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|---|---|--|------|
| Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216 | Tax Information Account 156901654 | Statement Date: 03/02/2022 AMENDED Document ID: ES1U H0T 04U8 | 2021 |
| | Jiaping Zhao 27 Camden St Milford, CT 06461 RECIPIENT'S TIN: XXX-XX-8126 | | |

Summary Information

| | |
|--|---|
| <p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 943.10</p> <p>1b- Qualified dividends 711.81</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 2.88</p> <p>2b- Unrecaptured Section 1250 gain 1.17</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 138.61</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 10.73</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 59.99</p> <p>7- Foreign tax paid: 59.99</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p> | <p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 70.54</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p> |
|--|---|

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

| Term | Form 8949 type | Proceeds | Cost basis | Market discount | Wash sale loss disallowed | Net gain or loss(-) |
|--------------------|--|---------------------|---------------------|-----------------|---------------------------|---------------------|
| Short | A (basis reported to the IRS) | 1,833,689.43 | 1,871,378.42 | 0.00 | 35,174.87 | -2,514.12 |
| Short | B (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Short | C (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Short-term | 1,833,689.43 | 1,871,378.42 | 0.00 | 35,174.87 | -2,514.12 |
| Long | D (basis reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Long-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | B or E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | C or F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Undetermined-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Grand total | 1,833,689.43 | 1,871,378.42 | 0.00 | 35,174.87 | -2,514.12 |
| Withholding | | Amount | | | | |
| | Federal income tax withheld | 0.00 | | | | |

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 156901654

Summary Information

2021

(continued)

03/02/2022 AMENDED

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

| | |
|--|-------------|
| 1- Interest income (not included in line 3) | 0.00 |
| 2- Early withdrawal penalty | 0.00 |
| 3- Interest on US Savings Bonds & Treasury obligations | 0.00 |
| 4- Federal income tax withheld | 0.00 |
| 5- Investment expenses | 0.00 |
| 7- Foreign country or US possession: 6- Foreign tax paid: | 0.00 |
| 8- Tax-exempt interest (includes line 9) | 0.00 |
| 9- Specified private activity bond interest (AMT) | 0.00 |
| 10- Market discount (covered lots) | 0.00 |
| 11- Bond premium (covered lots) | 0.00 |
| 12- Bond premium on Treasury obligations (covered lots) | 0.00 |
| 13- Bond premium on tax-exempt bonds (categorized below) | 0.00 |
| <i>Tax-exempt obligations (covered lots)</i> | 0.00 |
| <i>Tax-exempt private activity obligations (AMT, covered lots)</i> | 0.00 |
| 14- Tax-exempt and tax credit bond CUSIP number | |

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

| | |
|---|------|
| Taxable accrued interest paid | 0.00 |
| Taxable accrued Treasury interest paid | 0.00 |
| Tax-exempt accrued interest paid | 0.00 |
| Tax-exempt accrued interest paid (AMT) | 0.00 |
| Taxable accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid (AMT) | 0.00 |
| Nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest (AMT) | 0.00 |
| Interest shortfall on contingent payment debt | 0.00 |
| Bond premium- Non Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (AMT, noncovered lots) | 0.00 |
| Market discount (noncovered lots) | 0.00 |

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

| | |
|--------------------------|------|
| 1099-DIV total withheld | 0.00 |
| 1099-INT total withheld | 0.00 |
| 1099-OID total withheld | 0.00 |
| 1099-MISC total withheld | 0.00 |
| 1099-B total withheld | 0.00 |

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

| | |
|--|------|
| Original issue discount for the year | 0.00 |
| Acquisition premium (covered lots) | 0.00 |
| Acquisition premium (noncovered lots) | 0.00 |
| Original issue discount on Treasury obligations | 0.00 |
| Acquisition premium, Treasury obligations (covered lots) | 0.00 |
| Acquisition premium, Treasury obligations (noncovered lots) | 0.00 |
| Tax-exempt OID | 0.00 |
| Tax-exempt OID (lots not reported) | 0.00 |
| Acquisition premium (covered) | 0.00 |
| Acquisition premium (lots not reported) | 0.00 |
| Tax-exempt OID on private activity bonds | 0.00 |
| Tax-exempt OID on private activity bonds (lots not reported) | 0.00 |
| Acquisition premium (AMT, covered) | 0.00 |
| Acquisition premium (AMT, lots not reported) | 0.00 |
| Market discount (all lots) | 0.00 |
| Early withdrawal penalty | 0.00 |
| Investment expenses | 0.00 |

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

| | |
|---|----------|
| Other Receipts & Reconciliations- Partnership distributions | 0.00 |
| Other Receipts & Reconciliations- Foreign tax paid- partnership | 0.00 |
| Other Receipts & Reconciliations- Return of principal | 0.00 |
| Other Receipts & Reconciliations- Deferred income payment | 1.40 |
| Other Receipts & Reconciliations- Deemed premium | 0.00 |
| Other Receipts & Reconciliations- Income accrual- UIT | 0.00 |
| Other Receipts & Reconciliations- Basis adjustments | 0.00 |
| Other Receipts & Reconciliations- Foreign tax pd beyond treaty | 0.00 |
| Fees & Expenses- Margin interest | 1,003.25 |
| Fees & Expenses- Dividends paid on short position | 0.00 |
| Fees & Expenses- Interest paid on short position | 0.00 |
| Fees & Expenses- Non reportable distribution expense | 0.00 |
| Fees & Expenses- Other expenses | 0.00 |
| Fees & Expenses- Severance tax | 0.00 |
| Fees & Expenses- Organizational expense | 0.00 |
| Fees & Expenses- Miscellaneous fees | 0.00 |
| Fees & Expenses- Tax-exempt investment expense | 0.00 |
| Foreign Exchange Gains & Losses- Foreign currency gain/loss | 0.00 |

Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

03/02/2022 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|---|---|-------------------|-------------------------|--|---|--|
| AAL 07/16/2021 CALL \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 03/08/21 | 1.000 | 389.98 | 08/28/20 | 258.00 | ... | 131.98 | Option sale to close-call ²⁵ |
| AAL 09/17/2021 CALL \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 09/17/21 | 1.000 | 0.00 | 09/09/21 | 68.00 | ... | -68.00 | Option expiration- long call |
| AAPL 01/15/2021 CALL \$122.50 / CUSIP: / Symbol: | | | | | | | |
| | 2 transactions for 01/07/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 914.97 | 10/16/20 | 857.00 | ... | 57.97 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 914.97 | 10/16/20 | 857.00 | ... | 57.97 | 2 of 2 - Option sale to close-call ²⁵ |
| 01/07/21 | 2.000 | 1,829.94 | Various | 1,714.00 | ... | 115.94 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| AAPL 01/15/2021 CALL \$125.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 01/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 59.97 | 01/07/21 | 0.00 | ... | 59.97 | 1 of 2 - Short sale closed- call ²⁵ Option written: 10/16/20 |
| | 1.000 | 59.97 | 01/07/21 | 0.00 | ... | 59.97 | 2 of 2 - Short sale closed- call ²⁵ Option written: 10/16/20 |
| 01/08/21 | 2.000 | 119.94 | Various | 0.00 | ... | 119.94 | Total of 2 transactions |
| AAPL 01/15/2021 CALL \$135.00 / CUSIP: / Symbol: | | | | | | | |
| 01/14/21 | 1.000 | 5.98 | 11/18/20 | 124.00 | ... | -118.02 | Option sale to close-call ²⁵ |
| AAPL 01/15/2021 CALL \$140.00 / CUSIP: / Symbol: | | | | | | | |
| 01/15/21 | 1.000 | 127.98 | 01/14/21 | 0.00 | ... | 127.98 | Short sale closed- call ²⁵ Option written: 12/03/20 |
| AAPL 01/29/2021 CALL \$142.00 / CUSIP: / Symbol: | | | | | | | |
| 01/29/21 | 1.000 | 0.00 | 01/26/21 | 525.00 | ... | -525.00 | Option expiration- long call |
| AAPL 06/25/2021 PUT \$133.00 / CUSIP: / Symbol: | | | | | | | |
| 06/25/21 | 1.000 | 11.98 | 06/24/21 | 47.00 | ... | -35.02 | Option sale to close-put ²⁵ |
| AAPL 07/23/2021 PUT \$149.00 / CUSIP: / Symbol: | | | | | | | |
| 07/23/21 | 1.000 | 77.98 | 07/23/21 | 60.00 | ... | 17.98 | Option sale to close-put ²⁵ |
| AAPL 07/30/2021 CALL \$155.00 / CUSIP: / Symbol: | | | | | | | |
| 07/28/21 | 1.000 | 46.98 | 07/27/21 | 0.00 | ... | 46.98 | Short sale closed- call ²⁵ Option written: 07/26/21 |
| AAPL 08/13/2021 CALL \$150.00 / CUSIP: / Symbol: | | | | | | | |
| 08/11/21 | 1.000 | 20.98 | 08/10/21 | 0.00 | ... | 20.98 | Short sale closed- call ²⁵ Option written: 08/10/21 |
| AAPL 09/10/2021 CALL \$155.00 / CUSIP: / Symbol: | | | | | | | |
| 09/09/21 | 1.000 | 79.98 | 09/08/21 | 87.00 | ... | -7.02 | Option sale to close-call ²⁵ |
| AAPL 09/10/2021 PUT \$150.00 / CUSIP: / Symbol: | | | | | | | |
| 08/30/21 | 1.000 | 137.98 | 08/24/21 | 278.00 | ... | -140.02 | Option sale to close-put ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|--|---|-------------------|-------------------------|--|---|---|
| AAPL 09/17/2021 CALL \$140.00 / CUSIP: / Symbol: | | | | | | | |
| 07/14/21 | 1.000 | 1,179.98 | 06/24/21 | 343.00 | ... | 836.98 | Option sale to close-call ²⁵ |
| 07/23/21 | 1.000 | 1,099.98 | 06/24/21 | 343.00 | ... | 756.98 | Option sale to close-call ²⁵ |
| | Security total: | 2,279.96 | | 686.00 | ... | 1,593.96 | |
| AAPL 09/17/2021 CALL \$150.00 / CUSIP: / Symbol: | | | | | | | |
| 07/15/21 | 1.000 | -456.01 | 07/14/21 | 0.00 | ... | -456.01 | Short sale closed- call ²⁵ Option written: 06/24/21 |
| 07/26/21 | 1.000 | -373.01 | 07/23/21 | 0.00 | ... | -373.01 | Short sale closed- call ²⁵ Option written: 06/24/21 |
| | <i>2 transactions for 09/14/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 1.000 | 119.98 | 09/14/21 | 173.02 | 53.04 W | 0.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 149.98 | 09/14/21 | 158.00 | 8.02 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 09/14/21 | 2.000 | 269.96 | Various | 331.02 | 61.06 W | 0.00 | Total of 2 transactions |
| 09/17/21 | 1.000 | 0.00 | 09/14/21 | 193.04 | ... | -193.04 | Option expiration- long call |
| | Security total: | -559.06 | | 524.06 | 61.06 W | -1,022.06 | |
| AAPL 10/29/2021 CALL \$147.00 / CUSIP: / Symbol: | | | | | | | |
| 10/29/21 | 1.000 | 240.98 | 10/29/21 | 89.00 | ... | 151.98 | Option sale to close-call ²⁵ |
| ABBV 02/26/2021 CALL \$107.00 / CUSIP: / Symbol: | | | | | | | |
| 02/23/21 | 1.000 | 81.98 | 02/22/21 | 130.00 | ... | -48.02 | Option sale to close-call ²⁵ |
| ABBV 02/26/2021 PUT \$107.00 / CUSIP: / Symbol: | | | | | | | |
| 02/23/21 | 1.000 | 137.98 | 02/22/21 | 104.00 | ... | 33.98 | Option sale to close-put ²⁵ |
| ABT 01/29/2021 CALL \$115.00 / CUSIP: / Symbol: | | | | | | | |
| 01/28/21 | 1.000 | 819.97 | 01/25/21 | 176.00 | ... | 643.97 | Option sale to close-call ²⁵ |
| ACIW 02/19/2021 CALL \$40.00 / CUSIP: / Symbol: | | | | | | | |
| 02/08/21 | 1.000 | 149.98 | 02/08/21 | 170.00 | ... | -20.02 | Option sale to close-call ²⁵ |
| AEO 06/25/2021 CALL \$36.00 / CUSIP: / Symbol: | | | | | | | |
| 06/25/21 | 1.000 | 231.98 | 06/22/21 | 65.00 | ... | 166.98 | Option sale to close-call ²⁵ |
| AEO 06/25/2021 PUT \$37.50 / CUSIP: / Symbol: | | | | | | | |
| 06/25/21 | 1.000 | 0.00 | 06/24/21 | 75.00 | ... | -75.00 | Option expiration- long put |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| AEO 07/16/2021 CALL \$37.00 / CUSIP: / Symbol: | | | | | | | |
| 07/16/21 | 1.000 | 0.00 | 07/14/21 | 69.00 | ... | -69.00 | Option expiration- long call |
| AEP 10/15/2021 CALL \$82.50 / CUSIP: / Symbol: | | | | | | | |
| 10/15/21 | 1.000 | 82.98 | 10/07/21 | 225.00 | ... | -142.02 | Option sale to close-call ²⁵ |
| AES 04/16/2021 PUT \$28.00 / CUSIP: / Symbol: | | | | | | | |
| 03/22/21 | 1.000 | 214.98 | 03/09/21 | 160.00 | ... | 54.98 | Option sale to close-put ²⁵ |
| AGNC 01/22/2021 CALL \$15.50 / CUSIP: / Symbol: | | | | | | | |
| 01/22/21 | 1.000 | 55.98 | 01/20/21 | 38.00 | ... | 17.98 | Option sale to close-call ²⁵ |
| AGNC 02/05/2021 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 02/05/21 | 1.000 | 24.98 | 02/05/21 | 27.00 | ... | -2.02 | Option sale to close-call ²⁵ |
| AJAX 03/19/2021 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 03/19/21 | 1.000 | 29.98 | 03/01/21 | 170.00 | ... | -140.02 | Option sale to close-call ²⁵ |
| AM 02/19/2021 CALL \$7.50 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 54.98 | 02/17/21 | 175.00 | ... | -120.02 | Option sale to close-call ²⁵ |
| AM 02/19/2021 PUT \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 02/18/21 | 1.000 | 184.98 | 02/17/21 | 90.00 | ... | 94.98 | Option sale to close-put ²⁵ |
| AMC 01/08/2021 CALL \$1.50 / CUSIP: / Symbol: | | | | | | | |
| 01/08/21 | 1.000 | 60.98 | 01/07/21 | 57.00 | ... | 3.98 | Option sale to close-call ²⁵ |
| AMC 01/20/2023 CALL \$0.50 / CUSIP: / Symbol: | | | | | | | |
| | | 2 transactions for 01/28/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 1.000 | 1,299.97 | 01/08/21 | 173.00 | ... | 1,126.97 | 1 of 2 - Option sale to close-call ²⁵ |
| | 2.000 | 2,599.93 | 01/08/21 | 358.00 | ... | 2,241.93 | 2 of 2 - Option sale to close-call ²⁵ |
| 01/28/21 | 3.000 | 3,899.90 | Various | 531.00 | ... | 3,368.90 | Total of 2 transactions |
| AMD 01/29/2021 CALL \$95.00 / CUSIP: / Symbol: | | | | | | | |
| 01/29/21 | 1.000 | 0.00 | 01/25/21 | 420.00 | ... | -420.00 | Option expiration- long call |
| AMD 02/05/2021 CALL \$87.50 / CUSIP: / Symbol: | | | | | | | |
| 02/03/21 | 1.000 | 119.98 | 02/02/21 | 164.00 | ... | -44.02 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|---|
| AMD 02/05/2021 CALL \$88.50 / CUSIP: / Symbol: 01/28/21 | 1.000 | 324.98 | 01/28/21 | 340.00 | ... | -15.02 | Option sale to close-call ²⁵ |
| ARKF 01/15/2021 CALL \$49.82 / CUSIP: / Symbol: 01/07/21 | 1.000 | -0.02 | 01/06/21 | 0.00 | ... | -0.02 | Short sale closed- call ²⁵ Option written: 01/06/21 |
| ARKF 01/15/2021 PUT \$49.82 / CUSIP: / Symbol: 01/06/21 | 1.000 | 89.98 | 01/06/21 | 120.00 | ... | -30.02 | Option sale to close-put ²⁵ |
| ARKF 01/21/2022 CALL \$63.00 / CUSIP: / Symbol: 09/28/21 | 1.000 | 64.98 | 09/27/21 | 0.00 | ... | 64.98 | Short sale closed- call ²⁵ Option written: 07/28/21 |
| ARKF 02/19/2021 CALL \$53.82 / CUSIP: / Symbol: 02/02/21 | 1.000 | 274.98 | 01/26/21 | 255.00 | ... | 19.98 | Option sale to close-call ²⁵ |
| ARKF 02/19/2021 PUT \$59.82 / CUSIP: / Symbol: 02/19/21 | 1.000 | 0.00 | 02/18/21 | 7.00 | ... | -7.00 | Option expiration- long put |
| ARKF 02/19/2021 PUT \$64.82 / CUSIP: / Symbol: 02/16/21 | 1.000 | 174.98 | 02/16/21 | 140.00 | ... | 34.98 | Option sale to close-put ²⁵ |
| ARKF 02/26/2021 CALL \$57.00 / CUSIP: / Symbol: 02/25/21 | 1.000 | 139.98 | 02/24/21 | 120.00 | ... | 19.98 | Option sale to close-call ²⁵ |
| ARKF 02/26/2021 CALL \$61.00 / CUSIP: / Symbol: 02/22/21 | 1.000 | 99.98 | 02/22/21 | 110.00 | ... | -10.02 | Option sale to close-call ²⁵ |
| ARKF 02/26/2021 PUT \$57.50 / CUSIP: / Symbol: 02/25/21 | 1.000 | 229.98 | 02/24/21 | 105.00 | ... | 124.98 | Option sale to close-put ²⁵ |
| ARKF 02/26/2021 PUT \$60.50 / CUSIP: / Symbol: 02/24/21 | 1.000 | 349.98 | 02/22/21 | 140.00 | ... | 209.98 | Option sale to close-put ²⁵ |
| ARKF 03/05/2021 CALL \$58.50 / CUSIP: / Symbol: 03/05/21 | 1.000 | 0.00 | 02/23/21 | 180.00 | ... | -180.00 | Option expiration- long call |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|--|---|-------------------|-------------------------|--|---|---|
| ARKF 03/05/2021 PUT \$50.00 / CUSIP: / Symbol: | | | | | | | |
| 03/05/21 | 1.000 | 64.98 | 03/05/21 | 45.00 | ... | 19.98 | Option sale to close-put ²⁵ |
| ARKF 03/05/2021 PUT \$55.50 / CUSIP: / Symbol: | | | | | | | |
| | <i>2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 1.000 | 139.98 | 02/26/21 | 155.00 | ... | -15.02 | 1 of 2 - Option sale to close-put ²⁵ |
| | 1.000 | 144.98 | 02/26/21 | 155.00 | ... | -10.02 | 2 of 2 - Option sale to close-put ²⁵ |
| 03/03/21 | 2.000 | 284.96 | Various | 310.00 | ... | -25.04 | Total of 2 transactions |
| ARKF 03/12/2021 PUT \$51.00 / CUSIP: / Symbol: | | | | | | | |
| 03/12/21 | 1.000 | 4.98 | 03/08/21 | 175.00 | ... | -170.02 | Option sale to close-put ²⁵ |
| ARKF 03/19/2021 PUT \$53.50 / CUSIP: / Symbol: | | | | | | | |
| 03/19/21 | 1.000 | 19.98 | 03/19/21 | 35.00 | ... | -15.02 | Option sale to close-put ²⁵ |
| ARKF 03/19/2021 PUT \$55.00 / CUSIP: / Symbol: | | | | | | | |
| 03/17/21 | 1.000 | 69.98 | 03/15/21 | 195.00 | ... | -125.02 | Option sale to close-put ²⁵ |
| ARKF 03/26/2021 PUT \$50.00 / CUSIP: / Symbol: | | | | | | | |
| 03/29/21 | 1.000 | 89.98 | 03/26/21 | 0.00 | ... | 89.98 | Short sale closed- put ²⁵ Option written: 03/25/21 |
| ARKF 03/26/2021 PUT \$54.00 / CUSIP: / Symbol: | | | | | | | |
| 03/22/21 | 1.000 | 154.98 | 03/22/21 | 165.00 | ... | -10.02 | Option sale to close-put ²⁵ |
| ARKF 06/25/2021 PUT \$54.00 / CUSIP: / Symbol: | | | | | | | |
| 06/25/21 | 1.000 | 24.98 | 06/24/21 | 45.00 | ... | -20.02 | Option sale to close-put ²⁵ |
| ARKF 08/27/2021 PUT \$53.00 / CUSIP: / Symbol: | | | | | | | |
| 08/27/21 | 1.000 | 0.00 | 08/27/21 | 30.00 | ... | -30.00 | Option expiration- long put |
| ARKF 09/10/2021 PUT \$55.00 / CUSIP: / Symbol: | | | | | | | |
| 09/08/21 | 1.000 | 182.98 | 09/07/21 | 65.00 | ... | 117.98 | Option sale to close-put ²⁵ |
| ARKF 11/05/2021 CALL \$51.00 / CUSIP: / Symbol: | | | | | | | |
| 10/25/21 | 1.000 | -160.02 | 10/22/21 | 0.00 | ... | -160.02 | Short sale closed- call ²⁵ Option written: 10/05/21 |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| ARKK 01/08/2021 CALL \$129.00 / CUSIP: / Symbol: | | | | | | | |
| 01/08/21 | 1.000 | -300.02 | 01/07/21 | 0.00 | ... | -300.02 | Short sale closed- call ²⁵ Option written: 01/06/21 |
| ARKK 01/08/2021 PUT \$129.00 / CUSIP: / Symbol: | | | | | | | |
| 01/07/21 | 1.000 | 54.98 | 01/06/21 | 180.00 | ... | -125.02 | Option sale to close-put ²⁵ |
| ARKK 01/29/2021 CALL \$146.00 / CUSIP: / Symbol: | | | | | | | |
| 01/25/21 | 1.000 | 509.97 | 01/21/21 | 390.00 | ... | 119.97 | Option sale to close-call ²⁵ |
| 01/29/21 | 1.000 | 0.00 | 01/26/21 | 260.00 | ... | -260.00 | Option expiration- long call |
| | Security total: | 509.97 | | 650.00 | ... | -140.03 | |
| ARKK 02/19/2021 CALL \$148.00 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 389.98 | 02/17/21 | 280.00 | ... | 109.98 | Option sale to close-call ²⁵ |
| ARKK 02/19/2021 PUT \$150.00 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 19.98 | 02/18/21 | 145.00 | ... | -125.02 | Option sale to close-put ²⁵ |
| ARKK 02/19/2021 PUT \$157.96 / CUSIP: / Symbol: | | | | | | | |
| 02/17/21 | 1.000 | 1,009.96 | 02/16/21 | 215.00 | ... | 794.96 | Option sale to close-put ²⁵ |
| ARKK 02/26/2021 PUT \$135.50 / CUSIP: / Symbol: | | | | | | | |
| 02/25/21 | 1.000 | 519.98 | 02/25/21 | 275.00 | ... | 244.98 | Option sale to close-put ²⁵ |
| ARKK 02/26/2021 PUT \$153.50 / CUSIP: / Symbol: | | | | | | | |
| 02/25/21 | 1.000 | 1,619.98 | 02/19/21 | 340.00 | ... | 1,279.98 | Option sale to close-put ²⁵ |
| ARKK 03/05/2021 CALL \$140.00 / CUSIP: / Symbol: | | | | | | | |
| 03/05/21 | 1.000 | 0.00 | 02/23/21 | 380.00 | ... | -380.00 | Option expiration- long call |
| ARKK 03/12/2021 PUT \$124.00 / CUSIP: / Symbol: | | | | | | | |
| 03/12/21 | 1.000 | 154.98 | 03/12/21 | 220.00 | ... | -65.02 | Option sale to close-put ²⁵ |
| ARKK 03/19/2021 PUT \$121.00 / CUSIP: / Symbol: | | | | | | | |
| 03/19/21 | 1.000 | 17.98 | 03/19/21 | 50.00 | ... | -32.02 | Option sale to close-put ²⁵ |
| ARKK 03/26/2021 PUT \$122.00 / CUSIP: / Symbol: | | | | | | | |
| 03/22/21 | 1.000 | 244.98 | 03/19/21 | 360.00 | ... | -115.02 | Option sale to close-put ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|---|
| ARKK 05/28/2021 CALL \$112.00 / CUSIP: / Symbol: | | | | | | | |
| 05/27/21 | 1.000 | 44.98 | 05/27/21 | 65.00 | ... | -20.02 | Option sale to close-call ²⁵ |
| ARKK 08/27/2021 PUT \$119.00 / CUSIP: / Symbol: | | | | | | | |
| 08/27/21 | 1.000 | 0.98 | 08/27/21 | 38.00 | ... | -37.02 | Option sale to close-put ²⁵ |
| ARKK 09/10/2021 CALL \$121.50 / CUSIP: / Symbol: | | | | | | | |
| 09/10/21 | 1.000 | 72.98 | 09/08/21 | 115.00 | ... | -42.02 | Option sale to close-call ²⁵ |
| ARKQ 01/15/2021 CALL \$79.34 / CUSIP: / Symbol: | | | | | | | |
| 01/08/21 | 1.000 | -135.02 | 01/07/21 | 0.00 | ... | -135.02 | Short sale closed- call ²⁵ Option written: 01/06/21 |
| ARKQ 01/15/2021 PUT \$79.34 / CUSIP: / Symbol: | | | | | | | |
| 01/07/21 | 1.000 | 59.98 | 01/06/21 | 175.00 | ... | -115.02 | Option sale to close-put ²⁵ |
| ARKQ 02/19/2021 CALL \$95.00 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 199.98 | 02/17/21 | 200.00 | ... | -0.02 | Option sale to close-call ²⁵ |
| ARKQ 02/19/2021 PUT \$100.00 / CUSIP: / Symbol: | | | | | | | |
| 02/17/21 | 1.000 | 419.98 | 02/16/21 | 115.00 | ... | 304.98 | Option sale to close-put ²⁵ |
| ARKQ 03/19/2021 PUT \$89.34 / CUSIP: / Symbol: | | | | | | | |
| 02/25/21 | 1.000 | 589.98 | 02/25/21 | 490.00 | ... | 99.98 | Option sale to close-put ²⁵ |
| 03/03/21 | 1.000 | 499.98 | 03/03/21 | 510.00 | ... | -10.02 | Option sale to close-put ²⁵ |
| Security total: | | 1,089.96 | | 1,000.00 | ... | 89.96 | |
| ARKQ 03/19/2021 PUT \$94.34 / CUSIP: / Symbol: | | | | | | | |
| 02/25/21 | 1.000 | 669.98 | 02/22/21 | 400.00 | ... | 269.98 | Option sale to close-put ²⁵ |
| ARKW 02/19/2021 CALL \$180.00 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 409.98 | 02/17/21 | 320.00 | ... | 89.98 | Option sale to close-call ²⁵ |
| ARKW 02/19/2021 PUT \$180.00 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 0.00 | 02/18/21 | 80.00 | ... | -80.00 | Option expiration- long put |
| ARKW 02/19/2021 PUT \$190.00 / CUSIP: / Symbol: | | | | | | | |
| 02/17/21 | 1.000 | 929.96 | 02/16/21 | 300.00 | ... | 629.96 | Option sale to close-put ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|---|
| ARKW 03/19/2021 CALL \$175.00 / CUSIP: / Symbol: | | | | | | | |
| 02/23/21 | 1.000 | 679.97 | 02/23/21 | 340.00 | ... | 339.97 | Option sale to close-call ²⁵ |
| ARKW 03/19/2021 PUT \$183.11 / CUSIP: / Symbol: | | | | | | | |
| 02/25/21 | 1.000 | 1,829.98 | 02/22/21 | 850.00 | ... | 979.98 | Option sale to close-put ²⁵ |
| ARR 03/19/2021 PUT \$12.50 / CUSIP: / Symbol: | | | | | | | |
| 03/11/21 | 2.000 | 109.98 | 02/16/21 | 130.00 | 20.02 W | 0.00 | Option sale to close-put ²⁵ |
| | 3 transactions for 03/16/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 59.99 | 03/04/21 | 180.02 | ... | -120.03 | 1 of 3 - Option sale to close-put ²⁵ |
| | 2.000 | 59.98 | 03/04/21 | 160.00 | ... | -100.02 | 2 of 3 - Option sale to close-put ²⁵ |
| | 2.000 | 59.99 | 03/04/21 | 160.00 | ... | -100.01 | 3 of 3 - Option sale to close-put ²⁵ |
| 03/16/21 | 6.000 | 179.96 | Various | 500.02 | ... | -320.06 | Total of 3 transactions |
| 03/18/21 | 2.000 | 49.98 | 03/04/21 | 160.00 | ... | -110.02 | Option sale to close-put ²⁵ |
| | 2 transactions for 03/19/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 29.98 | 03/04/21 | 80.00 | ... | -50.02 | 1 of 2 - Option sale to close-put ²⁵ |
| | 1.000 | 29.98 | 03/04/21 | 80.00 | ... | -50.02 | 2 of 2 - Option sale to close-put ²⁵ |
| 03/19/21 | 2.000 | 59.96 | Various | 160.00 | ... | -100.04 | Total of 2 transactions |
| | Security total: | 399.88 | | 950.02 | 20.02 W | -530.12 | |
| ARR 05/21/2021 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 05/21/21 | 1.000 | 197.98 | 05/11/21 | 205.00 | ... | -7.02 | Option sale to close-call ²⁵ |
| ASHR 02/19/2021 CALL \$44.50 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 54.98 | 02/18/21 | 61.00 | ... | -6.02 | Option sale to close-call ²⁵ |
| ASHR 02/19/2021 PUT \$45.00 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 2.98 | 02/18/21 | 38.00 | ... | -35.02 | Option sale to close-put ²⁵ |
| ASHR 07/16/2021 CALL \$39.00 / CUSIP: / Symbol: | | | | | | | |
| 01/26/21 | 1.000 | 536.97 | 12/08/20 | 252.00 | ... | 284.97 | Option sale to close-call ²⁵ |
| ASHR 07/16/2021 CALL \$42.00 / CUSIP: / Symbol: | | | | | | | |
| 01/27/21 | 1.000 | -87.02 | 01/26/21 | 0.00 | ... | -87.02 | Short sale closed- call ²⁵ Option written: 01/05/21 |

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| ASO 10/22/2021 CALL \$43.00 / CUSIP: / Symbol: | | | | | | | |
| 10/22/21 | 1.000 | 39.98 | 10/21/21 | 90.00 | 50.02 W | 0.00 | Option sale to close-call ²⁵ |
| ASXC 01/21/2022 PUT \$3.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 05/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | -6.02 | 05/27/21 | 0.00 | ... | -6.02 | 1 of 2 - Short sale closed- put ²⁵ Option written: 02/24/21 |
| | 1.000 | 1.98 | 05/27/21 | 0.00 | ... | 1.98 | 2 of 2 - Short sale closed- put ²⁵ Option written: 02/24/21 |
| 05/28/21 | 3.000 | -4.04 | Various | 0.00 | ... | -4.04 | Total of 2 transactions |
| ATUS 02/19/2021 CALL \$37.00 / CUSIP: / Symbol: | | | | | | | |
| 01/25/21 | 1.000 | 93.98 | 01/19/21 | 165.00 | ... | -71.02 | Option sale to close-call ²⁵ |
| ATUS 02/19/2021 CALL \$39.00 / CUSIP: / Symbol: | | | | | | | |
| 01/25/21 | 1.000 | 49.98 | 01/20/21 | 75.00 | ... | -25.02 | Option sale to close-call ²⁵ |
| AUY 01/15/2021 PUT \$5.50 / CUSIP: / Symbol: | | | | | | | |
| 01/14/21 | 1.000 | 23.98 | 01/08/21 | 15.00 | ... | 8.98 | Option sale to close-put ²⁵ |
| AUY 02/12/2021 CALL \$5.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 02/03/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 13.98 | 01/25/21 | 32.00 | ... | -18.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 13.98 | 01/25/21 | 32.00 | ... | -18.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 02/03/21 | 2.000 | 27.96 | Various | 64.00 | ... | -36.04 | Total of 2 transactions |
| AVGO 07/16/2021 CALL \$495.00 / CUSIP: / Symbol: | | | | | | | |
| 07/12/21 | 1.000 | 209.98 | 07/12/21 | 235.00 | ... | -25.02 | Option sale to close-call ²⁵ |
| BA 02/26/2021 CALL \$220.00 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 5.98 | 02/25/21 | 298.00 | ... | -292.02 | Option sale to close-call ²⁵ |
| BAC 03/05/2021 CALL \$34.50 / CUSIP: / Symbol: | | | | | | | |
| 03/02/21 | 1.000 | 114.98 | 02/25/21 | 190.00 | ... | -75.02 | Option sale to close-call ²⁵ |
| BAC 07/30/2021 CALL \$38.00 / CUSIP: / Symbol: | | | | | | | |
| 07/21/21 | 1.000 | 99.98 | 07/14/21 | 90.00 | ... | 9.98 | Option sale to close-call ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|---|
| BAC 09/17/2021 CALL \$38.00 / CUSIP: / Symbol: | | | | | | | |
| 07/21/21 | 1.000 | 184.98 | 07/16/21 | 154.00 | ... | 30.98 | Option sale to close-call ²⁵ |
| 09/17/21 | 1.000 | 213.98 | 07/16/21 | 154.00 | ... | 59.98 | Option sale to close-call ²⁵ |
| | Security total: | 398.96 | | 308.00 | ... | 90.96 | |
| BAC 09/17/2021 PUT \$41.50 / CUSIP: / Symbol: | | | | | | | |
| 09/10/21 | 1.000 | 101.98 | 08/24/21 | 109.00 | ... | -7.02 | Option sale to close-put ²⁵ |
| BAC 10/15/2021 CALL \$39.00 / CUSIP: / Symbol: | | | | | | | |
| 07/14/21 | 1.000 | 149.98 | 07/14/21 | 202.00 | ... | -52.02 | Option sale to close-call ²⁵ |
| BBIG 07/16/2021 CALL \$3.50 / CUSIP: / Symbol: | | | | | | | |
| | 3 transactions for 07/16/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 2.99 | 07/15/21 | 40.00 | ... | -37.01 | 1 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 3.00 | 07/15/21 | 40.00 | ... | -37.00 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 2.99 | 07/15/21 | 35.00 | ... | -32.01 | 3 of 3 - Option sale to close-call ²⁵ |
| 07/16/21 | 3.000 | 8.98 | Various | 115.00 | ... | -106.02 | Total of 3 transactions |
| BBIG 07/16/2021 PUT \$3.50 / CUSIP: / Symbol: | | | | | | | |
| 07/16/21 | 1.000 | 14.98 | 07/15/21 | 12.00 | ... | 2.98 | Option sale to close-put ²⁵ |
| BBIG 07/16/2021 PUT \$4.00 / CUSIP: / Symbol: | | | | | | | |
| | 2 transactions for 07/16/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 59.99 | 07/15/21 | 40.00 | ... | 19.99 | 1 of 2 - Option sale to close-put ²⁵ |
| | 1.000 | 59.99 | 07/15/21 | 40.00 | ... | 19.99 | 2 of 2 - Option sale to close-put ²⁵ |
| 07/16/21 | 2.000 | 119.98 | Various | 80.00 | ... | 39.98 | Total of 2 transactions |
| BIGC 01/15/2021 CALL \$75.00 / CUSIP: / Symbol: | | | | | | | |
| 01/05/21 | 1.000 | 102.98 | 01/04/21 | 0.00 | ... | 102.98 | Short sale closed- call ²⁵ Option written: 12/30/20 |
| BTU 09/17/2021 CALL \$18.00 / CUSIP: / Symbol: | | | | | | | |
| 09/17/21 | 1.000 | 0.00 | 09/14/21 | 85.00 | ... | -85.00 | Option expiration- long call |
| BUG 08/20/2021 CALL \$28.00 / CUSIP: / Symbol: | | | | | | | |
| 08/20/21 | 1.000 | 89.98 | 07/20/21 | 185.00 | ... | -95.02 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| BUG 08/20/2021 PUT \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 08/20/21 | 1.000 | 19.98 | 07/20/21 | 130.00 | ... | -110.02 | Option sale to close-put ²⁵ |
| C 07/23/2021 CALL \$66.50 / CUSIP: / Symbol: | | | | | | | |
| 07/22/21 | 1.000 | 66.98 | 07/16/21 | 122.00 | ... | -55.02 | Option sale to close-call ²⁵ |
| C 07/23/2021 PUT \$68.00 / CUSIP: / Symbol: | | | | | | | |
| 07/21/21 | 1.000 | 50.98 | 07/21/21 | 53.00 | ... | -2.02 | Option sale to close-put ²⁵ |
| C 07/23/2021 PUT \$68.50 / CUSIP: / Symbol: | | | | | | | |
| 07/22/21 | 1.000 | 189.98 | 07/21/21 | 76.00 | ... | 113.98 | Option sale to close-put ²⁵ |
| C 07/30/2021 CALL \$68.00 / CUSIP: / Symbol: | | | | | | | |
| 07/14/21 | 1.000 | 131.98 | 07/14/21 | 163.00 | ... | -31.02 | Option sale to close-call ²⁵ |
| CEIX 06/18/2021 PUT \$17.50 / CUSIP: / Symbol: | | | | | | | |
| 06/18/21 | 1.000 | 4.98 | 06/01/21 | 150.00 | ... | -145.02 | Option sale to close-put ²⁵ |
| CEIX 11/19/2021 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 10/20/21 | 1.000 | -80.02 | 10/19/21 | 0.00 | ... | -80.02 | Short sale closed- call ²⁵ Option written: 10/04/21 |
| CHRW 09/17/2021 CALL \$87.50 / CUSIP: / Symbol: | | | | | | | |
| 09/17/21 | 1.000 | 42.98 | 09/15/21 | 350.00 | ... | -307.02 | Option sale to close-call ²⁵ |
| CLF 02/19/2021 CALL \$16.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 02/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 62.98 | 02/19/21 | 55.00 | ... | 7.98 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 62.98 | 02/19/21 | 55.00 | ... | 7.98 | 2 of 2 - Option sale to close-call ²⁵ |
| 02/19/21 | 2.000 | 125.96 | Various | 110.00 | ... | 15.96 | Total of 2 transactions |
| CLSK 01/21/2022 PUT \$22.50 / CUSIP: / Symbol: | | | | | | | |
| 05/17/21 | 2.000 | 19.97 | 05/14/21 | 0.00 | ... | 19.97 | Short sale closed- put ²⁵ Option written: 03/16/21 |
| CNP 03/26/2021 CALL \$22.00 / CUSIP: / Symbol: | | | | | | | |
| 03/26/21 | 1.000 | 0.00 | 03/22/21 | 35.00 | ... | -35.00 | Option expiration- long call |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| CNP 04/09/2021 CALL \$22.00 / CUSIP: / Symbol: | | | | | | | |
| 04/09/21 | 1.000 | 134.98 | 03/26/21 | 40.00 | ... | 94.98 | Option sale to close-call ²⁵ |
| CNP 05/21/2021 PUT \$24.00 / CUSIP: / Symbol: | | | | | | | |
| 04/12/21 | 1.000 | 119.98 | 03/22/21 | 250.00 | ... | -130.02 | Option sale to close-put ²⁵ |
| COG 01/15/2021 CALL \$17.00 / CUSIP: / Symbol: | | | | | | | |
| 01/15/21 | 1.000 | 224.98 | 12/16/20 | 80.00 | ... | 144.98 | Option sale to close-call ²⁵ |
| CORN 08/20/2021 CALL \$18.00 / CUSIP: / Symbol: | | | | | | | |
| 08/04/21 | 1.000 | 234.98 | 06/29/21 | 265.00 | ... | -30.02 | Option sale to close-call ²⁵ |
| CRM 01/15/2021 CALL \$230.00 / CUSIP: / Symbol: | | | | | | | |
| 01/14/21 | 1.000 | 3.98 | 12/03/20 | 990.00 | ... | -986.02 | Option sale to close-call ²⁵ |
| CRM 01/15/2021 CALL \$240.00 / CUSIP: / Symbol: | | | | | | | |
| 01/15/21 | 1.000 | 653.97 | 01/14/21 | 0.00 | ... | 653.97 | Short sale closed- call ²⁵ Option written: 12/03/20 |
| CRM 05/28/2021 CALL \$240.00 / CUSIP: / Symbol: | | | | | | | |
| 05/28/21 | 1.000 | 15.98 | 05/27/21 | 166.00 | ... | -150.02 | Option sale to close-call ²⁵ |
| CRM 05/28/2021 CALL \$242.50 / CUSIP: / Symbol: | | | | | | | |
| 06/01/21 | 1.000 | 123.98 | 05/28/21 | 0.00 | ... | 123.98 | Short sale closed- call ²⁵ Option written: 05/27/21 |
| CRON 01/15/2021 CALL \$9.50 / CUSIP: / Symbol: | | | | | | | |
| 01/08/21 | 1.000 | -27.02 | 01/07/21 | 0.00 | ... | -27.02 | Short sale closed- call ²⁵ Option written: 01/06/21 |
| CRON 01/15/2021 PUT \$8.00 / CUSIP: / Symbol: | | | | | | | |
| 01/12/21 | 1.000 | 1.98 | 12/23/20 | 85.00 | ... | -83.02 | Option sale to close-put ²⁵ |
| CSCO 01/15/2021 CALL \$43.00 / CUSIP: / Symbol: | | | | | | | |
| 01/14/21 | 1.000 | 246.98 | 08/13/20 | 310.00 | ... | -63.02 | Option sale to close-call ²⁵ |

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|---|----------|---|-------------------|-------------------------|--|---|---|
| DIDI 08/20/2021 PUT \$5.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 08/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 79.98 | 07/23/21 | 256.00 | ... | -176.02 | 1 of 3 - Option sale to close-put ²⁵ |
| | 2.000 | 19.99 | 07/23/21 | 64.00 | ... | -44.01 | 2 of 3 - Option sale to close-put ²⁵ |
| | 1.000 | 10.00 | 07/23/21 | 30.00 | ... | -20.00 | 3 of 3 - Option sale to close-put ²⁵ |
| 08/02/21 | 11.000 | 109.97 | Various | 350.00 | ... | -240.03 | Total of 3 transactions |
| DIDI 08/20/2021 PUT \$6.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 08/03/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 34.98 | 08/02/21 | 0.00 | ... | 34.98 | 1 of 3 - Short sale closed- put ²⁵ Option written: 07/23/21 |
| | 2.000 | 71.98 | 08/02/21 | 0.00 | ... | 71.98 | 2 of 3 - Short sale closed- put ²⁵ Option written: 07/23/21 |
| | 8.000 | 287.97 | 08/02/21 | 0.00 | ... | 287.97 | 3 of 3 - Short sale closed- put ²⁵ Option written: 07/23/21 |
| 08/03/21 | 11.000 | 394.93 | Various | 0.00 | ... | 394.93 | Total of 3 transactions |
| DIDI 12/10/2021 CALL \$8.50 / CUSIP: / Symbol: | | | | | | | |
| 12/10/21 | 1.000 | 0.00 | 11/11/21 | 110.00 | ... | -110.00 | Option expiration- long call |
| DIS 01/22/2021 CALL \$175.00 / CUSIP: / Symbol: | | | | | | | |
| 01/22/21 | 1.000 | 0.00 | 01/20/21 | 184.00 | ... | -184.00 | Option expiration- long call |
| DIS 01/29/2021 CALL \$175.00 / CUSIP: / Symbol: | | | | | | | |
| 01/26/21 | 1.000 | 59.98 | 01/26/21 | 71.00 | ... | -11.02 | Option sale to close-call ²⁵ |
| DIS 02/12/2021 CALL \$167.50 / CUSIP: / Symbol: | | | | | | | |
| 02/11/21 | 1.000 | 2,119.94 | 01/29/21 | 760.00 | ... | 1,359.94 | Option sale to close-call ²⁵ |
| DIS 02/12/2021 CALL \$200.00 / CUSIP: / Symbol: | | | | | | | |
| 02/12/21 | 1.000 | 0.00 | 02/11/21 | 193.00 | ... | -193.00 | Option expiration- long call |
| DIS 02/12/2021 CALL \$205.00 / CUSIP: / Symbol: | | | | | | | |
| 02/12/21 | 1.000 | 128.98 | 02/12/21 | 0.00 | ... | 128.98 | Short sale closed- call expired Option written: 02/11/21 |

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|---|----------|---|-------------------|-------------------------|--|---|---|
| DIS 02/12/2021 PUT \$177.50 / CUSIP: / Symbol: | | | | | | | |
| 02/11/21 | 1.000 | 108.98 | 02/02/21 | 510.00 | ... | -401.02 | Option sale to close-put ²⁵ |
| DIS 02/26/2021 CALL \$187.50 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 278.98 | 02/22/21 | 260.00 | ... | 18.98 | Option sale to close-call ²⁵ |
| DIS 02/26/2021 PUT \$190.00 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 31.98 | 02/22/21 | 310.00 | ... | -278.02 | Option sale to close-put ²⁵ |
| DIS 02/26/2021 PUT \$197.50 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 659.98 | 02/24/21 | 192.00 | ... | 467.98 | Option sale to close-put ²⁵ |
| DISH 06/04/2021 CALL \$45.50 / CUSIP: / Symbol: | | | | | | | |
| 06/04/21 | 1.000 | 2.98 | 06/02/21 | 90.00 | ... | -87.02 | Option sale to close-call ²⁵ |
| DKNG 02/12/2021 CALL \$62.00 / CUSIP: / Symbol: | | | | | | | |
| 02/08/21 | 1.000 | 154.98 | 02/08/21 | 193.00 | ... | -38.02 | Option sale to close-call ²⁵ |
| DKNG 02/26/2021 PUT \$59.00 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 4.98 | 02/24/21 | 286.00 | ... | -281.02 | Option sale to close-put ²⁵ |
| DKNG 02/26/2021 PUT \$62.00 / CUSIP: / Symbol: | | | | | | | |
| 02/23/21 | 1.000 | 669.97 | 02/22/21 | 310.00 | ... | 359.97 | Option sale to close-put ²⁵ |
| DKNG 03/05/2021 PUT \$68.00 / CUSIP: / Symbol: | | | | | | | |
| 03/02/21 | 1.000 | 140.98 | 03/02/21 | 195.00 | ... | -54.02 | Option sale to close-put ²⁵ |
| DKNG 08/27/2021 PUT \$58.00 / CUSIP: / Symbol: | | | | | | | |
| 08/27/21 | 1.000 | 0.98 | 08/25/21 | 45.00 | ... | -44.02 | Option sale to close-put ²⁵ |
| DOW 02/19/2021 PUT \$59.00 / CUSIP: / Symbol: | | | | | | | |
| 02/18/21 | 1.000 | 124.98 | 02/16/21 | 84.00 | ... | 40.98 | Option sale to close-put ²⁵ |
| DOW 02/26/2021 PUT \$61.00 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 134.98 | 02/22/21 | 81.00 | ... | 53.98 | Option sale to close-put ²⁵ |
| DOW 03/05/2021 PUT \$60.50 / CUSIP: / Symbol: | | | | | | | |
| 03/05/21 | 1.000 | 0.00 | 02/26/21 | 161.00 | ... | -161.00 | Option expiration- long put |

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|---|----------|---|-------------------|-------------------------|--|---|---|
| DRE 03/19/2021 PUT \$40.00 / CUSIP: / Symbol: 03/19/21 | 1.000 | 0.00 | 03/02/21 | 164.00 | ... | -164.00 | Option expiration- long put |
| DRE 04/16/2021 PUT \$40.00 / CUSIP: / Symbol: 03/26/21 | 1.000 | 14.98 | 03/19/21 | 50.00 | ... | -35.02 | Option sale to close-put ²⁵ |
| DRI 02/19/2021 CALL \$130.00 / CUSIP: / Symbol: 02/19/21 | 1.000 | 294.98 | 02/18/21 | 90.00 | ... | 204.98 | Option sale to close-call ²⁵ |
| DT 02/19/2021 CALL \$55.00 / CUSIP: / Symbol: 02/19/21 | 1.000 | 0.00 | 02/18/21 | 10.00 | ... | -10.00 | Option expiration- long call |
| EDU 07/16/2021 CALL \$7.50 / CUSIP: / Symbol: 07/16/21 | 5.000 | 0.00 | 07/09/21 | 150.00 | ... | -150.00 | Option expiration- long call |
| EDU 08/20/2021 CALL \$2.50 / CUSIP: / Symbol: 08/20/21 | 1.000 | 0.00 | 07/23/21 | 60.00 | ... | -60.00 | Option expiration- long call |
| EDU 08/20/2021 CALL \$5.00 / CUSIP: / Symbol: 08/20/21 | 1.000 | 19.98 | 08/20/21 | 0.00 | ... | 19.98 | Short sale closed- call expired Option written: 07/23/21 |
| ERIC 01/15/2021 CALL \$12.00 / CUSIP: / Symbol: 01/14/21 | 1.000 | 1.98 | 07/20/20 | 118.00 | ... | -116.02 | Option sale to close-call ²⁵ |
| ET 04/16/2021 CALL \$6.00 / CUSIP: / Symbol: 03/09/21 | 1.000 | 215.98 | 02/26/21 | 188.00 | ... | 27.98 | Option sale to close-call ²⁵ |
| ET 04/16/2021 PUT \$8.00 / CUSIP: / Symbol: 03/09/21 | 1.000 | 49.98 | 02/26/21 | 78.00 | ... | -28.02 | Option sale to close-put ²⁵ |
| EXPD 01/15/2021 CALL \$95.00 / CUSIP: / Symbol: 01/15/21 | 1.000 | 0.00 | 09/14/20 | 480.00 | ... | -480.00 | Option expiration- long call |
| F 01/08/2021 PUT \$9.00 / CUSIP: / Symbol: 01/08/21 | 3.000 | 2.98 | 01/04/21 | 162.00 | ... | -159.02 | Option sale to close-put ²⁵ |

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|---|----------|---|-------------------|-------------------------|--|---|--|
| F 01/08/2021 PUT \$9.50 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 01/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 97.98 | 01/04/21 | 198.00 | ... | -100.02 | 1 of 4 - Option sale to close-put ²⁵ |
| | 1.000 | 48.98 | 01/04/21 | 99.00 | ... | -50.02 | 2 of 4 - Option sale to close-put ²⁵ |
| | 1.000 | 48.98 | 01/04/21 | 99.00 | ... | -50.02 | 3 of 4 - Option sale to close-put ²⁵ |
| | 1.000 | 48.98 | 01/04/21 | 99.00 | ... | -50.02 | 4 of 4 - Option sale to close-put ²⁵ |
| 01/08/21 | 5.000 | 244.92 | Various | 495.00 | ... | -250.08 | Total of 4 transactions |
| F 01/21/2022 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 12/31/21 | 1.000 | 581.98 | 06/08/21 | 251.00 | ... | 330.98 | Option sale to close-call ²⁵ |
| F 02/12/2021 CALL \$10.50 / CUSIP: / Symbol: | | | | | | | |
| 02/10/21 | 1.000 | 117.98 | 02/10/21 | 113.00 | ... | 4.98 | Option sale to close-call ²⁵ |
| F 02/26/2021 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 02/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 707.99 | 02/22/21 | 760.00 | ... | -52.01 | 1 of 3 - Option sale to close-call ²⁵ |
| | 4.000 | 707.99 | 02/22/21 | 760.00 | ... | -52.01 | 2 of 3 - Option sale to close-call ²⁵ |
| | 3.000 | 530.99 | 02/22/21 | 573.00 | ... | -42.01 | 3 of 3 - Option sale to close-call ²⁵ |
| 02/26/21 | 11.000 | 1,946.97 | Various | 2,093.00 | ... | -146.03 | Total of 3 transactions |
| F 02/26/2021 PUT \$12.00 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 19.98 | 02/22/21 | 30.00 | ... | -10.02 | Option sale to close-put ²⁵ |
| F 02/26/2021 PUT \$12.50 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 02/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 72.98 | 02/22/21 | 68.00 | ... | 4.98 | 1 of 3 - Option sale to close-put ²⁵ |
| | 3.000 | 218.98 | 02/22/21 | 204.00 | ... | 14.98 | 2 of 3 - Option sale to close-put ²⁵ |
| | 4.000 | 291.98 | 02/22/21 | 272.00 | ... | 19.98 | 3 of 3 - Option sale to close-put ²⁵ |
| 02/26/21 | 8.000 | 583.94 | Various | 544.00 | ... | 39.94 | Total of 3 transactions |
| F 02/26/2021 PUT \$13.00 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 3.000 | 368.98 | 02/22/21 | 330.00 | ... | 38.98 | Option sale to close-put ²⁵ |
| F 04/09/2021 CALL \$11.50 / CUSIP: / Symbol: | | | | | | | |
| 04/09/21 | 1.000 | 95.98 | 04/05/21 | 105.00 | ... | -9.02 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|---|---|-------------------|-------------------------|--|---|---|
| F 04/09/2021 PUT \$13.00 / CUSIP: / Symbol: | | | | | | | |
| 04/09/21 | 1.000 | 51.98 | 04/06/21 | 28.00 | ... | 23.98 | Option sale to close-put ²⁵ |
| F 05/07/2021 PUT \$11.50 / CUSIP: / Symbol: | | | | | | | |
| 05/04/21 | 1.000 | 30.98 | 04/29/21 | 31.00 | ... | -0.02 | Option sale to close-put ²⁵ |
| F 06/11/2021 CALL \$14.50 / CUSIP: / Symbol: | | | | | | | |
| 06/09/21 | 1.000 | 102.98 | 06/08/21 | 122.00 | ... | -19.02 | Option sale to close-call ²⁵ |
| F 06/18/2021 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 06/18/21 | 1.000 | 0.00 | 06/17/21 | 31.00 | ... | -31.00 | Option expiration- long call |
| F 07/09/2021 CALL \$14.50 / CUSIP: / Symbol: | | | | | | | |
| | 2 transactions for 07/09/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 1.98 | 07/09/21 | 11.00 | ... | -9.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 1.98 | 07/09/21 | 11.00 | ... | -9.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 07/09/21 | 2.000 | 3.96 | Various | 22.00 | ... | -18.04 | Total of 2 transactions |
| F 07/09/2021 PUT \$14.50 / CUSIP: / Symbol: | | | | | | | |
| 07/09/21 | 2.000 | 3.98 | 07/09/21 | 18.00 | ... | -14.02 | Option sale to close-put ²⁵ |
| FCEL 01/21/2022 PUT \$22.00 / CUSIP: / Symbol: | | | | | | | |
| 05/06/21 | 1.000 | -280.02 | 05/05/21 | 0.00 | ... | -280.02 | Short sale closed- put ²⁵ Option written: 03/16/21 |
| FCX 01/21/2022 CALL \$48.00 / CUSIP: / Symbol: | | | | | | | |
| 05/20/21 | 1.000 | 89.98 | 05/19/21 | 0.00 | ... | 89.98 | Short sale closed- call ²⁵ Option written: 05/13/21 |
| FCX 02/12/2021 CALL \$31.00 / CUSIP: / Symbol: | | | | | | | |
| 02/09/21 | 1.000 | 104.98 | 02/09/21 | 76.00 | ... | 28.98 | Option sale to close-call ²⁵ |
| FCX 05/07/2021 PUT \$38.50 / CUSIP: / Symbol: | | | | | | | |
| 05/04/21 | 1.000 | 68.98 | 04/26/21 | 108.00 | ... | -39.02 | Option sale to close-put ²⁵ |
| FCX 05/14/2021 PUT \$41.00 / CUSIP: / Symbol: | | | | | | | |
| 05/12/21 | 1.000 | 23.98 | 05/05/21 | 104.00 | ... | -80.02 | Option sale to close-put ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|---|
| FCX 05/21/2021 PUT \$42.50 / CUSIP: / Symbol: | | | | | | | |
| 05/14/21 | 1.000 | 127.98 | 05/13/21 | 176.00 | ... | -48.02 | Option sale to close-put ²⁵ |
| FE 11/19/2021 CALL \$37.00 / CUSIP: / Symbol: | | | | | | | |
| 10/27/21 | 1.000 | 114.98 | 10/20/21 | 85.00 | ... | 29.98 | Option sale to close-call ²⁵ |
| FISV 06/18/2021 CALL \$115.00 / CUSIP: / Symbol: | | | | | | | |
| 06/18/21 | 1.000 | 0.00 | 05/11/21 | 350.00 | ... | -350.00 | Option expiration- long call |
| FROG 12/17/2021 CALL \$65.00 / CUSIP: / Symbol: | | | | | | | |
| 01/12/21 | 1.000 | 1,649.95 | 12/17/20 | 2,050.00 | ... | -400.05 | Option sale to close-call ²⁵ |
| FROG 12/17/2021 CALL \$75.00 / CUSIP: / Symbol: | | | | | | | |
| 01/13/21 | 1.000 | 366.95 | 01/12/21 | 0.00 | ... | 366.95 | Short sale closed- call ²⁵ Option written: 12/17/20 |
| FUBO 07/09/2021 PUT \$29.00 / CUSIP: / Symbol: | | | | | | | |
| 07/09/21 | 1.000 | 21.98 | 07/08/21 | 83.00 | ... | -61.02 | Option sale to close-put ²⁵ |
| FUTU 03/05/2021 CALL \$170.00 / CUSIP: / Symbol: | | | | | | | |
| 02/24/21 | 1.000 | 979.96 | 02/23/21 | 1,130.00 | ... | -150.04 | Option sale to close-call ²⁵ |
| FUTU 03/05/2021 CALL \$180.00 / CUSIP: / Symbol: | | | | | | | |
| 02/25/21 | 1.000 | 159.97 | 02/24/21 | 0.00 | ... | 159.97 | Short sale closed- call ²⁵ Option written: 02/23/21 |
| GDX 01/15/2021 CALL \$60.00 / CUSIP: / Symbol: | | | | | | | |
| | 2 transactions for 01/15/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 0.00 | 08/07/20 | 290.00 | ... | -290.00 | 1 of 2 - Option expiration- long call |
| | 3.000 | 0.00 | 08/10/20 | 513.00 | ... | -513.00 | 2 of 2 - Option expiration- long call |
| 01/15/21 | 5.000 | 0.00 | Various | 803.00 | ... | -803.00 | Total of 2 transactions |
| GE 01/20/2023 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 07/16/21 | 1.000 | 152.98 | 06/02/21 | 223.00 | ... | -70.02 | Option sale to close-call ²⁵ |
| GE 01/29/2021 CALL \$11.00 / CUSIP: / Symbol: | | | | | | | |
| 01/29/21 | 1.000 | 0.00 | 01/26/21 | 42.00 | ... | -42.00 | Option expiration- long call |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| GE 03/12/2021 PUT \$14.50 / CUSIP: / Symbol: | | | | | | | |
| 03/10/21 | 1.000 | 100.98 | 03/08/21 | 80.00 | ... | 20.98 | Option sale to close-put ²⁵ |
| GE 03/19/2021 CALL \$12.50 / CUSIP: / Symbol: | | | | | | | |
| 03/19/21 | 1.000 | 75.98 | 03/12/21 | 33.00 | ... | 42.98 | Option sale to close-call ²⁵ |
| GE 03/19/2021 PUT \$13.00 / CUSIP: / Symbol: | | | | | | | |
| 03/19/21 | 1.000 | 0.00 | 03/15/21 | 26.00 | ... | -26.00 | Option expiration- long put |
| GE 07/02/2021 CALL \$13.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 33.99 | 06/29/21 | 28.00 | ... | 5.99 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 33.99 | 06/29/21 | 28.00 | ... | 5.99 | 2 of 2 - Option sale to close-call ²⁵ |
| 06/30/21 | 2.000 | 67.98 | Various | 56.00 | ... | 11.98 | Total of 2 transactions |
| 07/02/21 | 1.000 | 37.98 | 06/29/21 | 27.00 | ... | 10.98 | Option sale to close-call ²⁵ |
| | Security total: | 105.96 | | 83.00 | ... | 22.96 | |
| GE 07/02/2021 PUT \$14.00 / CUSIP: / Symbol: | | | | | | | |
| 07/02/21 | 1.000 | 60.98 | 06/30/21 | 70.00 | ... | -9.02 | Option sale to close-put ²⁵ |
| GLD 01/21/2022 CALL \$165.00 / CUSIP: / Symbol: | | | | | | | |
| 11/05/21 | 1.000 | 584.98 | 02/26/21 | 1,090.00 | ... | -505.02 | Option sale to close-call ²⁵ |
| GLD 01/21/2022 CALL \$170.00 / CUSIP: / Symbol: | | | | | | | |
| 02/05/21 | 1.000 | 1,254.96 | 02/04/21 | 1,165.00 | ... | 89.96 | Option sale to close-call ²⁵ |
| GLD 02/05/2021 CALL \$173.50 / CUSIP: / Symbol: | | | | | | | |
| 01/14/21 | 2.000 | 539.97 | 01/08/21 | 760.00 | ... | -220.03 | Option sale to close-call ²⁵ |
| GLD 08/20/2021 CALL \$165.00 / CUSIP: / Symbol: | | | | | | | |
| 07/13/21 | 1.000 | 584.98 | 06/30/21 | 375.00 | ... | 209.98 | Option sale to close-call ²⁵ |
| GME 01/15/2021 CALL \$18.50 / CUSIP: / Symbol: | | | | | | | |
| 01/12/21 | 2.000 | 413.98 | 01/06/21 | 370.00 | ... | 43.98 | Option sale to close-call ²⁵ |
| GME 01/15/2021 CALL \$19.50 / CUSIP: / Symbol: | | | | | | | |
| 01/13/21 | 2.000 | -8.02 | 01/12/21 | 0.00 | ... | -8.02 | Short sale closed- call ²⁵ Option written: 01/06/21 |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| GNK 07/16/2021 PUT \$20.00 / CUSIP: / Symbol: 07/16/21 | 1.000 | 369.98 | 06/24/21 | 105.00 | ... | 264.98 | Option sale to close-put ²⁵ |
| GNK 08/20/2021 CALL \$12.50 / CUSIP: / Symbol: 08/09/21 | 1.000 | 429.98 | 06/11/21 | 646.00 | ... | -216.02 | Option sale to close-call ²⁵ |
| GOGL 05/21/2021 CALL \$7.50 / CUSIP: / Symbol: 04/22/21 | 1.000 | 92.98 | 04/21/21 | 115.00 | ... | -22.02 | Option sale to close-call ²⁵ |
| GOLD 01/15/2021 CALL \$24.00 / CUSIP: / Symbol: 01/14/21 | 1.000 | 9.98 | 11/23/20 | 105.00 | ... | -95.02 | Option sale to close-call ²⁵ |
| GOLD 01/29/2021 CALL \$24.00 / CUSIP: / Symbol: 01/29/21 | 1.000 | 0.00 | 01/22/21 | 24.00 | ... | -24.00 | Option expiration- long call |
| GOLD 02/26/2021 CALL \$18.50 / CUSIP: / Symbol: 02/24/21 | 1.000 | 132.98 | 02/19/21 | 127.00 | ... | 5.98 | Option sale to close-call ²⁵ |
| GSL 08/20/2021 CALL \$17.50 / CUSIP: / Symbol: 08/20/21 | 1.000 | 124.98 | 08/06/21 | 115.00 | ... | 9.98 | Option sale to close-call ²⁵ |
| HAL 02/26/2021 CALL \$21.50 / CUSIP: / Symbol: 02/24/21 | 1.000 | 62.98 | 02/22/21 | 47.00 | ... | 15.98 | Option sale to close-call ²⁵ |
| HOLX 02/19/2021 CALL \$85.00 / CUSIP: / Symbol: 02/04/21 | 1.000 | 209.98 | 01/29/21 | 160.00 | ... | 49.98 | Option sale to close-call ²⁵ |
| HPE 01/20/2023 CALL \$12.00 / CUSIP: / Symbol: 07/01/21 | 1.000 | 351.98 | 05/24/21 | 490.00 | ... | -138.02 | Option sale to close-call ²⁵ |
| HPE 05/14/2021 PUT \$16.50 / CUSIP: / Symbol: 05/14/21 | 1.000 | 19.98 | 04/23/21 | 45.00 | ... | -25.02 | Option sale to close-put ²⁵ |
| HRB 02/19/2021 CALL \$17.00 / CUSIP: / Symbol: 02/18/21 | 1.000 | 234.98 | 02/05/21 | 205.00 | ... | 29.98 | Option sale to close-call ²⁵ |
| HRL 10/15/2021 CALL \$42.00 / CUSIP: / Symbol: 10/15/21 | 1.000 | 74.98 | 10/13/21 | 55.00 | ... | 19.98 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|---|
| HUYA 02/12/2021 CALL \$24.00 / CUSIP: / Symbol: | | | | | | | |
| 02/09/21 | 1.000 | 109.98 | 02/08/21 | 95.00 | ... | 14.98 | Option sale to close-call ²⁵ |
| HUYA 02/12/2021 PUT \$24.00 / CUSIP: / Symbol: | | | | | | | |
| 02/12/21 | 1.000 | 0.00 | 02/08/21 | 50.00 | ... | -50.00 | Option expiration- long put |
| ICLN 03/05/2021 CALL \$28.50 / CUSIP: / Symbol: | | | | | | | |
| 03/05/21 | 1.000 | 0.00 | 02/16/21 | 210.00 | ... | -210.00 | Option expiration- long call |
| IDEX 01/21/2022 PUT \$1.00 / CUSIP: / Symbol: | | | | | | | |
| | 5 transactions for 06/03/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 6.99 | 06/02/21 | 0.00 | ... | 6.99 | 1 of 5 - Short sale closed- put ²⁵ |
| | 1.000 | 7.00 | 06/02/21 | 0.00 | ... | 7.00 | Option written: 05/12/21 2 of 5 - Short sale closed- put ²⁵ |
| | 2.000 | 13.99 | 06/02/21 | 0.00 | ... | 13.99 | Option written: 05/12/21 3 of 5 - Short sale closed- put ²⁵ |
| | 2.000 | 14.00 | 06/02/21 | 0.00 | ... | 14.00 | Option written: 05/12/21 4 of 5 - Short sale closed- put ²⁵ |
| | 4.000 | 27.99 | 06/02/21 | 0.00 | ... | 27.99 | Option written: 05/12/21 5 of 5 - Short sale closed- put ²⁵ |
| 06/03/21 | 10.000 | 69.97 | Various | 0.00 | ... | 69.97 | Option written: 05/12/21 Total of 5 transactions |
| IDEX 01/21/2022 PUT \$1.50 / CUSIP: / Symbol: | | | | | | | |
| | 2 transactions for 06/24/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 84.98 | 06/23/21 | 0.00 | ... | 84.98 | 1 of 2 - Short sale closed- put ²⁵ |
| | 8.000 | 111.97 | 06/23/21 | 0.00 | ... | 111.97 | Option written: 05/12/21 2 of 2 - Short sale closed- put ²⁵ |
| 06/24/21 | 13.000 | 196.95 | Various | 0.00 | ... | 196.95 | Option written: 05/12/21 Total of 2 transactions |
| 07/19/21 | 10.000 | 249.97 | 07/19/21 | 300.00 | 50.03 W | 0.00 | Option sale to close-put ²⁵ |
| | 3 transactions for 07/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 79.98 | 07/19/21 | 120.00 | ... | -40.02 | 1 of 3 - Option sale to close-put ²⁵ |
| | 3.000 | 59.98 | 07/19/21 | 90.00 | ... | -30.02 | 2 of 3 - Option sale to close-put ²⁵ |
| | 3.000 | 59.98 | 07/19/21 | 90.00 | ... | -30.02 | 3 of 3 - Option sale to close-put ²⁵ |
| 07/23/21 | 10.000 | 199.94 | Various | 300.00 | ... | -100.06 | Total of 3 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| IDEX 01/21/2022 PUT \$1.50 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| Security total: | | 646.86 | | 600.00 | | 50.03 W | 96.89 |
| IDEX 01/21/2022 PUT \$2.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 05/06/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 63.99 | 05/05/21 | 0.00 | ... | 63.99 | 1 of 2 - Short sale closed- put ²⁵ |
| | 3.000 | 92.98 | 05/05/21 | 0.00 | ... | 92.98 | Option written: 02/24/21 2 of 2 - Short sale closed- put ²⁵ |
| | 5.000 | 156.97 | Various | 0.00 | ... | 156.97 | Option written: 02/24/21 Total of 2 transactions |
| IDEX 08/20/2021 PUT \$4.50 / CUSIP: / Symbol: | | | | | | | |
| 07/06/21 | 1.000 | 202.98 | 07/01/21 | 191.00 | ... | 11.98 | Option sale to close-put ²⁵ |
| IDEX 08/20/2021 PUT \$5.00 / CUSIP: / Symbol: | | | | | | | |
| 07/07/21 | 1.000 | -12.02 | 07/06/21 | 0.00 | ... | -12.02 | Short sale closed- put ²⁵ Option written: 07/01/21 |
| IMMR 03/19/2021 PUT \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 03/19/21 | 1.000 | 0.00 | 03/16/21 | 10.00 | ... | -10.00 | Option expiration- long put |
| IMMR 03/19/2021 PUT \$12.50 / CUSIP: / Symbol: | | | | | | | |
| 02/25/21 | 1.000 | 276.98 | 02/19/21 | 135.00 | ... | 141.98 | Option sale to close-put ²⁵ |
| INFO 06/18/2021 CALL \$110.00 / CUSIP: / Symbol: | | | | | | | |
| 06/18/21 | 1.000 | 0.00 | 06/04/21 | 60.00 | ... | -60.00 | Option expiration- long call |
| INTC 01/15/2021 CALL \$60.00 / CUSIP: / Symbol: | | | | | | | |
| 01/14/21 | 1.000 | 47.98 | 09/02/20 | 217.00 | ... | -169.02 | Option sale to close-call ²⁵ |
| ITA 02/19/2021 CALL \$97.00 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 154.98 | 01/14/21 | 350.00 | ... | -195.02 | Option sale to close-call ²⁵ |
| IVR 02/19/2021 CALL \$3.00 / CUSIP: / Symbol: | | | | | | | |
| 02/10/21 | 1.000 | 86.98 | 02/10/21 | 87.00 | ... | -0.02 | Option sale to close-call ²⁵ |
| IWM 01/08/2021 CALL \$200.00 / CUSIP: / Symbol: | | | | | | | |
| 01/07/21 | 1.000 | 790.97 | 01/06/21 | 234.00 | ... | 556.97 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| IWM 01/08/2021 CALL \$202.00 / CUSIP: / Symbol: | | | | | | | |
| 01/08/21 | 1.000 | -371.02 | 01/07/21 | 0.00 | ... | -371.02 | Short sale closed- call ²⁵ Option written: 01/06/21 |
| IWM 02/19/2021 CALL \$226.00 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 74.98 | 02/19/21 | 89.00 | ... | -14.02 | Option sale to close-call ²⁵ |
| IWM 02/19/2021 CALL \$229.00 / CUSIP: / Symbol: | | | | | | | |
| 02/16/21 | 1.000 | 229.98 | 02/16/21 | 257.00 | ... | -27.02 | Option sale to close-call ²⁵ |
| IWM 04/23/2021 CALL \$224.00 / CUSIP: / Symbol: | | | | | | | |
| 04/22/21 | 1.000 | 118.98 | 04/22/21 | 190.00 | ... | -71.02 | Option sale to close-call ²⁵ |
| IWM 07/16/2021 CALL \$215.00 / CUSIP: / Symbol: | | | | | | | |
| 07/15/21 | 1.000 | 164.98 | 07/15/21 | 201.02 | ... | -36.04 | Option sale to close-call ²⁵ |
| 07/15/21 | 1.000 | 181.98 | 07/15/21 | 191.00 | 9.02 W | 0.00 | Option sale to close-call ²⁵ |
| Security total: | | 346.96 | | 392.02 | 9.02 W | -36.04 | |
| IWM 07/16/2021 CALL \$216.00 / CUSIP: / Symbol: | | | | | | | |
| 07/16/21 | 1.000 | 326.98 | 07/15/21 | 151.00 | ... | 175.98 | Option sale to close-call ²⁵ |
| IWM 07/16/2021 CALL \$217.00 / CUSIP: / Symbol: | | | | | | | |
| 07/15/21 | 1.000 | 167.98 | 07/15/21 | 206.00 | ... | -38.02 | Option sale to close-call ²⁵ |
| IWM 07/16/2021 PUT \$216.00 / CUSIP: / Symbol: | | | | | | | |
| 07/16/21 | 1.000 | 18.98 | 07/15/21 | 137.00 | ... | -118.02 | Option sale to close-put ²⁵ |
| IWM 07/23/2021 CALL \$219.00 / CUSIP: / Symbol: | | | | | | | |
| 07/22/21 | 1.000 | 136.98 | 07/22/21 | 120.00 | ... | 16.98 | Option sale to close-call ²⁵ |
| IWM 09/30/2021 CALL \$223.00 / CUSIP: / Symbol: | | | | | | | |
| 09/30/21 | 1.000 | 0.00 | 09/28/21 | 190.00 | ... | -190.00 | Option expiration- long call |
| IWM 12/29/2021 CALL \$222.00 / CUSIP: / Symbol: | | | | | | | |
| 12/29/21 | 1.000 | 140.98 | 12/29/21 | 81.00 | ... | 59.98 | Option sale to close-call ²⁵ |
| JD 02/12/2021 CALL \$98.00 / CUSIP: / Symbol: | | | | | | | |
| 02/12/21 | 1.000 | 111.98 | 02/11/21 | 170.00 | ... | -58.02 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

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2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| JG 11/19/2021 PUT \$7.50 / CUSIP: / Symbol: | | | | | | | |
| 05/26/21 | 1.000 | -110.02 | 05/25/21 | 0.00 | ... | -110.02 | Short sale closed- put ²⁵ Option written: 03/18/21 |
| 05/28/21 | 1.000 | -60.02 | 05/27/21 | 0.00 | ... | -60.02 | Short sale closed- put ²⁵ Option written: 03/18/21 |
| 06/01/21 | 1.000 | -60.02 | 05/28/21 | 0.00 | ... | -60.02 | Short sale closed- put ²⁵ Option written: 03/18/21 |
| | Security total: | -230.06 | | 0.00 | ... | -230.06 | |
| JNJ 01/15/2021 CALL \$160.00 / CUSIP: / Symbol: | | | | | | | |
| 01/14/21 | 1.000 | 138.98 | 12/11/20 | 233.00 | ... | -94.02 | Option sale to close-call ²⁵ |
| JNJ 01/29/2021 CALL \$155.00 / CUSIP: / Symbol: | | | | | | | |
| 01/06/21 | 1.000 | 659.97 | 12/30/20 | 525.00 | ... | 134.97 | Option sale to close-call ²⁵ |
| JNJ 01/29/2021 CALL \$160.00 / CUSIP: / Symbol: | | | | | | | |
| 01/07/21 | 1.000 | -73.02 | 01/06/21 | 0.00 | ... | -73.02 | Short sale closed- call ²⁵ Option written: 12/30/20 |
| JNJ 01/29/2021 CALL \$162.50 / CUSIP: / Symbol: | | | | | | | |
| 01/26/21 | 1.000 | 959.96 | 01/25/21 | 415.00 | ... | 544.96 | Option sale to close-call ²⁵ |
| JNJ 01/29/2021 CALL \$172.50 / CUSIP: / Symbol: | | | | | | | |
| 01/26/21 | 1.000 | 120.98 | 01/26/21 | 191.00 | ... | -70.02 | Option sale to close-call ²⁵ |
| JNJ 02/12/2021 CALL \$162.50 / CUSIP: / Symbol: | | | | | | | |
| 02/08/21 | 1.000 | 236.98 | 02/05/21 | 293.00 | ... | -56.02 | Option sale to close-call ²⁵ |
| JPM 05/14/2021 CALL \$152.50 / CUSIP: / Symbol: | | | | | | | |
| 05/04/21 | 1.000 | 283.98 | 05/04/21 | 262.00 | ... | 21.98 | Option sale to close-call ²⁵ |
| 05/14/21 | 1.000 | 1,144.98 | 05/04/21 | 262.00 | ... | 882.98 | Option sale to close-call ²⁵ |
| | Security total: | 1,428.96 | | 524.00 | ... | 904.96 | |
| JPM 06/04/2021 PUT \$157.50 / CUSIP: / Symbol: | | | | | | | |
| 05/19/21 | 1.000 | 282.98 | 05/07/21 | 230.00 | ... | 52.98 | Option sale to close-put ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|---|---|-------------------|-------------------------|--|---|--|
| JPM 07/23/2021 CALL \$155.00 / CUSIP: / Symbol: | | | | | | | |
| 07/14/21 | 1.000 | 145.98 | 07/14/21 | 286.02 | ... | -140.04 | Option sale to close-call ²⁵ |
| 07/14/21 | 1.000 | 187.98 | 07/13/21 | 282.00 | 94.02 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 333.96 | | 568.02 | 94.02 W | -140.04 | |
| K 09/17/2021 CALL \$62.50 / CUSIP: / Symbol: | | | | | | | |
| 09/17/21 | 1.000 | 99.98 | 09/14/21 | 125.00 | ... | -25.02 | Option sale to close-call ²⁵ |
| KBE 02/19/2021 CALL \$44.00 / CUSIP: / Symbol: | | | | | | | |
| 02/04/21 | 1.000 | 244.98 | 02/03/21 | 160.00 | ... | 84.98 | Option sale to close-call ²⁵ |
| KEY 01/08/2021 CALL \$17.50 / CUSIP: / Symbol: | | | | | | | |
| | 2 transactions for 01/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | -100.02 | 01/07/21 | 0.00 | ... | -100.02 | 1 of 2 - Short sale closed- call ²⁵ Option written: 01/06/21 |
| | 1.000 | -50.02 | 01/07/21 | 0.00 | ... | -50.02 | 2 of 2 - Short sale closed- call ²⁵ Option written: 01/06/21 |
| 01/08/21 | 3.000 | -150.04 | Various | 0.00 | ... | -150.04 | Total of 2 transactions |
| KEY 01/08/2021 PUT \$18.00 / CUSIP: / Symbol: | | | | | | | |
| | 3 transactions for 01/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 0.00 | 11/25/20 | 220.00 | ... | -220.00 | 1 of 3 - Option expiration- long put |
| | 1.000 | 0.00 | 11/25/20 | 210.00 | ... | -210.00 | 2 of 3 - Option expiration- long put |
| | 1.000 | 0.00 | 11/25/20 | 205.00 | ... | -205.00 | 3 of 3 - Option expiration- long put |
| 01/08/21 | 3.000 | 0.00 | Various | 635.00 | ... | -635.00 | Total of 3 transactions |
| KEY 01/22/2021 CALL \$18.00 / CUSIP: / Symbol: | | | | | | | |
| 01/22/21 | 1.000 | 15.98 | 01/15/21 | 70.00 | ... | -54.02 | Option sale to close-call ²⁵ |
| KEY 02/26/2021 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 419.98 | 01/15/21 | 270.00 | ... | 149.98 | Option sale to close-call ²⁵ |
| KEY 03/05/2021 PUT \$20.50 / CUSIP: / Symbol: | | | | | | | |
| 03/05/21 | 1.000 | 19.98 | 02/19/21 | 85.00 | ... | -65.02 | Option sale to close-put ²⁵ |
| KEY 06/25/2021 PUT \$21.00 / CUSIP: / Symbol: | | | | | | | |
| 06/25/21 | 1.000 | 0.00 | 06/24/21 | 20.00 | ... | -20.00 | Option expiration- long put |

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Account 156901654

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(continued)

03/02/2022 AMENDED

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|--|--|---|-------------------|-------------------------|--|---|---|
| KHC 01/15/2021 CALL \$35.00 / CUSIP: / Symbol: | | | | | | | |
| 01/14/21 | 1.000 | 0.98 | 12/16/20 | 84.00 | ... | -83.02 | Option sale to close-call ²⁵ |
| KHC 02/26/2021 CALL \$32.00 / CUSIP: / Symbol: | | | | | | | |
| 02/09/21 | 1.000 | 204.98 | 01/14/21 | 135.00 | ... | 69.98 | Option sale to close-call ²⁵ |
| KHC 07/16/2021 CALL \$37.50 / CUSIP: / Symbol: | | | | | | | |
| 03/10/21 | 1.000 | 344.98 | 02/22/21 | 260.00 | ... | 84.98 | Option sale to close-call ²⁵ |
| KHC 12/03/2021 CALL \$37.00 / CUSIP: / Symbol: | | | | | | | |
| 12/03/21 | 1.000 | 0.00 | 11/03/21 | 84.00 | ... | -84.00 | Option expiration- long call |
| KO 01/29/2021 CALL \$48.50 / CUSIP: / Symbol: | | | | | | | |
| | <i>2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 1.000 | 6.99 | 01/20/21 | 61.00 | ... | -54.01 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 6.99 | 01/20/21 | 61.00 | ... | -54.01 | 2 of 2 - Option sale to close-call ²⁵ |
| 01/29/21 | 2.000 | 13.98 | Various | 122.00 | ... | -108.02 | Total of 2 transactions |
| KO 02/12/2021 PUT \$50.00 / CUSIP: / Symbol: | | | | | | | |
| 02/10/21 | 1.000 | 39.98 | 02/09/21 | 89.00 | ... | -49.02 | Option sale to close-put ²⁵ |
| KO 02/18/2022 CALL \$60.00 / CUSIP: / Symbol: | | | | | | | |
| 12/28/21 | 1.000 | -22.02 | 12/27/21 | 0.00 | ... | -22.02 | Short sale closed- call ²⁵ Option written: 11/12/21 |
| KO 02/19/2021 PUT \$50.50 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 33.98 | 02/18/21 | 13.00 | ... | 20.98 | Option sale to close-put ²⁵ |
| KO 02/26/2021 PUT \$49.50 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 20.98 | 02/26/21 | 14.00 | ... | 6.98 | Option sale to close-put ²⁵ |
| KO 02/26/2021 PUT \$51.00 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 164.98 | 02/19/21 | 106.00 | ... | 58.98 | Option sale to close-put ²⁵ |
| KO 03/05/2021 CALL \$48.50 / CUSIP: / Symbol: | | | | | | | |
| 03/05/21 | 1.000 | 195.98 | 02/26/21 | 118.00 | ... | 77.98 | Option sale to close-call ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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|--|----------|---|-------------------|-------------------------|--|---|---|
| KO 03/12/2021 CALL \$52.00 / CUSIP: / Symbol: | | | | | | | |
| 03/12/21 | 1.000 | 0.00 | 03/09/21 | 23.00 | ... | -23.00 | Option expiration- long call |
| KO 03/12/2021 PUT \$51.50 / CUSIP: / Symbol: | | | | | | | |
| 03/09/21 | 1.000 | 78.98 | 03/08/21 | 90.00 | ... | -11.02 | Option sale to close-put ²⁵ |
| KO 07/30/2021 PUT \$56.50 / CUSIP: / Symbol: | | | | | | | |
| 07/22/21 | 1.000 | 40.98 | 07/22/21 | 43.00 | ... | -2.02 | Option sale to close-put ²⁵ |
| KO 08/06/2021 PUT \$57.00 / CUSIP: / Symbol: | | | | | | | |
| 08/04/21 | 1.000 | 84.98 | 08/02/21 | 40.00 | ... | 44.98 | Option sale to close-put ²⁵ |
| KO 08/20/2021 PUT \$58.00 / CUSIP: / Symbol: | | | | | | | |
| 07/26/21 | 1.000 | 164.98 | 07/23/21 | 128.00 | ... | 36.98 | Option sale to close-put ²⁵ |
| KO 08/27/2021 PUT \$55.50 / CUSIP: / Symbol: | | | | | | | |
| 08/27/21 | 1.000 | 0.00 | 08/27/21 | 10.00 | ... | -10.00 | Option expiration- long put |
| KO 09/03/2021 PUT \$56.50 / CUSIP: / Symbol: | | | | | | | |
| 09/03/21 | 1.000 | 0.00 | 09/02/21 | 15.00 | ... | -15.00 | Option expiration- long put |
| KO 09/10/2021 CALL \$55.50 / CUSIP: / Symbol: | | | | | | | |
| 09/09/21 | 1.000 | 85.98 | 09/07/21 | 61.00 | ... | 24.98 | Option sale to close-call ²⁵ |
| KO 09/10/2021 PUT \$56.00 / CUSIP: / Symbol: | | | | | | | |
| 09/10/21 | 1.000 | 34.98 | 09/07/21 | 34.00 | ... | 0.98 | Option sale to close-put ²⁵ |
| KO 10/29/2021 PUT \$54.00 / CUSIP: / Symbol: | | | | | | | |
| 10/29/21 | 1.000 | 0.00 | 10/26/21 | 45.00 | ... | -45.00 | Option expiration- long put |
| KO 11/05/2021 CALL \$56.00 / CUSIP: / Symbol: | | | | | | | |
| 10/20/21 | 1.000 | 17.98 | 10/19/21 | 0.00 | ... | 17.98 | Short sale closed- call ²⁵ Option written: 10/11/21 |
| KSS 05/21/2021 CALL \$54.00 / CUSIP: / Symbol: | | | | | | | |
| 05/21/21 | 1.000 | 44.98 | 05/20/21 | 116.00 | ... | -71.02 | Option sale to close-call ²⁵ |
| KSS 05/28/2021 CALL \$55.00 / CUSIP: / Symbol: | | | | | | | |
| 05/20/21 | 1.000 | 118.98 | 05/20/21 | 179.00 | ... | -60.02 | Option sale to close-call ²⁵ |

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(continued)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| LAC 02/19/2021 CALL \$17.50 / CUSIP: / Symbol: 02/10/21 | 1.000 | 399.98 | 02/10/21 | 420.00 | ... | -20.02 | Option sale to close-call ²⁵ |
| LAC 02/19/2021 CALL \$20.00 / CUSIP: / Symbol: 02/19/21 | 1.000 | 114.98 | 02/11/21 | 220.00 | ... | -105.02 | Option sale to close-call ²⁵ |
| LAC 03/19/2021 CALL \$20.00 / CUSIP: / Symbol: 03/19/21 | 1.000 | 0.00 | 03/01/21 | 170.00 | ... | -170.00 | Option expiration- long call |
| LAC 03/19/2021 PUT \$17.50 / CUSIP: / Symbol: 03/19/21 | 1.000 | 134.98 | 03/09/21 | 240.00 | ... | -105.02 | Option sale to close-put ²⁵ |
| LAC 04/16/2021 CALL \$15.00 / CUSIP: / Symbol: 04/16/21 | 1.000 | 0.00 | 03/31/21 | 155.00 | ... | -155.00 | Option expiration- long call |
| LAC 04/16/2021 PUT \$17.50 / CUSIP: / Symbol: 03/31/21 | 1.000 | 209.98 | 03/19/21 | 255.00 | ... | -45.02 | Option sale to close-put ²⁵ |
| LAC 08/20/2021 CALL \$15.00 / CUSIP: / Symbol: 01/20/21 | 1.000 | -790.03 | 01/19/21 | 0.00 | ... | -790.03 | Short sale closed- call ²⁵ Option written: 12/28/20 |
| LAZR 01/15/2021 CALL \$35.00 / CUSIP: / Symbol: 01/14/21 | 1.000 | 24.98 | 12/21/20 | 466.00 | ... | -441.02 | Option sale to close-call ²⁵ |
| LAZR 01/15/2021 CALL \$40.00 / CUSIP: / Symbol: 01/15/21 | 1.000 | 317.98 | 01/14/21 | 0.00 | ... | 317.98 | Short sale closed- call ²⁵ Option written: 12/21/20 |
| LAZR 01/22/2021 CALL \$33.50 / CUSIP: / Symbol: 01/20/21 | 1.000 | 94.98 | 01/20/21 | 150.00 | ... | -55.02 | Option sale to close-call ²⁵ |
| LEVI 02/19/2021 CALL \$19.00 / CUSIP: / Symbol: 02/08/21 | 1.000 | 82.98 | 02/08/21 | 105.00 | ... | -22.02 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|--|---|-------------------|-------------------------|--|---|---|
| LEVI 02/19/2021 CALL \$21.00 / CUSIP: / Symbol: | | | | | | | |
| 02/01/21 | 1.000 | 34.98 | 01/25/21 | 136.00 | 101.02 W | 0.00 | Option sale to close-call ²⁵ |
| 02/17/21 | 1.000 | 99.98 | 02/09/21 | 131.02 | ... | -31.04 | Option sale to close-call ²⁵ |
| | Security total: | 134.96 | | 267.02 | 101.02 W | -31.04 | |
| LI 01/21/2022 CALL \$22.50 / CUSIP: / Symbol: | | | | | | | |
| 07/23/21 | 1.000 | 1,066.98 | 06/04/21 | 678.00 | ... | 388.98 | Option sale to close-call ²⁵ |
| LI 01/21/2022 CALL \$28.00 / CUSIP: / Symbol: | | | | | | | |
| 07/26/21 | 1.000 | -282.02 | 07/23/21 | 0.00 | ... | -282.02 | Short sale closed- call ²⁵ Option written: 06/04/21 |
| LI 05/28/2021 PUT \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 05/26/21 | 1.000 | 5.98 | 05/25/21 | 80.00 | ... | -74.02 | Option sale to close-put ²⁵ |
| LI 05/28/2021 PUT \$22.50 / CUSIP: / Symbol: | | | | | | | |
| 05/28/21 | 1.000 | 3.98 | 05/26/21 | 39.00 | ... | -35.02 | Option sale to close-put ²⁵ |
| LI 06/04/2021 PUT \$22.50 / CUSIP: / Symbol: | | | | | | | |
| 06/04/21 | 1.000 | 0.98 | 05/26/21 | 85.00 | ... | -84.02 | Option sale to close-put ²⁵ |
| LI 07/23/2021 CALL \$34.00 / CUSIP: / Symbol: | | | | | | | |
| 07/23/21 | 1.000 | 0.98 | 07/21/21 | 71.00 | ... | -70.02 | Option sale to close-call ²⁵ |
| LOMA 02/19/2021 PUT \$7.50 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 134.98 | 02/12/21 | 140.00 | ... | -5.02 | Option sale to close-put ²⁵ |
| LOMA 04/16/2021 PUT \$7.50 / CUSIP: / Symbol: | | | | | | | |
| | <i>2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 1.000 | 199.99 | 02/19/21 | 150.00 | ... | 49.99 | 1 of 2 - Option sale to close-put ²⁵ |
| | 2.000 | 399.99 | 02/19/21 | 300.00 | ... | 99.99 | 2 of 2 - Option sale to close-put ²⁵ |
| 03/03/21 | 3.000 | 599.98 | Various | 450.00 | ... | 149.98 | Total of 2 transactions |
| LOW 02/26/2021 CALL \$167.50 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 0.00 | 02/24/21 | 37.00 | ... | -37.00 | Option expiration- long call |
| LOW 06/11/2021 CALL \$187.50 / CUSIP: / Symbol: | | | | | | | |
| 06/10/21 | 1.000 | 143.98 | 06/10/21 | 199.00 | ... | -55.02 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| LOW 08/06/2021 CALL \$195.00 / CUSIP: / Symbol: | | | | | | | |
| 08/03/21 | 1.000 | 91.98 | 08/03/21 | 88.00 | ... | 3.98 | Option sale to close-call ²⁵ |
| LVS 01/22/2021 CALL \$54.00 / CUSIP: / Symbol: | | | | | | | |
| 01/22/21 | 1.000 | 40.98 | 01/19/21 | 128.00 | ... | -87.02 | Option sale to close-call ²⁵ |
| LVS 02/19/2021 CALL \$56.00 / CUSIP: / Symbol: | | | | | | | |
| 02/16/21 | 1.000 | 159.98 | 02/16/21 | 184.00 | ... | -24.02 | Option sale to close-call ²⁵ |
| LVS 10/15/2021 CALL \$40.00 / CUSIP: / Symbol: | | | | | | | |
| 10/15/21 | 1.000 | 65.98 | 10/13/21 | 42.00 | ... | 23.98 | Option sale to close-call ²⁵ |
| LVS 10/22/2021 CALL \$41.00 / CUSIP: / Symbol: | | | | | | | |
| 10/18/21 | 1.000 | -22.02 | 10/15/21 | 0.00 | ... | -22.02 | Short sale closed- call ²⁵ Option written: 10/11/21 |
| M 09/17/2021 CALL \$21.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 09/17/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 83.98 | 09/16/21 | 171.01 | ... | -87.03 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 89.99 | 09/16/21 | 130.00 | ... | -40.01 | 2 of 2 - Option sale to close-call ²⁵ |
| 09/17/21 | 2.000 | 173.97 | Various | 301.01 | ... | -127.04 | Total of 2 transactions |
| 09/17/21 | 1.000 | 89.99 | 09/16/21 | 135.00 | 45.01 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 263.96 | | 436.01 | 45.01 W | -127.04 | |
| MARA 01/21/2022 PUT \$17.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 04/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 459.97 | 04/07/21 | 0.00 | ... | 459.97 | 1 of 2 - Short sale closed- put ²⁵ Option written: 02/24/21 |
| | 1.000 | 489.98 | 04/07/21 | 0.00 | ... | 489.98 | 2 of 2 - Short sale closed- put ²⁵ Option written: 02/25/21 |
| 04/08/21 | 2.000 | 949.95 | Various | 0.00 | ... | 949.95 | Total of 2 transactions |
| MARA 01/21/2022 PUT \$27.00 / CUSIP: / Symbol: | | | | | | | |
| 07/12/21 | 1.000 | -184.02 | 07/09/21 | 0.00 | ... | -184.02 | Short sale closed- put ²⁵ Option written: 04/07/21 |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| MARA 02/26/2021 CALL \$33.00 / CUSIP: / Symbol: 02/24/21 | 1.000 | 214.98 | 02/24/21 | 206.00 | ... | 8.98 | Option sale to close-call ²⁵ |
| MARA 02/26/2021 CALL \$34.00 / CUSIP: / Symbol: 02/24/21 | 1.000 | 139.98 | 02/24/21 | 178.00 | ... | -38.02 | Option sale to close-call ²⁵ |
| MARA 05/14/2021 CALL \$22.00 / CUSIP: / Symbol: 05/13/21 | 1.000 | 54.98 | 05/13/21 | 114.00 | ... | -59.02 | Option sale to close-call ²⁵ |
| MAT 01/21/2022 CALL \$20.00 / CUSIP: / Symbol: 11/10/21 | 1.000 | 309.98 | 02/26/21 | 360.00 | ... | -50.02 | Option sale to close-call ²⁵ |
| MCD 01/29/2021 CALL \$212.50 / CUSIP: / Symbol: 01/29/21 | 1.000 | 0.00 | 01/25/21 | 274.00 | ... | -274.00 | Option expiration- long call |
| MJ 02/19/2021 PUT \$25.00 / CUSIP: / Symbol: 02/19/21 | 1.000 | 19.98 | 02/18/21 | 85.00 | ... | -65.02 | Option sale to close-put ²⁵ |
| MJ 02/26/2021 PUT \$25.00 / CUSIP: / Symbol: 02/25/21 | 1.000 | 209.98 | 02/19/21 | 130.00 | ... | 79.98 | Option sale to close-put ²⁵ |
| MJ 03/05/2021 PUT \$23.00 / CUSIP: / Symbol: 03/05/21 | 1.000 | 239.98 | 02/26/21 | 115.00 | ... | 124.98 | Option sale to close-put ²⁵ |
| MJ 03/12/2021 PUT \$23.50 / CUSIP: / Symbol: 03/12/21 | 1.000 | 29.98 | 03/10/21 | 130.00 | ... | -100.02 | Option sale to close-put ²⁵ |
| MJ 03/19/2021 PUT \$25.00 / CUSIP: / Symbol: 03/15/21 | 1.000 | 99.98 | 03/15/21 | 155.00 | ... | -55.02 | Option sale to close-put ²⁵ |
| MJ 03/19/2021 PUT \$25.50 / CUSIP: / Symbol: 03/19/21 | 1.000 | 169.98 | 03/15/21 | 130.00 | ... | 39.98 | Option sale to close-put ²⁵ |
| MJ 03/26/2021 PUT \$23.00 / CUSIP: / Symbol: 03/26/21 | 1.000 | 67.98 | 03/24/21 | 70.00 | ... | -2.02 | Option sale to close-put ²⁵ |
| MJ 03/26/2021 PUT \$24.00 / CUSIP: / Symbol: 03/22/21 | 1.000 | 109.98 | 03/22/21 | 105.00 | ... | 4.98 | Option sale to close-put ²⁵ |

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(continued)

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|--|----------|---|-------------------|-------------------------|--|---|---|
| MJ 04/09/2021 CALL \$22.00 / CUSIP: / Symbol: | | | | | | | |
| 04/09/21 | 1.000 | 26.98 | 04/07/21 | 40.00 | ... | -13.02 | Option sale to close-call ²⁵ |
| MJ 04/09/2021 PUT \$22.00 / CUSIP: / Symbol: | | | | | | | |
| 04/09/21 | 1.000 | 0.00 | 04/07/21 | 40.00 | ... | -40.00 | Option expiration- long put |
| MJ 05/20/2022 CALL \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 10/06/21 | 1.000 | 74.98 | 10/05/21 | 0.00 | ... | 74.98 | Short sale closed- call ²⁵ Option written: 07/28/21 |
| MJ 08/27/2021 PUT \$16.50 / CUSIP: / Symbol: | | | | | | | |
| 08/27/21 | 1.000 | 0.00 | 08/27/21 | 10.00 | ... | -10.00 | Option expiration- long put |
| MOS 03/05/2021 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 03/03/21 | 1.000 | 179.98 | 02/24/21 | 130.00 | ... | 49.98 | Option sale to close-call ²⁵ |
| MOS 03/05/2021 PUT \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 03/03/21 | 1.000 | 12.98 | 02/24/21 | 117.00 | ... | -104.02 | Option sale to close-put ²⁵ |
| MRK 01/15/2021 CALL \$85.00 / CUSIP: / Symbol: | | | | | | | |
| 01/14/21 | 1.000 | 2.98 | 09/04/20 | 480.00 | ... | -477.02 | Option sale to close-call ²⁵ |
| MRK 01/20/2023 CALL \$70.00 / CUSIP: / Symbol: | | | | | | | |
| 08/23/21 | 1.000 | 1,134.98 | 06/04/21 | 883.00 | ... | 251.98 | Option sale to close-call ²⁵ |
| MRK 01/20/2023 CALL \$80.00 / CUSIP: / Symbol: | | | | | | | |
| 08/24/21 | 1.000 | -152.02 | 08/23/21 | 0.00 | ... | -152.02 | Short sale closed- call ²⁵ Option written: 06/04/21 |
| MRK 01/21/2022 CALL \$72.50 / CUSIP: / Symbol: | | | | | | | |
| 07/06/21 | 1.000 | 726.98 | 06/07/21 | 439.00 | ... | 287.98 | Option sale to close-call ²⁵ |
| MRK 01/21/2022 CALL \$75.00 / CUSIP: / Symbol: | | | | | | | |
| 07/07/21 | 1.000 | -233.02 | 07/06/21 | 0.00 | ... | -233.02 | Short sale closed- call ²⁵ Option written: 06/07/21 |
| MRK 01/21/2022 CALL \$77.50 / CUSIP: / Symbol: | | | | | | | |
| 03/22/21 | 1.000 | 564.98 | 02/04/21 | 620.00 | ... | -55.02 | Option sale to close-call ²⁵ |

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(continued)

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|--|----------|---|-------------------|-------------------------|--|---|--|
| MRK 03/05/2021 CALL \$80.50 / CUSIP: / Symbol: | | | | | | | |
| 03/05/21 | 1.000 | 0.00 | 01/26/21 | 245.00 | ... | -245.00 | Option expiration- long call |
| MRO 01/20/2023 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 10/14/21 | 1.000 | 384.98 | 02/23/21 | 280.00 | ... | 104.98 | Option sale to close-call ²⁵ |
| MRO 09/17/2021 CALL \$7.00 / CUSIP: / Symbol: | | | | | | | |
| 04/01/21 | 1.000 | 452.98 | 07/21/20 | 142.00 | ... | 310.98 | Option sale to close-call ²⁵ |
| MRVI 02/19/2021 CALL \$35.00 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 109.98 | 02/19/21 | 250.00 | ... | -140.02 | Option sale to close-call ²⁵ |
| MRVL 02/12/2021 CALL \$51.50 / CUSIP: / Symbol: | | | | | | | |
| 02/12/21 | 1.000 | 200.98 | 02/12/21 | 136.00 | ... | 64.98 | Option sale to close-call ²⁵ |
| MRVL 02/19/2021 CALL \$53.00 / CUSIP: / Symbol: | | | | | | | |
| 02/16/21 | 1.000 | 110.98 | 02/16/21 | 152.00 | ... | -41.02 | Option sale to close-call ²⁵ |
| MSFT 10/15/2021 CALL \$295.00 / CUSIP: / Symbol: | | | | | | | |
| 10/11/21 | 1.000 | 369.98 | 10/08/21 | 315.00 | ... | 54.98 | Option sale to close-call ²⁵ |
| MVIS 04/16/2021 PUT \$13.00 / CUSIP: / Symbol: | | | | | | | |
| 04/15/21 | 1.000 | 159.98 | 03/31/21 | 185.00 | ... | -25.02 | Option sale to close-put ²⁵ |
| MVIS 07/16/2021 PUT \$18.00 / CUSIP: / Symbol: | | | | | | | |
| 04/14/21 | 1.000 | 93.98 | 04/13/21 | 0.00 | ... | 93.98 | Short sale closed- put ²⁵ Option written: 02/25/21 |
| 04/23/21 | 1.000 | 119.98 | 04/22/21 | 0.00 | ... | 119.98 | Short sale closed- put ²⁵ Option written: 02/25/21 |
| Security total: | | 213.96 | | 0.00 | ... | 213.96 | |
| MVIS 11/19/2021 PUT \$6.00 / CUSIP: / Symbol: | | | | | | | |
| 04/14/21 | 1.000 | 29.99 | 04/13/21 | 0.00 | ... | 29.99 | Short sale closed- put ²⁵ Option written: 03/26/21 |
| 05/21/21 | 2.000 | 139.99 | 05/20/21 | 0.00 | ... | 139.99 | Short sale closed- put ²⁵ Option written: 03/26/21 |
| Security total: | | 169.98 | | 0.00 | ... | 169.98 | |

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|---|----------|---|-------------------|-------------------------|--|---|--|
| NAT 02/19/2021 CALL \$2.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 01/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 45.99 | 01/20/21 | 42.00 | ... | 3.99 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 45.99 | 01/20/21 | 42.00 | ... | 3.99 | 2 of 2 - Option sale to close-call ²⁵ |
| 01/26/21 | 2.000 | 91.98 | Various | 84.00 | ... | 7.98 | Total of 2 transactions |
| NAT 03/19/2021 PUT \$4.00 / CUSIP: / Symbol: | | | | | | | |
| 03/11/21 | 4.000 | 231.98 | 02/26/21 | 416.00 | ... | -184.02 | Option sale to close-put ²⁵ |
| NAT 04/16/2021 PUT \$3.50 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 63.98 | 02/19/21 | 52.00 | ... | 11.98 | Option sale to close-put ²⁵ |
| NIO 01/15/2021 CALL \$48.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 01/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 752.97 | 11/18/20 | 699.00 | ... | 53.97 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 753.97 | 11/18/20 | 699.00 | ... | 54.97 | 2 of 2 - Option sale to close-call ²⁵ |
| 01/04/21 | 2.000 | 1,506.94 | Various | 1,398.00 | ... | 108.94 | Total of 2 transactions |
| NIO 01/15/2021 CALL \$50.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 01/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | -1.03 | 01/04/21 | 0.00 | ... | -1.03 | 1 of 2 - Short sale closed- call ²⁵ Option written: 11/18/20 |
| | 1.000 | -0.03 | 01/04/21 | 0.00 | ... | -0.03 | 2 of 2 - Short sale closed- call ²⁵ Option written: 11/18/20 |
| 01/05/21 | 2.000 | -1.06 | Various | 0.00 | ... | -1.06 | Total of 2 transactions |
| NIO 02/12/2021 CALL \$61.00 / CUSIP: / Symbol: | | | | | | | |
| 02/12/21 | 1.000 | 0.00 | 02/09/21 | 149.00 | ... | -149.00 | Option expiration- long call |
| NIO 02/12/2021 CALL \$64.00 / CUSIP: / Symbol: | | | | | | | |
| 02/12/21 | 1.000 | 155.98 | 02/12/21 | 0.00 | ... | 155.98 | Short sale closed- call expired Option written: 02/09/21 |
| NIO 02/26/2021 CALL \$55.50 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 219.98 | 02/19/21 | 251.00 | ... | -31.02 | Option sale to close-call ²⁵ |

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(continued)

