.53	14.76 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	1,112.95	09/18/20	1,126.30	13.35 W	0.00	4 of 4 - Sale <sup>25</sup>
09/18/20	10.000	2,225.90	Various	2,264.27	38.37 W	0.00	Total of 4 transactions
	7 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	1.000	218.53	09/23/20	223.65	5.12 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	218.53	09/23/20	224.38	5.85 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	218.53	09/23/20	224.68	6.15 W	0.00	3 of 7 - Sale <sup>25</sup>
	3.000	655.59	09/23/20	672.95	17.36 W	0.00	4 of 7 - Sale <sup>25</sup>
	4.000	874.12	09/23/20	896.55	22.43 W	0.00	5 of 7 - Sale <sup>25</sup>
	5.000	1,092.65	09/23/20	1,110.33	17.68 W	0.00	6 of 7 - Sale <sup>25</sup>
	5.000	1,092.65	09/23/20	1,096.97	4.32 W	0.00	7 of 7 - Sale <sup>25</sup>
09/23/20	20.000	4,370.60	Various	4,449.51	78.91 W	0.00	Total of 7 transactions
	10 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	1.000	221.46	09/30/20	226.77	5.31 W	0.00	1 of 10 - Sale <sup>25</sup>
	4.000	885.82	09/30/20	909.03	23.21 W	0.00	2 of 10 - Sale <sup>25</sup>
	5.000	1,107.27	09/30/20	1,125.93	18.66 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	221.24	09/30/20	226.72	5.48 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	221.24	09/30/20	227.02	5.78 W	0.00	5 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol: (cont'd)							
	3.000	663.72	09/30/20	679.97	16.25 W	0.00	6 of 10 - Sale <sup>25</sup>
	5.000	1,106.20	09/30/20	1,108.67	2.47 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	220.93	09/30/20	227.49	6.56 W	0.00	8 of 10 - Sale <sup>25</sup>
	4.000	883.70	09/30/20	911.93	28.23 W	0.00	9 of 10 - Sale <sup>25</sup>
	5.000	1,104.62	09/30/20	1,129.56	24.94 W	0.00	10 of 10 - Sale <sup>25</sup>
09/30/20	30.000	6,636.20	Various	6,773.09	136.89 W	0.00	Total of 10 transactions
10/01/20	5.000	1,108.03	10/01/20	1,134.32	...	-26.29	Sale <sup>25</sup>
	6 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	1.000	220.83	10/01/20	228.32	7.49 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	220.83	10/01/20	228.62	7.79 W	0.00	2 of 6 - Sale <sup>25</sup>
	3.000	662.50	10/01/20	684.75	22.25 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	1,104.17	10/01/20	1,116.64	12.47 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	221.61	10/01/20	228.44	6.83 W	0.00	5 of 6 - Sale <sup>25</sup>
	4.000	886.42	10/01/20	915.74	29.32 W	0.00	6 of 6 - Sale <sup>25</sup>
10/01/20	15.000	3,316.36	Various	3,402.51	86.15 W	0.00	Total of 6 transactions
	3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	1.000	227.82	10/14/20	236.98	9.16 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	227.83	10/14/20	237.28	9.45 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	683.47	10/14/20	710.72	27.25 W	0.00	3 of 3 - Sale <sup>25</sup>
10/14/20	5.000	1,139.12	Various	1,184.98	45.86 W	0.00	Total of 3 transactions
	3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	1.000	229.11	10/14/20	234.87	5.76 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	916.46	10/14/20	941.48	25.02 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	1,145.57	10/14/20	1,152.67	7.10 W	0.00	3 of 3 - Sale <sup>25</sup>
10/19/20	10.000	2,291.14	Various	2,329.02	37.88 W	0.00	Total of 3 transactions
	7 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	5.000	1,084.97	11/09/20	1,102.91	17.94 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	216.99	11/09/20	228.32	11.33 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	217.00	11/09/20	228.61	11.61 W	0.00	3 of 7 - Sale <sup>25</sup>
	3.000	650.99	11/09/20	684.73	33.74 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	214.53	11/09/20	218.45	3.92 W	0.00	5 of 7 - Sale <sup>25</sup>
	4.000	858.10	11/09/20	875.78	17.68 W	0.00	6 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol: (cont'd)							
11/09/20	5.000	1,072.62	11/09/20	1,081.39	8.77 W	0.00	7 of 7 - Sale <sup>25</sup>
	20.000	4,315.20	Various	4,420.19	104.99 W	0.00	Total of 7 transactions
	3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	4.000	834.10	12/08/20	852.76	...	-18.66	1 of 3 - Sale <sup>25</sup>
	5.000	1,042.62	12/08/20	1,052.62	...	-10.00	2 of 3 - Sale <sup>25</sup>
	1.000	208.53	12/08/20	212.68	...	-4.15	3 of 3 - Sale <sup>25</sup>
12/08/20	10.000	2,085.25	Various	2,118.06	...	-32.81	Total of 3 transactions
	7 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	15.000	3,126.52	12/08/20	3,130.57	2.16 W	-1.89	1 of 7 - Sale <sup>25</sup>
	1.000	208.44	12/08/20	212.62	4.18 W	0.00	2 of 7 - Sale <sup>25</sup>
	4.000	833.74	12/08/20	852.50	18.76 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	1,042.18	12/08/20	1,052.30	10.12 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	208.43	12/08/20	220.04	11.61 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	208.44	12/08/20	220.32	11.88 W	0.00	6 of 7 - Sale <sup>25</sup>
	3.000	625.31	12/08/20	659.85	34.54 W	0.00	7 of 7 - Sale <sup>25</sup>
12/08/20	30.000	6,253.06	Various	6,348.20	93.25 W	-1.89	Total of 7 transactions
	<b>Security total:</b>	<b>120,874.84</b>		<b>121,875.63</b>	<b>1,222.34 W</b>	<b>221.55</b>	
MERCK & CO., INC. / CUSIP: 58933Y105 / Symbol:							
01/15/20	55.000	5,021.38	09/19/19	4,620.99	...	400.39	Sale <sup>25</sup>
01/24/20	30.000	2,577.24	09/19/19	2,520.54	...	56.70	Sale <sup>25</sup>
	7 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	82.90	10/22/19	80.83	...	2.07	1 of 7 - Sale <sup>25</sup>
	5.000	414.49	10/22/19	405.25	...	9.24	2 of 7 - Sale <sup>25</sup>
	5.000	414.49	10/22/19	402.50	...	11.99	3 of 7 - Sale <sup>25</sup>
	5.000	414.49	10/28/19	407.40	...	7.09	4 of 7 - Sale <sup>25</sup>
	20.000	1,657.96	01/29/20	1,749.59	...	-91.63	5 of 7 - Sale <sup>25</sup>
	2.000	165.80	01/29/20	174.70	...	-8.90	6 of 7 - Sale <sup>25</sup>
	8.000	663.18	02/13/20	654.08	...	9.10	7 of 7 - Sale <sup>25</sup>
06/09/20	46.000	3,813.31	Various	3,874.35	...	-61.04	Total of 7 transactions
	5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	30.000	2,486.94	09/19/19	2,518.50	6.31 W	-25.25	1 of 5 - Sale <sup>25</sup>
	1.000	82.90	09/19/19	84.02	1.12 W	0.00	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MERCK & CO., INC. / CUSIP: 58933Y105 / Symbol: (cont'd)							
	14.000	1,160.57	09/19/19	1,176.25	15.68 W	0.00	3 of 5 - Sale <sup>25</sup>
	4.000	331.59	09/19/19	335.76	4.17 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	414.49	09/19/19	419.70	5.21 W	0.00	5 of 5 - Sale <sup>25</sup>
06/09/20	54.000	4,476.49	Various	4,534.23	32.49 W	-25.25	Total of 5 transactions
	5 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	1.000	78.09	05/22/20	77.29	...	0.80	1 of 5 - Sale <sup>25</sup>
	4.000	311.28	05/22/20	308.85	...	2.43	2 of 5 - Sale <sup>25</sup>
	5.000	389.10	05/22/20	386.06	...	3.04	3 of 5 - Sale <sup>25</sup>
	6.000	466.91	05/22/20	463.33	...	3.58	4 of 5 - Sale <sup>25</sup>
	14.000	1,093.25	05/22/20	1,082.06	...	11.19	5 of 5 - Sale <sup>25</sup>
06/23/20	30.000	2,338.63	Various	2,317.59	...	21.04	Total of 5 transactions
08/12/20	20.000	1,655.56	08/12/20	1,654.30	...	1.26	Sale <sup>25</sup>
08/13/20	20.000	1,663.56	08/13/20	1,658.17	...	5.39	Sale <sup>25</sup>
	3 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	20.000	1,700.79	08/14/20	1,671.30	...	29.49	1 of 3 - Sale <sup>25</sup>
	25.000	2,122.45	08/17/20	2,122.97	...	-0.52	2 of 3 - Sale <sup>25</sup>
	15.000	1,273.47	08/17/20	1,273.78	...	-0.31	3 of 3 - Sale <sup>25</sup>
08/17/20	60.000	5,096.71	Various	5,068.05	...	28.66	Total of 3 transactions
08/19/20	10.000	856.08	08/17/20	849.19	...	6.89	Sale <sup>25</sup>
	<b>Security total:</b>	<b>27,498.96</b>		<b>27,097.41</b>	<b>32.49 W</b>	<b>434.04</b>	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
07/31/20	20.000	4,077.50	07/31/20	4,052.00	...	25.50	Sale <sup>25</sup>
	4 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	10.000	2,096.40	08/12/20	2,095.36	...	1.04	1 of 4 - Sale <sup>25</sup>
	10.000	2,093.05	08/12/20	2,090.83	...	2.22	2 of 4 - Sale <sup>25</sup>
	10.000	2,093.05	08/12/20	2,088.45	...	4.60	3 of 4 - Sale <sup>25</sup>
	20.000	4,138.60	08/12/20	4,112.35	...	26.25	4 of 4 - Sale <sup>25</sup>
08/12/20	50.000	10,421.10	Various	10,386.99	...	34.11	Total of 4 transactions
	5 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	10.000	2,071.55	08/12/20	2,072.67	1.12 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	2,085.05	08/12/20	2,086.01	0.96 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	2,085.05	08/12/20	2,088.40	3.35 W	0.00	3 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	10.000	2,090.05	08/12/20	2,094.00	3.95 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	2,092.25	08/12/20	2,093.02	0.77 W	0.00	5 of 5 - Sale <sup>25</sup>
08/12/20	50.000	10,423.95	Various	10,434.10	10.15 W	0.00	Total of 5 transactions
	6 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	20.000	4,284.70	08/20/20	4,284.00	...	0.70	1 of 6 - Sale <sup>25</sup>
	10.000	2,146.95	08/20/20	2,144.60	...	2.35	2 of 6 - Sale <sup>25</sup>
	20.000	4,284.71	08/20/20	4,281.20	...	3.51	3 of 6 - Sale <sup>25</sup>
	20.000	4,297.90	08/20/20	4,292.80	...	5.10	4 of 6 - Sale <sup>25</sup>
	20.000	4,293.90	08/20/20	4,288.55	...	5.35	5 of 6 - Sale <sup>25</sup>
	20.000	4,282.10	08/20/20	4,242.00	...	40.10	6 of 6 - Sale <sup>25</sup>
08/20/20	110.000	23,590.26	Various	23,533.15	...	57.11	Total of 6 transactions
	3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	20.000	4,280.51	08/21/20	4,299.40	18.89 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	1,070.13	08/21/20	1,072.75	2.62 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	4,317.30	08/21/20	4,320.69	3.39 W	0.00	3 of 3 - Sale <sup>25</sup>
08/21/20	45.000	9,667.94	Various	9,692.84	24.90 W	0.00	Total of 3 transactions
	2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	5.000	1,137.43	08/27/20	1,137.37	...	0.06	1 of 2 - Sale <sup>25</sup>
	5.000	1,137.44	08/27/20	1,135.60	...	1.84	2 of 2 - Sale <sup>25</sup>
08/27/20	10.000	2,274.87	Various	2,272.97	...	1.90	Total of 2 transactions
	4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	15.000	3,429.07	08/27/20	3,434.99	5.92 W	0.00	1 of 4 - Sale <sup>25</sup>
	15.000	3,429.07	08/27/20	3,432.45	3.38 W	0.00	2 of 4 - Sale <sup>25</sup>
	15.000	3,439.42	08/27/20	3,444.82	5.40 W	0.00	3 of 4 - Sale <sup>25</sup>
	15.000	3,439.42	08/27/20	3,442.28	2.86 W	0.00	4 of 4 - Sale <sup>25</sup>
08/27/20	60.000	13,736.98	Various	13,754.54	17.56 W	0.00	Total of 4 transactions
	3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	5.000	1,137.32	08/28/20	1,139.54	2.22 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	1,137.32	08/28/20	1,138.69	1.37 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	2,292.04	08/28/20	2,305.15	13.11 W	0.00	3 of 3 - Sale <sup>25</sup>
08/28/20	20.000	4,566.68	Various	4,583.38	16.70 W	0.00	Total of 3 transactions
09/09/20	10.000	2,126.35	09/09/20	2,133.81	7.46 W	0.00	Sale <sup>25</sup>
09/14/20	5.000	1,039.52	09/11/20	1,024.06	...	15.46	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
8 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	5.000	1,042.38	09/15/20	1,048.05	5.67 W	0.00	1 of 8 - Sale <sup>25</sup>
	5.000	1,042.37	09/15/20	1,043.72	1.35 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.000	1,042.38	09/15/20	1,048.62	6.24 W	0.00	3 of 8 - Sale <sup>25</sup>
	5.000	1,042.37	09/15/20	1,050.68	8.31 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	1,041.97	09/15/20	1,047.33	5.36 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	1,041.98	09/15/20	1,049.27	7.29 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	1,038.83	09/15/20	1,042.05	3.22 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	1,038.82	09/15/20	1,046.94	8.12 W	0.00	8 of 8 - Sale <sup>25</sup>
09/15/20	40.000	8,331.10	Various	8,376.66	45.56 W	0.00	Total of 8 transactions
10/05/20	5.000	1,045.03	10/05/20	1,053.81	8.78 W	0.00	Sale <sup>25</sup>
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	5.000	1,049.72	10/06/20	1,056.21	6.49 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	1,049.73	10/06/20	1,058.14	8.41 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	1,028.97	10/06/20	1,036.62	7.65 W	0.00	3 of 3 - Sale <sup>25</sup>
10/06/20	15.000	3,128.42	Various	3,150.97	22.55 W	0.00	Total of 3 transactions
10/07/20	5.000	1,037.90	10/06/20	1,041.52	3.62 W	0.00	Sale <sup>25</sup>
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	210.42	10/08/20	212.93	2.51 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	420.85	10/08/20	425.39	4.54 W	0.00	2 of 2 - Sale <sup>25</sup>
10/08/20	3.000	631.27	Various	638.32	7.05 W	0.00	Total of 2 transactions
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	2.000	444.67	10/12/20	447.29	2.62 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	667.00	10/12/20	669.56	2.56 W	0.00	2 of 2 - Sale <sup>25</sup>
10/12/20	5.000	1,111.67	Various	1,116.85	5.18 W	0.00	Total of 2 transactions
10/26/20	2.000	426.99	10/26/20	432.40	5.41 W	0.00	Sale <sup>25</sup>
10/27/20	1.000	214.00	10/27/20	214.93	0.93 W	0.00	Sale <sup>25</sup>
6 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	2.000	412.27	10/29/20	417.30	...	-5.03	1 of 6 - Sale <sup>25</sup>
	2.000	412.27	10/29/20	415.37	...	-3.10	2 of 6 - Sale <sup>25</sup>
	1.000	206.14	10/29/20	208.89	...	-2.75	3 of 6 - Sale <sup>25</sup>
	1.000	206.13	10/29/20	207.23	...	-1.10	4 of 6 - Sale <sup>25</sup>
	5.000	1,033.82	10/29/20	1,033.25	...	0.57	5 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
10/29/20	1.000	206.77	10/29/20	205.84	...	0.93	6 of 6 - Sale <sup>25</sup>
	12.000	2,477.40	Various	2,487.88	...	-10.48	Total of 6 transactions
	2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	4.000	824.54	10/29/20	828.42	0.97 W	-2.91	1 of 2 - Sale <sup>25</sup>
	4.000	827.06	10/29/20	827.21	0.15 W	0.00	2 of 2 - Sale <sup>25</sup>
10/29/20	8.000	1,651.60	Various	1,655.63	1.12 W	-2.91	Total of 2 transactions
	5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	1.000	226.01	11/09/20	227.42	1.41 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	226.00	11/09/20	227.38	1.38 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	452.01	11/09/20	454.62	2.61 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	452.01	11/09/20	458.32	6.31 W	0.00	4 of 5 - Sale <sup>25</sup>
	4.000	904.02	11/09/20	905.96	1.94 W	0.00	5 of 5 - Sale <sup>25</sup>
11/09/20	10.000	2,260.05	Various	2,273.70	13.65 W	0.00	Total of 5 transactions
	6 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	2.000	423.99	11/30/20	432.24	...	-8.25	1 of 6 - Sale <sup>25</sup>
	4.000	847.98	11/30/20	853.80	...	-5.82	2 of 6 - Sale <sup>25</sup>
	2.000	423.99	11/30/20	428.54	...	-4.55	3 of 6 - Sale <sup>25</sup>
	1.000	211.99	11/30/20	214.38	...	-2.39	4 of 6 - Sale <sup>25</sup>
	1.000	212.00	11/30/20	214.34	...	-2.34	5 of 6 - Sale <sup>25</sup>
	10.000	2,119.95	12/03/20	2,140.60	...	-20.65	6 of 6 - Sale <sup>25</sup>
12/09/20	20.000	4,239.90	Various	4,283.90	...	-44.00	Total of 6 transactions
	<b>Security total:</b>	<b>108,480.48</b>		<b>108,594.41</b>	<b>190.62 W</b>	<b>76.69</b>	
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
06/12/20	5.000	247.71	06/11/20	250.25	2.54 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	15.000	775.68	06/30/20	783.75	2.69 W	-5.38	1 of 2 - Sale <sup>25</sup>
	5.000	258.56	06/30/20	263.79	5.23 W	0.00	2 of 2 - Sale <sup>25</sup>
06/30/20	20.000	1,034.24	Various	1,047.54	7.92 W	-5.38	Total of 2 transactions
	2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	5.000	251.19	07/10/20	258.53	...	-7.34	1 of 2 - Sale <sup>25</sup>
	5.000	251.19	07/10/20	255.99	...	-4.80	2 of 2 - Sale <sup>25</sup>
07/15/20	10.000	502.38	Various	514.52	...	-12.14	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol: (cont'd)							
08/24/20	50.000	2,185.54	08/24/20	2,180.42	...	5.12	Sale <sup>25</sup>
09/14/20	50.000	2,457.44	09/14/20	2,460.49	3.05 W	0.00	Sale <sup>25</sup>
	2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	25.000	1,258.97	09/16/20	1,275.28	16.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	1,256.10	09/16/20	1,275.27	19.17 W	0.00	2 of 2 - Sale <sup>25</sup>
09/16/20	50.000	2,515.07	Various	2,550.55	35.48 W	0.00	Total of 2 transactions
10/01/20	25.000	1,196.47	10/01/20	1,213.36	...	-16.89	Sale <sup>25</sup>
	2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	15.000	717.88	10/01/20	726.30	5.61 W	-2.81	1 of 2 - Sale <sup>25</sup>
	10.000	478.59	10/01/20	482.50	3.91 W	0.00	2 of 2 - Sale <sup>25</sup>
10/01/20	25.000	1,196.47	Various	1,208.80	9.52 W	-2.81	Total of 2 transactions
	2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	10.000	469.70	10/01/20	484.61	...	-14.91	1 of 2 - Sale <sup>25</sup>
	10.000	469.70	10/01/20	482.91	...	-13.21	2 of 2 - Sale <sup>25</sup>
10/02/20	20.000	939.40	Various	967.52	...	-28.12	Total of 2 transactions
	<b>Security total:</b>	<b>12,274.72</b>		<b>12,393.45</b>	<b>58.51 W</b>	<b>-60.22</b>	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
03/06/20	1.000	29.11	03/05/20	27.44	...	1.67	Sale <sup>25</sup>
	13 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	1.000	63.03	06/12/20	63.00	...	0.03	1 of 13 - Sale <sup>25</sup>
	1.000	63.03	06/12/20	63.00	...	0.03	2 of 13 - Sale <sup>25</sup>
	2.000	126.06	06/12/20	126.00	...	0.06	3 of 13 - Sale <sup>25</sup>
	1.000	63.00	06/12/20	62.83	...	0.17	4 of 13 - Sale <sup>25</sup>
	7.000	441.20	06/12/20	441.00	...	0.20	5 of 13 - Sale <sup>25</sup>
	1.000	63.03	06/12/20	62.83	...	0.20	6 of 13 - Sale <sup>25</sup>
	9.000	567.26	06/12/20	567.00	...	0.26	7 of 13 - Sale <sup>25</sup>
	1.000	63.20	06/12/20	62.83	...	0.37	8 of 13 - Sale <sup>25</sup>
	10.000	630.28	06/12/20	629.50	...	0.78	9 of 13 - Sale <sup>25</sup>
	10.000	630.28	06/12/20	629.50	...	0.78	10 of 13 - Sale <sup>25</sup>
	29.000	1,827.82	06/12/20	1,821.97	...	5.85	11 of 13 - Sale <sup>25</sup>
	19.000	1,200.77	06/12/20	1,193.70	...	7.07	12 of 13 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	49.000	3,086.93	06/12/20	3,078.48	...	8.45	13 of 13 - Sale <sup>25</sup>
06/12/20	140.000	8,825.89	Various	8,801.64	...	24.25	Total of 13 transactions
06/25/20	1.000	60.32	06/25/20	64.99	4.67 W	0.00	Sale <sup>25</sup>
	4 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	1.000	79.74	07/14/20	93.02	13.28 W	0.00	1 of 4 - Sale <sup>25</sup>
	9.000	717.64	07/14/20	795.15	77.51 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	79.74	07/27/20	93.63	13.89 W	0.00	3 of 4 - Sale <sup>25</sup>
	9.000	717.64	07/27/20	723.15	5.51 W	0.00	4 of 4 - Sale <sup>25</sup>
07/27/20	20.000	1,594.76	Various	1,704.95	110.19 W	0.00	Total of 4 transactions
	5 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	9.000	674.98	07/27/20	800.66	125.68 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	75.00	07/27/20	80.35	5.35 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	749.98	08/05/20	752.80	1.41 W	-1.41	3 of 5 - Sale <sup>25</sup>
	1.000	75.00	08/05/20	89.17	14.17 W	0.00	4 of 5 - Sale <sup>25</sup>
	9.000	674.99	08/05/20	683.03	8.04 W	0.00	5 of 5 - Sale <sup>25</sup>
08/05/20	30.000	2,249.95	Various	2,406.01	154.65 W	-1.41	Total of 5 transactions
	3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	9.000	569.79	08/05/20	803.20	233.41 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	63.31	08/05/20	80.63	17.32 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	633.10	08/05/20	752.80	119.70 W	0.00	3 of 3 - Sale <sup>25</sup>
09/14/20	20.000	1,266.20	Various	1,636.63	370.43 W	0.00	Total of 3 transactions
	2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	5.000	337.40	08/05/20	376.40	39.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	67.97	08/05/20	75.28	7.31 W	0.00	2 of 2 - Sale <sup>25</sup>
09/22/20	6.000	405.37	Various	451.68	46.31 W	0.00	Total of 2 transactions
	5 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	4.000	268.16	08/05/20	301.12	32.96 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	67.04	08/10/20	88.42	21.38 W	0.00	2 of 5 - Sale <sup>25</sup>
	4.000	268.16	08/10/20	300.57	32.41 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	341.85	08/14/20	351.22	9.37 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	341.84	08/14/20	348.16	6.32 W	0.00	5 of 5 - Sale <sup>25</sup>
09/23/20	19.000	1,287.05	Various	1,389.49	102.44 W	0.00	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
<i>10 transactions for 10/02/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	628.37	10/02/20	861.99	...	-233.62	1 of 10 - Sale <sup>25</sup>
	10.000	718.98	10/02/20	836.78	...	-117.80	2 of 10 - Sale <sup>25</sup>
	4.000	279.27	10/02/20	314.34	...	-35.07	3 of 10 - Sale <sup>25</sup>
	4.000	279.27	10/02/20	312.32	...	-33.05	4 of 10 - Sale <sup>25</sup>
	1.000	69.82	10/02/20	91.86	...	-22.04	5 of 10 - Sale <sup>25</sup>
	1.000	69.82	10/02/20	87.16	...	-17.34	6 of 10 - Sale <sup>25</sup>
	5.000	349.09	10/02/20	361.78	...	-12.69	7 of 10 - Sale <sup>25</sup>
	5.000	349.09	10/02/20	358.73	...	-9.64	8 of 10 - Sale <sup>25</sup>
	1.000	69.82	10/02/20	77.64	...	-7.82	9 of 10 - Sale <sup>25</sup>
	1.000	72.35	10/02/20	79.02	...	-6.67	10 of 10 - Sale <sup>25</sup>
10/02/20	41.000	2,885.88	Various	3,381.62	...	-495.74	Total of 10 transactions
<i>4 transactions for 10/02/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	361.73	10/02/20	397.54	7.16 W	-28.65	1 of 4 - Sale <sup>25</sup>
	9.000	651.13	10/02/20	878.78	227.65 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	72.35	10/02/20	89.03	16.68 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	289.39	10/02/20	319.78	30.39 W	0.00	4 of 4 - Sale <sup>25</sup>
10/02/20	19.000	1,374.60	Various	1,685.13	281.88 W	-28.65	Total of 4 transactions
	<b>Security total:</b>	<b>19,979.13</b>		<b>21,549.58</b>	<b>1,070.57 W</b>	<b>-499.88</b>	
MOGU INC. / CUSIP: 608012100 / Symbol:							
<i>3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	24.80	07/07/20	23.60	...	1.20	1 of 3 - Sale <sup>25</sup>
	196.000	1,215.15	07/07/20	1,156.40	...	58.75	2 of 3 - Sale <sup>25</sup>
	300.000	1,859.91	07/07/20	1,770.00	...	89.91	3 of 3 - Sale <sup>25</sup>
07/07/20	500.000	3,099.86	Various	2,950.00	...	149.86	Total of 3 transactions
MOHAWK INDUSTRIES, INC. / CUSIP: 608190104 / Symbol:							
<i>2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	168.20	07/22/20	167.68	...	0.52	1 of 2 - Sale <sup>25</sup>
	48.000	4,036.70	07/22/20	4,024.32	...	12.38	2 of 2 - Sale <sup>25</sup>
07/22/20	50.000	4,204.90	Various	4,192.00	...	12.90	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MOMO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 60879B107 / Symbol:							
4 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	10.000	204.99	07/07/20	202.37	...	2.62	1 of 4 - Sale <sup>25</sup>
	40.000	819.98	07/07/20	809.50	...	10.48	2 of 4 - Sale <sup>25</sup>
	50.000	1,024.97	07/07/20	1,011.89	...	13.08	3 of 4 - Sale <sup>25</sup>
	100.000	2,049.94	07/07/20	2,018.00	...	31.94	4 of 4 - Sale <sup>25</sup>
07/07/20	200.000	4,099.88	Various	4,041.76	...	58.12	Total of 4 transactions
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	50.000	1,005.97	07/07/20	1,008.86	2.89 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	201.20	07/07/20	201.77	0.57 W	0.00	2 of 3 - Sale <sup>25</sup>
	40.000	804.78	07/07/20	807.08	2.30 W	0.00	3 of 3 - Sale <sup>25</sup>
07/07/20	100.000	2,011.95	Various	2,017.71	5.76 W	0.00	Total of 3 transactions
12/02/20	100.000	1,370.11	12/02/20	1,362.83	...	7.28	Sale <sup>25</sup>
12/03/20	50.000	692.48	12/03/20	698.39	5.91 W	0.00	Sale <sup>25</sup>
3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	49.000	645.31 N	12/08/20	653.57	...	-8.26	1 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$40.67
	1.000	13.17 N	12/08/20	13.34	...	-0.17	2 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$0.83
	50.000	658.47 N	12/08/20	658.00	...	0.47	3 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$41.50
12/11/20	100.000	1,316.95	Various	1,324.91	...	-7.96	Total of 3 transactions
	<b>Security total:</b>	<b>9,491.37</b>		<b>9,445.60</b>	<b>11.67 W</b>	<b>57.44</b>	
THE MOSAIC COMPANY / CUSIP: 61945C103 / Symbol:							
10/05/20	50.000	959.97	10/05/20	956.50	...	3.47	Sale <sup>25</sup>
12/03/20	100.000	2,139.94	12/03/20	2,144.50	4.56 W	0.00	Sale <sup>25</sup>
12/04/20	0.044	1.00	12/03/20	0.94	...	0.06	Sale <sup>25</sup>
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	0.956	21.18	12/03/20	20.50	...	0.68	1 of 2 - Sale <sup>25</sup>
	99.000	2,191.96	12/03/20	2,123.12	...	68.84	2 of 2 - Sale <sup>25</sup>
12/11/20	99.956	2,213.14	Various	2,143.62	...	69.52	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE MOSAIC COMPANY / CUSIP: 61945C103 / Symbol: (cont'd)							
<b>Security total:</b>		<b>5,314.05</b>		<b>5,245.56</b>	<b>4.56 W</b>	<b>73.05</b>	
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
3 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	100.000	525.97	01/21/20	523.50	...	2.47	1 of 3 - Sale <sup>25</sup>
	100.000	525.97	01/21/20	523.50	...	2.47	2 of 3 - Sale <sup>25</sup>
	100.000	525.97	01/21/20	523.50	...	2.47	3 of 3 - Sale <sup>25</sup>
01/22/20	300.000	1,577.91	Various	1,570.50	...	7.41	Total of 3 transactions
4 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	3.000	13.95	01/23/20	13.19	...	0.76	1 of 4 - Sale <sup>25</sup>
	17.000	79.05	01/23/20	74.71	...	4.34	2 of 4 - Sale <sup>25</sup>
	6.000	27.90	01/24/20	28.38	...	-0.48	3 of 4 - Sale <sup>25</sup>
	2.000	9.30	01/29/20	9.29	...	0.01	4 of 4 - Sale <sup>25</sup>
02/25/20	28.000	130.20	Various	125.57	...	4.63	Total of 4 transactions
6 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	10.000	46.50	01/21/20	52.00	5.50 W	0.00	1 of 6 - Sale <sup>25</sup>
	12.000	55.80	01/21/20	62.40	6.60 W	0.00	2 of 6 - Sale <sup>25</sup>
	37.000	172.05	01/22/20	188.70	6.75 W	-9.90	3 of 6 - Sale <sup>25</sup>
	100.000	464.97	01/22/20	524.00	59.03 W	0.00	4 of 6 - Sale <sup>25</sup>
	100.000	464.97	01/22/20	524.00	59.03 W	0.00	5 of 6 - Sale <sup>25</sup>
	13.000	60.45	01/22/20	66.30	5.85 W	0.00	6 of 6 - Sale <sup>25</sup>
02/25/20	272.000	1,264.74	Various	1,417.40	142.76 W	-9.90	Total of 6 transactions
12 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	28.000	131.59	01/29/20	131.19	...	0.40	1 of 12 - Sale <sup>25</sup>
	8.000	37.60	01/29/20	37.16	...	0.44	2 of 12 - Sale <sup>25</sup>
	12.000	56.40	01/29/20	55.74	...	0.66	3 of 12 - Sale <sup>25</sup>
	50.000	234.99	01/29/20	233.51	...	1.48	4 of 12 - Sale <sup>25</sup>
	7.000	32.90	01/30/20	32.83	...	0.07	5 of 12 - Sale <sup>25</sup>
	22.000	103.39	01/30/20	103.19	...	0.20	6 of 12 - Sale <sup>25</sup>
	11.000	51.70	01/30/20	50.71	...	0.99	7 of 12 - Sale <sup>25</sup>
	10.000	47.00	01/31/20	43.87	...	3.13	8 of 12 - Sale <sup>25</sup>
	50.000	234.98	02/07/20	222.02	...	12.96	9 of 12 - Sale <sup>25</sup>
	13.000	61.10	02/14/20	55.25	...	5.85	10 of 12 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	15.000	70.49	02/14/20	63.75	...	6.74	11 of 12 - Sale <sup>25</sup>
	22.000	103.40	02/14/20	96.59	...	6.81	12 of 12 - Sale <sup>25</sup>
06/02/20	248.000	1,165.54	Various	1,125.81	...	39.73	Total of 12 transactions
	3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	3.000	18.30	06/12/20	18.27	...	0.03	1 of 3 - Sale <sup>25</sup>
	97.000	591.67	06/12/20	590.73	...	0.94	2 of 3 - Sale <sup>25</sup>
	100.000	609.97	06/12/20	609.00	...	0.97	3 of 3 - Sale <sup>25</sup>
06/12/20	200.000	1,219.94	Various	1,218.00	...	1.94	Total of 3 transactions
	2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	100.000	639.97	06/15/20	645.00	5.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	639.97	06/15/20	646.03	6.06 W	0.00	2 of 2 - Sale <sup>25</sup>
06/15/20	200.000	1,279.94	Various	1,291.03	11.09 W	0.00	Total of 2 transactions
06/16/20	100.000	703.23	06/16/20	718.06	14.83 W	0.00	Sale <sup>25</sup>
	5 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	1.000	6.78	06/17/20	6.78	...	0.00	1 of 5 - Sale <sup>25</sup>
	50.000	338.99	06/17/20	338.89	...	0.10	2 of 5 - Sale <sup>25</sup>
	99.000	671.19	06/17/20	670.99	...	0.20	3 of 5 - Sale <sup>25</sup>
	50.000	338.62	06/17/20	338.00	...	0.62	4 of 5 - Sale <sup>25</sup>
	50.000	339.98	06/17/20	338.51	...	1.47	5 of 5 - Sale <sup>25</sup>
06/17/20	250.000	1,695.56	Various	1,693.17	...	2.39	Total of 5 transactions
	11 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	1.000	6.77	06/17/20	6.92	0.15 W	0.00	1 of 11 - Sale <sup>25</sup>
	49.000	331.84	06/17/20	339.00	7.16 W	0.00	2 of 11 - Sale <sup>25</sup>
	50.000	338.62	06/17/20	345.41	6.79 W	0.00	3 of 11 - Sale <sup>25</sup>
	150.000	1,015.84	06/17/20	1,017.00	1.16 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	6.78	06/17/20	6.93	0.15 W	0.00	5 of 11 - Sale <sup>25</sup>
	49.000	332.21	06/17/20	339.38	7.17 W	0.00	6 of 11 - Sale <sup>25</sup>
	50.000	338.99	06/17/20	345.79	6.80 W	0.00	7 of 11 - Sale <sup>25</sup>
	750.000	5,084.79	06/17/20	5,085.00	0.21 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	6.80	06/17/20	6.92	0.12 W	0.00	9 of 11 - Sale <sup>25</sup>
	49.000	333.18	06/17/20	338.90	5.72 W	0.00	10 of 11 - Sale <sup>25</sup>
	50.000	339.99	06/17/20	345.30	5.31 W	0.00	11 of 11 - Sale <sup>25</sup>
06/17/20	1,200.000	8,135.81	Various	8,176.55	40.74 W	0.00	Total of 11 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
06/19/20	50.000	374.00	06/19/20	373.02	...	0.98	Sale <sup>25</sup>
	<i>10 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>						
	150.000	1,118.96	06/19/20	1,119.04	0.08 W	0.00	1 of 10 - Sale <sup>25</sup>
	200.000	1,491.94	06/19/20	1,492.06	0.12 W	0.00	2 of 10 - Sale <sup>25</sup>
	50.000	372.99	06/19/20	373.01	0.02 W	0.00	3 of 10 - Sale <sup>25</sup>
	200.000	1,491.94	06/19/20	1,492.06	0.12 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	7.46	06/19/20	7.58	0.12 W	0.00	5 of 10 - Sale <sup>25</sup>
	49.000	365.53	06/19/20	371.26	5.73 W	0.00	6 of 10 - Sale <sup>25</sup>
	50.000	372.98	06/19/20	378.31	5.33 W	0.00	7 of 10 - Sale <sup>25</sup>
	100.000	745.97	06/19/20	746.03	0.06 W	0.00	8 of 10 - Sale <sup>25</sup>
	100.000	745.97	06/19/20	746.00	0.03 W	0.00	9 of 10 - Sale <sup>25</sup>
	50.000	373.00	06/19/20	373.03	0.03 W	0.00	10 of 10 - Sale <sup>25</sup>
06/19/20	950.000	7,086.74	Various	7,098.38	11.64 W	0.00	Total of 10 transactions
	<i>12 transactions for 06/22/20. Total proceeds and cost reported to the IRS.</i>						
	50.000	392.49	06/22/20	392.03	...	0.46	1 of 12 - Sale <sup>25</sup>
	50.000	392.49	06/22/20	392.03	...	0.46	2 of 12 - Sale <sup>25</sup>
	50.000	392.49	06/22/20	392.03	...	0.46	3 of 12 - Sale <sup>25</sup>
	50.000	392.48	06/22/20	392.01	...	0.47	4 of 12 - Sale <sup>25</sup>
	100.000	784.97	06/22/20	784.05	...	0.92	5 of 12 - Sale <sup>25</sup>
	100.000	784.97	06/22/20	784.05	...	0.92	6 of 12 - Sale <sup>25</sup>
	100.000	784.97	06/22/20	784.05	...	0.92	7 of 12 - Sale <sup>25</sup>
	100.000	784.97	06/22/20	784.02	...	0.95	8 of 12 - Sale <sup>25</sup>
	130.000	1,025.66	06/22/20	1,024.40	...	1.26	9 of 12 - Sale <sup>25</sup>
	150.000	1,177.45	06/22/20	1,176.07	...	1.38	10 of 12 - Sale <sup>25</sup>
	150.000	1,177.45	06/22/20	1,175.98	...	1.47	11 of 12 - Sale <sup>25</sup>
	270.000	2,130.22	06/22/20	2,127.60	...	2.62	12 of 12 - Sale <sup>25</sup>
06/22/20	1,300.000	10,220.61	Various	10,208.32	...	12.29	Total of 12 transactions
	<i>7 transactions for 06/22/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	7.85	06/22/20	7.96	0.11 W	0.00	1 of 7 - Sale <sup>25</sup>
	49.000	384.64	06/22/20	389.89	5.25 W	0.00	2 of 7 - Sale <sup>25</sup>
	50.000	392.48	06/22/20	397.32	4.84 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	7.89	06/22/20	7.99	0.10 W	0.00	4 of 7 - Sale <sup>25</sup>
	29.000	228.81	06/22/20	231.63	2.82 W	0.00	5 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	20.000	157.79	06/22/20	159.74	1.95 W	0.00	6 of 7 - Sale <sup>25</sup>
	50.000	394.49	06/22/20	398.84	4.35 W	0.00	7 of 7 - Sale <sup>25</sup>
06/22/20	200.000	1,573.95	Various	1,593.37	19.42 W	0.00	Total of 7 transactions
	5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	7.13	06/29/20	7.23	0.10 W	0.00	1 of 5 - Sale <sup>25</sup>
	11.000	78.43	06/29/20	79.50	1.07 W	0.00	2 of 5 - Sale <sup>25</sup>
	20.000	142.59	06/29/20	144.55	1.96 W	0.00	3 of 5 - Sale <sup>25</sup>
	18.000	128.33	06/29/20	130.09	1.76 W	0.00	4 of 5 - Sale <sup>25</sup>
	50.000	356.49	06/29/20	360.85	4.36 W	0.00	5 of 5 - Sale <sup>25</sup>
06/29/20	100.000	712.97	Various	722.22	9.25 W	0.00	Total of 5 transactions
	4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	20.000	187.00	07/02/20	188.91	...	-1.91	1 of 4 - Sale <sup>25</sup>
	18.000	168.30	07/02/20	170.02	...	-1.72	2 of 4 - Sale <sup>25</sup>
	11.000	102.85	07/02/20	103.89	...	-1.04	3 of 4 - Sale <sup>25</sup>
	1.000	9.35	07/02/20	9.45	...	-0.10	4 of 4 - Sale <sup>25</sup>
07/02/20	50.000	467.50	Various	472.27	...	-4.77	Total of 4 transactions
07/09/20	50.000	707.48	07/09/20	709.86	...	-2.38	Sale <sup>25</sup>
08/10/20	100.000	1,448.95	08/10/20	1,407.00	...	41.95	Sale <sup>25</sup>
	3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	50.000	704.98	08/18/20	724.00	19.02 W	0.00	1 of 3 - Sale <sup>25</sup>
	35.000	500.48	08/18/20	513.81	13.33 W	0.00	2 of 3 - Sale <sup>25</sup>
	15.000	214.50	08/18/20	220.21	5.71 W	0.00	3 of 3 - Sale <sup>25</sup>
08/18/20	100.000	1,419.96	Various	1,458.02	38.06 W	0.00	Total of 3 transactions
	6 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	15.000	250.49	08/25/20	256.51	6.02 W	0.00	1 of 6 - Sale <sup>25</sup>
	35.000	584.48	08/25/20	598.53	14.05 W	0.00	2 of 6 - Sale <sup>25</sup>
	50.000	834.98	08/25/20	837.87	2.89 W	0.00	3 of 6 - Sale <sup>25</sup>
	15.000	249.74	08/25/20	257.38	7.64 W	0.00	4 of 6 - Sale <sup>25</sup>
	35.000	582.73	08/25/20	600.56	17.83 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	832.48	08/25/20	837.87	5.39 W	0.00	6 of 6 - Sale <sup>25</sup>
08/25/20	200.000	3,334.90	Various	3,388.72	53.82 W	0.00	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
<i>2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	1,012.47	08/26/20	1,025.41	...	-12.94	1 of 2 - Sale <sup>25</sup>
	50.000	987.48	08/26/20	971.64	...	15.84	2 of 2 - Sale <sup>25</sup>
08/26/20	100.000	1,999.95	Various	1,997.05	...	2.90	Total of 2 transactions
<i>11 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	945.47	08/26/20	975.64	30.17 W	0.00	1 of 11 - Sale <sup>25</sup>
	15.000	285.33	08/26/20	299.46	14.13 W	0.00	2 of 11 - Sale <sup>25</sup>
	35.000	665.77	08/26/20	698.76	32.99 W	0.00	3 of 11 - Sale <sup>25</sup>
	50.000	987.48	08/26/20	996.42	8.94 W	0.00	4 of 11 - Sale <sup>25</sup>
	15.000	296.24	08/26/20	311.13	14.89 W	0.00	5 of 11 - Sale <sup>25</sup>
	35.000	691.23	08/26/20	725.99	34.76 W	0.00	6 of 11 - Sale <sup>25</sup>
	50.000	987.48	08/26/20	990.00	2.52 W	0.00	7 of 11 - Sale <sup>25</sup>
	15.000	304.57	08/26/20	316.91	12.34 W	0.00	8 of 11 - Sale <sup>25</sup>
	35.000	710.65	08/26/20	739.49	28.84 W	0.00	9 of 11 - Sale <sup>25</sup>
	50.000	1,015.22	08/26/20	1,015.69	0.47 W	0.00	10 of 11 - Sale <sup>25</sup>
	50.000	1,012.48	08/26/20	1,027.46	14.98 W	0.00	11 of 11 - Sale <sup>25</sup>
08/26/20	400.000	7,901.92	Various	8,096.95	195.03 W	0.00	Total of 11 transactions
<i>3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>							
	35.000	622.11	09/04/20	636.35	...	-14.24	1 of 3 - Sale <sup>25</sup>
	15.000	266.62	09/04/20	272.70	...	-6.08	2 of 3 - Sale <sup>25</sup>
	50.000	888.72	09/04/20	882.86	...	5.86	3 of 3 - Sale <sup>25</sup>
09/04/20	100.000	1,777.45	Various	1,791.91	...	-14.46	Total of 3 transactions
10/08/20	50.000	1,082.47	10/08/20	1,092.50	10.03 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	1,331.97	10/14/20	1,351.03	19.06 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	1,331.97	10/14/20	1,341.00	9.03 W	0.00	2 of 2 - Sale <sup>25</sup>
10/14/20	100.000	2,663.94	Various	2,692.03	28.09 W	0.00	Total of 2 transactions
<i>8 transactions for 10/29/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	608.21	10/29/20	619.22	11.01 W	0.00	1 of 8 - Sale <sup>25</sup>
	15.000	456.16	10/29/20	462.60	6.44 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	30.91	10/29/20	31.27	0.36 W	0.00	3 of 8 - Sale <sup>25</sup>
	5.000	154.56	10/29/20	155.35	0.79 W	0.00	4 of 8 - Sale <sup>25</sup>
	14.000	432.76	10/29/20	437.80	5.04 W	0.00	5 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	30.000	927.35	10/29/20	932.05	4.70 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	160.60	10/29/20	163.10	2.50 W	0.00	7 of 8 - Sale <sup>25</sup>
	15.000	481.82	10/29/20	485.56	3.74 W	0.00	8 of 8 - Sale <sup>25</sup>
10/29/20	105.000	3,252.37	Various	3,286.95	34.58 W	0.00	Total of 8 transactions
	8 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	1.000	30.78	10/30/20	31.11	0.33 W	0.00	1 of 8 - Sale <sup>25</sup>
	5.000	153.89	10/30/20	154.54	0.65 W	0.00	2 of 8 - Sale <sup>25</sup>
	10.000	307.79	10/30/20	311.79	4.00 W	0.00	3 of 8 - Sale <sup>25</sup>
	14.000	430.91	10/30/20	435.54	4.63 W	0.00	4 of 8 - Sale <sup>25</sup>
	20.000	615.59	10/30/20	626.01	10.42 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	153.04	10/30/20	156.60	3.56 W	0.00	6 of 8 - Sale <sup>25</sup>
	15.000	459.14	10/30/20	466.04	6.90 W	0.00	7 of 8 - Sale <sup>25</sup>
	30.000	918.28	10/30/20	929.30	11.02 W	0.00	8 of 8 - Sale <sup>25</sup>
10/30/20	100.000	3,069.42	Various	3,110.93	41.51 W	0.00	Total of 8 transactions
	5 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	1.000	33.28	11/02/20	33.54	0.26 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	166.39	11/02/20	166.70	0.31 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	332.79	11/02/20	336.10	3.31 W	0.00	3 of 5 - Sale <sup>25</sup>
	14.000	465.91	11/02/20	469.57	3.66 W	0.00	4 of 5 - Sale <sup>25</sup>
	20.000	665.59	11/02/20	674.62	9.03 W	0.00	5 of 5 - Sale <sup>25</sup>
11/02/20	50.000	1,663.96	Various	1,680.53	16.57 W	0.00	Total of 5 transactions
	2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	10.000	352.49	11/03/20	354.22	1.73 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	704.98	11/03/20	709.10	4.12 W	0.00	2 of 2 - Sale <sup>25</sup>
11/03/20	30.000	1,057.47	Various	1,063.32	5.85 W	0.00	Total of 2 transactions
	5 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	5.000	195.99	11/04/20	194.56	...	1.43	1 of 5 - Sale <sup>25</sup>
	10.000	370.99	11/04/20	369.41	...	1.58	2 of 5 - Sale <sup>25</sup>
	20.000	783.98	11/04/20	777.83	...	6.15	3 of 5 - Sale <sup>25</sup>
	10.000	391.99	11/04/20	385.31	...	6.68	4 of 5 - Sale <sup>25</sup>
	15.000	587.98	11/04/20	579.90	...	8.08	5 of 5 - Sale <sup>25</sup>
11/04/20	60.000	2,330.93	Various	2,307.01	...	23.92	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
40 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
1.000		39.20	11/04/20	39.70	0.50 W	0.00	1 of 40 - Sale <sup>25</sup>
5.000		195.99	11/04/20	197.50	1.51 W	0.00	2 of 40 - Sale <sup>25</sup>
14.000		548.79	11/04/20	555.78	6.99 W	0.00	3 of 40 - Sale <sup>25</sup>
10.000		381.99	11/04/20	389.03	7.04 W	0.00	4 of 40 - Sale <sup>25</sup>
10.000		381.99	11/04/20	389.36	7.37 W	0.00	5 of 40 - Sale <sup>25</sup>
1.000		36.73	11/04/20	37.50	0.77 W	0.00	6 of 40 - Sale <sup>25</sup>
9.000		330.60	11/04/20	337.49	6.89 W	0.00	7 of 40 - Sale <sup>25</sup>
10.000		367.33	11/04/20	372.06	4.73 W	0.00	8 of 40 - Sale <sup>25</sup>
5.000		182.91	11/04/20	188.25	5.34 W	0.00	9 of 40 - Sale <sup>25</sup>
5.000		182.90	11/04/20	187.26	4.36 W	0.00	10 of 40 - Sale <sup>25</sup>
10.000		365.81	11/04/20	378.54	12.73 W	0.00	11 of 40 - Sale <sup>25</sup>
10.000		365.81	11/04/20	378.87	13.06 W	0.00	12 of 40 - Sale <sup>25</sup>
1.000		37.10	11/04/20	37.24	0.14 W	0.00	13 of 40 - Sale <sup>25</sup>
5.000		185.49	11/04/20	187.68	2.19 W	0.00	14 of 40 - Sale <sup>25</sup>
9.000		333.89	11/04/20	335.10	1.21 W	0.00	15 of 40 - Sale <sup>25</sup>
5.000		185.50	11/04/20	186.70	1.20 W	0.00	16 of 40 - Sale <sup>25</sup>
10.000		370.99	11/04/20	378.53	7.54 W	0.00	17 of 40 - Sale <sup>25</sup>
10.000		370.99	11/04/20	378.86	7.87 W	0.00	18 of 40 - Sale <sup>25</sup>
1.000		37.10	11/04/20	37.45	0.35 W	0.00	19 of 40 - Sale <sup>25</sup>
5.000		185.50	11/04/20	188.73	3.23 W	0.00	20 of 40 - Sale <sup>25</sup>
9.000		333.89	11/04/20	336.98	3.09 W	0.00	21 of 40 - Sale <sup>25</sup>
5.000		185.49	11/04/20	186.54	1.05 W	0.00	22 of 40 - Sale <sup>25</sup>
2.000		74.60	11/04/20	75.04	0.44 W	0.00	23 of 40 - Sale <sup>25</sup>
3.000		111.90	11/04/20	112.55	0.65 W	0.00	24 of 40 - Sale <sup>25</sup>
5.000		186.49	11/04/20	190.33	3.84 W	0.00	25 of 40 - Sale <sup>25</sup>
10.000		372.99	11/04/20	380.31	7.32 W	0.00	26 of 40 - Sale <sup>25</sup>
1.000		37.30	11/04/20	37.83	0.53 W	0.00	27 of 40 - Sale <sup>25</sup>
5.000		186.49	11/04/20	191.33	4.84 W	0.00	28 of 40 - Sale <sup>25</sup>
5.000		186.50	11/04/20	190.63	4.13 W	0.00	29 of 40 - Sale <sup>25</sup>
9.000		335.69	11/04/20	340.41	4.72 W	0.00	30 of 40 - Sale <sup>25</sup>
1.000		37.11	11/04/20	37.90	0.79 W	0.00	31 of 40 - Sale <sup>25</sup>
2.000		74.22	11/04/20	75.18	0.96 W	0.00	32 of 40 - Sale <sup>25</sup>
3.000		111.33	11/04/20	112.76	1.43 W	0.00	33 of 40 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	185.55	11/04/20	187.90	2.35 W	0.00	34 of 40 - Sale <sup>25</sup>
	5.000	185.54	11/04/20	190.69	5.15 W	0.00	35 of 40 - Sale <sup>25</sup>
	5.000	185.54	11/04/20	191.69	6.15 W	0.00	36 of 40 - Sale <sup>25</sup>
	5.000	185.55	11/04/20	190.98	5.43 W	0.00	37 of 40 - Sale <sup>25</sup>
	9.000	333.98	11/04/20	341.05	7.07 W	0.00	38 of 40 - Sale <sup>25</sup>
	10.000	371.09	11/04/20	381.02	9.93 W	0.00	39 of 40 - Sale <sup>25</sup>
	5.000	185.54	11/04/20	186.85	1.31 W	0.00	40 of 40 - Sale <sup>25</sup>
11/04/20	240.000	8,953.40	Various	9,119.60	166.20 W	0.00	Total of 40 transactions
	10 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	2.000	77.50	11/05/20	79.31	1.81 W	0.00	1 of 10 - Sale <sup>25</sup>
	3.000	116.25	11/05/20	118.95	2.70 W	0.00	2 of 10 - Sale <sup>25</sup>
	5.000	193.75	11/05/20	198.23	4.48 W	0.00	3 of 10 - Sale <sup>25</sup>
	5.000	193.74	11/05/20	201.03	7.29 W	0.00	4 of 10 - Sale <sup>25</sup>
	5.000	193.74	11/05/20	202.02	8.28 W	0.00	5 of 10 - Sale <sup>25</sup>
	10.000	387.49	11/05/20	401.68	14.19 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	38.75	11/05/20	40.23	1.48 W	0.00	7 of 10 - Sale <sup>25</sup>
	5.000	193.75	11/05/20	202.63	8.88 W	0.00	8 of 10 - Sale <sup>25</sup>
	5.000	193.74	11/05/20	198.51	4.77 W	0.00	9 of 10 - Sale <sup>25</sup>
	9.000	348.74	11/05/20	362.03	13.29 W	0.00	10 of 10 - Sale <sup>25</sup>
11/05/20	50.000	1,937.45	Various	2,004.62	67.17 W	0.00	Total of 10 transactions
	38 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	2.000	84.28	11/06/20	84.93	0.65 W	0.00	1 of 38 - Sale <sup>25</sup>
	3.000	126.42	11/06/20	127.39	0.97 W	0.00	2 of 38 - Sale <sup>25</sup>
	5.000	210.70	11/06/20	212.29	1.59 W	0.00	3 of 38 - Sale <sup>25</sup>
	10.000	421.39	11/06/20	429.81	8.42 W	0.00	4 of 38 - Sale <sup>25</sup>
	5.000	210.69	11/06/20	217.07	6.38 W	0.00	5 of 38 - Sale <sup>25</sup>
	5.000	210.69	11/06/20	218.06	7.37 W	0.00	6 of 38 - Sale <sup>25</sup>
	1.000	40.90	11/06/20	43.44	2.54 W	0.00	7 of 38 - Sale <sup>25</sup>
	5.000	204.50	11/06/20	218.66	14.16 W	0.00	8 of 38 - Sale <sup>25</sup>
	5.000	204.49	11/06/20	214.55	10.06 W	0.00	9 of 38 - Sale <sup>25</sup>
	9.000	368.09	11/06/20	390.89	22.80 W	0.00	10 of 38 - Sale <sup>25</sup>
	1.000	42.23	11/06/20	44.54	2.31 W	0.00	11 of 38 - Sale <sup>25</sup>
	2.000	84.46	11/06/20	84.65	0.19 W	0.00	12 of 38 - Sale <sup>25</sup>
	3.000	126.69	11/06/20	126.97	0.28 W	0.00	13 of 38 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	211.15	11/06/20	211.59	0.44 W	0.00	14 of 38 - Sale <sup>25</sup>
	5.000	211.14	11/06/20	216.38	5.24 W	0.00	15 of 38 - Sale <sup>25</sup>
	5.000	211.14	11/06/20	217.37	6.23 W	0.00	16 of 38 - Sale <sup>25</sup>
	9.000	380.06	11/06/20	400.80	20.74 W	0.00	17 of 38 - Sale <sup>25</sup>
	10.000	422.29	11/06/20	428.42	6.13 W	0.00	18 of 38 - Sale <sup>25</sup>
	5.000	209.59	11/06/20	224.16	14.57 W	0.00	19 of 38 - Sale <sup>25</sup>
	5.000	209.60	11/06/20	220.06	10.46 W	0.00	20 of 38 - Sale <sup>25</sup>
	2.000	83.84	11/06/20	84.84	1.00 W	0.00	21 of 38 - Sale <sup>25</sup>
	3.000	125.76	11/06/20	127.26	1.50 W	0.00	22 of 38 - Sale <sup>25</sup>
	5.000	209.59	11/06/20	212.07	2.48 W	0.00	23 of 38 - Sale <sup>25</sup>
	10.000	419.19	11/06/20	429.39	10.20 W	0.00	24 of 38 - Sale <sup>25</sup>
	1.000	41.92	11/06/20	44.66	2.74 W	0.00	25 of 38 - Sale <sup>25</sup>
	5.000	209.60	11/06/20	216.98	7.38 W	0.00	26 of 38 - Sale <sup>25</sup>
	5.000	209.59	11/06/20	217.97	8.38 W	0.00	27 of 38 - Sale <sup>25</sup>
	9.000	377.27	11/06/20	401.87	24.60 W	0.00	28 of 38 - Sale <sup>25</sup>
	1.000	40.91	11/06/20	43.97	3.06 W	0.00	29 of 38 - Sale <sup>25</sup>
	2.000	81.82	11/06/20	83.46	1.64 W	0.00	30 of 38 - Sale <sup>25</sup>
	3.000	122.73	11/06/20	125.18	2.45 W	0.00	31 of 38 - Sale <sup>25</sup>
	5.000	204.55	11/06/20	220.71	16.16 W	0.00	32 of 38 - Sale <sup>25</sup>
	5.000	204.54	11/06/20	216.60	12.06 W	0.00	33 of 38 - Sale <sup>25</sup>
	5.000	204.55	11/06/20	208.62	4.07 W	0.00	34 of 38 - Sale <sup>25</sup>
	5.000	204.54	11/06/20	213.52	8.98 W	0.00	35 of 38 - Sale <sup>25</sup>
	5.000	204.54	11/06/20	214.52	9.98 W	0.00	36 of 38 - Sale <sup>25</sup>
	9.000	368.18	11/06/20	395.65	27.47 W	0.00	37 of 38 - Sale <sup>25</sup>
	10.000	409.09	11/06/20	422.48	13.39 W	0.00	38 of 38 - Sale <sup>25</sup>
11/06/20	190.000	7,912.71	Various	8,211.78	299.07 W	0.00	Total of 38 transactions
	3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	2.000	88.10	11/09/20	87.71	...	0.39	1 of 3 - Sale <sup>25</sup>
	3.000	132.15	11/09/20	131.56	...	0.59	2 of 3 - Sale <sup>25</sup>
	5.000	220.25	11/09/20	219.25	...	1.00	3 of 3 - Sale <sup>25</sup>
11/09/20	10.000	440.50	Various	438.52	...	1.98	Total of 3 transactions
	16 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	1.000	44.05	11/09/20	46.09	2.04 W	0.00	1 of 16 - Sale <sup>25</sup>
	5.000	220.25	11/09/20	231.34	11.09 W	0.00	2 of 16 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	220.24	11/09/20	227.24	7.00 W	0.00	3 of 16 - Sale <sup>25</sup>
	5.000	220.24	11/09/20	224.16	3.92 W	0.00	4 of 16 - Sale <sup>25</sup>
	5.000	220.24	11/09/20	225.16	4.92 W	0.00	5 of 16 - Sale <sup>25</sup>
	9.000	396.44	11/09/20	414.79	18.35 W	0.00	6 of 16 - Sale <sup>25</sup>
	10.000	440.49	11/09/20	443.75	3.26 W	0.00	7 of 16 - Sale <sup>25</sup>
	50.000	2,202.45	11/09/20	2,229.39	26.94 W	0.00	8 of 16 - Sale <sup>25</sup>
	5.000	221.75	11/09/20	233.29	11.54 W	0.00	9 of 16 - Sale <sup>25</sup>
	5.000	221.74	11/09/20	229.19	7.45 W	0.00	10 of 16 - Sale <sup>25</sup>
	5.000	221.75	11/09/20	226.12	4.37 W	0.00	11 of 16 - Sale <sup>25</sup>
	5.000	221.74	11/09/20	227.11	5.37 W	0.00	12 of 16 - Sale <sup>25</sup>
	10.000	443.49	11/09/20	447.65	4.16 W	0.00	13 of 16 - Sale <sup>25</sup>
	1.000	44.35	11/09/20	46.72	2.37 W	0.00	14 of 16 - Sale <sup>25</sup>
	9.000	399.14	11/09/20	420.47	21.33 W	0.00	15 of 16 - Sale <sup>25</sup>
	50.000	2,217.45	11/09/20	2,260.93	43.48 W	0.00	16 of 16 - Sale <sup>25</sup>
11/09/20	180.000	7,955.81	Various	8,133.40	177.59 W	0.00	Total of 16 transactions
	3 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	5.000	242.21	11/12/20	252.38	10.17 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	242.21	11/12/20	248.29	6.08 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	484.43	11/12/20	485.84	1.41 W	0.00	3 of 3 - Sale <sup>25</sup>
11/12/20	20.000	968.85	Various	986.51	17.66 W	0.00	Total of 3 transactions
	4 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	1.000	44.20	11/13/20	46.92	2.72 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	221.00	11/13/20	227.14	6.14 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	220.99	11/13/20	228.14	7.15 W	0.00	3 of 4 - Sale <sup>25</sup>
	9.000	397.79	11/13/20	422.32	24.53 W	0.00	4 of 4 - Sale <sup>25</sup>
11/13/20	20.000	883.98	Various	924.52	40.54 W	0.00	Total of 4 transactions
	3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	20.000	967.81	11/17/20	986.56	18.75 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	973.97	11/17/20	987.95	13.98 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	233.00	11/17/20	238.69	5.69 W	0.00	3 of 3 - Sale <sup>25</sup>
11/17/20	45.000	2,174.78	Various	2,213.20	38.42 W	0.00	Total of 3 transactions
11/18/20	5.000	229.40	11/17/20	237.33	7.93 W	0.00	Sale <sup>25</sup>
11/20/20	10.000	491.99	11/20/20	500.89	...	-8.90	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	5.000	245.99	11/20/20	259.91	13.92 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	245.99	11/20/20	255.82	9.83 W	0.00	2 of 2 - Sale <sup>25</sup>
11/20/20	10.000	491.98	Various	515.73	23.75 W	0.00	Total of 2 transactions
7 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	9.000	466.64	11/23/20	488.24	...	-21.60	1 of 7 - Sale <sup>25</sup>
	10.000	518.49	11/23/20	525.24	...	-6.75	2 of 7 - Sale <sup>25</sup>
	10.000	518.49	11/23/20	524.61	...	-6.12	3 of 7 - Sale <sup>25</sup>
	5.000	259.24	11/23/20	264.76	...	-5.52	4 of 7 - Sale <sup>25</sup>
	5.000	259.24	11/23/20	263.75	...	-4.51	5 of 7 - Sale <sup>25</sup>
	10.000	518.49	11/23/20	522.85	...	-4.36	6 of 7 - Sale <sup>25</sup>
	1.000	51.85	11/23/20	54.24	...	-2.39	7 of 7 - Sale <sup>25</sup>
11/23/20	50.000	2,592.44	Various	2,643.69	...	-51.25	Total of 7 transactions
5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	5.000	209.00	12/11/20	228.61	...	-19.61	1 of 5 - Sale <sup>25</sup>
	10.000	417.99	12/11/20	436.35	...	-18.36	2 of 5 - Sale <sup>25</sup>
	5.000	208.99	12/11/20	224.51	...	-15.52	3 of 5 - Sale <sup>25</sup>
	5.000	209.00	12/11/20	222.61	...	-13.61	4 of 5 - Sale <sup>25</sup>
	5.000	208.99	12/11/20	220.37	...	-11.38	5 of 5 - Sale <sup>25</sup>
12/11/20	30.000	1,253.97	Various	1,332.45	...	-78.48	Total of 5 transactions
	<b>Security total:</b>	<b>107,607.07</b>		<b>109,148.69</b>	<b>1,511.60 W</b>	<b>-30.02</b>	
NANOVIRICIDES INC. / CUSIP: 630087302 / Symbol:							
2 transactions for 01/28/20. Total proceeds and cost reported to the IRS.							
	7.000	81.15	01/27/20	93.80	...	-12.65	1 of 2 - Sale <sup>25</sup>
	5.000	55.00	01/27/20	67.00	...	-12.00	2 of 2 - Sale <sup>25</sup>
01/28/20	12.000	136.15	Various	160.80	...	-24.65	Total of 2 transactions
06/03/20	10.000	72.61	06/02/20	77.00	...	-4.39	Sale <sup>25</sup>
	<b>Security total:</b>	<b>208.76</b>		<b>237.80</b>	...	<b>-29.04</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NATIONAL OILWELL VARCO, INC. / CUSIP: 637071101 / Symbol:							
3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	26.000	311.99	11/17/20	315.77	...	-3.78	1 of 3 - Sale <sup>25</sup>
	20.000	239.99	11/17/20	241.40	...	-1.41	2 of 3 - Sale <sup>25</sup>
	4.000	48.00	11/17/20	48.66	...	-0.66	3 of 3 - Sale <sup>25</sup>
11/19/20	50.000	599.98	Various	605.83	...	-5.85	Total of 3 transactions
11/19/20	50.000	599.98	11/17/20	600.93	0.08 W	-0.87	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,199.96</b>		<b>1,206.76</b>	<b>0.08 W</b>	<b>-6.72</b>	
NAUTILUS, INC. / CUSIP: 63910B102 / Symbol:							
01/10/20	100.000	269.99	01/09/20	280.00	10.01 W	0.00	Sale <sup>25</sup>
01/21/20	100.000	344.99	01/15/20	382.01	...	-37.02	Sale <sup>25</sup>
04/09/20	35.000	133.00	04/09/20	124.87	...	8.13	Sale <sup>25</sup>
04/09/20	100.000	349.99	04/09/20	356.76	2.37 W	-4.40	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,097.97</b>		<b>1,143.64</b>	<b>12.38 W</b>	<b>-33.29</b>	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	8.000	3,469.37	06/11/20	3,478.00	...	-8.63	1 of 2 - Sale <sup>25</sup>
	2.000	867.34	06/11/20	869.50	...	-2.16	2 of 2 - Sale <sup>25</sup>
06/11/20	10.000	4,336.71	Various	4,347.50	...	-10.79	Total of 2 transactions
07/13/20	2.000	1,133.04	07/13/20	1,137.80	4.76 W	0.00	Sale <sup>25</sup>
07/16/20	1.000	465.00	07/16/20	474.60	9.60 W	0.00	Sale <sup>25</sup>
08/12/20	8.000	3,830.78	08/12/20	3,820.96	...	9.82	Sale <sup>25</sup>
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	478.85	08/12/20	480.00	1.15 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	478.85	08/12/20	487.22	8.37 W	0.00	2 of 2 - Sale <sup>25</sup>
08/12/20	2.000	957.70	Various	967.22	9.52 W	0.00	Total of 2 transactions
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	487.54	08/14/20	486.37	...	1.17	1 of 2 - Sale <sup>25</sup>
	8.000	3,900.28	08/14/20	3,881.76	...	18.52	2 of 2 - Sale <sup>25</sup>
08/14/20	9.000	4,387.82	Various	4,368.13	...	19.69	Total of 2 transactions
08/14/20	1.000	487.54	08/14/20	493.59	6.05 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
<i>4 transactions for 08/25/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	486.99	08/25/20	497.75	10.76 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.000	1,947.95	08/25/20	1,966.80	18.85 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	486.99	08/25/20	501.96	14.97 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	973.97	08/25/20	991.83	17.86 W	0.00	4 of 4 - Sale <sup>25</sup>
08/25/20	8.000	3,895.90	Various	3,958.34	62.44 W	0.00	Total of 4 transactions
<i>3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	995.59	08/26/20	1,002.42	6.83 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	507.67	08/26/20	518.86	11.19 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	1,015.35	08/26/20	1,025.63	10.28 W	0.00	3 of 3 - Sale <sup>25</sup>
08/26/20	5.000	2,518.61	Various	2,546.91	28.30 W	0.00	Total of 3 transactions
<i>4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	525.39	08/27/20	538.41	13.02 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	1,050.78	08/27/20	1,061.27	10.49 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	1,050.77	08/27/20	1,064.72	13.95 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	2,626.94	08/27/20	2,636.10	9.16 W	0.00	4 of 4 - Sale <sup>25</sup>
08/27/20	10.000	5,253.88	Various	5,300.50	46.62 W	0.00	Total of 4 transactions
<i>4 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	540.99	09/01/20	553.63	12.64 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	1,081.98	09/01/20	1,091.70	9.72 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	1,081.97	09/01/20	1,095.16	13.19 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	2,704.94	09/01/20	2,712.18	7.24 W	0.00	4 of 4 - Sale <sup>25</sup>
09/01/20	10.000	5,409.88	Various	5,452.67	42.79 W	0.00	Total of 4 transactions
<i>2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	955.19	09/18/20	955.50	0.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	475.00	09/18/20	485.53	10.53 W	0.00	2 of 2 - Sale <sup>25</sup>
09/18/20	3.000	1,430.19	Various	1,441.03	10.84 W	0.00	Total of 2 transactions
<i>2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	480.68	09/21/20	487.06	6.38 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	483.31	09/22/20	496.68	13.37 W	0.00	2 of 2 - Sale <sup>25</sup>
09/25/20	2.000	963.99	Various	983.74	19.75 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	3.000	1,449.74	09/28/20	1,448.60	...	1.14	1 of 2 - Sale <sup>25</sup>
	2.000	972.68	09/28/20	969.24	...	3.44	2 of 2 - Sale <sup>25</sup>
09/28/20	5.000	2,422.42	Various	2,417.84	...	4.58	Total of 2 transactions
12 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	2.000	965.66	09/28/20	965.74	0.08 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	487.25	09/28/20	490.85	3.60 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	487.24	09/28/20	497.83	10.59 W	0.00	3 of 12 - Sale <sup>25</sup>
	1.000	486.33	09/28/20	494.99	8.66 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	487.55	09/28/20	491.82	4.27 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	487.55	09/28/20	498.81	11.26 W	0.00	6 of 12 - Sale <sup>25</sup>
	1.000	487.55	09/28/20	496.88	9.33 W	0.00	7 of 12 - Sale <sup>25</sup>
	2.000	975.09	09/28/20	976.52	1.43 W	0.00	8 of 12 - Sale <sup>25</sup>
	1.000	489.41	09/28/20	493.73	4.32 W	0.00	9 of 12 - Sale <sup>25</sup>
	1.000	489.41	09/28/20	500.72	11.31 W	0.00	10 of 12 - Sale <sup>25</sup>
	1.000	489.41	09/28/20	498.79	9.38 W	0.00	11 of 12 - Sale <sup>25</sup>
	2.000	978.81	09/28/20	980.35	1.54 W	0.00	12 of 12 - Sale <sup>25</sup>
09/28/20	15.000	7,311.26	Various	7,387.03	75.77 W	0.00	Total of 12 transactions
7 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	489.61	09/29/20	498.10	8.49 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	489.62	09/29/20	505.09	15.47 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	492.54	09/29/20	503.16	10.62 W	0.00	3 of 7 - Sale <sup>25</sup>
	2.000	985.09	09/29/20	989.09	4.00 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	495.25	09/29/20	501.24	5.99 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	495.24	09/29/20	508.23	12.99 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	494.02	09/29/20	494.75	0.73 W	0.00	7 of 7 - Sale <sup>25</sup>
09/29/20	8.000	3,941.37	Various	3,999.66	58.29 W	0.00	Total of 7 transactions
8 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	497.99	09/30/20	504.89	6.90 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	497.99	09/30/20	500.90	2.91 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	497.98	09/30/20	509.52	11.54 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	499.00	09/30/20	507.26	8.26 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	499.00	09/30/20	513.35	14.35 W	0.00	5 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
	1.000	499.00	09/30/20	501.10	2.10 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	498.99	09/30/20	503.27	4.28 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	499.00	09/30/20	511.91	12.91 W	0.00	8 of 8 - Sale <sup>25</sup>
09/30/20	8.000	3,988.95	Various	4,052.20	63.25 W	0.00	Total of 8 transactions
	3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	1.000	515.27	10/01/20	515.18	...	0.09	1 of 3 - Sale <sup>25</sup>
	1.000	519.97	10/01/20	517.71	...	2.26	2 of 3 - Sale <sup>25</sup>
	1.000	519.97	10/01/20	515.61	...	4.36	3 of 3 - Sale <sup>25</sup>
10/01/20	3.000	1,555.21	Various	1,548.50	...	6.71	Total of 3 transactions
	17 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	1.000	510.29	10/01/20	518.48	8.19 W	0.00	1 of 17 - Sale <sup>25</sup>
	1.000	510.29	10/01/20	524.57	14.28 W	0.00	2 of 17 - Sale <sup>25</sup>
	1.000	510.29	10/01/20	512.32	2.03 W	0.00	3 of 17 - Sale <sup>25</sup>
	1.000	510.29	10/01/20	514.50	4.21 W	0.00	4 of 17 - Sale <sup>25</sup>
	1.000	510.28	10/01/20	523.13	12.85 W	0.00	5 of 17 - Sale <sup>25</sup>
	1.000	513.97	10/01/20	520.54	6.57 W	0.00	6 of 17 - Sale <sup>25</sup>
	1.000	513.97	10/01/20	526.63	12.66 W	0.00	7 of 17 - Sale <sup>25</sup>
	1.000	513.97	10/01/20	514.38	0.41 W	0.00	8 of 17 - Sale <sup>25</sup>
	1.000	513.96	10/01/20	516.56	2.60 W	0.00	9 of 17 - Sale <sup>25</sup>
	1.000	513.97	10/01/20	525.20	11.23 W	0.00	10 of 17 - Sale <sup>25</sup>
	1.000	515.27	10/01/20	521.34	6.07 W	0.00	11 of 17 - Sale <sup>25</sup>
	1.000	515.27	10/01/20	527.43	12.16 W	0.00	12 of 17 - Sale <sup>25</sup>
	1.000	515.27	10/01/20	517.37	2.10 W	0.00	13 of 17 - Sale <sup>25</sup>
	1.000	515.26	10/01/20	526.00	10.74 W	0.00	14 of 17 - Sale <sup>25</sup>
	1.000	519.97	10/01/20	521.68	1.71 W	0.00	15 of 17 - Sale <sup>25</sup>
	1.000	519.96	10/01/20	527.77	7.81 W	0.00	16 of 17 - Sale <sup>25</sup>
	1.000	519.96	10/01/20	526.34	6.38 W	0.00	17 of 17 - Sale <sup>25</sup>
10/01/20	17.000	8,742.24	Various	8,864.24	122.00 W	0.00	Total of 17 transactions
	4 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	513.58	10/02/20	516.21	2.63 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	513.58	10/02/20	522.31	8.73 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	513.58	10/02/20	520.88	7.30 W	0.00	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
10/02/20	2.000	1,027.15	10/02/20	1,029.00	1.85 W	0.00	4 of 4 - Sale <sup>25</sup>
	5.000	2,567.89	Various	2,588.40	20.51 W	0.00	Total of 4 transactions
	12 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	516.67	10/06/20	521.37	4.70 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	516.67	10/06/20	527.47	10.80 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	516.67	10/06/20	526.05	9.38 W	0.00	3 of 12 - Sale <sup>25</sup>
	2.000	1,033.33	10/06/20	1,039.34	6.01 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	512.62	10/06/20	517.23	4.61 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	512.62	10/06/20	523.33	10.71 W	0.00	6 of 12 - Sale <sup>25</sup>
	1.000	512.63	10/06/20	521.90	9.27 W	0.00	7 of 12 - Sale <sup>25</sup>
	2.000	1,025.25	10/06/20	1,031.06	5.81 W	0.00	8 of 12 - Sale <sup>25</sup>
	1.000	505.99	10/06/20	513.09	7.10 W	0.00	9 of 12 - Sale <sup>25</sup>
	1.000	505.99	10/06/20	519.19	13.20 W	0.00	10 of 12 - Sale <sup>25</sup>
	1.000	505.99	10/06/20	517.75	11.76 W	0.00	11 of 12 - Sale <sup>25</sup>
	2.000	1,011.97	10/06/20	1,022.77	10.80 W	0.00	12 of 12 - Sale <sup>25</sup>
10/06/20	15.000	7,676.40	Various	7,780.55	104.15 W	0.00	Total of 12 transactions
	29 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	524.32	10/07/20	532.30	7.98 W	0.00	1 of 29 - Sale <sup>25</sup>
	1.000	524.32	10/07/20	538.40	14.08 W	0.00	2 of 29 - Sale <sup>25</sup>
	1.000	524.32	10/07/20	536.96	12.64 W	0.00	3 of 29 - Sale <sup>25</sup>
	2.000	1,048.63	10/07/20	1,061.20	12.57 W	0.00	4 of 29 - Sale <sup>25</sup>
	1.000	524.62	10/07/20	533.16	8.54 W	0.00	5 of 29 - Sale <sup>25</sup>
	1.000	524.62	10/07/20	539.26	14.64 W	0.00	6 of 29 - Sale <sup>25</sup>
	1.000	524.62	10/07/20	537.81	13.19 W	0.00	7 of 29 - Sale <sup>25</sup>
	2.000	1,049.23	10/07/20	1,062.92	13.69 W	0.00	8 of 29 - Sale <sup>25</sup>
	1.000	525.12	10/07/20	534.84	9.72 W	0.00	9 of 29 - Sale <sup>25</sup>
	1.000	525.12	10/07/20	540.94	15.82 W	0.00	10 of 29 - Sale <sup>25</sup>
	1.000	525.12	10/07/20	539.25	14.13 W	0.00	11 of 29 - Sale <sup>25</sup>
	1.000	525.12	10/07/20	532.75	7.63 W	0.00	12 of 29 - Sale <sup>25</sup>
	1.000	525.11	10/07/20	532.74	7.63 W	0.00	13 of 29 - Sale <sup>25</sup>
	1.000	529.20	10/07/20	541.47	12.27 W	0.00	14 of 29 - Sale <sup>25</sup>
	1.000	529.20	10/07/20	547.57	18.37 W	0.00	15 of 29 - Sale <sup>25</sup>
	1.000	529.20	10/07/20	545.88	16.68 W	0.00	16 of 29 - Sale <sup>25</sup>
	1.000	529.19	10/07/20	539.38	10.19 W	0.00	17 of 29 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
	1.000	529.20	10/07/20	539.38	10.18 W	0.00	18 of 29 - Sale <sup>25</sup>
	1.000	531.31	10/07/20	545.98	14.67 W	0.00	19 of 29 - Sale <sup>25</sup>
	1.000	531.31	10/07/20	552.12	20.81 W	0.00	20 of 29 - Sale <sup>25</sup>
	1.000	531.31	10/07/20	550.43	19.12 W	0.00	21 of 29 - Sale <sup>25</sup>
	1.000	531.31	10/07/20	543.94	12.63 W	0.00	22 of 29 - Sale <sup>25</sup>
	1.000	531.31	10/07/20	543.93	12.62 W	0.00	23 of 29 - Sale <sup>25</sup>
	1.000	533.02	10/07/20	550.00	16.98 W	0.00	24 of 29 - Sale <sup>25</sup>
	1.000	533.02	10/07/20	556.14	23.12 W	0.00	25 of 29 - Sale <sup>25</sup>
	1.000	533.02	10/07/20	554.45	21.43 W	0.00	26 of 29 - Sale <sup>25</sup>
	1.000	533.02	10/07/20	547.96	14.94 W	0.00	27 of 29 - Sale <sup>25</sup>
	1.000	533.02	10/07/20	547.95	14.93 W	0.00	28 of 29 - Sale <sup>25</sup>
	5.000	2,665.08	10/07/20	2,676.65	11.57 W	0.00	29 of 29 - Sale <sup>25</sup>
10/07/20	35.000	18,502.99	Various	18,905.76	402.77 W	0.00	Total of 29 transactions
	3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	1.000	554.79	10/13/20	569.54	14.75 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	555.98	10/13/20	575.68	19.70 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	554.85	10/13/20	573.99	19.14 W	0.00	3 of 3 - Sale <sup>25</sup>
10/13/20	3.000	1,665.62	Various	1,719.21	53.59 W	0.00	Total of 3 transactions
	4 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	1.000	559.99	10/14/20	580.67	20.68 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	559.98	10/14/20	580.66	20.68 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	1,083.97	10/14/20	1,109.69	25.72 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	1,083.98	10/14/20	1,098.50	14.52 W	0.00	4 of 4 - Sale <sup>25</sup>
10/14/20	6.000	3,287.92	Various	3,369.52	81.60 W	0.00	Total of 4 transactions
10/19/20	1.000	536.52	10/16/20	542.53	6.01 W	0.00	Sale <sup>25</sup>
	5 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	1.000	524.99	10/20/20	545.34	20.35 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	524.99	10/20/20	550.30	25.31 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	524.99	10/20/20	549.73	24.74 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	524.98	10/20/20	552.18	27.20 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	524.99	10/20/20	552.18	27.19 W	0.00	5 of 5 - Sale <sup>25</sup>
10/20/20	5.000	2,624.94	Various	2,749.73	124.79 W	0.00	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
<i>8 transactions for 10/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	508.37	10/29/20	532.97	24.60 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	508.37	10/29/20	518.63	10.26 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	508.37	10/29/20	537.93	29.56 W	0.00	3 of 8 - Sale <sup>25</sup>
	2.000	1,016.74	10/29/20	1,050.96	34.22 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	510.33	10/29/20	537.36	27.03 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	510.33	10/29/20	539.83	29.50 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	510.34	10/29/20	539.81	29.47 W	0.00	7 of 8 - Sale <sup>25</sup>
	2.000	1,020.67	10/29/20	1,039.77	19.10 W	0.00	8 of 8 - Sale <sup>25</sup>
10/29/20	10.000	5,093.52	Various	5,297.26	203.74 W	0.00	Total of 8 transactions
<i>4 transactions for 11/02/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	961.97	11/02/20	1,005.17	...	-43.20	1 of 4 - Sale <sup>25</sup>
	1.000	480.99	11/02/20	515.04	...	-34.05	2 of 4 - Sale <sup>25</sup>
	1.000	480.99	11/02/20	510.08	...	-29.09	3 of 4 - Sale <sup>25</sup>
	1.000	480.99	11/02/20	495.74	...	-14.75	4 of 4 - Sale <sup>25</sup>
11/02/20	5.000	2,404.94	Various	2,526.03	...	-121.09	Total of 4 transactions
<i>8 transactions for 11/02/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	481.37	11/02/20	507.20	25.83 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	481.37	11/02/20	492.86	11.49 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	481.37	11/02/20	512.16	30.79 W	0.00	3 of 8 - Sale <sup>25</sup>
	2.000	962.75	11/02/20	999.42	36.67 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	480.79	11/02/20	509.53	28.74 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	480.79	11/02/20	512.00	31.21 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	480.78	11/02/20	511.97	31.19 W	0.00	7 of 8 - Sale <sup>25</sup>
	2.000	961.58	11/02/20	984.10	22.52 W	0.00	8 of 8 - Sale <sup>25</sup>
11/02/20	10.000	4,810.80	Various	5,029.24	218.44 W	0.00	Total of 8 transactions
<i>4 transactions for 11/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	512.40	11/05/20	540.97	28.57 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	512.40	11/05/20	543.44	31.04 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	512.41	11/05/20	543.42	31.01 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	1,024.80	11/05/20	1,046.98	22.18 W	0.00	4 of 4 - Sale <sup>25</sup>
11/05/20	5.000	2,562.01	Various	2,674.81	112.80 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	499.36	12/04/20	531.76	32.40 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	499.36	12/04/20	534.22	34.86 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	499.37	12/04/20	534.20	34.83 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	998.72	12/04/20	1,028.55	29.83 W	0.00	4 of 4 - Sale <sup>25</sup>
12/04/20	5.000	2,496.81	Various	2,628.73	131.92 W	0.00	Total of 4 transactions
4 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	1.000	522.49	12/14/20	557.52	...	-35.03	1 of 4 - Sale <sup>25</sup>
	1.000	522.50	12/14/20	557.49	...	-34.99	2 of 4 - Sale <sup>25</sup>
	1.000	522.49	12/14/20	555.06	...	-32.57	3 of 4 - Sale <sup>25</sup>
	2.000	1,044.99	12/14/20	1,075.15	...	-30.16	4 of 4 - Sale <sup>25</sup>
12/14/20	5.000	2,612.47	Various	2,745.22	...	-132.75	Total of 4 transactions
	<b>Security total:</b>	<b>119,875.32</b>		<b>122,119.45</b>	<b>2,020.30 W</b>	<b>-223.83</b>	
NEWELL BRANDS INC. COMMON STOC K / CUSIP: 651229106 / Symbol:							
3 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	1.000	19.80	12/16/19	19.46	...	0.34	1 of 3 - Sale <sup>25</sup>
	4.000	79.21	12/16/19	77.03	...	2.18	2 of 3 - Sale <sup>25</sup>
	25.000	495.04	12/16/19	461.69	...	33.35	3 of 3 - Sale <sup>25</sup>
01/14/20	30.000	594.05	Various	558.18	...	35.87	Total of 3 transactions
05/28/20	50.000	682.49	05/27/20	705.00	...	-22.51	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,276.54</b>		<b>1,263.18</b>	...	<b>13.36</b>	
NEXTRA ENERGY, INC. / CUSIP: 65339F101 / Symbol:							
12 transactions for 01/28/20. Total proceeds and cost reported to the IRS.							
	1.000	268.44	12/16/19	236.81	...	31.63	1 of 12 - Sale <sup>25</sup>
	2.000	536.89	12/16/19	473.50	...	63.39	2 of 12 - Sale <sup>25</sup>
	2.000	536.89	12/17/19	479.00	...	57.89	3 of 12 - Sale <sup>25</sup>
	5.000	1,342.22	12/17/19	1,202.25	...	139.97	4 of 12 - Sale <sup>25</sup>
	5.000	1,342.22	12/17/19	1,195.25	...	146.97	5 of 12 - Sale <sup>25</sup>
	2.000	536.89	12/18/19	477.70	...	59.19	6 of 12 - Sale <sup>25</sup>
	2.000	527.46	01/02/20	477.50	...	49.96	7 of 12 - Sale <sup>25</sup>
	1.000	263.73	01/21/20	256.17	...	7.56	8 of 12 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NEXTRA ENERGY, INC. / CUSIP: 65339F101 / Symbol: (cont'd)							
	1.000	263.73	01/24/20	261.40	...	2.33	9 of 12 - Sale <sup>25</sup>
	10.000	2,637.30	01/24/20	2,626.00	...	11.30	10 of 12 - Sale <sup>25</sup>
	6.000	1,582.38	01/24/20	1,568.40	...	13.98	11 of 12 - Sale <sup>25</sup>
	5.000	1,327.92	01/24/20	1,313.00	...	14.92	12 of 12 - Sale <sup>25</sup>
01/28/20	42.000	11,166.07	Various	10,566.98	...	599.09	Total of 12 transactions
02/25/20	1.000	274.20	01/24/20	262.60	...	11.60	Sale <sup>25</sup>
03/06/20	1.000	265.88	01/24/20	262.60	...	3.28	Sale <sup>25</sup>
	3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	15.000	3,821.91	01/24/20	3,948.75	...	-126.84	1 of 3 - Sale <sup>25</sup>
	14.000	3,567.12	01/24/20	3,685.50	...	-118.38	2 of 3 - Sale <sup>25</sup>
	13.000	3,312.33	01/24/20	3,413.80	...	-101.47	3 of 3 - Sale <sup>25</sup>
06/09/20	42.000	10,701.36	Various	11,048.05	...	-346.69	Total of 3 transactions
	5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	1.000	256.49	01/24/20	263.25	...	-6.76	1 of 5 - Sale <sup>25</sup>
	2.000	512.99	02/10/20	540.70	...	-27.71	2 of 5 - Sale <sup>25</sup>
	2.000	512.99	02/19/20	552.04	...	-39.05	3 of 5 - Sale <sup>25</sup>
	1.000	256.49	03/17/20	217.50	...	38.99	4 of 5 - Sale <sup>25</sup>
	4.000	1,025.98	03/17/20	870.00	...	155.98	5 of 5 - Sale <sup>25</sup>
06/10/20	10.000	2,564.94	Various	2,443.49	...	121.45	Total of 5 transactions
07/22/20	10.000	2,813.43	07/22/20	2,810.80	...	2.63	Sale <sup>25</sup>
07/22/20	10.000	2,807.56	07/22/20	2,810.80	3.24 W	0.00	Sale <sup>25</sup>
08/04/20	10.000	2,820.83	08/04/20	2,835.64	...	-14.81	Sale <sup>25</sup>
10/08/20	10.000	3,007.93	10/08/20	3,012.50	4.57 W	0.00	Sale <sup>25</sup>
	4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	5.000	1,506.66	10/08/20	1,503.53	...	3.13	1 of 4 - Sale <sup>25</sup>
	5.000	1,511.96	10/08/20	1,503.54	...	8.42	2 of 4 - Sale <sup>25</sup>
	10.000	3,017.71	10/09/20	3,023.00	...	-5.29	3 of 4 - Sale <sup>25</sup>
	4.000	1,207.08	10/09/20	1,208.64	...	-1.56	4 of 4 - Sale <sup>25</sup>
10/09/20	24.000	7,243.41	Various	7,238.71	...	4.70	Total of 4 transactions
11/17/20	5.000	386.45	11/17/20	386.81	0.36 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEXTRA ENERGY, INC. / CUSIP: 65339F101 / Symbol: (cont'd)							
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	95.000	7,029.83	12/14/20	7,049.00	1.01 W	-18.16	1 of 2 - Sale <sup>25</sup>
	5.000	369.99	12/14/20	371.36	1.37 W	0.00	2 of 2 - Sale <sup>25</sup>
12/14/20	100.000	7,399.82	Various	7,420.36	2.38 W	-18.16	Total of 2 transactions
	<b>Security total:</b>	<b>51,451.88</b>		<b>51,099.34</b>	<b>10.55 W</b>	<b>363.09</b>	
NIKE, INC. / CUSIP: 654106103 / Symbol:							
07/20/20	10.000	957.17	07/10/20	980.00	22.83 W	0.00	Sale <sup>25</sup>
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	10.000	1,082.78	08/19/20	1,111.23	28.45 W	0.00	1 of 4 - Sale <sup>25</sup>
	40.000	4,331.11	08/19/20	4,353.59	22.48 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	1,085.08	08/19/20	1,116.95	31.87 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	1,085.07	08/19/20	1,094.12	9.05 W	0.00	4 of 4 - Sale <sup>25</sup>
08/19/20	70.000	7,584.04	Various	7,675.89	91.85 W	0.00	Total of 4 transactions
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	17.000	1,858.22	08/21/20	1,868.84	9.37 W	-1.25	1 of 2 - Sale <sup>25</sup>
	3.000	327.93	08/21/20	329.80	1.87 W	0.00	2 of 2 - Sale <sup>25</sup>
08/21/20	20.000	2,186.15	Various	2,198.64	11.24 W	-1.25	Total of 2 transactions
7 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	10.000	1,134.80	09/01/20	1,165.87	...	-31.07	1 of 7 - Sale <sup>25</sup>
	8.000	907.83	09/01/20	914.44	...	-6.61	2 of 7 - Sale <sup>25</sup>
	5.000	570.49	09/01/20	573.71	...	-3.22	3 of 7 - Sale <sup>25</sup>
	3.000	342.29	09/01/20	344.22	...	-1.93	4 of 7 - Sale <sup>25</sup>
	2.000	228.19	09/01/20	230.04	...	-1.85	5 of 7 - Sale <sup>25</sup>
	2.000	226.96	09/01/20	227.92	...	-0.96	6 of 7 - Sale <sup>25</sup>
	8.000	907.84	09/01/20	908.30	...	-0.46	7 of 7 - Sale <sup>25</sup>
09/01/20	38.000	4,318.40	Various	4,364.50	...	-46.10	Total of 7 transactions
09/02/20	10.000	1,153.30	09/01/20	1,147.75	...	5.55	Sale <sup>25</sup>
2 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	11.000	1,405.76	11/04/20	1,411.85	...	-6.09	1 of 2 - Sale <sup>25</sup>
	9.000	1,150.17	11/04/20	1,155.15	...	-4.98	2 of 2 - Sale <sup>25</sup>
11/04/20	20.000	2,555.93	Various	2,567.00	...	-11.07	Total of 2 transactions
11/04/20	10.000	1,277.97	11/04/20	1,284.70	6.73 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKE, INC. / CUSIP: 654106103 / Symbol: (cont'd)							
11/09/20	10.000	1,326.67	11/09/20	1,334.63	...	-7.96	Sale <sup>25</sup>
	<b>Security total:</b>	<b>21,359.63</b>		<b>21,553.11</b>	<b>132.65 W</b>	<b>-60.83</b>	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
06/11/20	10.000	607.98	06/11/20	732.01	...	-124.03	Sale <sup>25</sup>
06/11/20	100.000	6,079.85	06/11/20	6,799.99	72.01 W	-648.13	Sale <sup>25</sup>
	12 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	10.000	352.99	09/14/20	361.21	...	-8.22	1 of 12 - Sale <sup>25</sup>
	10.000	352.99	09/14/20	357.31	...	-4.32	2 of 12 - Sale <sup>25</sup>
	10.000	354.79	09/14/20	353.00	...	1.79	3 of 12 - Sale <sup>25</sup>
	10.000	360.69	09/14/20	358.60	...	2.09	4 of 12 - Sale <sup>25</sup>
	5.000	180.35	09/14/20	175.22	...	5.13	5 of 12 - Sale <sup>25</sup>
	5.000	180.35	09/14/20	175.21	...	5.14	6 of 12 - Sale <sup>25</sup>
	10.000	360.69	09/14/20	348.10	...	12.59	7 of 12 - Sale <sup>25</sup>
	20.000	709.58	09/14/20	688.30	...	21.28	8 of 12 - Sale <sup>25</sup>
	10.000	356.17	09/14/20	334.10	...	22.07	9 of 12 - Sale <sup>25</sup>
	10.000	356.16	09/14/20	333.50	...	22.66	10 of 12 - Sale <sup>25</sup>
	10.000	356.17	09/14/20	333.30	...	22.87	11 of 12 - Sale <sup>25</sup>
	20.000	712.32	09/14/20	676.10	...	36.22	12 of 12 - Sale <sup>25</sup>
09/14/20	130.000	4,633.25	Various	4,493.95	...	139.30	Total of 12 transactions
	2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	10.000	360.69	09/14/20	360.90	0.21 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	360.69	09/14/20	364.80	4.11 W	0.00	2 of 2 - Sale <sup>25</sup>
09/14/20	20.000	721.38	Various	725.70	4.32 W	0.00	Total of 2 transactions
	3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	50.000	894.97	12/03/20	901.00	...	-6.03	1 of 3 - Sale <sup>25</sup>
	20.000	357.99	12/03/20	361.45	...	-3.46	2 of 3 - Sale <sup>25</sup>
	20.000	357.99	12/03/20	360.40	...	-2.41	3 of 3 - Sale <sup>25</sup>
12/03/20	90.000	1,610.95	Various	1,622.85	...	-11.90	Total of 3 transactions
	<b>Security total:</b>	<b>13,653.41</b>		<b>14,374.50</b>	<b>76.33 W</b>	<b>-644.76</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIU TECHNOLOGIES AMERICAN DEPO SITARY SHARES / CUSIP: 65481N100 / Symbol:							
<i>3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	60.000	1,037.97	06/19/20	1,148.92	...	-110.95	1 of 3 - Sale <sup>25</sup>
	20.000	345.99	06/19/20	392.98	...	-46.99	2 of 3 - Sale <sup>25</sup>
	20.000	345.99	06/19/20	391.99	...	-46.00	3 of 3 - Sale <sup>25</sup>
06/19/20	100.000	1,729.95	Various	1,933.89	...	-203.94	Total of 3 transactions
<i>6 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,754.95	06/19/20	1,805.00	50.05 W	0.00	1 of 6 - Sale <sup>25</sup>
	20.000	352.99	06/19/20	373.01	20.02 W	0.00	2 of 6 - Sale <sup>25</sup>
	20.000	352.99	06/19/20	372.01	19.02 W	0.00	3 of 6 - Sale <sup>25</sup>
	20.000	349.04	06/19/20	381.42	32.38 W	0.00	4 of 6 - Sale <sup>25</sup>
	20.000	349.03	06/19/20	380.42	31.39 W	0.00	5 of 6 - Sale <sup>25</sup>
	60.000	1,047.11	06/19/20	1,114.23	67.12 W	0.00	6 of 6 - Sale <sup>25</sup>
06/19/20	240.000	4,206.11	Various	4,426.09	219.98 W	0.00	Total of 6 transactions
10/26/20	100.000	2,664.93	10/26/20	2,663.62	...	1.31	Sale <sup>25</sup>
11/05/20	30.000	947.08	11/05/20	946.76	...	0.32	Sale <sup>25</sup>
<i>8 transactions for 11/05/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	649.98	11/05/20	661.37	11.39 W	0.00	1 of 8 - Sale <sup>25</sup>
	7.000	217.00	11/05/20	222.74	5.74 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.000	154.90	11/05/20	159.10	4.20 W	0.00	3 of 8 - Sale <sup>25</sup>
	8.000	247.75	11/05/20	254.55	6.80 W	0.00	4 of 8 - Sale <sup>25</sup>
	30.000	929.08	11/05/20	937.50	8.42 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	157.85	11/05/20	160.59	2.74 W	0.00	6 of 8 - Sale <sup>25</sup>
	7.000	220.98	11/05/20	224.68	3.70 W	0.00	7 of 8 - Sale <sup>25</sup>
	8.000	252.55	11/05/20	257.02	4.47 W	0.00	8 of 8 - Sale <sup>25</sup>
11/05/20	90.000	2,830.09	Various	2,877.55	47.46 W	0.00	Total of 8 transactions
11/06/20	10.000	311.99	11/06/20	308.18	...	3.81	Sale <sup>25</sup>
<i>11 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	152.55	11/06/20	156.26	3.71 W	0.00	1 of 11 - Sale <sup>25</sup>
	7.000	213.56	11/06/20	218.62	5.06 W	0.00	2 of 11 - Sale <sup>25</sup>
	8.000	244.07	11/06/20	250.09	6.02 W	0.00	3 of 11 - Sale <sup>25</sup>
	10.000	305.09	11/06/20	307.03	1.94 W	0.00	4 of 11 - Sale <sup>25</sup>
	5.000	156.00	11/06/20	156.83	0.83 W	0.00	5 of 11 - Sale <sup>25</sup>
	7.000	218.39	11/06/20	219.43	1.04 W	0.00	6 of 11 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIU TECHNOLOGIES AMERICAN DEPO SITARY SHARES / CUSIP: 65481N100 / Symbol: (cont'd)							
	8.000	249.59	11/06/20	251.01	1.42 W	0.00	7 of 11 - Sale <sup>25</sup>
	5.000	156.00	11/06/20	156.83	0.83 W	0.00	8 of 11 - Sale <sup>25</sup>
	7.000	218.39	11/06/20	219.44	1.05 W	0.00	9 of 11 - Sale <sup>25</sup>
	8.000	249.59	11/06/20	251.02	1.43 W	0.00	10 of 11 - Sale <sup>25</sup>
	10.000	311.99	11/06/20	312.00	0.01 W	0.00	11 of 11 - Sale <sup>25</sup>
11/06/20	80.000	2,475.22	Various	2,498.56	23.34 W	0.00	Total of 11 transactions
	3 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	5.000	158.50	11/12/20	160.58	2.08 W	0.00	1 of 3 - Sale <sup>25</sup>
	7.000	221.89	11/12/20	224.70	2.81 W	0.00	2 of 3 - Sale <sup>25</sup>
	8.000	253.59	11/12/20	257.03	3.44 W	0.00	3 of 3 - Sale <sup>25</sup>
11/12/20	20.000	633.98	Various	642.31	8.33 W	0.00	Total of 3 transactions
	5 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	5.000	170.50	11/16/20	174.54	4.04 W	0.00	1 of 5 - Sale <sup>25</sup>
	7.000	238.69	11/16/20	244.26	5.57 W	0.00	2 of 5 - Sale <sup>25</sup>
	8.000	272.79	11/16/20	279.38	6.59 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	340.99	11/16/20	344.94	3.95 W	0.00	4 of 5 - Sale <sup>25</sup>
	20.000	681.99	11/16/20	689.85	7.86 W	0.00	5 of 5 - Sale <sup>25</sup>
11/16/20	50.000	1,704.96	Various	1,732.97	28.01 W	0.00	Total of 5 transactions
	6 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	5.000	164.09	11/16/20	171.28	7.19 W	0.00	1 of 6 - Sale <sup>25</sup>
	7.000	229.72	11/16/20	239.70	9.98 W	0.00	2 of 6 - Sale <sup>25</sup>
	8.000	262.54	11/16/20	274.17	11.63 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.000	328.18	11/16/20	338.42	10.24 W	0.00	4 of 6 - Sale <sup>25</sup>
	20.000	656.35	11/16/20	676.81	20.46 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	1,640.89	11/16/20	1,672.36	31.47 W	0.00	6 of 6 - Sale <sup>25</sup>
11/17/20	100.000	3,281.77	Various	3,372.74	90.97 W	0.00	Total of 6 transactions
	3 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	10.000	323.69	11/18/20	335.62	11.93 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	97.11	11/18/20	101.99	4.88 W	0.00	2 of 3 - Sale <sup>25</sup>
	7.000	226.58	11/18/20	237.90	11.32 W	0.00	3 of 3 - Sale <sup>25</sup>
11/18/20	20.000	647.38	Various	675.51	28.13 W	0.00	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIU TECHNOLOGIES AMERICAN DEPO SITARY SHARES / CUSIP: 65481N100 / Symbol: (cont'd)							
<i>2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	70.15	11/19/20	69.66	...	0.49	1 of 2 - Sale <sup>25</sup>
	8.000	280.60	11/19/20	278.75	...	1.85	2 of 2 - Sale <sup>25</sup>
11/19/20	10.000	350.75	Various	348.41	...	2.34	Total of 2 transactions
<i>5 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	349.99	11/23/20	320.09	...	29.90	1 of 5 - Sale <sup>25</sup>
	10.000	349.99	11/23/20	315.60	...	34.39	2 of 5 - Sale <sup>25</sup>
	20.000	708.78	11/23/20	658.08	...	50.70	3 of 5 - Sale <sup>25</sup>
	10.000	330.80	11/24/20	348.73	...	-17.93	4 of 5 - Sale <sup>25</sup>
	10.000	333.00	11/24/20	343.26	...	-10.26	5 of 5 - Sale <sup>25</sup>
11/24/20	60.000	2,072.56	Various	1,985.76	...	86.80	Total of 5 transactions
11/24/20	20.000	670.58	11/24/20	685.79	11.41 W	-3.80	Sale <sup>25</sup>
<i>4 transactions for 12/01/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	204.39	11/24/20	243.30	...	-38.91	1 of 4 - Sale <sup>25</sup>
	3.000	87.60	11/24/20	104.30	...	-16.70	2 of 4 - Sale <sup>25</sup>
	10.000	291.99	11/25/20	313.11	...	-21.12	3 of 4 - Sale <sup>25</sup>
	5.000	146.00	11/25/20	156.15	...	-10.15	4 of 4 - Sale <sup>25</sup>
12/01/20	25.000	729.98	Various	816.86	...	-86.88	Total of 4 transactions
	<b>Security total:</b>	<b>25,257.33</b>		<b>25,915.00</b>	<b>457.63 W</b>	<b>-200.04</b>	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
<i>4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	85.85	01/13/20	81.30	...	4.55	1 of 4 - Sale <sup>25</sup>
	100.000	429.24	01/17/20	414.50	...	14.74	2 of 4 - Sale <sup>25</sup>
	100.000	429.23	01/17/20	413.00	...	16.23	3 of 4 - Sale <sup>25</sup>
	50.000	214.62	02/25/20	199.00	...	15.62	4 of 4 - Sale <sup>25</sup>
06/02/20	270.000	1,158.94	Various	1,107.80	...	51.14	Total of 4 transactions
NORDSTROM, INC. / CUSIP: 655664100 / Symbol:							
<i>4 transactions for 11/16/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,802.00	11/12/20	1,598.00	...	204.00	1 of 4 - Sale <sup>25</sup>
	100.000	1,879.05	11/16/20	1,868.95	...	10.10	2 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NORDSTROM, INC. / CUSIP: 655664100 / Symbol: (cont'd)							
	100.000	1,857.06	11/16/20	1,842.89	...	14.17	3 of 4 - Sale <sup>25</sup>
	100.000	1,857.07	11/16/20	1,835.81	...	21.26	4 of 4 - Sale <sup>25</sup>
11/16/20	400.000	7,395.18	Various	7,145.65	...	249.53	Total of 4 transactions
NORTHERN OIL AND GAS, INC. COM MON STOCK / CUSIP: 665531109 / Symbol:							
3 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	15.000	25.35	01/28/20	24.75	...	0.60	1 of 3 - Sale <sup>25</sup>
	50.000	84.49	01/28/20	82.00	...	2.49	2 of 3 - Sale <sup>25</sup>
	50.000	85.00	01/29/20	85.00	...	0.00	3 of 3 - Sale <sup>25</sup>
02/04/20	115.000	194.84	Various	191.75	...	3.09	Total of 3 transactions
02/18/20	50.000	78.10	01/29/20	85.00	...	-6.90	Sale <sup>25</sup>
16 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	1.000	0.89	04/29/20	0.83	...	0.06	1 of 16 - Sale <sup>25</sup>
	2.000	1.77	04/29/20	1.66	...	0.11	2 of 16 - Sale <sup>25</sup>
	3.000	2.66	04/29/20	2.49	...	0.17	3 of 16 - Sale <sup>25</sup>
	4.000	3.54	04/29/20	3.32	...	0.22	4 of 16 - Sale <sup>25</sup>
	5.000	4.43	04/29/20	4.15	...	0.28	5 of 16 - Sale <sup>25</sup>
	10.000	8.86	04/29/20	8.30	...	0.56	6 of 16 - Sale <sup>25</sup>
	100.000	88.57	04/29/20	84.50	...	4.07	7 of 16 - Sale <sup>25</sup>
	75.000	66.42	04/29/20	62.25	...	4.17	8 of 16 - Sale <sup>25</sup>
	100.000	88.57	04/29/20	83.00	...	5.57	9 of 16 - Sale <sup>25</sup>
	200.000	177.13	04/30/20	170.00	...	7.13	10 of 16 - Sale <sup>25</sup>
	200.000	177.13	04/30/20	164.00	...	13.13	11 of 16 - Sale <sup>25</sup>
	500.000	442.83	04/30/20	420.00	...	22.83	12 of 16 - Sale <sup>25</sup>
	24.000	21.26	05/08/20	17.82	...	3.44	13 of 16 - Sale <sup>25</sup>
	76.000	67.31	05/08/20	56.43	...	10.88	14 of 16 - Sale <sup>25</sup>
	100.000	88.56	05/08/20	74.25	...	14.31	15 of 16 - Sale <sup>25</sup>
	500.000	442.82	05/08/20	371.50	...	71.32	16 of 16 - Sale <sup>25</sup>
05/12/20	1,900.000	1,682.75	Various	1,524.50	...	158.25	Total of 16 transactions
	<b>Security total:</b>	<b>1,955.69</b>		<b>1,801.25</b>	...	<b>154.44</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
07/20/20	1.000	144.55	07/17/20	140.71	...	3.84	Sale <sup>25</sup>
	2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	5.000	427.55	11/09/20	452.97	...	-25.42	1 of 2 - Sale <sup>25</sup>
	5.000	452.50	11/09/20	452.98	...	-0.48	2 of 2 - Sale <sup>25</sup>
11/09/20	10.000	880.05	Various	905.95	...	-25.90	Total of 2 transactions
	<b>Security total:</b>	<b>1,024.60</b>		<b>1,046.66</b>	...	<b>-22.06</b>	
NVIDIA CORPORATION COMMON STOCK / CUSIP: 67066G104 / Symbol:							
	3 transactions for 02/21/20. Total proceeds and cost reported to the IRS.						
	2.000	584.32	02/19/20	657.35	...	-73.03	1 of 3 - Sale <sup>25</sup>
	1.000	292.20	02/19/20	328.67	...	-36.47	2 of 3 - Sale <sup>25</sup>
	2.000	592.39	02/19/20	625.13	...	-32.74	3 of 3 - Sale <sup>25</sup>
02/21/20	5.000	1,468.91	Various	1,611.15	...	-142.24	Total of 3 transactions
02/21/20	8.000	2,369.54	02/19/20	2,498.40	48.32 W	-80.54	Sale <sup>25</sup>
07/27/20	2.000	828.98	07/27/20	824.18	...	4.80	Sale <sup>25</sup>
	2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	1.000	458.39	08/07/20	459.10	0.71 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	1,833.55	08/07/20	1,836.40	2.85 W	0.00	2 of 2 - Sale <sup>25</sup>
08/07/20	5.000	2,291.94	Various	2,295.50	3.56 W	0.00	Total of 2 transactions
	2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	1.000	465.29	08/14/20	464.08	...	1.21	1 of 2 - Sale <sup>25</sup>
	4.000	1,861.15	08/14/20	1,856.33	...	4.82	2 of 2 - Sale <sup>25</sup>
08/14/20	5.000	2,326.44	Various	2,320.41	...	6.03	Total of 2 transactions
	2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	5.000	2,325.84	08/14/20	2,335.88	10.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	2,325.85	08/14/20	2,340.63	14.78 W	0.00	2 of 2 - Sale <sup>25</sup>
08/14/20	10.000	4,651.69	Various	4,676.51	24.82 W	0.00	Total of 2 transactions
	2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	5.000	2,622.44	08/28/20	2,615.71	...	6.73	1 of 2 - Sale <sup>25</sup>
	5.000	2,622.44	08/28/20	2,610.51	...	11.93	2 of 2 - Sale <sup>25</sup>
08/28/20	10.000	5,244.88	Various	5,226.22	...	18.66	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: (cont'd)							
6 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	5.000	2,615.94	08/28/20	2,616.79	0.85 W	0.00	1 of 6 - Sale <sup>25</sup>
	5.000	2,615.94	08/28/20	2,621.52	5.58 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	2,615.94	08/28/20	2,621.90	5.96 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	2,604.14	08/28/20	2,604.90	0.76 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	2,604.14	08/28/20	2,609.62	5.48 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	2,625.44	08/28/20	2,629.53	4.09 W	0.00	6 of 6 - Sale <sup>25</sup>
08/28/20	30.000	15,681.54	Various	15,704.26	22.72 W	0.00	Total of 6 transactions
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	5.000	2,756.14	09/01/20	2,742.29	...	13.85	1 of 2 - Sale <sup>25</sup>
	5.000	2,756.13	09/01/20	2,738.20	...	17.93	2 of 2 - Sale <sup>25</sup>
09/01/20	10.000	5,512.27	Various	5,480.49	...	31.78	Total of 2 transactions
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	5.000	2,884.81	09/02/20	2,894.50	9.69 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	2,861.56	09/02/20	2,884.09	22.53 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	2,854.34	09/02/20	2,871.83	17.49 W	0.00	3 of 3 - Sale <sup>25</sup>
09/02/20	15.000	8,600.71	Various	8,650.42	49.71 W	0.00	Total of 3 transactions
09/14/20	5.000	2,581.24	09/14/20	2,579.29	...	1.95	Sale <sup>25</sup>
5 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	2.000	1,021.17	09/14/20	1,031.74	10.57 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	1,021.90	09/14/20	1,034.84	12.94 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	516.25	09/14/20	519.36	3.11 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	1,032.49	09/14/20	1,042.28	9.79 W	0.00	4 of 5 - Sale <sup>25</sup>
	2.000	1,032.50	09/14/20	1,044.65	12.15 W	0.00	5 of 5 - Sale <sup>25</sup>
09/14/20	9.000	4,624.31	Various	4,672.87	48.56 W	0.00	Total of 5 transactions
10 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	505.38	09/25/20	509.35	3.97 W	0.00	1 of 10 - Sale <sup>25</sup>
	2.000	1,010.76	09/25/20	1,022.27	11.51 W	0.00	2 of 10 - Sale <sup>25</sup>
	2.000	1,010.76	09/25/20	1,024.62	13.86 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	504.78	09/25/20	508.15	3.37 W	0.00	4 of 10 - Sale <sup>25</sup>
	2.000	1,009.56	09/25/20	1,019.86	10.30 W	0.00	5 of 10 - Sale <sup>25</sup>
	2.000	1,009.55	09/25/20	1,022.21	12.66 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	505.61	09/25/20	510.14	4.53 W	0.00	7 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: (cont'd)							
	1.000	505.61	09/25/20	511.91	6.30 W	0.00	8 of 10 - Sale <sup>25</sup>
	1.000	505.61	09/25/20	511.88	6.27 W	0.00	9 of 10 - Sale <sup>25</sup>
	2.000	1,011.23	09/25/20	1,026.12	14.89 W	0.00	10 of 10 - Sale <sup>25</sup>
09/25/20	15.000	7,578.85	Various	7,666.51	87.66 W	0.00	Total of 10 transactions
	8 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	1.000	518.19	09/28/20	522.34	4.15 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	518.19	09/28/20	524.12	5.93 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	518.19	09/28/20	524.08	5.89 W	0.00	3 of 8 - Sale <sup>25</sup>
	2.000	1,036.39	09/28/20	1,050.52	14.13 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	520.13	09/28/20	523.63	3.50 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	520.13	09/28/20	525.41	5.28 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	520.13	09/28/20	525.37	5.24 W	0.00	7 of 8 - Sale <sup>25</sup>
	2.000	1,040.25	09/28/20	1,053.08	12.83 W	0.00	8 of 8 - Sale <sup>25</sup>
09/28/20	10.000	5,191.60	Various	5,248.55	56.95 W	0.00	Total of 8 transactions
	2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	2.000	1,125.87	10/06/20	1,140.63	...	-14.76	1 of 2 - Sale <sup>25</sup>
	1.000	562.94	10/06/20	569.14	...	-6.20	2 of 2 - Sale <sup>25</sup>
10/06/20	3.000	1,688.81	Various	1,709.77	...	-20.96	Total of 2 transactions
	2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	562.94	10/06/20	567.40	4.46 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	562.93	10/06/20	569.18	6.25 W	0.00	2 of 2 - Sale <sup>25</sup>
10/06/20	2.000	1,125.87	Various	1,136.58	10.71 W	0.00	Total of 2 transactions
10/08/20	1.000	551.09	10/08/20	556.26	5.17 W	0.00	Sale <sup>25</sup>
10/23/20	1.000	536.92	10/23/20	545.39	8.47 W	0.00	Sale <sup>25</sup>
	2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	1.000	579.54	11/06/20	581.02	1.48 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	579.55	11/06/20	584.32	4.77 W	0.00	2 of 2 - Sale <sup>25</sup>
11/06/20	2.000	1,159.09	Various	1,165.34	6.25 W	0.00	Total of 2 transactions
	2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	1.000	537.98	11/18/20	541.48	3.50 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	535.98	11/18/20	544.77	8.79 W	0.00	2 of 2 - Sale <sup>25</sup>
11/18/20	2.000	1,073.96	Various	1,086.25	12.29 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: (cont'd)							
3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	540.86	12/02/20	548.55	7.69 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	540.85	12/02/20	553.84	12.99 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	1,622.54	12/02/20	1,635.15	12.61 W	0.00	3 of 3 - Sale <sup>25</sup>
12/02/20	5.000	2,704.25	Various	2,737.54	33.29 W	0.00	Total of 3 transactions
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	537.24	12/04/20	546.17	8.93 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	537.24	12/04/20	551.47	14.23 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	1,611.71	12/04/20	1,628.05	16.34 W	0.00	3 of 3 - Sale <sup>25</sup>
12/04/20	5.000	2,686.19	Various	2,725.69	39.50 W	0.00	Total of 3 transactions
12/07/20	5.000	2,708.92	12/07/20	2,707.46	...	1.46	Sale <sup>25</sup>
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	541.78	12/07/20	550.42	8.64 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	541.78	12/07/20	555.72	13.94 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	1,625.36	12/07/20	1,640.81	15.45 W	0.00	3 of 3 - Sale <sup>25</sup>
12/07/20	5.000	2,708.92	Various	2,746.95	38.03 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>85,896.92</b>		<b>86,571.99</b>	<b>496.01 W</b>	<b>-179.06</b>	
O'REILLY AUTOMOTIVE, INC. COMM ON STOCK / CUSIP: 67103H107 / Symbol:							
11/03/20	2.000	902.96	11/03/20	899.88	...	3.08	Sale <sup>25</sup>
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
3 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	1.000	42.02	11/19/19	38.79	...	3.23	1 of 3 - Sale <sup>25</sup>
	3.000	126.07	11/19/19	115.65	...	10.42	2 of 3 - Sale <sup>25</sup>
	10.000	420.24	11/19/19	387.50	...	32.74	3 of 3 - Sale <sup>25</sup>
01/24/20	14.000	588.33	Various	541.94	...	46.39	Total of 3 transactions
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	19.88	11/19/19	38.55	18.67 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	99.40	02/04/20	203.60	104.20 W	0.00	2 of 2 - Sale <sup>25</sup>
06/18/20	6.000	119.28	Various	242.15	122.87 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol: (cont'd)							
6 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	5.000	103.10	06/19/20	208.30	...	-105.20	1 of 6 - Sale <sup>25</sup>
	1.000	20.62	06/19/20	39.49	...	-18.87	2 of 6 - Sale <sup>25</sup>
	90.000	1,855.74	06/19/20	1,873.76	...	-18.02	3 of 6 - Sale <sup>25</sup>
	100.000	2,061.94	06/19/20	2,075.73	...	-13.79	4 of 6 - Sale <sup>25</sup>
	100.000	2,061.94	06/19/20	2,072.83	...	-10.89	5 of 6 - Sale <sup>25</sup>
	4.000	82.48	06/19/20	83.27	...	-0.79	6 of 6 - Sale <sup>25</sup>
06/19/20	300.000	6,185.82	Various	6,353.38	...	-167.56	Total of 6 transactions
9 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	200.000	4,124.20	06/19/20	4,145.66	10.73 W	-10.73	1 of 9 - Sale <sup>25</sup>
	1.000	20.64	06/19/20	39.39	18.75 W	0.00	2 of 9 - Sale <sup>25</sup>
	5.000	103.20	06/19/20	207.80	104.60 W	0.00	3 of 9 - Sale <sup>25</sup>
	4.000	82.56	06/19/20	82.88	0.32 W	0.00	4 of 9 - Sale <sup>25</sup>
	90.000	1,857.55	06/19/20	1,864.80	7.25 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	20.64	06/19/20	39.48	18.84 W	0.00	6 of 9 - Sale <sup>25</sup>
	5.000	103.19	06/19/20	208.24	105.05 W	0.00	7 of 9 - Sale <sup>25</sup>
	4.000	82.56	06/19/20	83.23	0.67 W	0.00	8 of 9 - Sale <sup>25</sup>
	90.000	1,857.54	06/19/20	1,872.80	15.26 W	0.00	9 of 9 - Sale <sup>25</sup>
06/19/20	400.000	8,252.08	Various	8,544.28	281.47 W	-10.73	Total of 9 transactions
09/15/20	50.000	527.48	09/15/20	532.88	5.40 W	0.00	Sale <sup>25</sup>
10/08/20	50.000	556.48	10/08/20	559.78	...	-3.30	Sale <sup>25</sup>
11/12/20	100.000	1,133.46	11/09/20	1,233.82	...	-100.36	Sale <sup>25</sup>
	<b>Security total:</b>	<b>17,362.93</b>		<b>18,008.23</b>	<b>409.74 W</b>	<b>-235.56</b>	
OCEAN BIO-CHEM, INC. COMMON ST OCK / CUSIP: 674631106 / Symbol:							
2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	20.000	80.46	02/28/20	126.00	...	-45.54	1 of 2 - Sale <sup>25</sup>
	15.000	60.35	02/28/20	104.25	...	-43.90	2 of 2 - Sale <sup>25</sup>
03/04/20	35.000	140.81	Various	230.25	...	-89.44	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	2.000	363.03	06/11/20	361.00	...	2.03	1 of 2 - Sale <sup>25</sup>
	3.000	544.54	06/11/20	541.37	...	3.17	2 of 2 - Sale <sup>25</sup>
06/12/20	5.000	907.57	Various	902.37	...	5.20	Total of 2 transactions
OLD DOMINION FREIGHT LINE, INC . COMMON STOCK / CUSIP: 679580100 / Symbol:							
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	4.000	814.20	12/01/20	838.80	...	-24.60	1 of 2 - Sale <sup>25</sup>
	6.000	1,221.30	12/02/20	1,250.82	...	-29.52	2 of 2 - Sale <sup>25</sup>
12/09/20	10.000	2,035.50	Various	2,089.62	...	-54.12	Total of 2 transactions
OMEGA HEALTHCARE INVESTORS INC . / CUSIP: 681936100 / Symbol:							
6 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	3.000	90.24	10/21/19	131.73	...	-41.49	1 of 6 - Sale <sup>25</sup>
	1.000	30.08	10/21/19	43.91	...	-13.83	2 of 6 - Sale <sup>25</sup>
	1.000	30.08	10/21/19	43.91	...	-13.83	3 of 6 - Sale <sup>25</sup>
	10.000	300.79	12/12/19	416.00	...	-115.21	4 of 6 - Sale <sup>25</sup>
	3.000	90.23	12/16/19	122.04	...	-31.81	5 of 6 - Sale <sup>25</sup>
	3.000	90.24	01/22/20	130.50	...	-40.26	6 of 6 - Sale <sup>25</sup>
06/12/20	21.000	631.66	Various	888.09	...	-256.43	Total of 6 transactions
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
19 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1,403.000	918.79	06/11/20	1,059.65	...	-140.86	1 of 19 - Sale <sup>25</sup>
	981.000	686.56	06/11/20	789.97	...	-103.41	2 of 19 - Sale <sup>25</sup>
	861.000	555.23	06/11/20	633.84	...	-78.61	3 of 19 - Sale <sup>25</sup>
	582.000	381.14	06/11/20	451.21	...	-70.07	4 of 19 - Sale <sup>25</sup>
	328.000	214.80	06/11/20	264.13	...	-49.33	5 of 19 - Sale <sup>25</sup>
	239.000	154.12	06/11/20	175.95	...	-21.83	6 of 19 - Sale <sup>25</sup>
	2,539.000	1,637.32	06/11/20	1,652.64	...	-15.32	7 of 19 - Sale <sup>25</sup>
	361.000	232.80	06/11/20	247.33	...	-14.53	8 of 19 - Sale <sup>25</sup>
	97.000	63.52	06/11/20	73.26	...	-9.74	9 of 19 - Sale <sup>25</sup>
	72.000	47.15	06/11/20	55.82	...	-8.67	10 of 19 - Sale <sup>25</sup>
	193.000	124.46	06/11/20	132.40	...	-7.94	11 of 19 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol: (cont'd)							
	1,253.000	808.02	06/11/20	815.58	...	-7.56	12 of 19 - Sale <sup>25</sup>
	54.000	34.82	06/11/20	37.81	...	-2.99	13 of 19 - Sale <sup>25</sup>
	18.000	11.79	06/11/20	14.49	...	-2.70	14 of 19 - Sale <sup>25</sup>
	19.000	13.30	06/11/20	15.30	...	-2.00	15 of 19 - Sale <sup>25</sup>
	2.000	1.29	06/11/20	1.29	...	0.00	16 of 19 - Sale <sup>25</sup>
	998.000	678.50	06/11/20	674.39	...	4.11	17 of 19 - Sale <sup>25</sup>
	2,002.000	1,361.09	06/11/20	1,351.15	...	9.94	18 of 19 - Sale <sup>25</sup>
	1,000.000	679.86	06/11/20	645.00	...	34.86	19 of 19 - Sale <sup>25</sup>
06/11/20	13,002.000	8,604.56	Various	9,091.21	...	-486.65	Total of 19 transactions
	8 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1,749.000	1,127.87	06/11/20	1,224.54	77.55 W	-19.12	1 of 8 - Sale <sup>25</sup>
	998.000	642.87	06/11/20	643.71	0.84 W	0.00	2 of 8 - Sale <sup>25</sup>
	3,197.000	2,061.64	06/11/20	2,221.92	160.28 W	0.00	3 of 8 - Sale <sup>25</sup>
	1,803.000	1,162.69	06/11/20	1,253.09	90.40 W	0.00	4 of 8 - Sale <sup>25</sup>
	554.000	357.26	06/11/20	376.72	19.46 W	0.00	5 of 8 - Sale <sup>25</sup>
	1,100.000	709.35	06/11/20	803.15	93.80 W	0.00	6 of 8 - Sale <sup>25</sup>
	1,346.000	867.99	06/11/20	982.76	114.77 W	0.00	7 of 8 - Sale <sup>25</sup>
	751.000	484.29	06/11/20	525.80	41.51 W	0.00	8 of 8 - Sale <sup>25</sup>
06/11/20	11,498.000	7,413.96	Various	8,031.69	598.61 W	-19.12	Total of 8 transactions
	4 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	100.000	39.49	09/21/20	42.80	...	-3.31	1 of 4 - Sale <sup>25</sup>
	100.000	39.49	09/21/20	41.50	...	-2.01	2 of 4 - Sale <sup>25</sup>
	100.000	42.14	09/21/20	42.00	...	0.14	3 of 4 - Sale <sup>25</sup>
	100.000	42.14	09/21/20	41.50	...	0.64	4 of 4 - Sale <sup>25</sup>
09/21/20	400.000	163.26	Various	167.80	...	-4.54	Total of 4 transactions
	<b>Security total:</b>	<b>16,181.78</b>		<b>17,290.70</b>	<b>598.61 W</b>	<b>-510.31</b>	
ONEOK, INC. / CUSIP: 682680103 / Symbol:							
	3 transactions for 01/24/20. Total proceeds and cost reported to the IRS.						
	5.000	372.49	10/22/19	350.14	...	22.35	1 of 3 - Sale <sup>25</sup>
	10.000	744.98	10/22/19	700.18	...	44.80	2 of 3 - Sale <sup>25</sup>
	3.000	223.50	10/29/19	210.22	...	13.28	3 of 3 - Sale <sup>25</sup>
01/24/20	18.000	1,340.97	Various	1,260.54	...	80.43	Total of 3 transactions
02/12/20	1.000	76.27	10/29/19	70.08	...	6.19	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ONEOK, INC. / CUSIP: 682680103 / Symbol: (cont'd)							
2 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	1.000	76.86	10/29/19	70.07	...	6.79	1 of 2 - Sale <sup>25</sup>
	19.000	1,460.30	01/28/20	1,427.28	...	33.02	2 of 2 - Sale <sup>25</sup>
02/19/20	20.000	1,537.16	Various	1,497.35	...	39.81	Total of 2 transactions
12/04/20	10.000	402.49	12/04/20	404.09	...	-1.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,356.89</b>		<b>3,232.06</b>	...	<b>124.83</b>	
ONESMART INTERNATIONAL EDUCATI ON GROUP LIMITED / CUSIP: 68276W103 / Symbol:							
05/08/20	10.000	49.65	04/29/20	49.60	...	0.05	Sale <sup>25</sup>
ORACLE CORP / CUSIP: 68389X105 / Symbol:							
08/18/20	10.000	568.68	08/18/20	561.10	...	7.58	Sale <sup>25</sup>
09/14/20	10.000	593.99	09/14/20	606.78	12.79 W	0.00	Sale <sup>25</sup>
09/21/20	10.000	610.99	09/21/20	622.37	...	-11.38	Sale <sup>25</sup>
11/11/20	30.000	1,724.39	11/11/20	1,723.13	...	1.26	Sale <sup>25</sup>
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	98.000	6,357.10	12/28/20	6,428.80	...	-71.70	1 of 2 - Sale <sup>25</sup>
	2.000	129.74	12/28/20	131.20	...	-1.46	2 of 2 - Sale <sup>25</sup>
12/28/20	100.000	6,486.84	Various	6,560.00	...	-73.16	Total of 2 transactions
	<b>Security total:</b>	<b>9,984.89</b>		<b>10,073.38</b>	<b>12.79 W</b>	<b>-75.70</b>	
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol:							
04/22/20	32.000	306.88	04/20/20	340.80	...	-33.92	Sale <sup>25</sup>
06/12/20	5.000	104.62	06/11/20	110.05	...	-5.43	Sale <sup>25</sup>
5 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	10.000	1,026.98	08/07/20	1,015.88	...	11.10	1 of 5 - Sale <sup>25</sup>
	10.000	1,026.98	08/07/20	1,012.40	...	14.58	2 of 5 - Sale <sup>25</sup>
	10.000	1,026.97	08/07/20	1,011.50	...	15.47	3 of 5 - Sale <sup>25</sup>
	10.000	1,026.97	08/07/20	1,009.00	...	17.97	4 of 5 - Sale <sup>25</sup>
	10.000	1,026.98	08/07/20	1,004.60	...	22.38	5 of 5 - Sale <sup>25</sup>
08/07/20	50.000	5,134.88	Various	5,053.38	...	81.50	Total of 5 transactions
08/07/20	5.000	514.03	08/07/20	554.60	40.57 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
4 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	5.000	587.48	08/17/20	627.79	...	-40.31	1 of 4 - Sale <sup>25</sup>
	4.000	470.38	08/17/20	471.50	...	-1.12	2 of 4 - Sale <sup>25</sup>
	1.000	117.60	08/17/20	117.87	...	-0.27	3 of 4 - Sale <sup>25</sup>
	5.000	561.23	08/17/20	559.72	...	1.51	4 of 4 - Sale <sup>25</sup>
08/17/20	15.000	1,736.69	Various	1,776.88	...	-40.19	Total of 4 transactions
5 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	5.000	545.04	08/17/20	588.47	43.43 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	1,090.07	08/17/20	1,094.00	3.93 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	561.24	08/17/20	601.18	39.94 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	117.50	08/17/20	117.96	0.46 W	0.00	4 of 5 - Sale <sup>25</sup>
	4.000	469.99	08/17/20	471.85	1.86 W	0.00	5 of 5 - Sale <sup>25</sup>
08/17/20	25.000	2,783.84	Various	2,873.46	89.62 W	0.00	Total of 5 transactions
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	3.000	223.19	09/29/20	223.14	...	0.05	1 of 3 - Sale <sup>25</sup>
	7.000	520.79	09/29/20	520.65	...	0.14	2 of 3 - Sale <sup>25</sup>
	10.000	743.99	09/29/20	742.50	...	1.49	3 of 3 - Sale <sup>25</sup>
09/29/20	20.000	1,487.97	Various	1,486.29	...	1.68	Total of 3 transactions
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	7.000	521.38	09/29/20	521.64	0.26 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	223.45	09/29/20	223.56	0.11 W	0.00	2 of 2 - Sale <sup>25</sup>
09/29/20	10.000	744.83	Various	745.20	0.37 W	0.00	Total of 2 transactions
11/04/20	20.000	1,192.97	11/04/20	1,191.00	...	1.97	Sale <sup>25</sup>
12/08/20	100.000	6,154.85	12/08/20	6,150.00	...	4.85	Sale <sup>25</sup>
	<b>Security total:</b>	<b>20,161.56</b>		<b>20,281.66</b>	<b>130.56 W</b>	<b>10.46</b>	
OWENS CORNING / CUSIP: 690742101 / Symbol:							
10/23/20	1.000	70.78	10/21/20	72.45	...	-1.67	Sale <sup>25</sup>
OZON HOLDINGS PLC AMERICAN DEP OSITARY SHARES, EACH ADS REPRE SENTING ONE ORDINARY SHARE / CUSIP: 69269L104 / Symbol:							
11/24/20	10.000	405.00	11/24/20	426.00	21.00 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PG&E CORPORATION / CUSIP: 69331C108 / Symbol:							
11/27/20	100.000	1,268.12	11/27/20	1,281.00	12.88 W	0.00	Sale <sup>25</sup>
12/03/20	100.000	1,251.96	11/27/20	1,284.38	32.42 W	0.00	Sale <sup>25</sup>
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	62.000	743.98	12/08/20	781.46	...	-37.48	1 of 2 - Sale <sup>25</sup>
	38.000	455.98	12/08/20	480.10	...	-24.12	2 of 2 - Sale <sup>25</sup>
12/10/20	100.000	1,199.96	Various	1,261.56	...	-61.60	Total of 2 transactions
<b>Security total:</b>		<b>3,720.04</b>		<b>3,826.94</b>	<b>45.30 W</b>	<b>-61.60</b>	
PVH CORP. / CUSIP: 693656100 / Symbol:							
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	5.000	346.37	09/14/20	342.49	...	3.88	1 of 3 - Sale <sup>25</sup>
	10.000	708.21	09/14/20	704.00	...	4.21	2 of 3 - Sale <sup>25</sup>
	5.000	347.67	09/14/20	342.49	...	5.18	3 of 3 - Sale <sup>25</sup>
09/14/20	20.000	1,402.25	Various	1,388.98	...	13.27	Total of 3 transactions
09/16/20	10.000	733.88	09/16/20	728.55	...	5.33	Sale <sup>25</sup>
09/16/20	20.000	1,443.56	09/16/20	1,448.00	2.22 W	-2.22	Sale <sup>25</sup>
<b>Security total:</b>		<b>3,579.69</b>		<b>3,565.53</b>	<b>2.22 W</b>	<b>16.38</b>	
PAGERDUTY, INC. / CUSIP: 69553P100 / Symbol:							
2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	3.000	85.80	10/29/20	85.65	...	0.15	1 of 2 - Sale <sup>25</sup>
	17.000	486.18	10/29/20	485.35	...	0.83	2 of 2 - Sale <sup>25</sup>
10/29/20	20.000	571.98	Various	571.00	...	0.98	Total of 2 transactions
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
4 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	50.000	768.48	11/11/20	776.50	8.02 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	764.73	11/11/20	776.50	11.77 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	769.73	11/11/20	779.52	9.79 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	769.72	11/11/20	783.27	13.55 W	0.00	4 of 4 - Sale <sup>25</sup>
11/11/20	200.000	3,072.66	Various	3,115.79	43.13 W	0.00	Total of 4 transactions
11/12/20	10.000	153.00	11/12/20	151.57	...	1.43	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
8 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	10.000	149.40	11/12/20	149.96	0.56 W	0.00	1 of 8 - Sale <sup>25</sup>
	10.000	149.39	11/12/20	151.11	1.72 W	0.00	2 of 8 - Sale <sup>25</sup>
	40.000	597.58	11/12/20	601.43	3.85 W	0.00	3 of 8 - Sale <sup>25</sup>
	40.000	597.58	11/12/20	614.84	17.26 W	0.00	4 of 8 - Sale <sup>25</sup>
	10.000	147.45	11/12/20	150.41	2.96 W	0.00	5 of 8 - Sale <sup>25</sup>
	40.000	589.78	11/12/20	603.25	13.47 W	0.00	6 of 8 - Sale <sup>25</sup>
	20.000	306.00	11/12/20	308.33	2.33 W	0.00	7 of 8 - Sale <sup>25</sup>
	20.000	304.00	11/12/20	308.33	4.33 W	0.00	8 of 8 - Sale <sup>25</sup>
11/12/20	190.000	2,841.18	Various	2,887.66	46.48 W	0.00	Total of 8 transactions
2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	10.000	183.95	11/17/20	179.32	...	4.63	1 of 2 - Sale <sup>25</sup>
	10.000	183.95	11/17/20	178.91	...	5.04	2 of 2 - Sale <sup>25</sup>
11/17/20	20.000	367.90	Various	358.23	...	9.67	Total of 2 transactions
6 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	20.000	379.70	11/18/20	380.73	1.03 W	0.00	1 of 6 - Sale <sup>25</sup>
	10.000	183.99	11/18/20	186.37	2.38 W	0.00	2 of 6 - Sale <sup>25</sup>
	20.000	367.99	11/18/20	368.33	0.34 W	0.00	3 of 6 - Sale <sup>25</sup>
	20.000	367.99	11/18/20	370.33	2.34 W	0.00	4 of 6 - Sale <sup>25</sup>
	20.000	367.99	11/18/20	370.43	2.44 W	0.00	5 of 6 - Sale <sup>25</sup>
	30.000	551.98	11/18/20	554.10	2.12 W	0.00	6 of 6 - Sale <sup>25</sup>
11/18/20	120.000	2,219.64	Various	2,230.29	10.65 W	0.00	Total of 6 transactions
11/20/20	20.000	366.99	11/19/20	366.94	...	0.05	Sale <sup>25</sup>
9 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	10.000	183.49	11/19/20	185.68	2.19 W	0.00	1 of 9 - Sale <sup>25</sup>
	20.000	366.99	11/19/20	368.94	1.95 W	0.00	2 of 9 - Sale <sup>25</sup>
	20.000	366.99	11/19/20	369.04	2.05 W	0.00	3 of 9 - Sale <sup>25</sup>
	30.000	550.48	11/19/20	552.02	1.54 W	0.00	4 of 9 - Sale <sup>25</sup>
	10.000	181.49 N	11/20/20	185.77	4.28 W	0.00	5 of 9 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$18.50