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|---|--|---|-------------------|-------------------------|--|---|---|
| NIO 03/05/2021 PUT \$36.00 / CUSIP: / Symbol: | | | | | | | |
| 03/05/21 | 1.000 | 47.98 | 03/05/21 | 61.00 | ... | -13.02 | Option sale to close-put ²⁵ |
| NIO 03/05/2021 PUT \$38.00 / CUSIP: / Symbol: | | | | | | | |
| 03/05/21 | 1.000 | 129.98 | 03/05/21 | 350.00 | ... | -220.02 | Option sale to close-put ²⁵ |
| NIO 07/02/2021 CALL \$48.00 / CUSIP: / Symbol: | | | | | | | |
| 07/02/21 | 1.000 | 246.98 | 07/02/21 | 219.00 | ... | 27.98 | Option sale to close-call ²⁵ |
| NIO 07/02/2021 PUT \$50.00 / CUSIP: / Symbol: | | | | | | | |
| 07/02/21 | 1.000 | 5.98 | 07/02/21 | 50.00 | ... | -44.02 | Option sale to close-put ²⁵ |
| NIO 12/31/2021 CALL \$27.00 / CUSIP: / Symbol: | | | | | | | |
| 12/30/21 | 1.000 | 323.98 | 12/29/21 | 123.00 | ... | 200.98 | Option sale to close-call ²⁵ |
| NIO 12/31/2021 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 12/30/21 | 1.000 | 280.98 | 12/30/21 | 69.00 | ... | 211.98 | Option sale to close-call ²⁵ |
| NLY 08/27/2021 CALL \$8.50 / CUSIP: / Symbol: | | | | | | | |
| | <i>2 transactions for 08/27/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 3.000 | 71.98 | 07/13/21 | 114.00 | ... | -42.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 2.000 | 47.98 | 07/13/21 | 76.00 | ... | -28.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 08/27/21 | 5.000 | 119.96 | Various | 190.00 | ... | -70.04 | Total of 2 transactions |
| NNDM 03/19/2021 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 03/19/21 | 1.000 | 7.98 | 02/19/21 | 427.00 | ... | -419.02 | Option sale to close-call ²⁵ |
| NNDM 03/19/2021 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 03/22/21 | 1.000 | 205.98 | 03/19/21 | 0.00 | ... | 205.98 | Short sale closed- call ²⁵ Option written: 02/19/21 |
| NOC 06/11/2021 CALL \$377.50 / CUSIP: / Symbol: | | | | | | | |
| 06/07/21 | 1.000 | 199.98 | 06/04/21 | 450.00 | ... | -250.02 | Option sale to close-call ²⁵ |
| NOK 02/05/2021 CALL \$2.50 / CUSIP: / Symbol: | | | | | | | |
| 02/02/21 | 1.000 | 203.98 | 01/29/21 | 258.00 | ... | -54.02 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|----------|---|-------------------|-------------------------|--|---|--|
| NUGT 05/21/2021 CALL \$80.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 05/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 0.99 | 05/21/21 | 31.00 | ... | -30.01 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 0.99 | 05/21/21 | 24.00 | ... | -23.01 | 2 of 2 - Option sale to close-call ²⁵ |
| 05/21/21 | 2.000 | 1.98 | Various | 55.00 | ... | -53.02 | Total of 2 transactions |
| NYCB 12/17/2021 CALL \$11.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 12/17/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 73.99 | 11/23/21 | 170.00 | ... | -96.01 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 73.99 | 11/23/21 | 165.00 | ... | -91.01 | 2 of 2 - Option sale to close-call ²⁵ |
| 12/17/21 | 2.000 | 147.98 | Various | 335.00 | ... | -187.02 | Total of 2 transactions |
| OHI 08/20/2021 CALL \$35.00 / CUSIP: / Symbol: | | | | | | | |
| 08/20/21 | 1.000 | 0.00 | 08/02/21 | 184.00 | ... | -184.00 | Option expiration- long call |
| ORCL 04/16/2021 CALL \$62.50 / CUSIP: / Symbol: | | | | | | | |
| 02/05/21 | 1.000 | 354.98 | 02/05/21 | 380.00 | ... | -25.02 | Option sale to close-call ²⁵ |
| PAAS 02/19/2021 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 02/16/21 | 1.000 | 349.98 | 01/19/21 | 190.00 | ... | 159.98 | Option sale to close-call ²⁵ |
| PAVE 06/18/2021 CALL \$26.00 / CUSIP: / Symbol: | | | | | | | |
| 06/18/21 | 1.000 | 0.00 | 06/03/21 | 70.00 | ... | -70.00 | Option expiration- long call |
| PAVE 07/16/2021 CALL \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 07/16/21 | 1.000 | 19.98 | 07/15/21 | 70.00 | ... | -50.02 | Option sale to close-call ²⁵ |
| PAVE 08/20/2021 CALL \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 08/13/21 | 1.000 | 253.98 | 07/16/21 | 90.00 | ... | 163.98 | Option sale to close-call ²⁵ |
| PCG 01/15/2021 CALL \$12.00 / CUSIP: / Symbol: | | | | | | | |
| 01/12/21 | 1.000 | 25.98 | 12/28/20 | 71.00 | ... | -45.02 | Option sale to close-call ²⁵ |
| PCG 07/30/2021 CALL \$9.00 / CUSIP: / Symbol: | | | | | | | |
| 07/30/21 | 1.000 | 0.00 | 07/28/21 | 23.00 | ... | -23.00 | Option expiration- long call |
| PCG 08/13/2021 CALL \$9.00 / CUSIP: / Symbol: | | | | | | | |
| 08/13/21 | 1.000 | 17.98 | 08/10/21 | 22.00 | ... | -4.02 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|----------|---|-------------------|-------------------------|--|---|---|
| PCG 08/13/2021 PUT \$9.50 / CUSIP: / Symbol: | | | | | | | |
| 08/13/21 | 1.000 | 29.98 | 08/10/21 | 45.00 | ... | -15.02 | Option sale to close-put ²⁵ |
| PEAK 10/15/2021 CALL \$36.00 / CUSIP: / Symbol: | | | | | | | |
| 09/08/21 | 1.000 | 119.98 | 08/30/21 | 85.00 | ... | 34.98 | Option sale to close-call ²⁵ |
| PFE 01/20/2023 CALL \$33.00 / CUSIP: / Symbol: | | | | | | | |
| 05/14/21 | 1.000 | 779.98 | 02/16/21 | 505.00 | ... | 274.98 | Option sale to close-call ²⁵ |
| PFE 02/05/2021 CALL \$36.00 / CUSIP: / Symbol: | | | | | | | |
| 02/02/21 | 1.000 | 12.98 | 02/01/21 | 64.00 | ... | -51.02 | Option sale to close-call ²⁵ |
| PFE 02/05/2021 PUT \$36.00 / CUSIP: / Symbol: | | | | | | | |
| 02/02/21 | 1.000 | 141.98 | 02/01/21 | 80.00 | ... | 61.98 | Option sale to close-put ²⁵ |
| PFE 09/24/2021 CALL \$44.00 / CUSIP: / Symbol: | | | | | | | |
| 09/21/21 | 1.000 | 57.98 | 09/17/21 | 64.00 | ... | -6.02 | Option sale to close-call ²⁵ |
| PINS 01/08/2021 CALL \$66.50 / CUSIP: / Symbol: | | | | | | | |
| 01/07/21 | 1.000 | 465.97 | 11/25/20 | 595.00 | ... | -129.03 | Option sale to close-call ²⁵ |
| PINS 01/08/2021 CALL \$68.50 / CUSIP: / Symbol: | | | | | | | |
| 01/08/21 | 1.000 | 225.97 | 01/07/21 | 0.00 | ... | 225.97 | Short sale closed- call ²⁵ Option written: 11/25/20 |
| PINS 01/08/2021 PUT \$67.50 / CUSIP: / Symbol: | | | | | | | |
| 01/08/21 | 1.000 | 0.98 | 01/04/21 | 197.00 | ... | -196.02 | Option sale to close-put ²⁵ |
| PLAY 02/05/2021 CALL \$37.00 / CUSIP: / Symbol: | | | | | | | |
| 02/05/21 | 1.000 | 14.98 | 02/05/21 | 34.00 | ... | -19.02 | Option sale to close-call ²⁵ |
| PLTR 02/19/2021 CALL \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 208.98 | 02/18/21 | 77.00 | ... | 131.98 | Option sale to close-call ²⁵ |
| PLTR 02/19/2021 CALL \$28.00 / CUSIP: / Symbol: | | | | | | | |
| 02/16/21 | 1.000 | 141.98 | 02/16/21 | 193.00 | ... | -51.02 | Option sale to close-call ²⁵ |
| PLTR 02/19/2021 PUT \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 4.98 | 02/18/21 | 69.00 | ... | -64.02 | Option sale to close-put ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|--|----------|---|-------------------|-------------------------|--|---|---|
| PLTR 09/17/2021 CALL \$26.50 / CUSIP: / Symbol: 09/17/21 | 1.000 | 261.98 | 09/15/21 | 65.00 | ... | 196.98 | Option sale to close-call ²⁵ |
| PLTR 09/17/2021 PUT \$27.50 / CUSIP: / Symbol: 09/17/21 | 1.000 | 0.00 | 09/16/21 | 22.00 | ... | -22.00 | Option expiration- long put |
| PLUG 01/20/2023 CALL \$25.00 / CUSIP: / Symbol: 11/01/21 | 1.000 | 1,892.98 | 06/21/21 | 1,222.00 | ... | 670.98 | Option sale to close-call ²⁵ |
| PLUG 01/20/2023 CALL \$40.00 / CUSIP: / Symbol: 11/02/21 | 1.000 | -340.02 | 11/01/21 | 0.00 | ... | -340.02 | Short sale closed- call ²⁵ Option written: 06/21/21 |
| PLYA 09/17/2021 PUT \$7.50 / CUSIP: / Symbol: 09/17/21 | 1.000 | 4.98 | 07/23/21 | 110.00 | ... | -105.02 | Option sale to close-put ²⁵ |
| PLYA 12/17/2021 PUT \$15.00 / CUSIP: / Symbol: 05/10/21 | 1.000 | 49.98 | 05/07/21 | 0.00 | ... | 49.98 | Short sale closed- put ²⁵ Option written: 03/23/21 |
| PSTG 01/21/2022 CALL \$22.50 / CUSIP: / Symbol: 09/27/21 | 1.000 | 559.98 | 10/16/20 | 315.00 | ... | 244.98 | Option sale to close-call ²⁵ |
| PSTG 01/21/2022 CALL \$25.00 / CUSIP: / Symbol: 09/28/21 | 1.000 | -143.02 | 09/27/21 | 0.00 | ... | -143.02 | Short sale closed- call ²⁵ Option written: 10/16/20 |
| PXD 06/18/2021 CALL \$120.00 / CUSIP: / Symbol: 01/12/21 | 1.000 | 2,545.93 | 12/04/20 | 1,320.00 | ... | 1,225.93 | Option sale to close-call ²⁵ |
| PXD 06/18/2021 CALL \$125.00 / CUSIP: / Symbol: 01/13/21 | 1.000 | -1,110.04 | 01/12/21 | 0.00 | ... | -1,110.04 | Short sale closed- call ²⁵ Option written: 12/04/20 |
| PYPL 01/21/2022 CALL \$300.00 / CUSIP: / Symbol: 07/23/21 | 1.000 | 3,160.97 | 02/26/21 | 2,830.00 | ... | 330.97 | Option sale to close-call ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| PYPL 01/21/2022 CALL \$310.00 / CUSIP: / Symbol: | | | | | | | |
| 07/26/21 | 1.000 | -101.03 | 07/23/21 | 0.00 | ... | -101.03 | Short sale closed- call ²⁵ Option written: 02/26/21 |
| PYPL 10/29/2021 PUT \$235.00 / CUSIP: / Symbol: | | | | | | | |
| 10/25/21 | 1.000 | 59.98 | 10/21/21 | 247.00 | ... | -187.02 | Option sale to close-put ²⁵ |
| PYPL 10/29/2021 PUT \$237.50 / CUSIP: / Symbol: | | | | | | | |
| 10/26/21 | 1.000 | 234.98 | 10/25/21 | 0.00 | ... | 234.98 | Short sale closed- put ²⁵ Option written: 10/21/21 |
| PYPL 11/05/2021 PUT \$237.50 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 10/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 529.99 | 10/25/21 | 205.00 | ... | 324.99 | 1 of 4 - Option sale to close-put ²⁵ |
| | 1.000 | 529.99 | 10/25/21 | 201.00 | ... | 328.99 | 2 of 4 - Option sale to close-put ²⁵ |
| | 1.000 | 597.99 | 10/25/21 | 230.00 | ... | 367.99 | 3 of 4 - Option sale to close-put ²⁵ |
| | 1.000 | 597.99 | 10/25/21 | 203.00 | ... | 394.99 | 4 of 4 - Option sale to close-put ²⁵ |
| 10/27/21 | 4.000 | 2,255.96 | Various | 839.00 | ... | 1,416.96 | Total of 4 transactions |
| 10/28/21 | 1.000 | 699.98 | 10/25/21 | 227.00 | ... | 472.98 | Option sale to close-put ²⁵ |
| | Security total: | 2,955.94 | | 1,066.00 | ... | 1,889.94 | |
| PYPL 11/05/2021 PUT \$240.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 10/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | -875.04 | 10/27/21 | 0.00 | ... | -875.04 | 1 of 2 - Short sale closed- put ²⁵ Option written: 10/25/21 |
| | 1.000 | -412.02 | 10/27/21 | 0.00 | ... | -412.02 | 2 of 2 - Short sale closed- put ²⁵ Option written: 10/25/21 |
| 10/28/21 | 2.000 | -1,287.06 | Various | 0.00 | ... | -1,287.06 | Total of 2 transactions |
| 2 transactions for 10/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | -417.02 | 10/27/21 | 0.00 | 417.02 W | 0.00 | 1 of 2 - Short sale closed- put ²⁵ Option written: 10/25/21 |
| | 1.000 | -491.02 | 10/27/21 | 0.00 | 491.02 W | 0.00 | 2 of 2 - Short sale closed- put ²⁵ Option written: 10/25/21 |
| 10/28/21 | 2.000 | -908.04 | Various | 0.00 | 908.04 W | 0.00 | Total of 2 transactions |
| 10/29/21 | 1.000 | -583.02 | 10/28/21 | 0.00 | 583.02 W | 0.00 | Short sale closed- put ²⁵ Option written: 10/25/21 |

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Account 156901654

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2021 1099-B* OMB No. 1545-0715

(continued)

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|--|----------|---|-------------------|-------------------------|--|---|--|
| PYPL 11/05/2021 PUT \$240.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| Security total: | | -2,778.12 | | 0.00 | 1,491.06 W | -1,287.06 | |
| QCOM 02/05/2021 CALL \$146.00 / CUSIP: / Symbol: | | | | | | | |
| 02/05/21 | 1.000 | 20.98 | 02/05/21 | 58.00 | ... | -37.02 | Option sale to close-call ²⁵ |
| QFIN 03/19/2021 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 03/15/21 | 1.000 | 189.98 | 03/15/21 | 190.00 | ... | -0.02 | Option sale to close-call ²⁵ |
| QQQ 06/09/2021 CALL \$336.00 / CUSIP: / Symbol: | | | | | | | |
| 06/08/21 | 1.000 | 264.98 | 06/07/21 | 134.00 | ... | 130.98 | Option sale to close-call ²⁵ |
| QQQ 07/19/2021 CALL \$354.00 / CUSIP: / Symbol: | | | | | | | |
| 07/19/21 | 1.000 | 19.98 | 07/19/21 | 101.00 | ... | -81.02 | Option sale to close-call ²⁵ |
| QQQ 07/19/2021 PUT \$352.00 / CUSIP: / Symbol: | | | | | | | |
| 07/20/21 | 1.000 | 32.98 | 07/19/21 | 0.00 | ... | 32.98 | Short sale closed- put ²⁵ Option written: 07/19/21 |
| QQQ 07/19/2021 PUT \$354.00 / CUSIP: / Symbol: | | | | | | | |
| 07/19/21 | 1.000 | 135.98 | 07/19/21 | 165.00 | ... | -29.02 | Option sale to close-put ²⁵ |
| QQQ 07/21/2021 CALL \$360.00 / CUSIP: / Symbol: | | | | | | | |
| 07/21/21 | 1.000 | 75.98 | 07/20/21 | 128.00 | ... | -52.02 | Option sale to close-call ²⁵ |
| QQQ 07/21/2021 PUT \$360.00 / CUSIP: / Symbol: | | | | | | | |
| 07/21/21 | 1.000 | 172.98 | 07/20/21 | 128.00 | ... | 44.98 | Option sale to close-put ²⁵ |
| QQQ 08/06/2021 CALL \$367.00 / CUSIP: / Symbol: | | | | | | | |
| 08/06/21 | 1.000 | 70.98 | 08/06/21 | 86.00 | ... | -15.02 | Option sale to close-call ²⁵ |
| QQQ 08/06/2021 PUT \$369.00 / CUSIP: / Symbol: | | | | | | | |
| 08/06/21 | 1.000 | 136.98 | 08/06/21 | 92.00 | ... | 44.98 | Option sale to close-put ²⁵ |
| QQQ 08/27/2021 PUT \$372.00 / CUSIP: / Symbol: | | | | | | | |
| 08/26/21 | 1.000 | 92.98 | 08/26/21 | 149.00 | ... | -56.02 | Option sale to close-put ²⁵ |
| QQQ 09/13/2021 CALL \$374.00 / CUSIP: / Symbol: | | | | | | | |
| 09/13/21 | 1.000 | 223.98 | 09/13/21 | 124.00 | ... | 99.98 | Option sale to close-call ²⁵ |

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(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| QQQ 09/13/2021 PUT \$374.00 / CUSIP: / Symbol: | | | | | | | |
| 09/13/21 | 1.000 | 35.98 | 09/13/21 | 62.00 | ... | -26.02 | Option sale to close-put ²⁵ |
| QQQ 09/15/2021 CALL \$375.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 09/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 179.98 | 09/14/21 | 194.00 | ... | -14.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 212.98 | 09/14/21 | 196.00 | ... | 16.98 | 2 of 2 - Option sale to close-call ²⁵ |
| 09/14/21 | 2.000 | 392.96 | Various | 390.00 | ... | 2.96 | Total of 2 transactions |
| QQQ 10/22/2021 CALL \$374.00 / CUSIP: / Symbol: | | | | | | | |
| 10/22/21 | 1.000 | 56.98 | 10/22/21 | 63.00 | ... | -6.02 | Option sale to close-call ²⁵ |
| QQQ 10/22/2021 PUT \$374.00 / CUSIP: / Symbol: | | | | | | | |
| 10/22/21 | 1.000 | 36.98 | 10/22/21 | 59.00 | ... | -22.02 | Option sale to close-put ²⁵ |
| QQQ 11/10/2021 CALL \$392.00 / CUSIP: / Symbol: | | | | | | | |
| 11/10/21 | 1.000 | 5.98 | 11/10/21 | 100.02 | ... | -94.04 | Option sale to close-call ²⁵ |
| 11/10/21 | 1.000 | 7.98 | 11/10/21 | 54.00 | 46.02 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 13.96 | | 154.02 | 46.02 W | -94.04 | |
| QQQ 11/10/2021 PUT \$390.00 / CUSIP: / Symbol: | | | | | | | |
| 11/10/21 | 1.000 | 145.98 | 11/10/21 | 41.00 | ... | 104.98 | Option sale to close-put ²⁵ |
| QQQ 11/17/2021 CALL \$394.00 / CUSIP: / Symbol: | | | | | | | |
| 11/15/21 | 1.000 | 159.98 | 11/15/21 | 190.00 | ... | -30.02 | Option sale to close-call ²⁵ |
| QQQ 12/29/2021 CALL \$400.00 / CUSIP: / Symbol: | | | | | | | |
| 12/29/21 | 1.000 | 159.98 | 12/29/21 | 91.00 | ... | 68.98 | Option sale to close-call ²⁵ |
| QQQ 12/29/2021 PUT \$399.00 / CUSIP: / Symbol: | | | | | | | |
| 12/29/21 | 1.000 | 1.98 | 12/29/21 | 91.00 | ... | -89.02 | Option sale to close-put ²⁵ |
| RCL 02/12/2021 CALL \$67.00 / CUSIP: / Symbol: | | | | | | | |
| 02/10/21 | 1.000 | 229.98 | 02/10/21 | 300.00 | 70.02 W | 0.00 | Option sale to close-call ²⁵ |
| 02/11/21 | 1.000 | 219.98 | 02/10/21 | 350.02 | ... | -130.04 | Option sale to close-call ²⁵ |
| | Security total: | 449.96 | | 650.02 | 70.02 W | -130.04 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| RCM 01/15/2021 PUT \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 01/15/21 | 1.000 | 0.00 | 12/23/20 | 185.00 | ... | -185.00 | Option expiration- long put |
| RCM 08/20/2021 CALL \$35.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 08/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 0.00 | 01/14/21 | 85.00 | ... | -85.00 | 1 of 2 - Option expiration- long call |
| | 1.000 | 0.00 | 01/14/21 | 85.00 | ... | -85.00 | 2 of 2 - Option expiration- long call |
| 08/20/21 | 2.000 | 0.00 | Various | 170.00 | ... | -170.00 | Total of 2 transactions |
| RTX 02/26/2021 CALL \$75.50 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 0.00 | 02/24/21 | 68.00 | ... | -68.00 | Option expiration- long call |
| RTX 02/26/2021 PUT \$76.00 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 339.98 | 02/24/21 | 76.00 | ... | 263.98 | Option sale to close-put ²⁵ |
| RTX 03/05/2021 CALL \$74.50 / CUSIP: / Symbol: | | | | | | | |
| 03/05/21 | 1.000 | 48.98 | 03/03/21 | 144.00 | ... | -95.02 | Option sale to close-call ²⁵ |
| SBLK 01/21/2022 CALL \$22.00 / CUSIP: / Symbol: | | | | | | | |
| 09/27/21 | 1.000 | 344.98 | 05/25/21 | 312.00 | ... | 32.98 | Option sale to close-call ²⁵ |
| SBLK 01/21/2022 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 09/28/21 | 1.000 | 16.98 | 09/27/21 | 0.00 | ... | 16.98 | Short sale closed- call ²⁵ Option written: 05/25/21 |
| SBLK 08/20/2021 CALL \$19.00 / CUSIP: / Symbol: | | | | | | | |
| 08/20/21 | 1.000 | 124.98 | 07/14/21 | 175.00 | ... | -50.02 | Option sale to close-call ²⁵ |
| SBUX 01/29/2021 CALL \$105.00 / CUSIP: / Symbol: | | | | | | | |
| 01/29/21 | 1.000 | 0.00 | 01/26/21 | 210.00 | ... | -210.00 | Option expiration- long call |
| SIEN 07/16/2021 CALL \$7.50 / CUSIP: / Symbol: | | | | | | | |
| 07/16/21 | 1.000 | 0.00 | 07/09/21 | 130.00 | ... | -130.00 | Option expiration- long call |
| SLV 01/15/2021 CALL \$24.00 / CUSIP: / Symbol: | | | | | | | |
| 01/14/21 | 1.000 | 14.98 | 12/21/20 | 132.00 | ... | -117.02 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| SLV 02/19/2021 CALL \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 02/16/21 | 1.000 | 49.98 | 02/16/21 | 62.00 | ... | -12.02 | Option sale to close-call ²⁵ |
| SMPL 03/19/2021 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 03/10/21 | 1.000 | 359.98 | 03/10/21 | 320.00 | ... | 39.98 | Option sale to close-call ²⁵ |
| SNAP 02/05/2021 CALL \$62.00 / CUSIP: / Symbol: | | | | | | | |
| 02/05/21 | 1.000 | 160.98 | 02/05/21 | 66.00 | ... | 94.98 | Option sale to close-call ²⁵ |
| SNDL 01/21/2022 PUT \$1.50 / CUSIP: / Symbol: | | | | | | | |
| 05/27/21 | 2.000 | -16.02 | 05/26/21 | 0.00 | ... | -16.02 | Short sale closed- put ²⁵ Option written: 02/24/21 |
| SNDL 02/05/2021 CALL \$0.50 / CUSIP: / Symbol: | | | | | | | |
| 02/02/21 | 1.000 | 49.98 | 02/01/21 | 60.00 | ... | -10.02 | Option sale to close-call ²⁵ |
| SNDL 02/19/2021 CALL \$0.50 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 89.98 | 02/12/21 | 160.00 | ... | -70.02 | Option sale to close-call ²⁵ |
| SOFI 01/20/2023 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 10/27/21 | 1.000 | 779.98 | 06/25/21 | 990.00 | ... | -210.02 | Option sale to close-call ²⁵ |
| SOFI 01/20/2023 CALL \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 10/28/21 | 1.000 | 269.98 | 10/27/21 | 0.00 | ... | 269.98 | Short sale closed- call ²⁵ Option written: 06/25/21 |
| SOFI 06/25/2021 PUT \$18.50 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 06/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 5.99 | 06/25/21 | 22.01 | ... | -16.02 | 1 of 6 - Option sale to close-put ²⁵ |
| | 2.000 | 5.99 | 06/25/21 | 20.01 | ... | -14.02 | 2 of 6 - Option sale to close-put ²⁵ |
| | 1.000 | 3.00 | 06/25/21 | 12.01 | ... | -9.01 | 3 of 6 - Option sale to close-put ²⁵ |
| | 1.000 | 3.00 | 06/25/21 | 12.00 | ... | -9.00 | 4 of 6 - Option sale to close-put ²⁵ |
| | 1.000 | 3.00 | 06/25/21 | 11.00 | ... | -8.00 | 5 of 6 - Option sale to close-put ²⁵ |
| | 1.000 | 2.99 | 06/25/21 | 10.00 | ... | -7.01 | 6 of 6 - Option sale to close-put ²⁵ |
| 06/25/21 | 8.000 | 23.97 | Various | 87.03 | ... | -63.06 | Total of 6 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| SOFI 06/25/2021 PUT \$18.50 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| 4 transactions for 06/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 3.00 | 06/25/21 | 6.00 | 3.00 W | 0.00 | 1 of 4 - Option sale to close-put ²⁵ |
| | 1.000 | 3.00 | 06/25/21 | 8.00 | 5.00 W | 0.00 | 2 of 4 - Option sale to close-put ²⁵ |
| | 2.000 | 5.99 | 06/25/21 | 16.00 | 10.01 W | 0.00 | 3 of 4 - Option sale to close-put ²⁵ |
| | 4.000 | 11.98 | 06/25/21 | 28.00 | 16.02 W | 0.00 | 4 of 4 - Option sale to close-put ²⁵ |
| 06/25/21 | 8.000 | 23.97 | Various | 58.00 | 34.03 W | 0.00 | Total of 4 transactions |
| | Security total: | 47.94 | | 145.03 | 34.03 W | -63.06 | |
| SOFI 06/25/2021 PUT \$19.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 06/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | -8.03 | 06/25/21 | 0.00 | ... | -8.03 | 1 of 6 - Short sale closed- put ²⁵ |
| | 2.000 | -8.03 | 06/25/21 | 0.00 | ... | -8.03 | Option written: 06/25/21 2 of 6 - Short sale closed- put ²⁵ |
| | 1.000 | -7.03 | 06/25/21 | 0.00 | ... | -7.03 | Option written: 06/25/21 3 of 6 - Short sale closed- put ²⁵ |
| | 2.000 | -4.03 | 06/25/21 | 0.00 | ... | -4.03 | Option written: 06/25/21 4 of 6 - Short sale closed- put ²⁵ |
| | 1.000 | -3.01 | 06/25/21 | 0.00 | ... | -3.01 | Option written: 06/25/21 5 of 6 - Short sale closed- put ²⁵ |
| | 1.000 | -0.03 | 06/25/21 | 0.00 | ... | -0.03 | Option written: 06/25/21 6 of 6 - Short sale closed- put ²⁵ |
| 06/28/21 | 8.000 | -30.16 | Various | 0.00 | ... | -30.16 | Option written: 06/25/21 Total of 6 transactions |
| 4 transactions for 06/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | -5.02 | 06/25/21 | 0.00 | 5.02 W | 0.00 | 1 of 4 - Short sale closed- put ²⁵ |
| | 1.000 | -3.01 | 06/25/21 | 0.00 | 3.01 W | 0.00 | Option written: 06/25/21 2 of 4 - Short sale closed- put ²⁵ |
| | 2.000 | -6.02 | 06/25/21 | 0.00 | 6.02 W | 0.00 | Option written: 06/25/21 3 of 4 - Short sale closed- put ²⁵ |
| | 4.000 | -16.02 | 06/25/21 | 0.00 | 16.02 W | 0.00 | Option written: 06/25/21 4 of 4 - Short sale closed- put ²⁵ |
| 06/28/21 | 8.000 | -30.07 | Various | 0.00 | 30.07 W | 0.00 | Option written: 06/25/21 Total of 4 transactions |
| | Security total: | -60.23 | | 0.00 | 30.07 W | -30.16 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| SOFI 07/02/2021 PUT \$18.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 68.98 | 06/25/21 | 65.00 | ... | 3.98 | 1 of 2 - Option sale to close-put ²⁵ |
| | 4.000 | 283.98 | 06/25/21 | 260.00 | ... | 23.98 | 2 of 2 - Option sale to close-put ²⁵ |
| 06/28/21 | 5.000 | 352.96 | Various | 325.00 | ... | 27.96 | Total of 2 transactions |
| SOFI 07/02/2021 PUT \$19.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | -100.02 | 06/28/21 | 0.00 | ... | -100.02 | 1 of 2 - Short sale closed- put ²⁵ Option written: 06/25/21 |
| | 1.000 | -23.00 | 06/28/21 | 0.00 | ... | -23.00 | 2 of 2 - Short sale closed- put ²⁵ Option written: 06/25/21 |
| 06/29/21 | 5.000 | -123.02 | Various | 0.00 | ... | -123.02 | Total of 2 transactions |
| SOLO 02/19/2021 CALL \$5.00 / CUSIP: / Symbol: | | | | | | | |
| 02/17/21 | 1.000 | 273.98 | 01/20/21 | 260.00 | ... | 13.98 | Option sale to close-call ²⁵ |
| SPXS 03/19/2021 PUT \$33.00 / CUSIP: / Symbol: | | | | | | | |
| 03/19/21 | 1.000 | 30.98 | 03/19/21 | 35.02 | ... | -4.04 | Option sale to close-put ²⁵ |
| 03/19/21 | 1.000 | 30.98 | 03/19/21 | 41.00 | 10.02 W | 0.00 | Option sale to close-put ²⁵ |
| | Security total: | 61.96 | | 76.02 | 10.02 W | -4.04 | |
| SPXS 06/18/2021 CALL \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 05/25/21 | 2.000 | 319.98 | 05/25/21 | 314.00 | ... | 5.98 | Option sale to close-call ²⁵ |
| SPY 01/08/2021 CALL \$373.00 / CUSIP: / Symbol: | | | | | | | |
| 01/07/21 | 1.000 | 602.97 | 01/06/21 | 334.00 | ... | 268.97 | Option sale to close-call ²⁵ |
| SPY 01/08/2021 PUT \$373.00 / CUSIP: / Symbol: | | | | | | | |
| 01/07/21 | 1.000 | 28.98 | 01/06/21 | 255.00 | ... | -226.02 | Option sale to close-put ²⁵ |
| SPY 01/27/2021 CALL \$377.00 / CUSIP: / Symbol: | | | | | | | |
| 01/27/21 | 1.000 | 35.98 | 01/27/21 | 198.00 | ... | -162.02 | Option sale to close-call ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| SPY 02/03/2021 CALL \$382.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 02/03/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 90.99 | 02/03/21 | 137.00 | ... | -46.01 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 90.99 | 02/03/21 | 125.00 | ... | -34.01 | 2 of 2 - Option sale to close-call ²⁵ |
| 02/03/21 | 2.000 | 181.98 | Various | 262.00 | ... | -80.02 | Total of 2 transactions |
| SPY 02/03/2021 PUT \$382.00 / CUSIP: / Symbol: | | | | | | | |
| 02/03/21 | 1.000 | 57.98 | 02/03/21 | 141.02 | ... | -83.04 | Option sale to close-put ²⁵ |
| 02/03/21 | 1.000 | 57.98 | 02/03/21 | 108.00 | 50.02 W | 0.00 | Option sale to close-put ²⁵ |
| | Security total: | 115.96 | | 249.02 | 50.02 W | -83.04 | |
| SPY 02/12/2021 CALL \$389.00 / CUSIP: / Symbol: | | | | | | | |
| 02/12/21 | 1.000 | 196.98 | 02/11/21 | 187.00 | ... | 9.98 | Option sale to close-call ²⁵ |
| SPY 02/12/2021 PUT \$390.00 / CUSIP: / Symbol: | | | | | | | |
| 02/12/21 | 1.000 | 62.98 | 02/11/21 | 181.00 | ... | -118.02 | Option sale to close-put ²⁵ |
| SPY 02/24/2021 CALL \$385.00 / CUSIP: / Symbol: | | | | | | | |
| 02/23/21 | 1.000 | 156.98 | 02/23/21 | 207.00 | ... | -50.02 | Option sale to close-call ²⁵ |
| SPY 02/24/2021 CALL \$386.00 / CUSIP: / Symbol: | | | | | | | |
| 02/23/21 | 1.000 | 115.98 | 02/23/21 | 155.00 | ... | -39.02 | Option sale to close-call ²⁵ |
| SPY 02/26/2021 CALL \$383.00 / CUSIP: / Symbol: | | | | | | | |
| 02/23/21 | 1.000 | 351.98 | 02/23/21 | 318.00 | ... | 33.98 | Option sale to close-call ²⁵ |
| SPY 03/19/2021 CALL \$390.00 / CUSIP: / Symbol: | | | | | | | |
| 03/19/21 | 1.000 | 129.98 | 03/19/21 | 138.00 | ... | -8.02 | Option sale to close-call ²⁵ |
| SPY 05/05/2021 CALL \$414.00 / CUSIP: / Symbol: | | | | | | | |
| 05/05/21 | 1.000 | 318.98 | 05/04/21 | 177.00 | ... | 141.98 | Option sale to close-call ²⁵ |
| SPY 05/14/2021 CALL \$421.00 / CUSIP: / Symbol: | | | | | | | |
| 05/14/21 | 1.000 | 0.00 | 05/10/21 | 184.00 | ... | -184.00 | Option expiration- long call |
| SPY 05/26/2021 CALL \$420.00 / CUSIP: / Symbol: | | | | | | | |
| 05/25/21 | 1.000 | 90.98 | 05/25/21 | 120.00 | ... | -29.02 | Option sale to close-call ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|----------|---|-------------------|-------------------------|--|---|---|
| SPY 06/02/2021 PUT \$418.00 / CUSIP: / Symbol: | | | | | | | |
| 06/02/21 | 2.000 | 5.98 | 06/02/21 | 20.00 | ... | -14.02 | Option sale to close-put ²⁵ |
| SPY 06/02/2021 PUT \$419.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/03/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 23.99 | 06/02/21 | 0.00 | ... | 23.99 | 1 of 2 - Short sale closed- put ²⁵ Option written: 06/02/21 |
| | 2.000 | 23.99 | 06/02/21 | 0.00 | ... | 23.99 | 2 of 2 - Short sale closed- put ²⁵ Option written: 06/02/21 |
| 06/03/21 | 4.000 | 47.98 | Various | 0.00 | ... | 47.98 | Total of 2 transactions |
| SPY 06/02/2021 PUT \$420.00 / CUSIP: / Symbol: | | | | | | | |
| 06/02/21 | 2.000 | 85.98 | 06/02/21 | 126.00 | ... | -40.02 | Option sale to close-put ²⁵ |
| SPY 06/09/2021 CALL \$422.00 / CUSIP: / Symbol: | | | | | | | |
| 06/08/21 | 1.000 | 153.98 | 06/07/21 | 132.00 | ... | 21.98 | Option sale to close-call ²⁵ |
| SPY 06/11/2021 CALL \$424.00 / CUSIP: / Symbol: | | | | | | | |
| 06/11/21 | 1.000 | 11.98 | 06/11/21 | 34.00 | ... | -22.02 | Option sale to close-call ²⁵ |
| SPY 06/16/2021 CALL \$421.00 / CUSIP: / Symbol: | | | | | | | |
| 06/16/21 | 1.000 | 182.98 | 06/16/21 | 153.00 | ... | 29.98 | Option sale to close-call ²⁵ |
| SPY 06/18/2021 CALL \$416.00 / CUSIP: / Symbol: | | | | | | | |
| 06/18/21 | 1.000 | 46.98 | 06/18/21 | 143.00 | ... | -96.02 | Option sale to close-call ²⁵ |
| SPY 06/21/2021 CALL \$416.00 / CUSIP: / Symbol: | | | | | | | |
| 06/21/21 | 1.000 | 468.98 | 06/18/21 | 186.00 | ... | 282.98 | Option sale to close-call ²⁵ |
| SPY 06/21/2021 PUT \$419.00 / CUSIP: / Symbol: | | | | | | | |
| 06/21/21 | 1.000 | 4.98 | 06/21/21 | 104.00 | ... | -99.02 | Option sale to close-put ²⁵ |
| SPY 06/25/2021 PUT \$426.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 49.98 | 06/25/21 | 76.00 | ... | -26.02 | 1 of 2 - Option sale to close-put ²⁵ |
| | 1.000 | 49.98 | 06/25/21 | 76.00 | ... | -26.02 | 2 of 2 - Option sale to close-put ²⁵ |
| 06/25/21 | 2.000 | 99.96 | Various | 152.00 | ... | -52.04 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| SPY 07/02/2021 PUT \$433.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 07/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 29.99 | 07/02/21 | 50.00 | ... | -20.01 | 1 of 2 - Option sale to close-put ²⁵ |
| | 1.000 | 14.99 | 07/02/21 | 25.00 | ... | -10.01 | 2 of 2 - Option sale to close-put ²⁵ |
| 07/02/21 | 3.000 | 44.98 | Various | 75.00 | ... | -30.02 | Total of 2 transactions |
| SPY 07/09/2021 CALL \$431.00 / CUSIP: / Symbol: | | | | | | | |
| 07/08/21 | 1.000 | 128.98 | 07/08/21 | 159.00 | ... | -30.02 | Option sale to close-call ²⁵ |
| SPY 07/16/2021 CALL \$433.00 / CUSIP: / Symbol: | | | | | | | |
| 07/16/21 | 1.000 | 149.98 | 07/16/21 | 134.00 | ... | 15.98 | Option sale to close-call ²⁵ |
| SPY 07/16/2021 CALL \$434.00 / CUSIP: / Symbol: | | | | | | | |
| 07/16/21 | 1.000 | 15.98 | 07/16/21 | 69.00 | ... | -53.02 | Option sale to close-call ²⁵ |
| SPY 07/19/2021 CALL \$426.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 07/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 1.99 | 07/19/21 | 229.02 | ... | -227.03 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 1.99 | 07/19/21 | 155.00 | ... | -153.01 | 2 of 2 - Option sale to close-call ²⁵ |
| 07/19/21 | 2.000 | 3.98 | Various | 384.02 | ... | -380.04 | Total of 2 transactions |
| 07/19/21 | 1.000 | 84.98 | 07/19/21 | 152.00 | 67.02 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 88.96 | | 536.02 | 67.02 W | -380.04 | |
| SPY 07/19/2021 PUT \$426.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 07/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 285.99 | 07/19/21 | 156.00 | ... | 129.99 | 1 of 3 - Option sale to close-put ²⁵ |
| | 1.000 | 285.99 | 07/19/21 | 153.00 | ... | 132.99 | 2 of 3 - Option sale to close-put ²⁵ |
| | 1.000 | 286.00 | 07/19/21 | 151.00 | ... | 135.00 | 3 of 3 - Option sale to close-put ²⁵ |
| 07/19/21 | 3.000 | 857.98 | Various | 460.00 | ... | 397.98 | Total of 3 transactions |
| SPY 07/21/2021 CALL \$425.00 / CUSIP: / Symbol: | | | | | | | |
| 07/20/21 | 1.000 | 736.98 | 07/20/21 | 292.00 | ... | 444.98 | Option sale to close-call ²⁵ |
| SPY 07/21/2021 CALL \$432.00 / CUSIP: / Symbol: | | | | | | | |
| 07/21/21 | 1.000 | 199.98 | 07/20/21 | 137.00 | ... | 62.98 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| SPY 07/21/2021 PUT \$427.00 / CUSIP: / Symbol: | | | | | | | |
| 07/20/21 | 1.000 | 26.98 | 07/20/21 | 183.00 | ... | -156.02 | Option sale to close-put ²⁵ |
| SPY 07/21/2021 PUT \$432.00 / CUSIP: / Symbol: | | | | | | | |
| 07/21/21 | 1.000 | 43.98 | 07/20/21 | 137.00 | ... | -93.02 | Option sale to close-put ²⁵ |
| SPY 07/23/2021 CALL \$433.00 / CUSIP: / Symbol: | | | | | | | |
| 07/22/21 | 1.000 | 275.98 | 07/22/21 | 187.00 | ... | 88.98 | Option sale to close-call ²⁵ |
| SPY 07/23/2021 PUT \$436.00 / CUSIP: / Symbol: | | | | | | | |
| 07/22/21 | 1.000 | 130.98 | 07/22/21 | 163.00 | ... | -32.02 | Option sale to close-put ²⁵ |
| SPY 07/26/2021 CALL \$439.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 07/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 147.98 | 07/26/21 | 106.00 | ... | 41.98 | 1 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 161.99 | 07/26/21 | 110.00 | ... | 51.99 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 161.99 | 07/26/21 | 106.00 | ... | 55.99 | 3 of 3 - Option sale to close-call ²⁵ |
| 07/26/21 | 3.000 | 471.96 | Various | 322.00 | ... | 149.96 | Total of 3 transactions |
| SPY 07/26/2021 PUT \$440.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 07/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 14.99 | 07/26/21 | 137.02 | ... | -122.03 | 1 of 2 - Option sale to close-put ²⁵ |
| | 1.000 | 14.99 | 07/26/21 | 83.00 | ... | -68.01 | 2 of 2 - Option sale to close-put ²⁵ |
| 07/26/21 | 2.000 | 29.98 | Various | 220.02 | ... | -190.04 | Total of 2 transactions |
| 07/26/21 | 1.000 | 22.98 | 07/26/21 | 83.00 | 60.02 W | 0.00 | Option sale to close-put ²⁵ |
| | Security total: | 52.96 | | 303.02 | 60.02 W | -190.04 | |
| SPY 07/28/2021 CALL \$438.00 / CUSIP: / Symbol: | | | | | | | |
| 07/28/21 | 1.000 | 186.98 | 07/27/21 | 212.00 | ... | -25.02 | Option sale to close-call ²⁵ |
| SPY 07/28/2021 CALL \$439.00 / CUSIP: / Symbol: | | | | | | | |
| 07/28/21 | 2.000 | 199.98 | 07/27/21 | 340.00 | ... | -140.02 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| SPY 07/28/2021 PUT \$438.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 07/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 84.98 | 07/28/21 | 80.00 | ... | 4.98 | 1 of 2 - Option sale to close-put ²⁵ |
| | 1.000 | 85.98 | 07/28/21 | 80.00 | ... | 5.98 | 2 of 2 - Option sale to close-put ²⁵ |
| 07/28/21 | 2.000 | 170.96 | Various | 160.00 | ... | 10.96 | Total of 2 transactions |
| SPY 08/06/2021 CALL \$442.00 / CUSIP: / Symbol: | | | | | | | |
| 08/06/21 | 1.000 | 91.98 | 08/06/21 | 58.00 | ... | 33.98 | Option sale to close-call ²⁵ |
| SPY 08/18/2021 CALL \$441.00 / CUSIP: / Symbol: | | | | | | | |
| 08/18/21 | 1.000 | 167.98 | 08/17/21 | 189.00 | ... | -21.02 | Option sale to close-call ²⁵ |
| SPY 09/13/2021 CALL \$445.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 09/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 41.98 | 09/13/21 | 109.00 | ... | -67.02 | 1 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 149.98 | 09/13/21 | 106.00 | ... | 43.98 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 151.98 | 09/13/21 | 107.00 | ... | 44.98 | 3 of 3 - Option sale to close-call ²⁵ |
| 09/13/21 | 3.000 | 343.94 | Various | 322.00 | ... | 21.94 | Total of 3 transactions |
| SPY 09/15/2021 CALL \$445.00 / CUSIP: / Symbol: | | | | | | | |
| 09/14/21 | 1.000 | 179.98 | 09/14/21 | 241.01 | ... | -61.03 | Option sale to close-call ²⁵ |
| 3 transactions for 09/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 186.98 | 09/14/21 | 236.01 | 49.03 W | 0.00 | 1 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 209.99 | 09/14/21 | 223.00 | 13.01 W | 0.00 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 209.99 | 09/14/21 | 228.00 | 18.01 W | 0.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 09/14/21 | 3.000 | 606.96 | Various | 687.01 | 80.05 W | 0.00 | Total of 3 transactions |
| 09/15/21 | 1.000 | 81.98 | 09/14/21 | 268.03 | ... | -186.05 | Option sale to close-call ²⁵ |
| | Security total: | 868.92 | | 1,196.05 | 80.05 W | -247.08 | |
| SPY 09/17/2021 CALL \$443.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 09/17/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 44.98 | 09/17/21 | 186.02 | ... | -141.04 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 91.99 | 09/17/21 | 150.01 | ... | -58.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 09/17/21 | 2.000 | 136.97 | Various | 336.03 | ... | -199.06 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| SPY 09/17/2021 CALL \$443.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 09/17/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 91.99 | 09/17/21 | 166.01 | 74.02 W | 0.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 2.000 | 229.98 | 09/17/21 | 292.00 | 62.02 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 09/17/21 | 3.000 | 321.97 | Various | 458.01 | 136.04 W | 0.00 | Total of 2 transactions |
| | Security total: | 458.94 | | 794.04 | 136.04 W | -199.06 | |
| SPY 09/17/2021 CALL \$445.00 / CUSIP: / Symbol: | | | | | | | |
| 09/16/21 | 1.000 | 203.98 | 09/16/21 | 125.04 | ... | 78.94 | Option sale to close-call ²⁵ |
| 2 transactions for 09/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 102.98 | 09/16/21 | 187.00 | 84.02 W | 0.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 204.98 | 09/16/21 | 216.02 | 11.04 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 09/16/21 | 2.000 | 307.96 | Various | 403.02 | 95.06 W | 0.00 | Total of 2 transactions |
| | Security total: | 511.94 | | 528.06 | 95.06 W | 78.94 | |
| SPY 09/29/2021 CALL \$436.00 / CUSIP: / Symbol: | | | | | | | |
| 09/28/21 | 1.000 | 174.98 | 09/28/21 | 231.00 | ... | -56.02 | Option sale to close-call ²⁵ |
| SPY 10/22/2021 CALL \$453.00 / CUSIP: / Symbol: | | | | | | | |
| 10/22/21 | 1.000 | 102.98 | 10/22/21 | 49.00 | ... | 53.98 | Option sale to close-call ²⁵ |
| SPY 10/22/2021 PUT \$453.00 / CUSIP: / Symbol: | | | | | | | |
| 10/22/21 | 1.000 | 18.98 | 10/22/21 | 58.00 | ... | -39.02 | Option sale to close-put ²⁵ |
| SPY 11/17/2021 CALL \$467.00 / CUSIP: / Symbol: | | | | | | | |
| 11/15/21 | 1.000 | 139.98 | 11/15/21 | 179.00 | ... | -39.02 | Option sale to close-call ²⁵ |
| SPY 12/01/2021 CALL \$458.00 / CUSIP: / Symbol: | | | | | | | |
| 12/01/21 | 1.000 | 315.98 | 11/30/21 | 295.00 | ... | 20.98 | Option sale to close-call ²⁵ |
| SPY 12/03/2021 CALL \$452.00 / CUSIP: / Symbol: | | | | | | | |
| 12/03/21 | 1.000 | 88.98 | 12/03/21 | 218.00 | ... | -129.02 | Option sale to close-call ²⁵ |
| SPY 12/29/2021 CALL \$477.00 / CUSIP: / Symbol: | | | | | | | |
| 12/29/21 | 1.000 | 63.98 | 12/29/21 | 54.00 | ... | 9.98 | Option sale to close-call ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| SPY 12/29/2021 PUT \$476.00 / CUSIP: / Symbol: | | | | | | | |
| 12/29/21 | 1.000 | 4.98 | 12/29/21 | 84.00 | ... | -79.02 | Option sale to close-put ²⁵ |
| SQQQ 01/22/2021 CALL \$14.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 01/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 0.00 | 01/20/21 | 120.00 | ... | -120.00 | 1 of 4 - Option expiration- long call |
| | 2.000 | 0.00 | 01/20/21 | 26.00 | ... | -26.00 | 2 of 4 - Option expiration- long call |
| | 2.000 | 0.00 | 01/20/21 | 26.00 | ... | -26.00 | 3 of 4 - Option expiration- long call |
| | 1.000 | 0.00 | 01/20/21 | 13.00 | ... | -13.00 | 4 of 4 - Option expiration- long call |
| 01/22/21 | 10.000 | 0.00 | Various | 185.00 | ... | -185.00 | Total of 4 transactions |
| SQQQ 02/26/2021 CALL \$13.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 02/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 6.000 | 473.98 | 02/26/21 | 648.00 | ... | -174.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 79.00 | 02/26/21 | 108.00 | ... | -29.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 02/26/21 | 7.000 | 552.98 | Various | 756.00 | ... | -203.02 | Total of 2 transactions |
| SQQQ 05/14/2021 CALL \$13.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 05/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 37.00 | 05/12/21 | 35.00 | ... | 2.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 4.000 | 147.98 | 05/12/21 | 128.00 | ... | 19.98 | 2 of 2 - Option sale to close-call ²⁵ |
| 05/12/21 | 5.000 | 184.98 | Various | 163.00 | ... | 21.98 | Total of 2 transactions |
| 05/12/21 | 5.000 | 144.98 | 05/12/21 | 160.00 | 3.00 W | -12.02 | Option sale to close-call ²⁵ |
| | Security total: | 329.96 | | 323.00 | 3.00 W | 9.96 | |
| SQQQ 06/18/2021 CALL \$11.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 12.000 | 0.00 | 06/04/21 | 300.00 | ... | -300.00 | 1 of 2 - Option expiration- long call |
| | 8.000 | 0.00 | 06/04/21 | 200.00 | ... | -200.00 | 2 of 2 - Option expiration- long call |
| 06/18/21 | 20.000 | 0.00 | Various | 500.00 | ... | -500.00 | Total of 2 transactions |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|----------|---|-------------------|-------------------------|--|---|--|
| SQQQ 06/18/2021 CALL \$12.50 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 06/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 23.98 | 06/18/21 | 0.00 | ... | 23.98 | 1 of 3 - Short sale closed- call expired |
| | 8.000 | 95.97 | 06/18/21 | 0.00 | ... | 95.97 | Option written: 06/04/21 2 of 3 - Short sale closed- call expired |
| | 10.000 | 119.97 | 06/18/21 | 0.00 | ... | 119.97 | Option written: 06/04/21 3 of 3 - Short sale closed- call expired |
| 06/18/21 | 20.000 | 239.92 | Various | 0.00 | ... | 239.92 | Option written: 06/04/21 Total of 3 transactions |
| SQQQ 07/23/2021 CALL \$8.00 / CUSIP: / Symbol: | | | | | | | |
| 07/23/21 | 10.000 | 119.97 | 07/23/21 | 130.00 | ... | -10.03 | Option sale to close-call ²⁵ |
| STLD 02/19/2021 CALL \$38.00 / CUSIP: / Symbol: | | | | | | | |
| 02/16/21 | 1.000 | 274.98 | 01/25/21 | 185.00 | ... | 89.98 | Option sale to close-call ²⁵ |
| STNE 01/22/2021 CALL \$83.00 / CUSIP: / Symbol: | | | | | | | |
| 01/22/21 | 1.000 | 0.00 | 01/20/21 | 180.00 | ... | -180.00 | Option expiration- long call |
| SVMK 03/19/2021 CALL \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 03/19/21 | 1.000 | 0.00 | 02/16/21 | 255.00 | ... | -255.00 | Option expiration- long call |
| SY 07/16/2021 CALL \$7.50 / CUSIP: / Symbol: | | | | | | | |
| 06/29/21 | 1.000 | 214.98 | 06/21/21 | 170.00 | ... | 44.98 | Option sale to close-call ²⁵ |
| T 01/08/2021 CALL \$29.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 01/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 1.98 | 12/04/20 | 102.00 | ... | -100.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 1.98 | 12/04/20 | 102.00 | ... | -100.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 01/08/21 | 2.000 | 3.96 | Various | 204.00 | ... | -200.04 | Total of 2 transactions |
| T 01/08/2021 CALL \$30.50 / CUSIP: / Symbol: | | | | | | | |
| 01/11/21 | 1.000 | 92.98 | 01/08/21 | 0.00 | ... | 92.98 | Short sale closed- call ²⁵ Option written: 12/08/20 |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| T 02/26/2021 CALL \$26.50 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 193.98 | 01/08/21 | 271.00 | ... | -77.02 | Option sale to close-call ²⁵ |
| T 02/26/2021 CALL \$28.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 02/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 94.98 | 01/08/21 | 122.00 | ... | -27.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 94.98 | 01/08/21 | 122.00 | ... | -27.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 02/24/21 | 2.000 | 189.96 | Various | 244.00 | ... | -54.04 | Total of 2 transactions |
| T 02/26/2021 PUT \$29.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 02/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 106.99 | 02/22/21 | 30.00 | ... | 76.99 | 1 of 2 - Option sale to close-put ²⁵ |
| | 2.000 | 213.99 | 02/22/21 | 56.00 | ... | 157.99 | 2 of 2 - Option sale to close-put ²⁵ |
| 02/26/21 | 3.000 | 320.98 | Various | 86.00 | ... | 234.98 | Total of 2 transactions |
| T 04/01/2021 CALL \$26.00 / CUSIP: / Symbol: | | | | | | | |
| 04/01/21 | 1.000 | 435.98 | 02/26/21 | 260.00 | ... | 175.98 | Option sale to close-call ²⁵ |
| T 06/11/2021 CALL \$28.00 / CUSIP: / Symbol: | | | | | | | |
| 06/11/21 | 1.000 | 117.98 | 06/08/21 | 85.00 | ... | 32.98 | Option sale to close-call ²⁵ |
| T 06/18/2021 PUT \$32.00 / CUSIP: / Symbol: | | | | | | | |
| 04/01/21 | 1.000 | 249.98 | 03/08/21 | 305.00 | ... | -55.02 | Option sale to close-put ²⁵ |
| TDOC 06/11/2021 CALL \$152.50 / CUSIP: / Symbol: | | | | | | | |
| 06/08/21 | 1.000 | 304.98 | 06/08/21 | 272.00 | ... | 32.98 | Option sale to close-call ²⁵ |
| TECK 05/14/2021 PUT \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 05/14/21 | 1.000 | 0.00 | 05/07/21 | 36.00 | ... | -36.00 | Option expiration- long put |
| TECK 05/21/2021 PUT \$25.50 / CUSIP: / Symbol: | | | | | | | |
| 05/19/21 | 1.000 | 139.98 | 05/17/21 | 27.00 | ... | 112.98 | Option sale to close-put ²⁵ |
| TECK 11/12/2021 CALL \$29.00 / CUSIP: / Symbol: | | | | | | | |
| 11/12/21 | 1.000 | 0.00 | 11/11/21 | 31.00 | ... | -31.00 | Option expiration- long call |
| TGT 01/22/2021 CALL \$192.50 / CUSIP: / Symbol: | | | | | | | |
| 01/22/21 | 1.000 | 7.98 | 01/21/21 | 134.00 | ... | -126.02 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| TGT 02/12/2021 CALL \$190.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 02/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 304.98 | 02/05/21 | 177.00 | ... | 127.98 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 369.98 | 02/08/21 | 415.00 | ... | -45.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 02/08/21 | 2.000 | 674.96 | Various | 592.00 | ... | 82.96 | Total of 2 transactions |
| TIGR 10/15/2021 CALL \$40.00 / CUSIP: / Symbol: | | | | | | | |
| 10/15/21 | 1.000 | 0.00 | 02/19/21 | 1,450.00 | ... | -1,450.00 | Option expiration- long call |
| TIGR 10/15/2021 CALL \$50.00 / CUSIP: / Symbol: | | | | | | | |
| 10/15/21 | 1.000 | 1,209.96 | 10/15/21 | 0.00 | ... | 1,209.96 | Short sale closed- call expired Option written: 02/19/21 |
| TME 01/21/2022 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 07/26/21 | 1.000 | 205.98 | 07/23/21 | 0.00 | ... | 205.98 | Short sale closed- call ²⁵ Option written: 03/25/21 |
| TME 02/19/2021 CALL \$27.00 / CUSIP: / Symbol: | | | | | | | |
| 02/16/21 | 1.000 | 123.98 | 02/16/21 | 122.00 | ... | 1.98 | Option sale to close-call ²⁵ |
| TME 02/19/2021 CALL \$28.00 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 0.00 | 02/16/21 | 81.00 | ... | -81.00 | Option expiration- long call |
| TQQQ 02/26/2021 CALL \$92.25 / CUSIP: / Symbol: | | | | | | | |
| 02/23/21 | 1.000 | 439.98 | 02/23/21 | 360.00 | ... | 79.98 | Option sale to close-call ²⁵ |
| TQQQ 08/27/2021 CALL \$142.00 / CUSIP: / Symbol: | | | | | | | |
| 08/26/21 | 1.000 | 118.98 | 08/26/21 | 155.00 | ... | -36.02 | Option sale to close-call ²⁵ |
| TSLA 01/29/2021 CALL \$842.50 / CUSIP: / Symbol: | | | | | | | |
| 01/28/21 | 1.000 | 694.97 | 01/28/21 | 1,655.00 | ... | -960.03 | Option sale to close-call ²⁵ |
| TSLA 02/19/2021 CALL \$790.00 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 1.000 | 694.97 | 02/19/21 | 680.00 | ... | 14.97 | Option sale to close-call ²⁵ |
| TSLA 02/19/2021 CALL \$815.00 / CUSIP: / Symbol: | | | | | | | |
| 02/16/21 | 1.000 | 1,124.96 | 02/16/21 | 1,175.00 | ... | -50.04 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|---|
| TSLA 06/11/2021 CALL \$600.00 / CUSIP: / Symbol: 06/11/21 | 1.000 | 719.98 | 06/11/21 | 877.00 | ... | -157.02 | Option sale to close-call ²⁵ |
| TSLA 06/11/2021 CALL \$602.50 / CUSIP: / Symbol: 06/14/21 | 1.000 | 163.98 | 06/11/21 | 0.00 | ... | 163.98 | Short sale closed- call ²⁵ Option written: 06/11/21 |
| TSLA 06/11/2021 CALL \$607.50 / CUSIP: / Symbol: 06/14/21 | 1.000 | 162.98 | 06/11/21 | 0.00 | ... | 162.98 | Short sale closed- call ²⁵ Option written: 06/11/21 |
| TSLA 06/11/2021 CALL \$610.00 / CUSIP: / Symbol: 06/11/21 | 1.000 | 45.98 | 06/11/21 | 175.00 | ... | -129.02 | Option sale to close-call ²⁵ |
| TSM 01/15/2021 CALL \$120.00 / CUSIP: / Symbol: 01/08/21 | 1.000 | 260.98 | 01/08/21 | 280.00 | ... | -19.02 | Option sale to close-call ²⁵ |
| TSM 01/15/2021 PUT \$119.00 / CUSIP: / Symbol: 01/08/21 | 1.000 | 349.98 | 01/08/21 | 288.00 | ... | 61.98 | Option sale to close-put ²⁵ |
| TSM 02/26/2021 CALL \$137.00 / CUSIP: / Symbol: 02/26/21 | 1.000 | 0.00 | 02/17/21 | 310.00 | ... | -310.00 | Option expiration- long call |
| TSM 04/01/2021 CALL \$135.00 / CUSIP: / Symbol: 04/01/21 | 1.000 | 0.00 | 02/23/21 | 380.00 | ... | -380.00 | Option expiration- long call |
| TT 02/19/2021 CALL \$165.00 / CUSIP: / Symbol: 01/26/21 | 1.000 | 79.98 | 01/19/21 | 184.00 | ... | -104.02 | Option sale to close-call ²⁵ |
| TTWO 01/15/2021 CALL \$210.00 / CUSIP: / Symbol: 01/15/21 | 1.000 | 0.00 | 12/30/20 | 442.00 | ... | -442.00 | Option expiration- long call |
| TTWO 01/15/2021 CALL \$215.00 / CUSIP: / Symbol: 01/15/21 | 1.000 | 266.98 | 01/15/21 | 0.00 | ... | 266.98 | Short sale closed- call expired Option written: 12/30/20 |
| TWTR 01/29/2021 CALL \$46.50 / CUSIP: / Symbol: 01/26/21 | 1.000 | 409.98 | 01/20/21 | 153.00 | ... | 256.98 | Option sale to close-call ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

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(continued)

03/02/2022 AMENDED

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|---|----------|---|-------------------|-------------------------|--|---|---|
| TWTR 02/12/2021 CALL \$65.00 / CUSIP: / Symbol: 02/10/21 | 1.000 | 329.98 | 02/10/21 | 143.00 | ... | 186.98 | Option sale to close-call ²⁵ |
| TXN 06/18/2021 CALL \$185.00 / CUSIP: / Symbol: 06/17/21 | 1.000 | 315.98 | 05/07/21 | 745.00 | ... | -429.02 | Option sale to close-call ²⁵ |
| TXN 06/18/2021 CALL \$195.00 / CUSIP: / Symbol: 06/18/21 | 1.000 | 303.98 | 06/17/21 | 0.00 | ... | 303.98 | Short sale closed- call ²⁵ Option written: 05/07/21 |
| TXN 07/23/2021 CALL \$185.00 / CUSIP: / Symbol: 07/23/21 | 1.000 | 126.98 | 07/22/21 | 83.00 | ... | 43.98 | Option sale to close-call ²⁵ |
| UBER 03/12/2021 CALL \$57.00 / CUSIP: / Symbol: 03/10/21 | 1.000 | 164.98 | 03/10/21 | 210.00 | ... | -45.02 | Option sale to close-call ²⁵ |
| UBER 08/27/2021 CALL \$40.00 / CUSIP: / Symbol: 08/23/21 | 1.000 | 130.98 | 08/23/21 | 125.00 | ... | 5.98 | Option sale to close-call ²⁵ |
| UBS 09/17/2021 CALL \$15.00 / CUSIP: / Symbol: 09/17/21 | 1.000 | 149.98 | 09/16/21 | 180.00 | ... | -30.02 | Option sale to close-call ²⁵ |
| UDR 10/15/2021 CALL \$50.00 / CUSIP: / Symbol: 10/15/21 | 1.000 | 396.98 | 09/02/21 | 530.00 | ... | -133.02 | Option sale to close-call ²⁵ |
| UNG 01/08/2021 PUT \$9.50 / CUSIP: / Symbol: 01/05/21 | 2.000 | 17.98 | 12/30/20 | 158.00 | ... | -140.02 | Option sale to close-put ²⁵ |
| UNG 03/19/2021 CALL \$9.00 / CUSIP: / Symbol: 03/12/21 | 1.000 | 68.98 | 03/10/21 | 105.00 | ... | -36.02 | Option sale to close-call ²⁵ |
| USAK 07/16/2021 CALL \$12.50 / CUSIP: / Symbol: 07/16/21 | 1.000 | 217.98 | 06/14/21 | 327.00 | ... | -109.02 | Option sale to close-call ²⁵ |
| USAK 07/16/2021 PUT \$17.50 / CUSIP: / Symbol: 07/16/21 | 1.000 | 277.98 | 07/01/21 | 130.00 | ... | 147.98 | Option sale to close-put ²⁵ |
| USB 09/17/2021 CALL \$56.00 / CUSIP: / Symbol: 09/16/21 | 1.000 | 174.98 | 09/16/21 | 195.00 | ... | -20.02 | Option sale to close-call ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

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(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|--|---|-------------------|-------------------------|--|---|--|
| USO 03/05/2021 CALL \$42.00 / CUSIP: / Symbol: | | | | | | | |
| 03/05/21 | 1.000 | 224.98 | 03/04/21 | 118.00 | ... | 106.98 | Option sale to close-call ²⁵ |
| USO 03/05/2021 PUT \$45.00 / CUSIP: / Symbol: | | | | | | | |
| 03/05/21 | 1.000 | 62.98 | 03/05/21 | 84.00 | ... | -21.02 | Option sale to close-put ²⁵ |
| USO 03/12/2021 PUT \$45.00 / CUSIP: / Symbol: | | | | | | | |
| 03/09/21 | 1.000 | 192.98 | 03/05/21 | 118.00 | ... | 74.98 | Option sale to close-put ²⁵ |
| UUP 07/23/2021 CALL \$24.00 / CUSIP: / Symbol: | | | | | | | |
| | <i>2 transactions for 06/21/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 1.000 | 69.98 | 06/15/21 | 41.00 | ... | 28.98 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 72.98 | 06/15/21 | 41.00 | ... | 31.98 | 2 of 2 - Option sale to close-call ²⁵ |
| 06/21/21 | 2.000 | 142.96 | Various | 82.00 | ... | 60.96 | Total of 2 transactions |
| UUP 07/23/2021 PUT \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 07/09/21 | 2.000 | 47.98 | 06/30/21 | 50.00 | ... | -2.02 | Option sale to close-put ²⁵ |
| UUP 08/20/2021 CALL \$24.00 / CUSIP: / Symbol: | | | | | | | |
| 07/08/21 | 2.000 | 171.98 | 06/23/21 | 136.00 | ... | 35.98 | Option sale to close-call ²⁵ |
| UVXY 01/15/2021 CALL \$11.00 / CUSIP: / Symbol: | | | | | | | |
| 01/14/21 | 1.000 | 12.98 | 01/06/21 | 104.00 | ... | -91.02 | Option sale to close-call ²⁵ |
| UVXY 02/12/2021 CALL \$9.50 / CUSIP: / Symbol: | | | | | | | |
| 02/11/21 | 1.000 | 88.98 | 02/11/21 | 93.00 | ... | -4.02 | Option sale to close-call ²⁵ |
| UVXY 02/26/2021 CALL \$10.50 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 10.000 | 0.00 | 02/26/21 | 400.00 | ... | -400.00 | Option expiration- long call |
| UVXY 03/12/2021 CALL \$7.00 / CUSIP: / Symbol: | | | | | | | |
| | <i>5 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 1.000 | 115.99 | 03/10/21 | 116.01 | ... | -0.02 | 1 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 115.99 | 03/10/21 | 116.00 | ... | -0.01 | 2 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 121.00 | 03/10/21 | 116.00 | ... | 5.00 | 3 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 121.00 | 03/10/21 | 116.00 | ... | 5.00 | 4 of 5 - Option sale to close-call ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| UVXY 03/12/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 3.000 | 362.98 | 03/10/21 | 348.00 | ... | 14.98 | 5 of 5 - Option sale to close-call ²⁵ |
| 03/10/21 | 7.000 | 836.96 | Various | 812.01 | ... | 24.95 | Total of 5 transactions |
| 03/10/21 | 3.000 | 347.98 | 03/10/21 | 348.00 | 0.01 W | -0.01 | Option sale to close-call ²⁵ |
| | Security total: | 1,184.94 | | 1,160.01 | 0.01 W | 24.94 | |
| UVXY 03/12/2021 CALL \$8.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 03/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 22.00 | 03/10/21 | 56.00 | 34.00 W | 0.00 | 1 of 6 - Option sale to close-call ²⁵ |
| | 2.000 | 43.99 | 03/10/21 | 112.01 | 68.02 W | 0.00 | 2 of 6 - Option sale to close-call ²⁵ |
| | 2.000 | 43.99 | 03/10/21 | 112.01 | 68.02 W | 0.00 | 3 of 6 - Option sale to close-call ²⁵ |
| | 2.000 | 44.00 | 03/10/21 | 82.00 | 38.00 W | 0.00 | 4 of 6 - Option sale to close-call ²⁵ |
| | 3.000 | 65.99 | 03/10/21 | 120.00 | 54.01 W | 0.00 | 5 of 6 - Option sale to close-call ²⁵ |
| | 5.000 | 129.98 | 03/10/21 | 205.00 | 75.02 W | 0.00 | 6 of 6 - Option sale to close-call ²⁵ |
| 03/11/21 | 15.000 | 349.95 | Various | 687.02 | 337.07 W | 0.00 | Total of 6 transactions |
| 6 transactions for 03/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 31.99 | 03/11/21 | 86.03 | ... | -54.04 | 1 of 6 - Option sale to close-call ²⁵ |
| | 2.000 | 31.99 | 03/11/21 | 86.03 | ... | -54.04 | 2 of 6 - Option sale to close-call ²⁵ |
| | 3.000 | 56.99 | 03/11/21 | 96.01 | ... | -39.02 | 3 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 16.00 | 03/11/21 | 43.00 | ... | -27.00 | 4 of 6 - Option sale to close-call ²⁵ |
| | 2.000 | 32.00 | 03/11/21 | 56.00 | ... | -24.00 | 5 of 6 - Option sale to close-call ²⁵ |
| | 3.000 | 47.99 | 03/11/21 | 42.00 | ... | 5.99 | 6 of 6 - Option sale to close-call ²⁵ |
| 03/12/21 | 13.000 | 216.96 | Various | 409.07 | ... | -192.11 | Total of 6 transactions |
| 4 transactions for 03/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 19.00 | 03/11/21 | 48.00 | 29.00 W | 0.00 | 1 of 4 - Option sale to close-call ²⁵ |
| | 2.000 | 37.99 | 03/11/21 | 96.02 | 58.03 W | 0.00 | 2 of 4 - Option sale to close-call ²⁵ |
| | 2.000 | 37.99 | 03/11/21 | 96.02 | 58.03 W | 0.00 | 3 of 4 - Option sale to close-call ²⁵ |
| | 2.000 | 38.00 | 03/11/21 | 66.00 | 28.00 W | 0.00 | 4 of 4 - Option sale to close-call ²⁵ |
| 03/12/21 | 7.000 | 132.98 | Various | 306.04 | 173.06 W | 0.00 | Total of 4 transactions |
| | Security total: | 699.89 | | 1,402.13 | 510.13 W | -192.11 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| UVXY 03/26/2021 CALL \$6.50 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 03/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 39.98 | 03/23/21 | 32.00 | ... | 7.98 | 1 of 5 - Option sale to close-call ²⁵ |
| | 2.000 | 39.98 | 03/23/21 | 32.00 | ... | 7.98 | 2 of 5 - Option sale to close-call ²⁵ |
| | 6.000 | 119.98 | 03/23/21 | 96.00 | ... | 23.98 | 3 of 5 - Option sale to close-call ²⁵ |
| | 5.000 | 104.98 | 03/23/21 | 80.00 | ... | 24.98 | 4 of 5 - Option sale to close-call ²⁵ |
| | 10.000 | 199.97 | 03/23/21 | 160.00 | ... | 39.97 | 5 of 5 - Option sale to close-call ²⁵ |
| 03/23/21 | 25.000 | 504.89 | Various | 400.00 | ... | 104.89 | Total of 5 transactions |
| UVXY 04/09/2021 CALL \$5.50 / CUSIP: / Symbol: | | | | | | | |
| 04/08/21 | 10.000 | 39.97 | 04/05/21 | 150.00 | ... | -110.03 | Option sale to close-call ²⁵ |
| UVXY 04/30/2021 CALL \$4.50 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 04/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 17.99 | 04/27/21 | 70.01 | ... | -52.02 | 1 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 9.00 | 04/27/21 | 42.03 | ... | -33.03 | 2 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 8.99 | 04/27/21 | 35.02 | ... | -26.03 | 3 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 9.00 | 04/27/21 | 35.00 | ... | -26.00 | 4 of 4 - Option sale to close-call ²⁵ |
| 04/28/21 | 5.000 | 44.98 | Various | 182.06 | ... | -137.08 | Total of 4 transactions |
| 3 transactions for 04/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 8.98 | 04/27/21 | 22.00 | 13.02 W | 0.00 | 1 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 8.98 | 04/27/21 | 35.01 | 26.03 W | 0.00 | 2 of 3 - Option sale to close-call ²⁵ |
| | 4.000 | 35.98 | 04/27/21 | 88.00 | 52.02 W | 0.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 04/28/21 | 6.000 | 53.94 | Various | 145.01 | 91.07 W | 0.00 | Total of 3 transactions |
| | Security total: | 98.92 | | 327.07 | 91.07 W | -137.08 | |
| UVXY 05/21/2021 CALL \$5.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 05/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 6.000 | 0.00 | 05/14/21 | 96.00 | ... | -96.00 | 1 of 2 - Option expiration- long call |
| | 1.000 | 0.00 | 05/14/21 | 16.00 | ... | -16.00 | 2 of 2 - Option expiration- long call |
| 05/21/21 | 7.000 | 0.00 | Various | 112.00 | ... | -112.00 | Total of 2 transactions |
| UVXY 05/21/2021 CALL \$5.50 / CUSIP: / Symbol: | | | | | | | |
| 05/21/21 | 6.000 | 0.00 | 05/13/21 | 318.00 | ... | -318.00 | Option expiration- long call |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| UVXY 05/28/2021 CALL \$38.00 / CUSIP: / Symbol: | | | | | | | |
| 05/28/21 | 10.000 | 0.00 | 05/27/21 | 530.00 | ... | -530.00 | Option expiration- long call |
| UVXY 05/28/2021 CALL \$40.00 / CUSIP: / Symbol: | | | | | | | |
| 05/28/21 | 10.000 | 279.97 | 05/28/21 | 0.00 | ... | 279.97 | Short sale closed- call expired Option written: 05/27/21 |
| UVXY 06/04/2021 CALL \$37.00 / CUSIP: / Symbol: | | | | | | | |
| 06/03/21 | 1.000 | 93.98 | 06/03/21 | 126.00 | ... | -32.02 | Option sale to close-call ²⁵ |
| UWMC 05/21/2021 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 01/27/21 | 1.000 | 199.98 | 01/26/21 | 0.00 | ... | 199.98 | Short sale closed- call ²⁵ Option written: 12/15/20 |
| UWMC 05/21/2021 PUT \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 01/27/21 | 1.000 | 144.98 | 01/26/21 | 0.00 | ... | 144.98 | Short sale closed- put ²⁵ Option written: 12/15/20 |
| V 02/12/2021 CALL \$205.00 / CUSIP: / Symbol: | | | | | | | |
| 02/08/21 | 1.000 | 424.98 | 02/05/21 | 480.00 | ... | -55.02 | Option sale to close-call ²⁵ |
| VALE 02/12/2021 CALL \$17.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 02/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 64.99 | 02/08/21 | 83.00 | ... | -18.01 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 64.99 | 02/08/21 | 82.00 | ... | -17.01 | 2 of 2 - Option sale to close-call ²⁵ |
| 02/11/21 | 2.000 | 129.98 | Various | 165.00 | ... | -35.02 | Total of 2 transactions |
| VALE 02/19/2021 CALL \$17.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 02/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 92.98 | 02/11/21 | 87.00 | ... | 5.98 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 105.98 | 02/11/21 | 87.00 | ... | 18.98 | 2 of 2 - Option sale to close-call ²⁵ |
| 02/16/21 | 2.000 | 198.96 | Various | 174.00 | ... | 24.96 | Total of 2 transactions |
| VALE 02/26/2021 CALL \$17.00 / CUSIP: / Symbol: | | | | | | | |
| 02/26/21 | 1.000 | 1.98 | 02/22/21 | 74.00 | ... | -72.02 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| VALE 02/26/2021 PUT \$18.50 / CUSIP: / Symbol: 02/26/21 | 1.000 | 99.98 | 02/22/21 | 85.00 | ... | 14.98 | Option sale to close-put ²⁵ |
| VALE 03/12/2021 CALL \$17.50 / CUSIP: / Symbol: 03/12/21 | 1.000 | 1.98 | 03/02/21 | 40.00 | ... | -38.02 | Option sale to close-call ²⁵ |
| VALE 03/12/2021 PUT \$17.50 / CUSIP: / Symbol: 03/10/21 | 1.000 | 71.98 | 03/08/21 | 42.00 | ... | 29.98 | Option sale to close-put ²⁵ |
| VALE 07/16/2021 CALL \$22.50 / CUSIP: / Symbol: 07/16/21 | 1.000 | 0.00 | 07/14/21 | 42.00 | ... | -42.00 | Option expiration- long call |
| VLO 01/21/2022 CALL \$80.00 / CUSIP: / Symbol: 09/09/21 | 1.000 | 88.98 | 09/08/21 | 0.00 | ... | 88.98 | Short sale closed- call ²⁵ Option written: 07/28/21 |
| VLO 02/19/2021 PUT \$66.00 / CUSIP: / Symbol: 02/16/21 | 1.000 | 63.98 | 02/16/21 | 78.00 | ... | -14.02 | Option sale to close-put ²⁵ |
| VLO 02/26/2021 PUT \$74.00 / CUSIP: / Symbol: 02/23/21 | 1.000 | 159.98 | 02/22/21 | 150.00 | ... | 9.98 | Option sale to close-put ²⁵ |
| VLO 03/12/2021 PUT \$77.50 / CUSIP: / Symbol: 03/12/21 | 1.000 | 0.00 | 03/09/21 | 181.00 | ... | -181.00 | Option expiration- long put |
| VLO 03/26/2021 PUT \$71.00 / CUSIP: / Symbol: 03/26/21 | 1.000 | 0.00 | 03/23/21 | 164.00 | ... | -164.00 | Option expiration- long put |
| VLO 04/01/2021 PUT \$72.00 / CUSIP: / Symbol: 04/01/21 | 1.000 | 0.00 | 03/30/21 | 127.00 | ... | -127.00 | Option expiration- long put |
| VLO 04/09/2021 PUT \$72.00 / CUSIP: / Symbol: 04/09/21 | 1.000 | 84.98 | 04/08/21 | 76.00 | ... | 8.98 | Option sale to close-put ²⁵ |
| VLO 07/16/2021 CALL \$65.00 / CUSIP: / Symbol: 07/14/21 | 1.000 | 293.98 | 07/14/21 | 340.00 | ... | -46.02 | Option sale to close-call ²⁵ |
| VLO 07/30/2021 PUT \$66.00 / CUSIP: / Symbol: 07/27/21 | 1.000 | 183.98 | 07/26/21 | 174.00 | ... | 9.98 | Option sale to close-put ²⁵ |

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(continued)

03/02/2022 AMENDED

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|---|----------|---|-------------------|-------------------------|--|---|---|
| VLO 08/27/2021 PUT \$66.00 / CUSIP: / Symbol: 08/27/21 | 1.000 | 0.00 | 08/26/21 | 54.00 | ... | -54.00 | Option expiration- long put |
| VLO 09/10/2021 PUT \$64.00 / CUSIP: / Symbol: 09/08/21 | 1.000 | 69.98 | 09/08/21 | 53.00 | ... | 16.98 | Option sale to close-put ²⁵ |
| VLO 11/05/2021 CALL \$84.00 / CUSIP: / Symbol: 10/18/21 | 1.000 | 35.98 | 10/15/21 | 0.00 | ... | 35.98 | Short sale closed- call ²⁵ Option written: 10/11/21 |
| VLO 12/03/2021 CALL \$77.00 / CUSIP: / Symbol: 12/03/21 | 1.000 | 0.00 | 11/15/21 | 198.00 | ... | -198.00 | Option expiration- long call |
| VLO 12/03/2021 CALL \$80.00 / CUSIP: / Symbol: 12/03/21 | 1.000 | 92.98 | 12/03/21 | 0.00 | ... | 92.98 | Short sale closed- call expired Option written: 11/15/21 |
| VSPR 08/20/2021 PUT \$20.00 / CUSIP: / Symbol: 02/09/21 | 1.000 | -0.04 | 02/08/21 | 0.00 | ... | -0.04 | Short sale closed- put ²⁵ Option written: 01/15/21 |
| VUZI 01/21/2022 CALL \$20.00 / CUSIP: / Symbol: 07/21/21 | 1.000 | 890.98 | 07/20/21 | 0.00 | ... | 890.98 | Short sale closed- call ²⁵ Option written: 03/31/21 |
| VUZI 01/21/2022 CALL \$40.00 / CUSIP: / Symbol: 03/29/21 | 1.000 | 299.98 | 03/26/21 | 0.00 | ... | 299.98 | Short sale closed- call ²⁵ Option written: 03/23/21 |
| VUZI 01/21/2022 PUT \$30.00 / CUSIP: / Symbol: 04/05/21 | 1.000 | 69.98 | 04/01/21 | 0.00 | ... | 69.98 | Short sale closed- put ²⁵ Option written: 03/26/21 |
| 05/12/21 | 1.000 | 69.98 | 05/11/21 | 0.00 | ... | 69.98 | Short sale closed- put ²⁵ Option written: 03/26/21 |
| Security total: | | 139.96 | | 0.00 | ... | 139.96 | |
| VUZI 02/19/2021 CALL \$12.00 / CUSIP: / Symbol: 01/25/21 | 1.000 | 219.98 | 01/25/21 | 165.00 | ... | 54.98 | Option sale to close-call ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|----------|---|-------------------|-------------------------|--|---|--|
| VXX 01/15/2021 CALL \$14.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 01/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 232.98 | 12/08/20 | 334.00 | ... | -101.02 | 1 of 2 - Option sale to close-call ²⁵ C |
| | 1.000 | 232.99 | 12/08/20 | 333.00 | ... | -100.01 | 2 of 2 - Option sale to close-call ²⁵ C |
| 01/14/21 | 2.000 | 465.97 | Various | 667.00 | ... | -201.03 | Total of 2 transactions |
| VXX 01/15/2021 CALL \$17.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 01/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 14.00 | 12/10/20 | 202.00 | ... | -188.00 | 1 of 4 - Option sale to close-call ²⁵ C |
| | 1.000 | 13.99 | 01/07/21 | 80.00 | ... | -66.01 | 2 of 4 - Option sale to close-call ²⁵ C |
| | 1.000 | 13.99 | 01/07/21 | 64.00 | ... | -50.01 | 3 of 4 - Option sale to close-call ²⁵ C |
| | 1.000 | 14.00 | 01/08/21 | 59.00 | ... | -45.00 | 4 of 4 - Option sale to close-call ²⁵ C |
| 01/14/21 | 4.000 | 55.98 | Various | 405.00 | ... | -349.02 | Total of 4 transactions |
| VXX 01/15/2021 CALL \$29.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 01/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 0.00 | 09/25/20 | 499.00 | ... | -499.00 | 1 of 3 - Option expiration- long call |
| | 1.000 | 0.00 | 09/25/20 | 499.00 | ... | -499.00 | 2 of 3 - Option expiration- long call |
| | 1.000 | 0.00 | 09/29/20 | 404.00 | ... | -404.00 | 3 of 3 - Option expiration- long call |
| 01/15/21 | 3.000 | 0.00 | Various | 1,402.00 | ... | -1,402.00 | Total of 3 transactions |
| VXX 01/15/2021 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 01/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 378.98 | 01/08/21 | 0.00 | ... | 378.98 | 1 of 3 - Short sale closed- call ²⁵ Option written: 09/29/20 |
| | 1.000 | 469.97 | 01/08/21 | 0.00 | ... | 469.97 | 2 of 3 - Short sale closed- call ²⁵ Option written: 09/25/20 |
| | 1.000 | 469.97 | 01/08/21 | 0.00 | ... | 469.97 | 3 of 3 - Short sale closed- call ²⁵ Option written: 09/25/20 |
| 01/11/21 | 3.000 | 1,318.92 | Various | 0.00 | ... | 1,318.92 | Total of 3 transactions |
| VXX 01/22/2021 CALL \$18.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 01/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 0.00 | 01/19/21 | 185.00 | ... | -185.00 | 1 of 4 - Option expiration- long call |
| | 3.000 | 0.00 | 01/19/21 | 105.00 | ... | -105.00 | 2 of 4 - Option expiration- long call |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|----------|---|-------------------|-------------------------|--|---|--|
| VXX 01/22/2021 CALL \$18.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 2.000 | 0.00 | 01/19/21 | 70.00 | ... | -70.00 | 3 of 4 - Option expiration- long call |
| | 2.000 | 0.00 | 01/19/21 | 62.00 | ... | -62.00 | 4 of 4 - Option expiration- long call |
| 01/22/21 | 12.000 | 0.00 | Various | 422.00 | ... | -422.00 | Total of 4 transactions |
| VXX 01/22/2021 CALL \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 01/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 49.98 | 01/12/21 | 135.00 | ... | -85.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 3.000 | 29.99 | 01/12/21 | 51.00 | ... | -21.01 | 2 of 2 - Option sale to close-call ²⁵ |
| 01/14/21 | 8.000 | 79.97 | Various | 186.00 | ... | -106.03 | Total of 2 transactions |
| VXX 01/29/2021 CALL \$17.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 01/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 102.98 | 01/20/21 | 68.00 | ... | 34.98 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 102.98 | 01/20/21 | 68.00 | ... | 34.98 | 2 of 2 - Option sale to close-call ²⁵ |
| 01/27/21 | 2.000 | 205.96 | Various | 136.00 | ... | 69.96 | Total of 2 transactions |
| VXX 01/29/2021 CALL \$20.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 01/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 79.98 | 01/28/21 | 296.00 | ... | -216.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 20.00 | 01/28/21 | 74.00 | ... | -54.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 01/29/21 | 5.000 | 99.98 | Various | 370.00 | ... | -270.02 | Total of 2 transactions |
| VXX 02/05/2021 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 01/28/21 | 2.000 | 759.97 | 01/14/21 | 330.00 | ... | 429.97 | Option sale to close-call ²⁵ |
| VXX 02/05/2021 CALL \$18.00 / CUSIP: / Symbol: | | | | | | | |
| 02/05/21 | 4.000 | 0.00 | 02/03/21 | 180.00 | ... | -180.00 | Option expiration- long call |
| VXX 02/05/2021 CALL \$21.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 02/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 0.00 | 02/01/21 | 240.00 | ... | -240.00 | 1 of 2 - Option expiration- long call |
| | 1.000 | 0.00 | 02/01/21 | 80.00 | ... | -80.00 | 2 of 2 - Option expiration- long call |
| 02/05/21 | 4.000 | 0.00 | Various | 320.00 | ... | -320.00 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| VXX 02/12/2021 CALL \$16.50 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 02/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 56.00 | 02/08/21 | 54.00 | ... | 2.00 | 1 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 56.00 | 02/08/21 | 54.00 | ... | 2.00 | 2 of 3 - Option sale to close-call ²⁵ |
| | 3.000 | 167.98 | 02/08/21 | 162.00 | ... | 5.98 | 3 of 3 - Option sale to close-call ²⁵ |
| 02/11/21 | 5.000 | 279.98 | Various | 270.00 | ... | 9.98 | Total of 3 transactions |
| VXX 02/12/2021 CALL \$17.50 / CUSIP: / Symbol: | | | | | | | |
| 02/12/21 | 2.000 | 0.00 | 02/03/21 | 150.00 | ... | -150.00 | Option expiration- long call |
| VXX 02/19/2021 CALL \$15.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 02/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 14.99 | 02/09/21 | 312.00 | ... | -297.01 | 1 of 2 - Option sale to close-call ²⁵ |
| | 3.000 | 14.99 | 02/09/21 | 306.00 | ... | -291.01 | 2 of 2 - Option sale to close-call ²⁵ |
| 02/19/21 | 6.000 | 29.98 | Various | 618.00 | ... | -588.02 | Total of 2 transactions |
| VXX 02/19/2021 CALL \$16.50 / CUSIP: / Symbol: | | | | | | | |
| 02/19/21 | 2.000 | 1.98 | 02/09/21 | 128.00 | ... | -126.02 | Option sale to close-call ²⁵ |
| VXX 02/26/2021 CALL \$14.50 / CUSIP: / Symbol: | | | | | | | |
| 02/25/21 | 1.000 | 59.98 | 02/25/21 | 34.00 | ... | 25.98 | Option sale to close-call ²⁵ |
| VXX 02/26/2021 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 02/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 42.98 | 02/25/21 | 34.00 | ... | 8.98 | 1 of 3 - Option sale to close-call ²⁵ |
| | 2.000 | 85.98 | 02/25/21 | 68.00 | ... | 17.98 | 2 of 3 - Option sale to close-call ²⁵ |
| | 7.000 | 300.98 | 02/25/21 | 238.00 | ... | 62.98 | 3 of 3 - Option sale to close-call ²⁵ |
| 02/25/21 | 10.000 | 429.94 | Various | 340.00 | ... | 89.94 | Total of 3 transactions |
| VXX 02/26/2021 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 02/24/21 | 1.000 | 29.98 | 02/22/21 | 39.00 | ... | -9.02 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|--|
| VXX 03/05/2021 CALL \$15.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 03/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 135.98 | 03/02/21 | 132.00 | ... | 3.98 | 1 of 2 - Option sale to close-call ²⁵ |
| | 6.000 | 203.98 | 03/02/21 | 198.00 | ... | 5.98 | 2 of 2 - Option sale to close-call ²⁵ |
| 03/02/21 | 10.000 | 339.96 | Various | 330.00 | ... | 9.96 | Total of 2 transactions |
| VXX 03/12/2021 CALL \$13.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 03/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 147.98 | 03/08/21 | 164.00 | 16.02 W | 0.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 2.000 | 295.98 | 03/08/21 | 328.00 | 32.02 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 03/08/21 | 3.000 | 443.96 | Various | 492.00 | 48.04 W | 0.00 | Total of 2 transactions |
| 3 transactions for 03/09/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 255.99 | 03/08/21 | 358.02 | ... | -102.03 | 1 of 3 - Option sale to close-call ²⁵ |
| | 2.000 | 255.99 | 03/08/21 | 328.00 | ... | -72.01 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 128.00 | 03/08/21 | 179.02 | ... | -51.02 | 3 of 3 - Option sale to close-call ²⁵ |
| 03/09/21 | 5.000 | 639.98 | Various | 865.04 | ... | -225.06 | Total of 3 transactions |
| | Security total: | 1,083.94 | | 1,357.04 | 48.04 W | -225.06 | |
| VXX 03/19/2021 CALL \$13.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 03/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 161.97 | 03/16/21 | 315.00 | ... | -153.03 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 03/16/21 | 35.00 | ... | -17.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 03/18/21 | 10.000 | 179.95 | Various | 350.00 | ... | -170.05 | Total of 2 transactions |
| VXX 06/18/2021 CALL \$38.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 15.00 | 06/04/21 | 99.00 | 84.00 W | 0.00 | 1 of 2 - Option sale to close-call ²⁵ C |
| | 4.000 | 59.98 | 06/04/21 | 396.00 | 336.02 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ C |
| 06/15/21 | 5.000 | 74.98 | Various | 495.00 | 420.02 W | 0.00 | Total of 2 transactions |
| 13 transactions for 06/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 0.00 | 06/04/21 | 366.01 | ... | -366.01 | 1 of 13 - Option expiration- long call |
| | 3.000 | 0.00 | 06/04/21 | 297.00 | ... | -297.00 | 2 of 13 - Option expiration- long call |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| VXX 06/18/2021 CALL \$38.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 0.00 | 06/04/21 | 183.01 | ... | -183.01 | 3 of 13 - Option expiration- long call |
| | 1.000 | 0.00 | 06/04/21 | 183.00 | ... | -183.00 | 4 of 13 - Option expiration- long call |
| | 1.000 | 0.00 | 06/04/21 | 183.00 | ... | -183.00 | 5 of 13 - Option expiration- long call |
| | 1.000 | 0.00 | 06/04/21 | 99.00 | ... | -99.00 | 6 of 13 - Option expiration- long call |
| | 1.000 | 0.00 | 06/04/21 | 99.00 | ... | -99.00 | 7 of 13 - Option expiration- long call |
| | 1.000 | 0.00 | 06/04/21 | 99.00 | ... | -99.00 | 8 of 13 - Option expiration- long call |
| | 1.000 | 0.00 | 06/04/21 | 99.00 | ... | -99.00 | 9 of 13 - Option expiration- long call |
| | 1.000 | 0.00 | 06/04/21 | 99.00 | ... | -99.00 | 10 of 13 - Option expiration- long call |
| | 1.000 | 0.00 | 06/04/21 | 99.00 | ... | -99.00 | 11 of 13 - Option expiration- long call |
| | 1.000 | 0.00 | 06/04/21 | 99.00 | ... | -99.00 | 12 of 13 - Option expiration- long call |
| | 5.000 | 0.00 | 06/09/21 | 400.00 | ... | -400.00 | 13 of 13 - Option expiration- long call |
| 06/18/21 | 20.000 | 0.00 | Various | 2,305.02 | ... | -2,305.02 | Total of 13 transactions |
| | Security total: | 74.98 | | 2,800.02 | 420.02 W | -2,305.02 | |
| VXX 06/18/2021 CALL \$40.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 06/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 66.98 | 06/14/21 | 0.00 | ... | 66.98 | 1 of 6 - Short sale closed- call ²⁵ C Option written: 06/04/21 |
| | 1.000 | 66.99 | 06/14/21 | 0.00 | ... | 66.99 | 2 of 6 - Short sale closed- call ²⁵ C Option written: 06/04/21 |
| | 1.000 | 67.00 | 06/14/21 | 0.00 | ... | 67.00 | 3 of 6 - Short sale closed- call ²⁵ C Option written: 06/04/21 |
| | 2.000 | 133.98 | 06/14/21 | 0.00 | ... | 133.98 | 4 of 6 - Short sale closed- call ²⁵ C Option written: 06/04/21 |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| VXX 06/18/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 2.000 | 133.99 | 06/14/21 | 0.00 | ... | 133.99 | 5 of 6 - Short sale closed- call ²⁵ C Option written: 06/04/21 |
| | 3.000 | 200.98 | 06/14/21 | 0.00 | ... | 200.98 | 6 of 6 - Short sale closed- call ²⁵ C Option written: 06/04/21 |
| 06/15/21 | 10.000 | 669.92 | Various | 0.00 | ... | 669.92 | Total of 6 transactions |
| 9 transactions for 06/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 76.98 | 06/18/21 | 0.00 | ... | 76.98 | 1 of 9 - Short sale closed- call C expired Option written: 06/04/21 |
| | 1.000 | 76.98 | 06/18/21 | 0.00 | ... | 76.98 | 2 of 9 - Short sale closed- call C expired Option written: 06/04/21 |
| | 1.000 | 76.98 | 06/18/21 | 0.00 | ... | 76.98 | 3 of 9 - Short sale closed- call C expired Option written: 06/04/21 |
| | 1.000 | 76.98 | 06/18/21 | 0.00 | ... | 76.98 | 4 of 9 - Short sale closed- call C expired Option written: 06/04/21 |
| | 1.000 | 76.98 | 06/18/21 | 0.00 | ... | 76.98 | 5 of 9 - Short sale closed- call C expired Option written: 06/04/21 |
| | 1.000 | 76.98 | 06/18/21 | 0.00 | ... | 76.98 | 6 of 9 - Short sale closed- call C expired Option written: 06/04/21 |
| | 1.000 | 76.98 | 06/18/21 | 0.00 | ... | 76.98 | 7 of 9 - Short sale closed- call C expired Option written: 06/04/21 |
| | 3.000 | 230.98 | 06/18/21 | 0.00 | ... | 230.98 | 8 of 9 - Short sale closed- call C expired Option written: 06/04/21 |
| | 5.000 | 289.98 | 06/18/21 | 0.00 | ... | 289.98 | 9 of 9 - Short sale closed- call C expired Option written: 06/09/21 |
| 06/18/21 | 15.000 | 1,059.82 | Various | 0.00 | ... | 1,059.82 | Total of 9 transactions |
| | Security total: | 1,729.74 | | 0.00 | ... | 1,729.74 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| VXX 07/02/2021 CALL \$28.50 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 07/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 19.99 | 07/02/21 | 32.03 | ... | -12.04 | 1 of 3 - Option sale to close-call ²⁵ C |
| | 1.000 | 9.99 | 07/02/21 | 14.01 | ... | -4.02 | 2 of 3 - Option sale to close-call ²⁵ C |
| | 1.000 | 10.00 | 07/02/21 | 14.00 | ... | -4.00 | 3 of 3 - Option sale to close-call ²⁵ C |
| 07/02/21 | 4.000 | 39.98 | Various | 60.04 | ... | -20.06 | Total of 3 transactions |
| 4 transactions for 07/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 9.99 | 07/02/21 | 12.00 | 2.01 W | 0.00 | 1 of 4 - Option sale to close-call ²⁵ C |
| | 1.000 | 10.00 | 07/02/21 | 12.00 | 2.00 W | 0.00 | 2 of 4 - Option sale to close-call ²⁵ C |
| | 2.000 | 19.98 | 07/02/21 | 24.00 | 4.02 W | 0.00 | 3 of 4 - Option sale to close-call ²⁵ C |
| | 2.000 | 19.99 | 07/02/21 | 28.02 | 8.03 W | 0.00 | 4 of 4 - Option sale to close-call ²⁵ C |
| 07/02/21 | 6.000 | 59.96 | Various | 76.02 | 16.06 W | 0.00 | Total of 4 transactions |
| | Security total: | 99.94 | | 136.06 | 16.06 W | -20.06 | |
| VXX 07/30/2021 CALL \$31.00 / CUSIP: / Symbol: | | | | | | | |
| 07/30/21 | 1.000 | 0.00 | 07/28/21 | 107.00 | ... | -107.00 | Option expiration- long call |
| VXX 08/27/2021 CALL \$26.50 / CUSIP: / Symbol: | | | | | | | |
| 08/26/21 | 1.000 | 88.98 | 08/24/21 | 76.00 | ... | 12.98 | Option sale to close-call ²⁵ |
| 08/27/21 | 2.000 | 0.00 | 08/24/21 | 152.00 | ... | -152.00 | Option expiration- long call |
| | Security total: | 88.98 | | 228.00 | ... | -139.02 | |
| VXX 08/27/2021 CALL \$27.00 / CUSIP: / Symbol: | | | | | | | |
| 08/26/21 | 5.000 | 354.98 | 08/25/21 | 185.00 | ... | 169.98 | Option sale to close-call ²⁵ |
| 08/27/21 | 5.000 | 0.00 | 08/25/21 | 185.00 | ... | -185.00 | Option expiration- long call |
| | Security total: | 354.98 | | 370.00 | ... | -15.02 | |
| VXX 08/27/2021 CALL \$27.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 08/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 0.00 | 08/26/21 | 378.00 | ... | -378.00 | 1 of 2 - Option expiration- long call |
| | 1.000 | 0.00 | 08/26/21 | 42.00 | ... | -42.00 | 2 of 2 - Option expiration- long call |
| 08/27/21 | 10.000 | 0.00 | Various | 420.00 | ... | -420.00 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|--|
| VXX 08/27/2021 CALL \$28.00 / CUSIP: / Symbol: | | | | | | | |
| 08/27/21 | 5.000 | 0.00 | 08/27/21 | 115.04 | ... | -115.04 | Option expiration- long call |
| 08/27/21 | 5.000 | -90.02 | 08/26/21 | 0.00 | 90.02 W | 0.00 | Short sale closed- call ²⁵ |
| 08/30/21 | 5.000 | -50.04 | 08/27/21 | 0.00 | 50.04 W | 0.00 | Option written: 08/25/21 Short sale closed- call ²⁵ |
| | Security total: | -140.06 | | 115.04 | 140.06 W | -115.04 | Option written: 08/25/21 |
| VXX 08/27/2021 CALL \$28.50 / CUSIP: / Symbol: | | | | | | | |
| | 2 transactions for 08/27/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 23.98 | 08/27/21 | 0.00 | ... | 23.98 | 1 of 2 - Short sale closed- call expired |
| | 9.000 | 215.97 | 08/27/21 | 0.00 | ... | 215.97 | Option written: 08/26/21 2 of 2 - Short sale closed- call expired |
| 08/27/21 | 10.000 | 239.95 | Various | 0.00 | ... | 239.95 | Option written: 08/26/21 Total of 2 transactions |
| VXX 11/05/2021 CALL \$22.50 / CUSIP: / Symbol: | | | | | | | |
| | 8 transactions for 11/05/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 0.00 | 11/01/21 | 160.00 | ... | -160.00 | 1 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 11/01/21 | 32.00 | ... | -32.00 | 2 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 11/01/21 | 32.00 | ... | -32.00 | 3 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 11/01/21 | 32.00 | ... | -32.00 | 4 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 11/01/21 | 32.00 | ... | -32.00 | 5 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 11/01/21 | 31.00 | ... | -31.00 | 6 of 8 - Option expiration- long call |
| | 2.000 | 0.00 | 11/02/21 | 34.00 | ... | -34.00 | 7 of 8 - Option expiration- long call |
| | 2.000 | 0.00 | 11/03/21 | 26.00 | ... | -26.00 | 8 of 8 - Option expiration- long call |
| 11/05/21 | 14.000 | 0.00 | Various | 379.00 | ... | -379.00 | Total of 8 transactions |
| VXX 11/05/2021 CALL \$23.50 / CUSIP: / Symbol: | | | | | | | |
| | 2 transactions for 11/05/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 0.00 | 10/27/21 | 144.00 | ... | -144.00 | 1 of 2 - Option expiration- long call |
| | 2.000 | 0.00 | 10/29/21 | 64.00 | ... | -64.00 | 2 of 2 - Option expiration- long call |
| 11/05/21 | 6.000 | 0.00 | Various | 208.00 | ... | -208.00 | Total of 2 transactions |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|--|
| VXX 11/05/2021 CALL \$26.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 11/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 29.98 | 11/05/21 | 0.00 | ... | 29.98 | 1 of 2 - Short sale closed- call expired |
| | 4.000 | 79.98 | 11/05/21 | 0.00 | ... | 79.98 | Option written: 10/29/21 2 of 2 - Short sale closed- call expired |
| 11/05/21 | 6.000 | 109.96 | Various | 0.00 | ... | 109.96 | Option written: 10/27/21 Total of 2 transactions |
| VXX 11/12/2021 PUT \$21.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 11/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 57.99 | 11/10/21 | 45.00 | ... | 12.99 | 1 of 2 - Option sale to close-put ²⁵ |
| | 1.000 | 57.99 | 11/10/21 | 26.00 | ... | 31.99 | 2 of 2 - Option sale to close-put ²⁵ |
| 11/12/21 | 2.000 | 115.98 | Various | 71.00 | ... | 44.98 | Total of 2 transactions |
| VXX1 06/18/2021 CALL \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 05/12/21 | 20.000 | 399.95 | 04/09/21 | 620.00 | ... | -220.05 | Option sale to close-call ²⁵ C |
| VXX1 06/18/2021 CALL \$40.00 / CUSIP: / Symbol: | | | | | | | |
| 05/13/21 | 20.000 | 99.95 | 05/12/21 | 0.00 | ... | 99.95 | Short sale closed- call ²⁵ C Option written: 04/09/21 |
| VZ 01/22/2021 CALL \$57.00 / CUSIP: / Symbol: | | | | | | | |
| 01/22/21 | 1.000 | 51.98 | 01/21/21 | 34.00 | ... | 17.98 | Option sale to close-call ²⁵ |
| VZ 01/29/2021 CALL \$57.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 01/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 0.00 | 01/26/21 | 64.00 | ... | -64.00 | 1 of 2 - Option expiration- long call |
| | 1.000 | 0.00 | 01/26/21 | 53.00 | ... | -53.00 | 2 of 2 - Option expiration- long call |
| 01/29/21 | 2.000 | 0.00 | Various | 117.00 | ... | -117.00 | Total of 2 transactions |
| WERN 09/17/2021 CALL \$40.00 / CUSIP: / Symbol: | | | | | | | |
| 07/29/21 | 1.000 | 609.98 | 06/14/21 | 553.00 | ... | 56.98 | Option sale to close-call ²⁵ |
| WFC 02/26/2021 CALL \$36.50 / CUSIP: / Symbol: | | | | | | | |
| 02/23/21 | 1.000 | 91.98 | 02/18/21 | 98.00 | ... | -6.02 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| WIMI 11/19/2021 PUT \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 04/30/21 | 1.000 | -10.02 | 04/29/21 | 0.00 | ... | -10.02 | Short sale closed- put ²⁵ Option written: 03/19/21 |
| WIMI 11/19/2021 PUT \$7.50 / CUSIP: / Symbol: | | | | | | | |
| 05/10/21 | 1.000 | -20.02 | 05/07/21 | 0.00 | ... | -20.02 | Short sale closed- put ²⁵ Option written: 03/19/21 |
| WISH 01/21/2022 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 07/15/21 | 1.000 | -159.02 | 07/14/21 | 0.00 | ... | -159.02 | Short sale closed- call ²⁵ Option written: 05/25/21 |
| WISH 01/21/2022 CALL \$5.00 / CUSIP: / Symbol: | | | | | | | |
| 07/14/21 | 1.000 | 549.98 | 05/25/21 | 336.00 | ... | 213.98 | Option sale to close-call ²⁵ |
| WISH 01/21/2022 PUT \$5.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 45.99 | 06/15/21 | 0.00 | ... | 45.99 | 1 of 2 - Short sale closed- put ²⁵ Option written: 06/08/21 |
| | 1.000 | 45.99 | 06/15/21 | 0.00 | ... | 45.99 | 2 of 2 - Short sale closed- put ²⁵ Option written: 06/08/21 |
| 06/16/21 | 2.000 | 91.98 | Various | 0.00 | ... | 91.98 | Total of 2 transactions |
| WISH 08/20/2021 CALL \$9.00 / CUSIP: / Symbol: | | | | | | | |
| 07/23/21 | 1.000 | 109.98 | 07/14/21 | 205.00 | ... | -95.02 | Option sale to close-call ²⁵ |
| WISH 10/22/2021 CALL \$6.00 / CUSIP: / Symbol: | | | | | | | |
| 10/22/21 | 1.000 | 0.00 | 10/20/21 | 31.00 | ... | -31.00 | Option expiration- long call |
| WISH 10/22/2021 CALL \$7.00 / CUSIP: / Symbol: | | | | | | | |
| 10/22/21 | 1.000 | 9.98 | 10/22/21 | 0.00 | ... | 9.98 | Short sale closed- call expired Option written: 10/20/21 |
| WISH 12/17/2021 PUT \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 08/03/21 | 1.000 | -320.02 | 08/02/21 | 0.00 | ... | -320.02 | Short sale closed- put ²⁵ Option written: 03/18/21 |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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|--|----------|---|-------------------|-------------------------|--|---|---|
| WKHS 01/21/2022 PUT \$15.00 / CUSIP: / Symbol: 05/10/21 | 1.000 | -35.02 | 05/07/21 | 0.00 | ... | -35.02 | Short sale closed- put ²⁵ Option written: 02/26/21 |
| WKHS 06/11/2021 CALL \$15.50 / CUSIP: / Symbol: 06/11/21 | 1.000 | 4.98 | 06/11/21 | 46.00 | ... | -41.02 | Option sale to close-call ²⁵ |
| WKHS 06/11/2021 PUT \$15.50 / CUSIP: / Symbol: 06/11/21 | 1.000 | 58.98 | 06/11/21 | 49.00 | ... | 9.98 | Option sale to close-put ²⁵ |
| WMT 01/20/2023 CALL \$145.00 / CUSIP: / Symbol: 12/28/21 | 1.000 | 1,072.98 | 10/06/21 | 924.00 | ... | 148.98 | Option sale to close-call ²⁵ |
| WMT 01/20/2023 CALL \$155.00 / CUSIP: / Symbol: 12/29/21 | 1.000 | -90.02 | 12/28/21 | 0.00 | ... | -90.02 | Short sale closed- call ²⁵ Option written: 10/06/21 |
| WMT 01/21/2022 CALL \$135.00 / CUSIP: / Symbol: 07/20/21 | 1.000 | 1,169.98 | 03/02/21 | 1,130.00 | ... | 39.98 | Option sale to close-call ²⁵ |
| WOOF 03/19/2021 PUT \$22.50 / CUSIP: / Symbol: 02/24/21 | 1.000 | 189.98 | 02/24/21 | 195.00 | ... | -5.02 | Option sale to close-put ²⁵ |
| WORK 01/15/2021 CALL \$31.00 / CUSIP: / Symbol: 01/12/21 | 1.000 | 1,086.96 | 10/21/20 | 241.00 | ... | 845.96 | Option sale to close-call ²⁵ |
| WORK 01/15/2021 CALL \$32.00 / CUSIP: / Symbol: 01/13/21 | 1.000 | -793.01 | 01/12/21 | 0.00 | ... | -793.01 | Short sale closed- call ²⁵ Option written: 10/21/20 |
| WYNN 02/05/2021 CALL \$116.00 / CUSIP: / Symbol: 02/05/21 | 1.000 | 259.98 | 02/05/21 | 135.00 | ... | 124.98 | Option sale to close-call ²⁵ |
| X 02/05/2021 CALL \$16.50 / CUSIP: / Symbol: 02/03/21 | 1.000 | 53.98 | 02/02/21 | 145.00 | ... | -91.02 | Option sale to close-call ²⁵ |
| X 09/10/2021 CALL \$26.50 / CUSIP: / Symbol: 09/10/21 | 1.000 | 0.00 | 09/10/21 | 43.00 | ... | -43.00 | Option expiration- long call |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| XBI 03/05/2021 CALL \$155.00 / CUSIP: / Symbol: | | | | | | | |
| 03/05/21 | 1.000 | 0.00 | 02/24/21 | 385.00 | ... | -385.00 | Option expiration- long call |
| XEC 09/17/2021 CALL \$70.00 / CUSIP: / Symbol: | | | | | | | |
| 09/15/21 | 1.000 | 968.98 | 06/02/21 | 510.00 | ... | 458.98 | Option sale to close-call ²⁵ |
| XEC 09/17/2021 CALL \$80.00 / CUSIP: / Symbol: | | | | | | | |
| 09/16/21 | 1.000 | 55.98 | 09/15/21 | 0.00 | ... | 55.98 | Short sale closed- call ²⁵ Option written: 06/02/21 |
| XLC 01/22/2021 CALL \$66.00 / CUSIP: / Symbol: | | | | | | | |
| 01/22/21 | 1.000 | 284.98 | 01/19/21 | 85.00 | ... | 199.98 | Option sale to close-call ²⁵ |
| XLC 01/22/2021 CALL \$67.00 / CUSIP: / Symbol: | | | | | | | |
| 01/22/21 | 1.000 | -90.02 | 01/21/21 | 0.00 | ... | -90.02 | Short sale closed- call ²⁵ Option written: 01/20/21 |
| XLF 01/21/2022 CALL \$38.00 / CUSIP: / Symbol: | | | | | | | |
| 10/25/21 | 1.000 | 314.98 | 06/04/21 | 250.00 | ... | 64.98 | Option sale to close-call ²⁵ |
| XLF 02/26/2021 CALL \$31.50 / CUSIP: / Symbol: | | | | | | | |
| | | 2 transactions for 02/26/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 1.000 | 99.98 | 02/16/21 | 75.00 | ... | 24.98 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 99.98 | 02/16/21 | 69.00 | ... | 30.98 | 2 of 2 - Option sale to close-call ²⁵ |
| 02/26/21 | 2.000 | 199.96 | Various | 144.00 | ... | 55.96 | Total of 2 transactions |
| XLF 02/26/2021 PUT \$32.50 / CUSIP: / Symbol: | | | | | | | |
| | | 2 transactions for 02/26/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 1.000 | 4.98 | 02/22/21 | 28.00 | ... | -23.02 | 1 of 2 - Option sale to close-put ²⁵ |
| | 1.000 | 4.98 | 02/22/21 | 28.00 | ... | -23.02 | 2 of 2 - Option sale to close-put ²⁵ |
| 02/26/21 | 2.000 | 9.96 | Various | 56.00 | ... | -46.04 | Total of 2 transactions |
| XOM 01/22/2021 CALL \$48.50 / CUSIP: / Symbol: | | | | | | | |
| 01/22/21 | 1.000 | 0.00 | 01/21/21 | 29.00 | ... | -29.00 | Option expiration- long call |
| XOM 02/26/2021 CALL \$52.00 / CUSIP: / Symbol: | | | | | | | |
| 02/23/21 | 1.000 | 259.98 | 02/19/21 | 95.00 | ... | 164.98 | Option sale to close-call ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|----------|---|-------------------|-------------------------|--|---|--|
| XOM 02/26/2021 PUT \$55.00 / CUSIP: / Symbol: | | | | | | | |
| 02/23/21 | 1.000 | 118.98 | 02/22/21 | 135.00 | ... | -16.02 | Option sale to close-put ²⁵ |
| XP 02/19/2021 CALL \$43.00 / CUSIP: / Symbol: | | | | | | | |
| 02/02/21 | 1.000 | 729.97 | 01/22/21 | 165.00 | ... | 564.97 | Option sale to close-call ²⁵ |
| XP 02/19/2021 CALL \$55.00 / CUSIP: / Symbol: | | | | | | | |
| 02/08/21 | 1.000 | 9.98 | 02/02/21 | 90.00 | ... | -80.02 | Option sale to close-call ²⁵ |
| XP 03/19/2021 CALL \$47.00 / CUSIP: / Symbol: | | | | | | | |
| 02/24/21 | 1.000 | 217.98 | 02/22/21 | 320.00 | ... | -102.02 | Option sale to close-call ²⁵ |
| XP 03/19/2021 PUT \$46.00 / CUSIP: / Symbol: | | | | | | | |
| 02/24/21 | 1.000 | 236.98 | 02/22/21 | 260.00 | ... | -23.02 | Option sale to close-put ²⁵ |
| XPEV 01/08/2021 CALL \$48.00 / CUSIP: / Symbol: | | | | | | | |
| 01/08/21 | 1.000 | 0.00 | 01/04/21 | 254.00 | ... | -254.00 | Option expiration- long call |
| XPEV 01/08/2021 CALL \$50.00 / CUSIP: / Symbol: | | | | | | | |
| 01/08/21 | 1.000 | 182.98 | 01/08/21 | 0.00 | ... | 182.98 | Short sale closed- call expired Option written: 01/04/21 |
| YALA 10/15/2021 PUT \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 07/01/21 | 1.000 | 89.98 | 06/30/21 | 0.00 | ... | 89.98 | Short sale closed- put ²⁵ Option written: 03/22/21 |
| YSG 02/19/2021 CALL \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 02/04/21 | 1.000 | 89.98 | 02/04/21 | 90.00 | ... | -0.02 | Option sale to close-call ²⁵ |
| ZIM 07/16/2021 CALL \$40.00 / CUSIP: / Symbol: | | | | | | | |
| 07/12/21 | 1.000 | 309.98 | 07/08/21 | 145.00 | ... | 164.98 | Option sale to close-call ²⁵ |
| ZIM 09/17/2021 PUT \$48.00 / CUSIP: / Symbol: | | | | | | | |
| 09/07/21 | 1.000 | 37.98 | 08/25/21 | 215.00 | ... | -177.02 | Option sale to close-put ²⁵ |
| ZIM 10/15/2021 CALL \$38.00 / CUSIP: / Symbol: | | | | | | | |
| 08/26/21 | 1.000 | 1,019.98 | 06/04/21 | 723.00 | ... | 296.98 | Option sale to close-call ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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|--|---|---|-------------------|-------------------------|--|---|---|
| ZIM 10/15/2021 CALL \$48.00 / CUSIP: / Symbol: | | | | | | | |
| 08/27/21 | 1.000 | 46.98 | 08/26/21 | 0.00 | ... | 46.98 | Short sale closed- call ²⁵ Option written: 06/04/21 |
| ZIM 10/15/2021 CALL \$53.00 / CUSIP: / Symbol: | | | | | | | |
| 09/08/21 | 1.000 | -470.02 | 09/07/21 | 0.00 | ... | -470.02 | Short sale closed- call ²⁵ Option written: 07/28/21 |
| ZTO 01/15/2021 CALL \$31.00 / CUSIP: / Symbol: | | | | | | | |
| 01/15/21 | 1.000 | 0.00 | 11/20/20 | 145.00 | ... | -145.00 | Option expiration- long call |
| AGNC INVESTMENT CORP. COMMON S TOCK / CUSIP: 00123Q104 / Symbol: | | | | | | | |
| 01/08/21 | 100.000 | 1,563.95 N | 12/28/20 | 1,579.80 | ... | -15.85 | Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$86.00 |
| AES CORPORATION / CUSIP: 00130H105 / Symbol: | | | | | | | |
| 03/09/21 | 10.000 | 276.80 | 03/09/21 | 277.10 | 0.30 W | 0.00 | Sale ²⁵ |
| | 2 transactions for 03/22/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 90.000 | 2,330.07 | 03/09/21 | 2,490.30 | ... | -160.23 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 258.90 | 03/09/21 | 277.00 | ... | -18.10 | 2 of 2 - Sale ²⁵ |
| 03/22/21 | 100.000 | 2,588.97 | Various | 2,767.30 | ... | -178.33 | Total of 2 transactions |
| | Security total: | 2,865.77 | | 3,044.40 | 0.30 W | -178.33 | |
| AT&T INC. / CUSIP: 00206R102 / Symbol: | | | | | | | |
| | 4 transactions for 07/07/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 28.99 | 06/29/21 | 28.81 | ... | 0.18 | 1 of 4 - Sale ²⁵ |
| | 9.000 | 260.93 | 06/29/21 | 259.25 | ... | 1.68 | 2 of 4 - Sale ²⁵ |
| | 20.000 | 579.84 | 06/29/21 | 576.10 | ... | 3.74 | 3 of 4 - Sale ²⁵ |
| | 20.000 | 579.85 | 06/29/21 | 576.11 | ... | 3.74 | 4 of 4 - Sale ²⁵ |
| 07/07/21 | 50.000 | 1,449.61 | Various | 1,440.27 | ... | 9.34 | Total of 4 transactions |
| 07/09/21 | 1.000 | 28.50 | 07/09/21 | 28.50 | ... | 0.00 | Sale ²⁵ |
| 10/05/21 | 7.000 | 191.74 | 09/21/21 | 191.01 | ... | 0.73 | Sale ²⁵ |
| | 6 transactions for 10/25/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 3.000 | 76.45 | 09/21/21 | 81.86 | ... | -5.41 | 1 of 6 - Sale ²⁵ |
| | 37.000 | 942.90 | 09/23/21 | 1,007.74 | ... | -64.84 | 2 of 6 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------------|
| AT&T INC. / CUSIP: 00206R102 / Symbol: (cont'd) | | | | | | | |
| | 2.000 | 50.97 | 10/06/21 | 54.18 | ... | -3.21 | 3 of 6 - Sale ²⁵ |
| | 40.000 | 1,019.35 | 10/11/21 | 1,043.42 | ... | -24.07 | 4 of 6 - Sale ²⁵ |
| | 15.000 | 382.26 | 10/22/21 | 382.31 | ... | -0.05 | 5 of 6 - Sale ²⁵ |
| | 3.000 | 76.45 | 10/22/21 | 76.46 | ... | -0.01 | 6 of 6 - Sale ²⁵ |
| 10/25/21 | 100.000 | 2,548.38 | Various | 2,645.97 | ... | -97.59 | Total of 6 transactions |
| | Security total: | 4,218.23 | | 4,305.75 | ... | -87.52 | |
| ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol: | | | | | | | |
| 3 transactions for 01/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 725.10 | 10/16/20 | 513.50 | ... | 211.60 | 1 of 3 - Sale ²⁵ |
| | 5.000 | 725.11 | 11/09/20 | 504.14 | ... | 220.97 | 2 of 3 - Sale ²⁵ |
| | 0.810 | 117.45 | 12/02/20 | 89.81 | ... | 27.64 | 3 of 3 - Sale ²⁵ |
| 01/19/21 | 10.810 | 1,567.66 | Various | 1,107.45 | ... | 460.21 | Total of 3 transactions |
| 02/16/21 | 5.000 | 793.39 | 12/02/20 | 554.50 | ... | 238.89 | Sale ²⁵ |
| 03/04/21 | 4.190 | 482.37 | 12/02/20 | 464.69 | ... | 17.68 | Sale ²⁵ |
| 10 transactions for 03/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 575.60 | 12/09/20 | 615.50 | 39.90 W | 0.00 | 1 of 10 - Sale ²⁵ |
| | 5.000 | 575.60 | 12/15/20 | 618.60 | 43.00 W | 0.00 | 2 of 10 - Sale ²⁵ |
| | 10.000 | 1,151.19 | 12/15/20 | 1,247.70 | 96.51 W | 0.00 | 3 of 10 - Sale ²⁵ |
| | 5.000 | 575.60 | 12/28/20 | 649.20 | 73.60 W | 0.00 | 4 of 10 - Sale ²⁵ |
| | 5.000 | 575.60 | 12/28/20 | 656.10 | 80.50 W | 0.00 | 5 of 10 - Sale ²⁵ |
| | 10.000 | 1,151.19 | 12/29/20 | 1,228.24 | 77.05 W | 0.00 | 6 of 10 - Sale ²⁵ |
| | 0.810 | 93.23 | 01/04/21 | 102.22 | 8.99 W | 0.00 | 7 of 10 - Sale ²⁵ |
| | 40.000 | 4,604.76 | 01/06/21 | 5,169.64 | 564.88 W | 0.00 | 8 of 10 - Sale ²⁵ |
| | 10.000 | 1,151.19 | 01/11/21 | 1,371.80 | 220.61 W | 0.00 | 9 of 10 - Sale ²⁵ |
| | 5.000 | 575.60 | 01/26/21 | 717.50 | 141.90 W | 0.00 | 10 of 10 - Sale ²⁵ |
| 03/04/21 | 95.810 | 11,029.56 | Various | 12,376.50 | 1,346.94 W | 0.00 | Total of 10 transactions |
| 24 transactions for 03/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.190 | 22.42 | 03/04/21 | 26.49 | 4.07 W | 0.00 | 1 of 24 - Sale ²⁵ |
| | 0.810 | 95.48 | 03/04/21 | 103.18 | 7.70 W | 0.00 | 2 of 24 - Sale ²⁵ |
| | 1.000 | 117.90 | 03/04/21 | 123.12 | 5.22 W | 0.00 | 3 of 24 - Sale ²⁵ |
| | 4.000 | 471.60 | 03/04/21 | 497.12 | 25.52 W | 0.00 | 4 of 24 - Sale ²⁵ |
| | 5.000 | 589.50 | 03/04/21 | 624.50 | 35.00 W | 0.00 | 5 of 24 - Sale ²⁵ |
| | 5.000 | 589.50 | 03/04/21 | 655.10 | 65.60 W | 0.00 | 6 of 24 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|---|---|-------------------|-------------------------|--|---|-------------------------------|
| ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol: (cont'd) | | | | | | | |
| | 5.000 | 589.50 | 03/04/21 | 662.00 | 72.50 W | 0.00 | 7 of 24 - Sale ²⁵ |
| | 10.000 | 1,178.99 | 03/04/21 | 1,240.05 | 61.06 W | 0.00 | 8 of 24 - Sale ²⁵ |
| | 10.000 | 1,178.99 | 03/04/21 | 1,259.51 | 80.52 W | 0.00 | 9 of 24 - Sale ²⁵ |
| | 10.190 | 1,201.41 | 03/04/21 | 1,329.02 | 127.61 W | 0.00 | 10 of 24 - Sale ²⁵ |
| | 29.810 | 3,514.55 | 03/04/21 | 3,917.67 | 403.12 W | 0.00 | 11 of 24 - Sale ²⁵ |
| | 0.190 | 20.61 | 03/05/21 | 22.42 | 1.81 W | 0.00 | 12 of 24 - Sale ²⁵ |
| | 0.190 | 20.61 | 03/05/21 | 24.20 | 3.59 W | 0.00 | 13 of 24 - Sale ²⁵ |
| | 0.190 | 21.85 | 03/05/21 | 23.67 | 1.82 W | 0.00 | 14 of 24 - Sale ²⁵ |
| | 0.190 | 21.85 | 03/05/21 | 27.90 | 6.05 W | 0.00 | 15 of 24 - Sale ²⁵ |
| | 1.000 | 108.39 | 03/05/21 | 121.46 | 13.07 W | 0.00 | 16 of 24 - Sale ²⁵ |
| | 1.000 | 114.90 | 03/05/21 | 121.65 | 6.75 W | 0.00 | 17 of 24 - Sale ²⁵ |
| | 4.000 | 459.60 | 03/05/21 | 491.26 | 31.66 W | 0.00 | 18 of 24 - Sale ²⁵ |
| | 4.810 | 521.33 | 03/05/21 | 601.00 | 79.67 W | 0.00 | 19 of 24 - Sale ²⁵ |
| | 5.000 | 541.95 | 03/05/21 | 605.85 | 63.90 W | 0.00 | 20 of 24 - Sale ²⁵ |
| | 5.000 | 541.95 | 03/05/21 | 643.35 | 101.40 W | 0.00 | 21 of 24 - Sale ²⁵ |
| | 4.810 | 552.64 | 03/05/21 | 696.54 | 143.90 W | 0.00 | 22 of 24 - Sale ²⁵ |
| | 8.810 | 954.89 | 03/05/21 | 1,076.76 | 121.87 W | 0.00 | 23 of 24 - Sale ²⁵ |
| | 9.810 | 1,127.14 | 03/05/21 | 1,377.07 | 249.93 W | 0.00 | 24 of 24 - Sale ²⁵ |
| 03/05/21 | 126.000 | 14,557.55 | Various | 16,270.89 | 1,713.34 W | 0.00 | Total of 24 transactions |
| | 9 transactions for 03/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.810 | 650.06 | 03/08/21 | 838.17 | ... | -188.11 | 1 of 9 - Sale ²⁵ |
| | 4.000 | 447.56 | 03/08/21 | 594.82 | ... | -147.26 | 2 of 9 - Sale ²⁵ |
| | 4.000 | 466.95 | 03/08/21 | 577.07 | ... | -110.12 | 3 of 9 - Sale ²⁵ |
| | 4.000 | 466.96 | 03/08/21 | 506.82 | ... | -39.86 | 4 of 9 - Sale ²⁵ |
| | 0.620 | 72.34 | 03/08/21 | 82.00 | ... | -9.66 | 5 of 9 - Sale ²⁵ |
| | 1.000 | 116.74 | 03/08/21 | 125.54 | ... | -8.80 | 6 of 9 - Sale ²⁵ |
| | 0.190 | 21.27 | 03/08/21 | 28.64 | ... | -7.37 | 7 of 9 - Sale ²⁵ |
| | 0.190 | 22.20 | 03/08/21 | 26.66 | ... | -4.46 | 8 of 9 - Sale ²⁵ |
| | 0.190 | 22.20 | 03/08/21 | 24.41 | ... | -2.21 | 9 of 9 - Sale ²⁵ |
| 03/08/21 | 20.000 | 2,286.28 | Various | 2,804.13 | ... | -517.85 | Total of 9 transactions |
| | 7 transactions for 03/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 1,151.10 | 03/05/21 | 1,293.55 | 2.71 W | -139.74 | 1 of 7 - Sale ²⁵ |
| | 0.810 | 93.22 | 03/05/21 | 97.52 | 4.30 W | 0.00 | 2 of 7 - Sale ²⁵ |
| | 0.810 | 93.22 | 03/05/21 | 102.76 | 9.54 W | 0.00 | 3 of 7 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol: (cont'd) | | | | | | | |
| | 3.000 | 345.33 | 03/05/21 | 370.29 | 24.96 W | 0.00 | 4 of 7 - Sale ²⁵ |
| | 6.380 | 734.43 | 03/05/21 | 787.54 | 53.11 W | 0.00 | 5 of 7 - Sale ²⁵ |
| | 9.810 | 1,129.21 | 03/05/21 | 1,147.91 | 18.70 W | 0.00 | 6 of 7 - Sale ²⁵ |
| | 19.190 | 2,208.97 | 03/05/21 | 2,454.29 | 245.32 W | 0.00 | 7 of 7 - Sale ²⁵ |
| 03/08/21 | 50.000 | 5,755.48 | Various | 6,253.86 | 358.64 W | -139.74 | Total of 7 transactions |
| | 4 transactions for 03/10/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.190 | 508.55 | 03/09/21 | 557.47 | ... | -48.92 | 1 of 4 - Sale ²⁵ |
| | 4.000 | 492.83 | 03/09/21 | 532.18 | ... | -39.35 | 2 of 4 - Sale ²⁵ |
| | 0.810 | 98.29 | 03/09/21 | 120.77 | ... | -22.48 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 123.21 | 03/09/21 | 132.28 | ... | -9.07 | 4 of 4 - Sale ²⁵ |
| 03/10/21 | 10.000 | 1,222.88 | Various | 1,342.70 | ... | -119.82 | Total of 4 transactions |
| | 7 transactions for 03/15/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.380 | 551.56 | 03/09/21 | 612.06 | ... | -60.50 | 1 of 7 - Sale ²⁵ |
| | 4.380 | 553.83 | 03/09/21 | 579.21 | ... | -25.38 | 2 of 7 - Sale ²⁵ |
| | 0.620 | 78.36 | 03/09/21 | 82.59 | ... | -4.23 | 3 of 7 - Sale ²⁵ |
| | 0.620 | 78.03 | 03/09/21 | 81.94 | ... | -3.91 | 4 of 7 - Sale ²⁵ |
| | 4.190 | 533.27 | 03/10/21 | 597.78 | ... | -64.51 | 5 of 7 - Sale ²⁵ |
| | 0.620 | 78.87 | 03/10/21 | 90.72 | ... | -11.85 | 6 of 7 - Sale ²⁵ |
| | 0.190 | 24.20 | 03/10/21 | 27.57 | ... | -3.37 | 7 of 7 - Sale ²⁵ |
| 03/15/21 | 15.000 | 1,898.12 | Various | 2,071.87 | ... | -173.75 | Total of 7 transactions |
| | 3 transactions for 03/22/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.190 | 516.66 | 03/10/21 | 532.10 | ... | -15.44 | 1 of 3 - Sale ²⁵ |
| | 0.620 | 76.41 | 03/10/21 | 87.77 | ... | -11.36 | 2 of 3 - Sale ²⁵ |
| | 0.190 | 23.45 | 03/10/21 | 25.59 | ... | -2.14 | 3 of 3 - Sale ²⁵ |
| 03/22/21 | 5.000 | 616.52 | Various | 645.46 | ... | -28.94 | Total of 3 transactions |
| | 2 transactions for 03/26/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 456.80 | 03/11/21 | 510.22 | ... | -53.42 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 114.21 | 03/11/21 | 127.56 | ... | -13.35 | 2 of 2 - Sale ²⁵ |
| 03/26/21 | 5.000 | 571.01 | Various | 637.78 | ... | -66.77 | Total of 2 transactions |
| | 5 transactions for 06/24/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.620 | 77.64 | 03/15/21 | 79.50 | 1.86 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 0.810 | 101.47 | 03/15/21 | 106.65 | 5.18 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 2.190 | 274.40 | 03/15/21 | 298.81 | 24.41 W | 0.00 | 3 of 5 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

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|--|--|---|-------------------|-------------------------|--|---|------------------------------|
| ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol: (cont'd) | | | | | | | |
| | 2.810 | 352.04 | 03/15/21 | 383.38 | 31.34 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 3.570 | 447.34 | 03/15/21 | 480.95 | 33.61 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 06/24/21 | 10.000 | 1,252.89 | Various | 1,349.29 | 96.40 W | 0.00 | Total of 5 transactions |
| | 5 transactions for 06/25/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.810 | 100.83 | 03/22/21 | 106.65 | 5.82 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 0.810 | 100.83 | 03/22/21 | 109.45 | 8.62 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 3.380 | 420.88 | 03/22/21 | 460.24 | 39.36 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 3.000 | 373.53 | 03/31/21 | 395.47 | 21.94 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 2.000 | 249.02 | 04/01/21 | 271.47 | 22.45 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 06/25/21 | 10.000 | 1,245.09 | Various | 1,343.28 | 98.19 W | 0.00 | Total of 5 transactions |
| | 5 transactions for 06/29/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 129.50 | 04/01/21 | 135.02 | 5.52 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 1.000 | 129.50 | 04/01/21 | 137.13 | 7.63 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 1.000 | 129.50 | 04/01/21 | 137.14 | 7.64 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 3.000 | 388.49 | 04/01/21 | 411.40 | 22.91 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 4.000 | 517.99 | 04/01/21 | 540.10 | 22.11 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 06/29/21 | 10.000 | 1,294.98 | Various | 1,360.79 | 65.81 W | 0.00 | Total of 5 transactions |
| | 2 transactions for 06/30/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.810 | 105.31 | 06/23/21 | 104.87 | ... | 0.44 | 1 of 2 - Sale ²⁵ |
| | 0.620 | 80.59 | 06/23/21 | 78.15 | ... | 2.44 | 2 of 2 - Sale ²⁵ |
| 06/30/21 | 1.430 | 185.90 | Various | 183.02 | ... | 2.88 | Total of 2 transactions |
| | 6 transactions for 06/30/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.190 | 24.73 | 04/05/21 | 25.92 | 1.19 W | 0.00 | 1 of 6 - Sale ²⁵ |
| | 0.810 | 105.31 | 04/05/21 | 109.18 | 3.87 W | 0.00 | 2 of 6 - Sale ²⁵ |
| | 2.570 | 334.26 | 06/23/21 | 340.62 | 6.36 W | 0.00 | 3 of 6 - Sale ²⁵ |
| | 1.000 | 130.04 | 06/24/21 | 134.95 | 4.91 W | 0.00 | 4 of 6 - Sale ²⁵ |
| | 1.190 | 154.76 | 06/24/21 | 162.67 | 7.91 W | 0.00 | 5 of 6 - Sale ²⁵ |
| | 2.810 | 365.39 | 06/24/21 | 384.10 | 18.71 W | 0.00 | 6 of 6 - Sale ²⁵ |
| 06/30/21 | 8.570 | 1,114.49 | Various | 1,157.44 | 42.95 W | 0.00 | Total of 6 transactions |
| | 38 transactions for 07/06/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.810 | 102.99 | 06/25/21 | 107.60 | 4.61 W | 0.00 | 1 of 38 - Sale ²⁵ |
| | 0.810 | 102.99 | 06/25/21 | 110.40 | 7.41 W | 0.00 | 2 of 38 - Sale ²⁵ |
| | 1.000 | 127.17 | 06/25/21 | 136.83 | 9.66 W | 0.00 | 3 of 38 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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|--|----------|---|-------------------|-------------------------|--|---|-------------------------------|
| ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 127.17 | 06/25/21 | 136.91 | 9.74 W | 0.00 | 4 of 38 - Sale ²⁵ |
| | 3.000 | 381.51 | 06/25/21 | 398.98 | 17.47 W | 0.00 | 5 of 38 - Sale ²⁵ |
| | 3.380 | 429.87 | 06/25/21 | 464.20 | 34.33 W | 0.00 | 6 of 38 - Sale ²⁵ |
| | 1.000 | 126.99 | 06/28/21 | 134.79 | 7.80 W | 0.00 | 7 of 38 - Sale ²⁵ |
| | 1.000 | 126.99 | 06/28/21 | 140.48 | 13.49 W | 0.00 | 8 of 38 - Sale ²⁵ |
| | 1.000 | 127.17 | 06/28/21 | 134.78 | 7.61 W | 0.00 | 9 of 38 - Sale ²⁵ |
| | 1.000 | 127.17 | 06/28/21 | 136.89 | 9.72 W | 0.00 | 10 of 38 - Sale ²⁵ |
| | 1.000 | 127.17 | 06/28/21 | 136.90 | 9.73 W | 0.00 | 11 of 38 - Sale ²⁵ |
| | 2.000 | 254.33 | 06/28/21 | 269.57 | 15.24 W | 0.00 | 12 of 38 - Sale ²⁵ |
| | 3.000 | 381.50 | 06/28/21 | 410.69 | 29.19 W | 0.00 | 13 of 38 - Sale ²⁵ |
| | 0.190 | 24.15 | 06/30/21 | 26.05 | 1.90 W | 0.00 | 14 of 38 - Sale ²⁵ |
| | 0.430 | 54.55 | 06/30/21 | 60.31 | 5.76 W | 0.00 | 15 of 38 - Sale ²⁵ |
| | 0.810 | 102.84 | 06/30/21 | 109.74 | 6.90 W | 0.00 | 16 of 38 - Sale ²⁵ |
| | 1.000 | 126.99 | 06/30/21 | 135.64 | 8.65 W | 0.00 | 17 of 38 - Sale ²⁵ |
| | 1.000 | 126.99 | 06/30/21 | 136.26 | 9.27 W | 0.00 | 18 of 38 - Sale ²⁵ |
| | 1.190 | 151.14 | 06/30/21 | 163.50 | 12.36 W | 0.00 | 19 of 38 - Sale ²⁵ |
| | 2.570 | 326.42 | 06/30/21 | 342.39 | 15.97 W | 0.00 | 20 of 38 - Sale ²⁵ |
| | 2.810 | 356.82 | 06/30/21 | 386.04 | 29.22 W | 0.00 | 21 of 38 - Sale ²⁵ |
| | 0.430 | 54.55 | 07/06/21 | 54.94 | 0.39 W | 0.00 | 22 of 38 - Sale ²⁵ |
| | 0.430 | 54.55 | 07/06/21 | 61.11 | 6.56 W | 0.00 | 23 of 38 - Sale ²⁵ |
| | 0.570 | 72.44 | 07/06/21 | 78.11 | 5.67 W | 0.00 | 24 of 38 - Sale ²⁵ |
| | 0.810 | 102.84 | 07/06/21 | 107.68 | 4.84 W | 0.00 | 25 of 38 - Sale ²⁵ |
| | 0.810 | 102.84 | 07/06/21 | 110.48 | 7.64 W | 0.00 | 26 of 38 - Sale ²⁵ |
| | 0.810 | 102.84 | 07/06/21 | 111.29 | 8.45 W | 0.00 | 27 of 38 - Sale ²⁵ |
| | 1.000 | 126.99 | 07/06/21 | 135.51 | 8.52 W | 0.00 | 28 of 38 - Sale ²⁵ |
| | 1.000 | 126.99 | 07/06/21 | 136.66 | 9.67 W | 0.00 | 29 of 38 - Sale ²⁵ |
| | 1.000 | 126.99 | 07/06/21 | 137.62 | 10.63 W | 0.00 | 30 of 38 - Sale ²⁵ |
| | 1.000 | 126.99 | 07/06/21 | 137.63 | 10.64 W | 0.00 | 31 of 38 - Sale ²⁵ |
| | 1.000 | 126.99 | 07/06/21 | 137.64 | 10.65 W | 0.00 | 32 of 38 - Sale ²⁵ |
| | 1.000 | 126.99 | 07/06/21 | 142.35 | 15.36 W | 0.00 | 33 of 38 - Sale ²⁵ |
| | 2.000 | 253.97 | 07/06/21 | 271.04 | 17.07 W | 0.00 | 34 of 38 - Sale ²⁵ |
| | 2.570 | 326.41 | 07/06/21 | 347.19 | 20.78 W | 0.00 | 35 of 38 - Sale ²⁵ |
| | 2.570 | 326.42 | 07/06/21 | 354.87 | 28.45 W | 0.00 | 36 of 38 - Sale ²⁵ |
| | 3.000 | 380.97 | 07/06/21 | 401.17 | 20.20 W | 0.00 | 37 of 38 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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|--|--|---|-------------------|-------------------------|--|---|-------------------------------|
| ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol: (cont'd) | | | | | | | |
| 07/06/21 | 3.000 | 380.97 | 07/06/21 | 412.89 | 31.92 W | 0.00 | 38 of 38 - Sale ²⁵ |
| | 53.000 | 6,733.66 | Various | 7,217.13 | 483.47 W | 0.00 | Total of 38 transactions |
| | 3 transactions for 07/12/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 124.63 | 07/09/21 | 132.80 | 8.17 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 1.190 | 148.33 | 07/09/21 | 161.34 | 13.01 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 2.810 | 350.20 | 07/09/21 | 380.96 | 30.76 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 07/12/21 | 5.000 | 623.16 | Various | 675.10 | 51.94 W | 0.00 | Total of 3 transactions |
| | 5 transactions for 07/13/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.570 | 70.31 | 07/09/21 | 77.08 | 6.77 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 0.810 | 99.82 | 07/09/21 | 106.22 | 6.40 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 0.810 | 99.82 | 07/09/21 | 109.02 | 9.20 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 0.810 | 99.82 | 07/09/21 | 109.83 | 10.01 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 2.000 | 246.52 | 07/09/21 | 272.49 | 25.97 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 07/13/21 | 5.000 | 616.29 | Various | 674.64 | 58.35 W | 0.00 | Total of 5 transactions |
| | 4 transactions for 07/20/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.430 | 50.24 | 07/09/21 | 58.34 | 8.10 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.570 | 66.73 | 07/09/21 | 77.72 | 10.99 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 116.97 | 07/09/21 | 135.81 | 18.84 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 3.000 | 350.92 | 07/09/21 | 395.74 | 44.82 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 07/20/21 | 5.000 | 584.86 | Various | 667.61 | 82.75 W | 0.00 | Total of 4 transactions |
| 09/07/21 | 1.190 | 149.42 | 07/19/21 | 152.00 | ... | -2.58 | Sale ²⁵ |
| | 16 transactions for 09/07/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.190 | 23.87 | 07/09/21 | 25.70 | 1.83 W | 0.00 | 1 of 16 - Sale ²⁵ |
| | 0.430 | 53.93 | 07/09/21 | 57.43 | 3.50 W | 0.00 | 2 of 16 - Sale ²⁵ |
| | 0.570 | 71.62 | 07/09/21 | 77.48 | 5.86 W | 0.00 | 3 of 16 - Sale ²⁵ |
| | 0.810 | 101.68 | 07/09/21 | 108.28 | 6.60 W | 0.00 | 4 of 16 - Sale ²⁵ |
| | 1.000 | 125.55 | 07/09/21 | 134.45 | 8.90 W | 0.00 | 5 of 16 - Sale ²⁵ |
| | 3.000 | 376.65 | 07/09/21 | 407.45 | 30.80 W | 0.00 | 6 of 16 - Sale ²⁵ |
| | 0.430 | 53.93 | 07/12/21 | 54.10 | 0.17 W | 0.00 | 7 of 16 - Sale ²⁵ |
| | 0.570 | 71.62 | 07/12/21 | 76.19 | 4.57 W | 0.00 | 8 of 16 - Sale ²⁵ |
| | 1.000 | 125.55 | 07/12/21 | 135.69 | 10.14 W | 0.00 | 9 of 16 - Sale ²⁵ |
| | 2.000 | 251.10 | 07/12/21 | 267.15 | 16.05 W | 0.00 | 10 of 16 - Sale ²⁵ |
| | 0.430 | 53.93 | 07/13/21 | 59.86 | 5.93 W | 0.00 | 11 of 16 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|--|---|-------------------|-------------------------|--|---|-------------------------------|
| ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 125.55 | 07/13/21 | 133.75 | 8.20 W | 0.00 | 12 of 16 - Sale ²⁵ |
| | 1.000 | 125.55 | 07/13/21 | 139.44 | 13.89 W | 0.00 | 13 of 16 - Sale ²⁵ |
| | 2.570 | 322.71 | 07/13/21 | 339.72 | 17.01 W | 0.00 | 14 of 16 - Sale ²⁵ |
| | 1.000 | 125.55 | 07/15/21 | 126.31 | 0.76 W | 0.00 | 15 of 16 - Sale ²⁵ |
| | 2.810 | 352.77 | 07/19/21 | 358.89 | 4.36 W | -1.76 | 16 of 16 - Sale ²⁵ |
| 09/07/21 | 18.810 | 2,361.56 | Various | 2,501.89 | 138.57 W | -1.76 | Total of 16 transactions |
| | 9 transactions for 09/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 3.000 | 369.04 | 07/27/21 | 399.48 | ... | -30.44 | 1 of 9 - Sale ²⁵ |
| | 2.000 | 246.02 | 07/27/21 | 262.41 | ... | -16.39 | 2 of 9 - Sale ²⁵ |
| | 1.000 | 123.01 | 07/27/21 | 137.06 | ... | -14.05 | 3 of 9 - Sale ²⁵ |
| | 0.570 | 70.17 | 07/27/21 | 78.43 | ... | -8.26 | 4 of 9 - Sale ²⁵ |
| | 0.810 | 99.62 | 07/27/21 | 105.75 | ... | -6.13 | 5 of 9 - Sale ²⁵ |
| | 0.430 | 52.84 | 07/27/21 | 58.88 | ... | -6.04 | 6 of 9 - Sale ²⁵ |
| | 0.810 | 99.62 | 07/27/21 | 104.94 | ... | -5.32 | 7 of 9 - Sale ²⁵ |
| | 0.570 | 70.17 | 07/27/21 | 74.21 | ... | -4.04 | 8 of 9 - Sale ²⁵ |
| | 0.810 | 99.62 | 07/27/21 | 102.14 | ... | -2.52 | 9 of 9 - Sale ²⁵ |
| 09/08/21 | 10.000 | 1,230.11 | Various | 1,323.30 | ... | -93.19 | Total of 9 transactions |
| | 6 transactions for 09/15/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.570 | 300.35 | 09/15/21 | 317.47 | ... | -17.12 | 1 of 6 - Sale ²⁵ |
| | 1.430 | 167.05 | 09/15/21 | 181.78 | ... | -14.73 | 2 of 6 - Sale ²⁵ |
| | 0.430 | 50.19 | 09/15/21 | 56.14 | ... | -5.95 | 3 of 6 - Sale ²⁵ |
| | 0.570 | 66.65 | 09/15/21 | 72.54 | ... | -5.89 | 4 of 6 - Sale ²⁵ |
| | 2.000 | 233.70 | 09/15/21 | 238.14 | ... | -4.44 | 5 of 6 - Sale ²⁵ |
| | 1.000 | 116.85 | 09/15/21 | 117.65 | ... | -0.80 | 6 of 6 - Sale ²⁵ |
| 09/15/21 | 8.000 | 934.79 | Various | 983.72 | ... | -48.93 | Total of 6 transactions |
| | 11 transactions for 10/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 217.93 | 09/15/21 | 249.83 | ... | -31.90 | 1 of 11 - Sale ²⁵ |
| | 1.570 | 171.12 | 09/15/21 | 199.69 | ... | -28.57 | 2 of 11 - Sale ²⁵ |
| | 1.000 | 108.96 | 09/15/21 | 130.78 | ... | -21.82 | 3 of 11 - Sale ²⁵ |
| | 1.000 | 108.96 | 09/15/21 | 127.03 | ... | -18.07 | 4 of 11 - Sale ²⁵ |
| | 1.000 | 108.96 | 09/15/21 | 125.79 | ... | -16.83 | 5 of 11 - Sale ²⁵ |
| | 1.000 | 108.96 | 09/15/21 | 125.09 | ... | -16.13 | 6 of 11 - Sale ²⁵ |
| | 0.810 | 88.25 | 09/15/21 | 101.26 | ... | -13.01 | 7 of 11 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|---|---|-------------------|-------------------------|--|---|-------------------------------|
| ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol: (cont'd) | | | | | | | |
| | 0.570 | 62.16 | 09/15/21 | 71.25 | ... | -9.09 | 8 of 11 - Sale ²⁵ |
| | 0.430 | 46.81 | 09/15/21 | 53.71 | ... | -6.90 | 9 of 11 - Sale ²⁵ |
| | 0.430 | 46.81 | 09/15/21 | 50.38 | ... | -3.57 | 10 of 11 - Sale ²⁵ |
| | 0.190 | 20.72 | 09/15/21 | 24.06 | ... | -3.34 | 11 of 11 - Sale ²⁵ |
| 10/04/21 | 10.000 | 1,089.64 | Various | 1,258.87 | ... | -169.23 | Total of 11 transactions |
| | Security total: | 60,197.66 | | 65,377.91 | 4,537.35 W | -642.90 | |
| ARK AUTONOMOUS TECHNOLOGY & RO BOTICS ETF / CUSIP: 00214Q203 / Symbol: | | | | | | | |
| 01/25/21 | 0.841 | 77.28 | 10/16/20 | 52.79 | ... | 24.49 | Sale ²⁵ |
| | 3 transactions for 02/16/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.159 | 417.22 | 10/16/20 | 261.21 | ... | 156.01 | 1 of 3 - Sale ²⁵ |
| | 5.000 | 501.54 | 10/19/20 | 311.53 | ... | 190.01 | 2 of 3 - Sale ²⁵ |
| | 10.841 | 1,087.39 | 11/09/20 | 703.26 | ... | 384.13 | 3 of 3 - Sale ²⁵ |
| 02/16/21 | 20.000 | 2,006.15 | Various | 1,276.00 | ... | 730.15 | Total of 3 transactions |
| | 6 transactions for 03/05/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 9.159 | 697.30 | 11/09/20 | 594.20 | ... | 103.10 | 1 of 6 - Sale ²⁵ |
| | 10.000 | 761.29 | 12/02/20 | 684.00 | ... | 77.29 | 2 of 6 - Sale ²⁵ |
| | 10.000 | 761.30 | 12/09/20 | 721.25 | ... | 40.05 | 3 of 6 - Sale ²⁵ |
| | 20.000 | 1,522.59 | 12/15/20 | 1,436.38 | ... | 86.21 | 4 of 6 - Sale ²⁵ |
| | 5.000 | 380.65 | 12/16/20 | 359.65 | ... | 21.00 | 5 of 6 - Sale ²⁵ |
| | 20.000 | 1,522.59 | 12/28/20 | 1,517.60 | ... | 4.99 | 6 of 6 - Sale ²⁵ |
| 03/05/21 | 74.159 | 5,645.72 | Various | 5,313.08 | ... | 332.64 | Total of 6 transactions |
| | 3 transactions for 03/05/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 380.65 | 12/28/20 | 381.93 | 1.28 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 0.841 | 64.00 | 01/04/21 | 65.61 | 1.61 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 20.000 | 1,522.58 | 01/28/21 | 1,784.89 | 262.31 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 03/05/21 | 25.841 | 1,967.23 | Various | 2,232.43 | 265.20 W | 0.00 | Total of 3 transactions |
| | 5 transactions for 03/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.841 | 66.91 | 03/05/21 | 66.04 | ... | 0.87 | 1 of 5 - Sale ²⁵ |
| | 10.000 | 795.99 | 03/05/21 | 783.64 | ... | 12.35 | 2 of 5 - Sale ²⁵ |
| | 5.000 | 398.00 | 03/05/21 | 384.53 | ... | 13.47 | 3 of 5 - Sale ²⁵ |
| | 14.159 | 1,127.08 | 03/05/21 | 1,085.32 | ... | 41.76 | 4 of 5 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| ARK AUTONOMOUS TECHNOLOGY & RO BOTICS ETF / CUSIP: 00214Q203 / Symbol: (cont'd) | | | | | | | |
| | 10.000 | 803.59 | 03/08/21 | 809.00 | ... | -5.41 | 5 of 5 - Sale ²⁵ |
| 03/08/21 | 40.000 | 3,191.57 | Various | 3,128.53 | ... | 63.04 | Total of 5 transactions |
| | 4 transactions for 03/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 795.99 | 03/05/21 | 796.78 | 0.79 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 20.000 | 1,591.99 | 03/05/21 | 1,795.31 | 203.32 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 10.000 | 803.60 | 03/08/21 | 809.79 | 6.19 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 20.000 | 1,607.20 | 03/08/21 | 1,821.32 | 214.12 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 03/08/21 | 60.000 | 4,798.78 | Various | 5,223.20 | 424.42 W | 0.00 | Total of 4 transactions |
| 03/18/21 | 10.000 | 856.45 | 03/09/21 | 921.06 | ... | -64.61 | Sale ²⁵ |
| 06/24/21 | 10.000 | 852.59 | 03/09/21 | 926.46 | 73.87 W | 0.00 | Sale ²⁵ |
| | 5 transactions for 06/30/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 864.80 | 03/10/21 | 850.86 | ... | 13.94 | 1 of 5 - Sale ²⁵ |
| | 5.000 | 432.40 | 06/23/21 | 457.28 | ... | -24.88 | 2 of 5 - Sale ²⁵ |
| | 5.000 | 432.40 | 06/23/21 | 455.87 | ... | -23.47 | 3 of 5 - Sale ²⁵ |
| | 10.000 | 864.79 | 06/24/21 | 853.99 | ... | 10.80 | 4 of 5 - Sale ²⁵ |
| | 10.000 | 864.79 | 06/28/21 | 867.27 | ... | -2.48 | 5 of 5 - Sale ²⁵ |
| 06/30/21 | 40.000 | 3,459.18 | Various | 3,485.27 | ... | -26.09 | Total of 5 transactions |
| | Security total: | 22,854.95 | | 22,558.82 | 763.49 W | 1,059.62 | |
| ARK GENOMIC REVOLUTION ETF / CUSIP: 00214Q302 / Symbol: | | | | | | | |
| 01/12/21 | 30.000 | 3,117.98 | 01/11/21 | 3,099.00 | ... | 18.98 | Sale ²⁵ |
| 02/22/21 | 10.000 | 1,048.79 | 02/11/21 | 1,096.90 | ... | -48.11 | Sale ²⁵ |
| 02/22/21 | 10.000 | 1,048.80 | 01/21/21 | 1,099.44 | 50.64 W | 0.00 | Sale ²⁵ |
| 03/09/21 | 10.000 | 879.99 | 03/09/21 | 930.04 | ... | -50.05 | Sale ²⁵ |
| | Security total: | 6,095.56 | | 6,225.38 | 50.64 W | -79.18 | |
| ARK NEXT GENERATION INTERNET E TF / CUSIP: 00214Q401 / Symbol: | | | | | | | |
| 01/21/21 | 0.641 | 104.83 | 10/16/20 | 75.86 | ... | 28.97 | Sale ²⁵ |
| | 4 transactions for 02/01/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.359 | 716.78 | 10/16/20 | 515.64 | ... | 201.14 | 1 of 4 - Sale ²⁵ |
| | 5.000 | 822.23 | 11/09/20 | 605.27 | ... | 216.96 | 2 of 4 - Sale ²⁵ |
| | 10.000 | 1,644.46 | 12/02/20 | 1,326.00 | ... | 318.46 | 3 of 4 - Sale ²⁵ |
| | 0.641 | 105.45 | 12/09/20 | 90.96 | ... | 14.49 | 4 of 4 - Sale ²⁵ |
| 02/01/21 | 20.000 | 3,288.92 | Various | 2,537.87 | ... | 751.05 | Total of 4 transactions |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|-------------------------------|
| ARK NEXT GENERATION INTERNET E TF / CUSIP: 00214Q401 / Symbol: (cont'd) | | | | | | | |
| 17 transactions for 02/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.359 | 784.56 | 12/09/20 | 618.28 | ... | 166.28 | 1 of 17 - Sale ²⁵ |
| | 10.000 | 1,799.96 | 12/15/20 | 1,447.13 | ... | 352.83 | 2 of 17 - Sale ²⁵ |
| | 5.000 | 899.98 | 12/28/20 | 749.50 | ... | 150.48 | 3 of 17 - Sale ²⁵ |
| | 5.000 | 899.98 | 12/28/20 | 741.87 | ... | 158.11 | 4 of 17 - Sale ²⁵ |
| | 5.000 | 899.98 | 12/28/20 | 740.58 | ... | 159.40 | 5 of 17 - Sale ²⁵ |
| | 0.641 | 115.42 | 01/04/21 | 94.72 | ... | 20.70 | 6 of 17 - Sale ²⁵ |
| | 10.000 | 1,799.96 | 01/11/21 | 1,547.30 | ... | 252.66 | 7 of 17 - Sale ²⁵ |
| | 10.000 | 1,799.95 | 01/11/21 | 1,543.00 | ... | 256.95 | 8 of 17 - Sale ²⁵ |
| | 10.000 | 1,799.96 | 01/11/21 | 1,539.00 | ... | 260.96 | 9 of 17 - Sale ²⁵ |
| | 2.000 | 359.99 | 01/15/21 | 314.09 | ... | 45.90 | 10 of 17 - Sale ²⁵ |
| | 2.000 | 360.00 | 01/15/21 | 314.09 | ... | 45.91 | 11 of 17 - Sale ²⁵ |
| | 2.000 | 360.00 | 01/15/21 | 314.09 | ... | 45.91 | 12 of 17 - Sale ²⁵ |
| | 4.000 | 719.98 | 01/15/21 | 628.18 | ... | 91.80 | 13 of 17 - Sale ²⁵ |
| | 10.000 | 1,799.96 | 01/15/21 | 1,570.44 | ... | 229.52 | 14 of 17 - Sale ²⁵ |
| | 1.000 | 180.00 | 01/26/21 | 163.22 | ... | 16.78 | 15 of 17 - Sale ²⁵ |
| | 9.000 | 1,619.96 | 01/26/21 | 1,468.98 | ... | 150.98 | 16 of 17 - Sale ²⁵ |
| | 10.000 | 1,799.96 | 01/26/21 | 1,632.30 | ... | 167.66 | 17 of 17 - Sale ²⁵ |
| 02/22/21 | 100.000 | 17,999.60 | Various | 15,426.77 | ... | 2,572.83 | Total of 17 transactions |
| 7 transactions for 03/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 11.000 | 1,553.90 | 02/22/21 | 1,978.35 | 424.45 W | 0.00 | 1 of 7 - Sale ²⁵ |
| | 40.000 | 5,650.54 | 02/22/21 | 7,194.00 | 1,543.46 W | 0.00 | 2 of 7 - Sale ²⁵ |
| | 49.000 | 6,921.92 | 02/22/21 | 8,812.65 | 1,890.73 W | 0.00 | 3 of 7 - Sale ²⁵ |
| | 11.000 | 1,537.13 | 03/05/21 | 1,986.45 | 449.32 W | 0.00 | 4 of 7 - Sale ²⁵ |
| | 40.000 | 5,470.09 | 03/05/21 | 7,168.47 | 1,698.38 W | 0.00 | 5 of 7 - Sale ²⁵ |
| | 40.000 | 5,589.56 | 03/05/21 | 7,223.46 | 1,633.90 W | 0.00 | 6 of 7 - Sale ²⁵ |
| | 49.000 | 6,847.22 | 03/05/21 | 8,848.73 | 2,001.51 W | 0.00 | 7 of 7 - Sale ²⁵ |
| 03/05/21 | 240.000 | 33,570.36 | Various | 43,212.11 | 9,641.75 W | 0.00 | Total of 7 transactions |
| 5 transactions for 03/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 20.000 | 2,892.59 | 03/05/21 | 3,654.27 | ... | -761.68 | 1 of 5 - Sale ²⁵ |
| | 9.000 | 1,301.66 | 03/05/21 | 1,667.77 | ... | -366.11 | 2 of 5 - Sale ²⁵ |
| | 1.000 | 144.63 | 03/05/21 | 185.31 | ... | -40.68 | 3 of 5 - Sale ²⁵ |
| | 10.000 | 1,402.34 | 03/08/21 | 1,906.07 | ... | -503.73 | 4 of 5 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| ARK NEXT GENERATION INTERNET E TF / CUSIP: 00214Q401 / Symbol: (cont'd) | | | | | | | |
| 03/08/21 | 10.000 | 1,458.09 | 03/08/21 | 1,889.95 | ... | -431.86 | 5 of 5 - Sale ²⁵ |
| 03/08/21 | 50.000 | 7,199.31 | Various | 9,303.37 | ... | -2,104.06 | Total of 5 transactions |
| 2 transactions for 03/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 11.000 | 1,590.92 | 03/05/21 | 1,966.22 | 238.83 W | -136.47 | 1 of 2 - Sale ²⁵ |
| | 9.000 | 1,301.66 | 03/05/21 | 1,608.72 | 307.06 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 03/08/21 | 20.000 | 2,892.58 | Various | 3,574.94 | 545.89 W | -136.47 | Total of 2 transactions |
| 03/10/21 | 5.000 | 754.08 | 03/09/21 | 953.41 | ... | -199.33 | Sale ²⁵ |
| 3 transactions for 03/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 785.34 | 03/09/21 | 953.40 | ... | -168.06 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 316.90 | 03/10/21 | 395.02 | ... | -78.12 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 158.45 | 03/10/21 | 197.51 | ... | -39.06 | 3 of 3 - Sale ²⁵ |
| 03/15/21 | 8.000 | 1,260.69 | Various | 1,545.93 | ... | -285.24 | Total of 3 transactions |
| 3 transactions for 03/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 307.56 | 03/10/21 | 395.02 | ... | -87.46 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 307.55 | 03/11/21 | 396.42 | ... | -88.87 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 153.78 | 03/11/21 | 198.21 | ... | -44.43 | 3 of 3 - Sale ²⁵ |
| 03/22/21 | 5.000 | 768.89 | Various | 989.65 | ... | -220.76 | Total of 3 transactions |
| 2 transactions for 03/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 427.69 | 03/11/21 | 600.01 | ... | -172.32 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 285.12 | 03/11/21 | 396.42 | ... | -111.30 | 2 of 2 - Sale ²⁵ |
| 03/26/21 | 5.000 | 712.81 | Various | 996.43 | ... | -283.62 | Total of 2 transactions |
| 4 transactions for 06/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 304.56 | 03/11/21 | 400.00 | 95.44 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 3.000 | 456.84 | 03/15/21 | 604.53 | 147.69 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 2.000 | 304.56 | 03/22/21 | 392.88 | 88.32 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 3.000 | 456.83 | 03/22/21 | 564.28 | 107.45 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 06/24/21 | 10.000 | 1,522.79 | Various | 1,961.69 | 438.90 W | 0.00 | Total of 4 transactions |
| 4 transactions for 06/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 453.33 | 03/31/21 | 544.39 | 91.06 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 151.11 | 04/01/21 | 187.05 | 35.94 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 2.000 | 302.22 | 04/01/21 | 370.83 | 68.61 W | 0.00 | 3 of 4 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------------|
| ARK NEXT GENERATION INTERNET E TF / CUSIP: 00214Q401 / Symbol: (cont'd) | | | | | | | |
| | 4.000 | 604.43 | 04/01/21 | 748.20 | 143.77 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 06/25/21 | 10.000 | 1,511.09 | Various | 1,850.47 | 339.38 W | 0.00 | Total of 4 transactions |
| 06/30/21 | 2.000 | 309.52 | 06/17/21 | 383.10 | ... | -73.58 | Sale ²⁵ |
| 06/30/21 | 3.000 | 464.27 | 04/01/21 | 553.79 | 59.68 W | -29.84 | Sale ²⁵ |
| 11 transactions for 07/06/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 450.72 | 06/23/21 | 593.93 | ... | -143.21 | 1 of 11 - Sale ²⁵ |
| | 2.000 | 300.48 | 06/23/21 | 369.13 | ... | -68.65 | 2 of 11 - Sale ²⁵ |
| | 2.000 | 300.48 | 06/25/21 | 394.14 | ... | -93.66 | 3 of 11 - Sale ²⁵ |
| | 2.000 | 300.36 | 06/25/21 | 374.42 | ... | -74.06 | 4 of 11 - Sale ²⁵ |
| | 2.000 | 300.47 | 06/25/21 | 366.53 | ... | -66.06 | 5 of 11 - Sale ²⁵ |
| | 1.000 | 150.18 | 06/25/21 | 188.85 | ... | -38.67 | 6 of 11 - Sale ²⁵ |
| | 1.000 | 150.18 | 06/25/21 | 188.85 | ... | -38.67 | 7 of 11 - Sale ²⁵ |
| | 1.000 | 150.24 | 06/25/21 | 188.73 | ... | -38.49 | 8 of 11 - Sale ²⁵ |
| | 1.000 | 150.18 | 06/25/21 | 183.26 | ... | -33.08 | 9 of 11 - Sale ²⁵ |
| | 3.000 | 450.53 | 07/01/21 | 561.31 | ... | -110.78 | 10 of 11 - Sale ²⁵ |
| | 2.000 | 300.36 | 07/01/21 | 362.00 | ... | -61.64 | 11 of 11 - Sale ²⁵ |
| 07/06/21 | 20.000 | 3,004.18 | Various | 3,771.15 | ... | -766.97 | Total of 11 transactions |
| | Security total: | 75,363.92 | | 87,136.54 | 11,025.60 W | -747.02 | |
| THE 3D PRINTING ETF / CUSIP: 00214Q500 / Symbol: | | | | | | | |
| 2 transactions for 01/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 39.96 | 01/25/21 | 40.69 | 0.73 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 9.000 | 359.56 | 01/25/21 | 366.16 | 6.60 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 01/25/21 | 10.000 | 399.52 | Various | 406.85 | 7.33 W | 0.00 | Total of 2 transactions |
| 4 transactions for 06/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 19.000 | 750.83 | 02/11/21 | 898.32 | ... | -147.49 | 1 of 4 - Sale ²⁵ |
| | 9.000 | 355.66 | 02/11/21 | 432.12 | ... | -76.46 | 2 of 4 - Sale ²⁵ |
| | 0.979 | 38.70 | 02/11/21 | 47.01 | ... | -8.31 | 3 of 4 - Sale ²⁵ |
| | 0.021 | 0.82 | 02/11/21 | 1.02 | ... | -0.20 | 4 of 4 - Sale ²⁵ |
| 06/30/21 | 29.000 | 1,146.01 | Various | 1,378.47 | ... | -232.46 | Total of 4 transactions |
| 6 transactions for 10/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 35.37 | 02/11/21 | 47.28 | ... | -11.91 | 1 of 6 - Sale ²⁵ |
| | 0.021 | 0.73 | 02/11/21 | 0.98 | ... | -0.25 | 2 of 6 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|--|---|-------------------|-------------------------|--|---|-------------------------------|
| THE 3D PRINTING ETF / CUSIP: 00214Q500 / Symbol: (cont'd) | | | | | | | |
| | 10.000 | 353.66 | 02/19/21 | 450.26 | ... | -96.60 | 3 of 6 - Sale ²⁵ |
| | 4.000 | 141.46 | 02/22/21 | 175.80 | ... | -34.34 | 4 of 6 - Sale ²⁵ |
| | 10.000 | 353.65 | 02/23/21 | 414.70 | ... | -61.05 | 5 of 6 - Sale ²⁵ |
| | 5.000 | 176.83 | 03/08/21 | 184.50 | ... | -7.67 | 6 of 6 - Sale ²⁵ |
| 10/11/21 | 30.021 | 1,061.70 | Various | 1,273.52 | ... | -211.82 | Total of 6 transactions |
| | Security total: | 2,607.23 | | 3,058.84 | 7.33 W | -444.28 | |
| ARK ISRAEL INNOVATIVE TECHNOLOGY ETF / CUSIP: 00214Q609 / Symbol: | | | | | | | |
| 06/30/21 | 9.000 | 289.28 | 06/28/21 | 292.88 | ... | -3.60 | Sale ²⁵ |
| 07/02/21 | 1.000 | 31.73 | 06/28/21 | 32.54 | ... | -0.81 | Sale ²⁵ |
| | Security total: | 321.01 | | 325.42 | ... | -4.41 | |
| ARK FINTECH INNOVATION ETF / CUSIP: 00214Q708 / Symbol: | | | | | | | |
| 01/07/21 | 20.000 | 1,005.97 | 11/09/20 | 906.00 | ... | 99.97 | Sale ²⁵ |
| | 11 transactions for 01/15/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 516.98 | 11/09/20 | 453.00 | ... | 63.98 | 1 of 11 - Sale ²⁵ |
| | 1.000 | 51.60 | 12/02/20 | 46.07 | ... | 5.53 | 2 of 11 - Sale ²⁵ |
| | 1.000 | 51.60 | 12/02/20 | 46.07 | ... | 5.53 | 3 of 11 - Sale ²⁵ |
| | 2.000 | 103.20 | 12/02/20 | 92.14 | ... | 11.06 | 4 of 11 - Sale ²⁵ |
| | 3.000 | 154.80 | 12/02/20 | 138.22 | ... | 16.58 | 5 of 11 - Sale ²⁵ |
| | 13.000 | 670.78 | 12/02/20 | 598.93 | ... | 71.85 | 6 of 11 - Sale ²⁵ |
| | 10.000 | 515.99 | 12/09/20 | 476.90 | ... | 39.09 | 7 of 11 - Sale ²⁵ |
| | 10.000 | 515.99 | 12/15/20 | 485.99 | ... | 30.00 | 8 of 11 - Sale ²⁵ |
| | 30.000 | 1,547.96 | 12/15/20 | 1,458.45 | ... | 89.51 | 9 of 11 - Sale ²⁵ |
| | 10.000 | 515.99 | 12/28/20 | 496.15 | ... | 19.84 | 10 of 11 - Sale ²⁵ |
| | 10.000 | 515.98 | 12/28/20 | 492.47 | ... | 23.51 | 11 of 11 - Sale ²⁵ |
| 01/15/21 | 100.000 | 5,160.87 | Various | 4,784.39 | ... | 376.48 | Total of 11 transactions |
| 02/01/21 | 0.441 | 23.82 | 01/04/21 | 22.16 | ... | 1.66 | Sale ²⁵ |
| 03/02/21 | 50.000 | 2,899.99 | 01/19/21 | 2,637.50 | ... | 262.49 | Sale ²⁵ |
| | 6 transactions for 03/02/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 232.00 | 02/16/21 | 253.60 | 21.60 W | 0.00 | 1 of 6 - Sale ²⁵ |
| | 6.000 | 348.00 | 02/16/21 | 381.60 | 33.60 W | 0.00 | 2 of 6 - Sale ²⁵ |
| | 10.000 | 579.99 | 02/17/21 | 619.80 | 39.81 W | 0.00 | 3 of 6 - Sale ²⁵ |
| | 10.000 | 580.00 | 02/17/21 | 620.65 | 40.65 W | 0.00 | 4 of 6 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|--|
| ARK FINTECH INNOVATION ETF / CUSIP: 00214Q708 / Symbol: (cont'd) | | | | | | | |
| | 10.000 | 580.00 | 02/22/21 | 607.00 | 27.00 W | 0.00 | 5 of 6 - Sale ²⁵ |
| | 10.000 | 579.99 | 02/23/21 | 582.00 | 2.01 W | 0.00 | 6 of 6 - Sale ²⁵ |
| 03/02/21 | 50.000 | 2,899.98 | Various | 3,064.65 | 164.67 W | 0.00 | Total of 6 transactions |
| | 3 transactions for 03/26/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 50.000 | 2,602.47 N | 02/26/21 | 2,773.50 | ... | -171.03 | 1 of 3 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$47.50 |
| | 10.000 | 520.49 N | 02/26/21 | 581.70 | ... | -61.21 | 2 of 3 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$9.50 |
| | 10.000 | 520.50 N | 02/26/21 | 556.71 | ... | -36.21 | 3 of 3 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$9.50 |
| 03/26/21 | 70.000 | 3,643.46 | Various | 3,911.91 | ... | -268.45 | Total of 3 transactions |
| | 4 transactions for 03/26/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 520.50 N | 02/26/21 | 594.51 | 7.40 W | -66.61 | 1 of 4 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$9.50 |
| | 4.000 | 208.20 N | 02/26/21 | 243.48 | 35.28 W | 0.00 | 2 of 4 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$3.80 |
| | 6.000 | 312.30 N | 02/26/21 | 366.42 | 54.12 W | 0.00 | 3 of 4 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$5.70 |
| | 10.000 | 520.50 N | 02/26/21 | 595.35 | 74.85 W | 0.00 | 4 of 4 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$9.50 |
| 03/26/21 | 30.000 | 1,561.50 | Various | 1,799.76 | 171.65 W | -66.61 | Total of 4 transactions |
| 03/29/21 | 1.000 | 49.02 | 03/26/21 | 58.16 | ... | -9.14 | Sale ²⁵ |
| | 9 transactions for 07/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 51.02 | 03/31/21 | 58.42 | 7.40 W | 0.00 | 1 of 9 - Sale ²⁵ |
| | 1.000 | 51.02 | 03/31/21 | 59.40 | 8.38 W | 0.00 | 2 of 9 - Sale ²⁵ |
| | 2.000 | 102.05 | 03/31/21 | 119.48 | 17.43 W | 0.00 | 3 of 9 - Sale ²⁵ |
| | 2.000 | 102.05 | 03/31/21 | 119.87 | 17.82 W | 0.00 | 4 of 9 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|--|---|-------------------|-------------------------|--|---|-------------------------------|
| ARK FINTECH INNOVATION ETF / CUSIP: 00214Q708 / Symbol: (cont'd) | | | | | | | |
| | 4.000 | 204.10 | 03/31/21 | 239.80 | 35.70 W | 0.00 | 5 of 9 - Sale ²⁵ |
| | 1.000 | 51.02 | 04/01/21 | 59.84 | 8.82 W | 0.00 | 6 of 9 - Sale ²⁵ |
| | 9.000 | 459.23 | 04/01/21 | 539.32 | 80.09 W | 0.00 | 7 of 9 - Sale ²⁵ |
| | 5.000 | 255.13 | 06/17/21 | 259.80 | 4.67 W | 0.00 | 8 of 9 - Sale ²⁵ |
| | 5.000 | 255.12 | 06/23/21 | 265.20 | 10.08 W | 0.00 | 9 of 9 - Sale ²⁵ |
| 07/08/21 | 30.000 | 1,530.74 | Various | 1,721.13 | 190.39 W | 0.00 | Total of 9 transactions |
| | 9 transactions for 07/14/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 51.66 | 06/23/21 | 60.44 | 8.78 W | 0.00 | 1 of 9 - Sale ²⁵ |
| | 1.000 | 51.66 | 06/23/21 | 61.42 | 9.76 W | 0.00 | 2 of 9 - Sale ²⁵ |
| | 1.000 | 51.66 | 06/23/21 | 61.71 | 10.05 W | 0.00 | 3 of 9 - Sale ²⁵ |
| | 2.000 | 103.32 | 06/23/21 | 123.51 | 20.19 W | 0.00 | 4 of 9 - Sale ²⁵ |
| | 2.000 | 103.32 | 06/23/21 | 123.90 | 20.58 W | 0.00 | 5 of 9 - Sale ²⁵ |
| | 4.000 | 206.64 | 06/23/21 | 247.86 | 41.22 W | 0.00 | 6 of 9 - Sale ²⁵ |
| | 9.000 | 464.94 | 06/23/21 | 556.10 | 91.16 W | 0.00 | 7 of 9 - Sale ²⁵ |
| | 5.000 | 258.29 | 07/07/21 | 272.92 | 14.63 W | 0.00 | 8 of 9 - Sale ²⁵ |
| | 5.000 | 258.30 | 07/07/21 | 278.33 | 20.03 W | 0.00 | 9 of 9 - Sale ²⁵ |
| 07/14/21 | 30.000 | 1,549.79 | Various | 1,786.19 | 236.40 W | 0.00 | Total of 9 transactions |
| | 10 transactions for 07/15/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 50.67 | 07/08/21 | 59.99 | 9.32 W | 0.00 | 1 of 10 - Sale ²⁵ |
| | 1.000 | 50.67 | 07/08/21 | 60.97 | 10.30 W | 0.00 | 2 of 10 - Sale ²⁵ |
| | 1.000 | 50.67 | 07/08/21 | 61.26 | 10.59 W | 0.00 | 3 of 10 - Sale ²⁵ |
| | 2.000 | 101.34 | 07/08/21 | 122.61 | 21.27 W | 0.00 | 4 of 10 - Sale ²⁵ |
| | 2.000 | 101.34 | 07/08/21 | 123.00 | 21.66 W | 0.00 | 5 of 10 - Sale ²⁵ |
| | 4.000 | 202.68 | 07/08/21 | 246.07 | 43.39 W | 0.00 | 6 of 10 - Sale ²⁵ |
| | 5.000 | 253.35 | 07/08/21 | 272.86 | 19.51 W | 0.00 | 7 of 10 - Sale ²⁵ |
| | 5.000 | 253.35 | 07/08/21 | 278.26 | 24.91 W | 0.00 | 8 of 10 - Sale ²⁵ |
| | 9.000 | 456.02 | 07/08/21 | 552.08 | 96.06 W | 0.00 | 9 of 10 - Sale ²⁵ |
| | 10.000 | 506.69 | 07/14/21 | 519.50 | 12.81 W | 0.00 | 10 of 10 - Sale ²⁵ |
| 07/15/21 | 40.000 | 2,026.78 | Various | 2,296.60 | 269.82 W | 0.00 | Total of 10 transactions |
| | 13 transactions for 10/22/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 212.96 | 07/14/21 | 250.16 | ... | -37.20 | 1 of 13 - Sale ²⁵ |
| | 2.000 | 106.48 | 07/14/21 | 125.05 | ... | -18.57 | 2 of 13 - Sale ²⁵ |
| | 2.000 | 106.48 | 07/14/21 | 124.65 | ... | -18.17 | 3 of 13 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------------|
| ARK FINTECH INNOVATION ETF / CUSIP: 00214Q708 / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 53.24 | 07/14/21 | 61.99 | ... | -8.75 | 4 of 13 - Sale ²⁵ |
| | 1.000 | 53.24 | 07/14/21 | 61.01 | ... | -7.77 | 5 of 13 - Sale ²⁵ |
| | 9.000 | 479.16 | 07/15/21 | 556.58 | ... | -77.42 | 6 of 13 - Sale ²⁵ |
| | 5.000 | 266.20 | 07/15/21 | 280.76 | ... | -14.56 | 7 of 13 - Sale ²⁵ |
| | 5.000 | 266.20 | 07/15/21 | 275.35 | ... | -9.15 | 8 of 13 - Sale ²⁵ |
| | 1.000 | 53.24 | 07/15/21 | 61.76 | ... | -8.52 | 9 of 13 - Sale ²⁵ |
| | 10.000 | 532.40 | 07/15/21 | 524.50 | ... | 7.90 | 10 of 13 - Sale ²⁵ |
| | 10.000 | 532.40 | 07/15/21 | 511.69 | ... | 20.71 | 11 of 13 - Sale ²⁵ |
| | 10.000 | 532.39 | 07/20/21 | 512.00 | ... | 20.39 | 12 of 13 - Sale ²⁵ |
| | 40.000 | 2,129.58 | 07/27/21 | 2,026.66 | ... | 102.92 | 13 of 13 - Sale ²⁵ |
| 10/22/21 | 100.000 | 5,323.97 | Various | 5,372.16 | ... | -48.19 | Total of 13 transactions |
| | Security total: | 27,675.89 | | 28,360.61 | 1,032.93 W | 348.21 | |
| ARK SPACE EXPLORATION & INNOVATION ETF / CUSIP: 00214Q807 / Symbol: | | | | | | | |
| 2 transactions for 03/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 40.72 | 03/30/21 | 40.66 | ... | 0.06 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 81.44 | 03/30/21 | 81.30 | ... | 0.14 | 2 of 2 - Sale ²⁵ |
| 03/30/21 | 6.000 | 122.16 | Various | 121.96 | ... | 0.20 | Total of 2 transactions |
| 3 transactions for 06/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 101.55 | 03/30/21 | 101.85 | ... | -0.30 | 1 of 3 - Sale ²⁵ |
| | 10.000 | 203.10 | 03/31/21 | 205.30 | ... | -2.20 | 2 of 3 - Sale ²⁵ |
| | 5.000 | 101.55 | 04/22/21 | 103.19 | ... | -1.64 | 3 of 3 - Sale ²⁵ |
| 06/18/21 | 20.000 | 406.20 | Various | 410.34 | ... | -4.14 | Total of 3 transactions |
| | Security total: | 528.36 | | 532.30 | ... | -3.94 | |
| ABBVIE INC. / CUSIP: 00287Y109 / Symbol: | | | | | | | |
| 02/05/21 | 10.000 | 1,089.47 | 02/05/21 | 1,092.30 | ... | -2.83 | Sale ²⁵ |
| 06/17/21 | 5.000 | 573.01 | 06/04/21 | 562.33 | ... | 10.68 | Sale ²⁵ |
| 07/06/21 | 5.000 | 576.02 | 07/01/21 | 570.75 | ... | 5.27 | Sale ²⁵ |
| 2 transactions for 10/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 552.06 | 09/30/21 | 549.25 | ... | 2.81 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 555.46 | 09/30/21 | 549.25 | ... | 6.21 | 2 of 2 - Sale ²⁵ |
| 10/11/21 | 10.000 | 1,107.52 | Various | 1,098.50 | ... | 9.02 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| ABBVIE INC. / CUSIP: 00287Y109 / Symbol: (cont'd) | | | | | | | |
| 4 transactions for 12/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 127.31 | 10/29/21 | 114.09 | ... | 13.22 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 127.31 | 10/29/21 | 113.12 | ... | 14.19 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 127.31 | 11/01/21 | 114.99 | ... | 12.32 | 3 of 4 - Sale ²⁵ |
| | 2.000 | 254.62 | 11/08/21 | 232.34 | ... | 22.28 | 4 of 4 - Sale ²⁵ |
| 12/14/21 | 5.000 | 636.55 | Various | 574.54 | ... | 62.01 | Total of 4 transactions |
| | Security total: | 3,982.57 | | 3,898.42 | ... | 84.15 | |
| ACADEMY SPORTS AND OUTDOORS, I NC. COMMON STOCK / CUSIP: 00402L107 / Symbol: | | | | | | | |
| 10/25/21 | 50.000 | 2,211.49 | 10/22/21 | 2,210.01 | ... | 1.48 | Sale ²⁵ |
| 10/27/21 | 20.000 | 854.39 | 10/22/21 | 884.00 | ... | -29.61 | Sale ²⁵ |
| 10/27/21 | 30.000 | 1,301.61 | 10/22/21 | 1,326.01 | 8.76 W | -15.64 | Sale ²⁵ |
| 2 transactions for 11/10/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 449.81 | 11/03/21 | 472.38 | ... | -22.57 | 1 of 2 - Sale ²⁵ |
| | 0.770 | 34.64 | 11/03/21 | 36.38 | ... | -1.74 | 2 of 2 - Sale ²⁵ |
| 11/10/21 | 10.770 | 484.45 | Various | 508.76 | ... | -24.31 | Total of 2 transactions |
| | Security total: | 4,851.94 | | 4,928.78 | 8.76 W | -68.08 | |
| ACTIVISION BLIZZARD, INC. COMM ON STOCK / CUSIP: 00507V109 / Symbol: | | | | | | | |
| 03/18/21 | 3.000 | 272.61 | 03/11/21 | 281.04 | ... | -8.43 | Sale ²⁵ |
| 03/19/21 | 2.000 | 182.27 | 03/11/21 | 187.36 | ... | -5.09 | Sale ²⁵ |
| 03/26/21 | 5.000 | 455.88 | 03/11/21 | 468.40 | ... | -12.52 | Sale ²⁵ |
| | Security total: | 910.76 | | 936.80 | ... | -26.04 | |
| ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol: | | | | | | | |
| 10/15/21 | 1.000 | 609.01 | 10/12/21 | 580.03 | ... | 28.98 | Sale ²⁵ |
| 10/19/21 | 0.499 | 315.00 | 10/12/21 | 289.66 | ... | 25.34 | Sale ²⁵ |
| 10/20/21 | 0.501 | 315.60 | 10/12/21 | 290.37 | ... | 25.23 | Sale ²⁵ |
| 11/10/21 | 0.445 | 290.96 | 11/04/21 | 300.00 | ... | -9.04 | Sale ²⁵ |
| | Security total: | 1,530.57 | | 1,460.06 | ... | 70.51 | |
| ADVANCE AUTO PARTS INC / CUSIP: 00751Y106 / Symbol: | | | | | | | |
| 10/21/21 | 0.438 | 102.09 | 10/20/21 | 100.00 | ... | 2.09 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: | | | | | | | |
| 3 transactions for 01/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 190.66 | 01/26/21 | 189.43 | ... | 1.23 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 285.99 | 01/26/21 | 284.00 | ... | 1.99 | 2 of 3 - Sale ²⁵ |
| | 5.000 | 476.50 | 01/26/21 | 473.34 | ... | 3.16 | 3 of 3 - Sale ²⁵ |
| 01/26/21 | 10.000 | 953.15 | Various | 946.77 | ... | 6.38 | Total of 3 transactions |
| 02/19/21 | 10.000 | 897.19 | 02/16/21 | 920.20 | ... | -23.01 | Sale ²⁵ |
| 2 transactions for 06/07/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 161.95 | 03/22/21 | 159.76 | ... | 2.19 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 242.88 | 03/22/21 | 240.15 | ... | 2.73 | 2 of 2 - Sale ²⁵ |
| 06/07/21 | 5.000 | 404.83 | Various | 399.91 | ... | 4.92 | Total of 2 transactions |
| 4 transactions for 06/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 81.21 | 03/22/21 | 80.67 | ... | 0.54 | 1 of 4 - Sale ²⁵ |
| | 2.000 | 162.42 | 03/22/21 | 161.27 | ... | 1.15 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 81.21 | 03/23/21 | 79.52 | ... | 1.69 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 81.21 | 03/23/21 | 79.52 | ... | 1.69 | 4 of 4 - Sale ²⁵ |
| 06/11/21 | 5.000 | 406.05 | Various | 400.98 | ... | 5.07 | Total of 4 transactions |
| 06/21/21 | 2.000 | 165.04 | 06/17/21 | 165.00 | ... | 0.04 | Sale ²⁵ |
| 06/21/21 | 3.000 | 247.56 | 06/17/21 | 251.24 | 3.68 W | 0.00 | Sale ²⁵ |
| 2 transactions for 06/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 258.24 | 06/21/21 | 253.01 | ... | 5.23 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 172.16 | 06/21/21 | 166.22 | ... | 5.94 | 2 of 2 - Sale ²⁵ |
| 06/24/21 | 5.000 | 430.40 | Various | 419.23 | ... | 11.17 | Total of 2 transactions |
| 2 transactions for 08/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 209.44 | 07/28/21 | 184.28 | ... | 25.16 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 209.44 | 07/29/21 | 208.85 | ... | 0.59 | 2 of 2 - Sale ²⁵ |
| 08/02/21 | 4.000 | 418.88 | Various | 393.13 | ... | 25.75 | Total of 2 transactions |
| 08/03/21 | 1.000 | 112.56 | 08/02/21 | 108.84 | ... | 3.72 | Sale ²⁵ |
| 08/04/21 | 1.000 | 118.01 | 08/02/21 | 108.84 | ... | 9.17 | Sale ²⁵ |
| 2 transactions for 08/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 339.81 | 08/02/21 | 326.53 | ... | 13.28 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 113.27 | 08/04/21 | 121.29 | ... | -8.02 | 2 of 2 - Sale ²⁵ |
| 08/05/21 | 4.000 | 453.08 | Various | 447.82 | ... | 5.26 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 08/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 566.34 | 08/04/21 | 605.90 | 26.14 W | -13.42 | 1 of 2 - Sale ²⁵ |
| | 1.649 | 186.82 | 08/04/21 | 200.00 | 13.18 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 08/05/21 | 6.649 | 753.16 | Various | 805.90 | 39.32 W | -13.42 | Total of 2 transactions |
| 4 transactions for 08/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.304 | 347.64 | 08/13/21 | 393.65 | ... | -46.01 | 1 of 4 - Sale ²⁵ |
| | 1.190 | 125.21 | 08/13/21 | 141.87 | ... | -16.66 | 2 of 4 - Sale ²⁵ |
| | 0.458 | 48.23 | 08/13/21 | 53.66 | ... | -5.43 | 3 of 4 - Sale ²⁵ |
| | 0.001 | 0.12 | 08/13/21 | 0.14 | ... | -0.02 | 4 of 4 - Sale ²⁵ |
| 08/18/21 | 4.953 | 521.20 | Various | 589.32 | ... | -68.12 | Total of 4 transactions |
| 10/14/21 | 2.000 | 223.61 | 10/11/21 | 213.26 | ... | 10.35 | Sale ²⁵ |
| 10/18/21 | 1.000 | 116.53 | 10/11/21 | 106.63 | ... | 9.90 | Sale ²⁵ |
| 10/20/21 | 2.000 | 232.09 | 10/11/21 | 213.26 | ... | 18.83 | Sale ²⁵ |
| 3 transactions for 12/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.778 | 271.82 | 12/15/21 | 259.00 | ... | 12.82 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 458.60 | 12/22/21 | 431.34 | ... | 27.26 | 2 of 3 - Sale ²⁵ |
| | 0.222 | 33.91 | 12/23/21 | 32.78 | ... | 1.13 | 3 of 3 - Sale ²⁵ |
| 12/27/21 | 5.000 | 764.33 | Various | 723.12 | ... | 41.21 | Total of 3 transactions |
| | Security total: | 7,217.67 | | 7,213.45 | 43.00 W | 47.22 | |
| AFFIRM HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 00827B106 / Symbol: | | | | | | | |
| 2 transactions for 10/06/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 260.28 | 10/06/21 | 228.95 | ... | 31.33 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 390.41 | 10/06/21 | 347.40 | ... | 43.01 | 2 of 2 - Sale ²⁵ |
| 10/06/21 | 5.000 | 650.69 | Various | 576.35 | ... | 74.34 | Total of 2 transactions |
| 10/07/21 | 5.000 | 652.20 | 10/06/21 | 585.21 | ... | 66.99 | Sale ²⁵ |
| 10/11/21 | 1.000 | 143.67 | 10/07/21 | 139.34 | ... | 4.33 | Sale ²⁵ |
| 10/29/21 | 2.000 | 325.66 | 10/29/21 | 324.10 | ... | 1.56 | Sale ²⁵ |
| | Security total: | 1,772.22 | | 1,625.00 | ... | 147.22 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| AGILENT TECHNOLOGIES INC. / CUSIP: 00846U101 / Symbol: | | | | | | | |
| 02/08/21 | 5.000 | 618.08 | 02/08/21 | 625.90 | ... | -7.82 | Sale ²⁵ |
| | 3 transactions for 11/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 156.91 | 10/19/21 | 156.80 | ... | 0.11 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 156.91 | 10/19/21 | 156.57 | ... | 0.34 | 2 of 3 - Sale ²⁵ |
| | 3.000 | 470.72 | 10/22/21 | 473.87 | ... | -3.15 | 3 of 3 - Sale ²⁵ |
| 11/23/21 | 5.000 | 784.54 | Various | 787.24 | ... | -2.70 | Total of 3 transactions |
| | Security total: | 1,402.62 | | 1,413.14 | ... | -10.52 | |
| AIRBNB, INC. CLASS A COMMON STOCK / CUSIP: 009066101 / Symbol: | | | | | | | |
| 02/25/21 | 10.000 | 1,903.59 | 02/25/21 | 1,845.70 | ... | 57.89 | Sale ²⁵ |
| | 2 transactions for 06/21/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 147.02 | 06/14/21 | 148.74 | 1.72 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 588.07 | 06/14/21 | 595.32 | 7.25 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 06/21/21 | 5.000 | 735.09 | Various | 744.06 | 8.97 W | 0.00 | Total of 2 transactions |
| | 2 transactions for 06/24/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 149.94 | 06/14/21 | 150.55 | 0.61 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 149.95 | 06/14/21 | 150.50 | 0.55 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 06/24/21 | 2.000 | 299.89 | Various | 301.05 | 1.16 W | 0.00 | Total of 2 transactions |
| 06/25/21 | 3.000 | 453.73 | 06/14/21 | 451.50 | ... | 2.23 | Sale ²⁵ |
| | 2 transactions for 07/01/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 153.23 | 07/01/21 | 156.00 | ... | -2.77 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 153.23 | 07/01/21 | 155.94 | ... | -2.71 | 2 of 2 - Sale ²⁵ |
| 07/01/21 | 2.000 | 306.46 | Various | 311.94 | ... | -5.48 | Total of 2 transactions |
| | Security total: | 3,698.76 | | 3,654.25 | 10.13 W | 54.64 | |
| AIR PRODUCTS & CHEMICALS, INC. / CUSIP: 009158106 / Symbol: | | | | | | | |
| | 2 transactions for 09/16/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 1,063.75 | 09/15/21 | 1,076.48 | 3.18 W | -9.55 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 1,063.76 | 09/15/21 | 1,080.60 | 16.84 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 09/16/21 | 8.000 | 2,127.51 | Various | 2,157.08 | 20.02 W | -9.55 | Total of 2 transactions |
| | 2 transactions for 10/15/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 293.35 | 10/11/21 | 273.55 | ... | 19.80 | 1 of 2 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| AIR PRODUCTS & CHEMICALS, INC. / CUSIP: 009158106 / Symbol: (cont'd) | | | | | | | |
| | 4.000 | 1,173.37 | 10/11/21 | 1,098.32 | ... | 75.05 | 2 of 2 - Sale ²⁵ |
| 10/15/21 | 5.000 | 1,466.72 | Various | 1,371.87 | ... | 94.85 | Total of 2 transactions |
| | Security total: | 3,594.23 | | 3,528.95 | 20.02 W | 85.30 | |
| ALBEMARLE CORPORATION / CUSIP: 012653101 / Symbol: | | | | | | | |
| 06/14/21 | 1.000 | 168.00 | 05/28/21 | 167.40 | ... | 0.60 | Sale ²⁵ |
| | 3 transactions for 06/14/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 168.06 | 05/27/21 | 169.28 | 1.22 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 336.02 | 05/27/21 | 338.56 | 2.54 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 168.01 | 05/28/21 | 168.62 | 0.61 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 06/14/21 | 4.000 | 672.09 | Various | 676.46 | 4.37 W | 0.00 | Total of 3 transactions |
| | 4 transactions for 07/12/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 192.00 | 07/09/21 | 176.53 | ... | 15.47 | 1 of 4 - Sale ²⁵ |
| | 2.000 | 383.99 | 07/09/21 | 354.37 | ... | 29.62 | 2 of 4 - Sale ²⁵ |
| | 7.000 | 1,343.98 | 07/09/21 | 1,231.42 | ... | 112.56 | 3 of 4 - Sale ²⁵ |
| | 5.000 | 937.14 | 07/12/21 | 945.28 | ... | -8.14 | 4 of 4 - Sale ²⁵ |
| 07/12/21 | 15.000 | 2,857.11 | Various | 2,707.60 | ... | 149.51 | Total of 4 transactions |
| | 3 transactions for 10/27/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 231.59 | 10/25/21 | 239.25 | ... | -7.66 | 1 of 3 - Sale ²⁵ |
| | 0.746 | 172.79 | 10/25/21 | 179.57 | ... | -6.78 | 2 of 3 - Sale ²⁵ |
| | 0.254 | 58.81 | 10/25/21 | 63.01 | ... | -4.20 | 3 of 3 - Sale ²⁵ |
| 10/27/21 | 2.000 | 463.19 | Various | 481.83 | ... | -18.64 | Total of 3 transactions |
| 10/27/21 | 0.254 | 58.85 | 10/25/21 | 61.11 | 2.26 W | 0.00 | Sale ²⁵ |
| | Security total: | 4,219.24 | | 4,094.40 | 6.63 W | 131.47 | |
| ALBERTSONS COMPANIES, INC. / CUSIP: 013091103 / Symbol: | | | | | | | |
| 02/08/21 | 10.000 | 170.30 | 02/08/21 | 170.79 | ... | -0.49 | Sale ²⁵ |
| ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: | | | | | | | |
| 01/04/21 | 10.000 | 2,256.97 | 12/28/20 | 2,224.25 | ... | 32.72 | Sale ²⁵ |
| 01/26/21 | 5.000 | 1,306.80 | 01/26/21 | 1,319.43 | ... | -12.63 | Sale ²⁵ |
| 05/25/21 | 1.000 | 212.40 | 05/13/21 | 213.62 | 1.22 W | 0.00 | Sale ²⁵ |
| | 5 transactions for 07/07/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 1,039.99 | 06/25/21 | 1,120.00 | ... | -80.01 | 1 of 5 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | 7- Loss not allowed (X) also not reported (Z) | Gain or loss(-) & Additional information |
|---|---|---|-------------------|-------------------------|--|---|--|
| ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd) | | | | | | | |
| | 4.000 | 831.99 | 06/25/21 | 893.94 | ... | -61.95 | 2 of 5 - Sale ²⁵ |
| | 1.000 | 208.00 | 06/25/21 | 223.58 | ... | -15.58 | 3 of 5 - Sale ²⁵ |
| | 5.000 | 1,040.00 | 06/28/21 | 1,141.70 | ... | -101.70 | 4 of 5 - Sale ²⁵ |
| | 5.000 | 1,039.99 | 06/30/21 | 1,137.84 | ... | -97.85 | 5 of 5 - Sale ²⁵ |
| 07/07/21 | 20.000 | 4,159.97 | Various | 4,517.06 | ... | -357.09 | Total of 5 transactions |
| | 3 transactions for 07/07/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 8.000 | 1,663.99 | 06/24/21 | 1,745.99 | 41.00 W | -41.00 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 208.00 | 06/24/21 | 217.50 | 9.50 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 208.00 | 06/24/21 | 218.72 | 10.72 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 07/07/21 | 10.000 | 2,079.99 | Various | 2,182.21 | 61.22 W | -41.00 | Total of 3 transactions |
| | 3 transactions for 07/13/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 421.67 | 07/13/21 | 442.06 | ... | -20.39 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 210.83 | 07/13/21 | 221.50 | ... | -10.67 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 210.83 | 07/13/21 | 220.28 | ... | -9.45 | 3 of 3 - Sale ²⁵ |
| 07/13/21 | 4.000 | 843.33 | Various | 883.84 | ... | -40.51 | Total of 3 transactions |
| 07/14/21 | 2.000 | 423.92 | 07/14/21 | 450.66 | ... | -26.74 | Sale ²⁵ |
| | 4 transactions for 08/26/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 165.35 | 08/23/21 | 158.53 | ... | 6.82 | 1 of 4 - Sale ²⁵ |
| | 2.000 | 332.79 | 08/23/21 | 315.94 | ... | 16.85 | 2 of 4 - Sale ²⁵ |
| | 3.000 | 496.04 | 08/23/21 | 473.90 | ... | 22.14 | 3 of 4 - Sale ²⁵ |
| | 3.000 | 499.18 | 08/23/21 | 475.10 | ... | 24.08 | 4 of 4 - Sale ²⁵ |
| 08/26/21 | 9.000 | 1,493.36 | Various | 1,423.47 | ... | 69.89 | Total of 4 transactions |
| 08/26/21 | 1.000 | 165.35 | 08/24/21 | 170.08 | 4.73 W | 0.00 | Sale ²⁵ |
| | 3 transactions for 09/01/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 173.99 | 08/30/21 | 164.11 | ... | 9.88 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 174.00 | 08/30/21 | 160.46 | ... | 13.54 | 2 of 3 - Sale ²⁵ |
| | 8.000 | 1,392.01 | 08/30/21 | 1,275.04 | ... | 116.97 | 3 of 3 - Sale ²⁵ |
| 09/01/21 | 10.000 | 1,740.00 | Various | 1,599.61 | ... | 140.39 | Total of 3 transactions |
| | 4 transactions for 09/02/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 173.85 | 09/02/21 | 176.03 | 2.18 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 4.000 | 695.35 | 09/02/21 | 704.12 | 8.77 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 5.000 | 873.89 | 09/02/21 | 879.20 | 5.31 W | 0.00 | 3 of 4 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd) | | | | | | | |
| | 5.000 | 873.90 | 09/02/21 | 874.85 | 0.95 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 09/02/21 | 15.000 | 2,616.99 | Various | 2,634.20 | 17.21 W | 0.00 | Total of 4 transactions |
| 09/13/21 | 2.000 | 330.80 | 09/13/21 | 334.00 | 3.20 W | 0.00 | Sale ²⁵ |
| | 3 transactions for 10/01/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 143.79 | 09/28/21 | 152.79 | 9.00 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 431.70 | 09/28/21 | 455.02 | 23.32 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 4.000 | 575.18 | 09/28/21 | 611.21 | 36.03 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 10/01/21 | 8.000 | 1,150.67 | Various | 1,219.02 | 68.35 W | 0.00 | Total of 3 transactions |
| | 2 transactions for 10/25/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 351.92 | 10/01/21 | 291.24 | ... | 60.68 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 527.88 | 10/01/21 | 455.38 | ... | 72.50 | 2 of 2 - Sale ²⁵ |
| 10/25/21 | 5.000 | 879.80 | Various | 746.62 | ... | 133.18 | Total of 2 transactions |
| | 2 transactions for 10/27/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 168.08 | 10/13/21 | 173.60 | 5.52 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 672.32 | 10/13/21 | 694.42 | 22.10 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 10/27/21 | 5.000 | 840.40 | Various | 868.02 | 27.62 W | 0.00 | Total of 2 transactions |
| | 2 transactions for 11/05/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 635.19 | 11/05/21 | 665.09 | ... | -29.90 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 158.80 | 11/05/21 | 166.27 | ... | -7.47 | 2 of 2 - Sale ²⁵ |
| 11/05/21 | 5.000 | 793.99 | Various | 831.36 | ... | -37.37 | Total of 2 transactions |
| | Security total: | 21,294.74 | | 21,617.45 | 183.55 W | -139.16 | |
| ALIGN TECHNOLOGY, INC. COMMON STOCK / CUSIP: 016255101 / Symbol: | | | | | | | |
| 04/20/21 | 1.000 | 592.18 | 04/08/21 | 572.14 | ... | 20.04 | Sale ²⁵ |
| 06/07/21 | 0.344 | 199.75 | 06/04/21 | 200.00 | ... | -0.25 | Sale ²⁵ |
| | 3 transactions for 11/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 1,390.41 | 10/29/21 | 1,245.87 | ... | 144.54 | 1 of 3 - Sale ²⁵ |
| | 0.151 | 105.31 | 11/01/21 | 100.00 | ... | 5.31 | 2 of 3 - Sale ²⁵ |
| | 0.154 | 106.79 | 11/01/21 | 100.00 | ... | 6.79 | 3 of 3 - Sale ²⁵ |
| 11/08/21 | 2.305 | 1,602.51 | Various | 1,445.87 | ... | 156.64 | Total of 3 transactions |
| | Security total: | 2,394.44 | | 2,218.01 | ... | 176.43 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| THE ALLSTATE CORPORATION / CUSIP: 020002101 / Symbol: | | | | | | | |
| 08/13/21 | 5.000 | 672.19 | 08/10/21 | 672.93 | 0.74 W | 0.00 | Sale ²⁵ |
| 08/24/21 | 5.000 | 684.79 | 08/23/21 | 692.82 | ... | -8.03 | Sale ²⁵ |
| | Security total: | 1,356.98 | | 1,365.75 | 0.74 W | -8.03 | |
| ALLY FINANCIAL INC. / CUSIP: 02005N100 / Symbol: | | | | | | | |
| 3 transactions for 10/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 52.84 | 10/05/21 | 53.79 | 0.95 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 52.84 | 10/05/21 | 53.83 | 0.99 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 5.000 | 264.20 | 10/15/21 | 273.25 | 9.05 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 10/21/21 | 7.000 | 369.88 | Various | 380.87 | 10.99 W | 0.00 | Total of 3 transactions |
| 11/29/21 | 1.000 | 47.53 | 11/11/21 | 51.29 | 3.76 W | 0.00 | Sale ²⁵ |
| | Security total: | 417.41 | | 432.16 | 14.75 W | 0.00 | |
| ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol: | | | | | | | |
| 01/20/21 | 1.000 | 1,877.64 | 01/20/21 | 1,863.50 | ... | 14.14 | Sale ²⁵ |
| 03/10/21 | 1.000 | 2,038.08 | 03/09/21 | 2,047.00 | 8.92 W | 0.00 | Sale ²⁵ |
| 03/18/21 | 0.067 | 137.40 | 03/12/21 | 138.48 | 1.08 W | 0.00 | Sale ²⁵ |
| 6 transactions for 03/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.147 | 296.52 | 03/12/21 | 301.31 | 4.79 W | 0.00 | 1 of 6 - Sale ²⁵ |
| | 0.199 | 403.06 | 03/12/21 | 413.89 | 10.83 W | 0.00 | 2 of 6 - Sale ²⁵ |
| | 0.019 | 37.61 | 03/16/21 | 39.42 | 1.81 W | 0.00 | 3 of 6 - Sale ²⁵ |
| | 0.067 | 134.85 | 03/16/21 | 141.83 | 6.98 W | 0.00 | 4 of 6 - Sale ²⁵ |
| | 0.095 | 191.60 | 03/16/21 | 200.84 | 9.24 W | 0.00 | 5 of 6 - Sale ²⁵ |
| | 0.474 | 957.26 | 03/16/21 | 1,004.23 | 46.97 W | 0.00 | 6 of 6 - Sale ²⁵ |
| 03/22/21 | 1.000 | 2,020.90 | Various | 2,101.52 | 80.62 W | 0.00 | Total of 6 transactions |
| 6 transactions for 06/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.019 | 45.36 | 04/01/21 | 40.88 | ... | 4.48 | 1 of 6 - Sale ²⁵ |
| | 0.067 | 162.64 | 04/01/21 | 147.09 | ... | 15.55 | 2 of 6 - Sale ²⁵ |
| | 0.095 | 231.06 | 04/01/21 | 208.29 | ... | 22.77 | 3 of 6 - Sale ²⁵ |
| | 0.147 | 357.61 | 04/01/21 | 312.85 | ... | 44.76 | 4 of 6 - Sale ²⁵ |
| | 0.199 | 486.09 | 04/01/21 | 429.57 | ... | 56.52 | 5 of 6 - Sale ²⁵ |
| | 0.474 | 1,154.46 | 04/01/21 | 1,041.49 | ... | 112.97 | 6 of 6 - Sale ²⁵ |
| 06/28/21 | 1.000 | 2,437.22 | Various | 2,180.17 | ... | 257.05 | Total of 6 transactions |

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|------------------------------|
| ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol: (cont'd) | | | | | | | |
| <i>2 transactions for 07/06/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.137 | 343.94 | 06/28/21 | 335.00 | ... | 8.94 | 1 of 2 - Sale ²⁵ |
| | 0.333 | 838.70 | 07/02/21 | 835.00 | ... | 3.70 | 2 of 2 - Sale ²⁵ |
| 07/06/21 | 0.470 | 1,182.64 | Various | 1,170.00 | ... | 12.64 | Total of 2 transactions |
| 07/19/21 | 1.000 | 2,498.51 | 07/06/21 | 2,519.51 | 21.00 W | 0.00 | Sale ²⁵ |
| 08/10/21 | 1.000 | 2,729.12 | 07/23/21 | 2,682.68 | ... | 46.44 | Sale ²⁵ |
| <i>5 transactions for 08/26/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.007 | 20.76 | 08/23/21 | 20.53 | ... | 0.23 | 1 of 5 - Sale ²⁵ |
| | 0.171 | 484.76 | 08/23/21 | 479.47 | ... | 5.29 | 2 of 5 - Sale ²⁵ |
| | 0.035 | 100.21 | 08/24/21 | 100.00 | ... | 0.21 | 3 of 5 - Sale ²⁵ |
| | 0.053 | 150.23 | 08/24/21 | 150.00 | ... | 0.23 | 4 of 5 - Sale ²⁵ |
| | 0.741 | 2,102.59 | 08/24/21 | 2,100.00 | ... | 2.59 | 5 of 5 - Sale ²⁵ |
| 08/26/21 | 1.007 | 2,858.55 | Various | 2,850.00 | ... | 8.55 | Total of 5 transactions |
| | Security total: | 17,780.06 | | 17,552.86 | 111.62 W | 338.82 | |
| ALTERYX, INC. / CUSIP: 02156B103 / Symbol: | | | | | | | |
| 04/28/21 | 5.000 | 426.39 | 04/06/21 | 430.94 | ... | -4.55 | Sale ²⁵ |
| ALTRIA GROUP, INC. / CUSIP: 02209S103 / Symbol: | | | | | | | |
| 03/04/21 | 20.000 | 888.84 | 03/02/21 | 896.60 | 3.10 W | -4.66 | Sale ²⁵ |
| 03/16/21 | 8.000 | 396.00 | 03/16/21 | 400.74 | ... | -4.74 | Sale ²⁵ |
| 10/01/21 | 50.000 | 2,264.98 | 09/30/21 | 2,296.98 | 32.00 W | 0.00 | Sale ²⁵ |
| 10/22/21 | 20.000 | 968.41 | 10/01/21 | 915.60 | ... | 52.81 | Sale ²⁵ |
| <i>2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 20.000 | 957.85 | 10/01/21 | 903.00 | ... | 54.85 | 1 of 2 - Sale ²⁵ |
| | 30.000 | 1,436.78 | 10/01/21 | 1,373.40 | ... | 63.38 | 2 of 2 - Sale ²⁵ |
| 10/25/21 | 50.000 | 2,394.63 | Various | 2,276.40 | ... | 118.23 | Total of 2 transactions |
| | Security total: | 6,912.86 | | 6,786.32 | 35.10 W | 161.64 | |
| AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: | | | | | | | |
| <i>15 transactions for 01/04/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.000 | 0.46 | 12/30/20 | 0.52 | 0.06 W | 0.00 | 1 of 15 - Sale ²⁵ |
| | 0.000 | 0.48 | 12/30/20 | 0.56 | 0.08 W | 0.00 | 2 of 15 - Sale ²⁵ |
| | 0.007 | 22.82 | 12/30/20 | 26.15 | 3.33 W | 0.00 | 3 of 15 - Sale ²⁵ |

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Account 156901654

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2021 1099-B* OMB No. 1545-0715

(continued)