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	20.000	362.99 N	11/20/20	369.11	6.12 W	0.00	6 of 9 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$37.00
	20.000	362.99 N	11/20/20	369.21	6.22 W	0.00	7 of 9 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$37.00
	30.000	544.48 N	11/20/20	552.29	7.81 W	0.00	8 of 9 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$55.50
	20.000	362.99 N	11/20/20	367.16	4.17 W	0.00	9 of 9 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$37.00
11/20/20	180.000	3,282.89	Various	3,319.22	36.33 W	0.00	Total of 9 transactions
	2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	10.000	291.80	11/25/20	258.88	...	32.92	1 of 2 - Sale <sup>25</sup>
	10.000	291.80	11/25/20	257.66	...	34.14	2 of 2 - Sale <sup>25</sup>
11/25/20	20.000	583.60	Various	516.54	...	67.06	Total of 2 transactions
	2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	20.000	448.99	12/02/20	458.34	...	-9.35	1 of 2 - Sale <sup>25</sup>
	20.000	448.98	12/02/20	454.16	...	-5.18	2 of 2 - Sale <sup>25</sup>
12/02/20	40.000	897.97	Various	912.50	...	-14.53	Total of 2 transactions
	5 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	22.000	493.88	12/02/20	505.30	6.23 W	-5.19	1 of 5 - Sale <sup>25</sup>
	10.000	224.49	12/02/20	230.14	5.65 W	0.00	2 of 5 - Sale <sup>25</sup>
	20.000	448.99	12/02/20	460.38	11.39 W	0.00	3 of 5 - Sale <sup>25</sup>
	7.000	157.15	12/02/20	160.78	3.63 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	22.45	12/02/20	22.97	0.52 W	0.00	5 of 5 - Sale <sup>25</sup>
12/02/20	60.000	1,346.96	Various	1,379.57	27.42 W	-5.19	Total of 5 transactions
	5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	20.000	555.99	12/11/20	559.09	...	-3.10	1 of 5 - Sale <sup>25</sup>
	10.000	277.99	12/11/20	279.50	...	-1.51	2 of 5 - Sale <sup>25</sup>
	12.000	333.59	12/11/20	334.85	...	-1.26	3 of 5 - Sale <sup>25</sup>
	7.000	194.59	12/11/20	195.33	...	-0.74	4 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	1.000	27.80	12/11/20	27.90	...	-0.10	5 of 5 - Sale <sup>25</sup>
12/11/20	50.000	1,389.96	Various	1,396.67	...	-6.71	Total of 5 transactions
	<b>Security total:</b>	<b>16,522.75</b>		<b>16,634.98</b>	<b>164.01 W</b>	<b>51.78</b>	
PARETEUM CORPORATION COMMON STOCK / CUSIP: 69946T207 / Symbol:							
<i>2 transactions for 01/22/20. Total proceeds and cost reported to the IRS.</i>							
	23.000	21.92	01/16/20	17.78	...	4.14	1 of 2 - Sale <sup>25</sup>
	79.000	75.28	01/16/20	61.51	...	13.77	2 of 2 - Sale <sup>25</sup>
01/22/20	102.000	97.20	Various	79.29	...	17.91	Total of 2 transactions
<i>8 transactions for 09/30/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	20.44	09/29/20	24.34	...	-3.90	1 of 8 - Sale <sup>25</sup>
	20.000	13.63	09/29/20	16.19	...	-2.56	2 of 8 - Sale <sup>25</sup>
	10.000	6.81	09/29/20	8.22	...	-1.41	3 of 8 - Sale <sup>25</sup>
	10.000	6.81	09/29/20	8.17	...	-1.36	4 of 8 - Sale <sup>25</sup>
	10.000	6.81	09/29/20	8.17	...	-1.36	5 of 8 - Sale <sup>25</sup>
	10.000	6.81	09/29/20	8.02	...	-1.21	6 of 8 - Sale <sup>25</sup>
	5.000	3.41	09/29/20	4.04	...	-0.63	7 of 8 - Sale <sup>25</sup>
	5.000	3.41	09/29/20	4.04	...	-0.63	8 of 8 - Sale <sup>25</sup>
09/30/20	100.000	68.13	Various	81.19	...	-13.06	Total of 8 transactions
<i>8 transactions for 09/30/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	6.71	09/29/20	7.50	0.79 W	0.00	1 of 8 - Sale <sup>25</sup>
	10.000	6.71	09/29/20	7.45	0.74 W	0.00	2 of 8 - Sale <sup>25</sup>
	10.000	6.72	09/29/20	7.46	0.74 W	0.00	3 of 8 - Sale <sup>25</sup>
	10.000	6.71	09/29/20	7.30	0.59 W	0.00	4 of 8 - Sale <sup>25</sup>
	30.000	20.15	09/29/20	22.20	2.05 W	0.00	5 of 8 - Sale <sup>25</sup>
	20.000	13.43	09/29/20	14.76	1.33 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	3.36	09/29/20	3.68	0.32 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	3.35	09/29/20	3.68	0.33 W	0.00	8 of 8 - Sale <sup>25</sup>
09/30/20	100.000	67.14	Various	74.03	6.89 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>232.47</b>		<b>234.51</b>	<b>6.89 W</b>	<b>4.85</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
01/27/20	100.000	291.49	01/17/20	312.00	...	-20.51	Sale <sup>25</sup>
08/24/20	50.000	110.50	08/19/20	101.00	...	9.50	Sale <sup>25</sup>
10/21/20	100.000	222.99	10/21/20	226.81	3.82 W	0.00	Sale <sup>25</sup>
	2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	20.000	67.04	11/12/20	69.36	2.32 W	0.00	1 of 2 - Sale <sup>25</sup>
	80.000	268.14	11/12/20	275.80	7.66 W	0.00	2 of 2 - Sale <sup>25</sup>
11/13/20	100.000	335.18	Various	345.16	9.98 W	0.00	Total of 2 transactions
	2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	80.000	335.99	11/18/20	353.26	...	-17.27	1 of 2 - Sale <sup>25</sup>
	20.000	84.00	11/18/20	88.72	...	-4.72	2 of 2 - Sale <sup>25</sup>
11/18/20	100.000	419.99	Various	441.98	...	-21.99	Total of 2 transactions
	<b>Security total:</b>	<b>1,380.15</b>		<b>1,426.95</b>	<b>13.80 W</b>	<b>-33.00</b>	
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
09/10/20	10.000	1,932.15	09/10/20	1,953.80	21.65 W	0.00	Sale <sup>25</sup>
	2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	10.000	1,803.11	09/24/20	1,852.02	24.46 W	-24.45	1 of 2 - Sale <sup>25</sup>
	10.000	1,808.96	09/24/20	1,841.45	32.49 W	0.00	2 of 2 - Sale <sup>25</sup>
09/24/20	20.000	3,612.07	Various	3,693.47	56.95 W	-24.45	Total of 2 transactions
10/21/20	5.000	1,052.52	10/21/20	1,073.21	20.69 W	0.00	Sale <sup>25</sup>
	4 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	5.000	939.23	11/02/20	938.98	...	0.25	1 of 4 - Sale <sup>25</sup>
	5.000	932.97	11/02/20	929.47	...	3.50	2 of 4 - Sale <sup>25</sup>
	5.000	942.83	11/02/20	938.85	...	3.98	3 of 4 - Sale <sup>25</sup>
	10.000	1,885.65	11/02/20	1,879.23	...	6.42	4 of 4 - Sale <sup>25</sup>
11/02/20	25.000	4,700.68	Various	4,686.53	...	14.15	Total of 4 transactions
	11 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	5.000	944.47	11/02/20	967.19	22.72 W	0.00	1 of 11 - Sale <sup>25</sup>
	5.000	929.58	11/02/20	954.52	24.94 W	0.00	2 of 11 - Sale <sup>25</sup>
	5.000	929.58	11/02/20	931.80	2.22 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	929.57	11/02/20	931.90	2.33 W	0.00	4 of 11 - Sale <sup>25</sup>
	5.000	932.98	11/02/20	952.19	19.21 W	0.00	5 of 11 - Sale <sup>25</sup>
	5.000	939.22	11/02/20	955.85	16.63 W	0.00	6 of 11 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol: (cont'd)							
	5.000	942.83	11/02/20	955.48	12.65 W	0.00	7 of 11 - Sale <sup>25</sup>
	5.000	892.48	11/02/20	919.85	27.37 W	0.00	8 of 11 - Sale <sup>25</sup>
	5.000	893.28	11/02/20	907.20	13.92 W	0.00	9 of 11 - Sale <sup>25</sup>
	2.000	354.19	11/02/20	362.95	8.76 W	0.00	10 of 11 - Sale <sup>25</sup>
	3.000	531.29	11/02/20	545.26	13.97 W	0.00	11 of 11 - Sale <sup>25</sup>
11/02/20	50.000	9,219.47	Various	9,384.19	164.72 W	0.00	Total of 11 transactions
	3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	2.000	388.83	11/23/20	398.50	9.67 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	583.24	11/23/20	598.58	15.34 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	972.08	11/23/20	988.27	16.19 W	0.00	3 of 3 - Sale <sup>25</sup>
11/23/20	10.000	1,944.15	Various	1,985.35	41.20 W	0.00	Total of 3 transactions
	3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	2.000	423.13	11/23/20	400.67	...	22.46	1 of 3 - Sale <sup>25</sup>
	3.000	634.69	11/23/20	601.84	...	32.85	2 of 3 - Sale <sup>25</sup>
	5.000	1,057.83	11/23/20	993.69	...	64.14	3 of 3 - Sale <sup>25</sup>
11/25/20	10.000	2,115.65	Various	1,996.20	...	119.45	Total of 3 transactions
	3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	1.000	215.12	11/30/20	215.02	...	0.10	1 of 3 - Sale <sup>25</sup>
	2.000	430.25	11/30/20	429.60	...	0.65	2 of 3 - Sale <sup>25</sup>
	7.000	1,505.88	11/30/20	1,503.60	...	2.28	3 of 3 - Sale <sup>25</sup>
11/30/20	10.000	2,151.25	Various	2,148.22	...	3.03	Total of 3 transactions
12/03/20	5.000	1,072.40	12/03/20	1,077.08	...	-4.68	Sale <sup>25</sup>
	<b>Security total:</b>	<b>27,800.34</b>		<b>27,998.05</b>	<b>305.21 W</b>	<b>107.50</b>	

PEABODY ENERGY CORPORATION / CUSIP: 704551100 / Symbol:

4 transactions for 04/29/20. Total proceeds and cost reported to the IRS.