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|---|--|---|-------------------|-------------------------|--|---|-------------------------------|
| AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd) | | | | | | | |
| | 0.010 | 33.20 | 12/30/20 | 37.12 | 3.92 W | 0.00 | 4 of 15 - Sale ²⁵ |
| | 0.017 | 54.83 | 12/30/20 | 61.73 | 6.90 W | 0.00 | 5 of 15 - Sale ²⁵ |
| | 0.030 | 93.92 | 12/30/20 | 103.27 | 9.35 W | 0.00 | 6 of 15 - Sale ²⁵ |
| | 0.031 | 98.53 | 12/30/20 | 110.49 | 11.96 W | 0.00 | 7 of 15 - Sale ²⁵ |
| | 0.032 | 100.87 | 12/30/20 | 113.99 | 13.12 W | 0.00 | 8 of 15 - Sale ²⁵ |
| | 0.038 | 121.75 | 12/30/20 | 136.62 | 14.87 W | 0.00 | 9 of 15 - Sale ²⁵ |
| | 0.043 | 135.57 | 12/30/20 | 152.11 | 16.54 W | 0.00 | 10 of 15 - Sale ²⁵ |
| | 0.047 | 148.20 | 12/30/20 | 154.56 | 6.36 W | 0.00 | 11 of 15 - Sale ²⁵ |
| | 0.081 | 258.61 | 12/30/20 | 294.47 | 35.86 W | 0.00 | 12 of 15 - Sale ²⁵ |
| | 0.157 | 498.59 | 12/30/20 | 554.91 | 56.32 W | 0.00 | 13 of 15 - Sale ²⁵ |
| | 0.173 | 549.11 | 12/30/20 | 616.19 | 67.08 W | 0.00 | 14 of 15 - Sale ²⁵ |
| | 0.334 | 1,060.89 | 12/30/20 | 1,102.47 | 41.58 W | 0.00 | 15 of 15 - Sale ²⁵ |
| 01/04/21 | 1.000 | 3,177.83 | Various | 3,465.16 | 287.33 W | 0.00 | Total of 15 transactions |
| | 4 transactions for 01/14/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.334 | 1,046.63 | 01/14/21 | 1,094.83 | ... | -48.20 | 1 of 4 - Sale ²⁵ |
| | 0.030 | 92.66 | 01/14/21 | 102.60 | ... | -9.94 | 2 of 4 - Sale ²⁵ |
| | 0.047 | 146.21 | 01/14/21 | 153.50 | ... | -7.29 | 3 of 4 - Sale ²⁵ |
| | 0.000 | 0.46 | 01/14/21 | 0.52 | ... | -0.06 | 4 of 4 - Sale ²⁵ |
| 01/14/21 | 0.410 | 1,285.96 | Various | 1,351.45 | ... | -65.49 | Total of 4 transactions |
| | 12 transactions for 01/14/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.157 | 491.90 | 01/14/21 | 551.33 | 16.10 W | -43.33 | 1 of 12 - Sale ²⁵ |
| | 0.000 | 0.48 | 01/14/21 | 0.56 | 0.08 W | 0.00 | 2 of 12 - Sale ²⁵ |
| | 0.007 | 22.51 | 01/14/21 | 25.99 | 3.48 W | 0.00 | 3 of 12 - Sale ²⁵ |
| | 0.010 | 32.75 | 01/14/21 | 36.88 | 4.13 W | 0.00 | 4 of 12 - Sale ²⁵ |
| | 0.017 | 54.10 | 01/14/21 | 61.34 | 7.24 W | 0.00 | 5 of 12 - Sale ²⁵ |
| | 0.031 | 97.20 | 01/14/21 | 109.78 | 12.58 W | 0.00 | 6 of 12 - Sale ²⁵ |
| | 0.032 | 99.52 | 01/14/21 | 113.27 | 13.75 W | 0.00 | 7 of 12 - Sale ²⁵ |
| | 0.038 | 120.11 | 01/14/21 | 135.74 | 15.63 W | 0.00 | 8 of 12 - Sale ²⁵ |
| | 0.043 | 133.75 | 01/14/21 | 151.13 | 17.38 W | 0.00 | 9 of 12 - Sale ²⁵ |
| | 0.081 | 255.14 | 01/14/21 | 292.61 | 37.47 W | 0.00 | 10 of 12 - Sale ²⁵ |
| | 0.173 | 541.74 | 01/14/21 | 612.25 | 70.51 W | 0.00 | 11 of 12 - Sale ²⁵ |
| | 1.000 | 3,137.63 | 01/14/21 | 3,183.63 | 46.00 W | 0.00 | 12 of 12 - Sale ²⁵ |
| 01/14/21 | 1.590 | 4,986.83 | Various | 5,274.51 | 244.35 W | -43.33 | Total of 12 transactions |
| 02/02/21 | 1.000 | 3,399.92 | 02/02/21 | 3,428.94 | ... | -29.02 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|-------------------------------|
| AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd) | | | | | | | |
| 12 transactions for 02/03/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.173 | 573.86 | 02/03/21 | 651.30 | ... | -77.44 | 1 of 12 - Sale ²⁵ |
| | 0.081 | 270.27 | 02/03/21 | 311.70 | ... | -41.43 | 2 of 12 - Sale ²⁵ |
| | 0.043 | 141.68 | 02/03/21 | 160.77 | ... | -19.09 | 3 of 12 - Sale ²⁵ |
| | 0.042 | 141.12 | 02/03/21 | 159.29 | ... | -18.17 | 4 of 12 - Sale ²⁵ |
| | 0.038 | 127.24 | 02/03/21 | 144.73 | ... | -17.49 | 5 of 12 - Sale ²⁵ |
| | 0.032 | 105.41 | 02/03/21 | 120.71 | ... | -15.30 | 6 of 12 - Sale ²⁵ |
| | 0.022 | 74.41 | 02/03/21 | 84.40 | ... | -9.99 | 7 of 12 - Sale ²⁵ |
| | 0.017 | 57.31 | 02/03/21 | 65.38 | ... | -8.07 | 8 of 12 - Sale ²⁵ |
| | 0.010 | 34.69 | 02/03/21 | 39.33 | ... | -4.64 | 9 of 12 - Sale ²⁵ |
| | 0.009 | 28.56 | 02/03/21 | 32.47 | ... | -3.91 | 10 of 12 - Sale ²⁵ |
| | 0.007 | 23.85 | 02/03/21 | 27.68 | ... | -3.83 | 11 of 12 - Sale ²⁵ |
| | 0.000 | 0.50 | 02/03/21 | 0.59 | ... | -0.09 | 12 of 12 - Sale ²⁵ |
| 02/03/21 | 0.475 | 1,578.90 | Various | 1,798.35 | ... | -219.45 | Total of 12 transactions |
| 03/26/21 | 0.222 | 676.76 | 03/23/21 | 700.00 | 23.24 W | 0.00 | Sale ²⁵ |
| 3 transactions for 06/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.044 | 150.69 | 04/13/21 | 150.00 | ... | 0.69 | 1 of 3 - Sale ²⁵ |
| | 0.129 | 442.43 | 04/13/21 | 440.91 | ... | 1.52 | 2 of 3 - Sale ²⁵ |
| | 0.004 | 14.82 | 04/14/21 | 14.39 | ... | 0.43 | 3 of 3 - Sale ²⁵ |
| 06/21/21 | 0.177 | 607.94 | Various | 605.30 | ... | 2.64 | Total of 3 transactions |
| 3 transactions for 06/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.000 | 0.21 | 04/13/21 | 0.23 | 0.02 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 0.058 | 200.82 | 04/13/21 | 205.90 | 5.08 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 0.163 | 561.01 | 04/13/21 | 576.20 | 15.19 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 06/21/21 | 0.222 | 762.04 | Various | 782.33 | 20.29 W | 0.00 | Total of 3 transactions |
| 4 transactions for 06/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.086 | 300.26 | 04/14/21 | 285.61 | ... | 14.65 | 1 of 4 - Sale ²⁵ |
| | 0.156 | 545.29 | 05/21/21 | 500.00 | ... | 45.29 | 2 of 4 - Sale ²⁵ |
| | 0.058 | 204.92 | 05/28/21 | 194.44 | ... | 10.48 | 3 of 4 - Sale ²⁵ |
| | 0.114 | 400.43 | 05/28/21 | 380.66 | ... | 19.77 | 4 of 4 - Sale ²⁵ |
| 06/23/21 | 0.414 | 1,450.90 | Various | 1,360.71 | ... | 90.19 | Total of 4 transactions |
| 06/23/21 | 0.000 | 0.22 | 05/28/21 | 0.23 | 0.01 W | 0.00 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd) | | | | | | | |
| 6 transactions for 06/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.000 | 0.22 | 05/28/21 | 0.22 | ... | 0.00 | 1 of 6 - Sale ²⁵ |
| | 0.049 | 169.26 | 05/28/21 | 163.53 | ... | 5.73 | 2 of 6 - Sale ²⁵ |
| | 0.087 | 299.66 | 05/28/21 | 281.43 | ... | 18.23 | 3 of 6 - Sale ²⁵ |
| | 0.154 | 532.32 | 05/28/21 | 499.79 | ... | 32.53 | 4 of 6 - Sale ²⁵ |
| | 0.186 | 640.88 | 06/02/21 | 600.00 | ... | 40.88 | 5 of 6 - Sale ²⁵ |
| | 0.311 | 1,071.66 | 06/02/21 | 1,000.00 | ... | 71.66 | 6 of 6 - Sale ²⁵ |
| 06/24/21 | 0.787 | 2,714.00 | Various | 2,544.97 | ... | 169.03 | Total of 6 transactions |
| 06/24/21 | 0.213 | 734.98 | 06/22/21 | 750.00 | 15.02 W | 0.00 | Sale ²⁵ |
| 06/28/21 | 0.115 | 392.84 | 06/28/21 | 403.09 | 10.25 W | 0.00 | Sale ²⁵ |
| 3 transactions for 07/09/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.115 | 426.81 | 07/06/21 | 420.92 | ... | 5.89 | 1 of 3 - Sale ²⁵ |
| | 0.098 | 365.69 | 07/06/21 | 358.80 | ... | 6.89 | 2 of 3 - Sale ²⁵ |
| | 0.787 | 2,926.44 | 07/06/21 | 2,815.81 | ... | 110.63 | 3 of 3 - Sale ²⁵ |
| 07/09/21 | 1.000 | 3,718.94 | Various | 3,595.53 | ... | 123.41 | Total of 3 transactions |
| 2 transactions for 07/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.080 | 288.43 | 07/06/21 | 288.35 | ... | 0.08 | 1 of 2 - Sale ²⁵ |
| | 0.561 | 2,026.85 | 07/06/21 | 2,011.65 | ... | 15.20 | 2 of 2 - Sale ²⁵ |
| 07/29/21 | 0.642 | 2,315.28 | Various | 2,300.00 | ... | 15.28 | Total of 2 transactions |
| 3 transactions for 07/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.110 | 393.48 | 07/06/21 | 400.00 | 6.52 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 0.179 | 643.38 | 07/06/21 | 651.66 | 8.28 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 0.630 | 2,259.49 | 07/06/21 | 2,280.00 | 20.51 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 07/29/21 | 0.920 | 3,296.35 | Various | 3,331.66 | 35.31 W | 0.00 | Total of 3 transactions |
| 6 transactions for 07/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.069 | 228.53 | 07/06/21 | 252.24 | 23.71 W | 0.00 | 1 of 6 - Sale ²⁵ |
| | 0.371 | 1,233.13 | 07/06/21 | 1,360.42 | 127.29 W | 0.00 | 2 of 6 - Sale ²⁵ |
| | 0.080 | 267.18 | 07/08/21 | 297.79 | 30.61 W | 0.00 | 3 of 6 - Sale ²⁵ |
| | 0.110 | 364.49 | 07/08/21 | 412.76 | 48.27 W | 0.00 | 4 of 6 - Sale ²⁵ |
| | 0.179 | 595.97 | 07/08/21 | 672.53 | 76.56 W | 0.00 | 5 of 6 - Sale ²⁵ |
| | 0.190 | 631.34 | 07/08/21 | 709.85 | 78.51 W | 0.00 | 6 of 6 - Sale ²⁵ |
| 07/30/21 | 1.000 | 3,320.64 | Various | 3,705.59 | 384.95 W | 0.00 | Total of 6 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd) | | | | | | | |
| 8 transactions for 09/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.371 | 1,295.12 | 07/08/21 | 1,501.68 | ... | -206.56 | 1 of 8 - Sale ²⁵ |
| | 0.069 | 240.02 | 07/08/21 | 278.42 | ... | -38.40 | 2 of 8 - Sale ²⁵ |
| | 0.190 | 663.08 | 07/09/21 | 784.92 | ... | -121.84 | 3 of 8 - Sale ²⁵ |
| | 0.179 | 625.94 | 07/09/21 | 743.40 | ... | -117.46 | 4 of 8 - Sale ²⁵ |
| | 0.440 | 1,527.77 | 07/09/21 | 1,635.46 | ... | -107.69 | 5 of 8 - Sale ²⁵ |
| | 0.110 | 382.81 | 07/09/21 | 456.10 | ... | -73.29 | 6 of 8 - Sale ²⁵ |
| | 0.080 | 280.61 | 07/09/21 | 329.56 | ... | -48.95 | 7 of 8 - Sale ²⁵ |
| | 0.560 | 1,943.06 | 07/16/21 | 2,000.00 | ... | -56.94 | 8 of 8 - Sale ²⁵ |
| 09/02/21 | 2.000 | 6,958.41 | Various | 7,729.54 | ... | -771.13 | Total of 8 transactions |
| 10/28/21 | 0.459 | 1,499.99 | 07/29/21 | 1,649.48 | 29.29 W | -120.20 | Sale ²⁵ |
| | Security total: | 42,878.73 | | 44,776.84 | 1,050.04 W | -848.07 | |
| AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: | | | | | | | |
| 2 transactions for 04/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 21.30 | 04/23/21 | 20.93 | ... | 0.37 | 1 of 2 - Sale ²⁵ |
| | 9.000 | 191.71 | 04/23/21 | 190.62 | ... | 1.09 | 2 of 2 - Sale ²⁵ |
| 04/29/21 | 10.000 | 213.01 | Various | 211.55 | ... | 1.46 | Total of 2 transactions |
| AMERICAN ELECTRIC POWER COMPAN Y, INC. COMMON STOCK / CUSIP: 025537101 / Symbol: | | | | | | | |
| 09/17/21 | 1.000 | 85.48 | 09/02/21 | 90.70 | 5.22 W | 0.00 | Sale ²⁵ |
| 2 transactions for 10/07/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 84.41 | 10/07/21 | 90.37 | ... | -5.96 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 168.82 | 10/07/21 | 170.30 | ... | -1.48 | 2 of 2 - Sale ²⁵ |
| 10/07/21 | 3.000 | 253.23 | Various | 260.67 | ... | -7.44 | Total of 2 transactions |
| | Security total: | 338.71 | | 351.37 | 5.22 W | -7.44 | |
| AMERICAN EXPRESS COMPANY / CUSIP: 025816109 / Symbol: | | | | | | | |
| 03/22/21 | 5.000 | 697.61 | 03/18/21 | 721.15 | 4.71 W | -18.83 | Sale ²⁵ |
| 03/29/21 | 1.000 | 141.88 | 03/26/21 | 147.17 | ... | -5.29 | Sale ²⁵ |
| 07/14/21 | 10.000 | 1,720.04 | 06/30/21 | 1,651.01 | ... | 69.03 | Sale ²⁵ |
| 10/13/21 | 5.000 | 849.44 | 10/01/21 | 868.38 | ... | -18.94 | Sale ²⁵ |
| | Security total: | 3,408.97 | | 3,387.71 | 4.71 W | 25.97 | |

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Account 156901654

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(continued)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| AMERICAN RESOURCES CORPORATION CLASS A COMMON STOCK / CUSIP: 02927U208 / Symbol: | | | | | | | |
| 2 transactions for 01/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 8.25 | 01/20/21 | 7.08 | ... | 1.17 | 1 of 2 - Sale ²⁵ |
| | 50.000 | 137.49 | 01/20/21 | 119.50 | ... | 17.99 | 2 of 2 - Sale ²⁵ |
| 01/25/21 | 53.000 | 145.74 | Various | 126.58 | ... | 19.16 | Total of 2 transactions |
| 07/08/21 | 800.000 | 1,919.89 | 07/08/21 | 1,928.00 | ... | -8.11 | Sale ²⁵ |
| | Security total: | 2,065.63 | | 2,054.58 | ... | 11.05 | |
| AMERICAN TOWER CORPORATION / CUSIP: 03027X100 / Symbol: | | | | | | | |
| 2 transactions for 09/09/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 599.76 | 09/01/21 | 590.78 | ... | 8.98 | 1 of 2 - Sale ²⁵ |
| | 8.000 | 2,399.02 | 09/08/21 | 2,413.68 | ... | -14.66 | 2 of 2 - Sale ²⁵ |
| 09/09/21 | 10.000 | 2,998.78 | Various | 3,004.46 | ... | -5.68 | Total of 2 transactions |
| 09/10/21 | 2.000 | 589.31 | 09/08/21 | 603.42 | ... | -14.11 | Sale ²⁵ |
| 3 transactions for 10/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 572.21 | 10/20/21 | 551.94 | ... | 20.27 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 858.33 | 10/20/21 | 827.56 | ... | 30.77 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 286.11 | 10/21/21 | 278.66 | ... | 7.45 | 3 of 3 - Sale ²⁵ |
| 10/27/21 | 6.000 | 1,716.65 | Various | 1,658.16 | ... | 58.49 | Total of 3 transactions |
| | Security total: | 5,304.74 | | 5,266.04 | ... | 38.70 | |
| AMERIPRISE FINANCIAL, INC. / CUSIP: 03076C106 / Symbol: | | | | | | | |
| 03/18/21 | 2.000 | 464.37 | 03/18/21 | 468.30 | ... | -3.93 | Sale ²⁵ |
| AMETEK, INC. / CUSIP: 031100100 / Symbol: | | | | | | | |
| 11/02/21 | 1.000 | 139.36 | 10/14/21 | 126.66 | ... | 12.70 | Sale ²⁵ |
| AMGEN INC. COMMON STOCK / CUSIP: 031162100 / Symbol: | | | | | | | |
| 02/02/21 | 5.000 | 1,203.54 | 02/01/21 | 1,206.75 | 3.21 W | 0.00 | Sale ²⁵ |
| 05/05/21 | 2.000 | 493.82 | 03/04/21 | 447.78 | ... | 46.04 | Sale ²⁵ |
| 05/25/21 | 3.000 | 728.81 | 03/04/21 | 671.68 | ... | 57.13 | Sale ²⁵ |
| 2 transactions for 06/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 480.28 | 06/04/21 | 474.25 | ... | 6.03 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 720.41 | 06/04/21 | 711.69 | ... | 8.72 | 2 of 2 - Sale ²⁵ |
| 06/14/21 | 5.000 | 1,200.69 | Various | 1,185.94 | ... | 14.75 | Total of 2 transactions |

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| AMGEN INC. COMMON STOCK / CUSIP: 031162100 / Symbol: (cont'd) | | | | | | | |
| 09/07/21 | 10.000 | 2,229.98 | 09/03/21 | 2,262.40 | ... | -32.42 | Sale ²⁵ |
| | 4 transactions for 11/16/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 416.36 | 11/03/21 | 436.38 | ... | -20.02 | 1 of 4 - Sale ²⁵ |
| | 0.292 | 60.71 | 11/03/21 | 63.62 | ... | -2.91 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 208.18 | 11/08/21 | 211.30 | ... | -3.12 | 3 of 4 - Sale ²⁵ |
| | 0.420 | 87.41 | 11/08/21 | 88.70 | ... | -1.29 | 4 of 4 - Sale ²⁵ |
| 11/16/21 | 3.711 | 772.66 | Various | 800.00 | ... | -27.34 | Total of 4 transactions |
| | Security total: | 6,629.50 | | 6,574.55 | 3.21 W | 58.16 | |
| AMPHENOL CORPORATION / CUSIP: 032095101 / Symbol: | | | | | | | |
| | 2 transactions for 11/12/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.197 | 16.33 | 11/05/21 | 15.86 | ... | 0.47 | 1 of 2 - Sale ²⁵ |
| | 2.896 | 240.67 | 11/05/21 | 233.72 | ... | 6.95 | 2 of 2 - Sale ²⁵ |
| 11/12/21 | 3.093 | 257.00 | Various | 249.58 | ... | 7.42 | Total of 2 transactions |
| 12/28/21 | 3.104 | 268.81 | 11/05/21 | 250.42 | ... | 18.39 | Sale ²⁵ |
| | Security total: | 525.81 | | 500.00 | ... | 25.81 | |
| ANALOG DEVICES, INC. COMMON STOCK / CUSIP: 032654105 / Symbol: | | | | | | | |
| 09/13/21 | 3.000 | 512.03 | 09/13/21 | 514.34 | 1.54 W | -0.77 | Sale ²⁵ |
| | 2 transactions for 09/15/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 173.05 | 09/13/21 | 171.76 | ... | 1.29 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 173.05 | 09/13/21 | 171.76 | ... | 1.29 | 2 of 2 - Sale ²⁵ |
| 09/15/21 | 2.000 | 346.10 | Various | 343.52 | ... | 2.58 | Total of 2 transactions |
| | 2 transactions for 11/10/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 365.20 | 11/05/21 | 366.42 | ... | -1.22 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 182.55 | 11/05/21 | 183.21 | ... | -0.66 | 2 of 2 - Sale ²⁵ |
| 11/10/21 | 3.000 | 547.75 | Various | 549.63 | ... | -1.88 | Total of 2 transactions |
| | Security total: | 1,405.88 | | 1,407.49 | 1.54 W | -0.07 | |
| ANSYS, INC. COMMON STOCK / CUSIP: 03662Q105 / Symbol: | | | | | | | |
| | 4 transactions for 09/17/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.054 | 19.92 | 09/07/21 | 20.00 | 0.08 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.612 | 226.78 | 09/07/21 | 227.77 | 0.99 W | 0.00 | 2 of 4 - Sale ²⁵ |

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| ANSYS, INC. COMMON STOCK / CUSIP: 03662Q105 / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 370.60 | 09/07/21 | 372.23 | | | |
| | 2.334 | 865.13 | 09/08/21 | 868.04 | 1.63 W | 0.00 | 3 of 4 - Sale ²⁵ |
| 09/17/21 | 4.000 | 1,482.43 | Various | 1,488.04 | 2.91 W | 0.00 | 4 of 4 - Sale ²⁵ |
| | | | | | 5.61 W | 0.00 | Total of 4 transactions |
| | 3 transactions for 09/20/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.334 | 824.14 | 09/08/21 | 876.42 | ... | -52.28 | 1 of 3 - Sale ²⁵ |
| | 1.666 | 588.03 | 09/08/21 | 623.27 | ... | -35.24 | 2 of 3 - Sale ²⁵ |
| | 0.000 | 0.01 | 09/08/21 | 0.01 | ... | 0.00 | 3 of 3 - Sale ²⁵ |
| 09/20/21 | 4.000 | 1,412.18 | Various | 1,499.70 | ... | -87.52 | Total of 3 transactions |
| | 3 transactions for 09/20/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 353.03 | 09/08/21 | 375.82 | 10.82 W | -11.97 | 1 of 3 - Sale ²⁵ |
| | 0.054 | 18.97 | 09/08/21 | 20.06 | 1.09 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 0.612 | 216.02 | 09/08/21 | 228.52 | 12.50 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 09/20/21 | 1.666 | 588.02 | Various | 624.40 | 24.41 W | -11.97 | Total of 3 transactions |
| | 3 transactions for 10/20/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.429 | 156.74 | 10/14/21 | 162.27 | ... | -5.53 | 1 of 3 - Sale ²⁵ |
| | 0.087 | 31.64 | 10/14/21 | 32.63 | ... | -0.99 | 2 of 3 - Sale ²⁵ |
| | 0.054 | 19.62 | 10/14/21 | 20.23 | ... | -0.61 | 3 of 3 - Sale ²⁵ |
| 10/20/21 | 0.570 | 208.00 | Various | 215.13 | ... | -7.13 | Total of 3 transactions |
| | 2 transactions for 10/22/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.475 | 175.63 | 10/14/21 | 180.63 | ... | -5.00 | 1 of 2 - Sale ²⁵ |
| | 0.096 | 35.53 | 10/14/21 | 36.32 | ... | -0.79 | 2 of 2 - Sale ²⁵ |
| 10/22/21 | 0.571 | 211.16 | Various | 216.95 | ... | -5.79 | Total of 2 transactions |
| | Security total: | 3,901.79 | | 4,044.22 | 30.02 W | -112.41 | |
| APOLLO GLOBAL MANAGEMENT, INC. / CUSIP: 03768E105 / Symbol: | | | | | | | |
| 09/07/21 | 10.000 | 614.39 | 09/01/21 | 609.59 | ... | 4.80 | Sale ²⁵ |
| | 2 transactions for 09/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 612.80 | 09/03/21 | 617.70 | ... | -4.90 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 612.79 | 09/07/21 | 621.78 | ... | -8.99 | 2 of 2 - Sale ²⁵ |
| 09/08/21 | 20.000 | 1,225.59 | Various | 1,239.48 | ... | -13.89 | Total of 2 transactions |
| 09/08/21 | 10.000 | 612.80 | 09/03/21 | 619.10 | 6.30 W | 0.00 | Sale ²⁵ |
| 09/20/21 | 10.000 | 602.29 | 09/07/21 | 628.07 | ... | -25.78 | Sale ²⁵ |
| | Security total: | 3,055.07 | | 3,096.24 | 6.30 W | -34.87 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|-------------------|-------------------------|--|---|-------------------------------|
| APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: | | | | | | | |
| 2 transactions for 01/06/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 127.70 | 12/22/20 | 144.86 | 17.16 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 510.81 | 12/22/20 | 579.43 | 68.62 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 01/06/21 | 5.000 | 638.51 | Various | 724.29 | 85.78 W | 0.00 | Total of 2 transactions |
| 02/11/21 | 5.000 | 671.28 | 01/06/21 | 649.55 | ... | 21.73 | Sale ²⁵ |
| 13 transactions for 02/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 134.26 | 12/22/20 | 137.05 | 2.79 W | 0.00 | 1 of 13 - Sale ²⁵ |
| | 1.000 | 134.26 | 12/22/20 | 137.34 | 3.08 W | 0.00 | 2 of 13 - Sale ²⁵ |
| | 1.000 | 134.26 | 12/22/20 | 137.35 | 3.09 W | 0.00 | 3 of 13 - Sale ²⁵ |
| | 1.000 | 134.26 | 12/22/20 | 141.06 | 6.80 W | 0.00 | 4 of 13 - Sale ²⁵ |
| | 1.000 | 134.26 | 12/22/20 | 141.55 | 7.29 W | 0.00 | 5 of 13 - Sale ²⁵ |
| | 1.000 | 134.26 | 12/22/20 | 147.47 | 13.21 W | 0.00 | 6 of 13 - Sale ²⁵ |
| | 1.000 | 134.26 | 12/22/20 | 147.51 | 13.25 W | 0.00 | 7 of 13 - Sale ²⁵ |
| | 2.000 | 268.51 | 12/22/20 | 274.72 | 6.21 W | 0.00 | 8 of 13 - Sale ²⁵ |
| | 3.000 | 402.77 | 12/22/20 | 423.17 | 20.40 W | 0.00 | 9 of 13 - Sale ²⁵ |
| | 3.000 | 402.77 | 12/22/20 | 443.63 | 40.86 W | 0.00 | 10 of 13 - Sale ²⁵ |
| | 1.000 | 134.26 | 01/26/21 | 160.96 | 26.70 W | 0.00 | 11 of 13 - Sale ²⁵ |
| | 4.000 | 537.02 | 01/26/21 | 643.82 | 106.80 W | 0.00 | 12 of 13 - Sale ²⁵ |
| | 5.000 | 671.28 | 01/28/21 | 692.40 | 21.12 W | 0.00 | 13 of 13 - Sale ²⁵ |
| 02/11/21 | 25.000 | 3,356.43 | Various | 3,628.03 | 271.60 W | 0.00 | Total of 13 transactions |
| 13 transactions for 02/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 127.02 | 02/11/21 | 160.95 | 33.93 W | 0.00 | 1 of 13 - Sale ²⁵ |
| | 1.000 | 128.62 | 02/11/21 | 141.54 | 12.92 W | 0.00 | 2 of 13 - Sale ²⁵ |
| | 1.000 | 128.63 | 02/11/21 | 141.05 | 12.42 W | 0.00 | 3 of 13 - Sale ²⁵ |
| | 1.000 | 129.00 | 02/11/21 | 137.04 | 8.04 W | 0.00 | 4 of 13 - Sale ²⁵ |
| | 1.000 | 129.00 | 02/11/21 | 137.33 | 8.33 W | 0.00 | 5 of 13 - Sale ²⁵ |
| | 1.000 | 129.00 | 02/11/21 | 137.34 | 8.34 W | 0.00 | 6 of 13 - Sale ²⁵ |
| | 1.000 | 129.00 | 02/11/21 | 147.46 | 18.46 W | 0.00 | 7 of 13 - Sale ²⁵ |
| | 1.000 | 129.00 | 02/11/21 | 147.50 | 18.50 W | 0.00 | 8 of 13 - Sale ²⁵ |
| | 2.000 | 257.99 | 02/11/21 | 274.71 | 16.72 W | 0.00 | 9 of 13 - Sale ²⁵ |
| | 3.000 | 385.86 | 02/11/21 | 423.15 | 37.29 W | 0.00 | 10 of 13 - Sale ²⁵ |
| | 3.000 | 386.98 | 02/11/21 | 443.61 | 56.63 W | 0.00 | 11 of 13 - Sale ²⁵ |
| | 4.000 | 508.06 | 02/11/21 | 643.80 | 135.74 W | 0.00 | 12 of 13 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|-------------------------------|
| APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd) | | | | | | | |
| | 5.000 | 633.23 | 02/11/21 | 692.37 | 59.14 W | 0.00 | 13 of 13 - Sale ²⁵ |
| 02/22/21 | 25.000 | 3,201.39 | Various | 3,627.85 | 426.46 W | 0.00 | Total of 13 transactions |
| | 2 transactions for 02/24/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 11.000 | 1,369.46 | 02/11/21 | 1,476.75 | 9.75 W | -97.54 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 497.78 | 02/11/21 | 537.00 | 39.22 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 02/24/21 | 15.000 | 1,867.24 | Various | 2,013.75 | 48.97 W | -97.54 | Total of 2 transactions |
| | 9 transactions for 03/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 238.25 | 03/05/21 | 255.36 | ... | -17.11 | 1 of 9 - Sale ²⁵ |
| | 1.000 | 119.12 | 03/05/21 | 127.66 | ... | -8.54 | 2 of 9 - Sale ²⁵ |
| | 1.000 | 119.13 | 03/05/21 | 127.65 | ... | -8.52 | 3 of 9 - Sale ²⁵ |
| | 3.000 | 354.30 | 03/08/21 | 412.37 | ... | -58.07 | 4 of 9 - Sale ²⁵ |
| | 3.000 | 354.29 | 03/08/21 | 393.03 | ... | -38.74 | 5 of 9 - Sale ²⁵ |
| | 1.000 | 118.10 | 03/08/21 | 137.08 | ... | -18.98 | 6 of 9 - Sale ²⁵ |
| | 1.000 | 118.10 | 03/08/21 | 137.04 | ... | -18.94 | 7 of 9 - Sale ²⁵ |
| | 1.000 | 118.10 | 03/08/21 | 131.50 | ... | -13.40 | 8 of 9 - Sale ²⁵ |
| | 1.000 | 118.10 | 03/08/21 | 131.00 | ... | -12.90 | 9 of 9 - Sale ²⁵ |
| 03/08/21 | 14.000 | 1,657.49 | Various | 1,852.69 | ... | -195.20 | Total of 9 transactions |
| 03/08/21 | 1.000 | 119.12 | 03/05/21 | 127.36 | 8.24 W | 0.00 | Sale ²⁵ |
| | 2 transactions for 06/01/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 3.000 | 372.86 | 03/08/21 | 456.26 | ... | -83.40 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 124.28 | 03/08/21 | 152.08 | ... | -27.80 | 2 of 2 - Sale ²⁵ |
| 06/01/21 | 4.000 | 497.14 | Various | 608.34 | ... | -111.20 | Total of 2 transactions |
| | 2 transactions for 06/11/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 127.22 | 03/08/21 | 129.98 | 2.76 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 127.23 | 03/08/21 | 152.08 | 24.85 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 06/11/21 | 2.000 | 254.45 | Various | 282.06 | 27.61 W | 0.00 | Total of 2 transactions |
| | 2 transactions for 07/06/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 141.37 | 03/08/21 | 129.98 | ... | 11.39 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 141.37 | 03/10/21 | 132.68 | ... | 8.69 | 2 of 2 - Sale ²⁵ |
| 07/06/21 | 2.000 | 282.74 | Various | 262.66 | ... | 20.08 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd) | | | | | | | |
| <i>7 transactions for 07/08/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 2.000 | 283.15 | 03/10/21 | 265.37 | ... | 17.78 | 1 of 7 - Sale ²⁵ |
| | 1.000 | 141.57 | 03/12/21 | 129.30 | ... | 12.27 | 2 of 7 - Sale ²⁵ |
| | 1.000 | 141.57 | 03/23/21 | 132.68 | ... | 8.89 | 3 of 7 - Sale ²⁵ |
| | 3.000 | 424.72 | 03/23/21 | 398.20 | ... | 26.52 | 4 of 7 - Sale ²⁵ |
| | 1.000 | 141.57 | 03/29/21 | 129.96 | ... | 11.61 | 5 of 7 - Sale ²⁵ |
| | 10.000 | 1,415.75 | 07/06/21 | 1,415.09 | ... | 0.66 | 6 of 7 - Sale ²⁵ |
| | 2.000 | 286.14 | 07/06/21 | 283.12 | ... | 3.02 | 7 of 7 - Sale ²⁵ |
| 07/08/21 | 20.000 | 2,834.47 | Various | 2,753.72 | ... | 80.75 | Total of 7 transactions |
| <i>4 transactions for 07/08/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 1.000 | 141.57 | 07/06/21 | 165.52 | 23.95 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 141.58 | 07/06/21 | 143.43 | 1.85 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 143.07 | 07/06/21 | 143.41 | 0.34 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 143.07 | 07/06/21 | 165.43 | 22.36 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 07/08/21 | 4.000 | 569.29 | Various | 617.79 | 48.50 W | 0.00 | Total of 4 transactions |
| 07/13/21 | 4.000 | 584.91 | 07/06/21 | 566.24 | ... | 18.67 | Sale ²⁵ |
| 07/13/21 | 1.000 | 146.23 | 07/06/21 | 163.92 | 17.69 W | 0.00 | Sale ²⁵ |
| <i>2 transactions for 07/21/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 1.000 | 144.99 | 07/07/21 | 143.84 | ... | 1.15 | 1 of 2 - Sale ²⁵ |
| | 9.000 | 1,304.90 | 07/08/21 | 1,270.08 | ... | 34.82 | 2 of 2 - Sale ²⁵ |
| 07/21/21 | 10.000 | 1,449.89 | Various | 1,413.92 | ... | 35.97 | Total of 2 transactions |
| 07/21/21 | 1.000 | 144.99 | 07/07/21 | 161.65 | 16.66 W | 0.00 | Sale ²⁵ |
| <i>8 transactions for 07/23/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 3.000 | 445.81 | 07/08/21 | 428.70 | ... | 17.11 | 1 of 8 - Sale ²⁵ |
| | 10.000 | 1,486.02 | 07/08/21 | 1,411.20 | ... | 74.82 | 2 of 8 - Sale ²⁵ |
| | 20.000 | 2,972.05 | 07/08/21 | 2,822.97 | ... | 149.08 | 3 of 8 - Sale ²⁵ |
| | 1.000 | 148.60 | 07/12/21 | 144.93 | ... | 3.67 | 4 of 8 - Sale ²⁵ |
| | 4.000 | 594.41 | 07/12/21 | 580.64 | ... | 13.77 | 5 of 8 - Sale ²⁵ |
| | 1.000 | 148.60 | 07/19/21 | 142.94 | ... | 5.66 | 6 of 8 - Sale ²⁵ |
| | 10.000 | 1,486.03 | 07/19/21 | 1,434.05 | ... | 51.98 | 7 of 8 - Sale ²⁵ |
| | 40.000 | 5,944.10 | 07/23/21 | 5,940.30 | ... | 3.80 | 8 of 8 - Sale ²⁵ |
| 07/23/21 | 89.000 | 13,225.62 | Various | 12,905.73 | ... | 319.89 | Total of 8 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 07/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 148.60 | 07/08/21 | 157.78 | 9.18 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 1,486.02 | 07/14/21 | 1,492.55 | 6.53 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 07/23/21 | 11.000 | 1,634.62 | Various | 1,650.33 | 15.71 W | 0.00 | Total of 2 transactions |
| 2 transactions for 09/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 1,528.74 | 07/23/21 | 1,491.60 | ... | 37.14 | 1 of 2 - Sale ²⁵ |
| | 39.000 | 5,962.10 | 07/23/21 | 5,791.79 | ... | 170.31 | 2 of 2 - Sale ²⁵ |
| 09/02/21 | 49.000 | 7,490.84 | Various | 7,283.39 | ... | 207.45 | Total of 2 transactions |
| 09/02/21 | 1.000 | 152.87 | 07/23/21 | 157.69 | 4.82 W | 0.00 | Sale ²⁵ |
| 5 transactions for 09/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 1,499.99 | 07/23/21 | 1,486.00 | ... | 13.99 | 1 of 5 - Sale ²⁵ |
| | 10.000 | 1,499.99 | 07/23/21 | 1,485.58 | ... | 14.41 | 2 of 5 - Sale ²⁵ |
| | 10.000 | 1,499.99 | 07/23/21 | 1,485.08 | ... | 14.91 | 3 of 5 - Sale ²⁵ |
| | 20.000 | 2,992.98 | 07/23/21 | 2,971.17 | ... | 21.81 | 4 of 5 - Sale ²⁵ |
| | 9.000 | 1,346.84 | 09/13/21 | 1,343.16 | ... | 3.68 | 5 of 5 - Sale ²⁵ |
| 09/14/21 | 59.000 | 8,839.79 | Various | 8,770.99 | ... | 68.80 | Total of 5 transactions |
| 09/14/21 | 1.000 | 149.65 | 09/13/21 | 154.06 | 4.41 W | 0.00 | Sale ²⁵ |
| 4 transactions for 10/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 140.18 | 09/17/21 | 151.08 | 10.90 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 9.000 | 1,261.61 | 09/17/21 | 1,319.98 | 58.37 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 8.000 | 1,121.43 | 09/20/21 | 1,135.67 | 14.24 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 18.000 | 2,523.23 | 09/20/21 | 2,563.69 | 40.46 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 10/12/21 | 36.000 | 5,046.45 | Various | 5,170.42 | 123.97 W | 0.00 | Total of 4 transactions |
| 10/20/21 | 9.000 | 1,342.57 | 09/20/21 | 1,335.99 | ... | 6.58 | Sale ²⁵ |
| 10/20/21 | 1.000 | 149.17 | 09/20/21 | 152.86 | 3.69 W | 0.00 | Sale ²⁵ |
| 3 transactions for 10/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 593.24 | 09/20/21 | 576.82 | ... | 16.42 | 1 of 3 - Sale ²⁵ |
| | 5.000 | 741.54 | 10/01/21 | 715.52 | ... | 26.02 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 148.31 | 10/08/21 | 145.83 | ... | 2.48 | 3 of 3 - Sale ²⁵ |
| 10/21/21 | 10.000 | 1,483.09 | Various | 1,438.17 | ... | 44.92 | Total of 3 transactions |
| 3 transactions for 10/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 144.91 | 10/18/21 | 149.38 | 4.47 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 6.000 | 869.45 | 10/18/21 | 884.82 | 15.37 W | 0.00 | 2 of 3 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd) | | | | | | | |
| | 8.000 | 1,159.27 | 10/18/21 | 1,183.50 | 24.23 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 10/28/21 | 15.000 | 2,173.63 | Various | 2,217.70 | 44.07 W | 0.00 | Total of 3 transactions |
| | 6 transactions for 11/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 319.47 | 10/18/21 | 294.94 | ... | 24.53 | 1 of 6 - Sale ²⁵ |
| | 3.000 | 479.20 | 10/18/21 | 437.07 | ... | 42.13 | 2 of 6 - Sale ²⁵ |
| | 1.000 | 159.73 | 10/28/21 | 157.16 | ... | 2.57 | 3 of 6 - Sale ²⁵ |
| | 4.000 | 638.94 | 10/28/21 | 622.88 | ... | 16.06 | 4 of 6 - Sale ²⁵ |
| | 3.000 | 479.20 | 11/12/21 | 457.37 | ... | 21.83 | 5 of 6 - Sale ²⁵ |
| | 4.000 | 638.94 | 11/12/21 | 611.69 | ... | 27.25 | 6 of 6 - Sale ²⁵ |
| 11/23/21 | 17.000 | 2,715.48 | Various | 2,581.11 | ... | 134.37 | Total of 6 transactions |
| | 6 transactions for 12/31/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 3.000 | 533.37 | 11/12/21 | 457.37 | ... | 76.00 | 1 of 6 - Sale ²⁵ |
| | 10.000 | 1,777.90 | 11/12/21 | 1,498.95 | ... | 278.95 | 2 of 6 - Sale ²⁵ |
| | 1.000 | 177.79 | 11/17/21 | 154.35 | ... | 23.44 | 3 of 6 - Sale ²⁵ |
| | 5.000 | 888.95 | 11/17/21 | 759.19 | ... | 129.76 | 4 of 6 - Sale ²⁵ |
| | 10.000 | 1,777.90 | 11/17/21 | 1,543.15 | ... | 234.75 | 5 of 6 - Sale ²⁵ |
| | 1.000 | 177.79 | 11/18/21 | 154.33 | ... | 23.46 | 6 of 6 - Sale ²⁵ |
| 12/31/21 | 30.000 | 5,333.70 | Various | 4,567.34 | ... | 766.36 | Total of 6 transactions |
| | Security total: | 68,013.05 | | 67,839.60 | 1,148.18 W | 1,321.63 | |
| APPLIED MATERIALS, INC. COMMON STOCK / CUSIP: 038222105 / Symbol: | | | | | | | |
| 01/06/21 | 10.000 | 907.27 | 01/05/21 | 895.20 | ... | 12.07 | Sale ²⁵ |
| | 2 transactions for 03/29/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 619.64 | 03/22/21 | 597.45 | ... | 22.19 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 630.01 | 03/22/21 | 604.20 | ... | 25.81 | 2 of 2 - Sale ²⁵ |
| 03/29/21 | 10.000 | 1,249.65 | Various | 1,201.65 | ... | 48.00 | Total of 2 transactions |
| 04/05/21 | 5.000 | 713.89 | 03/22/21 | 597.45 | ... | 116.44 | Sale ²⁵ |
| | 4 transactions for 05/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 127.86 | 04/21/21 | 133.16 | 5.30 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 127.86 | 04/21/21 | 134.25 | 6.39 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 127.86 | 04/22/21 | 132.68 | 4.82 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 2.000 | 255.71 | 04/22/21 | 265.48 | 9.77 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 05/04/21 | 5.000 | 639.29 | Various | 665.57 | 26.28 W | 0.00 | Total of 4 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|-------------------|-------------------------|--|---|-------------------------------|
| APPLIED MATERIALS, INC. COMMON STOCK / CUSIP: 038222105 / Symbol: (cont'd) | | | | | | | |
| 4 transactions for 05/10/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 124.90 | 05/06/21 | 135.98 | 11.08 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 124.90 | 05/06/21 | 136.46 | 11.56 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 124.90 | 05/06/21 | 137.55 | 12.65 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 2.000 | 249.79 | 05/06/21 | 272.08 | 22.29 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 05/10/21 | 5.000 | 624.49 | Various | 682.07 | 57.58 W | 0.00 | Total of 4 transactions |
| 4 transactions for 05/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 123.55 | 05/11/21 | 134.61 | 11.06 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 123.55 | 05/11/21 | 135.09 | 11.54 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 123.55 | 05/11/21 | 136.18 | 12.63 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 2.000 | 247.09 | 05/11/21 | 269.35 | 22.26 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 05/11/21 | 5.000 | 617.74 | Various | 675.23 | 57.49 W | 0.00 | Total of 4 transactions |
| 05/12/21 | 5.000 | 594.24 | 05/11/21 | 617.65 | 23.41 W | 0.00 | Sale ²⁵ |
| 4 transactions for 05/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 264.35 | 05/20/21 | 276.86 | ... | -12.51 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 132.18 | 05/20/21 | 139.93 | ... | -7.75 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 132.18 | 05/20/21 | 138.84 | ... | -6.66 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 132.18 | 05/20/21 | 138.36 | ... | -6.18 | 4 of 4 - Sale ²⁵ |
| 05/20/21 | 5.000 | 660.89 | Various | 693.99 | ... | -33.10 | Total of 4 transactions |
| 11 transactions for 05/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 132.29 | 05/20/21 | 141.30 | 9.01 W | 0.00 | 1 of 11 - Sale ²⁵ |
| | 1.000 | 132.29 | 05/20/21 | 141.78 | 9.49 W | 0.00 | 2 of 11 - Sale ²⁵ |
| | 1.000 | 132.29 | 05/20/21 | 142.87 | 10.58 W | 0.00 | 3 of 11 - Sale ²⁵ |
| | 1.000 | 133.00 | 05/20/21 | 139.31 | 6.31 W | 0.00 | 4 of 11 - Sale ²⁵ |
| | 1.000 | 133.00 | 05/20/21 | 139.79 | 6.79 W | 0.00 | 5 of 11 - Sale ²⁵ |
| | 1.000 | 133.00 | 05/20/21 | 140.88 | 7.88 W | 0.00 | 6 of 11 - Sale ²⁵ |
| | 2.000 | 264.58 | 05/20/21 | 282.74 | 18.16 W | 0.00 | 7 of 11 - Sale ²⁵ |
| | 2.000 | 266.00 | 05/20/21 | 278.76 | 12.76 W | 0.00 | 8 of 11 - Sale ²⁵ |
| | 4.000 | 529.15 | 05/20/21 | 539.93 | 10.78 W | 0.00 | 9 of 11 - Sale ²⁵ |
| | 1.000 | 133.00 | 05/20/21 | 134.98 | 1.98 W | 0.00 | 10 of 11 - Sale ²⁵ |
| | 4.000 | 531.99 | 05/20/21 | 532.18 | 0.19 W | 0.00 | 11 of 11 - Sale ²⁵ |
| 05/20/21 | 19.000 | 2,520.59 | Various | 2,614.52 | 93.93 W | 0.00 | Total of 11 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| APPLIED MATERIALS, INC. COMMON STOCK / CUSIP: 038222105 / Symbol: (cont'd) | | | | | | | |
| <i>2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 1.000 | 135.74 | 05/24/21 | 135.21 | ... | 0.53 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 542.95 | 05/24/21 | 533.10 | ... | 9.85 | 2 of 2 - Sale ²⁵ |
| 06/08/21 | 5.000 | 678.69 | Various | 668.31 | ... | 10.38 | Total of 2 transactions |
| 08/04/21 | 3.000 | 429.18 | 08/02/21 | 431.61 | 2.43 W | 0.00 | Sale ²⁵ |
| <i>4 transactions for 08/10/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 4.000 | 563.63 | 08/02/21 | 578.91 | ... | -15.28 | 1 of 4 - Sale ²⁵ |
| | 3.000 | 422.73 | 08/02/21 | 436.58 | ... | -13.85 | 2 of 4 - Sale ²⁵ |
| | 2.000 | 281.82 | 08/02/21 | 287.74 | ... | -5.92 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 140.91 | 08/03/21 | 142.48 | ... | -1.57 | 4 of 4 - Sale ²⁵ |
| 08/10/21 | 10.000 | 1,409.09 | Various | 1,445.71 | ... | -36.62 | Total of 4 transactions |
| <i>3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.732 | 109.25 | 10/25/21 | 100.00 | ... | 9.25 | 1 of 3 - Sale ²⁵ |
| | 1.931 | 288.22 | 10/25/21 | 263.53 | ... | 24.69 | 2 of 3 - Sale ²⁵ |
| | 3.664 | 546.79 | 10/25/21 | 500.00 | ... | 46.79 | 3 of 3 - Sale ²⁵ |
| 11/04/21 | 6.327 | 944.26 | Various | 863.53 | ... | 80.73 | Total of 3 transactions |
| <i>3 transactions for 11/10/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 1.000 | 153.46 | 10/25/21 | 136.47 | ... | 16.99 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 153.46 | 10/27/21 | 133.03 | ... | 20.43 | 2 of 3 - Sale ²⁵ |
| | 3.000 | 460.37 | 10/27/21 | 399.29 | ... | 61.08 | 3 of 3 - Sale ²⁵ |
| 11/10/21 | 5.000 | 767.29 | Various | 668.79 | ... | 98.50 | Total of 3 transactions |
| | Security total: | 12,756.56 | | 12,721.28 | 261.12 W | 296.40 | |
| APTARGROUP, INC. / CUSIP: 038336103 / Symbol: | | | | | | | |
| 12/28/21 | 10.000 | 1,209.29 | 12/28/21 | 1,218.30 | ... | -9.01 | Sale ²⁵ |
| ARAMARK / CUSIP: 03852U106 / Symbol: | | | | | | | |
| 02/05/21 | 15.000 | 565.48 | 02/05/21 | 569.52 | ... | -4.04 | Sale ²⁵ |
| ARISTA NETWORKS / CUSIP: 040413106 / Symbol: | | | | | | | |
| 12/28/21 | 2.000 | 290.06 | 12/15/21 | 278.15 | ... | 11.91 | Sale ²⁵ |
| ARK RESTAURANTS CORP. COMMON S TOCK / CUSIP: 040712101 / Symbol: | | | | | | | |
| 01/11/21 | 100.000 | 1,973.94 | 01/11/21 | 1,953.50 | ... | 20.44 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| ARMOUR RESIDENTIAL REIT, INC. / CUSIP: 042315507 / Symbol: | | | | | | | |
| 3 transactions for 03/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 115.30 | 01/19/21 | 109.20 | ... | 6.10 | 1 of 3 - Sale ²⁵ |
| | 30.000 | 345.89 | 01/19/21 | 326.10 | ... | 19.79 | 2 of 3 - Sale ²⁵ |
| | 60.000 | 691.79 | 01/19/21 | 657.60 | ... | 34.19 | 3 of 3 - Sale ²⁵ |
| 03/05/21 | 100.000 | 1,152.98 | Various | 1,092.90 | ... | 60.08 | Total of 3 transactions |
| 03/05/21 | 100.000 | 1,152.98 | 02/08/21 | 1,176.89 | 23.91 W | 0.00 | Sale ²⁵ |
| 2 transactions for 03/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 98.363 | 1,188.32 | 03/04/21 | 1,176.34 | ... | 11.98 | 1 of 2 - Sale ²⁵ |
| | 100.000 | 1,208.09 | 03/04/21 | 1,172.00 | ... | 36.09 | 2 of 2 - Sale ²⁵ |
| 03/11/21 | 198.363 | 2,396.41 | Various | 2,348.34 | ... | 48.07 | Total of 2 transactions |
| 03/11/21 | 1.637 | 19.77 | 03/01/21 | 20.39 | 0.62 W | 0.00 | Sale ²⁵ |
| 3 transactions for 03/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.637 | 19.95 | 03/04/21 | 19.66 | ... | 0.29 | 1 of 3 - Sale ²⁵ |
| | 200.000 | 2,439.96 | 03/04/21 | 2,327.13 | ... | 112.83 | 2 of 3 - Sale ²⁵ |
| | 398.363 | 4,855.97 | 03/04/21 | 4,635.23 | ... | 220.74 | 3 of 3 - Sale ²⁵ |
| 03/16/21 | 600.000 | 7,315.88 | Various | 6,982.02 | ... | 333.86 | Total of 3 transactions |
| 2 transactions for 03/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 122.50 | 03/04/21 | 116.36 | ... | 6.14 | 1 of 2 - Sale ²⁵ |
| | 190.000 | 2,327.46 | 03/04/21 | 2,210.78 | ... | 116.68 | 2 of 2 - Sale ²⁵ |
| 03/18/21 | 200.000 | 2,449.96 | Various | 2,327.14 | ... | 122.82 | Total of 2 transactions |
| 2 transactions for 03/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.637 | 19.95 | 03/04/21 | 19.04 | ... | 0.91 | 1 of 2 - Sale ²⁵ |
| | 98.363 | 1,199.08 | 03/05/21 | 1,135.67 | ... | 63.41 | 2 of 2 - Sale ²⁵ |
| 03/19/21 | 100.000 | 1,219.03 | Various | 1,154.71 | ... | 64.32 | Total of 2 transactions |
| 3 transactions for 03/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.637 | 7.70 | 03/05/21 | 7.35 | ... | 0.35 | 1 of 3 - Sale ²⁵ |
| | 6.000 | 72.54 | 03/05/21 | 69.27 | ... | 3.27 | 2 of 3 - Sale ²⁵ |
| | 95.000 | 1,148.67 | 03/05/21 | 1,096.85 | ... | 51.82 | 3 of 3 - Sale ²⁵ |
| 03/22/21 | 101.637 | 1,228.91 | Various | 1,173.47 | ... | 55.44 | Total of 3 transactions |
| 4 transactions for 03/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 12.22 | 03/25/21 | 12.00 | ... | 0.22 | 1 of 4 - Sale ²⁵ |
| | 10.000 | 122.20 | 03/25/21 | 120.20 | ... | 2.00 | 2 of 4 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|------------------------------|
| ARMOUR RESIDENTIAL REIT, INC. / CUSIP: 042315507 / Symbol: (cont'd) | | | | | | | |
| | 19.000 | 232.18 | 03/25/21 | 227.96 | ... | 4.22 | 3 of 4 - Sale ²⁵ |
| | 10.000 | 122.20 | 03/26/21 | 122.00 | ... | 0.20 | 4 of 4 - Sale ²⁵ |
| 03/26/21 | 40.000 | 488.80 | Various | 482.16 | ... | 6.64 | Total of 4 transactions |
| 03/31/21 | 30.000 | 367.57 | 03/30/21 | 367.20 | ... | 0.37 | Sale ²⁵ |
| 3 transactions for 04/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 97.84 | 04/26/21 | 97.89 | 0.05 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 24.47 | 04/26/21 | 24.53 | 0.06 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 24.46 | 04/26/21 | 24.52 | 0.06 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 04/26/21 | 12.000 | 146.77 | Various | 146.94 | 0.17 W | 0.00 | Total of 3 transactions |
| 4 transactions for 05/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 40.000 | 476.79 | 04/26/21 | 485.52 | 8.73 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 11.92 | 04/26/21 | 12.10 | 0.18 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 11.92 | 04/26/21 | 12.11 | 0.19 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 8.000 | 95.36 | 04/26/21 | 97.15 | 1.79 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 05/25/21 | 50.000 | 595.99 | Various | 606.88 | 10.89 W | 0.00 | Total of 4 transactions |
| 7 transactions for 06/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 114.92 | 04/26/21 | 121.89 | 6.97 W | 0.00 | 1 of 7 - Sale ²⁵ |
| | 1.000 | 11.49 | 04/28/21 | 12.37 | 0.88 W | 0.00 | 2 of 7 - Sale ²⁵ |
| | 1.000 | 11.49 | 04/28/21 | 12.38 | 0.89 W | 0.00 | 3 of 7 - Sale ²⁵ |
| | 8.000 | 91.94 | 04/28/21 | 99.32 | 7.38 W | 0.00 | 4 of 7 - Sale ²⁵ |
| | 10.000 | 114.92 | 04/28/21 | 121.50 | 6.58 W | 0.00 | 5 of 7 - Sale ²⁵ |
| | 30.000 | 344.77 | 04/28/21 | 372.29 | 27.52 W | 0.00 | 6 of 7 - Sale ²⁵ |
| | 10.000 | 114.93 | 05/04/21 | 119.61 | 4.68 W | 0.00 | 7 of 7 - Sale ²⁵ |
| 06/24/21 | 70.000 | 804.46 | Various | 859.36 | 54.90 W | 0.00 | Total of 7 transactions |
| 22 transactions for 06/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 11.37 | 05/04/21 | 11.96 | 0.59 W | 0.00 | 1 of 22 - Sale ²⁵ |
| | 19.000 | 216.09 | 05/04/21 | 227.26 | 11.17 W | 0.00 | 2 of 22 - Sale ²⁵ |
| | 10.000 | 113.74 | 05/12/21 | 117.18 | 3.44 W | 0.00 | 3 of 22 - Sale ²⁵ |
| | 10.000 | 113.74 | 06/18/21 | 120.25 | 6.51 W | 0.00 | 4 of 22 - Sale ²⁵ |
| | 1.000 | 11.38 | 06/18/21 | 11.99 | 0.61 W | 0.00 | 5 of 22 - Sale ²⁵ |
| | 1.000 | 11.38 | 06/18/21 | 12.00 | 0.62 W | 0.00 | 6 of 22 - Sale ²⁵ |
| | 8.000 | 91.08 | 06/18/21 | 96.24 | 5.16 W | 0.00 | 7 of 22 - Sale ²⁵ |
| | 10.000 | 113.73 | 06/18/21 | 118.05 | 4.32 W | 0.00 | 8 of 22 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|--|---|-------------------|-------------------------|--|---|-------------------------------|
| ARMOUR RESIDENTIAL REIT, INC. / CUSIP: 042315507 / Symbol: (cont'd) | | | | | | | |
| | 10.000 | 113.85 | 06/18/21 | 115.76 | 1.91 W | 0.00 | 9 of 22 - Sale ²⁵ |
| | 10.000 | 113.85 | 06/18/21 | 117.65 | 3.80 W | 0.00 | 10 of 22 - Sale ²⁵ |
| | 20.000 | 227.70 | 06/18/21 | 240.50 | 12.80 W | 0.00 | 11 of 22 - Sale ²⁵ |
| | 1.000 | 11.35 | 06/29/21 | 11.98 | 0.63 W | 0.00 | 12 of 22 - Sale ²⁵ |
| | 1.000 | 11.35 | 06/29/21 | 12.00 | 0.65 W | 0.00 | 13 of 22 - Sale ²⁵ |
| | 1.000 | 11.35 | 06/29/21 | 12.01 | 0.66 W | 0.00 | 14 of 22 - Sale ²⁵ |
| | 8.000 | 90.80 | 06/29/21 | 96.28 | 5.48 W | 0.00 | 15 of 22 - Sale ²⁵ |
| | 10.000 | 113.49 | 06/29/21 | 117.34 | 3.85 W | 0.00 | 16 of 22 - Sale ²⁵ |
| | 10.000 | 113.50 | 06/29/21 | 115.81 | 2.31 W | 0.00 | 17 of 22 - Sale ²⁵ |
| | 10.000 | 113.50 | 06/29/21 | 117.70 | 4.20 W | 0.00 | 18 of 22 - Sale ²⁵ |
| | 10.000 | 113.50 | 06/29/21 | 118.22 | 4.72 W | 0.00 | 19 of 22 - Sale ²⁵ |
| | 10.000 | 113.50 | 06/29/21 | 120.41 | 6.91 W | 0.00 | 20 of 22 - Sale ²⁵ |
| | 19.000 | 215.64 | 06/29/21 | 227.58 | 11.94 W | 0.00 | 21 of 22 - Sale ²⁵ |
| | 20.000 | 227.00 | 06/29/21 | 240.60 | 13.60 W | 0.00 | 22 of 22 - Sale ²⁵ |
| 06/29/21 | 200.000 | 2,272.89 | Various | 2,378.77 | 105.88 W | 0.00 | Total of 22 transactions |
| 07/09/21 | 50.000 | 575.16 | 07/09/21 | 574.33 | ... | 0.83 | Sale ²⁵ |
| | 11 transactions for 07/09/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 11.50 | 07/09/21 | 12.12 | 0.62 W | 0.00 | 1 of 11 - Sale ²⁵ |
| | 1.000 | 11.50 | 07/09/21 | 12.14 | 0.64 W | 0.00 | 2 of 11 - Sale ²⁵ |
| | 1.000 | 11.50 | 07/09/21 | 12.15 | 0.65 W | 0.00 | 3 of 11 - Sale ²⁵ |
| | 8.000 | 92.02 | 07/09/21 | 97.37 | 5.35 W | 0.00 | 4 of 11 - Sale ²⁵ |
| | 10.000 | 115.03 | 07/09/21 | 117.17 | 2.14 W | 0.00 | 5 of 11 - Sale ²⁵ |
| | 10.000 | 115.03 | 07/09/21 | 118.71 | 3.68 W | 0.00 | 6 of 11 - Sale ²⁵ |
| | 10.000 | 115.03 | 07/09/21 | 119.06 | 4.03 W | 0.00 | 7 of 11 - Sale ²⁵ |
| | 10.000 | 115.03 | 07/09/21 | 119.58 | 4.55 W | 0.00 | 8 of 11 - Sale ²⁵ |
| | 10.000 | 115.03 | 07/09/21 | 121.77 | 6.74 W | 0.00 | 9 of 11 - Sale ²⁵ |
| | 19.000 | 218.56 | 07/09/21 | 230.18 | 11.62 W | 0.00 | 10 of 11 - Sale ²⁵ |
| | 20.000 | 230.06 | 07/09/21 | 243.33 | 13.27 W | 0.00 | 11 of 11 - Sale ²⁵ |
| 07/09/21 | 100.000 | 1,150.29 | Various | 1,203.58 | 53.29 W | 0.00 | Total of 11 transactions |
| | 6 transactions for 07/12/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 11.51 | 07/09/21 | 12.13 | 0.62 W | 0.00 | 1 of 6 - Sale ²⁵ |
| | 1.000 | 11.51 | 07/09/21 | 12.14 | 0.63 W | 0.00 | 2 of 6 - Sale ²⁵ |
| | 8.000 | 92.08 | 07/09/21 | 97.24 | 5.16 W | 0.00 | 3 of 6 - Sale ²⁵ |
| | 10.000 | 115.10 | 07/09/21 | 119.41 | 4.31 W | 0.00 | 4 of 6 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------------|
| ARMOUR RESIDENTIAL REIT, INC. / CUSIP: 042315507 / Symbol: (cont'd) | | | | | | | |
| | 10.000 | 115.10 | 07/09/21 | 121.61 | 6.51 W | 0.00 | 5 of 6 - Sale ²⁵ |
| | 20.000 | 230.19 | 07/09/21 | 243.00 | 12.81 W | 0.00 | 6 of 6 - Sale ²⁵ |
| 07/12/21 | 50.000 | 575.49 | Various | 605.53 | 30.04 W | 0.00 | Total of 6 transactions |
| 07/13/21 | 50.000 | 566.24 | 07/09/21 | 574.32 | ... | -8.08 | Sale ²⁵ |
| 5 transactions for 07/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 113.25 | 07/09/21 | 118.89 | 5.64 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 1.000 | 11.32 | 07/09/21 | 12.11 | 0.79 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 10.000 | 113.25 | 07/09/21 | 117.00 | 3.75 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 10.000 | 113.25 | 07/09/21 | 118.55 | 5.30 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 19.000 | 215.17 | 07/09/21 | 229.86 | 14.69 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 07/13/21 | 50.000 | 566.24 | Various | 596.41 | 30.17 W | 0.00 | Total of 5 transactions |
| 11 transactions for 08/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 19.000 | 205.27 | 07/26/21 | 214.27 | ... | -9.00 | 1 of 11 - Sale ²⁵ |
| | 20.000 | 216.07 | 07/26/21 | 221.68 | ... | -5.61 | 2 of 11 - Sale ²⁵ |
| | 10.000 | 108.03 | 07/26/21 | 110.95 | ... | -2.92 | 3 of 11 - Sale ²⁵ |
| | 10.000 | 108.04 | 07/26/21 | 110.68 | ... | -2.64 | 4 of 11 - Sale ²⁵ |
| | 10.000 | 108.03 | 07/26/21 | 110.34 | ... | -2.31 | 5 of 11 - Sale ²⁵ |
| | 8.000 | 86.43 | 07/26/21 | 88.71 | ... | -2.28 | 6 of 11 - Sale ²⁵ |
| | 10.000 | 108.04 | 07/26/21 | 108.79 | ... | -0.75 | 7 of 11 - Sale ²⁵ |
| | 10.000 | 108.04 | 07/26/21 | 108.76 | ... | -0.72 | 8 of 11 - Sale ²⁵ |
| | 1.000 | 10.80 | 07/26/21 | 11.29 | ... | -0.49 | 9 of 11 - Sale ²⁵ |
| | 1.000 | 10.80 | 07/26/21 | 11.07 | ... | -0.27 | 10 of 11 - Sale ²⁵ |
| | 1.000 | 10.80 | 07/26/21 | 11.06 | ... | -0.26 | 11 of 11 - Sale ²⁵ |
| 08/30/21 | 100.000 | 1,080.35 | Various | 1,107.60 | ... | -27.25 | Total of 11 transactions |
| | Security total: | 26,126.17 | | 25,778.94 | 309.87 W | 657.10 | |
| ARTISAN PARTNERS ASSET MANAGEM ENT INC. / CUSIP: 04316A108 / Symbol: | | | | | | | |
| 04/20/21 | 5.000 | 265.45 | 04/20/21 | 267.50 | ... | -2.05 | Sale ²⁵ |
| ASANA, INC. CLASS A COMMON STO CK / CUSIP: 04342Y104 / Symbol: | | | | | | | |
| 3 transactions for 10/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.001 | 0.18 | 10/13/21 | 0.17 | ... | 0.01 | 1 of 3 - Sale ²⁵ |
| | 0.510 | 63.39 | 10/13/21 | 58.39 | ... | 5.00 | 2 of 3 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| ASANA, INC. CLASS A COMMON STO CK / CUSIP: 04342Y104 / Symbol: (cont'd) | | | | | | | |
| | 2.000 | 248.43 | 10/13/21 | 228.98 | ... | 19.45 | 3 of 3 - Sale ²⁵ |
| 10/19/21 | 2.511 | 312.00 | Various | 287.54 | ... | 24.46 | Total of 3 transactions |
| | 3 transactions for 10/21/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.001 | 0.07 | 10/13/21 | 0.06 | ... | 0.01 | 1 of 3 - Sale ²⁵ |
| | 0.233 | 32.11 | 10/13/21 | 26.67 | ... | 5.44 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 137.82 | 10/13/21 | 114.49 | ... | 23.33 | 3 of 3 - Sale ²⁵ |
| 10/21/21 | 1.233 | 170.00 | Various | 141.22 | ... | 28.78 | Total of 3 transactions |
| | 2 transactions for 10/22/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.255 | 33.14 | 10/13/21 | 29.21 | ... | 3.93 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 129.61 | 10/13/21 | 114.49 | ... | 15.12 | 2 of 2 - Sale ²⁵ |
| 10/22/21 | 1.255 | 162.75 | Various | 143.70 | ... | 19.05 | Total of 2 transactions |
| 12/20/21 | 2.000 | 155.10 | 12/20/21 | 156.34 | ... | -1.24 | Sale ²⁵ |
| | Security total: | 799.85 | | 728.80 | ... | 71.05 | |
| ATHERSYS, INC. COMMON STOCK / CUSIP: 04744L106 / Symbol: | | | | | | | |
| | 2 transactions for 02/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 100.000 | 276.98 | 02/04/21 | 286.50 | ... | -9.52 | 1 of 2 - Sale ²⁵ |
| | 100.000 | 276.98 | 02/04/21 | 286.50 | ... | -9.52 | 2 of 2 - Sale ²⁵ |
| 02/04/21 | 200.000 | 553.96 | Various | 573.00 | ... | -19.04 | Total of 2 transactions |
| ATMOS ENERGY CORPORATION / CUSIP: 049560105 / Symbol: | | | | | | | |
| 10/14/21 | 5.000 | 469.12 | 10/04/21 | 448.29 | ... | 20.83 | Sale ²⁵ |
| 10/18/21 | 5.000 | 454.39 | 10/04/21 | 448.29 | ... | 6.10 | Sale ²⁵ |
| | Security total: | 923.51 | | 896.58 | ... | 26.93 | |
| AUTODESK, INC. COMMON STOCK / CUSIP: 052769106 / Symbol: | | | | | | | |
| | 2 transactions for 10/22/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.161 | 50.00 | 10/14/21 | 46.41 | ... | 3.59 | 1 of 2 - Sale ²⁵ |
| | 0.186 | 57.70 | 10/14/21 | 53.59 | ... | 4.11 | 2 of 2 - Sale ²⁵ |
| 10/22/21 | 0.347 | 107.70 | Various | 100.00 | ... | 7.70 | Total of 2 transactions |
| AUTOMATIC DATA PROCESSING, INC . COMMON STOCK / CUSIP: 053015103 / Symbol: | | | | | | | |
| 10/18/21 | 2.000 | 425.72 | 10/07/21 | 415.63 | ... | 10.09 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| AUTONATION, INC. / CUSIP: 05329W102 / Symbol: | | | | | | | |
| 07/20/21 | 5.000 | 563.63 | 07/20/21 | 563.15 | ... | 0.48 | Sale ²⁵ |
| 07/21/21 | 5.000 | 595.78 | 07/20/21 | 563.15 | ... | 32.63 | Sale ²⁵ |
| | 2 transactions for 07/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 577.87 | 07/20/21 | 571.66 | ... | 6.21 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 582.99 | 07/20/21 | 571.66 | ... | 11.33 | 2 of 2 - Sale ²⁵ |
| 07/23/21 | 10.000 | 1,160.86 | Various | 1,143.32 | ... | 17.54 | Total of 2 transactions |
| | 2 transactions for 08/17/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 228.38 | 08/16/21 | 241.39 | ... | -13.01 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 114.19 | 08/16/21 | 120.40 | ... | -6.21 | 2 of 2 - Sale ²⁵ |
| 08/17/21 | 3.000 | 342.57 | Various | 361.79 | ... | -19.22 | Total of 2 transactions |
| 10/01/21 | 0.233 | 27.33 | 09/29/21 | 30.00 | ... | -2.67 | Sale ²⁵ |
| | 4 transactions for 11/16/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.001 | 0.08 | 11/11/21 | 0.08 | ... | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.004 | 0.55 | 11/11/21 | 0.52 | ... | 0.03 | 2 of 4 - Sale ²⁵ |
| | 0.385 | 50.23 | 11/11/21 | 48.36 | ... | 1.87 | 3 of 4 - Sale ²⁵ |
| | 1.996 | 260.14 | 11/11/21 | 250.72 | ... | 9.42 | 4 of 4 - Sale ²⁵ |
| 11/16/21 | 2.386 | 311.00 | Various | 299.68 | ... | 11.32 | Total of 4 transactions |
| | 4 transactions for 11/17/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.613 | 202.82 | 11/11/21 | 202.94 | ... | -0.12 | 1 of 4 - Sale ²⁵ |
| | 0.385 | 48.43 | 11/11/21 | 48.44 | ... | -0.01 | 2 of 4 - Sale ²⁵ |
| | 0.002 | 0.22 | 11/11/21 | 0.22 | ... | 0.00 | 3 of 4 - Sale ²⁵ |
| | 0.003 | 0.32 | 11/11/21 | 0.32 | ... | 0.00 | 4 of 4 - Sale ²⁵ |
| 11/17/21 | 2.002 | 251.79 | Various | 251.92 | ... | -0.13 | Total of 4 transactions |
| 11/17/21 | 0.385 | 48.40 | 11/11/21 | 48.41 | 0.01 W | 0.00 | Sale ²⁵ |
| | Security total: | 3,301.36 | | 3,261.42 | 0.01 W | 39.95 | |
| AUTOZONE, INC. / CUSIP: 053332102 / Symbol: | | | | | | | |
| | 2 transactions for 12/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.106 | 213.51 | 12/03/21 | 200.00 | ... | 13.51 | 1 of 2 - Sale ²⁵ |
| | 0.106 | 213.97 | 12/03/21 | 200.00 | ... | 13.97 | 2 of 2 - Sale ²⁵ |
| 12/23/21 | 0.211 | 427.48 | Various | 400.00 | ... | 27.48 | Total of 2 transactions |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| AVALONBAY COMMUNITIES, INC. / CUSIP: 053484101 / Symbol: | | | | | | | |
| 4 transactions for 09/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 692.66 | 09/01/21 | 696.72 | ... | -4.06 | 1 of 4 - Sale ²⁵ |
| | 0.863 | 199.35 | 09/01/21 | 200.00 | ... | -0.65 | 2 of 4 - Sale ²⁵ |
| | 0.137 | 31.54 | 09/01/21 | 31.47 | ... | 0.07 | 3 of 4 - Sale ²⁵ |
| | 0.732 | 168.99 | 09/01/21 | 168.53 | ... | 0.46 | 4 of 4 - Sale ²⁵ |
| 09/02/21 | 4.732 | 1,092.54 | Various | 1,096.72 | ... | -4.18 | Total of 4 transactions |
| AVANTOR, INC. / CUSIP: 05352A100 / Symbol: | | | | | | | |
| 11/01/21 | 10.000 | 397.90 | 10/29/21 | 401.33 | ... | -3.43 | Sale ²⁵ |
| AVERY DENNISON CORP. / CUSIP: 053611109 / Symbol: | | | | | | | |
| 4 transactions for 11/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 222.44 | 11/08/21 | 228.55 | ... | -6.11 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 222.37 | 11/08/21 | 227.53 | ... | -5.16 | 2 of 4 - Sale ²⁵ |
| | 0.313 | 69.54 | 11/08/21 | 71.45 | ... | -1.91 | 3 of 4 - Sale ²⁵ |
| | 0.319 | 70.85 | 11/08/21 | 72.47 | ... | -1.62 | 4 of 4 - Sale ²⁵ |
| 11/12/21 | 2.631 | 585.20 | Various | 600.00 | ... | -14.80 | Total of 4 transactions |
| AVIENT CORPORATION / CUSIP: 05368V106 / Symbol: | | | | | | | |
| 2 transactions for 03/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.654 | 30.16 | 03/02/21 | 30.00 | ... | 0.16 | 1 of 2 - Sale ²⁵ |
| | 20.000 | 922.30 | 03/02/21 | 920.00 | ... | 2.30 | 2 of 2 - Sale ²⁵ |
| 03/02/21 | 20.654 | 952.46 | Various | 950.00 | ... | 2.46 | Total of 2 transactions |
| AXONICS, INC. COMMON STOCK / CUSIP: 05465P101 / Symbol: | | | | | | | |
| 03/24/21 | 0.017 | 0.96 | 03/23/21 | 1.00 | ... | -0.04 | Sale ²⁵ |
| BAIDU, INC. ADS / CUSIP: 056752108 / Symbol: | | | | | | | |
| 03/10/21 | 10.000 | 2,635.63 | 03/09/21 | 2,604.50 | ... | 31.13 | Sale ²⁵ |
| 06/28/21 | 5.000 | 1,023.45 | 06/25/21 | 1,011.67 | ... | 11.78 | Sale ²⁵ |
| 10/18/21 | 3.000 | 512.51 | 10/06/21 | 449.81 | ... | 62.70 | Sale ²⁵ |
| 2 transactions for 10/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 355.76 | 10/06/21 | 299.87 | ... | 55.89 | 1 of 2 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| BAIDU, INC. ADS / CUSIP: 056752108 / Symbol: (cont'd) | | | | | | | |
| | 3.000 | 533.65 | 10/08/21 | 480.90 | ... | 52.75 | 2 of 2 - Sale ²⁵ |
| 10/22/21 | 5.000 | 889.41 | Various | 780.77 | ... | 108.64 | Total of 2 transactions |
| | Security total: | 5,061.00 | | 4,846.75 | ... | 214.25 | |
| BAKER HUGHES COMPANY CLASS A C OMMON STOCK / CUSIP: 05722G100 / Symbol: | | | | | | | |
| 03/11/21 | 1.000 | 24.40 | 03/11/21 | 24.82 | ... | -0.42 | Sale ²⁵ |
| BALL CORPORATION / CUSIP: 058498106 / Symbol: | | | | | | | |
| 2 transactions for 08/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 622.81 | 08/10/21 | 620.56 | ... | 2.25 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 266.92 | 08/10/21 | 264.50 | ... | 2.42 | 2 of 2 - Sale ²⁵ |
| 08/12/21 | 10.000 | 889.73 | Various | 885.06 | ... | 4.67 | Total of 2 transactions |
| BALLY'S CORPORATION / CUSIP: 05875B106 / Symbol: | | | | | | | |
| 02/05/21 | 5.000 | 275.15 | 02/05/21 | 281.35 | ... | -6.20 | Sale ²⁵ |
| BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol: | | | | | | | |
| 2 transactions for 03/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 71.26 | 02/02/21 | 62.07 | ... | 9.19 | 1 of 2 - Sale ²⁵ |
| | 18.000 | 641.37 | 02/02/21 | 558.72 | ... | 82.65 | 2 of 2 - Sale ²⁵ |
| 03/02/21 | 20.000 | 712.63 | Various | 620.79 | ... | 91.84 | Total of 2 transactions |
| 2 transactions for 07/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 199.10 | 07/09/21 | 200.20 | 1.10 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 199.10 | 07/09/21 | 200.49 | 1.39 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 07/13/21 | 10.000 | 398.20 | Various | 400.69 | 2.49 W | 0.00 | Total of 2 transactions |
| 4 transactions for 08/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 166.14 | 08/10/21 | 167.54 | ... | -1.40 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 41.53 | 08/10/21 | 41.57 | ... | -0.04 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 41.54 | 08/10/21 | 41.56 | ... | -0.02 | 3 of 4 - Sale ²⁵ |
| | 4.000 | 166.14 | 08/10/21 | 165.98 | ... | 0.16 | 4 of 4 - Sale ²⁵ |
| 08/24/21 | 10.000 | 415.35 | Various | 416.65 | ... | -1.30 | Total of 4 transactions |
| | Security total: | 1,526.18 | | 1,438.13 | 2.49 W | 90.54 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|---|---|-------------------|-------------------------|--|---|---|
| MICROSECTORS FANG+ INDEX 3X LE VERAGED ETNS DUE JANUARY 8, 20 38 / CUSIP: 063679872 / Symbol: | | | | | | | |
| 01/05/21 | 5.000 | 1,434.64 | 01/04/21 | 1,395.60 | ... | 39.04 | Sale ²⁵ |
| | 2 transactions for 02/10/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 398.49 | 02/10/21 | 400.80 | ... | -2.31 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 398.49 | 02/10/21 | 400.80 | ... | -2.31 | 2 of 2 - Sale ²⁵ |
| 02/10/21 | 2.000 | 796.98 | Various | 801.60 | ... | -4.62 | Total of 2 transactions |
| 09/22/21 | 10.000 | 343.29 | 09/20/21 | 327.80 | ... | 15.49 | Sale ²⁵ |
| 09/22/21 | 8.000 | 274.64 | 09/15/21 | 296.55 | 21.91 W | 0.00 | Sale ²⁵ |
| | 2 transactions for 09/27/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 8.000 | 280.88 | 09/22/21 | 308.71 | ... | -27.83 | 1 of 2 - Sale ²⁵ |
| | 22.000 | 772.41 | 09/22/21 | 788.70 | ... | -16.29 | 2 of 2 - Sale ²⁵ |
| 09/27/21 | 30.000 | 1,053.29 | Various | 1,097.41 | ... | -44.12 | Total of 2 transactions |
| | Security total: | 3,902.84 | | 3,918.96 | 21.91 W | 5.79 | |
| BANK OF NEW YORK MELLON CORPOR ATION / CUSIP: 064058100 / Symbol: | | | | | | | |
| 09/29/21 | 10.000 | 524.61 | 09/27/21 | 537.15 | ... | -12.54 | Sale ²⁵ |
| BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol: | | | | | | | |
| 07/16/21 | 100.000 | 2,122.97 N | 07/13/21 | 2,146.00 | ... | -23.03 | Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$27.00 |
| BECTON, DICKINSON AND CO. / CUSIP: 075887109 / Symbol: | | | | | | | |
| | 2 transactions for 11/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.797 | 193.99 | 11/04/21 | 200.00 | ... | -6.01 | 1 of 2 - Sale ²⁵ |
| | 0.529 | 128.78 | 11/04/21 | 130.00 | ... | -1.22 | 2 of 2 - Sale ²⁵ |
| 11/04/21 | 1.326 | 322.77 | Various | 330.00 | ... | -7.23 | Total of 2 transactions |
| BENTLEY SYSTEMS, INCORPORATED CLASS B COMMON STOCK / CUSIP: 08265T208 / Symbol: | | | | | | | |
| 07/08/21 | 15.000 | 941.80 | 06/29/21 | 960.59 | ... | -18.79 | Sale ²⁵ |
| 08/26/21 | 8.000 | 507.73 | 08/26/21 | 519.98 | ... | -12.25 | Sale ²⁵ |
| 08/26/21 | 10.000 | 669.99 | 08/26/21 | 675.16 | 4.14 W | -1.03 | Sale ²⁵ |
| 11/22/21 | 5.712 | 304.30 | 11/17/21 | 340.00 | ... | -35.70 | Sale ²⁵ |
| | Security total: | 2,423.82 | | 2,495.73 | 4.14 W | -67.77 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| W.R. BERKLEY CORPORATION / CUSIP: 084423102 / Symbol: | | | | | | | |
| 10/07/21 | 1.000 | 77.89 | 10/04/21 | 75.44 | ... | 2.45 | Sale ²⁵ |
| BEST BUY COMPANY, INC. / CUSIP: 086516101 / Symbol: | | | | | | | |
| 10/20/21 | 5.000 | 571.13 | 10/11/21 | 547.81 | ... | 23.32 | Sale ²⁵ |
| BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol: | | | | | | | |
| 2 transactions for 11/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.882 | 182.82 | 11/04/21 | 200.00 | ... | -17.18 | 1 of 2 - Sale ²⁵ |
| | 0.968 | 94.06 | 11/04/21 | 100.00 | ... | -5.94 | 2 of 2 - Sale ²⁵ |
| 11/08/21 | 2.850 | 276.88 | Various | 300.00 | ... | -23.12 | Total of 2 transactions |
| BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol: | | | | | | | |
| 9 transactions for 01/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 40.000 | 2,381.17 | 12/22/20 | 2,909.26 | ... | -528.09 | 1 of 9 - Sale ²⁵ |
| | 32.000 | 1,904.93 | 12/22/20 | 2,327.41 | ... | -422.48 | 2 of 9 - Sale ²⁵ |
| | 8.000 | 476.23 | 12/22/20 | 583.04 | ... | -106.81 | 3 of 9 - Sale ²⁵ |
| | 6.000 | 357.18 | 12/22/20 | 439.05 | ... | -81.87 | 4 of 9 - Sale ²⁵ |
| | 6.000 | 357.18 | 12/22/20 | 436.62 | ... | -79.44 | 5 of 9 - Sale ²⁵ |
| | 2.000 | 119.06 | 12/22/20 | 146.29 | ... | -27.23 | 6 of 9 - Sale ²⁵ |
| | 2.000 | 119.06 | 12/22/20 | 145.83 | ... | -26.77 | 7 of 9 - Sale ²⁵ |
| | 2.000 | 119.06 | 12/22/20 | 145.71 | ... | -26.65 | 8 of 9 - Sale ²⁵ |
| | 2.000 | 119.06 | 12/22/20 | 145.54 | ... | -26.48 | 9 of 9 - Sale ²⁵ |
| 01/04/21 | 100.000 | 5,952.93 | Various | 7,278.75 | ... | -1,325.82 | Total of 9 transactions |
| 08/03/21 | 1.000 | 68.14 | 08/02/21 | 67.39 | ... | 0.75 | Sale ²⁵ |
| | Security total: | 6,021.07 | | 7,346.14 | ... | -1,325.07 | |
| BILIBILI INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 090040106 / Symbol: | | | | | | | |
| 2 transactions for 01/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 509.34 | 01/05/21 | 515.80 | ... | -6.46 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 1,018.67 | 01/05/21 | 1,012.71 | ... | 5.96 | 2 of 2 - Sale ²⁵ |
| 01/05/21 | 15.000 | 1,528.01 | Various | 1,528.51 | ... | -0.50 | Total of 2 transactions |
| BILL.COM HOLDINGS, INC. / CUSIP: 090043100 / Symbol: | | | | | | | |
| 08/23/21 | 5.000 | 1,090.46 | 08/23/21 | 1,091.87 | ... | -1.41 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| BIOMARIN PHARMACEUTICAL INC. C OMMON STOCK / CUSIP: 09061G101 / Symbol: | | | | | | | |
| 4 transactions for 11/03/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.001 | 0.07 | 11/01/21 | 0.07 | ... | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.002 | 0.17 | 11/01/21 | 0.16 | ... | 0.01 | 2 of 4 - Sale ²⁵ |
| | 0.236 | 19.66 | 11/01/21 | 19.05 | ... | 0.61 | 3 of 4 - Sale ²⁵ |
| | 0.998 | 83.10 | 11/01/21 | 80.61 | ... | 2.49 | 4 of 4 - Sale ²⁵ |
| 11/03/21 | 1.237 | 103.00 | Various | 99.89 | ... | 3.11 | Total of 4 transactions |
| 4 transactions for 11/09/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.000 | 0.02 | 11/01/21 | 0.02 | ... | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.001 | 0.12 | 11/01/21 | 0.11 | ... | 0.01 | 2 of 4 - Sale ²⁵ |
| | 0.226 | 18.65 | 11/01/21 | 18.44 | ... | 0.21 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 82.41 | 11/01/21 | 81.54 | ... | 0.87 | 4 of 4 - Sale ²⁵ |
| 11/09/21 | 1.228 | 101.20 | Various | 100.11 | ... | 1.09 | Total of 4 transactions |
| | Security total: | 204.20 | | 200.00 | ... | 4.20 | |
| BIOGEN INC. COMMON STOCK / CUSIP: 09062X103 / Symbol: | | | | | | | |
| 06/07/21 | 1.000 | 289.53 | 06/07/21 | 293.86 | ... | -4.33 | Sale ²⁵ |
| BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol: | | | | | | | |
| 07/19/21 | 5.000 | 1,216.57 | 07/15/21 | 1,103.71 | ... | 112.86 | Sale ²⁵ |
| 2 transactions for 07/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 481.87 | 07/15/21 | 441.48 | ... | 40.39 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 722.87 | 07/15/21 | 662.23 | ... | 60.64 | 2 of 2 - Sale ²⁵ |
| 07/20/21 | 5.000 | 1,204.74 | Various | 1,103.71 | ... | 101.03 | Total of 2 transactions |
| 08/04/21 | 1.000 | 411.33 | 08/04/21 | 429.12 | ... | -17.79 | Sale ²⁵ |
| | Security total: | 2,832.64 | | 2,636.54 | ... | 196.10 | |
| BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol: | | | | | | | |
| 06/07/21 | 5.000 | 79.50 | 06/07/21 | 81.00 | ... | -1.50 | Sale ²⁵ |
| BLACKSTONE INC. / CUSIP: 09260D107 / Symbol: | | | | | | | |
| 2 transactions for 03/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 74.51 | 03/22/21 | 73.70 | ... | 0.81 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 298.04 | 03/22/21 | 294.76 | ... | 3.28 | 2 of 2 - Sale ²⁵ |
| 03/29/21 | 5.000 | 372.55 | Various | 368.46 | ... | 4.09 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| BLACKSTONE INC. / CUSIP: 09260D107 / Symbol: (cont'd) | | | | | | | |
| 04/05/21 | 5.000 | 375.03 | 03/22/21 | 368.44 | ... | 6.59 | Sale ²⁵ |
| 09/03/21 | 2.000 | 258.00 | 08/30/21 | 251.28 | ... | 6.72 | Sale ²⁵ |
| <i>2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 3.000 | 386.99 | 09/03/21 | 390.80 | 3.81 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 644.99 | 09/03/21 | 651.32 | 6.33 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 09/03/21 | 8.000 | 1,031.98 | Various | 1,042.12 | 10.14 W | 0.00 | Total of 2 transactions |
| 09/20/21 | 5.000 | 630.89 | 09/07/21 | 646.71 | ... | -15.82 | Sale ²⁵ |
| <i>2 transactions for 09/20/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 2.000 | 252.36 | 09/03/21 | 260.53 | 8.17 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 378.54 | 09/07/21 | 388.04 | 2.61 W | -6.89 | 2 of 2 - Sale ²⁵ |
| 09/20/21 | 5.000 | 630.90 | Various | 648.57 | 10.78 W | -6.89 | Total of 2 transactions |
| 09/28/21 | 2.000 | 238.56 | 09/07/21 | 264.32 | ... | -25.76 | Sale ²⁵ |
| 10/21/21 | 0.825 | 110.88 | 10/14/21 | 102.61 | ... | 8.27 | Sale ²⁵ |
| Security total: | | 3,648.79 | | 3,692.51 | 20.92 W | -22.80 | |
| BOEING COMPANY / CUSIP: 097023105 / Symbol: | | | | | | | |
| <i>4 transactions for 02/11/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.013 | 2.73 | 02/10/21 | 2.80 | 0.07 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.471 | 98.56 | 02/10/21 | 100.00 | 1.44 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 0.542 | 113.34 | 02/10/21 | 115.00 | 1.66 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 3.987 | 833.97 | 02/10/21 | 846.01 | 12.04 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 02/11/21 | 5.013 | 1,048.60 | Various | 1,063.81 | 15.21 W | 0.00 | Total of 4 transactions |
| <i>3 transactions for 03/11/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.458 | 116.45 | 03/11/21 | 116.05 | ... | 0.40 | 1 of 3 - Sale ²⁵ |
| | 0.542 | 137.74 | 03/11/21 | 137.27 | ... | 0.47 | 2 of 3 - Sale ²⁵ |
| | 3.987 | 1,013.44 | 03/11/21 | 1,009.82 | ... | 3.62 | 3 of 3 - Sale ²⁵ |
| 03/11/21 | 4.987 | 1,267.63 | Various | 1,263.14 | ... | 4.49 | Total of 3 transactions |
| 03/11/21 | 0.013 | 3.31 | 03/11/21 | 3.33 | 0.02 W | 0.00 | Sale ²⁵ |
| <i>2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.013 | 3.33 | 03/12/21 | 3.38 | 0.05 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 1.987 | 508.50 | 03/12/21 | 511.92 | 3.42 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 03/12/21 | 2.000 | 511.83 | Various | 515.30 | 3.47 W | 0.00 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd) | | | | | | | |
| 4 transactions for 06/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.013 | 3.07 | 03/26/21 | 3.25 | 0.18 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.987 | 232.70 | 03/26/21 | 244.50 | 11.80 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 235.77 | 04/01/21 | 254.49 | 18.72 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 2.000 | 471.53 | 04/07/21 | 503.08 | 31.55 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 06/29/21 | 4.000 | 943.07 | Various | 1,005.32 | 62.25 W | 0.00 | Total of 4 transactions |
| 5 transactions for 09/07/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 213.61 | 04/07/21 | 251.54 | 37.93 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 2.000 | 427.21 | 06/29/21 | 511.66 | 42.23 W | -42.22 | 2 of 5 - Sale ²⁵ |
| | 0.013 | 2.78 | 06/29/21 | 3.31 | 0.53 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 0.987 | 210.83 | 06/29/21 | 248.66 | 37.83 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 1.000 | 213.61 | 06/29/21 | 258.70 | 45.09 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 09/07/21 | 5.000 | 1,068.04 | Various | 1,273.87 | 163.61 W | -42.22 | Total of 5 transactions |
| 11/19/21 | 2.000 | 434.43 | 07/07/21 | 474.69 | 40.26 W | 0.00 | Sale ²⁵ |
| | Security total: | 5,276.91 | | 5,599.46 | 284.82 W | -37.73 | |
| BOISE CASCADE COMPANY / CUSIP: 09739D100 / Symbol: | | | | | | | |
| 2 transactions for 06/03/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 192.82 | 06/02/21 | 194.72 | ... | -1.90 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 128.54 | 06/02/21 | 129.75 | ... | -1.21 | 2 of 2 - Sale ²⁵ |
| 06/03/21 | 5.000 | 321.36 | Various | 324.47 | ... | -3.11 | Total of 2 transactions |
| BRISTOL-MYERS SQUIBB CO. / CUSIP: 110122108 / Symbol: | | | | | | | |
| 5 transactions for 10/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.816 | 216.65 | 10/26/21 | 225.45 | ... | -8.80 | 1 of 5 - Sale ²⁵ |
| | 5.000 | 283.90 | 10/26/21 | 290.40 | ... | -6.50 | 2 of 5 - Sale ²⁵ |
| | 0.816 | 46.30 | 10/26/21 | 48.18 | ... | -1.88 | 3 of 5 - Sale ²⁵ |
| | 0.184 | 10.47 | 10/26/21 | 11.08 | ... | -0.61 | 4 of 5 - Sale ²⁵ |
| | 0.184 | 10.47 | 10/26/21 | 10.90 | ... | -0.43 | 5 of 5 - Sale ²⁵ |
| 10/27/21 | 10.000 | 567.79 | Various | 586.01 | ... | -18.22 | Total of 5 transactions |
| 5 transactions for 10/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.184 | 10.48 | 10/26/21 | 10.67 | 0.19 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 0.184 | 10.49 | 10/26/21 | 10.67 | 0.18 W | 0.00 | 2 of 5 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| BRISTOL-MYERS SQUIBB CO. / CUSIP: 110122108 / Symbol: (cont'd) | | | | | | | |
| | 0.184 | 10.49 | 10/26/21 | 10.86 | 0.37 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 0.816 | 46.37 | 10/26/21 | 47.19 | 0.82 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 3.816 | 216.95 | 10/26/21 | 220.79 | 3.84 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 10/27/21 | 5.184 | 294.78 | Various | 300.18 | 5.40 W | 0.00 | Total of 5 transactions |
| | Security total: | 862.57 | | 886.19 | 5.40 W | -18.22 | |
| BROADCOM INC. COMMON STOCK / CUSIP: 11135F101 / Symbol: | | | | | | | |
| 2 transactions for 07/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 1,460.65 | 07/12/21 | 1,478.88 | 6.08 W | -12.15 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 486.89 | 07/12/21 | 492.64 | 5.75 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 07/12/21 | 4.000 | 1,947.54 | Various | 1,971.52 | 11.83 W | -12.15 | Total of 2 transactions |
| 2 transactions for 07/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 478.25 | 07/12/21 | 490.27 | ... | -12.02 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 477.79 | 07/12/21 | 489.51 | ... | -11.72 | 2 of 2 - Sale ²⁵ |
| 07/15/21 | 2.000 | 956.04 | Various | 979.78 | ... | -23.74 | Total of 2 transactions |
| 09/07/21 | 1.000 | 491.59 | 08/30/21 | 505.91 | ... | -14.32 | Sale ²⁵ |
| | Security total: | 3,395.17 | | 3,457.21 | 11.83 W | -50.21 | |
| BROWN & BROWN, INC. / CUSIP: 115236101 / Symbol: | | | | | | | |
| 12/23/21 | 8.000 | 543.36 | 11/11/21 | 509.84 | ... | 33.52 | Sale ²⁵ |
| BUILDERS FIRSTSOURCE, INC. / CUSIP: 12008R107 / Symbol: | | | | | | | |
| 09/23/21 | 20.000 | 1,087.63 | 09/22/21 | 1,089.00 | 0.69 W | -0.68 | Sale ²⁵ |
| 11/04/21 | 10.000 | 660.84 | 10/13/21 | 561.00 | ... | 99.84 | Sale ²⁵ |
| 11/10/21 | 6.671 | 443.58 | 11/05/21 | 455.32 | ... | -11.74 | Sale ²⁵ |
| 11/10/21 | 0.329 | 21.85 | 11/05/21 | 22.42 | 0.57 W | 0.00 | Sale ²⁵ |
| 11/24/21 | 0.326 | 24.05 | 11/05/21 | 22.83 | ... | 1.22 | Sale ²⁵ |
| | Security total: | 2,237.95 | | 2,150.57 | 1.26 W | 88.64 | |
| BUMBLE INC. CLASS A COMMON STO CK / CUSIP: 12047B105 / Symbol: | | | | | | | |
| 02/11/21 | 10.000 | 749.98 | 02/11/21 | 780.00 | ... | -30.02 | Sale ²⁵ |
| BURLINGTON STORES, INC. / CUSIP: 122017106 / Symbol: | | | | | | | |
| 08/02/21 | 1.000 | 335.05 | 08/02/21 | 337.35 | ... | -2.30 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| C3.AI, INC. / CUSIP: 12468P104 / Symbol: | | | | | | | |
| 3 transactions for 02/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 296.06 | 02/16/21 | 301.81 | ... | -5.75 | 1 of 3 - Sale ²⁵ |
| | 0.452 | 67.47 | 02/16/21 | 68.19 | ... | -0.72 | 2 of 3 - Sale ²⁵ |
| | 0.466 | 69.56 | 02/16/21 | 70.00 | ... | -0.44 | 3 of 3 - Sale ²⁵ |
| 02/16/21 | 2.918 | 433.09 | Various | 440.00 | ... | -6.91 | Total of 3 transactions |
| 2 transactions for 06/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 15.000 | 1,137.29 | 06/02/21 | 1,144.75 | ... | -7.46 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 379.10 | 06/02/21 | 381.58 | ... | -2.48 | 2 of 2 - Sale ²⁵ |
| 06/02/21 | 20.000 | 1,516.39 | Various | 1,526.33 | ... | -9.94 | Total of 2 transactions |
| | Security total: | 1,949.48 | | 1,966.33 | ... | -16.85 | |
| CBRE GROUP, INC. / CUSIP: 12504L109 / Symbol: | | | | | | | |
| 09/07/21 | 5.000 | 487.12 | 08/30/21 | 480.85 | ... | 6.27 | Sale ²⁵ |
| 10/05/21 | 2.000 | 193.98 | 10/04/21 | 201.78 | ... | -7.80 | Sale ²⁵ |
| | Security total: | 681.10 | | 682.63 | ... | -1.53 | |
| CF INDUSTRIES HOLDING, INC. / CUSIP: 125269100 / Symbol: | | | | | | | |
| 5 transactions for 03/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 47.00 | 03/08/21 | 50.13 | 3.13 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 1.000 | 47.00 | 03/08/21 | 50.38 | 3.38 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 18.000 | 845.99 | 03/08/21 | 897.68 | 51.69 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 2.000 | 94.00 | 03/10/21 | 98.86 | 4.86 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 3.000 | 141.00 | 03/10/21 | 148.32 | 7.32 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 03/22/21 | 25.000 | 1,174.99 | Various | 1,245.37 | 70.38 W | 0.00 | Total of 5 transactions |
| 4 transactions for 03/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 225.61 | 03/10/21 | 261.56 | ... | -35.95 | 1 of 4 - Sale ²⁵ |
| | 5.000 | 225.60 | 03/16/21 | 259.79 | ... | -34.19 | 2 of 4 - Sale ²⁵ |
| | 3.000 | 135.37 | 03/16/21 | 154.57 | ... | -19.20 | 3 of 4 - Sale ²⁵ |
| | 2.000 | 90.24 | 03/16/21 | 103.03 | ... | -12.79 | 4 of 4 - Sale ²⁵ |
| 03/23/21 | 15.000 | 676.82 | Various | 778.95 | ... | -102.13 | Total of 4 transactions |
| 3 transactions for 03/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 360.97 | 03/10/21 | 418.49 | 21.57 W | -35.95 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 45.12 | 03/10/21 | 52.57 | 7.45 W | 0.00 | 2 of 3 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| CF INDUSTRIES HOLDING, INC. / CUSIP: 125269100 / Symbol: (cont'd) | | | | | | | |
| 03/23/21 | 1.000 | 45.12 | 03/10/21 | 52.82 | 7.70 W | 0.00 | 3 of 3 - Sale ²⁵ |
| | 10.000 | 451.21 | Various | 523.88 | 36.72 W | -35.95 | Total of 3 transactions |
| | 3 transactions for 03/30/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 3.000 | 135.93 | 03/29/21 | 163.06 | ... | -27.13 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 45.31 | 03/29/21 | 54.87 | ... | -9.56 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 45.31 | 03/29/21 | 54.62 | ... | -9.31 | 3 of 3 - Sale ²⁵ |
| 03/30/21 | 5.000 | 226.55 | Various | 272.55 | ... | -46.00 | Total of 3 transactions |
| 05/19/21 | 5.000 | 264.25 | 05/18/21 | 284.12 | ... | -19.87 | Sale ²⁵ |
| | 2 transactions for 09/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 213.35 | 09/16/21 | 197.11 | ... | 16.24 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 266.70 | 09/16/21 | 249.80 | ... | 16.90 | 2 of 2 - Sale ²⁵ |
| 09/23/21 | 9.000 | 480.05 | Various | 446.91 | ... | 33.14 | Total of 2 transactions |
| 09/24/21 | 10.000 | 547.00 | 09/16/21 | 499.60 | ... | 47.40 | Sale ²⁵ |
| | 3 transactions for 11/30/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 3.437 | 212.46 | 11/08/21 | 212.97 | ... | -0.51 | 1 of 3 - Sale ²⁵ |
| | 1.563 | 97.42 | 11/08/21 | 96.89 | ... | 0.53 | 2 of 3 - Sale ²⁵ |
| | 1.563 | 96.66 | 11/10/21 | 100.00 | ... | -3.34 | 3 of 3 - Sale ²⁵ |
| 11/30/21 | 6.563 | 406.54 | Various | 409.86 | ... | -3.32 | Total of 3 transactions |
| | Security total: | 4,227.41 | | 4,461.24 | 107.10 W | -126.73 | |
| CIGNA CORPORATION / CUSIP: 125523100 / Symbol: | | | | | | | |
| | 5 transactions for 11/02/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.856 | 183.37 | 10/21/21 | 185.67 | ... | -2.30 | 1 of 5 - Sale ²⁵ |
| | 0.065 | 13.92 | 10/21/21 | 14.03 | ... | -0.11 | 2 of 5 - Sale ²⁵ |
| | 0.074 | 15.84 | 10/21/21 | 15.90 | ... | -0.06 | 3 of 5 - Sale ²⁵ |
| | 0.005 | 0.99 | 10/21/21 | 1.01 | ... | -0.02 | 4 of 5 - Sale ²⁵ |
| | 0.000 | 0.01 | 10/21/21 | 0.01 | ... | 0.00 | 5 of 5 - Sale ²⁵ |
| 11/02/21 | 1.000 | 214.13 | Various | 216.62 | ... | -2.49 | Total of 5 transactions |
| | 2 transactions for 11/02/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.005 | 0.99 | 10/21/21 | 1.00 | 0.01 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 0.856 | 183.39 | 10/21/21 | 184.97 | 1.58 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 11/02/21 | 0.861 | 184.38 | Various | 185.97 | 1.59 W | 0.00 | Total of 2 transactions |
| | Security total: | 398.51 | | 402.59 | 1.59 W | -2.49 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| CIT GROUP INC. / CUSIP: 125581801 / Symbol: | | | | | | | |
| 09/03/21 | 20.000 | 1,115.29 | 07/27/21 | 970.60 | ... | 144.69 | Sale ²⁵ |
| CMS ENERGY CORPORATION / CUSIP: 125896100 / Symbol: | | | | | | | |
| 10/27/21 | 10.000 | 602.84 | 10/14/21 | 611.64 | ... | -8.80 | Sale ²⁵ |
| CADENCE DESIGN SYSTEMS, INC. C OMMON STOCK / CUSIP: 127387108 / Symbol: | | | | | | | |
| 2 transactions for 10/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.681 | 106.01 | 10/14/21 | 107.11 | ... | -1.10 | 1 of 2 - Sale ²⁵ |
| | 0.319 | 49.62 | 10/14/21 | 50.51 | ... | -0.89 | 2 of 2 - Sale ²⁵ |
| 10/15/21 | 1.000 | 155.63 | Various | 157.62 | ... | -1.99 | Total of 2 transactions |
| 10/15/21 | 0.319 | 49.62 | 10/14/21 | 50.00 | 0.38 W | 0.00 | Sale ²⁵ |
| | Security total: | 205.25 | | 207.62 | 0.38 W | -1.99 | |
| CAESARS ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 12769G100 / Symbol: | | | | | | | |
| 06/02/21 | 5.000 | 541.39 | 05/24/21 | 524.78 | ... | 16.61 | Sale ²⁵ |
| CALLON PETROLEUM COMPANY / CUSIP: 13123X508 / Symbol: | | | | | | | |
| 06/23/21 | 10.000 | 573.69 | 06/21/21 | 523.63 | ... | 50.06 | Sale ²⁵ |
| CAMECO CORPORATION / CUSIP: 13321L108 / Symbol: | | | | | | | |
| 03/25/21 | 5.000 | 81.20 | 03/23/21 | 84.05 | 2.85 W | 0.00 | Sale ²⁵ |
| 03/30/21 | 5.000 | 79.75 | 03/30/21 | 83.63 | ... | -3.88 | Sale ²⁵ |
| | Security total: | 160.95 | | 167.68 | 2.85 W | -3.88 | |
| CANADA GOOSE HOLDINGS INC. / CUSIP: 135086106 / Symbol: | | | | | | | |
| 4 transactions for 02/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 41.61 | 11/25/20 | 35.60 | ... | 6.01 | 1 of 4 - Sale ²⁵ |
| | 5.000 | 208.03 | 11/25/20 | 183.00 | ... | 25.03 | 2 of 4 - Sale ²⁵ |
| | 9.000 | 374.46 | 11/25/20 | 324.10 | ... | 50.36 | 3 of 4 - Sale ²⁵ |
| | 15.000 | 624.11 | 12/08/20 | 496.46 | ... | 127.65 | 4 of 4 - Sale ²⁵ |
| 02/08/21 | 30.000 | 1,248.21 | Various | 1,039.16 | ... | 209.05 | Total of 4 transactions |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|--|---|-------------------|-------------------------|--|---|-----------------------------|
| CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol: | | | | | | | |
| 01/15/21 | 10.000 | 334.85 | 01/14/21 | 387.20 | ... | -52.35 | Sale ²⁵ |
| | <i>2 transactions for 01/20/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 7.000 | 232.78 | 01/14/21 | 271.84 | ... | -39.06 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 99.77 | 01/14/21 | 116.46 | ... | -16.69 | 2 of 2 - Sale ²⁵ |
| 01/20/21 | 10.000 | 332.55 | Various | 388.30 | ... | -55.75 | Total of 2 transactions |
| | Security total: | 667.40 | | 775.50 | ... | -108.10 | |
| CANTEL MEDICAL CORPORATION / CUSIP: 138098108 / Symbol: | | | | | | | |
| | <i>2 transactions for 04/27/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 2.000 | 176.68 | 04/22/21 | 175.90 | ... | 0.78 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 265.02 | 04/22/21 | 263.45 | ... | 1.57 | 2 of 2 - Sale ²⁵ |
| 04/27/21 | 5.000 | 441.70 | Various | 439.35 | ... | 2.35 | Total of 2 transactions |
| CAPITAL ONE FINANCIAL / CUSIP: 14040H105 / Symbol: | | | | | | | |
| 08/17/21 | 2.000 | 344.44 | 08/06/21 | 334.40 | ... | 10.04 | Sale ²⁵ |
| 08/26/21 | 5.000 | 864.49 | 08/06/21 | 835.99 | ... | 28.50 | Sale ²⁵ |
| | <i>2 transactions for 09/01/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 3.000 | 493.41 | 08/06/21 | 501.60 | ... | -8.19 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 328.95 | 08/06/21 | 333.55 | ... | -4.60 | 2 of 2 - Sale ²⁵ |
| 09/01/21 | 5.000 | 822.36 | Various | 835.15 | ... | -12.79 | Total of 2 transactions |
| | Security total: | 2,031.29 | | 2,005.54 | ... | 25.75 | |
| CARMAX INC. / CUSIP: 143130102 / Symbol: | | | | | | | |
| 03/10/21 | 5.000 | 662.98 | 03/10/21 | 665.50 | 2.02 W | -0.50 | Sale ²⁵ |
| 03/11/21 | 4.000 | 543.53 | 03/11/21 | 543.42 | ... | 0.11 | Sale ²⁵ |
| | Security total: | 1,206.51 | | 1,208.92 | 2.02 W | -0.39 | |
| CARRIER GLOBAL CORPORATION / CUSIP: 14448C104 / Symbol: | | | | | | | |
| 03/29/21 | 10.000 | 424.60 | 03/22/21 | 414.00 | ... | 10.60 | Sale ²⁵ |
| 03/30/21 | 10.000 | 423.60 | 03/22/21 | 414.00 | ... | 9.60 | Sale ²⁵ |
| 04/08/21 | 10.000 | 425.40 | 03/23/21 | 407.15 | ... | 18.25 | Sale ²⁵ |
| | Security total: | 1,273.60 | | 1,235.15 | ... | 38.45 | |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| CARVANA CO. / CUSIP: 146869102 / Symbol: | | | | | | | |
| 07/20/21 | 0.292 | 94.87 | 07/20/21 | 93.16 | ... | 1.71 | Sale ²⁵ |
| | 5 transactions for 07/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.443 | 146.30 | 07/20/21 | 140.00 | ... | 6.30 | 1 of 5 - Sale ²⁵ |
| | 0.849 | 280.23 | 07/20/21 | 273.00 | ... | 7.23 | 2 of 5 - Sale ²⁵ |
| | 0.709 | 233.99 | 07/20/21 | 226.43 | ... | 7.56 | 3 of 5 - Sale ²⁵ |
| | 2.000 | 660.53 | 07/20/21 | 651.48 | ... | 9.05 | 4 of 5 - Sale ²⁵ |
| | 1.000 | 330.26 | 07/21/21 | 329.83 | ... | 0.43 | 5 of 5 - Sale ²⁵ |
| 07/23/21 | 5.000 | 1,651.31 | Various | 1,620.74 | ... | 30.57 | Total of 5 transactions |
| | Security total: | 1,746.18 | | 1,713.90 | ... | 32.28 | |
| CATALENT, INC. / CUSIP: 148806102 / Symbol: | | | | | | | |
| | 3 transactions for 10/29/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 272.02 | 10/27/21 | 267.47 | ... | 4.55 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 272.01 | 10/27/21 | 267.06 | ... | 4.95 | 2 of 3 - Sale ²⁵ |
| | 4.000 | 544.04 | 10/27/21 | 537.90 | ... | 6.14 | 3 of 3 - Sale ²⁵ |
| 10/29/21 | 8.000 | 1,088.07 | Various | 1,072.43 | ... | 15.64 | Total of 3 transactions |
| | 2 transactions for 12/06/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 3.000 | 366.00 | 11/29/21 | 401.40 | ... | -35.40 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 366.01 | 11/29/21 | 400.54 | ... | -34.53 | 2 of 2 - Sale ²⁵ |
| 12/06/21 | 6.000 | 732.01 | Various | 801.94 | ... | -69.93 | Total of 2 transactions |
| | Security total: | 1,820.08 | | 1,874.37 | ... | -54.29 | |
| CATERPILLAR INC. / CUSIP: 149123101 / Symbol: | | | | | | | |
| | 2 transactions for 02/10/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 992.70 | 02/02/21 | 955.75 | ... | 36.95 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 992.69 | 02/05/21 | 965.68 | ... | 27.01 | 2 of 2 - Sale ²⁵ |
| 02/10/21 | 10.000 | 1,985.39 | Various | 1,921.43 | ... | 63.96 | Total of 2 transactions |
| 02/16/21 | 2.000 | 409.68 | 02/16/21 | 409.50 | ... | 0.18 | Sale ²⁵ |
| 03/08/21 | 1.816 | 397.66 | 03/05/21 | 400.00 | 2.34 W | 0.00 | Sale ²⁵ |
| | 2 transactions for 03/09/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 8.185 | 1,772.11 | 03/08/21 | 1,825.14 | ... | -53.03 | 1 of 2 - Sale ²⁵ |
| | 1.816 | 393.09 | 03/08/21 | 407.20 | ... | -14.11 | 2 of 2 - Sale ²⁵ |
| 03/09/21 | 10.000 | 2,165.20 | Various | 2,232.34 | ... | -67.14 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| CATERPILLAR INC. / CUSIP: 149123101 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 05/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 237.45 | 05/05/21 | 237.83 | ... | -0.38 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 237.72 | 05/05/21 | 237.83 | ... | -0.11 | 2 of 2 - Sale ²⁵ |
| 05/25/21 | 2.000 | 475.17 | Various | 475.66 | ... | -0.49 | Total of 2 transactions |
| 06/30/21 | 10.000 | 2,150.14 | 06/30/21 | 2,146.30 | ... | 3.84 | Sale ²⁵ |
| 07/06/21 | 10.000 | 2,158.38 | 06/30/21 | 2,147.22 | ... | 11.16 | Sale ²⁵ |
| | Security total: | 9,741.62 | | 9,732.45 | 2.34 W | 11.51 | |
| CELSIUS HOLDINGS, INC. COMMON STOCK / CUSIP: 15118V207 / Symbol: | | | | | | | |
| 09/08/21 | 5.000 | 423.77 | 08/30/21 | 390.39 | ... | 33.38 | Sale ²⁵ |
| CERENCE INC. COMMON STOCK / CUSIP: 156727109 / Symbol: | | | | | | | |
| 01/06/21 | 5.000 | 517.03 | 01/04/21 | 502.12 | ... | 14.91 | Sale ²⁵ |
| 01/12/21 | 10.000 | 1,048.37 | 01/12/21 | 1,053.80 | ... | -5.43 | Sale ²⁵ |
| | Security total: | 1,565.40 | | 1,555.92 | ... | 9.48 | |
| CERIDIAN HCM HOLDING / CUSIP: 15677J108 / Symbol: | | | | | | | |
| 08/04/21 | 2.000 | 199.89 | 08/04/21 | 200.10 | ... | -0.21 | Sale ²⁵ |
| CHAMPIONX CORPORATION COMMON S TOCK / CUSIP: 15872M104 / Symbol: | | | | | | | |
| 2 transactions for 10/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 15.000 | 374.85 | 10/27/21 | 383.55 | ... | -8.70 | 1 of 2 - Sale ²⁵ |
| | 0.448 | 11.45 | 10/27/21 | 11.45 | ... | 0.00 | 2 of 2 - Sale ²⁵ |
| 10/27/21 | 15.448 | 386.30 | Various | 395.00 | ... | -8.70 | Total of 2 transactions |
| CHARTER COMMUNICATIONS, INC. C LASS A COMMON STOCK NEW / CUSIP: 16119P108 / Symbol: | | | | | | | |
| 12/07/21 | 0.051 | 32.96 | 12/06/21 | 35.00 | ... | -2.04 | Sale ²⁵ |
| CHEGG, INC. / CUSIP: 163092109 / Symbol: | | | | | | | |
| 01/26/21 | 3.000 | 306.51 | 01/26/21 | 307.50 | 0.99 W | 0.00 | Sale ²⁵ |
| 2 transactions for 02/09/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 304.13 | 02/08/21 | 307.91 | ... | -3.78 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 202.75 | 02/08/21 | 204.62 | ... | -1.87 | 2 of 2 - Sale ²⁵ |
| 02/09/21 | 5.000 | 506.88 | Various | 512.53 | ... | -5.65 | Total of 2 transactions |
| 06/24/21 | 3.000 | 248.34 | 06/14/21 | 235.82 | ... | 12.52 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| CHEGG, INC. / CUSIP: 163092109 / Symbol: (cont'd) | | | | | | | |
| Security total: | | 1,061.73 | | 1,055.85 | 0.99 W | 6.87 | |
| CHEWY, INC. / CUSIP: 16679L109 / Symbol: | | | | | | | |
| 03/16/21 | 20.000 | 1,689.99 | 03/16/21 | 1,702.70 | 9.54 W | -3.17 | Sale ²⁵ |
| 3 transactions for 06/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 804.34 | 03/17/21 | 814.76 | ... | -10.42 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 241.29 | 04/05/21 | 243.41 | ... | -2.12 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 160.87 | 04/05/21 | 161.54 | ... | -0.67 | 3 of 3 - Sale ²⁵ |
| 06/24/21 | 15.000 | 1,206.50 | Various | 1,219.71 | ... | -13.21 | Total of 3 transactions |
| 09/14/21 | 30.000 | 2,163.58 | 09/14/21 | 2,179.75 | ... | -16.17 | Sale ²⁵ |
| 10/28/21 | 4.760 | 342.56 | 10/26/21 | 325.95 | ... | 16.61 | Sale ²⁵ |
| 5 transactions for 11/01/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 76.14 | 10/26/21 | 68.06 | ... | 8.08 | 1 of 5 - Sale ²⁵ |
| | 1.081 | 82.33 | 10/26/21 | 74.05 | ... | 8.28 | 2 of 5 - Sale ²⁵ |
| | 2.000 | 152.29 | 10/26/21 | 136.80 | ... | 15.49 | 3 of 5 - Sale ²⁵ |
| | 2.919 | 222.23 | 10/26/21 | 200.00 | ... | 22.23 | 4 of 5 - Sale ²⁵ |
| | 3.000 | 228.43 | 10/26/21 | 204.68 | ... | 23.75 | 5 of 5 - Sale ²⁵ |
| 11/01/21 | 10.000 | 761.42 | Various | 683.59 | ... | 77.83 | Total of 5 transactions |
| Security total: | | 6,164.05 | | 6,111.70 | 9.54 W | 61.89 | |
| CHIPOTLE MEXICAN GRILL, INC. / CUSIP: 169656105 / Symbol: | | | | | | | |
| 04/22/21 | 1.000 | 1,504.99 | 04/21/21 | 1,538.01 | ... | -33.02 | Sale ²⁵ |
| 04/22/21 | 1.000 | 1,506.99 | 04/21/21 | 1,525.00 | 18.01 W | 0.00 | Sale ²⁵ |
| 3 transactions for 11/17/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.158 | 285.09 | 11/15/21 | 300.00 | ... | -14.91 | 1 of 3 - Sale ²⁵ |
| | 0.054 | 98.16 | 11/15/21 | 100.00 | ... | -1.84 | 2 of 3 - Sale ²⁵ |
| | 0.219 | 394.62 | 11/16/21 | 400.00 | ... | -5.38 | 3 of 3 - Sale ²⁵ |
| 11/17/21 | 0.432 | 777.87 | Various | 800.00 | ... | -22.13 | Total of 3 transactions |
| Security total: | | 3,789.85 | | 3,863.01 | 18.01 W | -55.15 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|--|
| CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: | | | | | | | |
| 01/15/21 | 5.000 | 96.61 | 01/15/21 | 90.64 | ... | 5.97 | Sale ²⁵ |
| 02/25/21 | 20.000 | 579.99 | 02/25/21 | 603.71 | ... | -23.72 | Sale ²⁵ |
| 04/26/21 | 0.042 | 0.98 | 04/01/21 | 1.00 | ... | -0.02 | Sale ²⁵ |
| Security total: | | 677.58 | | 695.35 | ... | -17.77 | |
| CISCO SYSTEMS, INC. COMMON STO CK (DE) / CUSIP: 17275R102 / Symbol: | | | | | | | |
| 2 transactions for 08/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 174.33 | 08/20/21 | 174.68 | ... | -0.35 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 58.11 | 08/20/21 | 57.77 | ... | 0.34 | 2 of 2 - Sale ²⁵ |
| 08/20/21 | 4.000 | 232.44 | Various | 232.45 | ... | -0.01 | Total of 2 transactions |
| CITIGROUP INC. / CUSIP: 172967424 / Symbol: | | | | | | | |
| 05/28/21 | 20.000 | 1,562.23 | 05/04/21 | 1,422.55 | ... | 139.68 | Sale ²⁵ |
| 07/13/21 | 10.000 | 683.62 | 07/09/21 | 680.37 | ... | 3.25 | Sale ²⁵ |
| 2 transactions for 07/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 683.62 | 05/04/21 | 711.28 | 27.66 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 683.61 | 05/12/21 | 757.10 | 73.49 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 07/13/21 | 20.000 | 1,367.23 | Various | 1,468.38 | 101.15 W | 0.00 | Total of 2 transactions |
| 3 transactions for 07/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 665.49 | 07/23/21 | 743.09 | ... | -77.60 | 1 of 3 - Sale ²⁵ |
| | 10.000 | 665.49 | 07/23/21 | 714.55 | ... | -49.06 | 2 of 3 - Sale ²⁵ |
| | 10.000 | 665.50 | 07/23/21 | 669.60 | ... | -4.10 | 3 of 3 - Sale ²⁵ |
| 07/23/21 | 30.000 | 1,996.48 | Various | 2,127.24 | ... | -130.76 | Total of 3 transactions |
| 07/23/21 | 10.000 | 668.99 | 07/13/21 | 713.94 | 44.95 W | 0.00 | Sale ²⁵ |
| Security total: | | 6,278.55 | | 6,412.48 | 146.10 W | 12.17 | |
| CLEVELAND-CLIFFS INC. / CUSIP: 185899101 / Symbol: | | | | | | | |
| 02/26/21 | 100.000 | 1,569.98 N | 02/25/21 | 1,589.62 | ... | -19.64 | Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$80.00 |
| 04/07/21 | 5.000 | 92.10 | 04/07/21 | 92.30 | 0.20 W | 0.00 | Sale ²⁵ |
| 04/20/21 | 5.000 | 82.48 | 04/07/21 | 94.05 | ... | -11.57 | Sale ²⁵ |
| Security total: | | 1,744.56 | | 1,775.97 | 0.20 W | -31.21 | |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

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(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|------------------------------|
| CLOUDFLARE, INC. CLASS A COMMON STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol: | | | | | | | |
| 2 transactions for 01/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 236.04 | 01/07/21 | 232.35 | ... | 3.69 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 398.00 | 01/07/21 | 387.25 | ... | 10.75 | 2 of 2 - Sale ²⁵ |
| 01/08/21 | 8.000 | 634.04 | Various | 619.60 | ... | 14.44 | Total of 2 transactions |
| 3 transactions for 01/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 12.000 | 914.38 | 01/07/21 | 929.40 | ... | -15.02 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 152.39 | 01/07/21 | 153.42 | ... | -1.03 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 76.20 | 01/07/21 | 76.63 | ... | -0.43 | 3 of 3 - Sale ²⁵ |
| 01/12/21 | 15.000 | 1,142.97 | Various | 1,159.45 | ... | -16.48 | Total of 3 transactions |
| 2 transactions for 07/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 232.81 | 07/19/21 | 210.60 | ... | 22.21 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 232.81 | 07/20/21 | 212.43 | ... | 20.38 | 2 of 2 - Sale ²⁵ |
| 07/23/21 | 4.000 | 465.62 | Various | 423.03 | ... | 42.59 | Total of 2 transactions |
| 3 transactions for 08/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.598 | 312.68 | 08/10/21 | 331.35 | ... | -18.67 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 240.66 | 08/10/21 | 247.58 | ... | -6.92 | 2 of 3 - Sale ²⁵ |
| | 0.402 | 48.32 | 08/10/21 | 52.88 | ... | -4.56 | 3 of 3 - Sale ²⁵ |
| 08/11/21 | 5.000 | 601.66 | Various | 631.81 | ... | -30.15 | Total of 3 transactions |
| 08/11/21 | 0.402 | 48.33 | 08/09/21 | 50.00 | 1.67 W | 0.00 | Sale ²⁵ |
| | Security total: | 2,892.62 | | 2,883.89 | 1.67 W | 10.40 | |
| COCA-COLA COMPANY / CUSIP: 191216100 / Symbol: | | | | | | | |
| 02/08/21 | 10.000 | 495.52 | 01/04/21 | 523.30 | 27.78 W | 0.00 | Sale ²⁵ |
| 10 transactions for 02/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 20.000 | 986.19 | 01/04/21 | 1,046.59 | 60.40 W | 0.00 | 1 of 10 - Sale ²⁵ |
| | 10.000 | 493.10 | 01/06/21 | 504.90 | 11.80 W | 0.00 | 2 of 10 - Sale ²⁵ |
| | 10.000 | 493.10 | 01/06/21 | 511.10 | 18.00 W | 0.00 | 3 of 10 - Sale ²⁵ |
| | 10.000 | 493.10 | 01/07/21 | 497.95 | 4.85 W | 0.00 | 4 of 10 - Sale ²⁵ |
| | 10.000 | 493.09 | 01/11/21 | 503.40 | 10.31 W | 0.00 | 5 of 10 - Sale ²⁵ |
| | 10.000 | 493.09 | 01/11/21 | 532.28 | 39.19 W | 0.00 | 6 of 10 - Sale ²⁵ |
| | 10.000 | 493.10 | 01/11/21 | 504.45 | 11.35 W | 0.00 | 7 of 10 - Sale ²⁵ |
| | 5.000 | 246.55 | 01/12/21 | 249.68 | 3.13 W | 0.00 | 8 of 10 - Sale ²⁵ |
| | 10.000 | 493.10 | 01/12/21 | 501.29 | 8.19 W | 0.00 | 9 of 10 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|--|---|-------------------|-------------------------|--|---|-------------------------------|
| COCA-COLA COMPANY / CUSIP: 191216100 / Symbol: (cont'd) | | | | | | | |
| 02/26/21 | 5.000 | 246.54 | 01/14/21 | 247.05 | 0.51 W | 0.00 | 10 of 10 - Sale ²⁵ |
| | 100.000 | 4,930.96 | Various | 5,098.69 | 167.73 W | 0.00 | Total of 10 transactions |
| | 10 transactions for 03/09/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 20.000 | 1,027.02 | 03/08/21 | 1,088.00 | ... | -60.98 | 1 of 10 - Sale ²⁵ |
| | 10.000 | 513.51 | 03/08/21 | 552.99 | ... | -39.48 | 2 of 10 - Sale ²⁵ |
| | 10.000 | 513.51 | 03/08/21 | 531.80 | ... | -18.29 | 3 of 10 - Sale ²⁵ |
| | 10.000 | 513.51 | 03/08/21 | 525.60 | ... | -12.09 | 4 of 10 - Sale ²⁵ |
| | 10.000 | 513.51 | 03/08/21 | 525.15 | ... | -11.64 | 5 of 10 - Sale ²⁵ |
| | 10.000 | 513.52 | 03/08/21 | 524.11 | ... | -10.59 | 6 of 10 - Sale ²⁵ |
| | 10.000 | 513.51 | 03/08/21 | 521.99 | ... | -8.48 | 7 of 10 - Sale ²⁵ |
| | 10.000 | 513.51 | 03/08/21 | 518.65 | ... | -5.14 | 8 of 10 - Sale ²⁵ |
| | 5.000 | 256.76 | 03/08/21 | 260.03 | ... | -3.27 | 9 of 10 - Sale ²⁵ |
| | 5.000 | 256.76 | 03/08/21 | 257.41 | ... | -0.65 | 10 of 10 - Sale ²⁵ |
| 03/09/21 | 100.000 | 5,135.12 | Various | 5,305.73 | ... | -170.61 | Total of 10 transactions |
| | 10 transactions for 07/06/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 107.58 | 06/08/21 | 111.24 | 3.66 W | 0.00 | 1 of 10 - Sale ²⁵ |
| | 3.000 | 161.37 | 06/08/21 | 167.25 | 5.88 W | 0.00 | 2 of 10 - Sale ²⁵ |
| | 5.000 | 268.95 | 06/08/21 | 278.10 | 9.15 W | 0.00 | 3 of 10 - Sale ²⁵ |
| | 5.000 | 268.95 | 06/23/21 | 271.75 | 2.80 W | 0.00 | 4 of 10 - Sale ²⁵ |
| | 15.000 | 806.87 | 06/25/21 | 811.31 | 4.44 W | 0.00 | 5 of 10 - Sale ²⁵ |
| | 2.000 | 107.70 | 07/06/21 | 111.19 | 3.49 W | 0.00 | 6 of 10 - Sale ²⁵ |
| | 3.000 | 161.55 | 07/06/21 | 167.18 | 5.63 W | 0.00 | 7 of 10 - Sale ²⁵ |
| | 5.000 | 269.25 | 07/06/21 | 271.64 | 2.39 W | 0.00 | 8 of 10 - Sale ²⁵ |
| | 5.000 | 269.25 | 07/06/21 | 277.99 | 8.74 W | 0.00 | 9 of 10 - Sale ²⁵ |
| | 10.000 | 538.49 | 07/06/21 | 540.63 | 2.14 W | 0.00 | 10 of 10 - Sale ²⁵ |
| 07/06/21 | 55.000 | 2,959.96 | Various | 3,008.28 | 48.32 W | 0.00 | Total of 10 transactions |
| | 6 transactions for 12/30/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 117.70 | 07/06/21 | 111.00 | ... | 6.70 | 1 of 6 - Sale ²⁵ |
| | 3.000 | 176.55 | 07/06/21 | 166.90 | ... | 9.65 | 2 of 6 - Sale ²⁵ |
| | 5.000 | 294.25 | 07/06/21 | 277.51 | ... | 16.74 | 3 of 6 - Sale ²⁵ |
| | 5.000 | 294.25 | 07/06/21 | 271.16 | ... | 23.09 | 4 of 6 - Sale ²⁵ |
| | 5.000 | 294.25 | 07/06/21 | 270.32 | ... | 23.93 | 5 of 6 - Sale ²⁵ |

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2021 1099-B* OMB No. 1545-0715

(continued)

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|---|---|---|-------------------|-------------------------|--|---|--|
| COCA-COLA COMPANY / CUSIP: 191216100 / Symbol: (cont'd) | | | | | | | |
| | 10.000 | 588.51 | 07/06/21 | 539.69 | ... | 48.82 | 6 of 6 - Sale ²⁵ |
| 12/30/21 | 30.000 | 1,765.51 | Various | 1,636.58 | ... | 128.93 | Total of 6 transactions |
| | Security total: | 15,287.07 | | 15,572.58 | 243.83 W | -41.68 | |
| COEUR MINING, INC. / CUSIP: 192108504 / Symbol: | | | | | | | |
| 01/08/21 | 100.000 | 1,009.96 N | 12/28/20 | 1,029.76 | 15.84 W | -3.96 | Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$190.00 |
| | 2 transactions for 01/21/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 50.000 | 439.53 | 01/20/21 | 458.88 | ... | -19.35 | 1 of 2 - Sale ²⁵ |
| | 30.000 | 263.72 | 01/20/21 | 275.34 | ... | -11.62 | 2 of 2 - Sale ²⁵ |
| 01/21/21 | 80.000 | 703.25 | Various | 734.22 | ... | -30.97 | Total of 2 transactions |
| | Security total: | 1,713.21 | | 1,763.98 | 15.84 W | -34.93 | |
| COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol: | | | | | | | |
| 08/23/21 | 1.000 | 255.38 | 08/20/21 | 258.18 | ... | -2.80 | Sale ²⁵ |
| 12/27/21 | 5.000 | 1,363.99 | 12/27/21 | 1,377.30 | ... | -13.31 | Sale ²⁵ |
| | Security total: | 1,619.37 | | 1,635.48 | ... | -16.11 | |
| COLFAX CORPORATION / CUSIP: 194014106 / Symbol: | | | | | | | |
| | 2 transactions for 04/13/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 212.50 | 04/13/21 | 220.24 | ... | -7.74 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 212.50 | 04/13/21 | 219.26 | ... | -6.76 | 2 of 2 - Sale ²⁵ |
| 04/13/21 | 10.000 | 425.00 | Various | 439.50 | ... | -14.50 | Total of 2 transactions |
| | 2 transactions for 04/13/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 212.80 | 04/07/21 | 218.79 | 5.99 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 212.80 | 04/07/21 | 219.77 | 6.97 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 04/13/21 | 10.000 | 425.60 | Various | 438.56 | 12.96 W | 0.00 | Total of 2 transactions |
| | Security total: | 850.60 | | 878.06 | 12.96 W | -14.50 | |
| COLGATE-PALMOLIVE COMPANY / CUSIP: 194162103 / Symbol: | | | | | | | |
| 08/17/21 | 15.000 | 1,199.69 | 08/17/21 | 1,201.93 | ... | -2.24 | Sale ²⁵ |
| | 2 transactions for 12/28/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 3.000 | 253.35 | 10/29/21 | 231.86 | ... | 21.49 | 1 of 2 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| COLGATE-PALMOLIVE COMPANY / CUSIP: 194162103 / Symbol: (cont'd) | | | | | | | |
| | 12.000 | 1,013.39 | 10/29/21 | 927.44 | ... | 85.95 | 2 of 2 - Sale ²⁵ |
| 12/28/21 | 15.000 | 1,266.74 | Various | 1,159.30 | ... | 107.44 | Total of 2 transactions |
| | Security total: | 2,466.43 | | 2,361.23 | ... | 105.20 | |
| COMCAST CORPORATION CLASS A COMMON STOCK / CUSIP: 20030N101 / Symbol: | | | | | | | |
| 3 transactions for 11/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.755 | 454.05 | 11/05/21 | 473.57 | ... | -19.52 | 1 of 3 - Sale ²⁵ |
| | 0.244 | 12.65 | 11/05/21 | 13.74 | ... | -1.09 | 2 of 3 - Sale ²⁵ |
| | 0.001 | 0.04 | 11/05/21 | 0.04 | ... | 0.00 | 3 of 3 - Sale ²⁵ |
| 11/19/21 | 9.000 | 466.74 | Various | 487.35 | ... | -20.61 | Total of 3 transactions |
| 11/19/21 | 0.244 | 12.65 | 11/05/21 | 13.19 | 0.54 W | 0.00 | Sale ²⁵ |
| | Security total: | 479.39 | | 500.54 | 0.54 W | -20.61 | |
| COMMVAULT SYSTEMS, INC. COMMON STOCK / CUSIP: 204166102 / Symbol: | | | | | | | |
| 2 transactions for 05/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 228.10 | 05/25/21 | 230.58 | ... | -2.48 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 152.06 | 05/25/21 | 152.52 | ... | -0.46 | 2 of 2 - Sale ²⁵ |
| 05/25/21 | 5.000 | 380.16 | Various | 383.10 | ... | -2.94 | Total of 2 transactions |
| 05/25/21 | 3.000 | 227.58 | 05/25/21 | 228.78 | 1.20 W | 0.00 | Sale ²⁵ |
| | Security total: | 607.74 | | 611.88 | 1.20 W | -2.94 | |
| CONFLUENT, INC. CLASS A COMMON STOCK / CUSIP: 20717M103 / Symbol: | | | | | | | |
| 11/03/21 | 5.000 | 377.80 | 11/02/21 | 361.70 | ... | 16.10 | Sale ²⁵ |
| 3 transactions for 11/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.064 | 4.78 | 11/02/21 | 4.76 | ... | 0.02 | 1 of 3 - Sale ²⁵ |
| | 3.996 | 295.93 | 11/02/21 | 295.24 | ... | 0.69 | 2 of 3 - Sale ²⁵ |
| | 1.912 | 141.60 | 11/02/21 | 138.30 | ... | 3.30 | 3 of 3 - Sale ²⁵ |
| 11/04/21 | 5.972 | 442.31 | Various | 438.30 | ... | 4.01 | Total of 3 transactions |
| | Security total: | 820.11 | | 800.00 | ... | 20.11 | |
| CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol: | | | | | | | |
| 3 transactions for 11/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.923 | 283.33 | 11/08/21 | 300.00 | ... | -16.67 | 1 of 3 - Sale ²⁵ |
| | 1.471 | 106.21 | 11/10/21 | 108.85 | ... | -2.64 | 2 of 3 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol: (cont'd) | | | | | | | |
| | 0.703 | 50.76 | 11/10/21 | 52.01 | ... | -1.25 | 3 of 3 - Sale ²⁵ |
| 11/11/21 | 6.097 | 440.30 | Various | 460.86 | ... | -20.56 | Total of 3 transactions |
| 11/11/21 | 3.903 | 281.89 | 11/08/21 | 300.00 | 2.45 W | -15.66 | Sale ²⁵ |
| 11/15/21 | 0.529 | 38.64 | 11/10/21 | 41.59 | ... | -2.95 | Sale ²⁵ |
| | Security total: | 760.83 | | 802.45 | 2.45 W | -39.17 | |
| CONSOL ENERGY INC. / CUSIP: 20854L108 / Symbol: | | | | | | | |
| 7 transactions for 06/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 20.000 | 353.56 | 05/17/21 | 286.26 | ... | 67.30 | 1 of 7 - Sale ²⁵ |
| | 20.000 | 353.56 | 05/18/21 | 281.29 | ... | 72.27 | 2 of 7 - Sale ²⁵ |
| | 20.000 | 353.56 | 05/21/21 | 271.53 | ... | 82.03 | 3 of 7 - Sale ²⁵ |
| | 5.000 | 88.39 | 05/27/21 | 75.75 | ... | 12.64 | 4 of 7 - Sale ²⁵ |
| | 10.000 | 176.78 | 05/27/21 | 151.47 | ... | 25.31 | 5 of 7 - Sale ²⁵ |
| | 5.000 | 88.39 | 05/28/21 | 75.10 | ... | 13.29 | 6 of 7 - Sale ²⁵ |
| | 20.000 | 353.56 | 06/01/21 | 334.20 | ... | 19.36 | 7 of 7 - Sale ²⁵ |
| 06/18/21 | 100.000 | 1,767.80 | Various | 1,475.60 | ... | 292.20 | Total of 7 transactions |
| 10/22/21 | 40.000 | 1,181.59 | 10/04/21 | 1,232.76 | 51.17 W | 0.00 | Sale ²⁵ |
| 10/27/21 | 50.000 | 1,472.03 | 10/04/21 | 1,540.96 | ... | -68.93 | Sale ²⁵ |
| 4 transactions for 10/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 222.40 | 10/04/21 | 246.55 | ... | -24.15 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 27.80 | 10/04/21 | 30.82 | ... | -3.02 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 27.81 | 10/04/21 | 30.82 | ... | -3.01 | 3 of 4 - Sale ²⁵ |
| | 10.000 | 278.00 | 10/11/21 | 371.50 | ... | -93.50 | 4 of 4 - Sale ²⁵ |
| 10/28/21 | 20.000 | 556.01 | Various | 679.69 | ... | -123.68 | Total of 4 transactions |
| 2 transactions for 10/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 20.000 | 545.75 | 10/11/21 | 708.89 | ... | -163.14 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 272.87 | 10/11/21 | 371.49 | ... | -98.62 | 2 of 2 - Sale ²⁵ |
| 10/29/21 | 30.000 | 818.62 | Various | 1,080.38 | ... | -261.76 | Total of 2 transactions |
| | Security total: | 5,796.05 | | 6,009.39 | 51.17 W | -162.17 | |
| CONSOLIDATED EDISON, INC. / CUSIP: 209115104 / Symbol: | | | | | | | |
| 10/27/21 | 10.000 | 761.39 | 10/07/21 | 749.08 | ... | 12.31 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| CONSTELLATION BRANDS, INC. / CUSIP: 21036P108 / Symbol: | | | | | | | |
| 10/27/21 | 0.451 | 97.25 | 10/11/21 | 100.00 | ... | -2.75 | Sale ²⁵ |
| CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol: | | | | | | | |
| 2 transactions for 10/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 86.000 | 459.23 | 10/19/21 | 470.33 | ... | -11.10 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 21.36 | 10/19/21 | 21.88 | ... | -0.52 | 2 of 2 - Sale ²⁵ |
| 10/19/21 | 90.000 | 480.59 | Various | 492.21 | ... | -11.62 | Total of 2 transactions |
| THE COOPER COMPANIES, INC. / CUSIP: 216648402 / Symbol: | | | | | | | |
| 4 transactions for 11/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.000 | 0.16 | 10/21/21 | 0.15 | ... | 0.01 | 1 of 4 - Sale ²⁵ |
| | 0.143 | 61.74 | 10/21/21 | 59.00 | ... | 2.74 | 2 of 4 - Sale ²⁵ |
| | 0.729 | 313.96 | 10/21/21 | 299.85 | ... | 14.11 | 3 of 4 - Sale ²⁵ |
| | 0.063 | 27.14 | 10/22/21 | 26.25 | ... | 0.89 | 4 of 4 - Sale ²⁵ |
| 11/08/21 | 0.936 | 403.00 | Various | 385.25 | ... | 17.75 | Total of 4 transactions |
| 11/24/21 | 0.937 | 368.64 | 10/22/21 | 390.10 | 16.92 W | -4.54 | Sale ²⁵ |
| 12/28/21 | 0.739 | 311.06 | 12/07/21 | 316.92 | ... | -5.86 | Sale ²⁵ |
| | Security total: | 1,082.70 | | 1,092.27 | 16.92 W | 7.35 | |
| COPART, INC. (DE) COMMON STOCK / CUSIP: 217204106 / Symbol: | | | | | | | |
| 2 transactions for 08/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 715.62 | 08/20/21 | 699.25 | ... | 16.37 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 716.06 | 08/20/21 | 699.25 | ... | 16.81 | 2 of 2 - Sale ²⁵ |
| 08/26/21 | 10.000 | 1,431.68 | Various | 1,398.50 | ... | 33.18 | Total of 2 transactions |
| 09/27/21 | 1.000 | 146.19 | 09/16/21 | 150.50 | ... | -4.31 | Sale ²⁵ |
| 2 transactions for 09/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 146.19 | 09/15/21 | 147.51 | 1.32 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 146.19 | 09/15/21 | 147.52 | 1.33 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 09/27/21 | 2.000 | 292.38 | Various | 295.03 | 2.65 W | 0.00 | Total of 2 transactions |
| 2 transactions for 10/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 139.08 | 09/16/21 | 151.83 | ... | -12.75 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 139.08 | 09/16/21 | 151.83 | ... | -12.75 | 2 of 2 - Sale ²⁵ |
| 10/04/21 | 2.000 | 278.16 | Various | 303.66 | ... | -25.50 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| COPART, INC. (DE) COMMON STOCK / CUSIP: 217204106 / Symbol: (cont'd) | | | | | | | |
| Security total: | | 2,148.41 | | 2,147.69 | 2.65 W | 3.37 | |
| CORNING INCORPORATED / CUSIP: 219350105 / Symbol: | | | | | | | |
| 01/14/21 | 20.000 | 759.68 | 01/11/21 | 750.75 | ... | 8.93 | Sale ²⁵ |
| COSTCO WHOLESALE CORPORATION C OMMON STOCK / CUSIP: 22160K105 / Symbol: | | | | | | | |
| <i>2 transactions for 07/08/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 4.000 | 1,623.71 | 07/08/21 | 1,630.85 | ... | -7.14 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 405.87 | 07/08/21 | 407.71 | ... | -1.84 | 2 of 2 - Sale ²⁵ |
| 07/08/21 | 5.000 | 2,029.58 | Various | 2,038.56 | ... | -8.98 | Total of 2 transactions |
| 10/01/21 | 0.216 | 95.19 | 09/24/21 | 100.00 | 4.81 W | 0.00 | Sale ²⁵ |
| <i>2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.216 | 105.25 | 10/18/21 | 104.19 | ... | 1.06 | 1 of 2 - Sale ²⁵ |
| | 0.784 | 383.09 | 10/18/21 | 361.73 | ... | 21.36 | 2 of 2 - Sale ²⁵ |
| 10/25/21 | 1.000 | 488.34 | Various | 465.92 | ... | 22.42 | Total of 2 transactions |
| 11/04/21 | 1.000 | 515.75 | 11/04/21 | 519.28 | ... | -3.53 | Sale ²⁵ |
| Security total: | | 3,128.86 | | 3,123.76 | 4.81 W | 9.91 | |
| COSTAR GROUP, INC. COMMON STOC K / CUSIP: 22160N109 / Symbol: | | | | | | | |
| <i>4 transactions for 10/15/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.000 | 0.01 | 10/14/21 | 0.01 | ... | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.063 | 5.92 | 10/14/21 | 5.71 | ... | 0.21 | 2 of 4 - Sale ²⁵ |
| | 0.102 | 9.64 | 10/14/21 | 9.28 | ... | 0.36 | 3 of 4 - Sale ²⁵ |
| | 0.898 | 84.43 | 10/14/21 | 82.23 | ... | 2.20 | 4 of 4 - Sale ²⁵ |
| 10/15/21 | 1.063 | 100.00 | Various | 97.23 | ... | 2.77 | Total of 4 transactions |
| 10/18/21 | 0.554 | 52.00 | 10/14/21 | 50.78 | ... | 1.22 | Sale ²⁵ |
| <i>4 transactions for 10/21/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.000 | 0.02 | 10/14/21 | 0.02 | ... | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.222 | 21.80 | 10/14/21 | 20.35 | ... | 1.45 | 2 of 4 - Sale ²⁵ |
| | 0.326 | 32.00 | 10/14/21 | 29.86 | ... | 2.14 | 3 of 4 - Sale ²⁵ |
| | 0.674 | 66.18 | 10/18/21 | 63.65 | ... | 2.53 | 4 of 4 - Sale ²⁵ |
| 10/21/21 | 1.222 | 120.00 | Various | 113.88 | ... | 6.12 | Total of 4 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| COSTAR GROUP, INC. COMMON STOC K / CUSIP: 22160N109 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 10/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.326 | 32.32 | 10/18/21 | 30.78 | ... | 1.54 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 99.15 | 10/18/21 | 94.43 | ... | 4.72 | 2 of 2 - Sale ²⁵ |
| 10/22/21 | 1.326 | 131.47 | Various | 125.21 | ... | 6.26 | Total of 2 transactions |
| | Security total: | 403.47 | | 387.10 | ... | 16.37 | |
| COUPA SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 22266L106 / Symbol: | | | | | | | |
| 02/22/21 | 0.027 | 9.53 | 02/19/21 | 10.00 | ... | -0.47 | Sale ²⁵ |
| COUPANG, INC. / CUSIP: 22266T109 / Symbol: | | | | | | | |
| 2 transactions for 03/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 240.40 | 03/11/21 | 266.90 | 26.50 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 240.40 | 03/11/21 | 269.25 | 28.85 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 03/12/21 | 10.000 | 480.80 | Various | 536.15 | 55.35 W | 0.00 | Total of 2 transactions |
| 03/15/21 | 1.000 | 49.68 | 03/12/21 | 52.90 | 3.22 W | 0.00 | Sale ²⁵ |
| 4 transactions for 06/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 191.23 | 03/22/21 | 258.35 | ... | -67.12 | 1 of 4 - Sale ²⁵ |
| | 4.000 | 152.98 | 03/22/21 | 204.80 | ... | -51.82 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 38.24 | 03/22/21 | 49.12 | ... | -10.88 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 38.25 | 04/28/21 | 43.97 | ... | -5.72 | 4 of 4 - Sale ²⁵ |
| 06/21/21 | 11.000 | 420.70 | Various | 556.24 | ... | -135.54 | Total of 4 transactions |
| | Security total: | 951.18 | | 1,145.29 | 58.57 W | -135.54 | |
| CREE, INC. COMMON STOCK / CUSIP: 225447101 / Symbol: | | | | | | | |
| 02/19/21 | 10.000 | 1,245.27 | 02/19/21 | 1,234.00 | ... | 11.27 | Sale ²⁵ |
| 4 transactions for 02/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.444 | 54.16 | 02/19/21 | 56.09 | ... | -1.93 | 1 of 4 - Sale ²⁵ |
| | 0.189 | 23.07 | 02/19/21 | 24.58 | ... | -1.51 | 2 of 4 - Sale ²⁵ |
| | 0.367 | 44.85 | 02/19/21 | 46.21 | ... | -1.36 | 3 of 4 - Sale ²⁵ |
| | 0.000 | 0.01 | 02/19/21 | 0.01 | ... | 0.00 | 4 of 4 - Sale ²⁵ |
| 02/22/21 | 1.000 | 122.09 | Various | 126.89 | ... | -4.80 | Total of 4 transactions |
| 02/22/21 | 0.189 | 23.11 | 02/19/21 | 23.91 | 0.80 W | 0.00 | Sale ²⁵ |
| | Security total: | 1,390.47 | | 1,384.80 | 0.80 W | 6.47 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| CROCS, INC. COMMON STOCK / CUSIP: 227046109 / Symbol: | | | | | | | |
| 09/15/21 | 5.000 | 767.80 | 09/15/21 | 766.80 | ... | 1.00 | Sale ²⁵ |
| 09/20/21 | 5.000 | 740.32 | 09/15/21 | 766.80 | ... | -26.48 | Sale ²⁵ |
| | Security total: | 1,508.12 | | 1,533.60 | ... | -25.48 | |
| CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol: | | | | | | | |
| 01/12/21 | 100.000 | 979.46 | 12/23/20 | 795.98 | ... | 183.48 | Sale ²⁵ |
| CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol: | | | | | | | |
| 2 transactions for 06/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 251.52 | 06/21/21 | 236.76 | ... | 14.76 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 754.54 | 06/21/21 | 711.00 | ... | 43.54 | 2 of 2 - Sale ²⁵ |
| 06/24/21 | 4.000 | 1,006.06 | Various | 947.76 | ... | 58.30 | Total of 2 transactions |
| 07/06/21 | 2.000 | 525.34 | 07/06/21 | 522.84 | ... | 2.50 | Sale ²⁵ |
| 07/07/21 | 2.000 | 531.76 | 07/07/21 | 538.50 | ... | -6.74 | Sale ²⁵ |
| 2 transactions for 08/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 563.50 | 08/26/21 | 562.03 | ... | 1.47 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 845.24 | 08/27/21 | 855.51 | ... | -10.27 | 2 of 2 - Sale ²⁵ |
| 08/30/21 | 5.000 | 1,408.74 | Various | 1,417.54 | ... | -8.80 | Total of 2 transactions |
| 10/18/21 | 0.037 | 10.41 | 10/14/21 | 10.00 | ... | 0.41 | Sale ²⁵ |
| 3 transactions for 11/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.387 | 100.33 | 11/09/21 | 122.83 | ... | -22.50 | 1 of 3 - Sale ²⁵ |
| | 0.298 | 77.39 | 11/09/21 | 87.10 | ... | -9.71 | 2 of 3 - Sale ²⁵ |
| | 0.315 | 81.62 | 11/09/21 | 89.72 | ... | -8.10 | 3 of 3 - Sale ²⁵ |
| 11/15/21 | 1.000 | 259.34 | Various | 299.65 | ... | -40.31 | Total of 3 transactions |
| 11/15/21 | 0.387 | 100.35 | 11/09/21 | 112.90 | 12.55 W | 0.00 | Sale ²⁵ |
| | Security total: | 3,842.00 | | 3,849.19 | 12.55 W | 5.36 | |
| DIDI GLOBAL INC. AMERICAN DEPO SITARY SHARES, EACH FOUR REPRE SENTING ONE CLASS A ORDINARY S / CUSIP: 23292E108 / Symbol: | | | | | | | |
| 6 transactions for 06/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 16.17 | 06/30/21 | 17.18 | 1.01 W | 0.00 | 1 of 6 - Sale ²⁵ |
| | 1.000 | 16.20 | 06/30/21 | 16.33 | 0.13 W | 0.00 | 2 of 6 - Sale ²⁵ |
| | 1.000 | 16.20 | 06/30/21 | 16.58 | 0.38 W | 0.00 | 3 of 6 - Sale ²⁵ |
| | 2.000 | 32.34 | 06/30/21 | 33.60 | 1.26 W | 0.00 | 4 of 6 - Sale ²⁵ |
| | 6.000 | 97.03 | 06/30/21 | 102.30 | 5.27 W | 0.00 | 5 of 6 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| DIDI GLOBAL INC. AMERICAN DEPO SITARY SHARES, EACH FOUR REPRE SENTING ONE CLASS A ORDINARY S / CUSIP: 23292E108 / Symbol: (cont'd) | | | | | | | |
| | 6.000 | 97.20 | 06/30/21 | 98.70 | 1.50 W | 0.00 | 6 of 6 - Sale ²⁵ |
| 06/30/21 | 17.000 | 275.14 | Various | 284.69 | 9.55 W | 0.00 | Total of 6 transactions |
| | 5 transactions for 07/01/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 16.34 | 06/30/21 | 15.41 | ... | 0.93 | 1 of 5 - Sale ²⁵ |
| | 2.000 | 32.68 | 06/30/21 | 30.86 | ... | 1.82 | 2 of 5 - Sale ²⁵ |
| | 2.000 | 32.68 | 06/30/21 | 30.36 | ... | 2.32 | 3 of 5 - Sale ²⁵ |
| | 4.000 | 65.37 | 06/30/21 | 61.11 | ... | 4.26 | 4 of 5 - Sale ²⁵ |
| | 11.000 | 179.76 | 06/30/21 | 160.05 | ... | 19.71 | 5 of 5 - Sale ²⁵ |
| 07/01/21 | 20.000 | 326.83 | Various | 297.79 | ... | 29.04 | Total of 5 transactions |
| | Security total: | 601.97 | | 582.48 | 9.55 W | 29.04 | |
| XTRACKERS HARVEST CSI 300 CHIN A A-SHARES ETF / CUSIP: 233051879 / Symbol: | | | | | | | |
| 10/11/21 | 10.000 | 387.04 | 10/08/21 | 387.86 | ... | -0.82 | Sale ²⁵ |
| D.R. HORTON INC. / CUSIP: 23331A109 / Symbol: | | | | | | | |
| | 4 transactions for 11/05/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.132 | 12.20 | 10/15/21 | 11.65 | ... | 0.55 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 92.49 | 10/15/21 | 88.35 | ... | 4.14 | 2 of 4 - Sale ²⁵ |
| | 0.132 | 12.21 | 10/29/21 | 11.86 | ... | 0.35 | 3 of 4 - Sale ²⁵ |
| | 0.868 | 80.29 | 10/29/21 | 78.05 | ... | 2.24 | 4 of 4 - Sale ²⁵ |
| 11/05/21 | 2.132 | 197.19 | Various | 189.91 | ... | 7.28 | Total of 4 transactions |
| 11/09/21 | 2.000 | 195.73 | 10/29/21 | 179.84 | ... | 15.89 | Sale ²⁵ |
| | Security total: | 392.92 | | 369.75 | ... | 23.17 | |
| DXC TECHNOLOGY COMPANY / CUSIP: 23355L106 / Symbol: | | | | | | | |
| | 5 transactions for 06/30/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 38.62 | 03/31/21 | 31.46 | ... | 7.16 | 1 of 5 - Sale ²⁵ |
| | 1.000 | 38.62 | 03/31/21 | 31.41 | ... | 7.21 | 2 of 5 - Sale ²⁵ |
| | 8.000 | 308.95 | 03/31/21 | 252.31 | ... | 56.64 | 3 of 5 - Sale ²⁵ |
| | 10.000 | 386.18 | 03/31/21 | 315.78 | ... | 70.40 | 4 of 5 - Sale ²⁵ |
| | 10.000 | 386.18 | 04/01/21 | 309.39 | ... | 76.79 | 5 of 5 - Sale ²⁵ |
| 06/30/21 | 30.000 | 1,158.55 | Various | 940.35 | ... | 218.20 | Total of 5 transactions |
| 10/26/21 | 2.829 | 93.10 | 10/15/21 | 100.00 | ... | -6.90 | Sale ²⁵ |
| | Security total: | 1,251.65 | | 1,040.35 | ... | 211.30 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| DANAHER CORPORATION / CUSIP: 235851102 / Symbol: | | | | | | | |
| 3 transactions for 11/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.164 | 53.88 | 10/18/21 | 50.00 | ... | 3.88 | 1 of 3 - Sale ²⁵ |
| | 0.494 | 161.70 | 10/18/21 | 150.00 | ... | 11.70 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 325.80 | 10/19/21 | 309.29 | ... | 16.51 | 3 of 3 - Sale ²⁵ |
| 11/26/21 | 1.658 | 541.38 | Various | 509.29 | ... | 32.09 | Total of 3 transactions |
| DARLING INGREDIENTS INC. / CUSIP: 237266101 / Symbol: | | | | | | | |
| 05/18/21 | 5.000 | 365.55 | 05/12/21 | 375.56 | ... | -10.01 | Sale ²⁵ |
| DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol: | | | | | | | |
| 01/06/21 | 5.000 | 459.75 | 01/06/21 | 466.03 | ... | -6.28 | Sale ²⁵ |
| 3 transactions for 10/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.316 | 51.41 | 10/14/21 | 50.00 | ... | 1.41 | 1 of 3 - Sale ²⁵ |
| | 0.114 | 18.59 | 10/15/21 | 17.76 | ... | 0.83 | 2 of 3 - Sale ²⁵ |
| | 0.529 | 86.03 | 10/15/21 | 82.24 | ... | 3.79 | 3 of 3 - Sale ²⁵ |
| 10/26/21 | 0.958 | 156.03 | Various | 150.00 | ... | 6.03 | Total of 3 transactions |
| | Security total: | 615.78 | | 616.03 | ... | -0.25 | |
| DECKERS OUTDOOR CORP / CUSIP: 243537107 / Symbol: | | | | | | | |
| 12/29/21 | 0.169 | 62.21 | 12/29/21 | 62.00 | ... | 0.21 | Sale ²⁵ |
| DEERE & COMPANY / CUSIP: 244199105 / Symbol: | | | | | | | |
| 4 transactions for 06/07/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 707.96 | 05/06/21 | 774.61 | ... | -66.65 | 1 of 4 - Sale ²⁵ |
| | 2.000 | 707.96 | 05/11/21 | 767.18 | ... | -59.22 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 353.98 | 05/12/21 | 384.87 | ... | -30.89 | 3 of 4 - Sale ²⁵ |
| | 0.554 | 196.24 | 06/03/21 | 200.00 | ... | -3.76 | 4 of 4 - Sale ²⁵ |
| 06/07/21 | 5.554 | 1,966.14 | Various | 2,126.66 | ... | -160.52 | Total of 4 transactions |
| 09/07/21 | 10.000 | 3,718.28 | 09/03/21 | 3,887.37 | ... | -169.09 | Sale ²⁵ |
| | Security total: | 5,684.42 | | 6,014.03 | ... | -329.61 | |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| DELL TECHNOLOGIES INC. / CUSIP: 24703L202 / Symbol: | | | | | | | |
| 06/02/21 | 5.000 | 508.10 | 06/02/21 | 513.12 | ... | -5.02 | Sale ²⁵ |
| 06/02/21 | 10.000 | 1,025.71 | 06/02/21 | 1,028.25 | 2.54 W | 0.00 | Sale ²⁵ |
| 2 transactions for 06/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 413.57 | 06/04/21 | 414.08 | ... | -0.51 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 103.39 | 06/04/21 | 103.28 | ... | 0.11 | 2 of 2 - Sale ²⁵ |
| 06/14/21 | 5.000 | 516.96 | Various | 517.36 | ... | -0.40 | Total of 2 transactions |
| Security total: | | 2,050.77 | | 2,058.73 | 2.54 W | -5.42 | |
| DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: | | | | | | | |
| 09/21/21 | 2.000 | 80.99 | 09/21/21 | 82.63 | ... | -1.64 | Sale ²⁵ |
| DENTSPLY SIRONA INC. COMMON STOCK / CUSIP: 24906P109 / Symbol: | | | | | | | |
| 09/20/21 | 10.000 | 589.49 | 08/30/21 | 626.10 | ... | -36.61 | Sale ²⁵ |
| 2 transactions for 11/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.527 | 425.04 | 11/03/21 | 444.42 | ... | -19.38 | 1 of 2 - Sale ²⁵ |
| | 0.469 | 25.92 | 11/03/21 | 28.85 | ... | -2.93 | 2 of 2 - Sale ²⁵ |
| 11/04/21 | 7.995 | 450.96 | Various | 473.27 | ... | -22.31 | Total of 2 transactions |
| 11/04/21 | 0.473 | 26.72 | 11/03/21 | 27.92 | 1.19 W | -0.01 | Sale ²⁵ |
| Security total: | | 1,067.17 | | 1,127.29 | 1.19 W | -58.93 | |
| DEVON ENERGY CORPORATION / CUSIP: 25179M103 / Symbol: | | | | | | | |
| 2 transactions for 08/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 300.20 | 08/26/21 | 295.06 | ... | 5.14 | 1 of 2 - Sale ²⁵ |
| | 15.000 | 450.29 | 08/26/21 | 440.09 | ... | 10.20 | 2 of 2 - Sale ²⁵ |
| 08/30/21 | 25.000 | 750.49 | Various | 735.15 | ... | 15.34 | Total of 2 transactions |
| DEXCOM, INC. COMMON STOCK / CUSIP: 252131107 / Symbol: | | | | | | | |
| 09/02/21 | 2.000 | 1,100.26 | 09/02/21 | 1,107.63 | 7.37 W | 0.00 | Sale ²⁵ |
| 2 transactions for 09/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 1,121.47 | 09/02/21 | 1,107.62 | ... | 13.85 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 1,121.47 | 09/03/21 | 1,091.36 | ... | 30.11 | 2 of 2 - Sale ²⁵ |
| 09/24/21 | 4.000 | 2,242.94 | Various | 2,198.98 | ... | 43.96 | Total of 2 transactions |
| 10/29/21 | 2.000 | 1,113.03 | 10/28/21 | 1,140.00 | 13.49 W | -13.48 | Sale ²⁵ |
| 12/23/21 | 1.000 | 575.83 | 10/29/21 | 595.73 | ... | -19.90 | Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| DEXCOM, INC. COMMON STOCK / CUSIP: 252131107 / Symbol: (cont'd) | | | | | | | |
| Security total: | | 5,032.06 | | 5,042.34 | | 20.86 W | 10.58 |
| DIAMONDBACK ENERGY, INC. COMM ON STOCK / CUSIP: 25278X109 / Symbol: | | | | | | | |
| 04/05/21 | 3.000 | 227.70 | 04/01/21 | 236.10 | ... | -8.40 | Sale ²⁵ |
| DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol: | | | | | | | |
| 2 transactions for 01/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 297.99 | 01/12/21 | 262.85 | ... | 35.14 | 1 of 2 - Sale ²⁵ |
| | 15.000 | 893.98 | 01/12/21 | 788.55 | ... | 105.43 | 2 of 2 - Sale ²⁵ |
| 01/14/21 | 20.000 | 1,191.97 | Various | 1,051.40 | ... | 140.57 | Total of 2 transactions |
| 09/02/21 | 5.000 | 319.54 | 09/01/21 | 302.03 | ... | 17.51 | Sale ²⁵ |
| 09/07/21 | 5.000 | 313.37 | 09/01/21 | 302.03 | ... | 11.34 | Sale ²⁵ |
| Security total: | | 1,824.88 | | 1,655.46 | ... | 169.42 | |
| DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: | | | | | | | |
| 04/06/21 | 10.000 | 424.90 | 04/01/21 | 420.80 | ... | 4.10 | Sale ²⁵ |
| 2 transactions for 05/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 12.000 | 354.50 | 05/11/21 | 385.08 | ... | -30.58 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 59.08 | 05/11/21 | 64.18 | ... | -5.10 | 2 of 2 - Sale ²⁵ |
| 05/12/21 | 14.000 | 413.58 | Various | 449.26 | ... | -35.68 | Total of 2 transactions |
| 09/08/21 | 30.000 | 1,377.29 | 09/07/21 | 1,392.84 | 15.55 W | 0.00 | Sale ²⁵ |
| 7 transactions for 09/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 47.00 | 09/10/21 | 48.80 | 1.80 W | 0.00 | 1 of 7 - Sale ²⁵ |
| | 2.000 | 94.00 | 09/10/21 | 95.80 | 1.80 W | 0.00 | 2 of 7 - Sale ²⁵ |
| | 1.000 | 46.58 | 09/13/21 | 49.36 | 2.78 W | 0.00 | 3 of 7 - Sale ²⁵ |
| | 2.000 | 93.16 | 09/13/21 | 96.92 | 3.76 W | 0.00 | 4 of 7 - Sale ²⁵ |
| | 7.000 | 326.06 | 09/13/21 | 335.11 | 9.05 W | 0.00 | 5 of 7 - Sale ²⁵ |
| | 10.000 | 470.00 | 09/13/21 | 475.60 | 5.60 W | 0.00 | 6 of 7 - Sale ²⁵ |
| | 27.000 | 1,268.99 | 09/13/21 | 1,298.11 | 29.12 W | 0.00 | 7 of 7 - Sale ²⁵ |
| 09/13/21 | 50.000 | 2,345.79 | Various | 2,399.70 | 53.91 W | 0.00 | Total of 7 transactions |
| 09/14/21 | 5.000 | 242.45 | 09/13/21 | 239.37 | ... | 3.08 | Sale ²⁵ |
| 5 transactions for 09/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 484.90 | 09/13/21 | 488.37 | 3.47 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 1.000 | 48.49 | 09/14/21 | 51.59 | 3.10 W | 0.00 | 2 of 5 - Sale ²⁵ |

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Account 156901654

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2021 1099-B* OMB No. 1545-0715

(continued)

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|--|--|---|-------------------|-------------------------|--|---|------------------------------|
| DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd) | | | | | | | |
| | 2.000 | 96.98 | 09/14/21 | 101.38 | 4.40 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 5.000 | 242.45 | 09/14/21 | 249.44 | 6.99 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 7.000 | 339.42 | 09/14/21 | 350.72 | 11.30 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 09/14/21 | 25.000 | 1,212.24 | Various | 1,241.50 | 29.26 W | 0.00 | Total of 5 transactions |
| | 7 transactions for 09/16/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 472.00 | 09/14/21 | 491.57 | 19.57 W | 0.00 | 1 of 7 - Sale ²⁵ |
| | 10.000 | 472.00 | 09/14/21 | 493.70 | 21.70 W | 0.00 | 2 of 7 - Sale ²⁵ |
| | 1.000 | 47.20 | 09/15/21 | 51.11 | 3.91 W | 0.00 | 3 of 7 - Sale ²⁵ |
| | 2.000 | 94.40 | 09/15/21 | 100.42 | 6.02 W | 0.00 | 4 of 7 - Sale ²⁵ |
| | 5.000 | 236.00 | 09/15/21 | 240.05 | 4.05 W | 0.00 | 5 of 7 - Sale ²⁵ |
| | 5.000 | 236.00 | 09/15/21 | 247.04 | 11.04 W | 0.00 | 6 of 7 - Sale ²⁵ |
| | 7.000 | 330.39 | 09/15/21 | 347.37 | 16.98 W | 0.00 | 7 of 7 - Sale ²⁵ |
| 09/16/21 | 40.000 | 1,887.99 | Various | 1,971.26 | 83.27 W | 0.00 | Total of 7 transactions |
| | 9 transactions for 09/28/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 422.79 | 09/28/21 | 456.25 | ... | -33.46 | 1 of 9 - Sale ²⁵ |
| | 7.000 | 295.96 | 09/28/21 | 321.15 | ... | -25.19 | 2 of 9 - Sale ²⁵ |
| | 7.000 | 295.96 | 09/28/21 | 317.34 | ... | -21.38 | 3 of 9 - Sale ²⁵ |
| | 5.000 | 211.40 | 09/28/21 | 228.30 | ... | -16.90 | 4 of 9 - Sale ²⁵ |
| | 10.000 | 422.79 | 09/28/21 | 434.55 | ... | -11.76 | 5 of 9 - Sale ²⁵ |
| | 5.000 | 211.40 | 09/28/21 | 221.31 | ... | -9.91 | 6 of 9 - Sale ²⁵ |
| | 3.000 | 126.84 | 09/28/21 | 136.23 | ... | -9.39 | 7 of 9 - Sale ²⁵ |
| | 2.000 | 84.56 | 09/28/21 | 92.78 | ... | -8.22 | 8 of 9 - Sale ²⁵ |
| | 1.000 | 42.28 | 09/28/21 | 47.29 | ... | -5.01 | 9 of 9 - Sale ²⁵ |
| 09/28/21 | 50.000 | 2,113.98 | Various | 2,255.20 | ... | -141.22 | Total of 9 transactions |
| | 18 transactions for 09/28/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 424.99 | 09/28/21 | 430.75 | 4.03 W | -1.73 | 1 of 18 - Sale ²⁵ |
| | 1.000 | 42.50 | 09/28/21 | 46.84 | 4.34 W | 0.00 | 2 of 18 - Sale ²⁵ |
| | 1.000 | 42.50 | 09/28/21 | 47.34 | 4.84 W | 0.00 | 3 of 18 - Sale ²⁵ |
| | 2.000 | 85.00 | 09/28/21 | 91.88 | 6.88 W | 0.00 | 4 of 18 - Sale ²⁵ |
| | 2.000 | 85.00 | 09/28/21 | 92.88 | 7.88 W | 0.00 | 5 of 18 - Sale ²⁵ |
| | 3.000 | 127.50 | 09/28/21 | 136.39 | 8.89 W | 0.00 | 6 of 18 - Sale ²⁵ |
| | 5.000 | 212.50 | 09/28/21 | 218.70 | 6.20 W | 0.00 | 7 of 18 - Sale ²⁵ |
| | 5.000 | 212.50 | 09/28/21 | 221.57 | 9.07 W | 0.00 | 8 of 18 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|-------------------------------|
| DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd) | | | | | | | |
| | 5.000 | 212.50 | 09/28/21 | 225.69 | 13.19 W | 0.00 | 9 of 18 - Sale ²⁵ |
| | 5.000 | 212.50 | 09/28/21 | 228.56 | 16.06 W | 0.00 | 10 of 18 - Sale ²⁵ |
| | 7.000 | 297.50 | 09/28/21 | 317.49 | 19.99 W | 0.00 | 11 of 18 - Sale ²⁵ |
| | 7.000 | 297.50 | 09/28/21 | 317.71 | 20.21 W | 0.00 | 12 of 18 - Sale ²⁵ |
| | 7.000 | 297.50 | 09/28/21 | 321.52 | 24.02 W | 0.00 | 13 of 18 - Sale ²⁵ |
| | 10.000 | 424.99 | 09/28/21 | 429.30 | 4.31 W | 0.00 | 14 of 18 - Sale ²⁵ |
| | 10.000 | 424.99 | 09/28/21 | 435.06 | 10.07 W | 0.00 | 15 of 18 - Sale ²⁵ |
| | 10.000 | 424.99 | 09/28/21 | 451.00 | 26.01 W | 0.00 | 16 of 18 - Sale ²⁵ |
| | 10.000 | 424.99 | 09/28/21 | 456.76 | 31.77 W | 0.00 | 17 of 18 - Sale ²⁵ |
| | 10.000 | 425.00 | 09/28/21 | 448.87 | 23.87 W | 0.00 | 18 of 18 - Sale ²⁵ |
| 09/28/21 | 110.000 | 4,674.95 | Various | 4,918.31 | 241.63 W | -1.73 | Total of 18 transactions |
| 10/20/21 | 7.000 | 308.84 | 10/18/21 | 302.34 | ... | 6.50 | Sale ²⁵ |
| | 4 transactions for 11/10/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.221 | 13.37 | 11/03/21 | 11.60 | ... | 1.77 | 1 of 4 - Sale ²⁵ |
| | 0.491 | 29.69 | 11/03/21 | 25.77 | ... | 3.92 | 2 of 4 - Sale ²⁵ |
| | 5.000 | 302.57 | 11/03/21 | 262.63 | ... | 39.94 | 3 of 4 - Sale ²⁵ |
| | 3.509 | 212.37 | 11/04/21 | 200.00 | ... | 12.37 | 4 of 4 - Sale ²⁵ |
| 11/10/21 | 9.221 | 558.00 | Various | 500.00 | ... | 58.00 | Total of 4 transactions |
| | Security total: | 15,560.01 | | 16,090.58 | 423.62 W | -106.95 | |
| DIREXION DAILY REAL ESTATE BUL L 3X / CUSIP: 25459W755 / Symbol: | | | | | | | |
| 07/23/21 | 10.000 | 238.25 | 07/23/21 | 235.88 | ... | 2.37 | Sale ²⁵ |
| DIREXION DAILY SMALL CAP BULL 3X SHARES / CUSIP: 25459W847 / Symbol: | | | | | | | |
| 05/20/21 | 3.000 | 257.37 | 05/20/21 | 252.36 | ... | 5.01 | Sale ²⁵ |
| DIREXION DAILY S&P 500 BULL 3 X SHARES / CUSIP: 25459W862 / Symbol: | | | | | | | |
| | 2 transactions for 03/05/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 71.25 | 03/05/21 | 70.99 | ... | 0.26 | 1 of 2 - Sale ²⁵ |
| | 30.000 | 2,137.48 | 03/05/21 | 2,128.50 | ... | 8.98 | 2 of 2 - Sale ²⁵ |
| 03/05/21 | 31.000 | 2,208.73 | Various | 2,199.49 | ... | 9.24 | Total of 2 transactions |
| | 2 transactions for 03/15/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 410.93 | 03/15/21 | 409.95 | ... | 0.98 | 1 of 2 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| DIREXION DAILY S&P 500 BULL 3 X SHARES / CUSIP: 25459W862 / Symbol: (cont'd) | | | | | | | |
| | 10.000 | 821.84 | 03/15/21 | 819.99 | ... | 1.85 | 2 of 2 - Sale ²⁵ |
| 03/15/21 | 15.000 | 1,232.77 | Various | 1,229.94 | ... | 2.83 | Total of 2 transactions |
| 05/04/21 | 3.000 | 287.07 | 05/04/21 | 288.72 | 1.65 W | 0.00 | Sale ²⁵ |
| | 4 transactions for 05/12/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 93.88 | 05/11/21 | 94.82 | ... | -0.94 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 93.88 | 05/11/21 | 94.82 | ... | -0.94 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 93.88 | 05/11/21 | 94.81 | ... | -0.93 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 93.88 | 05/11/21 | 94.27 | ... | -0.39 | 4 of 4 - Sale ²⁵ |
| 05/12/21 | 4.000 | 375.52 | Various | 378.72 | ... | -3.20 | Total of 4 transactions |
| | Security total: | 4,104.09 | | 4,096.87 | 1.65 W | 8.87 | |
| DIREXION DAILY FINANCIAL BULL 3X SHARES / CUSIP: 25459Y694 / Symbol: | | | | | | | |
| 06/17/21 | 2.000 | 211.87 | 06/16/21 | 229.23 | ... | -17.36 | Sale ²⁵ |
| | 2 transactions for 08/03/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 9.000 | 933.29 | 07/29/21 | 992.84 | ... | -59.55 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 103.70 | 07/29/21 | 110.13 | ... | -6.43 | 2 of 2 - Sale ²⁵ |
| 08/03/21 | 10.000 | 1,036.99 | Various | 1,102.97 | ... | -65.98 | Total of 2 transactions |
| 09/10/21 | 20.000 | 2,359.98 | 09/09/21 | 2,424.24 | 12.85 W | -51.41 | Sale ²⁵ |
| 09/16/21 | 4.000 | 471.25 | 09/09/21 | 493.45 | ... | -22.20 | Sale ²⁵ |
| | Security total: | 4,080.09 | | 4,249.89 | 12.85 W | -156.95 | |
| DIREXION DAILY HEALTHCARE BULL 3X SHARES / CUSIP: 25459Y876 / Symbol: | | | | | | | |
| 09/27/21 | 1.000 | 115.89 | 09/23/21 | 122.03 | ... | -6.14 | Sale ²⁵ |
| DIREXION DAILY S&P 500 BEAR 3X SHARES / CUSIP: 25460E265 / Symbol: | | | | | | | |
| 07/23/21 | 200.000 | 4,427.97 | 07/23/21 | 4,413.62 | ... | 14.35 | Sale ²⁵ |
| DIREXION DAILY INDUSTRIALS BUL L 3X SHARES / CUSIP: 25460E737 / Symbol: | | | | | | | |
| 08/16/21 | 10.000 | 454.31 | 08/11/21 | 465.90 | ... | -11.59 | Sale ²⁵ |
| DIREXION DAILY S&P 500 BEAR 3X SHARES / CUSIP: 25460E885 / Symbol: | | | | | | | |
| 01/08/21 | 200.000 | 754.34 | 01/08/21 | 749.90 | ... | 4.44 | Sale ²⁵ |
| DIREXION DAILY ENERGY BULL 2X SHARES / CUSIP: 25460G609 / Symbol: | | | | | | | |
| 05/05/21 | 1.000 | 26.44 | 05/03/21 | 25.00 | ... | 1.44 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| DIREXION DAILY GOLD MINERS IND EX BULL 2X SHARES / CUSIP: 25460G781 / Symbol: | | | | | | | |
| 2 transactions for 11/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.478 | 170.34 | 11/30/21 | 200.97 | ... | -30.63 | 1 of 2 - Sale ²⁵ |
| | 1.522 | 74.56 | 11/30/21 | 76.03 | ... | -1.47 | 2 of 2 - Sale ²⁵ |
| 11/30/21 | 5.000 | 244.90 | Various | 277.00 | ... | -32.10 | Total of 2 transactions |
| 11/30/21 | 3.478 | 172.70 | 11/10/21 | 200.00 | 27.30 W | 0.00 | Sale ²⁵ |
| | Security total: | 417.60 | | 477.00 | 27.30 W | -32.10 | |
| DIREXION DAILY RETAIL BULL 3X SHARES / CUSIP: 25460G815 / Symbol: | | | | | | | |
| 08/12/21 | 1.000 | 235.24 | 08/10/21 | 235.15 | ... | 0.09 | Sale ²⁵ |
| 2 transactions for 08/17/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 615.86 | 08/10/21 | 705.83 | ... | -89.97 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 410.57 | 08/10/21 | 470.29 | ... | -59.72 | 2 of 2 - Sale ²⁵ |
| 08/17/21 | 5.000 | 1,026.43 | Various | 1,176.12 | ... | -149.69 | Total of 2 transactions |
| | Security total: | 1,261.67 | | 1,411.27 | ... | -149.60 | |
| THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol: | | | | | | | |
| 01/29/21 | 5.000 | 847.70 | 01/29/21 | 847.19 | ... | 0.51 | Sale ²⁵ |
| 02/26/21 | 10.000 | 1,899.49 | 02/26/21 | 1,906.90 | 7.41 W | 0.00 | Sale ²⁵ |
| 04/13/21 | 5.000 | 925.74 | 03/08/21 | 984.69 | 58.95 W | 0.00 | Sale ²⁵ |
| 05/17/21 | 5.000 | 847.75 | 03/08/21 | 984.68 | ... | -136.93 | Sale ²⁵ |
| 05/28/21 | 5.000 | 892.51 | 03/09/21 | 992.03 | ... | -99.52 | Sale ²⁵ |
| 06/02/21 | 5.000 | 888.14 | 03/09/21 | 974.90 | ... | -86.76 | Sale ²⁵ |
| | Security total: | 6,301.33 | | 6,690.39 | 66.36 W | -322.70 | |
| DISCOVER FINANCIAL SERVICES / CUSIP: 254709108 / Symbol: | | | | | | | |
| 02/16/21 | 5.000 | 479.90 | 02/16/21 | 482.35 | 2.45 W | 0.00 | Sale ²⁵ |
| 02/22/21 | 5.000 | 478.53 | 02/16/21 | 482.34 | 3.81 W | 0.00 | Sale ²⁵ |
| 03/11/21 | 3.000 | 306.89 | 03/11/21 | 306.54 | ... | 0.35 | Sale ²⁵ |
| 03/11/21 | 2.000 | 204.60 | 03/11/21 | 207.36 | 2.76 W | 0.00 | Sale ²⁵ |
| 4 transactions for 03/17/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 197.83 | 03/16/21 | 199.52 | ... | -1.69 | 1 of 4 - Sale ²⁵ |
| | 3.000 | 296.75 | 03/16/21 | 297.43 | ... | -0.68 | 2 of 4 - Sale ²⁵ |
| | 2.000 | 197.83 | 03/16/21 | 198.28 | ... | -0.45 | 3 of 4 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| DISCOVER FINANCIAL SERVICES / CUSIP: 254709108 / Symbol: (cont'd) | | | | | | | |
| | 2.000 | 197.83 | 03/16/21 | 196.76 | ... | 1.07 | 4 of 4 - Sale ²⁵ |
| 03/17/21 | 9.000 | 890.24 | Various | 891.99 | ... | -1.75 | Total of 4 transactions |
| 06/08/21 | 5.000 | 622.64 | 06/08/21 | 623.81 | ... | -1.17 | Sale ²⁵ |
| | Security total: | 2,982.80 | | 2,994.39 | 9.02 W | -2.57 | |
| DISCOVERY, INC. SERIES A COMMO N STOCK / CUSIP: 25470F104 / Symbol: | | | | | | | |
| 3 transactions for 04/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 127.28 | 03/31/21 | 134.04 | ... | -6.76 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 84.85 | 03/31/21 | 89.30 | ... | -4.45 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 42.42 | 04/01/21 | 42.90 | ... | -0.48 | 3 of 3 - Sale ²⁵ |
| 04/05/21 | 6.000 | 254.55 | Various | 266.24 | ... | -11.69 | Total of 3 transactions |
| DISH NETWORK CORPORATION CLASS A COMMON STOCK / CUSIP: 25470M109 / Symbol: | | | | | | | |
| 06/04/21 | 1.000 | 45.15 | 06/02/21 | 45.93 | ... | -0.78 | Sale ²⁵ |
| 06/04/21 | 1.000 | 45.15 | 06/02/21 | 45.93 | 0.78 W | 0.00 | Sale ²⁵ |
| 3 transactions for 06/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 348.26 | 06/02/21 | 367.43 | ... | -19.17 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 43.54 | 06/03/21 | 45.69 | ... | -2.15 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 43.53 | 06/03/21 | 44.90 | ... | -1.37 | 3 of 3 - Sale ²⁵ |
| 06/08/21 | 10.000 | 435.33 | Various | 458.02 | ... | -22.69 | Total of 3 transactions |
| 3 transactions for 11/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 332.70 | 09/27/21 | 449.20 | ... | -116.50 | 1 of 3 - Sale ²⁵ |
| | 10.000 | 332.70 | 10/29/21 | 414.20 | ... | -81.50 | 2 of 3 - Sale ²⁵ |
| | 10.000 | 332.69 | 11/04/21 | 369.25 | ... | -36.56 | 3 of 3 - Sale ²⁵ |
| 11/29/21 | 30.000 | 998.09 | Various | 1,232.65 | ... | -234.56 | Total of 3 transactions |
| | Security total: | 1,523.72 | | 1,782.53 | 0.78 W | -258.03 | |
| DIREXION DAILY S&P BIOTECH BUL L 3X SHARES / CUSIP: 25490K323 / Symbol: | | | | | | | |
| 6 transactions for 06/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 337.29 | 06/07/21 | 344.00 | ... | -6.71 | 1 of 6 - Sale ²⁵ |
| | 3.000 | 202.38 | 06/07/21 | 207.78 | ... | -5.40 | 2 of 6 - Sale ²⁵ |
| | 10.000 | 674.60 | 06/07/21 | 679.64 | ... | -5.04 | 3 of 6 - Sale ²⁵ |
| | 2.000 | 134.92 | 06/07/21 | 137.98 | ... | -3.06 | 4 of 6 - Sale ²⁵ |
| | 5.000 | 337.30 | 06/07/21 | 339.07 | ... | -1.77 | 5 of 6 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| DIREXION DAILY S&P BIOTECH BUL L 3X SHARES / CUSIP: 25490K323 / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 67.46 | 06/07/21 | 68.70 | ... | -1.24 | 6 of 6 - Sale ²⁵ |
| 06/08/21 | 26.000 | 1,753.95 | Various | 1,777.17 | ... | -23.22 | Total of 6 transactions |
| DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: | | | | | | | |
| 02/16/21 | 5.000 | 1,319.12 | 02/16/21 | 1,350.00 | 6.18 W | -24.70 | Sale ²⁵ |
| | 2 transactions for 02/19/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.996 | 265.75 | 02/19/21 | 273.35 | ... | -7.60 | 1 of 2 - Sale ²⁵ |
| | 0.004 | 1.00 | 02/19/21 | 1.03 | ... | -0.03 | 2 of 2 - Sale ²⁵ |
| 02/19/21 | 1.000 | 266.75 | Various | 274.38 | ... | -7.63 | Total of 2 transactions |
| 07/16/21 | 2.000 | 557.77 | 07/16/21 | 564.63 | 6.86 W | 0.00 | Sale ²⁵ |
| | 2 transactions for 07/20/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 302.94 | 07/16/21 | 285.48 | ... | 17.46 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 302.93 | 07/16/21 | 285.09 | ... | 17.84 | 2 of 2 - Sale ²⁵ |
| 07/20/21 | 2.000 | 605.87 | Various | 570.57 | ... | 35.30 | Total of 2 transactions |
| | 5 transactions for 07/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 307.45 | 07/16/21 | 281.66 | ... | 25.79 | 1 of 5 - Sale ²⁵ |
| | 2.000 | 614.91 | 07/20/21 | 611.10 | ... | 3.81 | 2 of 5 - Sale ²⁵ |
| | 1.000 | 307.45 | 07/20/21 | 298.30 | ... | 9.15 | 3 of 5 - Sale ²⁵ |
| | 1.000 | 307.45 | 07/20/21 | 298.30 | ... | 9.15 | 4 of 5 - Sale ²⁵ |
| | 5.000 | 1,537.28 | 07/21/21 | 1,494.18 | ... | 43.10 | 5 of 5 - Sale ²⁵ |
| 07/23/21 | 10.000 | 3,074.54 | Various | 2,983.54 | ... | 91.00 | Total of 5 transactions |
| 07/26/21 | 10.000 | 3,059.68 | 07/26/21 | 3,079.54 | 17.87 W | -1.99 | Sale ²⁵ |
| 07/29/21 | 2.000 | 611.09 | 07/29/21 | 616.99 | ... | -5.90 | Sale ²⁵ |
| | 2 transactions for 08/10/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 304.38 | 08/03/21 | 300.76 | ... | 3.62 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 304.50 | 08/03/21 | 300.77 | ... | 3.73 | 2 of 2 - Sale ²⁵ |
| 08/10/21 | 2.000 | 608.88 | Various | 601.53 | ... | 7.35 | Total of 2 transactions |
| 08/26/21 | 5.000 | 1,492.55 | 08/23/21 | 1,480.86 | ... | 11.69 | Sale ²⁵ |
| | 2 transactions for 09/03/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 309.24 | 09/03/21 | 307.56 | ... | 1.68 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 1,236.95 | 09/03/21 | 1,230.24 | ... | 6.71 | 2 of 2 - Sale ²⁵ |
| 09/03/21 | 5.000 | 1,546.19 | Various | 1,537.80 | ... | 8.39 | Total of 2 transactions |
| 09/07/21 | 3.000 | 892.91 | 09/03/21 | 922.68 | 9.92 W | -19.85 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: (cont'd) | | | | | | | |
| 3 transactions for 11/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 249.23 | 09/07/21 | 310.60 | ... | -61.37 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 249.23 | 10/21/21 | 279.91 | ... | -30.68 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 249.22 | 10/22/21 | 274.13 | ... | -24.91 | 3 of 3 - Sale ²⁵ |
| 11/29/21 | 3.000 | 747.68 | Various | 864.64 | ... | -116.96 | Total of 3 transactions |
| 11/29/21 | 2.000 | 498.46 | 09/03/21 | 615.12 | 116.66 W | 0.00 | Sale ²⁵ |
| 12/03/21 | 2.000 | 288.64 | 12/02/21 | 476.66 | ... | -188.02 | Sale ²⁵ |
| | Security total: | 15,570.13 | | 15,938.94 | 157.49 W | -211.32 | |
| DOLLAR GENERAL CORP. / CUSIP: 256677105 / Symbol: | | | | | | | |
| 2 transactions for 09/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 857.55 | 09/17/21 | 889.76 | 8.05 W | -24.16 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 214.39 | 09/17/21 | 222.42 | 8.03 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 09/28/21 | 5.000 | 1,071.94 | Various | 1,112.18 | 16.08 W | -24.16 | Total of 2 transactions |
| 2 transactions for 10/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 208.24 | 09/29/21 | 224.92 | ... | -16.68 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 208.24 | 09/30/21 | 221.41 | ... | -13.17 | 2 of 2 - Sale ²⁵ |
| 10/13/21 | 2.000 | 416.48 | Various | 446.33 | ... | -29.85 | Total of 2 transactions |
| 12/02/21 | 0.693 | 148.78 | 11/15/21 | 158.97 | ... | -10.19 | Sale ²⁵ |
| 12/02/21 | 0.307 | 66.00 | 11/15/21 | 70.51 | 4.51 W | 0.00 | Sale ²⁵ |
| 12/07/21 | 0.307 | 67.78 | 11/15/21 | 75.03 | ... | -7.25 | Sale ²⁵ |
| | Security total: | 1,770.98 | | 1,863.02 | 20.59 W | -71.45 | |
| DOLLAR TREE INC. COMMON STOCK / CUSIP: 256746108 / Symbol: | | | | | | | |
| 05/05/21 | 1.000 | 114.31 | 04/29/21 | 115.57 | ... | -1.26 | Sale ²⁵ |
| DOMINO'S PIZZA INC. / CUSIP: 25754A201 / Symbol: | | | | | | | |
| 08/19/21 | 2.000 | 1,039.05 | 08/17/21 | 1,061.94 | ... | -22.89 | Sale ²⁵ |
| 11/04/21 | 1.000 | 506.77 | 10/28/21 | 477.32 | ... | 29.45 | Sale ²⁵ |
| 2 transactions for 11/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 530.00 | 10/28/21 | 481.64 | ... | 48.36 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 530.01 | 10/28/21 | 476.30 | ... | 53.71 | 2 of 2 - Sale ²⁵ |
| 11/18/21 | 2.000 | 1,060.01 | Various | 957.94 | ... | 102.07 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| DOMINO'S PIZZA INC. / CUSIP: 25754A201 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 12/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 557.99 | 12/27/21 | 556.99 | ... | 1.00 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 1,115.99 | 12/27/21 | 1,113.99 | ... | 2.00 | 2 of 2 - Sale ²⁵ |
| 12/27/21 | 3.000 | 1,673.98 | Various | 1,670.98 | ... | 3.00 | Total of 2 transactions |
| | Security total: | 4,279.81 | | 4,168.18 | ... | 111.63 | |
| DONNELLEY FINANCIAL SOLUTIONS, INC. / CUSIP: 25787G100 / Symbol: | | | | | | | |
| 04/19/21 | 20.000 | 588.99 | 04/19/21 | 614.30 | ... | -25.31 | Sale ²⁵ |
| DOORDASH, INC. / CUSIP: 25809K105 / Symbol: | | | | | | | |
| 11/11/21 | 2.000 | 450.02 | 11/11/21 | 458.42 | 6.48 W | -1.92 | Sale ²⁵ |
| 11/16/21 | 1.000 | 236.46 | 11/12/21 | 243.99 | ... | -7.53 | Sale ²⁵ |
| 11/19/21 | 0.543 | 120.13 | 11/12/21 | 132.49 | ... | -12.36 | Sale ²⁵ |
| | Security total: | 806.61 | | 834.90 | 6.48 W | -21.81 | |
| DOVER CORPORATION / CUSIP: 260003108 / Symbol: | | | | | | | |
| 2 transactions for 11/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.000 | 0.02 | 10/15/21 | 0.02 | ... | 0.00 | 1 of 2 - Sale ²⁵ |
| | 0.603 | 103.98 | 10/15/21 | 99.70 | ... | 4.28 | 2 of 2 - Sale ²⁵ |
| 11/04/21 | 0.603 | 104.00 | Various | 99.72 | ... | 4.28 | Total of 2 transactions |
| 2 transactions for 11/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.002 | 0.30 | 10/15/21 | 0.28 | ... | 0.02 | 1 of 2 - Sale ²⁵ |
| | 0.606 | 106.58 | 10/15/21 | 100.00 | ... | 6.58 | 2 of 2 - Sale ²⁵ |
| 11/24/21 | 0.607 | 106.88 | Various | 100.28 | ... | 6.60 | Total of 2 transactions |
| | Security total: | 210.88 | | 200.00 | ... | 10.88 | |
| DOW INC. / CUSIP: 260557103 / Symbol: | | | | | | | |
| 2 transactions for 01/06/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 588.55 | 01/06/21 | 581.29 | ... | 7.26 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 588.55 | 01/06/21 | 576.10 | ... | 12.45 | 2 of 2 - Sale ²⁵ |
| 01/06/21 | 20.000 | 1,177.10 | Various | 1,157.39 | ... | 19.71 | Total of 2 transactions |
| 5 transactions for 03/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 60.45 | 01/07/21 | 58.39 | ... | 2.06 | 1 of 5 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| DOW INC. / CUSIP: 260557103 / Symbol: (cont'd) | | | | | | | |
| | 19.000 | 1,148.54 | 01/07/21 | 1,110.27 | ... | 38.27 | 2 of 5 - Sale ²⁵ |
| | 10.000 | 604.50 | 01/08/21 | 580.46 | ... | 24.04 | 3 of 5 - Sale ²⁵ |
| | 10.000 | 604.50 | 01/08/21 | 580.05 | ... | 24.45 | 4 of 5 - Sale ²⁵ |
| | 10.000 | 604.49 | 01/12/21 | 598.30 | ... | 6.19 | 5 of 5 - Sale ²⁵ |
| 03/04/21 | 50.000 | 3,022.48 | Various | 2,927.47 | ... | 95.01 | Total of 5 transactions |
| 03/09/21 | 5.000 | 310.45 | 03/05/21 | 313.55 | ... | -3.10 | Sale ²⁵ |
| 05/27/21 | 5.000 | 342.43 | 05/14/21 | 347.90 | 5.47 W | 0.00 | Sale ²⁵ |
| 06/04/21 | 5.000 | 349.65 | 05/14/21 | 347.89 | ... | 1.76 | Sale ²⁵ |
| | 2 transactions for 06/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 349.65 | 05/14/21 | 355.06 | 5.41 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 699.29 | 05/18/21 | 703.20 | 3.91 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 06/04/21 | 15.000 | 1,048.94 | Various | 1,058.26 | 9.32 W | 0.00 | Total of 2 transactions |
| | 5 transactions for 07/06/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 309.35 | 06/02/21 | 352.61 | ... | -43.26 | 1 of 5 - Sale ²⁵ |
| | 5.000 | 309.35 | 06/02/21 | 349.15 | ... | -39.80 | 2 of 5 - Sale ²⁵ |
| | 5.000 | 309.35 | 06/02/21 | 349.14 | ... | -39.79 | 3 of 5 - Sale ²⁵ |
| | 5.000 | 309.34 | 06/02/21 | 347.18 | ... | -37.84 | 4 of 5 - Sale ²⁵ |
| | 5.000 | 309.35 | 06/18/21 | 311.39 | ... | -2.04 | 5 of 5 - Sale ²⁵ |
| 07/06/21 | 25.000 | 1,546.74 | Various | 1,709.47 | ... | -162.73 | Total of 5 transactions |
| 11/04/21 | 1.402 | 79.81 | 11/03/21 | 83.43 | ... | -3.62 | Sale ²⁵ |
| | 5 transactions for 11/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 6.597 | 375.54 | 11/03/21 | 396.73 | 14.24 W | -6.95 | 1 of 5 - Sale ²⁵ |
| | 0.403 | 22.92 | 11/03/21 | 24.21 | 1.29 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 0.417 | 23.73 | 11/03/21 | 24.76 | 1.03 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 1.000 | 56.92 | 11/03/21 | 60.19 | 3.27 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 8.000 | 470.24 | 11/03/21 | 475.24 | 5.00 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 11/04/21 | 16.417 | 949.35 | Various | 981.13 | 24.83 W | -6.95 | Total of 5 transactions |
| | 5 transactions for 11/17/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.604 | 151.66 | 11/12/21 | 165.37 | ... | -13.71 | 1 of 5 - Sale ²⁵ |
| | 1.828 | 106.49 | 11/12/21 | 116.14 | ... | -9.65 | 2 of 5 - Sale ²⁵ |
| | 1.000 | 58.24 | 11/12/21 | 63.58 | ... | -5.34 | 3 of 5 - Sale ²⁵ |
| | 0.403 | 23.45 | 11/12/21 | 25.57 | ... | -2.12 | 4 of 5 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| DOW INC. / CUSIP: 260557103 / Symbol: (cont'd) | | | | | | | |
| | 0.417 | 24.27 | 11/12/21 | 26.17 | ... | -1.90 | 5 of 5 - Sale ²⁵ |
| 11/17/21 | 6.252 | 364.11 | Various | 396.83 | ... | -32.72 | Total of 5 transactions |
| | Security total: | 9,191.06 | | 9,323.32 | 39.62 W | -92.64 | |
| DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: | | | | | | | |
| 02/04/21 | 1.000 | 61.38 | 02/03/21 | 60.03 | ... | 1.35 | Sale ²⁵ |
| 02/04/21 | 19.000 | 1,166.19 | 02/04/21 | 1,176.95 | 10.76 W | 0.00 | Sale ²⁵ |
| | 4 transactions for 03/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 606.69 | 02/11/21 | 609.15 | ... | -2.46 | 1 of 4 - Sale ²⁵ |
| | 3.000 | 182.01 | 02/11/21 | 179.28 | ... | 2.73 | 2 of 4 - Sale ²⁵ |
| | 2.000 | 121.34 | 02/11/21 | 118.26 | ... | 3.08 | 3 of 4 - Sale ²⁵ |
| | 5.000 | 303.35 | 02/17/21 | 290.75 | ... | 12.60 | 4 of 4 - Sale ²⁵ |
| 03/04/21 | 20.000 | 1,213.39 | Various | 1,197.44 | ... | 15.95 | Total of 4 transactions |
| | 4 transactions for 03/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 60.67 | 02/04/21 | 61.69 | 1.02 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 19.000 | 1,152.72 | 02/04/21 | 1,182.81 | 30.09 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 10.000 | 606.69 | 02/08/21 | 612.98 | 6.29 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 10.000 | 606.70 | 02/11/21 | 614.28 | 7.58 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 03/04/21 | 40.000 | 2,426.78 | Various | 2,471.76 | 44.98 W | 0.00 | Total of 4 transactions |
| 03/09/21 | 5.000 | 312.01 | 03/09/21 | 325.57 | 13.56 W | 0.00 | Sale ²⁵ |
| | 6 transactions for 10/22/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 46.72 | 03/15/21 | 72.11 | 25.39 W | 0.00 | 1 of 6 - Sale ²⁵ |
| | 1.000 | 46.73 | 03/15/21 | 71.72 | 24.99 W | 0.00 | 2 of 6 - Sale ²⁵ |
| | 2.000 | 93.45 | 03/15/21 | 142.35 | 48.90 W | 0.00 | 3 of 6 - Sale ²⁵ |
| | 4.000 | 186.90 | 03/15/21 | 284.61 | 97.71 W | 0.00 | 4 of 6 - Sale ²⁵ |
| | 4.000 | 186.90 | 03/15/21 | 287.48 | 100.58 W | 0.00 | 5 of 6 - Sale ²⁵ |
| | 8.000 | 373.79 | 03/15/21 | 581.39 | 207.60 W | 0.00 | 6 of 6 - Sale ²⁵ |
| 10/22/21 | 20.000 | 934.49 | Various | 1,439.66 | 505.17 W | 0.00 | Total of 6 transactions |
| | 5 transactions for 11/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 222.57 | 03/17/21 | 332.99 | 110.42 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 3.000 | 133.54 | 03/26/21 | 190.64 | 57.10 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 2.000 | 89.03 | 03/29/21 | 118.18 | 29.15 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 5.000 | 222.57 | 03/29/21 | 295.49 | 72.92 W | 0.00 | 4 of 5 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|--|---|-------------------|-------------------------|--|---|-------------------------------|
| DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd) | | | | | | | |
| 11/08/21 | 5.000 | 222.57 | 04/07/21 | 325.46 | 102.89 W | 0.00 | 5 of 5 - Sale ²⁵ |
| | 20.000 | 890.28 | Various | 1,262.76 | 372.48 W | 0.00 | Total of 5 transactions |
| | 10 transactions for 11/16/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 77.46 | 05/07/21 | 99.60 | 22.14 W | 0.00 | 1 of 10 - Sale ²⁵ |
| | 3.000 | 116.19 | 05/10/21 | 135.12 | 18.93 W | 0.00 | 2 of 10 - Sale ²⁵ |
| | 5.000 | 193.65 | 06/22/21 | 248.23 | 54.58 W | 0.00 | 3 of 10 - Sale ²⁵ |
| | 2.000 | 77.46 | 10/14/21 | 151.07 | 73.61 W | 0.00 | 4 of 10 - Sale ²⁵ |
| | 4.000 | 154.92 | 10/14/21 | 302.05 | 147.13 W | 0.00 | 5 of 10 - Sale ²⁵ |
| | 4.000 | 154.92 | 10/14/21 | 308.14 | 153.22 W | 0.00 | 6 of 10 - Sale ²⁵ |
| | 1.000 | 38.73 | 10/19/21 | 73.43 | 34.70 W | 0.00 | 7 of 10 - Sale ²⁵ |
| | 1.000 | 38.73 | 10/19/21 | 73.83 | 35.10 W | 0.00 | 8 of 10 - Sale ²⁵ |
| | 4.000 | 154.91 | 10/19/21 | 294.34 | 139.43 W | 0.00 | 9 of 10 - Sale ²⁵ |
| | 4.000 | 154.92 | 10/19/21 | 297.56 | 142.64 W | 0.00 | 10 of 10 - Sale ²⁵ |
| 11/16/21 | 30.000 | 1,161.89 | Various | 1,983.37 | 821.48 W | 0.00 | Total of 10 transactions |
| | 6 transactions for 12/03/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 59.47 | 10/27/21 | 127.65 | 68.18 W | 0.00 | 1 of 6 - Sale ²⁵ |
| | 3.000 | 89.20 | 10/27/21 | 204.81 | 115.61 W | 0.00 | 2 of 6 - Sale ²⁵ |
| | 5.000 | 148.67 | 10/27/21 | 356.62 | 207.95 W | 0.00 | 3 of 6 - Sale ²⁵ |
| | 2.000 | 59.47 | 10/27/21 | 126.00 | 66.53 W | 0.00 | 4 of 6 - Sale ²⁵ |
| | 3.000 | 89.21 | 10/27/21 | 189.03 | 99.82 W | 0.00 | 5 of 6 - Sale ²⁵ |
| | 5.000 | 148.67 | 10/27/21 | 345.01 | 196.34 W | 0.00 | 6 of 6 - Sale ²⁵ |
| 12/03/21 | 20.000 | 594.69 | Various | 1,349.12 | 754.43 W | 0.00 | Total of 6 transactions |
| | Security total: | 8,761.10 | | 11,266.66 | 2,522.86 W | 17.30 | |
| DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol: | | | | | | | |
| 09/02/21 | 10.000 | 321.40 | 09/02/21 | 322.60 | ... | -1.20 | Sale ²⁵ |
| DUKE REALTY CORP. / CUSIP: 264411505 / Symbol: | | | | | | | |
| | 2 transactions for 03/26/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 80.000 | 3,354.57 | 03/02/21 | 3,099.20 | ... | 255.37 | 1 of 2 - Sale ²⁵ |
| | 20.000 | 838.64 | 03/03/21 | 768.00 | ... | 70.64 | 2 of 2 - Sale ²⁵ |
| 03/26/21 | 100.000 | 4,193.21 | Various | 3,867.20 | ... | 326.01 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|--|
| DUKE ENERGY CORPORATION / CUSIP: 26441C204 / Symbol: | | | | | | | |
| 2 transactions for 09/09/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 419.34 | 09/02/21 | 426.15 | ... | -6.81 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 104.83 | 09/02/21 | 106.33 | ... | -1.50 | 2 of 2 - Sale ²⁵ |
| 09/09/21 | 5.000 | 524.17 | Various | 532.48 | ... | -8.31 | Total of 2 transactions |
| DUPONT DE NEMOURS, INC. COMMON STOCK / CUSIP: 26614N102 / Symbol: | | | | | | | |
| 02/08/21 | 10.000 | 763.68 | 02/08/21 | 765.89 | ... | -2.21 | Sale ²⁵ |
| DYNAVAX TECHNOLOGIES CORPORATION COMMON STOCK / CUSIP: 268158201 / Symbol: | | | | | | | |
| 09/27/21 | 1.000 | 19.01 | 09/24/21 | 19.62 | ... | -0.61 | Sale ²⁵ |
| EHANG HOLDINGS LIMITED ADS / CUSIP: 26853E102 / Symbol: | | | | | | | |
| 2 transactions for 03/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 103.36 | 03/11/21 | 99.65 | ... | 3.71 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 51.68 | 03/11/21 | 46.69 | ... | 4.99 | 2 of 2 - Sale ²⁵ |
| 03/15/21 | 3.000 | 155.04 | Various | 146.34 | ... | 8.70 | Total of 2 transactions |
| U.S. GLOBAL JETS ETF / CUSIP: 26922A842 / Symbol: | | | | | | | |
| 03/09/21 | 20.000 | 526.19 | 03/08/21 | 533.10 | 3.46 W | -3.45 | Sale ²⁵ |
| 3 transactions for 05/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 130.00 | 04/05/21 | 140.43 | ... | -10.43 | 1 of 3 - Sale ²⁵ |
| | 5.000 | 130.00 | 04/07/21 | 139.23 | ... | -9.23 | 2 of 3 - Sale ²⁵ |
| | 5.000 | 130.00 | 04/20/21 | 125.85 | ... | 4.15 | 3 of 3 - Sale ²⁵ |
| 05/20/21 | 15.000 | 390.00 | Various | 405.51 | ... | -15.51 | Total of 3 transactions |
| | Security total: | 916.19 | | 938.61 | 3.46 W | -18.96 | |
| ETFMG PRIME MOBILE PAYMENTS ET F / CUSIP: 26924G409 / Symbol: | | | | | | | |
| 2 transactions for 03/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 284.20 | 03/09/21 | 275.10 | ... | 9.10 | 1 of 2 - Sale ²⁵ |
| | 6.000 | 426.29 | 03/09/21 | 412.56 | ... | 13.73 | 2 of 2 - Sale ²⁵ |
| 03/16/21 | 10.000 | 710.49 | Various | 687.66 | ... | 22.83 | Total of 2 transactions |
| 03/18/21 | 10.000 | 693.57 | 03/09/21 | 687.60 | ... | 5.97 | Sale ²⁵ |
| | Security total: | 1,404.06 | | 1,375.26 | ... | 28.80 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| ETFMG ALTERNATIVE HARVEST ETF / CUSIP: 26924G508 / Symbol: | | | | | | | |
| 2 transactions for 01/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 19.45 | 01/19/21 | 19.70 | 0.25 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 99.000 | 1,925.49 | 01/19/21 | 1,950.30 | 24.81 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 01/19/21 | 100.000 | 1,944.94 | Various | 1,970.00 | 25.06 W | 0.00 | Total of 2 transactions |
| 2 transactions for 01/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 19.74 | 01/20/21 | 19.86 | 0.12 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 49.000 | 967.23 | 01/20/21 | 973.17 | 5.94 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 01/20/21 | 50.000 | 986.97 | Various | 993.03 | 6.06 W | 0.00 | Total of 2 transactions |
| 02/04/21 | 1.000 | 23.02 | 02/02/21 | 21.33 | ... | 1.69 | Sale ²⁵ |
| 02/04/21 | 9.000 | 207.18 | 02/03/21 | 213.00 | 5.82 W | 0.00 | Sale ²⁵ |
| 02/10/21 | 8.000 | 251.80 | 02/10/21 | 262.80 | 11.00 W | 0.00 | Sale ²⁵ |
| 6 transactions for 02/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 273.09 | 02/10/21 | 324.51 | 51.42 W | 0.00 | 1 of 6 - Sale ²⁵ |
| | 20.000 | 546.17 | 02/10/21 | 668.21 | 122.04 W | 0.00 | 2 of 6 - Sale ²⁵ |
| | 1.000 | 27.80 | 02/11/21 | 29.25 | 1.45 W | 0.00 | 3 of 6 - Sale ²⁵ |
| | 2.000 | 55.60 | 02/11/21 | 58.76 | 3.16 W | 0.00 | 4 of 6 - Sale ²⁵ |
| | 7.000 | 194.59 | 02/11/21 | 204.76 | 10.17 W | 0.00 | 5 of 6 - Sale ²⁵ |
| | 10.000 | 277.99 | 02/11/21 | 300.51 | 22.52 W | 0.00 | 6 of 6 - Sale ²⁵ |
| 02/11/21 | 50.000 | 1,375.24 | Various | 1,586.00 | 210.76 W | 0.00 | Total of 6 transactions |
| | Security total: | 4,789.15 | | 5,046.16 | 258.70 W | 1.69 | |
| EBAY INC. COMMON STOCK / CUSIP: 278642103 / Symbol: | | | | | | | |
| 09/20/21 | 4.000 | 289.28 | 09/16/21 | 298.84 | ... | -9.56 | Sale ²⁵ |
| EDISON INTERNATIONAL / CUSIP: 281020107 / Symbol: | | | | | | | |
| 2 transactions for 08/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 591.96 | 08/18/21 | 585.45 | ... | 6.51 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 591.97 | 08/19/21 | 593.28 | ... | -1.31 | 2 of 2 - Sale ²⁵ |
| 08/23/21 | 20.000 | 1,183.93 | Various | 1,178.73 | ... | 5.20 | Total of 2 transactions |
| EDWARDS LIFESCIENCES CORP / CUSIP: 28176E108 / Symbol: | | | | | | | |
| 11/11/21 | 5.000 | 572.45 | 10/29/21 | 596.24 | ... | -23.79 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|--|---|-------------------|-------------------------|--|---|-----------------------------|
| ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: | | | | | | | |
| 04/07/21 | 300.000 | 1,421.95 | 04/07/21 | 1,430.73 | ... | -8.78 | Sale ²⁵ |
| ELECTRONIC ARTS INC. COMMON ST OCK / CUSIP: 285512109 / Symbol: | | | | | | | |
| 10/01/21 | 5.000 | 707.46 | 09/29/21 | 686.55 | ... | 20.91 | Sale ²⁵ |
| 10/04/21 | 0.070 | 9.97 | 10/01/21 | 10.00 | ... | -0.03 | Sale ²⁵ |
| | Security total: | 717.43 | | 696.55 | ... | 20.88 | |
| ELEMENT SOLUTIONS INC. / CUSIP: 28618M106 / Symbol: | | | | | | | |
| 03/10/21 | 5.000 | 102.75 | 03/10/21 | 104.83 | ... | -2.08 | Sale ²⁵ |
| ENDEAVOR GROUP HOLDINGS, INC. / CUSIP: 29260Y109 / Symbol: | | | | | | | |
| 11/22/21 | 12.000 | 337.70 | 11/17/21 | 356.16 | ... | -18.46 | Sale ²⁵ |
| ENPHASE ENERGY, INC. COMMON ST OCK / CUSIP: 29355A107 / Symbol: | | | | | | | |
| 07/29/21 | 1.000 | 183.68 | 07/28/21 | 181.60 | ... | 2.08 | Sale ²⁵ |
| | <i>2 transactions for 08/02/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 2.000 | 378.66 | 07/28/21 | 365.23 | ... | 13.43 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 568.00 | 07/29/21 | 552.79 | ... | 15.21 | 2 of 2 - Sale ²⁵ |
| 08/02/21 | 5.000 | 946.66 | Various | 918.02 | ... | 28.64 | Total of 2 transactions |
| 08/26/21 | 3.000 | 517.46 | 08/26/21 | 525.39 | 7.93 W | 0.00 | Sale ²⁵ |
| | <i>2 transactions for 08/31/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 3.000 | 517.86 | 08/30/21 | 543.99 | ... | -26.13 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 345.24 | 08/30/21 | 353.35 | ... | -8.11 | 2 of 2 - Sale ²⁵ |
| 08/31/21 | 5.000 | 863.10 | Various | 897.34 | ... | -34.24 | Total of 2 transactions |
| | Security total: | 2,510.90 | | 2,522.35 | 7.93 W | -3.52 | |
| ENTEGRIS, INC. COMMON STOCK / CUSIP: 29362U104 / Symbol: | | | | | | | |
| 02/16/21 | 4.000 | 429.64 | 02/11/21 | 395.36 | ... | 34.28 | Sale ²⁵ |
| EQUIFAX, INCORPORATED / CUSIP: 294429105 / Symbol: | | | | | | | |
| | <i>4 transactions for 11/22/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 0.000 | 0.03 | 10/18/21 | 0.03 | ... | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.037 | 10.68 | 10/18/21 | 10.00 | ... | 0.68 | 2 of 4 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| EQUIFAX, INCORPORATED / CUSIP: 294429105 / Symbol: (cont'd) | | | | | | | |
| | 0.118 | 33.81 | 10/18/21 | 31.69 | ... | 2.12 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 285.83 | 10/18/21 | 268.28 | ... | 17.55 | 4 of 4 - Sale ²⁵ |
| 11/22/21 | 1.155 | 330.35 | Various | 310.00 | ... | 20.35 | Total of 4 transactions |
| EQUINIX, INC. COMMON STOCK REI T / CUSIP: 29444U700 / Symbol: | | | | | | | |
| 3 transactions for 11/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.062 | 51.58 | 10/21/21 | 50.00 | ... | 1.58 | 1 of 3 - Sale ²⁵ |
| | 0.247 | 206.64 | 10/21/21 | 200.00 | ... | 6.64 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 835.90 | 10/22/21 | 819.62 | ... | 16.28 | 3 of 3 - Sale ²⁵ |
| 11/02/21 | 1.309 | 1,094.12 | Various | 1,069.62 | ... | 24.50 | Total of 3 transactions |
| EQUITY RESIDENTIAL / CUSIP: 29476L107 / Symbol: | | | | | | | |
| 09/09/21 | 10.000 | 825.69 | 09/08/21 | 837.89 | ... | -12.20 | Sale ²⁵ |
| ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol: | | | | | | | |
| 01/12/21 | 10.000 | 2,102.45 | 01/11/21 | 1,848.30 | ... | 254.15 | Sale ²⁵ |
| 03/31/21 | 5.000 | 1,009.99 | 03/31/21 | 1,032.05 | ... | -22.06 | Sale ²⁵ |
| 07/23/21 | 5.000 | 1,049.19 | 07/23/21 | 1,053.76 | ... | -4.57 | Sale ²⁵ |
| 11/10/21 | 0.753 | 191.68 | 11/04/21 | 200.00 | ... | -8.32 | Sale ²⁵ |
| | Security total: | 4,353.31 | | 4,134.11 | ... | 219.20 | |
| EVERGY, INC. / CUSIP: 30034W106 / Symbol: | | | | | | | |
| 5 transactions for 11/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.114 | 7.25 | 10/21/21 | 7.29 | 0.04 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 0.467 | 29.80 | 10/21/21 | 30.00 | 0.20 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 0.668 | 42.64 | 10/21/21 | 42.94 | 0.30 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 3.000 | 191.47 | 10/21/21 | 192.71 | 1.24 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 3.742 | 238.83 | 10/21/21 | 240.54 | 1.71 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 11/02/21 | 7.991 | 509.99 | Various | 513.48 | 3.49 W | 0.00 | Total of 5 transactions |
| 7 transactions for 11/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.742 | 237.12 | 10/29/21 | 242.74 | ... | -5.62 | 1 of 7 - Sale ²⁵ |
| | 3.000 | 190.11 | 10/29/21 | 194.47 | ... | -4.36 | 2 of 7 - Sale ²⁵ |
| | 0.668 | 42.34 | 10/29/21 | 43.34 | ... | -1.00 | 3 of 7 - Sale ²⁵ |
| | 0.257 | 16.29 | 10/29/21 | 16.88 | ... | -0.59 | 4 of 7 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| EVERGY, INC. / CUSIP: 30034W106 / Symbol: (cont'd) | | | | | | | |
| | 0.210 | 13.29 | 10/29/21 | 13.60 | ... | -0.31 | 5 of 7 - Sale ²⁵ |
| | 0.114 | 7.20 | 10/29/21 | 7.35 | ... | -0.15 | 6 of 7 - Sale ²⁵ |
| | 0.009 | 0.60 | 10/29/21 | 0.61 | ... | -0.01 | 7 of 7 - Sale ²⁵ |
| 11/08/21 | 8.000 | 506.95 | Various | 518.99 | ... | -12.04 | Total of 7 transactions |
| 11/08/21 | 0.257 | 16.30 | 10/21/21 | 16.63 | 0.33 W | 0.00 | Sale ²⁵ |
| | Security total: | 1,033.24 | | 1,049.10 | 3.82 W | -12.04 | |
| EVERSOURCE ENERGY / CUSIP: 30040W108 / Symbol: | | | | | | | |
| 10/11/21 | 16.000 | 1,360.95 | 10/07/21 | 1,383.39 | ... | -22.44 | Sale ²⁵ |
| | 3 transactions for 12/31/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.001 | 0.09 | 12/06/21 | 0.09 | ... | 0.00 | 1 of 3 - Sale ²⁵ |
| | 0.307 | 27.71 | 12/06/21 | 26.58 | ... | 1.13 | 2 of 3 - Sale ²⁵ |
| | 1.999 | 180.63 | 12/06/21 | 173.33 | ... | 7.30 | 3 of 3 - Sale ²⁵ |
| 12/31/21 | 2.307 | 208.43 | Various | 200.00 | ... | 8.43 | Total of 3 transactions |
| | Security total: | 1,569.38 | | 1,583.39 | ... | -14.01 | |
| NORTHSHORE GLOBAL URANIUM MINI NG ETF / CUSIP: 301505715 / Symbol: | | | | | | | |
| | 2 transactions for 12/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.468 | 36.80 | 11/03/21 | 44.70 | ... | -7.90 | 1 of 2 - Sale ²⁵ |
| | 0.001 | 0.06 | 11/03/21 | 0.07 | ... | -0.01 | 2 of 2 - Sale ²⁵ |
| 12/23/21 | 0.469 | 36.86 | Various | 44.77 | ... | -7.91 | Total of 2 transactions |
| 12/28/21 | 10.000 | 770.19 | 11/03/21 | 955.23 | ... | -185.04 | Sale ²⁵ |
| | Security total: | 807.05 | | 1,000.00 | ... | -192.95 | |
| EXELON CORPORATION COMMON STOCK / CUSIP: 30161N101 / Symbol: | | | | | | | |
| 10/28/21 | 0.988 | 52.00 | 10/15/21 | 49.84 | ... | 2.16 | Sale ²⁵ |
| 11/02/21 | 0.995 | 53.11 | 10/15/21 | 50.16 | ... | 2.95 | Sale ²⁵ |
| | Security total: | 105.11 | | 100.00 | ... | 5.11 | |
| THE EXONE COMPANY COMMON STOCK / CUSIP: 302104104 / Symbol: | | | | | | | |
| 02/22/21 | 1.000 | 44.97 | 02/22/21 | 43.32 | ... | 1.65 | Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| EXPEDIA GROUP, INC. COMMON STO CK / CUSIP: 30212P303 / Symbol: | | | | | | | |
| 3 transactions for 05/06/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 163.64 | 04/21/21 | 176.36 | ... | -12.72 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 163.65 | 04/21/21 | 176.00 | ... | -12.35 | 2 of 3 - Sale ²⁵ |
| | 3.000 | 490.93 | 04/22/21 | 534.12 | ... | -43.19 | 3 of 3 - Sale ²⁵ |
| 05/06/21 | 5.000 | 818.22 | Various | 886.48 | ... | -68.26 | Total of 3 transactions |
| 11/10/21 | 3.000 | 569.06 | 11/08/21 | 572.99 | ... | -3.93 | Sale ²⁵ |
| | Security total: | 1,387.28 | | 1,459.47 | ... | -72.19 | |
| EXPEDITORS INTERNATIONAL OF WA SHINGTON, INC. COMMON STOCK / CUSIP: 302130109 / Symbol: | | | | | | | |
| 3 transactions for 09/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 240.63 | 09/14/21 | 259.62 | ... | -18.99 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 240.64 | 09/14/21 | 259.18 | ... | -18.54 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 120.32 | 09/14/21 | 128.96 | ... | -8.64 | 3 of 3 - Sale ²⁵ |
| 09/20/21 | 5.000 | 601.59 | Various | 647.76 | ... | -46.17 | Total of 3 transactions |
| 2 transactions for 11/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 121.35 | 10/22/21 | 122.05 | ... | -0.70 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 121.35 | 10/22/21 | 122.04 | ... | -0.69 | 2 of 2 - Sale ²⁵ |
| 11/02/21 | 2.000 | 242.70 | Various | 244.09 | ... | -1.39 | Total of 2 transactions |
| | Security total: | 844.29 | | 891.85 | ... | -47.56 | |
| EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol: | | | | | | | |
| 03/30/21 | 10.000 | 571.03 | 01/06/21 | 450.40 | ... | 120.63 | Sale ²⁵ |
| 03/30/21 | 10.000 | 571.02 | 03/15/21 | 606.08 | 3.51 W | -31.55 | Sale ²⁵ |
| 05/07/21 | 1.000 | 61.31 | 04/27/21 | 59.59 | ... | 1.72 | Sale ²⁵ |
| | Security total: | 1,203.36 | | 1,116.07 | 3.51 W | 90.80 | |
| FIGS, INC. / CUSIP: 30260D103 / Symbol: | | | | | | | |
| 08/06/21 | 6.000 | 235.52 | 08/06/21 | 236.37 | ... | -0.85 | Sale ²⁵ |
| 08/10/21 | 1.000 | 39.39 | 08/06/21 | 39.39 | ... | 0.00 | Sale ²⁵ |
| | Security total: | 274.91 | | 275.76 | ... | -0.85 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol: | | | | | | | |
| 2 transactions for 09/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 378.04 | 08/30/21 | 374.89 | ... | 3.15 | 1 of 2 - Sale ²⁵ |
| 09/02/21 | 1.000 | 378.04 | 08/30/21 | 374.51 | ... | 3.53 | 2 of 2 - Sale ²⁵ |
| | 2.000 | 756.08 | Various | 749.40 | ... | 6.68 | Total of 2 transactions |
| 2 transactions for 10/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.566 | 187.91 | 09/27/21 | 200.00 | 12.09 W | 0.00 | 1 of 2 - Sale ²⁵ |
| 10/04/21 | 0.581 | 192.90 | 10/01/21 | 200.00 | 7.10 W | 0.00 | 2 of 2 - Sale ²⁵ |
| | 1.148 | 380.81 | Various | 400.00 | 19.19 W | 0.00 | Total of 2 transactions |
| 5 transactions for 10/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.050 | 16.24 | 10/18/21 | 17.63 | 1.39 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 0.368 | 119.06 | 10/18/21 | 121.42 | 2.36 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 0.516 | 166.95 | 10/18/21 | 181.26 | 14.31 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 0.581 | 188.04 | 10/18/21 | 198.88 | 10.84 W | 0.00 | 4 of 5 - Sale ²⁵ |
| 10/22/21 | 1.000 | 323.38 | 10/19/21 | 339.73 | 16.35 W | 0.00 | 5 of 5 - Sale ²⁵ |
| | 2.516 | 813.67 | Various | 858.92 | 45.25 W | 0.00 | Total of 5 transactions |
| 6 transactions for 10/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.050 | 15.80 | 10/25/21 | 17.74 | 1.94 W | 0.00 | 1 of 6 - Sale ²⁵ |
| | 0.148 | 47.04 | 10/25/21 | 51.42 | 4.38 W | 0.00 | 2 of 6 - Sale ²⁵ |
| | 0.368 | 117.08 | 10/25/21 | 123.45 | 6.37 W | 0.00 | 3 of 6 - Sale ²⁵ |
| | 0.434 | 136.45 | 10/25/21 | 149.28 | 12.83 W | 0.00 | 4 of 6 - Sale ²⁵ |
| | 0.484 | 153.88 | 10/25/21 | 177.86 | 23.98 W | 0.00 | 5 of 6 - Sale ²⁵ |
| | 0.516 | 162.48 | 10/25/21 | 182.44 | 19.96 W | 0.00 | 6 of 6 - Sale ²⁵ |
| 10/25/21 | 2.000 | 632.73 | Various | 702.19 | 69.46 W | 0.00 | Total of 6 transactions |
| 10/26/21 | 1.000 | 322.96 | 10/25/21 | 345.25 | 22.29 W | 0.00 | Sale ²⁵ |
| | Security total: | 2,906.25 | | 3,055.76 | 156.19 W | 6.68 | |
| FARFETCH LIMITED CLASS A ORDIN ARY SHARES / CUSIP: 30744W107 / Symbol: | | | | | | | |
| 2 transactions for 01/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 598.09 | 01/12/21 | 632.00 | ... | -33.91 | 1 of 2 - Sale ²⁵ |
| 01/13/21 | 10.000 | 598.08 | 01/13/21 | 606.05 | ... | -7.97 | 2 of 2 - Sale ²⁵ |
| | 20.000 | 1,196.17 | Various | 1,238.05 | ... | -41.88 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|--|---|-------------------|-------------------------|--|---|-----------------------------|
| FASTLY, INC. / CUSIP: 31188V100 / Symbol: | | | | | | | |
| 02/18/21 | 1.000 | 85.45 | 02/17/21 | 95.36 | ... | -9.91 | Sale ²⁵ |
| | <i>2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 20.000 | 939.99 | 09/02/21 | 947.22 | 7.23 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 20.000 | 950.59 | 09/02/21 | 952.53 | 1.94 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 09/02/21 | 40.000 | 1,890.58 | Various | 1,899.75 | 9.17 W | 0.00 | Total of 2 transactions |
| | <i>2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 10.000 | 464.10 | 09/02/21 | 480.12 | ... | -16.02 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 464.09 | 09/02/21 | 474.20 | ... | -10.11 | 2 of 2 - Sale ²⁵ |
| 09/03/21 | 20.000 | 928.19 | Various | 954.32 | ... | -26.13 | Total of 2 transactions |
| | Security total: | 2,904.22 | | 2,949.43 | 9.17 W | -36.04 | |
| FEDERAL REALTY INVESTMENT TRUS T / CUSIP: 313747206 / Symbol: | | | | | | | |
| 12/28/21 | 2.000 | 270.05 | 11/03/21 | 255.74 | ... | 14.31 | Sale ²⁵ |
| FEDEX CORPORATION / CUSIP: 31428X106 / Symbol: | | | | | | | |
| | <i>5 transactions for 01/08/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 3.000 | 736.90 | 01/08/21 | 758.37 | ... | -21.47 | 1 of 5 - Sale ²⁵ |
| | 3.000 | 730.48 | 01/08/21 | 744.97 | ... | -14.49 | 2 of 5 - Sale ²⁵ |
| | 2.000 | 491.27 | 01/08/21 | 505.51 | ... | -14.24 | 3 of 5 - Sale ²⁵ |
| | 1.000 | 243.50 | 01/08/21 | 248.32 | ... | -4.82 | 4 of 5 - Sale ²⁵ |
| | 1.000 | 243.50 | 01/08/21 | 248.32 | ... | -4.82 | 5 of 5 - Sale ²⁵ |
| 01/08/21 | 10.000 | 2,445.65 | Various | 2,505.49 | ... | -59.84 | Total of 5 transactions |
| | <i>2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 7.000 | 1,746.15 | 01/13/21 | 1,776.15 | ... | -30.00 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 748.35 | 01/13/21 | 761.12 | ... | -12.77 | 2 of 2 - Sale ²⁵ |
| 01/26/21 | 10.000 | 2,494.50 | Various | 2,537.27 | ... | -42.77 | Total of 2 transactions |
| | <i>2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 2.000 | 536.97 | 03/11/21 | 539.00 | ... | -2.03 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 536.98 | 03/11/21 | 538.59 | ... | -1.61 | 2 of 2 - Sale ²⁵ |
| 03/11/21 | 4.000 | 1,073.95 | Various | 1,077.59 | ... | -3.64 | Total of 2 transactions |
| | <i>3 transactions for 06/02/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 1.000 | 306.70 | 05/27/21 | 318.03 | 11.33 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 306.09 | 06/02/21 | 320.21 | 14.12 W | 0.00 | 2 of 3 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| FEDEX CORPORATION / CUSIP: 31428X106 / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 306.69 | 06/02/21 | 310.14 | 3.45 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 06/02/21 | 3.000 | 919.48 | Various | 948.38 | 28.90 W | 0.00 | Total of 3 transactions |
| | 3 transactions for 06/25/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 3.000 | 869.29 | 06/24/21 | 895.62 | ... | -26.33 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 289.76 | 06/24/21 | 312.66 | ... | -22.90 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 289.77 | 06/24/21 | 301.99 | ... | -12.22 | 3 of 3 - Sale ²⁵ |
| 06/25/21 | 5.000 | 1,448.82 | Various | 1,510.27 | ... | -61.45 | Total of 3 transactions |
| | 3 transactions for 06/25/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 290.26 | 06/22/21 | 301.75 | 11.49 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 290.26 | 06/22/21 | 312.42 | 22.16 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 3.000 | 870.78 | 06/22/21 | 894.90 | 24.12 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 06/25/21 | 5.000 | 1,451.30 | Various | 1,509.07 | 57.77 W | 0.00 | Total of 3 transactions |
| 11/17/21 | 3.000 | 749.41 | 10/29/21 | 709.71 | ... | 39.70 | Sale ²⁵ |
| | Security total: | 10,583.11 | | 10,797.78 | 86.67 W | -128.00 | |
| FIDELITY NATIONAL INFORMATION SERVICES, INC. / CUSIP: 31620M106 / Symbol: | | | | | | | |
| | 2 transactions for 10/27/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.802 | 93.46 | 10/15/21 | 100.00 | ... | -6.54 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 116.59 | 10/25/21 | 123.34 | ... | -6.75 | 2 of 2 - Sale ²⁵ |
| 10/27/21 | 1.802 | 210.05 | Various | 223.34 | ... | -13.29 | Total of 2 transactions |
| FIRST TRUST NASDAQ CLEAN EDGE GREEN ENERGY INDEX FUND / CUSIP: 33733E500 / Symbol: | | | | | | | |
| | 3 transactions for 02/22/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 20.000 | 1,541.36 | 01/08/21 | 1,663.80 | ... | -122.44 | 1 of 3 - Sale ²⁵ |
| | 10.000 | 770.68 | 01/13/21 | 854.80 | ... | -84.12 | 2 of 3 - Sale ²⁵ |
| | 20.000 | 1,541.37 | 01/15/21 | 1,620.00 | ... | -78.63 | 3 of 3 - Sale ²⁵ |
| 02/22/21 | 50.000 | 3,853.41 | Various | 4,138.60 | ... | -285.19 | Total of 3 transactions |
| FIRSTENERGY CORP. / CUSIP: 337932107 / Symbol: | | | | | | | |
| | 2 transactions for 09/03/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 18.000 | 710.81 | 09/02/21 | 716.49 | ... | -5.68 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 78.98 | 09/02/21 | 79.52 | ... | -0.54 | 2 of 2 - Sale ²⁵ |
| 09/03/21 | 20.000 | 789.79 | Various | 796.01 | ... | -6.22 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| FIVE BELOW, INC. COMMON STOCK / CUSIP: 33829M101 / Symbol: | | | | | | | |
| 01/04/21 | 5.000 | 838.17 | 12/29/20 | 828.43 | ... | 9.74 | Sale ²⁵ |
| FLY LEASING LIMITED / CUSIP: 34407D109 / Symbol: | | | | | | | |
| 2 transactions for 03/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 63.50 | 03/22/21 | 67.45 | ... | -3.95 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 63.50 | 03/22/21 | 67.45 | ... | -3.95 | 2 of 2 - Sale ²⁵ |
| 03/23/21 | 10.000 | 127.00 | Various | 134.90 | ... | -7.90 | Total of 2 transactions |
| FOOT LOCKER, INC. / CUSIP: 344849104 / Symbol: | | | | | | | |
| 3 transactions for 05/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 322.85 | 05/03/21 | 307.00 | ... | 15.85 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 64.57 | 05/04/21 | 61.07 | ... | 3.50 | 2 of 3 - Sale ²⁵ |
| | 4.000 | 258.28 | 05/05/21 | 247.60 | ... | 10.68 | 3 of 3 - Sale ²⁵ |
| 05/18/21 | 10.000 | 645.70 | Various | 615.67 | ... | 30.03 | Total of 3 transactions |
| FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol: | | | | | | | |
| 8 transactions for 01/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 26.55 | 01/04/21 | 25.78 | ... | 0.77 | 1 of 8 - Sale ²⁵ |
| | 35.000 | 309.75 | 01/04/21 | 301.85 | ... | 7.90 | 2 of 8 - Sale ²⁵ |
| | 65.000 | 575.24 | 01/04/21 | 560.57 | ... | 14.67 | 3 of 8 - Sale ²⁵ |
| | 97.000 | 858.44 | 01/04/21 | 833.68 | ... | 24.76 | 4 of 8 - Sale ²⁵ |
| | 100.000 | 884.99 | 01/04/21 | 853.09 | ... | 31.90 | 5 of 8 - Sale ²⁵ |
| | 100.000 | 884.99 | 01/04/21 | 852.76 | ... | 32.23 | 6 of 8 - Sale ²⁵ |
| | 100.000 | 884.98 | 01/04/21 | 852.64 | ... | 32.34 | 7 of 8 - Sale ²⁵ |
| | 300.000 | 2,654.90 | 01/04/21 | 2,558.28 | ... | 96.62 | 8 of 8 - Sale ²⁵ |
| 01/11/21 | 800.000 | 7,079.84 | Various | 6,838.65 | ... | 241.19 | Total of 8 transactions |
| 05/04/21 | 100.000 | 1,129.24 | 04/29/21 | 1,144.56 | ... | -15.32 | Sale ²⁵ |
| | Security total: | 8,209.08 | | 7,983.21 | ... | 225.87 | |
| FORTIVE CORPORATION / CUSIP: 34959J108 / Symbol: | | | | | | | |
| 2 transactions for 09/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 20.000 | 1,499.85 | 09/03/21 | 1,504.56 | ... | -4.71 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 749.93 | 09/08/21 | 737.83 | ... | 12.10 | 2 of 2 - Sale ²⁵ |
| 09/15/21 | 30.000 | 2,249.78 | Various | 2,242.39 | ... | 7.39 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| FORUM MERGER III CORPORATION C LASS A COMMON STOCK / CUSIP: 349885103 / Symbol: | | | | | | | |
| 2 transactions for 06/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 15.000 | 144.07 | 06/21/21 | 146.84 | ... | -2.77 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 28.82 | 06/21/21 | 29.94 | ... | -1.12 | 2 of 2 - Sale ²⁵ |
| 06/22/21 | 18.000 | 172.89 | Various | 176.78 | ... | -3.89 | Total of 2 transactions |
| FOX FACTORY HOLDING CORP. COMM ON STOCK / CUSIP: 35138V102 / Symbol: | | | | | | | |
| 04/22/21 | 5.000 | 737.55 | 04/22/21 | 739.40 | ... | -1.85 | Sale ²⁵ |
| FREEDOM HOLDING CORP. COMMON S TOCK / CUSIP: 356390104 / Symbol: | | | | | | | |
| 02/09/21 | 10.000 | 555.22 | 02/08/21 | 550.00 | ... | 5.22 | Sale ²⁵ |
| FREEPORT-MCMORAN INC. / CUSIP: 35671D857 / Symbol: | | | | | | | |
| 01/29/21 | 20.000 | 549.98 | 01/29/21 | 559.60 | ... | -9.62 | Sale ²⁵ |
| 11/09/21 | 20.000 | 776.19 | 11/08/21 | 787.96 | 9.82 W | -1.95 | Sale ²⁵ |
| 6 transactions for 12/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.667 | 190.51 | 11/12/21 | 194.27 | ... | -3.76 | 1 of 6 - Sale ²⁵ |
| | 3.000 | 122.46 | 11/12/21 | 126.14 | ... | -3.68 | 2 of 6 - Sale ²⁵ |
| | 0.787 | 32.14 | 11/12/21 | 33.09 | ... | -0.95 | 3 of 6 - Sale ²⁵ |
| | 0.362 | 14.78 | 11/12/21 | 15.06 | ... | -0.28 | 4 of 6 - Sale ²⁵ |
| | 0.332 | 13.55 | 11/12/21 | 13.82 | ... | -0.27 | 5 of 6 - Sale ²⁵ |
| | 7.546 | 308.04 | 11/16/21 | 304.44 | ... | 3.60 | 6 of 6 - Sale ²⁵ |
| 12/23/21 | 16.694 | 681.48 | Various | 686.82 | ... | -5.34 | Total of 6 transactions |
| | Security total: | 2,007.65 | | 2,034.38 | 9.82 W | -16.91 | |
| FUBOTV INC. / CUSIP: 35953D104 / Symbol: | | | | | | | |
| 2 transactions for 07/09/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 144.27 | 07/08/21 | 141.36 | ... | 2.91 | 1 of 2 - Sale ²⁵ |
| | 95.000 | 2,741.06 | 07/08/21 | 2,704.21 | ... | 36.85 | 2 of 2 - Sale ²⁵ |
| 07/09/21 | 100.000 | 2,885.33 | Various | 2,845.57 | ... | 39.76 | Total of 2 transactions |
| 3 transactions for 11/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.001 | 0.04 | 11/01/21 | 0.04 | ... | 0.00 | 1 of 3 - Sale ²⁵ |
| | 0.068 | 2.22 | 11/01/21 | 2.20 | ... | 0.02 | 2 of 3 - Sale ²⁵ |
| | 2.999 | 98.48 | 11/01/21 | 97.76 | ... | 0.72 | 3 of 3 - Sale ²⁵ |
| 11/04/21 | 3.068 | 100.74 | Various | 100.00 | ... | 0.74 | Total of 3 transactions |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|--|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd) | | | | | | | |
| Security total: | | 2,986.07 | | 2,945.57 | ... | 40.50 | |
| FUTU HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 36118L106 / Symbol: | | | | | | | |
| 01/28/21 | 10.000 | 984.97 | 01/28/21 | 1,020.02 | 35.05 W | 0.00 | Sale ²⁵ |
| 02/03/21 | 10.000 | 1,185.19 | 02/03/21 | 1,198.05 | ... | -12.86 | Sale ²⁵ |
| 04/20/21 | 5.000 | 762.74 | 04/19/21 | 827.88 | ... | -65.14 | Sale ²⁵ |
| Security total: | | 2,932.90 | | 3,045.95 | 35.05 W | -78.00 | |
| GENERAC HOLDINGS INC / CUSIP: 368736104 / Symbol: | | | | | | | |
| <i>2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 1.000 | 328.70 | 03/31/21 | 325.49 | ... | 3.21 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 328.93 | 03/31/21 | 325.50 | ... | 3.43 | 2 of 2 - Sale ²⁵ |
| 04/07/21 | 2.000 | 657.63 | Various | 650.99 | ... | 6.64 | Total of 2 transactions |
| GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol: | | | | | | | |
| 03/10/21 | 100.000 | 1,347.98 | 03/08/21 | 1,390.00 | ... | -42.02 | Sale ²⁵ |
| 06/09/21 | 80.000 | 1,093.58 | 06/09/21 | 1,096.80 | ... | -3.22 | Sale ²⁵ |
| Security total: | | 2,441.56 | | 2,486.80 | ... | -45.24 | |
| GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol: | | | | | | | |
| 12/29/21 | 20.000 | 1,888.79 | 12/28/21 | 1,916.83 | ... | -28.04 | Sale ²⁵ |
| GENERAL MILLS, INC. / CUSIP: 370334104 / Symbol: | | | | | | | |
| 12/28/21 | 2.000 | 132.95 | 11/10/21 | 126.78 | ... | 6.17 | Sale ²⁵ |
| GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol: | | | | | | | |
| <i>2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 10.000 | 429.69 | 01/06/21 | 435.40 | ... | -5.71 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 429.69 | 01/06/21 | 432.05 | ... | -2.36 | 2 of 2 - Sale ²⁵ |
| 01/08/21 | 20.000 | 859.38 | Various | 867.45 | ... | -8.07 | Total of 2 transactions |
| 06/17/21 | 10.000 | 598.74 | 06/16/21 | 617.70 | ... | -18.96 | Sale ²⁵ |
| <i>3 transactions for 09/30/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 7.000 | 369.67 | 09/13/21 | 351.40 | ... | 18.27 | 1 of 3 - Sale ²⁵ |
| | 13.000 | 686.52 | 09/13/21 | 652.58 | ... | 33.94 | 2 of 3 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol: (cont'd) | | | | | | | |
| | 30.000 | 1,584.29 | 09/13/21 | 1,512.00 | ... | 72.29 | 3 of 3 - Sale ²⁵ |
| 09/30/21 | 50.000 | 2,640.48 | Various | 2,515.98 | ... | 124.50 | Total of 3 transactions |
| | Security total: | 4,098.60 | | 4,001.13 | ... | 97.47 | |
| GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol: | | | | | | | |
| 01/19/21 | 5.000 | 53.58 | 01/19/21 | 56.20 | ... | -2.62 | Sale ²⁵ |
| GLOBAL PAYMENTS, INC. / CUSIP: 37940X102 / Symbol: | | | | | | | |
| 09/10/21 | 5.000 | 849.49 | 09/09/21 | 860.05 | ... | -10.56 | Sale ²⁵ |
| GLOBAL X SUPERDIVIDEND U.S. ET F / CUSIP: 37950E291 / Symbol: | | | | | | | |
| 2 transactions for 07/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 40.000 | 795.99 N | 06/18/21 | 794.34 | ... | 1.65 | 1 of 2 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$44.00 |
| | 60.000 | 1,193.98 N | 06/18/21 | 1,192.04 | ... | 1.94 | 2 of 2 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$66.00 |
| 07/13/21 | 100.000 | 1,989.97 | Various | 1,986.38 | ... | 3.59 | Total of 2 transactions |
| GLOBAL X FUNDS GLOBAL X CLOUD COMPUTING ETF / CUSIP: 37954Y442 / Symbol: | | | | | | | |
| 5 transactions for 01/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 55.80 N | 11/25/20 | 50.72 | ... | 5.08 | 1 of 5 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$4.20 |
| | 48.000 | 1,339.16 N | 11/25/20 | 1,216.63 | ... | 122.53 | 2 of 5 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$100.80 |
| | 49.965 | 1,393.97 N | 11/25/20 | 1,267.85 | ... | 126.12 | 3 of 5 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$104.93 |
| | 0.019 | 0.53 | 01/04/21 | 0.53 | ... | 0.00 | 4 of 5 - Sale ²⁵ |
| | 0.035 | 0.99 N | 01/04/21 | 0.97 | ... | 0.02 | 5 of 5 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$0.07 |
| 01/13/21 | 100.019 | 2,790.45 | Various | 2,536.70 | ... | 253.75 | Total of 5 transactions |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