	5.000	16.65	04/28/20	14.65	...	2.00	1 of 4 - Sale <sup>25</sup>
	5.000	16.67	04/28/20	14.25	...	2.42	2 of 4 - Sale <sup>25</sup>
	95.000	316.82	04/28/20	278.35	...	38.47	3 of 4 - Sale <sup>25</sup>
	95.000	316.34	04/28/20	270.74	...	45.60	4 of 4 - Sale <sup>25</sup>
04/29/20	200.000	666.48	Various	577.99	...	88.49	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PEABODY ENERGY CORPORATION / CUSIP: 704551100 / Symbol: (cont'd)							
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	300.000	578.94	12/15/20	609.00	...	-30.06	1 of 2 - Sale <sup>25</sup>
	200.000	386.98	12/15/20	406.00	...	-19.02	2 of 2 - Sale <sup>25</sup>
12/15/20	500.000	965.92	Various	1,015.00	...	-49.08	Total of 2 transactions
	<b>Security total:</b>	<b>1,632.40</b>		<b>1,592.99</b>	...	<b>39.41</b>	
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	90.23	09/08/20	89.51	...	0.72	1 of 2 - Sale <sup>25</sup>
	10.000	902.27	09/08/20	896.80	...	5.47	2 of 2 - Sale <sup>25</sup>
09/08/20	11.000	992.50	Various	986.31	...	6.19	Total of 2 transactions
09/11/20	30.000	2,683.44	09/11/20	2,702.39	18.95 W	0.00	Sale <sup>25</sup>
09/21/20	5.000	472.05	09/21/20	477.77	5.72 W	0.00	Sale <sup>25</sup>
09/23/20	10.000	1,001.87	09/23/20	997.31	...	4.56	Sale <sup>25</sup>
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	5.000	489.99	09/23/20	495.16	5.17 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	489.98	09/23/20	496.96	6.98 W	0.00	2 of 2 - Sale <sup>25</sup>
09/23/20	10.000	979.97	Various	992.12	12.15 W	0.00	Total of 2 transactions
13 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	3.000	291.23	09/25/20	290.97	...	0.26	1 of 13 - Sale <sup>25</sup>
	2.000	193.23	09/25/20	192.95	...	0.28	2 of 13 - Sale <sup>25</sup>
	1.000	96.62	09/25/20	96.32	...	0.30	3 of 13 - Sale <sup>25</sup>
	1.000	96.62	09/25/20	96.31	...	0.31	4 of 13 - Sale <sup>25</sup>
	4.000	383.34	09/25/20	383.01	...	0.33	5 of 13 - Sale <sup>25</sup>
	1.000	96.62	09/25/20	96.13	...	0.49	6 of 13 - Sale <sup>25</sup>
	5.000	475.53	09/25/20	474.91	...	0.62	7 of 13 - Sale <sup>25</sup>
	2.000	194.16	09/25/20	193.53	...	0.63	8 of 13 - Sale <sup>25</sup>
	4.000	386.47	09/25/20	385.56	...	0.91	9 of 13 - Sale <sup>25</sup>
	4.000	383.34	09/25/20	381.89	...	1.45	10 of 13 - Sale <sup>25</sup>
	4.000	386.47	09/25/20	384.46	...	2.01	11 of 13 - Sale <sup>25</sup>
	4.000	386.47	09/25/20	383.83	...	2.64	12 of 13 - Sale <sup>25</sup>
	15.000	1,456.17	09/25/20	1,450.49	...	5.68	13 of 13 - Sale <sup>25</sup>
09/25/20	50.000	4,826.27	Various	4,810.36	...	15.91	Total of 13 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
29 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	5.000	469.20	09/25/20	471.59	2.39 W	0.00	1 of 29 - Sale <sup>25</sup>
	5.000	469.20	09/25/20	474.14	4.94 W	0.00	2 of 29 - Sale <sup>25</sup>
	1.000	93.84	09/25/20	94.58	0.74 W	0.00	3 of 29 - Sale <sup>25</sup>
	4.000	375.36	09/25/20	378.22	2.86 W	0.00	4 of 29 - Sale <sup>25</sup>
	5.000	469.20	09/25/20	474.58	5.38 W	0.00	5 of 29 - Sale <sup>25</sup>
	1.000	95.05	09/25/20	95.53	0.48 W	0.00	6 of 29 - Sale <sup>25</sup>
	1.000	95.05	09/25/20	95.79	0.74 W	0.00	7 of 29 - Sale <sup>25</sup>
	1.000	95.05	09/25/20	95.53	0.48 W	0.00	8 of 29 - Sale <sup>25</sup>
	4.000	380.19	09/25/20	382.11	1.92 W	0.00	9 of 29 - Sale <sup>25</sup>
	4.000	380.19	09/25/20	383.06	2.87 W	0.00	10 of 29 - Sale <sup>25</sup>
	5.000	475.24	09/25/20	480.19	4.95 W	0.00	11 of 29 - Sale <sup>25</sup>
	5.000	475.24	09/25/20	480.63	5.39 W	0.00	12 of 29 - Sale <sup>25</sup>
	9.000	855.42	09/25/20	855.45	0.03 W	0.00	13 of 29 - Sale <sup>25</sup>
	4.000	380.71	09/25/20	381.84	1.13 W	0.00	14 of 29 - Sale <sup>25</sup>
	1.000	95.17	09/25/20	95.97	0.80 W	0.00	15 of 29 - Sale <sup>25</sup>
	1.000	95.11	09/25/20	95.72	0.61 W	0.00	16 of 29 - Sale <sup>25</sup>
	1.000	95.11	09/25/20	95.46	0.35 W	0.00	17 of 29 - Sale <sup>25</sup>
	4.000	380.43	09/25/20	382.78	2.35 W	0.00	18 of 29 - Sale <sup>25</sup>
	4.000	380.43	09/25/20	383.88	3.45 W	0.00	19 of 29 - Sale <sup>25</sup>
	5.000	475.54	09/25/20	480.29	4.75 W	0.00	20 of 29 - Sale <sup>25</sup>
	1.000	95.84	09/25/20	96.27	0.43 W	0.00	21 of 29 - Sale <sup>25</sup>
	1.000	95.84	09/25/20	96.08	0.24 W	0.00	22 of 29 - Sale <sup>25</sup>
	2.000	191.67	09/25/20	192.84	1.17 W	0.00	23 of 29 - Sale <sup>25</sup>
	4.000	383.34	09/25/20	385.33	1.99 W	0.00	24 of 29 - Sale <sup>25</sup>
	4.000	383.34	09/25/20	384.23	0.89 W	0.00	25 of 29 - Sale <sup>25</sup>
	1.000	95.83	09/25/20	96.25	0.42 W	0.00	26 of 29 - Sale <sup>25</sup>
	3.000	287.51	09/25/20	290.55	3.04 W	0.00	27 of 29 - Sale <sup>25</sup>
	6.000	575.01	09/25/20	575.40	0.39 W	0.00	28 of 29 - Sale <sup>25</sup>
	3.000	289.85	09/25/20	290.72	0.87 W	0.00	29 of 29 - Sale <sup>25</sup>
09/25/20	95.000	9,028.96	Various	9,085.01	56.05 W	0.00	Total of 29 transactions
3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	99.90	09/30/20	100.90	1.00 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	299.70	09/30/20	302.70	3.00 W	0.00	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
09/30/20	6.000	599.26	09/30/20	605.40	6.14 W	0.00	3 of 3 - Sale <sup>25</sup>
	10.000	998.86	Various	1,009.00	10.14 W	0.00	Total of 3 transactions
	2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	5.000	520.14	10/01/20	518.07	...	2.07	1 of 2 - Sale <sup>25</sup>
	5.000	520.13	10/01/20	518.06	...	2.07	2 of 2 - Sale <sup>25</sup>
10/01/20	10.000	1,040.27	Various	1,036.13	...	4.14	Total of 2 transactions
	21 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	1.000	102.78	10/01/20	103.30	0.52 W	0.00	1 of 21 - Sale <sup>25</sup>
	1.000	102.78	10/01/20	103.32	0.54 W	0.00	2 of 21 - Sale <sup>25</sup>
	3.000	308.33	10/01/20	309.90	1.57 W	0.00	3 of 21 - Sale <sup>25</sup>
	5.000	513.88	10/01/20	516.57	2.69 W	0.00	4 of 21 - Sale <sup>25</sup>
	1.000	102.59	10/01/20	103.69	1.10 W	0.00	5 of 21 - Sale <sup>25</sup>
	1.000	102.59	10/01/20	103.71	1.12 W	0.00	6 of 21 - Sale <sup>25</sup>
	3.000	307.76	10/01/20	311.09	3.33 W	0.00	7 of 21 - Sale <sup>25</sup>
	5.000	512.94	10/01/20	518.56	5.62 W	0.00	8 of 21 - Sale <sup>25</sup>
	10.000	1,025.87	10/01/20	1,030.00	4.13 W	0.00	9 of 21 - Sale <sup>25</sup>
	1.000	104.44	10/01/20	104.45	0.01 W	0.00	10 of 21 - Sale <sup>25</sup>
	1.000	104.45	10/01/20	104.47	0.02 W	0.00	11 of 21 - Sale <sup>25</sup>
	3.000	313.33	10/01/20	313.38	0.05 W	0.00	12 of 21 - Sale <sup>25</sup>
	5.000	522.22	10/01/20	522.37	0.15 W	0.00	13 of 21 - Sale <sup>25</sup>
	1.000	104.40	10/01/20	104.84	0.44 W	0.00	14 of 21 - Sale <sup>25</sup>
	1.000	104.40	10/01/20	104.85	0.45 W	0.00	15 of 21 - Sale <sup>25</sup>
	3.000	313.19	10/01/20	314.54	1.35 W	0.00	16 of 21 - Sale <sup>25</sup>
	5.000	521.98	10/01/20	524.29	2.31 W	0.00	17 of 21 - Sale <sup>25</sup>
	1.000	104.23	10/01/20	104.87	0.64 W	0.00	18 of 21 - Sale <sup>25</sup>
	1.000	104.23	10/01/20	104.88	0.65 W	0.00	19 of 21 - Sale <sup>25</sup>
	3.000	312.68	10/01/20	314.65	1.97 W	0.00	20 of 21 - Sale <sup>25</sup>
	5.000	521.13	10/01/20	524.48	3.35 W	0.00	21 of 21 - Sale <sup>25</sup>
10/01/20	60.000	6,210.20	Various	6,242.21	32.01 W	0.00	Total of 21 transactions
	23 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	109.11	10/02/20	111.14	2.03 W	0.00	1 of 23 - Sale <sup>25</sup>
	1.000	109.11	10/02/20	111.15	2.04 W	0.00	2 of 23 - Sale <sup>25</sup>
	3.000	327.31	10/02/20	333.47	6.16 W	0.00	3 of 23 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
	1.000	109.10	10/02/20	110.71	1.61 W	0.00	4 of 23 - Sale <sup>25</sup>
	1.000	109.10	10/02/20	110.72	1.62 W	0.00	5 of 23 - Sale <sup>25</sup>
	3.000	327.29	10/02/20	332.17	4.88 W	0.00	6 of 23 - Sale <sup>25</sup>
	5.000	545.48	10/02/20	553.69	8.21 W	0.00	7 of 23 - Sale <sup>25</sup>
	1.000	108.49	10/02/20	110.99	2.50 W	0.00	8 of 23 - Sale <sup>25</sup>
	1.000	108.49	10/02/20	111.00	2.51 W	0.00	9 of 23 - Sale <sup>25</sup>
	3.000	325.49	10/02/20	333.04	7.55 W	0.00	10 of 23 - Sale <sup>25</sup>
	5.000	542.48	10/02/20	553.00	10.52 W	0.00	11 of 23 - Sale <sup>25</sup>
	1.000	107.80	10/02/20	110.89	3.09 W	0.00	12 of 23 - Sale <sup>25</sup>
	1.000	107.79	10/02/20	110.90	3.11 W	0.00	13 of 23 - Sale <sup>25</sup>
	3.000	323.39	10/02/20	332.72	9.33 W	0.00	14 of 23 - Sale <sup>25</sup>
	5.000	538.99	10/02/20	552.47	13.48 W	0.00	15 of 23 - Sale <sup>25</sup>
	1.000	107.76	10/02/20	110.74	2.98 W	0.00	16 of 23 - Sale <sup>25</sup>
	1.000	107.75	10/02/20	110.75	3.00 W	0.00	17 of 23 - Sale <sup>25</sup>
	3.000	323.27	10/02/20	332.27	9.00 W	0.00	18 of 23 - Sale <sup>25</sup>
	5.000	538.79	10/02/20	551.72	12.93 W	0.00	19 of 23 - Sale <sup>25</sup>
	1.000	107.09	10/02/20	110.14	3.05 W	0.00	20 of 23 - Sale <sup>25</sup>
	1.000	107.09	10/02/20	110.16	3.07 W	0.00	21 of 23 - Sale <sup>25</sup>
	3.000	321.26	10/02/20	330.48	9.22 W	0.00	22 of 23 - Sale <sup>25</sup>
	5.000	535.43	10/02/20	548.73	13.30 W	0.00	23 of 23 - Sale <sup>25</sup>
10/02/20	55.000	5,947.86	Various	6,083.05	135.19 W	0.00	Total of 23 transactions
10/07/20	10.000	1,170.64	10/07/20	1,167.50	...	3.14	Sale <sup>25</sup>
	22 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	116.58	10/07/20	117.96	1.38 W	0.00	1 of 22 - Sale <sup>25</sup>
	1.000	116.58	10/07/20	118.35	1.77 W	0.00	2 of 22 - Sale <sup>25</sup>
	1.000	116.58	10/07/20	118.37	1.79 W	0.00	3 of 22 - Sale <sup>25</sup>
	3.000	349.72	10/07/20	355.12	5.40 W	0.00	4 of 22 - Sale <sup>25</sup>
	4.000	466.31	10/07/20	471.84	5.53 W	0.00	5 of 22 - Sale <sup>25</sup>
	1.000	117.06	10/07/20	118.13	1.07 W	0.00	6 of 22 - Sale <sup>25</sup>
	1.000	117.06	10/07/20	118.52	1.46 W	0.00	7 of 22 - Sale <sup>25</sup>
	1.000	117.06	10/07/20	118.54	1.48 W	0.00	8 of 22 - Sale <sup>25</sup>
	3.000	351.19	10/07/20	355.65	4.46 W	0.00	9 of 22 - Sale <sup>25</sup>
	4.000	468.25	10/07/20	472.53	4.28 W	0.00	10 of 22 - Sale <sup>25</sup>
	1.000	118.08	10/07/20	118.30	0.22 W	0.00	11 of 22 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
	1.000	118.08	10/07/20	118.31	0.23 W	0.00	12 of 22 - Sale <sup>25</sup>
	1.000	118.08	10/07/20	118.70	0.62 W	0.00	13 of 22 - Sale <sup>25</sup>
	1.000	118.07	10/07/20	118.72	0.65 W	0.00	14 of 22 - Sale <sup>25</sup>
	3.000	354.23	10/07/20	356.16	1.93 W	0.00	15 of 22 - Sale <sup>25</sup>
	3.000	354.23	10/07/20	354.91	0.68 W	0.00	16 of 22 - Sale <sup>25</sup>
	1.000	118.05	10/07/20	118.58	0.53 W	0.00	17 of 22 - Sale <sup>25</sup>
	1.000	118.05	10/07/20	118.59	0.54 W	0.00	18 of 22 - Sale <sup>25</sup>
	1.000	118.05	10/07/20	118.98	0.93 W	0.00	19 of 22 - Sale <sup>25</sup>
	1.000	118.04	10/07/20	119.01	0.97 W	0.00	20 of 22 - Sale <sup>25</sup>
	3.000	354.14	10/07/20	355.76	1.62 W	0.00	21 of 22 - Sale <sup>25</sup>
	3.000	354.14	10/07/20	357.01	2.87 W	0.00	22 of 22 - Sale <sup>25</sup>
10/07/20	40.000	4,697.63	Various	4,738.04	40.41 W	0.00	Total of 22 transactions
	4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	1.000	119.67	10/09/20	119.49	...	0.18	1 of 4 - Sale <sup>25</sup>
	1.000	119.68	10/09/20	119.48	...	0.20	2 of 4 - Sale <sup>25</sup>
	3.000	359.03	10/09/20	358.49	...	0.54	3 of 4 - Sale <sup>25</sup>
	5.000	598.51	10/09/20	597.51	...	1.00	4 of 4 - Sale <sup>25</sup>
10/09/20	10.000	1,196.89	Various	1,194.97	...	1.92	Total of 4 transactions
	10 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	1.000	117.70	10/09/20	117.78	0.08 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	117.70	10/09/20	117.79	0.09 W	0.00	2 of 10 - Sale <sup>25</sup>
	3.000	353.08	10/09/20	353.37	0.29 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	119.68	10/09/20	120.33	0.65 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	119.68	10/09/20	120.37	0.69 W	0.00	5 of 10 - Sale <sup>25</sup>
	3.000	359.03	10/09/20	361.07	2.04 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	119.70	10/09/20	120.15	0.45 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	119.70	10/09/20	120.19	0.49 W	0.00	8 of 10 - Sale <sup>25</sup>
	3.000	359.11	10/09/20	360.54	1.43 W	0.00	9 of 10 - Sale <sup>25</sup>
	10.000	1,197.02	10/09/20	1,199.30	2.28 W	0.00	10 of 10 - Sale <sup>25</sup>
10/09/20	25.000	2,982.40	Various	2,990.89	8.49 W	0.00	Total of 10 transactions
	4 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	1.000	137.41	10/16/20	139.10	1.69 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	137.41	10/16/20	139.84	2.43 W	0.00	2 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
	1.000	137.40	10/16/20	139.58	2.18 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	412.22	10/16/20	419.48	7.26 W	0.00	4 of 4 - Sale <sup>25</sup>
10/16/20	6.000	824.44	Various	838.00	13.56 W	0.00	Total of 4 transactions
10/21/20	1.000	130.98	10/21/20	137.69	...	-6.71	Sale <sup>25</sup>
10/21/20	9.000	1,178.79	10/21/20	1,226.05	26.26 W	-21.00	Sale <sup>25</sup>
4 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	5.000	609.98	11/05/20	659.50	...	-49.52	1 of 4 - Sale <sup>25</sup>
	3.000	365.99	11/05/20	387.20	...	-21.21	2 of 4 - Sale <sup>25</sup>
	1.000	122.00	11/05/20	129.08	...	-7.08	3 of 4 - Sale <sup>25</sup>
	1.000	121.99	11/05/20	128.83	...	-6.84	4 of 4 - Sale <sup>25</sup>
11/05/20	10.000	1,219.96	Various	1,304.61	...	-84.65	Total of 4 transactions
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	10.000	1,239.24	12/14/20	1,234.00	...	5.24	1 of 2 - Sale <sup>25</sup>
	10.000	1,239.25	12/14/20	1,228.00	...	11.25	2 of 2 - Sale <sup>25</sup>
12/14/20	20.000	2,478.49	Various	2,462.00	...	16.49	Total of 2 transactions
	<b>Security total:</b>	<b>50,062.47</b>		<b>50,481.41</b>	<b>358.93 W</b>	<b>-60.01</b>	
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol:							
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	5.000	340.99	09/29/20	349.39	...	-8.40	1 of 2 - Sale <sup>25</sup>
	5.000	340.99	09/29/20	348.49	...	-7.50	2 of 2 - Sale <sup>25</sup>
09/29/20	10.000	681.98	Various	697.88	...	-15.90	Total of 2 transactions
3 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	50.000	3,874.91	12/14/20	4,200.00	...	-325.09	1 of 3 - Sale <sup>25</sup>
	30.000	2,324.94	12/14/20	2,479.65	...	-154.71	2 of 3 - Sale <sup>25</sup>
	20.000	1,549.96	12/14/20	1,661.50	...	-111.54	3 of 3 - Sale <sup>25</sup>
12/15/20	100.000	7,749.81	Various	8,341.15	...	-591.34	Total of 3 transactions
	<b>Security total:</b>	<b>8,431.79</b>		<b>9,039.03</b>	...	<b>-607.24</b>	
PEPSICO, INC. COMMON STOCK / CUSIP: 713448108 / Symbol:							
3 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	3.000	430.80	12/16/19	411.89	...	18.91	1 of 3 - Sale <sup>25</sup>
	1.000	143.59	12/17/19	136.00	...	7.59	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PEPSICO, INC. COMMON STOCK / CUSIP: 713448108 / Symbol: (cont'd)							
	1.000	143.59	12/17/19	136.00	...	7.59	3 of 3 - Sale <sup>25</sup>
01/24/20	5.000	717.98	Various	683.89	...	34.09	Total of 3 transactions
02/27/20	5.000	697.83	01/28/20	713.25	...	-15.42	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,415.81</b>		<b>1,397.14</b>	...	<b>18.67</b>	
PERFORMANCE FOOD GROUP COMPANY / CUSIP: 71377A103 / Symbol:							
3 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	20.000	773.98	08/28/20	736.40	...	37.58	1 of 3 - Sale <sup>25</sup>
	30.000	1,160.97	08/28/20	1,107.60	...	53.37	2 of 3 - Sale <sup>25</sup>
	50.000	1,934.95	08/28/20	1,841.50	...	93.45	3 of 3 - Sale <sup>25</sup>
09/10/20	100.000	3,869.90	Various	3,685.50	...	184.40	Total of 3 transactions
5 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	28.480	1,087.91	10/08/20	1,096.47	...	-8.56	1 of 5 - Sale <sup>25</sup>
	9.520	363.65	10/08/20	369.11	...	-5.46	2 of 5 - Sale <sup>25</sup>
	0.480	18.34	10/08/20	18.60	...	-0.26	3 of 5 - Sale <sup>25</sup>
	1.000	38.20	10/08/20	38.46	...	-0.26	4 of 5 - Sale <sup>25</sup>
	0.520	19.86	10/08/20	20.02	...	-0.16	5 of 5 - Sale <sup>25</sup>
10/08/20	40.000	1,527.96	Various	1,542.66	...	-14.70	Total of 5 transactions
10/08/20	10.000	384.30	10/08/20	387.03	2.73 W	0.00	Sale <sup>25</sup>
11/13/20	20.000	864.78	11/13/20	867.81	...	-3.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,646.94</b>		<b>6,483.00</b>	<b>2.73 W</b>	<b>166.67</b>	
PERSHING SQUARE TONTINE HOLDIN GS, LTD. / CUSIP: 71531R109 / Symbol:							
11/20/20	20.000	479.02	11/19/20	476.20	...	2.82	Sale <sup>25</sup>
PFIZER INC. / CUSIP: 717081103 / Symbol:							
09/14/20	50.000	1,858.45	09/09/20	1,823.41	...	35.04	Sale <sup>25</sup>
10/26/20	10.000	377.23	10/26/20	379.30	2.07 W	0.00	Sale <sup>25</sup>
4 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	10.000	386.49	11/09/20	396.27	9.78 W	0.00	1 of 4 - Sale <sup>25</sup>
	40.000	1,545.96	11/09/20	1,576.80	30.84 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	1,932.45	11/09/20	1,977.50	45.05 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	395.00	11/09/20	411.57	16.57 W	0.00	4 of 4 - Sale <sup>25</sup>
11/09/20	110.000	4,259.90	Various	4,362.14	102.24 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PFIZER INC. / CUSIP: 717081103 / Symbol: (cont'd)							
11/10/20	10.000	388.03	11/09/20	407.21	19.18 W	0.00	Sale <sup>25</sup>
	<i>4 transactions for 12/01/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	398.79	11/23/20	385.03	...	13.76	1 of 4 - Sale <sup>25</sup>
	10.000	398.79	11/23/20	382.42	...	16.37	2 of 4 - Sale <sup>25</sup>
	30.000	1,196.37	11/23/20	1,120.68	...	75.69	3 of 4 - Sale <sup>25</sup>
	50.000	1,993.95	11/23/20	1,874.30	...	119.65	4 of 4 - Sale <sup>25</sup>
12/01/20	100.000	3,987.90	Various	3,762.43	...	225.47	Total of 4 transactions
	<i>3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>						
	30.000	1,268.97 N	12/08/20	1,269.60	...	-0.63	1 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$96.00
	40.000	1,691.95 N	12/08/20	1,691.20	...	0.75	2 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$128.00
	30.000	1,268.96 N	12/08/20	1,265.85	...	3.11	3 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$96.00
12/11/20	100.000	4,229.88	Various	4,226.65	...	3.23	Total of 3 transactions
	<b>Security total:</b>	<b>15,101.39</b>		<b>14,961.14</b>	<b>123.49 W</b>	<b>263.74</b>	
PHILIP MORRIS INTERNATIONAL IN C. / CUSIP: 718172109 / Symbol:							
	<i>4 transactions for 01/24/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	85.77	10/24/19	81.80	...	3.97	1 of 4 - Sale <sup>25</sup>
	2.000	171.54	10/25/19	163.60	...	7.94	2 of 4 - Sale <sup>25</sup>
	2.000	171.53	12/13/19	168.06	...	3.47	3 of 4 - Sale <sup>25</sup>
	5.000	428.84	12/13/19	419.60	...	9.24	4 of 4 - Sale <sup>25</sup>
01/24/20	10.000	857.68	Various	833.06	...	24.62	Total of 4 transactions
01/24/20	9.000	771.91	01/24/20	780.03	8.12 W	0.00	Sale <sup>25</sup>
	<i>3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	75.12	01/24/20	86.67	...	-11.55	1 of 3 - Sale <sup>25</sup>
	9.000	676.06	01/28/20	768.80	...	-92.74	2 of 3 - Sale <sup>25</sup>
	1.000	75.12	01/28/20	84.52	...	-9.40	3 of 3 - Sale <sup>25</sup>
06/17/20	11.000	826.30	Various	939.99	...	-113.69	Total of 3 transactions
	<b>Security total:</b>	<b>2,455.89</b>		<b>2,553.08</b>	<b>8.12 W</b>	<b>-89.07</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PHOENIX TREE HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTING TEN (10) CLASS / CUSIP: 719156101 / Symbol:							
11/17/20	100.000	223.99	11/17/20	196.03	...	27.96	Sale <sup>25</sup>
PINDUODUO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 722304102 / Symbol:							
07/22/20	50.000	4,042.41	07/22/20	4,052.50	6.06 W	-4.03	Sale <sup>25</sup>
08/11/20	10.000	902.98	08/11/20	904.52	1.54 W	0.00	Sale <sup>25</sup>
08/25/20	20.000	1,750.36	08/21/20	1,735.04	...	15.32	Sale <sup>25</sup>
	4 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	1.000	91.54	08/28/20	90.69	...	0.85	1 of 4 - Sale <sup>25</sup>
	1.000	91.54	08/28/20	90.67	...	0.87	2 of 4 - Sale <sup>25</sup>
	1.000	91.53	08/28/20	90.63	...	0.90	3 of 4 - Sale <sup>25</sup>
	10.000	915.38	08/28/20	909.89	...	5.49	4 of 4 - Sale <sup>25</sup>
08/28/20	13.000	1,189.99	Various	1,181.88	...	8.11	Total of 4 transactions
09/30/20	10.000	744.82	09/30/20	744.35	...	0.47	Sale <sup>25</sup>
	3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	10.000	742.98	09/30/20	746.55	3.57 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	741.98	09/30/20	754.57	12.59 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	741.98	09/30/20	751.00	9.02 W	0.00	3 of 3 - Sale <sup>25</sup>
09/30/20	30.000	2,226.94	Various	2,252.12	25.18 W	0.00	Total of 3 transactions
10/07/20	10.000	751.68	10/07/20	750.98	...	0.70	Sale <sup>25</sup>
	4 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	10.000	750.73	10/07/20	760.32	4.80 W	-4.79	1 of 4 - Sale <sup>25</sup>
	10.000	751.68	10/07/20	763.58	11.90 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	751.69	10/07/20	760.01	8.32 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	750.73	10/07/20	763.90	13.17 W	0.00	4 of 4 - Sale <sup>25</sup>
10/07/20	40.000	3,004.83	Various	3,047.81	38.19 W	-4.79	Total of 4 transactions
10/16/20	5.000	424.90	10/16/20	434.28	...	-9.38	Sale <sup>25</sup>
	2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	5.000	459.64	10/30/20	474.89	...	-15.25	1 of 2 - Sale <sup>25</sup>
	5.000	459.63	10/30/20	473.10	...	-13.47	2 of 2 - Sale <sup>25</sup>
10/30/20	10.000	919.27	Various	947.99	...	-28.72	Total of 2 transactions
	<b>Security total:</b>	<b>15,958.18</b>		<b>16,051.47</b>	<b>70.97 W</b>	<b>-22.32</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
01/17/20	28.000	663.58	01/14/20	604.24	...	59.34	Sale <sup>25</sup>
02/07/20	10.000	253.00	01/14/20	215.80	...	37.20	Sale <sup>25</sup>
02/14/20	10.000	234.91	01/14/20	215.80	...	19.11	Sale <sup>25</sup>
07/31/20	50.000	1,673.71	07/31/20	1,680.00	...	-6.29	Sale <sup>25</sup>
09/17/20	30.000	1,097.23	09/17/20	1,092.80	...	4.43	Sale <sup>25</sup>
09/23/20	20.000	818.98	09/23/20	821.55	2.57 W	0.00	Sale <sup>25</sup>
<i>7 transactions for 09/25/20. Total proceeds and cost reported to the IRS.</i>							
	32.000	1,255.97	09/25/20	1,261.01	...	-5.04	1 of 7 - Sale <sup>25</sup>
	18.000	706.48	09/25/20	709.34	...	-2.86	2 of 7 - Sale <sup>25</sup>
	1.000	39.15	09/25/20	39.15	...	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	39.15	09/25/20	39.15	...	0.00	4 of 7 - Sale <sup>25</sup>
	20.000	775.60	09/25/20	775.46	...	0.14	5 of 7 - Sale <sup>25</sup>
	30.000	1,172.97	09/25/20	1,170.45	...	2.52	6 of 7 - Sale <sup>25</sup>
	30.000	1,163.41	09/25/20	1,159.34	...	4.07	7 of 7 - Sale <sup>25</sup>
09/25/20	132.000	5,152.73	Various	5,153.90	...	-1.17	Total of 7 transactions
09/25/20	18.000	704.68	09/25/20	704.70	0.02 W	0.00	Sale <sup>25</sup>
10/28/20	10.000	542.98	10/27/20	523.70	...	19.28	Sale <sup>25</sup>
11/04/20	20.000	1,218.17	11/04/20	1,220.80	2.63 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	1,779.26	11/11/20	1,784.94	0.57 W	-5.11	1 of 2 - Sale <sup>25</sup>
	20.000	1,186.17	11/11/20	1,192.59	6.42 W	0.00	2 of 2 - Sale <sup>25</sup>
11/11/20	50.000	2,965.43	Various	2,977.53	6.99 W	-5.11	Total of 2 transactions
11/12/20	1.000	60.41	11/12/20	60.87	...	-0.46	Sale <sup>25</sup>
11/17/20	2.000	127.00	11/17/20	128.06	...	-1.06	Sale <sup>25</sup>
<i>2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.</i>							
	17.000	1,129.28	11/25/20	1,141.32	...	-12.04	1 of 2 - Sale <sup>25</sup>
	3.000	199.29	11/25/20	201.02	...	-1.73	2 of 2 - Sale <sup>25</sup>
11/25/20	20.000	1,328.57	Various	1,342.34	...	-13.77	Total of 2 transactions
<b>Security total:</b>		<b>16,841.38</b>		<b>16,742.09</b>	<b>12.21 W</b>	<b>111.50</b>	
PLAINS GP HOLDINGS, L.P. CLASS A UNITS REPRESENTING LIMITED PARTNER INTERESTS / CUSIP: 72651A207 / Symbol:							
11/27/20	50.000	418.50	11/27/20	420.39	...	-1.89	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
06/23/20	100.000	672.97	06/23/20	668.00	...	4.97	Sale <sup>25</sup>
	4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	50.000	552.48	08/06/20	550.24	...	2.24	1 of 4 - Sale <sup>25</sup>
	50.000	552.48	08/06/20	549.35	...	3.13	2 of 4 - Sale <sup>25</sup>
	50.000	552.48	08/06/20	548.35	...	4.13	3 of 4 - Sale <sup>25</sup>
	100.000	1,104.97	08/06/20	1,096.71	...	8.26	4 of 4 - Sale <sup>25</sup>
08/06/20	250.000	2,762.41	Various	2,744.65	...	17.76	Total of 4 transactions
08/06/20	50.000	547.48	08/06/20	549.36	1.88 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,982.86</b>		<b>3,962.01</b>	<b>1.88 W</b>	<b>22.73</b>	
PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol:							
	2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	100.000	482.21	04/07/20	510.00	...	-27.79	1 of 2 - Sale <sup>25</sup>
	100.000	482.22	04/07/20	510.00	...	-27.78	2 of 2 - Sale <sup>25</sup>
04/07/20	200.000	964.43	Various	1,020.00	...	-55.57	Total of 2 transactions
POLAR POWER, INC. COMMON STOCK / CUSIP: 73102V105 / Symbol:							
	3 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	50.000	216.87	09/24/20	211.22	...	5.65	1 of 3 - Sale <sup>25</sup>
	50.000	216.87	09/24/20	210.69	...	6.18	2 of 3 - Sale <sup>25</sup>
	100.000	569.47	09/24/20	545.15	...	24.32	3 of 3 - Sale <sup>25</sup>
09/24/20	200.000	1,003.21	Various	967.06	...	36.15	Total of 3 transactions
09/24/20	50.000	284.74	09/24/20	288.31	3.57 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,287.95</b>		<b>1,255.37</b>	<b>3.57 W</b>	<b>36.15</b>	
PRECIPIO, INC. COMMON STOCK / CUSIP: 74019L503 / Symbol:							
	10 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	100.000	66.99	04/30/20	74.99	8.00 W	0.00	1 of 10 - Sale <sup>25</sup>
	200.000	133.97	04/30/20	156.00	22.03 W	0.00	2 of 10 - Sale <sup>25</sup>
	100.000	66.99	04/30/20	86.00	19.01 W	0.00	3 of 10 - Sale <sup>25</sup>
	200.000	133.97	04/30/20	178.03	44.06 W	0.00	4 of 10 - Sale <sup>25</sup>
	100.000	66.99	04/30/20	78.00	11.01 W	0.00	5 of 10 - Sale <sup>25</sup>
	100.000	66.99	04/30/20	97.01	30.02 W	0.00	6 of 10 - Sale <sup>25</sup>
	200.000	133.97	04/30/20	198.06	64.09 W	0.00	7 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PRECIPIO, INC. COMMON STOCK / CUSIP: 74019L503 / Symbol: (cont'd)							
	100.000	66.99	04/30/20	107.02	40.03 W	0.00	8 of 10 - Sale <sup>25</sup>
	100.000	66.99	04/30/20	88.01	21.02 W	0.00	9 of 10 - Sale <sup>25</sup>
	100.000	66.98	04/30/20	77.00	10.02 W	0.00	10 of 10 - Sale <sup>25</sup>
05/05/20	1,300.000	870.83	Various	1,140.12	269.29 W	0.00	Total of 10 transactions
	5 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	200.000	142.97	04/30/20	214.09	...	-71.12	1 of 5 - Sale <sup>25</sup>
	100.000	71.49	04/30/20	115.03	...	-43.54	2 of 5 - Sale <sup>25</sup>
	100.000	71.49	04/30/20	96.02	...	-24.53	3 of 5 - Sale <sup>25</sup>
	500.000	357.42	04/30/20	375.00	...	-17.58	4 of 5 - Sale <sup>25</sup>
	100.000	71.49	04/30/20	85.02	...	-13.53	5 of 5 - Sale <sup>25</sup>
05/20/20	1,000.000	714.86	Various	885.16	...	-170.30	Total of 5 transactions
	<b>Security total:</b>	<b>1,585.69</b>		<b>2,025.28</b>	<b>269.29 W</b>	<b>-170.30</b>	
PROCTER & GAMBLE COMPANY / CUSIP: 742718109 / Symbol:							
12/21/20	10.000	1,365.96	12/21/20	1,372.00	...	-6.04	Sale <sup>25</sup>
PROSHARES ULTRAPRO SHORT S&P 5 00 / CUSIP: 74347B268 / Symbol:							
10/09/20	100.000	781.17	10/09/20	780.80	...	0.37	Sale <sup>25</sup>
11/04/20	100.000	778.17	11/04/20	769.80	...	8.37	Sale <sup>25</sup>
11/30/20	100.000	669.47	11/30/20	668.00	...	1.47	Sale <sup>25</sup>
12/01/20	60.000	382.49	12/01/20	383.40	0.91 W	0.00	Sale <sup>25</sup>
12/02/20	10.000	64.95	12/01/20	64.03	...	0.92	Sale <sup>25</sup>
	2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	50.000	315.58	12/01/20	321.66	6.08 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	315.59	12/01/20	320.90	5.31 W	0.00	2 of 2 - Sale <sup>25</sup>
12/03/20	100.000	631.17	Various	642.56	11.39 W	0.00	Total of 2 transactions
12/09/20	100.000	628.03	12/08/20	620.00	...	8.03	Sale <sup>25</sup>
	2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	50.000	314.01	12/08/20	316.08	2.07 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	314.01	12/08/20	315.31	1.30 W	0.00	2 of 2 - Sale <sup>25</sup>
12/09/20	100.000	628.02	Various	631.39	3.37 W	0.00	Total of 2 transactions
	3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	50.000	320.99	12/11/20	324.55	...	-3.56	1 of 3 - Sale <sup>25</sup>
	100.000	641.97	12/11/20	644.95	...	-2.98	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO SHORT S&P 5 00 / CUSIP: 74347B268 / Symbol: (cont'd)							
	50.000	320.99	12/11/20	323.77	...	-2.78	3 of 3 - Sale <sup>25</sup>
12/11/20	200.000	1,283.95	Various	1,293.27	...	-9.32	Total of 3 transactions
	<b>Security total:</b>	<b>5,847.42</b>		<b>5,853.25</b>	<b>15.67 W</b>	<b>9.84</b>	
PROSHARES ULTRAPRO SHORT DOW 3 0 / CUSIP: 74347G309 / Symbol:							
5 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	50.000	1,125.47	06/16/20	1,127.50	...	-2.03	1 of 5 - Sale <sup>25</sup>
	70.000	1,575.65	06/16/20	1,577.10	...	-1.45	2 of 5 - Sale <sup>25</sup>
	30.000	675.28	06/16/20	675.90	...	-0.62	3 of 5 - Sale <sup>25</sup>
	100.000	2,250.94	06/16/20	2,242.00	...	8.94	4 of 5 - Sale <sup>25</sup>
	300.000	6,761.84	06/16/20	6,752.70	...	9.14	5 of 5 - Sale <sup>25</sup>
06/16/20	550.000	12,389.18	Various	12,375.20	...	13.98	Total of 5 transactions
PROSHARES ULTRAPRO SHORT QQQ / CUSIP: 74347G408 / Symbol:							
03/24/20	100.000	2,484.93	03/23/20	2,960.00	...	-475.07	Sale <sup>25</sup>
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	10.000	89.90	06/11/20	89.40	...	0.50	1 of 2 - Sale <sup>25</sup>
	20.000	179.80	06/11/20	179.00	...	0.80	2 of 2 - Sale <sup>25</sup>
06/11/20	30.000	269.70	Various	268.40	...	1.30	Total of 2 transactions
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	50.000	442.50	06/15/20	443.00	0.50 W	0.00	1 of 2 - Sale <sup>25</sup>
	450.000	3,982.36	06/15/20	3,986.95	4.59 W	0.00	2 of 2 - Sale <sup>25</sup>
06/15/20	500.000	4,424.86	Various	4,429.95	5.09 W	0.00	Total of 2 transactions
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	50.000	401.49	06/17/20	401.45	...	0.04	1 of 2 - Sale <sup>25</sup>
	150.000	1,204.45	06/17/20	1,204.38	...	0.07	2 of 2 - Sale <sup>25</sup>
06/17/20	200.000	1,605.94	Various	1,605.83	...	0.11	Total of 2 transactions
07/08/20	500.000	3,320.41	07/08/20	3,345.00	...	-24.59	Sale <sup>25</sup>
07/08/20	300.000	1,992.25	07/08/20	2,010.06	5.94 W	-11.87	Sale <sup>25</sup>
08/07/20	100.000	557.14	08/07/20	573.77	...	-16.63	Sale <sup>25</sup>
	<b>Security total:</b>	<b>14,655.23</b>		<b>15,193.01</b>	<b>11.03 W</b>	<b>-526.75</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PROSHARES ULTRAPRO SHORT QQQ / CUSIP: 74347G861 / Symbol:							
09/03/20	20.000	449.80	09/03/20	461.80	12.00 W	0.00	Sale <sup>25</sup>
09/04/20	30.000	770.08	09/03/20	692.70	...	77.38	Sale <sup>25</sup>
	2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	20.000	493.64	09/04/20	512.00	18.36 W	0.00	1 of 2 - Sale <sup>25</sup>
	80.000	1,974.56	09/04/20	2,000.00	25.44 W	0.00	2 of 2 - Sale <sup>25</sup>
09/04/20	100.000	2,468.20	Various	2,512.00	43.80 W	0.00	Total of 2 transactions
	2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	20.000	518.09	09/10/20	544.66	26.57 W	0.00	1 of 2 - Sale <sup>25</sup>
	80.000	2,072.34	09/10/20	2,130.64	58.30 W	0.00	2 of 2 - Sale <sup>25</sup>
09/10/20	100.000	2,590.43	Various	2,675.30	84.87 W	0.00	Total of 2 transactions
	6 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	20.000	548.60	09/18/20	577.15	28.55 W	0.00	1 of 6 - Sale <sup>25</sup>
	30.000	822.91	09/18/20	847.72	24.81 W	0.00	2 of 6 - Sale <sup>25</sup>
	50.000	1,371.97	09/18/20	1,412.88	40.91 W	0.00	3 of 6 - Sale <sup>25</sup>
	20.000	542.44	09/18/20	580.35	37.91 W	0.00	4 of 6 - Sale <sup>25</sup>
	30.000	813.67	09/18/20	852.51	38.84 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	1,354.47	09/18/20	1,423.28	68.81 W	0.00	6 of 6 - Sale <sup>25</sup>
09/18/20	200.000	5,454.06	Various	5,693.89	239.83 W	0.00	Total of 6 transactions
09/22/20	10.000	270.40	09/22/20	289.02	18.62 W	0.00	Sale <sup>25</sup>
	4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	10.000	275.84	09/23/20	289.96	14.12 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	275.84	09/23/20	289.62	13.78 W	0.00	2 of 4 - Sale <sup>25</sup>
	30.000	827.53	09/23/20	851.84	24.31 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	1,379.21	09/23/20	1,423.81	44.60 W	0.00	4 of 4 - Sale <sup>25</sup>
09/23/20	100.000	2,758.42	Various	2,855.23	96.81 W	0.00	Total of 4 transactions
	5 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	10.000	274.89	09/24/20	294.42	19.53 W	0.00	1 of 5 - Sale <sup>25</sup>
	30.000	824.68	09/24/20	865.21	40.53 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	274.89	09/24/20	289.22	14.33 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	274.21	09/24/20	294.08	19.87 W	0.00	4 of 5 - Sale <sup>25</sup>
	40.000	1,096.85	09/24/20	1,156.88	60.03 W	0.00	5 of 5 - Sale <sup>25</sup>
09/24/20	100.000	2,745.52	Various	2,899.81	154.29 W	0.00	Total of 5 transactions
09/30/20	10.000	234.81	09/30/20	255.03	20.22 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO SHORT QQQ / CUSIP: 74347G861 / Symbol: (cont'd)							
<i>5 transactions for 10/01/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	231.89	10/01/20	246.53	14.64 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	231.90	10/01/20	252.07	20.17 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	231.89	10/01/20	252.42	20.53 W	0.00	3 of 5 - Sale <sup>25</sup>
	30.000	695.68	10/01/20	737.13	41.45 W	0.00	4 of 5 - Sale <sup>25</sup>
	40.000	927.57	10/01/20	988.83	61.26 W	0.00	5 of 5 - Sale <sup>25</sup>
10/01/20	100.000	2,318.93	Various	2,476.98	158.05 W	0.00	Total of 5 transactions
10/02/20	10.000	241.93	10/02/20	255.72	...	-13.79	Sale <sup>25</sup>
<i>2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	241.92	10/02/20	255.04	13.12 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	725.76	10/02/20	762.65	36.89 W	0.00	2 of 2 - Sale <sup>25</sup>
10/02/20	40.000	967.68	Various	1,017.69	50.01 W	0.00	Total of 2 transactions
<i>4 transactions for 10/06/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	464.64	10/06/20	495.58	30.94 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	234.15	10/06/20	252.65	18.50 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	234.14	10/06/20	253.00	18.86 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	234.14	10/06/20	247.79	13.65 W	0.00	4 of 4 - Sale <sup>25</sup>
10/06/20	50.000	1,167.07	Various	1,249.02	81.95 W	0.00	Total of 4 transactions
<i>3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	452.40	10/08/20	478.59	26.19 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	227.50	10/08/20	240.78	13.28 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	227.50	10/08/20	241.60	14.10 W	0.00	3 of 3 - Sale <sup>25</sup>
10/08/20	40.000	907.40	Various	960.97	53.57 W	0.00	Total of 3 transactions
<i>8 transactions for 11/02/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	253.49	11/02/20	262.83	9.34 W	0.00	1 of 8 - Sale <sup>25</sup>
	10.000	253.50	11/02/20	267.68	14.18 W	0.00	2 of 8 - Sale <sup>25</sup>
	10.000	253.49	11/02/20	268.04	14.55 W	0.00	3 of 8 - Sale <sup>25</sup>
	20.000	506.99	11/02/20	529.30	22.31 W	0.00	4 of 8 - Sale <sup>25</sup>
	10.000	252.99	11/02/20	270.26	17.27 W	0.00	5 of 8 - Sale <sup>25</sup>
	10.000	253.00	11/02/20	271.08	18.08 W	0.00	6 of 8 - Sale <sup>25</sup>
	10.000	252.99	11/02/20	268.14	15.15 W	0.00	7 of 8 - Sale <sup>25</sup>
	20.000	505.99	11/02/20	540.15	34.16 W	0.00	8 of 8 - Sale <sup>25</sup>
11/02/20	100.000	2,532.44	Various	2,677.48	145.04 W	0.00	Total of 8 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO SHORT QQQ / CUSIP: 74347G861 / Symbol: (cont'd)							
8 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	10.000	232.39	11/03/20	243.13	10.74 W	0.00	1 of 8 - Sale <sup>25</sup>
	10.000	232.39	11/03/20	241.32	8.93 W	0.00	2 of 8 - Sale <sup>25</sup>
	10.000	232.40	11/03/20	246.16	13.76 W	0.00	3 of 8 - Sale <sup>25</sup>
	10.000	232.39	11/03/20	246.53	14.14 W	0.00	4 of 8 - Sale <sup>25</sup>
	10.000	232.99	11/03/20	249.67	16.68 W	0.00	5 of 8 - Sale <sup>25</sup>
	10.000	233.00	11/03/20	250.48	17.48 W	0.00	6 of 8 - Sale <sup>25</sup>
	10.000	232.99	11/03/20	247.55	14.56 W	0.00	7 of 8 - Sale <sup>25</sup>
	20.000	465.99	11/03/20	498.96	32.97 W	0.00	8 of 8 - Sale <sup>25</sup>
11/03/20	90.000	2,094.54	Various	2,223.80	129.26 W	0.00	Total of 8 transactions
7 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	10.000	207.99	11/04/20	223.44	15.45 W	0.00	1 of 7 - Sale <sup>25</sup>
	10.000	207.99	11/04/20	221.63	13.64 W	0.00	2 of 7 - Sale <sup>25</sup>
	10.000	207.99	11/04/20	226.46	18.47 W	0.00	3 of 7 - Sale <sup>25</sup>
	10.000	208.00	11/04/20	226.84	18.84 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	207.99	11/04/20	229.19	21.20 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	208.00	11/04/20	228.23	20.23 W	0.00	6 of 7 - Sale <sup>25</sup>
	10.000	207.99	11/04/20	228.43	20.44 W	0.00	7 of 7 - Sale <sup>25</sup>
11/04/20	70.000	1,455.95	Various	1,584.22	128.27 W	0.00	Total of 7 transactions
10 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	10.000	190.11	11/05/20	207.97	17.86 W	0.00	1 of 10 - Sale <sup>25</sup>
	10.000	190.11	11/05/20	205.05	14.94 W	0.00	2 of 10 - Sale <sup>25</sup>
	10.000	190.12	11/05/20	205.94	15.82 W	0.00	3 of 10 - Sale <sup>25</sup>
	10.000	190.11	11/05/20	204.13	14.02 W	0.00	4 of 10 - Sale <sup>25</sup>
	10.000	190.12	11/05/20	208.96	18.84 W	0.00	5 of 10 - Sale <sup>25</sup>
	10.000	190.11	11/05/20	209.32	19.21 W	0.00	6 of 10 - Sale <sup>25</sup>
	10.000	190.12	11/05/20	211.69	21.57 W	0.00	7 of 10 - Sale <sup>25</sup>
	10.000	190.11	11/05/20	210.71	20.60 W	0.00	8 of 10 - Sale <sup>25</sup>
	10.000	190.12	11/05/20	210.93	20.81 W	0.00	9 of 10 - Sale <sup>25</sup>
	10.000	190.11	11/05/20	190.48	0.37 W	0.00	10 of 10 - Sale <sup>25</sup>
11/05/20	100.000	1,901.14	Various	2,065.18	164.04 W	0.00	Total of 10 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO SHORT QQQ / CUSIP: 74347G861 / Symbol: (cont'd)							
<i>5 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	189.49	11/06/20	207.74	18.25 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	189.50	11/06/20	204.82	15.32 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	189.49	11/06/20	205.70	16.21 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	189.50	11/06/20	203.90	14.40 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	189.49	11/06/20	208.72	19.23 W	0.00	5 of 5 - Sale <sup>25</sup>
11/06/20	50.000	947.47	Various	1,030.88	83.41 W	0.00	Total of 5 transactions
<i>8 transactions for 11/13/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	198.49 N	11/11/20	219.31	...	-20.82	1 of 8 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$31.50
	10.000	198.49 N	11/11/20	219.10	...	-20.61	2 of 8 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$31.50
	10.000	198.50 N	11/11/20	217.73	...	-19.23	3 of 8 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$31.50
	10.000	198.50 N	11/11/20	216.75	...	-18.25	4 of 8 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$31.50
	10.000	198.50 N	11/11/20	214.71	...	-16.21	5 of 8 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$31.50
	10.000	198.49 N	11/11/20	213.82	...	-15.33	6 of 8 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$31.50
	10.000	198.49 N	11/11/20	212.90	...	-14.41	7 of 8 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$31.50
	10.000	198.49 N	11/11/20	198.87	...	-0.38	8 of 8 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$31.50
11/13/20	80.000	1,587.95	Various	1,713.19	...	-125.24	Total of 8 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO SHORT QQQ / CUSIP: 74347G861 / Symbol: (cont'd)							
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	10.000	198.49 N	11/11/20	220.07	15.11 W	-6.47	1 of 2 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$31.50
	10.000	198.49 N	11/11/20	217.71	19.22 W	0.00	2 of 2 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$31.50
11/13/20	20.000	396.98	Various	437.78	34.33 W	-6.47	Total of 2 transactions
3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	9.000	173.79	11/19/20	191.25	...	-17.46	1 of 3 - Sale <sup>25</sup>
	7.000	135.17	11/19/20	150.41	...	-15.24	2 of 3 - Sale <sup>25</sup>
	1.000	19.31	11/19/20	21.25	...	-1.94	3 of 3 - Sale <sup>25</sup>
11/19/20	17.000	328.27	Various	362.91	...	-34.64	Total of 3 transactions
	<b>Security total:</b>	<b>34,589.47</b>		<b>36,390.60</b>	<b>1,698.37 W</b>	<b>-102.76</b>	
PROSHARES SHORT VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W130 / Symbol:							
16 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	16.000	463.35	06/12/20	471.05	...	-7.70	1 of 16 - Sale <sup>25</sup>
	60.000	1,720.76	06/12/20	1,717.19	...	3.57	2 of 16 - Sale <sup>25</sup>
	200.000	5,735.89	06/12/20	5,731.98	...	3.91	3 of 16 - Sale <sup>25</sup>
	30.000	878.99	06/12/20	873.58	...	5.41	4 of 16 - Sale <sup>25</sup>
	100.000	2,893.98	06/12/20	2,885.99	...	7.99	5 of 16 - Sale <sup>25</sup>
	140.000	4,015.12	06/12/20	4,006.79	...	8.33	6 of 16 - Sale <sup>25</sup>
	100.000	2,893.98	06/12/20	2,885.00	...	8.98	7 of 16 - Sale <sup>25</sup>
	70.000	2,050.99	06/12/20	2,038.34	...	12.65	8 of 16 - Sale <sup>25</sup>
	100.000	2,893.98	06/12/20	2,879.99	...	13.99	9 of 16 - Sale <sup>25</sup>
	100.000	2,893.99	06/12/20	2,875.00	...	18.99	10 of 16 - Sale <sup>25</sup>
	200.000	5,735.89	06/12/20	5,715.98	...	19.91	11 of 16 - Sale <sup>25</sup>
	100.000	2,893.98	06/12/20	2,873.99	...	19.99	12 of 16 - Sale <sup>25</sup>
	100.000	2,893.99	06/12/20	2,865.00	...	28.99	13 of 16 - Sale <sup>25</sup>
	200.000	5,735.89	06/12/20	5,705.98	...	29.91	14 of 16 - Sale <sup>25</sup>
	100.000	2,893.98	06/12/20	2,850.00	...	43.98	15 of 16 - Sale <sup>25</sup>
	200.000	5,787.97	06/12/20	5,703.88	...	84.09	16 of 16 - Sale <sup>25</sup>
06/12/20	1,816.000	52,382.73	Various	52,079.74	...	302.99	Total of 16 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES SHORT VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W130 / Symbol: (cont'd)							
<i>3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	154.000	4,459.71	06/12/20	4,533.88	67.43 W	-6.74	1 of 3 - Sale <sup>25</sup>
	200.000	5,791.85	06/12/20	5,840.00	48.15 W	0.00	2 of 3 - Sale <sup>25</sup>
	130.000	3,764.70	06/12/20	3,796.00	31.30 W	0.00	3 of 3 - Sale <sup>25</sup>
06/12/20	484.000	14,016.26	Various	14,169.88	146.88 W	-6.74	Total of 3 transactions
<i>5 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	63.000	1,819.40	06/15/20	1,847.89	...	-28.49	1 of 5 - Sale <sup>25</sup>
	37.000	1,068.53	06/15/20	1,085.27	...	-16.74	2 of 5 - Sale <sup>25</sup>
	36.000	1,039.66	06/15/20	1,052.34	...	-12.68	3 of 5 - Sale <sup>25</sup>
	60.000	1,732.76	06/15/20	1,739.45	...	-6.69	4 of 5 - Sale <sup>25</sup>
	4.000	115.52	06/15/20	116.93	...	-1.41	5 of 5 - Sale <sup>25</sup>
06/15/20	200.000	5,775.87	Various	5,841.88	...	-66.01	Total of 5 transactions
	<b>Security total:</b>	<b>72,174.86</b>		<b>72,091.50</b>	<b>146.88 W</b>	<b>230.24</b>	
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
<i>2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	378.13	06/26/20	377.07	...	1.06	1 of 2 - Sale <sup>25</sup>
	10.000	381.00	06/26/20	378.70	...	2.30	2 of 2 - Sale <sup>25</sup>
06/26/20	20.000	759.13	Various	755.77	...	3.36	Total of 2 transactions
<i>2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	2,545.93	09/04/20	2,531.00	...	14.93	1 of 2 - Sale <sup>25</sup>
	100.000	2,903.05	09/04/20	2,886.87	...	16.18	2 of 2 - Sale <sup>25</sup>
09/04/20	200.000	5,448.98	Various	5,417.87	...	31.11	Total of 2 transactions
<i>2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	2,537.93	09/04/20	2,559.87	21.94 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	2,493.20	09/04/20	2,534.93	41.73 W	0.00	2 of 2 - Sale <sup>25</sup>
09/04/20	200.000	5,031.13	Various	5,094.80	63.67 W	0.00	Total of 2 transactions
09/08/20	10.000	262.93	09/08/20	271.17	8.24 W	0.00	Sale <sup>25</sup>
09/11/20	10.000	221.30	09/11/20	224.65	3.35 W	0.00	Sale <sup>25</sup>
09/14/20	10.000	200.92	09/14/20	205.82	...	-4.90	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol: (cont'd)							
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	10.000	200.92	09/14/20	210.72	9.80 W	0.00	1 of 2 - Sale <sup>25</sup>
	80.000	1,607.37	09/14/20	1,653.17	45.80 W	0.00	2 of 2 - Sale <sup>25</sup>
09/14/20	90.000	1,808.29	Various	1,863.89	55.60 W	0.00	Total of 2 transactions
09/15/20	50.000	1,026.47	09/15/20	1,055.07	...	-28.60	Sale <sup>25</sup>
09/29/20	20.000	408.85	09/29/20	419.63	...	-10.78	Sale <sup>25</sup>
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	10.000	162.50	10/14/20	168.22	5.72 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	162.50	10/14/20	172.30	9.80 W	0.00	2 of 2 - Sale <sup>25</sup>
10/14/20	20.000	325.00	Various	340.52	15.52 W	0.00	Total of 2 transactions
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	10.000	203.90	11/02/20	220.37	...	-16.47	1 of 2 - Sale <sup>25</sup>
	10.000	203.90	11/02/20	216.30	...	-12.40	2 of 2 - Sale <sup>25</sup>
11/02/20	20.000	407.80	Various	436.67	...	-28.87	Total of 2 transactions
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	10.000	209.50	11/02/20	217.62	8.12 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	209.50	11/02/20	221.70	12.20 W	0.00	2 of 2 - Sale <sup>25</sup>
11/02/20	20.000	419.00	Various	439.32	20.32 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>16,319.80</b>		<b>16,525.18</b>	<b>166.70 W</b>	<b>-38.68</b>	
PROSHARES ULTRAPRO DOW 30 / CUSIP: 74347X823 / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	18.000	1,292.36	07/15/20	1,296.00	...	-3.64	1 of 2 - Sale <sup>25</sup>
	2.000	143.60	07/15/20	144.02	...	-0.42	2 of 2 - Sale <sup>25</sup>
07/15/20	20.000	1,435.96	Various	1,440.02	...	-4.06	Total of 2 transactions
12/28/20	10.000	1,008.77	12/28/20	1,010.90	...	-2.13	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,444.73</b>		<b>2,450.92</b>	...	<b>-6.19</b>	
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol:							
95 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	5.000	417.40	06/15/20	417.32	...	0.08	1 of 95 - Sale <sup>25</sup>
	1.000	83.95	06/15/20	83.86	...	0.09	2 of 95 - Sale <sup>25</sup>
	1.000	83.85	06/15/20	83.71	...	0.14	3 of 95 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	10.000	855.98	06/15/20	855.80	...	0.18	4 of 95 - Sale <sup>25</sup>
	5.000	417.99	06/15/20	417.76	...	0.23	5 of 95 - Sale <sup>25</sup>
	17.000	1,419.16	06/15/20	1,418.93	...	0.23	6 of 95 - Sale <sup>25</sup>
	1.000	83.89	06/15/20	83.65	...	0.24	7 of 95 - Sale <sup>25</sup>
	20.000	1,672.96	06/15/20	1,672.65	...	0.31	8 of 95 - Sale <sup>25</sup>
	5.000	418.49	06/15/20	418.03	...	0.46	9 of 95 - Sale <sup>25</sup>
	3.000	250.44	06/15/20	249.95	...	0.49	10 of 95 - Sale <sup>25</sup>
	10.000	839.38	06/15/20	838.70	...	0.68	11 of 95 - Sale <sup>25</sup>
	5.000	440.99	06/15/20	440.28	...	0.71	12 of 95 - Sale <sup>25</sup>
	5.000	441.24	06/15/20	440.50	...	0.74	13 of 95 - Sale <sup>25</sup>
	20.000	1,678.76	06/15/20	1,678.00	...	0.76	14 of 95 - Sale <sup>25</sup>
	10.000	834.98	06/15/20	834.22	...	0.76	15 of 95 - Sale <sup>25</sup>
	9.000	755.53	06/15/20	754.72	...	0.81	16 of 95 - Sale <sup>25</sup>
	5.000	419.89	06/15/20	419.08	...	0.81	17 of 95 - Sale <sup>25</sup>
	50.000	4,414.90	06/15/20	4,414.00	...	0.90	18 of 95 - Sale <sup>25</sup>
	10.000	836.48	06/15/20	835.44	...	1.04	19 of 95 - Sale <sup>25</sup>
	9.000	754.63	06/15/20	753.40	...	1.23	20 of 95 - Sale <sup>25</sup>
	5.000	418.49	06/15/20	417.25	...	1.24	21 of 95 - Sale <sup>25</sup>
	50.000	4,272.40	06/15/20	4,271.10	...	1.30	22 of 95 - Sale <sup>25</sup>
	10.000	857.48	06/15/20	856.01	...	1.47	23 of 95 - Sale <sup>25</sup>
	15.000	1,259.67	06/15/20	1,258.14	...	1.53	24 of 95 - Sale <sup>25</sup>
	6.000	527.69	06/15/20	525.90	...	1.79	25 of 95 - Sale <sup>25</sup>
	45.000	3,957.66	06/15/20	3,955.73	...	1.93	26 of 95 - Sale <sup>25</sup>
	10.000	839.78	06/15/20	837.50	...	2.28	27 of 95 - Sale <sup>25</sup>
	10.000	839.38	06/15/20	837.00	...	2.38	28 of 95 - Sale <sup>25</sup>
	25.000	2,087.45	06/15/20	2,085.00	...	2.45	29 of 95 - Sale <sup>25</sup>
	17.000	1,421.17	06/15/20	1,418.65	...	2.52	30 of 95 - Sale <sup>25</sup>
	20.000	1,678.76	06/15/20	1,676.00	...	2.76	31 of 95 - Sale <sup>25</sup>
	10.000	834.98	06/15/20	832.19	...	2.79	32 of 95 - Sale <sup>25</sup>
	10.000	839.38	06/15/20	836.50	...	2.88	33 of 95 - Sale <sup>25</sup>
	10.000	839.39	06/15/20	836.50	...	2.89	34 of 95 - Sale <sup>25</sup>
	25.000	2,088.70	06/15/20	2,085.75	...	2.95	35 of 95 - Sale <sup>25</sup>
	20.000	1,678.96	06/15/20	1,675.60	...	3.36	36 of 95 - Sale <sup>25</sup>
	50.000	4,194.40	06/15/20	4,190.00	...	4.40	37 of 95 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
20.000		1,714.96	06/15/20	1,710.45	...	4.51	38 of 95 - Sale <sup>25</sup>
10.000		839.38	06/15/20	834.70	...	4.68	39 of 95 - Sale <sup>25</sup>
50.000		4,272.40	06/15/20	4,267.60	...	4.80	40 of 95 - Sale <sup>25</sup>
50.000		4,287.41	06/15/20	4,282.53	...	4.88	41 of 95 - Sale <sup>25</sup>
50.000		4,197.40	06/15/20	4,192.50	...	4.90	42 of 95 - Sale <sup>25</sup>
50.000		4,174.90	06/15/20	4,170.00	...	4.90	43 of 95 - Sale <sup>25</sup>
50.000		4,269.90	06/15/20	4,265.00	...	4.90	44 of 95 - Sale <sup>25</sup>
20.000		1,714.96	06/15/20	1,710.04	...	4.92	45 of 95 - Sale <sup>25</sup>
40.000		3,343.12	06/15/20	3,338.00	...	5.12	46 of 95 - Sale <sup>25</sup>
50.000		4,272.39	06/15/20	4,267.00	...	5.39	47 of 95 - Sale <sup>25</sup>
23.000		1,931.95	06/15/20	1,926.25	...	5.70	48 of 95 - Sale <sup>25</sup>
40.000		3,353.92	06/15/20	3,348.00	...	5.92	49 of 95 - Sale <sup>25</sup>
20.000		1,679.96	06/15/20	1,674.00	...	5.96	50 of 95 - Sale <sup>25</sup>
10.000		836.48	06/15/20	830.24	...	6.24	51 of 95 - Sale <sup>25</sup>
27.000		2,267.95	06/15/20	2,261.25	...	6.70	52 of 95 - Sale <sup>25</sup>
38.000		3,175.96	06/15/20	3,169.20	...	6.76	53 of 95 - Sale <sup>25</sup>
25.000		2,087.00	06/15/20	2,080.23	...	6.77	54 of 95 - Sale <sup>25</sup>
100.000		8,849.79	06/15/20	8,842.99	...	6.80	55 of 95 - Sale <sup>25</sup>
20.000		1,675.96	06/15/20	1,669.13	...	6.83	56 of 95 - Sale <sup>25</sup>
50.000		4,409.89	06/15/20	4,402.86	...	7.03	57 of 95 - Sale <sup>25</sup>
35.000		2,924.18	06/15/20	2,916.88	...	7.30	58 of 95 - Sale <sup>25</sup>
50.000		4,407.39	06/15/20	4,400.00	...	7.39	59 of 95 - Sale <sup>25</sup>
50.000		4,184.90	06/15/20	4,177.50	...	7.40	60 of 95 - Sale <sup>25</sup>
22.000		1,841.36	06/15/20	1,833.70	...	7.66	61 of 95 - Sale <sup>25</sup>
23.000		1,925.05	06/15/20	1,917.15	...	7.90	62 of 95 - Sale <sup>25</sup>
50.000		4,419.90	06/15/20	4,411.42	...	8.48	63 of 95 - Sale <sup>25</sup>
44.000		3,689.30	06/15/20	3,680.60	...	8.70	64 of 95 - Sale <sup>25</sup>
30.000		2,573.94	06/15/20	2,565.00	...	8.94	65 of 95 - Sale <sup>25</sup>
46.000		3,857.01	06/15/20	3,847.90	...	9.11	66 of 95 - Sale <sup>25</sup>
20.000		1,678.76	06/15/20	1,669.20	...	9.56	67 of 95 - Sale <sup>25</sup>
50.000		4,202.40	06/15/20	4,192.50	...	9.90	68 of 95 - Sale <sup>25</sup>
50.000		4,407.40	06/15/20	4,397.50	...	9.90	69 of 95 - Sale <sup>25</sup>
50.000		4,174.92	06/15/20	4,165.00	...	9.92	70 of 95 - Sale <sup>25</sup>
50.000		4,259.90	06/15/20	4,249.75	...	10.15	71 of 95 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	50.000	4,196.91	06/15/20	4,186.50	...	10.41	72 of 95 - Sale <sup>25</sup>
	62.000	5,181.84	06/15/20	5,170.80	...	11.04	73 of 95 - Sale <sup>25</sup>
	30.000	2,519.34	06/15/20	2,508.00	...	11.34	74 of 95 - Sale <sup>25</sup>
	50.000	4,419.89	06/15/20	4,408.42	...	11.47	75 of 95 - Sale <sup>25</sup>
	50.000	4,174.91	06/15/20	4,163.43	...	11.48	76 of 95 - Sale <sup>25</sup>
	50.000	4,262.40	06/15/20	4,249.75	...	12.65	77 of 95 - Sale <sup>25</sup>
	44.000	3,869.71	06/15/20	3,856.60	...	13.11	78 of 95 - Sale <sup>25</sup>
	50.000	4,262.40	06/15/20	4,248.50	...	13.90	79 of 95 - Sale <sup>25</sup>
	95.000	8,383.55	06/15/20	8,369.49	...	14.06	80 of 95 - Sale <sup>25</sup>
	50.000	4,412.39	06/15/20	4,397.50	...	14.89	81 of 95 - Sale <sup>25</sup>
	50.000	4,349.90	06/15/20	4,333.00	...	16.90	82 of 95 - Sale <sup>25</sup>
	50.000	4,177.40	06/15/20	4,160.00	...	17.40	83 of 95 - Sale <sup>25</sup>
	100.000	8,374.81	06/15/20	8,356.99	...	17.82	84 of 95 - Sale <sup>25</sup>
	79.000	6,627.14	06/15/20	6,608.35	...	18.79	85 of 95 - Sale <sup>25</sup>
	50.000	4,349.89	06/15/20	4,330.00	...	19.89	86 of 95 - Sale <sup>25</sup>
	50.000	4,397.40	06/15/20	4,377.50	...	19.90	87 of 95 - Sale <sup>25</sup>
	100.000	8,839.79	06/15/20	8,818.99	...	20.80	88 of 95 - Sale <sup>25</sup>
	50.000	4,397.39	06/15/20	4,374.97	...	22.42	89 of 95 - Sale <sup>25</sup>
	50.000	4,182.40	06/15/20	4,159.70	...	22.70	90 of 95 - Sale <sup>25</sup>
	50.000	4,423.41	06/15/20	4,400.21	...	23.20	91 of 95 - Sale <sup>25</sup>
	100.000	8,794.79	06/15/20	8,762.77	...	32.02	92 of 95 - Sale <sup>25</sup>
	100.000	8,397.81	06/15/20	8,348.00	...	49.81	93 of 95 - Sale <sup>25</sup>
	100.000	8,397.82	06/15/20	8,348.00	...	49.82	94 of 95 - Sale <sup>25</sup>
	100.000	8,739.79	06/15/20	8,685.00	...	54.79	95 of 95 - Sale <sup>25</sup>
06/15/20	3,412.000	291,791.80	Various	290,993.36	...	798.44	Total of 95 transactions
	46 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	10.000	839.38	06/15/20	840.00	0.62 W	0.00	1 of 46 - Sale <sup>25</sup>
	10.000	827.98	06/15/20	830.62	2.64 W	0.00	2 of 46 - Sale <sup>25</sup>
	90.000	7,451.82	06/15/20	7,470.00	18.18 W	0.00	3 of 46 - Sale <sup>25</sup>
	10.000	833.58	06/15/20	838.64	5.06 W	0.00	4 of 46 - Sale <sup>25</sup>
	10.000	833.58	06/15/20	837.02	3.44 W	0.00	5 of 46 - Sale <sup>25</sup>
	20.000	1,667.16	06/15/20	1,675.81	8.65 W	0.00	6 of 46 - Sale <sup>25</sup>
	60.000	5,001.48	06/15/20	5,004.12	2.64 W	0.00	7 of 46 - Sale <sup>25</sup>
	10.000	836.48	06/15/20	837.06	0.58 W	0.00	8 of 46 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	10.000	835.48	06/15/20	840.70	5.22 W	0.00	9 of 46 - Sale <sup>25</sup>
	20.000	1,670.96	06/15/20	1,680.00	9.04 W	0.00	10 of 46 - Sale <sup>25</sup>
	10.000	835.48	06/15/20	838.50	3.02 W	0.00	11 of 46 - Sale <sup>25</sup>
	5.000	417.49	06/15/20	418.96	1.47 W	0.00	12 of 46 - Sale <sup>25</sup>
	10.000	834.98	06/15/20	838.61	3.63 W	0.00	13 of 46 - Sale <sup>25</sup>
	10.000	834.98	06/15/20	837.02	2.04 W	0.00	14 of 46 - Sale <sup>25</sup>
	15.000	1,252.47	06/15/20	1,257.78	5.31 W	0.00	15 of 46 - Sale <sup>25</sup>
	10.000	834.98	06/15/20	840.63	5.65 W	0.00	16 of 46 - Sale <sup>25</sup>
	5.000	417.49	06/15/20	417.82	0.33 W	0.00	17 of 46 - Sale <sup>25</sup>
	15.000	1,252.47	06/15/20	1,254.36	1.89 W	0.00	18 of 46 - Sale <sup>25</sup>
	10.000	839.78	06/15/20	843.15	3.37 W	0.00	19 of 46 - Sale <sup>25</sup>
	1.000	83.85	06/15/20	83.91	0.06 W	0.00	20 of 46 - Sale <sup>25</sup>
	9.000	754.63	06/15/20	755.18	0.55 W	0.00	21 of 46 - Sale <sup>25</sup>
	1.000	83.58	06/15/20	83.79	0.21 W	0.00	22 of 46 - Sale <sup>25</sup>
	9.000	752.20	06/15/20	754.08	1.88 W	0.00	23 of 46 - Sale <sup>25</sup>
	5.000	418.00	06/15/20	419.25	1.25 W	0.00	24 of 46 - Sale <sup>25</sup>
	22.000	1,839.15	06/15/20	1,844.70	5.55 W	0.00	25 of 46 - Sale <sup>25</sup>
	5.000	417.99	06/15/20	420.51	2.52 W	0.00	26 of 46 - Sale <sup>25</sup>
	17.000	1,421.17	06/15/20	1,429.77	8.60 W	0.00	27 of 46 - Sale <sup>25</sup>
	23.000	1,922.75	06/15/20	1,930.90	8.15 W	0.00	28 of 46 - Sale <sup>25</sup>
	5.000	417.99	06/15/20	419.27	1.28 W	0.00	29 of 46 - Sale <sup>25</sup>
	23.000	1,922.75	06/15/20	1,922.85	0.10 W	0.00	30 of 46 - Sale <sup>25</sup>
	23.000	1,922.75	06/15/20	1,928.55	5.80 W	0.00	31 of 46 - Sale <sup>25</sup>
	5.000	417.99	06/15/20	420.00	2.01 W	0.00	32 of 46 - Sale <sup>25</sup>
	22.000	1,839.16	06/15/20	1,848.05	8.89 W	0.00	33 of 46 - Sale <sup>25</sup>
	23.000	1,922.75	06/15/20	1,926.25	3.50 W	0.00	34 of 46 - Sale <sup>25</sup>
	5.000	417.99	06/15/20	418.50	0.51 W	0.00	35 of 46 - Sale <sup>25</sup>
	5.000	418.49	06/15/20	419.77	1.28 W	0.00	36 of 46 - Sale <sup>25</sup>
	17.000	1,422.87	06/15/20	1,427.25	4.38 W	0.00	37 of 46 - Sale <sup>25</sup>
	23.000	1,925.05	06/15/20	1,927.50	2.45 W	0.00	38 of 46 - Sale <sup>25</sup>
	100.000	8,539.80	06/15/20	8,540.00	0.20 W	0.00	39 of 46 - Sale <sup>25</sup>
	90.000	7,703.82	06/15/20	7,708.37	4.55 W	0.00	40 of 46 - Sale <sup>25</sup>
	10.000	856.48	06/15/20	856.49	0.01 W	0.00	41 of 46 - Sale <sup>25</sup>
	40.000	3,425.93	06/15/20	3,426.02	0.09 W	0.00	42 of 46 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	50.000	4,377.40	06/15/20	4,387.50	10.10 W	0.00	43 of 46 - Sale <sup>25</sup>
	50.000	4,407.39	06/15/20	4,407.60	0.21 W	0.00	44 of 46 - Sale <sup>25</sup>
	5.000	439.74	06/15/20	440.50	0.76 W	0.00	45 of 46 - Sale <sup>25</sup>
	50.000	4,397.39	06/15/20	4,405.00	7.61 W	0.00	46 of 46 - Sale <sup>25</sup>
06/15/20	978.000	82,787.08	Various	82,952.36	165.28 W	0.00	Total of 46 transactions
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	22.000	2,026.16	06/16/20	2,023.12	...	3.04	1 of 2 - Sale <sup>25</sup>
	50.000	4,590.96	06/16/20	4,571.75	...	19.21	2 of 2 - Sale <sup>25</sup>
06/16/20	72.000	6,617.12	Various	6,594.87	...	22.25	Total of 2 transactions
	230 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	100.000	9,299.78	06/16/20	9,380.00	80.22 W	0.00	1 of 230 - Sale <sup>25</sup>
	100.000	9,299.78	06/16/20	9,455.22	155.44 W	0.00	2 of 230 - Sale <sup>25</sup>
	50.000	4,651.39	06/16/20	4,765.72	114.33 W	0.00	3 of 230 - Sale <sup>25</sup>
	50.000	4,640.90	06/16/20	4,765.72	124.82 W	0.00	4 of 230 - Sale <sup>25</sup>
	50.000	4,640.89	06/16/20	4,791.83	150.94 W	0.00	5 of 230 - Sale <sup>25</sup>
	50.000	4,680.40	06/16/20	4,794.32	113.92 W	0.00	6 of 230 - Sale <sup>25</sup>
	50.000	4,680.39	06/16/20	4,820.43	140.04 W	0.00	7 of 230 - Sale <sup>25</sup>
	4.000	375.52	06/16/20	382.63	7.11 W	0.00	8 of 230 - Sale <sup>25</sup>
	46.000	4,273.30	06/16/20	4,374.53	101.23 W	0.00	9 of 230 - Sale <sup>25</sup>
	4.000	371.59	06/16/20	382.48	10.89 W	0.00	10 of 230 - Sale <sup>25</sup>
	4.000	369.39	06/16/20	378.39	9.00 W	0.00	11 of 230 - Sale <sup>25</sup>
	46.000	4,248.00	06/16/20	4,398.56	150.56 W	0.00	12 of 230 - Sale <sup>25</sup>
	4.000	367.00	06/16/20	381.89	14.89 W	0.00	13 of 230 - Sale <sup>25</sup>
	46.000	4,220.47	06/16/20	4,367.73	147.26 W	0.00	14 of 230 - Sale <sup>25</sup>
	4.000	367.79	06/16/20	380.00	12.21 W	0.00	15 of 230 - Sale <sup>25</sup>
	46.000	4,229.60	06/16/20	4,417.06	187.46 W	0.00	16 of 230 - Sale <sup>25</sup>
	4.000	369.05	06/16/20	386.29	17.24 W	0.00	17 of 230 - Sale <sup>25</sup>
	46.000	4,243.96	06/16/20	4,418.36	174.40 W	0.00	18 of 230 - Sale <sup>25</sup>
	4.000	370.41	06/16/20	382.21	11.80 W	0.00	19 of 230 - Sale <sup>25</sup>
	4.000	370.41	06/16/20	387.24	16.83 W	0.00	20 of 230 - Sale <sup>25</sup>
	46.000	4,259.73	06/16/20	4,442.46	182.73 W	0.00	21 of 230 - Sale <sup>25</sup>
	46.000	4,259.73	06/16/20	4,429.40	169.67 W	0.00	22 of 230 - Sale <sup>25</sup>
	4.000	367.59	06/16/20	375.20	7.61 W	0.00	23 of 230 - Sale <sup>25</sup>
	4.000	367.59	06/16/20	380.23	12.64 W	0.00	24 of 230 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	46.000	4,227.30	06/16/20	4,361.83	134.53 W	0.00	25 of 230 - Sale <sup>25</sup>
	46.000	4,227.30	06/16/20	4,348.77	121.47 W	0.00	26 of 230 - Sale <sup>25</sup>
	4.000	367.59	06/16/20	373.81	6.22 W	0.00	27 of 230 - Sale <sup>25</sup>
	46.000	4,227.30	06/16/20	4,345.78	118.48 W	0.00	28 of 230 - Sale <sup>25</sup>
	4.000	367.59	06/16/20	379.48	11.89 W	0.00	29 of 230 - Sale <sup>25</sup>
	46.000	4,227.30	06/16/20	4,340.13	112.83 W	0.00	30 of 230 - Sale <sup>25</sup>
	4.000	366.27	06/16/20	373.02	6.75 W	0.00	31 of 230 - Sale <sup>25</sup>
	46.000	4,212.12	06/16/20	4,336.68	124.56 W	0.00	32 of 230 - Sale <sup>25</sup>
	4.000	366.27	06/16/20	378.41	12.14 W	0.00	33 of 230 - Sale <sup>25</sup>
	46.000	4,212.12	06/16/20	4,327.81	115.69 W	0.00	34 of 230 - Sale <sup>25</sup>
	4.000	367.28	06/16/20	372.55	5.27 W	0.00	35 of 230 - Sale <sup>25</sup>
	4.000	367.28	06/16/20	377.94	10.66 W	0.00	36 of 230 - Sale <sup>25</sup>
	46.000	4,223.69	06/16/20	4,331.26	107.57 W	0.00	37 of 230 - Sale <sup>25</sup>
	46.000	4,223.69	06/16/20	4,322.39	98.70 W	0.00	38 of 230 - Sale <sup>25</sup>
	4.000	368.39	06/16/20	373.07	4.68 W	0.00	39 of 230 - Sale <sup>25</sup>
	46.000	4,236.50	06/16/20	4,337.27	100.77 W	0.00	40 of 230 - Sale <sup>25</sup>
	28.000	2,578.74	06/16/20	2,634.68	55.94 W	0.00	41 of 230 - Sale <sup>25</sup>
	4.000	368.39	06/16/20	378.46	10.07 W	0.00	42 of 230 - Sale <sup>25</sup>
	18.000	1,657.76	06/16/20	1,693.72	35.96 W	0.00	43 of 230 - Sale <sup>25</sup>
	4.000	368.39	06/16/20	372.52	4.13 W	0.00	44 of 230 - Sale <sup>25</sup>
	28.000	2,578.74	06/16/20	2,630.82	52.08 W	0.00	45 of 230 - Sale <sup>25</sup>
	46.000	4,236.50	06/16/20	4,330.93	94.43 W	0.00	46 of 230 - Sale <sup>25</sup>
	4.000	364.79	06/16/20	378.95	14.16 W	0.00	47 of 230 - Sale <sup>25</sup>
	4.000	364.79	06/16/20	373.01	8.22 W	0.00	48 of 230 - Sale <sup>25</sup>
	18.000	1,641.56	06/16/20	1,695.92	54.36 W	0.00	49 of 230 - Sale <sup>25</sup>
	28.000	2,553.54	06/16/20	2,634.24	80.70 W	0.00	50 of 230 - Sale <sup>25</sup>
	46.000	4,195.10	06/16/20	4,336.54	141.44 W	0.00	51 of 230 - Sale <sup>25</sup>
	4.000	365.19	06/16/20	382.76	17.57 W	0.00	52 of 230 - Sale <sup>25</sup>
	18.000	1,643.36	06/16/20	1,713.06	69.70 W	0.00	53 of 230 - Sale <sup>25</sup>
	28.000	2,556.34	06/16/20	2,666.29	109.95 W	0.00	54 of 230 - Sale <sup>25</sup>
	4.000	365.39	06/16/20	375.38	9.99 W	0.00	55 of 230 - Sale <sup>25</sup>
	18.000	1,644.26	06/16/20	1,707.56	63.30 W	0.00	56 of 230 - Sale <sup>25</sup>
	28.000	2,557.74	06/16/20	2,650.80	93.06 W	0.00	57 of 230 - Sale <sup>25</sup>
	4.000	366.79	06/16/20	383.77	16.98 W	0.00	58 of 230 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	366.79	06/16/20	376.19	9.40 W	0.00	59 of 230 - Sale <sup>25</sup>
	18.000	1,650.56	06/16/20	1,717.60	67.04 W	0.00	60 of 230 - Sale <sup>25</sup>
	18.000	1,650.56	06/16/20	1,711.20	60.64 W	0.00	61 of 230 - Sale <sup>25</sup>
	28.000	2,567.54	06/16/20	2,673.35	105.81 W	0.00	62 of 230 - Sale <sup>25</sup>
	28.000	2,567.54	06/16/20	2,656.46	88.92 W	0.00	63 of 230 - Sale <sup>25</sup>
	4.000	369.99	06/16/20	386.18	16.19 W	0.00	64 of 230 - Sale <sup>25</sup>
	18.000	1,664.96	06/16/20	1,728.44	63.48 W	0.00	65 of 230 - Sale <sup>25</sup>
	28.000	2,589.94	06/16/20	2,690.21	100.27 W	0.00	66 of 230 - Sale <sup>25</sup>
	4.000	369.99	06/16/20	377.35	7.36 W	0.00	67 of 230 - Sale <sup>25</sup>
	18.000	1,664.96	06/16/20	1,716.40	51.44 W	0.00	68 of 230 - Sale <sup>25</sup>
	28.000	2,589.94	06/16/20	2,664.54	74.60 W	0.00	69 of 230 - Sale <sup>25</sup>
	4.000	369.39	06/16/20	385.67	16.28 W	0.00	70 of 230 - Sale <sup>25</sup>
	18.000	1,662.26	06/16/20	1,726.14	63.88 W	0.00	71 of 230 - Sale <sup>25</sup>
	28.000	2,585.74	06/16/20	2,686.63	100.89 W	0.00	72 of 230 - Sale <sup>25</sup>
	4.000	369.39	06/16/20	376.44	7.05 W	0.00	73 of 230 - Sale <sup>25</sup>
	18.000	1,662.26	06/16/20	1,712.30	50.04 W	0.00	74 of 230 - Sale <sup>25</sup>
	28.000	2,585.74	06/16/20	2,658.16	72.42 W	0.00	75 of 230 - Sale <sup>25</sup>
	14.000	1,294.97	06/16/20	1,339.78	44.81 W	0.00	76 of 230 - Sale <sup>25</sup>
	4.000	369.99	06/16/20	384.88	14.89 W	0.00	77 of 230 - Sale <sup>25</sup>
	4.000	369.99	06/16/20	375.65	5.66 W	0.00	78 of 230 - Sale <sup>25</sup>
	4.000	369.99	06/16/20	382.80	12.81 W	0.00	79 of 230 - Sale <sup>25</sup>
	18.000	1,664.96	06/16/20	1,708.74	43.78 W	0.00	80 of 230 - Sale <sup>25</sup>
	28.000	2,589.94	06/16/20	2,681.09	91.15 W	0.00	81 of 230 - Sale <sup>25</sup>
	28.000	2,589.94	06/16/20	2,652.62	62.68 W	0.00	82 of 230 - Sale <sup>25</sup>
	14.000	1,294.97	06/16/20	1,332.81	37.84 W	0.00	83 of 230 - Sale <sup>25</sup>
	4.000	369.99	06/16/20	380.81	10.82 W	0.00	84 of 230 - Sale <sup>25</sup>
	4.000	369.99	06/16/20	382.89	12.90 W	0.00	85 of 230 - Sale <sup>25</sup>
	28.000	2,589.94	06/16/20	2,667.15	77.21 W	0.00	86 of 230 - Sale <sup>25</sup>
	3.000	277.49	06/16/20	283.75	6.26 W	0.00	87 of 230 - Sale <sup>25</sup>
	4.000	369.99	06/16/20	374.26	4.27 W	0.00	88 of 230 - Sale <sup>25</sup>
	15.000	1,387.47	06/16/20	1,418.73	31.26 W	0.00	89 of 230 - Sale <sup>25</sup>
	28.000	2,589.94	06/16/20	2,642.88	52.94 W	0.00	90 of 230 - Sale <sup>25</sup>
	4.000	370.39	06/16/20	382.01	11.62 W	0.00	91 of 230 - Sale <sup>25</sup>
	4.000	370.39	06/16/20	384.10	13.71 W	0.00	92 of 230 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
14.000		1,296.37	06/16/20	1,337.02	40.65 W	0.00	93 of 230 - Sale <sup>25</sup>
28.000		2,592.74	06/16/20	2,675.57	82.83 W	0.00	94 of 230 - Sale <sup>25</sup>
3.000		276.74	06/16/20	284.36	7.62 W	0.00	95 of 230 - Sale <sup>25</sup>
4.000		368.99	06/16/20	375.07	6.08 W	0.00	96 of 230 - Sale <sup>25</sup>
15.000		1,383.72	06/16/20	1,421.76	38.04 W	0.00	97 of 230 - Sale <sup>25</sup>
28.000		2,582.94	06/16/20	2,648.54	65.60 W	0.00	98 of 230 - Sale <sup>25</sup>
4.000		368.79	06/16/20	382.22	13.43 W	0.00	99 of 230 - Sale <sup>25</sup>
4.000		368.79	06/16/20	384.31	15.52 W	0.00	100 of 230 - Sale <sup>25</sup>
14.000		1,290.77	06/16/20	1,337.75	46.98 W	0.00	101 of 230 - Sale <sup>25</sup>
28.000		2,581.54	06/16/20	2,677.03	95.49 W	0.00	102 of 230 - Sale <sup>25</sup>
3.000		276.47	06/16/20	285.57	9.10 W	0.00	103 of 230 - Sale <sup>25</sup>
4.000		368.63	06/16/20	376.68	8.05 W	0.00	104 of 230 - Sale <sup>25</sup>
15.000		1,382.37	06/16/20	1,427.79	45.42 W	0.00	105 of 230 - Sale <sup>25</sup>
28.000		2,580.42	06/16/20	2,659.80	79.38 W	0.00	106 of 230 - Sale <sup>25</sup>
4.000		368.79	06/16/20	383.83	15.04 W	0.00	107 of 230 - Sale <sup>25</sup>
2.000		184.39	06/16/20	192.96	8.57 W	0.00	108 of 230 - Sale <sup>25</sup>
14.000		1,290.77	06/16/20	1,343.38	52.61 W	0.00	109 of 230 - Sale <sup>25</sup>
2.000		184.30	06/16/20	192.96	8.66 W	0.00	110 of 230 - Sale <sup>25</sup>
28.000		2,580.14	06/16/20	2,688.29	108.15 W	0.00	111 of 230 - Sale <sup>25</sup>
3.000		276.44	06/16/20	286.45	10.01 W	0.00	112 of 230 - Sale <sup>25</sup>
2.000		184.30	06/16/20	188.93	4.63 W	0.00	113 of 230 - Sale <sup>25</sup>
15.000		1,382.21	06/16/20	1,432.17	49.96 W	0.00	114 of 230 - Sale <sup>25</sup>
2.000		184.39	06/16/20	193.47	9.08 W	0.00	115 of 230 - Sale <sup>25</sup>
2.000		184.40	06/16/20	188.92	4.52 W	0.00	116 of 230 - Sale <sup>25</sup>
4.000		368.79	06/16/20	384.84	16.05 W	0.00	117 of 230 - Sale <sup>25</sup>
14.000		1,290.77	06/16/20	1,346.91	56.14 W	0.00	118 of 230 - Sale <sup>25</sup>
28.000		2,581.54	06/16/20	2,667.98	86.44 W	0.00	119 of 230 - Sale <sup>25</sup>
2.000		184.66	06/16/20	188.82	4.16 W	0.00	120 of 230 - Sale <sup>25</sup>
28.000		2,585.18	06/16/20	2,666.64	81.46 W	0.00	121 of 230 - Sale <sup>25</sup>
2.000		184.66	06/16/20	193.38	8.72 W	0.00	122 of 230 - Sale <sup>25</sup>
4.000		369.31	06/16/20	384.65	15.34 W	0.00	123 of 230 - Sale <sup>25</sup>
14.000		1,292.59	06/16/20	1,346.24	53.65 W	0.00	124 of 230 - Sale <sup>25</sup>
2.000		184.60	06/16/20	193.56	8.96 W	0.00	125 of 230 - Sale <sup>25</sup>
28.000		2,584.36	06/16/20	2,696.75	112.39 W	0.00	126 of 230 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	184.59	06/16/20	188.93	4.34 W	0.00	127 of 230 - Sale <sup>25</sup>
	3.000	276.89	06/16/20	286.46	9.57 W	0.00	128 of 230 - Sale <sup>25</sup>
	15.000	1,384.48	06/16/20	1,432.21	47.73 W	0.00	129 of 230 - Sale <sup>25</sup>
	2.000	182.94	06/16/20	193.26	10.32 W	0.00	130 of 230 - Sale <sup>25</sup>
	28.000	2,561.10	06/16/20	2,692.59	131.49 W	0.00	131 of 230 - Sale <sup>25</sup>
	3.000	274.40	06/16/20	285.57	11.17 W	0.00	132 of 230 - Sale <sup>25</sup>
	13.000	1,189.08	06/16/20	1,237.37	48.29 W	0.00	133 of 230 - Sale <sup>25</sup>
	2.000	182.94	06/16/20	188.34	5.40 W	0.00	134 of 230 - Sale <sup>25</sup>
	2.000	182.94	06/16/20	190.36	7.42 W	0.00	135 of 230 - Sale <sup>25</sup>
	2.000	182.24	06/16/20	188.16	5.92 W	0.00	136 of 230 - Sale <sup>25</sup>
	28.000	2,551.30	06/16/20	2,657.46	106.16 W	0.00	137 of 230 - Sale <sup>25</sup>
	2.000	182.24	06/16/20	192.72	10.48 W	0.00	138 of 230 - Sale <sup>25</sup>
	4.000	364.47	06/16/20	383.34	18.87 W	0.00	139 of 230 - Sale <sup>25</sup>
	14.000	1,275.65	06/16/20	1,341.65	66.00 W	0.00	140 of 230 - Sale <sup>25</sup>
	2.000	182.30	06/16/20	194.32	12.02 W	0.00	141 of 230 - Sale <sup>25</sup>
	17.000	1,549.51	06/16/20	1,643.83	94.32 W	0.00	142 of 230 - Sale <sup>25</sup>
	1.000	91.15	06/16/20	96.70	5.55 W	0.00	143 of 230 - Sale <sup>25</sup>
	1.000	90.90	06/16/20	96.70	5.80 W	0.00	144 of 230 - Sale <sup>25</sup>
	3.000	272.69	06/16/20	287.17	14.48 W	0.00	145 of 230 - Sale <sup>25</sup>
	7.000	636.29	06/16/20	670.00	33.71 W	0.00	146 of 230 - Sale <sup>25</sup>
	9.000	818.08	06/16/20	870.26	52.18 W	0.00	147 of 230 - Sale <sup>25</sup>
	2.000	181.89	06/16/20	191.42	9.53 W	0.00	148 of 230 - Sale <sup>25</sup>
	2.000	181.90	06/16/20	189.40	7.50 W	0.00	149 of 230 - Sale <sup>25</sup>
	6.000	545.69	06/16/20	574.29	28.60 W	0.00	150 of 230 - Sale <sup>25</sup>
	2.000	181.89	06/16/20	189.62	7.73 W	0.00	151 of 230 - Sale <sup>25</sup>
	8.000	727.58	06/16/20	765.13	37.55 W	0.00	152 of 230 - Sale <sup>25</sup>
	2.000	182.00	06/16/20	194.18	12.18 W	0.00	153 of 230 - Sale <sup>25</sup>
	4.000	363.99	06/16/20	386.27	22.28 W	0.00	154 of 230 - Sale <sup>25</sup>
	14.000	1,273.97	06/16/20	1,351.90	77.93 W	0.00	155 of 230 - Sale <sup>25</sup>
	20.000	1,819.96	06/16/20	1,912.83	92.87 W	0.00	156 of 230 - Sale <sup>25</sup>
	2.000	181.99	06/16/20	195.42	13.43 W	0.00	157 of 230 - Sale <sup>25</sup>
	8.000	727.98	06/16/20	777.99	50.01 W	0.00	158 of 230 - Sale <sup>25</sup>
	1.000	90.75	06/16/20	97.25	6.50 W	0.00	159 of 230 - Sale <sup>25</sup>
	1.000	90.75	06/16/20	97.50	6.75 W	0.00	160 of 230 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	181.50	06/16/20	192.93	11.43 W	0.00	161 of 230 - Sale <sup>25</sup>
	2.000	181.50	06/16/20	190.90	9.40 W	0.00	162 of 230 - Sale <sup>25</sup>
	3.000	272.24	06/16/20	289.58	17.34 W	0.00	163 of 230 - Sale <sup>25</sup>
	6.000	544.49	06/16/20	578.80	34.31 W	0.00	164 of 230 - Sale <sup>25</sup>
	7.000	635.23	06/16/20	675.61	40.38 W	0.00	165 of 230 - Sale <sup>25</sup>
	9.000	816.73	06/16/20	877.48	60.75 W	0.00	166 of 230 - Sale <sup>25</sup>
	9.000	816.73	06/16/20	875.23	58.50 W	0.00	167 of 230 - Sale <sup>25</sup>
	2.000	181.49	06/16/20	190.63	9.14 W	0.00	168 of 230 - Sale <sup>25</sup>
	8.000	725.98	06/16/20	769.15	43.17 W	0.00	169 of 230 - Sale <sup>25</sup>
	2.000	181.90	06/16/20	195.08	13.18 W	0.00	170 of 230 - Sale <sup>25</sup>
	4.000	363.79	06/16/20	388.08	24.29 W	0.00	171 of 230 - Sale <sup>25</sup>
	14.000	1,273.26	06/16/20	1,358.23	84.97 W	0.00	172 of 230 - Sale <sup>25</sup>
	20.000	1,818.96	06/16/20	1,921.87	102.91 W	0.00	173 of 230 - Sale <sup>25</sup>
	1.000	91.10	06/16/20	97.92	6.82 W	0.00	174 of 230 - Sale <sup>25</sup>
	1.000	91.10	06/16/20	98.17	7.07 W	0.00	175 of 230 - Sale <sup>25</sup>
	2.000	182.20	06/16/20	196.27	14.07 W	0.00	176 of 230 - Sale <sup>25</sup>
	2.000	182.20	06/16/20	194.27	12.07 W	0.00	177 of 230 - Sale <sup>25</sup>
	2.000	182.19	06/16/20	192.24	10.05 W	0.00	178 of 230 - Sale <sup>25</sup>
	3.000	273.29	06/16/20	291.60	18.31 W	0.00	179 of 230 - Sale <sup>25</sup>
	6.000	546.59	06/16/20	582.83	36.24 W	0.00	180 of 230 - Sale <sup>25</sup>
	7.000	637.68	06/16/20	680.32	42.64 W	0.00	181 of 230 - Sale <sup>25</sup>
	8.000	728.78	06/16/20	781.37	52.59 W	0.00	182 of 230 - Sale <sup>25</sup>
	9.000	819.88	06/16/20	881.28	61.40 W	0.00	183 of 230 - Sale <sup>25</sup>
	9.000	819.88	06/16/20	883.53	63.65 W	0.00	184 of 230 - Sale <sup>25</sup>
	2.000	183.80	06/16/20	193.84	10.04 W	0.00	185 of 230 - Sale <sup>25</sup>
	8.000	735.18	06/16/20	781.97	46.79 W	0.00	186 of 230 - Sale <sup>25</sup>
	10.000	918.97	06/16/20	974.96	55.99 W	0.00	187 of 230 - Sale <sup>25</sup>
	2.000	182.90	06/16/20	197.88	14.98 W	0.00	188 of 230 - Sale <sup>25</sup>
	4.000	365.79	06/16/20	393.69	27.90 W	0.00	189 of 230 - Sale <sup>25</sup>
	14.000	1,280.27	06/16/20	1,377.87	97.60 W	0.00	190 of 230 - Sale <sup>25</sup>
	10.000	914.48	06/16/20	974.95	60.47 W	0.00	191 of 230 - Sale <sup>25</sup>
	1.000	91.45	06/16/20	98.97	7.52 W	0.00	192 of 230 - Sale <sup>25</sup>
	2.000	182.90	06/16/20	198.37	15.47 W	0.00	193 of 230 - Sale <sup>25</sup>
	8.000	731.58	06/16/20	789.79	58.21 W	0.00	194 of 230 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	9.000	823.02	06/16/20	890.75	67.73 W	0.00	195 of 230 - Sale <sup>25</sup>
	1.000	91.45	06/16/20	99.07	7.62 W	0.00	196 of 230 - Sale <sup>25</sup>
	2.000	182.90	06/16/20	196.07	13.17 W	0.00	197 of 230 - Sale <sup>25</sup>
	2.000	182.90	06/16/20	194.05	11.15 W	0.00	198 of 230 - Sale <sup>25</sup>
	2.000	182.90	06/16/20	194.04	11.14 W	0.00	199 of 230 - Sale <sup>25</sup>
	3.000	274.34	06/16/20	294.31	19.97 W	0.00	200 of 230 - Sale <sup>25</sup>
	6.000	548.69	06/16/20	588.24	39.55 W	0.00	201 of 230 - Sale <sup>25</sup>
	7.000	640.13	06/16/20	686.64	46.51 W	0.00	202 of 230 - Sale <sup>25</sup>
	8.000	731.58	06/16/20	782.79	51.21 W	0.00	203 of 230 - Sale <sup>25</sup>
	9.000	823.03	06/16/20	891.65	68.62 W	0.00	204 of 230 - Sale <sup>25</sup>
	10.000	914.47	06/16/20	975.99	61.52 W	0.00	205 of 230 - Sale <sup>25</sup>
	10.000	914.98	06/16/20	978.47	63.49 W	0.00	206 of 230 - Sale <sup>25</sup>
	10.000	914.97	06/16/20	987.71	72.74 W	0.00	207 of 230 - Sale <sup>25</sup>
	2.000	183.60	06/16/20	198.48	14.88 W	0.00	208 of 230 - Sale <sup>25</sup>
	2.000	183.59	06/16/20	198.97	15.38 W	0.00	209 of 230 - Sale <sup>25</sup>
	4.000	367.19	06/16/20	394.89	27.70 W	0.00	210 of 230 - Sale <sup>25</sup>
	4.000	367.19	06/16/20	394.90	27.71 W	0.00	211 of 230 - Sale <sup>25</sup>
	8.000	734.38	06/16/20	792.21	57.83 W	0.00	212 of 230 - Sale <sup>25</sup>
	1.000	91.80	06/16/20	98.92	7.12 W	0.00	213 of 230 - Sale <sup>25</sup>
	1.000	91.80	06/16/20	99.02	7.22 W	0.00	214 of 230 - Sale <sup>25</sup>
	2.000	183.60	06/16/20	195.97	12.37 W	0.00	215 of 230 - Sale <sup>25</sup>
	2.000	183.60	06/16/20	193.95	10.35 W	0.00	216 of 230 - Sale <sup>25</sup>
	2.000	183.59	06/16/20	193.94	10.35 W	0.00	217 of 230 - Sale <sup>25</sup>
	3.000	275.39	06/16/20	294.17	18.78 W	0.00	218 of 230 - Sale <sup>25</sup>
	6.000	550.79	06/16/20	587.95	37.16 W	0.00	219 of 230 - Sale <sup>25</sup>
	7.000	642.58	06/16/20	686.31	43.73 W	0.00	220 of 230 - Sale <sup>25</sup>
	8.000	734.38	06/16/20	782.41	48.03 W	0.00	221 of 230 - Sale <sup>25</sup>
	9.000	826.18	06/16/20	890.33	64.15 W	0.00	222 of 230 - Sale <sup>25</sup>
	9.000	826.18	06/16/20	891.22	65.04 W	0.00	223 of 230 - Sale <sup>25</sup>
	9.000	826.18	06/16/20	877.52	51.34 W	0.00	224 of 230 - Sale <sup>25</sup>
	1.000	91.80	06/16/20	97.50	5.70 W	0.00	225 of 230 - Sale <sup>25</sup>
	10.000	917.98	06/16/20	976.99	59.01 W	0.00	226 of 230 - Sale <sup>25</sup>
	2.000	183.60	06/16/20	197.58	13.98 W	0.00	227 of 230 - Sale <sup>25</sup>
	4.000	367.19	06/16/20	393.10	25.91 W	0.00	228 of 230 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	367.19	06/16/20	393.11	25.92 W	0.00	229 of 230 - Sale <sup>25</sup>
	10.000	917.97	06/16/20	986.24	68.27 W	0.00	230 of 230 - Sale <sup>25</sup>
06/16/20	3,102.000	285,639.42	Various	296,092.04	10,452.62 W	0.00	Total of 230 transactions
	10 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	1.000	95.18	06/17/20	95.15	...	0.03	1 of 10 - Sale <sup>25</sup>
	2.000	190.34	06/17/20	190.30	...	0.04	2 of 10 - Sale <sup>25</sup>
	1.000	95.20	06/17/20	95.11	...	0.09	3 of 10 - Sale <sup>25</sup>
	20.000	1,903.56	06/17/20	1,903.02	...	0.54	4 of 10 - Sale <sup>25</sup>
	20.000	1,897.98	06/17/20	1,897.39	...	0.59	5 of 10 - Sale <sup>25</sup>
	36.000	3,426.04	06/17/20	3,425.40	...	0.64	6 of 10 - Sale <sup>25</sup>
	20.000	1,897.98	06/17/20	1,896.00	...	1.98	7 of 10 - Sale <sup>25</sup>
	26.000	2,456.93	06/17/20	2,454.40	...	2.53	8 of 10 - Sale <sup>25</sup>
	39.000	3,712.69	06/17/20	3,708.32	...	4.37	9 of 10 - Sale <sup>25</sup>
	100.000	9,489.88	06/17/20	9,485.00	...	4.88	10 of 10 - Sale <sup>25</sup>
06/17/20	265.000	25,165.78	Various	25,150.09	...	15.69	Total of 10 transactions
	932 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	2.000	188.99	06/17/20	204.54	15.55 W	0.00	1 of 932 - Sale <sup>25</sup>
	8.000	755.98	06/17/20	814.47	58.49 W	0.00	2 of 932 - Sale <sup>25</sup>
	1.000	94.50	06/17/20	101.70	7.20 W	0.00	3 of 932 - Sale <sup>25</sup>
	1.000	94.50	06/17/20	101.80	7.30 W	0.00	4 of 932 - Sale <sup>25</sup>
	2.000	189.00	06/17/20	201.53	12.53 W	0.00	5 of 932 - Sale <sup>25</sup>
	2.000	189.00	06/17/20	199.51	10.51 W	0.00	6 of 932 - Sale <sup>25</sup>
	3.000	283.49	06/17/20	302.52	19.03 W	0.00	7 of 932 - Sale <sup>25</sup>
	6.000	566.99	06/17/20	604.63	37.64 W	0.00	8 of 932 - Sale <sup>25</sup>
	7.000	661.48	06/17/20	705.79	44.31 W	0.00	9 of 932 - Sale <sup>25</sup>
	9.000	850.48	06/17/20	915.36	64.88 W	0.00	10 of 932 - Sale <sup>25</sup>
	9.000	850.48	06/17/20	916.25	65.77 W	0.00	11 of 932 - Sale <sup>25</sup>
	1.000	94.50	06/17/20	100.20	5.70 W	0.00	12 of 932 - Sale <sup>25</sup>
	2.000	189.00	06/17/20	199.35	10.35 W	0.00	13 of 932 - Sale <sup>25</sup>
	8.000	755.98	06/17/20	804.03	48.05 W	0.00	14 of 932 - Sale <sup>25</sup>
	9.000	850.48	06/17/20	901.84	51.36 W	0.00	15 of 932 - Sale <sup>25</sup>
	10.000	944.98	06/17/20	1,003.41	58.43 W	0.00	16 of 932 - Sale <sup>25</sup>
	10.000	944.98	06/17/20	1,012.66	67.68 W	0.00	17 of 932 - Sale <sup>25</sup>
	2.000	188.99	06/17/20	202.78	13.79 W	0.00	18 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	189.00	06/17/20	204.35	15.35 W	0.00	19 of 932 - Sale <sup>25</sup>
	4.000	377.99	06/17/20	403.51	25.52 W	0.00	20 of 932 - Sale <sup>25</sup>
	4.000	377.99	06/17/20	403.52	25.53 W	0.00	21 of 932 - Sale <sup>25</sup>
	8.000	755.98	06/17/20	813.69	57.71 W	0.00	22 of 932 - Sale <sup>25</sup>
	4.000	378.00	06/17/20	406.44	28.44 W	0.00	23 of 932 - Sale <sup>25</sup>
	1.000	94.45	06/17/20	101.65	7.20 W	0.00	24 of 932 - Sale <sup>25</sup>
	1.000	94.45	06/17/20	101.75	7.30 W	0.00	25 of 932 - Sale <sup>25</sup>
	1.000	94.45	06/17/20	100.78	6.33 W	0.00	26 of 932 - Sale <sup>25</sup>
	3.000	283.34	06/17/20	302.38	19.04 W	0.00	27 of 932 - Sale <sup>25</sup>
	5.000	472.24	06/17/20	508.29	36.05 W	0.00	28 of 932 - Sale <sup>25</sup>
	9.000	850.03	06/17/20	915.82	65.79 W	0.00	29 of 932 - Sale <sup>25</sup>
	1.000	94.45	06/17/20	100.71	6.26 W	0.00	30 of 932 - Sale <sup>25</sup>
	2.000	188.90	06/17/20	201.29	12.39 W	0.00	31 of 932 - Sale <sup>25</sup>
	2.000	188.89	06/17/20	199.27	10.38 W	0.00	32 of 932 - Sale <sup>25</sup>
	2.000	188.90	06/17/20	199.11	10.21 W	0.00	33 of 932 - Sale <sup>25</sup>
	2.000	188.89	06/17/20	200.77	11.88 W	0.00	34 of 932 - Sale <sup>25</sup>
	5.000	472.24	06/17/20	503.55	31.31 W	0.00	35 of 932 - Sale <sup>25</sup>
	6.000	566.68	06/17/20	603.92	37.24 W	0.00	36 of 932 - Sale <sup>25</sup>
	1.000	94.45	06/17/20	100.05	5.60 W	0.00	37 of 932 - Sale <sup>25</sup>
	4.000	377.79	06/17/20	400.77	22.98 W	0.00	38 of 932 - Sale <sup>25</sup>
	6.000	566.69	06/17/20	602.14	35.45 W	0.00	39 of 932 - Sale <sup>25</sup>
	9.000	850.02	06/17/20	900.50	50.48 W	0.00	40 of 932 - Sale <sup>25</sup>
	4.000	377.59	06/17/20	402.80	25.21 W	0.00	41 of 932 - Sale <sup>25</sup>
	6.000	566.39	06/17/20	600.98	34.59 W	0.00	42 of 932 - Sale <sup>25</sup>
	10.000	943.98	06/17/20	1,010.87	66.89 W	0.00	43 of 932 - Sale <sup>25</sup>
	2.000	188.80	06/17/20	202.39	13.59 W	0.00	44 of 932 - Sale <sup>25</sup>
	2.000	188.79	06/17/20	203.95	15.16 W	0.00	45 of 932 - Sale <sup>25</sup>
	4.000	377.59	06/17/20	402.73	25.14 W	0.00	46 of 932 - Sale <sup>25</sup>
	4.000	377.59	06/17/20	405.64	28.05 W	0.00	47 of 932 - Sale <sup>25</sup>
	8.000	755.18	06/17/20	812.11	56.93 W	0.00	48 of 932 - Sale <sup>25</sup>
	1.000	93.21	06/17/20	101.14	7.93 W	0.00	49 of 932 - Sale <sup>25</sup>
	1.000	93.21	06/17/20	101.25	8.04 W	0.00	50 of 932 - Sale <sup>25</sup>
	3.000	279.63	06/17/20	303.76	24.13 W	0.00	51 of 932 - Sale <sup>25</sup>
	5.000	466.05	06/17/20	505.78	39.73 W	0.00	52 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	93.21	06/17/20	100.25	7.04 W	0.00	53 of 932 - Sale <sup>25</sup>
	1.000	93.21	06/17/20	100.18	6.97 W	0.00	54 of 932 - Sale <sup>25</sup>
	3.000	279.63	06/17/20	300.80	21.17 W	0.00	55 of 932 - Sale <sup>25</sup>
	4.000	372.84	06/17/20	400.51	27.67 W	0.00	56 of 932 - Sale <sup>25</sup>
	5.000	466.05	06/17/20	500.91	34.86 W	0.00	57 of 932 - Sale <sup>25</sup>
	6.000	559.25	06/17/20	607.38	48.13 W	0.00	58 of 932 - Sale <sup>25</sup>
	2.000	186.42	06/17/20	200.21	13.79 W	0.00	59 of 932 - Sale <sup>25</sup>
	2.000	186.42	06/17/20	200.19	13.77 W	0.00	60 of 932 - Sale <sup>25</sup>
	2.000	186.42	06/17/20	198.18	11.76 W	0.00	61 of 932 - Sale <sup>25</sup>
	2.000	186.42	06/17/20	198.01	11.59 W	0.00	62 of 932 - Sale <sup>25</sup>
	2.000	186.42	06/17/20	199.68	13.26 W	0.00	63 of 932 - Sale <sup>25</sup>
	4.000	372.83	06/17/20	398.04	25.21 W	0.00	64 of 932 - Sale <sup>25</sup>
	6.000	559.25	06/17/20	598.85	39.60 W	0.00	65 of 932 - Sale <sup>25</sup>
	1.000	93.21	06/17/20	99.51	6.30 W	0.00	66 of 932 - Sale <sup>25</sup>
	4.000	372.84	06/17/20	398.62	25.78 W	0.00	67 of 932 - Sale <sup>25</sup>
	5.000	466.04	06/17/20	497.59	31.55 W	0.00	68 of 932 - Sale <sup>25</sup>
	4.000	374.99	06/17/20	402.40	27.41 W	0.00	69 of 932 - Sale <sup>25</sup>
	6.000	562.49	06/17/20	598.04	35.55 W	0.00	70 of 932 - Sale <sup>25</sup>
	2.000	187.50	06/17/20	201.11	13.61 W	0.00	71 of 932 - Sale <sup>25</sup>
	2.000	187.50	06/17/20	202.68	15.18 W	0.00	72 of 932 - Sale <sup>25</sup>
	2.000	187.50	06/17/20	201.74	14.24 W	0.00	73 of 932 - Sale <sup>25</sup>
	4.000	374.99	06/17/20	400.25	25.26 W	0.00	74 of 932 - Sale <sup>25</sup>
	4.000	374.99	06/17/20	400.18	25.19 W	0.00	75 of 932 - Sale <sup>25</sup>
	6.000	562.49	06/17/20	602.68	40.19 W	0.00	76 of 932 - Sale <sup>25</sup>
	1.000	93.75	06/17/20	100.77	7.02 W	0.00	77 of 932 - Sale <sup>25</sup>
	1.000	93.75	06/17/20	101.58	7.83 W	0.00	78 of 932 - Sale <sup>25</sup>
	1.000	93.75	06/17/20	101.69	7.94 W	0.00	79 of 932 - Sale <sup>25</sup>
	3.000	281.24	06/17/20	305.08	23.84 W	0.00	80 of 932 - Sale <sup>25</sup>
	4.000	374.99	06/17/20	402.65	27.66 W	0.00	81 of 932 - Sale <sup>25</sup>
	5.000	468.74	06/17/20	503.83	35.09 W	0.00	82 of 932 - Sale <sup>25</sup>
	5.000	468.74	06/17/20	507.98	39.24 W	0.00	83 of 932 - Sale <sup>25</sup>
	1.000	93.75	06/17/20	100.84	7.09 W	0.00	84 of 932 - Sale <sup>25</sup>
	1.000	93.75	06/17/20	100.77	7.02 W	0.00	85 of 932 - Sale <sup>25</sup>
	1.000	93.75	06/17/20	100.10	6.35 W	0.00	86 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	187.49	06/17/20	201.39	13.90 W	0.00	87 of 932 - Sale <sup>25</sup>
	2.000	187.50	06/17/20	201.37	13.87 W	0.00	88 of 932 - Sale <sup>25</sup>
	2.000	187.49	06/17/20	199.36	11.87 W	0.00	89 of 932 - Sale <sup>25</sup>
	2.000	187.50	06/17/20	199.19	11.69 W	0.00	90 of 932 - Sale <sup>25</sup>
	2.000	187.49	06/17/20	200.86	13.37 W	0.00	91 of 932 - Sale <sup>25</sup>
	3.000	281.24	06/17/20	302.57	21.33 W	0.00	92 of 932 - Sale <sup>25</sup>
	4.000	374.99	06/17/20	402.87	27.88 W	0.00	93 of 932 - Sale <sup>25</sup>
	4.000	374.99	06/17/20	400.41	25.42 W	0.00	94 of 932 - Sale <sup>25</sup>
	4.000	374.98	06/17/20	400.98	26.00 W	0.00	95 of 932 - Sale <sup>25</sup>
	5.000	468.74	06/17/20	503.86	35.12 W	0.00	96 of 932 - Sale <sup>25</sup>
	5.000	468.74	06/17/20	500.55	31.81 W	0.00	97 of 932 - Sale <sup>25</sup>
	6.000	562.48	06/17/20	610.93	48.45 W	0.00	98 of 932 - Sale <sup>25</sup>
	6.000	562.49	06/17/20	602.40	39.91 W	0.00	99 of 932 - Sale <sup>25</sup>
	1.000	93.77	06/17/20	100.82	7.05 W	0.00	100 of 932 - Sale <sup>25</sup>
	1.000	93.77	06/17/20	101.63	7.86 W	0.00	101 of 932 - Sale <sup>25</sup>
	1.000	93.77	06/17/20	101.74	7.97 W	0.00	102 of 932 - Sale <sup>25</sup>
	2.000	187.54	06/17/20	201.21	13.67 W	0.00	103 of 932 - Sale <sup>25</sup>
	2.000	187.54	06/17/20	202.78	15.24 W	0.00	104 of 932 - Sale <sup>25</sup>
	2.000	187.53	06/17/20	201.84	14.31 W	0.00	105 of 932 - Sale <sup>25</sup>
	3.000	281.29	06/17/20	305.24	23.95 W	0.00	106 of 932 - Sale <sup>25</sup>
	4.000	375.07	06/17/20	402.61	27.54 W	0.00	107 of 932 - Sale <sup>25</sup>
	4.000	375.07	06/17/20	400.46	25.39 W	0.00	108 of 932 - Sale <sup>25</sup>
	4.000	375.07	06/17/20	400.39	25.32 W	0.00	109 of 932 - Sale <sup>25</sup>
	4.000	375.07	06/17/20	402.86	27.79 W	0.00	110 of 932 - Sale <sup>25</sup>
	5.000	468.84	06/17/20	504.09	35.25 W	0.00	111 of 932 - Sale <sup>25</sup>
	5.000	468.84	06/17/20	508.24	39.40 W	0.00	112 of 932 - Sale <sup>25</sup>
	6.000	562.61	06/17/20	598.35	35.74 W	0.00	113 of 932 - Sale <sup>25</sup>
	6.000	562.61	06/17/20	602.99	40.38 W	0.00	114 of 932 - Sale <sup>25</sup>
	1.000	93.80	06/17/20	100.74	6.94 W	0.00	115 of 932 - Sale <sup>25</sup>
	1.000	93.80	06/17/20	100.67	6.87 W	0.00	116 of 932 - Sale <sup>25</sup>
	1.000	93.80	06/17/20	100.00	6.20 W	0.00	117 of 932 - Sale <sup>25</sup>
	2.000	187.60	06/17/20	201.20	13.60 W	0.00	118 of 932 - Sale <sup>25</sup>
	2.000	187.60	06/17/20	201.17	13.57 W	0.00	119 of 932 - Sale <sup>25</sup>
	2.000	187.59	06/17/20	199.17	11.58 W	0.00	120 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	187.59	06/17/20	198.99	11.40 W	0.00	121 of 932 - Sale <sup>25</sup>
	2.000	187.60	06/17/20	200.67	13.07 W	0.00	122 of 932 - Sale <sup>25</sup>
	3.000	281.39	06/17/20	302.28	20.89 W	0.00	123 of 932 - Sale <sup>25</sup>
	4.000	375.19	06/17/20	402.48	27.29 W	0.00	124 of 932 - Sale <sup>25</sup>
	4.000	375.19	06/17/20	400.02	24.83 W	0.00	125 of 932 - Sale <sup>25</sup>
	4.000	375.19	06/17/20	400.60	25.41 W	0.00	126 of 932 - Sale <sup>25</sup>
	5.000	468.99	06/17/20	503.37	34.38 W	0.00	127 of 932 - Sale <sup>25</sup>
	5.000	468.99	06/17/20	500.06	31.07 W	0.00	128 of 932 - Sale <sup>25</sup>
	6.000	562.79	06/17/20	610.35	47.56 W	0.00	129 of 932 - Sale <sup>25</sup>
	6.000	562.78	06/17/20	601.81	39.03 W	0.00	130 of 932 - Sale <sup>25</sup>
	4.000	375.19	06/17/20	400.66	25.47 W	0.00	131 of 932 - Sale <sup>25</sup>
	4.000	375.19	06/17/20	398.51	23.32 W	0.00	132 of 932 - Sale <sup>25</sup>
	6.000	562.78	06/17/20	595.42	32.64 W	0.00	133 of 932 - Sale <sup>25</sup>
	6.000	562.79	06/17/20	600.06	37.27 W	0.00	134 of 932 - Sale <sup>25</sup>
	1.000	93.74	06/17/20	100.80	7.06 W	0.00	135 of 932 - Sale <sup>25</sup>
	2.000	187.48	06/17/20	201.17	13.69 W	0.00	136 of 932 - Sale <sup>25</sup>
	2.000	187.48	06/17/20	202.74	15.26 W	0.00	137 of 932 - Sale <sup>25</sup>
	2.000	187.48	06/17/20	201.81	14.33 W	0.00	138 of 932 - Sale <sup>25</sup>
	4.000	374.95	06/17/20	400.32	25.37 W	0.00	139 of 932 - Sale <sup>25</sup>
	4.000	374.95	06/17/20	402.79	27.84 W	0.00	140 of 932 - Sale <sup>25</sup>
	5.000	468.69	06/17/20	504.00	35.31 W	0.00	141 of 932 - Sale <sup>25</sup>
	1.000	93.74	06/17/20	101.45	7.71 W	0.00	142 of 932 - Sale <sup>25</sup>
	1.000	93.74	06/17/20	101.56	7.82 W	0.00	143 of 932 - Sale <sup>25</sup>
	1.000	93.73	06/17/20	100.53	6.80 W	0.00	144 of 932 - Sale <sup>25</sup>
	3.000	281.21	06/17/20	304.72	23.51 W	0.00	145 of 932 - Sale <sup>25</sup>
	3.000	281.21	06/17/20	301.66	20.45 W	0.00	146 of 932 - Sale <sup>25</sup>
	5.000	468.69	06/17/20	507.35	38.66 W	0.00	147 of 932 - Sale <sup>25</sup>
	6.000	562.42	06/17/20	609.10	46.68 W	0.00	148 of 932 - Sale <sup>25</sup>
	1.000	93.65	06/17/20	100.43	6.78 W	0.00	149 of 932 - Sale <sup>25</sup>
	2.000	187.29	06/17/20	200.72	13.43 W	0.00	150 of 932 - Sale <sup>25</sup>
	2.000	187.30	06/17/20	200.69	13.39 W	0.00	151 of 932 - Sale <sup>25</sup>
	2.000	187.29	06/17/20	198.70	11.41 W	0.00	152 of 932 - Sale <sup>25</sup>
	2.000	187.30	06/17/20	198.52	11.22 W	0.00	153 of 932 - Sale <sup>25</sup>
	2.000	187.29	06/17/20	200.19	12.90 W	0.00	154 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	374.59	06/17/20	401.53	26.94 W	0.00	155 of 932 - Sale <sup>25</sup>
	5.000	468.24	06/17/20	502.18	33.94 W	0.00	156 of 932 - Sale <sup>25</sup>
	1.000	93.89	06/17/20	99.90	6.01 W	0.00	157 of 932 - Sale <sup>25</sup>
	4.000	375.55	06/17/20	399.63	24.08 W	0.00	158 of 932 - Sale <sup>25</sup>
	4.000	375.54	06/17/20	400.21	24.67 W	0.00	159 of 932 - Sale <sup>25</sup>
	5.000	469.44	06/17/20	499.57	30.13 W	0.00	160 of 932 - Sale <sup>25</sup>
	6.000	563.33	06/17/20	601.23	37.90 W	0.00	161 of 932 - Sale <sup>25</sup>
	4.000	371.99	06/17/20	400.67	28.68 W	0.00	162 of 932 - Sale <sup>25</sup>
	4.000	371.99	06/17/20	398.52	26.53 W	0.00	163 of 932 - Sale <sup>25</sup>
	6.000	557.99	06/17/20	595.44	37.45 W	0.00	164 of 932 - Sale <sup>25</sup>
	6.000	557.98	06/17/20	600.07	42.09 W	0.00	165 of 932 - Sale <sup>25</sup>
	1.000	92.85	06/17/20	100.86	8.01 W	0.00	166 of 932 - Sale <sup>25</sup>
	2.000	185.70	06/17/20	201.29	15.59 W	0.00	167 of 932 - Sale <sup>25</sup>
	2.000	185.69	06/17/20	202.86	17.17 W	0.00	168 of 932 - Sale <sup>25</sup>
	2.000	185.69	06/17/20	201.93	16.24 W	0.00	169 of 932 - Sale <sup>25</sup>
	4.000	371.39	06/17/20	400.57	29.18 W	0.00	170 of 932 - Sale <sup>25</sup>
	4.000	371.39	06/17/20	403.04	31.65 W	0.00	171 of 932 - Sale <sup>25</sup>
	5.000	464.24	06/17/20	504.31	40.07 W	0.00	172 of 932 - Sale <sup>25</sup>
	1.000	93.10	06/17/20	101.53	8.43 W	0.00	173 of 932 - Sale <sup>25</sup>
	4.000	372.39	06/17/20	406.13	33.74 W	0.00	174 of 932 - Sale <sup>25</sup>
	1.000	93.10	06/17/20	101.51	8.41 W	0.00	175 of 932 - Sale <sup>25</sup>
	1.000	93.10	06/17/20	101.62	8.52 W	0.00	176 of 932 - Sale <sup>25</sup>
	3.000	279.29	06/17/20	304.91	25.62 W	0.00	177 of 932 - Sale <sup>25</sup>
	1.000	93.10	06/17/20	99.96	6.86 W	0.00	178 of 932 - Sale <sup>25</sup>
	3.000	279.29	06/17/20	299.93	20.64 W	0.00	179 of 932 - Sale <sup>25</sup>
	6.000	558.59	06/17/20	605.64	47.05 W	0.00	180 of 932 - Sale <sup>25</sup>
	1.000	93.09	06/17/20	99.94	6.85 W	0.00	181 of 932 - Sale <sup>25</sup>
	4.000	372.36	06/17/20	399.58	27.22 W	0.00	182 of 932 - Sale <sup>25</sup>
	5.000	465.44	06/17/20	499.74	34.30 W	0.00	183 of 932 - Sale <sup>25</sup>
	1.000	93.09	06/17/20	99.72	6.63 W	0.00	184 of 932 - Sale <sup>25</sup>
	1.000	93.09	06/17/20	99.71	6.62 W	0.00	185 of 932 - Sale <sup>25</sup>
	2.000	186.18	06/17/20	199.39	13.21 W	0.00	186 of 932 - Sale <sup>25</sup>
	2.000	186.18	06/17/20	197.41	11.23 W	0.00	187 of 932 - Sale <sup>25</sup>
	2.000	186.17	06/17/20	197.22	11.05 W	0.00	188 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	186.18	06/17/20	198.90	12.72 W	0.00	189 of 932 - Sale <sup>25</sup>
	4.000	372.35	06/17/20	396.08	23.73 W	0.00	190 of 932 - Sale <sup>25</sup>
	6.000	558.53	06/17/20	595.90	37.37 W	0.00	191 of 932 - Sale <sup>25</sup>
	1.000	93.09	06/17/20	98.75	5.66 W	0.00	192 of 932 - Sale <sup>25</sup>
	4.000	372.34	06/17/20	395.63	23.29 W	0.00	193 of 932 - Sale <sup>25</sup>
	5.000	465.44	06/17/20	493.83	28.39 W	0.00	194 of 932 - Sale <sup>25</sup>
	4.000	372.39	06/17/20	399.64	27.25 W	0.00	195 of 932 - Sale <sup>25</sup>
	6.000	558.59	06/17/20	593.89	35.30 W	0.00	196 of 932 - Sale <sup>25</sup>
	2.000	186.20	06/17/20	200.93	14.73 W	0.00	197 of 932 - Sale <sup>25</sup>
	2.000	186.20	06/17/20	202.51	16.31 W	0.00	198 of 932 - Sale <sup>25</sup>
	2.000	186.19	06/17/20	201.58	15.39 W	0.00	199 of 932 - Sale <sup>25</sup>
	4.000	372.39	06/17/20	397.21	24.82 W	0.00	200 of 932 - Sale <sup>25</sup>
	4.000	372.39	06/17/20	399.86	27.47 W	0.00	201 of 932 - Sale <sup>25</sup>
	6.000	558.59	06/17/20	598.11	39.52 W	0.00	202 of 932 - Sale <sup>25</sup>
	1.000	93.10	06/17/20	100.86	7.76 W	0.00	203 of 932 - Sale <sup>25</sup>
	1.000	93.10	06/17/20	101.28	8.18 W	0.00	204 of 932 - Sale <sup>25</sup>
	1.000	93.10	06/17/20	101.26	8.16 W	0.00	205 of 932 - Sale <sup>25</sup>
	1.000	93.10	06/17/20	101.37	8.27 W	0.00	206 of 932 - Sale <sup>25</sup>
	3.000	279.28	06/17/20	304.17	24.89 W	0.00	207 of 932 - Sale <sup>25</sup>
	4.000	372.39	06/17/20	403.05	30.66 W	0.00	208 of 932 - Sale <sup>25</sup>
	4.000	372.39	06/17/20	405.14	32.75 W	0.00	209 of 932 - Sale <sup>25</sup>
	5.000	465.49	06/17/20	504.32	38.83 W	0.00	210 of 932 - Sale <sup>25</sup>
	1.000	93.25	06/17/20	99.61	6.36 W	0.00	211 of 932 - Sale <sup>25</sup>
	1.000	93.25	06/17/20	99.60	6.35 W	0.00	212 of 932 - Sale <sup>25</sup>
	3.000	279.74	06/17/20	298.89	19.15 W	0.00	213 of 932 - Sale <sup>25</sup>
	4.000	372.99	06/17/20	398.22	25.23 W	0.00	214 of 932 - Sale <sup>25</sup>
	5.000	466.23	06/17/20	498.05	31.82 W	0.00	215 of 932 - Sale <sup>25</sup>
	6.000	559.49	06/17/20	603.55	44.06 W	0.00	216 of 932 - Sale <sup>25</sup>
	1.000	93.33	06/17/20	99.28	5.95 W	0.00	217 of 932 - Sale <sup>25</sup>
	1.000	93.33	06/17/20	99.27	5.94 W	0.00	218 of 932 - Sale <sup>25</sup>
	2.000	186.66	06/17/20	198.51	11.85 W	0.00	219 of 932 - Sale <sup>25</sup>
	2.000	186.66	06/17/20	196.53	9.87 W	0.00	220 of 932 - Sale <sup>25</sup>
	2.000	186.66	06/17/20	196.35	9.69 W	0.00	221 of 932 - Sale <sup>25</sup>
	2.000	186.65	06/17/20	198.02	11.37 W	0.00	222 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	373.31	06/17/20	394.33	21.02 W	0.00	223 of 932 - Sale <sup>25</sup>
	6.000	559.97	06/17/20	593.27	33.30 W	0.00	224 of 932 - Sale <sup>25</sup>
	1.000	93.61	06/17/20	99.21	5.60 W	0.00	225 of 932 - Sale <sup>25</sup>
	1.000	93.61	06/17/20	101.31	7.70 W	0.00	226 of 932 - Sale <sup>25</sup>
	1.000	93.61	06/17/20	101.73	8.12 W	0.00	227 of 932 - Sale <sup>25</sup>
	1.000	93.61	06/17/20	101.71	8.10 W	0.00	228 of 932 - Sale <sup>25</sup>
	1.000	93.61	06/17/20	101.82	8.21 W	0.00	229 of 932 - Sale <sup>25</sup>
	1.000	93.61	06/17/20	99.91	6.30 W	0.00	230 of 932 - Sale <sup>25</sup>
	1.000	93.61	06/17/20	99.90	6.29 W	0.00	231 of 932 - Sale <sup>25</sup>
	1.000	93.61	06/17/20	99.50	5.89 W	0.00	232 of 932 - Sale <sup>25</sup>
	1.000	93.61	06/17/20	99.49	5.88 W	0.00	233 of 932 - Sale <sup>25</sup>
	2.000	187.22	06/17/20	201.83	14.61 W	0.00	234 of 932 - Sale <sup>25</sup>
	2.000	187.22	06/17/20	203.41	16.19 W	0.00	235 of 932 - Sale <sup>25</sup>
	2.000	187.22	06/17/20	202.49	15.27 W	0.00	236 of 932 - Sale <sup>25</sup>
	2.000	187.21	06/17/20	198.95	11.74 W	0.00	237 of 932 - Sale <sup>25</sup>
	2.000	187.21	06/17/20	196.97	9.76 W	0.00	238 of 932 - Sale <sup>25</sup>
	2.000	187.22	06/17/20	196.79	9.57 W	0.00	239 of 932 - Sale <sup>25</sup>
	2.000	187.21	06/17/20	198.47	11.26 W	0.00	240 of 932 - Sale <sup>25</sup>
	3.000	280.82	06/17/20	305.54	24.72 W	0.00	241 of 932 - Sale <sup>25</sup>
	3.000	280.82	06/17/20	299.80	18.98 W	0.00	242 of 932 - Sale <sup>25</sup>
	4.000	374.43	06/17/20	397.49	23.06 W	0.00	243 of 932 - Sale <sup>25</sup>
	4.000	374.43	06/17/20	401.45	27.02 W	0.00	244 of 932 - Sale <sup>25</sup>
	4.000	374.43	06/17/20	399.02	24.59 W	0.00	245 of 932 - Sale <sup>25</sup>
	4.000	374.43	06/17/20	401.67	27.24 W	0.00	246 of 932 - Sale <sup>25</sup>
	4.000	374.43	06/17/20	404.86	30.43 W	0.00	247 of 932 - Sale <sup>25</sup>
	4.000	374.43	06/17/20	406.95	32.52 W	0.00	248 of 932 - Sale <sup>25</sup>
	4.000	374.43	06/17/20	399.43	25.00 W	0.00	249 of 932 - Sale <sup>25</sup>
	4.000	374.43	06/17/20	395.22	20.79 W	0.00	250 of 932 - Sale <sup>25</sup>
	5.000	468.04	06/17/20	496.14	28.10 W	0.00	251 of 932 - Sale <sup>25</sup>
	5.000	468.04	06/17/20	506.58	38.54 W	0.00	252 of 932 - Sale <sup>25</sup>
	5.000	468.04	06/17/20	499.57	31.53 W	0.00	253 of 932 - Sale <sup>25</sup>
	6.000	561.65	06/17/20	596.60	34.95 W	0.00	254 of 932 - Sale <sup>25</sup>
	6.000	561.65	06/17/20	600.82	39.17 W	0.00	255 of 932 - Sale <sup>25</sup>
	6.000	561.64	06/17/20	605.36	43.72 W	0.00	256 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	6.000	561.65	06/17/20	594.60	32.95 W	0.00	257 of 932 - Sale <sup>25</sup>
	1.000	93.98	06/17/20	99.33	5.35 W	0.00	258 of 932 - Sale <sup>25</sup>
	4.000	375.93	06/17/20	397.98	22.05 W	0.00	259 of 932 - Sale <sup>25</sup>
	4.000	375.93	06/17/20	401.94	26.01 W	0.00	260 of 932 - Sale <sup>25</sup>
	5.000	469.91	06/17/20	496.75	26.84 W	0.00	261 of 932 - Sale <sup>25</sup>
	6.000	563.90	06/17/20	597.33	33.43 W	0.00	262 of 932 - Sale <sup>25</sup>
	2.000	188.05	06/17/20	203.10	15.05 W	0.00	263 of 932 - Sale <sup>25</sup>
	2.000	188.06	06/17/20	204.68	16.62 W	0.00	264 of 932 - Sale <sup>25</sup>
	2.000	188.05	06/17/20	203.76	15.71 W	0.00	265 of 932 - Sale <sup>25</sup>
	4.000	376.11	06/17/20	401.57	25.46 W	0.00	266 of 932 - Sale <sup>25</sup>
	4.000	376.11	06/17/20	404.22	28.11 W	0.00	267 of 932 - Sale <sup>25</sup>
	6.000	564.17	06/17/20	604.64	40.47 W	0.00	268 of 932 - Sale <sup>25</sup>
	1.000	94.10	06/17/20	101.95	7.85 W	0.00	269 of 932 - Sale <sup>25</sup>
	1.000	94.10	06/17/20	102.37	8.27 W	0.00	270 of 932 - Sale <sup>25</sup>
	1.000	94.10	06/17/20	102.34	8.24 W	0.00	271 of 932 - Sale <sup>25</sup>
	1.000	94.10	06/17/20	102.46	8.36 W	0.00	272 of 932 - Sale <sup>25</sup>
	1.000	94.10	06/17/20	100.54	6.44 W	0.00	273 of 932 - Sale <sup>25</sup>
	3.000	282.29	06/17/20	307.45	25.16 W	0.00	274 of 932 - Sale <sup>25</sup>
	3.000	282.29	06/17/20	301.72	19.43 W	0.00	275 of 932 - Sale <sup>25</sup>
	4.000	376.39	06/17/20	407.41	31.02 W	0.00	276 of 932 - Sale <sup>25</sup>
	4.000	376.39	06/17/20	409.50	33.11 W	0.00	277 of 932 - Sale <sup>25</sup>
	5.000	470.49	06/17/20	509.76	39.27 W	0.00	278 of 932 - Sale <sup>25</sup>
	6.000	564.59	06/17/20	609.19	44.60 W	0.00	279 of 932 - Sale <sup>25</sup>
	1.000	94.10	06/17/20	100.29	6.19 W	0.00	280 of 932 - Sale <sup>25</sup>
	4.000	376.39	06/17/20	401.00	24.61 W	0.00	281 of 932 - Sale <sup>25</sup>
	5.000	470.48	06/17/20	501.53	31.05 W	0.00	282 of 932 - Sale <sup>25</sup>
	1.000	94.12	06/17/20	99.89	5.77 W	0.00	283 of 932 - Sale <sup>25</sup>
	1.000	94.12	06/17/20	99.88	5.76 W	0.00	284 of 932 - Sale <sup>25</sup>
	2.000	188.24	06/17/20	199.74	11.50 W	0.00	285 of 932 - Sale <sup>25</sup>
	2.000	188.24	06/17/20	197.76	9.52 W	0.00	286 of 932 - Sale <sup>25</sup>
	2.000	188.24	06/17/20	197.57	9.33 W	0.00	287 of 932 - Sale <sup>25</sup>
	2.000	188.23	06/17/20	199.26	11.03 W	0.00	288 of 932 - Sale <sup>25</sup>
	1.000	94.12	06/17/20	99.20	5.08 W	0.00	289 of 932 - Sale <sup>25</sup>
	4.000	376.47	06/17/20	396.19	19.72 W	0.00	290 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	376.47	06/17/20	397.45	20.98 W	0.00	291 of 932 - Sale <sup>25</sup>
	4.000	376.47	06/17/20	401.41	24.94 W	0.00	292 of 932 - Sale <sup>25</sup>
	4.000	376.47	06/17/20	400.86	24.39 W	0.00	293 of 932 - Sale <sup>25</sup>
	5.000	470.59	06/17/20	496.09	25.50 W	0.00	294 of 932 - Sale <sup>25</sup>
	6.000	564.71	06/17/20	596.05	31.34 W	0.00	295 of 932 - Sale <sup>25</sup>
	6.000	564.70	06/17/20	596.53	31.83 W	0.00	296 of 932 - Sale <sup>25</sup>
	6.000	564.70	06/17/20	603.57	38.87 W	0.00	297 of 932 - Sale <sup>25</sup>
	2.000	188.50	06/17/20	202.75	14.25 W	0.00	298 of 932 - Sale <sup>25</sup>
	2.000	188.49	06/17/20	204.32	15.83 W	0.00	299 of 932 - Sale <sup>25</sup>
	2.000	188.50	06/17/20	203.41	14.91 W	0.00	300 of 932 - Sale <sup>25</sup>
	4.000	376.99	06/17/20	403.51	26.52 W	0.00	301 of 932 - Sale <sup>25</sup>
	1.000	94.25	06/17/20	101.70	7.45 W	0.00	302 of 932 - Sale <sup>25</sup>
	1.000	94.25	06/17/20	102.12	7.87 W	0.00	303 of 932 - Sale <sup>25</sup>
	1.000	94.25	06/17/20	102.09	7.84 W	0.00	304 of 932 - Sale <sup>25</sup>
	1.000	94.24	06/17/20	102.21	7.97 W	0.00	305 of 932 - Sale <sup>25</sup>
	3.000	282.74	06/17/20	306.71	23.97 W	0.00	306 of 932 - Sale <sup>25</sup>
	4.000	376.99	06/17/20	406.42	29.43 W	0.00	307 of 932 - Sale <sup>25</sup>
	4.000	376.99	06/17/20	408.51	31.52 W	0.00	308 of 932 - Sale <sup>25</sup>
	5.000	471.24	06/17/20	508.52	37.28 W	0.00	309 of 932 - Sale <sup>25</sup>
	1.000	93.89	06/17/20	100.59	6.70 W	0.00	310 of 932 - Sale <sup>25</sup>
	1.000	93.89	06/17/20	100.34	6.45 W	0.00	311 of 932 - Sale <sup>25</sup>
	3.000	281.66	06/17/20	301.88	20.22 W	0.00	312 of 932 - Sale <sup>25</sup>
	4.000	375.55	06/17/20	401.21	25.66 W	0.00	313 of 932 - Sale <sup>25</sup>
	5.000	469.43	06/17/20	501.80	32.37 W	0.00	314 of 932 - Sale <sup>25</sup>
	6.000	563.33	06/17/20	609.50	46.17 W	0.00	315 of 932 - Sale <sup>25</sup>
	1.000	93.97	06/17/20	99.92	5.95 W	0.00	316 of 932 - Sale <sup>25</sup>
	1.000	93.97	06/17/20	99.91	5.94 W	0.00	317 of 932 - Sale <sup>25</sup>
	2.000	187.93	06/17/20	199.80	11.87 W	0.00	318 of 932 - Sale <sup>25</sup>
	2.000	187.93	06/17/20	197.82	9.89 W	0.00	319 of 932 - Sale <sup>25</sup>
	2.000	187.94	06/17/20	197.63	9.69 W	0.00	320 of 932 - Sale <sup>25</sup>
	2.000	187.93	06/17/20	199.33	11.40 W	0.00	321 of 932 - Sale <sup>25</sup>
	4.000	375.87	06/17/20	396.32	20.45 W	0.00	322 of 932 - Sale <sup>25</sup>
	6.000	563.81	06/17/20	596.24	32.43 W	0.00	323 of 932 - Sale <sup>25</sup>
	1.000	93.98	06/17/20	99.25	5.27 W	0.00	324 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	93.98	06/17/20	99.23	5.25 W	0.00	325 of 932 - Sale <sup>25</sup>
	4.000	375.91	06/17/20	397.58	21.67 W	0.00	326 of 932 - Sale <sup>25</sup>
	4.000	375.91	06/17/20	397.00	21.09 W	0.00	327 of 932 - Sale <sup>25</sup>
	4.000	375.91	06/17/20	401.34	25.43 W	0.00	328 of 932 - Sale <sup>25</sup>
	6.000	563.87	06/17/20	596.43	32.56 W	0.00	329 of 932 - Sale <sup>25</sup>
	1.000	94.08	06/17/20	101.55	7.47 W	0.00	330 of 932 - Sale <sup>25</sup>
	1.000	94.08	06/17/20	101.97	7.89 W	0.00	331 of 932 - Sale <sup>25</sup>
	1.000	94.08	06/17/20	101.94	7.86 W	0.00	332 of 932 - Sale <sup>25</sup>
	1.000	94.08	06/17/20	102.07	7.99 W	0.00	333 of 932 - Sale <sup>25</sup>
	2.000	188.16	06/17/20	202.45	14.29 W	0.00	334 of 932 - Sale <sup>25</sup>
	2.000	188.16	06/17/20	204.03	15.87 W	0.00	335 of 932 - Sale <sup>25</sup>
	2.000	188.16	06/17/20	203.11	14.95 W	0.00	336 of 932 - Sale <sup>25</sup>
	3.000	282.23	06/17/20	306.27	24.04 W	0.00	337 of 932 - Sale <sup>25</sup>
	4.000	376.31	06/17/20	400.79	24.48 W	0.00	338 of 932 - Sale <sup>25</sup>
	4.000	376.31	06/17/20	402.92	26.61 W	0.00	339 of 932 - Sale <sup>25</sup>
	4.000	376.31	06/17/20	405.83	29.52 W	0.00	340 of 932 - Sale <sup>25</sup>
	4.000	376.31	06/17/20	407.92	31.61 W	0.00	341 of 932 - Sale <sup>25</sup>
	5.000	470.39	06/17/20	507.78	37.39 W	0.00	342 of 932 - Sale <sup>25</sup>
	6.000	564.47	06/17/20	603.47	39.00 W	0.00	343 of 932 - Sale <sup>25</sup>
	1.000	94.07	06/17/20	100.66	6.59 W	0.00	344 of 932 - Sale <sup>25</sup>
	3.000	282.23	06/17/20	302.12	19.89 W	0.00	345 of 932 - Sale <sup>25</sup>
	6.000	564.46	06/17/20	609.96	45.50 W	0.00	346 of 932 - Sale <sup>25</sup>
	1.000	94.10	06/17/20	100.42	6.32 W	0.00	347 of 932 - Sale <sup>25</sup>
	4.000	376.39	06/17/20	401.52	25.13 W	0.00	348 of 932 - Sale <sup>25</sup>
	5.000	470.49	06/17/20	502.19	31.70 W	0.00	349 of 932 - Sale <sup>25</sup>
	1.000	94.10	06/17/20	99.75	5.65 W	0.00	350 of 932 - Sale <sup>25</sup>
	1.000	94.10	06/17/20	99.74	5.64 W	0.00	351 of 932 - Sale <sup>25</sup>
	1.000	94.10	06/17/20	99.07	4.97 W	0.00	352 of 932 - Sale <sup>25</sup>
	1.000	94.10	06/17/20	99.05	4.95 W	0.00	353 of 932 - Sale <sup>25</sup>
	2.000	188.20	06/17/20	199.47	11.27 W	0.00	354 of 932 - Sale <sup>25</sup>
	2.000	188.19	06/17/20	197.49	9.30 W	0.00	355 of 932 - Sale <sup>25</sup>
	2.000	188.20	06/17/20	197.29	9.09 W	0.00	356 of 932 - Sale <sup>25</sup>
	2.000	188.19	06/17/20	199.00	10.81 W	0.00	357 of 932 - Sale <sup>25</sup>
	4.000	376.39	06/17/20	395.65	19.26 W	0.00	358 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	376.39	06/17/20	396.29	19.90 W	0.00	359 of 932 - Sale <sup>25</sup>
	4.000	376.38	06/17/20	396.87	20.49 W	0.00	360 of 932 - Sale <sup>25</sup>
	6.000	564.59	06/17/20	595.23	30.64 W	0.00	361 of 932 - Sale <sup>25</sup>
	4.000	376.39	06/17/20	400.63	24.24 W	0.00	362 of 932 - Sale <sup>25</sup>
	4.000	376.39	06/17/20	399.68	23.29 W	0.00	363 of 932 - Sale <sup>25</sup>
	6.000	564.59	06/17/20	595.36	30.77 W	0.00	364 of 932 - Sale <sup>25</sup>
	6.000	564.58	06/17/20	601.80	37.22 W	0.00	365 of 932 - Sale <sup>25</sup>
	1.000	94.13	06/17/20	100.50	6.37 W	0.00	366 of 932 - Sale <sup>25</sup>
	1.000	94.13	06/17/20	101.32	7.19 W	0.00	367 of 932 - Sale <sup>25</sup>
	2.000	188.26	06/17/20	201.99	13.73 W	0.00	368 of 932 - Sale <sup>25</sup>
	2.000	188.26	06/17/20	203.57	15.31 W	0.00	369 of 932 - Sale <sup>25</sup>
	2.000	188.26	06/17/20	202.65	14.39 W	0.00	370 of 932 - Sale <sup>25</sup>
	3.000	282.38	06/17/20	301.51	19.13 W	0.00	371 of 932 - Sale <sup>25</sup>