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(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| GLOBAL X U.S. INFRASTRUCTURE DEVELOPMENT ETF / CUSIP: 37954Y673 / Symbol: | | | | | | | |
| 3 transactions for 06/17/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 254.99 | 05/26/21 | 261.35 | 6.36 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 10.000 | 255.00 | 05/26/21 | 267.15 | 12.15 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 10.000 | 255.40 | 05/26/21 | 261.20 | 5.80 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 06/17/21 | 30.000 | 765.39 | Various | 789.70 | 24.31 W | 0.00 | Total of 3 transactions |
| 8 transactions for 07/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.844 | 252.64 | 05/27/21 | 272.08 | ... | -19.44 | 1 of 8 - Sale ²⁵ |
| | 10.000 | 256.65 | 05/28/21 | 270.60 | ... | -13.95 | 2 of 8 - Sale ²⁵ |
| | 10.000 | 256.65 | 06/08/21 | 266.47 | ... | -9.82 | 3 of 8 - Sale ²⁵ |
| | 5.000 | 128.32 | 06/09/21 | 132.63 | ... | -4.31 | 4 of 8 - Sale ²⁵ |
| | 0.156 | 4.01 | 06/22/21 | 4.27 | ... | -0.26 | 5 of 8 - Sale ²⁵ |
| | 14.844 | 380.96 | 06/22/21 | 376.44 | ... | 4.52 | 6 of 8 - Sale ²⁵ |
| | 50.000 | 1,283.23 | 06/24/21 | 1,290.95 | ... | -7.72 | 7 of 8 - Sale ²⁵ |
| | 0.156 | 4.01 | 07/09/21 | 3.99 | ... | 0.02 | 8 of 8 - Sale ²⁵ |
| 07/15/21 | 100.000 | 2,566.47 | Various | 2,617.43 | ... | -50.96 | Total of 8 transactions |
| 07/15/21 | 0.156 | 4.01 | 05/27/21 | 4.32 | 0.31 W | 0.00 | Sale ²⁵ |
| | Security total: | 3,335.87 | | 3,411.45 | 24.62 W | -50.96 | |
| GLOBAL X COPPER MINERS ETF (NE W) / CUSIP: 37954Y830 / Symbol: | | | | | | | |
| 05/20/21 | 10.000 | 403.45 | 05/19/21 | 407.00 | ... | -3.55 | Sale ²⁵ |
| GLOBAL X LITHIUM & BATTERY TECHNOLOGY ETF / CUSIP: 37954Y855 / Symbol: | | | | | | | |
| 02/26/21 | 20.000 | 1,205.49 | 02/26/21 | 1,218.00 | ... | -12.51 | Sale ²⁵ |
| GLOBAL X URANIUM ETF / CUSIP: 37954Y871 / Symbol: | | | | | | | |
| 03/30/21 | 10.000 | 185.25 | 03/30/21 | 185.90 | ... | -0.65 | Sale ²⁵ |
| GOLDMAN SACHS GROUP INC. / CUSIP: 38141G104 / Symbol: | | | | | | | |
| 06/02/21 | 0.394 | 149.69 | 06/01/21 | 150.00 | 0.31 W | 0.00 | Sale ²⁵ |
| 2 transactions for 06/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.606 | 1,708.97 | 06/11/21 | 1,733.29 | ... | -24.32 | 1 of 2 - Sale ²⁵ |
| | 0.394 | 146.09 | 06/11/21 | 148.48 | ... | -2.39 | 2 of 2 - Sale ²⁵ |
| 06/14/21 | 5.000 | 1,855.06 | Various | 1,881.77 | ... | -26.71 | Total of 2 transactions |
| 09/15/21 | 1.000 | 396.39 | 09/14/21 | 406.78 | ... | -10.39 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| GOLDMAN SACHS GROUP INC. / CUSIP: 38141G104 / Symbol: (cont'd) | | | | | | | |
| 09/15/21 | 2.000 | 792.79 | 09/14/21 | 829.02 | 36.23 W | 0.00 | Sale ²⁵ |
| | 4 transactions for 11/18/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 780.41 | 10/15/21 | 843.01 | ... | -62.60 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 390.21 | 10/18/21 | 411.33 | ... | -21.12 | 2 of 4 - Sale ²⁵ |
| | 0.216 | 84.12 | 10/18/21 | 88.67 | ... | -4.55 | 3 of 4 - Sale ²⁵ |
| | 0.739 | 288.19 | 11/04/21 | 300.00 | ... | -11.81 | 4 of 4 - Sale ²⁵ |
| 11/18/21 | 3.954 | 1,542.93 | Various | 1,643.01 | ... | -100.08 | Total of 4 transactions |
| | Security total: | 4,736.86 | | 4,910.58 | 36.54 W | -137.18 | |
| GORES HOLDINGS IV, INC. CLASS A COMMON STOCK / CUSIP: 382865103 / Symbol: | | | | | | | |
| 01/20/21 | 100.000 | 1,164.96 | 12/15/20 | 1,077.00 | ... | 87.96 | Sale ²⁵ |
| W.W. GRAINGER, INC. / CUSIP: 384802104 / Symbol: | | | | | | | |
| 11/12/21 | 0.211 | 103.00 | 11/01/21 | 98.64 | ... | 4.36 | Sale ²⁵ |
| | 3 transactions for 12/28/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.003 | 1.51 | 11/01/21 | 1.36 | ... | 0.15 | 1 of 3 - Sale ²⁵ |
| | 0.214 | 110.59 | 11/01/21 | 100.00 | ... | 10.59 | 2 of 3 - Sale ²⁵ |
| | 0.001 | 0.74 | 12/02/21 | 0.69 | ... | 0.05 | 3 of 3 - Sale ²⁵ |
| 12/28/21 | 0.218 | 112.84 | Various | 102.05 | ... | 10.79 | Total of 3 transactions |
| | Security total: | 215.84 | | 200.69 | ... | 15.15 | |
| GRAY TELEVISION, INC. / CUSIP: 389375106 / Symbol: | | | | | | | |
| 05/11/21 | 20.000 | 425.40 | 05/05/21 | 435.57 | ... | -10.17 | Sale ²⁵ |
| GUESS?, INC. / CUSIP: 401617105 / Symbol: | | | | | | | |
| 07/01/21 | 20.000 | 529.79 | 07/01/21 | 533.70 | ... | -3.91 | Sale ²⁵ |
| HAEMONETICS CORPORATION / CUSIP: 405024100 / Symbol: | | | | | | | |
| 04/22/21 | 5.000 | 347.55 | 04/22/21 | 349.76 | ... | -2.21 | Sale ²⁵ |
| HALLIBURTON COMPANY / CUSIP: 406216101 / Symbol: | | | | | | | |
| | 3 transactions for 06/02/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 24.43 | 04/23/21 | 18.96 | ... | 5.47 | 1 of 3 - Sale ²⁵ |
| | 4.000 | 97.70 | 04/23/21 | 76.03 | ... | 21.67 | 2 of 3 - Sale ²⁵ |

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2021 1099-B* OMB No. 1545-0715

(continued)

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| HALLIBURTON COMPANY / CUSIP: 406216101 / Symbol: (cont'd) | | | | | | | |
| | 5.000 | 122.12 | 04/27/21 | 96.55 | ... | 25.57 | 3 of 3 - Sale ²⁵ |
| 06/02/21 | 10.000 | 244.25 | Various | 191.54 | ... | 52.71 | Total of 3 transactions |
| 2 transactions for 06/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 15.000 | 365.47 | 04/27/21 | 289.63 | ... | 75.84 | 1 of 2 - Sale ²⁵ |
| | 15.000 | 365.47 | 05/07/21 | 344.25 | ... | 21.22 | 2 of 2 - Sale ²⁵ |
| 06/04/21 | 30.000 | 730.94 | Various | 633.88 | ... | 97.06 | Total of 2 transactions |
| Security total: | | 975.19 | | 825.42 | ... | 149.77 | |
| HARLEY-DAVIDSON, INC. / CUSIP: 412822108 / Symbol: | | | | | | | |
| 2 transactions for 05/07/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.106 | 5.16 | 04/21/21 | 5.00 | ... | 0.16 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 487.11 | 04/22/21 | 473.48 | ... | 13.63 | 2 of 2 - Sale ²⁵ |
| 05/07/21 | 10.106 | 492.27 | Various | 478.48 | ... | 13.79 | Total of 2 transactions |
| HASBRO, INC. COMMON STOCK / CUSIP: 418056107 / Symbol: | | | | | | | |
| 3 transactions for 10/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.000 | 0.02 | 10/15/21 | 0.02 | ... | 0.00 | 1 of 3 - Sale ²⁵ |
| | 0.087 | 8.06 | 10/15/21 | 8.03 | ... | 0.03 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 92.30 | 10/15/21 | 91.95 | ... | 0.35 | 3 of 3 - Sale ²⁵ |
| 10/19/21 | 1.087 | 100.38 | Various | 100.00 | ... | 0.38 | Total of 3 transactions |
| HEALTHPEAK PROPERTIES, INC. / CUSIP: 42250P103 / Symbol: | | | | | | | |
| 10/19/21 | 3.000 | 103.35 | 10/14/21 | 102.32 | ... | 1.03 | Sale ²⁵ |
| THE HERSHEY COMPANY / CUSIP: 427866108 / Symbol: | | | | | | | |
| 10/06/21 | 1.000 | 177.25 | 10/06/21 | 177.25 | ... | 0.00 | Sale ²⁵ |
| 10/15/21 | 4.000 | 721.62 | 10/06/21 | 709.02 | ... | 12.60 | Sale ²⁵ |
| Security total: | | 898.87 | | 886.27 | ... | 12.60 | |

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Account 156901654

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|---|----------|---|-------------------|-------------------------|--|---|--|
| HEWLETT PACKARD ENTERPRISE COM PANY / CUSIP: 42824C109 / Symbol: | | | | | | | |
| 3 transactions for 05/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 50.000 | 805.99 N | 04/22/21 | 813.98 | ... | -7.99 | 1 of 3 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$19.00 |
| | 10.000 | 161.20 N | 04/22/21 | 161.59 | ... | -0.39 | 2 of 3 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$3.80 |
| | 40.000 | 644.79 N | 04/23/21 | 657.42 | ... | -12.63 | 3 of 3 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$15.20 |
| 05/21/21 | 100.000 | 1,611.98 | Various | 1,632.99 | ... | -21.01 | Total of 3 transactions |
| HILTON WORLDWIDE HOLDINGS INC. / CUSIP: 43300A203 / Symbol: | | | | | | | |
| 10/01/21 | 10.000 | 1,379.41 | 09/10/21 | 1,262.45 | ... | 116.96 | Sale ²⁵ |
| 10/06/21 | 10.000 | 1,359.57 | 09/10/21 | 1,262.45 | ... | 97.12 | Sale ²⁵ |
| 5 transactions for 11/10/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.777 | 405.29 | 10/27/21 | 410.00 | 4.32 W | -0.39 | 1 of 5 - Sale ²⁵ |
| | 0.223 | 32.53 | 10/27/21 | 32.81 | 0.28 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 0.279 | 40.67 | 10/27/21 | 41.04 | 0.37 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 0.721 | 105.26 | 10/27/21 | 107.10 | 1.84 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 0.721 | 105.32 | 10/27/21 | 106.23 | 0.91 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 11/10/21 | 4.721 | 689.07 | Various | 697.18 | 7.72 W | -0.39 | Total of 5 transactions |
| 6 transactions for 11/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.028 | 4.04 | 11/16/21 | 4.05 | ... | -0.01 | 1 of 6 - Sale ²⁵ |
| | 0.279 | 40.63 | 11/16/21 | 40.39 | ... | 0.24 | 2 of 6 - Sale ²⁵ |
| | 0.465 | 67.86 | 11/17/21 | 68.35 | ... | -0.49 | 3 of 6 - Sale ²⁵ |
| | 0.228 | 33.25 | 11/17/21 | 33.49 | ... | -0.24 | 4 of 6 - Sale ²⁵ |
| | 0.777 | 113.29 | 11/17/21 | 113.46 | ... | -0.17 | 5 of 6 - Sale ²⁵ |
| | 0.223 | 32.49 | 11/17/21 | 32.45 | ... | 0.04 | 6 of 6 - Sale ²⁵ |
| 11/23/21 | 2.000 | 291.56 | Various | 292.19 | ... | -0.63 | Total of 6 transactions |
| 12/23/21 | 1.771 | 271.93 | 11/17/21 | 258.62 | ... | 13.31 | Sale ²⁵ |
| Security total: | | 3,991.54 | | 3,772.89 | 7.72 W | 226.37 | |

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| HOLLYFRONTIER CORP / CUSIP: 436106108 / Symbol: | | | | | | | |
| 12/23/21 | 2.865 | 93.11 | 12/07/21 | 100.00 | ... | -6.89 | Sale ²⁵ |
| HOLOGIC, INC. COMMON STOCK / CUSIP: 436440101 / Symbol: | | | | | | | |
| 4 transactions for 09/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 629.99 | 09/07/21 | 632.48 | ... | -2.49 | 1 of 4 - Sale ²⁵ |
| | 8.000 | 629.99 | 09/07/21 | 632.48 | ... | -2.49 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 78.75 | 09/07/21 | 80.71 | ... | -1.96 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 78.75 | 09/07/21 | 80.71 | ... | -1.96 | 4 of 4 - Sale ²⁵ |
| 09/13/21 | 18.000 | 1,417.48 | Various | 1,426.38 | ... | -8.90 | Total of 4 transactions |
| 2 transactions for 09/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 78.75 | 08/30/21 | 80.40 | 1.65 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 78.75 | 08/30/21 | 80.40 | 1.65 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 09/13/21 | 2.000 | 157.50 | Various | 160.80 | 3.30 W | 0.00 | Total of 2 transactions |
| 09/27/21 | 2.000 | 151.72 | 09/07/21 | 158.12 | ... | -6.40 | Sale ²⁵ |
| | Security total: | 1,726.70 | | 1,745.30 | 3.30 W | -15.30 | |
| HOME DEPOT, INC. / CUSIP: 437076102 / Symbol: | | | | | | | |
| 03/29/21 | 1.000 | 301.12 | 03/26/21 | 299.92 | ... | 1.20 | Sale ²⁵ |
| 09/30/21 | 5.000 | 1,668.80 | 09/03/21 | 1,652.42 | ... | 16.38 | Sale ²⁵ |
| 11/22/21 | 1.000 | 414.11 | 11/17/21 | 399.18 | ... | 14.93 | Sale ²⁵ |
| 12/23/21 | 1.000 | 396.99 | 11/17/21 | 399.17 | ... | -2.18 | Sale ²⁵ |
| | Security total: | 2,781.02 | | 2,750.69 | ... | 30.33 | |
| HONEYWELL INTERNATIONAL INC. C OMMON STOCK / CUSIP: 438516106 / Symbol: | | | | | | | |
| 2 transactions for 04/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 223.55 | 03/26/21 | 215.28 | ... | 8.27 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 223.55 | 03/31/21 | 217.60 | ... | 5.95 | 2 of 2 - Sale ²⁵ |
| 04/23/21 | 2.000 | 447.10 | Various | 432.88 | ... | 14.22 | Total of 2 transactions |
| 07/06/21 | 10.000 | 2,186.18 | 06/30/21 | 2,169.51 | ... | 16.67 | Sale ²⁵ |
| 2 transactions for 11/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 442.11 | 11/02/21 | 442.54 | ... | -0.43 | 1 of 2 - Sale ²⁵ |
| | 0.260 | 57.41 | 11/02/21 | 57.46 | ... | -0.05 | 2 of 2 - Sale ²⁵ |
| 11/11/21 | 2.259 | 499.52 | Various | 500.00 | ... | -0.48 | Total of 2 transactions |
| | Security total: | 3,132.80 | | 3,102.39 | ... | 30.41 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| HUBSPOT, INC. / CUSIP: 443573100 / Symbol: | | | | | | | |
| 02/12/21 | 2.000 | 1,031.97 | 02/12/21 | 1,050.92 | ... | -18.95 | Sale ²⁵ |
| HUMANA INC. / CUSIP: 444859102 / Symbol: | | | | | | | |
| 2 transactions for 09/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.240 | 100.17 | 09/03/21 | 100.00 | ... | 0.17 | 1 of 2 - Sale ²⁵ |
| | 4.760 | 1,989.37 | 09/08/21 | 1,998.37 | ... | -9.00 | 2 of 2 - Sale ²⁵ |
| 09/08/21 | 5.000 | 2,089.54 | Various | 2,098.37 | ... | -8.83 | Total of 2 transactions |
| 09/08/21 | 5.000 | 2,089.53 | 09/08/21 | 2,109.68 | 20.15 W | 0.00 | Sale ²⁵ |
| 09/16/21 | 0.240 | 96.01 | 09/08/21 | 101.59 | ... | -5.58 | Sale ²⁵ |
| 2 transactions for 09/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.760 | 1,879.64 | 09/08/21 | 2,017.55 | ... | -137.91 | 1 of 2 - Sale ²⁵ |
| | 0.240 | 94.64 | 09/08/21 | 100.62 | ... | -5.98 | 2 of 2 - Sale ²⁵ |
| 09/28/21 | 5.000 | 1,974.28 | Various | 2,118.17 | ... | -143.89 | Total of 2 transactions |
| | Security total: | 6,249.36 | | 6,427.81 | 20.15 W | -158.30 | |
| J.B. HUNT TRANSPORT SERVICES, INC. COMMON STOCK / CUSIP: 445658107 / Symbol: | | | | | | | |
| 07/06/21 | 5.000 | 825.24 | 06/30/21 | 816.15 | ... | 9.09 | Sale ²⁵ |
| 2 transactions for 07/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 672.95 | 07/14/21 | 680.68 | ... | -7.73 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 504.72 | 07/14/21 | 512.35 | ... | -7.63 | 2 of 2 - Sale ²⁵ |
| 07/15/21 | 7.000 | 1,177.67 | Various | 1,193.03 | ... | -15.36 | Total of 2 transactions |
| 10/01/21 | 10.000 | 1,660.71 | 09/27/21 | 1,748.60 | 8.79 W | -79.10 | Sale ²⁵ |
| 2 transactions for 10/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.500 | 92.00 | 10/14/21 | 91.41 | ... | 0.59 | 1 of 2 - Sale ²⁵ |
| | 0.500 | 94.79 | 10/14/21 | 91.50 | ... | 3.29 | 2 of 2 - Sale ²⁵ |
| 10/15/21 | 1.000 | 186.79 | Various | 182.91 | ... | 3.88 | Total of 2 transactions |
| 2 transactions for 12/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.049 | 9.71 | 11/12/21 | 10.00 | 0.29 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 393.24 | 11/12/21 | 403.84 | 10.60 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 12/23/21 | 2.049 | 402.95 | Various | 413.84 | 10.89 W | 0.00 | Total of 2 transactions |
| 3 transactions for 12/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 405.34 | 12/28/21 | 416.60 | ... | -11.26 | 1 of 3 - Sale ²⁵ |
| | 2.951 | 597.99 | 12/28/21 | 598.98 | ... | -0.99 | 2 of 3 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| J.B. HUNT TRANSPORT SERVICES, INC. COMMON STOCK / CUSIP: 445658107 / Symbol: (cont'd) | | | | | | | |
| | 0.049 | 10.01 | 12/28/21 | 10.32 | ... | -0.31 | 3 of 3 - Sale ²⁵ |
| 12/28/21 | 5.000 | 1,013.34 | Various | 1,025.90 | ... | -12.56 | Total of 3 transactions |
| | Security total: | 5,266.70 | | 5,380.43 | 19.68 W | -94.05 | |
| HUYA INC. / CUSIP: 44852D108 / Symbol: | | | | | | | |
| 2 transactions for 01/06/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 53.000 | 1,120.92 N | 01/05/21 | 1,142.68 | ... | -21.76 | 1 of 2 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$45.05 |
| | 47.000 | 994.02 N | 01/05/21 | 1,013.32 | ... | -19.30 | 2 of 2 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$39.95 |
| 01/06/21 | 100.000 | 2,114.94 | Various | 2,156.00 | ... | -41.06 | Total of 2 transactions |
| 02/11/21 | 30.000 | 969.30 | 02/11/21 | 944.10 | ... | 25.20 | Sale ²⁵ |
| | Security total: | 3,084.24 | | 3,100.10 | ... | -15.86 | |
| IPG PHOTONICS CORPORATION COMM ON STOCK / CUSIP: 44980X109 / Symbol: | | | | | | | |
| 2 transactions for 11/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 339.56 | 11/02/21 | 348.72 | ... | -9.16 | 1 of 2 - Sale ²⁵ |
| | 0.868 | 147.40 | 11/02/21 | 151.28 | ... | -3.88 | 2 of 2 - Sale ²⁵ |
| 11/04/21 | 2.868 | 486.96 | Various | 500.00 | ... | -13.04 | Total of 2 transactions |
| IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: | | | | | | | |
| 07/19/21 | 100.000 | 235.04 | 07/19/21 | 233.72 | ... | 1.32 | Sale ²⁵ |
| 2 transactions for 07/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 100.000 | 230.29 | 07/19/21 | 235.72 | ... | -5.43 | 1 of 2 - Sale ²⁵ |
| | 100.000 | 230.29 | 07/19/21 | 233.72 | ... | -3.43 | 2 of 2 - Sale ²⁵ |
| 07/20/21 | 200.000 | 460.58 | Various | 469.44 | ... | -8.86 | Total of 2 transactions |
| | Security total: | 695.62 | | 703.16 | ... | -7.54 | |
| IDEXX LABORATORIES, INC. COMM O N STOCK / CUSIP: 45168D104 / Symbol: | | | | | | | |
| 5 transactions for 11/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 625.00 | 10/22/21 | 651.16 | ... | -26.16 | 1 of 5 - Sale ²⁵ |
| | 0.538 | 335.99 | 10/22/21 | 350.00 | ... | -14.01 | 2 of 5 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| IDEXX LABORATORIES, INC. COMMO N STOCK / CUSIP: 45168D104 / Symbol: (cont'd) | | | | | | | |
| | 0.310 | 193.51 | 10/22/21 | 202.35 | ... | -8.84 | 3 of 5 - Sale ²⁵ |
| | 0.149 | 93.39 | 10/22/21 | 101.75 | ... | -8.36 | 4 of 5 - Sale ²⁵ |
| | 0.003 | 2.12 | 10/22/21 | 2.22 | ... | -0.10 | 5 of 5 - Sale ²⁵ |
| 11/02/21 | 2.000 | 1,250.01 | Various | 1,307.48 | ... | -57.47 | Total of 5 transactions |
| 11/02/21 | 0.149 | 93.68 | 10/22/21 | 97.65 | 3.97 W | 0.00 | Sale ²⁵ |
| | Security total: | 1,343.69 | | 1,405.13 | 3.97 W | -57.47 | |

IMMERSION CORPORATION COMMON S TOCK / CUSIP: 452521107 / Symbol:

8 transactions for 04/16/21. Total proceeds and cost reported to the IRS.

| | | | | | | | |
|----------|---------|----------|----------|----------|-----|---------|--|
| | 20.000 | 182.00 N | 02/04/21 | 282.96 | ... | -100.96 | 1 of 8 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$18.00 |
| | 15.000 | 136.50 N | 02/09/21 | 234.55 | ... | -98.05 | 2 of 8 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$13.50 |
| | 10.000 | 91.00 N | 02/09/21 | 156.50 | ... | -65.50 | 3 of 8 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$9.00 |
| | 5.000 | 45.50 N | 02/09/21 | 78.00 | ... | -32.50 | 4 of 8 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$4.50 |
| | 30.000 | 272.98 N | 02/10/21 | 451.09 | ... | -178.11 | 5 of 8 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$27.00 |
| | 8.000 | 72.80 N | 02/10/21 | 120.80 | ... | -48.00 | 6 of 8 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$7.20 |
| | 8.000 | 72.80 N | 02/10/21 | 120.80 | ... | -48.00 | 7 of 8 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$7.20 |
| | 4.000 | 36.40 N | 02/10/21 | 60.40 | ... | -24.00 | 8 of 8 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$3.60 |
| 04/16/21 | 100.000 | 909.98 | Various | 1,505.10 | ... | -595.12 | Total of 8 transactions |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| INGERSOLL RAND INC. COMMON STO CK / CUSIP: 45687V106 / Symbol: | | | | | | | |
| 02/04/21 | 10.000 | 434.01 | 02/03/21 | 436.90 | ... | -2.89 | Sale ²⁵ |
| 11/02/21 | 1.000 | 56.23 | 10/15/21 | 52.99 | ... | 3.24 | Sale ²⁵ |
| 11/03/21 | 0.887 | 49.51 | 10/15/21 | 47.01 | ... | 2.50 | Sale ²⁵ |
| | Security total: | 539.75 | | 536.90 | ... | 2.85 | |
| INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol: | | | | | | | |
| 4 transactions for 04/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 289.80 | 03/22/21 | 325.05 | ... | -35.25 | 1 of 4 - Sale ²⁵ |
| | 2.000 | 115.92 | 03/22/21 | 131.46 | ... | -15.54 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 57.96 | 03/22/21 | 65.73 | ... | -7.77 | 3 of 4 - Sale ²⁵ |
| | 2.000 | 115.91 | 03/23/21 | 130.78 | ... | -14.87 | 4 of 4 - Sale ²⁵ |
| 04/27/21 | 10.000 | 579.59 | Various | 653.02 | ... | -73.43 | Total of 4 transactions |
| 06/23/21 | 18.000 | 994.49 | 06/23/21 | 996.30 | ... | -1.81 | Sale ²⁵ |
| 2 transactions for 09/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 20.000 | 1,069.99 | 09/30/21 | 1,073.33 | ... | -3.34 | 1 of 2 - Sale ²⁵ |
| | 20.000 | 1,069.99 | 09/30/21 | 1,073.15 | ... | -3.16 | 2 of 2 - Sale ²⁵ |
| 09/30/21 | 40.000 | 2,139.98 | Various | 2,146.48 | ... | -6.50 | Total of 2 transactions |
| 09/30/21 | 20.000 | 1,069.99 | 09/30/21 | 1,072.33 | 1.83 W | -0.51 | Sale ²⁵ |
| 2 transactions for 10/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 261.50 | 10/06/21 | 268.98 | 7.48 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 261.50 | 10/06/21 | 268.99 | 7.49 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 10/12/21 | 10.000 | 523.00 | Various | 537.97 | 14.97 W | 0.00 | Total of 2 transactions |
| 10/22/21 | 2.000 | 99.77 | 10/19/21 | 109.93 | ... | -10.16 | Sale ²⁵ |
| 2 transactions for 10/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 149.64 | 10/19/21 | 165.46 | 5.33 W | -10.49 | 1 of 2 - Sale ²⁵ |
| | 0.634 | 31.63 | 10/19/21 | 34.96 | 3.33 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 10/22/21 | 3.634 | 181.27 | Various | 200.42 | 8.66 W | -10.49 | Total of 2 transactions |
| 7 transactions for 11/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.356 | 215.54 | 11/08/21 | 232.21 | ... | -16.67 | 1 of 7 - Sale ²⁵ |
| | 4.210 | 208.29 | 11/08/21 | 222.04 | ... | -13.75 | 2 of 7 - Sale ²⁵ |
| | 1.010 | 49.98 | 11/08/21 | 57.09 | ... | -7.11 | 3 of 7 - Sale ²⁵ |
| | 0.634 | 31.37 | 11/08/21 | 35.83 | ... | -4.46 | 4 of 7 - Sale ²⁵ |
| | 0.790 | 39.11 | 11/08/21 | 42.13 | ... | -3.02 | 5 of 7 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol: (cont'd) | | | | | | | |
| | 4.356 | 215.53 | 11/22/21 | 219.91 | ... | -4.38 | 6 of 7 - Sale ²⁵ |
| | 0.644 | 31.87 | 11/22/21 | 34.98 | ... | -3.11 | 7 of 7 - Sale ²⁵ |
| 11/23/21 | 16.000 | 791.69 | Various | 844.19 | ... | -52.50 | Total of 7 transactions |
| 11/23/21 | 0.644 | 31.87 | 11/08/21 | 34.33 | 2.46 W | 0.00 | Sale ²⁵ |
| | Security total: | 6,411.65 | | 6,594.97 | 27.92 W | -155.40 | |
| INTELLIA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 45826J105 / Symbol: | | | | | | | |
| 2 transactions for 09/07/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.565 | 100.14 | 09/03/21 | 100.00 | ... | 0.14 | 1 of 2 - Sale ²⁵ |
| | 14.435 | 2,560.69 | 09/07/21 | 2,591.89 | ... | -31.20 | 2 of 2 - Sale ²⁵ |
| 09/07/21 | 15.000 | 2,660.83 | Various | 2,691.89 | ... | -31.06 | Total of 2 transactions |
| 09/08/21 | 0.565 | 89.99 | 09/07/21 | 101.36 | ... | -11.37 | Sale ²⁵ |
| 2 transactions for 11/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 123.44 | 10/19/21 | 135.88 | ... | -12.44 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 123.44 | 10/19/21 | 134.09 | ... | -10.65 | 2 of 2 - Sale ²⁵ |
| 11/22/21 | 2.000 | 246.88 | Various | 269.97 | ... | -23.09 | Total of 2 transactions |
| | Security total: | 2,997.70 | | 3,063.22 | ... | -65.52 | |
| INTERCONTINENTAL EXCHANGE INC. / CUSIP: 45866F104 / Symbol: | | | | | | | |
| 09/02/21 | 2.000 | 240.06 | 08/30/21 | 238.32 | ... | 1.74 | Sale ²⁵ |
| 09/09/21 | 1.000 | 119.53 | 08/30/21 | 119.16 | ... | 0.37 | Sale ²⁵ |
| | Security total: | 359.59 | | 357.48 | ... | 2.11 | |
| INTERNATIONAL FLAVORS & FRAGRANCES INC. / CUSIP: 459506101 / Symbol: | | | | | | | |
| 09/14/21 | 2.000 | 293.30 | 09/09/21 | 303.90 | ... | -10.60 | Sale ²⁵ |
| 4 transactions for 12/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 280.52 | 11/09/21 | 295.22 | ... | -14.70 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 140.21 | 11/09/21 | 150.12 | ... | -9.91 | 2 of 4 - Sale ²⁵ |
| | 0.332 | 46.61 | 11/09/21 | 49.88 | ... | -3.27 | 3 of 4 - Sale ²⁵ |
| | 0.636 | 89.15 | 11/10/21 | 97.79 | ... | -8.64 | 4 of 4 - Sale ²⁵ |
| 12/02/21 | 3.968 | 556.49 | Various | 593.01 | ... | -36.52 | Total of 4 transactions |
| 12/02/21 | 0.032 | 4.54 | 11/09/21 | 4.78 | 0.11 W | -0.13 | Sale ²⁵ |
| 12/23/21 | 0.014 | 2.05 | 11/10/21 | 2.32 | ... | -0.27 | Sale ²⁵ |
| | Security total: | 856.38 | | 904.01 | 0.11 W | -47.52 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| INVESCO QQQ TRUST, SERIES 1 / CUSIP: 46090E103 / Symbol: | | | | | | | |
| 6 transactions for 12/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.000 | 0.01 | 12/15/21 | 0.01 | ... | 0.00 | 1 of 6 - Sale ²⁵ |
| | 0.000 | 0.04 | 12/15/21 | 0.04 | ... | 0.00 | 2 of 6 - Sale ²⁵ |
| | 0.002 | 0.66 | 12/15/21 | 0.65 | ... | 0.01 | 3 of 6 - Sale ²⁵ |
| | 0.282 | 110.82 | 12/15/21 | 109.97 | ... | 0.85 | 4 of 6 - Sale ²⁵ |
| | 0.283 | 110.93 | 12/15/21 | 110.01 | ... | 0.92 | 5 of 6 - Sale ²⁵ |
| | 0.717 | 281.47 | 12/15/21 | 279.31 | ... | 2.16 | 6 of 6 - Sale ²⁵ |
| 12/15/21 | 1.284 | 503.93 | Various | 499.99 | ... | 3.94 | Total of 6 transactions |
| INTUITIVE SURGICAL, INC. COMMO N STOCK / CUSIP: 46120E602 / Symbol: | | | | | | | |
| 2 transactions for 09/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 1,003.88 | 09/20/21 | 1,006.09 | 2.21 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 1,003.88 | 09/20/21 | 1,007.61 | 3.73 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 09/27/21 | 2.000 | 2,007.76 | Various | 2,013.70 | 5.94 W | 0.00 | Total of 2 transactions |
| INTREPID POTASH, INC / CUSIP: 46121Y201 / Symbol: | | | | | | | |
| 10/27/21 | 5.000 | 241.50 | 10/27/21 | 246.96 | ... | -5.46 | Sale ²⁵ |
| INVESCO S&P 500 HIGH DIVIDEND LOW VOLATILITY ETF / CUSIP: 46138E362 / Symbol: | | | | | | | |
| 06/18/21 | 50.000 | 2,193.98 | 06/18/21 | 2,192.47 | ... | 1.51 | Sale ²⁵ |
| INVESCO SOLAR ETF / CUSIP: 46138G706 / Symbol: | | | | | | | |
| 01/25/21 | 1.000 | 118.21 | 01/21/21 | 115.93 | ... | 2.28 | Sale ²⁵ |
| 4 transactions for 10/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.004 | 0.34 | 10/25/21 | 0.33 | ... | 0.01 | 1 of 4 - Sale ²⁵ |
| | 0.124 | 11.73 | 10/25/21 | 11.27 | ... | 0.46 | 2 of 4 - Sale ²⁵ |
| | 0.528 | 49.93 | 10/25/21 | 48.01 | ... | 1.92 | 3 of 4 - Sale ²⁵ |
| | 4.000 | 378.00 | 10/25/21 | 363.78 | ... | 14.22 | 4 of 4 - Sale ²⁵ |
| 10/27/21 | 4.656 | 440.00 | Various | 423.39 | ... | 16.61 | Total of 4 transactions |
| 3 transactions for 11/10/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.393 | 37.14 | 10/25/21 | 35.80 | ... | 1.34 | 1 of 3 - Sale ²⁵ |
| | 0.468 | 44.25 | 10/25/21 | 42.61 | ... | 1.64 | 2 of 3 - Sale ²⁵ |
| | 4.000 | 377.84 | 10/25/21 | 364.20 | ... | 13.64 | 3 of 3 - Sale ²⁵ |
| 11/10/21 | 4.862 | 459.23 | Various | 442.61 | ... | 16.62 | Total of 3 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|--|
| INVESCO SOLAR ETF / CUSIP: 46138G706 / Symbol: (cont'd) | | | | | | | |
| Security total: | | 1,017.44 | | 981.93 | ... | | 35.51 |
| INVESCO DB AGRICULTURE FUND / CUSIP: 46140H106 / Symbol: | | | | | | | |
| 2 transactions for 05/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 49.000 | 899.39 | 05/06/21 | 936.88 | ... | -37.49 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 18.35 | 05/06/21 | 19.12 | ... | -0.77 | 2 of 2 - Sale ²⁵ |
| 05/14/21 | 50.000 | 917.74 | Various | 956.00 | ... | -38.26 | Total of 2 transactions |
| IQIYI, INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 46267X108 / Symbol: | | | | | | | |
| 2 transactions for 03/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 19.000 | 320.15 | 03/29/21 | 331.49 | 5.37 W | -5.97 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 16.85 | 03/29/21 | 17.45 | 0.60 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 03/29/21 | 20.000 | 337.00 | Various | 348.94 | 5.97 W | -5.97 | Total of 2 transactions |
| 2 transactions for 04/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 127.80 | 04/20/21 | 134.76 | ... | -6.96 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 14.20 | 04/20/21 | 14.98 | ... | -0.78 | 2 of 2 - Sale ²⁵ |
| 04/20/21 | 10.000 | 142.00 | Various | 149.74 | ... | -7.74 | Total of 2 transactions |
| Security total: | | 479.00 | | 498.68 | 5.97 W | | -13.71 |
| IRON MOUNTAIN INC. / CUSIP: 46284V101 / Symbol: | | | | | | | |
| 05/14/21 | 5.000 | 212.51 | 05/14/21 | 213.90 | ... | -1.39 | Sale ²⁵ |
| ISHARES RUSSELL 2000 ETF / CUSIP: 464287655 / Symbol: | | | | | | | |
| 03/02/21 | 3.000 | 670.76 | 03/02/21 | 673.02 | ... | -2.26 | Sale ²⁵ |
| 07/15/21 | 5.000 | 1,078.89 | 07/15/21 | 1,079.13 | ... | -0.24 | Sale ²⁵ |
| Security total: | | 1,749.65 | | 1,752.15 | ... | | -2.50 |
| ISHARES U.S. REAL ESTATE ETF / CUSIP: 464287739 / Symbol: | | | | | | | |
| 06/07/21 | 5.000 | 519.32 | 06/07/21 | 518.05 | ... | 1.27 | Sale ²⁵ |
| ISHARES U.S. AEROSPACE & DEFEN SE ETF / CUSIP: 464288760 / Symbol: | | | | | | | |
| 02/03/21 | 4.000 | 378.43 | 02/03/21 | 378.36 | ... | 0.07 | Sale ²⁵ |
| 03/10/21 | 10.000 | 1,012.20 | 03/10/21 | 1,017.90 | ... | -5.70 | Sale ²⁵ |
| 07/19/21 | 10.000 | 1,027.53 | 07/16/21 | 1,048.58 | ... | -21.05 | Sale ²⁵ |
| Security total: | | 2,418.16 | | 2,444.84 | ... | | -26.68 |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL | | | | | | | |
| 01/08/21 | 100.000 | 2,404.93 N | 12/21/20 | 2,431.00 | ... | -26.07 | 3- Proceeds from collectibles [X] Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$295.00 |
| ISHARES U.S. INFRASTRUCTURE ET F / CUSIP: 46435U713 / Symbol: | | | | | | | |
| 5 transactions for 04/01/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.015 | 0.51 | 03/23/21 | 0.50 | ... | 0.01 | 1 of 5 - Sale ²⁵ |
| | 1.985 | 68.57 | 03/23/21 | 66.14 | ... | 2.43 | 2 of 5 - Sale ²⁵ |
| | 3.000 | 103.63 | 03/23/21 | 99.36 | ... | 4.27 | 3 of 5 - Sale ²⁵ |
| | 10.000 | 345.45 | 03/31/21 | 345.40 | ... | 0.05 | 4 of 5 - Sale ²⁵ |
| | 0.015 | 0.51 | 04/01/21 | 0.52 | ... | -0.01 | 5 of 5 - Sale ²⁵ |
| 04/01/21 | 15.015 | 518.67 | Various | 511.92 | ... | 6.75 | Total of 5 transactions |
| JOYY INC. AMERICAN DEPOSITARY SHARES / CUSIP: 46591M109 / Symbol: | | | | | | | |
| 01/04/21 | 5.000 | 395.10 | 12/28/20 | 386.89 | ... | 8.21 | Sale ²⁵ |
| 06/10/21 | 7.000 | 516.94 | 06/10/21 | 519.46 | ... | -2.52 | Sale ²⁵ |
| | Security total: | 912.04 | | 906.35 | ... | 5.69 | |
| JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol: | | | | | | | |
| 02/16/21 | 1.000 | 143.86 | 02/16/21 | 143.79 | ... | 0.07 | Sale ²⁵ |
| 2 transactions for 06/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 833.17 | 05/14/21 | 820.00 | ... | 13.17 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 833.18 | 05/14/21 | 820.00 | ... | 13.18 | 2 of 2 - Sale ²⁵ |
| 06/02/21 | 10.000 | 1,666.35 | Various | 1,640.00 | ... | 26.35 | Total of 2 transactions |
| 2 transactions for 06/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 1,653.19 | 05/14/21 | 1,640.00 | ... | 13.19 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 1,658.17 | 05/14/21 | 1,640.00 | ... | 18.17 | 2 of 2 - Sale ²⁵ |
| 06/04/21 | 20.000 | 3,311.36 | Various | 3,280.00 | ... | 31.36 | Total of 2 transactions |
| 06/10/21 | 15.000 | 2,415.15 | 05/14/21 | 2,460.00 | 44.85 W | 0.00 | Sale ²⁵ |
| 2 transactions for 07/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 791.35 | 05/14/21 | 820.00 | 28.65 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 791.35 | 06/08/21 | 833.83 | 42.48 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 07/12/21 | 10.000 | 1,582.70 | Various | 1,653.83 | 71.13 W | 0.00 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol: (cont'd) | | | | | | | |
| 07/13/21 | 10.000 | 1,546.19 | 06/30/21 | 1,577.70 | 15.76 W | -15.75 | Sale ²⁵ |
| 07/14/21 | 5.000 | 777.14 | 07/13/21 | 807.78 | ... | -30.64 | Sale ²⁵ |
| 5 transactions for 08/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 161.40 | 08/06/21 | 165.36 | 3.96 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 1.000 | 161.40 | 08/10/21 | 167.61 | 6.21 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 2.000 | 322.80 | 08/10/21 | 326.18 | 3.38 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 3.000 | 484.20 | 08/10/21 | 489.26 | 5.06 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 3.000 | 484.20 | 08/10/21 | 505.30 | 21.10 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 08/27/21 | 10.000 | 1,614.00 | Various | 1,653.71 | 39.71 W | 0.00 | Total of 5 transactions |
| 5 transactions for 09/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 474.90 | 09/08/21 | 498.60 | ... | -23.70 | 1 of 5 - Sale ²⁵ |
| | 3.000 | 474.89 | 09/08/21 | 482.55 | ... | -7.66 | 2 of 5 - Sale ²⁵ |
| | 1.000 | 158.30 | 09/08/21 | 165.37 | ... | -7.07 | 3 of 5 - Sale ²⁵ |
| | 2.000 | 316.60 | 09/08/21 | 321.71 | ... | -5.11 | 4 of 5 - Sale ²⁵ |
| | 1.000 | 158.30 | 09/08/21 | 163.13 | ... | -4.83 | 5 of 5 - Sale ²⁵ |
| 09/08/21 | 10.000 | 1,582.99 | Various | 1,631.36 | ... | -48.37 | Total of 5 transactions |
| | Security total: | 14,639.74 | | 14,848.17 | 171.45 W | -36.98 | |
| JACOBS ENGINEERING GROUP / CUSIP: 469814107 / Symbol: | | | | | | | |
| 03/29/21 | 0.392 | 49.83 | 03/26/21 | 50.00 | ... | -0.17 | Sale ²⁵ |
| 08/17/21 | 10.000 | 1,346.29 | 08/12/21 | 1,385.19 | ... | -38.90 | Sale ²⁵ |
| | Security total: | 1,396.12 | | 1,435.19 | ... | -39.07 | |
| JD.COM, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 47215P106 / Symbol: | | | | | | | |
| 03/22/21 | 20.000 | 1,690.19 | 03/15/21 | 1,682.54 | ... | 7.65 | Sale ²⁵ |
| JINKOSOLAR HOLDINGS CO / CUSIP: 47759T100 / Symbol: | | | | | | | |
| 11/15/21 | 4.000 | 233.24 | 11/12/21 | 244.27 | ... | -11.03 | Sale ²⁵ |
| JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: | | | | | | | |
| 2 transactions for 01/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 804.88 | 01/19/21 | 810.00 | 5.12 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 804.88 | 01/19/21 | 810.00 | 5.12 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 01/21/21 | 10.000 | 1,609.76 | Various | 1,620.00 | 10.24 W | 0.00 | Total of 2 transactions |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 02/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 813.15 | 02/01/21 | 823.12 | ... | -9.97 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 813.15 | 02/01/21 | 823.12 | ... | -9.97 | 2 of 2 - Sale ²⁵ |
| 02/02/21 | 10.000 | 1,626.30 | Various | 1,646.24 | ... | -19.94 | Total of 2 transactions |
| 3 transactions for 10/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.619 | 100.35 | 10/15/21 | 100.00 | ... | 0.35 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 323.95 | 10/15/21 | 322.95 | ... | 1.00 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 323.96 | 10/15/21 | 322.96 | ... | 1.00 | 3 of 3 - Sale ²⁵ |
| 10/29/21 | 4.619 | 748.26 | Various | 745.91 | ... | 2.35 | Total of 3 transactions |
| | Security total: | 3,984.32 | | 4,012.15 | 10.24 W | -17.59 | |
| KKR & CO. INC. / CUSIP: 48251W104 / Symbol: | | | | | | | |
| 2 transactions for 06/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 556.71 | 06/09/21 | 555.69 | ... | 1.02 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 556.70 | 06/11/21 | 560.88 | ... | -4.18 | 2 of 2 - Sale ²⁵ |
| 06/14/21 | 20.000 | 1,113.41 | Various | 1,116.57 | ... | -3.16 | Total of 2 transactions |
| 08/27/21 | 5.000 | 320.45 | 08/20/21 | 316.40 | ... | 4.05 | Sale ²⁵ |
| 2 transactions for 09/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 19.000 | 1,184.45 | 09/16/21 | 1,250.56 | ... | -66.11 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 62.34 | 09/16/21 | 65.82 | ... | -3.48 | 2 of 2 - Sale ²⁵ |
| 09/24/21 | 20.000 | 1,246.79 | Various | 1,316.38 | ... | -69.59 | Total of 2 transactions |
| 11/03/21 | 10.000 | 809.79 | 11/03/21 | 820.71 | ... | -10.92 | Sale ²⁵ |
| | Security total: | 3,490.44 | | 3,570.06 | ... | -79.62 | |
| KELLOGG COMPANY / CUSIP: 487836108 / Symbol: | | | | | | | |
| 2 transactions for 09/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 192.58 | 09/14/21 | 190.84 | ... | 1.74 | 1 of 2 - Sale ²⁵ |
| | 7.000 | 449.36 | 09/14/21 | 444.33 | ... | 5.03 | 2 of 2 - Sale ²⁵ |
| 09/27/21 | 10.000 | 641.94 | Various | 635.17 | ... | 6.77 | Total of 2 transactions |
| 09/30/21 | 5.000 | 325.70 | 09/14/21 | 317.38 | ... | 8.32 | Sale ²⁵ |
| | Security total: | 967.64 | | 952.55 | ... | 15.09 | |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| KEYCORP / CUSIP: 493267108 / Symbol: | | | | | | | |
| 3 transactions for 01/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 180.05 | 11/24/20 | 173.00 | ... | 7.05 | 1 of 3 - Sale ²⁵ |
| | 10.000 | 180.05 | 11/25/20 | 174.06 | ... | 5.99 | 2 of 3 - Sale ²⁵ |
| | 30.000 | 540.13 | 11/25/20 | 519.17 | ... | 20.96 | 3 of 3 - Sale ²⁵ |
| 01/08/21 | 50.000 | 900.23 | Various | 866.23 | ... | 34.00 | Total of 3 transactions |
| 4 transactions for 01/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 50.000 | 890.47 | 11/25/20 | 871.27 | ... | 19.20 | 1 of 4 - Sale ²⁵ |
| | 100.000 | 1,780.95 | 11/25/20 | 1,646.50 | ... | 134.45 | 2 of 4 - Sale ²⁵ |
| | 100.000 | 1,780.95 | 11/25/20 | 1,645.87 | ... | 135.08 | 3 of 4 - Sale ²⁵ |
| | 50.000 | 890.47 | 01/08/21 | 903.87 | ... | -13.40 | 4 of 4 - Sale ²⁵ |
| 01/11/21 | 300.000 | 5,342.84 | Various | 5,067.51 | ... | 275.33 | Total of 4 transactions |
| 03/04/21 | 100.000 | 1,986.98 | 02/26/21 | 2,030.99 | 4.40 W | -39.61 | Sale ²⁵ |
| 4 transactions for 10/06/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 225.21 | 03/30/21 | 204.00 | ... | 21.21 | 1 of 4 - Sale ²⁵ |
| | 9.000 | 202.69 | 05/05/21 | 201.02 | ... | 1.67 | 2 of 4 - Sale ²⁵ |
| | 21.000 | 472.94 | 05/12/21 | 482.53 | ... | -9.59 | 3 of 4 - Sale ²⁵ |
| | 10.000 | 225.21 | 06/30/21 | 206.19 | ... | 19.02 | 4 of 4 - Sale ²⁵ |
| 10/06/21 | 50.000 | 1,126.05 | Various | 1,093.74 | ... | 32.31 | Total of 4 transactions |
| | Security total: | 9,356.10 | | 9,058.47 | 4.40 W | 302.03 | |
| KEYSIGHT TECHNOLOGIES, INC. / CUSIP: 49338L103 / Symbol: | | | | | | | |
| 11/01/21 | 0.496 | 90.00 | 10/19/21 | 86.40 | ... | 3.60 | Sale ²⁵ |
| 11/16/21 | 0.504 | 95.40 | 10/19/21 | 87.75 | ... | 7.65 | Sale ²⁵ |
| | Security total: | 185.40 | | 174.15 | ... | 11.25 | |
| KNIGHT-SWIFT TRANSPORTATION HOLDINGS INC. CLASS A COMMON STOCK / CUSIP: 499049104 / Symbol: | | | | | | | |
| 08/18/21 | 30.000 | 1,473.10 | 08/18/21 | 1,479.85 | ... | -6.75 | Sale ²⁵ |
| KOHL'S CORPORATION / CUSIP: 500255104 / Symbol: | | | | | | | |
| 05/11/21 | 0.486 | 27.78 | 05/03/21 | 30.00 | 2.22 W | 0.00 | Sale ²⁵ |
| 2 transactions for 05/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 54.08 | 05/20/21 | 53.06 | ... | 1.02 | 1 of 2 - Sale ²⁵ |
| | 3.514 | 190.01 | 05/20/21 | 186.57 | ... | 3.44 | 2 of 2 - Sale ²⁵ |
| 05/20/21 | 4.514 | 244.09 | Various | 239.63 | ... | 4.46 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|--|--|-------------------|-------------------------|--|---|-----------------------------|
| KOHL'S CORPORATION / CUSIP: 500255104 / Symbol: (cont'd) | | | | | | | |
| 05/20/21 | 0.486 | 26.27 | 05/20/21 | 28.01 | 1.74 W | 0.00 | Sale ²⁵ |
| | | <i>2 transactions for 05/25/21. Total proceeds and cost reported to the IRS.</i> | | | | | |
| | 0.486 | 26.72 | 05/21/21 | 28.13 | ... | -1.41 | 1 of 2 - Sale ²⁵ |
| | 9.514 | 523.27 | 05/21/21 | 516.77 | ... | 6.50 | 2 of 2 - Sale ²⁵ |
| 05/25/21 | 10.000 | 549.99 | Various | 544.90 | ... | 5.09 | Total of 2 transactions |
| | <i>7 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 0.039 | 1.99 | 10/25/21 | 1.90 | ... | 0.09 | 1 of 7 - Sale ²⁵ |
| | 0.138 | 7.08 | 10/25/21 | 6.76 | ... | 0.32 | 2 of 7 - Sale ²⁵ |
| | 0.241 | 12.42 | 10/25/21 | 11.82 | ... | 0.60 | 3 of 7 - Sale ²⁵ |
| | 0.276 | 14.21 | 10/25/21 | 13.56 | ... | 0.65 | 4 of 7 - Sale ²⁵ |
| | 2.000 | 102.96 | 10/25/21 | 98.10 | ... | 4.86 | 5 of 7 - Sale ²⁵ |
| | 1.999 | 102.94 | 10/25/21 | 98.00 | ... | 4.94 | 6 of 7 - Sale ²⁵ |
| | 7.721 | 397.57 | 10/25/21 | 379.68 | ... | 17.89 | 7 of 7 - Sale ²⁵ |
| 11/01/21 | 12.413 | 639.17 | Various | 609.82 | ... | 29.35 | Total of 7 transactions |
| 11/03/21 | 10.000 | 555.14 | 10/25/21 | 490.18 | ... | 64.96 | Sale ²⁵ |
| | Security total: | 2,042.44 | | 1,942.54 | 3.96 W | 103.86 | |
| THE KRAFT HEINZ COMPANY COMMON STOCK / CUSIP: 500754106 / Symbol: | | | | | | | |
| 03/18/21 | 4.000 | 156.12 | 03/17/21 | 154.48 | ... | 1.64 | Sale ²⁵ |
| THE KROGER CO. / CUSIP: 501044101 / Symbol: | | | | | | | |
| | <i>3 transactions for 11/03/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 0.001 | 0.03 | 10/22/21 | 0.03 | ... | 0.00 | 1 of 3 - Sale ²⁵ |
| | 4.987 | 209.25 | 10/22/21 | 199.97 | ... | 9.28 | 2 of 3 - Sale ²⁵ |
| | 2.496 | 104.72 | 10/28/21 | 98.46 | ... | 6.26 | 3 of 3 - Sale ²⁵ |
| 11/03/21 | 7.484 | 314.00 | Various | 298.46 | ... | 15.54 | Total of 3 transactions |
| 11/04/21 | 7.504 | 324.58 | 10/28/21 | 296.04 | ... | 28.54 | Sale ²⁵ |
| | <i>4 transactions for 12/23/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 2.000 | 86.83 | 12/06/21 | 90.62 | ... | -3.79 | 1 of 4 - Sale ²⁵ |
| | 2.000 | 86.84 | 12/06/21 | 89.42 | ... | -2.58 | 2 of 4 - Sale ²⁵ |
| | 0.207 | 8.99 | 12/06/21 | 9.38 | ... | -0.39 | 3 of 4 - Sale ²⁵ |
| | 0.237 | 10.28 | 12/06/21 | 10.58 | ... | -0.30 | 4 of 4 - Sale ²⁵ |
| 12/23/21 | 4.443 | 192.94 | Various | 200.00 | ... | -7.06 | Total of 4 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| THE KROGER CO. / CUSIP: 501044101 / Symbol: (cont'd) | | | | | | | |
| Security total: | | 831.52 | | 794.50 | ... | 37.02 | |
| LKQ CORPORATION COMMON STOCK / CUSIP: 501889208 / Symbol: | | | | | | | |
| 3 transactions for 11/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.642 | 38.00 | 11/03/21 | 36.50 | ... | 1.50 | 1 of 3 - Sale ²⁵ |
| | 0.847 | 50.11 | 11/03/21 | 47.86 | ... | 2.25 | 2 of 3 - Sale ²⁵ |
| | 8.153 | 482.52 | 11/03/21 | 463.50 | ... | 19.02 | 3 of 3 - Sale ²⁵ |
| 11/16/21 | 9.642 | 570.63 | Various | 547.86 | ... | 22.77 | Total of 3 transactions |
| 11/19/21 | 8.000 | 470.08 | 11/03/21 | 452.14 | ... | 17.94 | Sale ²⁵ |
| Security total: | | 1,040.71 | | 1,000.00 | ... | 40.71 | |
| LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol: | | | | | | | |
| 06/04/21 | 100.000 | 2,549.98 | 05/25/21 | 1,989.00 | ... | 560.98 | Sale ²⁵ |
| LAIX INC. / CUSIP: 50736W105 / Symbol: | | | | | | | |
| 3 transactions for 02/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 76.00 | 02/05/21 | 67.30 | ... | 8.70 | 1 of 3 - Sale ²⁵ |
| | 20.000 | 152.00 | 02/05/21 | 142.40 | ... | 9.60 | 2 of 3 - Sale ²⁵ |
| | 20.000 | 152.00 | 02/05/21 | 135.36 | ... | 16.64 | 3 of 3 - Sale ²⁵ |
| 02/05/21 | 50.000 | 380.00 | Various | 345.06 | ... | 34.94 | Total of 3 transactions |
| LAM RESEARCH CORPORATION COMMO N STOCK / CUSIP: 512807108 / Symbol: | | | | | | | |
| 03/26/21 | 1.000 | 549.40 | 03/25/21 | 545.70 | ... | 3.70 | Sale ²⁵ |
| 07/23/21 | 1.000 | 638.59 | 03/26/21 | 555.10 | ... | 83.49 | Sale ²⁵ |
| 2 transactions for 11/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.341 | 214.98 | 11/04/21 | 200.00 | ... | 14.98 | 1 of 2 - Sale ²⁵ |
| | 0.506 | 319.28 | 11/04/21 | 300.00 | ... | 19.28 | 2 of 2 - Sale ²⁵ |
| 11/16/21 | 0.846 | 534.26 | Various | 500.00 | ... | 34.26 | Total of 2 transactions |
| 2 transactions for 12/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 722.99 | 12/27/21 | 718.43 | ... | 4.56 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 722.99 | 12/27/21 | 718.43 | ... | 4.56 | 2 of 2 - Sale ²⁵ |
| 12/27/21 | 2.000 | 1,445.98 | Various | 1,436.86 | ... | 9.12 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| LAM RESEARCH CORPORATION COMMO N STOCK / CUSIP: 512807108 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 12/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 725.49 | 12/29/21 | 722.20 | ... | 3.29 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 725.54 | 12/29/21 | 722.20 | ... | 3.34 | 2 of 2 - Sale ²⁵ |
| 12/29/21 | 2.000 | 1,451.03 | Various | 1,444.40 | ... | 6.63 | Total of 2 transactions |
| | Security total: | 4,619.26 | | 4,482.06 | ... | 137.20 | |
| LAMB WESTON HOLDINGS, INC. / CUSIP: 513272104 / Symbol: | | | | | | | |
| 09/21/21 | 1.000 | 61.08 | 09/21/21 | 61.82 | ... | -0.74 | Sale ²⁵ |
| LAS VEGAS SANDS CORP. / CUSIP: 517834107 / Symbol: | | | | | | | |
| 10/15/21 | 50.000 | 2,026.02 | 09/14/21 | 1,877.00 | ... | 149.02 | Sale ²⁵ |
| 10/18/21 | 50.000 | 1,985.59 | 10/11/21 | 1,966.00 | ... | 19.59 | Sale ²⁵ |
| | Security total: | 4,011.61 | | 3,843.00 | ... | 168.61 | |
| LEMONADE, INC. / CUSIP: 52567D107 / Symbol: | | | | | | | |
| 07/02/21 | 10.000 | 1,090.90 | 06/30/21 | 1,101.72 | ... | -10.82 | Sale ²⁵ |
| LENNAR CORPORATION CLASS A / CUSIP: 526057104 / Symbol: | | | | | | | |
| 10/27/21 | 5.000 | 500.49 | 10/27/21 | 516.64 | ... | -16.15 | Sale ²⁵ |
| 2 transactions for 12/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 111.63 | 12/03/21 | 113.44 | ... | -1.81 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 111.64 | 12/03/21 | 113.38 | ... | -1.74 | 2 of 2 - Sale ²⁵ |
| 12/23/21 | 2.000 | 223.27 | Various | 226.82 | ... | -3.55 | Total of 2 transactions |
| | Security total: | 723.76 | | 743.46 | ... | -19.70 | |
| LEVI STRAUSS & CO. CLASS A COM MON STOCK / CUSIP: 52736R102 / Symbol: | | | | | | | |
| 2 transactions for 06/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 20.000 | 542.79 | 06/25/21 | 549.67 | ... | -6.88 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 271.40 | 06/25/21 | 274.83 | ... | -3.43 | 2 of 2 - Sale ²⁵ |
| 06/25/21 | 30.000 | 814.19 | Various | 824.50 | ... | -10.31 | Total of 2 transactions |
| ELI LILLY & CO. / CUSIP: 532457108 / Symbol: | | | | | | | |
| 11/29/21 | 3.000 | 764.63 | 11/17/21 | 786.93 | ... | -22.30 | Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|---|---|-------------------|-------------------------|--|---|--|
| LITHIUM AMERICAS CORP. COMMON SHARES / CUSIP: 53680Q207 / Symbol: | | | | | | | |
| 01/19/21 | 100.000 | 2,419.35 | 12/28/20 | 1,328.50 | ... | 1,090.85 | Sale ²⁵ |
| 02/10/21 | 50.000 | 1,046.97 | 02/01/21 | 984.43 | ... | 62.54 | Sale ²⁵ |
| 02/19/21 | 10.000 | 208.80 | 02/19/21 | 210.70 | 1.90 W | 0.00 | Sale ²⁵ |
| | 2 transactions for 03/03/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 168.00 | 03/03/21 | 172.80 | 4.80 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 90.000 | 1,511.98 | 03/03/21 | 1,538.10 | 26.12 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 03/03/21 | 100.000 | 1,679.98 | Various | 1,710.90 | 30.92 W | 0.00 | Total of 2 transactions |
| | 6 transactions for 03/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 32.90 | 03/03/21 | 34.30 | 1.40 W | 0.00 | 1 of 6 - Sale ²⁵ |
| | 2.000 | 33.00 | 03/03/21 | 34.68 | 1.68 W | 0.00 | 2 of 6 - Sale ²⁵ |
| | 4.000 | 66.00 | 03/03/21 | 68.60 | 2.60 W | 0.00 | 3 of 6 - Sale ²⁵ |
| | 8.000 | 132.00 | 03/03/21 | 138.72 | 6.72 W | 0.00 | 4 of 6 - Sale ²⁵ |
| | 17.000 | 280.50 | 03/03/21 | 291.55 | 11.05 W | 0.00 | 5 of 6 - Sale ²⁵ |
| | 67.000 | 1,106.15 | 03/03/21 | 1,149.07 | 42.92 W | 0.00 | 6 of 6 - Sale ²⁵ |
| 03/04/21 | 100.000 | 1,650.55 | Various | 1,716.92 | 66.37 W | 0.00 | Total of 6 transactions |
| | 6 transactions for 04/16/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 31.90 N | 03/09/21 | 32.62 | 0.72 W | 0.00 | 1 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$6.89 |
| | 2.000 | 31.90 N | 03/09/21 | 32.90 | 1.00 W | 0.00 | 2 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$6.90 |
| | 4.000 | 63.80 N | 03/09/21 | 65.04 | 1.24 W | 0.00 | 3 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$13.80 |
| | 8.000 | 127.60 N | 03/09/21 | 131.60 | 4.00 W | 0.00 | 4 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$27.60 |
| | 17.000 | 271.14 N | 03/09/21 | 276.42 | 5.28 W | 0.00 | 5 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$58.65 |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|--|---|---|-------------------|-------------------------|--|---|---|
| LITHIUM AMERICAS CORP. COMMON SHARES / CUSIP: 53680Q207 / Symbol: (cont'd) | | | | | | | |
| | 67.000 | 1,068.62 N | 03/09/21 | 1,088.79 | 20.17 W | 0.00 | 6 of 6 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$231.14 |
| 04/16/21 | 100.000 | 1,594.96 | Various | 1,627.37 | 32.41 W | 0.00 | Total of 6 transactions |
| | 6 transactions for 05/06/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 29.40 N | 04/22/21 | 28.87 | ... | 0.53 | 1 of 6 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$5.60 |
| | 2.000 | 29.40 N | 04/22/21 | 28.59 | ... | 0.81 | 2 of 6 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$5.60 |
| | 4.000 | 58.80 N | 04/22/21 | 56.98 | ... | 1.82 | 3 of 6 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$11.20 |
| | 8.000 | 117.60 N | 04/22/21 | 115.49 | ... | 2.11 | 4 of 6 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$22.40 |
| | 17.000 | 249.90 N | 04/22/21 | 242.19 | ... | 7.71 | 5 of 6 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$47.60 |
| | 67.000 | 984.88 N | 04/22/21 | 953.88 | ... | 31.00 | 6 of 6 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$187.60 |
| 05/06/21 | 100.000 | 1,469.98 | Various | 1,426.00 | ... | 43.98 | Total of 6 transactions |
| | Security total: | 10,070.59 | | 9,004.82 | 131.60 W | 1,197.37 | |
| LIVE NATION ENTERTAINMENT INC. / CUSIP: 538034109 / Symbol: | | | | | | | |
| 03/29/21 | 0.234 | 19.31 | 03/26/21 | 20.00 | 0.69 W | 0.00 | Sale ²⁵ |
| | 4 transactions for 04/05/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.234 | 20.77 | 03/31/21 | 20.47 | ... | 0.30 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 88.76 | 03/31/21 | 84.53 | ... | 4.23 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 88.76 | 03/31/21 | 84.28 | ... | 4.48 | 3 of 4 - Sale ²⁵ |
| | 2.766 | 245.50 | 03/31/21 | 233.69 | ... | 11.81 | 4 of 4 - Sale ²⁵ |
| 04/05/21 | 5.000 | 443.79 | Various | 422.97 | ... | 20.82 | Total of 4 transactions |
| | Security total: | 463.10 | | 442.97 | 0.69 W | 20.82 | |