	4.000	376.51	06/17/20	404.92	28.41 W	0.00	372 of 932 - Sale <sup>25</sup>
	5.000	470.64	06/17/20	506.64	36.00 W	0.00	373 of 932 - Sale <sup>25</sup>
	1.000	94.13	06/17/20	101.69	7.56 W	0.00	374 of 932 - Sale <sup>25</sup>
	1.000	94.13	06/17/20	101.66	7.53 W	0.00	375 of 932 - Sale <sup>25</sup>
	1.000	94.13	06/17/20	101.79	7.66 W	0.00	376 of 932 - Sale <sup>25</sup>
	1.000	94.13	06/17/20	100.39	6.26 W	0.00	377 of 932 - Sale <sup>25</sup>
	3.000	282.38	06/17/20	305.44	23.06 W	0.00	378 of 932 - Sale <sup>25</sup>
	3.000	282.38	06/17/20	301.29	18.91 W	0.00	379 of 932 - Sale <sup>25</sup>
	4.000	376.51	06/17/20	406.81	30.30 W	0.00	380 of 932 - Sale <sup>25</sup>
	6.000	564.76	06/17/20	608.30	43.54 W	0.00	381 of 932 - Sale <sup>25</sup>
	1.000	94.13	06/17/20	100.07	5.94 W	0.00	382 of 932 - Sale <sup>25</sup>
	4.000	376.51	06/17/20	400.13	23.62 W	0.00	383 of 932 - Sale <sup>25</sup>
	5.000	470.63	06/17/20	500.45	29.82 W	0.00	384 of 932 - Sale <sup>25</sup>
	1.000	94.15	06/17/20	99.40	5.25 W	0.00	385 of 932 - Sale <sup>25</sup>
	1.000	94.15	06/17/20	99.39	5.24 W	0.00	386 of 932 - Sale <sup>25</sup>
	2.000	188.29	06/17/20	198.77	10.48 W	0.00	387 of 932 - Sale <sup>25</sup>
	2.000	188.30	06/17/20	196.80	8.50 W	0.00	388 of 932 - Sale <sup>25</sup>
	2.000	188.29	06/17/20	196.59	8.30 W	0.00	389 of 932 - Sale <sup>25</sup>
	2.000	188.30	06/17/20	198.31	10.01 W	0.00	390 of 932 - Sale <sup>25</sup>
	5.000	470.74	06/17/20	493.53	22.79 W	0.00	391 of 932 - Sale <sup>25</sup>
	1.000	94.15	06/17/20	98.71	4.56 W	0.00	392 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	376.58	06/17/20	393.66	17.08 W	0.00	393 of 932 - Sale <sup>25</sup>
	1.000	93.85	06/17/20	98.72	4.87 W	0.00	394 of 932 - Sale <sup>25</sup>
	1.000	93.85	06/17/20	98.70	4.85 W	0.00	395 of 932 - Sale <sup>25</sup>
	4.000	375.40	06/17/20	394.90	19.50 W	0.00	396 of 932 - Sale <sup>25</sup>
	4.000	375.40	06/17/20	395.49	20.09 W	0.00	397 of 932 - Sale <sup>25</sup>
	4.000	375.40	06/17/20	399.24	23.84 W	0.00	398 of 932 - Sale <sup>25</sup>
	6.000	563.10	06/17/20	593.27	30.17 W	0.00	399 of 932 - Sale <sup>25</sup>
	1.000	93.85	06/17/20	100.17	6.32 W	0.00	400 of 932 - Sale <sup>25</sup>
	1.000	93.85	06/17/20	100.99	7.14 W	0.00	401 of 932 - Sale <sup>25</sup>
	2.000	187.70	06/17/20	201.33	13.63 W	0.00	402 of 932 - Sale <sup>25</sup>
	2.000	187.70	06/17/20	202.91	15.21 W	0.00	403 of 932 - Sale <sup>25</sup>
	2.000	187.70	06/17/20	201.99	14.29 W	0.00	404 of 932 - Sale <sup>25</sup>
	3.000	281.55	06/17/20	300.53	18.98 W	0.00	405 of 932 - Sale <sup>25</sup>
	4.000	375.40	06/17/20	398.49	23.09 W	0.00	406 of 932 - Sale <sup>25</sup>
	4.000	375.39	06/17/20	403.61	28.22 W	0.00	407 of 932 - Sale <sup>25</sup>
	5.000	469.24	06/17/20	505.00	35.76 W	0.00	408 of 932 - Sale <sup>25</sup>
	6.000	563.09	06/17/20	600.02	36.93 W	0.00	409 of 932 - Sale <sup>25</sup>
	1.000	93.87	06/17/20	101.36	7.49 W	0.00	410 of 932 - Sale <sup>25</sup>
	1.000	93.87	06/17/20	101.33	7.46 W	0.00	411 of 932 - Sale <sup>25</sup>
	1.000	93.87	06/17/20	101.46	7.59 W	0.00	412 of 932 - Sale <sup>25</sup>
	1.000	93.87	06/17/20	100.06	6.19 W	0.00	413 of 932 - Sale <sup>25</sup>
	3.000	281.60	06/17/20	304.46	22.86 W	0.00	414 of 932 - Sale <sup>25</sup>
	3.000	281.60	06/17/20	300.31	18.71 W	0.00	415 of 932 - Sale <sup>25</sup>
	4.000	375.47	06/17/20	405.50	30.03 W	0.00	416 of 932 - Sale <sup>25</sup>
	6.000	563.21	06/17/20	606.34	43.13 W	0.00	417 of 932 - Sale <sup>25</sup>
	1.000	93.87	06/17/20	99.83	5.96 W	0.00	418 of 932 - Sale <sup>25</sup>
	1.000	93.87	06/17/20	99.14	5.27 W	0.00	419 of 932 - Sale <sup>25</sup>
	1.000	93.87	06/17/20	99.13	5.26 W	0.00	420 of 932 - Sale <sup>25</sup>
	2.000	187.73	06/17/20	198.25	10.52 W	0.00	421 of 932 - Sale <sup>25</sup>
	2.000	187.73	06/17/20	196.27	8.54 W	0.00	422 of 932 - Sale <sup>25</sup>
	2.000	187.74	06/17/20	196.07	8.33 W	0.00	423 of 932 - Sale <sup>25</sup>
	2.000	187.73	06/17/20	197.78	10.05 W	0.00	424 of 932 - Sale <sup>25</sup>
	4.000	375.47	06/17/20	399.17	23.70 W	0.00	425 of 932 - Sale <sup>25</sup>
	5.000	469.34	06/17/20	499.25	29.91 W	0.00	426 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	93.97	06/17/20	98.45	4.48 W	0.00	427 of 932 - Sale <sup>25</sup>
	1.000	93.97	06/17/20	98.76	4.79 W	0.00	428 of 932 - Sale <sup>25</sup>
	1.000	93.97	06/17/20	98.74	4.77 W	0.00	429 of 932 - Sale <sup>25</sup>
	4.000	375.87	06/17/20	392.63	16.76 W	0.00	430 of 932 - Sale <sup>25</sup>
	4.000	375.87	06/17/20	395.05	19.18 W	0.00	431 of 932 - Sale <sup>25</sup>
	4.000	375.87	06/17/20	395.64	19.77 W	0.00	432 of 932 - Sale <sup>25</sup>
	4.000	375.87	06/17/20	399.38	23.51 W	0.00	433 of 932 - Sale <sup>25</sup>
	5.000	469.84	06/17/20	492.23	22.39 W	0.00	434 of 932 - Sale <sup>25</sup>
	6.000	563.81	06/17/20	593.49	29.68 W	0.00	435 of 932 - Sale <sup>25</sup>
	1.000	93.97	06/17/20	100.08	6.11 W	0.00	436 of 932 - Sale <sup>25</sup>
	2.000	187.93	06/17/20	201.15	13.22 W	0.00	437 of 932 - Sale <sup>25</sup>
	2.000	187.94	06/17/20	202.73	14.79 W	0.00	438 of 932 - Sale <sup>25</sup>
	2.000	187.93	06/17/20	201.80	13.87 W	0.00	439 of 932 - Sale <sup>25</sup>
	3.000	281.90	06/17/20	300.26	18.36 W	0.00	440 of 932 - Sale <sup>25</sup>
	4.000	375.87	06/17/20	398.12	22.25 W	0.00	441 of 932 - Sale <sup>25</sup>
	6.000	563.81	06/17/20	599.48	35.67 W	0.00	442 of 932 - Sale <sup>25</sup>
	1.000	94.25	06/17/20	101.14	6.89 W	0.00	443 of 932 - Sale <sup>25</sup>
	1.000	94.25	06/17/20	101.49	7.24 W	0.00	444 of 932 - Sale <sup>25</sup>
	1.000	94.25	06/17/20	101.46	7.21 W	0.00	445 of 932 - Sale <sup>25</sup>
	1.000	94.25	06/17/20	101.59	7.34 W	0.00	446 of 932 - Sale <sup>25</sup>
	3.000	282.73	06/17/20	304.86	22.13 W	0.00	447 of 932 - Sale <sup>25</sup>
	4.000	376.99	06/17/20	404.22	27.23 W	0.00	448 of 932 - Sale <sup>25</sup>
	4.000	376.99	06/17/20	406.03	29.04 W	0.00	449 of 932 - Sale <sup>25</sup>
	5.000	471.24	06/17/20	505.76	34.52 W	0.00	450 of 932 - Sale <sup>25</sup>
	1.000	94.20	06/17/20	100.37	6.17 W	0.00	451 of 932 - Sale <sup>25</sup>
	1.000	94.20	06/17/20	100.14	5.94 W	0.00	452 of 932 - Sale <sup>25</sup>
	3.000	282.59	06/17/20	301.25	18.66 W	0.00	453 of 932 - Sale <sup>25</sup>
	4.000	376.79	06/17/20	400.42	23.63 W	0.00	454 of 932 - Sale <sup>25</sup>
	5.000	470.99	06/17/20	500.81	29.82 W	0.00	455 of 932 - Sale <sup>25</sup>
	6.000	565.19	06/17/20	608.21	43.02 W	0.00	456 of 932 - Sale <sup>25</sup>
	1.000	94.20	06/17/20	99.39	5.19 W	0.00	457 of 932 - Sale <sup>25</sup>
	1.000	94.20	06/17/20	99.38	5.18 W	0.00	458 of 932 - Sale <sup>25</sup>
	1.000	94.20	06/17/20	98.60	4.40 W	0.00	459 of 932 - Sale <sup>25</sup>
	2.000	188.39	06/17/20	198.76	10.37 W	0.00	460 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	188.40	06/17/20	196.78	8.38 W	0.00	461 of 932 - Sale <sup>25</sup>
	2.000	188.39	06/17/20	196.57	8.18 W	0.00	462 of 932 - Sale <sup>25</sup>
	2.000	188.40	06/17/20	198.29	9.89 W	0.00	463 of 932 - Sale <sup>25</sup>
	4.000	376.79	06/17/20	393.24	16.45 W	0.00	464 of 932 - Sale <sup>25</sup>
	5.000	470.98	06/17/20	492.99	22.01 W	0.00	465 of 932 - Sale <sup>25</sup>
	1.000	94.25	06/17/20	98.89	4.64 W	0.00	466 of 932 - Sale <sup>25</sup>
	1.000	94.25	06/17/20	98.87	4.62 W	0.00	467 of 932 - Sale <sup>25</sup>
	4.000	376.99	06/17/20	395.58	18.59 W	0.00	468 of 932 - Sale <sup>25</sup>
	4.000	376.99	06/17/20	396.17	19.18 W	0.00	469 of 932 - Sale <sup>25</sup>
	4.000	376.99	06/17/20	399.91	22.92 W	0.00	470 of 932 - Sale <sup>25</sup>
	6.000	565.49	06/17/20	594.28	28.79 W	0.00	471 of 932 - Sale <sup>25</sup>
	1.000	94.25	06/17/20	100.15	5.90 W	0.00	472 of 932 - Sale <sup>25</sup>
	2.000	188.49	06/17/20	201.30	12.81 W	0.00	473 of 932 - Sale <sup>25</sup>
	2.000	188.50	06/17/20	202.87	14.37 W	0.00	474 of 932 - Sale <sup>25</sup>
	2.000	188.49	06/17/20	201.95	13.46 W	0.00	475 of 932 - Sale <sup>25</sup>
	3.000	282.74	06/17/20	300.48	17.74 W	0.00	476 of 932 - Sale <sup>25</sup>
	4.000	376.99	06/17/20	398.41	21.42 W	0.00	477 of 932 - Sale <sup>25</sup>
	6.000	565.49	06/17/20	599.91	34.42 W	0.00	478 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	101.63	6.73 W	0.00	479 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	101.98	7.08 W	0.00	480 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	101.95	7.05 W	0.00	481 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	102.08	7.18 W	0.00	482 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	100.91	6.01 W	0.00	483 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	100.68	5.78 W	0.00	484 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	99.93	5.03 W	0.00	485 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	99.92	5.02 W	0.00	486 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	99.14	4.24 W	0.00	487 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	99.38	4.48 W	0.00	488 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	99.36	4.46 W	0.00	489 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	100.64	5.74 W	0.00	490 of 932 - Sale <sup>25</sup>
	2.000	189.81	06/17/20	199.85	10.04 W	0.00	491 of 932 - Sale <sup>25</sup>
	2.000	189.81	06/17/20	197.86	8.05 W	0.00	492 of 932 - Sale <sup>25</sup>
	2.000	189.81	06/17/20	197.66	7.85 W	0.00	493 of 932 - Sale <sup>25</sup>
	2.000	189.81	06/17/20	199.37	9.56 W	0.00	494 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	189.81	06/17/20	202.29	12.48 W	0.00	495 of 932 - Sale <sup>25</sup>
	2.000	189.81	06/17/20	203.85	14.04 W	0.00	496 of 932 - Sale <sup>25</sup>
	2.000	189.81	06/17/20	202.93	13.12 W	0.00	497 of 932 - Sale <sup>25</sup>
	3.000	284.71	06/17/20	306.35	21.64 W	0.00	498 of 932 - Sale <sup>25</sup>
	3.000	284.71	06/17/20	302.88	18.17 W	0.00	499 of 932 - Sale <sup>25</sup>
	3.000	284.71	06/17/20	301.96	17.25 W	0.00	500 of 932 - Sale <sup>25</sup>
	4.000	379.61	06/17/20	406.19	26.58 W	0.00	501 of 932 - Sale <sup>25</sup>
	4.000	379.61	06/17/20	408.00	28.39 W	0.00	502 of 932 - Sale <sup>25</sup>
	4.000	379.61	06/17/20	402.59	22.98 W	0.00	503 of 932 - Sale <sup>25</sup>
	4.000	379.61	06/17/20	395.41	15.80 W	0.00	504 of 932 - Sale <sup>25</sup>
	4.000	379.61	06/17/20	397.55	17.94 W	0.00	505 of 932 - Sale <sup>25</sup>
	4.000	379.61	06/17/20	398.14	18.53 W	0.00	506 of 932 - Sale <sup>25</sup>
	4.000	379.61	06/17/20	401.88	22.27 W	0.00	507 of 932 - Sale <sup>25</sup>
	4.000	379.61	06/17/20	400.38	20.77 W	0.00	508 of 932 - Sale <sup>25</sup>
	5.000	474.51	06/17/20	508.22	33.71 W	0.00	509 of 932 - Sale <sup>25</sup>
	5.000	474.52	06/17/20	503.52	29.00 W	0.00	510 of 932 - Sale <sup>25</sup>
	5.000	474.51	06/17/20	495.71	21.20 W	0.00	511 of 932 - Sale <sup>25</sup>
	6.000	569.42	06/17/20	611.46	42.04 W	0.00	512 of 932 - Sale <sup>25</sup>
	6.000	569.42	06/17/20	597.23	27.81 W	0.00	513 of 932 - Sale <sup>25</sup>
	6.000	569.42	06/17/20	602.86	33.44 W	0.00	514 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	101.58	6.68 W	0.00	515 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	101.93	7.03 W	0.00	516 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	101.90	7.00 W	0.00	517 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	102.03	7.13 W	0.00	518 of 932 - Sale <sup>25</sup>
	3.000	284.70	06/17/20	306.19	21.49 W	0.00	519 of 932 - Sale <sup>25</sup>
	4.000	379.60	06/17/20	405.98	26.38 W	0.00	520 of 932 - Sale <sup>25</sup>
	4.000	379.60	06/17/20	407.79	28.19 W	0.00	521 of 932 - Sale <sup>25</sup>
	5.000	474.50	06/17/20	507.96	33.46 W	0.00	522 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	100.88	5.98 W	0.00	523 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	100.65	5.75 W	0.00	524 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	99.90	5.00 W	0.00	525 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	99.89	4.99 W	0.00	526 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	99.11	4.21 W	0.00	527 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	99.35	4.45 W	0.00	528 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	94.90	06/17/20	99.33	4.43 W	0.00	529 of 932 - Sale <sup>25</sup>
	1.000	94.90	06/17/20	100.61	5.71 W	0.00	530 of 932 - Sale <sup>25</sup>
	2.000	189.80	06/17/20	199.78	9.98 W	0.00	531 of 932 - Sale <sup>25</sup>
	2.000	189.80	06/17/20	197.79	7.99 W	0.00	532 of 932 - Sale <sup>25</sup>
	2.000	189.80	06/17/20	197.59	7.79 W	0.00	533 of 932 - Sale <sup>25</sup>
	2.000	189.80	06/17/20	199.30	9.50 W	0.00	534 of 932 - Sale <sup>25</sup>
	2.000	189.80	06/17/20	202.22	12.42 W	0.00	535 of 932 - Sale <sup>25</sup>
	2.000	189.80	06/17/20	203.78	13.98 W	0.00	536 of 932 - Sale <sup>25</sup>
	2.000	189.80	06/17/20	202.86	13.06 W	0.00	537 of 932 - Sale <sup>25</sup>
	3.000	284.70	06/17/20	302.78	18.08 W	0.00	538 of 932 - Sale <sup>25</sup>
	3.000	284.70	06/17/20	301.86	17.16 W	0.00	539 of 932 - Sale <sup>25</sup>
	4.000	379.60	06/17/20	402.46	22.86 W	0.00	540 of 932 - Sale <sup>25</sup>
	4.000	379.60	06/17/20	395.28	15.68 W	0.00	541 of 932 - Sale <sup>25</sup>
	4.000	379.60	06/17/20	397.42	17.82 W	0.00	542 of 932 - Sale <sup>25</sup>
	4.000	379.60	06/17/20	398.01	18.41 W	0.00	543 of 932 - Sale <sup>25</sup>
	4.000	379.60	06/17/20	401.75	22.15 W	0.00	544 of 932 - Sale <sup>25</sup>
	4.000	379.60	06/17/20	400.25	20.65 W	0.00	545 of 932 - Sale <sup>25</sup>
	5.000	474.50	06/17/20	503.35	28.85 W	0.00	546 of 932 - Sale <sup>25</sup>
	5.000	474.49	06/17/20	495.55	21.06 W	0.00	547 of 932 - Sale <sup>25</sup>
	6.000	569.39	06/17/20	611.26	41.87 W	0.00	548 of 932 - Sale <sup>25</sup>
	6.000	569.39	06/17/20	597.03	27.64 W	0.00	549 of 932 - Sale <sup>25</sup>
	6.000	569.39	06/17/20	602.66	33.27 W	0.00	550 of 932 - Sale <sup>25</sup>
	1.000	95.31	06/17/20	101.87	6.56 W	0.00	551 of 932 - Sale <sup>25</sup>
	1.000	95.31	06/17/20	102.22	6.91 W	0.00	552 of 932 - Sale <sup>25</sup>
	1.000	95.31	06/17/20	102.19	6.88 W	0.00	553 of 932 - Sale <sup>25</sup>
	1.000	95.31	06/17/20	102.32	7.01 W	0.00	554 of 932 - Sale <sup>25</sup>
	3.000	285.91	06/17/20	307.06	21.15 W	0.00	555 of 932 - Sale <sup>25</sup>
	4.000	381.23	06/17/20	407.14	25.91 W	0.00	556 of 932 - Sale <sup>25</sup>
	4.000	381.23	06/17/20	408.95	27.72 W	0.00	557 of 932 - Sale <sup>25</sup>
	5.000	476.54	06/17/20	509.41	32.87 W	0.00	558 of 932 - Sale <sup>25</sup>
	1.000	95.32	06/17/20	102.13	6.81 W	0.00	559 of 932 - Sale <sup>25</sup>
	1.000	95.32	06/17/20	101.13	5.81 W	0.00	560 of 932 - Sale <sup>25</sup>
	1.000	95.32	06/17/20	100.90	5.58 W	0.00	561 of 932 - Sale <sup>25</sup>
	1.000	95.32	06/17/20	100.15	4.83 W	0.00	562 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	95.32	06/17/20	100.14	4.82 W	0.00	563 of 932 - Sale <sup>25</sup>
	1.000	95.32	06/17/20	99.36	4.04 W	0.00	564 of 932 - Sale <sup>25</sup>
	1.000	95.32	06/17/20	99.60	4.28 W	0.00	565 of 932 - Sale <sup>25</sup>
	1.000	95.32	06/17/20	99.58	4.26 W	0.00	566 of 932 - Sale <sup>25</sup>
	1.000	95.32	06/17/20	100.86	5.54 W	0.00	567 of 932 - Sale <sup>25</sup>
	1.000	95.32	06/17/20	101.71	6.39 W	0.00	568 of 932 - Sale <sup>25</sup>
	1.000	95.32	06/17/20	102.06	6.74 W	0.00	569 of 932 - Sale <sup>25</sup>
	1.000	95.32	06/17/20	102.03	6.71 W	0.00	570 of 932 - Sale <sup>25</sup>
	1.000	95.33	06/17/20	102.16	6.83 W	0.00	571 of 932 - Sale <sup>25</sup>
	2.000	190.65	06/17/20	200.28	9.63 W	0.00	572 of 932 - Sale <sup>25</sup>
	2.000	190.65	06/17/20	198.29	7.64 W	0.00	573 of 932 - Sale <sup>25</sup>
	2.000	190.65	06/17/20	198.09	7.44 W	0.00	574 of 932 - Sale <sup>25</sup>
	2.000	190.65	06/17/20	199.80	9.15 W	0.00	575 of 932 - Sale <sup>25</sup>
	2.000	190.65	06/17/20	202.72	12.07 W	0.00	576 of 932 - Sale <sup>25</sup>
	2.000	190.65	06/17/20	204.28	13.63 W	0.00	577 of 932 - Sale <sup>25</sup>
	2.000	190.65	06/17/20	203.36	12.71 W	0.00	578 of 932 - Sale <sup>25</sup>
	3.000	285.97	06/17/20	303.53	17.56 W	0.00	579 of 932 - Sale <sup>25</sup>
	3.000	285.97	06/17/20	302.61	16.64 W	0.00	580 of 932 - Sale <sup>25</sup>
	3.000	285.97	06/17/20	306.60	20.63 W	0.00	581 of 932 - Sale <sup>25</sup>
	4.000	381.29	06/17/20	403.46	22.17 W	0.00	582 of 932 - Sale <sup>25</sup>
	4.000	381.29	06/17/20	396.28	14.99 W	0.00	583 of 932 - Sale <sup>25</sup>
	4.000	381.29	06/17/20	398.42	17.13 W	0.00	584 of 932 - Sale <sup>25</sup>
	4.000	381.29	06/17/20	399.01	17.72 W	0.00	585 of 932 - Sale <sup>25</sup>
	4.000	381.29	06/17/20	402.75	21.46 W	0.00	586 of 932 - Sale <sup>25</sup>
	4.000	381.29	06/17/20	401.25	19.96 W	0.00	587 of 932 - Sale <sup>25</sup>
	4.000	381.29	06/17/20	406.51	25.22 W	0.00	588 of 932 - Sale <sup>25</sup>
	4.000	381.29	06/17/20	408.32	27.03 W	0.00	589 of 932 - Sale <sup>25</sup>
	5.000	476.61	06/17/20	510.64	34.03 W	0.00	590 of 932 - Sale <sup>25</sup>
	5.000	476.61	06/17/20	504.60	27.99 W	0.00	591 of 932 - Sale <sup>25</sup>
	5.000	476.61	06/17/20	496.81	20.20 W	0.00	592 of 932 - Sale <sup>25</sup>
	5.000	476.61	06/17/20	508.62	32.01 W	0.00	593 of 932 - Sale <sup>25</sup>
	6.000	571.94	06/17/20	598.54	26.60 W	0.00	594 of 932 - Sale <sup>25</sup>
	6.000	571.94	06/17/20	604.17	32.23 W	0.00	595 of 932 - Sale <sup>25</sup>
	1.000	95.14	06/17/20	101.96	6.82 W	0.00	596 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	39.000	3,710.37	06/17/20	3,710.85	0.48 W	0.00	597 of 932 - Sale <sup>25</sup>
	22.000	2,092.40	06/17/20	2,093.30	0.90 W	0.00	598 of 932 - Sale <sup>25</sup>
	1.000	95.11	06/17/20	100.93	5.82 W	0.00	599 of 932 - Sale <sup>25</sup>
	1.000	95.11	06/17/20	100.70	5.59 W	0.00	600 of 932 - Sale <sup>25</sup>
	1.000	95.11	06/17/20	99.95	4.84 W	0.00	601 of 932 - Sale <sup>25</sup>
	3.000	285.33	06/17/20	302.92	17.59 W	0.00	602 of 932 - Sale <sup>25</sup>
	4.000	380.44	06/17/20	402.65	22.21 W	0.00	603 of 932 - Sale <sup>25</sup>
	5.000	475.55	06/17/20	509.63	34.08 W	0.00	604 of 932 - Sale <sup>25</sup>
	5.000	475.54	06/17/20	503.59	28.05 W	0.00	605 of 932 - Sale <sup>25</sup>
	1.000	95.11	06/17/20	99.95	4.84 W	0.00	606 of 932 - Sale <sup>25</sup>
	1.000	95.11	06/17/20	99.17	4.06 W	0.00	607 of 932 - Sale <sup>25</sup>
	1.000	95.11	06/17/20	99.41	4.30 W	0.00	608 of 932 - Sale <sup>25</sup>
	2.000	190.22	06/17/20	199.89	9.67 W	0.00	609 of 932 - Sale <sup>25</sup>
	2.000	190.22	06/17/20	197.90	7.68 W	0.00	610 of 932 - Sale <sup>25</sup>
	2.000	190.22	06/17/20	197.70	7.48 W	0.00	611 of 932 - Sale <sup>25</sup>
	2.000	190.22	06/17/20	199.41	9.19 W	0.00	612 of 932 - Sale <sup>25</sup>
	4.000	380.43	06/17/20	395.51	15.08 W	0.00	613 of 932 - Sale <sup>25</sup>
	5.000	475.54	06/17/20	495.85	20.31 W	0.00	614 of 932 - Sale <sup>25</sup>
	1.000	95.11	06/17/20	99.36	4.25 W	0.00	615 of 932 - Sale <sup>25</sup>
	1.000	95.11	06/17/20	100.64	5.53 W	0.00	616 of 932 - Sale <sup>25</sup>
	1.000	95.11	06/17/20	101.49	6.38 W	0.00	617 of 932 - Sale <sup>25</sup>
	1.000	95.11	06/17/20	101.84	6.73 W	0.00	618 of 932 - Sale <sup>25</sup>
	1.000	95.11	06/17/20	101.81	6.70 W	0.00	619 of 932 - Sale <sup>25</sup>
	1.000	95.11	06/17/20	101.93	6.82 W	0.00	620 of 932 - Sale <sup>25</sup>
	1.000	95.11	06/17/20	101.92	6.81 W	0.00	621 of 932 - Sale <sup>25</sup>
	1.000	95.11	06/17/20	95.14	0.03 W	0.00	622 of 932 - Sale <sup>25</sup>
	2.000	190.22	06/17/20	202.27	12.05 W	0.00	623 of 932 - Sale <sup>25</sup>
	2.000	190.22	06/17/20	203.83	13.61 W	0.00	624 of 932 - Sale <sup>25</sup>
	2.000	190.22	06/17/20	202.91	12.69 W	0.00	625 of 932 - Sale <sup>25</sup>
	3.000	285.32	06/17/20	301.94	16.62 W	0.00	626 of 932 - Sale <sup>25</sup>
	3.000	285.32	06/17/20	305.93	20.61 W	0.00	627 of 932 - Sale <sup>25</sup>
	4.000	380.43	06/17/20	397.53	17.10 W	0.00	628 of 932 - Sale <sup>25</sup>
	4.000	380.43	06/17/20	398.12	17.69 W	0.00	629 of 932 - Sale <sup>25</sup>
	4.000	380.43	06/17/20	401.86	21.43 W	0.00	630 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	380.43	06/17/20	400.36	19.93 W	0.00	631 of 932 - Sale <sup>25</sup>
	4.000	380.43	06/17/20	405.62	25.19 W	0.00	632 of 932 - Sale <sup>25</sup>
	4.000	380.43	06/17/20	407.43	27.00 W	0.00	633 of 932 - Sale <sup>25</sup>
	5.000	475.54	06/17/20	507.51	31.97 W	0.00	634 of 932 - Sale <sup>25</sup>
	6.000	570.65	06/17/20	597.20	26.55 W	0.00	635 of 932 - Sale <sup>25</sup>
	6.000	570.65	06/17/20	602.83	32.18 W	0.00	636 of 932 - Sale <sup>25</sup>
	39.000	3,709.18	06/17/20	3,709.38	0.20 W	0.00	637 of 932 - Sale <sup>25</sup>
	1.000	95.18	06/17/20	100.93	5.75 W	0.00	638 of 932 - Sale <sup>25</sup>
	1.000	95.18	06/17/20	100.70	5.52 W	0.00	639 of 932 - Sale <sup>25</sup>
	3.000	285.53	06/17/20	302.92	17.39 W	0.00	640 of 932 - Sale <sup>25</sup>
	4.000	380.71	06/17/20	402.65	21.94 W	0.00	641 of 932 - Sale <sup>25</sup>
	5.000	475.89	06/17/20	509.63	33.74 W	0.00	642 of 932 - Sale <sup>25</sup>
	5.000	475.89	06/17/20	503.59	27.70 W	0.00	643 of 932 - Sale <sup>25</sup>
	1.000	95.18	06/17/20	99.94	4.76 W	0.00	644 of 932 - Sale <sup>25</sup>
	1.000	95.18	06/17/20	99.94	4.76 W	0.00	645 of 932 - Sale <sup>25</sup>
	1.000	95.18	06/17/20	99.16	3.98 W	0.00	646 of 932 - Sale <sup>25</sup>
	2.000	190.35	06/17/20	199.87	9.52 W	0.00	647 of 932 - Sale <sup>25</sup>
	2.000	190.35	06/17/20	197.88	7.53 W	0.00	648 of 932 - Sale <sup>25</sup>
	2.000	190.36	06/17/20	197.68	7.32 W	0.00	649 of 932 - Sale <sup>25</sup>
	2.000	190.35	06/17/20	199.39	9.04 W	0.00	650 of 932 - Sale <sup>25</sup>
	4.000	380.71	06/17/20	395.48	14.77 W	0.00	651 of 932 - Sale <sup>25</sup>
	5.000	475.89	06/17/20	495.81	19.92 W	0.00	652 of 932 - Sale <sup>25</sup>
	1.000	95.18	06/17/20	99.40	4.22 W	0.00	653 of 932 - Sale <sup>25</sup>
	1.000	95.18	06/17/20	99.35	4.17 W	0.00	654 of 932 - Sale <sup>25</sup>
	4.000	380.71	06/17/20	397.50	16.79 W	0.00	655 of 932 - Sale <sup>25</sup>
	4.000	380.71	06/17/20	398.09	17.38 W	0.00	656 of 932 - Sale <sup>25</sup>
	4.000	380.71	06/17/20	401.83	21.12 W	0.00	657 of 932 - Sale <sup>25</sup>
	6.000	571.06	06/17/20	597.15	26.09 W	0.00	658 of 932 - Sale <sup>25</sup>
	1.000	95.20	06/17/20	100.61	5.41 W	0.00	659 of 932 - Sale <sup>25</sup>
	1.000	95.20	06/17/20	101.46	6.26 W	0.00	660 of 932 - Sale <sup>25</sup>
	1.000	95.20	06/17/20	101.81	6.61 W	0.00	661 of 932 - Sale <sup>25</sup>
	1.000	95.20	06/17/20	101.78	6.58 W	0.00	662 of 932 - Sale <sup>25</sup>
	1.000	95.20	06/17/20	101.90	6.70 W	0.00	663 of 932 - Sale <sup>25</sup>
	1.000	95.20	06/17/20	101.89	6.69 W	0.00	664 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	95.20	06/17/20	100.83	5.63 W	0.00	665 of 932 - Sale <sup>25</sup>
	1.000	95.20	06/17/20	100.60	5.40 W	0.00	666 of 932 - Sale <sup>25</sup>
	2.000	190.40	06/17/20	202.21	11.81 W	0.00	667 of 932 - Sale <sup>25</sup>
	2.000	190.40	06/17/20	203.77	13.37 W	0.00	668 of 932 - Sale <sup>25</sup>
	2.000	190.40	06/17/20	202.85	12.45 W	0.00	669 of 932 - Sale <sup>25</sup>
	3.000	285.59	06/17/20	301.86	16.27 W	0.00	670 of 932 - Sale <sup>25</sup>
	3.000	285.59	06/17/20	305.85	20.26 W	0.00	671 of 932 - Sale <sup>25</sup>
	3.000	285.59	06/17/20	302.63	17.04 W	0.00	672 of 932 - Sale <sup>25</sup>
	4.000	380.79	06/17/20	400.25	19.46 W	0.00	673 of 932 - Sale <sup>25</sup>
	4.000	380.79	06/17/20	405.51	24.72 W	0.00	674 of 932 - Sale <sup>25</sup>
	4.000	380.79	06/17/20	407.32	26.53 W	0.00	675 of 932 - Sale <sup>25</sup>
	4.000	380.79	06/17/20	402.26	21.47 W	0.00	676 of 932 - Sale <sup>25</sup>
	5.000	475.99	06/17/20	507.37	31.38 W	0.00	677 of 932 - Sale <sup>25</sup>
	5.000	475.99	06/17/20	509.14	33.15 W	0.00	678 of 932 - Sale <sup>25</sup>
	5.000	475.98	06/17/20	503.10	27.12 W	0.00	679 of 932 - Sale <sup>25</sup>
	6.000	571.19	06/17/20	602.66	31.47 W	0.00	680 of 932 - Sale <sup>25</sup>
	1.000	95.37	06/17/20	99.99	4.62 W	0.00	681 of 932 - Sale <sup>25</sup>
	1.000	95.37	06/17/20	99.98	4.61 W	0.00	682 of 932 - Sale <sup>25</sup>
	1.000	95.37	06/17/20	99.21	3.84 W	0.00	683 of 932 - Sale <sup>25</sup>
	2.000	190.73	06/17/20	199.97	9.24 W	0.00	684 of 932 - Sale <sup>25</sup>
	2.000	190.74	06/17/20	197.98	7.24 W	0.00	685 of 932 - Sale <sup>25</sup>
	2.000	190.73	06/17/20	197.77	7.04 W	0.00	686 of 932 - Sale <sup>25</sup>
	2.000	190.74	06/17/20	199.49	8.75 W	0.00	687 of 932 - Sale <sup>25</sup>
	4.000	381.46	06/17/20	395.67	14.21 W	0.00	688 of 932 - Sale <sup>25</sup>
	5.000	476.84	06/17/20	496.04	19.20 W	0.00	689 of 932 - Sale <sup>25</sup>
	1.000	95.40	06/17/20	99.42	4.02 W	0.00	690 of 932 - Sale <sup>25</sup>
	1.000	95.40	06/17/20	99.37	3.97 W	0.00	691 of 932 - Sale <sup>25</sup>
	4.000	381.59	06/17/20	397.59	16.00 W	0.00	692 of 932 - Sale <sup>25</sup>
	4.000	381.59	06/17/20	398.18	16.59 W	0.00	693 of 932 - Sale <sup>25</sup>
	4.000	381.59	06/17/20	401.92	20.33 W	0.00	694 of 932 - Sale <sup>25</sup>
	6.000	572.38	06/17/20	597.29	24.91 W	0.00	695 of 932 - Sale <sup>25</sup>
	1.000	95.40	06/17/20	100.54	5.14 W	0.00	696 of 932 - Sale <sup>25</sup>
	1.000	95.40	06/17/20	100.71	5.31 W	0.00	697 of 932 - Sale <sup>25</sup>
	2.000	190.79	06/17/20	202.41	11.62 W	0.00	698 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	190.80	06/17/20	203.97	13.17 W	0.00	699 of 932 - Sale <sup>25</sup>
	2.000	190.79	06/17/20	203.05	12.26 W	0.00	700 of 932 - Sale <sup>25</sup>
	3.000	286.19	06/17/20	302.17	15.98 W	0.00	701 of 932 - Sale <sup>25</sup>
	4.000	381.59	06/17/20	400.66	19.07 W	0.00	702 of 932 - Sale <sup>25</sup>
	5.000	476.99	06/17/20	502.73	25.74 W	0.00	703 of 932 - Sale <sup>25</sup>
	1.000	95.25	06/17/20	101.58	6.33 W	0.00	704 of 932 - Sale <sup>25</sup>
	1.000	95.25	06/17/20	101.93	6.68 W	0.00	705 of 932 - Sale <sup>25</sup>
	1.000	95.25	06/17/20	101.90	6.65 W	0.00	706 of 932 - Sale <sup>25</sup>
	1.000	95.25	06/17/20	102.02	6.77 W	0.00	707 of 932 - Sale <sup>25</sup>
	3.000	285.74	06/17/20	306.22	20.48 W	0.00	708 of 932 - Sale <sup>25</sup>
	4.000	380.99	06/17/20	406.00	25.01 W	0.00	709 of 932 - Sale <sup>25</sup>
	4.000	380.99	06/17/20	407.81	26.82 W	0.00	710 of 932 - Sale <sup>25</sup>
	5.000	476.24	06/17/20	507.98	31.74 W	0.00	711 of 932 - Sale <sup>25</sup>
	1.000	95.25	06/17/20	101.89	6.64 W	0.00	712 of 932 - Sale <sup>25</sup>
	1.000	95.25	06/17/20	100.83	5.58 W	0.00	713 of 932 - Sale <sup>25</sup>
	1.000	95.25	06/17/20	100.60	5.35 W	0.00	714 of 932 - Sale <sup>25</sup>
	3.000	285.74	06/17/20	302.64	16.90 W	0.00	715 of 932 - Sale <sup>25</sup>
	4.000	380.99	06/17/20	402.27	21.28 W	0.00	716 of 932 - Sale <sup>25</sup>
	5.000	476.24	06/17/20	509.15	32.91 W	0.00	717 of 932 - Sale <sup>25</sup>
	5.000	476.23	06/17/20	503.12	26.89 W	0.00	718 of 932 - Sale <sup>25</sup>
	1.000	94.82	06/17/20	99.97	5.15 W	0.00	719 of 932 - Sale <sup>25</sup>
	1.000	94.82	06/17/20	99.96	5.14 W	0.00	720 of 932 - Sale <sup>25</sup>
	1.000	94.82	06/17/20	99.19	4.37 W	0.00	721 of 932 - Sale <sup>25</sup>
	2.000	189.64	06/17/20	199.94	10.30 W	0.00	722 of 932 - Sale <sup>25</sup>
	2.000	189.64	06/17/20	197.94	8.30 W	0.00	723 of 932 - Sale <sup>25</sup>
	2.000	189.64	06/17/20	197.74	8.10 W	0.00	724 of 932 - Sale <sup>25</sup>
	2.000	189.64	06/17/20	199.45	9.81 W	0.00	725 of 932 - Sale <sup>25</sup>
	4.000	379.28	06/17/20	395.61	16.33 W	0.00	726 of 932 - Sale <sup>25</sup>
	5.000	474.10	06/17/20	495.95	21.85 W	0.00	727 of 932 - Sale <sup>25</sup>
	1.000	94.82	06/17/20	99.34	4.52 W	0.00	728 of 932 - Sale <sup>25</sup>
	1.000	94.82	06/17/20	99.29	4.47 W	0.00	729 of 932 - Sale <sup>25</sup>
	1.000	94.82	06/17/20	100.46	5.64 W	0.00	730 of 932 - Sale <sup>25</sup>
	4.000	379.28	06/17/20	397.28	18.00 W	0.00	731 of 932 - Sale <sup>25</sup>
	4.000	379.28	06/17/20	397.87	18.59 W	0.00	732 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	379.27	06/17/20	401.61	22.34 W	0.00	733 of 932 - Sale <sup>25</sup>
	4.000	379.28	06/17/20	400.35	21.07 W	0.00	734 of 932 - Sale <sup>25</sup>
	5.000	474.09	06/17/20	502.34	28.25 W	0.00	735 of 932 - Sale <sup>25</sup>
	6.000	568.91	06/17/20	596.83	27.92 W	0.00	736 of 932 - Sale <sup>25</sup>
	1.000	94.92	06/17/20	100.63	5.71 W	0.00	737 of 932 - Sale <sup>25</sup>
	1.000	94.92	06/17/20	101.65	6.73 W	0.00	738 of 932 - Sale <sup>25</sup>
	2.000	189.84	06/17/20	202.26	12.42 W	0.00	739 of 932 - Sale <sup>25</sup>
	2.000	189.84	06/17/20	203.81	13.97 W	0.00	740 of 932 - Sale <sup>25</sup>
	2.000	189.83	06/17/20	202.90	13.07 W	0.00	741 of 932 - Sale <sup>25</sup>
	3.000	284.75	06/17/20	301.94	17.19 W	0.00	742 of 932 - Sale <sup>25</sup>
	4.000	379.67	06/17/20	406.29	26.62 W	0.00	743 of 932 - Sale <sup>25</sup>
	5.000	474.59	06/17/20	508.34	33.75 W	0.00	744 of 932 - Sale <sup>25</sup>
	1.000	94.92	06/17/20	102.01	7.09 W	0.00	745 of 932 - Sale <sup>25</sup>
	1.000	94.92	06/17/20	101.98	7.06 W	0.00	746 of 932 - Sale <sup>25</sup>
	1.000	94.92	06/17/20	102.10	7.18 W	0.00	747 of 932 - Sale <sup>25</sup>
	1.000	94.92	06/17/20	101.97	7.05 W	0.00	748 of 932 - Sale <sup>25</sup>
	1.000	94.92	06/17/20	100.91	5.99 W	0.00	749 of 932 - Sale <sup>25</sup>
	3.000	284.75	06/17/20	306.47	21.72 W	0.00	750 of 932 - Sale <sup>25</sup>
	3.000	284.75	06/17/20	302.89	18.14 W	0.00	751 of 932 - Sale <sup>25</sup>
	4.000	379.67	06/17/20	408.14	28.47 W	0.00	752 of 932 - Sale <sup>25</sup>
	5.000	474.58	06/17/20	509.56	34.98 W	0.00	753 of 932 - Sale <sup>25</sup>
	1.000	94.92	06/17/20	100.70	5.78 W	0.00	754 of 932 - Sale <sup>25</sup>
	4.000	379.67	06/17/20	402.68	23.01 W	0.00	755 of 932 - Sale <sup>25</sup>
	5.000	474.59	06/17/20	503.64	29.05 W	0.00	756 of 932 - Sale <sup>25</sup>
	1.000	94.92	06/17/20	100.45	5.53 W	0.00	757 of 932 - Sale <sup>25</sup>
	1.000	94.92	06/17/20	100.44	5.52 W	0.00	758 of 932 - Sale <sup>25</sup>
	2.000	189.83	06/17/20	200.90	11.07 W	0.00	759 of 932 - Sale <sup>25</sup>
	2.000	189.83	06/17/20	198.90	9.07 W	0.00	760 of 932 - Sale <sup>25</sup>
	2.000	189.83	06/17/20	198.70	8.87 W	0.00	761 of 932 - Sale <sup>25</sup>
	2.000	189.84	06/17/20	200.41	10.57 W	0.00	762 of 932 - Sale <sup>25</sup>
	1.000	94.89	06/17/20	99.67	4.78 W	0.00	763 of 932 - Sale <sup>25</sup>
	1.000	94.89	06/17/20	99.82	4.93 W	0.00	764 of 932 - Sale <sup>25</sup>
	1.000	94.89	06/17/20	99.77	4.88 W	0.00	765 of 932 - Sale <sup>25</sup>
	4.000	379.55	06/17/20	397.53	17.98 W	0.00	766 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	379.55	06/17/20	399.20	19.65 W	0.00	767 of 932 - Sale <sup>25</sup>
	4.000	379.55	06/17/20	399.79	20.24 W	0.00	768 of 932 - Sale <sup>25</sup>
	4.000	379.55	06/17/20	403.54	23.99 W	0.00	769 of 932 - Sale <sup>25</sup>
	5.000	474.44	06/17/20	498.35	23.91 W	0.00	770 of 932 - Sale <sup>25</sup>
	6.000	569.32	06/17/20	599.72	30.40 W	0.00	771 of 932 - Sale <sup>25</sup>
	1.000	94.95	06/17/20	100.94	5.99 W	0.00	772 of 932 - Sale <sup>25</sup>
	1.000	94.95	06/17/20	101.01	6.06 W	0.00	773 of 932 - Sale <sup>25</sup>
	1.000	94.95	06/17/20	102.03	7.08 W	0.00	774 of 932 - Sale <sup>25</sup>
	1.000	94.95	06/17/20	102.39	7.44 W	0.00	775 of 932 - Sale <sup>25</sup>
	1.000	94.95	06/17/20	102.36	7.41 W	0.00	776 of 932 - Sale <sup>25</sup>
	1.000	94.95	06/17/20	102.48	7.53 W	0.00	777 of 932 - Sale <sup>25</sup>
	2.000	189.90	06/17/20	203.02	13.12 W	0.00	778 of 932 - Sale <sup>25</sup>
	2.000	189.90	06/17/20	204.57	14.67 W	0.00	779 of 932 - Sale <sup>25</sup>
	2.000	189.89	06/17/20	203.67	13.78 W	0.00	780 of 932 - Sale <sup>25</sup>
	3.000	284.84	06/17/20	303.09	18.25 W	0.00	781 of 932 - Sale <sup>25</sup>
	3.000	284.83	06/17/20	307.62	22.79 W	0.00	782 of 932 - Sale <sup>25</sup>
	4.000	379.79	06/17/20	402.27	22.48 W	0.00	783 of 932 - Sale <sup>25</sup>
	4.000	379.79	06/17/20	407.82	28.03 W	0.00	784 of 932 - Sale <sup>25</sup>
	4.000	379.79	06/17/20	409.67	29.88 W	0.00	785 of 932 - Sale <sup>25</sup>
	5.000	474.74	06/17/20	504.75	30.01 W	0.00	786 of 932 - Sale <sup>25</sup>
	5.000	474.74	06/17/20	510.25	35.51 W	0.00	787 of 932 - Sale <sup>25</sup>
	1.000	95.00	06/17/20	102.35	7.35 W	0.00	788 of 932 - Sale <sup>25</sup>
	2.000	190.00	06/17/20	204.59	14.59 W	0.00	789 of 932 - Sale <sup>25</sup>
	1.000	95.00	06/17/20	101.29	6.29 W	0.00	790 of 932 - Sale <sup>25</sup>
	1.000	95.00	06/17/20	101.08	6.08 W	0.00	791 of 932 - Sale <sup>25</sup>
	3.000	284.99	06/17/20	304.04	19.05 W	0.00	792 of 932 - Sale <sup>25</sup>
	4.000	379.99	06/17/20	404.21	24.22 W	0.00	793 of 932 - Sale <sup>25</sup>
	3.000	284.99	06/17/20	306.89	21.90 W	0.00	794 of 932 - Sale <sup>25</sup>
	5.000	474.99	06/17/20	505.55	30.56 W	0.00	795 of 932 - Sale <sup>25</sup>
	1.000	95.00	06/17/20	100.81	5.81 W	0.00	796 of 932 - Sale <sup>25</sup>
	1.000	95.00	06/17/20	100.80	5.80 W	0.00	797 of 932 - Sale <sup>25</sup>
	1.000	95.00	06/17/20	100.06	5.06 W	0.00	798 of 932 - Sale <sup>25</sup>
	2.000	190.00	06/17/20	201.63	11.63 W	0.00	799 of 932 - Sale <sup>25</sup>
	2.000	189.99	06/17/20	199.63	9.64 W	0.00	800 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	190.00	06/17/20	199.43	9.43 W	0.00	801 of 932 - Sale <sup>25</sup>
	2.000	189.99	06/17/20	201.13	11.14 W	0.00	802 of 932 - Sale <sup>25</sup>
	4.000	379.99	06/17/20	399.10	19.11 W	0.00	803 of 932 - Sale <sup>25</sup>
	5.000	474.99	06/17/20	500.31	25.32 W	0.00	804 of 932 - Sale <sup>25</sup>
	1.000	95.00	06/17/20	100.15	5.15 W	0.00	805 of 932 - Sale <sup>25</sup>
	1.000	95.00	06/17/20	100.10	5.10 W	0.00	806 of 932 - Sale <sup>25</sup>
	4.000	379.99	06/17/20	400.53	20.54 W	0.00	807 of 932 - Sale <sup>25</sup>
	4.000	379.99	06/17/20	401.12	21.13 W	0.00	808 of 932 - Sale <sup>25</sup>
	4.000	379.99	06/17/20	404.87	24.88 W	0.00	809 of 932 - Sale <sup>25</sup>
	6.000	569.98	06/17/20	601.72	31.74 W	0.00	810 of 932 - Sale <sup>25</sup>
	1.000	95.00	06/17/20	101.19	6.19 W	0.00	811 of 932 - Sale <sup>25</sup>
	4.000	379.99	06/17/20	403.28	23.29 W	0.00	812 of 932 - Sale <sup>25</sup>
	5.000	474.98	06/17/20	506.01	31.03 W	0.00	813 of 932 - Sale <sup>25</sup>
	1.000	94.53	06/17/20	101.26	6.73 W	0.00	814 of 932 - Sale <sup>25</sup>
	1.000	94.53	06/17/20	102.28	7.75 W	0.00	815 of 932 - Sale <sup>25</sup>
	2.000	189.06	06/17/20	203.52	14.46 W	0.00	816 of 932 - Sale <sup>25</sup>
	2.000	189.05	06/17/20	205.07	16.02 W	0.00	817 of 932 - Sale <sup>25</sup>
	2.000	189.06	06/17/20	204.18	15.12 W	0.00	818 of 932 - Sale <sup>25</sup>
	3.000	283.58	06/17/20	303.85	20.27 W	0.00	819 of 932 - Sale <sup>25</sup>
	4.000	378.11	06/17/20	408.83	30.72 W	0.00	820 of 932 - Sale <sup>25</sup>
	5.000	472.63	06/17/20	511.51	38.88 W	0.00	821 of 932 - Sale <sup>25</sup>
	1.000	94.20	06/17/20	102.64	8.44 W	0.00	822 of 932 - Sale <sup>25</sup>
	1.000	94.20	06/17/20	102.61	8.41 W	0.00	823 of 932 - Sale <sup>25</sup>
	1.000	94.20	06/17/20	102.73	8.53 W	0.00	824 of 932 - Sale <sup>25</sup>
	1.000	94.20	06/17/20	102.55	8.35 W	0.00	825 of 932 - Sale <sup>25</sup>
	1.000	94.20	06/17/20	101.49	7.29 W	0.00	826 of 932 - Sale <sup>25</sup>
	2.000	188.40	06/17/20	204.99	16.59 W	0.00	827 of 932 - Sale <sup>25</sup>
	3.000	282.59	06/17/20	308.39	25.80 W	0.00	828 of 932 - Sale <sup>25</sup>
	3.000	282.59	06/17/20	307.50	24.91 W	0.00	829 of 932 - Sale <sup>25</sup>
	3.000	282.59	06/17/20	304.65	22.06 W	0.00	830 of 932 - Sale <sup>25</sup>
	4.000	376.79	06/17/20	410.68	33.89 W	0.00	831 of 932 - Sale <sup>25</sup>
	1.000	94.20	06/17/20	101.02	6.82 W	0.00	832 of 932 - Sale <sup>25</sup>
	1.000	94.20	06/17/20	100.75	6.55 W	0.00	833 of 932 - Sale <sup>25</sup>
	1.000	94.20	06/17/20	100.74	6.54 W	0.00	834 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	94.20	06/17/20	100.00	5.80 W	0.00	835 of 932 - Sale <sup>25</sup>
	2.000	188.39	06/17/20	201.51	13.12 W	0.00	836 of 932 - Sale <sup>25</sup>
	2.000	188.40	06/17/20	199.52	11.12 W	0.00	837 of 932 - Sale <sup>25</sup>
	2.000	188.39	06/17/20	199.31	10.92 W	0.00	838 of 932 - Sale <sup>25</sup>
	2.000	188.40	06/17/20	201.02	12.62 W	0.00	839 of 932 - Sale <sup>25</sup>
	4.000	376.79	06/17/20	403.98	27.19 W	0.00	840 of 932 - Sale <sup>25</sup>
	4.000	376.78	06/17/20	398.87	22.09 W	0.00	841 of 932 - Sale <sup>25</sup>
	5.000	470.99	06/17/20	505.26	34.27 W	0.00	842 of 932 - Sale <sup>25</sup>
	5.000	470.99	06/17/20	500.02	29.03 W	0.00	843 of 932 - Sale <sup>25</sup>
	1.000	94.23	06/17/20	100.09	5.86 W	0.00	844 of 932 - Sale <sup>25</sup>
	1.000	94.23	06/17/20	100.04	5.81 W	0.00	845 of 932 - Sale <sup>25</sup>
	1.000	94.23	06/17/20	101.13	6.90 W	0.00	846 of 932 - Sale <sup>25</sup>
	1.000	94.23	06/17/20	101.67	7.44 W	0.00	847 of 932 - Sale <sup>25</sup>
	1.000	94.23	06/17/20	102.69	8.46 W	0.00	848 of 932 - Sale <sup>25</sup>
	2.000	188.46	06/17/20	204.34	15.88 W	0.00	849 of 932 - Sale <sup>25</sup>
	2.000	188.46	06/17/20	205.90	17.44 W	0.00	850 of 932 - Sale <sup>25</sup>
	2.000	188.46	06/17/20	205.00	16.54 W	0.00	851 of 932 - Sale <sup>25</sup>
	3.000	282.69	06/17/20	305.09	22.40 W	0.00	852 of 932 - Sale <sup>25</sup>
	4.000	376.92	06/17/20	400.30	23.38 W	0.00	853 of 932 - Sale <sup>25</sup>
	4.000	376.92	06/17/20	400.89	23.97 W	0.00	854 of 932 - Sale <sup>25</sup>
	4.000	376.92	06/17/20	404.64	27.72 W	0.00	855 of 932 - Sale <sup>25</sup>
	4.000	376.92	06/17/20	403.05	26.13 W	0.00	856 of 932 - Sale <sup>25</sup>
	4.000	376.91	06/17/20	410.48	33.57 W	0.00	857 of 932 - Sale <sup>25</sup>
	5.000	471.14	06/17/20	505.73	34.59 W	0.00	858 of 932 - Sale <sup>25</sup>
	5.000	471.14	06/17/20	513.58	42.44 W	0.00	859 of 932 - Sale <sup>25</sup>
	6.000	565.37	06/17/20	601.38	36.01 W	0.00	860 of 932 - Sale <sup>25</sup>
	1.000	93.73	06/17/20	100.81	7.08 W	0.00	861 of 932 - Sale <sup>25</sup>
	1.000	93.73	06/17/20	100.76	7.03 W	0.00	862 of 932 - Sale <sup>25</sup>
	1.000	93.73	06/17/20	101.85	8.12 W	0.00	863 of 932 - Sale <sup>25</sup>
	1.000	93.73	06/17/20	102.39	8.66 W	0.00	864 of 932 - Sale <sup>25</sup>
	1.000	93.73	06/17/20	103.41	9.68 W	0.00	865 of 932 - Sale <sup>25</sup>
	2.000	187.46	06/17/20	205.78	18.32 W	0.00	866 of 932 - Sale <sup>25</sup>
	2.000	187.46	06/17/20	207.34	19.88 W	0.00	867 of 932 - Sale <sup>25</sup>
	2.000	187.46	06/17/20	206.44	18.98 W	0.00	868 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	3.000	281.19	06/17/20	307.25	26.06 W	0.00	869 of 932 - Sale <sup>25</sup>
	4.000	374.91	06/17/20	403.18	28.27 W	0.00	870 of 932 - Sale <sup>25</sup>
	4.000	374.91	06/17/20	403.77	28.86 W	0.00	871 of 932 - Sale <sup>25</sup>
	4.000	374.91	06/17/20	407.52	32.61 W	0.00	872 of 932 - Sale <sup>25</sup>
	4.000	374.92	06/17/20	405.93	31.01 W	0.00	873 of 932 - Sale <sup>25</sup>
	4.000	374.91	06/17/20	413.37	38.46 W	0.00	874 of 932 - Sale <sup>25</sup>
	5.000	468.64	06/17/20	509.34	40.70 W	0.00	875 of 932 - Sale <sup>25</sup>
	5.000	468.64	06/17/20	517.19	48.55 W	0.00	876 of 932 - Sale <sup>25</sup>
	6.000	562.37	06/17/20	605.71	43.34 W	0.00	877 of 932 - Sale <sup>25</sup>
	1.000	93.70	06/17/20	103.38	9.68 W	0.00	878 of 932 - Sale <sup>25</sup>
	1.000	93.70	06/17/20	103.35	9.65 W	0.00	879 of 932 - Sale <sup>25</sup>
	1.000	93.70	06/17/20	103.47	9.77 W	0.00	880 of 932 - Sale <sup>25</sup>
	1.000	93.70	06/17/20	103.29	9.59 W	0.00	881 of 932 - Sale <sup>25</sup>
	1.000	93.70	06/17/20	102.23	8.53 W	0.00	882 of 932 - Sale <sup>25</sup>
	2.000	187.40	06/17/20	206.47	19.07 W	0.00	883 of 932 - Sale <sup>25</sup>
	3.000	281.09	06/17/20	310.62	29.53 W	0.00	884 of 932 - Sale <sup>25</sup>
	3.000	281.09	06/17/20	309.73	28.64 W	0.00	885 of 932 - Sale <sup>25</sup>
	3.000	281.09	06/17/20	306.88	25.79 W	0.00	886 of 932 - Sale <sup>25</sup>
	4.000	374.79	06/17/20	413.65	38.86 W	0.00	887 of 932 - Sale <sup>25</sup>
	1.000	93.70	06/17/20	101.76	8.06 W	0.00	888 of 932 - Sale <sup>25</sup>
	1.000	93.70	06/17/20	101.49	7.79 W	0.00	889 of 932 - Sale <sup>25</sup>
	4.000	374.79	06/17/20	406.95	32.16 W	0.00	890 of 932 - Sale <sup>25</sup>
	5.000	468.49	06/17/20	508.97	40.48 W	0.00	891 of 932 - Sale <sup>25</sup>
	1.000	93.70	06/17/20	101.49	7.79 W	0.00	892 of 932 - Sale <sup>25</sup>
	1.000	93.70	06/17/20	100.75	7.05 W	0.00	893 of 932 - Sale <sup>25</sup>
	2.000	187.39	06/17/20	203.02	15.63 W	0.00	894 of 932 - Sale <sup>25</sup>
	2.000	187.40	06/17/20	201.02	13.62 W	0.00	895 of 932 - Sale <sup>25</sup>
	2.000	187.39	06/17/20	200.82	13.43 W	0.00	896 of 932 - Sale <sup>25</sup>
	2.000	187.40	06/17/20	202.52	15.12 W	0.00	897 of 932 - Sale <sup>25</sup>
	4.000	374.78	06/17/20	401.89	27.11 W	0.00	898 of 932 - Sale <sup>25</sup>
	5.000	468.49	06/17/20	503.78	35.29 W	0.00	899 of 932 - Sale <sup>25</sup>
	1.000	93.30	06/17/20	104.63	11.33 W	0.00	900 of 932 - Sale <sup>25</sup>
	1.000	93.30	06/17/20	104.60	11.30 W	0.00	901 of 932 - Sale <sup>25</sup>
	1.000	93.30	06/17/20	104.72	11.42 W	0.00	902 of 932 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	93.30	06/17/20	104.54	11.24 W	0.00	903 of 932 - Sale <sup>25</sup>
	1.000	93.30	06/17/20	103.48	10.18 W	0.00	904 of 932 - Sale <sup>25</sup>
	1.000	93.30	06/17/20	103.01	9.71 W	0.00	905 of 932 - Sale <sup>25</sup>
	2.000	186.59	06/17/20	208.97	22.38 W	0.00	906 of 932 - Sale <sup>25</sup>
	3.000	279.89	06/17/20	314.38	34.49 W	0.00	907 of 932 - Sale <sup>25</sup>
	3.000	279.89	06/17/20	313.49	33.60 W	0.00	908 of 932 - Sale <sup>25</sup>
	3.000	279.89	06/17/20	310.64	30.75 W	0.00	909 of 932 - Sale <sup>25</sup>
	4.000	373.19	06/17/20	418.66	45.47 W	0.00	910 of 932 - Sale <sup>25</sup>
	4.000	373.19	06/17/20	411.96	38.77 W	0.00	911 of 932 - Sale <sup>25</sup>
	5.000	466.49	06/17/20	515.23	48.74 W	0.00	912 of 932 - Sale <sup>25</sup>
	1.000	93.70	06/17/20	100.68	6.98 W	0.00	913 of 932 - Sale <sup>25</sup>
	1.000	93.69	06/17/20	100.63	6.94 W	0.00	914 of 932 - Sale <sup>25</sup>
	4.000	374.79	06/17/20	402.66	27.87 W	0.00	915 of 932 - Sale <sup>25</sup>
	1.000	93.70	06/17/20	100.81	7.11 W	0.00	916 of 932 - Sale <sup>25</sup>
	1.000	93.68	06/17/20	102.74	9.06 W	0.00	917 of 932 - Sale <sup>25</sup>
	1.000	93.68	06/17/20	101.39	7.71 W	0.00	918 of 932 - Sale <sup>25</sup>
	1.000	93.68	06/17/20	100.65	6.97 W	0.00	919 of 932 - Sale <sup>25</sup>
	2.000	187.35	06/17/20	202.83	15.48 W	0.00	920 of 932 - Sale <sup>25</sup>
	2.000	187.36	06/17/20	200.82	13.46 W	0.00	921 of 932 - Sale <sup>25</sup>
	2.000	187.35	06/17/20	200.63	13.28 W	0.00	922 of 932 - Sale <sup>25</sup>
	2.000	187.36	06/17/20	202.32	14.96 W	0.00	923 of 932 - Sale <sup>25</sup>
	4.000	374.70	06/17/20	401.50	26.80 W	0.00	924 of 932 - Sale <sup>25</sup>
	5.000	468.39	06/17/20	503.28	34.89 W	0.00	925 of 932 - Sale <sup>25</sup>
	1.000	93.70	06/17/20	101.72	8.02 W	0.00	926 of 932 - Sale <sup>25</sup>
	1.000	93.70	06/17/20	102.26	8.56 W	0.00	927 of 932 - Sale <sup>25</sup>
	3.000	281.09	06/17/20	302.44	21.35 W	0.00	928 of 932 - Sale <sup>25</sup>
	4.000	374.79	06/17/20	407.00	32.21 W	0.00	929 of 932 - Sale <sup>25</sup>
	4.000	374.79	06/17/20	405.40	30.61 W	0.00	930 of 932 - Sale <sup>25</sup>
	5.000	468.49	06/17/20	508.69	40.20 W	0.00	931 of 932 - Sale <sup>25</sup>
	6.000	562.19	06/17/20	604.93	42.74 W	0.00	932 of 932 - Sale <sup>25</sup>
06/17/20	2,796.000	263,671.40	Various	280,717.13	17,045.73 W	0.00	Total of 932 transactions
	4 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	13.000	1,203.77	06/18/20	1,203.37	...	0.40	1 of 4 - Sale <sup>25</sup>
	20.000	1,872.34	06/18/20	1,867.57	...	4.77	2 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	50.000	4,674.43	06/18/20	4,668.62	...	5.81	3 of 4 - Sale <sup>25</sup>
	20.000	1,857.06	06/18/20	1,850.78	...	6.28	4 of 4 - Sale <sup>25</sup>
06/18/20	103.000	9,607.60	Various	9,590.34	...	17.26	Total of 4 transactions
	659 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	1.000	93.45	06/17/20	103.28	9.83 W	0.00	1 of 659 - Sale <sup>25</sup>
	1.000	93.45	06/17/20	104.93	11.48 W	0.00	2 of 659 - Sale <sup>25</sup>
	1.000	93.45	06/17/20	104.90	11.45 W	0.00	3 of 659 - Sale <sup>25</sup>
	1.000	93.45	06/17/20	105.02	11.57 W	0.00	4 of 659 - Sale <sup>25</sup>
	1.000	93.45	06/17/20	104.84	11.39 W	0.00	5 of 659 - Sale <sup>25</sup>
	1.000	93.45	06/17/20	103.78	10.33 W	0.00	6 of 659 - Sale <sup>25</sup>
	1.000	93.45	06/17/20	103.31	9.86 W	0.00	7 of 659 - Sale <sup>25</sup>
	1.000	93.45	06/17/20	102.66	9.21 W	0.00	8 of 659 - Sale <sup>25</sup>
	2.000	186.90	06/17/20	205.52	18.62 W	0.00	9 of 659 - Sale <sup>25</sup>
	2.000	186.90	06/17/20	207.08	20.18 W	0.00	10 of 659 - Sale <sup>25</sup>
	2.000	186.90	06/17/20	206.18	19.28 W	0.00	11 of 659 - Sale <sup>25</sup>
	2.000	186.89	06/17/20	209.58	22.69 W	0.00	12 of 659 - Sale <sup>25</sup>
	3.000	280.34	06/17/20	306.86	26.52 W	0.00	13 of 659 - Sale <sup>25</sup>
	3.000	280.34	06/17/20	315.29	34.95 W	0.00	14 of 659 - Sale <sup>25</sup>
	3.000	280.34	06/17/20	314.40	34.06 W	0.00	15 of 659 - Sale <sup>25</sup>
	3.000	280.34	06/17/20	311.54	31.20 W	0.00	16 of 659 - Sale <sup>25</sup>
	4.000	373.79	06/17/20	412.85	39.06 W	0.00	17 of 659 - Sale <sup>25</sup>
	4.000	373.79	06/17/20	419.86	46.07 W	0.00	18 of 659 - Sale <sup>25</sup>
	4.000	373.78	06/17/20	413.16	39.38 W	0.00	19 of 659 - Sale <sup>25</sup>
	5.000	467.24	06/17/20	516.54	49.30 W	0.00	20 of 659 - Sale <sup>25</sup>
	5.000	467.24	06/17/20	516.73	49.49 W	0.00	21 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	103.28	9.58 W	0.00	22 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	104.93	11.23 W	0.00	23 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	104.90	11.20 W	0.00	24 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	105.02	11.32 W	0.00	25 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	104.84	11.14 W	0.00	26 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	103.78	10.08 W	0.00	27 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	103.31	9.61 W	0.00	28 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	102.66	8.96 W	0.00	29 of 659 - Sale <sup>25</sup>
	2.000	187.40	06/18/20	205.52	18.12 W	0.00	30 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	187.40	06/18/20	207.08	19.68 W	0.00	31 of 659 - Sale <sup>25</sup>
	2.000	187.40	06/18/20	206.18	18.78 W	0.00	32 of 659 - Sale <sup>25</sup>
	2.000	187.40	06/18/20	209.59	22.19 W	0.00	33 of 659 - Sale <sup>25</sup>
	3.000	281.09	06/18/20	306.87	25.78 W	0.00	34 of 659 - Sale <sup>25</sup>
	3.000	281.09	06/18/20	315.30	34.21 W	0.00	35 of 659 - Sale <sup>25</sup>
	3.000	281.09	06/18/20	314.41	33.32 W	0.00	36 of 659 - Sale <sup>25</sup>
	3.000	281.09	06/18/20	311.54	30.45 W	0.00	37 of 659 - Sale <sup>25</sup>
	4.000	374.79	06/18/20	412.86	38.07 W	0.00	38 of 659 - Sale <sup>25</sup>
	4.000	374.79	06/18/20	419.86	45.07 W	0.00	39 of 659 - Sale <sup>25</sup>
	4.000	374.79	06/18/20	413.17	38.38 W	0.00	40 of 659 - Sale <sup>25</sup>
	5.000	468.49	06/18/20	516.54	48.05 W	0.00	41 of 659 - Sale <sup>25</sup>
	5.000	468.49	06/18/20	516.73	48.24 W	0.00	42 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	101.16	7.46 W	0.00	43 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	100.42	6.72 W	0.00	44 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	100.43	6.73 W	0.00	45 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	100.39	6.69 W	0.00	46 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	100.56	6.86 W	0.00	47 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	101.47	7.77 W	0.00	48 of 659 - Sale <sup>25</sup>
	1.000	93.70	06/18/20	102.01	8.31 W	0.00	49 of 659 - Sale <sup>25</sup>
	2.000	187.39	06/18/20	202.38	14.99 W	0.00	50 of 659 - Sale <sup>25</sup>
	2.000	187.39	06/18/20	200.36	12.97 W	0.00	51 of 659 - Sale <sup>25</sup>
	2.000	187.40	06/18/20	200.18	12.78 W	0.00	52 of 659 - Sale <sup>25</sup>
	2.000	187.39	06/18/20	201.86	14.47 W	0.00	53 of 659 - Sale <sup>25</sup>
	3.000	281.09	06/18/20	301.70	20.61 W	0.00	54 of 659 - Sale <sup>25</sup>
	4.000	374.79	06/18/20	400.60	25.81 W	0.00	55 of 659 - Sale <sup>25</sup>
	4.000	374.79	06/18/20	401.67	26.88 W	0.00	56 of 659 - Sale <sup>25</sup>
	4.000	374.79	06/18/20	406.01	31.22 W	0.00	57 of 659 - Sale <sup>25</sup>
	4.000	374.78	06/18/20	404.41	29.63 W	0.00	58 of 659 - Sale <sup>25</sup>
	5.000	468.49	06/18/20	502.14	33.65 W	0.00	59 of 659 - Sale <sup>25</sup>
	5.000	468.49	06/18/20	507.44	38.95 W	0.00	60 of 659 - Sale <sup>25</sup>
	6.000	562.18	06/18/20	603.43	41.25 W	0.00	61 of 659 - Sale <sup>25</sup>
	1.000	93.56	06/18/20	103.38	9.82 W	0.00	62 of 659 - Sale <sup>25</sup>
	1.000	93.56	06/18/20	105.03	11.47 W	0.00	63 of 659 - Sale <sup>25</sup>
	2.000	187.12	06/18/20	205.72	18.60 W	0.00	64 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	187.12	06/18/20	207.28	20.16 W	0.00	65 of 659 - Sale <sup>25</sup>
	2.000	187.12	06/18/20	206.38	19.26 W	0.00	66 of 659 - Sale <sup>25</sup>
	3.000	280.68	06/18/20	307.18	26.50 W	0.00	67 of 659 - Sale <sup>25</sup>
	4.000	374.24	06/18/20	413.26	39.02 W	0.00	68 of 659 - Sale <sup>25</sup>
	5.000	467.80	06/18/20	517.05	49.25 W	0.00	69 of 659 - Sale <sup>25</sup>
	1.000	93.56	06/18/20	104.97	11.41 W	0.00	70 of 659 - Sale <sup>25</sup>
	1.000	93.56	06/18/20	105.09	11.53 W	0.00	71 of 659 - Sale <sup>25</sup>
	1.000	93.56	06/18/20	104.91	11.35 W	0.00	72 of 659 - Sale <sup>25</sup>
	1.000	93.56	06/18/20	103.85	10.29 W	0.00	73 of 659 - Sale <sup>25</sup>
	1.000	93.56	06/18/20	103.38	9.82 W	0.00	74 of 659 - Sale <sup>25</sup>
	2.000	187.12	06/18/20	209.73	22.61 W	0.00	75 of 659 - Sale <sup>25</sup>
	3.000	280.68	06/18/20	315.52	34.84 W	0.00	76 of 659 - Sale <sup>25</sup>
	3.000	280.68	06/18/20	314.63	33.95 W	0.00	77 of 659 - Sale <sup>25</sup>
	3.000	280.68	06/18/20	311.76	31.08 W	0.00	78 of 659 - Sale <sup>25</sup>
	4.000	374.24	06/18/20	420.15	45.91 W	0.00	79 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	102.00	8.48 W	0.00	80 of 659 - Sale <sup>25</sup>
	4.000	374.07	06/18/20	410.54	36.47 W	0.00	81 of 659 - Sale <sup>25</sup>
	5.000	467.59	06/18/20	513.44	45.85 W	0.00	82 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	100.50	6.98 W	0.00	83 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	99.76	6.24 W	0.00	84 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	99.77	6.25 W	0.00	85 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	99.73	6.21 W	0.00	86 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	99.90	6.38 W	0.00	87 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	100.81	7.29 W	0.00	88 of 659 - Sale <sup>25</sup>
	2.000	187.04	06/18/20	201.07	14.03 W	0.00	89 of 659 - Sale <sup>25</sup>
	2.000	187.04	06/18/20	199.05	12.01 W	0.00	90 of 659 - Sale <sup>25</sup>
	2.000	187.04	06/18/20	198.86	11.82 W	0.00	91 of 659 - Sale <sup>25</sup>
	2.000	187.04	06/18/20	200.55	13.51 W	0.00	92 of 659 - Sale <sup>25</sup>
	3.000	280.55	06/18/20	299.73	19.18 W	0.00	93 of 659 - Sale <sup>25</sup>
	4.000	374.07	06/18/20	397.97	23.90 W	0.00	94 of 659 - Sale <sup>25</sup>
	4.000	374.07	06/18/20	399.03	24.96 W	0.00	95 of 659 - Sale <sup>25</sup>
	4.000	374.07	06/18/20	403.37	29.30 W	0.00	96 of 659 - Sale <sup>25</sup>
	5.000	467.59	06/18/20	498.85	31.26 W	0.00	97 of 659 - Sale <sup>25</sup>
	6.000	561.10	06/18/20	599.48	38.38 W	0.00	98 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	93.52	06/18/20	102.87	9.35 W	0.00	99 of 659 - Sale <sup>25</sup>
	2.000	187.04	06/18/20	204.70	17.66 W	0.00	100 of 659 - Sale <sup>25</sup>
	2.000	187.03	06/18/20	206.26	19.23 W	0.00	101 of 659 - Sale <sup>25</sup>
	2.000	187.04	06/18/20	205.35	18.31 W	0.00	102 of 659 - Sale <sup>25</sup>
	3.000	280.55	06/18/20	305.65	25.10 W	0.00	103 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	101.36	7.84 W	0.00	104 of 659 - Sale <sup>25</sup>
	4.000	374.07	06/18/20	401.83	27.76 W	0.00	105 of 659 - Sale <sup>25</sup>
	5.000	467.59	06/18/20	504.20	36.61 W	0.00	106 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	104.44	10.92 W	0.00	107 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	104.38	10.86 W	0.00	108 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	104.50	10.98 W	0.00	109 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	104.32	10.80 W	0.00	110 of 659 - Sale <sup>25</sup>
	3.000	280.54	06/18/20	313.75	33.21 W	0.00	111 of 659 - Sale <sup>25</sup>
	4.000	374.07	06/18/20	410.90	36.83 W	0.00	112 of 659 - Sale <sup>25</sup>
	4.000	374.07	06/18/20	417.79	43.72 W	0.00	113 of 659 - Sale <sup>25</sup>
	5.000	467.58	06/18/20	514.10	46.52 W	0.00	114 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	103.64	10.16 W	0.00	115 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	103.17	9.69 W	0.00	116 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	101.83	8.35 W	0.00	117 of 659 - Sale <sup>25</sup>
	2.000	186.96	06/18/20	209.31	22.35 W	0.00	118 of 659 - Sale <sup>25</sup>
	3.000	280.43	06/18/20	314.00	33.57 W	0.00	119 of 659 - Sale <sup>25</sup>
	3.000	280.43	06/18/20	311.13	30.70 W	0.00	120 of 659 - Sale <sup>25</sup>
	4.000	373.91	06/18/20	409.87	35.96 W	0.00	121 of 659 - Sale <sup>25</sup>
	5.000	467.39	06/18/20	512.60	45.21 W	0.00	122 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	102.75	9.27 W	0.00	123 of 659 - Sale <sup>25</sup>
	2.000	186.96	06/18/20	204.46	17.50 W	0.00	124 of 659 - Sale <sup>25</sup>
	2.000	186.96	06/18/20	206.03	19.07 W	0.00	125 of 659 - Sale <sup>25</sup>
	2.000	186.96	06/18/20	205.11	18.15 W	0.00	126 of 659 - Sale <sup>25</sup>
	3.000	280.43	06/18/20	305.30	24.87 W	0.00	127 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	100.38	6.90 W	0.00	128 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	99.64	6.16 W	0.00	129 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	99.65	6.17 W	0.00	130 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	99.61	6.13 W	0.00	131 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	99.78	6.30 W	0.00	132 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	93.48	06/18/20	100.69	7.21 W	0.00	133 of 659 - Sale <sup>25</sup>
	2.000	186.96	06/18/20	200.83	13.87 W	0.00	134 of 659 - Sale <sup>25</sup>
	2.000	186.95	06/18/20	198.81	11.86 W	0.00	135 of 659 - Sale <sup>25</sup>
	2.000	186.96	06/18/20	198.62	11.66 W	0.00	136 of 659 - Sale <sup>25</sup>
	2.000	186.95	06/18/20	200.31	13.36 W	0.00	137 of 659 - Sale <sup>25</sup>
	3.000	280.43	06/18/20	299.38	18.95 W	0.00	138 of 659 - Sale <sup>25</sup>
	4.000	373.91	06/18/20	397.50	23.59 W	0.00	139 of 659 - Sale <sup>25</sup>
	4.000	373.91	06/18/20	398.56	24.65 W	0.00	140 of 659 - Sale <sup>25</sup>
	4.000	373.91	06/18/20	402.90	28.99 W	0.00	141 of 659 - Sale <sup>25</sup>
	5.000	467.39	06/18/20	498.26	30.87 W	0.00	142 of 659 - Sale <sup>25</sup>
	6.000	560.86	06/18/20	598.78	37.92 W	0.00	143 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	100.62	7.14 W	0.00	144 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	104.22	10.74 W	0.00	145 of 659 - Sale <sup>25</sup>
	4.000	373.91	06/18/20	410.03	36.12 W	0.00	146 of 659 - Sale <sup>25</sup>
	5.000	467.39	06/18/20	513.02	45.63 W	0.00	147 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	101.14	7.66 W	0.00	148 of 659 - Sale <sup>25</sup>
	4.000	373.91	06/18/20	402.49	28.58 W	0.00	149 of 659 - Sale <sup>25</sup>
	4.000	373.91	06/18/20	400.96	27.05 W	0.00	150 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	104.06	10.58 W	0.00	151 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	104.18	10.70 W	0.00	152 of 659 - Sale <sup>25</sup>
	1.000	93.47	06/18/20	104.00	10.53 W	0.00	153 of 659 - Sale <sup>25</sup>
	3.000	280.43	06/18/20	312.81	32.38 W	0.00	154 of 659 - Sale <sup>25</sup>
	4.000	373.90	06/18/20	416.52	42.62 W	0.00	155 of 659 - Sale <sup>25</sup>
	1.000	93.96	06/18/20	104.01	10.05 W	0.00	156 of 659 - Sale <sup>25</sup>
	1.000	93.96	06/18/20	103.54	9.58 W	0.00	157 of 659 - Sale <sup>25</sup>
	1.000	93.95	06/18/20	102.20	8.25 W	0.00	158 of 659 - Sale <sup>25</sup>
	2.000	187.92	06/18/20	210.05	22.13 W	0.00	159 of 659 - Sale <sup>25</sup>
	3.000	281.87	06/18/20	315.12	33.25 W	0.00	160 of 659 - Sale <sup>25</sup>
	3.000	281.87	06/18/20	312.25	30.38 W	0.00	161 of 659 - Sale <sup>25</sup>
	4.000	375.84	06/18/20	411.36	35.52 W	0.00	162 of 659 - Sale <sup>25</sup>
	5.000	469.79	06/18/20	514.46	44.67 W	0.00	163 of 659 - Sale <sup>25</sup>
	1.000	94.21	06/18/20	103.58	9.37 W	0.00	164 of 659 - Sale <sup>25</sup>
	2.000	188.42	06/18/20	206.12	17.70 W	0.00	165 of 659 - Sale <sup>25</sup>
	2.000	188.42	06/18/20	207.69	19.27 W	0.00	166 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	188.42	06/18/20	206.77	18.35 W	0.00	167 of 659 - Sale <sup>25</sup>
	3.000	282.63	06/18/20	307.80	25.17 W	0.00	168 of 659 - Sale <sup>25</sup>
	1.000	94.21	06/18/20	101.21	7.00 W	0.00	169 of 659 - Sale <sup>25</sup>
	1.000	94.21	06/18/20	100.48	6.27 W	0.00	170 of 659 - Sale <sup>25</sup>
	2.000	188.42	06/18/20	202.48	14.06 W	0.00	171 of 659 - Sale <sup>25</sup>
	2.000	188.42	06/18/20	200.47	12.05 W	0.00	172 of 659 - Sale <sup>25</sup>
	2.000	188.42	06/18/20	200.28	11.86 W	0.00	173 of 659 - Sale <sup>25</sup>
	2.000	188.42	06/18/20	201.97	13.55 W	0.00	174 of 659 - Sale <sup>25</sup>
	1.000	94.21	06/18/20	100.35	6.14 W	0.00	175 of 659 - Sale <sup>25</sup>
	1.000	94.21	06/18/20	100.35	6.14 W	0.00	176 of 659 - Sale <sup>25</sup>
	1.000	94.21	06/18/20	100.31	6.10 W	0.00	177 of 659 - Sale <sup>25</sup>
	1.000	94.21	06/18/20	100.49	6.28 W	0.00	178 of 659 - Sale <sup>25</sup>
	1.000	94.21	06/18/20	100.51	6.30 W	0.00	179 of 659 - Sale <sup>25</sup>
	3.000	282.62	06/18/20	301.50	18.88 W	0.00	180 of 659 - Sale <sup>25</sup>
	4.000	376.84	06/18/20	401.44	24.60 W	0.00	181 of 659 - Sale <sup>25</sup>
	4.000	376.84	06/18/20	400.33	23.49 W	0.00	182 of 659 - Sale <sup>25</sup>
	4.000	376.84	06/18/20	401.39	24.55 W	0.00	183 of 659 - Sale <sup>25</sup>
	4.000	374.19	06/18/20	413.08	38.89 W	0.00	184 of 659 - Sale <sup>25</sup>
	5.000	467.74	06/18/20	516.82	49.08 W	0.00	185 of 659 - Sale <sup>25</sup>
	1.000	93.54	06/18/20	101.45	7.91 W	0.00	186 of 659 - Sale <sup>25</sup>
	1.000	93.55	06/18/20	101.38	7.83 W	0.00	187 of 659 - Sale <sup>25</sup>
	4.000	374.19	06/18/20	405.94	31.75 W	0.00	188 of 659 - Sale <sup>25</sup>
	5.000	467.74	06/18/20	502.79	35.05 W	0.00	189 of 659 - Sale <sup>25</sup>
	1.000	93.40	06/18/20	104.94	11.54 W	0.00	190 of 659 - Sale <sup>25</sup>
	1.000	93.40	06/18/20	104.78	11.38 W	0.00	191 of 659 - Sale <sup>25</sup>
	1.000	93.40	06/18/20	104.90	11.50 W	0.00	192 of 659 - Sale <sup>25</sup>
	3.000	280.18	06/18/20	314.98	34.80 W	0.00	193 of 659 - Sale <sup>25</sup>
	4.000	373.59	06/18/20	419.42	45.83 W	0.00	194 of 659 - Sale <sup>25</sup>
	1.000	93.55	06/18/20	104.73	11.18 W	0.00	195 of 659 - Sale <sup>25</sup>
	1.000	93.55	06/18/20	101.86	8.31 W	0.00	196 of 659 - Sale <sup>25</sup>
	4.000	374.19	06/18/20	405.38	31.19 W	0.00	197 of 659 - Sale <sup>25</sup>
	4.000	374.18	06/18/20	403.85	29.67 W	0.00	198 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	103.43	9.91 W	0.00	199 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	102.96	9.44 W	0.00	200 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	187.04	06/18/20	208.89	21.85 W	0.00	201 of 659 - Sale <sup>25</sup>
	3.000	280.55	06/18/20	313.39	32.84 W	0.00	202 of 659 - Sale <sup>25</sup>
	3.000	280.55	06/18/20	310.51	29.96 W	0.00	203 of 659 - Sale <sup>25</sup>
	1.000	93.52	06/18/20	101.77	8.25 W	0.00	204 of 659 - Sale <sup>25</sup>
	4.000	374.06	06/18/20	409.59	35.53 W	0.00	205 of 659 - Sale <sup>25</sup>
	5.000	467.59	06/18/20	512.27	44.68 W	0.00	206 of 659 - Sale <sup>25</sup>
	1.000	93.55	06/18/20	102.81	9.26 W	0.00	207 of 659 - Sale <sup>25</sup>
	2.000	187.10	06/18/20	204.58	17.48 W	0.00	208 of 659 - Sale <sup>25</sup>
	2.000	187.09	06/18/20	206.15	19.06 W	0.00	209 of 659 - Sale <sup>25</sup>
	2.000	187.10	06/18/20	205.23	18.13 W	0.00	210 of 659 - Sale <sup>25</sup>
	3.000	280.64	06/18/20	305.49	24.85 W	0.00	211 of 659 - Sale <sup>25</sup>
	1.000	93.55	06/18/20	100.45	6.90 W	0.00	212 of 659 - Sale <sup>25</sup>
	1.000	93.55	06/18/20	99.72	6.17 W	0.00	213 of 659 - Sale <sup>25</sup>
	2.000	187.09	06/18/20	200.96	13.87 W	0.00	214 of 659 - Sale <sup>25</sup>
	2.000	187.09	06/18/20	198.95	11.86 W	0.00	215 of 659 - Sale <sup>25</sup>
	2.000	187.10	06/18/20	198.76	11.66 W	0.00	216 of 659 - Sale <sup>25</sup>
	2.000	187.09	06/18/20	200.45	13.36 W	0.00	217 of 659 - Sale <sup>25</sup>
	1.000	93.60	06/18/20	99.69	6.09 W	0.00	218 of 659 - Sale <sup>25</sup>
	1.000	93.60	06/18/20	99.69	6.09 W	0.00	219 of 659 - Sale <sup>25</sup>
	1.000	93.60	06/18/20	99.65	6.05 W	0.00	220 of 659 - Sale <sup>25</sup>
	1.000	93.60	06/18/20	99.83	6.23 W	0.00	221 of 659 - Sale <sup>25</sup>
	1.000	93.59	06/18/20	99.85	6.26 W	0.00	222 of 659 - Sale <sup>25</sup>
	3.000	280.79	06/18/20	299.53	18.74 W	0.00	223 of 659 - Sale <sup>25</sup>
	4.000	374.39	06/18/20	398.80	24.41 W	0.00	224 of 659 - Sale <sup>25</sup>
	4.000	374.39	06/18/20	397.69	23.30 W	0.00	225 of 659 - Sale <sup>25</sup>
	4.000	374.39	06/18/20	398.75	24.36 W	0.00	226 of 659 - Sale <sup>25</sup>
	4.000	374.38	06/18/20	412.89	38.51 W	0.00	227 of 659 - Sale <sup>25</sup>
	5.000	467.99	06/18/20	516.58	48.59 W	0.00	228 of 659 - Sale <sup>25</sup>
	1.000	93.60	06/18/20	100.51	6.91 W	0.00	229 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	104.99	11.41 W	0.00	230 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	104.83	11.25 W	0.00	231 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	104.95	11.37 W	0.00	232 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	104.63	11.05 W	0.00	233 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	103.36	9.78 W	0.00	234 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	93.58	06/18/20	102.89	9.31 W	0.00	235 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	101.70	8.12 W	0.00	236 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	102.71	9.13 W	0.00	237 of 659 - Sale <sup>25</sup>
	2.000	187.16	06/18/20	208.75	21.59 W	0.00	238 of 659 - Sale <sup>25</sup>
	2.000	187.16	06/18/20	204.38	17.22 W	0.00	239 of 659 - Sale <sup>25</sup>
	2.000	187.16	06/18/20	205.96	18.80 W	0.00	240 of 659 - Sale <sup>25</sup>
	2.000	187.16	06/18/20	205.03	17.87 W	0.00	241 of 659 - Sale <sup>25</sup>
	3.000	280.73	06/18/20	315.15	34.42 W	0.00	242 of 659 - Sale <sup>25</sup>
	3.000	280.73	06/18/20	313.19	32.46 W	0.00	243 of 659 - Sale <sup>25</sup>
	3.000	280.73	06/18/20	310.31	29.58 W	0.00	244 of 659 - Sale <sup>25</sup>
	3.000	280.73	06/18/20	305.20	24.47 W	0.00	245 of 659 - Sale <sup>25</sup>
	4.000	374.31	06/18/20	419.63	45.32 W	0.00	246 of 659 - Sale <sup>25</sup>
	4.000	374.31	06/18/20	409.33	35.02 W	0.00	247 of 659 - Sale <sup>25</sup>
	4.000	374.31	06/18/20	412.31	38.00 W	0.00	248 of 659 - Sale <sup>25</sup>
	5.000	467.89	06/18/20	511.93	44.04 W	0.00	249 of 659 - Sale <sup>25</sup>
	5.000	467.89	06/18/20	515.84	47.95 W	0.00	250 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	101.36	7.78 W	0.00	251 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	101.28	7.70 W	0.00	252 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	101.76	8.18 W	0.00	253 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	100.35	6.77 W	0.00	254 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	99.62	6.04 W	0.00	255 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	99.54	5.96 W	0.00	256 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	99.54	5.96 W	0.00	257 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	99.50	5.92 W	0.00	258 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	99.68	6.10 W	0.00	259 of 659 - Sale <sup>25</sup>
	1.000	93.58	06/18/20	99.71	6.13 W	0.00	260 of 659 - Sale <sup>25</sup>
	1.000	93.57	06/18/20	100.36	6.79 W	0.00	261 of 659 - Sale <sup>25</sup>
	2.000	187.15	06/18/20	200.77	13.62 W	0.00	262 of 659 - Sale <sup>25</sup>
	2.000	187.16	06/18/20	198.76	11.60 W	0.00	263 of 659 - Sale <sup>25</sup>
	2.000	187.15	06/18/20	198.56	11.41 W	0.00	264 of 659 - Sale <sup>25</sup>
	2.000	187.16	06/18/20	200.26	13.10 W	0.00	265 of 659 - Sale <sup>25</sup>
	3.000	280.72	06/18/20	299.09	18.37 W	0.00	266 of 659 - Sale <sup>25</sup>
	4.000	374.31	06/18/20	401.84	27.53 W	0.00	267 of 659 - Sale <sup>25</sup>
	4.000	374.31	06/18/20	405.55	31.24 W	0.00	268 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	374.31	06/18/20	404.99	30.68 W	0.00	269 of 659 - Sale <sup>25</sup>
	4.000	374.31	06/18/20	403.47	29.16 W	0.00	270 of 659 - Sale <sup>25</sup>
	4.000	374.31	06/18/20	398.21	23.90 W	0.00	271 of 659 - Sale <sup>25</sup>
	4.000	374.31	06/18/20	397.10	22.79 W	0.00	272 of 659 - Sale <sup>25</sup>
	4.000	374.31	06/18/20	398.16	23.85 W	0.00	273 of 659 - Sale <sup>25</sup>
	1.000	93.40	06/18/20	104.99	11.59 W	0.00	274 of 659 - Sale <sup>25</sup>
	1.000	93.40	06/18/20	104.83	11.43 W	0.00	275 of 659 - Sale <sup>25</sup>
	1.000	93.40	06/18/20	104.95	11.55 W	0.00	276 of 659 - Sale <sup>25</sup>
	1.000	93.40	06/18/20	104.63	11.23 W	0.00	277 of 659 - Sale <sup>25</sup>
	1.000	93.40	06/18/20	103.36	9.96 W	0.00	278 of 659 - Sale <sup>25</sup>
	1.000	93.40	06/18/20	102.89	9.49 W	0.00	279 of 659 - Sale <sup>25</sup>
	1.000	93.40	06/18/20	101.70	8.30 W	0.00	280 of 659 - Sale <sup>25</sup>
	1.000	93.40	06/18/20	102.71	9.31 W	0.00	281 of 659 - Sale <sup>25</sup>
	2.000	186.81	06/18/20	208.75	21.94 W	0.00	282 of 659 - Sale <sup>25</sup>
	2.000	186.81	06/18/20	204.38	17.57 W	0.00	283 of 659 - Sale <sup>25</sup>
	2.000	186.81	06/18/20	205.96	19.15 W	0.00	284 of 659 - Sale <sup>25</sup>
	2.000	186.81	06/18/20	205.03	18.22 W	0.00	285 of 659 - Sale <sup>25</sup>
	3.000	280.21	06/18/20	315.16	34.95 W	0.00	286 of 659 - Sale <sup>25</sup>
	3.000	280.21	06/18/20	313.20	32.99 W	0.00	287 of 659 - Sale <sup>25</sup>
	3.000	280.21	06/18/20	310.32	30.11 W	0.00	288 of 659 - Sale <sup>25</sup>
	3.000	280.21	06/18/20	305.21	25.00 W	0.00	289 of 659 - Sale <sup>25</sup>
	4.000	373.61	06/18/20	419.64	46.03 W	0.00	290 of 659 - Sale <sup>25</sup>
	4.000	373.61	06/18/20	409.34	35.73 W	0.00	291 of 659 - Sale <sup>25</sup>
	4.000	373.61	06/18/20	412.32	38.71 W	0.00	292 of 659 - Sale <sup>25</sup>
	5.000	467.02	06/18/20	511.94	44.92 W	0.00	293 of 659 - Sale <sup>25</sup>
	5.000	467.01	06/18/20	515.85	48.84 W	0.00	294 of 659 - Sale <sup>25</sup>
	1.000	93.63	06/18/20	101.36	7.73 W	0.00	295 of 659 - Sale <sup>25</sup>
	1.000	93.63	06/18/20	101.28	7.65 W	0.00	296 of 659 - Sale <sup>25</sup>
	1.000	93.63	06/18/20	101.76	8.13 W	0.00	297 of 659 - Sale <sup>25</sup>
	1.000	93.63	06/18/20	100.35	6.72 W	0.00	298 of 659 - Sale <sup>25</sup>
	1.000	93.63	06/18/20	99.62	5.99 W	0.00	299 of 659 - Sale <sup>25</sup>
	1.000	93.63	06/18/20	99.54	5.91 W	0.00	300 of 659 - Sale <sup>25</sup>
	1.000	93.63	06/18/20	99.54	5.91 W	0.00	301 of 659 - Sale <sup>25</sup>
	1.000	93.63	06/18/20	99.50	5.87 W	0.00	302 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	93.63	06/18/20	99.68	6.05 W	0.00	303 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	99.71	6.09 W	0.00	304 of 659 - Sale <sup>25</sup>
	1.000	93.63	06/18/20	100.37	6.74 W	0.00	305 of 659 - Sale <sup>25</sup>
	2.000	187.26	06/18/20	200.78	13.52 W	0.00	306 of 659 - Sale <sup>25</sup>
	2.000	187.25	06/18/20	198.76	11.51 W	0.00	307 of 659 - Sale <sup>25</sup>
	2.000	187.26	06/18/20	198.57	11.31 W	0.00	308 of 659 - Sale <sup>25</sup>
	2.000	187.25	06/18/20	200.26	13.01 W	0.00	309 of 659 - Sale <sup>25</sup>
	3.000	280.88	06/18/20	299.11	18.23 W	0.00	310 of 659 - Sale <sup>25</sup>
	4.000	374.51	06/18/20	401.85	27.34 W	0.00	311 of 659 - Sale <sup>25</sup>
	4.000	374.51	06/18/20	405.56	31.05 W	0.00	312 of 659 - Sale <sup>25</sup>
	4.000	374.51	06/18/20	405.00	30.49 W	0.00	313 of 659 - Sale <sup>25</sup>
	4.000	374.51	06/18/20	403.48	28.97 W	0.00	314 of 659 - Sale <sup>25</sup>
	4.000	374.51	06/18/20	398.22	23.71 W	0.00	315 of 659 - Sale <sup>25</sup>
	4.000	374.51	06/18/20	397.11	22.60 W	0.00	316 of 659 - Sale <sup>25</sup>
	4.000	374.51	06/18/20	398.17	23.66 W	0.00	317 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	104.97	11.35 W	0.00	318 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	104.81	11.19 W	0.00	319 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	104.93	11.31 W	0.00	320 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	104.61	10.99 W	0.00	321 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	103.34	9.72 W	0.00	322 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	102.87	9.25 W	0.00	323 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	101.68	8.06 W	0.00	324 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	102.69	9.07 W	0.00	325 of 659 - Sale <sup>25</sup>
	2.000	187.24	06/18/20	208.70	21.46 W	0.00	326 of 659 - Sale <sup>25</sup>
	2.000	187.24	06/18/20	204.33	17.09 W	0.00	327 of 659 - Sale <sup>25</sup>
	2.000	187.24	06/18/20	205.91	18.67 W	0.00	328 of 659 - Sale <sup>25</sup>
	2.000	187.24	06/18/20	204.98	17.74 W	0.00	329 of 659 - Sale <sup>25</sup>
	3.000	280.85	06/18/20	315.09	34.24 W	0.00	330 of 659 - Sale <sup>25</sup>
	3.000	280.85	06/18/20	313.13	32.28 W	0.00	331 of 659 - Sale <sup>25</sup>
	3.000	280.85	06/18/20	310.25	29.40 W	0.00	332 of 659 - Sale <sup>25</sup>
	3.000	280.85	06/18/20	305.14	24.29 W	0.00	333 of 659 - Sale <sup>25</sup>
	4.000	374.47	06/18/20	419.55	45.08 W	0.00	334 of 659 - Sale <sup>25</sup>
	4.000	374.47	06/18/20	409.25	34.78 W	0.00	335 of 659 - Sale <sup>25</sup>
	4.000	374.47	06/18/20	412.23	37.76 W	0.00	336 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	5.000	468.09	06/18/20	511.82	43.73 W	0.00	337 of 659 - Sale <sup>25</sup>
	5.000	468.09	06/18/20	515.74	47.65 W	0.00	338 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	101.11	7.49 W	0.00	339 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	101.03	7.41 W	0.00	340 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	101.51	7.89 W	0.00	341 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	100.10	6.48 W	0.00	342 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	99.37	5.75 W	0.00	343 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	99.29	5.67 W	0.00	344 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	99.29	5.67 W	0.00	345 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	99.25	5.63 W	0.00	346 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	99.43	5.81 W	0.00	347 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	99.47	5.85 W	0.00	348 of 659 - Sale <sup>25</sup>
	1.000	93.62	06/18/20	100.12	6.50 W	0.00	349 of 659 - Sale <sup>25</sup>
	2.000	187.23	06/18/20	200.28	13.05 W	0.00	350 of 659 - Sale <sup>25</sup>
	2.000	187.23	06/18/20	198.27	11.04 W	0.00	351 of 659 - Sale <sup>25</sup>
	2.000	187.24	06/18/20	198.07	10.83 W	0.00	352 of 659 - Sale <sup>25</sup>
	2.000	187.23	06/18/20	199.77	12.54 W	0.00	353 of 659 - Sale <sup>25</sup>
	3.000	280.85	06/18/20	298.37	17.52 W	0.00	354 of 659 - Sale <sup>25</sup>
	4.000	374.47	06/18/20	400.86	26.39 W	0.00	355 of 659 - Sale <sup>25</sup>
	4.000	374.47	06/18/20	404.57	30.10 W	0.00	356 of 659 - Sale <sup>25</sup>
	4.000	374.47	06/18/20	404.01	29.54 W	0.00	357 of 659 - Sale <sup>25</sup>
	4.000	374.47	06/18/20	402.49	28.02 W	0.00	358 of 659 - Sale <sup>25</sup>
	4.000	374.47	06/18/20	397.23	22.76 W	0.00	359 of 659 - Sale <sup>25</sup>
	4.000	374.47	06/18/20	396.12	21.65 W	0.00	360 of 659 - Sale <sup>25</sup>
	4.000	374.47	06/18/20	397.18	22.71 W	0.00	361 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	105.40	11.40 W	0.00	362 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	105.24	11.24 W	0.00	363 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	105.36	11.36 W	0.00	364 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	105.04	11.04 W	0.00	365 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	103.77	9.77 W	0.00	366 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	103.30	9.30 W	0.00	367 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	102.11	8.11 W	0.00	368 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	103.12	9.12 W	0.00	369 of 659 - Sale <sup>25</sup>
	2.000	188.00	06/18/20	209.56	21.56 W	0.00	370 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	188.00	06/18/20	205.19	17.19 W	0.00	371 of 659 - Sale <sup>25</sup>
	2.000	188.00	06/18/20	206.77	18.77 W	0.00	372 of 659 - Sale <sup>25</sup>
	2.000	188.00	06/18/20	205.84	17.84 W	0.00	373 of 659 - Sale <sup>25</sup>
	3.000	281.99	06/18/20	316.39	34.40 W	0.00	374 of 659 - Sale <sup>25</sup>
	3.000	281.99	06/18/20	314.43	32.44 W	0.00	375 of 659 - Sale <sup>25</sup>
	3.000	281.99	06/18/20	311.55	29.56 W	0.00	376 of 659 - Sale <sup>25</sup>
	3.000	281.99	06/18/20	306.44	24.45 W	0.00	377 of 659 - Sale <sup>25</sup>
	4.000	375.99	06/18/20	421.28	45.29 W	0.00	378 of 659 - Sale <sup>25</sup>
	4.000	375.99	06/18/20	410.98	34.99 W	0.00	379 of 659 - Sale <sup>25</sup>
	4.000	375.99	06/18/20	413.96	37.97 W	0.00	380 of 659 - Sale <sup>25</sup>
	5.000	469.99	06/18/20	513.98	43.99 W	0.00	381 of 659 - Sale <sup>25</sup>
	5.000	469.99	06/18/20	517.90	47.91 W	0.00	382 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	101.54	7.54 W	0.00	383 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	101.46	7.46 W	0.00	384 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	101.94	7.94 W	0.00	385 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	100.53	6.53 W	0.00	386 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	99.80	5.80 W	0.00	387 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	99.72	5.72 W	0.00	388 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	99.72	5.72 W	0.00	389 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	99.68	5.68 W	0.00	390 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	99.86	5.86 W	0.00	391 of 659 - Sale <sup>25</sup>
	1.000	94.00	06/18/20	99.90	5.90 W	0.00	392 of 659 - Sale <sup>25</sup>
	1.000	93.99	06/18/20	100.55	6.56 W	0.00	393 of 659 - Sale <sup>25</sup>
	2.000	187.99	06/18/20	201.15	13.16 W	0.00	394 of 659 - Sale <sup>25</sup>
	2.000	187.99	06/18/20	199.14	11.15 W	0.00	395 of 659 - Sale <sup>25</sup>
	2.000	187.99	06/18/20	198.93	10.94 W	0.00	396 of 659 - Sale <sup>25</sup>
	2.000	188.00	06/18/20	200.64	12.64 W	0.00	397 of 659 - Sale <sup>25</sup>
	3.000	281.98	06/18/20	299.67	17.69 W	0.00	398 of 659 - Sale <sup>25</sup>
	4.000	375.99	06/18/20	402.59	26.60 W	0.00	399 of 659 - Sale <sup>25</sup>
	4.000	375.99	06/18/20	406.30	30.31 W	0.00	400 of 659 - Sale <sup>25</sup>
	4.000	375.99	06/18/20	405.74	29.75 W	0.00	401 of 659 - Sale <sup>25</sup>
	4.000	375.99	06/18/20	404.22	28.23 W	0.00	402 of 659 - Sale <sup>25</sup>
	4.000	375.99	06/18/20	398.96	22.97 W	0.00	403 of 659 - Sale <sup>25</sup>
	4.000	375.99	06/18/20	397.85	21.86 W	0.00	404 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	375.99	06/18/20	398.91	22.92 W	0.00	405 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	105.09	11.63 W	0.00	406 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	104.93	11.47 W	0.00	407 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	105.05	11.59 W	0.00	408 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	104.73	11.27 W	0.00	409 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	103.46	10.00 W	0.00	410 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	102.99	9.53 W	0.00	411 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	101.80	8.34 W	0.00	412 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	102.81	9.35 W	0.00	413 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	208.94	22.02 W	0.00	414 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	204.57	17.65 W	0.00	415 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	206.15	19.23 W	0.00	416 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	205.22	18.30 W	0.00	417 of 659 - Sale <sup>25</sup>
	3.000	280.37	06/18/20	315.47	35.10 W	0.00	418 of 659 - Sale <sup>25</sup>
	3.000	280.37	06/18/20	313.51	33.14 W	0.00	419 of 659 - Sale <sup>25</sup>
	3.000	280.37	06/18/20	310.63	30.26 W	0.00	420 of 659 - Sale <sup>25</sup>
	3.000	280.37	06/18/20	305.52	25.15 W	0.00	421 of 659 - Sale <sup>25</sup>
	4.000	373.83	06/18/20	420.05	46.22 W	0.00	422 of 659 - Sale <sup>25</sup>
	4.000	373.83	06/18/20	409.75	35.92 W	0.00	423 of 659 - Sale <sup>25</sup>
	4.000	373.83	06/18/20	412.73	38.90 W	0.00	424 of 659 - Sale <sup>25</sup>
	5.000	467.29	06/18/20	512.44	45.15 W	0.00	425 of 659 - Sale <sup>25</sup>
	5.000	467.29	06/18/20	516.36	49.07 W	0.00	426 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	101.23	7.77 W	0.00	427 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	101.15	7.69 W	0.00	428 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	101.63	8.17 W	0.00	429 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	100.22	6.76 W	0.00	430 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	99.49	6.03 W	0.00	431 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	99.41	5.95 W	0.00	432 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	99.41	5.95 W	0.00	433 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	99.37	5.91 W	0.00	434 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	99.55	6.09 W	0.00	435 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	99.59	6.13 W	0.00	436 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	100.25	6.79 W	0.00	437 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	200.54	13.62 W	0.00	438 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	186.92	06/18/20	198.53	11.61 W	0.00	439 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	198.32	11.40 W	0.00	440 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	200.02	13.10 W	0.00	441 of 659 - Sale <sup>25</sup>
	3.000	280.37	06/18/20	298.75	18.38 W	0.00	442 of 659 - Sale <sup>25</sup>
	4.000	373.83	06/18/20	401.36	27.53 W	0.00	443 of 659 - Sale <sup>25</sup>
	4.000	373.83	06/18/20	405.07	31.24 W	0.00	444 of 659 - Sale <sup>25</sup>
	4.000	373.83	06/18/20	404.51	30.68 W	0.00	445 of 659 - Sale <sup>25</sup>
	4.000	373.83	06/18/20	402.99	29.16 W	0.00	446 of 659 - Sale <sup>25</sup>
	4.000	373.83	06/18/20	397.73	23.90 W	0.00	447 of 659 - Sale <sup>25</sup>
	4.000	373.83	06/18/20	396.62	22.79 W	0.00	448 of 659 - Sale <sup>25</sup>
	4.000	373.83	06/18/20	397.68	23.85 W	0.00	449 of 659 - Sale <sup>25</sup>
	100.000	9,345.75	06/18/20	9,362.99	17.24 W	0.00	450 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	105.08	11.62 W	0.00	451 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	104.92	11.46 W	0.00	452 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	105.04	11.58 W	0.00	453 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	104.72	11.26 W	0.00	454 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	103.45	9.99 W	0.00	455 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	102.98	9.52 W	0.00	456 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	101.79	8.33 W	0.00	457 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	102.80	9.34 W	0.00	458 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	208.92	22.00 W	0.00	459 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	204.55	17.63 W	0.00	460 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	206.13	19.21 W	0.00	461 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	205.20	18.28 W	0.00	462 of 659 - Sale <sup>25</sup>
	3.000	280.38	06/18/20	315.45	35.07 W	0.00	463 of 659 - Sale <sup>25</sup>
	3.000	280.38	06/18/20	313.48	33.10 W	0.00	464 of 659 - Sale <sup>25</sup>
	3.000	280.38	06/18/20	310.60	30.22 W	0.00	465 of 659 - Sale <sup>25</sup>
	3.000	280.38	06/18/20	305.50	25.12 W	0.00	466 of 659 - Sale <sup>25</sup>
	4.000	373.84	06/18/20	420.01	46.17 W	0.00	467 of 659 - Sale <sup>25</sup>
	4.000	373.84	06/18/20	409.71	35.87 W	0.00	468 of 659 - Sale <sup>25</sup>
	4.000	373.84	06/18/20	412.69	38.85 W	0.00	469 of 659 - Sale <sup>25</sup>
	5.000	467.30	06/18/20	512.39	45.09 W	0.00	470 of 659 - Sale <sup>25</sup>
	5.000	467.30	06/18/20	516.31	49.01 W	0.00	471 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	101.22	7.76 W	0.00	472 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	93.46	06/18/20	101.14	7.68 W	0.00	473 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	101.62	8.16 W	0.00	474 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	100.21	6.75 W	0.00	475 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	99.48	6.02 W	0.00	476 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	99.40	5.94 W	0.00	477 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	99.40	5.94 W	0.00	478 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	99.36	5.90 W	0.00	479 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	99.54	6.08 W	0.00	480 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	99.57	6.11 W	0.00	481 of 659 - Sale <sup>25</sup>
	1.000	93.46	06/18/20	100.24	6.78 W	0.00	482 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	200.52	13.60 W	0.00	483 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	198.51	11.59 W	0.00	484 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	198.30	11.38 W	0.00	485 of 659 - Sale <sup>25</sup>
	2.000	186.92	06/18/20	200.00	13.08 W	0.00	486 of 659 - Sale <sup>25</sup>
	3.000	280.37	06/18/20	298.72	18.35 W	0.00	487 of 659 - Sale <sup>25</sup>
	4.000	373.84	06/18/20	401.32	27.48 W	0.00	488 of 659 - Sale <sup>25</sup>
	4.000	373.84	06/18/20	405.03	31.19 W	0.00	489 of 659 - Sale <sup>25</sup>
	4.000	373.84	06/18/20	404.47	30.63 W	0.00	490 of 659 - Sale <sup>25</sup>
	4.000	373.84	06/18/20	402.95	29.11 W	0.00	491 of 659 - Sale <sup>25</sup>
	4.000	373.84	06/18/20	397.69	23.85 W	0.00	492 of 659 - Sale <sup>25</sup>
	4.000	373.84	06/18/20	396.58	22.74 W	0.00	493 of 659 - Sale <sup>25</sup>
	4.000	373.83	06/18/20	397.64	23.81 W	0.00	494 of 659 - Sale <sup>25</sup>
	28.000	2,613.74	06/18/20	2,614.43	0.69 W	0.00	495 of 659 - Sale <sup>25</sup>
	22.000	2,053.65	06/18/20	2,054.19	0.54 W	0.00	496 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	104.87	11.38 W	0.00	497 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	104.71	11.22 W	0.00	498 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	104.83	11.34 W	0.00	499 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	104.51	11.02 W	0.00	500 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	103.24	9.75 W	0.00	501 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	102.77	9.28 W	0.00	502 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	101.58	8.09 W	0.00	503 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	102.59	9.10 W	0.00	504 of 659 - Sale <sup>25</sup>
	2.000	186.98	06/18/20	208.50	21.52 W	0.00	505 of 659 - Sale <sup>25</sup>
	2.000	186.98	06/18/20	204.13	17.15 W	0.00	506 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	186.98	06/18/20	205.71	18.73 W	0.00	507 of 659 - Sale <sup>25</sup>
	2.000	186.98	06/18/20	204.78	17.80 W	0.00	508 of 659 - Sale <sup>25</sup>
	3.000	280.47	06/18/20	314.82	34.35 W	0.00	509 of 659 - Sale <sup>25</sup>
	3.000	280.47	06/18/20	312.85	32.38 W	0.00	510 of 659 - Sale <sup>25</sup>
	3.000	280.46	06/18/20	309.97	29.51 W	0.00	511 of 659 - Sale <sup>25</sup>
	3.000	280.47	06/18/20	304.87	24.40 W	0.00	512 of 659 - Sale <sup>25</sup>
	4.000	373.95	06/18/20	419.17	45.22 W	0.00	513 of 659 - Sale <sup>25</sup>
	4.000	373.95	06/18/20	408.87	34.92 W	0.00	514 of 659 - Sale <sup>25</sup>
	4.000	373.95	06/18/20	411.85	37.90 W	0.00	515 of 659 - Sale <sup>25</sup>
	5.000	467.44	06/18/20	511.34	43.90 W	0.00	516 of 659 - Sale <sup>25</sup>
	5.000	467.44	06/18/20	515.26	47.82 W	0.00	517 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	101.01	7.52 W	0.00	518 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	100.93	7.44 W	0.00	519 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	101.41	7.92 W	0.00	520 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	100.00	6.51 W	0.00	521 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	99.27	5.78 W	0.00	522 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	99.19	5.70 W	0.00	523 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	99.19	5.70 W	0.00	524 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	99.15	5.66 W	0.00	525 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	99.33	5.84 W	0.00	526 of 659 - Sale <sup>25</sup>
	1.000	93.48	06/18/20	99.36	5.88 W	0.00	527 of 659 - Sale <sup>25</sup>
	1.000	93.49	06/18/20	100.03	6.54 W	0.00	528 of 659 - Sale <sup>25</sup>
	2.000	186.98	06/18/20	200.10	13.12 W	0.00	529 of 659 - Sale <sup>25</sup>
	2.000	186.98	06/18/20	198.09	11.11 W	0.00	530 of 659 - Sale <sup>25</sup>
	2.000	186.98	06/18/20	197.88	10.90 W	0.00	531 of 659 - Sale <sup>25</sup>
	2.000	186.98	06/18/20	199.58	12.60 W	0.00	532 of 659 - Sale <sup>25</sup>
	3.000	280.46	06/18/20	298.10	17.64 W	0.00	533 of 659 - Sale <sup>25</sup>
	4.000	373.95	06/18/20	400.48	26.53 W	0.00	534 of 659 - Sale <sup>25</sup>
	4.000	373.95	06/18/20	404.19	30.24 W	0.00	535 of 659 - Sale <sup>25</sup>
	4.000	373.95	06/18/20	403.63	29.68 W	0.00	536 of 659 - Sale <sup>25</sup>
	4.000	373.95	06/18/20	402.11	28.16 W	0.00	537 of 659 - Sale <sup>25</sup>
	4.000	373.95	06/18/20	396.85	22.90 W	0.00	538 of 659 - Sale <sup>25</sup>
	4.000	373.95	06/18/20	395.74	21.79 W	0.00	539 of 659 - Sale <sup>25</sup>
	4.000	373.95	06/18/20	396.81	22.86 W	0.00	540 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	93.18	06/18/20	104.60	11.42 W	0.00	541 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	104.44	11.26 W	0.00	542 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	104.56	11.38 W	0.00	543 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	104.24	11.06 W	0.00	544 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	102.97	9.79 W	0.00	545 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	102.50	9.32 W	0.00	546 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	101.31	8.13 W	0.00	547 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	102.32	9.14 W	0.00	548 of 659 - Sale <sup>25</sup>
	2.000	186.36	06/18/20	207.96	21.60 W	0.00	549 of 659 - Sale <sup>25</sup>
	2.000	186.36	06/18/20	203.59	17.23 W	0.00	550 of 659 - Sale <sup>25</sup>
	2.000	186.36	06/18/20	205.17	18.81 W	0.00	551 of 659 - Sale <sup>25</sup>
	2.000	186.36	06/18/20	204.24	17.88 W	0.00	552 of 659 - Sale <sup>25</sup>
	3.000	279.53	06/18/20	314.01	34.48 W	0.00	553 of 659 - Sale <sup>25</sup>
	3.000	279.53	06/18/20	312.04	32.51 W	0.00	554 of 659 - Sale <sup>25</sup>
	3.000	279.53	06/18/20	309.17	29.64 W	0.00	555 of 659 - Sale <sup>25</sup>
	3.000	279.53	06/18/20	304.06	24.53 W	0.00	556 of 659 - Sale <sup>25</sup>
	4.000	372.71	06/18/20	418.10	45.39 W	0.00	557 of 659 - Sale <sup>25</sup>
	4.000	372.71	06/18/20	407.80	35.09 W	0.00	558 of 659 - Sale <sup>25</sup>
	4.000	372.71	06/18/20	410.78	38.07 W	0.00	559 of 659 - Sale <sup>25</sup>
	5.000	465.89	06/18/20	510.00	44.11 W	0.00	560 of 659 - Sale <sup>25</sup>
	5.000	465.89	06/18/20	513.92	48.03 W	0.00	561 of 659 - Sale <sup>25</sup>
	22.000	2,049.90	06/18/20	2,051.38	1.48 W	0.00	562 of 659 - Sale <sup>25</sup>
	28.000	2,608.97	06/18/20	2,610.85	1.88 W	0.00	563 of 659 - Sale <sup>25</sup>
	3.000	279.54	06/18/20	302.40	22.86 W	0.00	564 of 659 - Sale <sup>25</sup>
	4.000	372.71	06/18/20	399.49	26.78 W	0.00	565 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	104.66	11.48 W	0.00	566 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	104.50	11.32 W	0.00	567 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	104.62	11.44 W	0.00	568 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	104.30	11.12 W	0.00	569 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	103.03	9.85 W	0.00	570 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	102.56	9.38 W	0.00	571 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	101.37	8.19 W	0.00	572 of 659 - Sale <sup>25</sup>
	1.000	93.18	06/18/20	102.38	9.20 W	0.00	573 of 659 - Sale <sup>25</sup>
	2.000	186.36	06/18/20	208.08	21.72 W	0.00	574 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	186.36	06/18/20	203.71	17.35 W	0.00	575 of 659 - Sale <sup>25</sup>
	2.000	186.36	06/18/20	205.29	18.93 W	0.00	576 of 659 - Sale <sup>25</sup>
	2.000	186.35	06/18/20	204.36	18.01 W	0.00	577 of 659 - Sale <sup>25</sup>
	3.000	279.54	06/18/20	314.20	34.66 W	0.00	578 of 659 - Sale <sup>25</sup>
	3.000	279.53	06/18/20	312.23	32.70 W	0.00	579 of 659 - Sale <sup>25</sup>
	3.000	279.54	06/18/20	309.36	29.82 W	0.00	580 of 659 - Sale <sup>25</sup>
	3.000	279.53	06/18/20	304.25	24.72 W	0.00	581 of 659 - Sale <sup>25</sup>
	4.000	372.71	06/18/20	418.35	45.64 W	0.00	582 of 659 - Sale <sup>25</sup>
	4.000	372.71	06/18/20	408.05	35.34 W	0.00	583 of 659 - Sale <sup>25</sup>
	5.000	465.89	06/18/20	510.31	44.42 W	0.00	584 of 659 - Sale <sup>25</sup>
	2.000	186.36	06/18/20	205.69	19.33 W	0.00	585 of 659 - Sale <sup>25</sup>
	4.000	370.19	06/18/20	411.03	40.84 W	0.00	586 of 659 - Sale <sup>25</sup>
	3.000	277.64	06/18/20	308.54	30.90 W	0.00	587 of 659 - Sale <sup>25</sup>
	1.000	92.55	06/18/20	100.80	8.25 W	0.00	588 of 659 - Sale <sup>25</sup>
	1.000	92.55	06/18/20	100.76	8.21 W	0.00	589 of 659 - Sale <sup>25</sup>
	1.000	92.55	06/18/20	100.68	8.13 W	0.00	590 of 659 - Sale <sup>25</sup>
	1.000	92.54	06/18/20	101.16	8.62 W	0.00	591 of 659 - Sale <sup>25</sup>
	1.000	92.55	06/18/20	99.75	7.20 W	0.00	592 of 659 - Sale <sup>25</sup>
	4.000	370.19	06/18/20	402.64	32.45 W	0.00	593 of 659 - Sale <sup>25</sup>
	4.000	370.19	06/18/20	401.12	30.93 W	0.00	594 of 659 - Sale <sup>25</sup>
	1.000	92.55	06/18/20	99.02	6.47 W	0.00	595 of 659 - Sale <sup>25</sup>
	1.000	92.55	06/18/20	98.94	6.39 W	0.00	596 of 659 - Sale <sup>25</sup>
	1.000	92.55	06/18/20	98.94	6.39 W	0.00	597 of 659 - Sale <sup>25</sup>
	1.000	92.55	06/18/20	98.90	6.35 W	0.00	598 of 659 - Sale <sup>25</sup>
	1.000	92.55	06/18/20	99.08	6.53 W	0.00	599 of 659 - Sale <sup>25</sup>
	1.000	92.54	06/18/20	99.12	6.58 W	0.00	600 of 659 - Sale <sup>25</sup>
	1.000	92.55	06/18/20	99.78	7.23 W	0.00	601 of 659 - Sale <sup>25</sup>
	2.000	185.10	06/18/20	199.60	14.50 W	0.00	602 of 659 - Sale <sup>25</sup>
	2.000	185.10	06/18/20	197.59	12.49 W	0.00	603 of 659 - Sale <sup>25</sup>
	2.000	185.09	06/18/20	197.38	12.29 W	0.00	604 of 659 - Sale <sup>25</sup>
	2.000	185.10	06/18/20	199.08	13.98 W	0.00	605 of 659 - Sale <sup>25</sup>
	3.000	277.63	06/18/20	297.36	19.73 W	0.00	606 of 659 - Sale <sup>25</sup>
	4.000	370.19	06/18/20	395.86	25.67 W	0.00	607 of 659 - Sale <sup>25</sup>
	4.000	370.19	06/18/20	394.75	24.56 W	0.00	608 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	370.19	06/18/20	395.82	25.63 W	0.00	609 of 659 - Sale <sup>25</sup>
	20.000	1,850.96	06/18/20	1,851.54	0.58 W	0.00	610 of 659 - Sale <sup>25</sup>
	1.000	92.55	06/18/20	103.98	11.43 W	0.00	611 of 659 - Sale <sup>25</sup>
	1.000	92.55	06/18/20	103.82	11.27 W	0.00	612 of 659 - Sale <sup>25</sup>
	1.000	92.55	06/18/20	103.94	11.39 W	0.00	613 of 659 - Sale <sup>25</sup>
	1.000	92.55	06/18/20	103.62	11.07 W	0.00	614 of 659 - Sale <sup>25</sup>
	2.000	185.09	06/18/20	206.72	21.63 W	0.00	615 of 659 - Sale <sup>25</sup>
	3.000	277.64	06/18/20	312.16	34.52 W	0.00	616 of 659 - Sale <sup>25</sup>
	4.000	370.19	06/18/20	415.64	45.45 W	0.00	617 of 659 - Sale <sup>25</sup>
	8.000	740.38	06/18/20	740.54	0.16 W	0.00	618 of 659 - Sale <sup>25</sup>
	9.000	832.93	06/18/20	833.11	0.18 W	0.00	619 of 659 - Sale <sup>25</sup>
	3.000	277.79	06/18/20	300.36	22.57 W	0.00	620 of 659 - Sale <sup>25</sup>
	4.000	370.39	06/18/20	396.78	26.39 W	0.00	621 of 659 - Sale <sup>25</sup>
	1.000	92.60	06/18/20	102.36	9.76 W	0.00	622 of 659 - Sale <sup>25</sup>
	1.000	92.60	06/18/20	101.89	9.29 W	0.00	623 of 659 - Sale <sup>25</sup>
	1.000	92.60	06/18/20	100.70	8.10 W	0.00	624 of 659 - Sale <sup>25</sup>
	1.000	92.60	06/18/20	101.71	9.11 W	0.00	625 of 659 - Sale <sup>25</sup>
	2.000	185.20	06/18/20	202.37	17.17 W	0.00	626 of 659 - Sale <sup>25</sup>
	2.000	185.20	06/18/20	203.95	18.75 W	0.00	627 of 659 - Sale <sup>25</sup>
	2.000	185.20	06/18/20	203.03	17.83 W	0.00	628 of 659 - Sale <sup>25</sup>
	2.000	185.19	06/18/20	204.35	19.16 W	0.00	629 of 659 - Sale <sup>25</sup>
	3.000	277.79	06/18/20	310.23	32.44 W	0.00	630 of 659 - Sale <sup>25</sup>
	3.000	277.79	06/18/20	307.35	29.56 W	0.00	631 of 659 - Sale <sup>25</sup>
	3.000	277.79	06/18/20	302.25	24.46 W	0.00	632 of 659 - Sale <sup>25</sup>
	3.000	277.79	06/18/20	308.43	30.64 W	0.00	633 of 659 - Sale <sup>25</sup>
	4.000	370.39	06/18/20	405.38	34.99 W	0.00	634 of 659 - Sale <sup>25</sup>
	4.000	370.39	06/18/20	410.88	40.49 W	0.00	635 of 659 - Sale <sup>25</sup>
	5.000	462.99	06/18/20	506.97	43.98 W	0.00	636 of 659 - Sale <sup>25</sup>
	1.000	92.60	06/18/20	100.76	8.16 W	0.00	637 of 659 - Sale <sup>25</sup>
	1.000	92.60	06/18/20	100.72	8.12 W	0.00	638 of 659 - Sale <sup>25</sup>
	1.000	92.60	06/18/20	100.64	8.04 W	0.00	639 of 659 - Sale <sup>25</sup>
	1.000	92.60	06/18/20	101.13	8.53 W	0.00	640 of 659 - Sale <sup>25</sup>
	1.000	92.59	06/18/20	99.71	7.12 W	0.00	641 of 659 - Sale <sup>25</sup>
	4.000	370.39	06/18/20	402.49	32.10 W	0.00	642 of 659 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	370.39	06/18/20	400.97	30.58 W	0.00	643 of 659 - Sale <sup>25</sup>
	1.000	92.77	06/18/20	98.98	6.21 W	0.00	644 of 659 - Sale <sup>25</sup>
	1.000	92.77	06/18/20	98.90	6.13 W	0.00	645 of 659 - Sale <sup>25</sup>
	1.000	92.77	06/18/20	98.90	6.13 W	0.00	646 of 659 - Sale <sup>25</sup>
	2.000	185.54	06/18/20	199.52	13.98 W	0.00	647 of 659 - Sale <sup>25</sup>
	2.000	185.53	06/18/20	197.51	11.98 W	0.00	648 of 659 - Sale <sup>25</sup>
	2.000	185.54	06/18/20	197.31	11.77 W	0.00	649 of 659 - Sale <sup>25</sup>
	2.000	185.53	06/18/20	199.00	13.47 W	0.00	650 of 659 - Sale <sup>25</sup>
	4.000	371.07	06/18/20	395.71	24.64 W	0.00	651 of 659 - Sale <sup>25</sup>
	4.000	371.07	06/18/20	394.60	23.53 W	0.00	652 of 659 - Sale <sup>25</sup>
	1.000	92.76	06/18/20	98.92	6.16 W	0.00	653 of 659 - Sale <sup>25</sup>
	1.000	92.85	06/18/20	98.86	6.01 W	0.00	654 of 659 - Sale <sup>25</sup>
	1.000	92.85	06/18/20	99.04	6.19 W	0.00	655 of 659 - Sale <sup>25</sup>
	1.000	92.85	06/18/20	99.09	6.24 W	0.00	656 of 659 - Sale <sup>25</sup>
	1.000	92.85	06/18/20	99.74	6.89 W	0.00	657 of 659 - Sale <sup>25</sup>
	3.000	278.56	06/18/20	297.26	18.70 W	0.00	658 of 659 - Sale <sup>25</sup>
	3.000	278.56	06/18/20	296.75	18.19 W	0.00	659 of 659 - Sale <sup>25</sup>
06/18/20	1,737.000	162,304.24	Various	174,916.83	12,612.59 W	0.00	Total of 659 transactions
	3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	1.000	102.29	06/23/20	102.12	...	0.17	1 of 3 - Sale <sup>25</sup>
	7.000	716.01	06/23/20	714.84	...	1.17	2 of 3 - Sale <sup>25</sup>
	9.000	920.59	06/23/20	919.07	...	1.52	3 of 3 - Sale <sup>25</sup>
06/23/20	17.000	1,738.89	Various	1,736.03	...	2.86	Total of 3 transactions
	77 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	1.000	102.29	06/23/20	113.53	11.24 W	0.00	1 of 77 - Sale <sup>25</sup>
	1.000	102.29	06/23/20	113.37	11.08 W	0.00	2 of 77 - Sale <sup>25</sup>
	1.000	102.29	06/23/20	113.49	11.20 W	0.00	3 of 77 - Sale <sup>25</sup>
	1.000	102.29	06/23/20	113.17	10.88 W	0.00	4 of 77 - Sale <sup>25</sup>
	2.000	204.57	06/23/20	225.83	21.26 W	0.00	5 of 77 - Sale <sup>25</sup>
	3.000	306.86	06/23/20	340.82	33.96 W	0.00	6 of 77 - Sale <sup>25</sup>
	4.000	409.15	06/23/20	453.85	44.70 W	0.00	7 of 77 - Sale <sup>25</sup>
	3.000	306.86	06/23/20	338.73	31.87 W	0.00	8 of 77 - Sale <sup>25</sup>
	3.000	306.86	06/23/20	328.87	22.01 W	0.00	9 of 77 - Sale <sup>25</sup>
	4.000	409.15	06/23/20	434.79	25.64 W	0.00	10 of 77 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	102.29	06/23/20	111.85	9.56 W	0.00	11 of 77 - Sale <sup>25</sup>
	1.000	102.29	06/23/20	111.39	9.10 W	0.00	12 of 77 - Sale <sup>25</sup>
	1.000	102.29	06/23/20	110.20	7.91 W	0.00	13 of 77 - Sale <sup>25</sup>
	2.000	204.57	06/23/20	221.36	16.79 W	0.00	14 of 77 - Sale <sup>25</sup>
	3.000	306.86	06/23/20	335.85	28.99 W	0.00	15 of 77 - Sale <sup>25</sup>
	3.000	306.86	06/23/20	330.74	23.88 W	0.00	16 of 77 - Sale <sup>25</sup>
	4.000	409.15	06/23/20	443.37	34.22 W	0.00	17 of 77 - Sale <sup>25</sup>
	5.000	511.44	06/23/20	554.45	43.01 W	0.00	18 of 77 - Sale <sup>25</sup>
	1.000	101.73	06/23/20	111.37	9.64 W	0.00	19 of 77 - Sale <sup>25</sup>
	2.000	203.46	06/23/20	223.27	19.81 W	0.00	20 of 77 - Sale <sup>25</sup>
	2.000	203.45	06/23/20	222.35	18.90 W	0.00	21 of 77 - Sale <sup>25</sup>
	2.000	203.45	06/23/20	223.68	20.23 W	0.00	22 of 77 - Sale <sup>25</sup>
	3.000	305.18	06/23/20	337.42	32.24 W	0.00	23 of 77 - Sale <sup>25</sup>
	4.000	406.91	06/23/20	449.53	42.62 W	0.00	24 of 77 - Sale <sup>25</sup>
	1.000	101.73	06/23/20	110.42	8.69 W	0.00	25 of 77 - Sale <sup>25</sup>
	1.000	101.73	06/23/20	110.38	8.65 W	0.00	26 of 77 - Sale <sup>25</sup>
	1.000	101.73	06/23/20	110.30	8.57 W	0.00	27 of 77 - Sale <sup>25</sup>
	3.000	305.18	06/23/20	330.86	25.68 W	0.00	28 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	110.30	9.11 W	0.00	29 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	110.81	9.62 W	0.00	30 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	109.40	8.21 W	0.00	31 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	108.49	7.30 W	0.00	32 of 77 - Sale <sup>25</sup>
	2.000	202.38	06/23/20	218.54	16.16 W	0.00	33 of 77 - Sale <sup>25</sup>
	2.000	202.37	06/23/20	216.54	14.17 W	0.00	34 of 77 - Sale <sup>25</sup>
	2.000	202.38	06/23/20	216.33	13.95 W	0.00	35 of 77 - Sale <sup>25</sup>
	2.000	202.37	06/23/20	218.02	15.65 W	0.00	36 of 77 - Sale <sup>25</sup>
	4.000	404.75	06/23/20	439.70	34.95 W	0.00	37 of 77 - Sale <sup>25</sup>
	4.000	404.75	06/23/20	433.75	29.00 W	0.00	38 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	113.56	12.37 W	0.00	39 of 77 - Sale <sup>25</sup>
	2.000	202.37	06/23/20	226.99	24.62 W	0.00	40 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	108.45	7.26 W	0.00	41 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	108.45	7.26 W	0.00	42 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	108.48	7.29 W	0.00	43 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	108.33	7.14 W	0.00	44 of 77 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	101.19	06/23/20	108.51	7.32 W	0.00	45 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	108.56	7.37 W	0.00	46 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	109.21	8.02 W	0.00	47 of 77 - Sale <sup>25</sup>
	3.000	303.56	06/23/20	325.15	21.59 W	0.00	48 of 77 - Sale <sup>25</sup>
	3.000	303.56	06/23/20	325.66	22.10 W	0.00	49 of 77 - Sale <sup>25</sup>
	4.000	404.75	06/23/20	432.81	28.06 W	0.00	50 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	113.42	12.23 W	0.00	51 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	113.54	12.35 W	0.00	52 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	113.22	12.03 W	0.00	53 of 77 - Sale <sup>25</sup>
	2.000	202.37	06/23/20	227.03	24.66 W	0.00	54 of 77 - Sale <sup>25</sup>
	2.000	202.37	06/23/20	225.94	23.57 W	0.00	55 of 77 - Sale <sup>25</sup>
	3.000	303.56	06/23/20	340.98	37.42 W	0.00	56 of 77 - Sale <sup>25</sup>
	3.000	303.56	06/23/20	338.89	35.33 W	0.00	57 of 77 - Sale <sup>25</sup>
	3.000	303.56	06/23/20	329.03	25.47 W	0.00	58 of 77 - Sale <sup>25</sup>
	4.000	404.75	06/23/20	435.00	30.25 W	0.00	59 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	111.89	10.70 W	0.00	60 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	111.43	10.24 W	0.00	61 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	110.24	9.05 W	0.00	62 of 77 - Sale <sup>25</sup>
	2.000	202.37	06/23/20	221.45	19.08 W	0.00	63 of 77 - Sale <sup>25</sup>
	3.000	303.56	06/23/20	335.98	32.42 W	0.00	64 of 77 - Sale <sup>25</sup>
	3.000	303.56	06/23/20	330.87	27.31 W	0.00	65 of 77 - Sale <sup>25</sup>
	4.000	404.75	06/23/20	443.54	38.79 W	0.00	66 of 77 - Sale <sup>25</sup>
	5.000	505.94	06/23/20	554.66	48.72 W	0.00	67 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	111.34	10.15 W	0.00	68 of 77 - Sale <sup>25</sup>
	2.000	202.38	06/23/20	223.21	20.83 W	0.00	69 of 77 - Sale <sup>25</sup>
	2.000	202.37	06/23/20	222.30	19.93 W	0.00	70 of 77 - Sale <sup>25</sup>
	2.000	202.37	06/23/20	223.63	21.26 W	0.00	71 of 77 - Sale <sup>25</sup>
	3.000	303.56	06/23/20	337.34	33.78 W	0.00	72 of 77 - Sale <sup>25</sup>
	4.000	404.75	06/23/20	449.42	44.67 W	0.00	73 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	110.39	9.20 W	0.00	74 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	110.35	9.16 W	0.00	75 of 77 - Sale <sup>25</sup>
	1.000	101.19	06/23/20	110.27	9.08 W	0.00	76 of 77 - Sale <sup>25</sup>
	3.000	303.56	06/23/20	330.78	27.22 W	0.00	77 of 77 - Sale <sup>25</sup>
06/23/20	163.000	16,551.67	Various	18,077.42	1,525.75 W	0.00	Total of 77 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
11 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	90.90	06/23/20	113.54	22.64 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	90.90	06/23/20	113.40	22.50 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	90.90	06/23/20	113.52	22.62 W	0.00	3 of 11 - Sale <sup>25</sup>
	2.000	181.79	06/23/20	226.96	45.17 W	0.00	4 of 11 - Sale <sup>25</sup>
	2.000	181.79	06/23/20	227.00	45.21 W	0.00	5 of 11 - Sale <sup>25</sup>
	3.000	272.69	06/23/20	340.93	68.24 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	90.65	06/23/20	113.20	22.55 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	90.65	06/23/20	111.87	21.22 W	0.00	8 of 11 - Sale <sup>25</sup>
	2.000	181.29	06/23/20	225.91	44.62 W	0.00	9 of 11 - Sale <sup>25</sup>
	3.000	271.94	06/23/20	338.84	66.90 W	0.00	10 of 11 - Sale <sup>25</sup>
	3.000	271.94	06/23/20	335.93	63.99 W	0.00	11 of 11 - Sale <sup>25</sup>
06/26/20	20.000	1,815.44	Various	2,261.10	445.66 W	0.00	Total of 11 transactions
33 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	4.000	407.59 N	06/23/20	434.93	...	-27.34	1 of 33 - Sold via call assignment <sup>25</sup>
	4.000	407.59 N	06/23/20	432.74	...	-25.15	Proceeds or Cost adjusted for option premium of \$11.60 2 of 33 - Sold via call assignment <sup>25</sup>
	3.000	305.69 N	06/23/20	330.72	...	-25.03	Proceeds or Cost adjusted for option premium of \$11.60 3 of 33 - Sold via call assignment <sup>25</sup>
	3.000	305.69 N	06/23/20	328.98	...	-23.29	Proceeds or Cost adjusted for option premium of \$8.70 4 of 33 - Sold via call assignment <sup>25</sup>
	3.000	305.69 N	06/23/20	325.61	...	-19.92	Proceeds or Cost adjusted for option premium of \$8.70 5 of 33 - Sold via call assignment <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	3.000	305.69 N	06/23/20	325.10	...	-19.41	6 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$8.70
	1.000	101.90 N	06/23/20	110.37	...	-8.47	7 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/23/20	110.33	...	-8.43	8 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/23/20	110.25	...	-8.35	9 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/23/20	109.19	...	-7.29	10 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/23/20	108.54	...	-6.64	11 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/23/20	108.49	...	-6.59	12 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/23/20	108.46	...	-6.56	13 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/23/20	108.43	...	-6.53	14 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	101.90 N	06/23/20	108.43	...	-6.53	15 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/23/20	108.31	...	-6.41	16 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	3.000	305.69 N	06/24/20	362.35	...	-56.66	17 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$8.70
	3.000	305.69 N	06/24/20	361.01	...	-55.32	18 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$8.70
	3.000	305.69 N	06/24/20	358.11	...	-52.42	19 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$8.70
	2.000	203.79 N	06/24/20	241.29	...	-37.50	20 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$5.80
	2.000	203.79 N	06/24/20	241.25	...	-37.46	21 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$5.80
	2.000	203.79 N	06/24/20	240.70	...	-36.91	22 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$5.80
	1.000	101.90 N	06/24/20	120.68	...	-18.78	23 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	101.90 N	06/24/20	120.66	...	-18.76	24 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/24/20	120.59	...	-18.69	25 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/24/20	120.54	...	-18.64	26 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/24/20	119.26	...	-17.36	27 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	20.000	2,037.95 N	06/24/20	1,960.76	...	77.19	28 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$58.00
	20.000	2,037.95 N	06/24/20	1,959.00	...	78.95	29 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$58.00
	20.000	2,037.95 N	06/24/20	1,958.20	...	79.75	30 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$58.00
	20.000	2,037.94 N	06/24/20	1,938.00	...	99.94	31 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$58.00
	10.000	1,018.98 N	06/26/20	905.10	...	113.88	32 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$28.97

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	10.000	1,018.97 N	06/26/20	903.00	...	115.97	33 of 33 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$29.00 Total of 33 transactions
07/02/20	150.000	15,284.62	Various	15,299.38	...	-14.76	
	22 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	1.000	101.90 N	06/23/20	111.41	9.51 W	0.00	1 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/23/20	110.22	8.32 W	0.00	2 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/23/20	111.32	9.42 W	0.00	3 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	2.000	203.80 N	06/23/20	221.42	17.62 W	0.00	4 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$5.80
	2.000	203.79 N	06/23/20	223.17	19.38 W	0.00	5 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$5.80
	2.000	203.80 N	06/23/20	222.27	18.47 W	0.00	6 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$5.80
	2.000	203.79 N	06/23/20	223.60	19.81 W	0.00	7 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$5.80

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	3.000	305.69 N	06/23/20	330.82	25.13 W	0.00	8 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$8.70
	3.000	305.69 N	06/23/20	337.28	31.59 W	0.00	9 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$8.70
	4.000	407.59 N	06/23/20	443.47	35.88 W	0.00	10 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$11.60
	4.000	407.59 N	06/23/20	449.34	41.75 W	0.00	11 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$11.60
	5.000	509.49 N	06/23/20	554.56	45.07 W	0.00	12 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$14.50
	1.000	101.90 N	06/23/20	110.28	8.38 W	0.00	13 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/23/20	110.79	8.89 W	0.00	14 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/23/20	109.38	7.48 W	0.00	15 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90
	1.000	101.90 N	06/23/20	108.47	6.57 W	0.00	16 of 22 - Sold via call assignment 25 Proceeds or Cost adjusted for option premium of \$2.90

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	2.000	203.79 N	06/23/20	218.50	14.71 W	0.00	17 of 22 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$5.80
	2.000	203.80 N	06/23/20	216.51	12.71 W	0.00	18 of 22 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$5.80
	2.000	203.79 N	06/23/20	216.29	12.50 W	0.00	19 of 22 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$5.80
	2.000	203.80 N	06/23/20	217.99	14.19 W	0.00	20 of 22 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$5.80
	4.000	407.59 N	06/23/20	439.63	32.04 W	0.00	21 of 22 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$11.60
	4.000	407.59 N	06/23/20	433.68	26.09 W	0.00	22 of 22 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$11.60
07/02/20	50.000	5,094.89	Various	5,520.40	425.51 W	0.00	Total of 22 transactions
	18 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	1.000	110.83	07/29/20	120.35	9.52 W	0.00	1 of 18 - Sale <sup>25</sup>
	1.000	110.83	07/29/20	119.16	8.33 W	0.00	2 of 18 - Sale <sup>25</sup>
	1.000	110.83	07/29/20	120.26	9.43 W	0.00	3 of 18 - Sale <sup>25</sup>
	2.000	221.65	07/29/20	239.30	17.65 W	0.00	4 of 18 - Sale <sup>25</sup>
	2.000	221.66	07/29/20	241.06	19.40 W	0.00	5 of 18 - Sale <sup>25</sup>
	2.000	221.65	07/29/20	240.15	18.50 W	0.00	6 of 18 - Sale <sup>25</sup>
	2.000	221.65	07/29/20	241.49	19.84 W	0.00	7 of 18 - Sale <sup>25</sup>
	3.000	332.48	07/29/20	357.65	25.17 W	0.00	8 of 18 - Sale <sup>25</sup>
	3.000	332.48	07/29/20	364.11	31.63 W	0.00	9 of 18 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	4.000	443.31	07/29/20	479.24	35.93 W	0.00	10 of 18 - Sale <sup>25</sup>
	4.000	443.31	07/29/20	485.11	41.80 W	0.00	11 of 18 - Sale <sup>25</sup>
	5.000	554.14	07/29/20	599.27	45.13 W	0.00	12 of 18 - Sale <sup>25</sup>
	1.000	110.83	07/29/20	119.22	8.39 W	0.00	13 of 18 - Sale <sup>25</sup>
	1.000	110.83	07/29/20	119.73	8.90 W	0.00	14 of 18 - Sale <sup>25</sup>
	1.000	110.83	07/29/20	118.32	7.49 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	110.83	07/29/20	117.20	6.37 W	0.00	16 of 18 - Sale <sup>25</sup>
	2.000	221.65	07/29/20	236.39	14.74 W	0.00	17 of 18 - Sale <sup>25</sup>
	4.000	443.31	07/29/20	475.40	32.09 W	0.00	18 of 18 - Sale <sup>25</sup>
07/29/20	40.000	4,433.10	Various	4,793.41	360.31 W	0.00	Total of 18 transactions
	5 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	4.000	469.79	07/30/20	494.49	...	-24.70	1 of 5 - Sale <sup>25</sup>
	2.000	234.89	07/30/20	248.39	...	-13.50	2 of 5 - Sale <sup>25</sup>
	2.000	234.89	07/30/20	246.70	...	-11.81	3 of 5 - Sale <sup>25</sup>
	1.000	117.45	07/30/20	123.67	...	-6.22	4 of 5 - Sale <sup>25</sup>
	1.000	117.45	07/30/20	123.45	...	-6.00	5 of 5 - Sale <sup>25</sup>
07/30/20	10.000	1,174.47	Various	1,236.70	...	-62.23	Total of 5 transactions
	8 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	1.000	128.94	08/13/20	139.10	10.16 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	128.94	08/13/20	137.91	8.97 W	0.00	2 of 8 - Sale <sup>25</sup>
	2.000	257.87	08/13/20	276.81	18.94 W	0.00	3 of 8 - Sale <sup>25</sup>
	2.000	257.87	08/13/20	278.56	20.69 W	0.00	4 of 8 - Sale <sup>25</sup>
	2.000	257.88	08/13/20	277.66	19.78 W	0.00	5 of 8 - Sale <sup>25</sup>
	3.000	386.81	08/13/20	413.91	27.10 W	0.00	6 of 8 - Sale <sup>25</sup>
	4.000	515.75	08/13/20	554.25	38.50 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	644.68	08/13/20	693.03	48.35 W	0.00	8 of 8 - Sale <sup>25</sup>
08/13/20	20.000	2,578.74	Various	2,771.23	192.49 W	0.00	Total of 8 transactions
	10 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	1.000	152.94	08/26/20	162.14	9.20 W	0.00	1 of 10 - Sale <sup>25</sup>
	2.000	305.87	08/26/20	325.26	19.39 W	0.00	2 of 10 - Sale <sup>25</sup>
	3.000	458.81	08/26/20	489.76	30.95 W	0.00	3 of 10 - Sale <sup>25</sup>
	4.000	611.75	08/26/20	652.64	40.89 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	152.94	08/26/20	161.10	8.16 W	0.00	5 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	1.000	152.94	08/26/20	161.61	8.67 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	152.94	08/26/20	160.20	7.26 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	152.93	08/26/20	159.08	6.15 W	0.00	8 of 10 - Sale <sup>25</sup>
	2.000	305.87	08/26/20	320.16	14.29 W	0.00	9 of 10 - Sale <sup>25</sup>
	4.000	611.74	08/26/20	642.93	31.19 W	0.00	10 of 10 - Sale <sup>25</sup>
08/26/20	20.000	3,058.73	Various	3,234.88	176.15 W	0.00	Total of 10 transactions
	17 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	4.000	525.11	09/04/20	554.58	...	-29.47	1 of 17 - Sale <sup>25</sup>
	3.000	393.83	09/04/20	414.16	...	-20.33	2 of 17 - Sale <sup>25</sup>
	4.000	543.52	09/04/20	561.65	...	-18.13	3 of 17 - Sale <sup>25</sup>
	2.000	262.56	09/04/20	278.73	...	-16.17	4 of 17 - Sale <sup>25</sup>
	2.000	262.55	09/04/20	277.82	...	-15.27	5 of 17 - Sale <sup>25</sup>
	2.000	262.56	09/04/20	276.98	...	-14.42	6 of 17 - Sale <sup>25</sup>
	3.000	407.64	09/04/20	421.52	...	-13.88	7 of 17 - Sale <sup>25</sup>
	1.000	145.00	09/04/20	153.32	...	-8.32	8 of 17 - Sale <sup>25</sup>
	2.000	271.76	09/04/20	279.77	...	-8.01	9 of 17 - Sale <sup>25</sup>
	1.000	131.28	09/04/20	138.22	...	-6.94	10 of 17 - Sale <sup>25</sup>
	1.000	131.28	09/04/20	137.99	...	-6.71	11 of 17 - Sale <sup>25</sup>
	1.000	135.88	09/04/20	138.35	...	-2.47	12 of 17 - Sale <sup>25</sup>
	1.000	145.00	09/04/20	144.28	...	0.72	13 of 17 - Sale <sup>25</sup>
	1.000	145.00	09/04/20	142.87	...	2.13	14 of 17 - Sale <sup>25</sup>
	1.000	144.99	09/04/20	141.76	...	3.23	15 of 17 - Sale <sup>25</sup>
	2.000	289.99	09/04/20	285.51	...	4.48	16 of 17 - Sale <sup>25</sup>
	4.000	579.98	09/04/20	573.63	...	6.35	17 of 17 - Sale <sup>25</sup>
09/04/20	35.000	4,777.93	Various	4,921.14	...	-143.21	Total of 17 transactions
	2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	1.000	141.95	09/03/20	159.66	17.71 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	656.39	09/04/20	693.45	22.23 W	-14.83	2 of 2 - Sale <sup>25</sup>
09/04/20	6.000	798.34	Various	853.11	39.94 W	-14.83	Total of 2 transactions
	2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	2.000	235.12	09/22/20	251.82	16.70 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	118.31	09/22/20	124.81	6.50 W	0.00	2 of 2 - Sale <sup>25</sup>
09/22/20	3.000	353.43	Various	376.63	23.20 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
<i>2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	943.64	10/06/20	932.78	...	10.86	1 of 2 - Sale <sup>25</sup>
	10.000	1,339.17	10/06/20	1,325.70	...	13.47	2 of 2 - Sale <sup>25</sup>
10/06/20	17.000	2,282.81	Various	2,258.48	...	24.33	Total of 2 transactions
<i>7 transactions for 10/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	131.30	10/06/20	140.31	9.01 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	131.28	10/06/20	138.46	7.18 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	131.28	10/06/20	140.31	9.03 W	0.00	3 of 7 - Sale <sup>25</sup>
	7.000	918.93	10/06/20	923.72	4.79 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	134.81	10/06/20	141.58	6.77 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	134.81	10/06/20	141.60	6.79 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	134.81	10/06/20	139.75	4.94 W	0.00	7 of 7 - Sale <sup>25</sup>
10/06/20	13.000	1,717.22	Various	1,765.73	48.51 W	0.00	Total of 7 transactions
<i>2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,276.26	10/29/20	1,276.10	...	0.16	1 of 2 - Sale <sup>25</sup>
	17.000	2,143.06	10/29/20	2,141.83	...	1.23	2 of 2 - Sale <sup>25</sup>
10/29/20	27.000	3,419.32	Various	3,417.93	...	1.39	Total of 2 transactions
<i>7 transactions for 10/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	126.06	10/29/20	132.76	6.70 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	126.06	10/29/20	132.78	6.72 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	126.06	10/29/20	130.93	4.87 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	127.63	10/29/20	134.40	6.77 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	127.63	10/29/20	134.41	6.78 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	127.63	10/29/20	132.57	4.94 W	0.00	6 of 7 - Sale <sup>25</sup>
	7.000	893.39	10/29/20	893.86	0.47 W	0.00	7 of 7 - Sale <sup>25</sup>
10/29/20	13.000	1,654.46	Various	1,691.71	37.25 W	0.00	Total of 7 transactions
<i>2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	814.72	11/02/20	809.70	...	5.02	1 of 2 - Sale <sup>25</sup>
	20.000	2,327.76	11/02/20	2,321.71	...	6.05	2 of 2 - Sale <sup>25</sup>
11/02/20	27.000	3,142.48	Various	3,131.41	...	11.07	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
20 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	1.000	117.14	11/02/20	124.79	7.65 W	0.00	1 of 20 - Sale <sup>25</sup>
	1.000	117.14	11/02/20	124.80	7.66 W	0.00	2 of 20 - Sale <sup>25</sup>
	1.000	117.14	11/02/20	122.96	5.82 W	0.00	3 of 20 - Sale <sup>25</sup>
	7.000	820.00	11/02/20	826.61	6.61 W	0.00	4 of 20 - Sale <sup>25</sup>
	1.000	116.94	11/02/20	125.38	8.44 W	0.00	5 of 20 - Sale <sup>25</sup>
	1.000	116.94	11/02/20	125.39	8.45 W	0.00	6 of 20 - Sale <sup>25</sup>
	1.000	116.94	11/02/20	123.55	6.61 W	0.00	7 of 20 - Sale <sup>25</sup>
	7.000	818.55	11/02/20	830.72	12.17 W	0.00	8 of 20 - Sale <sup>25</sup>
	1.000	115.33	11/02/20	122.52	7.19 W	0.00	9 of 20 - Sale <sup>25</sup>
	1.000	115.33	11/02/20	122.53	7.20 W	0.00	10 of 20 - Sale <sup>25</sup>
	1.000	115.33	11/02/20	120.69	5.36 W	0.00	11 of 20 - Sale <sup>25</sup>
	7.000	807.29	11/02/20	810.73	3.44 W	0.00	12 of 20 - Sale <sup>25</sup>
	20.000	2,306.54	11/02/20	2,317.35	10.81 W	0.00	13 of 20 - Sale <sup>25</sup>
	1.000	116.39	11/02/20	122.37	5.98 W	0.00	14 of 20 - Sale <sup>25</sup>
	1.000	116.39	11/02/20	122.38	5.99 W	0.00	15 of 20 - Sale <sup>25</sup>
	1.000	116.39	11/02/20	120.54	4.15 W	0.00	16 of 20 - Sale <sup>25</sup>
	1.000	116.52	11/02/20	122.93	6.41 W	0.00	17 of 20 - Sale <sup>25</sup>
	1.000	116.52	11/02/20	122.94	6.42 W	0.00	18 of 20 - Sale <sup>25</sup>
	1.000	116.52	11/02/20	121.10	4.58 W	0.00	19 of 20 - Sale <sup>25</sup>
	17.000	1,980.78	11/02/20	1,988.13	7.35 W	0.00	20 of 20 - Sale <sup>25</sup>
11/02/20	73.000	8,480.12	Various	8,618.41	138.29 W	0.00	Total of 20 transactions
8 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	148.01	11/05/20	154.92	6.91 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	148.01	11/05/20	154.93	6.92 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	148.01	11/05/20	153.09	5.08 W	0.00	3 of 8 - Sale <sup>25</sup>
	17.000	2,516.12	11/05/20	2,532.02	15.90 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	150.40	11/05/20	157.36	6.96 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	150.40	11/05/20	157.37	6.97 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	150.40	11/05/20	155.53	5.13 W	0.00	7 of 8 - Sale <sup>25</sup>
	17.000	2,556.75	11/05/20	2,573.55	16.80 W	0.00	8 of 8 - Sale <sup>25</sup>
11/05/20	40.000	5,968.10	Various	6,038.77	70.67 W	0.00	Total of 8 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
4 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	7.000	1,052.90	11/06/20	1,062.78	...	-9.88	1 of 4 - Sale <sup>25</sup>
	1.000	150.42	11/06/20	157.70	...	-7.28	2 of 4 - Sale <sup>25</sup>
	1.000	150.42	11/06/20	157.69	...	-7.27	3 of 4 - Sale <sup>25</sup>
	1.000	150.42	11/06/20	155.86	...	-5.44	4 of 4 - Sale <sup>25</sup>
11/06/20	10.000	1,504.16	Various	1,534.03	...	-29.87	Total of 4 transactions
10 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	147.48	11/06/20	154.34	6.86 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	147.48	11/06/20	154.35	6.87 W	0.00	2 of 10 - Sale <sup>25</sup>
	1.000	147.48	11/06/20	152.51	5.03 W	0.00	3 of 10 - Sale <sup>25</sup>
	7.000	1,032.37	11/06/20	1,038.58	6.21 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	148.66	11/06/20	156.11	7.45 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	148.66	11/06/20	156.12	7.46 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	148.66	11/06/20	154.28	5.62 W	0.00	7 of 10 - Sale <sup>25</sup>
	7.000	1,040.60	11/06/20	1,050.99	10.39 W	0.00	8 of 10 - Sale <sup>25</sup>
	10.000	1,486.56	11/06/20	1,502.41	15.85 W	0.00	9 of 10 - Sale <sup>25</sup>
	10.000	1,486.56	11/06/20	1,492.94	6.38 W	0.00	10 of 10 - Sale <sup>25</sup>
11/06/20	40.000	5,934.51	Various	6,012.63	78.12 W	0.00	Total of 10 transactions
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	3.000	496.01	12/03/20	502.00	5.99 W	0.00	1 of 2 - Sale <sup>25</sup>
	7.000	1,157.36	12/03/20	1,170.64	13.28 W	0.00	2 of 2 - Sale <sup>25</sup>
12/03/20	10.000	1,653.37	Various	1,672.64	19.27 W	0.00	Total of 2 transactions
12/04/20	10.000	1,660.66	12/03/20	1,654.23	...	6.43	Sale <sup>25</sup>
12/14/20	20.000	3,343.53	12/14/20	3,355.60	...	-12.07	Sale <sup>25</sup>
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	3.000	501.53	12/14/20	509.33	7.80 W	0.00	1 of 2 - Sale <sup>25</sup>
	7.000	1,170.23	12/14/20	1,187.74	17.51 W	0.00	2 of 2 - Sale <sup>25</sup>
12/14/20	10.000	1,671.76	Various	1,697.07	25.31 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,227,677.19</b>		<b>1,270,937.09</b>	<b>43,882.65 W</b>	<b>622.75</b>	
PULMATRIX, INC. COMMON STOCK / CUSIP: 74584P202 / Symbol:							
01/22/20	100.000	162.99	01/17/20	157.00	...	5.99	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PULTEGROUP, INC. / CUSIP: 745867101 / Symbol:							
07/22/20	10.000	393.70	07/22/20	395.30	...	-1.60	Sale <sup>25</sup>
12/10/20	100.000	4,309.89 N	12/07/20	4,318.00	...	-8.11	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$190.00
<b>Security total:</b>		<b>4,703.59</b>		<b>4,713.30</b>	...	<b>-9.71</b>	
PURE STORAGE, INC. CLASS A / CUSIP: 74624M102 / Symbol:							
10/22/20	20.000	360.00	10/16/20	370.00	10.00 W	0.00	Sale <sup>25</sup>
11/05/20	30.000	511.49	11/05/20	505.99	...	5.50	Sale <sup>25</sup>
11/05/20	20.000	340.99	11/05/20	347.32	6.33 W	0.00	Sale <sup>25</sup>
11/13/20	80.000	1,428.01	11/10/20	1,405.54	...	22.47	Sale <sup>25</sup>
11/13/20	20.000	357.00	11/10/20	357.71	0.71 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	361.99	11/17/20	363.91	1.92 W	0.00	1 of 2 - Sale <sup>25</sup>
	80.000	1,447.95	11/17/20	1,452.80	4.85 W	0.00	2 of 2 - Sale <sup>25</sup>
11/17/20	100.000	1,809.94	Various	1,816.71	6.77 W	0.00	Total of 2 transactions
<i>3 transactions for 11/20/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	379.89	11/17/20	365.10	...	14.79	1 of 3 - Sale <sup>25</sup>
	80.000	1,519.55	11/17/20	1,457.59	...	61.96	2 of 3 - Sale <sup>25</sup>
	100.000	1,900.44	11/17/20	1,813.82	...	86.62	3 of 3 - Sale <sup>25</sup>
11/20/20	200.000	3,799.88	Various	3,636.51	...	163.37	Total of 3 transactions
11/20/20	100.000	1,614.88 N	11/17/20	1,814.82	139.96 W	-59.98	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$385.06
11/24/20	20.000	386.20	11/23/20	424.99	...	-38.79	Sale <sup>25</sup>
11/25/20	50.000	904.97	11/25/20	1,025.88	...	-120.91	Sale <sup>25</sup>
<b>Security total:</b>		<b>11,513.36</b>		<b>11,705.47</b>	<b>163.77 W</b>	<b>-28.34</b>	
QORVO, INC. COMMON STOCK / CUSIP: 74736K101 / Symbol:							
10/19/20	4.000	547.58	10/19/20	550.00	2.42 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	548.98	10/26/20	554.38	...	-5.40	1 of 2 - Sale <sup>25</sup>
	1.000	137.25	10/26/20	137.99	...	-0.74	2 of 2 - Sale <sup>25</sup>
10/26/20	5.000	686.23	Various	692.37	...	-6.14	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
QORVO, INC. COMMON STOCK / CUSIP: 74736K101 / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,233.81</b>		<b>1,242.37</b>	<b>2.42 W</b>		<b>-6.14</b>
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	20.000	2,239.95	08/11/20	2,204.80	...	35.15	1 of 2 - Sale <sup>25</sup>
	20.000	2,239.95	08/11/20	2,179.40	...	60.55	2 of 2 - Sale <sup>25</sup>
08/11/20	40.000	4,479.90	Various	4,384.20	...	95.70	Total of 2 transactions
5 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	10.000	1,103.97	08/11/20	1,109.50	5.53 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	1,103.98	08/11/20	1,109.00	5.02 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	1,105.67	08/11/20	1,117.60	11.93 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	1,105.47	08/11/20	1,120.29	14.82 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	1,107.97	08/11/20	1,120.43	12.46 W	0.00	5 of 5 - Sale <sup>25</sup>
08/11/20	50.000	5,527.06	Various	5,576.82	49.76 W	0.00	Total of 5 transactions
08/12/20	10.000	1,131.87	08/12/20	1,120.69	...	11.18	Sale <sup>25</sup>
5 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	10.000	1,109.08	08/12/20	1,120.22	11.14 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	1,109.07	08/12/20	1,117.86	8.79 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	1,122.80	08/12/20	1,123.04	0.24 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	1,152.87	08/12/20	1,158.34	5.47 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	1,152.87	08/12/20	1,157.30	4.43 W	0.00	5 of 5 - Sale <sup>25</sup>
08/12/20	50.000	5,646.69	Various	5,676.76	30.07 W	0.00	Total of 5 transactions
08/27/20	10.000	1,166.57	08/27/20	1,181.73	...	-15.16	Sale <sup>25</sup>
08/27/20	10.000	1,166.57	08/27/20	1,172.77	6.20 W	0.00	Sale <sup>25</sup>
09/09/20	10.000	1,141.37	09/09/20	1,156.00	...	-14.63	Sale <sup>25</sup>
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	2.000	260.00	10/14/20	262.36	2.36 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	130.00	10/14/20	131.18	1.18 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	260.00	10/14/20	264.17	4.17 W	0.00	3 of 3 - Sale <sup>25</sup>
10/14/20	5.000	650.00	Various	657.71	7.71 W	0.00	Total of 3 transactions
3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	128.82	10/16/20	130.42	1.60 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	257.63	10/16/20	262.66	5.03 W	0.00	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol: (cont'd)							
10/16/20	2.000	257.63	10/16/20	258.48	0.85 W	0.00	3 of 3 - Sale <sup>25</sup>
	5.000	644.08	Various	651.56	7.48 W	0.00	Total of 3 transactions
	3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	1.000	129.96	10/19/20	132.70	2.74 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	259.91	10/19/20	267.23	7.32 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	259.91	10/19/20	263.05	3.14 W	0.00	3 of 3 - Sale <sup>25</sup>
10/19/20	5.000	649.78	Various	662.98	13.20 W	0.00	Total of 3 transactions
10/21/20	5.000	647.99	10/21/20	647.40	...	0.59	Sale <sup>25</sup>
	3 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	1.000	129.60	10/21/20	132.22	2.62 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	259.19	10/21/20	266.28	7.09 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	259.19	10/21/20	262.10	2.91 W	0.00	3 of 3 - Sale <sup>25</sup>
10/21/20	5.000	647.98	Various	660.60	12.62 W	0.00	Total of 3 transactions
	3 transactions for 10/27/20. Total proceeds and cost reported to the IRS.						
	1.000	126.56	10/26/20	128.22	1.66 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	253.12	10/26/20	258.29	5.17 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	253.11	10/26/20	254.11	1.00 W	0.00	3 of 3 - Sale <sup>25</sup>
10/27/20	5.000	632.79	Various	640.62	7.83 W	0.00	Total of 3 transactions
	3 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	1.000	126.88	10/29/20	128.70	1.82 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	253.75	10/29/20	259.25	5.50 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	253.75	10/29/20	255.08	1.33 W	0.00	3 of 3 - Sale <sup>25</sup>
10/29/20	5.000	634.38	Various	643.03	8.65 W	0.00	Total of 3 transactions
	5 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	2.000	259.99	11/04/20	259.81	...	0.18	1 of 5 - Sale <sup>25</sup>
	1.000	132.50	11/04/20	129.99	...	2.51	2 of 5 - Sale <sup>25</sup>
	2.000	264.99	11/04/20	261.84	...	3.15	3 of 5 - Sale <sup>25</sup>
	5.000	649.99	11/04/20	646.19	...	3.80	4 of 5 - Sale <sup>25</sup>
	7.000	927.48	11/04/20	902.47	...	25.01	5 of 5 - Sale <sup>25</sup>
11/04/20	17.000	2,234.95	Various	2,200.30	...	34.65	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol: (cont'd)							
6 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	1.000	128.13	11/04/20	130.47	2.34 W	0.00	1 of 6 - Sale <sup>25</sup>
	2.000	256.25	11/04/20	262.79	6.54 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.000	256.25	11/04/20	258.62	2.37 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	640.64	11/04/20	643.23	2.59 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	130.00	11/04/20	131.06	1.06 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.000	259.99	11/04/20	263.98	3.99 W	0.00	6 of 6 - Sale <sup>25</sup>
11/04/20	13.000	1,671.26	Various	1,690.15	18.89 W	0.00	Total of 6 transactions
11/05/20	10.000	1,472.26	11/05/20	1,471.10	...	1.16	Sale <sup>25</sup>
12/04/20	10.000	1,529.01	12/01/20	1,501.00	...	28.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>31,674.51</b>		<b>31,695.42</b>	<b>162.41 W</b>	<b>141.50</b>	
RADIUS HEALTH, INC. COMMON STO CK / CUSIP: 750469207 / Symbol:							
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	91.000	1,662.73	12/28/20	1,665.68	...	-2.95	1 of 2 - Sale <sup>25</sup>
	9.000	164.45	12/28/20	164.74	...	-0.29	2 of 2 - Sale <sup>25</sup>
12/28/20	100.000	1,827.18	Various	1,830.42	...	-3.24	Total of 2 transactions
RAVE RESTAURANT GROUP, INC. CO MMON STOCK / CUSIP: 754198109 / Symbol:							
06/03/20	10.000	9.80	05/27/20	9.50	...	0.30	Sale <sup>25</sup>
RAYTHEON COMPANY / CUSIP: 755111507 / Symbol:							
01/13/20	4.000	905.78	01/08/20	907.80	...	-2.02	Sale <sup>25</sup>
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	50.000	3,216.96	07/06/20	3,146.97	...	69.99	1 of 2 - Sale <sup>25</sup>
	50.000	3,216.96	07/06/20	3,139.00	...	77.96	2 of 2 - Sale <sup>25</sup>
08/10/20	100.000	6,433.92	Various	6,285.97	...	147.95	Total of 2 transactions
4 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	7.000	423.71	08/21/20	423.85	0.14 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	60.53	08/21/20	60.55	0.02 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	605.28	08/21/20	605.49	0.21 W	0.00	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol: (cont'd)							
08/21/20	2.000	121.06	08/21/20	121.10	0.04 W	0.00	4 of 4 - Sale <sup>25</sup>
	20.000	1,210.58	Various	1,210.99	0.41 W	0.00	Total of 4 transactions
	10 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	1.000	61.90	08/31/20	63.02	1.12 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	61.90	08/31/20	63.02	1.12 W	0.00	2 of 10 - Sale <sup>25</sup>
	2.000	123.80	08/31/20	126.04	2.24 W	0.00	3 of 10 - Sale <sup>25</sup>
	6.000	371.39	08/31/20	378.12	6.73 W	0.00	4 of 10 - Sale <sup>25</sup>
	10.000	618.98	08/31/20	630.21	11.23 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	61.80	08/31/20	64.10	2.30 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	61.80	08/31/20	64.10	2.30 W	0.00	7 of 10 - Sale <sup>25</sup>
	2.000	123.60	08/31/20	128.20	4.60 W	0.00	8 of 10 - Sale <sup>25</sup>
	6.000	370.79	08/31/20	384.61	13.82 W	0.00	9 of 10 - Sale <sup>25</sup>
	10.000	617.98	08/31/20	641.03	23.05 W	0.00	10 of 10 - Sale <sup>25</sup>
08/31/20	40.000	2,473.94	Various	2,542.45	68.51 W	0.00	Total of 10 transactions
	12 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	8.000	507.99	09/17/20	525.84	...	-17.85	1 of 12 - Sale <sup>25</sup>
	6.000	380.99	09/17/20	395.22	...	-14.23	2 of 12 - Sale <sup>25</sup>
	2.000	126.99	09/17/20	131.75	...	-4.76	3 of 12 - Sale <sup>25</sup>
	2.000	127.00	09/17/20	131.45	...	-4.45	4 of 12 - Sale <sup>25</sup>
	1.000	63.50	09/17/20	65.87	...	-2.37	5 of 12 - Sale <sup>25</sup>
	1.000	63.50	09/17/20	65.87	...	-2.37	6 of 12 - Sale <sup>25</sup>
	1.000	63.37	09/17/20	63.00	...	0.37	7 of 12 - Sale <sup>25</sup>
	10.000	633.75	09/17/20	631.20	...	2.55	8 of 12 - Sale <sup>25</sup>
	10.000	633.75	09/17/20	631.20	...	2.55	9 of 12 - Sale <sup>25</sup>
	10.000	633.75	09/17/20	630.97	...	2.78	10 of 12 - Sale <sup>25</sup>
	9.000	570.37	09/17/20	567.00	...	3.37	11 of 12 - Sale <sup>25</sup>
	10.000	633.75	09/17/20	630.30	...	3.45	12 of 12 - Sale <sup>25</sup>
09/17/20	70.000	4,438.71	Various	4,469.67	...	-30.96	Total of 12 transactions
	9 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	1.000	63.37	09/17/20	65.25	1.88 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	63.37	09/17/20	65.25	1.88 W	0.00	2 of 9 - Sale <sup>25</sup>
	2.000	126.75	09/17/20	130.50	3.75 W	0.00	3 of 9 - Sale <sup>25</sup>
	6.000	380.25	09/17/20	391.52	11.27 W	0.00	4 of 9 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol: (cont'd)							
	10.000	633.75	09/17/20	652.55	18.80 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	63.65	09/17/20	65.67	2.02 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	63.65	09/17/20	65.67	2.02 W	0.00	7 of 9 - Sale <sup>25</sup>
	2.000	127.29	09/17/20	131.34	4.05 W	0.00	8 of 9 - Sale <sup>25</sup>
	6.000	381.89	09/17/20	394.01	12.12 W	0.00	9 of 9 - Sale <sup>25</sup>
09/17/20	30.000	1,903.97	Various	1,961.76	57.79 W	0.00	Total of 9 transactions
	2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	30.000	2,024.35	11/16/20	2,037.90	...	-13.55	1 of 2 - Sale <sup>25</sup>
	20.000	1,349.57	11/16/20	1,358.15	...	-8.58	2 of 2 - Sale <sup>25</sup>
11/16/20	50.000	3,373.92	Various	3,396.05	...	-22.13	Total of 2 transactions
	<b>Security total:</b>	<b>19,835.04</b>		<b>19,866.89</b>	<b>126.71 W</b>	<b>94.86</b>	
REGULUS THERAPEUTICS INC. COMM ON STOCK / CUSIP: 75915K200 / Symbol:							
04/28/20	500.000	254.99	04/24/20	237.50	...	17.49	Sale <sup>25</sup>
REGIONS FINANCIAL CORP. / CUSIP: 7591EP100 / Symbol:							
02/14/20	20.000	329.60	01/10/20	328.50	...	1.10	Sale <sup>25</sup>
	5 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	75.000	933.90	06/03/20	931.50	...	2.40	1 of 5 - Sale <sup>25</sup>
	100.000	1,245.20	06/03/20	1,242.00	...	3.20	2 of 5 - Sale <sup>25</sup>
	200.000	2,490.40	06/03/20	2,486.00	...	4.40	3 of 5 - Sale <sup>25</sup>
	200.000	2,490.40	06/03/20	2,485.54	...	4.86	4 of 5 - Sale <sup>25</sup>
	50.000	622.60	06/03/20	615.86	...	6.74	5 of 5 - Sale <sup>25</sup>
06/04/20	625.000	7,782.50	Various	7,760.90	...	21.60	Total of 5 transactions
06/05/20	25.000	335.06	06/03/20	310.50	...	24.56	Sale <sup>25</sup>
10/20/20	30.000	393.00	10/20/20	397.44	4.44 W	0.00	Sale <sup>25</sup>
	2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	7.000	96.60	10/23/20	99.69	...	-3.09	1 of 2 - Sale <sup>25</sup>
	3.000	41.40	10/23/20	42.73	...	-1.33	2 of 2 - Sale <sup>25</sup>
10/23/20	10.000	138.00	Various	142.42	...	-4.42	Total of 2 transactions
	2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	27.000	372.63	10/23/20	382.81	2.64 W	-7.54	1 of 2 - Sale <sup>25</sup>
	3.000	41.40	10/23/20	42.53	1.13 W	0.00	2 of 2 - Sale <sup>25</sup>
10/23/20	30.000	414.03	Various	425.34	3.77 W	-7.54	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REGIONS FINANCIAL CORP. / CUSIP: 7591EP100 / Symbol: (cont'd)							
<b>Security total:</b>		<b>9,392.19</b>		<b>9,365.10</b>	<b>8.21 W</b>	<b>35.30</b>	
RINGCENTRAL, INC. / CUSIP: 76680R206 / Symbol:							
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	4.000	1,005.88	09/18/20	1,023.40	...	-17.52	1 of 3 - Sale <sup>25</sup>
	5.000	1,257.36	09/18/20	1,273.55	...	-16.19	2 of 3 - Sale <sup>25</sup>
	1.000	251.47	09/18/20	255.85	...	-4.38	3 of 3 - Sale <sup>25</sup>
09/18/20	10.000	2,514.71	Various	2,552.80	...	-38.09	Total of 3 transactions
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	40.000	172.00	08/05/20	172.80	...	-0.80	1 of 2 - Sale <sup>25</sup>
	10.000	43.00	08/05/20	43.20	...	-0.20	2 of 2 - Sale <sup>25</sup>
08/05/20	50.000	215.00	Various	216.00	...	-1.00	Total of 2 transactions
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	90.000	386.99	08/05/20	389.70	1.20 W	-1.51	1 of 2 - Sale <sup>25</sup>
	10.000	43.00	08/05/20	43.30	0.30 W	0.00	2 of 2 - Sale <sup>25</sup>
08/05/20	100.000	429.99	Various	433.00	1.50 W	-1.51	Total of 2 transactions
<b>Security total:</b>		<b>644.99</b>		<b>649.00</b>	<b>1.50 W</b>	<b>-2.51</b>	
RITTER PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 767836307 / Symbol:							
02/28/20	4.000	2.80	02/27/20	1.36	...	1.44	Sale <sup>25</sup>
ROCHE HOLDINGS AG BASEL AMERIC AN DEPOSITARY SHARES / CUSIP: 771195104 / Symbol:							
01/24/20	30.000	1,261.47	01/15/20	1,247.70	...	13.77	Sale <sup>25</sup>
01/31/20	20.000	846.38	01/28/20	824.60	...	21.78	Sale <sup>25</sup>
02/26/20	2.000	84.08	02/21/20	88.06	...	-3.98	Sale <sup>25</sup>
<b>Security total:</b>		<b>2,191.93</b>		<b>2,160.36</b>	...	<b>31.57</b>	
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	50.000	977.75	11/03/20	983.50	5.75 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	969.47	11/03/20	983.50	14.03 W	0.00	2 of 2 - Sale <sup>25</sup>
11/03/20	100.000	1,947.22	Various	1,967.00	19.78 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol: (cont'd)							
11/04/20	50.000	1,032.97	11/04/20	1,035.19		0.00	Sale <sup>25</sup>
11/06/20	20.000	413.57	11/05/20	426.11	12.54 W	0.00	Sale <sup>25</sup>
11/09/20	30.000	642.43	11/09/20	633.62	...	8.81	Sale <sup>25</sup>
	2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	20.000	447.99	11/10/20	437.89	...	10.10	1 of 2 - Sale <sup>25</sup>
	30.000	671.98	11/10/20	656.83	...	15.15	2 of 2 - Sale <sup>25</sup>
11/10/20	50.000	1,119.97	Various	1,094.72	...	25.25	Total of 2 transactions
11/12/20	20.000	439.03	11/12/20	449.48	10.45 W	0.00	Sale <sup>25</sup>
	2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	20.000	449.59	11/16/20	462.05	12.46 W	0.00	1 of 2 - Sale <sup>25</sup>
	80.000	1,798.35	11/16/20	1,806.40	8.05 W	0.00	2 of 2 - Sale <sup>25</sup>
11/16/20	100.000	2,247.94	Various	2,268.45	20.51 W	0.00	Total of 2 transactions
	2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	20.000	400.99	11/24/20	415.46	14.47 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	200.49	11/24/20	202.51	2.02 W	0.00	2 of 2 - Sale <sup>25</sup>
11/24/20	30.000	601.48	Various	617.97	16.49 W	0.00	Total of 2 transactions
	6 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	20.000	398.59	11/27/20	423.97	25.38 W	0.00	1 of 6 - Sale <sup>25</sup>
	10.000	199.29	11/27/20	206.77	7.48 W	0.00	2 of 6 - Sale <sup>25</sup>
	70.000	1,395.06	11/27/20	1,440.29	45.23 W	0.00	3 of 6 - Sale <sup>25</sup>
	20.000	409.24	11/30/20	436.34	27.10 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	204.62	11/30/20	212.96	8.34 W	0.00	5 of 6 - Sale <sup>25</sup>
	70.000	1,432.33	11/30/20	1,483.57	51.24 W	0.00	6 of 6 - Sale <sup>25</sup>
11/30/20	200.000	4,039.13	Various	4,203.90	164.77 W	0.00	Total of 6 transactions
	3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	20.000	397.99 N	12/08/20	424.28	26.29 W	0.00	1 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$32.00
	10.000	198.99 N	12/08/20	206.93	7.94 W	0.00	2 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$16.00