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| LOANDEPOT, INC. / CUSIP: 53946R106 / Symbol: | | | | | | | |
| 2 transactions for 02/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.158 | 4.50 | 02/12/21 | 6.15 | ... | -1.65 | 1 of 2 - Sale ²⁵ |
| | 0.099 | 2.79 | 02/12/21 | 3.85 | ... | -1.06 | 2 of 2 - Sale ²⁵ |
| 02/16/21 | 0.257 | 7.29 | Various | 10.00 | ... | -2.71 | Total of 2 transactions |
| LOCKHEED MARTIN CORP. / CUSIP: 539830109 / Symbol: | | | | | | | |
| 2 transactions for 01/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 342.37 | 01/07/21 | 344.40 | 2.03 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 342.37 | 01/07/21 | 345.22 | 2.85 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 01/08/21 | 2.000 | 684.74 | Various | 689.62 | 4.88 W | 0.00 | Total of 2 transactions |
| 3 transactions for 01/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 346.34 | 01/15/21 | 350.55 | ... | -4.21 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 346.34 | 01/15/21 | 349.73 | ... | -3.39 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 692.68 | 01/15/21 | 695.40 | ... | -2.72 | 3 of 3 - Sale ²⁵ |
| 01/15/21 | 4.000 | 1,385.36 | Various | 1,395.68 | ... | -10.32 | Total of 3 transactions |
| 03/11/21 | 3.000 | 1,019.65 | 03/11/21 | 1,030.07 | 1.84 W | -8.58 | Sale ²⁵ |
| 03/16/21 | 2.000 | 691.91 | 03/11/21 | 686.71 | ... | 5.20 | Sale ²⁵ |
| 04/21/21 | 0.018 | 7.07 | 04/06/21 | 7.00 | ... | 0.07 | Sale ²⁵ |
| 5 transactions for 04/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.334 | 124.17 | 04/14/21 | 135.87 | ... | -11.70 | 1 of 5 - Sale ²⁵ |
| | 0.246 | 91.18 | 04/14/21 | 98.24 | ... | -7.06 | 2 of 5 - Sale ²⁵ |
| | 0.266 | 98.71 | 04/14/21 | 105.58 | ... | -6.87 | 3 of 5 - Sale ²⁵ |
| | 0.129 | 47.76 | 04/14/21 | 52.26 | ... | -4.50 | 4 of 5 - Sale ²⁵ |
| | 0.026 | 9.55 | 04/14/21 | 10.45 | ... | -0.90 | 5 of 5 - Sale ²⁵ |
| 04/26/21 | 1.000 | 371.37 | Various | 402.40 | ... | -31.03 | Total of 5 transactions |
| 5 transactions for 04/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.246 | 91.21 | 04/06/21 | 93.92 | 2.71 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 0.266 | 98.74 | 04/07/21 | 100.92 | 2.18 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 0.026 | 9.56 | 04/14/21 | 10.00 | 0.44 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 0.129 | 47.77 | 04/14/21 | 50.00 | 2.23 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 0.334 | 124.21 | 04/14/21 | 130.00 | 5.79 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 04/26/21 | 1.000 | 371.49 | Various | 384.84 | 13.35 W | 0.00 | Total of 5 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| LOCKHEED MARTIN CORP. / CUSIP: 539830109 / Symbol: (cont'd) | | | | | | | |
| 4 transactions for 08/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 362.45 | 08/26/21 | 360.85 | ... | 1.60 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 362.50 | 08/26/21 | 360.85 | ... | 1.65 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 362.63 | 08/26/21 | 360.85 | ... | 1.78 | 3 of 4 - Sale ²⁵ |
| | 2.000 | 724.89 | 08/26/21 | 721.70 | ... | 3.19 | 4 of 4 - Sale ²⁵ |
| 08/30/21 | 5.000 | 1,812.47 | Various | 1,804.25 | ... | 8.22 | Total of 4 transactions |
| 2 transactions for 09/01/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 1,073.99 | 08/26/21 | 1,082.55 | ... | -8.56 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 713.93 | 08/26/21 | 721.70 | ... | -7.77 | 2 of 2 - Sale ²⁵ |
| 09/01/21 | 5.000 | 1,787.92 | Various | 1,804.25 | ... | -16.33 | Total of 2 transactions |
| | Security total: | 8,131.98 | | 8,204.82 | 20.07 W | -52.77 | |
| LOMA NEGRA COMPANIA INDUSTRIAL ARGENTINA SOCIEDAD ANONIMA AM ERICAN DEPOSITARY SHARES (ADSS / CUSIP: 54150E104 / Symbol: | | | | | | | |
| 2 transactions for 03/03/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 100.000 | 553.98 | 02/08/21 | 547.00 | ... | 6.98 | 1 of 2 - Sale ²⁵ |
| | 100.000 | 553.98 | 02/19/21 | 576.02 | ... | -22.04 | 2 of 2 - Sale ²⁵ |
| 03/03/21 | 200.000 | 1,107.96 | Various | 1,123.02 | ... | -15.06 | Total of 2 transactions |
| 03/03/21 | 100.000 | 553.98 | 02/19/21 | 565.00 | 11.02 W | 0.00 | Sale ²⁵ |
| | Security total: | 1,661.94 | | 1,688.02 | 11.02 W | -15.06 | |
| LOUISIANA-PACIFIC CORP. / CUSIP: 546347105 / Symbol: | | | | | | | |
| 11/10/21 | 7.633 | 484.68 | 11/03/21 | 500.00 | ... | -15.32 | Sale ²⁵ |
| THE LOVESAC COMPANY COMMON STO CK / CUSIP: 54738L109 / Symbol: | | | | | | | |
| 2 transactions for 10/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 78.58 | 10/20/21 | 77.35 | ... | 1.23 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 314.32 | 10/20/21 | 311.73 | ... | 2.59 | 2 of 2 - Sale ²⁵ |
| 10/21/21 | 5.000 | 392.90 | Various | 389.08 | ... | 3.82 | Total of 2 transactions |
| 2 transactions for 10/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 298.29 | 10/20/21 | 313.86 | ... | -15.57 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 74.57 | 10/20/21 | 77.93 | ... | -3.36 | 2 of 2 - Sale ²⁵ |
| 10/26/21 | 5.000 | 372.86 | Various | 391.79 | ... | -18.93 | Total of 2 transactions |
| | Security total: | 765.76 | | 780.87 | ... | -15.11 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| LOWE'S COMPANIES INC. / CUSIP: 548661107 / Symbol: | | | | | | | |
| 01/04/21 | 10.000 | 1,603.86 | 01/04/21 | 1,611.61 | ... | -7.75 | Sale ²⁵ |
| 02/05/21 | 5.000 | 867.68 | 02/05/21 | 871.00 | ... | -3.32 | Sale ²⁵ |
| 2 transactions for 08/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 207.03 | 08/19/21 | 202.36 | ... | 4.67 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 828.14 | 08/19/21 | 808.92 | ... | 19.22 | 2 of 2 - Sale ²⁵ |
| 08/23/21 | 5.000 | 1,035.17 | Various | 1,011.28 | ... | 23.89 | Total of 2 transactions |
| 10/26/21 | 1.000 | 231.61 | 10/14/21 | 214.11 | ... | 17.50 | Sale ²⁵ |
| 12/23/21 | 2.000 | 498.93 | 11/18/21 | 494.26 | ... | 4.67 | Sale ²⁵ |
| Security total: | | 4,237.25 | | 4,202.26 | ... | 34.99 | |
| LULULEMON ATHLETICA INC. COMMO N STOCK / CUSIP: 550021109 / Symbol: | | | | | | | |
| 3 transactions for 11/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.951 | 438.21 | 11/16/21 | 453.36 | ... | -15.15 | 1 of 3 - Sale ²⁵ |
| | 0.049 | 22.50 | 11/16/21 | 24.04 | ... | -1.54 | 2 of 3 - Sale ²⁵ |
| | 0.000 | 0.08 | 11/16/21 | 0.09 | ... | -0.01 | 3 of 3 - Sale ²⁵ |
| 11/22/21 | 1.000 | 460.79 | Various | 477.49 | ... | -16.70 | Total of 3 transactions |
| 11/22/21 | 0.049 | 22.51 | 11/16/21 | 23.27 | 0.76 W | 0.00 | Sale ²⁵ |
| Security total: | | 483.30 | | 500.76 | 0.76 W | -16.70 | |
| LYFT, INC. CLASS A COMMON STOCK / CUSIP: 55087P104 / Symbol: | | | | | | | |
| 2 transactions for 09/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 75.000 | 3,795.72 | 09/08/21 | 3,824.25 | ... | -28.53 | 1 of 2 - Sale ²⁵ |
| | 25.000 | 1,265.24 | 09/08/21 | 1,274.75 | ... | -9.51 | 2 of 2 - Sale ²⁵ |
| 09/08/21 | 100.000 | 5,060.96 | Various | 5,099.00 | ... | -38.04 | Total of 2 transactions |
| 3 transactions for 11/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.001 | 0.07 | 11/04/21 | 0.07 | ... | 0.00 | 1 of 3 - Sale ²⁵ |
| | 0.005 | 0.28 | 11/04/21 | 0.27 | ... | 0.01 | 2 of 3 - Sale ²⁵ |
| | 1.995 | 103.65 | 11/04/21 | 99.58 | ... | 4.07 | 3 of 3 - Sale ²⁵ |
| 11/05/21 | 2.001 | 104.00 | Various | 99.92 | ... | 4.08 | Total of 3 transactions |
| 2 transactions for 11/09/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.005 | 0.26 | 11/04/21 | 0.23 | ... | 0.03 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 111.14 | 11/04/21 | 99.85 | ... | 11.29 | 2 of 2 - Sale ²⁵ |
| 11/09/21 | 2.005 | 111.40 | Various | 100.08 | ... | 11.32 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|-------------------------------|
| LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol: (cont'd) | | | | | | | |
| Security total: | | 5,276.36 | | 5,299.00 | ... | -22.64 | |
| M&T BANK CORP. / CUSIP: 55261F104 / Symbol: | | | | | | | |
| 10/01/21 | 5.000 | 758.49 | 09/24/21 | 716.97 | ... | 41.52 | Sale ²⁵ |
| 2 transactions for 10/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 308.02 | 10/01/21 | 307.69 | ... | 0.33 | 1 of 2 - Sale ²⁵ |
| | 0.362 | 55.81 | 10/01/21 | 55.00 | ... | 0.81 | 2 of 2 - Sale ²⁵ |
| 10/04/21 | 2.362 | 363.83 | Various | 362.69 | ... | 1.14 | Total of 2 transactions |
| 2 transactions for 11/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.999 | 466.32 | 11/03/21 | 475.38 | ... | -9.06 | 1 of 2 - Sale ²⁵ |
| | 0.155 | 24.16 | 11/03/21 | 24.62 | ... | -0.46 | 2 of 2 - Sale ²⁵ |
| 11/04/21 | 3.155 | 490.48 | Various | 500.00 | ... | -9.52 | Total of 2 transactions |
| Security total: | | 1,612.80 | | 1,579.66 | ... | 33.14 | |
| M.D.C. HOLDINGS, INC. / CUSIP: 552676108 / Symbol: | | | | | | | |
| 2 transactions for 02/10/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 292.14 | 02/08/21 | 300.30 | ... | -8.16 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 292.14 | 02/08/21 | 299.10 | ... | -6.96 | 2 of 2 - Sale ²⁵ |
| 02/10/21 | 10.000 | 584.28 | Various | 599.40 | ... | -15.12 | Total of 2 transactions |
| 10 transactions for 06/09/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 54.46 | 04/19/21 | 61.54 | 7.08 W | 0.00 | 1 of 10 - Sale ²⁵ |
| | 2.000 | 108.92 | 04/19/21 | 121.09 | 12.17 W | 0.00 | 2 of 10 - Sale ²⁵ |
| | 2.000 | 108.92 | 04/20/21 | 120.42 | 11.50 W | 0.00 | 3 of 10 - Sale ²⁵ |
| | 5.000 | 272.29 | 04/27/21 | 314.00 | 41.71 W | 0.00 | 4 of 10 - Sale ²⁵ |
| | 1.000 | 54.47 | 05/18/21 | 63.11 | 8.64 W | 0.00 | 5 of 10 - Sale ²⁵ |
| | 1.000 | 54.48 | 05/18/21 | 63.14 | 8.66 W | 0.00 | 6 of 10 - Sale ²⁵ |
| | 1.000 | 54.48 | 05/18/21 | 64.11 | 9.63 W | 0.00 | 7 of 10 - Sale ²⁵ |
| | 2.000 | 108.95 | 05/18/21 | 125.62 | 16.67 W | 0.00 | 8 of 10 - Sale ²⁵ |
| | 2.000 | 108.95 | 05/18/21 | 130.79 | 21.84 W | 0.00 | 9 of 10 - Sale ²⁵ |
| | 3.000 | 163.42 | 05/18/21 | 196.19 | 32.77 W | 0.00 | 10 of 10 - Sale ²⁵ |
| 06/09/21 | 20.000 | 1,089.34 | Various | 1,260.01 | 170.67 W | 0.00 | Total of 10 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| M.D.C. HOLDINGS, INC. / CUSIP: 552676108 / Symbol: (cont'd) | | | | | | | |
| <i>3 transactions for 07/06/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 10.000 | 498.50 | 06/09/21 | 549.37 | ... | -50.87 | 1 of 3 - Sale ²⁵ |
| | 5.000 | 249.25 | 06/10/21 | 267.45 | ... | -18.20 | 2 of 3 - Sale ²⁵ |
| | 5.000 | 249.24 | 06/24/21 | 255.30 | ... | -6.06 | 3 of 3 - Sale ²⁵ |
| 07/06/21 | 20.000 | 996.99 | Various | 1,072.12 | ... | -75.13 | Total of 3 transactions |
| <i>6 transactions for 07/06/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 1.000 | 49.85 | 05/21/21 | 64.71 | 14.86 W | 0.00 | 1 of 6 - Sale ²⁵ |
| | 1.000 | 49.85 | 05/21/21 | 64.73 | 14.88 W | 0.00 | 2 of 6 - Sale ²⁵ |
| | 1.000 | 49.85 | 05/21/21 | 65.70 | 15.85 W | 0.00 | 3 of 6 - Sale ²⁵ |
| | 2.000 | 99.70 | 05/21/21 | 128.81 | 29.11 W | 0.00 | 4 of 6 - Sale ²⁵ |
| | 2.000 | 99.70 | 05/21/21 | 133.98 | 34.28 W | 0.00 | 5 of 6 - Sale ²⁵ |
| | 3.000 | 149.55 | 05/21/21 | 200.98 | 51.43 W | 0.00 | 6 of 6 - Sale ²⁵ |
| 07/06/21 | 10.000 | 498.50 | Various | 658.91 | 160.41 W | 0.00 | Total of 6 transactions |
| <i>6 transactions for 07/29/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 3.000 | 158.75 | 07/29/21 | 211.53 | ... | -52.78 | 1 of 6 - Sale ²⁵ |
| | 2.000 | 105.84 | 07/29/21 | 141.02 | ... | -35.18 | 2 of 6 - Sale ²⁵ |
| | 2.000 | 105.84 | 07/29/21 | 135.85 | ... | -30.01 | 3 of 6 - Sale ²⁵ |
| | 1.000 | 52.92 | 07/29/21 | 69.22 | ... | -16.30 | 4 of 6 - Sale ²⁵ |
| | 1.000 | 52.92 | 07/29/21 | 68.25 | ... | -15.33 | 5 of 6 - Sale ²⁵ |
| | 1.000 | 52.92 | 07/29/21 | 68.23 | ... | -15.31 | 6 of 6 - Sale ²⁵ |
| 07/29/21 | 10.000 | 529.19 | Various | 694.10 | ... | -164.91 | Total of 6 transactions |
| | Security total: | 3,698.30 | | 4,284.54 | 331.08 W | -255.16 | |
| MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol: | | | | | | | |
| 10/14/21 | 6.000 | 288.84 | 10/12/21 | 289.95 | ... | -1.11 | Sale ²⁵ |
| MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK / CUSIP: 565788106 / Symbol: | | | | | | | |
| <i>2 transactions for 04/21/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 1.000 | 35.04 | 04/20/21 | 34.03 | ... | 1.01 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 35.05 | 04/20/21 | 32.65 | ... | 2.40 | 2 of 2 - Sale ²⁵ |
| 04/21/21 | 2.000 | 70.09 | Various | 66.68 | ... | 3.41 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| MARATHON PETROLEUM CORPORATION / CUSIP: 56585A102 / Symbol: | | | | | | | |
| 3 transactions for 01/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 435.99 | 01/12/21 | 450.05 | ... | -14.06 | 1 of 3 - Sale ²⁵ |
| | 10.000 | 435.98 | 01/13/21 | 449.07 | ... | -13.09 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 43.60 | 01/13/21 | 44.29 | ... | -0.69 | 3 of 3 - Sale ²⁵ |
| 01/15/21 | 21.000 | 915.57 | Various | 943.41 | ... | -27.84 | Total of 3 transactions |
| 10/01/21 | 2.000 | 124.63 | 09/23/21 | 121.99 | ... | 2.64 | Sale ²⁵ |
| | Security total: | 1,040.20 | | 1,065.40 | ... | -25.20 | |
| MARRIOTT INTERNATIONAL CLASS A COMMON STOCK / CUSIP: 571903202 / Symbol: | | | | | | | |
| 2 transactions for 11/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 320.00 | 10/28/21 | 319.78 | ... | 0.22 | 1 of 2 - Sale ²⁵ |
| | 8.000 | 1,279.99 | 10/28/21 | 1,279.10 | ... | 0.89 | 2 of 2 - Sale ²⁵ |
| 11/02/21 | 10.000 | 1,599.99 | Various | 1,598.88 | ... | 1.11 | Total of 2 transactions |
| MARTIN MARIETTA MATERIALS / CUSIP: 573284106 / Symbol: | | | | | | | |
| 10/22/21 | 0.268 | 102.78 | 10/06/21 | 95.11 | ... | 7.67 | Sale ²⁵ |
| 2 transactions for 10/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.732 | 289.29 | 10/06/21 | 259.84 | ... | 29.45 | 1 of 2 - Sale ²⁵ |
| | 0.268 | 105.90 | 10/18/21 | 100.00 | ... | 5.90 | 2 of 2 - Sale ²⁵ |
| 10/25/21 | 1.000 | 395.19 | Various | 359.84 | ... | 35.35 | Total of 2 transactions |
| 12/29/21 | 3.000 | 1,327.16 | 12/29/21 | 1,327.20 | ... | -0.04 | Sale ²⁵ |
| | Security total: | 1,825.13 | | 1,782.15 | ... | 42.98 | |
| MARVELL TECHNOLOGY, INC. COMMO N STOCK / CUSIP: 573874104 / Symbol: | | | | | | | |
| 3 transactions for 07/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 175.90 | 07/20/21 | 170.46 | ... | 5.44 | 1 of 3 - Sale ²⁵ |
| | 7.000 | 410.44 | 07/21/21 | 399.62 | ... | 10.82 | 2 of 3 - Sale ²⁵ |
| | 10.000 | 586.34 | 07/21/21 | 571.54 | ... | 14.80 | 3 of 3 - Sale ²⁵ |
| 07/23/21 | 20.000 | 1,172.68 | Various | 1,141.62 | ... | 31.06 | Total of 3 transactions |
| 07/26/21 | 10.000 | 589.63 | 07/26/21 | 589.50 | ... | 0.13 | Sale ²⁵ |
| 07/26/21 | 20.000 | 1,175.60 | 07/26/21 | 1,179.00 | 0.71 W | -2.69 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| MARVELL TECHNOLOGY, INC. COMMO N STOCK / CUSIP: 573874104 / Symbol: (cont'd) | | | | | | | |
| 3 transactions for 08/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 176.94 | 08/12/21 | 180.96 | ... | -4.02 | 1 of 3 - Sale ²⁵ |
| | 0.833 | 49.12 | 08/12/21 | 50.14 | ... | -1.02 | 2 of 3 - Sale ²⁵ |
| | 0.333 | 19.62 | 08/12/21 | 20.06 | ... | -0.44 | 3 of 3 - Sale ²⁵ |
| 08/16/21 | 4.165 | 245.68 | Various | 251.16 | ... | -5.48 | Total of 3 transactions |
| | Security total: | 3,183.59 | | 3,161.28 | 0.71 W | 23.02 | |
| MASTEC, INC. / CUSIP: 576323109 / Symbol: | | | | | | | |
| 03/29/21 | 1.000 | 89.56 | 03/29/21 | 91.57 | ... | -2.01 | Sale ²⁵ |
| 03/29/21 | 1.000 | 90.39 | 03/29/21 | 91.24 | 0.85 W | 0.00 | Sale ²⁵ |
| | Security total: | 179.95 | | 182.81 | 0.85 W | -2.01 | |
| MASTERCARD INCORPORATED / CUSIP: 57636Q104 / Symbol: | | | | | | | |
| 2 transactions for 07/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 364.14 | 07/13/21 | 385.20 | 21.06 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 728.28 | 07/13/21 | 768.14 | 39.86 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 07/19/21 | 3.000 | 1,092.42 | Various | 1,153.34 | 60.92 W | 0.00 | Total of 2 transactions |
| 3 transactions for 07/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 787.12 | 07/13/21 | 810.26 | ... | -23.14 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 393.56 | 07/13/21 | 406.19 | ... | -12.63 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 787.11 | 07/13/21 | 770.40 | ... | 16.71 | 3 of 3 - Sale ²⁵ |
| 07/23/21 | 5.000 | 1,967.79 | Various | 1,986.85 | ... | -19.06 | Total of 3 transactions |
| | Security total: | 3,060.21 | | 3,140.19 | 60.92 W | -19.06 | |
| MATCH GROUP, INC. COMMON STOCK / CUSIP: 57667L107 / Symbol: | | | | | | | |
| 2 transactions for 01/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 148.00 | 01/13/21 | 156.87 | 8.87 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 591.98 | 01/13/21 | 627.48 | 35.50 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 01/15/21 | 5.000 | 739.98 | Various | 784.35 | 44.37 W | 0.00 | Total of 2 transactions |
| 3 transactions for 02/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 599.98 | 02/02/21 | 635.96 | ... | -35.98 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 150.00 | 02/02/21 | 158.99 | ... | -8.99 | 2 of 3 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| MATCH GROUP, INC. COMMON STOCK / CUSIP: 57667L107 / Symbol: (cont'd) | | | | | | | |
| | 5.000 | 749.98 | 02/02/21 | 750.57 | ... | -0.59 | 3 of 3 - Sale ²⁵ |
| 02/02/21 | 10.000 | 1,499.96 | Various | 1,545.52 | ... | -45.56 | Total of 3 transactions |
| | Security total: | 2,239.94 | | 2,329.87 | 44.37 W | -45.56 | |
| MATSONS, INC. / CUSIP: 57686G105 / Symbol: | | | | | | | |
| 2 transactions for 04/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 337.20 | 04/21/21 | 338.75 | ... | -1.55 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 337.19 | 04/21/21 | 336.95 | ... | 0.24 | 2 of 2 - Sale ²⁵ |
| 04/26/21 | 10.000 | 674.39 | Various | 675.70 | ... | -1.31 | Total of 2 transactions |
| MCCORMICK & COMPANY, INCORPORATED NON-VTG CS / CUSIP: 579780206 / Symbol: | | | | | | | |
| 08/17/21 | 2.000 | 174.90 | 08/17/21 | 175.12 | ... | -0.22 | Sale ²⁵ |
| 2 transactions for 11/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 13.000 | 1,033.49 | 10/29/21 | 1,063.27 | ... | -29.78 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 79.51 | 10/29/21 | 81.79 | ... | -2.28 | 2 of 2 - Sale ²⁵ |
| 11/08/21 | 14.000 | 1,113.00 | Various | 1,145.06 | ... | -32.06 | Total of 2 transactions |
| | Security total: | 1,287.90 | | 1,320.18 | ... | -32.28 | |
| MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol: | | | | | | | |
| 2 transactions for 01/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 631.33 | 01/04/21 | 630.36 | ... | 0.97 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 1,047.83 | 01/04/21 | 1,046.65 | ... | 1.18 | 2 of 2 - Sale ²⁵ |
| 01/04/21 | 8.000 | 1,679.16 | Various | 1,677.01 | ... | 2.15 | Total of 2 transactions |
| 3 transactions for 01/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 209.57 | 01/04/21 | 220.67 | 11.10 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 209.57 | 01/04/21 | 220.94 | 11.37 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 3.000 | 628.70 | 01/04/21 | 661.72 | 33.02 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 01/04/21 | 5.000 | 1,047.84 | Various | 1,103.33 | 55.49 W | 0.00 | Total of 3 transactions |
| 2 transactions for 02/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 425.62 | 01/14/21 | 441.79 | ... | -16.17 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 212.81 | 01/14/21 | 220.89 | ... | -8.08 | 2 of 2 - Sale ²⁵ |
| 02/19/21 | 3.000 | 638.43 | Various | 662.68 | ... | -24.25 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 02/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 212.44 | 01/14/21 | 221.25 | ... | -8.81 | 1 of 2 - Sale ²⁵ |
| 02/22/21 | 1.000 | 212.44 | 01/14/21 | 220.99 | ... | -8.55 | 2 of 2 - Sale ²⁵ |
| | 2.000 | 424.88 | Various | 442.24 | ... | -17.36 | Total of 2 transactions |
| 2 transactions for 02/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 212.98 | 01/14/21 | 208.59 | ... | 4.39 | 1 of 2 - Sale ²⁵ |
| 02/23/21 | 4.000 | 851.78 | 01/14/21 | 834.36 | ... | 17.42 | 2 of 2 - Sale ²⁵ |
| 04/13/21 | 5.000 | 1,064.76 | Various | 1,042.95 | ... | 21.81 | Total of 2 transactions |
| | 0.134 | 30.75 | 04/01/21 | 30.00 | ... | 0.75 | Sale ²⁵ |
| 2 transactions for 06/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 232.20 | 04/06/21 | 231.87 | ... | 0.33 | 1 of 2 - Sale ²⁵ |
| 06/04/21 | 3.000 | 696.59 | 04/07/21 | 695.52 | ... | 1.07 | 2 of 2 - Sale ²⁵ |
| | 4.000 | 928.79 | Various | 927.39 | ... | 1.40 | Total of 2 transactions |
| 3 transactions for 12/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 267.15 | 10/28/21 | 245.28 | ... | 21.87 | 1 of 3 - Sale ²⁵ |
| 12/30/21 | 2.000 | 534.29 | 10/28/21 | 492.00 | ... | 42.29 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 534.30 | 10/28/21 | 490.58 | ... | 43.72 | 3 of 3 - Sale ²⁵ |
| | 5.000 | 1,335.74 | Various | 1,227.86 | ... | 107.88 | Total of 3 transactions |
| | Security total: | 7,150.35 | | 7,113.46 | 55.49 W | 92.38 | |
| MERCCK & CO., INC. / CUSIP: 58933Y105 / Symbol: | | | | | | | |
| 3 transactions for 06/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 13.000 | 962.41 | 06/04/21 | 965.74 | ... | -3.33 | 1 of 3 - Sale ²⁵ |
| 06/04/21 | 6.000 | 444.19 | 06/04/21 | 445.08 | ... | -0.89 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 74.03 | 06/04/21 | 74.29 | ... | -0.26 | 3 of 3 - Sale ²⁵ |
| | 20.000 | 1,480.63 | Various | 1,485.11 | ... | -4.48 | Total of 3 transactions |
| 2 transactions for 11/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 416.43 | 10/29/21 | 436.04 | 19.61 W | 0.00 | 1 of 2 - Sale ²⁵ |
| 11/12/21 | 5.000 | 416.45 | 10/29/21 | 436.04 | 19.59 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 11/29/21 | 10.000 | 832.88 | Various | 872.08 | 39.20 W | 0.00 | Total of 2 transactions |
| | 1.000 | 75.77 | 11/11/21 | 88.15 | 12.38 W | 0.00 | Sale ²⁵ |
| | Security total: | 2,389.28 | | 2,445.34 | 51.58 W | -4.48 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: | | | | | | | |
| <i>2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 5.000 | 1,206.47 | 01/12/21 | 1,074.65 | ... | 131.82 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 1,206.47 | 01/13/21 | 1,072.25 | ... | 134.22 | 2 of 2 - Sale ²⁵ |
| 02/04/21 | 10.000 | 2,412.94 | Various | 2,146.90 | ... | 266.04 | Total of 2 transactions |
| <i>2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 5.000 | 1,235.64 | 03/04/21 | 1,135.35 | ... | 100.29 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 1,235.64 | 03/10/21 | 1,171.73 | ... | 63.91 | 2 of 2 - Sale ²⁵ |
| 05/04/21 | 10.000 | 2,471.28 | Various | 2,307.08 | ... | 164.20 | Total of 2 transactions |
| <i>2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 3.000 | 794.93 | 05/05/21 | 746.58 | ... | 48.35 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 529.96 | 05/24/21 | 498.08 | ... | 31.88 | 2 of 2 - Sale ²⁵ |
| 06/25/21 | 5.000 | 1,324.89 | Various | 1,244.66 | ... | 80.23 | Total of 2 transactions |
| 09/15/21 | 3.000 | 911.86 | 09/15/21 | 910.05 | ... | 1.81 | Sale ²⁵ |
| 10/04/21 | 2.000 | 566.84 | 10/01/21 | 572.30 | 5.46 W | 0.00 | Sale ²⁵ |
| <i>5 transactions for 10/20/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.676 | 207.60 | 10/07/21 | 201.85 | ... | 5.75 | 1 of 5 - Sale ²⁵ |
| | 1.000 | 307.16 | 10/08/21 | 297.50 | ... | 9.66 | 2 of 5 - Sale ²⁵ |
| | 0.324 | 99.57 | 10/11/21 | 97.13 | ... | 2.44 | 3 of 5 - Sale ²⁵ |
| | 0.676 | 207.59 | 10/11/21 | 200.68 | ... | 6.91 | 4 of 5 - Sale ²⁵ |
| | 2.000 | 614.31 | 10/18/21 | 614.06 | ... | 0.25 | 5 of 5 - Sale ²⁵ |
| 10/20/21 | 4.676 | 1,436.23 | Various | 1,411.22 | ... | 25.01 | Total of 5 transactions |
| | Security total: | 9,124.04 | | 8,592.21 | 5.46 W | 537.29 | |
| MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol: | | | | | | | |
| 05/25/21 | 20.000 | 294.60 | 01/27/21 | 157.60 | ... | 137.00 | Sale ²⁵ |
| 06/04/21 | 10.000 | 213.34 | 01/27/21 | 78.80 | ... | 134.54 | Sale ²⁵ |
| 06/11/21 | 10.000 | 210.85 | 01/27/21 | 78.81 | ... | 132.04 | Sale ²⁵ |
| <i>2 transactions for 08/10/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 10.000 | 153.32 | 01/27/21 | 78.80 | ... | 74.52 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 153.31 | 04/15/21 | 115.78 | ... | 37.53 | 2 of 2 - Sale ²⁵ |
| 08/10/21 | 20.000 | 306.63 | Various | 194.58 | ... | 112.05 | Total of 2 transactions |
| | Security total: | 1,025.42 | | 509.79 | ... | 515.63 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| MICROSTRATEGY INCORPORATED COM MON STOCK CLASS A / CUSIP: 594972408 / Symbol: | | | | | | | |
| 01/28/21 | 2.000 | 1,136.79 | 01/28/21 | 1,132.04 | ... | 4.75 | Sale ²⁵ |
| 02/16/21 | 0.098 | 101.11 | 02/11/21 | 97.00 | ... | 4.11 | Sale ²⁵ |
| | 2 transactions for 02/16/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.191 | 198.34 | 02/11/21 | 200.00 | 1.66 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 0.287 | 297.67 | 02/11/21 | 300.00 | 2.33 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 02/16/21 | 0.479 | 496.01 | Various | 500.00 | 3.99 W | 0.00 | Total of 2 transactions |
| | 3 transactions for 06/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.521 | 224.84 | 02/16/21 | 548.47 | ... | -323.63 | 1 of 3 - Sale ²⁵ |
| | 0.287 | 123.94 | 02/16/21 | 304.69 | ... | -180.75 | 2 of 3 - Sale ²⁵ |
| | 0.191 | 82.59 | 02/16/21 | 203.13 | ... | -120.54 | 3 of 3 - Sale ²⁵ |
| 06/08/21 | 1.000 | 431.37 | Various | 1,056.29 | ... | -624.92 | Total of 3 transactions |
| 08/10/21 | 1.000 | 759.18 | 02/17/21 | 891.00 | ... | -131.82 | Sale ²⁵ |
| 10/25/21 | 0.129 | 95.46 | 10/20/21 | 100.00 | ... | -4.54 | Sale ²⁵ |
| | Security total: | 3,019.92 | | 3,776.33 | 3.99 W | -752.42 | |
| MICROCHIP TECHNOLOGY INCORPORA TED COMMON STOCK / CUSIP: 595017104 / Symbol: | | | | | | | |
| 10/26/21 | 2.000 | 148.21 | 10/22/21 | 152.79 | ... | -4.58 | Sale ²⁵ |
| MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol: | | | | | | | |
| 03/16/21 | 9.000 | 827.72 | 03/16/21 | 845.69 | ... | -17.97 | Sale ²⁵ |
| MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: | | | | | | | |
| | 4 transactions for 06/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 218.53 | 06/21/21 | 208.60 | ... | 9.93 | 1 of 4 - Sale ²⁵ |
| | 2.000 | 437.66 | 06/21/21 | 417.20 | ... | 20.46 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 216.27 | 06/22/21 | 220.25 | ... | -3.98 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 218.53 | 06/22/21 | 220.25 | ... | -1.72 | 4 of 4 - Sale ²⁵ |
| 06/23/21 | 5.000 | 1,090.99 | Various | 1,066.30 | ... | 24.69 | Total of 4 transactions |
| 11/26/21 | 2.000 | 669.99 | 11/22/21 | 548.07 | ... | 121.92 | Sale ²⁵ |
| | Security total: | 1,760.98 | | 1,614.37 | ... | 146.61 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| MOLSON COORS BEVERAGE COMPANY CLASS B / CUSIP: 60871R209 / Symbol: | | | | | | | |
| 11/09/21 | 10.522 | 477.98 | 11/03/21 | 500.00 | ... | -22.02 | Sale ²⁵ |
| | 4 transactions for 11/09/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 9.821 | 446.12 | 11/03/21 | 460.88 | 0.51 W | -14.25 | 1 of 4 - Sale ²⁵ |
| | 0.176 | 8.01 | 11/03/21 | 8.27 | 0.26 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 0.176 | 8.01 | 11/03/21 | 8.53 | 0.52 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 0.481 | 21.86 | 11/03/21 | 22.58 | 0.72 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 11/09/21 | 10.654 | 484.00 | Various | 500.26 | 2.01 W | -14.25 | Total of 4 transactions |
| | 3 transactions for 11/26/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.481 | 21.86 | 11/11/21 | 23.45 | ... | -1.59 | 1 of 3 - Sale ²⁵ |
| | 0.342 | 15.56 | 11/11/21 | 16.68 | ... | -1.12 | 2 of 3 - Sale ²⁵ |
| | 0.176 | 8.01 | 11/11/21 | 8.84 | ... | -0.83 | 3 of 3 - Sale ²⁵ |
| 11/26/21 | 1.000 | 45.43 | Various | 48.97 | ... | -3.54 | Total of 3 transactions |
| | Security total: | 1,007.41 | | 1,049.23 | 2.01 W | -39.81 | |
| MONGODB, INC. CLASS A COMMON S TOCK / CUSIP: 60937P106 / Symbol: | | | | | | | |
| 12/27/21 | 3.000 | 1,681.25 | 12/27/21 | 1,691.36 | ... | -10.11 | Sale ²⁵ |
| MONOLITHIC POWER SYSTEMS, INC. COMMON STOCK / CUSIP: 609839105 / Symbol: | | | | | | | |
| | 2 transactions for 10/27/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.101 | 53.31 | 10/19/21 | 50.00 | ... | 3.31 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 526.63 | 10/19/21 | 493.19 | ... | 33.44 | 2 of 2 - Sale ²⁵ |
| 10/27/21 | 1.101 | 579.94 | Various | 543.19 | ... | 36.75 | Total of 2 transactions |
| MONSTER BEVERAGE CORPORATION / CUSIP: 61174X109 / Symbol: | | | | | | | |
| 08/12/21 | 10.000 | 976.59 | 08/09/21 | 981.20 | ... | -4.61 | Sale ²⁵ |
| | 2 transactions for 11/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.832 | 73.04 | 11/02/21 | 71.35 | ... | 1.69 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 438.72 | 11/02/21 | 428.65 | ... | 10.07 | 2 of 2 - Sale ²⁵ |
| 11/04/21 | 5.832 | 511.76 | Various | 500.00 | ... | 11.76 | Total of 2 transactions |
| | Security total: | 1,488.35 | | 1,481.20 | ... | 7.15 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|--|---|-------------------|-------------------------|--|---|-----------------------------|
| MORGAN STANLEY / CUSIP: 617446448 / Symbol: | | | | | | | |
| 07/12/21 | 5.000 | 465.51 | 07/12/21 | 463.72 | ... | 1.79 | Sale ²⁵ |
| 10/20/21 | 2.891 | 289.33 | 10/18/21 | 300.00 | ... | -10.67 | Sale ²⁵ |
| | Security total: | 754.84 | | 763.72 | ... | -8.88 | |
| THE MOSAIC COMPANY / CUSIP: 61945C103 / Symbol: | | | | | | | |
| 04/01/21 | 10.000 | 313.80 | 03/29/21 | 326.87 | ... | -13.07 | Sale ²⁵ |
| 10/27/21 | 10.000 | 410.20 | 10/20/21 | 428.29 | ... | -18.09 | Sale ²⁵ |
| | Security total: | 724.00 | | 755.16 | ... | -31.16 | |
| NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: | | | | | | | |
| 02/19/21 | 20.000 | 1,103.77 | 02/19/21 | 1,113.57 | 9.80 W | 0.00 | Sale ²⁵ |
| | <i>2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 20.000 | 737.99 | 03/05/21 | 704.40 | ... | 33.59 | 1 of 2 - Sale ²⁵ |
| | 80.000 | 2,951.98 | 03/05/21 | 2,778.40 | ... | 173.58 | 2 of 2 - Sale ²⁵ |
| 03/05/21 | 100.000 | 3,689.97 | Various | 3,482.80 | ... | 207.17 | Total of 2 transactions |
| 04/21/21 | 8.000 | 295.84 | 04/15/21 | 282.40 | ... | 13.44 | Sale ²⁵ |
| | Security total: | 5,089.58 | | 4,878.77 | 9.80 W | 220.61 | |
| NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: | | | | | | | |
| 01/19/21 | 2.000 | 1,049.97 | 01/19/21 | 1,000.50 | ... | 49.47 | Sale ²⁵ |
| | <i>2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 0.764 | 508.46 | 10/19/21 | 500.00 | ... | 8.46 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 665.58 | 10/21/21 | 647.87 | ... | 17.71 | 2 of 2 - Sale ²⁵ |
| 10/26/21 | 1.764 | 1,174.04 | Various | 1,147.87 | ... | 26.17 | Total of 2 transactions |
| | Security total: | 2,224.01 | | 2,148.37 | ... | 75.64 | |
| NETEASE, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 64110W102 / Symbol: | | | | | | | |
| 10/05/21 | 5.000 | 416.40 | 09/29/21 | 426.67 | ... | -10.27 | Sale ²⁵ |
| 10/06/21 | 5.000 | 438.88 | 09/29/21 | 426.66 | ... | 12.22 | Sale ²⁵ |
| | <i>3 transactions for 11/15/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 0.662 | 72.78 | 11/12/21 | 74.30 | ... | -1.52 | 1 of 3 - Sale ²⁵ |
| | 0.337 | 37.07 | 11/12/21 | 38.58 | ... | -1.51 | 2 of 3 - Sale ²⁵ |
| | 0.000 | 0.04 | 11/12/21 | 0.04 | ... | 0.00 | 3 of 3 - Sale ²⁵ |
| 11/15/21 | 1.000 | 109.89 | Various | 112.92 | ... | -3.03 | Total of 3 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| NETEASE, INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 64110W102 / Symbol: (cont'd) | | | | | | | |
| 11/15/21 | 0.337 | 37.08 | 11/12/21 | 37.82 | 0.74 W | 0.00 | Sale ²⁵ |
| | Security total: | 1,002.25 | | 1,004.07 | 0.74 W | -1.08 | |
| NEW MOUNTAIN FINANCE CORPORATI ON COMMON STOCK / CUSIP: 647551100 / Symbol: | | | | | | | |
| 03/16/21 | 100.000 | 1,265.32 | 03/16/21 | 1,273.00 | ... | -7.68 | Sale ²⁵ |
| NEW ORIENTAL EDUCATION AND TEC HNOLOGY GROUP, INC. / CUSIP: 647581107 / Symbol: | | | | | | | |
| 07/23/21 | 200.000 | 520.59 | 07/23/21 | 530.00 | ... | -9.41 | Sale ²⁵ |
| NEXTRA ENERGY, INC. / CUSIP: 65339F101 / Symbol: | | | | | | | |
| | 2 transactions for 01/05/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 373.44 | 12/18/20 | 376.37 | 2.93 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 373.45 | 12/18/20 | 376.01 | 2.56 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 01/05/21 | 10.000 | 746.89 | Various | 752.38 | 5.49 W | 0.00 | Total of 2 transactions |
| | 6 transactions for 02/10/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 83.66 | 01/14/21 | 82.87 | ... | 0.79 | 1 of 6 - Sale ²⁵ |
| | 1.000 | 83.66 | 01/14/21 | 82.50 | ... | 1.16 | 2 of 6 - Sale ²⁵ |
| | 2.000 | 167.32 | 01/14/21 | 165.97 | ... | 1.35 | 3 of 6 - Sale ²⁵ |
| | 3.000 | 250.99 | 01/14/21 | 248.84 | ... | 2.15 | 4 of 6 - Sale ²⁵ |
| | 4.000 | 334.65 | 01/14/21 | 331.49 | ... | 3.16 | 5 of 6 - Sale ²⁵ |
| | 9.000 | 752.96 | 01/14/21 | 742.50 | ... | 10.46 | 6 of 6 - Sale ²⁵ |
| 02/10/21 | 20.000 | 1,673.24 | Various | 1,654.17 | ... | 19.07 | Total of 6 transactions |
| 04/21/21 | 5.000 | 389.58 | 03/30/21 | 371.00 | ... | 18.58 | Sale ²⁵ |
| | 7 transactions for 06/30/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 3.000 | 221.82 | 03/30/21 | 222.60 | 0.78 W | 0.00 | 1 of 7 - Sale ²⁵ |
| | 2.000 | 147.88 | 03/31/21 | 151.02 | 3.14 W | 0.00 | 2 of 7 - Sale ²⁵ |
| | 5.000 | 369.70 | 04/22/21 | 395.90 | 26.20 W | 0.00 | 3 of 7 - Sale ²⁵ |
| | 2.000 | 147.88 | 05/05/21 | 148.28 | 0.40 W | 0.00 | 4 of 7 - Sale ²⁵ |
| | 1.000 | 73.94 | 05/07/21 | 74.58 | 0.64 W | 0.00 | 5 of 7 - Sale ²⁵ |
| | 2.000 | 147.88 | 05/07/21 | 149.10 | 1.22 W | 0.00 | 6 of 7 - Sale ²⁵ |
| | 5.000 | 369.69 | 05/07/21 | 372.75 | 3.06 W | 0.00 | 7 of 7 - Sale ²⁵ |
| 06/30/21 | 20.000 | 1,478.79 | Various | 1,514.23 | 35.44 W | 0.00 | Total of 7 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------------|
| NEXTRA ENERGY, INC. / CUSIP: 65339F101 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 07/07/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 375.70 | 05/12/21 | 363.30 | ... | 12.40 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 375.70 | 05/25/21 | 368.90 | ... | 6.80 | 2 of 2 - Sale ²⁵ |
| 07/07/21 | 10.000 | 751.40 | Various | 732.20 | ... | 19.20 | Total of 2 transactions |
| 3 transactions for 07/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 231.39 | 05/27/21 | 220.07 | ... | 11.32 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 154.26 | 06/08/21 | 146.94 | ... | 7.32 | 2 of 3 - Sale ²⁵ |
| | 3.000 | 231.39 | 06/08/21 | 216.48 | ... | 14.91 | 3 of 3 - Sale ²⁵ |
| 07/20/21 | 8.000 | 617.04 | Various | 583.49 | ... | 33.55 | Total of 3 transactions |
| 07/20/21 | 2.000 | 154.26 | 06/08/21 | 154.28 | 0.02 W | 0.00 | Sale ²⁵ |
| 10 transactions for 07/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 233.35 | 06/08/21 | 231.42 | ... | 1.93 | 1 of 10 - Sale ²⁵ |
| | 2.000 | 155.57 | 06/08/21 | 144.45 | ... | 11.12 | 2 of 10 - Sale ²⁵ |
| | 2.000 | 155.57 | 06/10/21 | 147.91 | ... | 7.66 | 3 of 10 - Sale ²⁵ |
| | 3.000 | 233.35 | 06/10/21 | 221.88 | ... | 11.47 | 4 of 10 - Sale ²⁵ |
| | 1.000 | 77.78 | 06/24/21 | 73.58 | ... | 4.20 | 5 of 10 - Sale ²⁵ |
| | 2.000 | 155.57 | 06/24/21 | 147.10 | ... | 8.47 | 6 of 10 - Sale ²⁵ |
| | 2.000 | 155.57 | 06/24/21 | 145.89 | ... | 9.68 | 7 of 10 - Sale ²⁵ |
| | 15.000 | 1,166.75 | 06/28/21 | 1,128.43 | ... | 38.32 | 8 of 10 - Sale ²⁵ |
| | 10.000 | 777.83 | 06/30/21 | 733.59 | ... | 44.24 | 9 of 10 - Sale ²⁵ |
| | 5.000 | 388.98 | 07/19/21 | 383.40 | ... | 5.58 | 10 of 10 - Sale ²⁵ |
| 07/29/21 | 45.000 | 3,500.32 | Various | 3,357.65 | ... | 142.67 | Total of 10 transactions |
| 5 transactions for 09/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 407.40 | 07/19/21 | 383.40 | ... | 24.00 | 1 of 5 - Sale ²⁵ |
| | 5.000 | 407.40 | 07/29/21 | 388.95 | ... | 18.45 | 2 of 5 - Sale ²⁵ |
| | 10.000 | 814.79 | 08/06/21 | 808.88 | ... | 5.91 | 3 of 5 - Sale ²⁵ |
| | 10.000 | 814.79 | 08/06/21 | 806.55 | ... | 8.24 | 4 of 5 - Sale ²⁵ |
| | 10.000 | 814.80 | 08/27/21 | 841.45 | ... | -26.65 | 5 of 5 - Sale ²⁵ |
| 09/20/21 | 40.000 | 3,259.18 | Various | 3,229.23 | ... | 29.95 | Total of 5 transactions |
| | Security total: | 12,570.70 | | 12,348.63 | 40.95 W | 263.02 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| NIKE, INC. / CUSIP: 654106103 / Symbol: | | | | | | | |
| 03/10/21 | 4.000 | 547.16 | 03/05/21 | 521.88 | ... | 25.28 | Sale ²⁵ |
| | | 2 transactions for 03/16/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 1.000 | 144.71 | 03/05/21 | 130.47 | ... | 14.24 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 578.83 | 03/05/21 | 527.14 | ... | 51.69 | 2 of 2 - Sale ²⁵ |
| 03/16/21 | 5.000 | 723.54 | Various | 657.61 | ... | 65.93 | Total of 2 transactions |
| | | 2 transactions for 03/18/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 1.000 | 144.55 | 03/05/21 | 131.79 | ... | 12.76 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 433.66 | 03/10/21 | 411.50 | ... | 22.16 | 2 of 2 - Sale ²⁵ |
| 03/18/21 | 4.000 | 578.21 | Various | 543.29 | ... | 34.92 | Total of 2 transactions |
| | | 2 transactions for 03/24/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 1.000 | 133.75 | 03/18/21 | 155.51 | ... | -21.76 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 133.75 | 03/18/21 | 147.58 | ... | -13.83 | 2 of 2 - Sale ²⁵ |
| 03/24/21 | 2.000 | 267.50 | Various | 303.09 | ... | -35.59 | Total of 2 transactions |
| | | 2 transactions for 03/24/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 1.000 | 135.68 | 03/10/21 | 137.16 | 1.48 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 271.36 | 03/18/21 | 292.20 | 10.42 W | -10.42 | 2 of 2 - Sale ²⁵ |
| 03/24/21 | 3.000 | 407.04 | Various | 429.36 | 11.90 W | -10.42 | Total of 2 transactions |
| 06/30/21 | 10.000 | 1,540.04 | 06/25/21 | 1,540.00 | ... | 0.04 | Sale ²⁵ |
| 10/13/21 | 5.000 | 768.84 | 10/13/21 | 773.88 | ... | -5.04 | Sale ²⁵ |
| 10/19/21 | 5.000 | 787.87 | 10/13/21 | 773.87 | ... | 14.00 | Sale ²⁵ |
| | Security total: | 5,620.20 | | 5,542.98 | 11.90 W | 89.12 | |
| 9F INC. AMERICAN DEPOSITARY SH ARES / CUSIP: 65442R109 / Symbol: | | | | | | | |
| 02/10/21 | 40.000 | 95.60 | 02/10/21 | 99.08 | ... | -3.48 | Sale ²⁵ |
| NIU TECHNOLOGIES AMERICAN DEPO SITARY SHARES / CUSIP: 65481N100 / Symbol: | | | | | | | |
| 06/30/21 | 4.000 | 129.00 | 06/29/21 | 132.24 | 3.24 W | 0.00 | Sale ²⁵ |
| | | 3 transactions for 07/26/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 16.000 | 422.55 | 07/23/21 | 445.37 | ... | -22.82 | 1 of 3 - Sale ²⁵ |
| | 10.000 | 264.10 | 07/23/21 | 278.72 | ... | -14.62 | 2 of 3 - Sale ²⁵ |
| | 4.000 | 105.64 | 07/23/21 | 114.58 | ... | -8.94 | 3 of 3 - Sale ²⁵ |
| 07/26/21 | 30.000 | 792.29 | Various | 838.67 | ... | -46.38 | Total of 3 transactions |
| | Security total: | 921.29 | | 970.91 | 3.24 W | -46.38 | |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| NORFOLK SOUTHERN CORP. / CUSIP: 655844108 / Symbol: | | | | | | | |
| 08/23/21 | 3.000 | 796.33 | 08/12/21 | 803.32 | ... | -6.99 | Sale ²⁵ |
| 10/07/21 | 1.000 | 262.13 | 10/04/21 | 248.80 | ... | 13.33 | Sale ²⁵ |
| | Security total: | 1,058.46 | | 1,052.12 | ... | 6.34 | |
| NORTHERN OIL AND GAS, INC. / CUSIP: 665531307 / Symbol: | | | | | | | |
| 3 transactions for 02/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 35.000 | 467.24 | 02/25/21 | 473.20 | ... | -5.96 | 1 of 3 - Sale ²⁵ |
| | 35.000 | 467.24 | 02/25/21 | 473.20 | ... | -5.96 | 2 of 3 - Sale ²⁵ |
| | 15.000 | 200.25 | 02/25/21 | 205.35 | ... | -5.10 | 3 of 3 - Sale ²⁵ |
| 02/25/21 | 85.000 | 1,134.73 | Various | 1,151.75 | ... | -17.02 | Total of 3 transactions |
| 02/25/21 | 15.000 | 200.25 | 02/25/21 | 202.80 | 2.55 W | 0.00 | Sale ²⁵ |
| | Security total: | 1,334.98 | | 1,354.55 | 2.55 W | -17.02 | |
| NORTHERN TRUST CORPORATION COM MON STOCK / CUSIP: 665859104 / Symbol: | | | | | | | |
| 08/30/21 | 5.000 | 599.37 | 08/10/21 | 579.90 | ... | 19.47 | Sale ²⁵ |
| NORTHROP GRUMMAN CORP. / CUSIP: 666807102 / Symbol: | | | | | | | |
| 3 transactions for 12/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 381.25 | 11/23/21 | 367.00 | ... | 14.25 | 1 of 3 - Sale ²⁵ |
| | 0.550 | 209.54 | 12/06/21 | 200.00 | ... | 9.54 | 2 of 3 - Sale ²⁵ |
| | 0.004 | 1.57 | 12/16/21 | 1.57 | ... | 0.00 | 3 of 3 - Sale ²⁵ |
| 12/23/21 | 1.554 | 592.36 | Various | 568.57 | ... | 23.79 | Total of 3 transactions |
| NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: | | | | | | | |
| 4 transactions for 08/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 237.22 | 08/13/21 | 268.02 | ... | -30.80 | 1 of 4 - Sale ²⁵ |
| | 0.406 | 96.24 | 08/13/21 | 103.19 | ... | -6.95 | 2 of 4 - Sale ²⁵ |
| | 0.166 | 39.42 | 08/13/21 | 44.48 | ... | -5.06 | 3 of 4 - Sale ²⁵ |
| | 0.000 | 0.08 | 08/13/21 | 0.09 | ... | -0.01 | 4 of 4 - Sale ²⁵ |
| 08/16/21 | 1.572 | 372.96 | Various | 415.78 | ... | -42.82 | Total of 4 transactions |
| 2 transactions for 08/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.428 | 338.94 | 08/13/21 | 358.33 | 13.57 W | -5.82 | 1 of 2 - Sale ²⁵ |
| | 0.166 | 39.46 | 08/13/21 | 41.67 | 2.21 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 08/16/21 | 1.594 | 378.40 | Various | 400.00 | 15.78 W | -5.82 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd) | | | | | | | |
| 4 transactions for 11/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.000 | 0.05 | 11/02/21 | 0.05 | ... | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.633 | 121.94 | 11/02/21 | 120.23 | ... | 1.71 | 2 of 4 - Sale ²⁵ |
| | 2.000 | 385.26 | 11/02/21 | 379.72 | ... | 5.54 | 3 of 4 - Sale ²⁵ |
| | 2.803 | 539.80 | 11/02/21 | 500.00 | ... | 39.80 | 4 of 4 - Sale ²⁵ |
| 11/02/21 | 5.437 | 1,047.05 | Various | 1,000.00 | ... | 47.05 | Total of 4 transactions |
| | Security total: | 1,798.41 | | 1,815.78 | 15.78 W | -1.59 | |
| NUCOR CORPORATION / CUSIP: 670346105 / Symbol: | | | | | | | |
| 08/10/21 | 3.000 | 331.80 | 08/09/21 | 324.96 | ... | 6.84 | Sale ²⁵ |
| 08/30/21 | 10.000 | 1,215.99 | 08/30/21 | 1,231.54 | ... | -15.55 | Sale ²⁵ |
| 11/02/21 | 2.000 | 214.29 | 10/29/21 | 229.10 | ... | -14.81 | Sale ²⁵ |
| | Security total: | 1,762.08 | | 1,785.60 | ... | -23.52 | |
| NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: | | | | | | | |
| 3 transactions for 01/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 540.24 | 12/30/20 | 540.49 | ... | -0.25 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 540.25 | 12/30/20 | 535.19 | ... | 5.06 | 2 of 3 - Sale ²⁵ |
| | 3.000 | 1,620.74 | 12/30/20 | 1,595.10 | ... | 25.64 | 3 of 3 - Sale ²⁵ |
| 01/04/21 | 5.000 | 2,701.23 | Various | 2,670.78 | ... | 30.45 | Total of 3 transactions |
| 02/12/21 | 5.000 | 2,989.73 | 02/08/21 | 2,808.23 | ... | 181.50 | Sale ²⁵ |
| 02/16/21 | 1.000 | 605.71 | 02/16/21 | 606.50 | 0.79 W | 0.00 | Sale ²⁵ |
| 02/24/21 | 1.000 | 557.63 | 02/24/21 | 565.66 | ... | -8.03 | Sale ²⁵ |
| 07/12/21 | 0.039 | 32.21 | 07/12/21 | 32.34 | 0.13 W | 0.00 | Sale ²⁵ |
| 2 transactions for 07/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.328 | 264.29 | 07/12/21 | 267.79 | ... | -3.50 | 1 of 2 - Sale ²⁵ |
| | 0.039 | 31.79 | 07/12/21 | 32.34 | ... | -0.55 | 2 of 2 - Sale ²⁵ |
| 07/13/21 | 0.368 | 296.08 | Various | 300.13 | ... | -4.05 | Total of 2 transactions |
| 07/13/21 | 0.632 | 508.91 | 07/12/21 | 517.66 | 7.15 W | -1.60 | Sale ²⁵ |
| 07/26/21 | 0.517 | 98.70 | 07/26/21 | 107.15 | 8.45 W | 0.00 | Sale ²⁵ |
| 2 transactions for 08/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.517 | 112.44 | 08/19/21 | 111.83 | ... | 0.61 | 1 of 2 - Sale ²⁵ |
| | 0.483 | 105.25 | 08/19/21 | 96.76 | ... | 8.49 | 2 of 2 - Sale ²⁵ |
| 08/24/21 | 1.000 | 217.69 | Various | 208.59 | ... | 9.10 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 08/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 220.86 | 08/23/21 | 217.68 | ... | 3.18 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 441.72 | 08/23/21 | 428.26 | ... | 13.46 | 2 of 2 - Sale ²⁵ |
| 08/26/21 | 3.000 | 662.58 | Various | 645.94 | ... | 16.64 | Total of 2 transactions |
| 10/20/21 | 0.460 | 101.46 | 10/15/21 | 100.00 | ... | 1.46 | Sale ²⁵ |
| 2 transactions for 12/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 897.92 | 11/04/21 | 869.21 | ... | 28.71 | 1 of 2 - Sale ²⁵ |
| | 0.467 | 139.84 | 12/06/21 | 135.00 | ... | 4.84 | 2 of 2 - Sale ²⁵ |
| 12/29/21 | 3.467 | 1,037.76 | Various | 1,004.21 | ... | 33.55 | Total of 2 transactions |
| 2 transactions for 12/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.240 | 71.75 | 12/23/21 | 71.84 | 0.09 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 1.293 | 387.03 | 12/27/21 | 390.68 | 2.82 W | -0.83 | 2 of 2 - Sale ²⁵ |
| 12/29/21 | 1.533 | 458.78 | Various | 462.52 | 2.91 W | -0.83 | Total of 2 transactions |
| | Security total: | 10,268.47 | | 10,029.71 | 19.43 W | 258.19 | |
| NUTRIEN LTD. COMMON SHARES / CUSIP: 67077M108 / Symbol: | | | | | | | |
| 12/23/21 | 9.000 | 675.99 | 11/22/21 | 625.95 | ... | 50.04 | Sale ²⁵ |
| NUVVE HOLDING CORP. COMMON STO CK / CUSIP: 67079Y100 / Symbol: | | | | | | | |
| 3 transactions for 05/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 20.000 | 203.80 | 05/20/21 | 233.00 | ... | -29.20 | 1 of 3 - Sale ²⁵ |
| | 20.000 | 203.79 | 05/21/21 | 219.66 | ... | -15.87 | 2 of 3 - Sale ²⁵ |
| | 10.000 | 101.90 | 05/21/21 | 109.28 | ... | -7.38 | 3 of 3 - Sale ²⁵ |
| 05/25/21 | 50.000 | 509.49 | Various | 561.94 | ... | -52.45 | Total of 3 transactions |
| O'REILLY AUTOMOTIVE, INC. COMM ON STOCK / CUSIP: 67103H107 / Symbol: | | | | | | | |
| 06/02/21 | 1.000 | 533.70 | 05/28/21 | 537.09 | ... | -3.39 | Sale ²⁵ |
| 10/04/21 | 1.000 | 599.65 | 09/16/21 | 607.30 | 7.65 W | 0.00 | Sale ²⁵ |
| 10/21/21 | 1.000 | 658.50 | 10/20/21 | 655.88 | ... | 2.62 | Sale ²⁵ |
| 4 transactions for 12/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.083 | 55.50 | 11/10/21 | 53.40 | ... | 2.10 | 1 of 4 - Sale ²⁵ |
| | 0.155 | 103.69 | 11/10/21 | 100.00 | ... | 3.69 | 2 of 4 - Sale ²⁵ |
| | 0.382 | 256.20 | 11/10/21 | 246.60 | ... | 9.60 | 3 of 4 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| O'REILLY AUTOMOTIVE, INC. COMM ON STOCK / CUSIP: 67103H107 / Symbol: (cont'd) | | | | | | | |
| | 0.463 | 310.60 | 11/10/21 | 300.00 | ... | 10.60 | 4 of 4 - Sale ²⁵ |
| 12/20/21 | 1.083 | 725.99 | Various | 700.00 | ... | 25.99 | Total of 4 transactions |
| | Security total: | 2,517.84 | | 2,500.27 | 7.65 W | 25.22 | |
| OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol: | | | | | | | |
| 02/18/21 | 8.000 | 204.65 | 02/16/21 | 212.40 | ... | -7.75 | Sale ²⁵ |
| OLO INC. / CUSIP: 68134L109 / Symbol: | | | | | | | |
| 05/28/21 | 20.000 | 685.71 | 05/28/21 | 694.95 | ... | -9.24 | Sale ²⁵ |
| OMEGA HEALTHCARE INVESTORS INC . / CUSIP: 681936100 / Symbol: | | | | | | | |
| 4 transactions for 05/07/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 36.21 | 04/15/21 | 37.24 | ... | -1.03 | 1 of 4 - Sale ²⁵ |
| | 10.000 | 362.11 | 04/22/21 | 383.20 | ... | -21.09 | 2 of 4 - Sale ²⁵ |
| | 9.000 | 325.90 | 04/22/21 | 344.88 | ... | -18.98 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 36.21 | 05/05/21 | 35.83 | ... | 0.38 | 4 of 4 - Sale ²⁵ |
| 05/07/21 | 21.000 | 760.43 | Various | 801.15 | ... | -40.72 | Total of 4 transactions |
| 08/02/21 | 30.000 | 1,104.89 | 08/02/21 | 1,105.14 | ... | -0.25 | Sale ²⁵ |
| | Security total: | 1,865.32 | | 1,906.29 | ... | -40.97 | |
| ON SEMICONDUCTOR CORPORATION C OMMON STOCK / CUSIP: 682189105 / Symbol: | | | | | | | |
| 4 transactions for 10/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.999 | 453.38 | 10/25/21 | 465.75 | ... | -12.37 | 1 of 4 - Sale ²⁵ |
| | 6.471 | 293.43 | 10/25/21 | 300.00 | ... | -6.57 | 2 of 4 - Sale ²⁵ |
| | 4.315 | 195.68 | 10/25/21 | 200.00 | ... | -4.32 | 3 of 4 - Sale ²⁵ |
| | 0.735 | 33.34 | 10/25/21 | 34.25 | ... | -0.91 | 4 of 4 - Sale ²⁵ |
| 10/26/21 | 21.521 | 975.83 | Various | 1,000.00 | ... | -24.17 | Total of 4 transactions |
| 1-800-FLOWERS.COM, INC. COMMON STOCK / CUSIP: 68243Q106 / Symbol: | | | | | | | |
| 07/26/21 | 30.000 | 965.09 | 07/26/21 | 974.47 | ... | -9.38 | Sale ²⁵ |
| 08/26/21 | 1.000 | 31.96 | 08/26/21 | 32.46 | ... | -0.50 | Sale ²⁵ |
| | Security total: | 997.05 | | 1,006.93 | ... | -9.88 | |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| ON24, INC. / CUSIP: 68339B104 / Symbol: | | | | | | | |
| 03/19/21 | 1.000 | 55.23 | 03/18/21 | 53.52 | ... | 1.71 | Sale ²⁵ |
| 03/22/21 | 1.000 | 53.85 | 03/19/21 | 55.71 | ... | -1.86 | Sale ²⁵ |
| | Security total: | 109.08 | | 109.23 | ... | -0.15 | |
| ORACLE CORP / CUSIP: 68389X105 / Symbol: | | | | | | | |
| 02/08/21 | 20.000 | 1,264.27 | 02/05/21 | 1,270.60 | 0.63 W | -5.70 | Sale ²⁵ |
| 03/11/21 | 2.000 | 135.38 | 03/10/21 | 141.07 | ... | -5.69 | Sale ²⁵ |
| | Security total: | 1,399.65 | | 1,411.67 | 0.63 W | -11.39 | |
| BARK, INC. / CUSIP: 68622E104 / Symbol: | | | | | | | |
| 06/29/21 | 10.000 | 102.90 | 06/29/21 | 104.90 | ... | -2.00 | Sale ²⁵ |
| OSCAR HEALTH, INC. / CUSIP: 687793109 / Symbol: | | | | | | | |
| 03/26/21 | 0.815 | 21.17 | 03/22/21 | 25.00 | ... | -3.83 | Sale ²⁵ |
| | 2 transactions for 06/11/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 124.59 | 06/07/21 | 142.38 | ... | -17.79 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 124.60 | 06/07/21 | 142.15 | ... | -17.55 | 2 of 2 - Sale ²⁵ |
| 06/11/21 | 10.000 | 249.19 | Various | 284.53 | ... | -35.34 | Total of 2 transactions |
| | Security total: | 270.36 | | 309.53 | ... | -39.17 | |
| OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: | | | | | | | |
| 06/24/21 | 5.000 | 461.00 | 06/23/21 | 457.40 | ... | 3.60 | Sale ²⁵ |
| 07/13/21 | 4.000 | 397.76 | 07/13/21 | 409.00 | ... | -11.24 | Sale ²⁵ |
| 10/28/21 | 5.000 | 471.01 | 10/28/21 | 471.04 | ... | -0.03 | Sale ²⁵ |
| | Security total: | 1,329.77 | | 1,337.44 | ... | -7.67 | |
| OVINTIV INC. / CUSIP: 69047Q102 / Symbol: | | | | | | | |
| 11/16/21 | 10.000 | 353.10 | 11/11/21 | 365.28 | 9.74 W | -2.44 | Sale ²⁵ |
| OZON HOLDINGS PLC AMERICAN DEP OSITARY SHARES, EACH ADS REPRESENTING ONE ORDINARY SHARE / CUSIP: 69269L104 / Symbol: | | | | | | | |
| | 2 transactions for 04/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 289.90 | 11/24/20 | 212.83 | ... | 77.07 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 289.89 | 12/01/20 | 211.90 | ... | 77.99 | 2 of 2 - Sale ²⁵ |
| 04/08/21 | 10.000 | 579.79 | Various | 424.73 | ... | 155.06 | Total of 2 transactions |