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol: (cont'd)							
	70.000	1,392.96 N	12/08/20	1,441.36	48.40 W	0.00	3 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$112.00
12/11/20	100.000	1,989.94	Various	2,072.57	82.63 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>14,473.68</b>		<b>14,769.01</b>	<b>329.39 W</b>	<b>34.06</b>	
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	155.40	07/23/20	155.70	0.30 W	0.00	1 of 2 - Sale <sup>25</sup>
	19.000	2,952.53	07/23/20	2,958.30	5.77 W	0.00	2 of 2 - Sale <sup>25</sup>
07/23/20	20.000	3,107.93	Various	3,114.00	6.07 W	0.00	Total of 2 transactions
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	161.75	08/07/20	162.00	0.25 W	0.00	1 of 3 - Sale <sup>25</sup>
	11.000	1,779.20	08/07/20	1,782.04	2.84 W	0.00	2 of 3 - Sale <sup>25</sup>
	8.000	1,293.97	08/07/20	1,296.03	2.06 W	0.00	3 of 3 - Sale <sup>25</sup>
08/07/20	20.000	3,234.92	Various	3,240.07	5.15 W	0.00	Total of 3 transactions
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	148.80	08/18/20	148.25	...	0.55	1 of 2 - Sale <sup>25</sup>
	9.000	1,339.16	08/18/20	1,334.32	...	4.84	2 of 2 - Sale <sup>25</sup>
08/18/20	10.000	1,487.96	Various	1,482.57	...	5.39	Total of 2 transactions
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	2.000	365.32	09/01/20	363.22	...	2.10	1 of 2 - Sale <sup>25</sup>
	8.000	1,461.26	09/01/20	1,452.84	...	8.42	2 of 2 - Sale <sup>25</sup>
09/01/20	10.000	1,826.58	Various	1,816.06	...	10.52	Total of 2 transactions
09/01/20	5.000	913.29	09/01/20	916.07	2.78 W	0.00	Sale <sup>25</sup>
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	5.000	828.03	09/08/20	826.78	...	1.25	1 of 2 - Sale <sup>25</sup>
	5.000	828.03	09/08/20	824.00	...	4.03	2 of 2 - Sale <sup>25</sup>
09/08/20	10.000	1,656.06	Various	1,650.78	...	5.28	Total of 2 transactions
4 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	4.000	757.80	09/29/20	753.46	...	4.34	1 of 4 - Sale <sup>25</sup>
	5.000	947.25	09/29/20	942.75	...	4.50	2 of 4 - Sale <sup>25</sup>
	1.000	189.45	09/29/20	183.60	...	5.85	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	5.000	947.25	09/29/20	927.50	...	19.75	4 of 4 - Sale <sup>25</sup>
09/29/20	15.000	2,841.75	Various	2,807.31	...	34.44	Total of 4 transactions
09/29/20	10.000	1,888.95	09/29/20	1,902.50	13.55 W	0.00	Sale <sup>25</sup>
	3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	5.000	1,015.27	10/02/20	1,013.69	...	1.58	1 of 3 - Sale <sup>25</sup>
	2.000	400.06	10/02/20	398.45	...	1.61	2 of 3 - Sale <sup>25</sup>
	3.000	604.69	10/02/20	597.68	...	7.01	3 of 3 - Sale <sup>25</sup>
10/02/20	10.000	2,020.02	Various	2,009.82	...	10.20	Total of 3 transactions
	17 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	3.000	600.10	10/02/20	607.75	7.65 W	0.00	1 of 17 - Sale <sup>25</sup>
	1.000	199.70	10/02/20	202.61	2.91 W	0.00	2 of 17 - Sale <sup>25</sup>
	1.000	199.69	10/02/20	202.60	2.91 W	0.00	3 of 17 - Sale <sup>25</sup>
	3.000	599.09	10/02/20	611.40	12.31 W	0.00	4 of 17 - Sale <sup>25</sup>
	5.000	998.47	10/02/20	1,006.25	7.78 W	0.00	5 of 17 - Sale <sup>25</sup>
	1.000	203.06	10/02/20	204.09	1.03 W	0.00	6 of 17 - Sale <sup>25</sup>
	1.000	203.05	10/02/20	204.09	1.04 W	0.00	7 of 17 - Sale <sup>25</sup>
	3.000	609.17	10/02/20	615.85	6.68 W	0.00	8 of 17 - Sale <sup>25</sup>
	1.000	201.75	10/02/20	204.18	2.43 W	0.00	9 of 17 - Sale <sup>25</sup>
	1.000	201.76	10/02/20	204.20	2.44 W	0.00	10 of 17 - Sale <sup>25</sup>
	3.000	605.26	10/02/20	616.14	10.88 W	0.00	11 of 17 - Sale <sup>25</sup>
	1.000	201.07	10/02/20	203.76	2.69 W	0.00	12 of 17 - Sale <sup>25</sup>
	1.000	201.08	10/02/20	203.77	2.69 W	0.00	13 of 17 - Sale <sup>25</sup>
	3.000	603.22	10/02/20	614.87	11.65 W	0.00	14 of 17 - Sale <sup>25</sup>
	1.000	201.69	10/02/20	204.19	2.50 W	0.00	15 of 17 - Sale <sup>25</sup>
	1.000	201.70	10/02/20	204.19	2.49 W	0.00	16 of 17 - Sale <sup>25</sup>
	3.000	605.08	10/02/20	616.15	11.07 W	0.00	17 of 17 - Sale <sup>25</sup>
10/02/20	33.000	6,634.94	Various	6,726.09	91.15 W	0.00	Total of 17 transactions
	8 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	203.08	10/05/20	206.41	3.33 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	203.09	10/05/20	206.40	3.31 W	0.00	2 of 8 - Sale <sup>25</sup>
	3.000	609.26	10/05/20	622.80	13.54 W	0.00	3 of 8 - Sale <sup>25</sup>
	5.000	1,015.42	10/05/20	1,019.56	4.14 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	200.23	10/05/20	204.63	4.40 W	0.00	5 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	1.000	200.22	10/05/20	204.61	4.39 W	0.00	6 of 8 - Sale <sup>25</sup>
	3.000	600.67	10/05/20	617.46	16.79 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	1,001.13	10/05/20	1,010.66	9.53 W	0.00	8 of 8 - Sale <sup>25</sup>
10/05/20	20.000	4,033.10	Various	4,092.53	59.43 W	0.00	Total of 8 transactions
	7 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	1.000	226.93	10/13/20	232.93	6.00 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	226.94	10/13/20	232.92	5.98 W	0.00	2 of 7 - Sale <sup>25</sup>
	3.000	680.80	10/13/20	702.38	21.58 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	1,137.22	10/13/20	1,149.03	11.81 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	227.79	10/13/20	233.90	6.11 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	227.79	10/13/20	233.88	6.09 W	0.00	6 of 7 - Sale <sup>25</sup>
	3.000	683.39	10/13/20	705.28	21.89 W	0.00	7 of 7 - Sale <sup>25</sup>
10/13/20	15.000	3,410.86	Various	3,490.32	79.46 W	0.00	Total of 7 transactions
	5 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	2.000	454.00	11/11/20	460.66	6.66 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	226.99	11/11/20	234.08	7.09 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	227.00	11/11/20	234.06	7.06 W	0.00	3 of 5 - Sale <sup>25</sup>
	3.000	680.98	11/11/20	705.80	24.82 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.000	680.98	11/11/20	691.00	10.02 W	0.00	5 of 5 - Sale <sup>25</sup>
11/11/20	10.000	2,269.95	Various	2,325.60	55.65 W	0.00	Total of 5 transactions
	5 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	3.000	691.46	11/13/20	721.76	...	-30.30	1 of 5 - Sale <sup>25</sup>
	1.000	230.49	11/13/20	239.40	...	-8.91	2 of 5 - Sale <sup>25</sup>
	1.000	230.49	11/13/20	239.37	...	-8.88	3 of 5 - Sale <sup>25</sup>
	2.000	458.75	11/13/20	467.62	...	-8.87	4 of 5 - Sale <sup>25</sup>
	1.000	229.37	11/13/20	233.82	...	-4.45	5 of 5 - Sale <sup>25</sup>
11/13/20	8.000	1,840.56	Various	1,901.97	...	-61.41	Total of 5 transactions
	2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	3.000	691.47	11/13/20	706.95	5.16 W	-10.32	1 of 2 - Sale <sup>25</sup>
	2.000	460.98	11/13/20	471.28	10.30 W	0.00	2 of 2 - Sale <sup>25</sup>
11/13/20	5.000	1,152.45	Various	1,178.23	15.46 W	-10.32	Total of 2 transactions
	<b>Security total:</b>	<b>38,319.32</b>		<b>38,653.92</b>	<b>328.70 W</b>	<b>-5.90</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SM ENERGY COMPANY / CUSIP: 78454L100 / Symbol:							
04/30/20	50.000	170.00	04/29/20	163.00	...	7.00	Sale <sup>25</sup>
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol:							
<i>5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	2,701.74	06/12/20	2,734.53	...	-32.79	1 of 5 - Sale <sup>25</sup>
	9.000	2,701.74	06/12/20	2,733.36	...	-31.62	2 of 5 - Sale <sup>25</sup>
	1.000	300.19	06/12/20	303.84	...	-3.65	3 of 5 - Sale <sup>25</sup>
	1.000	300.19	06/12/20	303.71	...	-3.52	4 of 5 - Sale <sup>25</sup>
	10.000	3,070.43	06/12/20	3,071.50	...	-1.07	5 of 5 - Sale <sup>25</sup>
06/12/20	30.000	9,074.29	Various	9,146.94	...	-72.65	Total of 5 transactions
<i>4 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	307.04	06/12/20	307.10	0.06 W	0.00	1 of 4 - Sale <sup>25</sup>
	9.000	2,763.39	06/12/20	2,763.90	0.51 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	307.04	06/12/20	307.20	0.16 W	0.00	3 of 4 - Sale <sup>25</sup>
	9.000	2,763.39	06/12/20	2,764.80	1.41 W	0.00	4 of 4 - Sale <sup>25</sup>
06/12/20	20.000	6,140.86	Various	6,143.00	2.14 W	0.00	Total of 4 transactions
08/10/20	2.000	670.92	08/10/20	671.41	0.49 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	673.05	08/11/20	671.71	...	1.34	1 of 2 - Sale <sup>25</sup>
	18.000	6,057.40	08/11/20	6,040.98	...	16.42	2 of 2 - Sale <sup>25</sup>
08/11/20	20.000	6,730.45	Various	6,712.69	...	17.76	Total of 2 transactions
<i>4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	3,223.92	09/23/20	3,238.50	14.58 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	3,224.43	09/23/20	3,242.18	17.75 W	0.00	2 of 4 - Sale <sup>25</sup>
	6.000	1,934.65	09/23/20	1,936.56	1.91 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	1,289.77	09/23/20	1,291.04	1.27 W	0.00	4 of 4 - Sale <sup>25</sup>
09/23/20	30.000	9,672.77	Various	9,708.28	35.51 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol: (cont'd)							
3 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	80.000	26,095.40 N	09/25/20	26,148.00	...	-52.60	1 of 3 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$95.99
	4.000	1,304.77 N	09/25/20	1,308.67	...	-3.90	2 of 3 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$4.80
	100.000	32,619.26 N	09/25/20	32,565.00	...	54.26	3 of 3 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$119.99
09/25/20	184.000	60,019.43	Various	60,021.67	...	-2.24	Total of 3 transactions
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	6.000	1,957.16 N	09/25/20	1,963.01	4.88 W	-0.97	1 of 2 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$7.20
	10.000	3,261.93 N	09/25/20	3,286.25	24.32 W	0.00	2 of 2 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$12.00
09/25/20	16.000	5,219.09	Various	5,249.26	29.20 W	-0.97	Total of 2 transactions
09/28/20	5.000	1,673.36	09/28/20	1,684.36	...	-11.00	Sale <sup>25</sup>
10/09/20	5.000	1,732.56	10/09/20	1,744.81	...	-12.25	Sale <sup>25</sup>
10/16/20	5.000	1,742.51	10/16/20	1,749.18	...	-6.67	Sale <sup>25</sup>
	<b>Security total:</b>	<b>102,676.24</b>		<b>102,831.60</b>	<b>67.34 W</b>	<b>-88.02</b>	
SPDR GOLD TRUST, SPDR GOLD SHA RES / CUSIP: 78463V107 / Symbol: / Note: CL							
06/16/20	50.000	8,118.82	06/16/20	8,117.50	...	1.32	3- Proceeds from collectibles [X] Sale <sup>25</sup>
08/13/20	20.000	3,683.11	08/13/20	3,659.00	...	24.11	3- Proceeds from collectibles [X] Sale <sup>25</sup>
08/17/20	20.000	3,723.91	08/17/20	3,723.00	...	0.91	3- Proceeds from collectibles [X] Sale <sup>25</sup>
09/18/20	10.000	1,834.98	09/18/20	1,839.20	...	-4.22	3- Proceeds from collectibles [X] Sale <sup>25</sup>
09/18/20	10.000	1,834.97	09/18/20	1,839.38	4.41 W	0.00	3- Proceeds from collectibles [X] Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPDR GOLD TRUST, SPDR GOLD SHA RES / CUSIP: 78463V107 / Symbol: / Note: CL (cont'd)							
09/22/20	10.000	1,795.86	09/18/20	1,839.76	...	-43.90	3- Proceeds from collectibles [X] Sale <sup>25</sup>
11/10/20	10.000	1,766.31	11/09/20	1,749.55	...	16.76	3- Proceeds from collectibles [X] Sale <sup>25</sup>
12/07/20	100.000	17,464.60	12/07/20	17,464.00	...	0.60	3- Proceeds from collectibles [X] Sale <sup>25</sup>
	<b>Security total:</b>	<b>40,222.56</b>		<b>40,231.39</b>	<b>4.41 W</b>	<b>-4.42</b>	
SPDR S&P BIOTECH ETF / CUSIP: 78464A870 / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	30.000	3,410.92	06/24/20	3,456.80	...	-45.88	1 of 2 - Sale <sup>25</sup>
	30.000	3,410.91	06/24/20	3,447.00	...	-36.09	2 of 2 - Sale <sup>25</sup>
06/24/20	60.000	6,821.83	Various	6,903.80	...	-81.97	Total of 2 transactions
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	30.000	3,382.00	08/03/20	3,394.50	...	-12.50	1 of 2 - Sale <sup>25</sup>
	20.000	2,270.76	08/03/20	2,263.00	...	7.76	2 of 2 - Sale <sup>25</sup>
08/03/20	50.000	5,652.76	Various	5,657.50	...	-4.74	Total of 2 transactions
	<b>Security total:</b>	<b>12,474.59</b>		<b>12,561.30</b>	...	<b>-86.71</b>	
SPDR S&P HOMEBUILDERS ETF / CUSIP: 78464A888 / Symbol:							
08/11/20	20.000	1,044.37	08/10/20	1,039.60	...	4.77	Sale <sup>25</sup>
SVMK INC. COMMON STOCK / CUSIP: 78489X103 / Symbol:							
08/10/20	50.000	1,089.97	08/10/20	1,100.00	...	-10.03	Sale <sup>25</sup>
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
08/26/20	3.000	820.56	08/26/20	815.10	...	5.46	Sale <sup>25</sup>
09/01/20	15.000	4,193.45	09/01/20	4,201.35	7.90 W	0.00	Sale <sup>25</sup>
4 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	5.000	1,227.09	09/29/20	1,231.49	4.40 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	1,232.27	09/29/20	1,235.11	2.84 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	1,234.85	09/29/20	1,235.93	1.08 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	1,234.85	09/29/20	1,237.69	2.84 W	0.00	4 of 4 - Sale <sup>25</sup>
09/29/20	20.000	4,929.06	Various	4,940.22	11.16 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol: (cont'd)							
<i>4 transactions for 09/30/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,257.68	09/30/20	1,255.78	...	1.90	1 of 4 - Sale <sup>25</sup>
	5.000	1,257.67	09/30/20	1,255.70	...	1.97	2 of 4 - Sale <sup>25</sup>
	5.000	1,259.99	09/30/20	1,247.96	...	12.03	3 of 4 - Sale <sup>25</sup>
	5.000	1,260.00	09/30/20	1,246.19	...	13.81	4 of 4 - Sale <sup>25</sup>
09/30/20	20.000	5,035.34	Various	5,005.63	...	29.71	Total of 4 transactions
<i>3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,257.68	09/30/20	1,258.62	0.94 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	1,258.62	09/30/20	1,264.00	5.38 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	1,258.62	09/30/20	1,263.06	4.44 W	0.00	3 of 3 - Sale <sup>25</sup>
09/30/20	15.000	3,774.92	Various	3,785.68	10.76 W	0.00	Total of 3 transactions
<i>2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,241.77	10/02/20	1,253.03	11.26 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	1,243.87	10/02/20	1,247.39	3.52 W	0.00	2 of 2 - Sale <sup>25</sup>
10/02/20	10.000	2,485.64	Various	2,500.42	14.78 W	0.00	Total of 2 transactions
<i>2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,297.97	10/07/20	1,312.36	14.39 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	1,297.97	10/07/20	1,304.62	6.65 W	0.00	2 of 2 - Sale <sup>25</sup>
10/07/20	10.000	2,595.94	Various	2,616.98	21.04 W	0.00	Total of 2 transactions
10/08/20	5.000	1,302.75	10/08/20	1,314.39	11.64 W	0.00	Sale <sup>25</sup>
10/12/20	3.000	803.77	10/12/20	806.70	2.93 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	535.75	10/13/20	538.86	3.11 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	803.63	10/13/20	811.28	7.65 W	0.00	2 of 2 - Sale <sup>25</sup>
10/13/20	5.000	1,339.38	Various	1,350.14	10.76 W	0.00	Total of 2 transactions
<i>4 transactions for 11/03/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	477.87	11/03/20	481.36	3.49 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	716.80	11/03/20	717.98	1.18 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	477.87	11/03/20	481.61	3.74 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	716.80	11/03/20	725.40	8.60 W	0.00	4 of 4 - Sale <sup>25</sup>
11/03/20	10.000	2,389.34	Various	2,406.35	17.01 W	0.00	Total of 4 transactions
12/03/20	3.000	672.22	12/03/20	672.10	...	0.12	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol: (cont'd)							
3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	2.000	448.14	12/03/20	450.77	2.63 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	448.14	12/03/20	451.02	2.88 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	672.22	12/03/20	679.52	7.30 W	0.00	3 of 3 - Sale <sup>25</sup>
12/03/20	7.000	1,568.50	Various	1,581.31	12.81 W	0.00	Total of 3 transactions
4 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	2.000	453.01	12/08/20	457.23	4.22 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	453.01	12/08/20	457.48	4.47 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	679.51	12/08/20	689.20	9.69 W	0.00	3 of 4 - Sale <sup>25</sup>
	13.000	2,944.56	12/08/20	2,954.90	10.34 W	0.00	4 of 4 - Sale <sup>25</sup>
12/08/20	20.000	4,530.09	Various	4,558.81	28.72 W	0.00	Total of 4 transactions
6 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	13.000	2,922.33	12/10/20	2,909.76	...	12.57	1 of 6 - Sale <sup>25</sup>
	30.000	6,743.85	12/10/20	6,690.97	...	52.88	2 of 6 - Sale <sup>25</sup>
	20.000	4,495.90	12/10/20	4,423.00	...	72.90	3 of 6 - Sale <sup>25</sup>
	10.000	2,247.95	12/14/20	2,219.40	...	28.55	4 of 6 - Sale <sup>25</sup>
	10.000	2,247.95	12/14/20	2,209.50	...	38.45	5 of 6 - Sale <sup>25</sup>
	10.000	2,247.95	12/14/20	2,209.33	...	38.62	6 of 6 - Sale <sup>25</sup>
12/17/20	93.000	20,905.93	Various	20,661.96	...	243.97	Total of 6 transactions
3 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	2.000	449.59	12/10/20	450.29	0.70 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	449.59	12/10/20	450.54	0.95 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	674.38	12/10/20	678.79	4.41 W	0.00	3 of 3 - Sale <sup>25</sup>
12/17/20	7.000	1,573.56	Various	1,579.62	6.06 W	0.00	Total of 3 transactions
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	3.000	683.11	12/22/20	694.65	...	-11.54	1 of 2 - Sale <sup>25</sup>
	3.000	683.11	12/22/20	690.24	...	-7.13	2 of 2 - Sale <sup>25</sup>
12/23/20	6.000	1,366.22	Various	1,384.89	...	-18.67	Total of 2 transactions
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	2.000	455.41	12/22/20	461.11	2.85 W	-2.85	1 of 2 - Sale <sup>25</sup>
	2.000	455.41	12/22/20	460.86	5.45 W	0.00	2 of 2 - Sale <sup>25</sup>
12/23/20	4.000	910.82	Various	921.97	8.30 W	-2.85	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol: (cont'd)							
<b>Security total:</b>		<b>61,197.49</b>		<b>61,103.62</b>		<b>163.87 W</b>	<b>257.74</b>
SEA LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	2.000	409.31	11/16/20	356.08	...	53.23	1 of 2 - Sale <sup>25</sup>
	3.000	613.96	11/16/20	532.82	...	81.14	2 of 2 - Sale <sup>25</sup>
12/08/20	5.000	1,023.27	Various	888.90	...	134.37	Total of 2 transactions
12/21/20	10.000	1,989.95	12/16/20	1,929.43	...	60.52	Sale <sup>25</sup>
12/23/20	10.000	1,964.40	12/16/20	1,929.42	...	34.98	Sale <sup>25</sup>
<b>Security total:</b>		<b>4,977.62</b>		<b>4,747.75</b>		<b>...</b>	<b>229.87</b>
SEASPINE HOLDINGS CORPORATION COMMON STOCK / CUSIP: 81255T108 / Symbol:							
08/05/20	20.000	267.40	08/05/20	281.00	...	-13.60	Sale <sup>25</sup>
MATERIALS SELECT SECTOR SPDR F UND / CUSIP: 81369Y100 / Symbol:							
07/27/20	20.000	1,241.77	07/23/20	1,245.00	3.23 W	0.00	Sale <sup>25</sup>
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	20.000	1,238.97	07/31/20	1,199.93	...	39.04	1 of 2 - Sale <sup>25</sup>
	30.000	1,858.46	07/31/20	1,795.05	...	63.41	2 of 2 - Sale <sup>25</sup>
08/05/20	50.000	3,097.43	Various	2,994.98	...	102.45	Total of 2 transactions
<b>Security total:</b>		<b>4,339.20</b>		<b>4,239.98</b>	<b>3.23 W</b>		<b>102.45</b>
HEALTH CARE SELECT SECTOR SPDR FUND / CUSIP: 81369Y209 / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	106.61	07/23/20	107.27	...	-0.66	1 of 2 - Sale <sup>25</sup>
	1.000	106.91	07/23/20	107.27	...	-0.36	2 of 2 - Sale <sup>25</sup>
07/23/20	2.000	213.52	Various	214.54	...	-1.02	Total of 2 transactions
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	10.000	1,059.47	07/23/20	1,072.70	...	-13.23	1 of 2 - Sale <sup>25</sup>
	8.000	847.74	07/23/20	858.16	...	-10.42	2 of 2 - Sale <sup>25</sup>
07/27/20	18.000	1,907.21	Various	1,930.86	...	-23.65	Total of 2 transactions
07/28/20	10.000	1,061.97	07/23/20	1,072.70	...	-10.73	Sale <sup>25</sup>
<b>Security total:</b>		<b>3,182.70</b>		<b>3,218.10</b>	<b>...</b>		<b>-35.40</b>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONSUMER DISCRETIONARY SELECT SECTOR SPDR FUND / CUSIP: 81369Y407 / Symbol:							
07/14/20	10.000	1,311.88	07/10/20	1,332.99	...	-21.11	Sale <sup>25</sup>
ENERGY SELECT SECTOR SPDR FUND / CUSIP: 81369Y506 / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	36.65	07/10/20	35.60	...	1.05	1 of 2 - Sale <sup>25</sup>
	9.000	329.80	07/10/20	320.40	...	9.40	2 of 2 - Sale <sup>25</sup>
07/17/20	10.000	366.45	Various	356.00	...	10.45	Total of 2 transactions
FINANCIAL SELECT SECTOR SPDR FUND / CUSIP: 81369Y605 / Symbol:							
06/29/20	20.000	455.60	06/29/20	455.60	...	0.00	Sale <sup>25</sup>
SERVICE PROPERTIES TRUST COMMON STOCK / CUSIP: 81761L102 / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	43.000	534.47	06/05/20	500.09	...	34.38	1 of 2 - Sale <sup>25</sup>
	57.000	708.49	06/05/20	662.91	...	45.58	2 of 2 - Sale <sup>25</sup>
06/08/20	100.000	1,242.96	Various	1,163.00	...	79.96	Total of 2 transactions
SERVICENOW, INC. / CUSIP: 81762P102 / Symbol:							
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	436.88	08/13/20	438.36	...	-1.48	1 of 3 - Sale <sup>25</sup>
	1.000	436.88	08/13/20	438.00	...	-1.12	2 of 3 - Sale <sup>25</sup>
	2.000	873.76	08/13/20	871.26	...	2.50	3 of 3 - Sale <sup>25</sup>
08/13/20	4.000	1,747.52	Various	1,747.62	...	-0.10	Total of 3 transactions
5 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	2.000	1,020.84	10/29/20	1,020.70	...	0.14	1 of 5 - Sale <sup>25</sup>
	1.000	513.24	10/29/20	512.29	...	0.95	2 of 5 - Sale <sup>25</sup>
	1.000	511.58	10/29/20	509.80	...	1.78	3 of 5 - Sale <sup>25</sup>
	4.000	2,052.94	10/29/20	2,048.80	...	4.14	4 of 5 - Sale <sup>25</sup>
	3.000	1,534.76	10/29/20	1,529.40	...	5.36	5 of 5 - Sale <sup>25</sup>
10/29/20	11.000	5,633.36	Various	5,620.99	...	12.37	Total of 5 transactions
4 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	4.000	2,051.03	10/29/20	2,058.20	1.79 W	-5.38	1 of 4 - Sale <sup>25</sup>
	1.000	508.50	10/29/20	510.38	1.88 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	511.59	10/29/20	511.68	0.09 W	0.00	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SERVICENOW, INC. / CUSIP: 81762P102 / Symbol: (cont'd)							
	1.000	512.76	10/29/20	514.55	1.79 W	0.00	4 of 4 - Sale <sup>25</sup>
10/29/20	7.000	3,583.88	Various	3,594.81	5.55 W	-5.38	Total of 4 transactions
	2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.						
	1.000	526.31	11/24/20	516.09	...	10.22	1 of 2 - Sale <sup>25</sup>
	1.000	526.32	11/24/20	516.09	...	10.23	2 of 2 - Sale <sup>25</sup>
11/27/20	2.000	1,052.63	Various	1,032.18	...	20.45	Total of 2 transactions
12/02/20	1.000	531.33	12/01/20	539.18	...	-7.85	Sale <sup>25</sup>
	<b>Security total:</b>	<b>12,548.72</b>		<b>12,534.78</b>	<b>5.55 W</b>	<b>19.49</b>	
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
	2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	5.000	5,005.38	08/24/20	5,017.50	12.12 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	4,980.13	08/24/20	5,047.12	66.99 W	0.00	2 of 2 - Sale <sup>25</sup>
08/24/20	10.000	9,985.51	Various	10,064.62	79.11 W	0.00	Total of 2 transactions
09/23/20	5.000	4,718.24	09/18/20	4,487.94	...	230.30	Sale <sup>25</sup>
09/23/20	5.000	4,693.52	09/23/20	4,716.55	23.03 W	0.00	Sale <sup>25</sup>
	3 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	1.000	922.45	09/24/20	925.06	2.61 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	922.46	09/24/20	925.41	2.95 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	2,752.07	09/24/20	2,788.96	36.89 W	0.00	3 of 3 - Sale <sup>25</sup>
09/24/20	5.000	4,596.98	Various	4,639.43	42.45 W	0.00	Total of 3 transactions
	8 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	1.000	945.58	09/25/20	945.43	...	0.15	1 of 8 - Sale <sup>25</sup>
	1.000	945.58	09/25/20	945.06	...	0.52	2 of 8 - Sale <sup>25</sup>
	2.000	1,879.10	09/25/20	1,878.23	...	0.87	3 of 8 - Sale <sup>25</sup>
	1.000	945.58	09/25/20	942.52	...	3.06	4 of 8 - Sale <sup>25</sup>
	1.000	931.49	09/25/20	927.82	...	3.67	5 of 8 - Sale <sup>25</sup>
	1.000	931.49	09/25/20	927.48	...	4.01	6 of 8 - Sale <sup>25</sup>
	2.000	1,891.15	09/25/20	1,883.40	...	7.75	7 of 8 - Sale <sup>25</sup>
	3.000	2,818.64	09/25/20	2,809.50	...	9.14	8 of 8 - Sale <sup>25</sup>
09/25/20	12.000	11,288.61	Various	11,259.44	...	29.17	Total of 8 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
<i>14 transactions for 09/25/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	921.54	09/24/20	921.81	0.27 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	921.54	09/24/20	922.15	0.61 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.000	921.53	09/24/20	931.50	9.97 W	0.00	3 of 14 - Sale <sup>25</sup>
	1.000	931.49	09/25/20	937.17	5.68 W	0.00	4 of 14 - Sale <sup>25</sup>
	2.000	1,862.98	09/25/20	1,879.01	16.03 W	0.00	5 of 14 - Sale <sup>25</sup>
	1.000	931.40	09/25/20	936.69	5.29 W	0.00	6 of 14 - Sale <sup>25</sup>
	1.000	931.39	09/25/20	939.02	7.63 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	938.97	09/25/20	939.99	1.02 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	938.98	09/25/20	942.71	3.73 W	0.00	9 of 14 - Sale <sup>25</sup>
	1.000	938.97	09/25/20	942.33	3.36 W	0.00	10 of 14 - Sale <sup>25</sup>
	2.000	1,883.89	09/25/20	1,889.12	5.23 W	0.00	11 of 14 - Sale <sup>25</sup>
	2.000	1,879.07	09/25/20	1,883.50	4.43 W	0.00	12 of 14 - Sale <sup>25</sup>
	2.000	1,877.52	09/25/20	1,885.73	8.21 W	0.00	13 of 14 - Sale <sup>25</sup>
	1.000	938.75	09/25/20	940.65	1.90 W	0.00	14 of 14 - Sale <sup>25</sup>
09/25/20	18.000	16,818.02	Various	16,891.38	73.36 W	0.00	Total of 14 transactions
<i>2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	1,930.45	09/28/20	1,925.34	...	5.11	1 of 2 - Sale <sup>25</sup>
	2.000	1,940.78	09/28/20	1,929.18	...	11.60	2 of 2 - Sale <sup>25</sup>
09/28/20	4.000	3,871.23	Various	3,854.52	...	16.71	Total of 2 transactions
<i>21 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	957.35	09/28/20	976.56	19.21 W	0.00	1 of 21 - Sale <sup>25</sup>
	1.000	957.35	09/28/20	978.31	20.96 W	0.00	2 of 21 - Sale <sup>25</sup>
	1.000	957.35	09/28/20	976.10	18.75 W	0.00	3 of 21 - Sale <sup>25</sup>
	1.000	974.05	09/28/20	984.96	10.91 W	0.00	4 of 21 - Sale <sup>25</sup>
	1.000	974.05	09/28/20	986.71	12.66 W	0.00	5 of 21 - Sale <sup>25</sup>
	1.000	974.04	09/28/20	984.50	10.46 W	0.00	6 of 21 - Sale <sup>25</sup>
	1.000	971.13	09/28/20	986.31	15.18 W	0.00	7 of 21 - Sale <sup>25</sup>
	1.000	971.13	09/28/20	988.06	16.93 W	0.00	8 of 21 - Sale <sup>25</sup>
	1.000	971.12	09/28/20	985.86	14.74 W	0.00	9 of 21 - Sale <sup>25</sup>
	1.000	957.98	09/28/20	975.28	17.30 W	0.00	10 of 21 - Sale <sup>25</sup>
	1.000	957.98	09/28/20	977.03	19.05 W	0.00	11 of 21 - Sale <sup>25</sup>
	1.000	965.30	09/28/20	977.41	12.11 W	0.00	12 of 21 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
	1.000	965.29	09/28/20	979.97	14.68 W	0.00	13 of 21 - Sale <sup>25</sup>
	1.000	965.22	09/28/20	981.72	16.50 W	0.00	14 of 21 - Sale <sup>25</sup>
	1.000	970.49	09/28/20	976.70	6.21 W	0.00	15 of 21 - Sale <sup>25</sup>
	1.000	970.49	09/28/20	979.27	8.78 W	0.00	16 of 21 - Sale <sup>25</sup>
	1.000	970.48	09/28/20	981.09	10.61 W	0.00	17 of 21 - Sale <sup>25</sup>
	1.000	975.14	09/28/20	978.86	3.72 W	0.00	18 of 21 - Sale <sup>25</sup>
	1.000	975.14	09/28/20	981.42	6.28 W	0.00	19 of 21 - Sale <sup>25</sup>
	1.000	971.06	09/28/20	983.36	12.30 W	0.00	20 of 21 - Sale <sup>25</sup>
	1.000	971.05	09/28/20	976.47	5.42 W	0.00	21 of 21 - Sale <sup>25</sup>
09/28/20	21.000	20,323.19	Various	20,595.95	272.76 W	0.00	Total of 21 transactions
	7 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	1.000	1,028.14	09/29/20	1,026.03	...	2.11	1 of 7 - Sale <sup>25</sup>
	1.000	993.98	09/29/20	990.78	...	3.20	2 of 7 - Sale <sup>25</sup>
	1.000	1,014.65	09/29/20	1,011.39	...	3.26	3 of 7 - Sale <sup>25</sup>
	1.000	1,017.98	09/29/20	1,014.43	...	3.55	4 of 7 - Sale <sup>25</sup>
	1.000	1,028.13	09/29/20	1,022.32	...	5.81	5 of 7 - Sale <sup>25</sup>
	1.000	993.97	09/29/20	985.35	...	8.62	6 of 7 - Sale <sup>25</sup>
	1.000	1,014.66	09/29/20	1,005.36	...	9.30	7 of 7 - Sale <sup>25</sup>
09/29/20	7.000	7,091.51	Various	7,055.66	...	35.85	Total of 7 transactions
	7 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	1.000	973.03	09/29/20	985.49	12.46 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	973.02	09/29/20	991.51	18.49 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	973.35	09/29/20	984.63	11.28 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	973.36	09/29/20	979.21	5.85 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	984.63	09/29/20	991.96	7.33 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	984.62	09/29/20	997.99	13.37 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	1,017.97	09/29/20	1,020.52	2.55 W	0.00	7 of 7 - Sale <sup>25</sup>
09/29/20	7.000	6,879.98	Various	6,951.31	71.33 W	0.00	Total of 7 transactions
09/30/20	1.000	1,021.80	09/30/20	1,028.16	6.36 W	0.00	Sale <sup>25</sup>
	3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	1.000	1,047.98	10/01/20	1,046.61	...	1.37	1 of 3 - Sale <sup>25</sup>
	1.000	1,057.62	10/01/20	1,055.18	...	2.44	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
10/01/20	2.000	2,115.24	10/01/20	2,108.74	...	6.50	3 of 3 - Sale <sup>25</sup>
10/01/20	4.000	4,220.84	Various	4,210.53	...	10.31	Total of 3 transactions
	6 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	1.000	1,041.19	10/01/20	1,048.44	7.25 W	0.00	1 of 6 - Sale <sup>25</sup>
	3.000	3,123.55	10/01/20	3,126.24	2.69 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	1,047.97	10/01/20	1,052.96	4.99 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	2,082.93	10/01/20	2,091.28	8.35 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.000	2,109.95	10/01/20	2,124.74	14.79 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	1,050.97	10/01/20	1,060.75	9.78 W	0.00	6 of 6 - Sale <sup>25</sup>
10/01/20	10.000	10,456.56	Various	10,504.41	47.85 W	0.00	Total of 6 transactions
	2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	1,048.34	10/02/20	1,048.11	...	0.23	1 of 2 - Sale <sup>25</sup>
	1.000	1,050.63	10/02/20	1,047.19	...	3.44	2 of 2 - Sale <sup>25</sup>
10/02/20	2.000	2,098.97	Various	2,095.30	...	3.67	Total of 2 transactions
	11 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	1,050.62	10/02/20	1,054.58	3.96 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	1,050.62	10/02/20	1,056.98	6.36 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	1,050.62	10/02/20	1,052.96	2.34 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	1,050.61	10/02/20	1,055.36	4.75 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	1,043.99	10/02/20	1,052.84	8.85 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	1,044.00	10/02/20	1,055.25	11.25 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	1,048.35	10/02/20	1,056.96	8.61 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	1,048.35	10/02/20	1,059.37	11.02 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	1,033.74	10/02/20	1,045.34	11.60 W	0.00	9 of 11 - Sale <sup>25</sup>
	1.000	1,033.74	10/02/20	1,047.75	14.01 W	0.00	10 of 11 - Sale <sup>25</sup>
	1.000	1,033.73	10/02/20	1,036.73	3.00 W	0.00	11 of 11 - Sale <sup>25</sup>
10/02/20	11.000	11,488.37	Various	11,574.12	85.75 W	0.00	Total of 11 transactions
	4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	1,055.16	10/05/20	1,054.26	...	0.90	1 of 4 - Sale <sup>25</sup>
	1.000	1,049.45	10/05/20	1,048.50	...	0.95	2 of 4 - Sale <sup>25</sup>
	1.000	1,052.75	10/05/20	1,049.11	...	3.64	3 of 4 - Sale <sup>25</sup>
	2.000	2,105.49	10/05/20	2,092.00	...	13.49	4 of 4 - Sale <sup>25</sup>
10/05/20	5.000	5,262.85	Various	5,243.87	...	18.98	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
12 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	1,049.46	10/05/20	1,057.35	7.89 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	1,049.46	10/05/20	1,059.14	9.68 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	1,047.94	10/05/20	1,059.41	11.47 W	0.00	3 of 12 - Sale <sup>25</sup>
	1.000	1,047.94	10/05/20	1,061.20	13.26 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	1,047.93	10/05/20	1,051.52	3.59 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	1,046.60	10/05/20	1,057.32	10.72 W	0.00	6 of 12 - Sale <sup>25</sup>
	1.000	1,046.47	10/05/20	1,059.26	12.79 W	0.00	7 of 12 - Sale <sup>25</sup>
	1.000	1,046.48	10/05/20	1,049.59	3.11 W	0.00	8 of 12 - Sale <sup>25</sup>
	1.000	1,052.75	10/05/20	1,056.72	3.97 W	0.00	9 of 12 - Sale <sup>25</sup>
	1.000	1,052.74	10/05/20	1,058.79	6.05 W	0.00	10 of 12 - Sale <sup>25</sup>
	1.000	1,055.17	10/05/20	1,058.24	3.07 W	0.00	11 of 12 - Sale <sup>25</sup>
	1.000	1,055.17	10/05/20	1,060.32	5.15 W	0.00	12 of 12 - Sale <sup>25</sup>
10/05/20	12.000	12,598.11	Various	12,688.86	90.75 W	0.00	Total of 12 transactions
4 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	1,061.93	10/06/20	1,069.07	7.14 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	1,061.93	10/06/20	1,071.15	9.22 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	1,072.03	10/06/20	1,082.74	10.71 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	1,072.03	10/06/20	1,084.82	12.79 W	0.00	4 of 4 - Sale <sup>25</sup>
10/06/20	4.000	4,267.92	Various	4,307.78	39.86 W	0.00	Total of 4 transactions
5 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	1,075.42	10/07/20	1,074.50	...	0.92	1 of 5 - Sale <sup>25</sup>
	1.000	1,072.75	10/07/20	1,070.95	...	1.80	2 of 5 - Sale <sup>25</sup>
	1.000	1,072.76	10/07/20	1,070.95	...	1.81	3 of 5 - Sale <sup>25</sup>
	1.000	1,072.76	10/07/20	1,068.90	...	3.86	4 of 5 - Sale <sup>25</sup>
	3.000	3,198.26	10/07/20	3,192.15	...	6.11	5 of 5 - Sale <sup>25</sup>
10/07/20	7.000	7,491.95	Various	7,477.45	...	14.50	Total of 5 transactions
13 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	1,049.47	10/07/20	1,065.72	16.25 W	0.00	1 of 13 - Sale <sup>25</sup>
	1.000	1,049.46	10/07/20	1,067.80	18.34 W	0.00	2 of 13 - Sale <sup>25</sup>
	3.000	3,148.40	10/07/20	3,165.05	16.65 W	0.00	3 of 13 - Sale <sup>25</sup>
	1.000	1,066.09	10/07/20	1,074.75	8.66 W	0.00	4 of 13 - Sale <sup>25</sup>
	1.000	1,066.09	10/07/20	1,076.84	10.75 W	0.00	5 of 13 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
	1.000	1,072.76	10/07/20	1,077.56	4.80 W	0.00	6 of 13 - Sale <sup>25</sup>
	1.000	1,072.75	10/07/20	1,079.65	6.90 W	0.00	7 of 13 - Sale <sup>25</sup>
	1.000	1,075.46	10/07/20	1,079.30	3.84 W	0.00	8 of 13 - Sale <sup>25</sup>
	1.000	1,075.43	10/07/20	1,081.40	5.97 W	0.00	9 of 13 - Sale <sup>25</sup>
	1.000	1,068.58	10/07/20	1,076.41	7.83 W	0.00	10 of 13 - Sale <sup>25</sup>
	1.000	1,068.57	10/07/20	1,078.54	9.97 W	0.00	11 of 13 - Sale <sup>25</sup>
	1.000	1,068.58	10/07/20	1,072.57	3.99 W	0.00	12 of 13 - Sale <sup>25</sup>
	1.000	1,068.57	10/07/20	1,071.12	2.55 W	0.00	13 of 13 - Sale <sup>25</sup>
10/07/20	15.000	15,950.21	Various	16,066.71	116.50 W	0.00	Total of 13 transactions
	3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	1,072.08	10/08/20	1,083.60	11.52 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	1,072.09	10/08/20	1,085.74	13.65 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	1,072.08	10/08/20	1,079.76	7.68 W	0.00	3 of 3 - Sale <sup>25</sup>
10/08/20	3.000	3,216.25	Various	3,249.10	32.85 W	0.00	Total of 3 transactions
10/23/20	1.000	1,024.17	10/23/20	1,014.55	...	9.62	Sale <sup>25</sup>
10/23/20	1.000	1,024.17	10/23/20	1,025.42	1.25 W	0.00	Sale <sup>25</sup>
	7 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	1.000	997.23	10/29/20	1,030.65	33.42 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	997.23	10/29/20	1,024.68	27.45 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	997.22	10/29/20	1,018.25	21.03 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	997.23	10/29/20	1,002.80	5.57 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	996.28	10/29/20	1,032.67	36.39 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	996.28	10/29/20	1,026.70	30.42 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	996.28	10/29/20	1,020.28	24.00 W	0.00	7 of 7 - Sale <sup>25</sup>
10/29/20	7.000	6,977.75	Various	7,156.03	178.28 W	0.00	Total of 7 transactions
	2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	1.000	926.97	10/29/20	1,006.87	79.90 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	930.81	10/30/20	966.99	36.18 W	0.00	2 of 2 - Sale <sup>25</sup>
10/30/20	2.000	1,857.78	Various	1,973.86	116.08 W	0.00	Total of 2 transactions
	3 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	1.000	932.53	11/03/20	1,009.40	76.87 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	932.53	11/03/20	959.92	27.39 W	0.00	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
11/03/20	1.000	932.53	11/03/20	953.50	20.97 W	0.00	3 of 3 - Sale <sup>25</sup>
	3.000	2,797.59	Various	2,922.82	125.23 W	0.00	Total of 3 transactions
	3 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	1.000	989.46	11/04/20	1,071.84	82.38 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	989.46	11/04/20	1,031.15	41.69 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	989.45	11/04/20	1,022.36	32.91 W	0.00	3 of 3 - Sale <sup>25</sup>
11/04/20	3.000	2,968.37	Various	3,125.35	156.98 W	0.00	Total of 3 transactions
	6 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	1.000	1,010.98	11/05/20	1,108.38	97.40 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	1,010.97	11/05/20	1,046.97	36.00 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	1,010.98	11/05/20	1,067.69	56.71 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	1,031.04	11/05/20	1,126.74	95.70 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	1,031.04	11/05/20	1,062.25	31.21 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	1,031.04	11/05/20	1,065.34	34.30 W	0.00	6 of 6 - Sale <sup>25</sup>
11/05/20	6.000	6,126.05	Various	6,477.37	351.32 W	0.00	Total of 6 transactions
	4 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	1.000	1,020.36	11/06/20	1,115.40	...	-95.04	1 of 4 - Sale <sup>25</sup>
	1.000	1,041.12	11/06/20	1,098.00	...	-56.88	2 of 4 - Sale <sup>25</sup>
	1.000	1,041.13	11/06/20	1,076.25	...	-35.12	3 of 4 - Sale <sup>25</sup>
	1.000	1,041.13	11/06/20	1,073.16	...	-32.03	4 of 4 - Sale <sup>25</sup>
11/06/20	4.000	4,143.74	Various	4,362.81	...	-219.07	Total of 4 transactions
11/06/20	1.000	1,020.36	11/06/20	1,076.41	56.05 W	0.00	Sale <sup>25</sup>
	2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	2.000	2,165.95	12/07/20	2,157.10	...	8.85	1 of 2 - Sale <sup>25</sup>
	3.000	3,249.04	12/07/20	3,238.95	...	10.09	2 of 2 - Sale <sup>25</sup>
12/07/20	5.000	5,414.99	Various	5,396.05	...	18.94	Total of 2 transactions
	<b>Security total:</b>	<b>201,695.59</b>		<b>203,493.76</b>	<b>1,967.15 W</b>	<b>168.98</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SIX FLAGS ENTERTAINMENT CORP. / CUSIP: 83001A102 / Symbol:							
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	50.000	1,135.80	06/16/20	1,212.50	...	-76.70	1 of 3 - Sale <sup>25</sup>
	9.000	204.44	07/06/20	178.56	...	25.88	2 of 3 - Sale <sup>25</sup>
	41.000	931.35	07/06/20	813.44	...	117.91	3 of 3 - Sale <sup>25</sup>
08/27/20	100.000	2,271.59	Various	2,204.50	...	67.09	Total of 3 transactions
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
8 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	73.000	2,351.99	06/16/20	2,352.79	...	-0.80	1 of 8 - Sale <sup>25</sup>
	70.000	2,255.34	06/16/20	2,256.10	...	-0.76	2 of 8 - Sale <sup>25</sup>
	57.000	1,836.48	06/16/20	1,837.11	...	-0.63	3 of 8 - Sale <sup>25</sup>
	36.000	1,166.37	06/16/20	1,164.24	...	2.13	4 of 8 - Sale <sup>25</sup>
	64.000	2,073.55	06/16/20	2,069.76	...	3.79	5 of 8 - Sale <sup>25</sup>
	100.000	3,239.92	06/16/20	3,236.00	...	3.92	6 of 8 - Sale <sup>25</sup>
	100.000	3,239.91	06/16/20	3,235.00	...	4.91	7 of 8 - Sale <sup>25</sup>
	100.000	3,239.91	06/16/20	3,234.00	...	5.91	8 of 8 - Sale <sup>25</sup>
06/16/20	600.000	19,403.47	Various	19,385.00	...	18.47	Total of 8 transactions
08/25/20	50.000	1,435.09	08/24/20	1,429.40	...	5.69	Sale <sup>25</sup>
09/22/20	6.000	156.84	09/22/20	157.61	0.77 W	0.00	Sale <sup>25</sup>
3 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	9.000	290.87	10/15/20	289.76	...	1.11	1 of 3 - Sale <sup>25</sup>
	6.000	193.91	10/15/20	192.11	...	1.80	2 of 3 - Sale <sup>25</sup>
	6.000	193.92	10/15/20	191.34	...	2.58	3 of 3 - Sale <sup>25</sup>
10/15/20	21.000	678.70	Various	673.21	...	5.49	Total of 3 transactions
10/16/20	20.000	651.38	10/16/20	661.80	...	-10.42	Sale <sup>25</sup>
12/19/20	200.000	6,219.82 N	12/18/20	6,126.00	...	93.82	Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$619.97
<b>Security total:</b>		<b>28,545.30</b>		<b>28,433.02</b>	<b>0.77 W</b>	<b>113.05</b>	
SMITH & WESSON BRANDS, INC. CO MMON STOCK / CUSIP: 831754106 / Symbol:							
5 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	30.000	500.42	11/05/20	500.25	...	0.17	1 of 5 - Sale <sup>25</sup>
	50.000	821.48	11/05/20	821.07	...	0.41	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SMITH & WESSON BRANDS, INC. CO MMON STOCK / CUSIP: 831754106 / Symbol: (cont'd)							
	50.000	826.54	11/05/20	825.44	...	1.10	3 of 5 - Sale <sup>25</sup>
	30.000	502.64	11/05/20	501.25	...	1.39	4 of 5 - Sale <sup>25</sup>
	50.000	837.72	11/05/20	828.86	...	8.86	5 of 5 - Sale <sup>25</sup>
11/05/20	210.000	3,488.80	Various	3,476.87	...	11.93	Total of 5 transactions
	18 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	50.000	802.57	11/05/20	814.38	11.81 W	0.00	1 of 18 - Sale <sup>25</sup>
	20.000	321.03	11/05/20	325.04	4.01 W	0.00	2 of 18 - Sale <sup>25</sup>
	30.000	481.54	11/05/20	485.04	3.50 W	0.00	3 of 18 - Sale <sup>25</sup>
	50.000	810.98	11/05/20	817.21	6.23 W	0.00	4 of 18 - Sale <sup>25</sup>
	1.000	16.18	11/05/20	16.44	0.26 W	0.00	5 of 18 - Sale <sup>25</sup>
	19.000	307.41	11/05/20	312.30	4.89 W	0.00	6 of 18 - Sale <sup>25</sup>
	30.000	485.39	11/05/20	490.59	5.20 W	0.00	7 of 18 - Sale <sup>25</sup>
	50.000	823.98	11/05/20	824.61	0.63 W	0.00	8 of 18 - Sale <sup>25</sup>
	1.000	16.43	11/05/20	16.67	0.24 W	0.00	9 of 18 - Sale <sup>25</sup>
	19.000	312.16	11/05/20	316.66	4.50 W	0.00	10 of 18 - Sale <sup>25</sup>
	30.000	492.88	11/05/20	497.47	4.59 W	0.00	11 of 18 - Sale <sup>25</sup>
	1.000	16.53	11/05/20	16.75	0.22 W	0.00	12 of 18 - Sale <sup>25</sup>
	19.000	314.09	11/05/20	318.17	4.08 W	0.00	13 of 18 - Sale <sup>25</sup>
	30.000	495.93	11/05/20	499.86	3.93 W	0.00	14 of 18 - Sale <sup>25</sup>
	1.000	16.75	11/05/20	16.80	0.05 W	0.00	15 of 18 - Sale <sup>25</sup>
	19.000	318.34	11/05/20	319.05	0.71 W	0.00	16 of 18 - Sale <sup>25</sup>
	1.000	16.68	11/05/20	16.73	0.05 W	0.00	17 of 18 - Sale <sup>25</sup>
	19.000	316.93	11/05/20	317.53	0.60 W	0.00	18 of 18 - Sale <sup>25</sup>
11/05/20	390.000	6,365.80	Various	6,421.30	55.50 W	0.00	Total of 18 transactions
	3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	1.000	17.55	11/06/20	17.37	...	0.18	1 of 3 - Sale <sup>25</sup>
	19.000	333.44	11/06/20	329.64	...	3.80	2 of 3 - Sale <sup>25</sup>
	80.000	1,403.96	11/06/20	1,385.44	...	18.52	3 of 3 - Sale <sup>25</sup>
11/06/20	100.000	1,754.95	Various	1,732.45	...	22.50	Total of 3 transactions
	3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	100.000	1,743.95	11/06/20	1,761.48	17.53 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	877.73	11/06/20	881.09	3.36 W	0.00	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SMITH & WESSON BRANDS, INC. CO MMON STOCK / CUSIP: 831754106 / Symbol: (cont'd)							
11/06/20	20.000	351.80	11/06/20	356.50	4.70 W	0.00	3 of 3 - Sale <sup>25</sup>
	170.000	2,973.48	Various	2,999.07	25.59 W	0.00	Total of 3 transactions
	2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	20.000	319.59	11/13/20	323.20	3.61 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	479.39	11/13/20	488.06	8.67 W	0.00	2 of 2 - Sale <sup>25</sup>
11/13/20	50.000	798.98	Various	811.26	12.28 W	0.00	Total of 2 transactions
	4 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	20.000	320.79	11/16/20	317.44	...	3.35	1 of 4 - Sale <sup>25</sup>
	30.000	481.18	11/16/20	477.77	...	3.41	2 of 4 - Sale <sup>25</sup>
	20.000	320.79	11/16/20	316.35	...	4.44	3 of 4 - Sale <sup>25</sup>
	30.000	481.19	11/16/20	471.13	...	10.06	4 of 4 - Sale <sup>25</sup>
11/16/20	100.000	1,603.95	Various	1,582.69	...	21.26	Total of 4 transactions
11/20/20	100.000	1,599.95 N	11/16/20	1,608.78	...	-8.83	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$150.00
	<b>Security total:</b>	<b>18,585.91</b>		<b>18,632.42</b>	<b>93.37 W</b>	<b>46.86</b>	
THE J.M. SMUCKER COMPANY / CUSIP: 832696405 / Symbol:							
08/25/20	10.000	1,223.57	08/25/20	1,221.00	...	2.57	Sale <sup>25</sup>
SNAP INC. / CUSIP: 83304A106 / Symbol:							
10/09/20	10.000	272.20	10/09/20	272.85	0.65 W	0.00	Sale <sup>25</sup>
	5 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	5.000	139.00	10/16/20	139.81	0.81 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	27.80	10/16/20	27.96	0.16 W	0.00	2 of 5 - Sale <sup>25</sup>
	4.000	111.20	10/16/20	111.84	0.64 W	0.00	3 of 5 - Sale <sup>25</sup>
	16.000	444.78	10/16/20	446.34	1.56 W	0.00	4 of 5 - Sale <sup>25</sup>
	4.000	111.20	10/16/20	111.59	0.39 W	0.00	5 of 5 - Sale <sup>25</sup>
10/16/20	30.000	833.98	Various	837.54	3.56 W	0.00	Total of 5 transactions
	4 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	1.000	28.59	10/19/20	28.92	0.33 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	28.59	10/19/20	29.08	0.49 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	57.18	10/19/20	58.16	0.98 W	0.00	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNAP INC. / CUSIP: 83304A106 / Symbol: (cont'd)							
10/19/20	4.000	114.36	10/19/20	116.32	1.96 W	0.00	4 of 4 - Sale <sup>25</sup>
	8.000	228.72	Various	232.48	3.76 W	0.00	Total of 4 transactions
	4 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	2.000	84.56	10/23/20	81.15	...	3.41	1 of 4 - Sale <sup>25</sup>
	2.000	84.56	10/23/20	80.32	...	4.24	2 of 4 - Sale <sup>25</sup>
	8.000	338.23	10/23/20	324.58	...	13.65	3 of 4 - Sale <sup>25</sup>
	8.000	338.23	10/23/20	320.76	...	17.47	4 of 4 - Sale <sup>25</sup>
10/23/20	20.000	845.58	Various	806.81	...	38.77	Total of 4 transactions
	6 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	1.000	42.30	10/23/20	42.72	0.42 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	42.30	10/23/20	42.87	0.57 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.000	84.60	10/23/20	84.96	0.36 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	84.60	10/23/20	85.75	1.15 W	0.00	4 of 6 - Sale <sup>25</sup>
	4.000	169.20	10/23/20	171.50	2.30 W	0.00	5 of 6 - Sale <sup>25</sup>
	10.000	422.98	10/23/20	423.85	0.87 W	0.00	6 of 6 - Sale <sup>25</sup>
10/23/20	20.000	845.98	Various	851.65	5.67 W	0.00	Total of 6 transactions
	7 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	1.000	40.70	11/02/20	40.57	...	0.13	1 of 7 - Sale <sup>25</sup>
	2.000	81.40	11/02/20	81.15	...	0.25	2 of 7 - Sale <sup>25</sup>
	1.000	40.70	11/02/20	40.42	...	0.28	3 of 7 - Sale <sup>25</sup>
	4.000	162.80	11/02/20	162.30	...	0.50	4 of 7 - Sale <sup>25</sup>
	2.000	81.40	11/02/20	80.36	...	1.04	5 of 7 - Sale <sup>25</sup>
	10.000	406.99	11/02/20	400.87	...	6.12	6 of 7 - Sale <sup>25</sup>
	30.000	1,220.96	11/02/20	1,200.00	...	20.96	7 of 7 - Sale <sup>25</sup>
11/02/20	50.000	2,034.95	Various	2,005.67	...	29.28	Total of 7 transactions
	4 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	20.000	904.68	11/06/20	894.54	...	10.14	1 of 4 - Sale <sup>25</sup>
	10.000	441.00	11/06/20	428.80	...	12.20	2 of 4 - Sale <sup>25</sup>
	30.000	1,357.02	11/06/20	1,332.84	...	24.18	3 of 4 - Sale <sup>25</sup>
	50.000	2,261.69	11/06/20	2,224.40	...	37.29	4 of 4 - Sale <sup>25</sup>
11/06/20	110.000	4,964.39	Various	4,880.58	...	83.81	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNAP INC. / CUSIP: 83304A106 / Symbol: (cont'd)							
<i>11 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	881.98	11/06/20	887.96	5.98 W	0.00	1 of 11 - Sale <sup>25</sup>
	50.000	2,241.95	11/06/20	2,249.70	7.75 W	0.00	2 of 11 - Sale <sup>25</sup>
	22.000	985.57	11/06/20	992.53	6.96 W	0.00	3 of 11 - Sale <sup>25</sup>
	10.000	448.00	11/06/20	451.15	3.15 W	0.00	4 of 11 - Sale <sup>25</sup>
	18.000	806.38	11/06/20	812.07	5.69 W	0.00	5 of 11 - Sale <sup>25</sup>
	10.000	446.24	11/06/20	448.43	2.19 W	0.00	6 of 11 - Sale <sup>25</sup>
	18.000	803.23	11/06/20	807.19	3.96 W	0.00	7 of 11 - Sale <sup>25</sup>
	22.000	981.73	11/06/20	986.57	4.84 W	0.00	8 of 11 - Sale <sup>25</sup>
	10.000	449.30	11/06/20	450.89	1.59 W	0.00	9 of 11 - Sale <sup>25</sup>
	18.000	808.74	11/06/20	811.62	2.88 W	0.00	10 of 11 - Sale <sup>25</sup>
	22.000	988.46	11/06/20	991.98	3.52 W	0.00	11 of 11 - Sale <sup>25</sup>
11/06/20	220.000	9,841.58	Various	9,890.09	48.51 W	0.00	Total of 11 transactions
<i>2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	309.19	11/11/20	312.23	3.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	22.000	850.28	11/11/20	858.66	8.38 W	0.00	2 of 2 - Sale <sup>25</sup>
11/11/20	30.000	1,159.47	Various	1,170.89	11.42 W	0.00	Total of 2 transactions
<i>7 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	80.10	11/18/20	80.88	0.78 W	0.00	1 of 7 - Sale <sup>25</sup>
	6.000	240.29	11/18/20	242.64	2.35 W	0.00	2 of 7 - Sale <sup>25</sup>
	8.000	320.39	11/18/20	324.37	3.98 W	0.00	3 of 7 - Sale <sup>25</sup>
	12.000	480.59	11/18/20	483.90	3.31 W	0.00	4 of 7 - Sale <sup>25</sup>
	8.000	320.39	11/18/20	324.24	3.85 W	0.00	5 of 7 - Sale <sup>25</sup>
	14.000	560.68	11/18/20	567.43	6.75 W	0.00	6 of 7 - Sale <sup>25</sup>
	8.000	320.39	11/18/20	321.20	0.81 W	0.00	7 of 7 - Sale <sup>25</sup>
11/18/20	58.000	2,322.83	Various	2,344.66	21.83 W	0.00	Total of 7 transactions
<i>10 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	103.00	11/25/20	90.53	...	12.47	1 of 10 - Sale <sup>25</sup>
	2.000	103.00	11/25/20	89.98	...	13.02	2 of 10 - Sale <sup>25</sup>
	6.000	309.01	11/25/20	271.60	...	37.41	3 of 10 - Sale <sup>25</sup>
	8.000	412.02	11/25/20	362.98	...	49.04	4 of 10 - Sale <sup>25</sup>
	8.000	412.02	11/25/20	362.85	...	49.17	5 of 10 - Sale <sup>25</sup>
	8.000	412.02	11/25/20	360.73	...	51.29	6 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNAP INC. / CUSIP: 83304A106 / Symbol: (cont'd)							
	12.000	618.03	11/25/20	541.82	...	76.21	7 of 10 - Sale <sup>25</sup>
	12.000	618.03	11/25/20	539.88	...	78.15	8 of 10 - Sale <sup>25</sup>
	14.000	721.04	11/25/20	635.01	...	86.03	9 of 10 - Sale <sup>25</sup>
	28.000	1,442.07	11/25/20	1,259.72	...	182.35	10 of 10 - Sale <sup>25</sup>
12/10/20	100.000	5,150.24	Various	4,515.10	...	635.14	Total of 10 transactions
	<b>Security total:</b>	<b>28,499.92</b>		<b>27,808.32</b>	<b>95.40 W</b>	<b>787.00</b>	
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
10/22/20	2.000	597.28	10/22/20	587.24	...	10.04	Sale <sup>25</sup>
	7 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	1.000	276.35	10/23/20	281.74	5.39 W	0.00	1 of 7 - Sale <sup>25</sup>
	2.000	552.68	10/23/20	563.47	10.79 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	276.34	10/23/20	287.24	10.90 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	276.35	10/23/20	281.85	5.50 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	276.99	10/23/20	292.18	15.19 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	276.99	10/23/20	286.78	9.79 W	0.00	6 of 7 - Sale <sup>25</sup>
	2.000	553.99	10/23/20	573.35	19.36 W	0.00	7 of 7 - Sale <sup>25</sup>
10/23/20	9.000	2,489.69	Various	2,566.61	76.92 W	0.00	Total of 7 transactions
11/04/20	1.000	264.32	11/04/20	262.90	...	1.42	Sale <sup>25</sup>
	3 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	1.000	264.31	11/04/20	278.09	13.78 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	264.31	11/04/20	272.69	8.38 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	528.63	11/04/20	545.16	16.53 W	0.00	3 of 3 - Sale <sup>25</sup>
11/04/20	4.000	1,057.25	Various	1,095.94	38.69 W	0.00	Total of 3 transactions
	4 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	1.000	265.49	11/06/20	279.98	14.49 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	265.50	11/06/20	274.58	9.08 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	530.99	11/06/20	548.93	17.94 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	265.49	11/06/20	266.20	0.71 W	0.00	4 of 4 - Sale <sup>25</sup>
11/06/20	5.000	1,327.47	Various	1,369.69	42.22 W	0.00	Total of 4 transactions
	4 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	2.000	482.65	11/16/20	496.56	...	-13.91	1 of 4 - Sale <sup>25</sup>
	1.000	241.32	11/16/20	253.80	...	-12.48	2 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol: (cont'd)							
	1.000	241.32	11/16/20	248.39	...	-7.07	3 of 4 - Sale <sup>25</sup>
	1.000	241.33	11/16/20	240.02	...	1.31	4 of 4 - Sale <sup>25</sup>
11/16/20	5.000	1,206.62	Various	1,238.77	...	-32.15	Total of 4 transactions
	<b>Security total:</b>	<b>6,942.63</b>		<b>7,121.15</b>	<b>157.83 W</b>	<b>-20.69</b>	
SOHU.COM LIMITED AMERICAN DEPO SITARY SHARES / CUSIP: 83410S108 / Symbol:							
07/28/20	20.000	348.20	07/28/20	377.60	...	-29.40	Sale <sup>25</sup>
SONOS, INC. COMMON STOCK / CUSIP: 83570H108 / Symbol:							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	13.90	06/25/20	13.95	0.05 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	125.10	06/25/20	125.55	0.45 W	0.00	2 of 2 - Sale <sup>25</sup>
06/25/20	10.000	139.00	Various	139.50	0.50 W	0.00	Total of 2 transactions
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	9.000	145.46	07/16/20	146.25	...	-0.79	1 of 3 - Sale <sup>25</sup>
	10.000	161.62	07/16/20	162.00	...	-0.38	2 of 3 - Sale <sup>25</sup>
	1.000	16.16	07/16/20	16.25	...	-0.09	3 of 3 - Sale <sup>25</sup>
07/16/20	20.000	323.24	Various	324.50	...	-1.26	Total of 3 transactions
	<b>Security total:</b>	<b>462.24</b>		<b>464.00</b>	<b>0.50 W</b>	<b>-1.26</b>	
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
06/12/20	1.000	4.47	06/08/20	4.23	...	0.24	Sale <sup>25</sup>
11/13/20	100.000	539.97 N	11/10/20	540.89	0.92 W	0.00	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$160.00
11/19/20	100.000	735.97	11/19/20	717.56	...	18.41	Sale <sup>25</sup>
12/01/20	100.000	816.08	11/30/20	806.00	...	10.08	Sale <sup>25</sup>
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	6.000	47.10	11/30/20	48.60	1.50 W	0.00	1 of 2 - Sale <sup>25</sup>
	94.000	737.87	11/30/20	761.87	24.00 W	0.00	2 of 2 - Sale <sup>25</sup>
12/01/20	100.000	784.97	Various	810.47	25.50 W	0.00	Total of 2 transactions
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	95.000	733.37	12/07/20	738.99	...	-5.62	1 of 3 - Sale <sup>25</sup>
	3.000	23.16	12/07/20	23.34	...	-0.18	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	15.44	12/07/20	15.56	...	-0.12	3 of 3 - Sale <sup>25</sup>
12/07/20	100.000	771.97	Various	777.89	...	-5.92	Total of 3 transactions
	4 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	2.000	15.44	12/07/20	16.06	0.62 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	7.72	12/07/20	8.03	0.31 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	23.16	12/07/20	24.08	0.92 W	0.00	3 of 4 - Sale <sup>25</sup>
	94.000	725.65	12/07/20	755.22	29.57 W	0.00	4 of 4 - Sale <sup>25</sup>
12/07/20	100.000	771.97	Various	803.39	31.42 W	0.00	Total of 4 transactions
	4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	94.000	756.67	12/09/20	796.48	...	-39.81	1 of 4 - Sale <sup>25</sup>
	3.000	24.15	12/09/20	25.40	...	-1.25	2 of 4 - Sale <sup>25</sup>
	2.000	16.10	12/09/20	16.94	...	-0.84	3 of 4 - Sale <sup>25</sup>
	1.000	8.05	12/09/20	8.47	...	-0.42	4 of 4 - Sale <sup>25</sup>
12/09/20	100.000	804.97	Various	847.29	...	-42.32	Total of 4 transactions
	<b>Security total:</b>	<b>5,230.37</b>		<b>5,307.72</b>	<b>57.84 W</b>	<b>-19.51</b>	
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
07/28/20	10.000	322.60	07/28/20	319.97	...	2.63	Sale <sup>25</sup>
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
	3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	35.000	633.49	08/24/20	633.50	...	-0.01	1 of 3 - Sale <sup>25</sup>
	5.000	90.90	08/24/20	90.75	...	0.15	2 of 3 - Sale <sup>25</sup>
	15.000	272.70	08/24/20	272.26	...	0.44	3 of 3 - Sale <sup>25</sup>
08/24/20	55.000	997.09	Various	996.51	...	0.58	Total of 3 transactions
08/24/20	15.000	271.49	08/24/20	271.50	0.01 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,268.58</b>		<b>1,268.01</b>	<b>0.01 W</b>	<b>0.58</b>	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
07/13/20	5.000	637.58	07/10/20	640.15	2.57 W	0.00	Sale <sup>25</sup>
07/29/20	15.000	1,903.60	07/29/20	1,896.60	...	7.00	Sale <sup>25</sup>
	5 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	5.000	634.53	07/10/20	640.14	5.61 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	634.54	07/29/20	634.77	0.23 W	0.00	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
	5.000	630.39	07/29/20	636.41	6.02 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	630.39	07/29/20	631.03	0.64 W	0.00	4 of 5 - Sale <sup>25</sup>
	15.000	1,891.15	07/29/20	1,892.40	1.25 W	0.00	5 of 5 - Sale <sup>25</sup>
07/29/20	35.000	4,421.00	Various	4,434.75	13.75 W	0.00	Total of 5 transactions
08/11/20	5.000	713.88	08/11/20	710.24	...	3.64	Sale <sup>25</sup>
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	4.000	571.10	08/11/20	572.50	1.40 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	142.78	08/11/20	143.12	0.34 W	0.00	2 of 2 - Sale <sup>25</sup>
08/11/20	5.000	713.88	Various	715.62	1.74 W	0.00	Total of 2 transactions
3 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	153.13	08/17/20	152.42	...	0.71	1 of 3 - Sale <sup>25</sup>
	4.000	612.51	08/17/20	609.72	...	2.79	2 of 3 - Sale <sup>25</sup>
	15.000	2,296.89	08/17/20	2,282.45	...	14.44	3 of 3 - Sale <sup>25</sup>
08/17/20	20.000	3,062.53	Various	3,044.59	...	17.94	Total of 3 transactions
08/20/20	20.000	3,106.13	08/20/20	3,078.00	...	28.13	Sale <sup>25</sup>
08/20/20	20.000	3,109.63	08/20/20	3,121.60	11.97 W	0.00	Sale <sup>25</sup>
08/25/20	10.000	1,539.96	08/25/20	1,538.99	...	0.97	Sale <sup>25</sup>
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	5.000	769.58	08/25/20	773.97	4.39 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	769.48	08/25/20	773.97	4.49 W	0.00	2 of 2 - Sale <sup>25</sup>
08/25/20	10.000	1,539.06	Various	1,547.94	8.88 W	0.00	Total of 2 transactions
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	10.000	1,602.96	08/31/20	1,600.90	...	2.06	1 of 2 - Sale <sup>25</sup>
	40.000	6,326.66	08/31/20	6,324.00	...	2.66	2 of 2 - Sale <sup>25</sup>
08/31/20	50.000	7,929.62	Various	7,924.90	...	4.72	Total of 2 transactions
4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	5.000	790.83	08/31/20	794.89	4.06 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	790.83	08/31/20	794.99	4.16 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	801.48	08/31/20	804.51	3.03 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	801.48	08/31/20	804.61	3.13 W	0.00	4 of 4 - Sale <sup>25</sup>
08/31/20	20.000	3,184.62	Various	3,199.00	14.38 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	5.000	832.08	09/01/20	836.99	4.91 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	832.08	09/01/20	837.10	5.02 W	0.00	2 of 2 - Sale <sup>25</sup>
09/01/20	10.000	1,664.16	Various	1,674.09	9.93 W	0.00	Total of 2 transactions
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	2.000	325.89	09/02/20	329.51	3.62 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	814.73	09/02/20	823.66	8.93 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	488.84	09/02/20	494.20	5.36 W	0.00	3 of 3 - Sale <sup>25</sup>
09/02/20	10.000	1,629.46	Various	1,647.37	17.91 W	0.00	Total of 3 transactions
09/14/20	5.000	717.73	09/14/20	733.02	15.29 W	0.00	Sale <sup>25</sup>
7 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	2.000	305.41	09/15/20	306.62	1.21 W	0.00	1 of 7 - Sale <sup>25</sup>
	3.000	458.12	09/15/20	459.86	1.74 W	0.00	2 of 7 - Sale <sup>25</sup>
	5.000	763.53	09/15/20	772.94	9.41 W	0.00	3 of 7 - Sale <sup>25</sup>
	10.000	1,527.07	09/15/20	1,538.50	11.43 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	304.33	09/15/20	308.15	3.82 W	0.00	5 of 7 - Sale <sup>25</sup>
	3.000	456.50	09/15/20	462.15	5.65 W	0.00	6 of 7 - Sale <sup>25</sup>
	5.000	760.83	09/15/20	776.76	15.93 W	0.00	7 of 7 - Sale <sup>25</sup>
09/15/20	30.000	4,575.79	Various	4,624.98	49.19 W	0.00	Total of 7 transactions
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	4.000	733.98	10/08/20	741.57	7.59 W	0.00	1 of 2 - Sale <sup>25</sup>
	6.000	1,100.79	10/08/20	1,112.36	11.57 W	0.00	2 of 2 - Sale <sup>25</sup>
10/08/20	10.000	1,834.77	Various	1,853.93	19.16 W	0.00	Total of 2 transactions
3 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	2.000	372.65	10/09/20	377.02	4.37 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	558.97	10/09/20	565.45	6.48 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	931.63	10/09/20	948.93	17.30 W	0.00	3 of 3 - Sale <sup>25</sup>
10/09/20	10.000	1,863.25	Various	1,891.40	28.15 W	0.00	Total of 3 transactions
10 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	175.53	10/22/20	178.01	2.48 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	175.50	10/22/20	178.01	2.51 W	0.00	2 of 10 - Sale <sup>25</sup>
	4.000	701.98	10/22/20	711.91	9.93 W	0.00	3 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
	4.000	701.98	10/22/20	712.03	10.05 W	0.00	4 of 10 - Sale <sup>25</sup>
	2.000	350.31	10/22/20	355.87	5.56 W	0.00	5 of 10 - Sale <sup>25</sup>
	3.000	525.47	10/22/20	533.73	8.26 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	175.81	10/22/20	178.87	3.06 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	175.82	10/22/20	178.90	3.08 W	0.00	8 of 10 - Sale <sup>25</sup>
	2.000	351.63	10/22/20	357.81	6.18 W	0.00	9 of 10 - Sale <sup>25</sup>
	5.000	879.08	10/22/20	899.25	20.17 W	0.00	10 of 10 - Sale <sup>25</sup>
10/22/20	24.000	4,213.11	Various	4,284.39	71.28 W	0.00	Total of 10 transactions
	2 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	2.000	335.08	11/04/20	334.93	...	0.15	1 of 2 - Sale <sup>25</sup>
	4.000	670.16	11/04/20	669.75	...	0.41	2 of 2 - Sale <sup>25</sup>
11/04/20	6.000	1,005.24	Various	1,004.68	...	0.56	Total of 2 transactions
	11 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	2.000	335.08	11/04/20	335.47	0.39 W	0.00	1 of 11 - Sale <sup>25</sup>
	2.000	335.08	11/04/20	335.41	0.33 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	167.54	11/04/20	169.65	2.11 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	167.54	11/04/20	169.96	2.42 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	167.54	11/04/20	169.98	2.44 W	0.00	5 of 11 - Sale <sup>25</sup>
	2.000	335.09	11/04/20	339.98	4.89 W	0.00	6 of 11 - Sale <sup>25</sup>
	5.000	837.71	11/04/20	854.67	16.96 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	168.36	11/04/20	170.53	2.17 W	0.00	8 of 11 - Sale <sup>25</sup>
	2.000	336.71	11/04/20	337.22	0.51 W	0.00	9 of 11 - Sale <sup>25</sup>
	2.000	336.71	11/04/20	337.16	0.45 W	0.00	10 of 11 - Sale <sup>25</sup>
	5.000	841.78	11/04/20	859.04	17.26 W	0.00	11 of 11 - Sale <sup>25</sup>
11/04/20	24.000	4,029.14	Various	4,079.07	49.93 W	0.00	Total of 11 transactions
	3 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	2.000	351.99	11/05/20	351.69	...	0.30	1 of 3 - Sale <sup>25</sup>
	2.000	352.39	11/05/20	351.44	...	0.95	2 of 3 - Sale <sup>25</sup>
	6.000	1,055.98	11/05/20	1,052.34	...	3.64	3 of 3 - Sale <sup>25</sup>
11/05/20	10.000	1,760.36	Various	1,755.47	...	4.89	Total of 3 transactions
	18 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	1.000	175.10	11/05/20	177.62	2.52 W	0.00	1 of 18 - Sale <sup>25</sup>
	1.000	175.10	11/05/20	177.64	2.54 W	0.00	2 of 18 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
	1.000	175.10	11/05/20	177.37	2.27 W	0.00	3 of 18 - Sale <sup>25</sup>
	1.000	175.09	11/05/20	178.65	3.56 W	0.00	4 of 18 - Sale <sup>25</sup>
	2.000	350.19	11/05/20	355.29	5.10 W	0.00	5 of 18 - Sale <sup>25</sup>
	2.000	350.19	11/05/20	350.91	0.72 W	0.00	6 of 18 - Sale <sup>25</sup>
	2.000	350.19	11/05/20	350.85	0.66 W	0.00	7 of 18 - Sale <sup>25</sup>
	1.000	175.18	11/05/20	177.80	2.62 W	0.00	8 of 18 - Sale <sup>25</sup>
	1.000	175.18	11/05/20	177.82	2.64 W	0.00	9 of 18 - Sale <sup>25</sup>
	2.000	350.37	11/05/20	355.67	5.30 W	0.00	10 of 18 - Sale <sup>25</sup>
	2.000	350.37	11/05/20	351.28	0.91 W	0.00	11 of 18 - Sale <sup>25</sup>
	4.000	700.74	11/05/20	714.93	14.19 W	0.00	12 of 18 - Sale <sup>25</sup>
	1.000	176.20	11/05/20	177.66	1.46 W	0.00	13 of 18 - Sale <sup>25</sup>
	1.000	176.20	11/05/20	178.95	2.75 W	0.00	14 of 18 - Sale <sup>25</sup>
	1.000	176.20	11/05/20	178.01	1.81 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	176.19	11/05/20	178.03	1.84 W	0.00	16 of 18 - Sale <sup>25</sup>
	4.000	704.78	11/05/20	715.75	10.97 W	0.00	17 of 18 - Sale <sup>25</sup>
	2.000	351.99	11/05/20	356.08	4.09 W	0.00	18 of 18 - Sale <sup>25</sup>
11/05/20	30.000	5,264.36	Various	5,330.31	65.95 W	0.00	Total of 18 transactions
	4 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	2.000	386.39	11/09/20	386.09	...	0.30	1 of 4 - Sale <sup>25</sup>
	1.000	193.19	11/09/20	192.84	...	0.35	2 of 4 - Sale <sup>25</sup>
	1.000	193.20	11/09/20	192.81	...	0.39	3 of 4 - Sale <sup>25</sup>
	1.000	193.20	11/09/20	192.46	...	0.74	4 of 4 - Sale <sup>25</sup>
11/09/20	5.000	965.98	Various	964.20	...	1.78	Total of 4 transactions
	8 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	1.000	193.19	11/09/20	193.75	0.56 W	0.00	1 of 8 - Sale <sup>25</sup>
	4.000	772.78	11/09/20	774.97	2.19 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	189.64	11/09/20	194.56	4.92 W	0.00	3 of 8 - Sale <sup>25</sup>
	4.000	758.54	11/09/20	778.20	19.66 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	948.17	11/09/20	970.01	21.84 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	188.14	11/09/20	192.79	4.65 W	0.00	6 of 8 - Sale <sup>25</sup>
	4.000	752.54	11/09/20	771.13	18.59 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	940.67	11/09/20	961.18	20.51 W	0.00	8 of 8 - Sale <sup>25</sup>
11/09/20	25.000	4,743.67	Various	4,836.59	92.92 W	0.00	Total of 8 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
3 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	1.000	177.46	11/16/20	182.50	5.04 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	709.82	11/16/20	729.99	20.17 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	887.28	11/16/20	909.76	22.48 W	0.00	3 of 3 - Sale <sup>25</sup>
11/16/20	10.000	1,774.56	Various	1,822.25	47.69 W	0.00	Total of 3 transactions
2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	1.000	188.75	11/17/20	192.94	4.19 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	755.02	11/17/20	771.77	16.75 W	0.00	2 of 2 - Sale <sup>25</sup>
11/17/20	5.000	943.77	Various	964.71	20.94 W	0.00	Total of 2 transactions
6 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	203.87	11/23/20	206.93	3.06 W	0.00	1 of 6 - Sale <sup>25</sup>
	4.000	815.50	11/23/20	827.73	12.23 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	1,019.38	11/23/20	1,036.20	16.82 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	203.97	11/23/20	206.50	2.53 W	0.00	4 of 6 - Sale <sup>25</sup>
	4.000	815.90	11/23/20	825.98	10.08 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	1,019.88	11/23/20	1,034.00	14.12 W	0.00	6 of 6 - Sale <sup>25</sup>
11/23/20	20.000	4,078.50	Various	4,137.34	58.84 W	0.00	Total of 6 transactions
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	5.000	1,054.72	11/25/20	1,068.62	...	-13.90	1 of 3 - Sale <sup>25</sup>
	4.000	843.78	11/25/20	853.68	...	-9.90	2 of 3 - Sale <sup>25</sup>
	1.000	210.95	11/25/20	213.43	...	-2.48	3 of 3 - Sale <sup>25</sup>
11/25/20	10.000	2,109.45	Various	2,135.73	...	-26.28	Total of 3 transactions
12/28/20	10.000	2,273.09	12/28/20	2,242.85	...	30.24	Sale <sup>25</sup>
	<b>Security total:</b>	<b>77,307.88</b>		<b>77,834.76</b>	<b>600.47 W</b>	<b>73.59</b>	

STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol:

7 transactions for 07/21/20. Total proceeds and cost reported to the IRS.

	1.000	76.48	06/22/20	75.56	...	0.92	1 of 7 - Sale <sup>25</sup>
	1.000	76.48	06/22/20	75.50	...	0.98	2 of 7 - Sale <sup>25</sup>
	4.000	305.91	06/22/20	302.24	...	3.67	3 of 7 - Sale <sup>25</sup>
	4.000	305.92	06/22/20	302.16	...	3.76	4 of 7 - Sale <sup>25</sup>
	9.000	688.30	06/22/20	679.50	...	8.80	5 of 7 - Sale <sup>25</sup>
	36.000	2,753.21	06/22/20	2,719.44	...	33.77	6 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol: (cont'd)							
	45.000	3,441.52	06/22/20	3,400.20	...	41.32	7 of 7 - Sale <sup>25</sup>
07/21/20	100.000	7,647.82	Various	7,554.60	...	93.22	Total of 7 transactions
	5 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	1.000	81.00	08/25/20	80.90	...	0.10	1 of 5 - Sale <sup>25</sup>
	9.000	728.98	08/25/20	728.01	...	0.97	2 of 5 - Sale <sup>25</sup>
	10.000	809.98	08/25/20	808.80	...	1.18	3 of 5 - Sale <sup>25</sup>
	50.000	4,130.40	08/25/20	4,097.50	...	32.90	4 of 5 - Sale <sup>25</sup>
	50.000	4,130.41	08/25/20	4,083.00	...	47.41	5 of 5 - Sale <sup>25</sup>
08/25/20	120.000	9,880.77	Various	9,798.21	...	82.56	Total of 5 transactions
08/25/20	50.000	4,123.41	08/25/20	4,124.00	0.59 W	0.00	Sale <sup>25</sup>
	2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	50.000	4,255.41	08/28/20	4,252.50	...	2.91	1 of 2 - Sale <sup>25</sup>
	50.000	4,218.15	08/28/20	4,205.09	...	13.06	2 of 2 - Sale <sup>25</sup>
08/28/20	100.000	8,473.56	Various	8,457.59	...	15.97	Total of 2 transactions
09/02/20	10.000	885.88	09/02/20	887.39	1.51 W	0.00	Sale <sup>25</sup>
	2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	10.000	838.98	09/24/20	838.16	...	0.82	1 of 2 - Sale <sup>25</sup>
	10.000	838.98	09/24/20	836.65	...	2.33	2 of 2 - Sale <sup>25</sup>
09/24/20	20.000	1,677.96	Various	1,674.81	...	3.15	Total of 2 transactions
09/24/20	20.000	1,670.47	09/24/20	1,675.20	4.73 W	0.00	Sale <sup>25</sup>
	3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	5.000	434.04	10/02/20	434.03	...	0.01	1 of 3 - Sale <sup>25</sup>
	5.000	434.04	10/02/20	432.85	...	1.19	2 of 3 - Sale <sup>25</sup>
	15.000	1,306.32	10/02/20	1,302.10	...	4.22	3 of 3 - Sale <sup>25</sup>
10/02/20	25.000	2,174.40	Various	2,168.98	...	5.42	Total of 3 transactions
	2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	86.78	10/02/20	86.79	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	24.000	2,082.67	10/02/20	2,083.20	0.53 W	0.00	2 of 2 - Sale <sup>25</sup>
10/02/20	25.000	2,169.45	Various	2,169.99	0.54 W	0.00	Total of 2 transactions
	3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	5.000	440.30	10/05/20	439.81	...	0.49	1 of 3 - Sale <sup>25</sup>
	1.000	88.46	10/05/20	87.95	...	0.51	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol: (cont'd)							
10/05/20	4.000	353.85	10/05/20	351.85	...	2.00	3 of 3 - Sale <sup>25</sup>
10/05/20	10.000	882.61	Various	879.61	...	3.00	Total of 3 transactions
	6 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	10.000	889.04	10/06/20	891.09	2.05 W	0.00	1 of 6 - Sale <sup>25</sup>
	5.000	443.99	10/06/20	445.54	1.55 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	443.99	10/06/20	445.44	1.45 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	443.99	10/06/20	445.92	1.93 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	443.99	10/06/20	445.82	1.83 W	0.00	5 of 6 - Sale <sup>25</sup>
	10.000	887.98	10/06/20	890.80	2.82 W	0.00	6 of 6 - Sale <sup>25</sup>
10/06/20	40.000	3,552.98	Various	3,564.61	11.63 W	0.00	Total of 6 transactions
	12 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	5.000	438.14	10/07/20	442.08	3.94 W	0.00	1 of 12 - Sale <sup>25</sup>
	5.000	438.14	10/07/20	441.98	3.84 W	0.00	2 of 12 - Sale <sup>25</sup>
	10.000	876.28	10/07/20	883.12	6.84 W	0.00	3 of 12 - Sale <sup>25</sup>
	10.000	876.28	10/07/20	880.30	4.02 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	87.60	10/07/20	88.26	0.66 W	0.00	5 of 12 - Sale <sup>25</sup>
	5.000	437.99	10/07/20	443.24	5.25 W	0.00	6 of 12 - Sale <sup>25</sup>
	5.000	437.99	10/07/20	443.14	5.15 W	0.00	7 of 12 - Sale <sup>25</sup>
	8.000	700.78	10/07/20	706.10	5.32 W	0.00	8 of 12 - Sale <sup>25</sup>
	10.000	875.98	10/07/20	885.44	9.46 W	0.00	9 of 12 - Sale <sup>25</sup>
	1.000	87.60	10/07/20	88.26	0.66 W	0.00	10 of 12 - Sale <sup>25</sup>
	5.000	438.49	10/07/20	445.14	6.65 W	0.00	11 of 12 - Sale <sup>25</sup>
	10.000	876.98	10/07/20	889.25	12.27 W	0.00	12 of 12 - Sale <sup>25</sup>
10/07/20	75.000	6,572.25	Various	6,636.31	64.06 W	0.00	Total of 12 transactions
	6 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	10.000	899.38	10/09/20	914.57	...	-15.19	1 of 6 - Sale <sup>25</sup>
	5.000	449.69	10/09/20	457.80	...	-8.11	2 of 6 - Sale <sup>25</sup>
	5.000	449.69	10/09/20	456.96	...	-7.27	3 of 6 - Sale <sup>25</sup>
	8.000	717.10	10/09/20	723.48	...	-6.38	4 of 6 - Sale <sup>25</sup>
	1.000	89.64	10/09/20	90.43	...	-0.79	5 of 6 - Sale <sup>25</sup>
	1.000	89.64	10/09/20	90.43	...	-0.79	6 of 6 - Sale <sup>25</sup>
10/09/20	30.000	2,695.14	Various	2,733.67	...	-38.53	Total of 6 transactions
10/09/20	5.000	448.19	10/09/20	454.00	5.81 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol: (cont'd)							
<b>Security total:</b>		<b>52,854.89</b>		<b>52,778.97</b>		<b>88.87 W</b>	<b>164.79</b>
STEEL DYNAMICS, INC. / CUSIP: 858119100 / Symbol:							
6 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	20.000	641.26	09/17/20	629.98	...	11.28	1 of 6 - Sale <sup>25</sup>
	10.000	321.70	09/18/20	319.20	...	2.50	2 of 6 - Sale <sup>25</sup>
	10.000	322.34	09/18/20	319.20	...	3.14	3 of 6 - Sale <sup>25</sup>
	50.000	1,597.96	09/18/20	1,592.50	...	5.46	4 of 6 - Sale <sup>25</sup>
	20.000	644.68	09/18/20	638.40	...	6.28	5 of 6 - Sale <sup>25</sup>
	20.000	644.67	09/18/20	638.39	...	6.28	6 of 6 - Sale <sup>25</sup>
09/18/20	130.000	4,172.61	Various	4,137.67	...	34.94	Total of 6 transactions
09/18/20	40.000	1,286.82	09/18/20	1,294.00	7.18 W	0.00	Sale <sup>25</sup>
09/21/20	20.000	589.78	09/18/20	651.57	30.90 W	-30.89	Sale <sup>25</sup>
09/23/20	10.000	299.20	09/18/20	325.79	...	-26.59	Sale <sup>25</sup>
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	10.000	299.21	09/18/20	354.68	...	-55.47	1 of 2 - Sale <sup>25</sup>
	10.000	299.21	09/18/20	325.56	...	-26.35	2 of 2 - Sale <sup>25</sup>
09/28/20	20.000	598.42	Various	680.24	...	-81.82	Total of 2 transactions
<b>Security total:</b>		<b>6,946.83</b>		<b>7,089.27</b>	<b>38.08 W</b>	<b>-104.36</b>	
SUMMIT MATERIALS, INC. CLASS A COMMON STOCK / CUSIP: 86614U100 / Symbol:							
11/11/20	50.000	868.98	11/11/20	880.02	...	-11.04	Sale <sup>25</sup>
11/11/20	50.000	871.98	11/11/20	874.00	2.02 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>1,740.96</b>		<b>1,754.02</b>	<b>2.02 W</b>	<b>-11.04</b>	
SUMO LOGIC, INC. COMMON STOCK / CUSIP: 86646P103 / Symbol:							
11/20/20	100.000	2,098.94	11/20/20	2,114.45	...	-15.51	Sale <sup>25</sup>
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
4 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	148.000	79.90	04/28/20	74.40	...	5.50	1 of 4 - Sale <sup>25</sup>
	152.000	82.06	04/28/20	76.41	...	5.65	2 of 4 - Sale <sup>25</sup>
	200.000	107.97	04/30/20	94.30	...	13.67	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	200.000	107.98	04/30/20	94.30	...	13.68	4 of 4 - Sale <sup>25</sup>
04/30/20	700.000	377.91	Various	339.41	...	38.50	Total of 4 transactions
	10 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	500.000	191.19	11/06/20	264.46	...	-73.27	1 of 10 - Sale <sup>25</sup>
	200.000	78.34	11/06/20	106.00	...	-27.66	2 of 10 - Sale <sup>25</sup>
	100.000	39.17	11/06/20	53.00	...	-13.83	3 of 10 - Sale <sup>25</sup>
	100.000	39.17	11/06/20	53.00	...	-13.83	4 of 10 - Sale <sup>25</sup>
	100.000	39.17	11/06/20	53.00	...	-13.83	5 of 10 - Sale <sup>25</sup>
	100.000	35.99	11/06/20	34.46	...	1.53	6 of 10 - Sale <sup>25</sup>
	100.000	35.99	11/06/20	34.46	...	1.53	7 of 10 - Sale <sup>25</sup>
	100.000	35.99	11/06/20	34.46	...	1.53	8 of 10 - Sale <sup>25</sup>
	700.000	251.92	11/06/20	241.22	...	10.70	9 of 10 - Sale <sup>25</sup>
	500.000	222.99	11/06/20	202.85	...	20.14	10 of 10 - Sale <sup>25</sup>
11/06/20	2,500.000	969.92	Various	1,076.91	...	-106.99	Total of 10 transactions
11/06/20	500.000	222.99	11/06/20	265.00	42.01 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,570.82</b>		<b>1,681.32</b>	<b>42.01 W</b>	<b>-68.49</b>	
SUNPOWER CORPORATION COMMON ST OCK / CUSIP: 867652406 / Symbol:							
08/04/20	100.000	1,130.96	08/04/20	1,141.82	...	-10.86	Sale <sup>25</sup>
	3 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	100.000	1,738.95	11/03/20	1,741.00	2.05 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	1,747.21	11/03/20	1,761.04	13.83 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	1,762.25	11/03/20	1,771.48	9.23 W	0.00	3 of 3 - Sale <sup>25</sup>
11/03/20	300.000	5,248.41	Various	5,273.52	25.11 W	0.00	Total of 3 transactions
	9 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	25.000	397.50	11/04/20	403.31	5.81 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	15.90	11/04/20	16.13	0.23 W	0.00	2 of 9 - Sale <sup>25</sup>
	20.000	318.00	11/04/20	322.65	4.65 W	0.00	3 of 9 - Sale <sup>25</sup>
	54.000	858.03	11/04/20	871.14	13.11 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	16.10	11/04/20	16.43	0.33 W	0.00	5 of 9 - Sale <sup>25</sup>
	4.000	64.40	11/04/20	65.77	1.37 W	0.00	6 of 9 - Sale <sup>25</sup>
	20.000	321.99	11/04/20	328.65	6.66 W	0.00	7 of 9 - Sale <sup>25</sup>
	25.000	402.49	11/04/20	410.81	8.32 W	0.00	8 of 9 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNPOWER CORPORATION COMMON ST OCK / CUSIP: 867652406 / Symbol: (cont'd)							
	50.000	818.98	11/04/20	827.64	8.66 W	0.00	9 of 9 - Sale <sup>25</sup>
11/04/20	200.000	3,213.39	Various	3,262.53	49.14 W	0.00	Total of 9 transactions
	16 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	5.000	96.87	11/05/20	96.68	...	0.19	1 of 16 - Sale <sup>25</sup>
	5.000	94.85	11/05/20	94.12	...	0.73	2 of 16 - Sale <sup>25</sup>
	1.000	18.66	11/05/20	17.86	...	0.80	3 of 16 - Sale <sup>25</sup>
	50.000	962.97	11/05/20	960.39	...	2.58	4 of 16 - Sale <sup>25</sup>
	4.000	74.64	11/05/20	71.44	...	3.20	5 of 16 - Sale <sup>25</sup>
	40.000	774.98	11/05/20	771.44	...	3.54	6 of 16 - Sale <sup>25</sup>
	5.000	93.30	11/05/20	89.31	...	3.99	7 of 16 - Sale <sup>25</sup>
	6.000	111.96	11/05/20	107.10	...	4.86	8 of 16 - Sale <sup>25</sup>
	10.000	196.99	11/05/20	189.09	...	7.90	9 of 16 - Sale <sup>25</sup>
	10.000	197.45	11/05/20	189.09	...	8.36	10 of 16 - Sale <sup>25</sup>
	14.000	261.23	11/05/20	250.08	...	11.15	11 of 16 - Sale <sup>25</sup>
	20.000	373.19	11/05/20	359.33	...	13.86	12 of 16 - Sale <sup>25</sup>
	20.000	393.99	11/05/20	377.00	...	16.99	13 of 16 - Sale <sup>25</sup>
	20.000	393.99	11/05/20	376.95	...	17.04	14 of 16 - Sale <sup>25</sup>
	20.000	373.19	11/05/20	355.78	...	17.41	15 of 16 - Sale <sup>25</sup>
	50.000	932.97	11/05/20	886.30	...	46.67	16 of 16 - Sale <sup>25</sup>
11/05/20	280.000	5,351.23	Various	5,191.96	...	159.27	Total of 16 transactions
	13 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	5.000	96.57	11/05/20	97.20	0.63 W	0.00	1 of 13 - Sale <sup>25</sup>
	50.000	965.72	11/05/20	969.47	3.75 W	0.00	2 of 13 - Sale <sup>25</sup>
	5.000	94.45	11/05/20	96.07	1.62 W	0.00	3 of 13 - Sale <sup>25</sup>
	45.000	850.02	11/05/20	862.32	12.30 W	0.00	4 of 13 - Sale <sup>25</sup>
	5.000	94.85	11/05/20	95.37	0.52 W	0.00	5 of 13 - Sale <sup>25</sup>
	40.000	758.77	11/05/20	760.93	2.16 W	0.00	6 of 13 - Sale <sup>25</sup>
	5.000	95.37	11/05/20	96.77	1.40 W	0.00	7 of 13 - Sale <sup>25</sup>
	5.000	95.37	11/05/20	95.92	0.55 W	0.00	8 of 13 - Sale <sup>25</sup>
	40.000	762.98	11/05/20	765.36	2.38 W	0.00	9 of 13 - Sale <sup>25</sup>
	5.000	96.30	11/05/20	97.44	1.14 W	0.00	10 of 13 - Sale <sup>25</sup>
	5.000	96.30	11/05/20	96.59	0.29 W	0.00	11 of 13 - Sale <sup>25</sup>
	40.000	770.37	11/05/20	770.69	0.32 W	0.00	12 of 13 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
SUNPOWER CORPORATION COMMON ST OCK / CUSIP: 867652406 / Symbol: (cont'd)							
	5.000	96.87	11/05/20	97.53	0.66 W	0.00	13 of 13 - Sale <sup>25</sup>
11/05/20	255.000	4,873.94	Various	4,901.66	27.72 W	0.00	Total of 13 transactions
11/06/20	15.000	309.89	11/06/20	309.15	...	0.74	Sale <sup>25</sup>
	23 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	5.000	103.30	11/06/20	103.71	0.41 W	0.00	1 of 23 - Sale <sup>25</sup>
	20.000	413.19	11/06/20	413.33	0.14 W	0.00	2 of 23 - Sale <sup>25</sup>
	10.000	206.59	11/06/20	207.20	0.61 W	0.00	3 of 23 - Sale <sup>25</sup>
	5.000	101.55	11/06/20	103.56	2.01 W	0.00	4 of 23 - Sale <sup>25</sup>
	10.000	203.09	11/06/20	206.91	3.82 W	0.00	5 of 23 - Sale <sup>25</sup>
	20.000	406.18	11/06/20	412.74	6.56 W	0.00	6 of 23 - Sale <sup>25</sup>
	20.000	406.19	11/06/20	412.60	6.41 W	0.00	7 of 23 - Sale <sup>25</sup>
	10.000	203.10	11/06/20	206.30	3.20 W	0.00	8 of 23 - Sale <sup>25</sup>
	35.000	710.48	11/06/20	722.05	11.57 W	0.00	9 of 23 - Sale <sup>25</sup>
	5.000	102.50	11/06/20	105.16	2.66 W	0.00	10 of 23 - Sale <sup>25</sup>
	10.000	204.99	11/06/20	210.12	5.13 W	0.00	11 of 23 - Sale <sup>25</sup>
	15.000	307.49	11/06/20	314.26	6.77 W	0.00	12 of 23 - Sale <sup>25</sup>
	20.000	409.99	11/06/20	419.16	9.17 W	0.00	13 of 23 - Sale <sup>25</sup>
	5.000	103.21	11/06/20	104.69	1.48 W	0.00	14 of 23 - Sale <sup>25</sup>
	10.000	206.42	11/06/20	209.39	2.97 W	0.00	15 of 23 - Sale <sup>25</sup>
	35.000	722.45	11/06/20	733.22	10.77 W	0.00	16 of 23 - Sale <sup>25</sup>
	5.000	102.40	11/06/20	105.56	3.16 W	0.00	17 of 23 - Sale <sup>25</sup>
	5.000	102.40	11/06/20	105.47	3.07 W	0.00	18 of 23 - Sale <sup>25</sup>
	20.000	409.58	11/06/20	420.77	11.19 W	0.00	19 of 23 - Sale <sup>25</sup>
	5.000	102.00	11/06/20	105.21	3.21 W	0.00	20 of 23 - Sale <sup>25</sup>
	5.000	102.00	11/06/20	104.13	2.13 W	0.00	21 of 23 - Sale <sup>25</sup>
	5.000	101.99	11/06/20	104.14	2.15 W	0.00	22 of 23 - Sale <sup>25</sup>
	15.000	305.99	11/06/20	314.72	8.73 W	0.00	23 of 23 - Sale <sup>25</sup>
11/06/20	295.000	6,037.08	Various	6,144.40	107.32 W	0.00	Total of 23 transactions
	4 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	5.000	95.00	11/13/20	97.35	2.35 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	95.00	11/13/20	99.04	4.04 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	94.99	11/13/20	98.67	3.68 W	0.00	3 of 4 - Sale <sup>25</sup>
	35.000	664.98	11/13/20	681.89	16.91 W	0.00	4 of 4 - Sale <sup>25</sup>
11/13/20	50.000	949.97	Various	976.95	26.98 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNPOWER CORPORATION COMMON ST OCK / CUSIP: 867652406 / Symbol: (cont'd)							
6 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	35.000	699.81	11/16/20	721.58	...	-21.77	1 of 6 - Sale <sup>25</sup>
	5.000	99.97	11/16/20	104.70	...	-4.73	2 of 6 - Sale <sup>25</sup>
	5.000	99.97	11/16/20	104.35	...	-4.38	3 of 6 - Sale <sup>25</sup>
	5.000	99.97	11/16/20	103.02	...	-3.05	4 of 6 - Sale <sup>25</sup>
	5.000	99.97	11/16/20	102.81	...	-2.84	5 of 6 - Sale <sup>25</sup>
	5.000	99.97	11/16/20	102.80	...	-2.83	6 of 6 - Sale <sup>25</sup>
11/16/20	60.000	1,199.66	Various	1,239.26	...	-39.60	Total of 6 transactions
14 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	15.000	299.92	11/16/20	310.72	3.60 W	-7.20	1 of 14 - Sale <sup>25</sup>
	5.000	100.75	11/16/20	102.84	2.09 W	0.00	2 of 14 - Sale <sup>25</sup>
	5.000	100.75	11/16/20	102.99	2.24 W	0.00	3 of 14 - Sale <sup>25</sup>
	5.000	100.75	11/16/20	101.91	1.16 W	0.00	4 of 14 - Sale <sup>25</sup>
	5.000	100.75	11/16/20	101.92	1.17 W	0.00	5 of 14 - Sale <sup>25</sup>
	5.000	100.75	11/16/20	102.13	1.38 W	0.00	6 of 14 - Sale <sup>25</sup>
	5.000	100.75	11/16/20	103.82	3.07 W	0.00	7 of 14 - Sale <sup>25</sup>
	5.000	100.74	11/16/20	103.45	2.71 W	0.00	8 of 14 - Sale <sup>25</sup>
	15.000	302.24	11/16/20	307.72	5.48 W	0.00	9 of 14 - Sale <sup>25</sup>
	15.000	302.24	11/16/20	308.05	5.81 W	0.00	10 of 14 - Sale <sup>25</sup>
	35.000	705.21	11/16/20	715.33	10.12 W	0.00	11 of 14 - Sale <sup>25</sup>
	5.000	99.97	11/16/20	103.73	3.76 W	0.00	12 of 14 - Sale <sup>25</sup>
	5.000	99.97	11/16/20	103.88	3.91 W	0.00	13 of 14 - Sale <sup>25</sup>
	15.000	299.92	11/16/20	310.39	10.47 W	0.00	14 of 14 - Sale <sup>25</sup>
11/16/20	140.000	2,814.71	Various	2,878.88	56.97 W	-7.20	Total of 14 transactions
4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	15.000	326.39	11/23/20	337.32	...	-10.93	1 of 4 - Sale <sup>25</sup>
	5.000	108.79	11/23/20	112.86	...	-4.07	2 of 4 - Sale <sup>25</sup>
	5.000	108.80	11/23/20	112.71	...	-3.91	3 of 4 - Sale <sup>25</sup>
	5.000	108.80	11/23/20	112.55	...	-3.75	4 of 4 - Sale <sup>25</sup>
11/23/20	30.000	652.78	Various	675.44	...	-22.66	Total of 4 transactions
	<b>Security total:</b>	<b>31,782.02</b>		<b>31,995.57</b>	<b>293.24 W</b>	<b>79.69</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	50.000	397.48	09/24/20	398.00	...	-0.52	1 of 2 - Sale <sup>25</sup>
	50.000	397.49	09/24/20	390.62	...	6.87	2 of 2 - Sale <sup>25</sup>
09/24/20	100.000	794.97	Various	788.62	...	6.35	Total of 2 transactions
TFS FINANCIAL CORPORATION COMM ON STOCK / CUSIP: 87240R107 / Symbol:							
06/05/20	200.000	3,283.90	06/04/20	3,168.86	...	115.04	Sale <sup>25</sup>
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD. / CUSIP: 874039100 / Symbol:							
2 transactions for 01/13/20. Total proceeds and cost reported to the IRS.							
	11.000	659.32	10/21/19	547.36	...	111.96	1 of 2 - Sale <sup>25</sup>
	15.000	899.08	10/21/19	746.40	...	152.68	2 of 2 - Sale <sup>25</sup>
01/13/20	26.000	1,558.40	Various	1,293.76	...	264.64	Total of 2 transactions
3 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	4.000	240.21	10/21/19	199.04	...	41.17	1 of 3 - Sale <sup>25</sup>
	4.000	240.21	10/25/19	203.28	...	36.93	2 of 3 - Sale <sup>25</sup>
	2.000	120.11	11/18/19	107.60	...	12.51	3 of 3 - Sale <sup>25</sup>
01/14/20	10.000	600.53	Various	509.92	...	90.61	Total of 3 transactions
5 transactions for 02/06/20. Total proceeds and cost reported to the IRS.							
	3.000	172.29	11/18/19	161.40	...	10.89	1 of 5 - Sale <sup>25</sup>
	1.000	57.43	11/25/19	53.46	...	3.97	2 of 5 - Sale <sup>25</sup>
	1.000	57.43	11/29/19	53.10	...	4.33	3 of 5 - Sale <sup>25</sup>
	1.000	57.43	11/29/19	53.07	...	4.36	4 of 5 - Sale <sup>25</sup>
	4.000	229.72	11/29/19	212.32	...	17.40	5 of 5 - Sale <sup>25</sup>
02/06/20	10.000	574.30	Various	533.35	...	40.95	Total of 5 transactions
07/27/20	10.000	824.48	07/27/20	824.50	...	-0.02	Sale <sup>25</sup>
10/15/20	5.000	444.48	10/14/20	443.00	...	1.48	Sale <sup>25</sup>
10/20/20	5.000	441.95	10/20/20	442.90	...	-0.95	Sale <sup>25</sup>
7 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	25.000	2,657.44	12/07/20	2,670.11	...	-12.67	1 of 7 - Sale <sup>25</sup>
	23.000	2,444.84	12/07/20	2,456.50	...	-11.66	2 of 7 - Sale <sup>25</sup>
	15.000	1,594.46	12/07/20	1,602.06	...	-7.60	3 of 7 - Sale <sup>25</sup>
	13.000	1,381.86	12/07/20	1,388.46	...	-6.60	4 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD. / CUSIP: 874039100 / Symbol: (cont'd)							
	13.000	1,381.87	12/07/20	1,388.46	...	-6.59	5 of 7 - Sale <sup>25</sup>
	7.000	744.08	12/07/20	747.57	...	-3.49	6 of 7 - Sale <sup>25</sup>
	4.000	425.19	12/07/20	427.22	...	-2.03	7 of 7 - Sale <sup>25</sup>
12/07/20	100.000	10,629.74	Various	10,680.38	...	-50.64	Total of 7 transactions
	3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	7.000	744.36	12/07/20	746.39	2.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	70.000	7,442.92	12/07/20	7,463.88	20.96 W	0.00	2 of 3 - Sale <sup>25</sup>
	23.000	2,445.53	12/07/20	2,452.42	6.89 W	0.00	3 of 3 - Sale <sup>25</sup>
12/07/20	100.000	10,632.81	Various	10,662.69	29.88 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>25,706.69</b>		<b>25,390.50</b>	<b>29.88 W</b>	<b>346.07</b>	
TARGET CORPORATION / CUSIP: 87612E106 / Symbol:							
	4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	10.000	1,526.40	08/19/20	1,542.06	...	-15.66	1 of 4 - Sale <sup>25</sup>
	5.000	763.20	08/19/20	767.91	...	-4.71	2 of 4 - Sale <sup>25</sup>
	8.000	1,199.97	08/19/20	1,195.45	...	4.52	3 of 4 - Sale <sup>25</sup>
	12.000	1,799.96	08/19/20	1,793.17	...	6.79	4 of 4 - Sale <sup>25</sup>
08/19/20	35.000	5,289.53	Various	5,298.59	...	-9.06	Total of 4 transactions
08/19/20	10.000	1,516.46	08/19/20	1,522.70	6.24 W	0.00	Sale <sup>25</sup>
11/09/20	10.000	1,569.56	11/09/20	1,588.50	...	-18.94	Sale <sup>25</sup>
12/28/20	10.000	1,771.61	12/23/20	1,753.29	...	18.32	Sale <sup>25</sup>
	<b>Security total:</b>	<b>10,147.16</b>		<b>10,163.08</b>	<b>6.24 W</b>	<b>-9.68</b>	
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
	2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	3.000	681.01	10/13/20	668.46	...	12.55	1 of 2 - Sale <sup>25</sup>
	2.000	459.72	10/13/20	445.64	...	14.08	2 of 2 - Sale <sup>25</sup>
10/13/20	5.000	1,140.73	Various	1,114.10	...	26.63	Total of 2 transactions
12/09/20	20.000	3,911.91	12/08/20	3,915.00	...	-3.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,052.64</b>		<b>5,029.10</b>	...	<b>23.54</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/09/20	1.000	473.86	01/08/20	472.14	...	1.72	Sale <sup>25</sup>
	2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.						
	1.000	422.20	03/19/20	418.83	...	3.37	1 of 2 - Sale <sup>25</sup>
	13.000	5,485.87	03/19/20	5,444.79	...	41.08	2 of 2 - Sale <sup>25</sup>
03/19/20	14.000	5,908.07	Various	5,863.62	...	44.45	Total of 2 transactions
03/23/20	8.000	3,343.92	03/20/20	3,670.80	40.86 W	-286.02	Sale <sup>25</sup>
04/14/20	1.000	732.98	04/07/20	598.96	...	134.02	Sale <sup>25</sup>
	6 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	977.47	06/11/20	980.03	2.56 W	0.00	1 of 6 - Sale <sup>25</sup>
	9.000	8,797.30	06/11/20	8,820.27	22.97 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	974.28	06/11/20	981.06	6.78 W	0.00	3 of 6 - Sale <sup>25</sup>
	4.000	3,897.13	06/11/20	3,924.21	27.08 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	974.28	06/11/20	979.05	4.77 W	0.00	5 of 6 - Sale <sup>25</sup>
	3.000	2,922.84	06/11/20	2,937.16	14.32 W	0.00	6 of 6 - Sale <sup>25</sup>
06/11/20	19.000	18,543.30	Various	18,621.78	78.48 W	0.00	Total of 6 transactions
	8 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	4.000	3,947.70	06/16/20	4,020.90	...	-73.20	1 of 8 - Sale <sup>25</sup>
	10.000	9,869.25	06/16/20	9,930.94	...	-61.69	2 of 8 - Sale <sup>25</sup>
	1.000	986.93	06/16/20	1,005.24	...	-18.31	3 of 8 - Sale <sup>25</sup>
	1.000	986.92	06/16/20	1,003.41	...	-16.49	4 of 8 - Sale <sup>25</sup>
	1.000	986.93	06/16/20	1,003.39	...	-16.46	5 of 8 - Sale <sup>25</sup>
	1.000	986.93	06/16/20	1,003.38	...	-16.45	6 of 8 - Sale <sup>25</sup>
	1.000	986.92	06/16/20	1,003.34	...	-16.42	7 of 8 - Sale <sup>25</sup>
	1.000	986.92	06/16/20	1,000.95	...	-14.03	8 of 8 - Sale <sup>25</sup>
06/16/20	20.000	19,738.50	Various	19,971.55	...	-233.05	Total of 8 transactions
	19 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	1.000	999.97	06/16/20	1,001.05	1.08 W	0.00	1 of 19 - Sale <sup>25</sup>
	1.000	999.98	06/16/20	1,005.28	5.30 W	0.00	2 of 19 - Sale <sup>25</sup>
	1.000	999.98	06/16/20	1,003.27	3.29 W	0.00	3 of 19 - Sale <sup>25</sup>
	3.000	2,999.93	06/16/20	3,009.82	9.89 W	0.00	4 of 19 - Sale <sup>25</sup>
	4.000	3,999.91	06/16/20	4,021.08	21.17 W	0.00	5 of 19 - Sale <sup>25</sup>
	1.000	998.05	06/16/20	1,002.77	4.72 W	0.00	6 of 19 - Sale <sup>25</sup>
	1.000	997.97	06/16/20	1,006.99	9.02 W	0.00	7 of 19 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	4.000	3,991.91	06/16/20	4,027.92	36.01 W	0.00	8 of 19 - Sale <sup>25</sup>
	1.000	997.98	06/16/20	1,005.10	7.12 W	0.00	9 of 19 - Sale <sup>25</sup>
	1.000	997.98	06/16/20	1,005.15	7.17 W	0.00	10 of 19 - Sale <sup>25</sup>
	1.000	997.97	06/16/20	1,005.15	7.18 W	0.00	11 of 19 - Sale <sup>25</sup>
	1.000	997.98	06/16/20	1,005.14	7.16 W	0.00	12 of 19 - Sale <sup>25</sup>
	1.000	999.47	06/16/20	1,003.92	4.45 W	0.00	13 of 19 - Sale <sup>25</sup>
	1.000	999.48	06/16/20	1,008.22	8.74 W	0.00	14 of 19 - Sale <sup>25</sup>
	1.000	999.48	06/16/20	1,006.32	6.84 W	0.00	15 of 19 - Sale <sup>25</sup>
	1.000	999.48	06/16/20	1,006.37	6.89 W	0.00	16 of 19 - Sale <sup>25</sup>
	1.000	999.47	06/16/20	1,006.38	6.91 W	0.00	17 of 19 - Sale <sup>25</sup>
	1.000	999.48	06/16/20	1,006.36	6.88 W	0.00	18 of 19 - Sale <sup>25</sup>
	4.000	3,997.91	06/16/20	4,032.81	34.90 W	0.00	19 of 19 - Sale <sup>25</sup>
06/16/20	30.000	29,974.38	Various	30,169.10	194.72 W	0.00	Total of 19 transactions
	2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	2.000	3,429.92	07/22/20	3,420.00	...	9.92	1 of 2 - Sale <sup>25</sup>
	1.000	1,714.96	07/22/20	1,688.50	...	26.46	2 of 2 - Sale <sup>25</sup>
07/22/20	3.000	5,144.88	Various	5,108.50	...	36.38	Total of 2 transactions
	2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	1.000	1,491.21	08/06/20	1,489.46	...	1.75	1 of 2 - Sale <sup>25</sup>
	5.000	7,445.03	08/06/20	7,417.10	...	27.93	2 of 2 - Sale <sup>25</sup>
08/06/20	6.000	8,936.24	Various	8,906.56	...	29.68	Total of 2 transactions
08/06/20	3.000	4,522.55	08/06/20	4,546.50	23.95 W	0.00	Sale <sup>25</sup>
08/12/20	1.000	1,575.02	08/12/20	1,519.33	...	55.69	Sale <sup>25</sup>
08/12/20	1.000	1,476.64	08/12/20	1,479.76	3.12 W	0.00	Sale <sup>25</sup>
08/13/20	1.000	1,583.36	08/13/20	1,624.95	41.59 W	0.00	Sale <sup>25</sup>
08/14/20	1.000	1,649.54	08/13/20	1,614.24	...	35.30	Sale <sup>25</sup>
	2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	1.000	1,773.69	08/17/20	1,758.32	...	15.37	1 of 2 - Sale <sup>25</sup>
	1.000	1,828.95	08/17/20	1,812.32	...	16.63	2 of 2 - Sale <sup>25</sup>
08/17/20	2.000	3,602.64	Various	3,570.64	...	32.00	Total of 2 transactions
08/17/20	1.000	1,749.96	08/17/20	1,758.20	8.24 W	0.00	Sale <sup>25</sup>
08/18/20	1.000	1,900.95	08/18/20	1,904.12	3.17 W	0.00	Sale <sup>25</sup>
08/20/20	1.000	1,970.10	08/20/20	1,972.30	2.20 W	0.00	Sale <sup>25</sup>
08/21/20	1.000	2,090.95	08/21/20	2,098.24	7.29 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
<i>2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	2,109.95	08/26/20	2,085.17	...	24.78	1 of 2 - Sale <sup>25</sup>
	1.000	2,109.95	08/26/20	2,084.20	...	25.75	2 of 2 - Sale <sup>25</sup>
08/26/20	2.000	4,219.90	Various	4,169.37	...	50.53	Total of 2 transactions
08/27/20	1.000	2,235.28	08/27/20	2,230.29	...	4.99	Sale <sup>25</sup>
<i>4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	486.20	08/31/20	479.82	...	6.38	1 of 4 - Sale <sup>25</sup>
	1.000	472.41	08/31/20	464.79	...	7.62	2 of 4 - Sale <sup>25</sup>
	5.000	2,475.11	08/31/20	2,456.44	...	18.67	3 of 4 - Sale <sup>25</sup>
	4.000	1,944.79	08/31/20	1,915.67	...	29.12	4 of 4 - Sale <sup>25</sup>
08/31/20	11.000	5,378.51	Various	5,316.72	...	61.79	Total of 4 transactions
08/31/20	1.000	472.40	08/31/20	473.30	0.90 W	0.00	Sale <sup>25</sup>
09/01/20	2.000	961.29	08/31/20	993.20	31.91 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	460.02	08/31/20	496.60	36.58 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	918.97	08/31/20	993.19	74.22 W	0.00	2 of 2 - Sale <sup>25</sup>
09/02/20	3.000	1,378.99	Various	1,489.79	110.80 W	0.00	Total of 2 transactions
09/09/20	5.000	1,840.02	09/09/20	1,827.95	...	12.07	Sale <sup>25</sup>
<i>14 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	351.00	09/09/20	396.99	45.99 W	0.00	1 of 14 - Sale <sup>25</sup>
	2.000	702.01	09/09/20	795.04	93.03 W	0.00	2 of 14 - Sale <sup>25</sup>
	2.000	702.00	09/09/20	752.73	50.73 W	0.00	3 of 14 - Sale <sup>25</sup>
	1.000	351.32	09/09/20	398.35	47.03 W	0.00	4 of 14 - Sale <sup>25</sup>
	1.000	351.33	09/09/20	398.87	47.54 W	0.00	5 of 14 - Sale <sup>25</sup>
	1.000	360.68	09/09/20	408.37	47.69 W	0.00	6 of 14 - Sale <sup>25</sup>
	1.000	360.68	09/09/20	408.89	48.21 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	360.68	09/09/20	409.40	48.72 W	0.00	8 of 14 - Sale <sup>25</sup>
	2.000	721.36	09/09/20	774.45	53.09 W	0.00	9 of 14 - Sale <sup>25</sup>
	5.000	1,803.41	09/09/20	1,809.28	5.87 W	0.00	10 of 14 - Sale <sup>25</sup>
	1.000	362.31	09/09/20	410.59	48.28 W	0.00	11 of 14 - Sale <sup>25</sup>
	1.000	362.30	09/09/20	411.11	48.81 W	0.00	12 of 14 - Sale <sup>25</sup>
	1.000	362.31	09/09/20	411.62	49.31 W	0.00	13 of 14 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	2.000	724.61	09/09/20	778.89	54.28 W	0.00	14 of 14 - Sale <sup>25</sup>
09/09/20	22.000	7,876.00	Various	8,564.58	688.58 W	0.00	Total of 14 transactions
	2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	1.000	364.99	09/11/20	414.82	49.83 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	364.99	09/11/20	416.93	51.94 W	0.00	2 of 2 - Sale <sup>25</sup>
09/11/20	2.000	729.98	Various	831.75	101.77 W	0.00	Total of 2 transactions
	17 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	1.000	392.20	09/14/20	441.31	49.11 W	0.00	1 of 17 - Sale <sup>25</sup>
	1.000	392.07	09/14/20	419.13	27.06 W	0.00	2 of 17 - Sale <sup>25</sup>
	1.000	403.70	09/14/20	449.12	45.42 W	0.00	3 of 17 - Sale <sup>25</sup>
	1.000	403.70	09/14/20	451.22	47.52 W	0.00	4 of 17 - Sale <sup>25</sup>
	1.000	403.69	09/14/20	448.40	44.71 W	0.00	5 of 17 - Sale <sup>25</sup>
	1.000	403.70	09/14/20	426.42	22.72 W	0.00	6 of 17 - Sale <sup>25</sup>
	1.000	403.69	09/14/20	426.34	22.65 W	0.00	7 of 17 - Sale <sup>25</sup>
	1.000	406.68	09/14/20	453.62	46.94 W	0.00	8 of 17 - Sale <sup>25</sup>
	1.000	406.68	09/14/20	455.72	49.04 W	0.00	9 of 17 - Sale <sup>25</sup>
	1.000	407.13	09/14/20	452.91	45.78 W	0.00	10 of 17 - Sale <sup>25</sup>
	1.000	407.13	09/14/20	430.92	23.79 W	0.00	11 of 17 - Sale <sup>25</sup>
	1.000	407.14	09/14/20	430.86	23.72 W	0.00	12 of 17 - Sale <sup>25</sup>
	1.000	407.16	09/14/20	454.92	47.76 W	0.00	13 of 17 - Sale <sup>25</sup>
	1.000	407.16	09/14/20	432.94	25.78 W	0.00	14 of 17 - Sale <sup>25</sup>
	1.000	407.16	09/14/20	432.86	25.70 W	0.00	15 of 17 - Sale <sup>25</sup>
	1.000	406.58	09/14/20	456.09	49.51 W	0.00	16 of 17 - Sale <sup>25</sup>
	1.000	406.59	09/14/20	458.19	51.60 W	0.00	17 of 17 - Sale <sup>25</sup>
09/14/20	17.000	6,872.16	Various	7,520.97	648.81 W	0.00	Total of 17 transactions
	2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	1.000	442.01	09/14/20	441.97	...	0.04	1 of 2 - Sale <sup>25</sup>
	1.000	442.01	09/14/20	441.89	...	0.12	2 of 2 - Sale <sup>25</sup>
09/15/20	2.000	884.02	Various	883.86	...	0.16	Total of 2 transactions
	6 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	1.000	437.49	09/14/20	463.35	25.86 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	437.49	09/14/20	465.44	27.95 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	437.49	09/14/20	463.95	26.46 W	0.00	3 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	442.01	09/15/20	472.85	30.84 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	442.01	09/15/20	474.94	32.93 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	442.02	09/15/20	473.45	31.43 W	0.00	6 of 6 - Sale <sup>25</sup>
09/15/20	6.000	2,638.51	Various	2,813.98	175.47 W	0.00	Total of 6 transactions
09/16/20	2.000	903.13	09/15/20	901.99	...	1.14	Sale <sup>25</sup>
	3 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	1.000	451.57	09/15/20	478.93	27.36 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	451.56	09/15/20	481.02	29.46 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	451.57	09/15/20	482.43	30.86 W	0.00	3 of 3 - Sale <sup>25</sup>
09/16/20	3.000	1,354.70	Various	1,442.38	87.68 W	0.00	Total of 3 transactions
	3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	1.000	390.31	09/17/20	451.28	60.97 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	390.31	09/17/20	453.38	63.07 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	390.31	09/17/20	452.12	61.81 W	0.00	3 of 3 - Sale <sup>25</sup>
09/23/20	3.000	1,170.93	Various	1,356.78	185.85 W	0.00	Total of 3 transactions
	3 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	1.000	379.80	09/17/20	482.77	102.97 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	379.80	09/17/20	484.87	105.07 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	379.80	09/17/20	483.61	103.81 W	0.00	3 of 3 - Sale <sup>25</sup>
09/24/20	3.000	1,139.40	Various	1,451.25	311.85 W	0.00	Total of 3 transactions
	2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	1.000	407.79	09/24/20	379.75	...	28.04	1 of 2 - Sale <sup>25</sup>
	5.000	2,038.92	09/25/20	2,011.68	...	27.24	2 of 2 - Sale <sup>25</sup>
09/25/20	6.000	2,446.71	Various	2,391.43	...	55.28	Total of 2 transactions
	11 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	1.000	407.79	09/17/20	524.77	116.98 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	407.79	09/17/20	421.80	14.01 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	407.79	09/24/20	484.83	77.04 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	407.79	09/24/20	483.57	75.78 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	400.31	09/25/20	519.71	119.40 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	400.31	09/25/20	479.77	79.46 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	400.31	09/25/20	478.50	78.19 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	400.31	09/25/20	416.73	16.42 W	0.00	8 of 11 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	400.31	09/25/20	402.73	2.42 W	0.00	9 of 11 - Sale <sup>25</sup>
	2.000	801.06	09/25/20	805.45	4.39 W	0.00	10 of 11 - Sale <sup>25</sup>
	3.000	1,206.60	09/25/20	1,208.18	1.58 W	0.00	11 of 11 - Sale <sup>25</sup>
09/25/20	14.000	5,640.37	Various	6,226.04	585.67 W	0.00	Total of 11 transactions
	6 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	1.000	418.55	09/28/20	540.14	121.59 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	418.55	09/28/20	500.20	81.65 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	417.42	09/28/20	496.34	78.92 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	417.42	09/28/20	434.57	17.15 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	417.43	09/28/20	420.57	3.14 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.000	834.85	09/28/20	840.69	5.84 W	0.00	6 of 6 - Sale <sup>25</sup>
09/28/20	7.000	2,924.22	Various	3,232.51	308.29 W	0.00	Total of 6 transactions
	6 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	2.000	848.22	09/29/20	852.19	3.97 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	424.11	09/29/20	547.17	123.06 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	424.11	09/29/20	507.23	83.12 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	424.11	09/29/20	426.11	2.00 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	427.08	09/29/20	505.87	78.79 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	427.08	09/29/20	444.10	17.02 W	0.00	6 of 6 - Sale <sup>25</sup>
09/29/20	7.000	2,974.71	Various	3,282.67	307.96 W	0.00	Total of 6 transactions
09/30/20	1.000	424.30	09/29/20	422.24	...	2.06	Sale <sup>25</sup>
	11 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	1.000	429.61	09/30/20	551.91	122.30 W	0.00	1 of 11 - Sale <sup>25</sup>
	2.000	859.22	09/30/20	863.54	4.32 W	0.00	2 of 11 - Sale <sup>25</sup>
	2.000	859.22	09/30/20	861.67	2.45 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	428.15	09/30/20	552.60	124.45 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	428.15	09/30/20	513.42	85.27 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	428.15	09/30/20	509.09	80.94 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	428.15	09/30/20	447.32	19.17 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	428.15	09/30/20	432.30	4.15 W	0.00	8 of 11 - Sale <sup>25</sup>
	2.000	856.31	09/30/20	864.92	8.61 W	0.00	9 of 11 - Sale <sup>25</sup>
	2.000	856.31	09/30/20	863.05	6.74 W	0.00	10 of 11 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
09/30/20	1.000	428.16	09/30/20	430.30	2.14 W	0.00	11 of 11 - Sale <sup>25</sup>
	15.000	6,429.58	Various	6,890.12	460.54 W	0.00	Total of 11 transactions
	5 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	1.000	444.59	10/01/20	566.83	122.24 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	444.59	10/01/20	527.65	83.06 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	444.59	10/01/20	523.32	78.73 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	445.89	10/01/20	461.55	15.66 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	445.89	10/01/20	446.53	0.64 W	0.00	5 of 5 - Sale <sup>25</sup>
10/01/20	5.000	2,225.55	Various	2,525.88	300.33 W	0.00	Total of 5 transactions
	9 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	2.000	856.86	10/07/20	861.40	4.54 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	427.32	10/07/20	428.54	1.22 W	0.00	2 of 9 - Sale <sup>25</sup>
	2.000	854.64	10/07/20	859.53	4.89 W	0.00	3 of 9 - Sale <sup>25</sup>
	1.000	427.32	10/07/20	549.88	122.56 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	427.32	10/07/20	510.71	83.39 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	425.63	10/07/20	505.27	79.64 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	425.63	10/07/20	442.20	16.57 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.000	425.63	10/07/20	427.17	1.54 W	0.00	8 of 9 - Sale <sup>25</sup>
	2.000	851.26	10/07/20	857.61	6.35 W	0.00	9 of 9 - Sale <sup>25</sup>
10/07/20	12.000	5,121.61	Various	5,442.31	320.70 W	0.00	Total of 9 transactions
	7 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	1.000	431.59	10/09/20	432.22	0.63 W	0.00	1 of 7 - Sale <sup>25</sup>
	2.000	863.18	10/09/20	866.89	3.71 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	433.68	10/09/20	556.17	122.49 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	433.68	10/09/20	517.00	83.32 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	433.68	10/09/20	513.25	79.57 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	433.68	10/09/20	450.67	16.99 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	433.69	10/09/20	435.64	1.95 W	0.00	7 of 7 - Sale <sup>25</sup>
10/09/20	8.000	3,463.18	Various	3,771.84	308.66 W	0.00	Total of 7 transactions
	3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	1.000	441.54	10/12/20	445.43	3.89 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	883.08	10/12/20	895.95	12.87 W	0.00	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	2.000	883.08	10/12/20	893.31	10.23 W	0.00	3 of 3 - Sale <sup>25</sup>
10/12/20	5.000	2,207.70	Various	2,234.69	26.99 W	0.00	Total of 3 transactions
	5 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	1.000	443.99	10/13/20	565.28	121.29 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	443.99	10/13/20	526.11	82.12 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	446.34	10/13/20	525.87	79.53 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	446.34	10/13/20	463.29	16.95 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	446.34	10/13/20	448.25	1.91 W	0.00	5 of 5 - Sale <sup>25</sup>
10/13/20	5.000	2,227.00	Various	2,528.80	301.80 W	0.00	Total of 5 transactions
	7 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	1.000	455.68	10/14/20	458.62	2.94 W	0.00	1 of 7 - Sale <sup>25</sup>
	2.000	911.35	10/14/20	919.87	8.52 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.088	40.19	10/14/20	40.57	0.38 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.480	220.24	10/14/20	222.33	2.09 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.568	259.19	10/14/20	329.21	70.02 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.432	197.03	10/14/20	200.09	3.06 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	456.23	10/14/20	462.07	5.84 W	0.00	7 of 7 - Sale <sup>25</sup>
10/14/20	5.568	2,539.91	Various	2,632.76	92.85 W	0.00	Total of 7 transactions
	10 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	0.088	37.89	10/21/20	37.62	...	0.27	1 of 10 - Sale <sup>25</sup>
	1.000	432.17	10/21/20	431.78	...	0.39	2 of 10 - Sale <sup>25</sup>
	0.568	245.86	10/21/20	245.30	...	0.56	3 of 10 - Sale <sup>25</sup>
	0.432	188.31	10/21/20	187.52	...	0.79	4 of 10 - Sale <sup>25</sup>
	1.000	432.73	10/21/20	431.73	...	1.00	5 of 10 - Sale <sup>25</sup>
	0.568	247.73	10/21/20	246.53	...	1.20	6 of 10 - Sale <sup>25</sup>
	0.432	186.64	10/21/20	185.26	...	1.38	7 of 10 - Sale <sup>25</sup>
	0.480	207.63	10/21/20	206.13	...	1.50	8 of 10 - Sale <sup>25</sup>
	1.000	432.76	10/21/20	429.43	...	3.33	9 of 10 - Sale <sup>25</sup>
	1.000	432.16	10/21/20	427.64	...	4.52	10 of 10 - Sale <sup>25</sup>
10/21/20	6.568	2,843.88	Various	2,828.94	...	14.94	Total of 10 transactions
	36 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	0.432	184.45	10/21/20	237.61	53.16 W	0.00	1 of 36 - Sale <sup>25</sup>
	1.000	427.08	10/21/20	511.01	83.93 W	0.00	2 of 36 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	427.08	10/21/20	508.42	81.34 W	0.00	3 of 36 - Sale <sup>25</sup>
	0.568	242.63	10/21/20	253.29	10.66 W	0.00	4 of 36 - Sale <sup>25</sup>
	0.432	186.90	10/21/20	191.96	5.06 W	0.00	5 of 36 - Sale <sup>25</sup>
	0.432	187.04	10/21/20	238.51	51.47 W	0.00	6 of 36 - Sale <sup>25</sup>
	0.568	246.05	10/21/20	313.85	67.80 W	0.00	7 of 36 - Sale <sup>25</sup>
	0.568	246.05	10/21/20	291.51	45.46 W	0.00	8 of 36 - Sale <sup>25</sup>
	0.432	187.04	10/21/20	188.41	1.37 W	0.00	9 of 36 - Sale <sup>25</sup>
	1.000	433.09	10/21/20	435.02	1.93 W	0.00	10 of 36 - Sale <sup>25</sup>
	0.432	187.04	10/21/20	222.05	35.01 W	0.00	11 of 36 - Sale <sup>25</sup>
	1.000	433.09	10/21/20	511.55	78.46 W	0.00	12 of 36 - Sale <sup>25</sup>
	0.568	246.05	10/21/20	255.07	9.02 W	0.00	13 of 36 - Sale <sup>25</sup>
	0.432	186.89	10/21/20	237.09	50.20 W	0.00	14 of 36 - Sale <sup>25</sup>
	0.568	245.84	10/21/20	311.98	66.14 W	0.00	15 of 36 - Sale <sup>25</sup>
	0.432	186.89	10/21/20	220.63	33.74 W	0.00	16 of 36 - Sale <sup>25</sup>
	0.568	245.84	10/21/20	289.64	43.80 W	0.00	17 of 36 - Sale <sup>25</sup>
	1.000	432.73	10/21/20	508.26	75.53 W	0.00	18 of 36 - Sale <sup>25</sup>
	0.136	58.96	10/21/20	60.72	1.76 W	0.00	19 of 36 - Sale <sup>25</sup>
	0.432	186.89	10/21/20	190.68	3.79 W	0.00	20 of 36 - Sale <sup>25</sup>
	0.432	186.88	10/21/20	186.99	0.11 W	0.00	21 of 36 - Sale <sup>25</sup>
	0.432	188.31	10/21/20	237.54	49.23 W	0.00	22 of 36 - Sale <sup>25</sup>
	0.568	247.73	10/21/20	312.58	64.85 W	0.00	23 of 36 - Sale <sup>25</sup>
	0.432	188.31	10/21/20	221.08	32.77 W	0.00	24 of 36 - Sale <sup>25</sup>
	0.568	247.73	10/21/20	290.24	42.51 W	0.00	25 of 36 - Sale <sup>25</sup>
	0.568	247.73	10/21/20	289.35	41.62 W	0.00	26 of 36 - Sale <sup>25</sup>
	0.432	188.31	10/21/20	194.20	5.89 W	0.00	27 of 36 - Sale <sup>25</sup>
	0.432	188.31	10/21/20	220.02	31.71 W	0.00	28 of 36 - Sale <sup>25</sup>
	0.136	59.41	10/21/20	60.88	1.47 W	0.00	29 of 36 - Sale <sup>25</sup>
	0.432	188.32	10/21/20	191.19	2.87 W	0.00	30 of 36 - Sale <sup>25</sup>
	0.432	186.52	10/21/20	238.02	51.50 W	0.00	31 of 36 - Sale <sup>25</sup>
	0.568	245.37	10/21/20	313.21	67.84 W	0.00	32 of 36 - Sale <sup>25</sup>
	0.432	186.52	10/21/20	221.56	35.04 W	0.00	33 of 36 - Sale <sup>25</sup>
	0.568	245.37	10/21/20	290.87	45.50 W	0.00	34 of 36 - Sale <sup>25</sup>
	0.568	245.37	10/21/20	289.98	44.61 W	0.00	35 of 36 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.432	186.52	10/21/20	194.68	8.16 W	0.00	36 of 36 - Sale <sup>25</sup>
10/21/20	19.432	8,404.34	Various	9,729.65	1,325.31 W	0.00	Total of 36 transactions
10/30/20	5.000	1,943.64	10/30/20	1,943.57	...	0.07	Sale <sup>25</sup>
30 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	0.432	166.70	10/30/20	219.38	52.68 W	0.00	1 of 30 - Sale <sup>25</sup>
	0.568	219.29	10/30/20	288.68	69.39 W	0.00	2 of 30 - Sale <sup>25</sup>
	0.432	166.70	10/30/20	199.59	32.89 W	0.00	3 of 30 - Sale <sup>25</sup>
	0.432	166.70	10/30/20	202.92	36.22 W	0.00	4 of 30 - Sale <sup>25</sup>
	0.568	219.29	10/30/20	266.34	47.05 W	0.00	5 of 30 - Sale <sup>25</sup>
	0.568	219.29	10/30/20	265.45	46.16 W	0.00	6 of 30 - Sale <sup>25</sup>
	0.136	52.59	10/30/20	54.43	1.84 W	0.00	7 of 30 - Sale <sup>25</sup>
	0.432	166.70	10/30/20	170.75	4.05 W	0.00	8 of 30 - Sale <sup>25</sup>
	0.432	166.70	10/30/20	176.04	9.34 W	0.00	9 of 30 - Sale <sup>25</sup>
	1.000	385.99	10/30/20	388.72	2.73 W	0.00	10 of 30 - Sale <sup>25</sup>
	0.432	168.43	10/30/20	222.06	53.63 W	0.00	11 of 30 - Sale <sup>25</sup>
	0.568	221.56	10/30/20	292.21	70.65 W	0.00	12 of 30 - Sale <sup>25</sup>
	0.432	168.43	10/30/20	202.27	33.84 W	0.00	13 of 30 - Sale <sup>25</sup>
	0.432	168.43	10/30/20	205.60	37.17 W	0.00	14 of 30 - Sale <sup>25</sup>
	0.568	221.56	10/30/20	269.87	48.31 W	0.00	15 of 30 - Sale <sup>25</sup>
	0.568	221.56	10/30/20	268.98	47.42 W	0.00	16 of 30 - Sale <sup>25</sup>
	0.136	53.14	10/30/20	55.28	2.14 W	0.00	17 of 30 - Sale <sup>25</sup>
	0.432	168.43	10/30/20	173.43	5.00 W	0.00	18 of 30 - Sale <sup>25</sup>
	0.432	168.42	10/30/20	178.72	10.30 W	0.00	19 of 30 - Sale <sup>25</sup>
	1.000	389.99	10/30/20	394.93	4.94 W	0.00	20 of 30 - Sale <sup>25</sup>
	0.432	168.62	10/30/20	222.70	54.08 W	0.00	21 of 30 - Sale <sup>25</sup>
	0.568	221.81	10/30/20	293.07	71.26 W	0.00	22 of 30 - Sale <sup>25</sup>
	0.432	168.62	10/30/20	202.91	34.29 W	0.00	23 of 30 - Sale <sup>25</sup>
	0.432	168.62	10/30/20	206.24	37.62 W	0.00	24 of 30 - Sale <sup>25</sup>
	0.568	221.81	10/30/20	270.73	48.92 W	0.00	25 of 30 - Sale <sup>25</sup>
	0.568	221.81	10/30/20	269.84	48.03 W	0.00	26 of 30 - Sale <sup>25</sup>
	0.136	53.20	10/30/20	55.48	2.28 W	0.00	27 of 30 - Sale <sup>25</sup>
	0.432	168.62	10/30/20	174.07	5.45 W	0.00	28 of 30 - Sale <sup>25</sup>
	0.432	168.61	10/30/20	179.38	10.77 W	0.00	29 of 30 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	390.43	10/30/20	396.43	6.00 W	0.00	30 of 30 - Sale <sup>25</sup>
10/30/20	15.000	5,832.05	Various	6,766.50	934.45 W	0.00	Total of 30 transactions
	10 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	0.432	178.44	11/03/20	231.97	53.53 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.568	234.74	11/03/20	305.27	70.53 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.432	178.44	11/03/20	212.18	33.74 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.432	178.44	11/03/20	215.51	37.07 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.568	234.74	11/03/20	282.93	48.19 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.568	234.74	11/03/20	282.04	47.30 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.136	56.30	11/03/20	58.40	2.10 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.432	178.44	11/03/20	183.33	4.89 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.432	178.44	11/03/20	188.66	10.22 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.000	413.18	11/03/20	417.89	4.71 W	0.00	10 of 10 - Sale <sup>25</sup>
11/03/20	5.000	2,065.90	Various	2,378.18	312.28 W	0.00	Total of 10 transactions
	10 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	0.432	186.13	11/09/20	241.36	55.23 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.568	244.86	11/09/20	317.62	72.76 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.432	186.13	11/09/20	221.57	35.44 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.432	186.13	11/09/20	224.90	38.77 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.568	244.86	11/09/20	295.28	50.42 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.568	244.86	11/09/20	294.39	49.53 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.136	58.72	11/09/20	61.36	2.64 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.432	186.13	11/09/20	192.72	6.59 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.432	186.14	11/09/20	198.05	11.91 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.000	430.99	11/09/20	439.62	8.63 W	0.00	10 of 10 - Sale <sup>25</sup>
11/09/20	5.000	2,154.95	Various	2,486.87	331.92 W	0.00	Total of 10 transactions
	2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	0.432	191.99	11/16/20	254.66	62.67 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.568	252.57	11/16/20	335.10	82.53 W	0.00	2 of 2 - Sale <sup>25</sup>
11/17/20	1.000	444.56	Various	589.76	145.20 W	0.00	Total of 2 transactions
	8 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	0.432	193.77	11/18/20	230.61	36.84 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.568	254.89	11/18/20	307.16	52.27 W	0.00	2 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.432	193.77	11/18/20	234.09	40.32 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.568	254.89	11/18/20	306.46	51.57 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.136	65.14	11/18/20	66.66	1.52 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.432	206.48	11/18/20	209.53	3.05 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.432	206.49	11/18/20	214.85	8.36 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	478.11	11/18/20	478.53	0.42 W	0.00	8 of 8 - Sale <sup>25</sup>
11/18/20	4.000	1,853.54	Various	2,047.89	194.35 W	0.00	Total of 8 transactions
	9 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	0.432	215.11	11/19/20	281.59	66.48 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.568	282.97	11/19/20	370.52	87.55 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.432	215.11	11/19/20	255.76	40.65 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.568	282.98	11/19/20	340.25	57.27 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.432	213.59	11/19/20	255.50	41.91 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.568	280.97	11/19/20	334.64	53.67 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.136	67.39	11/19/20	69.41	2.02 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.432	213.59	11/19/20	218.23	4.64 W	0.00	8 of 9 - Sale <sup>25</sup>
	0.432	213.59	11/19/20	223.54	9.95 W	0.00	9 of 9 - Sale <sup>25</sup>
11/19/20	4.000	1,985.30	Various	2,349.44	364.14 W	0.00	Total of 9 transactions
11/23/20	1.000	517.98	11/23/20	523.54	5.56 W	0.00	Sale <sup>25</sup>
	4 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	0.136	87.58	12/07/20	87.36	...	0.22	1 of 4 - Sale <sup>25</sup>
	0.432	277.62	12/07/20	275.13	...	2.49	2 of 4 - Sale <sup>25</sup>
	1.000	642.82	12/07/20	631.88	...	10.94	3 of 4 - Sale <sup>25</sup>
	5.000	3,214.10	12/07/20	3,131.60	...	82.50	4 of 4 - Sale <sup>25</sup>
12/07/20	6.568	4,222.12	Various	4,125.97	...	96.15	Total of 4 transactions
	7 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	0.432	277.62	12/07/20	336.97	59.35 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.568	365.20	12/07/20	443.38	78.18 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.432	277.62	12/07/20	311.14	33.52 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.432	277.62	12/07/20	312.40	34.78 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.568	365.20	12/07/20	413.10	47.90 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.568	365.20	12/07/20	409.50	44.30 W	0.00	6 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.432	277.62	12/07/20	280.44	2.82 W	0.00	7 of 7 - Sale <sup>25</sup>
12/07/20	3.432	2,206.08	Various	2,506.93	300.85 W	0.00	Total of 7 transactions
	2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	0.432	264.26	12/10/20	318.82	54.56 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.568	347.63	12/10/20	419.51	71.88 W	0.00	2 of 2 - Sale <sup>25</sup>
12/10/20	1.000	611.89	Various	738.33	126.44 W	0.00	Total of 2 transactions
	8 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	0.432	273.20	12/14/20	329.45	56.25 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.568	359.39	12/14/20	433.49	74.10 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.432	273.20	12/14/20	308.41	35.21 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.432	273.20	12/14/20	309.67	36.47 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.568	359.39	12/14/20	409.51	50.12 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.568	359.39	12/14/20	405.91	46.52 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.432	273.20	12/14/20	277.71	4.51 W	0.00	7 of 8 - Sale <sup>25</sup>
	6.568	4,154.89	12/14/20	4,180.61	25.72 W	0.00	8 of 8 - Sale <sup>25</sup>
12/14/20	10.000	6,325.86	Various	6,654.76	328.90 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>235,009.99</b>		<b>244,991.07</b>	<b>10,130.43 W</b>	<b>149.35</b>	
TEUCURIUM WHEAT FUND / CUSIP: 88166A508 / Symbol:							
11/27/20	100.000	587.98	11/27/20	590.73	...	-2.75	Sale <sup>25</sup>
TEXAS INSTRUMENTS INCORPORATED COMMON STOCK / CUSIP: 882508104 / Symbol:							
	3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	10.000	1,544.96	10/12/20	1,544.00	...	0.96	1 of 3 - Sale <sup>25</sup>
	5.000	767.78	10/12/20	763.56	...	4.22	2 of 3 - Sale <sup>25</sup>
	5.000	770.53	10/12/20	763.55	...	6.98	3 of 3 - Sale <sup>25</sup>
10/12/20	20.000	3,083.27	Various	3,071.11	...	12.16	Total of 3 transactions
11/04/20	10.000	1,520.37	11/04/20	1,529.00	...	-8.63	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,603.64</b>		<b>4,600.11</b>	...	<b>3.53</b>	
3M COMPANY / CUSIP: 88579Y101 / Symbol:							
	3 transactions for 01/28/20. Total proceeds and cost reported to the IRS.						
	2.000	330.55	11/20/19	336.78	6.23 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	165.28	11/20/19	168.43	3.15 W	0.00	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
3M COMPANY / CUSIP: 88579Y101 / Symbol: (cont'd)							
01/28/20	2.000	330.55	12/17/19	340.50	9.95 W	0.00	3 of 3 - Sale <sup>25</sup>
	5.000	826.38	Various	845.71	19.33 W	0.00	Total of 3 transactions
	2 transactions for 01/29/20. Total proceeds and cost reported to the IRS.						
	1.000	162.56	01/27/20	180.90	18.34 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	325.12	01/27/20	361.73	36.61 W	0.00	2 of 2 - Sale <sup>25</sup>
01/29/20	3.000	487.68	Various	542.63	54.95 W	0.00	Total of 2 transactions
	4 transactions for 02/21/20. Total proceeds and cost reported to the IRS.						
	2.000	313.58	01/27/20	365.45	...	-51.87	1 of 4 - Sale <sup>25</sup>
	2.000	313.58	02/11/20	360.91	...	-47.33	2 of 4 - Sale <sup>25</sup>
	1.000	156.79	02/11/20	180.49	...	-23.70	3 of 4 - Sale <sup>25</sup>
	2.000	313.59	02/11/20	324.30	...	-10.71	4 of 4 - Sale <sup>25</sup>
02/21/20	7.000	1,097.54	Various	1,231.15	...	-133.61	Total of 4 transactions
	2 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	2.000	341.91	10/16/20	342.22	...	-0.31	1 of 2 - Sale <sup>25</sup>
	3.000	512.87	10/16/20	513.11	...	-0.24	2 of 2 - Sale <sup>25</sup>
10/20/20	5.000	854.78	Various	855.33	...	-0.55	Total of 2 transactions
	<b>Security total:</b>	<b>3,266.38</b>		<b>3,474.82</b>	<b>74.28 W</b>	<b>-134.16</b>	
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
	2 transactions for 01/24/20. Total proceeds and cost reported to the IRS.						
	40.000	783.05	01/15/20	879.20	...	-96.15	1 of 2 - Sale <sup>25</sup>
	10.000	195.76	01/15/20	219.80	...	-24.04	2 of 2 - Sale <sup>25</sup>
01/24/20	50.000	978.81	Various	1,099.00	...	-120.19	Total of 2 transactions
	4 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	47.000	398.16	04/30/20	402.79	...	-4.63	1 of 4 - Sale <sup>25</sup>
	2.000	16.94	04/30/20	17.14	...	-0.20	2 of 4 - Sale <sup>25</sup>
	1.000	8.47	04/30/20	8.57	...	-0.10	3 of 4 - Sale <sup>25</sup>
	10.000	84.72	04/30/20	84.00	...	0.72	4 of 4 - Sale <sup>25</sup>
05/18/20	60.000	508.29	Various	512.50	...	-4.21	Total of 4 transactions
	2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	100.000	612.32	10/12/20	634.81	22.49 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	645.32	10/12/20	655.49	10.17 W	0.00	2 of 2 - Sale <sup>25</sup>
10/12/20	200.000	1,257.64	Various	1,290.30	32.66 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
10/26/20	100.000	584.97	10/26/20	604.06	...	-19.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,329.71</b>		<b>3,505.86</b>	<b>32.66 W</b>	<b>-143.49</b>	
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol:							
01/14/20	50.000	13.50	01/09/20	14.75	1.25 W	0.00	Sale <sup>25</sup>
	4 transactions for 01/22/20. Total proceeds and cost reported to the IRS.						
	350.000	119.17	01/21/20	136.50	0.05 W	-17.28	1 of 4 - Sale <sup>25</sup>
	50.000	15.79	01/21/20	20.20	4.41 W	0.00	2 of 4 - Sale <sup>25</sup>
	150.000	47.37	01/21/20	58.50	11.13 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	17.02	01/21/20	23.91	6.89 W	0.00	4 of 4 - Sale <sup>25</sup>
01/22/20	600.000	199.35	Various	239.11	22.48 W	-17.28	Total of 4 transactions
	2 transactions for 02/03/20. Total proceeds and cost reported to the IRS.						
	249.000	59.73	01/21/20	97.11	...	-37.38	1 of 2 - Sale <sup>25</sup>
	1.000	0.24	01/21/20	0.53	...	-0.29	2 of 2 - Sale <sup>25</sup>
02/03/20	250.000	59.97	Various	97.64	...	-37.67	Total of 2 transactions
	2 transactions for 02/04/20. Total proceeds and cost reported to the IRS.						
	49.000	11.02	01/21/20	25.86	...	-14.84	1 of 2 - Sale <sup>25</sup>
	51.000	11.47	01/21/20	23.67	...	-12.20	2 of 2 - Sale <sup>25</sup>
02/04/20	100.000	22.49	Various	49.53	...	-27.04	Total of 2 transactions
02/05/20	4.000	1.01	01/21/20	1.86	...	-0.85	Sale <sup>25</sup>
02/07/20	46.000	10.88	01/21/20	21.35	...	-10.47	Sale <sup>25</sup>
	2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.						
	49.000	11.57	01/21/20	22.75	...	-11.18	1 of 2 - Sale <sup>25</sup>
	1.000	0.23	01/21/20	0.44	...	-0.21	2 of 2 - Sale <sup>25</sup>
02/10/20	50.000	11.80	Various	23.19	...	-11.39	Total of 2 transactions
	2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	400.000	77.91	11/25/20	75.56	...	2.35	1 of 2 - Sale <sup>25</sup>
	600.000	116.87	11/25/20	113.34	...	3.53	2 of 2 - Sale <sup>25</sup>
11/25/20	1,000.000	194.78	Various	188.90	...	5.88	Total of 2 transactions
	<b>Security total:</b>	<b>513.78</b>		<b>636.33</b>	<b>23.73 W</b>	<b>-98.82</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOLL BROTHERS, INC. / CUSIP: 889478103 / Symbol:							
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	80.000	3,876.70	09/23/20	3,900.00	...	-23.30	1 of 3 - Sale <sup>25</sup>
	19.000	920.72	09/23/20	929.89	...	-9.17	2 of 3 - Sale <sup>25</sup>
	1.000	48.46	09/23/20	48.95	...	-0.49	3 of 3 - Sale <sup>25</sup>
09/23/20	100.000	4,845.88	Various	4,878.84	...	-32.96	Total of 3 transactions
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	48.20	09/23/20	48.40	0.20 W	0.00	1 of 2 - Sale <sup>25</sup>
	19.000	915.96	09/23/20	919.60	3.64 W	0.00	2 of 2 - Sale <sup>25</sup>
09/23/20	20.000	964.16	Various	968.00	3.84 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>5,810.04</b>		<b>5,846.84</b>	<b>3.84 W</b>	<b>-32.96</b>	
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	10.000	177.50	06/22/20	176.80	...	0.70	1 of 3 - Sale <sup>25</sup>
	50.000	887.47	06/22/20	884.00	...	3.47	2 of 3 - Sale <sup>25</sup>
	140.000	2,484.93	06/22/20	2,475.20	...	9.73	3 of 3 - Sale <sup>25</sup>
06/22/20	200.000	3,549.90	Various	3,536.00	...	13.90	Total of 3 transactions
TRANSDIGM GROUP INCORPORATED / CUSIP: 893641100 / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	8.000	3,948.23	08/11/20	4,038.40	...	-90.17	1 of 2 - Sale <sup>25</sup>
	2.000	987.06	08/11/20	1,009.60	...	-22.54	2 of 2 - Sale <sup>25</sup>
08/12/20	10.000	4,935.29	Various	5,048.00	...	-112.71	Total of 2 transactions
TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol:							
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	100.000	41.49	07/07/20	40.90	...	0.59	1 of 3 - Sale <sup>25</sup>
	650.000	269.67	07/07/20	265.85	...	3.82	2 of 3 - Sale <sup>25</sup>
	1,250.000	518.58	07/07/20	511.25	...	7.33	3 of 3 - Sale <sup>25</sup>
07/07/20	2,000.000	829.74	Various	818.00	...	11.74	Total of 3 transactions
THE TRAVELERS COMPANIES, INC. / CUSIP: 89417E109 / Symbol:							
12/22/20	10.000	1,369.66	12/22/20	1,375.64	...	-5.98	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TRILLIUM THERAPEUTICS INC. COM MON SHARES / CUSIP: 89620X506 / Symbol:							
03/06/20	1.000	7.29	03/05/20	6.79	...	0.50	Sale <sup>25</sup>
11/20/20	10.000	178.20	11/20/20	179.80	...	-1.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>185.49</b>		<b>186.59</b>	...	<b>-1.10</b>	
TRIPADVISOR, INC. COMMON STOCK / CUSIP: 896945201 / Symbol:							
08/11/20	50.000	1,120.47	08/11/20	1,126.50	...	-6.03	Sale <sup>25</sup>
TUNI CORPORATION AMERICAN DEP OSITARY SHARES / CUSIP: 89977P106 / Symbol:							
<i>2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	299.99	11/24/20	313.00	13.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	289.99	11/24/20	310.51	20.52 W	0.00	2 of 2 - Sale <sup>25</sup>
11/24/20	200.000	589.98	Various	623.51	33.53 W	0.00	Total of 2 transactions
11/25/20	100.000	400.99	11/25/20	452.51	...	-51.52	Sale <sup>25</sup>
11/25/20	100.000	338.99	11/25/20	374.80	35.81 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,329.96</b>		<b>1,450.82</b>	<b>69.34 W</b>	<b>-51.52</b>	
TURTLE BEACH CORPORATION COMMO N STOCK / CUSIP: 900450206 / Symbol:							
<i>14 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	99.000	1,376.05	06/16/20	1,553.42	...	-177.37	1 of 14 - Sale <sup>25</sup>
	99.000	1,371.10	06/16/20	1,484.09	...	-112.99	2 of 14 - Sale <sup>25</sup>
	50.000	694.98	06/16/20	788.32	...	-93.34	3 of 14 - Sale <sup>25</sup>
	100.000	1,389.95	06/16/20	1,482.08	...	-92.13	4 of 14 - Sale <sup>25</sup>
	47.000	653.28	06/16/20	740.98	...	-87.70	5 of 14 - Sale <sup>25</sup>
	3.000	41.70	06/16/20	47.29	...	-5.59	6 of 14 - Sale <sup>25</sup>
	1.000	13.90	06/16/20	15.69	...	-1.79	7 of 14 - Sale <sup>25</sup>
	1.000	13.85	06/16/20	14.99	...	-1.14	8 of 14 - Sale <sup>25</sup>
	3.000	44.79	06/16/20	44.79	...	0.00	9 of 14 - Sale <sup>25</sup>
	3.000	44.76	06/16/20	44.70	...	0.06	10 of 14 - Sale <sup>25</sup>
	3.000	44.73	06/16/20	44.64	...	0.09	11 of 14 - Sale <sup>25</sup>
	100.000	1,471.95	06/16/20	1,470.00	...	1.95	12 of 14 - Sale <sup>25</sup>
	97.000	1,448.16	06/16/20	1,443.36	...	4.80	13 of 14 - Sale <sup>25</sup>
	100.000	1,497.95	06/16/20	1,490.00	...	7.95	14 of 14 - Sale <sup>25</sup>
06/16/20	706.000	10,107.15	Various	10,664.35	...	-557.20	Total of 14 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TURTLE BEACH CORPORATION COMMO N STOCK / CUSIP: 900450206 / Symbol: (cont'd)							
16 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	47.000	701.22	06/16/20	701.71	0.49 W	0.00	1 of 16 - Sale <sup>25</sup>
	50.000	745.97	06/16/20	746.50	0.53 W	0.00	2 of 16 - Sale <sup>25</sup>
	47.000	700.75	06/16/20	700.79	0.04 W	0.00	3 of 16 - Sale <sup>25</sup>
	50.000	745.47	06/16/20	745.53	0.06 W	0.00	4 of 16 - Sale <sup>25</sup>
	47.000	639.48	06/16/20	690.48	51.00 W	0.00	5 of 16 - Sale <sup>25</sup>
	50.000	680.29	06/16/20	734.58	54.29 W	0.00	6 of 16 - Sale <sup>25</sup>
	3.000	40.82	06/16/20	44.07	3.25 W	0.00	7 of 16 - Sale <sup>25</sup>
	99.000	1,351.31	06/16/20	1,454.34	103.03 W	0.00	8 of 16 - Sale <sup>25</sup>
	100.000	1,364.95	06/16/20	1,469.03	104.08 W	0.00	9 of 16 - Sale <sup>25</sup>
	1.000	13.65	06/16/20	14.69	1.04 W	0.00	10 of 16 - Sale <sup>25</sup>
	100.000	1,381.95	06/16/20	1,469.03	87.08 W	0.00	11 of 16 - Sale <sup>25</sup>
	3.000	41.46	06/16/20	46.90	5.44 W	0.00	12 of 16 - Sale <sup>25</sup>
	47.000	649.52	06/16/20	734.85	85.33 W	0.00	13 of 16 - Sale <sup>25</sup>
	50.000	690.97	06/16/20	781.79	90.82 W	0.00	14 of 16 - Sale <sup>25</sup>
	1.000	13.85	06/16/20	15.59	1.74 W	0.00	15 of 16 - Sale <sup>25</sup>
	99.000	1,371.11	06/16/20	1,543.48	172.37 W	0.00	16 of 16 - Sale <sup>25</sup>
06/16/20	794.000	11,132.77	Various	11,893.36	760.59 W	0.00	Total of 16 transactions
	<b>Security total:</b>	<b>21,239.92</b>		<b>22,557.71</b>	<b>760.59 W</b>	<b>-557.20</b>	
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
10/07/20	5.000	1,511.76	10/07/20	1,511.19	...	0.57	Sale <sup>25</sup>
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	304.14	10/07/20	305.40	1.26 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	1,216.57	10/07/20	1,221.60	5.03 W	0.00	2 of 2 - Sale <sup>25</sup>
10/07/20	5.000	1,520.71	Various	1,527.00	6.29 W	0.00	Total of 2 transactions
6 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1.000	306.01	10/23/20	305.46	...	0.55	1 of 6 - Sale <sup>25</sup>
	4.000	1,224.05	10/23/20	1,221.83	...	2.22	2 of 6 - Sale <sup>25</sup>
	2.000	612.02	10/23/20	608.40	...	3.62	3 of 6 - Sale <sup>25</sup>
	3.000	918.03	10/23/20	912.60	...	5.43	4 of 6 - Sale <sup>25</sup>
	2.000	595.98	10/26/20	592.96	...	3.02	5 of 6 - Sale <sup>25</sup>
	3.000	896.98	10/26/20	889.44	...	7.54	6 of 6 - Sale <sup>25</sup>
10/26/20	15.000	4,553.07	Various	4,530.69	...	22.38	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol: (cont'd)							
11/18/20	10.000	2,822.88	11/18/20	2,840.75	17.87 W	0.00	Sale <sup>25</sup>
11/20/20	5.000	1,495.24	11/20/20	1,513.14	17.90 W	0.00	Sale <sup>25</sup>
	2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	5.000	1,571.21	12/08/20	1,631.65	...	-60.44	1 of 2 - Sale <sup>25</sup>
	5.000	1,571.22	12/08/20	1,622.68	...	-51.46	2 of 2 - Sale <sup>25</sup>
12/09/20	10.000	3,142.43	Various	3,254.33	...	-111.90	Total of 2 transactions
	<b>Security total:</b>	<b>15,046.09</b>		<b>15,177.10</b>	<b>42.06 W</b>	<b>-88.95</b>	
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
	4 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	1.000	32.40	07/07/20	32.22	...	0.18	1 of 4 - Sale <sup>25</sup>
	21.000	680.17	07/07/20	676.58	...	3.59	2 of 4 - Sale <sup>25</sup>
	100.000	3,228.91	07/07/20	3,224.83	...	4.08	3 of 4 - Sale <sup>25</sup>
	78.000	2,526.35	07/07/20	2,513.02	...	13.33	4 of 4 - Sale <sup>25</sup>
07/07/20	200.000	6,467.83	Various	6,446.65	...	21.18	Total of 4 transactions
07/13/20	50.000	1,791.96	07/13/20	1,799.91	7.95 W	0.00	Sale <sup>25</sup>
08/10/20	50.000	1,907.45	08/06/20	1,892.95	...	14.50	Sale <sup>25</sup>
09/02/20	10.000	414.00	09/02/20	418.50	4.50 W	0.00	Sale <sup>25</sup>
	5 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	10.000	402.78	09/16/20	401.98	...	0.80	1 of 5 - Sale <sup>25</sup>
	10.000	402.79	09/16/20	398.20	...	4.59	2 of 5 - Sale <sup>25</sup>
	10.000	402.78	09/16/20	397.47	...	5.31	3 of 5 - Sale <sup>25</sup>
	10.000	406.55	09/16/20	398.20	...	8.35	4 of 5 - Sale <sup>25</sup>
	10.000	407.52	09/16/20	398.20	...	9.32	5 of 5 - Sale <sup>25</sup>
09/16/20	50.000	2,022.42	Various	1,994.05	...	28.37	Total of 5 transactions
	4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	20.000	800.78	09/18/20	801.60	0.82 W	0.00	1 of 4 - Sale <sup>25</sup>
	30.000	1,201.18	09/18/20	1,203.85	2.67 W	0.00	2 of 4 - Sale <sup>25</sup>
	20.000	799.23	09/18/20	803.39	4.16 W	0.00	3 of 4 - Sale <sup>25</sup>
	30.000	1,198.84	09/18/20	1,205.27	6.43 W	0.00	4 of 4 - Sale <sup>25</sup>
09/18/20	100.000	4,000.03	Various	4,014.11	14.08 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWITTER, INC. / CUSIP: 90184L102 / Symbol: (cont'd)							
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	30.000	1,393.48	10/06/20	1,416.88	...	-23.40	1 of 3 - Sale <sup>25</sup>
	3.000	139.35	10/06/20	141.46	...	-2.11	2 of 3 - Sale <sup>25</sup>
	6.000	278.70	10/06/20	280.31	...	-1.61	3 of 3 - Sale <sup>25</sup>
10/06/20	39.000	1,811.53	Various	1,838.65	...	-27.12	Total of 3 transactions
22 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	11.000	510.95	10/06/20	521.05	9.18 W	-0.92	1 of 22 - Sale <sup>25</sup>
	1.000	46.89	10/06/20	47.68	0.79 W	0.00	2 of 22 - Sale <sup>25</sup>
	4.000	187.56	10/06/20	190.71	3.15 W	0.00	3 of 22 - Sale <sup>25</sup>
	1.000	46.89	10/06/20	47.68	0.79 W	0.00	4 of 22 - Sale <sup>25</sup>
	10.000	468.89	10/06/20	476.84	7.95 W	0.00	5 of 22 - Sale <sup>25</sup>
	14.000	656.44	10/06/20	667.49	11.05 W	0.00	6 of 22 - Sale <sup>25</sup>
	2.000	93.78	10/06/20	95.47	1.69 W	0.00	7 of 22 - Sale <sup>25</sup>
	1.000	46.89	10/06/20	48.33	1.44 W	0.00	8 of 22 - Sale <sup>25</sup>
	1.000	46.89	10/06/20	48.33	1.44 W	0.00	9 of 22 - Sale <sup>25</sup>
	4.000	187.56	10/06/20	193.31	5.75 W	0.00	10 of 22 - Sale <sup>25</sup>
	18.000	844.00	10/06/20	859.58	15.58 W	0.00	11 of 22 - Sale <sup>25</sup>
	11.000	515.78	10/06/20	522.94	7.16 W	0.00	12 of 22 - Sale <sup>25</sup>
	30.000	1,406.65	10/06/20	1,422.00	15.35 W	0.00	13 of 22 - Sale <sup>25</sup>
	3.000	140.67	10/06/20	141.98	1.31 W	0.00	14 of 22 - Sale <sup>25</sup>
	2.000	92.90	10/06/20	95.04	2.14 W	0.00	15 of 22 - Sale <sup>25</sup>
	4.000	185.80	10/06/20	190.17	4.37 W	0.00	16 of 22 - Sale <sup>25</sup>
	10.000	464.50	10/06/20	474.73	10.23 W	0.00	17 of 22 - Sale <sup>25</sup>
	14.000	650.30	10/06/20	664.53	14.23 W	0.00	18 of 22 - Sale <sup>25</sup>
	1.000	46.45	10/06/20	48.15	1.70 W	0.00	19 of 22 - Sale <sup>25</sup>
	1.000	46.45	10/06/20	48.15	1.70 W	0.00	20 of 22 - Sale <sup>25</sup>
	4.000	185.80	10/06/20	192.59	6.79 W	0.00	21 of 22 - Sale <sup>25</sup>
	14.000	650.30	10/06/20	666.06	15.76 W	0.00	22 of 22 - Sale <sup>25</sup>
10/06/20	161.000	7,522.34	Various	7,662.81	139.55 W	-0.92	Total of 22 transactions
10/20/20	10.000	463.70	10/20/20	470.62	6.92 W	0.00	Sale <sup>25</sup>
5 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	4.000	201.88	10/21/20	204.63	2.75 W	0.00	1 of 5 - Sale <sup>25</sup>
	6.000	302.82	10/21/20	306.98	4.16 W	0.00	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWITTER, INC. / CUSIP: 90184L102 / Symbol: (cont'd)							
	2.000	100.76	10/21/20	102.42	1.66 W	0.00	3 of 5 - Sale <sup>25</sup>
	4.000	201.51	10/21/20	204.93	3.42 W	0.00	4 of 5 - Sale <sup>25</sup>
	4.000	201.51	10/21/20	204.65	3.14 W	0.00	5 of 5 - Sale <sup>25</sup>
10/21/20	20.000	1,008.48	Various	1,023.61	15.13 W	0.00	Total of 5 transactions
	5 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	1.000	41.37	11/04/20	43.54	2.17 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	41.37	11/04/20	43.54	2.17 W	0.00	2 of 5 - Sale <sup>25</sup>
	4.000	165.50	11/04/20	174.15	8.65 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	413.74	11/04/20	427.58	13.84 W	0.00	4 of 5 - Sale <sup>25</sup>
	14.000	579.24	11/04/20	601.52	22.28 W	0.00	5 of 5 - Sale <sup>25</sup>
11/04/20	30.000	1,241.22	Various	1,290.33	49.11 W	0.00	Total of 5 transactions
	12 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	1.000	43.30	11/11/20	45.32	2.02 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	43.30	11/11/20	45.32	2.02 W	0.00	2 of 12 - Sale <sup>25</sup>
	2.000	86.60	11/11/20	87.96	1.36 W	0.00	3 of 12 - Sale <sup>25</sup>
	4.000	173.20	11/11/20	175.35	2.15 W	0.00	4 of 12 - Sale <sup>25</sup>
	4.000	173.20	11/11/20	175.74	2.54 W	0.00	5 of 12 - Sale <sup>25</sup>
	4.000	173.20	11/11/20	176.02	2.82 W	0.00	6 of 12 - Sale <sup>25</sup>
	4.000	173.19	11/11/20	181.25	8.06 W	0.00	7 of 12 - Sale <sup>25</sup>
	6.000	259.79	11/11/20	263.06	3.27 W	0.00	8 of 12 - Sale <sup>25</sup>
	10.000	432.99	11/11/20	438.42	5.43 W	0.00	9 of 12 - Sale <sup>25</sup>
	14.000	606.18	11/11/20	626.38	20.20 W	0.00	10 of 12 - Sale <sup>25</sup>
	10.000	432.99	11/11/20	448.02	15.03 W	0.00	11 of 12 - Sale <sup>25</sup>
	40.000	1,731.95	11/11/20	1,736.71	4.76 W	0.00	12 of 12 - Sale <sup>25</sup>
11/13/20	100.000	4,329.89	Various	4,399.55	69.66 W	0.00	Total of 12 transactions
	12 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	1.000	42.73	11/13/20	45.35	2.62 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	42.73	11/13/20	45.35	2.62 W	0.00	2 of 12 - Sale <sup>25</sup>
	2.000	85.47	11/13/20	88.02	2.55 W	0.00	3 of 12 - Sale <sup>25</sup>
	4.000	170.94	11/13/20	175.47	4.53 W	0.00	4 of 12 - Sale <sup>25</sup>
	4.000	170.94	11/13/20	175.86	4.92 W	0.00	5 of 12 - Sale <sup>25</sup>
	4.000	170.94	11/13/20	176.14	5.20 W	0.00	6 of 12 - Sale <sup>25</sup>
	4.000	170.94	11/13/20	181.38	10.44 W	0.00	7 of 12 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWITTER, INC. / CUSIP: 90184L102 / Symbol: (cont'd)							
	6.000	256.40	11/13/20	263.25	6.85 W	0.00	8 of 12 - Sale <sup>25</sup>
	10.000	427.34	11/13/20	438.72	11.38 W	0.00	9 of 12 - Sale <sup>25</sup>
	10.000	427.34	11/13/20	448.32	20.98 W	0.00	10 of 12 - Sale <sup>25</sup>
	14.000	598.27	11/13/20	626.81	28.54 W	0.00	11 of 12 - Sale <sup>25</sup>
	40.000	1,709.35	11/13/20	1,737.91	28.56 W	0.00	12 of 12 - Sale <sup>25</sup>
11/16/20	100.000	4,273.39	Various	4,402.58	129.19 W	0.00	Total of 12 transactions
	12 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	40.000	1,907.95	12/07/20	1,942.56	...	-34.61	1 of 12 - Sale <sup>25</sup>
	14.000	667.78	12/07/20	698.44	...	-30.66	2 of 12 - Sale <sup>25</sup>
	10.000	476.99	12/07/20	499.48	...	-22.49	3 of 12 - Sale <sup>25</sup>
	10.000	476.99	12/07/20	489.88	...	-12.89	4 of 12 - Sale <sup>25</sup>
	4.000	190.79	12/07/20	201.84	...	-11.05	5 of 12 - Sale <sup>25</sup>
	6.000	286.19	12/07/20	293.95	...	-7.76	6 of 12 - Sale <sup>25</sup>
	4.000	190.79	12/07/20	196.60	...	-5.81	7 of 12 - Sale <sup>25</sup>
	4.000	190.80	12/07/20	196.32	...	-5.52	8 of 12 - Sale <sup>25</sup>
	4.000	190.80	12/07/20	195.93	...	-5.13	9 of 12 - Sale <sup>25</sup>
	2.000	95.40	12/07/20	98.25	...	-2.85	10 of 12 - Sale <sup>25</sup>
	1.000	47.70	12/07/20	50.47	...	-2.77	11 of 12 - Sale <sup>25</sup>
	1.000	47.70	12/07/20	50.47	...	-2.77	12 of 12 - Sale <sup>25</sup>
12/07/20	100.000	4,769.88	Various	4,914.19	...	-144.31	Total of 12 transactions
	<b>Security total:</b>	<b>42,024.12</b>		<b>42,568.51</b>	<b>436.09 W</b>	<b>-108.30</b>	
2U, INC. COMMON STOCK / CUSIP: 90214J101 / Symbol:							
12/22/20	30.000	1,242.27	12/22/20	1,253.10	...	-10.83	Sale <sup>25</sup>
TYSON FOODS, INC. / CUSIP: 902494103 / Symbol:							
	2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	10.000	646.98	08/10/20	645.90	...	1.08	1 of 2 - Sale <sup>25</sup>
	20.000	1,293.97	08/10/20	1,291.80	...	2.17	2 of 2 - Sale <sup>25</sup>
08/10/20	30.000	1,940.95	Various	1,937.70	...	3.25	Total of 2 transactions
08/11/20	20.000	1,312.17	08/10/20	1,291.80	...	20.37	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,253.12</b>		<b>3,229.50</b>	...	<b>23.62</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	69.000	2,216.91	06/11/20	2,323.35	...	-106.44	1 of 2 - Sale <sup>25</sup>
	31.000	996.00	06/11/20	1,043.83	...	-47.83	2 of 2 - Sale <sup>25</sup>
06/11/20	100.000	3,212.91	Various	3,367.18	...	-154.27	Total of 2 transactions
8 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	31.000	1,007.47	06/11/20	1,018.35	10.88 W	0.00	1 of 8 - Sale <sup>25</sup>
	69.000	2,242.44	06/11/20	2,266.65	24.21 W	0.00	2 of 8 - Sale <sup>25</sup>
	99.000	3,217.42	06/11/20	3,247.20	29.78 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	32.50	06/11/20	32.80	0.30 W	0.00	4 of 8 - Sale <sup>25</sup>
	31.000	996.00	06/11/20	1,027.68	31.68 W	0.00	5 of 8 - Sale <sup>25</sup>
	69.000	2,216.91	06/11/20	2,287.41	70.50 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	32.13	06/11/20	32.95	0.82 W	0.00	7 of 8 - Sale <sup>25</sup>
	99.000	3,180.78	06/11/20	3,262.13	81.35 W	0.00	8 of 8 - Sale <sup>25</sup>
06/11/20	400.000	12,925.65	Various	13,175.17	249.52 W	0.00	Total of 8 transactions
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	32.97	07/07/20	33.64	0.67 W	0.00	1 of 2 - Sale <sup>25</sup>
	99.000	3,263.94	07/07/20	3,330.51	66.57 W	0.00	2 of 2 - Sale <sup>25</sup>
07/07/20	100.000	3,296.91	Various	3,364.15	67.24 W	0.00	Total of 2 transactions
27 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	50.000	1,597.46	08/04/20	1,635.12	37.66 W	0.00	1 of 27 - Sale <sup>25</sup>
	5.000	161.06	08/04/20	163.56	2.50 W	0.00	2 of 27 - Sale <sup>25</sup>
	2.000	64.42	08/04/20	65.43	1.01 W	0.00	3 of 27 - Sale <sup>25</sup>
	1.000	32.21	08/04/20	32.71	0.50 W	0.00	4 of 27 - Sale <sup>25</sup>
	42.000	1,352.88	08/04/20	1,373.92	21.04 W	0.00	5 of 27 - Sale <sup>25</sup>
	10.000	325.99	08/04/20	331.41	5.42 W	0.00	6 of 27 - Sale <sup>25</sup>
	6.000	195.59	08/04/20	199.70	4.11 W	0.00	7 of 27 - Sale <sup>25</sup>
	20.000	651.98	08/04/20	665.66	13.68 W	0.00	8 of 27 - Sale <sup>25</sup>
	14.000	456.39	08/04/20	465.97	9.58 W	0.00	9 of 27 - Sale <sup>25</sup>
	1.000	32.69	08/04/20	33.03	0.34 W	0.00	10 of 27 - Sale <sup>25</sup>
	2.000	65.38	08/04/20	66.07	0.69 W	0.00	11 of 27 - Sale <sup>25</sup>
	3.000	98.07	08/04/20	99.09	1.02 W	0.00	12 of 27 - Sale <sup>25</sup>
	4.000	130.76	08/04/20	132.12	1.36 W	0.00	13 of 27 - Sale <sup>25</sup>
	1.000	32.60	08/04/20	33.27	0.67 W	0.00	14 of 27 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol: (cont'd)							
	1.000	32.60	08/04/20	33.27	0.67 W	0.00	15 of 27 - Sale <sup>25</sup>
	10.000	325.99	08/04/20	333.12	7.13 W	0.00	16 of 27 - Sale <sup>25</sup>
	38.000	1,238.77	08/04/20	1,264.30	25.53 W	0.00	17 of 27 - Sale <sup>25</sup>
	1.000	32.65	08/04/20	33.06	0.41 W	0.00	18 of 27 - Sale <sup>25</sup>
	2.000	65.30	08/04/20	66.13	0.83 W	0.00	19 of 27 - Sale <sup>25</sup>
	3.000	97.95	08/04/20	99.18	1.23 W	0.00	20 of 27 - Sale <sup>25</sup>
	4.000	130.60	08/04/20	132.24	1.64 W	0.00	21 of 27 - Sale <sup>25</sup>
	6.000	195.89	08/04/20	200.43	4.54 W	0.00	22 of 27 - Sale <sup>25</sup>
	14.000	457.09	08/04/20	467.66	10.57 W	0.00	23 of 27 - Sale <sup>25</sup>
	20.000	652.98	08/04/20	668.08	15.10 W	0.00	24 of 27 - Sale <sup>25</sup>
	1.000	32.65	08/04/20	33.39	0.74 W	0.00	25 of 27 - Sale <sup>25</sup>
	8.000	261.18	08/04/20	267.13	5.95 W	0.00	26 of 27 - Sale <sup>25</sup>
	1.000	32.65	08/04/20	33.39	0.74 W	0.00	27 of 27 - Sale <sup>25</sup>
08/04/20	270.000	8,753.78	Various	8,928.44	174.66 W	0.00	Total of 27 transactions
	18 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	20.000	661.03	08/05/20	681.06	...	-20.03	1 of 18 - Sale <sup>25</sup>
	10.000	330.52	08/05/20	340.11	...	-9.59	2 of 18 - Sale <sup>25</sup>
	10.000	329.99	08/05/20	338.43	...	-8.44	3 of 18 - Sale <sup>25</sup>
	9.000	297.47	08/05/20	305.73	...	-8.26	4 of 18 - Sale <sup>25</sup>
	9.000	296.99	08/05/20	303.84	...	-6.85	5 of 18 - Sale <sup>25</sup>
	8.000	263.99	08/05/20	270.65	...	-6.66	6 of 18 - Sale <sup>25</sup>
	6.000	198.31	08/05/20	204.33	...	-6.02	7 of 18 - Sale <sup>25</sup>
	4.000	132.21	08/05/20	136.21	...	-4.00	8 of 18 - Sale <sup>25</sup>
	5.000	164.99	08/05/20	168.80	...	-3.81	9 of 18 - Sale <sup>25</sup>
	5.000	165.00	08/05/20	168.80	...	-3.80	10 of 18 - Sale <sup>25</sup>
	4.000	132.00	08/05/20	133.99	...	-1.99	11 of 18 - Sale <sup>25</sup>
	3.000	99.00	08/05/20	100.49	...	-1.49	12 of 18 - Sale <sup>25</sup>
	2.000	66.00	08/05/20	67.01	...	-1.01	13 of 18 - Sale <sup>25</sup>
	1.000	33.05	08/05/20	33.97	...	-0.92	14 of 18 - Sale <sup>25</sup>
	1.000	33.00	08/05/20	33.83	...	-0.83	15 of 18 - Sale <sup>25</sup>
	1.000	33.00	08/05/20	33.83	...	-0.83	16 of 18 - Sale <sup>25</sup>
	1.000	33.00	08/05/20	33.76	...	-0.76	17 of 18 - Sale <sup>25</sup>
	1.000	33.00	08/05/20	33.50	...	-0.50	18 of 18 - Sale <sup>25</sup>
08/05/20	100.000	3,302.55	Various	3,388.34	...	-85.79	Total of 18 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol: (cont'd)							
<i>18 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	165.15	08/05/20	168.51	3.36 W	0.00	1 of 18 - Sale <sup>25</sup>
	15.000	495.45	08/05/20	505.53	10.08 W	0.00	2 of 18 - Sale <sup>25</sup>
	1.000	32.96	08/05/20	33.91	0.95 W	0.00	3 of 18 - Sale <sup>25</sup>
	9.000	296.66	08/05/20	305.21	8.55 W	0.00	4 of 18 - Sale <sup>25</sup>
	10.000	329.62	08/05/20	339.53	9.91 W	0.00	5 of 18 - Sale <sup>25</sup>
	4.000	131.85	08/05/20	135.98	4.13 W	0.00	6 of 18 - Sale <sup>25</sup>
	6.000	197.77	08/05/20	203.98	6.21 W	0.00	7 of 18 - Sale <sup>25</sup>
	20.000	659.24	08/05/20	679.90	20.66 W	0.00	8 of 18 - Sale <sup>25</sup>
	1.000	33.05	08/05/20	33.61	0.56 W	0.00	9 of 18 - Sale <sup>25</sup>
	1.000	33.05	08/05/20	33.94	0.89 W	0.00	10 of 18 - Sale <sup>25</sup>
	1.000	33.05	08/05/20	33.94	0.89 W	0.00	11 of 18 - Sale <sup>25</sup>
	2.000	66.10	08/05/20	67.23	1.13 W	0.00	12 of 18 - Sale <sup>25</sup>
	3.000	99.16	08/05/20	100.83	1.67 W	0.00	13 of 18 - Sale <sup>25</sup>
	4.000	132.21	08/05/20	134.44	2.23 W	0.00	14 of 18 - Sale <sup>25</sup>
	5.000	165.26	08/05/20	169.36	4.10 W	0.00	15 of 18 - Sale <sup>25</sup>
	8.000	264.42	08/05/20	271.55	7.13 W	0.00	16 of 18 - Sale <sup>25</sup>
	10.000	330.52	08/05/20	339.55	9.03 W	0.00	17 of 18 - Sale <sup>25</sup>
	15.000	495.78	08/05/20	508.08	12.30 W	0.00	18 of 18 - Sale <sup>25</sup>
08/05/20	120.000	3,961.30	Various	4,065.08	103.78 W	0.00	Total of 18 transactions
<i>3 transactions for 10/29/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	345.49	10/29/20	342.30	...	3.19	1 of 3 - Sale <sup>25</sup>
	20.000	690.98	10/29/20	685.16	...	5.82	2 of 3 - Sale <sup>25</sup>
	20.000	690.99	10/29/20	685.10	...	5.89	3 of 3 - Sale <sup>25</sup>
10/29/20	50.000	1,727.46	Various	1,712.56	...	14.90	Total of 3 transactions
12/24/20	1.000	51.42 N	12/21/20	52.02	...	-0.60	Sold via put exercise <sup>25</sup>
							Proceeds or Cost adjusted for option premium of -\$1.58
12/24/20	99.000	5,090.45 N	12/21/20	5,149.88	12.01 W	-47.42	Sold via put exercise <sup>25</sup>
							Proceeds or Cost adjusted for option premium of -\$156.42
12/28/20	20.000	1,037.97	12/28/20	1,048.41	...	-10.44	Sale <sup>25</sup>
	<b>Security total:</b>	<b>43,360.40</b>		<b>44,251.23</b>	<b>607.21 W</b>	<b>-283.62</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
4 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	10.000	387.09	06/18/20	387.08	...	0.01	1 of 4 - Sale <sup>25</sup>
	10.000	387.09	06/18/20	387.03	...	0.06	2 of 4 - Sale <sup>25</sup>
	80.000	3,096.72	06/18/20	3,096.65	...	0.07	3 of 4 - Sale <sup>25</sup>
	111.000	4,296.69	06/18/20	4,295.70	...	0.99	4 of 4 - Sale <sup>25</sup>
06/18/20	211.000	8,167.59	Various	8,166.46	...	1.13	Total of 4 transactions
07/06/20	100.000	3,519.91	07/06/20	3,509.99	...	9.92	Sale <sup>25</sup>
	<b>Security total:</b>	<b>11,687.50</b>		<b>11,676.45</b>	...	<b>11.05</b>	
UNITED PARCEL SERVICE, INC. CL ASS B / CUSIP: 911312106 / Symbol:							
08/07/20	5.000	780.68	08/07/20	778.35	...	2.33	Sale <sup>25</sup>
08/24/20	15.000	2,411.57	08/24/20	2,415.53	3.17 W	-0.79	Sale <sup>25</sup>
08/28/20	10.000	1,597.86	08/28/20	1,601.54	3.68 W	0.00	Sale <sup>25</sup>
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	164.39	08/31/20	164.09	...	0.30	1 of 2 - Sale <sup>25</sup>
	1.000	164.39	08/31/20	163.86	...	0.53	2 of 2 - Sale <sup>25</sup>
08/31/20	2.000	328.78	Various	327.95	...	0.83	Total of 2 transactions
09/25/20	5.000	822.98	09/25/20	813.74	...	9.24	Sale <sup>25</sup>
09/25/20	5.000	822.23	09/25/20	826.79	4.56 W	0.00	Sale <sup>25</sup>
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	5.000	841.73	09/29/20	852.00	10.27 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	841.73	09/29/20	847.44	5.71 W	0.00	2 of 2 - Sale <sup>25</sup>
09/29/20	10.000	1,683.46	Various	1,699.44	15.98 W	0.00	Total of 2 transactions
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	5.000	842.08	10/02/20	841.21	...	0.87	1 of 2 - Sale <sup>25</sup>
	5.000	836.48	10/02/20	832.74	...	3.74	2 of 2 - Sale <sup>25</sup>
10/02/20	10.000	1,678.56	Various	1,673.95	...	4.61	Total of 2 transactions
7 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	5.000	834.53	10/02/20	845.92	11.39 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	837.38	10/02/20	846.89	9.51 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	167.45	10/02/20	169.44	1.99 W	0.00	3 of 7 - Sale <sup>25</sup>
	4.000	669.80	10/02/20	677.77	7.97 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	837.26	10/02/20	837.70	0.44 W	0.00	5 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED PARCEL SERVICE, INC. CL ASS B / CUSIP: 911312106 / Symbol: (cont'd)							
	1.000	167.22	10/02/20	168.45		0.00	6 of 7 - Sale <sup>25</sup>
	4.000	668.86	10/02/20	673.81	4.95 W	0.00	7 of 7 - Sale <sup>25</sup>
10/02/20	25.000	4,182.50	Various	4,219.98	37.48 W	0.00	Total of 7 transactions
	4 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	170.99	10/06/20	170.52	...	0.47	1 of 4 - Sale <sup>25</sup>
	4.000	683.94	10/06/20	682.10	...	1.84	2 of 4 - Sale <sup>25</sup>
	5.000	854.93	10/06/20	851.00	...	3.93	3 of 4 - Sale <sup>25</sup>
	5.000	857.56	10/06/20	853.00	...	4.56	4 of 4 - Sale <sup>25</sup>
10/06/20	15.000	2,567.42	Various	2,556.62	...	10.80	Total of 4 transactions
	2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	171.51	10/06/20	171.83	0.32 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	686.05	10/06/20	687.35	1.30 W	0.00	2 of 2 - Sale <sup>25</sup>
10/06/20	5.000	857.56	Various	859.18	1.62 W	0.00	Total of 2 transactions
	3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	5.000	869.88	10/07/20	871.55	1.67 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	865.78	10/07/20	870.67	4.89 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	865.78	10/07/20	869.00	3.22 W	0.00	3 of 3 - Sale <sup>25</sup>
10/07/20	15.000	2,601.44	Various	2,611.22	9.78 W	0.00	Total of 3 transactions
10/19/20	4.000	699.70	10/19/20	707.75	...	-8.05	Sale <sup>25</sup>
10/19/20	1.000	174.93	10/19/20	177.04	2.11 W	0.00	Sale <sup>25</sup>
	2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	5.000	864.13	10/23/20	870.97	...	-6.84	1 of 2 - Sale <sup>25</sup>
	1.000	172.82	10/23/20	175.66	...	-2.84	2 of 2 - Sale <sup>25</sup>
10/23/20	6.000	1,036.95	Various	1,046.63	...	-9.68	Total of 2 transactions
	<b>Security total:</b>	<b>22,246.62</b>		<b>22,315.71</b>	<b>78.38 W</b>	<b>9.29</b>	
UNITED RENTALS, INC. / CUSIP: 911363109 / Symbol:							
	3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	197.34	10/05/20	196.93	...	0.41	1 of 3 - Sale <sup>25</sup>
	4.000	789.38	10/05/20	787.74	...	1.64	2 of 3 - Sale <sup>25</sup>
	5.000	972.37	10/05/20	968.35	...	4.02	3 of 3 - Sale <sup>25</sup>
10/05/20	10.000	1,959.09	Various	1,953.02	...	6.07	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED RENTALS, INC. / CUSIP: 911363109 / Symbol: (cont'd)							
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	192.91	10/05/20	193.67	0.76 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	771.62	10/05/20	774.68	3.06 W	0.00	2 of 2 - Sale <sup>25</sup>
10/05/20	5.000	964.53	Various	968.35	3.82 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,923.62</b>		<b>2,921.37</b>	<b>3.82 W</b>	<b>6.07</b>	
UNITED STATES NATURAL GAS FUND , LP UNIT / CUSIP: 912318300 / Symbol:							
12/18/20	100.000	977.46	12/16/20	971.81	...	5.65	Sale <sup>25</sup>
UNITED STATES STEEL CORPORATIO N / CUSIP: 912909108 / Symbol:							
4 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	10.000	82.30	06/18/20	82.18	...	0.12	1 of 4 - Sale <sup>25</sup>
	190.000	1,563.64	06/18/20	1,561.44	...	2.20	2 of 4 - Sale <sup>25</sup>
	210.000	1,728.23	06/18/20	1,725.80	...	2.43	3 of 4 - Sale <sup>25</sup>
	290.000	2,386.61	06/18/20	2,383.25	...	3.36	4 of 4 - Sale <sup>25</sup>
06/18/20	700.000	5,760.78	Various	5,752.67	...	8.11	Total of 4 transactions
3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	100.000	749.97	10/01/20	756.78	...	-6.81	1 of 3 - Sale <sup>25</sup>
	100.000	748.22	10/01/20	745.92	...	2.30	2 of 3 - Sale <sup>25</sup>
	100.000	748.22	10/01/20	741.81	...	6.41	3 of 3 - Sale <sup>25</sup>
10/01/20	300.000	2,246.41	Various	2,244.51	...	1.90	Total of 3 transactions
10/01/20	100.000	752.97	10/01/20	755.00	2.03 W	0.00	Sale <sup>25</sup>
12/14/20	100.000	1,748.95	12/14/20	1,766.00	...	-17.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>10,509.11</b>		<b>10,518.18</b>	<b>2.03 W</b>	<b>-7.04</b>	
UNITED THERAPEUTICS CORPORATIO N COMMON STOCK / CUSIP: 91307C102 / Symbol:							
10/20/20	5.000	582.38	10/19/20	578.10	...	4.28	Sale <sup>25</sup>
UNITEDHEALTH GROUP INCORPORATE D (DELAWARE) / CUSIP: 91324P102 / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	317.60	08/07/20	316.80	...	0.80	1 of 2 - Sale <sup>25</sup>
	5.000	1,586.63	08/07/20	1,584.00	...	2.63	2 of 2 - Sale <sup>25</sup>
08/07/20	6.000	1,904.23	Various	1,900.80	...	3.43	Total of 2 transactions
08/10/20	4.000	1,265.97	08/07/20	1,267.20	...	-1.23	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITEDHEALTH GROUP INCORPORATE D (DELAWARE) / CUSIP: 91324P102 / Symbol: (cont'd)							
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	5.000	1,609.51	10/07/20	1,604.90	...	4.61	1 of 2 - Sale <sup>25</sup>
	5.000	1,611.31	10/07/20	1,604.90	...	6.41	2 of 2 - Sale <sup>25</sup>
10/07/20	10.000	3,220.82	Various	3,209.80	...	11.02	Total of 2 transactions
5 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	321.90	10/07/20	323.05	1.15 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	1,287.61	10/07/20	1,292.20	4.59 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	321.64	10/07/20	324.70	3.06 W	0.00	3 of 5 - Sale <sup>25</sup>
	4.000	1,286.57	10/07/20	1,298.79	12.22 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	1,608.21	10/07/20	1,616.75	8.54 W	0.00	5 of 5 - Sale <sup>25</sup>
10/07/20	15.000	4,825.93	Various	4,855.49	29.56 W	0.00	Total of 5 transactions
5 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	323.53	10/08/20	327.81	4.28 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	1,294.13	10/08/20	1,311.22	17.09 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	1,617.66	10/08/20	1,632.29	14.63 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	322.26	10/08/20	327.93	5.67 W	0.00	4 of 5 - Sale <sup>25</sup>
	4.000	1,289.05	10/08/20	1,311.69	22.64 W	0.00	5 of 5 - Sale <sup>25</sup>
10/08/20	15.000	4,846.63	Various	4,910.94	64.31 W	0.00	Total of 5 transactions
5 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	331.72	10/12/20	334.46	2.74 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	662.98	10/12/20	668.91	5.93 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	329.91	10/12/20	337.36	7.45 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	659.82	10/12/20	669.23	9.41 W	0.00	4 of 5 - Sale <sup>25</sup>
	2.000	659.83	10/12/20	674.71	14.88 W	0.00	5 of 5 - Sale <sup>25</sup>
10/12/20	8.000	2,644.26	Various	2,684.67	40.41 W	0.00	Total of 5 transactions
9 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	1.000	332.03	10/13/20	337.49	5.46 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	331.66	10/13/20	336.78	5.12 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	331.55	10/13/20	334.74	3.19 W	0.00	3 of 9 - Sale <sup>25</sup>
	2.000	663.11	10/13/20	669.93	6.82 W	0.00	4 of 9 - Sale <sup>25</sup>
	2.000	663.11	10/13/20	673.41	10.30 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	331.76	10/13/20	339.36	7.60 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	331.76	10/13/20	337.38	5.62 W	0.00	7 of 9 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITEDHEALTH GROUP INCORPORATE D (DELAWARE) / CUSIP: 91324P102 / Symbol: (cont'd)							
	1.000	331.77	10/13/20	337.03		0.00	8 of 9 - Sale <sup>25</sup>
	2.000	663.53	10/13/20	678.71	5.26 W	0.00	9 of 9 - Sale <sup>25</sup>
10/13/20	12.000	3,980.28	Various	4,044.83	64.55 W	0.00	Total of 9 transactions
	7 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	2.000	657.77	10/23/20	674.38	...	-16.61	1 of 7 - Sale <sup>25</sup>
	2.000	659.98	10/23/20	672.90	...	-12.92	2 of 7 - Sale <sup>25</sup>
	1.000	328.88	10/23/20	337.20	...	-8.32	3 of 7 - Sale <sup>25</sup>
	1.000	328.88	10/23/20	335.22	...	-6.34	4 of 7 - Sale <sup>25</sup>
	1.000	328.88	10/23/20	334.86	...	-5.98	5 of 7 - Sale <sup>25</sup>
	2.000	657.77	10/23/20	660.72	...	-2.95	6 of 7 - Sale <sup>25</sup>
	1.000	328.88	10/23/20	330.14	...	-1.26	7 of 7 - Sale <sup>25</sup>
10/23/20	10.000	3,291.04	Various	3,345.42	...	-54.38	Total of 7 transactions
	3 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	1.000	333.55	10/23/20	334.09	0.54 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	667.10	10/23/20	668.62	1.52 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	657.76	10/23/20	669.50	11.74 W	0.00	3 of 3 - Sale <sup>25</sup>
10/23/20	5.000	1,658.41	Various	1,672.21	13.80 W	0.00	Total of 3 transactions
	2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	3.000	1,036.62	12/01/20	1,040.37	3.75 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	685.98	12/01/20	693.58	7.60 W	0.00	2 of 2 - Sale <sup>25</sup>
12/01/20	5.000	1,722.60	Various	1,733.95	11.35 W	0.00	Total of 2 transactions
	2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	2.000	686.68	12/09/20	696.30	...	-9.62	1 of 2 - Sale <sup>25</sup>
	3.000	1,030.03	12/09/20	1,036.80	...	-6.77	2 of 2 - Sale <sup>25</sup>
12/09/20	5.000	1,716.71	Various	1,733.10	...	-16.39	Total of 2 transactions
	<b>Security total: 31,076.88 31,358.41 223.98 W -57.55</b>						
UP FINTECH HOLDING LTD AMERICA N DEPOSITARY SHARE REPRESENTIN G FIFTEEN CLASS A ORDINARY SHA / CUSIP: 91531W106 / Symbol:							
	3 transactions for 01/17/20. Total proceeds and cost reported to the IRS.						
	147.000	683.51	01/17/20	712.71	...	-29.20	1 of 3 - Sale <sup>25</sup>
	100.000	463.14	01/17/20	484.84	...	-21.70	2 of 3 - Sale <sup>25</sup>
	53.000	246.44	01/17/20	256.97	...	-10.53	3 of 3 - Sale <sup>25</sup>
01/17/20	300.000	1,393.09	Various	1,454.52	...	-61.43	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UP FINTECH HOLDING LTD AMERICA N DEPOSITARY SHARE REPRESENTIN G FIFTEEN CLASS A ORDINARY SHA / CUSIP: 91531W106 / Symbol: (cont'd)							
<i>2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	764.97	07/08/20	703.00	...	61.97	1 of 2 - Sale <sup>25</sup>
	100.000	764.97	07/08/20	700.00	...	64.97	2 of 2 - Sale <sup>25</sup>
07/09/20	200.000	1,529.94	Various	1,403.00	...	126.94	Total of 2 transactions
07/20/20	20.000	117.00	07/20/20	116.60	...	0.40	Sale <sup>25</sup>
08/03/20	50.000	287.75	08/03/20	282.00	...	5.75	Sale <sup>25</sup>
08/12/20	100.000	599.97	08/12/20	600.92	...	-0.95	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,927.75</b>		<b>3,857.04</b>	...	<b>70.71</b>	
UPWORK INC. COMMON STOCK / CUSIP: 91688F104 / Symbol:							
<i>2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	870.97	08/04/20	882.96	...	-11.99	1 of 2 - Sale <sup>25</sup>
	50.000	870.98	08/04/20	882.97	...	-11.99	2 of 2 - Sale <sup>25</sup>
08/04/20	100.000	1,741.95	Various	1,765.93	...	-23.98	Total of 2 transactions
<i>4 transactions for 08/04/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	844.48	08/04/20	852.50	8.02 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	844.47	08/04/20	852.50	8.03 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	850.56	08/04/20	866.52	15.96 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	850.56	08/04/20	866.53	15.97 W	0.00	4 of 4 - Sale <sup>25</sup>
08/04/20	200.000	3,390.07	Various	3,438.05	47.98 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>5,132.02</b>		<b>5,203.98</b>	<b>47.98 W</b>	<b>-23.98</b>	
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
<i>3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	2,899.92	06/18/20	3,020.00	...	-120.08	1 of 3 - Sale <sup>25</sup>
	100.000	2,899.92	06/18/20	2,885.00	...	14.92	2 of 3 - Sale <sup>25</sup>
	50.000	1,449.96	06/18/20	1,340.00	...	109.96	3 of 3 - Sale <sup>25</sup>
06/18/20	250.000	7,249.80	Various	7,245.00	...	4.80	Total of 3 transactions
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
<i>30 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	54.000	194.39	06/18/20	200.34	...	-5.95	1 of 30 - Sale <sup>25</sup>
	40.000	143.99	06/18/20	148.40	...	-4.41	2 of 30 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol: (cont'd)							
	47.000	169.19	06/18/20	173.57	...	-4.38	3 of 30 - Sale <sup>25</sup>
	6.000	21.60	06/18/20	22.83	...	-1.23	4 of 30 - Sale <sup>25</sup>
	6.000	21.60	06/18/20	22.26	...	-0.66	5 of 30 - Sale <sup>25</sup>
	1.000	3.60	06/18/20	3.69	...	-0.09	6 of 30 - Sale <sup>25</sup>
	100.000	359.98	06/18/20	359.62	...	0.36	7 of 30 - Sale <sup>25</sup>
	100.000	359.98	06/18/20	359.60	...	0.38	8 of 30 - Sale <sup>25</sup>
	100.000	359.98	06/18/20	359.51	...	0.47	9 of 30 - Sale <sup>25</sup>
	31.000	111.59	06/18/20	110.52	...	1.07	10 of 30 - Sale <sup>25</sup>
	38.000	136.79	06/18/20	135.47	...	1.32	11 of 30 - Sale <sup>25</sup>
	100.000	383.98	06/18/20	382.00	...	1.98	12 of 30 - Sale <sup>25</sup>
	31.000	111.59	06/18/20	109.52	...	2.07	13 of 30 - Sale <sup>25</sup>
	69.000	248.39	06/18/20	245.99	...	2.40	14 of 30 - Sale <sup>25</sup>
	69.000	248.39	06/18/20	245.98	...	2.41	15 of 30 - Sale <sup>25</sup>
	48.000	172.79	06/18/20	169.70	...	3.09	16 of 30 - Sale <sup>25</sup>
	93.000	334.78	06/18/20	331.55	...	3.23	17 of 30 - Sale <sup>25</sup>
	100.000	394.98	06/18/20	390.00	...	4.98	18 of 30 - Sale <sup>25</sup>
	100.000	394.98	06/18/20	390.00	...	4.98	19 of 30 - Sale <sup>25</sup>
	100.000	394.98	06/18/20	390.00	...	4.98	20 of 30 - Sale <sup>25</sup>
	52.000	187.19	06/18/20	181.48	...	5.71	21 of 30 - Sale <sup>25</sup>
	200.000	795.96	06/18/20	790.00	...	5.96	22 of 30 - Sale <sup>25</sup>
	200.000	795.96	06/18/20	790.00	...	5.96	23 of 30 - Sale <sup>25</sup>
	100.000	359.98	06/18/20	353.55	...	6.43	24 of 30 - Sale <sup>25</sup>
	121.000	435.58	06/18/20	427.41	...	8.17	25 of 30 - Sale <sup>25</sup>
	200.000	789.96	06/18/20	780.00	...	9.96	26 of 30 - Sale <sup>25</sup>
	200.000	789.96	06/18/20	780.00	...	9.96	27 of 30 - Sale <sup>25</sup>
	300.000	1,184.93	06/18/20	1,170.00	...	14.93	28 of 30 - Sale <sup>25</sup>
	600.000	2,387.87	06/18/20	2,370.00	...	17.87	29 of 30 - Sale <sup>25</sup>
	200.000	719.96	06/18/20	698.00	...	21.96	30 of 30 - Sale <sup>25</sup>
06/18/20	3,406.000	13,014.90	Various	12,890.99	...	123.91	Total of 30 transactions
34 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	93.000	334.78	06/18/20	353.95	9.69 W	-9.48	1 of 34 - Sale <sup>25</sup>
	200.000	768.16	06/18/20	788.00	19.84 W	0.00	2 of 34 - Sale <sup>25</sup>
	300.000	1,151.93	06/18/20	1,182.00	30.07 W	0.00	3 of 34 - Sale <sup>25</sup>
	200.000	767.96	06/18/20	783.84	15.88 W	0.00	4 of 34 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol: (cont'd)							
	100.000	383.98	06/18/20	392.02	8.04 W	0.00	5 of 34 - Sale <sup>25</sup>
	100.000	383.98	06/18/20	392.03	8.05 W	0.00	6 of 34 - Sale <sup>25</sup>
	1.000	3.84	06/18/20	3.92	0.08 W	0.00	7 of 34 - Sale <sup>25</sup>
	93.000	357.10	06/18/20	364.58	7.48 W	0.00	8 of 34 - Sale <sup>25</sup>
	6.000	23.04	06/18/20	23.52	0.48 W	0.00	9 of 34 - Sale <sup>25</sup>
	94.000	360.94	06/18/20	366.54	5.60 W	0.00	10 of 34 - Sale <sup>25</sup>
	100.000	383.98	06/18/20	390.04	6.06 W	0.00	11 of 34 - Sale <sup>25</sup>
	100.000	383.97	06/18/20	390.05	6.08 W	0.00	12 of 34 - Sale <sup>25</sup>
	106.000	407.02	06/18/20	413.34	6.32 W	0.00	13 of 34 - Sale <sup>25</sup>
	1.000	3.60	06/18/20	3.76	0.16 W	0.00	14 of 34 - Sale <sup>25</sup>
	6.000	21.60	06/18/20	22.56	0.96 W	0.00	15 of 34 - Sale <sup>25</sup>
	93.000	334.78	06/18/20	349.72	14.94 W	0.00	16 of 34 - Sale <sup>25</sup>
	94.000	338.38	06/18/20	351.52	13.14 W	0.00	17 of 34 - Sale <sup>25</sup>
	106.000	381.57	06/18/20	396.40	14.83 W	0.00	18 of 34 - Sale <sup>25</sup>
	100.000	359.98	06/18/20	374.06	14.08 W	0.00	19 of 34 - Sale <sup>25</sup>
	100.000	359.98	06/18/20	374.08	14.10 W	0.00	20 of 34 - Sale <sup>25</sup>
	100.000	359.97	06/18/20	368.00	8.03 W	0.00	21 of 34 - Sale <sup>25</sup>
	200.000	719.95	06/18/20	736.00	16.05 W	0.00	22 of 34 - Sale <sup>25</sup>
	1.000	3.60	06/18/20	3.84	0.24 W	0.00	23 of 34 - Sale <sup>25</sup>
	6.000	21.60	06/18/20	23.04	1.44 W	0.00	24 of 34 - Sale <sup>25</sup>
	93.000	334.78	06/18/20	357.18	22.40 W	0.00	25 of 34 - Sale <sup>25</sup>
	6.000	21.60	06/18/20	22.92	1.32 W	0.00	26 of 34 - Sale <sup>25</sup>
	94.000	338.38	06/18/20	359.06	20.68 W	0.00	27 of 34 - Sale <sup>25</sup>
	100.000	359.98	06/18/20	370.49	10.51 W	0.00	28 of 34 - Sale <sup>25</sup>
	100.000	359.98	06/18/20	370.58	10.60 W	0.00	29 of 34 - Sale <sup>25</sup>
	100.000	359.98	06/18/20	370.60	10.62 W	0.00	30 of 34 - Sale <sup>25</sup>
	100.000	359.98	06/18/20	364.53	4.55 W	0.00	31 of 34 - Sale <sup>25</sup>
	169.000	608.37	06/18/20	616.05	7.68 W	0.00	32 of 34 - Sale <sup>25</sup>
	1.000	3.60	06/18/20	3.80	0.20 W	0.00	33 of 34 - Sale <sup>25</sup>
	31.000	111.59	06/18/20	113.01	1.42 W	0.00	34 of 34 - Sale <sup>25</sup>
06/18/20	3,094.000	11,473.93	Various	11,795.03	311.62 W	-9.48	Total of 34 transactions
	<b>Security total:</b>	<b>24,488.83</b>		<b>24,686.02</b>	<b>311.62 W</b>	<b>114.43</b>	
V.F. CORPORATION / CUSIP: 918204108 / Symbol:							
06/11/20	10.000	601.18	06/10/20	648.86	...	-47.68	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
01/14/20	50.000	85.25	01/13/20	95.75	...	-10.50	Sale <sup>25</sup>
VALERO ENERGY CORPORATION / CUSIP: 91913Y100 / Symbol:							
10/21/20	10.000	409.80	10/19/20	400.60	...	9.20	Sale <sup>25</sup>
11/06/20	30.000	1,173.27 N	10/22/20	1,191.00	...	-17.73	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$56.70
6 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	20.000	782.18 N	10/19/20	801.20	19.02 W	0.00	1 of 6 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$37.80
	10.000	391.09 N	10/21/20	406.80	15.71 W	0.00	2 of 6 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$18.90
	10.000	391.09 N	10/21/20	406.77	15.68 W	0.00	3 of 6 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$18.90
	10.000	391.09 N	10/21/20	411.88	20.79 W	0.00	4 of 6 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$18.90
	5.000	195.54 N	10/21/20	205.05	9.51 W	0.00	5 of 6 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$9.45
	15.000	586.63 N	10/22/20	591.17	4.54 W	0.00	6 of 6 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$28.35
11/06/20	70.000	2,737.62	Various	2,822.87	85.25 W	0.00	Total of 6 transactions
11/09/20	10.000	501.89	11/09/20	501.89	...	0.00	Sale <sup>25</sup>
11/09/20	10.000	501.88	11/09/20	507.34	5.46 W	0.00	Sale <sup>25</sup>
2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	10.000	524.38	11/16/20	574.31	...	-49.93	1 of 2 - Sale <sup>25</sup>
	10.000	534.98	11/17/20	546.98	...	-12.00	2 of 2 - Sale <sup>25</sup>
11/17/20	20.000	1,059.36	Various	1,121.29	...	-61.93	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VALERO ENERGY CORPORATION / CUSIP: 91913Y100 / Symbol: (cont'd)							
3 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	10.000	535.68	11/18/20	560.79	...	-25.11	1 of 3 - Sale <sup>25</sup>
	5.000	267.84	11/18/20	279.51	...	-11.67	2 of 3 - Sale <sup>25</sup>
	5.000	267.85	11/18/20	271.51	...	-3.66	3 of 3 - Sale <sup>25</sup>
11/18/20	20.000	1,071.37	Various	1,111.81	...	-40.44	Total of 3 transactions
	<b>Security total:</b>	<b>7,455.19</b>		<b>7,656.80</b>	<b>90.71 W</b>	<b>-110.90</b>	
VANECK VECTORS GOLD MINERS ETF / CUSIP: 92189F106 / Symbol:							
07/08/20	100.000	3,904.90	07/08/20	3,912.00	...	-7.10	Sale <sup>25</sup>
VANECK VECTORS OIL SERVICES ET F / CUSIP: 92189H607 / Symbol:							
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	10.000	1,300.47	08/24/20	1,288.73	...	11.74	1 of 2 - Sale <sup>25</sup>
	10.000	1,300.47	08/24/20	1,288.50	...	11.97	2 of 2 - Sale <sup>25</sup>
08/24/20	20.000	2,600.94	Various	2,577.23	...	23.71	Total of 2 transactions
09/03/20	1.000	124.63	09/03/20	125.00	...	-0.37	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,725.57</b>		<b>2,702.23</b>	...	<b>23.34</b>	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
03/06/20	100.000	266.99	02/28/20	309.00	...	-42.01	Sale <sup>25</sup>
04/16/20	100.000	193.99	04/09/20	170.00	...	23.99	Sale <sup>25</sup>
06/03/20	100.000	283.99	06/02/20	270.00	...	13.99	Sale <sup>25</sup>
9 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	5.92	06/25/20	5.91	...	0.01	1 of 9 - Sale <sup>25</sup>
	9.000	53.28	06/25/20	53.10	...	0.18	2 of 9 - Sale <sup>25</sup>
	10.000	59.20	06/25/20	58.86	...	0.34	3 of 9 - Sale <sup>25</sup>
	20.000	127.60	06/25/20	127.20	...	0.40	4 of 9 - Sale <sup>25</sup>
	20.000	118.39	06/25/20	117.80	...	0.59	5 of 9 - Sale <sup>25</sup>
	20.000	118.39	06/25/20	117.70	...	0.69	6 of 9 - Sale <sup>25</sup>
	40.000	236.79	06/25/20	235.20	...	1.59	7 of 9 - Sale <sup>25</sup>
	20.000	126.60	06/25/20	125.00	...	1.60	8 of 9 - Sale <sup>25</sup>
	50.000	315.00	06/25/20	308.50	...	6.50	9 of 9 - Sale <sup>25</sup>
06/25/20	190.000	1,161.17	Various	1,149.27	...	11.90	Total of 9 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
10 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	20.000	90.00	06/25/20	91.70	1.70 W	0.00	1 of 10 - Sale <sup>25</sup>
	20.000	90.00	06/25/20	91.60	1.60 W	0.00	2 of 10 - Sale <sup>25</sup>
	20.000	89.99	06/25/20	91.00	1.01 W	0.00	3 of 10 - Sale <sup>25</sup>
	10.000	57.25	06/25/20	57.31	0.06 W	0.00	4 of 10 - Sale <sup>25</sup>
	20.000	114.50	06/25/20	115.30	0.80 W	0.00	5 of 10 - Sale <sup>25</sup>
	20.000	114.50	06/25/20	115.20	0.70 W	0.00	6 of 10 - Sale <sup>25</sup>
	9.000	52.65	06/25/20	52.83	0.18 W	0.00	7 of 10 - Sale <sup>25</sup>
	20.000	117.00	06/25/20	117.20	0.20 W	0.00	8 of 10 - Sale <sup>25</sup>
	20.000	117.00	06/25/20	117.10	0.10 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.000	5.85	06/25/20	5.88	0.03 W	0.00	10 of 10 - Sale <sup>25</sup>
06/25/20	160.000	848.74	Various	855.12	6.38 W	0.00	Total of 10 transactions
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	10.000	91.50	06/26/20	89.80	...	1.70	1 of 2 - Sale <sup>25</sup>
	10.000	91.50	06/26/20	88.10	...	3.40	2 of 2 - Sale <sup>25</sup>
06/26/20	20.000	183.00	Various	177.90	...	5.10	Total of 2 transactions
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	37.000	306.36	06/30/20	322.28	...	-15.92	1 of 2 - Sale <sup>25</sup>
	3.000	24.84	06/30/20	26.13	...	-1.29	2 of 2 - Sale <sup>25</sup>
06/30/20	40.000	331.20	Various	348.41	...	-17.21	Total of 2 transactions
7 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	50.000	413.98	06/30/20	418.50	3.62 W	-0.90	1 of 7 - Sale <sup>25</sup>
	3.000	23.79	06/30/20	24.24	0.45 W	0.00	2 of 7 - Sale <sup>25</sup>
	47.000	372.71	06/30/20	379.76	7.05 W	0.00	3 of 7 - Sale <sup>25</sup>
	3.000	23.70	06/30/20	24.54	0.84 W	0.00	4 of 7 - Sale <sup>25</sup>
	47.000	371.30	06/30/20	384.46	13.16 W	0.00	5 of 7 - Sale <sup>25</sup>
	3.000	24.84	06/30/20	25.95	1.11 W	0.00	6 of 7 - Sale <sup>25</sup>
	47.000	389.15	06/30/20	406.55	17.40 W	0.00	7 of 7 - Sale <sup>25</sup>
06/30/20	200.000	1,619.47	Various	1,664.00	43.63 W	-0.90	Total of 7 transactions
07/21/20	40.000	592.38	07/21/20	589.62	...	2.76	Sale <sup>25</sup>
07/21/20	10.000	148.10	07/21/20	150.20	2.10 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
<i>4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	558.73	08/11/20	595.77	...	-37.04	1 of 4 - Sale <sup>25</sup>
	50.000	558.73	08/11/20	587.00	...	-28.27	2 of 4 - Sale <sup>25</sup>
	10.000	116.75	08/11/20	113.10	...	3.65	3 of 4 - Sale <sup>25</sup>
	40.000	466.98	08/11/20	444.00	...	22.98	4 of 4 - Sale <sup>25</sup>
08/11/20	150.000	1,701.19	Various	1,739.87	...	-38.68	Total of 4 transactions
08/11/20	50.000	583.73	08/11/20	592.50	8.77 W	0.00	Sale <sup>25</sup>
09/24/20	50.000	366.11	09/24/20	371.45	...	-5.34	Sale <sup>25</sup>
	<b>Security total:</b>	<b>8,280.06</b>		<b>8,387.34</b>	<b>60.88 W</b>	<b>-46.40</b>	
VEEVA SYSTEMS INC. / CUSIP: 922475108 / Symbol:							
10/14/20	1.000	302.25	10/12/20	308.05	...	-5.80	Sale <sup>25</sup>
<i>3 transactions for 10/15/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	601.26	10/12/20	616.10	...	-14.84	1 of 3 - Sale <sup>25</sup>
	1.000	301.50	10/12/20	308.05	...	-6.55	2 of 3 - Sale <sup>25</sup>
	1.000	301.92	10/12/20	308.05	...	-6.13	3 of 3 - Sale <sup>25</sup>
10/15/20	4.000	1,204.68	Various	1,232.20	...	-27.52	Total of 3 transactions
	<b>Security total:</b>	<b>1,506.93</b>		<b>1,540.25</b>	...	<b>-33.32</b>	
VELODYNE LIDAR, INC. COMMON ST OCK / CUSIP: 92259F101 / Symbol:							
12/07/20	100.000	1,854.94	12/07/20	1,863.00	...	-8.06	Sale <sup>25</sup>
VERIZON COMMUNICATIONS / CUSIP: 92343V104 / Symbol:							
<i>2 transactions for 01/17/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	179.85	10/25/19	185.06	...	-5.21	1 of 2 - Sale <sup>25</sup>
	2.000	119.90	10/25/19	121.24	...	-1.34	2 of 2 - Sale <sup>25</sup>
01/17/20	5.000	299.75	Various	306.30	...	-6.55	Total of 2 transactions
09/24/20	10.000	594.91	09/24/20	593.68	...	1.23	Sale <sup>25</sup>
<i>3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	594.21	10/13/20	595.20	...	-0.99	1 of 3 - Sale <sup>25</sup>
	10.000	594.21	10/13/20	595.16	...	-0.95	2 of 3 - Sale <sup>25</sup>
	10.000	594.21	10/13/20	594.20	...	0.01	3 of 3 - Sale <sup>25</sup>
10/13/20	30.000	1,782.63	Various	1,784.56	...	-1.93	Total of 3 transactions
	<b>Security total:</b>	<b>2,677.29</b>		<b>2,684.54</b>	...	<b>-7.25</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VERTEX PHARMACEUTICALS INCORPORATED COMMON STOCK / CUSIP: 92532F100 / Symbol:							
3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	2.000	548.98	09/03/20	557.60	...	-8.62	1 of 3 - Sale <sup>25</sup>
	2.000	548.99	09/03/20	557.60	...	-8.61	2 of 3 - Sale <sup>25</sup>
	1.000	274.49	09/03/20	278.80	...	-4.31	3 of 3 - Sale <sup>25</sup>
09/03/20	5.000	1,372.46	Various	1,394.00	...	-21.54	Total of 3 transactions
VIPSHOP HOLDINGS LIMITED / CUSIP: 92763W103 / Symbol:							
07/08/20	100.000	2,235.19	07/08/20	2,241.75	...	-6.56	Sale <sup>25</sup>
12/07/20	100.000	2,560.93	12/07/20	2,586.00	...	-25.07	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,796.12</b>		<b>4,827.75</b>	...	<b>-31.63</b>	
VIR BIOTECHNOLOGY, INC. COMMON STOCK / CUSIP: 92764N102 / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	0.067	2.38	06/03/20	2.48	...	-0.10	1 of 3 - Sale <sup>25</sup>
	0.059	2.07	06/03/20	2.13	...	-0.06	2 of 3 - Sale <sup>25</sup>
	0.011	0.38	06/03/20	0.39	...	-0.01	3 of 3 - Sale <sup>25</sup>
06/08/20	0.137	4.83	Various	5.00	...	-0.17	Total of 3 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
07/23/20	50.000	1,352.47	07/23/20	1,342.50	...	9.97	Sale <sup>25</sup>
07/23/20	50.000	1,365.46	07/23/20	1,372.50	7.04 W	0.00	Sale <sup>25</sup>
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	50.000	963.03	08/10/20	961.98	...	1.05	1 of 2 - Sale <sup>25</sup>
	50.000	963.03	08/10/20	954.94	...	8.09	2 of 2 - Sale <sup>25</sup>
08/10/20	100.000	1,926.06	Various	1,916.92	...	9.14	Total of 2 transactions
2 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	2.000	46.60	10/20/20	48.34	1.74 W	0.00	1 of 2 - Sale <sup>25</sup>
	8.000	186.40	10/20/20	193.34	6.94 W	0.00	2 of 2 - Sale <sup>25</sup>
10/20/20	10.000	233.00	Various	241.68	8.68 W	0.00	Total of 2 transactions
3 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	90.000	1,646.94	11/04/20	1,653.22	4.33 W	-1.95	1 of 3 - Sale <sup>25</sup>
	2.000	36.60	11/04/20	38.48	1.88 W	0.00	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
11/04/20	8.000	146.40	11/04/20	153.89	7.49 W	0.00	3 of 3 - Sale <sup>25</sup>
	100.000	1,829.94	Various	1,845.59	13.70 W	-1.95	Total of 3 transactions
	4 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	8.000	152.72	11/05/20	160.51	...	-7.79	1 of 4 - Sale <sup>25</sup>
	40.000	763.58	11/05/20	767.88	...	-4.30	2 of 4 - Sale <sup>25</sup>
	2.000	38.18	11/05/20	40.13	...	-1.95	3 of 4 - Sale <sup>25</sup>
	20.000	390.00	11/05/20	387.40	...	2.60	4 of 4 - Sale <sup>25</sup>
11/05/20	70.000	1,344.48	Various	1,355.92	...	-11.44	Total of 4 transactions
12/04/20	2.000	57.83	12/01/20	58.21	0.38 W	0.00	Sale <sup>25</sup>
	3 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	2.000	55.60	12/14/20	57.81	2.21 W	0.00	1 of 3 - Sale <sup>25</sup>
	8.000	222.39	12/14/20	229.72	7.33 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	555.99	12/14/20	574.38	18.39 W	0.00	3 of 3 - Sale <sup>25</sup>
12/14/20	30.000	833.98	Various	861.91	27.93 W	0.00	Total of 3 transactions
	4 transactions for 12/18/20. Total proceeds and cost reported to the IRS.						
	70.000	1,812.95 N	12/16/20	1,855.00	...	-42.05	1 of 4 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$112.00
	20.000	517.98 N	12/16/20	548.39	...	-30.41	2 of 4 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$32.00
	8.000	207.19 N	12/16/20	219.33	...	-12.14	3 of 4 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$12.80
	2.000	51.80 N	12/16/20	55.21	...	-3.41	4 of 4 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$3.20
12/18/20	100.000	2,589.92	Various	2,677.93	...	-88.01	Total of 4 transactions
	<b>Security total:</b>	<b>11,533.14</b>		<b>11,673.16</b>	<b>57.73 W</b>	<b>-82.29</b>	
VISA INC. / CUSIP: 92826C839 / Symbol:							
01/08/20	5.000	947.73	12/16/19	931.98	...	15.75	Sale <sup>25</sup>
	4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	4.000	773.98	01/31/20	809.96	...	-35.98	1 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VISA INC. / CUSIP: 92826C839 / Symbol: (cont'd)							
	2.000	386.99	02/25/20	389.00	...	-2.01	2 of 4 - Sale <sup>25</sup>
	0.015	2.93	06/03/20	3.00	...	-0.07	3 of 4 - Sale <sup>25</sup>
	19.985	3,866.99	06/09/20	4,017.73	...	-150.74	4 of 4 - Sale <sup>25</sup>
06/11/20	26.000	5,030.89	Various	5,219.69	...	-188.80	Total of 4 transactions
06/11/20	4.000	773.98	01/30/20	823.20	0.19 W	-49.03	Sale <sup>25</sup>
06/12/20	0.015	2.90	06/09/20	3.24	...	-0.34	Sale <sup>25</sup>
08/17/20	10.000	1,995.75	08/17/20	1,994.90	...	0.85	Sale <sup>25</sup>
	8 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	10.000	1,990.75	08/17/20	2,004.20	13.45 W	0.00	1 of 8 - Sale <sup>25</sup>
	2.000	399.18	08/17/20	401.67	2.49 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.000	997.92	08/17/20	1,004.18	6.26 W	0.00	3 of 8 - Sale <sup>25</sup>
	3.000	598.73	08/17/20	602.50	3.77 W	0.00	4 of 8 - Sale <sup>25</sup>
	2.000	398.93	08/17/20	402.11	3.18 W	0.00	5 of 8 - Sale <sup>25</sup>
	3.000	598.40	08/17/20	603.20	4.80 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	997.33	08/17/20	1,005.31	7.98 W	0.00	7 of 8 - Sale <sup>25</sup>
	10.000	1,994.65	08/17/20	1,998.10	3.45 W	0.00	8 of 8 - Sale <sup>25</sup>
08/17/20	40.000	7,975.89	Various	8,021.27	45.38 W	0.00	Total of 8 transactions
	3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	2.000	399.01	08/19/20	402.88	3.87 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	598.51	08/19/20	604.35	5.84 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	997.53	08/19/20	1,007.23	9.70 W	0.00	3 of 3 - Sale <sup>25</sup>
08/19/20	10.000	1,995.05	Various	2,014.46	19.41 W	0.00	Total of 3 transactions
08/20/20	10.000	2,016.35	08/20/20	2,011.60	...	4.75	Sale <sup>25</sup>
	4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	2.000	403.26	08/20/20	407.12	3.86 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	604.89	08/20/20	610.71	5.82 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	1,008.15	08/20/20	1,017.83	9.68 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	2,016.31	08/20/20	2,018.40	2.09 W	0.00	4 of 4 - Sale <sup>25</sup>
08/20/20	20.000	4,032.61	Various	4,054.06	21.45 W	0.00	Total of 4 transactions
08/25/20	10.000	2,066.95	08/24/20	2,062.09	...	4.86	Sale <sup>25</sup>
	3 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	2.000	413.39	08/24/20	415.86	2.47 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	620.09	08/24/20	623.82	3.73 W	0.00	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VISA INC. / CUSIP: 92826C839 / Symbol: (cont'd)							
	5.000	1,033.47	08/24/20	1,039.68	6.21 W	0.00	3 of 3 - Sale <sup>25</sup>
08/25/20	10.000	2,066.95	Various	2,079.36	12.41 W	0.00	Total of 3 transactions
	6 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	2.000	417.37	08/26/20	420.28	2.91 W	0.00	1 of 6 - Sale <sup>25</sup>
	3.000	626.05	08/26/20	630.45	4.40 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	1,043.43	08/26/20	1,050.75	7.32 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	417.37	08/26/20	420.49	3.12 W	0.00	4 of 6 - Sale <sup>25</sup>
	3.000	626.05	08/26/20	630.76	4.71 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	1,043.43	08/26/20	1,051.26	7.83 W	0.00	6 of 6 - Sale <sup>25</sup>
08/26/20	20.000	4,173.70	Various	4,203.99	30.29 W	0.00	Total of 6 transactions
	4 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	5.000	1,079.72	08/28/20	1,088.04	...	-8.32	1 of 4 - Sale <sup>25</sup>
	3.000	647.84	08/28/20	652.84	...	-5.00	2 of 4 - Sale <sup>25</sup>
	2.000	431.89	08/28/20	435.20	...	-3.31	3 of 4 - Sale <sup>25</sup>
	10.000	2,159.45	08/28/20	2,160.43	...	-0.98	4 of 4 - Sale <sup>25</sup>
08/28/20	20.000	4,318.90	Various	4,336.51	...	-17.61	Total of 4 transactions
	4 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	40.000	8,587.50	08/28/20	8,594.40	3.45 W	-3.45	1 of 4 - Sale <sup>25</sup>
	2.000	429.38	08/28/20	432.84	3.46 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	644.06	08/28/20	649.29	5.23 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	1,073.44	08/28/20	1,082.13	8.69 W	0.00	4 of 4 - Sale <sup>25</sup>
08/28/20	50.000	10,734.38	Various	10,758.66	20.83 W	-3.45	Total of 4 transactions
09/08/20	10.000	2,007.45	09/08/20	2,007.12	...	0.33	Sale <sup>25</sup>
10/01/20	10.000	2,033.45	10/01/20	2,040.00	...	-6.55	Sale <sup>25</sup>
12/10/20	10.000	2,079.40	12/10/20	2,082.40	...	-3.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>54,252.33</b>		<b>54,644.53</b>	<b>149.96 W</b>	<b>-242.24</b>	
VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol:							
08/05/20	100.000	2,564.93	08/05/20	2,574.00	...	-9.07	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIVUS, INC. COMMON STOCK / CUSIP: 928551308 / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	30.000	35.10	05/27/20	37.80	...	-2.70	1 of 2 - Sale <sup>25</sup>
	20.000	23.40	05/27/20	25.20	...	-1.80	2 of 2 - Sale <sup>25</sup>
05/28/20	50.000	58.50	Various	63.00	...	-4.50	Total of 2 transactions
WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol:							
6 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	100.000	98.99	03/17/20	81.93	...	17.06	1 of 6 - Sale <sup>25</sup>
	100.000	98.98	03/17/20	81.92	...	17.06	2 of 6 - Sale <sup>25</sup>
	400.000	395.95	03/17/20	327.69	...	68.26	3 of 6 - Sale <sup>25</sup>
	400.000	395.99	03/17/20	327.70	...	68.29	4 of 6 - Sale <sup>25</sup>
	500.000	494.93	03/17/20	380.00	...	114.93	5 of 6 - Sale <sup>25</sup>
	500.000	499.94	03/17/20	340.00	...	159.94	6 of 6 - Sale <sup>25</sup>
03/18/20	2,000.000	1,984.78	Various	1,539.24	...	445.54	Total of 6 transactions
03/23/20	290.000	420.47	03/20/20	458.20	...	-37.73	Sale <sup>25</sup>
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	100.000	139.99	04/29/20	124.00	...	15.99	1 of 2 - Sale <sup>25</sup>
	100.000	139.99	04/30/20	120.00	...	19.99	2 of 2 - Sale <sup>25</sup>
05/04/20	200.000	279.98	Various	244.00	...	35.98	Total of 2 transactions
07/06/20	1,000.000	3,789.79	07/06/20	3,869.90	...	-80.11	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,475.02</b>		<b>6,111.34</b>	...	<b>363.68</b>	
WALMART INC. / CUSIP: 931142103 / Symbol:							
06/11/20	8.000	968.60	06/11/20	973.60	...	-5.00	Sale <sup>25</sup>
5 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	20.000	2,421.49	06/11/20	2,445.00	11.76 W	-11.75	1 of 5 - Sale <sup>25</sup>
	1.000	121.07	06/11/20	122.50	1.43 W	0.00	2 of 5 - Sale <sup>25</sup>
	9.000	1,089.67	06/11/20	1,102.50	12.83 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	1,210.75	06/11/20	1,224.00	13.25 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	1,210.75	06/11/20	1,223.50	12.75 W	0.00	5 of 5 - Sale <sup>25</sup>
06/11/20	50.000	6,053.73	Various	6,117.50	52.02 W	-11.75	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WALMART INC. / CUSIP: 931142103 / Symbol: (cont'd)							
<i>2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	1,081.77	06/11/20	1,108.13	...	-26.36	1 of 2 - Sale <sup>25</sup>
	1.000	120.20	06/11/20	123.13	...	-2.93	2 of 2 - Sale <sup>25</sup>
06/12/20	10.000	1,201.97	Various	1,231.26	...	-29.29	Total of 2 transactions
<i>2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	1,059.00	06/15/20	1,069.42	...	-10.42	1 of 2 - Sale <sup>25</sup>
	1.000	117.67	06/15/20	118.83	...	-1.16	2 of 2 - Sale <sup>25</sup>
06/15/20	10.000	1,176.67	Various	1,188.25	...	-11.58	Total of 2 transactions
<i>3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,180.17	06/16/20	1,209.25	...	-29.08	1 of 3 - Sale <sup>25</sup>
	9.000	1,062.16	06/16/20	1,087.43	...	-25.27	2 of 3 - Sale <sup>25</sup>
	1.000	118.02	06/16/20	120.83	...	-2.81	3 of 3 - Sale <sup>25</sup>
06/18/20	20.000	2,360.35	Various	2,417.51	...	-57.16	Total of 3 transactions
08/10/20	10.000	1,320.37	08/10/20	1,309.78	...	10.59	Sale <sup>25</sup>
08/10/20	20.000	2,611.74	08/10/20	2,616.90	5.16 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 08/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	135.66	08/17/20	135.42	...	0.24	1 of 3 - Sale <sup>25</sup>
	4.000	542.62	08/17/20	541.68	...	0.94	2 of 3 - Sale <sup>25</sup>
	5.000	678.28	08/17/20	677.10	...	1.18	3 of 3 - Sale <sup>25</sup>
08/17/20	10.000	1,356.56	Various	1,354.20	...	2.36	Total of 3 transactions
08/17/20	10.000	1,356.57	08/17/20	1,356.78	0.21 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,303.47	08/25/20	1,305.01	1.54 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,303.47	08/25/20	1,304.80	1.33 W	0.00	2 of 2 - Sale <sup>25</sup>
08/25/20	20.000	2,606.94	Various	2,609.81	2.87 W	0.00	Total of 2 transactions
08/27/20	10.000	1,313.27	08/27/20	1,319.69	6.42 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,470.03	09/01/20	1,465.12	...	4.91	1 of 2 - Sale <sup>25</sup>
	10.000	1,470.04	09/01/20	1,460.03	...	10.01	2 of 2 - Sale <sup>25</sup>
09/01/20	20.000	2,940.07	Various	2,925.15	...	14.92	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WALMART INC. / CUSIP: 931142103 / Symbol: (cont'd)							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	3.000	428.55	09/04/20	428.31	...	0.24	1 of 2 - Sale <sup>25</sup>
	17.000	2,428.39	09/04/20	2,427.07	...	1.32	2 of 2 - Sale <sup>25</sup>
09/04/20	20.000	2,856.94	Various	2,855.38	...	1.56	Total of 2 transactions
09/04/20	20.000	2,888.05	09/04/20	2,893.70	5.65 W	0.00	Sale <sup>25</sup>
09/08/20	20.000	2,779.07	09/04/20	2,867.00	87.93 W	0.00	Sale <sup>25</sup>
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	10.000	1,417.87	09/09/20	1,459.44	41.57 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,415.96	09/09/20	1,459.66	43.70 W	0.00	2 of 2 - Sale <sup>25</sup>
09/09/20	20.000	2,833.83	Various	2,919.10	85.27 W	0.00	Total of 2 transactions
5 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	5.000	685.88	09/11/20	709.14	23.26 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	685.89	09/11/20	709.43	23.54 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	685.88	09/11/20	710.50	24.62 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	681.84	09/11/20	703.15	21.31 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	681.84	09/11/20	704.56	22.72 W	0.00	5 of 5 - Sale <sup>25</sup>
09/11/20	25.000	3,421.33	Various	3,536.78	115.45 W	0.00	Total of 5 transactions
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	137.80	09/14/20	142.38	4.58 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	551.18	09/14/20	569.55	18.37 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	688.98	09/14/20	713.02	24.04 W	0.00	3 of 3 - Sale <sup>25</sup>
09/14/20	10.000	1,377.96	Various	1,424.95	46.99 W	0.00	Total of 3 transactions
5 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	136.35	09/15/20	142.27	5.92 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	545.39	09/15/20	569.13	23.74 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	681.73	09/15/20	709.76	28.03 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	681.73	09/15/20	711.16	29.43 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	681.73	09/15/20	712.48	30.75 W	0.00	5 of 5 - Sale <sup>25</sup>
09/17/20	20.000	2,726.93	Various	2,844.80	117.87 W	0.00	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WALMART INC. / CUSIP: 931142103 / Symbol: (cont'd)							
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	5.000	695.78	09/30/20	722.68	26.90 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	695.78	09/30/20	724.08	28.30 W	0.00	2 of 2 - Sale <sup>25</sup>
09/30/20	10.000	1,391.56	Various	1,446.76	55.20 W	0.00	Total of 2 transactions
10 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	141.24	09/30/20	145.73	4.49 W	0.00	1 of 10 - Sale <sup>25</sup>
	4.000	564.96	09/30/20	582.98	18.02 W	0.00	2 of 10 - Sale <sup>25</sup>
	5.000	706.19	09/30/20	729.80	23.61 W	0.00	3 of 10 - Sale <sup>25</sup>
	5.000	716.38	10/01/20	744.80	28.42 W	0.00	4 of 10 - Sale <sup>25</sup>
	5.000	716.38	10/01/20	746.20	29.82 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	143.28	10/01/20	148.20	4.92 W	0.00	6 of 10 - Sale <sup>25</sup>
	4.000	573.11	10/01/20	592.85	19.74 W	0.00	7 of 10 - Sale <sup>25</sup>
	5.000	716.38	10/01/20	742.15	25.77 W	0.00	8 of 10 - Sale <sup>25</sup>
	5.000	719.44	10/01/20	746.06	26.62 W	0.00	9 of 10 - Sale <sup>25</sup>
	5.000	719.43	10/01/20	747.46	28.03 W	0.00	10 of 10 - Sale <sup>25</sup>
10/01/20	40.000	5,716.79	Various	5,926.23	209.44 W	0.00	Total of 10 transactions
5 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	5.000	708.98	10/06/20	737.75	...	-28.77	1 of 5 - Sale <sup>25</sup>
	5.000	708.98	10/06/20	736.35	...	-27.37	2 of 5 - Sale <sup>25</sup>
	5.000	709.48	10/06/20	735.50	...	-26.02	3 of 5 - Sale <sup>25</sup>
	4.000	567.58	10/06/20	587.51	...	-19.93	4 of 5 - Sale <sup>25</sup>
	1.000	141.90	10/06/20	146.86	...	-4.96	5 of 5 - Sale <sup>25</sup>
10/06/20	20.000	2,836.92	Various	2,943.97	...	-107.05	Total of 5 transactions
5 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	141.33	10/06/20	146.59	5.26 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	565.31	10/06/20	586.42	21.11 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	706.63	10/06/20	734.13	27.50 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	706.63	10/06/20	734.98	28.35 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	706.63	10/06/20	736.38	29.75 W	0.00	5 of 5 - Sale <sup>25</sup>
10/06/20	20.000	2,826.53	Various	2,938.50	111.97 W	0.00	Total of 5 transactions
11/06/20	10.000	1,452.16	11/06/20	1,455.90	2.99 W	-0.75	Sale <sup>25</sup>
11/11/20	8.000	1,185.25	11/11/20	1,189.39	...	-4.14	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WALMART INC. / CUSIP: 931142103 / Symbol: (cont'd)							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	20.000	2,859.33	12/15/20	2,917.40	58.07 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,429.67	12/15/20	1,457.90	28.23 W	0.00	2 of 2 - Sale <sup>25</sup>
12/23/20	30.000	4,289.00	Various	4,375.30	86.30 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>63,849.16</b>		<b>65,038.19</b>	<b>991.74 W</b>	<b>-197.29</b>	
WALGREENS BOOTS ALLIANCE, INC. COMMON STOCK / CUSIP: 931427108 / Symbol:							
07/17/20	50.000	2,081.10	07/13/20	2,001.89	...	79.21	Sale <sup>25</sup>
08/17/20	1.000	41.09	08/14/20	41.58	0.49 W	0.00	Sale <sup>25</sup>
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	49.000	1,826.18	09/03/20	1,842.40	...	-16.22	1 of 2 - Sale <sup>25</sup>
	1.000	37.27	09/03/20	38.09	...	-0.82	2 of 2 - Sale <sup>25</sup>
09/03/20	50.000	1,863.45	Various	1,880.49	...	-17.04	Total of 2 transactions
11/06/20	30.000	1,127.97	11/06/20	1,130.61	2.64 W	0.00	Sale <sup>25</sup>
3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	30.000	1,202.22	11/17/20	1,220.11	17.89 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	801.48	11/17/20	811.65	10.17 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	2,005.49	11/17/20	2,029.12	23.63 W	0.00	3 of 3 - Sale <sup>25</sup>
11/17/20	100.000	4,009.19	Various	4,060.88	51.69 W	0.00	Total of 3 transactions
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	50.000	2,124.95	12/07/20	2,163.63	...	-38.68	1 of 3 - Sale <sup>25</sup>
	30.000	1,274.97	12/07/20	1,301.89	...	-26.92	2 of 3 - Sale <sup>25</sup>
	20.000	849.98	12/07/20	866.17	...	-16.19	3 of 3 - Sale <sup>25</sup>
12/07/20	100.000	4,249.90	Various	4,331.69	...	-81.79	Total of 3 transactions
	<b>Security total:</b>	<b>13,372.70</b>		<b>13,447.14</b>	<b>54.82 W</b>	<b>-19.62</b>	
WASTE MANAGEMENT, INC. / CUSIP: 94106L109 / Symbol:							
06/04/20	15.000	1,613.36	06/02/20	1,609.05	...	4.31	Sale <sup>25</sup>
WAYFAIR INC. / CUSIP: 94419L101 / Symbol:							
09/29/20	5.000	1,501.86	09/29/20	1,505.40	3.54 W	0.00	Sale <sup>25</sup>
4 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	5.000	1,528.01	10/01/20	1,527.88	...	0.13	1 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WAYFAIR INC. / CUSIP: 94419L101 / Symbol: (cont'd)							
	5.000	1,537.51	10/01/20	1,535.96	...	1.55	2 of 4 - Sale <sup>25</sup>
	5.000	1,559.96	10/01/20	1,552.20	...	7.76	3 of 4 - Sale <sup>25</sup>
	5.000	1,566.17	10/01/20	1,552.20	...	13.97	4 of 4 - Sale <sup>25</sup>
10/01/20	20.000	6,191.65	Various	6,168.24	...	23.41	Total of 4 transactions
	2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	5.000	1,507.96	10/01/20	1,516.04	8.08 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	3,126.23	10/01/20	3,146.72	20.49 W	0.00	2 of 2 - Sale <sup>25</sup>
10/01/20	15.000	4,634.19	Various	4,662.76	28.57 W	0.00	Total of 2 transactions
	6 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	5.000	1,559.21	10/02/20	1,589.85	30.64 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	311.84	10/02/20	317.15	5.31 W	0.00	2 of 6 - Sale <sup>25</sup>
	4.000	1,247.38	10/02/20	1,268.59	21.21 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	1,553.91	10/02/20	1,592.29	38.38 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	308.01	10/02/20	314.66	6.65 W	0.00	5 of 6 - Sale <sup>25</sup>
	4.000	1,232.04	10/02/20	1,258.61	26.57 W	0.00	6 of 6 - Sale <sup>25</sup>
10/02/20	20.000	6,212.39	Various	6,341.15	128.76 W	0.00	Total of 6 transactions
10/06/20	5.000	1,529.96	10/06/20	1,577.18	47.22 W	0.00	Sale <sup>25</sup>
10/13/20	2.000	617.10	10/13/20	625.16	...	-8.06	Sale <sup>25</sup>
	2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	1.000	308.67	10/13/20	312.59	3.92 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	617.33	10/13/20	625.17	7.84 W	0.00	2 of 2 - Sale <sup>25</sup>
10/13/20	3.000	926.00	Various	937.76	11.76 W	0.00	Total of 2 transactions
10/19/20	5.000	1,532.81	10/19/20	1,585.72	...	-52.91	Sale <sup>25</sup>
	2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.						
	2.000	536.99	10/26/20	549.60	...	-12.61	1 of 2 - Sale <sup>25</sup>
	1.000	268.49	10/26/20	274.80	...	-6.31	2 of 2 - Sale <sup>25</sup>
10/26/20	3.000	805.48	Various	824.40	...	-18.92	Total of 2 transactions
12/09/20	10.000	2,490.46	12/09/20	2,492.26	...	-1.80	Sale <sup>25</sup>
	<b>Security total:</b>	<b>26,441.90</b>		<b>26,720.03</b>	<b>219.85 W</b>	<b>-58.28</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WEIBO CORPORATION AMERICAN DEP OSITARY SHARE / CUSIP: 948596101 / Symbol:							
<i>4 transactions for 09/14/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	342.44	09/14/20	342.10	...	0.34	1 of 4 - Sale <sup>25</sup>
	20.000	684.55	09/14/20	684.20	...	0.35	2 of 4 - Sale <sup>25</sup>
	20.000	694.98	09/14/20	694.28	...	0.70	3 of 4 - Sale <sup>25</sup>
	20.000	684.88	09/14/20	683.20	...	1.68	4 of 4 - Sale <sup>25</sup>
09/14/20	70.000	2,406.85	Various	2,403.78	...	3.07	Total of 4 transactions
09/14/20	50.000	1,751.46	09/14/20	1,758.50	7.04 W	0.00	Sale <sup>25</sup>
09/15/20	50.000	1,736.46	09/15/20	1,762.04	25.58 W	0.00	Sale <sup>25</sup>
09/18/20	10.000	366.50	09/18/20	368.55	2.05 W	0.00	Sale <sup>25</sup>
<i>4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	392.18	10/05/20	397.74	5.56 W	0.00	1 of 4 - Sale <sup>25</sup>
	40.000	1,568.74	10/05/20	1,603.23	34.49 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	395.29	10/05/20	399.56	4.27 W	0.00	3 of 4 - Sale <sup>25</sup>
	40.000	1,581.16	10/05/20	1,610.49	29.33 W	0.00	4 of 4 - Sale <sup>25</sup>
10/05/20	100.000	3,937.37	Various	4,011.02	73.65 W	0.00	Total of 4 transactions
<i>7 transactions for 10/29/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	840.78	10/29/20	851.31	10.53 W	0.00	1 of 7 - Sale <sup>25</sup>
	10.000	418.99	10/29/20	421.47	2.48 W	0.00	2 of 7 - Sale <sup>25</sup>
	40.000	1,675.96	10/29/20	1,698.13	22.17 W	0.00	3 of 7 - Sale <sup>25</sup>
	30.000	1,258.17	10/29/20	1,273.63	15.46 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	419.00	10/29/20	424.54	5.54 W	0.00	5 of 7 - Sale <sup>25</sup>
	9.000	377.10	10/29/20	379.33	2.23 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	41.90	10/29/20	42.15	0.25 W	0.00	7 of 7 - Sale <sup>25</sup>
10/29/20	120.000	5,031.90	Various	5,090.56	58.66 W	0.00	Total of 7 transactions
<i>4 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	441.79	11/06/20	446.95	5.16 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	441.79	11/06/20	447.34	5.55 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	44.18	11/06/20	44.43	0.25 W	0.00	3 of 4 - Sale <sup>25</sup>
	9.000	397.61	11/06/20	399.85	2.24 W	0.00	4 of 4 - Sale <sup>25</sup>
11/06/20	30.000	1,325.37	Various	1,338.57	13.20 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WEIBO CORPORATION AMERICAN DEP OSITARY SHARE / CUSIP: 948596101 / Symbol: (cont'd)							
<i>2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	229.50	11/10/20	229.83	0.33 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	458.80	11/10/20	462.07	3.27 W	0.00	2 of 2 - Sale <sup>25</sup>
11/10/20	15.000	688.30	Various	691.90	3.60 W	0.00	Total of 2 transactions
<i>4 transactions for 11/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	48.02	11/16/20	47.89	...	0.13	1 of 4 - Sale <sup>25</sup>
	10.000	480.16	11/16/20	479.67	...	0.49	2 of 4 - Sale <sup>25</sup>
	9.000	432.14	11/16/20	431.00	...	1.14	3 of 4 - Sale <sup>25</sup>
	5.000	240.08	11/16/20	238.53	...	1.55	4 of 4 - Sale <sup>25</sup>
11/16/20	25.000	1,200.40	Various	1,197.09	...	3.31	Total of 4 transactions
<i>8 transactions for 11/16/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	192.06	11/16/20	192.66	0.60 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	48.02	11/16/20	48.17	0.15 W	0.00	2 of 8 - Sale <sup>25</sup>
	2.000	96.03	11/16/20	96.31	0.28 W	0.00	3 of 8 - Sale <sup>25</sup>
	3.000	144.05	11/16/20	144.47	0.42 W	0.00	4 of 8 - Sale <sup>25</sup>
	3.000	144.05	11/16/20	144.47	0.42 W	0.00	5 of 8 - Sale <sup>25</sup>
	2.000	96.03	11/16/20	96.31	0.28 W	0.00	6 of 8 - Sale <sup>25</sup>
	10.000	480.16	11/16/20	481.95	1.79 W	0.00	7 of 8 - Sale <sup>25</sup>
	3.000	142.50	11/16/20	143.31	0.81 W	0.00	8 of 8 - Sale <sup>25</sup>
11/16/20	28.000	1,342.90	Various	1,347.65	4.75 W	0.00	Total of 8 transactions
<i>10 transactions for 12/07/20. Total proceeds and cost reported to the IRS.</i>							
	65.000	2,688.31	12/07/20	2,717.09	...	-28.78	1 of 10 - Sale <sup>25</sup>
	10.000	413.59	12/07/20	419.80	...	-6.21	2 of 10 - Sale <sup>25</sup>
	10.000	413.59	12/07/20	418.01	...	-4.42	3 of 10 - Sale <sup>25</sup>
	3.000	124.08	12/07/20	126.21	...	-2.13	4 of 10 - Sale <sup>25</sup>
	3.000	124.08	12/07/20	125.82	...	-1.74	5 of 10 - Sale <sup>25</sup>
	3.000	124.08	12/07/20	125.82	...	-1.74	6 of 10 - Sale <sup>25</sup>
	2.000	82.72	12/07/20	83.88	...	-1.16	7 of 10 - Sale <sup>25</sup>
	2.000	82.72	12/07/20	83.88	...	-1.16	8 of 10 - Sale <sup>25</sup>
	1.000	41.36	12/07/20	41.95	...	-0.59	9 of 10 - Sale <sup>25</sup>
	1.000	41.36	12/07/20	41.95	...	-0.59	10 of 10 - Sale <sup>25</sup>
12/07/20	100.000	4,135.89	Various	4,184.41	...	-48.52	Total of 10 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WEIBO CORPORATION AMERICAN DEP OSITARY SHARE / CUSIP: 948596101 / Symbol: (cont'd)							
<i>9 transactions for 12/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	41.46	12/07/20	41.73	0.27 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	41.46	12/07/20	41.73	0.27 W	0.00	2 of 9 - Sale <sup>25</sup>
	2.000	82.92	12/07/20	83.44	0.52 W	0.00	3 of 9 - Sale <sup>25</sup>
	2.000	82.92	12/07/20	83.44	0.52 W	0.00	4 of 9 - Sale <sup>25</sup>
	3.000	124.38	12/07/20	125.16	0.78 W	0.00	5 of 9 - Sale <sup>25</sup>
	3.000	124.38	12/07/20	125.16	0.78 W	0.00	6 of 9 - Sale <sup>25</sup>
	3.000	124.38	12/07/20	125.55	1.17 W	0.00	7 of 9 - Sale <sup>25</sup>
	10.000	414.59	12/07/20	417.59	3.00 W	0.00	8 of 9 - Sale <sup>25</sup>
	75.000	3,109.40	12/07/20	3,118.50	9.10 W	0.00	9 of 9 - Sale <sup>25</sup>
12/07/20	100.000	4,145.89	Various	4,162.30	16.41 W	0.00	Total of 9 transactions
	<b>Security total:</b>	<b>28,069.29</b>		<b>28,316.37</b>	<b>204.94 W</b>	<b>-42.14</b>	
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
01/24/20	9.000	425.97	10/29/19	469.80	43.83 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 02/21/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	47.51	10/29/19	52.20	...	-4.69	1 of 2 - Sale <sup>25</sup>
	9.000	427.59	01/28/20	473.40	...	-45.81	2 of 2 - Sale <sup>25</sup>
02/21/20	10.000	475.10	Various	525.60	...	-50.50	Total of 2 transactions
	<b>Security total:</b>	<b>901.07</b>		<b>995.40</b>	<b>43.83 W</b>	<b>-50.50</b>	
WHEATON PRECIOUS METALS CORP. COMMON STOCK / CUSIP: 962879102 / Symbol:							
07/27/20	20.000	1,125.97	07/27/20	1,127.00	1.03 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	881.58	07/27/20	1,144.23	262.65 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	1,322.37	07/27/20	1,714.80	392.43 W	0.00	2 of 2 - Sale <sup>25</sup>
11/10/20	50.000	2,203.95	Various	2,859.03	655.08 W	0.00	Total of 2 transactions
<i>3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	1,220.40	12/10/20	1,631.35	...	-410.95	1 of 3 - Sale <sup>25</sup>
	20.000	813.60	12/10/20	1,088.60	...	-275.00	2 of 3 - Sale <sup>25</sup>
	50.000	2,034.00	12/10/20	2,064.86	...	-30.86	3 of 3 - Sale <sup>25</sup>
12/10/20	100.000	4,068.00	Various	4,784.81	...	-716.81	Total of 3 transactions
	<b>Security total:</b>	<b>7,397.92</b>		<b>8,770.84</b>	<b>656.11 W</b>	<b>-716.81</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WHITING PETROLEUM CORPORATION / CUSIP: 966387409 / Symbol:							
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	20.000	24.00	04/22/20	12.60	...	11.40	1 of 2 - Sale <sup>25</sup>
	50.000	59.99	04/22/20	32.50	...	27.49	2 of 2 - Sale <sup>25</sup>
04/23/20	70.000	83.99	Various	45.10	...	38.89	Total of 2 transactions
WIMI HOLOGRAM CLOUD INC. AMERI CAN DEPOSITARY SHARE / CUSIP: 97264L100 / Symbol:							
6 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	5.000	102.00	07/10/20	102.50	...	-0.50	1 of 6 - Sale <sup>25</sup>
	1.000	20.40	07/10/20	20.28	...	0.12	2 of 6 - Sale <sup>25</sup>
	4.000	81.60	07/10/20	81.16	...	0.44	3 of 6 - Sale <sup>25</sup>
	10.000	204.50	07/10/20	196.80	...	7.70	4 of 6 - Sale <sup>25</sup>
	50.000	969.97	07/10/20	958.50	...	11.47	5 of 6 - Sale <sup>25</sup>
	50.000	942.97	07/10/20	931.00	...	11.97	6 of 6 - Sale <sup>25</sup>
07/10/20	120.000	2,321.44	Various	2,290.24	...	31.20	Total of 6 transactions
WORKDAY, INC. CLASS A COMMON S TOCK / CUSIP: 98138H101 / Symbol:							
09/18/20	10.000	2,020.83	09/18/20	2,033.35	12.52 W	0.00	Sale <sup>25</sup>
09/23/20	10.000	2,115.55	09/23/20	2,139.56	24.01 W	0.00	Sale <sup>25</sup>
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	224.05	10/02/20	226.40	2.35 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	896.22	10/02/20	905.60	9.38 W	0.00	2 of 2 - Sale <sup>25</sup>
10/02/20	5.000	1,120.27	Various	1,132.00	11.73 W	0.00	Total of 2 transactions
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	224.11	10/06/20	225.91	1.80 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	896.45	10/06/20	903.60	7.15 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	1,120.55	10/06/20	1,129.80	9.25 W	0.00	3 of 3 - Sale <sup>25</sup>
10/06/20	10.000	2,241.11	Various	2,259.31	18.20 W	0.00	Total of 3 transactions
3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	5.000	1,147.02	10/07/20	1,158.40	...	-11.38	1 of 3 - Sale <sup>25</sup>
	4.000	917.62	10/07/20	926.47	...	-8.85	2 of 3 - Sale <sup>25</sup>
	1.000	229.40	10/07/20	231.63	...	-2.23	3 of 3 - Sale <sup>25</sup>
10/07/20	10.000	2,294.04	Various	2,316.50	...	-22.46	Total of 3 transactions
	<b>Security total:</b>	<b>9,791.80</b>		<b>9,880.72</b>	<b>66.46 W</b>	<b>-22.46</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
<i>2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	78.75	06/25/20	78.48	...	0.27	1 of 2 - Sale <sup>25</sup>
	10.000	87.50	06/25/20	85.70	...	1.80	2 of 2 - Sale <sup>25</sup>
06/25/20	19.000	166.25	Various	164.18	...	2.07	Total of 2 transactions
<i>3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	8.75	06/25/20	8.82	0.07 W	0.00	1 of 3 - Sale <sup>25</sup>
	9.000	78.75	06/25/20	79.37	0.62 W	0.00	2 of 3 - Sale <sup>25</sup>
06/25/20	11.000	87.50	Various	8.79	0.04 W	0.00	3 of 3 - Sale <sup>25</sup>
	11.000	96.25	Various	96.98	0.73 W	0.00	Total of 3 transactions
<i>7 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	138.89	06/29/20	138.80	...	0.09	1 of 7 - Sale <sup>25</sup>
	1.000	15.25	06/29/20	15.15	...	0.10	2 of 7 - Sale <sup>25</sup>
	50.000	694.48	06/29/20	694.00	...	0.48	3 of 7 - Sale <sup>25</sup>
	9.000	137.24	06/29/20	136.61	...	0.63	4 of 7 - Sale <sup>25</sup>
	20.000	277.79	06/29/20	275.00	...	2.79	5 of 7 - Sale <sup>25</sup>
	10.000	152.49	06/29/20	148.00	...	4.49	6 of 7 - Sale <sup>25</sup>
	50.000	762.48	06/29/20	756.50	...	5.98	7 of 7 - Sale <sup>25</sup>
06/29/20	150.000	2,178.62	Various	2,164.06	...	14.56	Total of 7 transactions
<i>2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	13.89	06/29/20	13.92	0.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	125.01	06/29/20	125.54	0.53 W	0.00	2 of 2 - Sale <sup>25</sup>
06/29/20	10.000	138.90	Various	139.46	0.56 W	0.00	Total of 2 transactions
06/30/20	70.000	1,299.16	06/30/20	1,297.10	...	2.06	Sale <sup>25</sup>
06/30/20	70.000	1,266.96	06/30/20	1,298.50	22.53 W	-9.01	Sale <sup>25</sup>
<i>2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	50.25	07/30/20	50.40	0.15 W	0.00	1 of 2 - Sale <sup>25</sup>
	47.000	787.23	07/30/20	789.63	2.40 W	0.00	2 of 2 - Sale <sup>25</sup>
07/30/20	50.000	837.48	Various	840.03	2.55 W	0.00	Total of 2 transactions
<i>4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	352.60	08/05/20	356.20	...	-3.60	1 of 4 - Sale <sup>25</sup>
	20.000	352.80	08/05/20	356.19	...	-3.39	2 of 4 - Sale <sup>25</sup>
	7.000	123.41	08/05/20	124.67	...	-1.26	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	3.000	52.89	08/05/20	53.43	...	-0.54	4 of 4 - Sale <sup>25</sup>
08/05/20	50.000	881.70	Various	890.49	...	-8.79	Total of 4 transactions
	<b>Security total:</b>	<b>6,865.32</b>		<b>6,890.80</b>	<b>26.37 W</b>	<b>0.89</b>	
WPX ENERGY, INC. / CUSIP: 98212B103 / Symbol:							
3 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	19.000	96.33	04/23/20	101.56	...	-5.23	1 of 3 - Sale <sup>25</sup>
	41.000	207.86	04/24/20	207.68	...	0.18	2 of 3 - Sale <sup>25</sup>
	9.000	45.63	04/24/20	43.11	...	2.52	3 of 3 - Sale <sup>25</sup>
04/28/20	69.000	349.82	Various	352.35	...	-2.53	Total of 3 transactions
04/28/20	41.000	207.87	04/23/20	219.16	11.29 W	0.00	Sale <sup>25</sup>
09/24/20	100.000	442.99	09/24/20	447.00	...	-4.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,000.68</b>		<b>1,018.51</b>	<b>11.29 W</b>	<b>-6.54</b>	
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
5 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	2.000	169.60	08/11/20	177.51	7.91 W	0.00	1 of 5 - Sale <sup>25</sup>
	18.000	1,526.36	08/11/20	1,597.86	71.50 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	169.25	08/11/20	186.01	16.76 W	0.00	3 of 5 - Sale <sup>25</sup>
	18.000	1,523.21	08/11/20	1,674.40	151.19 W	0.00	4 of 5 - Sale <sup>25</sup>
	30.000	2,538.69	08/11/20	2,671.49	132.80 W	0.00	5 of 5 - Sale <sup>25</sup>
08/12/20	70.000	5,927.11	Various	6,307.27	380.16 W	0.00	Total of 5 transactions
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	18.000	1,548.32	08/24/20	1,708.93	...	-160.61	1 of 3 - Sale <sup>25</sup>
	30.000	2,535.88	08/24/20	2,681.30	...	-145.42	2 of 3 - Sale <sup>25</sup>
	2.000	172.04	08/24/20	189.84	...	-17.80	3 of 3 - Sale <sup>25</sup>
08/24/20	50.000	4,256.24	Various	4,580.07	...	-323.83	Total of 3 transactions
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	2.000	169.06	08/24/20	186.66	17.60 W	0.00	1 of 2 - Sale <sup>25</sup>
	18.000	1,521.52	08/24/20	1,680.29	158.77 W	0.00	2 of 2 - Sale <sup>25</sup>
08/24/20	20.000	1,690.58	Various	1,866.95	176.37 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>11,873.93</b>		<b>12,754.29</b>	<b>556.53 W</b>	<b>-323.83</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XILINX, INC. COMMON STOCK / CUSIP: 983919101 / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	18.000	1,844.05	07/22/20	1,861.08	...	-17.03	1 of 2 - Sale <sup>25</sup>
	2.000	204.90	07/22/20	206.79	...	-1.89	2 of 2 - Sale <sup>25</sup>
07/22/20	20.000	2,048.95	Various	2,067.87	...	-18.92	Total of 2 transactions
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	20.000	2,079.70	08/31/20	2,102.00	22.30 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	2,076.75	08/31/20	2,123.30	46.55 W	0.00	2 of 2 - Sale <sup>25</sup>
08/31/20	40.000	4,156.45	Various	4,225.30	68.85 W	0.00	Total of 2 transactions
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	10.000	1,086.21	09/02/20	1,105.27	...	-19.06	1 of 2 - Sale <sup>25</sup>
	10.000	1,086.22	09/02/20	1,104.00	...	-17.78	2 of 2 - Sale <sup>25</sup>
09/02/20	20.000	2,172.43	Various	2,209.27	...	-36.84	Total of 2 transactions
09/02/20	10.000	1,072.28	09/02/20	1,094.28	22.00 W	0.00	Sale <sup>25</sup>
2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	10.000	1,240.47	10/29/20	1,242.60	...	-2.13	1 of 2 - Sale <sup>25</sup>
	10.000	1,240.47	10/29/20	1,242.50	...	-2.03	2 of 2 - Sale <sup>25</sup>
10/29/20	20.000	2,480.94	Various	2,485.10	...	-4.16	Total of 2 transactions
	<b>Security total:</b>	<b>11,931.05</b>		<b>12,081.82</b>	<b>90.85 W</b>	<b>-59.92</b>	
XTANT MEDICAL HOLDINGS, INC. / CUSIP: 98420P308 / Symbol:							
05/07/20	10.000	7.18	04/27/20	8.00	...	-0.82	Sale <sup>25</sup>
XEROX HOLDINGS CORPORATION COM MON STOCK / CUSIP: 98421M106 / Symbol:							
3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	50.000	1,107.46 N	12/01/20	1,109.95	...	-2.49	1 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$142.50
	30.000	664.48 N	12/01/20	665.70	...	-1.22	2 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$85.50
	20.000	442.99 N	12/01/20	443.96	...	-0.97	3 of 3 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$57.00
12/16/20	100.000	2,214.93	Various	2,219.61	...	-4.68	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
11/24/20	10.000	728.48	11/24/20	693.10	...	35.38	Sale <sup>25</sup>
	2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	10.000	716.78	11/24/20	732.40	15.62 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	716.78	11/24/20	728.00	11.22 W	0.00	2 of 2 - Sale <sup>25</sup>
11/24/20	20.000	1,433.56	Various	1,460.40	26.84 W	0.00	Total of 2 transactions
	2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	10.000	637.49	11/25/20	663.59	26.10 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	637.48	11/25/20	659.19	21.71 W	0.00	2 of 2 - Sale <sup>25</sup>
11/25/20	20.000	1,274.97	Various	1,322.78	47.81 W	0.00	Total of 2 transactions
	2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	10.000	454.39	12/11/20	493.36	38.97 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	454.38	12/11/20	488.96	34.58 W	0.00	2 of 2 - Sale <sup>25</sup>
12/11/20	20.000	908.77	Various	982.32	73.55 W	0.00	Total of 2 transactions
12/14/20	10.000	474.73	12/14/20	463.20	...	11.53	Sale <sup>25</sup>
	2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	10.000	474.74	12/14/20	502.17	27.43 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	474.74	12/14/20	497.78	23.04 W	0.00	2 of 2 - Sale <sup>25</sup>
12/14/20	20.000	949.48	Various	999.95	50.47 W	0.00	Total of 2 transactions
	4 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	50.000	2,341.44	12/21/20	2,373.50	...	-32.06	1 of 4 - Sale <sup>25</sup>
	10.000	468.29	12/21/20	499.30	...	-31.01	2 of 4 - Sale <sup>25</sup>
	10.000	468.29	12/21/20	494.91	...	-26.62	3 of 4 - Sale <sup>25</sup>
	30.000	1,404.86	12/21/20	1,415.61	...	-10.75	4 of 4 - Sale <sup>25</sup>
12/21/20	100.000	4,682.88	Various	4,783.32	...	-100.44	Total of 4 transactions
	<b>Security total:</b>	<b>10,452.87</b>		<b>10,705.07</b>	<b>198.67 W</b>	<b>-53.53</b>	
YATSEN HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTING FOUR CLASS A ORDINARY / CUSIP: 985194109 / Symbol:							
12/07/20	50.000	789.98	12/04/20	800.00	10.02 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
YUM CHINA HOLDINGS, INC. COMMO N STOCK / CUSIP: 98850P109 / Symbol:							
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	10.000	517.46	09/17/20	514.59	...	2.87	1 of 3 - Sale <sup>25</sup>
	20.000	1,034.92	09/17/20	1,030.36	...	4.56	2 of 3 - Sale <sup>25</sup>
	20.000	1,034.93	09/17/20	1,029.80	...	5.13	3 of 3 - Sale <sup>25</sup>
09/18/20	50.000	2,587.31	Various	2,574.75	...	12.56	Total of 3 transactions
ZILLOW GROUP, INC. CLASS C CAP ITAL STOCK / CUSIP: 98954M200 / Symbol:							
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	2.000	217.75	11/24/20	219.33	1.58 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	108.88	11/24/20	109.82	0.94 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	217.75	11/24/20	219.58	1.83 W	0.00	3 of 3 - Sale <sup>25</sup>
11/24/20	5.000	544.38	Various	548.73	4.35 W	0.00	Total of 3 transactions
4 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	5.000	673.54	12/14/20	696.57	...	-23.03	1 of 4 - Sale <sup>25</sup>
	2.000	269.41	12/14/20	280.34	...	-10.93	2 of 4 - Sale <sup>25</sup>
	2.000	269.41	12/14/20	280.10	...	-10.69	3 of 4 - Sale <sup>25</sup>
	1.000	134.71	12/14/20	140.19	...	-5.48	4 of 4 - Sale <sup>25</sup>
12/14/20	10.000	1,347.07	Various	1,397.20	...	-50.13	Total of 4 transactions
12 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	1.000	134.00	12/14/20	136.19	2.19 W	0.00	1 of 12 - Sale <sup>25</sup>
	2.000	267.99	12/14/20	272.08	4.09 W	0.00	2 of 12 - Sale <sup>25</sup>
	2.000	267.99	12/14/20	272.33	4.34 W	0.00	3 of 12 - Sale <sup>25</sup>
	5.000	669.99	12/14/20	676.25	6.26 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	133.77	12/14/20	137.22	3.45 W	0.00	5 of 12 - Sale <sup>25</sup>
	2.000	267.54	12/14/20	274.14	6.60 W	0.00	6 of 12 - Sale <sup>25</sup>
	2.000	267.55	12/14/20	274.39	6.84 W	0.00	7 of 12 - Sale <sup>25</sup>
	5.000	668.86	12/14/20	681.70	12.84 W	0.00	8 of 12 - Sale <sup>25</sup>
	1.000	132.79	12/14/20	137.57	4.78 W	0.00	9 of 12 - Sale <sup>25</sup>
	2.000	265.57	12/14/20	274.85	9.28 W	0.00	10 of 12 - Sale <sup>25</sup>
	2.000	265.57	12/14/20	275.09	9.52 W	0.00	11 of 12 - Sale <sup>25</sup>
	5.000	663.94	12/14/20	683.46	19.52 W	0.00	12 of 12 - Sale <sup>25</sup>
12/14/20	30.000	4,005.56	Various	4,095.27	89.71 W	0.00	Total of 12 transactions
	<b>Security total:</b>	<b>5,897.01</b>		<b>6,041.20</b>	<b>94.06 W</b>	<b>-50.13</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ZTO EXPRESS (CAYMAN) INC. AMER ICAN DEPOSITARY SHARES, EACH R EPRESENTING ONE CLASS A ORDINA / CUSIP: 98980A105 / Symbol:							
06/03/20	100.000	3,439.91	06/02/20	3,360.99	...	78.92	Sale <sup>25</sup>
11/09/20	20.000	621.42	11/09/20	620.00	...	1.42	Sale <sup>25</sup>
11/11/20	50.000	1,500.57	11/11/20	1,503.75	3.18 W	0.00	Sale <sup>25</sup>
11/13/20	10.000	328.49	11/13/20	331.76	...	-3.27	Sale <sup>25</sup>
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	30.000	1,001.37	11/13/20	1,007.38	6.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	656.98	11/13/20	660.79	3.81 W	0.00	2 of 2 - Sale <sup>25</sup>
11/13/20	50.000	1,658.35	Various	1,668.17	9.82 W	0.00	Total of 2 transactions
11/17/20	10.000	329.15	11/17/20	326.28	...	2.87	Sale <sup>25</sup>
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	20.000	598.99	11/19/20	605.71	...	-6.72	1 of 2 - Sale <sup>25</sup>
	10.000	299.49	11/19/20	302.95	...	-3.46	2 of 2 - Sale <sup>25</sup>
11/19/20	30.000	898.48	Various	908.66	...	-10.18	Total of 2 transactions
	<b>Security total:</b>	<b>8,776.37</b>		<b>8,719.61</b>	<b>13.00 W</b>	<b>69.76</b>	
ZOOMINFO TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 98980F104 / Symbol:							
07/06/20	50.000	2,278.94	07/06/20	2,337.50	...	-58.56	Sale <sup>25</sup>
07/07/20	50.000	2,295.94	07/06/20	2,337.50	...	-41.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,574.88</b>		<b>4,675.00</b>	...	<b>-100.12</b>	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
5 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	10.000	2,380.34	08/11/20	2,387.50	7.16 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	2,356.70	08/11/20	2,396.06	39.36 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	2,356.69	08/11/20	2,388.90	32.21 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	2,350.52	08/11/20	2,412.26	61.74 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	2,350.52	08/11/20	2,407.61	57.09 W	0.00	5 of 5 - Sale <sup>25</sup>
08/11/20	50.000	11,794.77	Various	11,992.33	197.56 W	0.00	Total of 5 transactions
08/31/20	1.000	356.86	08/31/20	355.05	...	1.81	Sale <sup>25</sup>
6 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	5.000	1,547.76	08/31/20	1,579.52	31.76 W	0.00	1 of 6 - Sale <sup>25</sup>
	5.000	1,590.51	08/31/20	1,601.50	10.99 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	1,590.52	08/31/20	1,606.55	16.03 W	0.00	3 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
	5.000	1,590.51	08/31/20	1,619.84	29.33 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.000	713.72	08/31/20	723.11	9.39 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.000	713.73	08/31/20	721.62	7.89 W	0.00	6 of 6 - Sale <sup>25</sup>
08/31/20	24.000	7,746.75	Various	7,852.14	105.39 W	0.00	Total of 6 transactions
	2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	5.000	2,049.55	09/16/20	2,098.28	48.73 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	2,055.75	09/16/20	2,056.72	0.97 W	0.00	2 of 2 - Sale <sup>25</sup>
09/16/20	10.000	4,105.30	Various	4,155.00	49.70 W	0.00	Total of 2 transactions
	2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	1.000	418.50	09/18/20	427.01	8.51 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	417.12	09/18/20	427.06	9.94 W	0.00	2 of 2 - Sale <sup>25</sup>
09/18/20	2.000	835.62	Various	854.07	18.45 W	0.00	Total of 2 transactions
	2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	3.000	1,390.46	09/24/20	1,429.03	38.57 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	926.85	09/24/20	950.35	23.50 W	0.00	2 of 2 - Sale <sup>25</sup>
09/24/20	5.000	2,317.31	Various	2,379.38	62.07 W	0.00	Total of 2 transactions
	7 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	2.000	951.39	09/24/20	948.85	...	2.54	1 of 7 - Sale <sup>25</sup>
	5.000	2,378.47	09/24/20	2,320.20	...	58.27	2 of 7 - Sale <sup>25</sup>
	2.000	984.27	09/25/20	983.96	...	0.31	3 of 7 - Sale <sup>25</sup>
	1.000	493.09	09/25/20	492.78	...	0.31	4 of 7 - Sale <sup>25</sup>
	1.000	493.01	09/25/20	491.33	...	1.68	5 of 7 - Sale <sup>25</sup>
	1.000	490.99	09/25/20	489.18	...	1.81	6 of 7 - Sale <sup>25</sup>
	3.000	1,481.24	09/25/20	1,472.53	...	8.71	7 of 7 - Sale <sup>25</sup>
09/25/20	15.000	7,272.46	Various	7,198.83	...	73.63	Total of 7 transactions
	15 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	3.000	1,427.08	09/24/20	1,440.68	13.60 W	0.00	1 of 15 - Sale <sup>25</sup>
	2.000	951.39	09/24/20	959.93	8.54 W	0.00	2 of 15 - Sale <sup>25</sup>
	1.000	488.62	09/25/20	492.24	3.62 W	0.00	3 of 15 - Sale <sup>25</sup>
	1.000	488.62	09/25/20	493.68	5.06 W	0.00	4 of 15 - Sale <sup>25</sup>
	3.000	1,465.87	09/25/20	1,489.77	23.90 W	0.00	5 of 15 - Sale <sup>25</sup>
	2.000	987.49	09/25/20	996.12	8.63 W	0.00	6 of 15 - Sale <sup>25</sup>
	2.000	981.50	09/25/20	986.90	5.40 W	0.00	7 of 15 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
	1.000	490.75	09/25/20	492.80	2.05 W	0.00	8 of 15 - Sale <sup>25</sup>
	1.000	490.74	09/25/20	494.24	3.50 W	0.00	9 of 15 - Sale <sup>25</sup>
	1.000	490.75	09/25/20	497.15	6.40 W	0.00	10 of 15 - Sale <sup>25</sup>
	2.000	981.97	09/25/20	986.99	5.02 W	0.00	11 of 15 - Sale <sup>25</sup>
	2.000	981.98	09/25/20	994.29	12.31 W	0.00	12 of 15 - Sale <sup>25</sup>
	1.000	493.08	09/25/20	495.68	2.60 W	0.00	13 of 15 - Sale <sup>25</sup>
	1.000	493.87	09/25/20	496.96	3.09 W	0.00	14 of 15 - Sale <sup>25</sup>
	2.000	987.73	09/25/20	1,001.21	13.48 W	0.00	15 of 15 - Sale <sup>25</sup>
09/25/20	25.000	12,201.44	Various	12,318.64	117.20 W	0.00	Total of 15 transactions
	4 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	1.000	486.85	09/28/20	491.11	4.26 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	486.85	09/28/20	491.20	4.35 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	486.85	09/28/20	491.69	4.84 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	973.71	09/28/20	990.68	16.97 W	0.00	4 of 4 - Sale <sup>25</sup>
09/28/20	5.000	2,434.26	Various	2,464.68	30.42 W	0.00	Total of 4 transactions
	6 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	1.000	474.36	09/28/20	492.86	18.50 W	0.00	1 of 6 - Sale <sup>25</sup>
	4.000	1,897.42	09/28/20	1,954.40	56.98 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	471.16	09/28/20	507.53	36.37 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	471.16	09/28/20	493.39	22.23 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	471.16	09/28/20	493.88	22.72 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.000	942.32	09/28/20	995.05	52.73 W	0.00	6 of 6 - Sale <sup>25</sup>
09/29/20	10.000	4,727.58	Various	4,937.11	209.53 W	0.00	Total of 6 transactions
	6 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	1.000	475.95	10/01/20	513.98	38.03 W	0.00	1 of 6 - Sale <sup>25</sup>
	4.000	1,903.79	10/01/20	1,967.42	63.63 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	476.82	10/01/20	514.19	37.37 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	476.82	10/01/20	498.39	21.57 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	476.82	10/01/20	498.88	22.06 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.000	953.63	10/01/20	1,005.05	51.42 W	0.00	6 of 6 - Sale <sup>25</sup>
10/01/20	10.000	4,763.83	Various	4,997.91	234.08 W	0.00	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
18 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	494.13	10/02/20	530.18	36.05 W	0.00	1 of 18 - Sale <sup>25</sup>
	4.000	1,976.54	10/02/20	2,034.87	58.33 W	0.00	2 of 18 - Sale <sup>25</sup>
	1.000	492.88	10/02/20	528.24	35.36 W	0.00	3 of 18 - Sale <sup>25</sup>
	1.000	492.88	10/02/20	513.76	20.88 W	0.00	4 of 18 - Sale <sup>25</sup>
	1.000	492.88	10/02/20	514.24	21.36 W	0.00	5 of 18 - Sale <sup>25</sup>
	2.000	985.75	10/02/20	1,035.79	50.04 W	0.00	6 of 18 - Sale <sup>25</sup>
	1.000	494.99	10/02/20	535.45	40.46 W	0.00	7 of 18 - Sale <sup>25</sup>
	4.000	1,979.95	10/02/20	2,058.71	78.76 W	0.00	8 of 18 - Sale <sup>25</sup>
	1.000	486.46	10/02/20	531.94	45.48 W	0.00	9 of 18 - Sale <sup>25</sup>
	1.000	486.46	10/02/20	512.36	25.90 W	0.00	10 of 18 - Sale <sup>25</sup>
	1.000	486.46	10/02/20	512.84	26.38 W	0.00	11 of 18 - Sale <sup>25</sup>
	2.000	972.93	10/02/20	1,033.00	60.07 W	0.00	12 of 18 - Sale <sup>25</sup>
	4.000	1,945.86	10/02/20	2,044.68	98.82 W	0.00	13 of 18 - Sale <sup>25</sup>
	1.000	486.46	10/02/20	491.48	5.02 W	0.00	14 of 18 - Sale <sup>25</sup>
	1.000	481.83	10/02/20	536.80	54.97 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	481.83	10/02/20	517.30	35.47 W	0.00	16 of 18 - Sale <sup>25</sup>
	1.000	481.83	10/02/20	517.78	35.95 W	0.00	17 of 18 - Sale <sup>25</sup>
	2.000	963.66	10/02/20	1,042.87	79.21 W	0.00	18 of 18 - Sale <sup>25</sup>
10/02/20	30.000	14,683.78	Various	15,492.29	808.51 W	0.00	Total of 18 transactions
15 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	4.000	1,952.11	10/02/20	2,064.42	112.31 W	0.00	1 of 15 - Sale <sup>25</sup>
	1.000	488.03	10/02/20	496.42	8.39 W	0.00	2 of 15 - Sale <sup>25</sup>
	1.000	488.03	10/02/20	539.06	51.03 W	0.00	3 of 15 - Sale <sup>25</sup>
	1.000	488.03	10/02/20	519.56	31.53 W	0.00	4 of 15 - Sale <sup>25</sup>
	1.000	488.03	10/02/20	520.04	32.01 W	0.00	5 of 15 - Sale <sup>25</sup>
	2.000	976.06	10/02/20	1,047.39	71.33 W	0.00	6 of 15 - Sale <sup>25</sup>
	1.000	489.66	10/05/20	515.08	25.42 W	0.00	7 of 15 - Sale <sup>25</sup>
	3.000	1,468.97	10/05/20	1,545.23	76.26 W	0.00	8 of 15 - Sale <sup>25</sup>
	1.000	489.66	10/05/20	495.39	5.73 W	0.00	9 of 15 - Sale <sup>25</sup>
	1.000	485.50	10/05/20	539.13	53.63 W	0.00	10 of 15 - Sale <sup>25</sup>
	1.000	485.50	10/05/20	519.63	34.13 W	0.00	11 of 15 - Sale <sup>25</sup>
	1.000	485.50	10/05/20	520.11	34.61 W	0.00	12 of 15 - Sale <sup>25</sup>
	2.000	970.99	10/05/20	1,047.52	76.53 W	0.00	13 of 15 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 156901654
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
	1.000	479.98	10/05/20	510.37	30.39 W	0.00	14 of 15 - Sale <sup>25</sup>
	1.000	479.98	10/05/20	510.36	30.38 W	0.00	15 of 15 - Sale <sup>25</sup>
10/05/20	22.000	10,716.03	Various	11,389.71	673.68 W	0.00	Total of 15 transactions
	4 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	485.42	10/07/20	537.21	51.79 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	970.83	10/07/20	1,018.00	47.17 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	484.37	10/07/20	517.71	33.34 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	484.36	10/07/20	489.31	4.95 W	0.00	4 of 4 - Sale <sup>25</sup>
10/07/20	5.000	2,424.98	Various	2,562.23	137.25 W	0.00	Total of 4 transactions
	28 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	1.000	494.71	10/09/20	543.50	48.79 W	0.00	1 of 28 - Sale <sup>25</sup>
	1.000	494.71	10/09/20	526.32	31.61 W	0.00	2 of 28 - Sale <sup>25</sup>
	1.000	494.70	10/09/20	522.10	27.40 W	0.00	3 of 28 - Sale <sup>25</sup>
	2.000	989.42	10/09/20	1,059.96	70.54 W	0.00	4 of 28 - Sale <sup>25</sup>
	1.000	492.03	10/09/20	522.09	30.06 W	0.00	5 of 28 - Sale <sup>25</sup>
	1.000	492.03	10/09/20	525.06	33.03 W	0.00	6 of 28 - Sale <sup>25</sup>
	2.000	984.06	10/09/20	1,030.60	46.54 W	0.00	7 of 28 - Sale <sup>25</sup>
	1.000	492.02	10/09/20	496.66	4.64 W	0.00	8 of 28 - Sale <sup>25</sup>
	1.000	495.86	10/09/20	544.74	48.88 W	0.00	9 of 28 - Sale <sup>25</sup>
	1.000	495.86	10/09/20	527.56	31.70 W	0.00	10 of 28 - Sale <sup>25</sup>
	1.000	495.86	10/09/20	523.35	27.49 W	0.00	11 of 28 - Sale <sup>25</sup>
	2.000	991.73	10/09/20	1,062.44	70.71 W	0.00	12 of 28 - Sale <sup>25</sup>
	1.000	495.79	10/09/20	528.69	32.90 W	0.00	13 of 28 - Sale <sup>25</sup>
	1.000	495.79	10/09/20	531.66	35.87 W	0.00	14 of 28 - Sale <sup>25</sup>
	2.000	991.58	10/09/20	1,043.79	52.21 W	0.00	15 of 28 - Sale <sup>25</sup>
	1.000	495.78	10/09/20	503.27	7.49 W	0.00	16 of 28 - Sale <sup>25</sup>
	1.000	495.56	10/09/20	545.83	50.27 W	0.00	17 of 28 - Sale <sup>25</sup>
	1.000	495.56	10/09/20	528.65	33.09 W	0.00	18 of 28 - Sale <sup>25</sup>
	1.000	495.55	10/09/20	524.43	28.88 W	0.00	19 of 28 - Sale <sup>25</sup>
	2.000	991.12	10/09/20	1,064.60	73.48 W	0.00	20 of 28 - Sale <sup>25</sup>
	1.000	492.00	10/09/20	527.88	35.88 W	0.00	21 of 28 - Sale <sup>25</sup>
	2.000	983.99	10/09/20	1,042.16	58.17 W	0.00	22 of 28 - Sale <sup>25</sup>
	1.000	492.00	10/09/20	530.85	38.85 W	0.00	23 of 28 - Sale <sup>25</sup>
	1.000	492.00	10/09/20	502.46	10.46 W	0.00	24 of 28 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
	1.000	492.99	10/09/20	544.85	51.86 W	0.00	25 of 28 - Sale <sup>25</sup>
	1.000	492.99	10/09/20	527.67	34.68 W	0.00	26 of 28 - Sale <sup>25</sup>
	1.000	492.98	10/09/20	523.46	30.48 W	0.00	27 of 28 - Sale <sup>25</sup>
	2.000	985.98	10/09/20	1,062.65	76.67 W	0.00	28 of 28 - Sale <sup>25</sup>
10/09/20	35.000	17,294.65	Various	18,417.28	1,122.63 W	0.00	Total of 28 transactions
	4 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	1.000	498.79	10/12/20	538.89	40.10 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	498.79	10/12/20	542.85	44.06 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	997.57	10/12/20	1,066.16	68.59 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	498.78	10/12/20	514.45	15.67 W	0.00	4 of 4 - Sale <sup>25</sup>
10/12/20	5.000	2,493.93	Various	2,662.35	168.42 W	0.00	Total of 4 transactions
	6 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	1.000	512.17	10/13/20	558.36	46.19 W	0.00	1 of 6 - Sale <sup>25</sup>
	2.000	1,024.35	10/13/20	1,093.53	69.18 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	508.99	10/13/20	547.58	38.59 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	508.99	10/13/20	543.38	34.39 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	508.99	10/13/20	553.00	44.01 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.000	1,017.97	10/13/20	1,094.39	76.42 W	0.00	6 of 6 - Sale <sup>25</sup>
10/13/20	8.000	4,081.46	Various	4,390.24	308.78 W	0.00	Total of 6 transactions
	10 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	1.000	548.03	10/15/20	566.06	18.03 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	556.84	10/16/20	568.46	11.62 W	0.00	2 of 10 - Sale <sup>25</sup>
	1.000	556.84	10/16/20	597.19	40.35 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	556.85	10/16/20	589.36	32.51 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	559.80	10/16/20	593.20	33.40 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	559.80	10/16/20	597.19	37.39 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	559.80	10/16/20	590.61	30.81 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	563.56	10/16/20	600.23	36.67 W	0.00	8 of 10 - Sale <sup>25</sup>
	1.000	563.56	10/16/20	594.43	30.87 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.000	563.57	10/16/20	601.01	37.44 W	0.00	10 of 10 - Sale <sup>25</sup>
10/16/20	10.000	5,588.65	Various	5,897.74	309.09 W	0.00	Total of 10 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	518.58	10/22/20	546.00	27.42 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	518.58	10/22/20	568.31	49.73 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	518.57	10/22/20	539.59	21.02 W	0.00	3 of 3 - Sale <sup>25</sup>
10/22/20	3.000	1,555.73	Various	1,653.90	98.17 W	0.00	Total of 3 transactions
10/29/20	1.000	491.73	10/29/20	528.66	...	-36.93	Sale <sup>25</sup>
3 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	1.000	492.25	10/27/20	559.29	67.04 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	492.25	10/27/20	560.18	67.93 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	491.72	10/29/20	535.24	43.52 W	0.00	3 of 3 - Sale <sup>25</sup>
10/29/20	3.000	1,476.22	Various	1,654.71	178.49 W	0.00	Total of 3 transactions
3 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	494.47	10/30/20	532.28	...	-37.81	1 of 3 - Sale <sup>25</sup>
	1.000	494.46	10/30/20	529.77	...	-35.31	2 of 3 - Sale <sup>25</sup>
	1.000	494.46	10/30/20	524.97	...	-30.51	3 of 3 - Sale <sup>25</sup>
10/30/20	3.000	1,483.39	Various	1,587.02	...	-103.63	Total of 3 transactions
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	437.00	11/09/20	472.34	35.34 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	437.00	11/09/20	494.65	57.65 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	437.00	11/09/20	465.93	28.93 W	0.00	3 of 3 - Sale <sup>25</sup>
11/09/20	3.000	1,311.00	Various	1,432.92	121.92 W	0.00	Total of 3 transactions
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	1.000	395.98	11/16/20	461.71	65.73 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	395.99	11/16/20	462.59	66.60 W	0.00	2 of 2 - Sale <sup>25</sup>
11/16/20	2.000	791.97	Various	924.30	132.33 W	0.00	Total of 2 transactions
11/30/20	1.000	480.50	11/24/20	467.69	...	12.81	Sale <sup>25</sup>
6 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	421.51	12/02/20	487.90	...	-66.39	1 of 6 - Sale <sup>25</sup>
	1.000	421.50	12/02/20	487.03	...	-65.53	2 of 6 - Sale <sup>25</sup>
	1.000	421.51	12/02/20	478.95	...	-57.44	3 of 6 - Sale <sup>25</sup>
	1.000	421.50	12/02/20	456.64	...	-35.14	4 of 6 - Sale <sup>25</sup>
	1.000	421.50	12/02/20	450.23	...	-28.73	5 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
	5.000	2,107.53	12/02/20	2,106.50	...	1.03	6 of 6 - Sale <sup>25</sup>
12/02/20	10.000	4,215.05	Various	4,467.25	...	-252.20	Total of 6 transactions
	<b>Security total:</b>	<b>127,645.25</b>		<b>133,033.43</b>	<b>5,083.67 W</b>	<b>-304.51</b>	
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
06/01/20	50.000	472.50	05/29/20	436.88	...	35.62	Sale <sup>25</sup>
	2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	95.000	755.22 N	11/18/20	760.73	5.51 W	0.00	1 of 2 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$52.25
	5.000	39.75 N	11/18/20	39.89	0.14 W	0.00	2 of 2 - Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$2.75
11/20/20	100.000	794.97	Various	800.62	5.65 W	0.00	Total of 2 transactions
	3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	5.000	49.56	11/23/20	41.39	...	8.17	1 of 3 - Sale <sup>25</sup>
	45.000	446.00	11/23/20	373.86	...	72.14	2 of 3 - Sale <sup>25</sup>
	50.000	495.57	11/23/20	415.82	...	79.75	3 of 3 - Sale <sup>25</sup>
12/24/20	100.000	991.13	Various	831.07	...	160.06	Total of 3 transactions
	<b>Security total:</b>	<b>2,258.60</b>		<b>2,068.57</b>	<b>5.65 W</b>	<b>195.68</b>	
ATLAS FINL HLDGS INC ORDINARY SHARES / CUSIP: G06207115 / Symbol:							
	2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	5.000	2.45	04/27/20	2.15	...	0.30	1 of 2 - Sale <sup>25</sup>
	95.000	46.54	04/27/20	40.85	...	5.69	2 of 2 - Sale <sup>25</sup>
04/29/20	100.000	48.99	Various	43.00	...	5.99	Total of 2 transactions
	20 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	100.000	193.98	06/17/20	194.04	...	-0.06	1 of 20 - Sale <sup>25</sup>
	1.000	1.54	06/17/20	1.54	...	0.00	2 of 20 - Sale <sup>25</sup>
	27.000	40.23	06/17/20	39.96	...	0.27	3 of 20 - Sale <sup>25</sup>
	28.000	41.72	06/17/20	41.44	...	0.28	4 of 20 - Sale <sup>25</sup>
	46.000	68.53	06/17/20	68.09	...	0.44	5 of 20 - Sale <sup>25</sup>
	54.000	80.45	06/17/20	79.92	...	0.53	6 of 20 - Sale <sup>25</sup>
	73.000	142.34	06/17/20	141.62	...	0.72	7 of 20 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATLAS FINL HLDGS INC ORDINARY SHARES / CUSIP: G06207115 / Symbol: (cont'd)							
	100.000	148.99	06/17/20	148.02	...	0.97	8 of 20 - Sale <sup>25</sup>
	99.000	147.50	06/17/20	146.53	...	0.97	9 of 20 - Sale <sup>25</sup>
	100.000	194.98	06/17/20	194.01	...	0.97	10 of 20 - Sale <sup>25</sup>
	100.000	148.99	06/17/20	148.00	...	0.99	11 of 20 - Sale <sup>25</sup>
	146.000	217.53	06/17/20	216.08	...	1.45	12 of 20 - Sale <sup>25</sup>
	150.000	292.47	06/17/20	291.01	...	1.46	13 of 20 - Sale <sup>25</sup>
	177.000	345.12	06/17/20	343.39	...	1.73	14 of 20 - Sale <sup>25</sup>
	100.000	193.99	06/17/20	192.06	...	1.93	15 of 20 - Sale <sup>25</sup>
	100.000	193.99	06/17/20	192.05	...	1.94	16 of 20 - Sale <sup>25</sup>
	400.000	595.93	06/17/20	592.00	...	3.93	17 of 20 - Sale <sup>25</sup>
	50.000	99.50	06/17/20	95.00	...	4.50	18 of 20 - Sale <sup>25</sup>
	300.000	581.94	06/17/20	570.00	...	11.94	19 of 20 - Sale <sup>25</sup>
	150.000	298.48	06/17/20	285.00	...	13.48	20 of 20 - Sale <sup>25</sup>
06/17/20	2,301.000	4,028.20	Various	3,979.76	...	48.44	Total of 20 transactions
	15 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	200.000	387.97	06/17/20	390.08	1.06 W	-1.05	1 of 15 - Sale <sup>25</sup>
	199.000	306.43	06/17/20	306.46	0.03 W	0.00	2 of 15 - Sale <sup>25</sup>
	100.000	153.99	06/17/20	154.00	0.01 W	0.00	3 of 15 - Sale <sup>25</sup>
	500.000	724.92	06/17/20	735.00	10.08 W	0.00	4 of 15 - Sale <sup>25</sup>
	500.000	704.97	06/17/20	740.08	35.11 W	0.00	5 of 15 - Sale <sup>25</sup>
	100.000	140.99	06/17/20	142.00	1.01 W	0.00	6 of 15 - Sale <sup>25</sup>
	177.000	249.56	06/17/20	251.34	1.78 W	0.00	7 of 15 - Sale <sup>25</sup>
	150.000	211.49	06/17/20	213.00	1.51 W	0.00	8 of 15 - Sale <sup>25</sup>
	73.000	102.93	06/17/20	103.66	0.73 W	0.00	9 of 15 - Sale <sup>25</sup>
	100.000	194.99	06/17/20	201.02	6.03 W	0.00	10 of 15 - Sale <sup>25</sup>
	100.000	194.99	06/17/20	200.02	5.03 W	0.00	11 of 15 - Sale <sup>25</sup>
	300.000	584.95	06/17/20	600.07	15.12 W	0.00	12 of 15 - Sale <sup>25</sup>
	100.000	194.99	06/17/20	199.03	4.04 W	0.00	13 of 15 - Sale <sup>25</sup>
	100.000	193.98	06/17/20	196.03	2.05 W	0.00	14 of 15 - Sale <sup>25</sup>
	100.000	193.98	06/17/20	196.04	2.06 W	0.00	15 of 15 - Sale <sup>25</sup>
06/17/20	2,799.000	4,541.13	Various	4,627.83	85.65 W	-1.05	Total of 15 transactions
06/18/20	100.000	150.00	06/18/20	146.06	...	3.94	Sale <sup>25</sup>
	<b>Security total:</b>	<b>8,768.32</b>		<b>8,796.65</b>	<b>85.65 W</b>	<b>57.32</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATLIASIAN CORPORATION PLC CLAS S A ORDINARY SHARES / CUSIP: G06242104 / Symbol:							
10/19/20	5.000	1,054.47	10/19/20	1,061.80	...	-7.33	Sale <sup>25</sup>
	<i>2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	716.98	12/14/20	711.75	...	5.23	1 of 2 - Sale <sup>25</sup>
	7.000	1,672.96	12/14/20	1,660.75	...	12.21	2 of 2 - Sale <sup>25</sup>
12/14/20	10.000	2,389.94	Various	2,372.50	...	17.44	Total of 2 transactions
	<b>Security total:</b>	<b>3,444.41</b>		<b>3,434.30</b>	...	<b>10.11</b>	
CHINA SXT PHARMACEUTICALS, INC . ORDINARY SHARES / CUSIP: G2161P108 / Symbol:							
06/18/20	1,000.000	602.96	06/18/20	610.00	...	-7.04	Sale <sup>25</sup>
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol:							
	<i>8 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>						
	300.000	250.46	06/18/20	250.50	...	-0.04	1 of 8 - Sale <sup>25</sup>
	200.000	166.97	06/18/20	167.00	...	-0.03	2 of 8 - Sale <sup>25</sup>
	50.000	41.74	06/18/20	41.65	...	0.09	3 of 8 - Sale <sup>25</sup>
	100.000	83.49	06/18/20	83.30	...	0.19	4 of 8 - Sale <sup>25</sup>
	100.000	83.49	06/18/20	83.30	...	0.19	5 of 8 - Sale <sup>25</sup>
	105.000	87.66	06/18/20	87.47	...	0.19	6 of 8 - Sale <sup>25</sup>
	145.000	121.05	06/18/20	120.79	...	0.26	7 of 8 - Sale <sup>25</sup>
	300.000	250.46	06/18/20	249.00	...	1.46	8 of 8 - Sale <sup>25</sup>
06/18/20	1,300.000	1,085.32	Various	1,083.01	...	2.31	Total of 8 transactions
	<i>2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.</i>						
	100.000	148.00	08/14/20	159.00	...	-11.00	1 of 2 - Sale <sup>25</sup>
	100.000	160.99	08/14/20	158.00	...	2.99	2 of 2 - Sale <sup>25</sup>
08/14/20	200.000	308.99	Various	317.00	...	-8.01	Total of 2 transactions
	<b>Security total:</b>	<b>1,394.31</b>		<b>1,400.01</b>	...	<b>-5.70</b>	
HORIZON THERAPEUTICS PUBLIC LI MITED COMPANY ORDINARY SHARES / CUSIP: G46188101 / Symbol:							
	<i>2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	229.26	10/16/20	241.83	...	-12.57	1 of 2 - Sale <sup>25</sup>
	2.000	152.84	10/16/20	161.22	...	-8.38	2 of 2 - Sale <sup>25</sup>
10/29/20	5.000	382.10	Various	403.05	...	-20.95	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NABRIVA THERAPEUTICS PLC ORDINARY SHARES IRELAND / CUSIP: G63637105 / Symbol:							
2 transactions for 01/21/20. Total proceeds and cost reported to the IRS.							
	50.000	75.99	01/14/20	67.50	...	8.49	1 of 2 - Sale <sup>25</sup>
	100.000	151.99	01/17/20	145.50	...	6.49	2 of 2 - Sale <sup>25</sup>
01/21/20	150.000	227.98	Various	213.00	...	14.98	Total of 2 transactions
NORDIC AMERICAN TANKER / CUSIP: G65773106 / Symbol:							
12/04/20	100.000	346.99	11/27/20	335.50	...	11.49	Sale <sup>25</sup>
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	38.000	590.50	06/29/20	590.52	...	-0.02	1 of 3 - Sale <sup>25</sup>
	1.000	15.54	06/29/20	15.54	...	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	15.54	06/29/20	15.54	...	0.00	3 of 3 - Sale <sup>25</sup>
06/29/20	40.000	621.58	Various	621.60	...	-0.02	Total of 3 transactions
NOVOCURE LIMITED ORDINARY SHARES / CUSIP: G6674U108 / Symbol:							
11/23/20	3.000	406.98	11/20/20	399.02	...	7.96	Sale <sup>25</sup>
OXBRIDGE RE HOLDINGS LIMITED ORDINARY SHARES / CUSIP: G6856M106 / Symbol:							
9 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	11.000	36.30	06/19/20	34.82	...	1.48	1 of 9 - Sale <sup>25</sup>
	100.000	329.98	06/19/20	310.00	...	19.98	2 of 9 - Sale <sup>25</sup>
	100.000	329.98	06/19/20	310.00	...	19.98	3 of 9 - Sale <sup>25</sup>
	100.000	329.98	06/19/20	310.00	...	19.98	4 of 9 - Sale <sup>25</sup>
	100.000	299.98	06/19/20	275.00	...	24.98	5 of 9 - Sale <sup>25</sup>
	179.000	536.96	06/19/20	510.15	...	26.81	6 of 9 - Sale <sup>25</sup>
	200.000	659.96	06/19/20	620.00	...	39.96	7 of 9 - Sale <sup>25</sup>
	321.000	962.94	06/19/20	914.85	...	48.09	8 of 9 - Sale <sup>25</sup>
	489.000	1,613.60	06/19/20	1,547.68	...	65.92	9 of 9 - Sale <sup>25</sup>
06/19/20	1,600.000	5,099.68	Various	4,832.50	...	267.18	Total of 9 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. II / CUSIP: G8250T109 / Symbol:							
10/16/20	10.000	243.20	10/15/20	251.30	...	-8.10	Sale <sup>25</sup>
	<i>2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>						
	86.000	2,235.94	12/10/20	2,267.39	...	-31.45	1 of 2 - Sale <sup>25</sup>
	14.000	363.99	12/10/20	366.80	...	-2.81	2 of 2 - Sale <sup>25</sup>
12/10/20	100.000	2,599.93	Various	2,634.19	...	-34.26	Total of 2 transactions
	<b>Security total:</b>	<b>2,843.13</b>		<b>2,885.49</b>	...	<b>-42.36</b>	
STONECO LTD. CLASS A COMMON SH ARES / CUSIP: G85158106 / Symbol:							
	<i>3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	54.41	08/11/20	51.96	...	2.45	1 of 3 - Sale <sup>25</sup>
	10.000	544.08	08/11/20	533.10	...	10.98	2 of 3 - Sale <sup>25</sup>
	9.000	489.68	08/11/20	467.64	...	22.04	3 of 3 - Sale <sup>25</sup>
08/11/20	20.000	1,088.17	Various	1,052.70	...	35.47	Total of 3 transactions
LIBERTY LATIN AMERICA LTD. CLA SS C COMMON STOCK / CUSIP: G9001E128 / Symbol:							
12/10/20	100.000	1,170.96	12/10/20	1,181.70	...	-10.74	Sale <sup>25</sup>
CRISPR THERAPEUTICS AG COMMON SHARES / CUSIP: H17182108 / Symbol:							
	<i>2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	173.00	08/24/20	172.94	...	0.06	1 of 2 - Sale <sup>25</sup>
	48.000	4,151.90	08/24/20	4,150.56	...	1.34	2 of 2 - Sale <sup>25</sup>
08/24/20	50.000	4,324.90	Various	4,323.50	...	1.40	Total of 2 transactions
SPOTIFY TECHNOLOGY S.A. / CUSIP: L8681T102 / Symbol:							
	<i>4 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>						
	19.000	4,010.43	06/18/20	4,078.33	7.15 W	-60.75	1 of 4 - Sale <sup>25</sup>
	1.000	211.00	06/18/20	213.34	2.34 W	0.00	2 of 4 - Sale <sup>25</sup>
	19.000	4,008.91	06/18/20	4,053.54	44.63 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	211.00	06/18/20	214.64	3.64 W	0.00	4 of 4 - Sale <sup>25</sup>
06/18/20	40.000	8,441.34	Various	8,559.85	57.76 W	-60.75	Total of 4 transactions
	<i>2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	555.89	07/09/20	562.79	...	-6.90	1 of 2 - Sale <sup>25</sup>
	1.000	277.94	07/09/20	281.46	...	-3.52	2 of 2 - Sale <sup>25</sup>
07/09/20	3.000	833.83	Various	844.25	...	-10.42	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPOTIFY TECHNOLOGY S.A. / CUSIP: L8681T102 / Symbol: (cont'd)							
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	3.000	809.08	08/20/20	811.98	2.90 W	0.00	1 of 2 - Sale <sup>25</sup>
	37.000	9,978.30	08/20/20	10,014.42	36.12 W	0.00	2 of 2 - Sale <sup>25</sup>
08/20/20	40.000	10,787.38	Various	10,826.40	39.02 W	0.00	Total of 2 transactions
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	3.000	839.08	08/26/20	841.25	2.17 W	0.00	1 of 3 - Sale <sup>25</sup>
	7.000	1,957.85	08/26/20	1,962.98	5.13 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	2,796.94	08/26/20	2,800.96	4.02 W	0.00	3 of 3 - Sale <sup>25</sup>
08/26/20	20.000	5,593.87	Various	5,605.19	11.32 W	0.00	Total of 3 transactions
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	10.000	2,833.63	08/28/20	2,822.26	...	11.37	1 of 2 - Sale <sup>25</sup>
	10.000	2,838.83	08/28/20	2,822.27	...	16.56	2 of 2 - Sale <sup>25</sup>
08/28/20	20.000	5,672.46	Various	5,644.53	...	27.93	Total of 2 transactions
6 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	10.000	2,804.94	08/28/20	2,860.29	5.54 W	-49.81	1 of 6 - Sale <sup>25</sup>
	3.000	843.43	08/28/20	852.04	8.61 W	0.00	2 of 6 - Sale <sup>25</sup>
	7.000	1,968.01	08/28/20	1,988.16	20.15 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.000	2,811.43	08/28/20	2,849.92	38.49 W	0.00	4 of 6 - Sale <sup>25</sup>
	3.000	841.48	08/28/20	855.15	13.67 W	0.00	5 of 6 - Sale <sup>25</sup>
	7.000	1,963.46	08/28/20	1,995.41	31.95 W	0.00	6 of 6 - Sale <sup>25</sup>
08/28/20	40.000	11,232.75	Various	11,400.97	118.41 W	-49.81	Total of 6 transactions
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	5.000	1,365.79	09/01/20	1,484.61	...	-118.82	1 of 3 - Sale <sup>25</sup>
	3.000	831.86	09/01/20	890.75	...	-58.89	2 of 3 - Sale <sup>25</sup>
	2.000	554.57	09/01/20	593.85	...	-39.28	3 of 3 - Sale <sup>25</sup>
09/02/20	10.000	2,752.22	Various	2,969.21	...	-216.99	Total of 3 transactions
09/22/20	1.000	241.30	09/22/20	243.49	2.19 W	0.00	Sale <sup>25</sup>
6 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	249.79	10/12/20	255.49	5.70 W	0.00	1 of 6 - Sale <sup>25</sup>
	9.000	2,248.15	10/12/20	2,279.70	31.55 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	249.66	10/12/20	255.00	5.34 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	499.32	10/12/20	505.61	6.29 W	0.00	4 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPOTIFY TECHNOLOGY S.A. / CUSIP: L8681T102 / Symbol: (cont'd)							
	2.000	498.77	10/12/20	505.61	6.84 W	0.00	5 of 6 - Sale <sup>25</sup>
	3.000	757.00	10/12/20	769.07	12.07 W	0.00	6 of 6 - Sale <sup>25</sup>
10/12/20	18.000	4,502.69	Various	4,570.48	67.79 W	0.00	Total of 6 transactions
	3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	1.000	266.74	10/22/20	278.80	12.06 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	266.73	10/22/20	276.60	9.87 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	533.48	10/22/20	553.92	20.44 W	0.00	3 of 3 - Sale <sup>25</sup>
10/22/20	4.000	1,066.95	Various	1,109.32	42.37 W	0.00	Total of 3 transactions
10/23/20	1.000	276.93	10/23/20	280.71	3.78 W	0.00	Sale <sup>25</sup>
	6 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	2.000	480.60	11/03/20	499.94	...	-19.34	1 of 6 - Sale <sup>25</sup>
	1.000	240.30	11/03/20	251.81	...	-11.51	2 of 6 - Sale <sup>25</sup>
	3.000	720.90	11/03/20	731.32	...	-10.42	3 of 6 - Sale <sup>25</sup>
	1.000	240.29	11/03/20	249.62	...	-9.33	4 of 6 - Sale <sup>25</sup>
	2.000	480.60	11/03/20	486.34	...	-5.74	5 of 6 - Sale <sup>25</sup>
	1.000	240.30	11/03/20	243.53	...	-3.23	6 of 6 - Sale <sup>25</sup>
11/03/20	10.000	2,402.99	Various	2,462.56	...	-59.57	Total of 6 transactions
12/04/20	100.000	30,015.31 N	12/04/20	29,882.00	...	133.31	Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$2,015.94
	<b>Security total:</b>	<b>83,820.02</b>		<b>84,398.96</b>	<b>342.64 W</b>	<b>-236.30</b>	

CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol:

6 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	500.000	279.93	04/20/20	239.00	...	40.93	1 of 6 - Sale <sup>25</sup>
	500.000	279.93	04/20/20	239.00	...	40.93	2 of 6 - Sale <sup>25</sup>
	500.000	279.93	04/20/20	239.00	...	40.93	3 of 6 - Sale <sup>25</sup>
	500.000	279.93	04/20/20	239.00	...	40.93	4 of 6 - Sale <sup>25</sup>
	100.000	55.99	04/24/20	50.70	...	5.29	5 of 6 - Sale <sup>25</sup>
	400.000	223.95	04/24/20	202.80	...	21.15	6 of 6 - Sale <sup>25</sup>
04/27/20	2,500.000	1,399.66	Various	1,209.50	...	190.16	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
4 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	150.000	85.48	04/27/20	87.00	1.52 W	0.00	1 of 4 - Sale <sup>25</sup>
	101.000	57.56	04/27/20	58.58	1.02 W	0.00	2 of 4 - Sale <sup>25</sup>
	72.000	41.03	04/27/20	41.76	0.73 W	0.00	3 of 4 - Sale <sup>25</sup>
	177.000	100.87	04/27/20	102.66	1.79 W	0.00	4 of 4 - Sale <sup>25</sup>
04/28/20	500.000	284.94	Various	290.00	5.06 W	0.00	Total of 4 transactions
6 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	72.000	37.80	04/27/20	43.21	5.41 W	0.00	1 of 6 - Sale <sup>25</sup>
	101.000	53.03	04/27/20	60.61	7.58 W	0.00	2 of 6 - Sale <sup>25</sup>
	150.000	78.76	04/27/20	90.02	11.26 W	0.00	3 of 6 - Sale <sup>25</sup>
	177.000	92.94	04/27/20	106.22	13.28 W	0.00	4 of 6 - Sale <sup>25</sup>
	100.000	52.51	04/28/20	53.50	0.99 W	0.00	5 of 6 - Sale <sup>25</sup>
	400.000	210.02	04/29/20	228.00	8.99 W	-8.99	6 of 6 - Sale <sup>25</sup>
05/07/20	1,000.000	525.06	Various	581.56	47.51 W	-8.99	Total of 6 transactions
6 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	177.000	101.54	04/29/20	114.17	...	-12.63	1 of 6 - Sale <sup>25</sup>
	150.000	86.05	04/29/20	96.76	...	-10.71	2 of 6 - Sale <sup>25</sup>
	101.000	57.94	04/29/20	65.15	...	-7.21	3 of 6 - Sale <sup>25</sup>
	72.000	41.30	04/29/20	46.45	...	-5.15	4 of 6 - Sale <sup>25</sup>
	100.000	57.37	04/29/20	57.99	...	-0.62	5 of 6 - Sale <sup>25</sup>
	200.000	114.74	04/30/20	108.99	...	5.75	6 of 6 - Sale <sup>25</sup>
05/18/20	800.000	458.94	Various	489.51	...	-30.57	Total of 6 transactions
	<b>Security total:</b>	<b>2,668.60</b>		<b>2,570.57</b>	<b>52.57 W</b>	<b>150.60</b>	
WIX.COM LTD. ORDINARY SHARES / CUSIP: M98068105 / Symbol:							
08/05/20	10.000	3,077.63	08/05/20	3,080.00	2.37 W	0.00	Sale <sup>25</sup>
08/06/20	5.000	1,535.91	08/06/20	1,518.69	...	17.22	Sale <sup>25</sup>
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	15.000	4,057.41	08/11/20	4,149.00	...	-91.59	1 of 3 - Sale <sup>25</sup>
	3.000	811.48	08/11/20	830.51	...	-19.03	2 of 3 - Sale <sup>25</sup>
	2.000	540.48	08/11/20	553.67	...	-13.19	3 of 3 - Sale <sup>25</sup>
08/11/20	20.000	5,409.37	Various	5,533.18	...	-123.81	Total of 3 transactions
	<b>Security total:</b>	<b>10,022.91</b>		<b>10,131.87</b>	<b>2.37 W</b>	<b>-106.59</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AFFIRMED N.V. / CUSIP: N01045108 / Symbol:							
<i>4 transactions for 04/20/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	228.99	04/17/20	225.00	...	3.99	1 of 4 - Sale <sup>25</sup>
	100.000	228.99	04/17/20	225.00	...	3.99	2 of 4 - Sale <sup>25</sup>
	100.000	228.99	04/17/20	225.00	...	3.99	3 of 4 - Sale <sup>25</sup>
	200.000	457.98	04/17/20	450.00	...	7.98	4 of 4 - Sale <sup>25</sup>
04/20/20	500.000	1,144.95	Various	1,125.00	...	19.95	Total of 4 transactions
ELASTIC N.V. / CUSIP: N14506104 / Symbol:							
09/10/20	10.000	1,043.67	09/10/20	1,042.19	...	1.48	Sale <sup>25</sup>
PLAYA HOTELS & RESORTS N.V. OR DINARY SHARES / CUSIP: N70544106 / Symbol:							
<i>4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	400.000	1,547.91	06/05/20	1,639.96	46.03 W	-46.02	1 of 4 - Sale <sup>25</sup>
	100.000	386.98	06/05/20	423.50	36.52 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	386.98	06/05/20	419.50	32.52 W	0.00	3 of 4 - Sale <sup>25</sup>
	200.000	773.96	06/05/20	831.00	57.04 W	0.00	4 of 4 - Sale <sup>25</sup>
06/05/20	800.000	3,095.83	Various	3,313.96	172.11 W	-46.02	Total of 4 transactions
<i>8 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	407.98	06/05/20	446.51	...	-38.53	1 of 8 - Sale <sup>25</sup>
	100.000	407.98	06/05/20	442.52	...	-34.54	2 of 8 - Sale <sup>25</sup>
	91.000	371.26	06/05/20	389.95	...	-18.69	3 of 8 - Sale <sup>25</sup>
	100.000	407.98	06/05/20	418.02	...	-10.04	4 of 8 - Sale <sup>25</sup>
	100.000	407.99	06/05/20	412.51	...	-4.52	5 of 8 - Sale <sup>25</sup>
	91.000	371.27	06/05/20	375.39	...	-4.12	6 of 8 - Sale <sup>25</sup>
	9.000	36.72	06/05/20	38.57	...	-1.85	7 of 8 - Sale <sup>25</sup>
	9.000	36.72	06/05/20	37.13	...	-0.41	8 of 8 - Sale <sup>25</sup>
06/08/20	600.000	2,447.90	Various	2,560.60	...	-112.70	Total of 8 transactions
	<b>Security total:</b>	<b>5,543.73</b>		<b>5,874.56</b>	<b>172.11 W</b>	<b>-158.72</b>	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
06/22/20	100.000	86.99	06/22/20	89.50	...	-2.51	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
03/20/20	100.000	56.97	03/04/20	145.00	...	-88.03	Sale <sup>25</sup>
	<i>4 transactions for 04/28/20. Total proceeds and cost reported to the IRS.</i>						
	100.000	68.65	04/24/20	65.99	...	2.66	1 of 4 - Sale <sup>25</sup>
	100.000	68.65	04/24/20	65.99	...	2.66	2 of 4 - Sale <sup>25</sup>
	100.000	68.65	04/24/20	65.99	...	2.66	3 of 4 - Sale <sup>25</sup>
	200.000	137.30	04/24/20	131.98	...	5.32	4 of 4 - Sale <sup>25</sup>
04/28/20	500.000	343.25	Various	329.95	...	13.30	Total of 4 transactions
	<b>Security total:</b>	<b>400.22</b>		<b>474.95</b>	...	<b>-74.73</b>	
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265407 / Symbol:							
	<i>3 transactions for 04/28/20. Total proceeds and cost reported to the IRS.</i>						
	42.000	35.91	04/20/20	33.18	...	2.73	1 of 3 - Sale <sup>25</sup>
	42.000	35.91	04/20/20	33.18	...	2.73	2 of 3 - Sale <sup>25</sup>
	58.000	49.58	04/20/20	45.82	...	3.76	3 of 3 - Sale <sup>25</sup>
04/28/20	142.000	121.40	Various	112.18	...	9.22	Total of 3 transactions
	<i>3 transactions for 05/07/20. Total proceeds and cost reported to the IRS.</i>						
	100.000	65.99	04/28/20	81.01	...	-15.02	1 of 3 - Sale <sup>25</sup>
	100.000	65.99	04/28/20	81.01	...	-15.02	2 of 3 - Sale <sup>25</sup>
	100.000	65.98	04/28/20	73.00	...	-7.02	3 of 3 - Sale <sup>25</sup>
05/07/20	300.000	197.96	Various	235.02	...	-37.06	Total of 3 transactions
05/07/20	200.000	131.98	04/28/20	148.00	16.02 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>451.34</b>		<b>495.20</b>	<b>16.02 W</b>	<b>-27.84</b>	
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol:							
	<i>25 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>						
	2,749.000	466.99	06/05/20	507.52	...	-40.53	1 of 25 - Sale <sup>25</sup>
	1,095.000	186.01	06/05/20	214.57	...	-28.56	2 of 25 - Sale <sup>25</sup>
	695.000	118.07	06/05/20	141.69	...	-23.62	3 of 25 - Sale <sup>25</sup>
	2,400.000	407.70	06/05/20	430.80	...	-23.10	4 of 25 - Sale <sup>25</sup>
	741.000	125.88	06/05/20	145.19	...	-19.31	5 of 25 - Sale <sup>25</sup>
	2,464.000	418.58	06/05/20	435.39	...	-16.81	6 of 25 - Sale <sup>25</sup>
	449.000	76.27	06/05/20	91.53	...	-15.26	7 of 25 - Sale <sup>25</sup>
	200.000	33.97	06/05/20	40.77	...	-6.80	8 of 25 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol: (cont'd)							
	405.000	68.80	06/05/20	74.77	...	-5.97	9 of 25 - Sale <sup>25</sup>
	100.000	16.99	06/05/20	20.38	...	-3.39	10 of 25 - Sale <sup>25</sup>
	87.000	14.78	06/05/20	17.73	...	-2.95	11 of 25 - Sale <sup>25</sup>
	182.000	30.92	06/05/20	33.60	...	-2.68	12 of 25 - Sale <sup>25</sup>
	100.000	16.99	06/05/20	19.60	...	-2.61	13 of 25 - Sale <sup>25</sup>
	100.000	16.99	06/05/20	19.60	...	-2.61	14 of 25 - Sale <sup>25</sup>
	100.000	16.99	06/05/20	19.60	...	-2.61	15 of 25 - Sale <sup>25</sup>
	100.000	16.99	06/05/20	19.59	...	-2.60	16 of 25 - Sale <sup>25</sup>
	100.000	16.99	06/05/20	19.59	...	-2.60	17 of 25 - Sale <sup>25</sup>
	100.000	16.99	06/05/20	19.59	...	-2.60	18 of 25 - Sale <sup>25</sup>
	100.000	16.99	06/05/20	19.59	...	-2.60	19 of 25 - Sale <sup>25</sup>
	51.000	8.66	06/05/20	10.40	...	-1.74	20 of 25 - Sale <sup>25</sup>
	59.000	10.02	06/05/20	11.47	...	-1.45	21 of 25 - Sale <sup>25</sup>
	8.000	1.36	06/05/20	1.62	...	-0.26	22 of 25 - Sale <sup>25</sup>
	5.000	0.85	06/05/20	1.03	...	-0.18	23 of 25 - Sale <sup>25</sup>
	5.000	0.85	06/05/20	1.03	...	-0.18	24 of 25 - Sale <sup>25</sup>
	5.000	0.85	06/05/20	1.02	...	-0.17	25 of 25 - Sale <sup>25</sup>
06/05/20	12,400.000	2,106.48	Various	2,317.67	...	-211.19	Total of 25 transactions
	27 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	2,600.000	441.68	06/05/20	466.70	12.90 W	-12.12	1 of 27 - Sale <sup>25</sup>
	700.000	118.91	06/05/20	125.65	6.74 W	0.00	2 of 27 - Sale <sup>25</sup>
	200.000	33.98	06/05/20	35.90	1.92 W	0.00	3 of 27 - Sale <sup>25</sup>
	200.000	33.98	06/05/20	35.90	1.92 W	0.00	4 of 27 - Sale <sup>25</sup>
	2,300.000	390.71	06/05/20	412.85	22.14 W	0.00	5 of 27 - Sale <sup>25</sup>
	800.000	135.90	06/05/20	143.60	7.70 W	0.00	6 of 27 - Sale <sup>25</sup>
	5.000	0.85	06/05/20	0.95	0.10 W	0.00	7 of 27 - Sale <sup>25</sup>
	100.000	16.99	06/05/20	18.91	1.92 W	0.00	8 of 27 - Sale <sup>25</sup>
	200.000	33.97	06/05/20	37.82	3.85 W	0.00	9 of 27 - Sale <sup>25</sup>
	695.000	118.07	06/05/20	131.44	13.37 W	0.00	10 of 27 - Sale <sup>25</sup>
	5.000	0.85	06/05/20	0.95	0.10 W	0.00	11 of 27 - Sale <sup>25</sup>
	95.000	16.14	06/05/20	17.96	1.82 W	0.00	12 of 27 - Sale <sup>25</sup>
	5.000	0.85	06/05/20	0.95	0.10 W	0.00	13 of 27 - Sale <sup>25</sup>
	500.000	84.94	06/05/20	94.56	9.62 W	0.00	14 of 27 - Sale <sup>25</sup>
	5.000	0.85	06/05/20	1.00	0.15 W	0.00	15 of 27 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol: (cont'd)							
	100.000	16.99	06/05/20	19.87	2.88 W	0.00	16 of 27 - Sale <sup>25</sup>
	200.000	33.98	06/05/20	39.75	5.77 W	0.00	17 of 27 - Sale <sup>25</sup>
	695.000	118.06	06/05/20	138.12	20.06 W	0.00	18 of 27 - Sale <sup>25</sup>
	800.000	135.90	06/05/20	151.30	15.40 W	0.00	19 of 27 - Sale <sup>25</sup>
	1,795.000	304.93	06/05/20	339.48	34.55 W	0.00	20 of 27 - Sale <sup>25</sup>
	587.000	99.72	06/05/20	105.37	5.65 W	0.00	21 of 27 - Sale <sup>25</sup>
	5.000	0.85	06/05/20	1.00	0.15 W	0.00	22 of 27 - Sale <sup>25</sup>
	8.000	1.36	06/05/20	1.58	0.22 W	0.00	23 of 27 - Sale <sup>25</sup>
	5.000	0.85	06/05/20	1.00	0.15 W	0.00	24 of 27 - Sale <sup>25</sup>
	87.000	14.78	06/05/20	17.29	2.51 W	0.00	25 of 27 - Sale <sup>25</sup>
	500.000	84.94	06/05/20	99.37	14.43 W	0.00	26 of 27 - Sale <sup>25</sup>
	4,408.000	748.81	06/05/20	791.23	42.42 W	0.00	27 of 27 - Sale <sup>25</sup>
06/05/20	17,600.000	2,989.84	Various	3,230.50	228.54 W	-12.12	Total of 27 transactions
	5 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	659.000	135.67	06/19/20	141.44	...	-5.77	1 of 5 - Sale <sup>25</sup>
	500.000	102.94	06/19/20	107.32	...	-4.38	2 of 5 - Sale <sup>25</sup>
	341.000	70.21	06/19/20	73.18	...	-2.97	3 of 5 - Sale <sup>25</sup>
	300.000	61.76	06/19/20	64.39	...	-2.63	4 of 5 - Sale <sup>25</sup>
	200.000	41.18	06/19/20	42.92	...	-1.74	5 of 5 - Sale <sup>25</sup>
06/19/20	2,000.000	411.76	Various	429.25	...	-17.49	Total of 5 transactions
06/23/20	1,000.000	243.88	06/23/20	251.62	...	-7.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,751.96</b>		<b>6,229.04</b>	<b>228.54 W</b>	<b>-248.54</b>	
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
	2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	562.000	94.35	06/23/20	94.42	...	-0.07	1 of 2 - Sale <sup>25</sup>
	438.000	73.53	06/23/20	73.58	...	-0.05	2 of 2 - Sale <sup>25</sup>
06/23/20	1,000.000	167.88	Various	168.00	...	-0.12	Total of 2 transactions
<b>Totals :</b>		<b>9,870,308.88</b>		<b>10,201,335.04</b>	<b>298,630.39 W</b>	<b>-32,395.77</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)**

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>Date acquired</b>	<b>Cost or other basis</b>	<b>Accrued mkt disc (D) &amp; Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
06/30/20	0.000	0.13	N/A	...	...	...	Principal payment <sup>16</sup> Cost Basis Factor: 0.000384286
12/31/20	0.000	0.96	N/A	...	...	...	Principal payment <sup>16</sup> Cost Basis Factor: 0.000380501
	<b>Security total:</b>	<b>1.09</b>		...	...	...	
<b>Totals :</b>		<b>1.09</b>		...	...	...	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 156901654

**Proceeds Not Reported to the IRS**

2020

03/15/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ARTARA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 04300J107 / Symbol: 01/22/20	0.250	6.69	01/09/20	8.08	...	-1.39	Gain or loss
JUST ENERGY GROUP INC / CUSIP: 48213W408 / Symbol: 10/05/20	0.030	0.22	09/28/20	0.30	...	-0.08	Gain or loss
<b>Totals:</b>		<b>6.91</b>		<b>8.38</b>	...	<b>-1.47</b>	

Robinhood Securities LLC

Account 156901654

## Detail for Dividends and Distributions

2020

03/15/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AGNC INVESTMENT CORP. COMMON S TOCK	00123Q104		01/12/21	8.00	Long-term capital gain	03
			01/12/21	4.00	Section 199A dividend	03
				<b>12.00</b>	<b>Total Dividends &amp; distributions</b>	
AT&T INC.	00206R102		02/03/20	6.24	Qualified dividend	
			05/01/20	26.00	Qualified dividend	
				<b>32.24</b>	<b>Total Dividends &amp; distributions</b>	
ARK INNOVATION ETF	00214Q104		12/31/20	79.85	Short-term capital gain	03
			12/31/20	20.83	Long-term capital gain	
			12/31/20	1.54	Qualified dividend	03
				<b>102.22</b>	<b>Total Dividends &amp; distributions</b>	
ARK AUTONOMOUS TECHNOLOGY & RO BOTICS ETF	00214Q203		12/31/20	55.79	Short-term capital gain	03
			12/31/20	7.19	Qualified dividend	03
			12/31/20	2.63	Long-term capital gain	
				<b>65.61</b>	<b>Total Dividends &amp; distributions</b>	
ARK NEXT GENERATION INTERNET E TF	00214Q401		12/31/20	93.26	Short-term capital gain	03
			12/31/20	1.46	Qualified dividend	03
				<b>94.72</b>	<b>Total Dividends &amp; distributions</b>	
ARK FINTECH INNOVATION ETF	00214Q708		12/31/20	16.14	Short-term capital gain	03
			12/31/20	3.37	Long-term capital gain	
			12/31/20	2.65	Qualified dividend	03
				<b>22.16</b>	<b>Total Dividends &amp; distributions</b>	
ABBVIE INC.	00287Y109		02/14/20	11.80	Qualified dividend	
			05/15/20	33.04	Qualified dividend	
				<b>44.84</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 156901654

## Detail for Dividends and Distributions

2020

(continued)

03/15/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AMERICAN EXPRESS COMPANY	025816109		02/10/20	2.58	Qualified dividend	
			05/08/20	7.31	Qualified dividend	
				<b>9.89</b>	<b>Total Dividends &amp; distributions</b>	
APPLE INC. COMMON STOCK	037833100		02/13/20	3.85	Qualified dividend	
			05/14/20	16.40	Qualified dividend	
				<b>20.25</b>	<b>Total Dividends &amp; distributions</b>	
BANCO BRADESCO S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE PREFERRED SHARE)	059460303		06/08/20	0.36	Qualified dividend	
			06/08/20	-0.05	Foreign tax withheld-BR	
				<b>0.36</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.05</b>	<b>Total Foreign tax withheld</b>	
BANK OF AMERICA CORPORATION	060505104		03/27/20	6.48	Qualified dividend	
			06/26/20	10.08	Qualified dividend	
				<b>16.56</b>	<b>Total Dividends &amp; distributions</b>	
BOEING COMPANY	097023105		03/06/20	6.17	Qualified dividend	
BRISTOL-MYERS SQUIBB CO.	110122108		02/03/20	2.25	Qualified dividend	
BRITISH AMERICAN TOBACCO P.L.C . AMERICAN DEPOSITARY SHARES, AMERICAN DEPOSITARY SHARES, EA	110448107		02/11/20	14.35	Qualified dividend	
			05/18/20	20.56	Qualified dividend	
				<b>34.91</b>	<b>Total Dividends &amp; distributions</b>	
CVS HEALTH CORPORATION	126650100		02/03/20	2.50	Qualified dividend	
CITIZENS & NORTHERN CORP COMMON STOCK	172922106		02/07/20	8.10	Qualified dividend	
			05/08/20	8.10	Qualified dividend	
				<b>16.20</b>	<b>Total Dividends &amp; distributions</b>	
CLEVELAND-CLIFFS INC.	185899101		01/15/20	2.40	Qualified dividend	
			04/15/20	3.60	Qualified dividend	
				<b>6.00</b>	<b>Total Dividends &amp; distributions</b>	
THE WALT DISNEY COMPANY	254687106		01/16/20	6.16	Qualified dividend	
GILEAD SCIENCES, INC. COMMON STOCK	375558103		03/30/20	5.44	Qualified dividend	

Robinhood Securities LLC

Account 156901654

## Detail for Dividends and Distributions

2020

(continued)

03/15/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
JPMORGAN CHASE & CO.	46625H100		01/31/20	7.20	Qualified dividend	
			04/30/20	18.00	Qualified dividend	
			07/31/20	90.00	Qualified dividend	
				<b>115.20</b>	<b>Total Dividends &amp; distributions</b>	
KEYCORP	493267108		03/13/20	21.09	Qualified dividend	
			06/15/20	23.68	Qualified dividend	
			12/15/20	55.50	Qualified dividend	
				<b>100.27</b>	<b>Total Dividends &amp; distributions</b>	
MCDONALD'S CORPORATION	580135101		03/16/20	7.50	Qualified dividend	
			06/15/20	25.00	Qualified dividend	
				<b>32.50</b>	<b>Total Dividends &amp; distributions</b>	
MERCK & CO., INC.	58933Y105		01/08/20	94.55	Qualified dividend	
			04/07/20	61.00	Qualified dividend	
			07/07/20	18.30	Qualified dividend	
				<b>173.85</b>	<b>Total Dividends &amp; distributions</b>	
NATIONAL GRID PLC	636274409		01/15/20	13.87	Nonqualified dividend	
NEWELL BRANDS INC. COMMON STOC K	651229106		06/15/20	11.50	Qualified dividend	
NEXTRA ENERGY, INC.	65339F101		03/16/20	67.20	Qualified dividend	
			06/15/20	72.80	Qualified dividend	
				<b>140.00</b>	<b>Total Dividends &amp; distributions</b>	
OCCIDENTAL PETROLEUM CORPORATI ON	674599105		01/15/20	11.85	Qualified dividend	
			04/15/20	4.74	Qualified dividend	
			07/15/20	0.06	Qualified dividend	
				<b>16.65</b>	<b>Total Dividends &amp; distributions</b>	
OMEGA HEALTHCARE INVESTORS INC .	681936100		02/14/20	10.30	Section 199A dividend	03
			02/14/20	3.43	Nondividend distribution	03
			02/14/20	0.27	Long-term capital gain	03
			02/14/20	0.07	Unrecaptured section 1250 gain	03
			05/15/20	10.30	Section 199A dividend	03
			05/15/20	3.43	Nondividend distribution	03
			05/15/20	0.27	Long-term capital gain	03
			05/15/20	0.07	Unrecaptured section 1250 gain	03
				<b>28.14</b>	<b>Total Dividends &amp; distributions</b>	



Robinhood Securities LLC

Account 156901654

## Detail for Dividends and Distributions

2020

(continued)

03/15/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ONEOK, INC.	682680103		02/14/20	17.76	Nondividend distribution	03
			02/14/20	0.94	Qualified dividend	03
				<b>18.70</b>	<b>Total Dividends &amp; distributions</b>	
PHILIP MORRIS INTERNATIONAL IN C.	718172109		01/10/20	11.70	Qualified dividend	
			04/09/20	12.87	Qualified dividend	
				<b>24.57</b>	<b>Total Dividends &amp; distributions</b>	
QUALCOMM INCORPORATED COMMON S TOCK	747525103		12/17/20	6.50	Qualified dividend	
REGIONS FINANCIAL CORP.	7591EP100		07/01/20	100.75	Qualified dividend	
TAIWAN SEMICONDUCTOR MANUFACTU RING COMPANY LTD.	874039100		01/16/20	19.18	Qualified dividend	
			01/16/20	-4.03	Foreign tax withheld-TW	
				<b>19.18</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-4.03</b>	<b>Total Foreign tax withheld</b>	
3M COMPANY	88579Y101		03/12/20	10.29	Qualified dividend	
VALERO ENERGY CORPORATION	91913Y100		12/09/20	9.80	Qualified dividend	
VERIZON COMMUNICATIONS	92343V104		02/03/20	3.08	Qualified dividend	
VISA INC.	92826C839		03/03/20	2.40	Qualified dividend	
			06/02/20	3.00	Qualified dividend	
				<b>5.40</b>	<b>Total Dividends &amp; distributions</b>	
WASTE MANAGEMENT, INC.	94106L109		06/19/20	8.18	Qualified dividend	
WELLS FARGO & CO.	949746101		03/02/20	5.10	Qualified dividend	
WHEATON PRECIOUS METALS CORP. COMMON STOCK	962879102		09/10/20	5.00	Nonqualified dividend	
			09/10/20	-0.75	Foreign tax withheld-CA	
				<b>5.00</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.75</b>	<b>Total Foreign tax withheld</b>	
NORDIC AMERICAN TANKER	G65773106		12/15/20	3.76	Nonqualified dividend	
				<b>1,352.77</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-4.83</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 156901654

**Detail for Interest Income**

2020

03/15/2021

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/31/20	0.75	Interest	
		02/28/20	0.45	Interest	
		03/19/20	0.79	Interest	
		03/31/20	0.11	Interest	
		04/29/20	0.04	Interest	
		04/30/20	0.03	Interest	
		05/29/20	1.32	Interest	
		06/30/20	0.18	Interest	
			3.67	Total Interest	
			<b>3.67</b>	<b>Total Interest</b>	

Robinhood Securities LLC

Account 156901654

## Detail for Miscellaneous Income

2020

03/15/2021

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
NORDIC AMERICAN TANKER	G65773106	12/15/20	0.24	Substitute payment	
			<b>0.24</b>	<b>Total Miscellaneous income</b>	

Robinhood Securities LLC

Account 156901654

**Fees and Expenses**

2020

03/15/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/10/20	-5.00	Margin interest paid	
		06/08/20	-5.00	Margin interest paid	
		07/08/20	-5.00	Margin interest paid	
		08/07/20	-5.00	Margin interest paid	
		09/08/20	-5.00	Margin interest paid	
		10/06/20	-5.00	Margin interest paid	
		11/05/20	-5.00	Margin interest paid	
		12/07/20	-5.00	Margin interest paid	
		12/07/20	-6.82	Margin interest paid	
			-46.82	Total Margin interest paid	
ISHARES SILVER TRUST	46428Q109	06/30/20	-0.13	Gross proceeds investment expense	15
	Note: CL	12/31/20	-0.96	Gross proceeds investment expense	15
			-1.09	Total Gross proceeds investmnt exp	
			<b>-46.82</b>	<b>Total Margin interest paid</b>	
			<b>-1.09</b>	<b>Total Gross proceeds investmnt exp</b>	

Robinhood Securities LLC

Account 156901654

## Foreign Income and Taxes Summary

2020

03/15/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BD	NORDIC AMERICAN TANKER	G65773106	3.76	0.00	0.00	3.76	0.00	
<b>Total BERMUDA</b>			<b>3.76</b>	<b>0.00</b>	<b>0.00</b>	<b>3.76</b>	<b>0.00</b>	
BR	BANCO BRADESCO S.A. AMERICAN D EPOSITARY SHARES (EACH REPRES ENTING ONE PREFERRED SHARES)	059460303	0.00	0.36	0.00	0.36	-0.05	
<b>Total BRAZIL</b>			<b>0.00</b>	<b>0.36</b>	<b>0.00</b>	<b>0.36</b>	<b>-0.05</b>	
CA	WHEATON PRECIOUS METALS CORP. COMMON STOCK	962879102	5.00	0.00	0.00	5.00	-0.75	
<b>Total CANADA</b>			<b>5.00</b>	<b>0.00</b>	<b>0.00</b>	<b>5.00</b>	<b>-0.75</b>	
TW	TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.	874039100	0.00	19.18	0.00	19.18	-4.03	
<b>Total TAIWAN</b>			<b>0.00</b>	<b>19.18</b>	<b>0.00</b>	<b>19.18</b>	<b>-4.03</b>	
UK	BRITISH AMERICAN TOBACCO P.L.C . AMERICAN DEPOSITARY SHARES, AMERICAN DEPOSITARY SHARES, EA	110448107	0.00	34.91	0.00	34.91	0.00	
UK	NATIONAL GRID PLC	636274409	13.87	0.00	0.00	13.87	0.00	
<b>Total UNITED KINGDOM</b>			<b>13.87</b>	<b>34.91</b>	<b>0.00</b>	<b>48.78</b>	<b>0.00</b>	
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>77.08</b>				
	<b>Qualified Foreign Source Income**</b>			<b>54.45</b>				
	<b>Foreign Tax</b>						<b>-4.83</b>	

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 156901654

## Non-Reportable Actions

2020

03/15/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
USO 05/08/2020 CALL \$6.50	USO 05/08/20 C 6.500	04/29/20	-4.00	0.00	Merger	
USO1 05/08/2020 CALL \$6.50	USO1 05/08/20 C 6.500	04/29/20	4.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is  $\$1,000 * 0.005$  or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.



**Instructions for Recipient**

**FATCA filing requirement.** If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a

premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 16-18.** Show state or local income tax withheld from the payments.

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 16-18.** Show state or local income tax withheld from the payments.

This page intentionally left blank.