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| OZON HOLDINGS PLC AMERICAN DEP OSITARY SHARES, EACH ADS REPRE SENTING ONE ORDINARY SHARE / CUSIP: 69269L104 / Symbol: (cont'd) | | | | | | | |
| <i>4 transactions for 04/28/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 10.000 | 640.75 | 01/20/21 | 548.92 | ... | 91.83 | 1 of 4 - Sale ²⁵ |
| | 3.000 | 192.22 | 01/22/21 | 159.99 | ... | 32.23 | 2 of 4 - Sale ²⁵ |
| | 2.000 | 128.15 | 02/12/21 | 126.39 | ... | 1.76 | 3 of 4 - Sale ²⁵ |
| | 5.000 | 320.37 | 02/17/21 | 305.00 | ... | 15.37 | 4 of 4 - Sale ²⁵ |
| 04/28/21 | 20.000 | 1,281.49 | Various | 1,140.30 | ... | 141.19 | Total of 4 transactions |
| 06/30/21 | 20.000 | 1,190.86 | 03/05/21 | 1,088.80 | ... | 102.06 | Sale ²⁵ |
| | Security total: | 3,052.14 | | 2,653.83 | ... | 398.31 | |
| PNC FINANCIAL SERVICES GROUP / CUSIP: 693475105 / Symbol: | | | | | | | |
| 08/16/21 | 4.000 | 748.79 | 08/11/21 | 774.60 | ... | -25.81 | Sale ²⁵ |
| PACCAR INC. COMMON STOCK / CUSIP: 693718108 / Symbol: | | | | | | | |
| <i>2 transactions for 09/07/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 20.000 | 1,656.36 | 09/03/21 | 1,699.38 | ... | -43.02 | 1 of 2 - Sale ²⁵ |
| | 20.000 | 1,656.36 | 09/03/21 | 1,696.55 | ... | -40.19 | 2 of 2 - Sale ²⁵ |
| 09/07/21 | 40.000 | 3,312.72 | Various | 3,395.93 | ... | -83.21 | Total of 2 transactions |
| PACKAGING CORP OF AMERICA / CUSIP: 695156109 / Symbol: | | | | | | | |
| 11/08/21 | 8.000 | 1,074.26 | 10/29/21 | 1,110.49 | 9.06 W | -27.17 | Sale ²⁵ |
| 12/23/21 | 2.000 | 264.00 | 11/23/21 | 280.58 | ... | -16.58 | Sale ²⁵ |
| | Security total: | 1,338.26 | | 1,391.07 | 9.06 W | -43.75 | |
| PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: | | | | | | | |
| 01/27/21 | 10.000 | 385.00 | 01/27/21 | 399.81 | 14.81 W | 0.00 | Sale ²⁵ |
| 02/16/21 | 10.000 | 275.92 | 02/16/21 | 298.80 | ... | -22.88 | Sale ²⁵ |
| 02/17/21 | 20.000 | 567.18 | 02/16/21 | 567.98 | ... | -0.80 | Sale ²⁵ |
| | Security total: | 1,228.10 | | 1,266.59 | 14.81 W | -23.68 | |
| PALO ALTO NETWORKS, INC. COMMO N STOCK / CUSIP: 697435105 / Symbol: | | | | | | | |
| 01/08/21 | 2.000 | 731.88 | 01/07/21 | 720.43 | ... | 11.45 | Sale ²⁵ |
| 11/10/21 | 2.000 | 988.75 | 11/08/21 | 1,033.74 | ... | -44.99 | Sale ²⁵ |
| | Security total: | 1,720.63 | | 1,754.17 | ... | -33.54 | |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|--|--|---|-------------------|-------------------------|--|---|--|
| PAYCHEX, INC. COMMON STOCK / CUSIP: 704326107 / Symbol: | | | | | | | |
| 10/08/21 | 5.000 | 594.62 | 10/01/21 | 566.90 | ... | 27.72 | Sale ²⁵ |
| 10/11/21 | 5.000 | 590.75 | 10/01/21 | 566.90 | ... | 23.85 | Sale ²⁵ |
| | Security total: | 1,185.37 | | 1,133.80 | ... | 51.57 | |
| PAYCOM SOFTWARE, INC. / CUSIP: 70432V102 / Symbol: | | | | | | | |
| 09/23/21 | 0.121 | 61.30 | 09/21/21 | 60.00 | ... | 1.30 | Sale ²⁵ |
| PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol: | | | | | | | |
| 01/25/21 | 1.000 | 248.38 | 01/25/21 | 245.88 | ... | 2.50 | Sale ²⁵ |
| | <i>3 transactions for 09/01/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 2.000 | 576.63 | 08/30/21 | 576.60 | ... | 0.03 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 577.01 | 08/30/21 | 576.60 | ... | 0.41 | 2 of 3 - Sale ²⁵ |
| | 0.520 | 150.06 | 08/30/21 | 149.00 | ... | 1.06 | 3 of 3 - Sale ²⁵ |
| 09/01/21 | 4.520 | 1,303.70 | Various | 1,302.20 | ... | 1.50 | Total of 3 transactions |
| 09/08/21 | 10.000 | 2,878.18 | 09/07/21 | 2,920.98 | ... | -42.80 | Sale ²⁵ |
| 10/20/21 | 1.000 | 255.32 | 10/19/21 | 271.96 | 16.64 W | 0.00 | Sale ²⁵ |
| | <i>2 transactions for 10/22/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 1.000 | 239.93 | 10/22/21 | 258.15 | 18.22 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 959.71 | 10/22/21 | 966.05 | 6.34 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 10/22/21 | 5.000 | 1,199.64 | Various | 1,224.20 | 24.56 W | 0.00 | Total of 2 transactions |
| 10/25/21 | 0.601 | 149.78 | 10/25/21 | 160.94 | 11.16 W | 0.00 | Sale ²⁵ |
| | <i>4 transactions for 10/28/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 0.400 | 93.19 | 10/27/21 | 101.23 | 8.04 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.601 | 140.08 | 10/27/21 | 152.38 | 12.30 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 4.000 | 933.05 | 10/27/21 | 947.05 | 14.00 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 5.000 | 1,166.32 | 10/27/21 | 1,175.89 | 9.57 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 10/28/21 | 10.000 | 2,332.64 | Various | 2,376.55 | 43.91 W | 0.00 | Total of 4 transactions |
| 11/05/21 | 290.000 | 68,036.51 N | 11/05/21 | 69,577.86 | ... | -1,541.35 | Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$838.10 |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|--|
| PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol: (cont'd) | | | | | | | |
| <i>4 transactions for 11/05/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 5.000 | 1,173.04 N | 11/05/21 | 1,209.19 | 28.17 W | -7.98 | 1 of 4 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$14.45 |
| | 0.400 | 93.73 N | 11/05/21 | 103.89 | 10.16 W | 0.00 | 2 of 4 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$1.15 |
| | 0.601 | 140.88 N | 11/05/21 | 156.38 | 15.50 W | 0.00 | 3 of 4 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$1.74 |
| | 4.000 | 938.43 N | 11/05/21 | 973.69 | 35.26 W | 0.00 | 4 of 4 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$11.56 |
| 11/05/21 | 10.000 | 2,346.08 | Various | 2,443.15 | 89.09 W | -7.98 | Total of 4 transactions |
| <i>5 transactions for 11/09/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 1.487 | 304.97 | 11/08/21 | 356.28 | ... | -51.31 | 1 of 5 - Sale ²⁵ |
| | 0.513 | 105.09 | 11/08/21 | 131.49 | ... | -26.40 | 2 of 5 - Sale ²⁵ |
| | 0.400 | 81.91 | 11/08/21 | 102.33 | ... | -20.42 | 3 of 5 - Sale ²⁵ |
| | 0.088 | 18.02 | 11/08/21 | 22.55 | ... | -4.53 | 4 of 5 - Sale ²⁵ |
| | 0.000 | 0.01 | 11/08/21 | 0.01 | ... | 0.00 | 5 of 5 - Sale ²⁵ |
| 11/09/21 | 2.487 | 510.00 | Various | 612.66 | ... | -102.66 | Total of 5 transactions |
| <i>4 transactions for 11/17/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 2.000 | 409.68 | 11/08/21 | 479.07 | ... | -69.39 | 1 of 4 - Sale ²⁵ |
| | 0.410 | 83.89 | 11/08/21 | 98.09 | ... | -14.20 | 2 of 4 - Sale ²⁵ |
| | 0.103 | 21.11 | 11/08/21 | 24.69 | ... | -3.58 | 3 of 4 - Sale ²⁵ |
| | 3.897 | 798.26 | 11/09/21 | 828.17 | ... | -29.91 | 4 of 4 - Sale ²⁵ |
| 11/17/21 | 6.410 | 1,312.94 | Various | 1,430.02 | ... | -117.08 | Total of 4 transactions |
| | Security total: | 80,573.17 | | 82,566.40 | 185.36 W | -1,807.87 | |
| PEABODY ENERGY CORPORATION / CUSIP: 704551100 / Symbol: | | | | | | | |
| 07/01/21 | 3.000 | 24.36 | 06/30/21 | 22.62 | ... | 1.74 | Sale ²⁵ |
| 07/14/21 | 100.000 | 1,152.98 | 07/14/21 | 1,163.83 | ... | -10.85 | Sale ²⁵ |
| 09/13/21 | 100.000 | 1,744.98 | 09/13/21 | 1,791.38 | ... | -46.40 | Sale ²⁵ |
| | Security total: | 2,922.32 | | 2,977.83 | ... | -55.51 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: | | | | | | | |
| 3 transactions for 09/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 329.75 | 09/14/21 | 339.01 | ... | -9.26 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 219.84 | 09/14/21 | 225.91 | ... | -6.07 | 2 of 3 - Sale ²⁵ |
| | 5.000 | 558.24 | 09/14/21 | 561.07 | ... | -2.83 | 3 of 3 - Sale ²⁵ |
| 09/14/21 | 10.000 | 1,107.83 | Various | 1,125.99 | ... | -18.16 | Total of 3 transactions |
| 2 transactions for 09/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 223.30 | 09/10/21 | 224.78 | 1.48 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 334.95 | 09/10/21 | 337.32 | 2.37 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 09/14/21 | 5.000 | 558.25 | Various | 562.10 | 3.85 W | 0.00 | Total of 2 transactions |
| | Security total: | 1,666.08 | | 1,688.09 | 3.85 W | -18.16 | |
| PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol: | | | | | | | |
| 02/05/21 | 5.000 | 636.48 | 02/05/21 | 638.20 | ... | -1.72 | Sale ²⁵ |
| 2 transactions for 03/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 1,113.49 | 03/22/21 | 1,133.30 | ... | -19.81 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 222.70 | 03/22/21 | 224.14 | ... | -1.44 | 2 of 2 - Sale ²⁵ |
| 03/23/21 | 12.000 | 1,336.19 | Various | 1,357.44 | ... | -21.25 | Total of 2 transactions |
| | Security total: | 1,972.67 | | 1,995.64 | ... | -22.97 | |
| PENSKE AUTOMOTIVE GROUP, INC. / CUSIP: 70959W103 / Symbol: | | | | | | | |
| 4 transactions for 10/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.991 | 439.87 | 10/25/21 | 448.76 | ... | -8.89 | 1 of 4 - Sale ²⁵ |
| | 2.653 | 292.47 | 10/25/21 | 300.00 | ... | -7.53 | 2 of 4 - Sale ²⁵ |
| | 1.766 | 194.60 | 10/25/21 | 200.00 | ... | -5.40 | 3 of 4 - Sale ²⁵ |
| | 0.457 | 50.34 | 10/25/21 | 51.24 | ... | -0.90 | 4 of 4 - Sale ²⁵ |
| 10/26/21 | 8.866 | 977.28 | Various | 1,000.00 | ... | -22.72 | Total of 4 transactions |
| PEPSICO, INC. COMMON STOCK / CUSIP: 713448108 / Symbol: | | | | | | | |
| 02/11/21 | 10.000 | 1,344.47 | 02/03/21 | 1,379.06 | ... | -34.59 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| PERKINELMER, INC. / CUSIP: 714046109 / Symbol: | | | | | | | |
| 2 transactions for 11/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.146 | 218.16 | 11/09/21 | 200.00 | ... | 18.16 | 1 of 2 - Sale ²⁵ |
| | 0.571 | 108.72 | 11/10/21 | 100.00 | ... | 8.72 | 2 of 2 - Sale ²⁵ |
| 11/19/21 | 1.717 | 326.88 | Various | 300.00 | ... | 26.88 | Total of 2 transactions |
| PETCO HEALTH AND WELLNESS COMP ANY, INC. CLASS A COMMON STOCK / CUSIP: 71601V105 / Symbol: | | | | | | | |
| 7 transactions for 02/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 109.45 | 01/19/21 | 131.95 | ... | -22.50 | 1 of 7 - Sale ²⁵ |
| | 3.000 | 65.67 | 01/19/21 | 77.91 | ... | -12.24 | 2 of 7 - Sale ²⁵ |
| | 1.000 | 21.89 | 01/19/21 | 26.15 | ... | -4.26 | 3 of 7 - Sale ²⁵ |
| | 1.000 | 21.89 | 01/19/21 | 26.09 | ... | -4.20 | 4 of 7 - Sale ²⁵ |
| | 10.000 | 218.89 | 01/20/21 | 258.90 | ... | -40.01 | 5 of 7 - Sale ²⁵ |
| | 10.000 | 218.89 | 02/16/21 | 248.75 | ... | -29.86 | 6 of 7 - Sale ²⁵ |
| | 20.000 | 437.79 | 02/17/21 | 477.70 | ... | -39.91 | 7 of 7 - Sale ²⁵ |
| 02/24/21 | 50.000 | 1,094.47 | Various | 1,247.45 | ... | -152.98 | Total of 7 transactions |
| PFIZER INC. / CUSIP: 717081103 / Symbol: | | | | | | | |
| 02/16/21 | 30.000 | 1,041.71 | 02/16/21 | 1,045.50 | ... | -3.79 | Sale ²⁵ |
| 08/11/21 | 10.000 | 465.31 | 08/02/21 | 439.26 | ... | 26.05 | Sale ²⁵ |
| 8 transactions for 08/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 485.70 | 08/03/21 | 452.77 | ... | 32.93 | 1 of 8 - Sale ²⁵ |
| | 1.000 | 48.57 | 08/06/21 | 44.83 | ... | 3.74 | 2 of 8 - Sale ²⁵ |
| | 15.000 | 728.55 | 08/06/21 | 675.40 | ... | 53.15 | 3 of 8 - Sale ²⁵ |
| | 1.000 | 48.57 | 08/10/21 | 48.25 | ... | 0.32 | 4 of 8 - Sale ²⁵ |
| | 5.000 | 242.85 | 08/10/21 | 241.94 | ... | 0.91 | 5 of 8 - Sale ²⁵ |
| | 1.000 | 48.57 | 08/10/21 | 47.03 | ... | 1.54 | 6 of 8 - Sale ²⁵ |
| | 12.000 | 582.84 | 08/10/21 | 564.72 | ... | 18.12 | 7 of 8 - Sale ²⁵ |
| | 5.000 | 242.86 | 08/11/21 | 234.39 | ... | 8.47 | 8 of 8 - Sale ²⁵ |
| 08/24/21 | 50.000 | 2,428.51 | Various | 2,309.33 | ... | 119.18 | Total of 8 transactions |
| 10/06/21 | 10.000 | 421.50 | 10/01/21 | 428.70 | ... | -7.20 | Sale ²⁵ |
| | Security total: | 4,357.03 | | 4,222.79 | ... | 134.24 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| PHILIP MORRIS INTERNATIONAL IN C. / CUSIP: 718172109 / Symbol: | | | | | | | |
| 10/11/21 | 10.000 | 952.50 | 09/30/21 | 957.10 | ... | -4.60 | Sale ²⁵ |
| | 4 transactions for 11/16/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.999 | 186.92 | 11/12/21 | 191.05 | ... | -4.13 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 93.50 | 11/12/21 | 95.83 | ... | -2.33 | 2 of 4 - Sale ²⁵ |
| | 0.638 | 59.69 | 11/12/21 | 61.17 | ... | -1.48 | 3 of 4 - Sale ²⁵ |
| | 0.303 | 28.33 | 11/12/21 | 28.95 | ... | -0.62 | 4 of 4 - Sale ²⁵ |
| 11/16/21 | 3.941 | 368.44 | Various | 377.00 | ... | -8.56 | Total of 4 transactions |
| | Security total: | 1,320.94 | | 1,334.10 | ... | -13.16 | |
| PINDUODUO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 722304102 / Symbol: | | | | | | | |
| | 2 transactions for 08/19/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 20.000 | 1,505.19 | 08/19/21 | 1,527.43 | ... | -22.24 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 752.49 | 08/19/21 | 763.72 | ... | -11.23 | 2 of 2 - Sale ²⁵ |
| 08/19/21 | 30.000 | 2,257.68 | Various | 2,291.15 | ... | -33.47 | Total of 2 transactions |
| PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol: | | | | | | | |
| 01/07/21 | 50.000 | 3,555.43 | 01/04/21 | 3,370.98 | ... | 184.45 | Sale ²⁵ |
| 01/08/21 | 50.000 | 3,592.42 | 01/04/21 | 3,357.50 | ... | 234.92 | Sale ²⁵ |
| 02/04/21 | 5.000 | 381.32 | 02/02/21 | 368.35 | ... | 12.97 | Sale ²⁵ |
| | 3 transactions for 05/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 9.000 | 542.60 | 03/22/21 | 638.37 | ... | -95.77 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 60.29 | 03/22/21 | 70.92 | ... | -10.63 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 60.29 | 04/28/21 | 66.12 | ... | -5.83 | 3 of 3 - Sale ²⁵ |
| 05/04/21 | 11.000 | 663.18 | Various | 775.41 | ... | -112.23 | Total of 3 transactions |
| 07/21/21 | 1.433 | 102.78 | 07/19/21 | 101.95 | ... | 0.83 | Sale ²⁵ |
| | 2 transactions for 07/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 7.000 | 537.10 | 07/19/21 | 498.05 | ... | 39.05 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 230.19 | 07/21/21 | 214.86 | ... | 15.33 | 2 of 2 - Sale ²⁵ |
| 07/23/21 | 10.000 | 767.29 | Various | 712.91 | ... | 54.38 | Total of 2 transactions |
| 08/02/21 | 7.000 | 406.18 | 07/29/21 | 509.71 | ... | -103.53 | Sale ²⁵ |
| 10/20/21 | 5.000 | 299.59 | 10/20/21 | 307.10 | 7.51 W | 0.00 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol: (cont'd) | | | | | | | |
| <i>4 transactions for 11/22/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 4.795 | 208.33 | 11/15/21 | 239.02 | ... | -30.69 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 43.45 | 11/15/21 | 48.35 | ... | -4.90 | 2 of 4 - Sale ²⁵ |
| | 0.205 | 8.89 | 11/15/21 | 11.22 | ... | -2.33 | 3 of 4 - Sale ²⁵ |
| | 0.001 | 0.03 | 11/15/21 | 0.03 | ... | 0.00 | 4 of 4 - Sale ²⁵ |
| 11/22/21 | 6.000 | 260.70 | Various | 298.62 | ... | -37.92 | Total of 4 transactions |
| 11/22/21 | 0.205 | 8.89 | 11/15/21 | 10.21 | 1.32 W | 0.00 | Sale ²⁵ |
| | Security total: | 10,037.78 | | 9,812.74 | 8.83 W | 233.87 | |
| PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: | | | | | | | |
| 02/19/21 | 8.000 | 452.96 | 02/19/21 | 448.80 | ... | 4.16 | Sale ²⁵ |
| POLARIS INC. / CUSIP: 731068102 / Symbol: | | | | | | | |
| 03/09/21 | 10.000 | 1,345.99 | 03/08/21 | 1,337.06 | ... | 8.93 | Sale ²⁵ |
| T. ROWE PRICE GROUP, INC. COMM ON STOCK / CUSIP: 74144T108 / Symbol: | | | | | | | |
| <i>2 transactions for 10/22/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.500 | 103.44 | 10/15/21 | 100.00 | ... | 3.44 | 1 of 2 - Sale ²⁵ |
| | 0.225 | 46.56 | 10/19/21 | 45.65 | ... | 0.91 | 2 of 2 - Sale ²⁵ |
| 10/22/21 | 0.725 | 150.00 | Various | 145.65 | ... | 4.35 | Total of 2 transactions |
| 10/27/21 | 0.775 | 158.42 | 10/19/21 | 157.32 | ... | 1.10 | Sale ²⁵ |
| | Security total: | 308.42 | | 302.97 | ... | 5.45 | |
| PROCTER & GAMBLE COMPANY / CUSIP: 742718109 / Symbol: | | | | | | | |
| <i>2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 5.000 | 669.57 | 03/05/21 | 629.50 | ... | 40.07 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 669.57 | 03/10/21 | 636.90 | ... | 32.67 | 2 of 2 - Sale ²⁵ |
| 04/23/21 | 10.000 | 1,339.14 | Various | 1,266.40 | ... | 72.74 | Total of 2 transactions |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| PROGRESSIVE CORPORATION / CUSIP: 743315103 / Symbol: | | | | | | | |
| 3 transactions for 12/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.000 | 0.04 | 12/03/21 | 0.04 | ... | 0.00 | 1 of 3 - Sale ²⁵ |
| | 0.083 | 8.40 | 12/03/21 | 7.93 | ... | 0.47 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 203.22 | 12/03/21 | 192.03 | ... | 11.19 | 3 of 3 - Sale ²⁵ |
| 12/23/21 | 2.083 | 211.66 | Various | 200.00 | ... | 11.66 | Total of 3 transactions |
| PROLOGIS, INC. / CUSIP: 74340W103 / Symbol: | | | | | | | |
| 10/18/21 | 5.000 | 694.99 | 10/07/21 | 653.23 | ... | 41.76 | Sale ²⁵ |
| PROSHARES ULTRAPRO SHORT QQQ / CUSIP: 74347G861 / Symbol: | | | | | | | |
| 2 transactions for 03/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 100.000 | 1,538.48 | 03/04/21 | 1,535.90 | ... | 2.58 | 1 of 2 - Sale ²⁵ |
| | 200.000 | 3,228.36 | 03/04/21 | 3,179.60 | ... | 48.76 | 2 of 2 - Sale ²⁵ |
| 03/04/21 | 300.000 | 4,766.84 | Various | 4,715.50 | ... | 51.34 | Total of 2 transactions |
| PROSHARES ULTRA S&P500 / CUSIP: 74347R107 / Symbol: | | | | | | | |
| 2 transactions for 09/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 370.48 | 09/15/21 | 390.57 | ... | -20.09 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 1,234.95 | 09/20/21 | 1,209.18 | ... | 25.77 | 2 of 2 - Sale ²⁵ |
| 09/22/21 | 13.000 | 1,605.43 | Various | 1,599.75 | ... | 5.68 | Total of 2 transactions |
| PROSHARES TRUST PROSHARES ULTR A NASDAQ BIOTECHNOLOGY / CUSIP: 74347R214 / Symbol: | | | | | | | |
| 06/28/21 | 5.000 | 491.55 | 06/28/21 | 496.34 | ... | -4.79 | Sale ²⁵ |
| PROSHARES ULTRA RUSSELL2000 / CUSIP: 74347R842 / Symbol: | | | | | | | |
| 11/10/21 | 4.914 | 316.33 | 11/01/21 | 300.00 | ... | 16.33 | Sale ²⁵ |
| PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol: | | | | | | | |
| 01/07/21 | 100.000 | 1,045.46 | 01/06/21 | 1,146.00 | 3.02 W | -97.52 | Sale ²⁵ |
| 02/01/21 | 3.000 | 41.37 | 02/01/21 | 45.85 | ... | -4.48 | Sale ²⁵ |
| 03/04/21 | 100.000 | 913.99 | 03/04/21 | 933.95 | ... | -19.96 | Sale ²⁵ |
| | Security total: | 2,000.82 | | 2,125.80 | 3.02 W | -121.96 | |
| PROSHARES ULTRA GOLD / CUSIP: 74347W601 / Symbol: | | | | | | | |
| 06/16/21 | 8.000 | 492.96 | 06/16/21 | 496.32 | ... | -3.36 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: | | | | | | | |
| 2 transactions for 01/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 1,196.97 | 01/04/21 | 1,226.84 | ... | -29.87 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 512.99 | 01/04/21 | 526.08 | ... | -13.09 | 2 of 2 - Sale ²⁵ |
| 01/04/21 | 10.000 | 1,709.96 | Various | 1,752.92 | ... | -42.96 | Total of 2 transactions |
| 2 transactions for 03/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 30.000 | 2,495.99 | 03/08/21 | 2,501.01 | ... | -5.02 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 831.99 | 03/08/21 | 832.08 | ... | -0.09 | 2 of 2 - Sale ²⁵ |
| 03/08/21 | 40.000 | 3,327.98 | Various | 3,333.09 | ... | -5.11 | Total of 2 transactions |
| 05/12/21 | 10.000 | 896.29 | 05/11/21 | 955.88 | 59.59 W | 0.00 | Sale ²⁵ |
| 2 transactions for 05/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 984.39 | 05/21/21 | 1,047.13 | ... | -62.74 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 492.20 | 05/21/21 | 493.77 | ... | -1.57 | 2 of 2 - Sale ²⁵ |
| 05/21/21 | 15.000 | 1,476.59 | Various | 1,540.90 | ... | -64.31 | Total of 2 transactions |
| 2 transactions for 05/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 491.95 | 05/21/21 | 492.68 | 0.73 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 983.89 | 05/21/21 | 1,044.94 | 61.05 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 05/21/21 | 15.000 | 1,475.84 | Various | 1,537.62 | 61.78 W | 0.00 | Total of 2 transactions |
| 2 transactions for 07/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 883.32 | 07/16/21 | 891.60 | ... | -8.28 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 378.60 | 07/16/21 | 382.11 | ... | -3.51 | 2 of 2 - Sale ²⁵ |
| 07/16/21 | 10.000 | 1,261.92 | Various | 1,273.71 | ... | -11.79 | Total of 2 transactions |
| 07/16/21 | 10.000 | 1,265.99 | 07/16/21 | 1,271.60 | 5.61 W | 0.00 | Sale ²⁵ |
| 3 transactions for 08/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 130.00 | 08/17/21 | 131.88 | 1.88 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 390.00 | 08/17/21 | 394.98 | 4.98 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 10.000 | 1,299.99 | 08/17/21 | 1,315.05 | 15.06 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 08/18/21 | 14.000 | 1,819.99 | Various | 1,841.91 | 21.92 W | 0.00 | Total of 3 transactions |
| 4 transactions for 08/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 426.90 | 08/26/21 | 426.66 | ... | 0.24 | 1 of 4 - Sale ²⁵ |
| | 3.000 | 428.49 | 08/26/21 | 427.13 | ... | 1.36 | 2 of 4 - Sale ²⁵ |
| | 7.000 | 999.81 | 08/26/21 | 995.55 | ... | 4.26 | 3 of 4 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd) | | | | | | | |
| | 6.000 | 853.79 | 08/26/21 | 844.29 | ... | 9.50 | 4 of 4 - Sale ²⁵ |
| 08/26/21 | 19.000 | 2,708.99 | Various | 2,693.63 | ... | 15.36 | Total of 4 transactions |
| 08/26/21 | 1.000 | 142.30 | 08/26/21 | 142.59 | 0.29 W | 0.00 | Sale ²⁵ |
| 08/27/21 | 1.000 | 141.71 | 08/26/21 | 141.64 | ... | 0.07 | Sale ²⁵ |
| 09/03/21 | 9.000 | 1,343.42 | 09/02/21 | 1,342.35 | ... | 1.07 | Sale ²⁵ |
| 09/13/21 | 20.000 | 2,883.98 | 09/13/21 | 2,905.20 | 21.22 W | 0.00 | Sale ²⁵ |
| 09/28/21 | 20.000 | 2,567.98 | 09/28/21 | 2,610.02 | 12.61 W | -29.43 | Sale ²⁵ |
| 10/14/21 | 1.000 | 130.88 | 09/28/21 | 130.35 | ... | 0.53 | Sale ²⁵ |
| 10/19/21 | 5.000 | 713.10 | 10/18/21 | 703.54 | ... | 9.56 | Sale ²⁵ |
| 11/05/21 | 12.000 | 2,052.22 | 11/05/21 | 2,058.11 | 2.64 W | -3.25 | Sale ²⁵ |
| 2 transactions for 11/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.395 | 71.63 | 11/18/21 | 67.57 | ... | 4.06 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 725.69 | 11/18/21 | 684.58 | ... | 41.11 | 2 of 2 - Sale ²⁵ |
| 11/22/21 | 4.395 | 797.32 | Various | 752.15 | ... | 45.17 | Total of 2 transactions |
| 12/23/21 | 0.988 | 163.77 | 12/03/21 | 147.49 | ... | 16.28 | Sale ²⁵ |
| Security total: | | 26,880.23 | | 27,134.70 | 185.66 W | -68.81 | |
| PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347Y839 / Symbol: | | | | | | | |
| 06/03/21 | 1.000 | 37.08 | 06/03/21 | 37.09 | ... | -0.01 | Sale ²⁵ |
| 07/14/21 | 15.000 | 418.05 | 07/14/21 | 424.92 | ... | -6.87 | Sale ²⁵ |
| 09/22/21 | 20.000 | 494.80 | 09/22/21 | 502.77 | ... | -7.97 | Sale ²⁵ |
| Security total: | | 949.93 | | 964.78 | ... | -14.85 | |
| PUBMATIC, INC. CLASS A COMMON STOCK / CUSIP: 74467Q103 / Symbol: | | | | | | | |
| 2 transactions for 06/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 15.000 | 600.44 | 06/24/21 | 602.70 | ... | -2.26 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 200.15 | 06/24/21 | 199.15 | ... | 1.00 | 2 of 2 - Sale ²⁵ |
| 06/25/21 | 20.000 | 800.59 | Various | 801.85 | ... | -1.26 | Total of 2 transactions |
| PULTEGROUP, INC. / CUSIP: 745867101 / Symbol: | | | | | | | |
| 2 transactions for 10/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 18.000 | 883.81 | 10/04/21 | 840.06 | ... | 43.75 | 1 of 2 - Sale ²⁵ |
| | 20.000 | 982.02 | 10/04/21 | 932.20 | ... | 49.82 | 2 of 2 - Sale ²⁵ |
| 10/26/21 | 38.000 | 1,865.83 | Various | 1,772.26 | ... | 93.57 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| PULTEGROUP, INC. / CUSIP: 745867101 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 12/07/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.980 | 538.05 | 11/05/21 | 500.00 | ... | 38.05 | 1 of 2 - Sale ²⁵ |
| | 3.853 | 207.72 | 11/10/21 | 200.00 | ... | 7.72 | 2 of 2 - Sale ²⁵ |
| 12/07/21 | 13.833 | 745.77 | Various | 700.00 | ... | 45.77 | Total of 2 transactions |
| | Security total: | 2,611.60 | | 2,472.26 | ... | 139.34 | |
| QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol: | | | | | | | |
| 09/02/21 | 2.000 | 290.75 | 08/30/21 | 295.42 | ... | -4.67 | Sale ²⁵ |
| 3 transactions for 11/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.229 | 30.92 | 10/15/21 | 29.73 | ... | 1.19 | 1 of 3 - Sale ²⁵ |
| | 0.541 | 73.10 | 10/15/21 | 70.27 | ... | 2.83 | 2 of 3 - Sale ²⁵ |
| | 0.771 | 104.31 | 10/15/21 | 100.00 | ... | 4.31 | 3 of 3 - Sale ²⁵ |
| 11/02/21 | 1.541 | 208.33 | Various | 200.00 | ... | 8.33 | Total of 3 transactions |
| 11/10/21 | 3.000 | 489.64 | 11/05/21 | 482.30 | ... | 7.34 | Sale ²⁵ |
| | Security total: | 988.72 | | 977.72 | ... | 11.00 | |
| QUANTA SERVICES, INC. / CUSIP: 74762E102 / Symbol: | | | | | | | |
| 2 transactions for 12/06/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 223.66 | 11/23/21 | 242.18 | ... | -18.52 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 111.83 | 11/23/21 | 119.90 | ... | -8.07 | 2 of 2 - Sale ²⁵ |
| 12/06/21 | 3.000 | 335.49 | Various | 362.08 | ... | -26.59 | Total of 2 transactions |
| QUEST DIAGNOSTICS INC. / CUSIP: 74834L100 / Symbol: | | | | | | | |
| 2 transactions for 10/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 143.81 | 10/19/21 | 145.37 | 1.56 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 143.81 | 10/19/21 | 145.69 | 1.88 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 10/25/21 | 2.000 | 287.62 | Various | 291.06 | 3.44 W | 0.00 | Total of 2 transactions |
| 11/05/21 | 0.000 | 0.07 | 10/21/21 | 0.07 | ... | 0.00 | Sale ²⁵ |
| 4 transactions for 11/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.077 | 10.67 | 10/21/21 | 11.58 | 0.91 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.077 | 10.68 | 10/21/21 | 11.19 | 0.51 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 0.923 | 128.50 | 10/21/21 | 134.76 | 6.26 W | 0.00 | 3 of 4 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|--|
| QUEST DIAGNOSTICS INC. / CUSIP: 74834L100 / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 139.24 | 10/21/21 | 146.35 | 7.11 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 11/05/21 | 2.076 | 289.09 | Various | 303.88 | 14.79 W | 0.00 | Total of 4 transactions |
| | 8 transactions for 12/03/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.009 | 1.41 | 11/11/21 | 1.41 | ... | 0.00 | 1 of 8 - Sale ²⁵ |
| | 0.068 | 10.63 | 11/11/21 | 10.62 | ... | 0.01 | 2 of 8 - Sale ²⁵ |
| | 0.054 | 8.56 | 11/11/21 | 8.27 | ... | 0.29 | 3 of 8 - Sale ²⁵ |
| | 0.066 | 10.37 | 11/11/21 | 9.59 | ... | 0.78 | 4 of 8 - Sale ²⁵ |
| | 0.068 | 10.63 | 11/11/21 | 9.82 | ... | 0.81 | 5 of 8 - Sale ²⁵ |
| | 0.868 | 136.42 | 11/11/21 | 131.92 | ... | 4.50 | 6 of 8 - Sale ²⁵ |
| | 1.000 | 157.09 | 11/11/21 | 152.24 | ... | 4.85 | 7 of 8 - Sale ²⁵ |
| | 1.998 | 313.84 | 11/11/21 | 290.41 | ... | 23.43 | 8 of 8 - Sale ²⁵ |
| 12/03/21 | 4.131 | 648.95 | Various | 614.28 | ... | 34.67 | Total of 8 transactions |
| | Security total: | 1,225.73 | | 1,209.29 | 18.23 W | 34.67 | |
| R1 RCM INC. COMMON STOCK / CUSIP: 749397105 / Symbol: | | | | | | | |
| 01/14/21 | 100.000 | 2,599.93 | 12/23/20 | 2,359.00 | ... | 240.93 | Sale ²⁵ |
| 03/23/21 | 10.000 | 248.70 | 03/23/21 | 250.75 | ... | -2.05 | Sale ²⁵ |
| 08/03/21 | 30.000 | 649.79 | 08/03/21 | 657.00 | ... | -7.21 | Sale ²⁵ |
| | Security total: | 3,498.42 | | 3,266.75 | ... | 231.67 | |
| RH / CUSIP: 74967X103 / Symbol: | | | | | | | |
| 05/25/21 | 0.168 | 107.06 | 05/25/21 | 108.13 | 1.07 W | 0.00 | Sale ²⁵ |
| | 5 transactions for 05/26/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.832 | 526.43 | 05/25/21 | 540.32 | ... | -13.89 | 1 of 5 - Sale ²⁵ |
| | 0.832 | 526.43 | 05/25/21 | 537.27 | ... | -10.84 | 2 of 5 - Sale ²⁵ |
| | 0.585 | 370.25 | 05/25/21 | 375.00 | ... | -4.75 | 3 of 5 - Sale ²⁵ |
| | 0.168 | 105.95 | 05/25/21 | 109.82 | ... | -3.87 | 4 of 5 - Sale ²⁵ |
| | 0.582 | 368.07 | 05/25/21 | 371.00 | ... | -2.93 | 5 of 5 - Sale ²⁵ |
| 05/26/21 | 3.000 | 1,897.13 | Various | 1,933.41 | ... | -36.28 | Total of 5 transactions |
| | 4 transactions for 07/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 673.53 | 07/08/21 | 724.14 | ... | -50.61 | 1 of 4 - Sale ²⁵ |
| | 0.696 | 469.00 | 07/08/21 | 503.18 | ... | -34.18 | 2 of 4 - Sale ²⁵ |
| | 0.128 | 86.31 | 07/08/21 | 92.58 | ... | -6.27 | 3 of 4 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| RH / CUSIP: 74967X103 / Symbol: (cont'd) | | | | | | | |
| | 0.176 | 118.23 | 07/08/21 | 119.44 | ... | -1.21 | 4 of 4 - Sale ²⁵ |
| 07/08/21 | 2.000 | 1,347.07 | Various | 1,439.34 | ... | -92.27 | Total of 4 transactions |
| 3 transactions for 07/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.128 | 86.61 | 07/07/21 | 92.00 | 5.39 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 0.696 | 470.65 | 07/07/21 | 500.00 | 29.35 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 675.90 | 07/07/21 | 719.58 | 43.68 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 07/08/21 | 1.824 | 1,233.16 | Various | 1,311.58 | 78.42 W | 0.00 | Total of 3 transactions |
| | Security total: | 4,584.42 | | 4,792.46 | 79.49 W | -128.55 | |
| RALPH LAUREN CORPORATION / CUSIP: 751212101 / Symbol: | | | | | | | |
| 3 transactions for 04/07/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 370.17 | 03/31/21 | 372.20 | ... | -2.03 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 123.39 | 03/31/21 | 123.95 | ... | -0.56 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 123.39 | 03/31/21 | 123.55 | ... | -0.16 | 3 of 3 - Sale ²⁵ |
| 04/07/21 | 5.000 | 616.95 | Various | 619.70 | ... | -2.75 | Total of 3 transactions |
| 3 transactions for 11/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 121.17 | 10/22/21 | 122.74 | ... | -1.57 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 121.17 | 10/22/21 | 122.05 | ... | -0.88 | 2 of 3 - Sale ²⁵ |
| | 0.819 | 99.22 | 10/22/21 | 100.00 | ... | -0.78 | 3 of 3 - Sale ²⁵ |
| 11/02/21 | 2.819 | 341.56 | Various | 344.79 | ... | -3.23 | Total of 3 transactions |
| | Security total: | 958.51 | | 964.49 | ... | -5.98 | |
| RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol: | | | | | | | |
| 03/10/21 | 20.000 | 1,508.79 | 03/10/21 | 1,514.00 | ... | -5.21 | Sale ²⁵ |
| REGENERON PHARMACEUTICALS, INC . COMMON STOCK / CUSIP: 75886F107 / Symbol: | | | | | | | |
| 08/10/21 | 1.000 | 607.19 | 08/10/21 | 616.49 | ... | -9.30 | Sale ²⁵ |
| 10/28/21 | 0.174 | 108.29 | 10/21/21 | 99.23 | ... | 9.06 | Sale ²⁵ |
| 3 transactions for 10/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.826 | 511.01 | 10/21/21 | 471.42 | ... | 39.59 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 618.58 | 10/22/21 | 567.27 | ... | 51.31 | 2 of 3 - Sale ²⁵ |
| | 0.174 | 107.56 | 10/25/21 | 100.00 | ... | 7.56 | 3 of 3 - Sale ²⁵ |
| 10/29/21 | 2.000 | 1,237.15 | Various | 1,138.69 | ... | 98.46 | Total of 3 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| REGENERON PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 75886F107 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 11/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 595.34 | 10/26/21 | 592.87 | ... | 2.47 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 595.35 | 10/26/21 | 577.60 | ... | 17.75 | 2 of 2 - Sale ²⁵ |
| 11/05/21 | 2.000 | 1,190.69 | Various | 1,170.47 | ... | 20.22 | Total of 2 transactions |
| | Security total: | 3,143.32 | | 3,024.88 | ... | 118.44 | |
| REPLIGEN CORPORATION COMMON STOCK / CUSIP: 759916109 / Symbol: | | | | | | | |
| 01/20/21 | 5.000 | 1,091.27 | 01/15/21 | 1,080.75 | ... | 10.52 | Sale ²⁵ |
| 01/27/21 | 1.000 | 190.03 | 01/27/21 | 191.40 | ... | -1.37 | Sale ²⁵ |
| 5 transactions for 10/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.387 | 105.92 | 10/22/21 | 105.62 | ... | 0.30 | 1 of 5 - Sale ²⁵ |
| | 0.613 | 167.96 | 10/22/21 | 167.49 | ... | 0.47 | 2 of 5 - Sale ²⁵ |
| | 0.999 | 273.57 | 10/25/21 | 276.63 | ... | -3.06 | 3 of 5 - Sale ²⁵ |
| | 0.446 | 122.14 | 10/25/21 | 123.37 | ... | -1.23 | 4 of 5 - Sale ²⁵ |
| | 0.362 | 99.07 | 10/25/21 | 100.00 | ... | -0.93 | 5 of 5 - Sale ²⁵ |
| 10/27/21 | 2.807 | 768.66 | Various | 773.11 | ... | -4.45 | Total of 5 transactions |
| 3 transactions for 10/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 273.88 | 10/22/21 | 275.26 | 1.38 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 1.509 | 413.31 | 10/25/21 | 420.00 | 3.11 W | -3.58 | 2 of 3 - Sale ²⁵ |
| | 0.298 | 81.50 | 10/25/21 | 83.00 | 1.50 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 10/27/21 | 2.807 | 768.69 | Various | 778.26 | 5.99 W | -3.58 | Total of 3 transactions |
| 3 transactions for 11/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.298 | 85.27 | 11/18/21 | 84.21 | ... | 1.06 | 1 of 3 - Sale ²⁵ |
| | 0.702 | 201.28 | 11/18/21 | 198.37 | ... | 2.91 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 286.56 | 11/18/21 | 279.36 | ... | 7.20 | 3 of 3 - Sale ²⁵ |
| 11/26/21 | 2.000 | 573.11 | Various | 561.94 | ... | 11.17 | Total of 3 transactions |
| | Security total: | 3,391.76 | | 3,385.46 | 5.99 W | 12.29 | |
| RENAISSANCE IPO ETF / CUSIP: 759937204 / Symbol: | | | | | | | |
| 03/03/21 | 20.000 | 1,349.99 | 02/26/21 | 1,334.00 | ... | 15.99 | Sale ²⁵ |
| REPARE THERAPEUTICS INC. COMMON SHARES / CUSIP: 760273102 / Symbol: | | | | | | | |
| 06/08/21 | 1.000 | 32.19 | 06/07/21 | 32.59 | ... | -0.40 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| REPUBLIC SERVICES INC. / CUSIP: 760759100 / Symbol: | | | | | | | |
| 3 transactions for 12/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 271.29 | 11/16/21 | 272.69 | ... | -1.40 | 1 of 3 - Sale ²⁵ |
| | 0.200 | 27.12 | 11/16/21 | 27.26 | ... | -0.14 | 2 of 3 - Sale ²⁵ |
| | 0.000 | 0.05 | 11/16/21 | 0.05 | ... | 0.00 | 3 of 3 - Sale ²⁵ |
| 12/23/21 | 2.200 | 298.46 | Various | 300.00 | ... | -1.54 | Total of 3 transactions |
| RIO TINTO PLC / CUSIP: 767204100 / Symbol: | | | | | | | |
| 05/10/21 | 6.000 | 566.51 | 05/10/21 | 571.25 | 4.74 W | 0.00 | Sale ²⁵ |
| 2 transactions for 05/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 6.000 | 525.60 | 05/11/21 | 571.49 | ... | -45.89 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 350.40 | 05/11/21 | 377.84 | ... | -27.44 | 2 of 2 - Sale ²⁵ |
| 05/14/21 | 10.000 | 876.00 | Various | 949.33 | ... | -73.33 | Total of 2 transactions |
| | Security total: | 1,442.51 | | 1,520.58 | 4.74 W | -73.33 | |
| ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol: | | | | | | | |
| 08/03/21 | 10.000 | 457.60 | 08/03/21 | 397.93 | ... | 59.67 | Sale ²⁵ |
| 08/18/21 | 20.000 | 971.99 | 08/18/21 | 982.16 | 2.54 W | -7.63 | Sale ²⁵ |
| 08/19/21 | 5.000 | 225.00 | 08/18/21 | 254.15 | ... | -29.15 | Sale ²⁵ |
| | Security total: | 1,654.59 | | 1,634.24 | 2.54 W | 22.89 | |
| ROBLOX CORPORATION / CUSIP: 771049103 / Symbol: | | | | | | | |
| 09/08/21 | 20.000 | 1,675.99 | 09/07/21 | 1,681.67 | ... | -5.68 | Sale ²⁵ |
| ROCHE HOLDINGS AG BASEL AMERIC AN DEPOSITARY SHARES / CUSIP: 771195104 / Symbol: | | | | | | | |
| 3 transactions for 08/10/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 49.48 | 08/05/21 | 49.39 | ... | 0.09 | 1 of 3 - Sale ²⁵ |
| | 10.000 | 494.80 | 08/10/21 | 494.70 | ... | 0.10 | 2 of 3 - Sale ²⁵ |
| | 9.000 | 445.32 | 08/10/21 | 444.96 | ... | 0.36 | 3 of 3 - Sale ²⁵ |
| 08/10/21 | 20.000 | 989.60 | Various | 989.05 | ... | 0.55 | Total of 3 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol: | | | | | | | |
| 3 transactions for 01/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 70.000 | 1,408.74 | 01/04/21 | 1,458.90 | ... | -50.16 | 1 of 3 - Sale ²⁵ |
| | 20.000 | 402.50 | 01/04/21 | 429.29 | ... | -26.79 | 2 of 3 - Sale ²⁵ |
| | 10.000 | 201.25 | 01/04/21 | 209.44 | ... | -8.19 | 3 of 3 - Sale ²⁵ |
| 01/04/21 | 100.000 | 2,012.49 | Various | 2,097.63 | ... | -85.14 | Total of 3 transactions |
| ROCKWELL AUTOMATION, INC. / CUSIP: 773903109 / Symbol: | | | | | | | |
| 3 transactions for 10/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.000 | 0.03 | 10/14/21 | 0.03 | ... | 0.00 | 1 of 3 - Sale ²⁵ |
| | 0.340 | 107.09 | 10/14/21 | 104.68 | ... | 2.41 | 2 of 3 - Sale ²⁵ |
| | 0.327 | 102.88 | 10/14/21 | 99.97 | ... | 2.91 | 3 of 3 - Sale ²⁵ |
| 10/18/21 | 0.667 | 210.00 | Various | 204.68 | ... | 5.32 | Total of 3 transactions |
| 2 transactions for 10/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.660 | 207.03 | 10/14/21 | 202.96 | ... | 4.07 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 313.70 | 10/20/21 | 319.03 | ... | -5.33 | 2 of 2 - Sale ²⁵ |
| 10/25/21 | 1.660 | 520.73 | Various | 521.99 | ... | -1.26 | Total of 2 transactions |
| | Security total: | 730.73 | | 726.67 | ... | 4.06 | |
| ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: | | | | | | | |
| 2 transactions for 07/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 458.27 | 07/23/21 | 442.96 | ... | 15.31 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 916.53 | 07/23/21 | 888.23 | ... | 28.30 | 2 of 2 - Sale ²⁵ |
| 07/23/21 | 3.000 | 1,374.80 | Various | 1,331.19 | ... | 43.61 | Total of 2 transactions |
| 3 transactions for 07/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 4,723.16 | 07/23/21 | 4,624.00 | ... | 99.16 | 1 of 3 - Sale ²⁵ |
| | 4.000 | 1,887.42 | 07/26/21 | 1,931.80 | ... | -44.38 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 471.86 | 07/26/21 | 482.77 | ... | -10.91 | 3 of 3 - Sale ²⁵ |
| 07/26/21 | 15.000 | 7,082.44 | Various | 7,038.57 | ... | 43.87 | Total of 3 transactions |
| 10/19/21 | 1.000 | 342.62 | 10/06/21 | 314.57 | ... | 28.05 | Sale ²⁵ |
| 10/20/21 | 1.000 | 336.00 | 10/06/21 | 314.57 | ... | 21.43 | Sale ²⁵ |
| 11/05/21 | 2.000 | 566.87 | 10/28/21 | 640.00 | ... | -73.13 | Sale ²⁵ |
| | Security total: | 9,702.73 | | 9,638.90 | ... | 63.83 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| ROLLINS, INC. / CUSIP: 775711104 / Symbol: | | | | | | | |
| 09/07/21 | 30.000 | 1,167.44 | 09/03/21 | 1,192.13 | 0.82 W | -23.87 | Sale ²⁵ |
| 09/09/21 | 1.000 | 38.71 | 09/07/21 | 39.74 | ... | -1.03 | Sale ²⁵ |
| | Security total: | 1,206.15 | | 1,231.87 | 0.82 W | -24.90 | |
| ROPER TECHNOLOGIES, INC / CUSIP: 776696106 / Symbol: | | | | | | | |
| 09/10/21 | 2.000 | 950.41 | 09/08/21 | 961.07 | ... | -10.66 | Sale ²⁵ |
| ROYAL DUTCH SHELL PLC ADS CLAS S A / CUSIP: 780259206 / Symbol: | | | | | | | |
| 02/11/21 | 20.000 | 746.98 | 02/05/21 | 751.38 | ... | -4.40 | Sale ²⁵ |
| RYDER SYSTEM, INC. / CUSIP: 783549108 / Symbol: | | | | | | | |
| 3 transactions for 07/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 574.87 | 06/14/21 | 610.20 | ... | -35.33 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 215.58 | 06/18/21 | 228.82 | ... | -13.24 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 143.72 | 06/18/21 | 152.51 | ... | -8.79 | 3 of 3 - Sale ²⁵ |
| 07/08/21 | 13.000 | 934.17 | Various | 991.53 | ... | -57.36 | Total of 3 transactions |
| 2 transactions for 07/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 360.00 | 06/14/21 | 381.38 | 12.83 W | -8.55 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 144.04 | 06/14/21 | 152.55 | 8.51 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 07/08/21 | 7.000 | 504.04 | Various | 533.93 | 21.34 W | -8.55 | Total of 2 transactions |
| | Security total: | 1,438.21 | | 1,525.46 | 21.34 W | -65.91 | |
| SBA COMMUNICATIONS CORPORATION CLASS A COMMON STOCK / CUSIP: 78410G104 / Symbol: | | | | | | | |
| 2 transactions for 11/10/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 345.18 | 10/22/21 | 343.14 | ... | 2.04 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 345.18 | 10/22/21 | 340.58 | ... | 4.60 | 2 of 2 - Sale ²⁵ |
| 11/10/21 | 2.000 | 690.36 | Various | 683.72 | ... | 6.64 | Total of 2 transactions |
| 11/10/21 | 2.000 | 690.37 | 10/29/21 | 703.16 | 12.79 W | 0.00 | Sale ²⁵ |
| 11/11/21 | 2.000 | 675.81 | 11/10/21 | 703.36 | ... | -27.55 | Sale ²⁵ |
| | Security total: | 2,056.54 | | 2,090.24 | 12.79 W | -20.91 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol: | | | | | | | |
| 07/22/21 | 1.000 | 434.16 | 07/21/21 | 434.31 | 0.15 W | 0.00 | Sale ²⁵ |
| | 2 transactions for 07/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 9.000 | 3,958.91 | 07/23/21 | 3,959.10 | ... | -0.19 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 439.88 | 07/23/21 | 440.05 | ... | -0.17 | 2 of 2 - Sale ²⁵ |
| 07/23/21 | 10.000 | 4,398.79 | Various | 4,399.15 | ... | -0.36 | Total of 2 transactions |
| | Security total: | 4,832.95 | | 4,833.46 | 0.15 W | -0.36 | |
| SPDR S&P TRANSPORTATION ETF / CUSIP: 78464A532 / Symbol: | | | | | | | |
| | 3 transactions for 03/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 828.59 | 01/06/21 | 730.45 | ... | 98.14 | 1 of 3 - Sale ²⁵ |
| | 5.000 | 414.30 | 01/11/21 | 365.50 | ... | 48.80 | 2 of 3 - Sale ²⁵ |
| | 15.000 | 1,242.89 | 01/11/21 | 1,096.50 | ... | 146.39 | 3 of 3 - Sale ²⁵ |
| 03/04/21 | 30.000 | 2,485.78 | Various | 2,192.45 | ... | 293.33 | Total of 3 transactions |
| SPDR S&P REGIONAL BANKING ETF / CUSIP: 78464A698 / Symbol: | | | | | | | |
| 05/07/21 | 5.000 | 347.61 | 04/23/21 | 338.19 | ... | 9.42 | Sale ²⁵ |
| SPDR S&P RETAIL ETF / CUSIP: 78464A714 / Symbol: | | | | | | | |
| 06/25/21 | 10.000 | 982.89 | 06/25/21 | 983.90 | ... | -1.01 | Sale ²⁵ |
| SPDR S&P METALS & MINING ETF / CUSIP: 78464A755 / Symbol: | | | | | | | |
| 04/01/21 | 10.000 | 398.35 | 03/26/21 | 385.05 | ... | 13.30 | Sale ²⁵ |
| SPDR S&P BANK ETF / CUSIP: 78464A797 / Symbol: | | | | | | | |
| | 2 transactions for 06/25/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 8.000 | 419.59 | 06/24/21 | 420.00 | 0.31 W | -0.10 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 104.90 | 06/24/21 | 105.00 | 0.10 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 06/25/21 | 10.000 | 524.49 | Various | 525.00 | 0.41 W | -0.10 | Total of 2 transactions |
| | 5 transactions for 06/28/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 520.69 | 06/24/21 | 525.00 | ... | -4.31 | 1 of 5 - Sale ²⁵ |
| | 10.000 | 520.70 | 06/24/21 | 525.00 | ... | -4.30 | 2 of 5 - Sale ²⁵ |
| | 6.000 | 312.42 | 06/24/21 | 315.31 | ... | -2.89 | 3 of 5 - Sale ²⁵ |
| | 2.000 | 104.14 | 06/24/21 | 105.10 | ... | -0.96 | 4 of 5 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| SPDR S&P BANK ETF / CUSIP: 78464A797 / Symbol: (cont'd) | | | | | | | |
| | 2.000 | 104.14 | 06/24/21 | 105.00 | ... | -0.86 | 5 of 5 - Sale ²⁵ |
| 06/28/21 | 30.000 | 1,562.09 | Various | 1,575.41 | ... | -13.32 | Total of 5 transactions |
| | Security total: | 2,086.58 | | 2,100.41 | 0.41 W | -13.42 | |
| SPDR S&P BIOTECH ETF / CUSIP: 78464A870 / Symbol: | | | | | | | |
| 06/28/21 | 10.000 | 1,391.21 | 06/28/21 | 1,403.00 | ... | -11.79 | Sale ²⁵ |
| SPDR DOW JONES INDUSTRIAL AVER AGE ETF TRUST / CUSIP: 78467X109 / Symbol: | | | | | | | |
| 2 transactions for 06/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 344.21 | 06/24/21 | 342.80 | ... | 1.41 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 1,376.83 | 06/24/21 | 1,371.20 | ... | 5.63 | 2 of 2 - Sale ²⁵ |
| 06/25/21 | 5.000 | 1,721.04 | Various | 1,714.00 | ... | 7.04 | Total of 2 transactions |
| SPDR S&P KENSHO CLEAN POWER ET F / CUSIP: 78468R655 / Symbol: | | | | | | | |
| 2 transactions for 01/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 543.58 | 01/21/21 | 553.60 | ... | -10.02 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 135.90 | 01/21/21 | 135.11 | ... | 0.79 | 2 of 2 - Sale ²⁵ |
| 01/27/21 | 5.000 | 679.48 | Various | 688.71 | ... | -9.23 | Total of 2 transactions |
| SVB FINANCIAL GROUP COMMON STO CK / CUSIP: 78486Q101 / Symbol: | | | | | | | |
| 2 transactions for 10/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.678 | 448.52 | 09/07/21 | 400.00 | ... | 48.52 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 1,322.48 | 09/08/21 | 1,179.46 | ... | 143.02 | 2 of 2 - Sale ²⁵ |
| 10/04/21 | 2.678 | 1,771.00 | Various | 1,579.46 | ... | 191.54 | Total of 2 transactions |
| SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol: | | | | | | | |
| 3 transactions for 01/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 214.94 | 01/12/21 | 218.79 | ... | -3.85 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 214.93 | 01/12/21 | 218.66 | ... | -3.73 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 214.93 | 01/12/21 | 218.42 | ... | -3.49 | 3 of 3 - Sale ²⁵ |
| 01/15/21 | 3.000 | 644.80 | Various | 655.87 | ... | -11.07 | Total of 3 transactions |
| 06/01/21 | 5.000 | 1,184.17 | 03/04/21 | 1,018.34 | ... | 165.83 | Sale ²⁵ |
| 06/22/21 | 5.000 | 1,208.80 | 03/10/21 | 1,061.86 | ... | 146.94 | Sale ²⁵ |
| 08/24/21 | 1.000 | 259.65 | 08/18/21 | 251.43 | ... | 8.22 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol: (cont'd) | | | | | | | |
| Security total: | | 3,297.42 | | 2,987.50 | ... | 309.92 | |
| SCHLUMBERGER LIMITED / CUSIP: 806857108 / Symbol: | | | | | | | |
| 06/17/21 | 20.000 | 639.39 | 06/16/21 | 690.95 | ... | -51.56 | Sale ²⁵ |
| THE CHARLES SCHWAB CORPORATION / CUSIP: 808513105 / Symbol: | | | | | | | |
| 08/10/21 | 5.000 | 370.90 | 08/10/21 | 372.05 | 1.15 W | 0.00 | Sale ²⁵ |
| 2 transactions for 08/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 367.79 | 08/10/21 | 373.80 | ... | -6.01 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 367.80 | 08/10/21 | 372.65 | ... | -4.85 | 2 of 2 - Sale ²⁵ |
| 08/13/21 | 10.000 | 735.59 | Various | 746.45 | ... | -10.86 | Total of 2 transactions |
| Security total: | | 1,106.49 | | 1,118.50 | 1.15 W | -10.86 | |
| SEA LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol: | | | | | | | |
| 4 transactions for 10/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.295 | 102.69 | 10/13/21 | 100.00 | ... | 2.69 | 1 of 4 - Sale ²⁵ |
| | 0.581 | 202.36 | 10/13/21 | 198.53 | ... | 3.83 | 2 of 4 - Sale ²⁵ |
| | 0.876 | 304.96 | 10/13/21 | 300.00 | ... | 4.96 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 348.05 | 10/13/21 | 341.47 | ... | 6.58 | 4 of 4 - Sale ²⁵ |
| 10/14/21 | 2.753 | 958.06 | Various | 940.00 | ... | 18.06 | Total of 4 transactions |
| 2 transactions for 11/03/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 358.00 | 11/03/21 | 359.88 | ... | -1.88 | 1 of 2 - Sale ²⁵ |
| | 0.389 | 139.45 | 11/03/21 | 140.12 | ... | -0.67 | 2 of 2 - Sale ²⁵ |
| 11/03/21 | 1.389 | 497.45 | Various | 500.00 | ... | -2.55 | Total of 2 transactions |
| Security total: | | 1,455.51 | | 1,440.00 | ... | 15.51 | |
| SEAGEN INC. COMMON STOCK / CUSIP: 81181C104 / Symbol: | | | | | | | |
| 09/21/21 | 0.301 | 50.26 | 09/21/21 | 50.00 | ... | 0.26 | Sale ²⁵ |
| SEALED AIR CORP. / CUSIP: 81211K100 / Symbol: | | | | | | | |
| 10/21/21 | 3.000 | 175.86 | 10/06/21 | 171.56 | ... | 4.30 | Sale ²⁵ |
| MATERIALS SELECT SECTOR SPDR F UND / CUSIP: 81369Y100 / Symbol: | | | | | | | |
| 06/16/21 | 5.000 | 420.00 | 06/15/21 | 424.75 | ... | -4.75 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| CONSUMER DISCRETIONARY SELECT SECTOR SPDR FUND / CUSIP: 81369Y407 / Symbol: | | | | | | | |
| 2 transactions for 10/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.925 | 166.51 | 09/27/21 | 172.23 | ... | -5.72 | 1 of 2 - Sale ²⁵ |
| | 0.075 | 13.42 | 09/27/21 | 14.35 | ... | -0.93 | 2 of 2 - Sale ²⁵ |
| 10/04/21 | 1.000 | 179.93 | Various | 186.58 | ... | -6.65 | Total of 2 transactions |
| 10/04/21 | 0.075 | 13.42 | 09/27/21 | 13.88 | 0.46 W | 0.00 | Sale ²⁵ |
| | Security total: | 193.35 | | 200.46 | 0.46 W | -6.65 | |
| ENERGY SELECT SECTOR SPDR FUND / CUSIP: 81369Y506 / Symbol: | | | | | | | |
| 03/19/21 | 4.000 | 200.70 | 03/19/21 | 199.82 | ... | 0.88 | Sale ²⁵ |
| 03/31/21 | 3.000 | 147.75 | 03/26/21 | 150.36 | 2.61 W | 0.00 | Sale ²⁵ |
| 4 transactions for 05/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 161.76 | 04/28/21 | 152.63 | ... | 9.13 | 1 of 4 - Sale ²⁵ |
| | 12.000 | 647.03 | 04/28/21 | 600.10 | ... | 46.93 | 2 of 4 - Sale ²⁵ |
| | 2.000 | 107.84 | 05/11/21 | 104.60 | ... | 3.24 | 3 of 4 - Sale ²⁵ |
| | 3.000 | 161.76 | 05/17/21 | 163.76 | ... | -2.00 | 4 of 4 - Sale ²⁵ |
| 05/18/21 | 20.000 | 1,078.39 | Various | 1,021.09 | ... | 57.30 | Total of 4 transactions |
| 09/15/21 | 1.000 | 50.16 | 09/13/21 | 49.18 | ... | 0.98 | Sale ²⁵ |
| | Security total: | 1,477.00 | | 1,420.45 | 2.61 W | 59.16 | |
| REAL ESTATE SELECT SECTOR SPDR FUND / CUSIP: 81369Y860 / Symbol: | | | | | | | |
| 2 transactions for 11/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.147 | 7.14 | 10/15/21 | 6.85 | ... | 0.29 | 1 of 2 - Sale ²⁵ |
| | 0.853 | 41.39 | 10/15/21 | 39.73 | ... | 1.66 | 2 of 2 - Sale ²⁵ |
| 11/02/21 | 1.000 | 48.53 | Various | 46.58 | ... | 1.95 | Total of 2 transactions |
| 2 transactions for 11/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.147 | 7.12 | 10/15/21 | 6.84 | ... | 0.28 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 48.50 | 10/15/21 | 46.58 | ... | 1.92 | 2 of 2 - Sale ²⁵ |
| 11/16/21 | 1.147 | 55.62 | Various | 53.42 | ... | 2.20 | Total of 2 transactions |
| | Security total: | 104.15 | | 100.00 | ... | 4.15 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| SEMPRA / CUSIP: 816851109 / Symbol: | | | | | | | |
| 2 transactions for 09/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 134.52 | 09/02/21 | 134.78 | 0.26 W | 0.00 | 1 of 2 - Sale ²⁵ |
| 09/02/21 | 4.000 | 538.07 | 09/02/21 | 538.86 | 0.79 W | 0.00 | 2 of 2 - Sale ²⁵ |
| | 5.000 | 672.59 | Various | 673.64 | 1.05 W | 0.00 | Total of 2 transactions |
| 3 transactions for 09/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 387.25 | 09/02/21 | 404.81 | ... | -17.56 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 129.08 | 09/02/21 | 135.19 | ... | -6.11 | 2 of 3 - Sale ²⁵ |
| 09/23/21 | 1.000 | 129.09 | 09/02/21 | 135.13 | ... | -6.04 | 3 of 3 - Sale ²⁵ |
| | 5.000 | 645.42 | Various | 675.13 | ... | -29.71 | Total of 3 transactions |
| | Security total: | 1,318.01 | | 1,348.77 | 1.05 W | -29.71 | |
| SERVICENOW, INC. / CUSIP: 81762P102 / Symbol: | | | | | | | |
| 2 transactions for 10/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 677.83 | 09/14/21 | 648.14 | ... | 29.69 | 1 of 2 - Sale ²⁵ |
| 10/26/21 | 2.000 | 1,355.67 | 09/14/21 | 1,287.63 | ... | 68.04 | 2 of 2 - Sale ²⁵ |
| | 3.000 | 2,033.50 | Various | 1,935.77 | ... | 97.73 | Total of 2 transactions |
| THE SHERWIN-WILLIAMS COMPANY / CUSIP: 824348106 / Symbol: | | | | | | | |
| 12/23/21 | 0.582 | 198.65 | 12/03/21 | 200.00 | ... | -1.35 | Sale ²⁵ |
| SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: | | | | | | | |
| 01/08/21 | 1.000 | 1,175.92 | 01/08/21 | 1,193.00 | ... | -17.08 | Sale ²⁵ |
| 2 transactions for 02/09/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.000 | 0.31 | 02/09/21 | 0.31 | ... | 0.00 | 1 of 2 - Sale ²⁵ |
| 02/09/21 | 0.486 | 703.24 | 02/09/21 | 699.69 | ... | 3.55 | 2 of 2 - Sale ²⁵ |
| | 0.486 | 703.55 | Various | 700.00 | ... | 3.55 | Total of 2 transactions |
| 4 transactions for 05/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.001 | 0.72 | 04/08/21 | 0.69 | ... | 0.03 | 1 of 4 - Sale ²⁵ |
| | 0.408 | 516.23 | 04/08/21 | 499.31 | ... | 16.92 | 2 of 4 - Sale ²⁵ |
| | 0.183 | 231.88 | 04/13/21 | 225.00 | ... | 6.88 | 3 of 4 - Sale ²⁵ |
| | 0.408 | 515.88 | 04/13/21 | 500.00 | ... | 15.88 | 4 of 4 - Sale ²⁵ |
| 05/25/21 | 1.001 | 1,264.71 | Various | 1,225.00 | ... | 39.71 | Total of 4 transactions |
| 05/28/21 | 0.788 | 996.90 | 05/28/21 | 1,000.00 | ... | -3.10 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd) | | | | | | | |
| 07/07/21 | 1.000 | 1,547.10 | 07/06/21 | 1,512.37 | ... | 34.73 | Sale ²⁵ |
| | | 2 transactions for 07/08/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 1.000 | 1,459.25 | 07/07/21 | 1,584.77 | 125.52 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 1,458.17 | 07/08/21 | 1,610.83 | 152.66 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 07/08/21 | 2.000 | 2,917.42 | Various | 3,195.60 | 278.18 W | 0.00 | Total of 2 transactions |
| 07/26/21 | 1.000 | 1,599.96 | 07/26/21 | 1,773.63 | 173.67 W | 0.00 | Sale ²⁵ |
| 08/10/21 | 0.764 | 1,165.34 | 08/05/21 | 1,332.63 | 167.29 W | 0.00 | Sale ²⁵ |
| 08/25/21 | 0.020 | 29.78 | 08/24/21 | 34.39 | 4.61 W | 0.00 | Sale ²⁵ |
| | | 4 transactions for 08/31/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 0.020 | 29.92 | 08/24/21 | 34.73 | 4.81 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.093 | 141.95 | 08/24/21 | 163.16 | 21.21 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 0.236 | 362.26 | 08/24/21 | 405.63 | 43.37 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 0.652 | 998.87 | 08/24/21 | 1,148.03 | 149.16 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 08/31/21 | 1.000 | 1,533.00 | Various | 1,751.55 | 218.55 W | 0.00 | Total of 4 transactions |
| 09/08/21 | 1.000 | 1,524.98 | 09/07/21 | 1,534.53 | ... | -9.55 | Sale ²⁵ |
| | | 4 transactions for 09/08/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 0.020 | 29.77 | 08/27/21 | 34.85 | 5.08 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.093 | 141.21 | 08/27/21 | 163.70 | 22.49 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 0.236 | 360.37 | 08/27/21 | 407.03 | 46.66 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 0.652 | 993.65 | 08/27/21 | 1,151.87 | 158.22 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 09/08/21 | 1.000 | 1,525.00 | Various | 1,757.45 | 232.45 W | 0.00 | Total of 4 transactions |
| | | 4 transactions for 09/13/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 0.652 | 943.62 | 09/07/21 | 1,158.09 | ... | -214.47 | 1 of 4 - Sale ²⁵ |
| | 0.236 | 342.22 | 09/07/21 | 409.28 | ... | -67.06 | 2 of 4 - Sale ²⁵ |
| | 0.093 | 134.10 | 09/07/21 | 164.58 | ... | -30.48 | 3 of 4 - Sale ²⁵ |
| | 0.020 | 28.27 | 09/07/21 | 35.03 | ... | -6.76 | 4 of 4 - Sale ²⁵ |
| 09/13/21 | 1.000 | 1,448.21 | Various | 1,766.98 | ... | -318.77 | Total of 4 transactions |
| 10/28/21 | 1.000 | 1,461.99 | 10/28/21 | 1,440.70 | ... | 21.29 | Sale ²⁵ |
| | | 2 transactions for 12/29/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 0.071 | 99.04 | 12/29/21 | 99.40 | 0.36 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 1,395.27 | 12/29/21 | 1,400.60 | 5.33 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 12/29/21 | 1.071 | 1,494.31 | Various | 1,500.00 | 5.69 W | 0.00 | Total of 2 transactions |
| | Security total: | 20,388.17 | | 21,717.83 | 1,080.44 W | -249.22 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol: | | | | | | | |
| 10/19/21 | 10.000 | 1,429.09 | 10/15/21 | 1,415.66 | ... | 13.43 | Sale ²⁵ |
| THE SIMPLY GOOD FOODS COMPANY COMMON STOCK / CUSIP: 82900L102 / Symbol: | | | | | | | |
| 03/10/21 | 20.000 | 663.99 | 03/10/21 | 669.00 | ... | -5.01 | Sale ²⁵ |
| SITIME CORPORATION COMMON STOCK / CUSIP: 82982T106 / Symbol: | | | | | | | |
| <i>4 transactions for 12/20/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.003 | 0.88 | 12/20/21 | 0.89 | 0.01 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.136 | 35.97 | 12/20/21 | 36.36 | 0.39 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 0.136 | 35.97 | 12/20/21 | 36.88 | 0.91 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 0.860 | 226.98 | 12/20/21 | 230.26 | 3.28 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 12/20/21 | 1.136 | 299.80 | Various | 304.39 | 4.59 W | 0.00 | Total of 4 transactions |
| <i>4 transactions for 12/30/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.860 | 250.40 | 12/30/21 | 257.08 | ... | -6.68 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 291.06 | 12/30/21 | 295.00 | ... | -3.94 | 2 of 4 - Sale ²⁵ |
| | 0.136 | 39.68 | 12/30/21 | 41.13 | ... | -1.45 | 3 of 4 - Sale ²⁵ |
| | 0.003 | 0.97 | 12/30/21 | 0.99 | ... | -0.02 | 4 of 4 - Sale ²⁵ |
| 12/30/21 | 2.000 | 582.11 | Various | 594.20 | ... | -12.09 | Total of 4 transactions |
| | Security total: | 881.91 | | 898.59 | 4.59 W | -12.09 | |
| SKILLZ INC. / CUSIP: 83067L109 / Symbol: | | | | | | | |
| 03/22/21 | 10.000 | 253.70 | 03/19/21 | 270.85 | ... | -17.15 | Sale ²⁵ |
| SKYLINE CHAMPION CORPORATION COMMON STOCK / CUSIP: 830830105 / Symbol: | | | | | | | |
| 03/11/21 | 5.000 | 230.20 | 03/11/21 | 230.25 | ... | -0.05 | Sale ²⁵ |
| A.O. SMITH CORPORATION / CUSIP: 831865209 / Symbol: | | | | | | | |
| 11/01/21 | 7.000 | 519.11 | 10/29/21 | 498.04 | ... | 21.07 | Sale ²⁵ |
| 11/12/21 | 5.000 | 408.77 | 10/29/21 | 355.74 | ... | 53.03 | Sale ²⁵ |
| 11/17/21 | 3.000 | 246.06 | 10/29/21 | 213.44 | ... | 32.62 | Sale ²⁵ |
| | Security total: | 1,173.94 | | 1,067.22 | ... | 106.72 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| SMARTSHEET INC. / CUSIP: 83200N103 / Symbol: | | | | | | | |
| 3 transactions for 02/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 389.99 | 02/08/21 | 392.50 | ... | -2.51 | 1 of 3 - Sale ²⁵ |
| | 4.000 | 311.99 | 02/08/21 | 314.00 | ... | -2.01 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 78.00 | 02/08/21 | 78.50 | ... | -0.50 | 3 of 3 - Sale ²⁵ |
| 02/08/21 | 10.000 | 779.98 | Various | 785.00 | ... | -5.02 | Total of 3 transactions |
| THE J.M. SMUCKER COMPANY / CUSIP: 832696405 / Symbol: | | | | | | | |
| 08/18/21 | 10.000 | 1,322.49 | 08/16/21 | 1,334.37 | ... | -11.88 | Sale ²⁵ |
| 2 transactions for 11/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 262.61 | 10/27/21 | 247.84 | ... | 14.77 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 525.22 | 10/27/21 | 500.87 | ... | 24.35 | 2 of 2 - Sale ²⁵ |
| 11/23/21 | 6.000 | 787.83 | Various | 748.71 | ... | 39.12 | Total of 2 transactions |
| | Security total: | 2,110.32 | | 2,083.08 | ... | 27.24 | |
| SNAP-ON INCORPORATED / CUSIP: 833034101 / Symbol: | | | | | | | |
| 3 transactions for 10/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.452 | 98.04 | 10/15/21 | 100.00 | 1.96 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 0.452 | 98.01 | 10/18/21 | 102.39 | 4.38 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 0.548 | 118.64 | 10/18/21 | 121.56 | 2.92 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 10/21/21 | 1.452 | 314.69 | Various | 323.95 | 9.26 W | 0.00 | Total of 3 transactions |
| 8 transactions for 12/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.548 | 114.66 | 11/08/21 | 120.03 | ... | -5.37 | 1 of 8 - Sale ²⁵ |
| | 1.000 | 209.37 | 11/08/21 | 213.47 | ... | -4.10 | 2 of 8 - Sale ²⁵ |
| | 0.270 | 56.57 | 11/08/21 | 60.30 | ... | -3.73 | 3 of 8 - Sale ²⁵ |
| | 0.134 | 28.13 | 11/08/21 | 30.00 | ... | -1.87 | 4 of 8 - Sale ²⁵ |
| | 0.403 | 84.45 | 11/08/21 | 86.25 | ... | -1.80 | 5 of 8 - Sale ²⁵ |
| | 0.405 | 84.87 | 11/08/21 | 86.53 | ... | -1.66 | 6 of 8 - Sale ²⁵ |
| | 0.048 | 10.05 | 11/08/21 | 10.72 | ... | -0.67 | 7 of 8 - Sale ²⁵ |
| | 0.461 | 96.60 | 11/10/21 | 100.00 | ... | -3.40 | 8 of 8 - Sale ²⁵ |
| 12/23/21 | 3.270 | 684.70 | Various | 707.30 | ... | -22.60 | Total of 8 transactions |
| | Security total: | 999.39 | | 1,031.25 | 9.26 W | -22.60 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|------------------------------|
| SNAP INC. / CUSIP: 83304A106 / Symbol: | | | | | | | |
| 01/12/21 | 50.000 | 2,769.93 | 01/08/21 | 2,642.50 | ... | 127.43 | Sale ²⁵ |
| | | <i>2 transactions for 05/03/21. Total proceeds and cost reported to the IRS.</i> | | | | | |
| | 8.000 | 471.68 | 04/28/21 | 474.48 | ... | -2.80 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 58.96 | 04/28/21 | 59.25 | ... | -0.29 | 2 of 2 - Sale ²⁵ |
| 05/03/21 | 9.000 | 530.64 | Various | 533.73 | ... | -3.09 | Total of 2 transactions |
| 07/23/21 | 10.000 | 764.79 | 07/23/21 | 779.30 | 4.35 W | -10.16 | Sale ²⁵ |
| 08/10/21 | 3.000 | 239.43 | 08/04/21 | 233.49 | ... | 5.94 | Sale ²⁵ |
| 08/24/21 | 1.000 | 74.41 | 08/23/21 | 74.64 | 0.23 W | 0.00 | Sale ²⁵ |
| 09/23/21 | 1.000 | 79.90 | 09/21/21 | 76.44 | ... | 3.46 | Sale ²⁵ |
| 10/01/21 | 1.000 | 73.85 | 09/28/21 | 75.30 | ... | -1.45 | Sale ²⁵ |
| | | <i>3 transactions for 11/22/21. Total proceeds and cost reported to the IRS.</i> | | | | | |
| | 4.999 | 250.06 | 11/15/21 | 283.45 | ... | -33.39 | 1 of 3 - Sale ²⁵ |
| | 0.292 | 14.60 | 11/15/21 | 16.55 | ... | -1.95 | 2 of 3 - Sale ²⁵ |
| | 5.563 | 278.27 | 11/16/21 | 300.00 | ... | -21.73 | 3 of 3 - Sale ²⁵ |
| 11/22/21 | 10.854 | 542.93 | Various | 600.00 | ... | -57.07 | Total of 3 transactions |
| | Security total: | 5,075.88 | | 5,015.40 | 4.58 W | 65.06 | |
| SNOWFLAKE INC. / CUSIP: 833445109 / Symbol: | | | | | | | |
| 08/06/21 | 1.000 | 270.65 | 08/03/21 | 281.98 | ... | -11.33 | Sale ²⁵ |
| 08/10/21 | 1.000 | 277.88 | 08/03/21 | 281.98 | ... | -4.10 | Sale ²⁵ |
| | Security total: | 548.53 | | 563.96 | ... | -15.43 | |
| SO-YOUNG INTERNATIONAL INC. AM ERICAN DEPOSITORY SHARES / CUSIP: 83356Q108 / Symbol: | | | | | | | |
| 05/25/21 | 10.000 | 96.02 | 04/05/21 | 94.60 | ... | 1.42 | Sale ²⁵ |
| | | <i>5 transactions for 05/25/21. Total proceeds and cost reported to the IRS.</i> | | | | | |
| | 1.000 | 9.60 | 03/29/21 | 9.63 | 0.03 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 2.000 | 19.20 | 03/29/21 | 19.32 | 0.12 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 10.000 | 96.02 | 03/29/21 | 96.55 | 0.53 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 2.000 | 19.20 | 03/31/21 | 19.80 | 0.60 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 5.000 | 48.01 | 04/01/21 | 51.33 | 3.32 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 05/25/21 | 20.000 | 192.03 | Various | 196.63 | 4.60 W | 0.00 | Total of 5 transactions |
| | | <i>11 transactions for 06/21/21. Total proceeds and cost reported to the IRS.</i> | | | | | |
| | 10.000 | 89.91 | 04/07/21 | 96.69 | ... | -6.78 | 1 of 11 - Sale ²⁵ |
| | 5.000 | 44.96 | 04/21/21 | 45.55 | ... | -0.59 | 2 of 11 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|-------------------------------|
| SO-YOUNG INTERNATIONAL INC. AM ERICAN DEPOSITORY SHARES / CUSIP: 83356Q108 / Symbol: (cont'd) | | | | | | | |
| | 5.000 | 44.96 | 04/22/21 | 46.00 | ... | -1.04 | 3 of 11 - Sale ²⁵ |
| | 1.000 | 8.99 | 05/05/21 | 8.61 | ... | 0.38 | 4 of 11 - Sale ²⁵ |
| | 2.000 | 17.98 | 05/05/21 | 17.27 | ... | 0.71 | 5 of 11 - Sale ²⁵ |
| | 7.000 | 62.94 | 05/10/21 | 53.14 | ... | 9.80 | 6 of 11 - Sale ²⁵ |
| | 5.000 | 44.96 | 06/21/21 | 48.35 | ... | -3.39 | 7 of 11 - Sale ²⁵ |
| | 2.000 | 17.98 | 06/21/21 | 18.61 | ... | -0.63 | 8 of 11 - Sale ²⁵ |
| | 10.000 | 89.91 | 06/21/21 | 90.07 | ... | -0.16 | 9 of 11 - Sale ²⁵ |
| | 2.000 | 17.98 | 06/21/21 | 18.13 | ... | -0.15 | 10 of 11 - Sale ²⁵ |
| | 1.000 | 8.99 | 06/21/21 | 9.06 | ... | -0.07 | 11 of 11 - Sale ²⁵ |
| 06/21/21 | 50.000 | 449.56 | Various | 451.48 | ... | -1.92 | Total of 11 transactions |
| | Security total: | 737.61 | | 742.71 | 4.60 W | -0.50 | |
| SOFI TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83406F102 / Symbol: | | | | | | | |
| 06/29/21 | 5.000 | 98.00 | 06/29/21 | 101.33 | ... | -3.33 | Sale ²⁵ |
| 06/29/21 | 25.000 | 492.50 | 06/29/21 | 502.46 | 7.97 W | -1.99 | Sale ²⁵ |
| | 2 transactions for 07/01/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 8.000 | 148.00 | 06/29/21 | 155.75 | ... | -7.75 | 1 of 2 - Sale ²⁵ |
| | 7.000 | 129.50 | 06/29/21 | 136.28 | ... | -6.78 | 2 of 2 - Sale ²⁵ |
| 07/01/21 | 15.000 | 277.50 | Various | 292.03 | ... | -14.53 | Total of 2 transactions |
| | Security total: | 868.00 | | 895.82 | 7.97 W | -19.85 | |
| SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: | | | | | | | |
| | 2 transactions for 01/12/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 200.000 | 1,483.94 | 01/12/21 | 1,490.00 | ... | -6.06 | 1 of 2 - Sale ²⁵ |
| | 300.000 | 2,231.91 | 01/12/21 | 2,235.00 | ... | -3.09 | 2 of 2 - Sale ²⁵ |
| 01/12/21 | 500.000 | 3,715.85 | Various | 3,725.00 | ... | -9.15 | Total of 2 transactions |
| 08/02/21 | 5.000 | 41.90 | 08/02/21 | 42.39 | ... | -0.49 | Sale ²⁵ |
| | Security total: | 3,757.75 | | 3,767.39 | ... | -9.64 | |
| THE SOUTHERN COMPANY / CUSIP: 842587107 / Symbol: | | | | | | | |
| | 2 transactions for 11/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 124.66 | 10/14/21 | 125.58 | ... | -0.92 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 62.33 | 10/22/21 | 63.44 | ... | -1.11 | 2 of 2 - Sale ²⁵ |
| 11/04/21 | 3.000 | 186.99 | Various | 189.02 | ... | -2.03 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| SOUTHERN COPPER CORPORATION / CUSIP: 84265V105 / Symbol: | | | | | | | |
| 2 transactions for 01/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 70.55 | 01/19/21 | 71.71 | ... | -1.16 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 70.55 | 01/19/21 | 71.71 | ... | -1.16 | 2 of 2 - Sale ²⁵ |
| 01/20/21 | 2.000 | 141.10 | Various | 143.42 | ... | -2.32 | Total of 2 transactions |
| 2 transactions for 01/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 493.85 | 01/19/21 | 497.84 | 0.57 W | -3.42 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 70.55 | 01/19/21 | 71.12 | 0.57 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 01/20/21 | 8.000 | 564.40 | Various | 568.96 | 1.14 W | -3.42 | Total of 2 transactions |
| 03/10/21 | 20.000 | 1,475.69 | 03/10/21 | 1,474.20 | ... | 1.49 | Sale ²⁵ |
| | Security total: | 2,181.19 | | 2,186.58 | 1.14 W | -4.25 | |
| SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol: | | | | | | | |
| 2 transactions for 05/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 127.20 | 05/14/21 | 124.22 | ... | 2.98 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 190.81 | 05/14/21 | 186.93 | ... | 3.88 | 2 of 2 - Sale ²⁵ |
| 05/18/21 | 5.000 | 318.01 | Various | 311.15 | ... | 6.86 | Total of 2 transactions |
| 05/19/21 | 10.000 | 599.99 | 05/14/21 | 623.10 | ... | -23.11 | Sale ²⁵ |
| | Security total: | 918.00 | | 934.25 | ... | -16.25 | |
| SPARTANNASH COMPANY COMMON STO CK / CUSIP: 847215100 / Symbol: | | | | | | | |
| 04/23/21 | 20.000 | 393.63 | 04/19/21 | 395.20 | ... | -1.57 | Sale ²⁵ |
| SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: | | | | | | | |
| 03/22/21 | 5.000 | 194.18 | 03/19/21 | 194.25 | ... | -0.07 | Sale ²⁵ |
| BLOCK, INC. / CUSIP: 852234103 / Symbol: | | | | | | | |
| 01/06/21 | 10.000 | 2,274.62 | 01/04/21 | 2,181.65 | ... | 92.97 | Sale ²⁵ |
| 01/12/21 | 10.000 | 2,250.92 | 01/12/21 | 2,279.55 | 14.32 W | -14.31 | Sale ²⁵ |
| 01/28/21 | 5.000 | 1,059.97 | 01/28/21 | 1,081.02 | ... | -21.05 | Sale ²⁵ |
| 08/25/21 | 1.000 | 266.86 | 08/23/21 | 271.58 | 4.72 W | 0.00 | Sale ²⁵ |
| 3 transactions for 09/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 246.58 | 09/23/21 | 271.69 | ... | -25.11 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 246.58 | 09/23/21 | 267.39 | ... | -20.81 | 2 of 3 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| BLOCK, INC. / CUSIP: 852234103 / Symbol: (cont'd) | | | | | | | |
| | 2.000 | 493.15 | 09/24/21 | 518.70 | ... | -25.55 | 3 of 3 - Sale ²⁵ |
| 09/28/21 | 4.000 | 986.31 | Various | 1,057.78 | ... | -71.47 | Total of 3 transactions |
| | Security total: | 6,838.68 | | 6,871.58 | 19.04 W | -13.86 | |
| STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol: | | | | | | | |
| 2 transactions for 01/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 836.62 | 01/26/21 | 836.84 | ... | -0.22 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 209.15 | 01/26/21 | 209.20 | ... | -0.05 | 2 of 2 - Sale ²⁵ |
| 01/26/21 | 10.000 | 1,045.77 | Various | 1,046.04 | ... | -0.27 | Total of 2 transactions |
| 03/11/21 | 5.000 | 538.26 | 03/10/21 | 541.10 | 2.84 W | 0.00 | Sale ²⁵ |
| 03/16/21 | 5.000 | 556.14 | 03/16/21 | 559.38 | 3.24 W | 0.00 | Sale ²⁵ |
| 5 transactions for 06/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 111.63 | 03/23/21 | 107.70 | ... | 3.93 | 1 of 5 - Sale ²⁵ |
| | 1.000 | 111.62 | 03/23/21 | 107.67 | ... | 3.95 | 2 of 5 - Sale ²⁵ |
| | 1.000 | 111.63 | 03/23/21 | 107.59 | ... | 4.04 | 3 of 5 - Sale ²⁵ |
| | 2.000 | 223.27 | 03/23/21 | 215.33 | ... | 7.94 | 4 of 5 - Sale ²⁵ |
| | 5.000 | 558.18 | 06/25/21 | 564.68 | ... | -6.50 | 5 of 5 - Sale ²⁵ |
| 06/29/21 | 10.000 | 1,116.33 | Various | 1,102.97 | ... | 13.36 | Total of 5 transactions |
| 3 transactions for 09/03/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.364 | 42.58 | 09/01/21 | 43.00 | 0.42 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 5.000 | 585.20 | 09/01/21 | 590.20 | 5.00 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 14.636 | 1,713.00 | 09/02/21 | 1,716.90 | 3.90 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 09/03/21 | 20.000 | 2,340.78 | Various | 2,350.10 | 9.32 W | 0.00 | Total of 3 transactions |
| 3 transactions for 10/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 14.636 | 1,633.68 | 09/02/21 | 1,716.89 | ... | -83.21 | 1 of 3 - Sale ²⁵ |
| | 5.000 | 558.09 | 09/02/21 | 591.53 | ... | -33.44 | 2 of 3 - Sale ²⁵ |
| | 0.364 | 40.61 | 09/02/21 | 43.10 | ... | -2.49 | 3 of 3 - Sale ²⁵ |
| 10/28/21 | 20.000 | 2,232.38 | Various | 2,351.52 | ... | -119.14 | Total of 3 transactions |
| | Security total: | 7,829.66 | | 7,951.11 | 15.40 W | -106.05 | |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| STATE STREET CORPORATION / CUSIP: 857477103 / Symbol: | | | | | | | |
| 2 transactions for 10/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 365.30 | 10/05/21 | 348.56 | ... | 16.74 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 91.32 | 10/06/21 | 87.48 | ... | 3.84 | 2 of 2 - Sale ²⁵ |
| 10/08/21 | 5.000 | 456.62 | Various | 436.04 | ... | 20.58 | Total of 2 transactions |
| 10/18/21 | 5.000 | 483.60 | 10/06/21 | 437.39 | ... | 46.21 | Sale ²⁵ |
| | Security total: | 940.22 | | 873.43 | ... | 66.79 | |
| STEEL DYNAMICS, INC. / CUSIP: 858119100 / Symbol: | | | | | | | |
| 04/27/21 | 5.000 | 273.75 | 04/26/21 | 272.30 | ... | 1.45 | Sale ²⁵ |
| 2 transactions for 05/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 306.30 | 04/26/21 | 272.30 | ... | 34.00 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 306.29 | 04/26/21 | 271.24 | ... | 35.05 | 2 of 2 - Sale ²⁵ |
| 05/25/21 | 10.000 | 612.59 | Various | 543.54 | ... | 69.05 | Total of 2 transactions |
| 07/29/21 | 0.962 | 62.13 | 07/29/21 | 62.00 | ... | 0.13 | Sale ²⁵ |
| 07/29/21 | 9.000 | 581.12 | 07/29/21 | 581.13 | 0.01 W | 0.00 | Sale ²⁵ |
| 3 transactions for 08/03/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 313.74 | 07/29/21 | 326.09 | ... | -12.35 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 188.25 | 07/29/21 | 193.47 | ... | -5.22 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 125.50 | 07/29/21 | 129.00 | ... | -3.50 | 3 of 3 - Sale ²⁵ |
| 08/03/21 | 10.000 | 627.49 | Various | 648.56 | ... | -21.07 | Total of 3 transactions |
| 2 transactions for 10/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.929 | 62.10 | 10/20/21 | 60.00 | ... | 2.10 | 1 of 2 - Sale ²⁵ |
| | 1.016 | 67.90 | 10/20/21 | 65.64 | ... | 2.26 | 2 of 2 - Sale ²⁵ |
| 10/25/21 | 1.946 | 130.00 | Various | 125.64 | ... | 4.36 | Total of 2 transactions |
| 11/02/21 | 1.984 | 123.50 | 10/20/21 | 128.12 | ... | -4.62 | Sale ²⁵ |
| | Security total: | 2,410.58 | | 2,361.29 | 0.01 W | 49.30 | |
| SUNCOKE ENERGY INC / CUSIP: 86722A103 / Symbol: | | | | | | | |
| 05/25/21 | 30.000 | 210.00 | 05/17/21 | 226.65 | ... | -16.65 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: | | | | | | | |
| 3 transactions for 02/03/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 65.000 | 79.94 | 02/03/21 | 83.20 | ... | -3.26 | 1 of 3 - Sale ²⁵ |
| | 24.000 | 29.52 | 02/03/21 | 30.72 | ... | -1.20 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 1.23 | 02/03/21 | 1.28 | ... | -0.05 | 3 of 3 - Sale ²⁵ |
| 02/03/21 | 90.000 | 110.69 | Various | 115.20 | ... | -4.51 | Total of 3 transactions |
| SUNNOVA ENERGY INTERNATIONAL I NC. / CUSIP: 86745K104 / Symbol: | | | | | | | |
| 2 transactions for 08/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 180.24 | 08/10/21 | 194.85 | ... | -14.61 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 180.24 | 08/10/21 | 192.50 | ... | -12.26 | 2 of 2 - Sale ²⁵ |
| 08/11/21 | 10.000 | 360.48 | Various | 387.35 | ... | -26.87 | Total of 2 transactions |
| SUNPOWER CORPORATION COMMON ST OCK / CUSIP: 867652406 / Symbol: | | | | | | | |
| 02/18/21 | 2.000 | 77.66 | 02/17/21 | 82.60 | ... | -4.94 | Sale ²⁵ |
| SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol: | | | | | | | |
| 2 transactions for 09/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 475.09 | 09/02/21 | 479.16 | 4.07 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 475.10 | 09/02/21 | 478.68 | 3.58 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 09/02/21 | 20.000 | 950.19 | Various | 957.84 | 7.65 W | 0.00 | Total of 2 transactions |
| 9 transactions for 09/03/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 229.95 | 09/02/21 | 243.76 | 13.81 W | 0.00 | 1 of 9 - Sale ²⁵ |
| | 5.000 | 229.95 | 09/02/21 | 250.50 | 20.55 W | 0.00 | 2 of 9 - Sale ²⁵ |
| | 10.000 | 459.91 | 09/02/21 | 488.00 | 28.09 W | 0.00 | 3 of 9 - Sale ²⁵ |
| | 5.000 | 231.39 | 09/02/21 | 238.26 | 6.87 W | 0.00 | 4 of 9 - Sale ²⁵ |
| | 5.000 | 231.39 | 09/02/21 | 242.18 | 10.79 W | 0.00 | 5 of 9 - Sale ²⁵ |
| | 5.000 | 233.65 | 09/02/21 | 247.26 | 13.61 W | 0.00 | 6 of 9 - Sale ²⁵ |
| | 10.000 | 462.78 | 09/02/21 | 477.00 | 14.22 W | 0.00 | 7 of 9 - Sale ²⁵ |
| | 15.000 | 694.17 | 09/02/21 | 709.40 | 15.23 W | 0.00 | 8 of 9 - Sale ²⁵ |
| | 15.000 | 700.96 | 09/02/21 | 709.39 | 8.43 W | 0.00 | 9 of 9 - Sale ²⁵ |
| 09/03/21 | 75.000 | 3,474.15 | Various | 3,605.75 | 131.60 W | 0.00 | Total of 9 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 09/07/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 15.000 | 688.49 | 09/02/21 | 719.10 | 30.61 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 15.000 | 688.50 | 09/02/21 | 725.90 | 37.40 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 09/07/21 | 30.000 | 1,376.99 | Various | 1,445.00 | 68.01 W | 0.00 | Total of 2 transactions |
| 4 transactions for 09/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 217.85 | 09/07/21 | 244.21 | 26.36 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 5.000 | 217.85 | 09/07/21 | 250.95 | 33.10 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 10.000 | 435.69 | 09/07/21 | 488.90 | 53.21 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 10.000 | 435.70 | 09/07/21 | 485.74 | 50.04 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 09/24/21 | 30.000 | 1,307.09 | Various | 1,469.80 | 162.71 W | 0.00 | Total of 4 transactions |
| 3 transactions for 10/01/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 12.000 | 529.07 | 10/01/21 | 559.69 | 2.55 W | -28.07 | 1 of 3 - Sale ²⁵ |
| | 5.000 | 220.45 | 10/01/21 | 235.30 | 14.85 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 5.000 | 220.45 | 10/01/21 | 248.02 | 27.57 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 10/01/21 | 22.000 | 969.97 | Various | 1,043.01 | 44.97 W | -28.07 | Total of 3 transactions |
| 2 transactions for 10/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 130.61 | 10/01/21 | 139.92 | ... | -9.31 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 43.54 | 10/01/21 | 49.60 | ... | -6.06 | 2 of 2 - Sale ²⁵ |
| 10/08/21 | 4.000 | 174.15 | Various | 189.52 | ... | -15.37 | Total of 2 transactions |
| 7 transactions for 10/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 186.48 | 10/01/21 | 198.41 | ... | -11.93 | 1 of 7 - Sale ²⁵ |
| | 2.000 | 93.24 | 10/01/21 | 102.42 | ... | -9.18 | 2 of 7 - Sale ²⁵ |
| | 2.000 | 93.24 | 10/01/21 | 101.64 | ... | -8.40 | 3 of 7 - Sale ²⁵ |
| | 1.000 | 46.62 | 10/01/21 | 50.97 | ... | -4.35 | 4 of 7 - Sale ²⁵ |
| | 1.000 | 46.62 | 10/01/21 | 49.62 | ... | -3.00 | 5 of 7 - Sale ²⁵ |
| | 4.000 | 186.49 | 10/04/21 | 184.75 | ... | 1.74 | 6 of 7 - Sale ²⁵ |
| | 6.000 | 279.73 | 10/04/21 | 277.42 | ... | 2.31 | 7 of 7 - Sale ²⁵ |
| 10/11/21 | 20.000 | 932.42 | Various | 965.23 | ... | -32.81 | Total of 7 transactions |
| 4 transactions for 10/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 243.72 | 10/11/21 | 258.11 | ... | -14.39 | 1 of 4 - Sale ²⁵ |
| | 4.000 | 194.98 | 10/11/21 | 205.71 | ... | -10.73 | 2 of 4 - Sale ²⁵ |
| | 5.000 | 243.72 | 10/11/21 | 245.40 | ... | -1.68 | 3 of 4 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 48.75 | 10/11/21 | 48.66 | ... | 0.09 | 4 of 4 - Sale ²⁵ |
| 10/12/21 | 15.000 | 731.17 | Various | 757.88 | ... | -26.71 | Total of 4 transactions |
| | Security total: | 9,916.13 | | 10,434.03 | 414.94 W | -102.96 | |
| SYNCHRONY FINANCIAL / CUSIP: 87165B103 / Symbol: | | | | | | | |
| 09/14/21 | 3.000 | 146.37 | 09/14/21 | 146.91 | ... | -0.54 | Sale ²⁵ |
| | 5 transactions for 11/29/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.942 | 280.18 | 11/08/21 | 297.41 | ... | -17.23 | 1 of 5 - Sale ²⁵ |
| | 5.999 | 282.87 | 11/08/21 | 296.61 | ... | -13.74 | 2 of 5 - Sale ²⁵ |
| | 0.052 | 2.44 | 11/08/21 | 2.71 | ... | -0.27 | 3 of 5 - Sale ²⁵ |
| | 0.017 | 0.79 | 11/08/21 | 0.83 | ... | -0.04 | 4 of 5 - Sale ²⁵ |
| | 1.990 | 93.81 | 11/10/21 | 100.00 | ... | -6.19 | 5 of 5 - Sale ²⁵ |
| 11/29/21 | 14.000 | 660.09 | Various | 697.56 | ... | -37.47 | Total of 5 transactions |
| 11/29/21 | 0.052 | 2.44 | 11/08/21 | 2.59 | 0.15 W | 0.00 | Sale ²⁵ |
| | Security total: | 808.90 | | 847.06 | 0.15 W | -38.01 | |
| TJX COMPANIES, INC. (THE) / CUSIP: 872540109 / Symbol: | | | | | | | |
| | 7 transactions for 11/17/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.061 | 4.60 | 10/26/21 | 3.99 | ... | 0.61 | 1 of 7 - Sale ²⁵ |
| | 0.065 | 4.87 | 10/26/21 | 4.24 | ... | 0.63 | 2 of 7 - Sale ²⁵ |
| | 0.462 | 34.93 | 10/26/21 | 30.29 | ... | 4.64 | 3 of 7 - Sale ²⁵ |
| | 0.736 | 55.17 | 10/26/21 | 48.16 | ... | 7.01 | 4 of 7 - Sale ²⁵ |
| | 3.000 | 225.75 | 10/26/21 | 196.01 | ... | 29.74 | 5 of 7 - Sale ²⁵ |
| | 3.849 | 289.60 | 10/26/21 | 251.84 | ... | 37.76 | 6 of 7 - Sale ²⁵ |
| | 7.473 | 562.35 | 10/26/21 | 489.95 | ... | 72.40 | 7 of 7 - Sale ²⁵ |
| 11/17/21 | 15.646 | 1,177.27 | Various | 1,024.48 | ... | 152.79 | Total of 7 transactions |
| T-MOBILE US, INC. COMMON STOCK / CUSIP: 872590104 / Symbol: | | | | | | | |
| 08/24/21 | 3.000 | 426.40 | 08/12/21 | 435.00 | ... | -8.60 | Sale ²⁵ |
| TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD. / CUSIP: 874039100 / Symbol: | | | | | | | |
| 01/08/21 | 10.000 | 1,180.69 | 01/08/21 | 1,180.33 | ... | 0.36 | Sale ²⁵ |
| | 4 transactions for 01/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 1,180.07 | 01/08/21 | 1,180.90 | 0.83 W | 0.00 | 1 of 4 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD. / CUSIP: 874039100 / Symbol: (cont'd) | | | | | | | |
| | 10.000 | 1,180.07 | 01/08/21 | 1,192.08 | 12.01 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 10.000 | 1,180.67 | 01/08/21 | 1,191.51 | 10.84 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 10.000 | 1,199.97 | 01/08/21 | 1,211.15 | 11.18 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 01/08/21 | 40.000 | 4,740.78 | Various | 4,775.64 | 34.86 W | 0.00 | Total of 4 transactions |
| | 2 transactions for 03/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 1,153.89 | 01/14/21 | 1,286.84 | 132.95 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 1,153.89 | 02/17/21 | 1,372.50 | 218.61 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 03/08/21 | 20.000 | 2,307.78 | Various | 2,659.34 | 351.56 W | 0.00 | Total of 2 transactions |
| 03/10/21 | 5.000 | 574.24 | 03/08/21 | 656.73 | 82.49 W | 0.00 | Sale ²⁵ |
| 03/15/21 | 5.000 | 586.72 | 03/08/21 | 656.72 | 70.00 W | 0.00 | Sale ²⁵ |
| 06/30/21 | 2.000 | 238.80 | 03/08/21 | 279.12 | ... | -40.32 | Sale ²⁵ |
| | 2 transactions for 11/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 8.000 | 972.47 | 03/08/21 | 1,116.49 | ... | -144.02 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 243.12 | 03/10/21 | 267.08 | ... | -23.96 | 2 of 2 - Sale ²⁵ |
| 11/23/21 | 10.000 | 1,215.59 | Various | 1,383.57 | ... | -167.98 | Total of 2 transactions |
| | Security total: | 10,844.60 | | 11,591.45 | 538.91 W | -207.94 | |
| TAKE-TWO INTERACTIVE SOFTWARE, INC. COMMON STOCK / CUSIP: 874054109 / Symbol: | | | | | | | |
| 01/04/21 | 5.000 | 1,029.97 | 01/04/21 | 1,037.90 | ... | -7.93 | Sale ²⁵ |
| | 3 transactions for 10/05/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.500 | 81.04 | 10/01/21 | 78.13 | ... | 2.91 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 323.96 | 10/01/21 | 312.35 | ... | 11.61 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 323.96 | 10/01/21 | 312.35 | ... | 11.61 | 3 of 3 - Sale ²⁵ |
| 10/05/21 | 4.500 | 728.96 | Various | 702.83 | ... | 26.13 | Total of 3 transactions |
| | 2 transactions for 10/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.500 | 85.26 | 10/01/21 | 78.04 | ... | 7.22 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 341.13 | 10/05/21 | 323.74 | ... | 17.39 | 2 of 2 - Sale ²⁵ |
| 10/08/21 | 2.500 | 426.39 | Various | 401.78 | ... | 24.61 | Total of 2 transactions |
| | Security total: | 2,185.32 | | 2,142.51 | ... | 42.81 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|--|
| TANGER FACTORY OUTLET CENTERS / CUSIP: 875465106 / Symbol: | | | | | | | |
| 01/16/21 | 100.000 | 959.96 N | 11/24/20 | 990.52 | ... | -30.56 | Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$340.00 |
| TAPESTRY, INC. COMMON STOCK / CUSIP: 876030107 / Symbol: | | | | | | | |
| <i>7 transactions for 11/11/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.669 | 30.62 | 11/04/21 | 28.64 | ... | 1.98 | 1 of 7 - Sale ²⁵ |
| | 0.736 | 33.71 | 11/04/21 | 31.08 | ... | 2.63 | 2 of 7 - Sale ²⁵ |
| | 3.999 | 183.15 | 11/04/21 | 171.36 | ... | 11.79 | 3 of 7 - Sale ²⁵ |
| | 3.998 | 183.12 | 11/04/21 | 168.92 | ... | 14.20 | 4 of 7 - Sale ²⁵ |
| | 0.326 | 14.91 | 11/10/21 | 14.00 | ... | 0.91 | 5 of 7 - Sale ²⁵ |
| | 0.727 | 33.31 | 11/10/21 | 31.28 | ... | 2.03 | 6 of 7 - Sale ²⁵ |
| | 1.273 | 58.28 | 11/10/21 | 54.72 | ... | 3.56 | 7 of 7 - Sale ²⁵ |
| 11/11/21 | 11.727 | 537.10 | Various | 500.00 | ... | 37.10 | Total of 7 transactions |
| TARGET CORPORATION / CUSIP: 87612E106 / Symbol: | | | | | | | |
| <i>2 transactions for 10/18/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.000 | 0.02 | 10/14/21 | 0.02 | ... | 0.00 | 1 of 2 - Sale ²⁵ |
| | 0.991 | 249.98 | 10/14/21 | 237.83 | ... | 12.15 | 2 of 2 - Sale ²⁵ |
| 10/18/21 | 0.991 | 250.00 | Various | 237.85 | ... | 12.15 | Total of 2 transactions |
| <i>2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.009 | 2.28 | 10/14/21 | 2.10 | ... | 0.18 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 260.08 | 10/14/21 | 239.95 | ... | 20.13 | 2 of 2 - Sale ²⁵ |
| 10/25/21 | 1.009 | 262.36 | Various | 242.05 | ... | 20.31 | Total of 2 transactions |
| | Security total: | 512.36 | | 479.90 | ... | 32.46 | |
| TASKUS, INC. CLASS A COMMON STOCK / CUSIP: 87652V109 / Symbol: | | | | | | | |
| <i>4 transactions for 11/17/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 2.995 | 177.82 | 11/12/21 | 190.67 | ... | -12.85 | 1 of 4 - Sale ²⁵ |
| | 2.445 | 145.14 | 11/12/21 | 157.00 | ... | -11.86 | 2 of 4 - Sale ²⁵ |
| | 0.462 | 27.40 | 11/12/21 | 29.33 | ... | -1.93 | 3 of 4 - Sale ²⁵ |
| | 5.000 | 296.85 | 11/16/21 | 306.27 | ... | -9.42 | 4 of 4 - Sale ²⁵ |
| 11/17/21 | 10.902 | 647.21 | Various | 683.27 | ... | -36.06 | Total of 4 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| TECK RESOURCES LIMITED / CUSIP: 878742204 / Symbol: | | | | | | | |
| 05/14/21 | 0.040 | 1.00 | 05/05/21 | 0.92 | ... | 0.08 | Sale ²⁵ |
| | 3 transactions for 05/24/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.961 | 114.14 | 05/05/21 | 115.21 | 1.07 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 15.000 | 345.15 | 05/05/21 | 359.39 | 14.24 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 20.040 | 461.10 | 05/06/21 | 492.56 | 31.46 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 05/24/21 | 40.000 | 920.39 | Various | 967.16 | 46.77 W | 0.00 | Total of 3 transactions |
| 06/07/21 | 4.040 | 97.19 | 05/07/21 | 105.71 | ... | -8.52 | Sale ²⁵ |
| | 2 transactions for 06/07/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 120.30 | 05/06/21 | 127.65 | 0.17 W | -7.18 | 1 of 2 - Sale ²⁵ |
| | 4.961 | 119.35 | 05/06/21 | 123.00 | 3.65 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 06/07/21 | 9.961 | 239.65 | Various | 250.65 | 3.82 W | -7.18 | Total of 2 transactions |
| | 9 transactions for 09/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 244.30 | 05/07/21 | 267.97 | ... | -23.67 | 1 of 9 - Sale ²⁵ |
| | 10.000 | 244.29 | 05/07/21 | 267.90 | ... | -23.61 | 2 of 9 - Sale ²⁵ |
| | 19.961 | 487.62 | 05/07/21 | 505.94 | ... | -18.32 | 3 of 9 - Sale ²⁵ |
| | 5.925 | 144.76 | 05/07/21 | 155.06 | ... | -10.30 | 4 of 9 - Sale ²⁵ |
| | 0.040 | 0.97 | 05/07/21 | 1.06 | ... | -0.09 | 5 of 9 - Sale ²⁵ |
| | 0.035 | 0.86 | 05/07/21 | 0.92 | ... | -0.06 | 6 of 9 - Sale ²⁵ |
| | 0.040 | 0.97 | 05/26/21 | 0.99 | ... | -0.02 | 7 of 9 - Sale ²⁵ |
| | 4.961 | 121.18 | 05/26/21 | 120.05 | ... | 1.13 | 8 of 9 - Sale ²⁵ |
| | 0.075 | 1.82 | 07/01/21 | 1.85 | ... | -0.03 | 9 of 9 - Sale ²⁵ |
| 09/08/21 | 51.035 | 1,246.77 | Various | 1,321.74 | ... | -74.97 | Total of 9 transactions |
| | Security total: | 2,505.00 | | 2,646.18 | 50.59 W | -90.59 | |
| TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol: | | | | | | | |
| | 3 transactions for 02/02/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 3.000 | 809.06 | 02/02/21 | 819.88 | ... | -10.82 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 269.68 | 02/02/21 | 278.87 | ... | -9.19 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 269.68 | 02/02/21 | 275.21 | ... | -5.53 | 3 of 3 - Sale ²⁵ |
| 02/02/21 | 5.000 | 1,348.42 | Various | 1,373.96 | ... | -25.54 | Total of 3 transactions |
| | 3 transactions for 02/02/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 270.38 | 02/02/21 | 273.79 | 3.41 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 270.38 | 02/02/21 | 277.45 | 7.07 W | 0.00 | 2 of 3 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol: (cont'd) | | | | | | | |
| | 3.000 | 811.16 | 02/02/21 | 815.64 | 4.48 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 02/02/21 | 5.000 | 1,351.92 | Various | 1,366.88 | 14.96 W | 0.00 | Total of 3 transactions |
| | Security total: | 2,700.34 | | 2,740.84 | 14.96 W | -25.54 | |
| TELOS CORPORATION COMMON STOCK / CUSIP: 87969B101 / Symbol: | | | | | | | |
| 04/20/21 | 5.000 | 167.06 | 03/31/21 | 181.50 | ... | -14.44 | Sale ²⁵ |
| TENCENT MUSIC ENTERTAINMENT GR OUP AMERICAN DEPOSITARY SHARES , EACH REPRESENTING TWO CLASS / CUSIP: 88034P109 / Symbol: | | | | | | | |
| 03/19/21 | 3.000 | 90.90 | 03/18/21 | 83.97 | ... | 6.93 | Sale ²⁵ |
| 03/22/21 | 15.000 | 448.58 | 03/18/21 | 419.85 | ... | 28.73 | Sale ²⁵ |
| 03/25/21 | 5.000 | 115.80 | 03/23/21 | 157.75 | ... | -41.95 | Sale ²⁵ |
| | 2 transactions for 03/25/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 92.64 | 03/22/21 | 123.87 | 15.62 W | -15.61 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 23.16 | 03/22/21 | 30.97 | 7.81 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 03/25/21 | 5.000 | 115.80 | Various | 154.84 | 23.43 W | -15.61 | Total of 2 transactions |
| | 2 transactions for 04/28/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 19.27 | 04/22/21 | 26.77 | ... | -7.50 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 38.55 | 04/23/21 | 53.22 | ... | -14.67 | 2 of 2 - Sale ²⁵ |
| 04/28/21 | 3.000 | 57.82 | Various | 79.99 | ... | -22.17 | Total of 2 transactions |
| | Security total: | 828.90 | | 896.40 | 23.43 W | -44.07 | |
| TERNIUM S.A. AMERICAN DEPOSITA RY SHARES / CUSIP: 880890108 / Symbol: | | | | | | | |
| 03/04/21 | 10.000 | 330.70 | 03/03/21 | 362.50 | ... | -31.80 | Sale ²⁵ |
| TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: | | | | | | | |
| 01/04/21 | 1.000 | 729.98 | 01/04/21 | 745.92 | ... | -15.94 | Sale ²⁵ |
| | 9 transactions for 01/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 2,919.93 | 01/04/21 | 2,983.66 | 28.09 W | -35.64 | 1 of 9 - Sale ²⁵ |
| | 0.432 | 317.09 | 01/04/21 | 323.73 | 6.64 W | 0.00 | 2 of 9 - Sale ²⁵ |
| | 0.432 | 317.09 | 01/04/21 | 354.44 | 37.35 W | 0.00 | 3 of 9 - Sale ²⁵ |
| | 0.432 | 317.09 | 01/04/21 | 355.70 | 38.61 W | 0.00 | 4 of 9 - Sale ²⁵ |
| | 0.432 | 317.09 | 01/04/21 | 375.48 | 58.39 W | 0.00 | 5 of 9 - Sale ²⁵ |
| | 0.568 | 417.12 | 01/04/21 | 466.46 | 49.34 W | 0.00 | 6 of 9 - Sale ²⁵ |
| | 0.568 | 417.12 | 01/04/21 | 470.06 | 52.94 W | 0.00 | 7 of 9 - Sale ²⁵ |

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(continued)

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd) | | | | | | | |
| | 0.568 | 417.12 | 01/04/21 | 494.04 | 76.92 W | 0.00 | 8 of 9 - Sale ²⁵ |
| | 1.568 | 1,151.34 | 01/04/21 | 1,165.24 | 13.90 W | 0.00 | 9 of 9 - Sale ²⁵ |
| 01/04/21 | 9.000 | 6,590.99 | Various | 6,988.81 | 362.18 W | -35.64 | Total of 9 transactions |
| | 6 transactions for 01/06/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.568 | 427.32 | 01/06/21 | 510.60 | ... | -83.28 | 1 of 6 - Sale ²⁵ |
| | 0.432 | 324.84 | 01/06/21 | 388.06 | ... | -63.22 | 2 of 6 - Sale ²⁵ |
| | 0.568 | 427.32 | 01/06/21 | 486.53 | ... | -59.21 | 3 of 6 - Sale ²⁵ |
| | 0.568 | 427.32 | 01/06/21 | 482.93 | ... | -55.61 | 4 of 6 - Sale ²⁵ |
| | 0.432 | 324.83 | 01/06/21 | 368.22 | ... | -43.39 | 5 of 6 - Sale ²⁵ |
| | 0.432 | 324.83 | 01/06/21 | 366.96 | ... | -42.13 | 6 of 6 - Sale ²⁵ |
| 01/06/21 | 3.000 | 2,256.46 | Various | 2,603.30 | ... | -346.84 | Total of 6 transactions |
| 01/25/21 | 1.000 | 865.30 | 01/25/21 | 901.87 | ... | -36.57 | Sale ²⁵ |
| | 2 transactions for 01/25/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.432 | 384.56 | 01/25/21 | 392.30 | 7.74 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 0.568 | 505.88 | 01/25/21 | 512.37 | 6.49 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 01/25/21 | 1.000 | 890.44 | Various | 904.67 | 14.23 W | 0.00 | Total of 2 transactions |
| 01/27/21 | 0.339 | 294.72 | 01/27/21 | 305.40 | ... | -10.68 | Sale ²⁵ |
| | 5 transactions for 01/29/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.346 | 287.39 | 01/27/21 | 305.52 | ... | -18.13 | 1 of 5 - Sale ²⁵ |
| | 0.719 | 593.61 | 01/28/21 | 611.45 | ... | -17.84 | 2 of 5 - Sale ²⁵ |
| | 0.281 | 232.49 | 01/28/21 | 239.71 | ... | -7.22 | 3 of 5 - Sale ²⁵ |
| | 0.062 | 51.68 | 01/28/21 | 53.06 | ... | -1.38 | 4 of 5 - Sale ²⁵ |
| | 0.015 | 12.61 | 01/28/21 | 12.95 | ... | -0.34 | 5 of 5 - Sale ²⁵ |
| 01/29/21 | 1.424 | 1,177.78 | Various | 1,222.69 | ... | -44.91 | Total of 5 transactions |
| | 2 transactions for 02/19/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.432 | 340.31 | 02/19/21 | 349.67 | ... | -9.36 | 1 of 2 - Sale ²⁵ |
| | 0.568 | 447.67 | 02/19/21 | 456.30 | ... | -8.63 | 2 of 2 - Sale ²⁵ |
| 02/19/21 | 1.000 | 787.98 | Various | 805.97 | ... | -17.99 | Total of 2 transactions |
| 04/21/21 | 0.023 | 16.45 | 04/13/21 | 16.39 | ... | 0.06 | Sale ²⁵ |
| | 2 transactions for 04/22/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.305 | 223.67 | 04/13/21 | 220.33 | ... | 3.34 | 1 of 2 - Sale ²⁵ |
| | 0.695 | 510.74 | 04/13/21 | 503.61 | ... | 7.13 | 2 of 2 - Sale ²⁵ |
| 04/22/21 | 1.000 | 734.41 | Various | 723.94 | ... | 10.47 | Total of 2 transactions |

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 04/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.305 | 216.34 | 04/13/21 | 220.00 | 3.66 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 0.695 | 494.00 | 04/13/21 | 503.10 | 9.10 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 04/27/21 | 1.000 | 710.34 | Various | 723.10 | 12.76 W | 0.00 | Total of 2 transactions |
| 07/01/21 | 2.000 | 1,358.15 | 05/12/21 | 1,203.06 | ... | 155.09 | Sale ²⁵ |
| 3 transactions for 07/01/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.305 | 206.82 | 04/19/21 | 216.85 | 10.03 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 0.695 | 472.25 | 04/19/21 | 495.91 | 23.66 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 679.08 | 04/26/21 | 730.54 | 51.46 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 07/01/21 | 2.000 | 1,358.15 | Various | 1,443.30 | 85.15 W | 0.00 | Total of 3 transactions |
| 5 transactions for 07/06/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.305 | 207.46 | 06/02/21 | 194.03 | ... | 13.43 | 1 of 5 - Sale ²⁵ |
| | 0.695 | 473.72 | 06/02/21 | 443.82 | ... | 29.90 | 2 of 5 - Sale ²⁵ |
| | 1.000 | 657.90 | 06/03/21 | 625.37 | ... | 32.53 | 3 of 5 - Sale ²⁵ |
| | 1.000 | 657.90 | 06/03/21 | 573.91 | ... | 83.99 | 4 of 5 - Sale ²⁵ |
| | 2.000 | 1,315.79 | 06/21/21 | 1,237.70 | ... | 78.09 | 5 of 5 - Sale ²⁵ |
| 07/06/21 | 5.000 | 3,312.77 | Various | 3,074.83 | ... | 237.94 | Total of 5 transactions |
| 07/06/21 | 1.000 | 657.89 | 07/01/21 | 677.00 | 19.11 W | 0.00 | Sale ²⁵ |
| 2 transactions for 07/07/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 660.65 | 07/07/21 | 663.63 | 2.98 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 660.65 | 07/07/21 | 682.74 | 22.09 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 07/07/21 | 2.000 | 1,321.30 | Various | 1,346.37 | 25.07 W | 0.00 | Total of 2 transactions |
| 07/09/21 | 1.000 | 649.00 | 07/08/21 | 643.47 | ... | 5.53 | Sale ²⁵ |
| 07/09/21 | 1.000 | 650.35 | 07/08/21 | 662.58 | 12.23 W | 0.00 | Sale ²⁵ |
| 3 transactions for 07/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 670.06 | 07/08/21 | 640.49 | ... | 29.57 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 1,318.89 | 07/08/21 | 1,280.99 | ... | 37.90 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 1,340.11 | 07/23/21 | 1,291.29 | ... | 48.82 | 3 of 3 - Sale ²⁵ |
| 07/26/21 | 5.000 | 3,329.06 | Various | 3,212.77 | ... | 116.29 | Total of 3 transactions |
| 2 transactions for 07/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 670.05 | 07/12/21 | 683.61 | 13.56 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 670.06 | 07/12/21 | 695.85 | 25.79 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 07/26/21 | 2.000 | 1,340.11 | Various | 1,379.46 | 39.35 W | 0.00 | Total of 2 transactions |

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| 08/04/21 | 1.000 | 716.50 | 07/26/21 | 684.19 | ... | 32.31 | Sale ²⁵ |
| | 2 transactions for 08/06/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 698.80 | 07/26/21 | 671.96 | ... | 26.84 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 1,397.61 | 07/26/21 | 1,316.79 | ... | 80.82 | 2 of 2 - Sale ²⁵ |
| 08/06/21 | 3.000 | 2,096.41 | Various | 1,988.75 | ... | 107.66 | Total of 2 transactions |
| | 2 transactions for 08/24/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 704.77 | 07/26/21 | 658.39 | ... | 46.38 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 704.77 | 07/29/21 | 672.76 | ... | 32.01 | 2 of 2 - Sale ²⁵ |
| 08/24/21 | 2.000 | 1,409.54 | Various | 1,331.15 | ... | 78.39 | Total of 2 transactions |
| 08/30/21 | 2.000 | 1,444.08 | 07/29/21 | 1,345.51 | ... | 98.57 | Sale ²⁵ |
| | 2 transactions for 09/01/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 735.39 | 07/29/21 | 672.75 | ... | 62.64 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 735.40 | 08/27/21 | 713.34 | ... | 22.06 | 2 of 2 - Sale ²⁵ |
| 09/01/21 | 2.000 | 1,470.79 | Various | 1,386.09 | ... | 84.70 | Total of 2 transactions |
| | 3 transactions for 09/02/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 732.45 | 08/27/21 | 713.33 | ... | 19.12 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 732.45 | 08/30/21 | 727.30 | ... | 5.15 | 2 of 3 - Sale ²⁵ |
| | 10.000 | 7,309.96 | 09/02/21 | 7,333.37 | ... | -23.41 | 3 of 3 - Sale ²⁵ |
| 09/02/21 | 12.000 | 8,774.86 | Various | 8,774.00 | ... | 0.86 | Total of 3 transactions |
| | 3 transactions for 09/02/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 8.000 | 5,857.21 | 09/02/21 | 5,866.70 | 5.93 W | -3.56 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 1,464.30 | 09/02/21 | 1,481.44 | 17.14 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 1,464.91 | 09/02/21 | 1,479.67 | 14.76 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 09/02/21 | 12.000 | 8,786.42 | Various | 8,827.81 | 37.83 W | -3.56 | Total of 3 transactions |
| | 2 transactions for 09/07/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 1,505.99 | 09/07/21 | 1,530.62 | ... | -24.63 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 752.99 | 09/07/21 | 757.93 | ... | -4.94 | 2 of 2 - Sale ²⁵ |
| 09/07/21 | 3.000 | 2,258.98 | Various | 2,288.55 | ... | -29.57 | Total of 2 transactions |
| 10/07/21 | 2.000 | 1,568.89 | 09/07/21 | 1,515.86 | ... | 53.03 | Sale ²⁵ |
| 10/07/21 | 2.000 | 1,568.89 | 09/27/21 | 1,587.76 | 18.87 W | 0.00 | Sale ²⁵ |
| 10/15/21 | 1.000 | 831.13 | 10/15/21 | 839.68 | 8.55 W | 0.00 | Sale ²⁵ |
| 10/19/21 | 1.000 | 864.54 | 10/18/21 | 879.61 | 15.07 W | 0.00 | Sale ²⁵ |
| 10/20/21 | 1.000 | 859.32 | 10/18/21 | 878.73 | 19.41 W | 0.00 | Sale ²⁵ |

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd) | | | | | | | |
| 10/25/21 | 1.000 | 994.03 | 10/18/21 | 870.17 | ... | 123.86 | Sale ²⁵ |
| | 3 transactions for 11/15/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.000 | 0.15 | 10/21/21 | 0.13 | ... | 0.02 | 1 of 3 - Sale ²⁵ |
| | 0.230 | 230.33 | 10/21/21 | 207.26 | ... | 23.07 | 2 of 3 - Sale ²⁵ |
| | 0.769 | 769.06 | 10/21/21 | 692.12 | ... | 76.94 | 3 of 3 - Sale ²⁵ |
| 11/15/21 | 1.000 | 999.54 | Various | 899.51 | ... | 100.03 | Total of 3 transactions |
| 11/15/21 | 0.231 | 230.45 | 10/27/21 | 245.30 | 14.85 W | 0.00 | Sale ²⁵ |
| | Security total: | 63,906.00 | | 63,927.57 | 684.66 W | 663.09 | |
| TEXAS INSTRUMENTS INCORPORATED COMMON STOCK / CUSIP: 882508104 / Symbol: | | | | | | | |
| 01/26/21 | 2.000 | 346.02 | 01/26/21 | 347.14 | ... | -1.12 | Sale ²⁵ |
| 05/10/21 | 3.000 | 556.10 | 05/07/21 | 560.75 | ... | -4.65 | Sale ²⁵ |
| | 2 transactions for 10/28/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 187.36 | 10/28/21 | 188.05 | 0.69 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 374.71 | 10/28/21 | 375.73 | 1.02 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 10/28/21 | 3.000 | 562.07 | Various | 563.78 | 1.71 W | 0.00 | Total of 2 transactions |
| | 4 transactions for 11/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 386.90 | 11/08/21 | 389.49 | ... | -2.59 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 193.46 | 11/08/21 | 195.43 | ... | -1.97 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 193.46 | 11/08/21 | 195.25 | ... | -1.79 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 193.49 | 11/08/21 | 195.25 | ... | -1.76 | 4 of 4 - Sale ²⁵ |
| 11/08/21 | 5.000 | 967.31 | Various | 975.42 | ... | -8.11 | Total of 4 transactions |
| | Security total: | 2,431.50 | | 2,447.09 | 1.71 W | -13.88 | |
| 360 DIGITECH, INC. AMERICAN DE POSITARY SHARES / CUSIP: 88557W101 / Symbol: | | | | | | | |
| | 2 transactions for 03/15/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 57.80 | 03/10/21 | 51.70 | ... | 6.10 | 1 of 2 - Sale ²⁵ |
| | 18.000 | 515.24 | 03/10/21 | 465.30 | ... | 49.94 | 2 of 2 - Sale ²⁵ |
| 03/15/21 | 20.000 | 573.04 | Various | 517.00 | ... | 56.04 | Total of 2 transactions |
| 03/18/21 | 10.000 | 342.30 | 03/15/21 | 291.60 | ... | 50.70 | Sale ²⁵ |
| | Security total: | 915.34 | | 808.60 | ... | 106.74 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| 3M COMPANY / CUSIP: 88579Y101 / Symbol: | | | | | | | |
| 2 transactions for 04/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 199.58 | 04/05/21 | 195.54 | ... | 4.04 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 798.31 | 04/05/21 | 779.60 | ... | 18.71 | 2 of 2 - Sale ²⁵ |
| 04/26/21 | 5.000 | 997.89 | Various | 975.14 | ... | 22.75 | Total of 2 transactions |
| 2 transactions for 10/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.452 | 263.24 | 10/20/21 | 264.78 | ... | -1.54 | 1 of 2 - Sale ²⁵ |
| | 0.548 | 99.46 | 10/20/21 | 100.58 | ... | -1.12 | 2 of 2 - Sale ²⁵ |
| 10/22/21 | 2.000 | 362.70 | Various | 365.36 | ... | -2.66 | Total of 2 transactions |
| 10/22/21 | 0.548 | 99.46 | 10/15/21 | 100.00 | 0.54 W | 0.00 | Sale ²⁵ |
| | Security total: | 1,460.05 | | 1,440.50 | 0.54 W | 20.09 | |
| TORO COMPANY (THE) / CUSIP: 891092108 / Symbol: | | | | | | | |
| 06/11/21 | 2.000 | 211.99 | 04/19/21 | 224.58 | 12.59 W | 0.00 | Sale ²⁵ |
| 5 transactions for 07/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 333.49 | 04/19/21 | 336.86 | ... | -3.37 | 1 of 5 - Sale ²⁵ |
| | 2.000 | 222.33 | 05/12/21 | 238.51 | ... | -16.18 | 2 of 5 - Sale ²⁵ |
| | 3.000 | 333.49 | 05/12/21 | 338.88 | ... | -5.39 | 3 of 5 - Sale ²⁵ |
| | 1.000 | 111.16 | 06/03/21 | 108.00 | ... | 3.16 | 4 of 5 - Sale ²⁵ |
| | 1.000 | 111.16 | 06/03/21 | 106.15 | ... | 5.01 | 5 of 5 - Sale ²⁵ |
| 07/13/21 | 10.000 | 1,111.63 | Various | 1,128.40 | ... | -16.77 | Total of 5 transactions |
| | Security total: | 1,323.62 | | 1,352.98 | 12.59 W | -16.77 | |
| TRACTOR SUPPLY COMPANY COMMON STOCK / CUSIP: 892356106 / Symbol: | | | | | | | |
| 01/14/21 | 10.000 | 1,544.36 | 01/12/21 | 1,515.86 | ... | 28.50 | Sale ²⁵ |
| 01/19/21 | 3.000 | 471.72 | 01/15/21 | 474.15 | ... | -2.43 | Sale ²⁵ |
| 2 transactions for 10/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 207.14 | 10/22/21 | 210.98 | ... | -3.84 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 207.14 | 10/22/21 | 210.47 | ... | -3.33 | 2 of 2 - Sale ²⁵ |
| 10/26/21 | 2.000 | 414.28 | Various | 421.45 | ... | -7.17 | Total of 2 transactions |
| | Security total: | 2,430.36 | | 2,411.46 | ... | 18.90 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| TRANSDIGM GROUP INCORPORATED / CUSIP: 893641100 / Symbol: | | | | | | | |
| 2 transactions for 10/01/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.002 | 1.09 | 09/09/21 | 1.00 | ... | 0.09 | 1 of 2 - Sale ²⁵ |
| | 0.998 | 641.19 | 09/09/21 | 585.73 | ... | 55.46 | 2 of 2 - Sale ²⁵ |
| 10/01/21 | 1.000 | 642.28 | Various | 586.73 | ... | 55.55 | Total of 2 transactions |
| 2 transactions for 10/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.002 | 1.11 | 09/09/21 | 1.00 | ... | 0.11 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 1,296.61 | 09/10/21 | 1,195.07 | ... | 101.54 | 2 of 2 - Sale ²⁵ |
| 10/04/21 | 2.002 | 1,297.72 | Various | 1,196.07 | ... | 101.65 | Total of 2 transactions |
| 11/12/21 | 0.737 | 482.90 | 11/09/21 | 500.00 | ... | -17.10 | Sale ²⁵ |
| | Security total: | 2,422.90 | | 2,282.80 | ... | 140.10 | |
| TRIMBLE INC. COMMON STOCK / CUSIP: 896239100 / Symbol: | | | | | | | |
| 2 transactions for 10/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.176 | 15.56 | 10/15/21 | 14.94 | ... | 0.62 | 1 of 2 - Sale ²⁵ |
| | 0.389 | 34.44 | 10/15/21 | 33.09 | ... | 1.35 | 2 of 2 - Sale ²⁵ |
| 10/22/21 | 0.565 | 50.00 | Various | 48.03 | ... | 1.97 | Total of 2 transactions |
| 10/26/21 | 0.611 | 53.03 | 10/15/21 | 51.97 | ... | 1.06 | Sale ²⁵ |
| | Security total: | 103.03 | | 100.00 | ... | 3.03 | |
| TRIPADVISOR, INC. COMMON STOCK / CUSIP: 896945201 / Symbol: | | | | | | | |
| 10/01/21 | 10.000 | 361.64 | 09/27/21 | 367.07 | ... | -5.43 | Sale ²⁵ |
| 10/18/21 | 10.000 | 373.26 | 09/27/21 | 367.06 | ... | 6.20 | Sale ²⁵ |
| | Security total: | 734.90 | | 734.13 | ... | 0.77 | |
| TRUIST FINANCIAL CORPORATION / CUSIP: 89832Q109 / Symbol: | | | | | | | |
| 2 transactions for 10/01/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 590.00 | 09/24/21 | 580.30 | ... | 9.70 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 590.13 | 09/24/21 | 580.30 | ... | 9.83 | 2 of 2 - Sale ²⁵ |
| 10/01/21 | 20.000 | 1,180.13 | Various | 1,160.60 | ... | 19.53 | Total of 2 transactions |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| TWILIO INC. / CUSIP: 90138F102 / Symbol: | | | | | | | |
| 08/31/21 | 1.000 | 362.20 | 08/30/21 | 370.54 | ... | -8.34 | Sale ²⁵ |
| | | 3 transactions for 10/14/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 0.001 | 0.23 | 10/13/21 | 0.22 | ... | 0.01 | 1 of 3 - Sale ²⁵ |
| | 0.477 | 171.05 | 10/13/21 | 161.41 | ... | 9.64 | 2 of 3 - Sale ²⁵ |
| | 0.999 | 358.18 | 10/13/21 | 338.37 | ... | 19.81 | 3 of 3 - Sale ²⁵ |
| 10/14/21 | 1.477 | 529.46 | Various | 500.00 | ... | 29.46 | Total of 3 transactions |
| | Security total: | 891.66 | | 870.54 | ... | 21.12 | |
| TWITTER, INC. / CUSIP: 90184L102 / Symbol: | | | | | | | |
| 04/05/21 | 0.077 | 4.83 | 04/01/21 | 5.00 | ... | -0.17 | Sale ²⁵ |
| | | 2 transactions for 10/04/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 4.000 | 235.20 | 10/04/21 | 237.87 | ... | -2.67 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 58.80 | 10/04/21 | 59.47 | ... | -0.67 | 2 of 2 - Sale ²⁵ |
| 10/04/21 | 5.000 | 294.00 | Various | 297.34 | ... | -3.34 | Total of 2 transactions |
| | Security total: | 298.83 | | 302.34 | ... | -3.51 | |
| II-VI INCORPORATED COMMON STOC K / CUSIP: 902104108 / Symbol: | | | | | | | |
| 02/12/21 | 10.000 | 911.17 | 02/12/21 | 924.50 | ... | -13.33 | Sale ²⁵ |
| 2U, INC. COMMON STOCK / CUSIP: 90214J101 / Symbol: | | | | | | | |
| | | 2 transactions for 02/02/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 10.000 | 451.49 | 02/02/21 | 455.20 | 3.71 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 15.000 | 677.23 | 02/02/21 | 682.80 | 5.57 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 02/02/21 | 25.000 | 1,128.72 | Various | 1,138.00 | 9.28 W | 0.00 | Total of 2 transactions |
| | | 3 transactions for 02/04/21. Total proceeds and cost reported to the IRS. | | | | | |
| | 10.000 | 459.59 | 02/02/21 | 459.21 | ... | 0.38 | 1 of 3 - Sale ²⁵ |
| | 15.000 | 689.38 | 02/02/21 | 688.82 | ... | 0.56 | 2 of 3 - Sale ²⁵ |
| | 5.000 | 229.79 | 02/02/21 | 227.75 | ... | 2.04 | 3 of 3 - Sale ²⁵ |
| 02/04/21 | 30.000 | 1,378.76 | Various | 1,375.78 | ... | 2.98 | Total of 3 transactions |
| | Security total: | 2,507.48 | | 2,513.78 | 9.28 W | 2.98 | |

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(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| TYSON FOODS, INC. / CUSIP: 902494103 / Symbol: | | | | | | | |
| 2 transactions for 08/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 238.68 | 08/10/21 | 236.48 | ... | 2.20 | 1 of 2 - Sale ²⁵ |
| | 7.000 | 556.92 | 08/10/21 | 552.37 | ... | 4.55 | 2 of 2 - Sale ²⁵ |
| 08/12/21 | 10.000 | 795.60 | Various | 788.85 | ... | 6.75 | Total of 2 transactions |
| 10/06/21 | 10.000 | 775.69 | 09/29/21 | 785.85 | ... | -10.16 | Sale ²⁵ |
| | Security total: | 1,571.29 | | 1,574.70 | ... | -3.41 | |
| UDR, INC. / CUSIP: 902653104 / Symbol: | | | | | | | |
| 4 transactions for 09/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 54.98 | 08/31/21 | 53.69 | ... | 1.29 | 1 of 4 - Sale ²⁵ |
| | 7.000 | 384.86 | 08/31/21 | 375.40 | ... | 9.46 | 2 of 4 - Sale ²⁵ |
| | 20.000 | 1,099.59 | 08/31/21 | 1,073.97 | ... | 25.62 | 3 of 4 - Sale ²⁵ |
| | 22.000 | 1,209.55 | 08/31/21 | 1,181.18 | ... | 28.37 | 4 of 4 - Sale ²⁵ |
| 09/02/21 | 50.000 | 2,748.98 | Various | 2,684.24 | ... | 64.74 | Total of 4 transactions |
| UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol: | | | | | | | |
| 3 transactions for 03/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 297.00 | 03/10/21 | 289.75 | ... | 7.25 | 1 of 3 - Sale ²⁵ |
| | 7.000 | 415.80 | 03/10/21 | 407.26 | ... | 8.54 | 2 of 3 - Sale ²⁵ |
| | 13.000 | 772.19 | 03/10/21 | 754.20 | ... | 17.99 | 3 of 3 - Sale ²⁵ |
| 03/15/21 | 25.000 | 1,484.99 | Various | 1,451.21 | ... | 33.78 | Total of 3 transactions |
| 04/12/21 | 1.000 | 59.31 | 04/12/21 | 60.47 | 1.16 W | 0.00 | Sale ²⁵ |
| 5 transactions for 04/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 59.08 | 04/13/21 | 59.93 | 0.85 W | 0.00 | 1 of 5 - Sale ²⁵ |
| | 1.000 | 59.08 | 04/13/21 | 61.10 | 2.02 W | 0.00 | 2 of 5 - Sale ²⁵ |
| | 1.000 | 59.17 | 04/13/21 | 59.70 | 0.53 W | 0.00 | 3 of 5 - Sale ²⁵ |
| | 1.000 | 59.17 | 04/13/21 | 60.86 | 1.69 W | 0.00 | 4 of 5 - Sale ²⁵ |
| | 3.000 | 177.24 | 04/13/21 | 178.22 | 0.98 W | 0.00 | 5 of 5 - Sale ²⁵ |
| 04/13/21 | 7.000 | 413.74 | Various | 419.81 | 6.07 W | 0.00 | Total of 5 transactions |
| 4 transactions for 04/14/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 593.99 | 04/13/21 | 601.50 | ... | -7.51 | 1 of 4 - Sale ²⁵ |
| | 3.000 | 178.20 | 04/13/21 | 181.43 | ... | -3.23 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 59.40 | 04/13/21 | 62.17 | ... | -2.77 | 3 of 4 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 59.40 | 04/13/21 | 61.00 | ... | -1.60 | 4 of 4 - Sale ²⁵ |
| 04/14/21 | 15.000 | 890.99 | Various | 906.10 | ... | -15.11 | Total of 4 transactions |
| | 3 transactions for 10/05/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.065 | 2.97 | 09/24/21 | 3.04 | 0.07 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 0.065 | 2.97 | 09/24/21 | 3.12 | 0.15 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 0.935 | 42.82 | 09/24/21 | 43.91 | 1.09 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 10/05/21 | 1.065 | 48.76 | Various | 50.07 | 1.31 W | 0.00 | Total of 3 transactions |
| | 3 transactions for 11/22/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 49.000 | 2,057.98 | 09/24/21 | 2,300.96 | ... | -242.98 | 1 of 3 - Sale ²⁵ |
| | 0.935 | 39.28 | 09/24/21 | 45.00 | ... | -5.72 | 2 of 3 - Sale ²⁵ |
| | 0.065 | 2.72 | 09/24/21 | 3.20 | ... | -0.48 | 3 of 3 - Sale ²⁵ |
| 11/22/21 | 50.000 | 2,099.98 | Various | 2,349.16 | ... | -249.18 | Total of 3 transactions |
| | Security total: | 4,997.77 | | 5,236.82 | 8.54 W | -230.51 | |
| ULTA BEAUTY, INC. COMMON STOCK / CUSIP: 90384S303 / Symbol: | | | | | | | |
| 10/14/21 | 2.000 | 810.32 | 10/13/21 | 778.46 | ... | 31.86 | Sale ²⁵ |
| 10/19/21 | 3.000 | 1,149.86 | 10/13/21 | 1,167.68 | 6.16 W | -11.66 | Sale ²⁵ |
| | 3 transactions for 11/30/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.480 | 187.66 | 11/04/21 | 188.41 | ... | -0.75 | 1 of 3 - Sale ²⁵ |
| | 0.037 | 14.60 | 11/04/21 | 14.66 | ... | -0.06 | 2 of 3 - Sale ²⁵ |
| | 0.520 | 203.11 | 11/04/21 | 203.09 | ... | 0.02 | 3 of 3 - Sale ²⁵ |
| 11/30/21 | 1.037 | 405.37 | Various | 406.16 | ... | -0.79 | Total of 3 transactions |
| | Security total: | 2,365.55 | | 2,352.30 | 6.16 W | 19.41 | |
| UMPQUA HOLDINGS CORPORATION COMMON STOCK / CUSIP: 904214103 / Symbol: | | | | | | | |
| | 3 transactions for 05/07/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 18.89 | 04/26/21 | 18.55 | ... | 0.34 | 1 of 3 - Sale ²⁵ |
| | 9.000 | 170.05 | 04/27/21 | 167.13 | ... | 2.92 | 2 of 3 - Sale ²⁵ |
| | 20.000 | 377.90 | 04/27/21 | 370.94 | ... | 6.96 | 3 of 3 - Sale ²⁵ |
| 05/07/21 | 30.000 | 566.84 | Various | 556.62 | ... | 10.22 | Total of 3 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| UNDER ARMOUR, INC. / CUSIP: 904311107 / Symbol: | | | | | | | |
| 2 transactions for 04/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 202.34 | 04/05/21 | 206.33 | ... | -3.99 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 22.48 | 04/05/21 | 22.88 | ... | -0.40 | 2 of 2 - Sale ²⁵ |
| 04/13/21 | 10.000 | 224.82 | Various | 229.21 | ... | -4.39 | Total of 2 transactions |
| 3 transactions for 09/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 220.80 | 09/09/21 | 231.58 | ... | -10.78 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 22.08 | 09/09/21 | 23.07 | ... | -0.99 | 2 of 3 - Sale ²⁵ |
| | 20.000 | 441.61 | 09/10/21 | 468.50 | ... | -26.89 | 3 of 3 - Sale ²⁵ |
| 09/13/21 | 31.000 | 684.49 | Various | 723.15 | ... | -38.66 | Total of 3 transactions |
| 5 transactions for 11/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 50.26 | 10/27/21 | 42.71 | ... | 7.55 | 1 of 5 - Sale ²⁵ |
| | 3.000 | 75.39 | 10/27/21 | 65.42 | ... | 9.97 | 2 of 5 - Sale ²⁵ |
| | 10.000 | 251.29 | 10/27/21 | 216.18 | ... | 35.11 | 3 of 5 - Sale ²⁵ |
| | 12.000 | 301.56 | 10/27/21 | 256.29 | ... | 45.27 | 4 of 5 - Sale ²⁵ |
| | 23.000 | 577.98 | 10/27/21 | 497.94 | ... | 80.04 | 5 of 5 - Sale ²⁵ |
| 11/02/21 | 50.000 | 1,256.48 | Various | 1,078.54 | ... | 177.94 | Total of 5 transactions |
| 11/04/21 | 20.000 | 538.82 | 11/02/21 | 511.37 | ... | 27.45 | Sale ²⁵ |
| | Security total: | 2,704.61 | | 2,542.27 | ... | 162.34 | |
| UNION PACIFIC CORP. / CUSIP: 907818108 / Symbol: | | | | | | | |
| 2 transactions for 10/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 215.39 | 10/05/21 | 209.68 | ... | 5.71 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 430.77 | 10/05/21 | 419.98 | ... | 10.79 | 2 of 2 - Sale ²⁵ |
| 10/11/21 | 3.000 | 646.16 | Various | 629.66 | ... | 16.50 | Total of 2 transactions |
| UNITED PARCEL SERVICE, INC. CL ASS B / CUSIP: 911312106 / Symbol: | | | | | | | |
| 08/26/21 | 5.000 | 961.75 | 08/16/21 | 984.65 | ... | -22.90 | Sale ²⁵ |
| 11/12/21 | 2.000 | 427.04 | 11/11/21 | 434.09 | ... | -7.05 | Sale ²⁵ |
| 11/12/21 | 2.000 | 426.02 | 11/11/21 | 429.71 | 3.69 W | 0.00 | Sale ²⁵ |
| | Security total: | 1,814.81 | | 1,848.45 | 3.69 W | -29.95 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| UNITED RENTALS, INC. / CUSIP: 911363109 / Symbol: | | | | | | | |
| 2 transactions for 11/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.261 | 104.28 | 11/01/21 | 100.00 | ... | 4.28 | 1 of 2 - Sale ²⁵ |
| | 0.262 | 104.64 | 11/01/21 | 100.00 | ... | 4.64 | 2 of 2 - Sale ²⁵ |
| 11/08/21 | 0.523 | 208.92 | Various | 200.00 | ... | 8.92 | Total of 2 transactions |
| UNITED STATES NATURAL GAS FUND , LP UNIT / CUSIP: 912318300 / Symbol: | | | | | | | |
| 3 transactions for 01/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 88.20 | 12/30/20 | 79.11 | ... | 9.09 | 1 of 3 - Sale ²⁵ |
| | 91.000 | 891.81 | 12/30/20 | 799.89 | ... | 91.92 | 2 of 3 - Sale ²⁵ |
| | 100.000 | 980.01 | 12/30/20 | 879.00 | ... | 101.01 | 3 of 3 - Sale ²⁵ |
| 01/05/21 | 200.000 | 1,960.02 | Various | 1,758.00 | ... | 202.02 | Total of 3 transactions |
| 2 transactions for 01/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 100.000 | 992.15 | 01/12/21 | 1,018.50 | 21.08 W | -5.27 | 1 of 2 - Sale ²⁵ |
| | 100.000 | 992.16 | 01/12/21 | 1,018.81 | 26.65 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 01/12/21 | 200.000 | 1,984.31 | Various | 2,037.31 | 47.73 W | -5.27 | Total of 2 transactions |
| 01/13/21 | 100.000 | 984.96 | 01/12/21 | 1,018.65 | ... | -33.69 | Sale ²⁵ |
| 2 transactions for 02/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 50.000 | 525.98 | 02/05/21 | 538.92 | ... | -12.94 | 1 of 2 - Sale ²⁵ |
| | 30.000 | 315.59 | 02/05/21 | 324.35 | ... | -8.76 | 2 of 2 - Sale ²⁵ |
| 02/05/21 | 80.000 | 841.57 | Various | 863.27 | ... | -21.70 | Total of 2 transactions |
| | Security total: | 5,770.86 | | 5,677.23 | 47.73 W | 141.36 | |
| UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol: | | | | | | | |
| 2 transactions for 03/09/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 80.000 | 3,455.32 | 03/05/21 | 3,538.36 | ... | -83.04 | 1 of 2 - Sale ²⁵ |
| | 20.000 | 863.83 | 03/05/21 | 882.20 | ... | -18.37 | 2 of 2 - Sale ²⁵ |
| 03/09/21 | 100.000 | 4,319.15 | Various | 4,420.56 | ... | -101.41 | Total of 2 transactions |
| UNITED STATES STEEL CORPORATIO N / CUSIP: 912909108 / Symbol: | | | | | | | |
| 2 transactions for 03/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 25.72 | 03/29/21 | 25.00 | ... | 0.72 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 128.60 | 03/30/21 | 123.90 | ... | 4.70 | 2 of 2 - Sale ²⁵ |
| 03/30/21 | 6.000 | 154.32 | Various | 148.90 | ... | 5.42 | Total of 2 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| UNITED STATES STEEL CORPORATIO N / CUSIP: 912909108 / Symbol: (cont'd) | | | | | | | |
| 03/31/21 | 10.000 | 262.11 | 03/31/21 | 263.95 | ... | -1.84 | Sale ²⁵ |
| 09/10/21 | 30.000 | 778.49 | 09/10/21 | 783.30 | ... | -4.81 | Sale ²⁵ |
| | Security total: | 1,194.92 | | 1,196.15 | ... | -1.23 | |
| UNITEDHEALTH GROUP INCORPORATE D (DELAWARE) / CUSIP: 91324P102 / Symbol: | | | | | | | |
| 01/19/21 | 5.000 | 1,760.16 | 01/19/21 | 1,774.00 | ... | -13.84 | Sale ²⁵ |
| 06/11/21 | 5.000 | 1,995.38 | 06/10/21 | 2,007.10 | ... | -11.72 | Sale ²⁵ |
| | Security total: | 3,755.54 | | 3,781.10 | ... | -25.56 | |
| UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol: | | | | | | | |
| 3 transactions for 08/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 103.89 | 07/28/21 | 107.13 | 3.24 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 207.77 | 07/28/21 | 212.71 | 4.94 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 207.77 | 07/29/21 | 222.08 | 13.39 W | -0.92 | 3 of 3 - Sale ²⁵ |
| 08/02/21 | 5.000 | 519.43 | Various | 541.92 | 21.57 W | -0.92 | Total of 3 transactions |
| 08/16/21 | 0.872 | 106.73 | 08/11/21 | 102.15 | ... | 4.58 | Sale ²⁵ |
| 08/23/21 | 1.128 | 138.94 | 08/20/21 | 138.88 | ... | 0.06 | Sale ²⁵ |
| 2 transactions for 08/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 123.16 | 08/20/21 | 123.88 | 0.72 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 1.872 | 230.56 | 08/20/21 | 239.22 | 8.66 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 08/23/21 | 2.872 | 353.72 | Various | 363.10 | 9.38 W | 0.00 | Total of 2 transactions |
| 3 transactions for 09/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.128 | 16.97 | 09/02/21 | 17.11 | 0.14 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 132.51 | 09/02/21 | 134.38 | 1.87 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 1.872 | 248.05 | 09/02/21 | 258.86 | 10.81 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 09/02/21 | 3.000 | 397.53 | Various | 410.35 | 12.82 W | 0.00 | Total of 3 transactions |
| 2 transactions for 09/03/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 268.00 | 09/02/21 | 267.32 | ... | 0.68 | 1 of 2 - Sale ²⁵ |
| | 3.000 | 401.85 | 09/02/21 | 400.97 | ... | 0.88 | 2 of 2 - Sale ²⁵ |
| 09/03/21 | 5.000 | 669.85 | Various | 668.29 | ... | 1.56 | Total of 2 transactions |
| 4 transactions for 09/13/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.872 | 243.91 | 09/03/21 | 263.53 | ... | -19.62 | 1 of 4 - Sale ²⁵ |
| | 2.000 | 260.60 | 09/03/21 | 270.01 | ... | -9.41 | 2 of 4 - Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 130.30 | 09/03/21 | 136.88 | ... | -6.58 | 3 of 4 - Sale ²⁵ |
| | 0.128 | 16.68 | 09/03/21 | 17.43 | ... | -0.75 | 4 of 4 - Sale ²⁵ |
| 09/13/21 | 5.000 | 651.49 | Various | 687.85 | ... | -36.36 | Total of 4 transactions |
| | Security total: | 2,837.69 | | 2,912.54 | 43.77 W | -31.08 | |
| UP FINTECH HOLDING LTD AMERICA N DEPOSITARY SHARE REPRESENTIN G FIFTEEN CLASS A ORDINARY SHA / CUSIP: 91531W106 / Symbol: | | | | | | | |
| 3 transactions for 02/10/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 87.000 | 2,579.25 | 02/10/21 | 2,679.60 | 2.31 W | -98.04 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 88.94 | 02/10/21 | 92.40 | 3.46 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 10.000 | 296.46 | 02/10/21 | 308.00 | 11.54 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 02/10/21 | 100.000 | 2,964.65 | Various | 3,080.00 | 17.31 W | -98.04 | Total of 3 transactions |
| 3 transactions for 03/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 192.30 | 03/05/21 | 205.91 | ... | -13.61 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 57.69 | 03/05/21 | 61.77 | ... | -4.08 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 38.46 | 03/05/21 | 41.18 | ... | -2.72 | 3 of 3 - Sale ²⁵ |
| 03/05/21 | 15.000 | 288.45 | Various | 308.86 | ... | -20.41 | Total of 3 transactions |
| | Security total: | 3,253.10 | | 3,388.86 | 17.31 W | -118.45 | |
| UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol: | | | | | | | |
| 06/24/21 | 10.000 | 1,260.29 | 06/23/21 | 1,233.61 | ... | 26.68 | Sale ²⁵ |
| 2 transactions for 06/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 649.34 | 06/30/21 | 638.43 | ... | 10.91 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 657.69 | 06/30/21 | 638.43 | ... | 19.26 | 2 of 2 - Sale ²⁵ |
| 06/30/21 | 10.000 | 1,307.03 | Various | 1,276.86 | ... | 30.17 | Total of 2 transactions |
| 07/02/21 | 2.000 | 246.85 | 06/30/21 | 264.70 | ... | -17.85 | Sale ²⁵ |
| 3 transactions for 08/10/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 284.00 | 08/10/21 | 273.58 | ... | 10.42 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 425.99 | 08/10/21 | 412.53 | ... | 13.46 | 2 of 3 - Sale ²⁵ |
| | 5.000 | 739.99 | 08/10/21 | 683.43 | ... | 56.56 | 3 of 3 - Sale ²⁵ |
| 08/10/21 | 10.000 | 1,449.98 | Various | 1,369.54 | ... | 80.44 | Total of 3 transactions |
| 11/15/21 | 0.000 | 0.01 | 11/12/21 | 0.01 | ... | 0.00 | Sale ²⁵ |
| 11/15/21 | 0.950 | 229.43 | 11/12/21 | 249.99 | 20.56 W | 0.00 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 11/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.050 | 204.46 | 11/17/21 | 250.38 | 2.18 W | -43.74 | 1 of 2 - Sale ²⁵ |
| | 0.950 | 185.06 | 11/17/21 | 247.18 | 62.12 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 11/23/21 | 2.000 | 389.52 | Various | 497.56 | 64.30 W | -43.74 | Total of 2 transactions |
| | Security total: | 4,883.11 | | 4,892.27 | 84.86 W | 75.70 | |
| UPWORK INC. COMMON STOCK / CUSIP: 91688F104 / Symbol: | | | | | | | |
| 2 transactions for 06/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 281.89 | 06/22/21 | 271.27 | ... | 10.62 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 572.37 | 06/24/21 | 574.75 | ... | -2.38 | 2 of 2 - Sale ²⁵ |
| 06/24/21 | 15.000 | 854.26 | Various | 846.02 | ... | 8.24 | Total of 2 transactions |
| V.F. CORPORATION / CUSIP: 918204108 / Symbol: | | | | | | | |
| 10/12/21 | 1.000 | 73.00 | 10/04/21 | 69.95 | ... | 3.05 | Sale ²⁵ |
| 2 transactions for 11/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.999 | 144.00 | 11/04/21 | 149.92 | ... | -5.92 | 1 of 2 - Sale ²⁵ |
| | 0.668 | 48.12 | 11/04/21 | 50.08 | ... | -1.96 | 2 of 2 - Sale ²⁵ |
| 11/26/21 | 2.668 | 192.12 | Various | 200.00 | ... | -7.88 | Total of 2 transactions |
| | Security total: | 265.12 | | 269.95 | ... | -4.83 | |
| UWM HOLDINGS CORPORATION / CUSIP: 91823B109 / Symbol: | | | | | | | |
| 01/26/21 | 100.000 | 1,013.07 | 12/15/20 | 1,077.50 | 38.66 W | -25.77 | Sale ²⁵ |
| 02/05/21 | 60.000 | 599.56 | 02/05/21 | 641.49 | ... | -41.93 | Sale ²⁵ |
| | Security total: | 1,612.63 | | 1,718.99 | 38.66 W | -67.70 | |
| VALE S.A. / CUSIP: 91912E105 / Symbol: | | | | | | | |
| 03/10/21 | 100.000 | 1,685.48 | 03/08/21 | 1,763.00 | ... | -77.52 | Sale ²⁵ |
| VALERO ENERGY CORPORATION / CUSIP: 91913Y100 / Symbol: | | | | | | | |
| 6 transactions for 03/31/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 71.08 | 11/19/20 | 51.60 | ... | 19.48 | 1 of 6 - Sale ²⁵ |
| | 4.000 | 284.34 | 11/19/20 | 204.17 | ... | 80.17 | 2 of 6 - Sale ²⁵ |
| | 5.000 | 355.42 | 11/25/20 | 287.90 | ... | 67.52 | 3 of 6 - Sale ²⁵ |
| | 10.000 | 710.85 | 12/07/20 | 605.06 | ... | 105.79 | 4 of 6 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| VALERO ENERGY CORPORATION / CUSIP: 91913Y100 / Symbol: (cont'd) | | | | | | | |
| | 10.000 | 710.84 | 01/04/21 | 555.00 | | 155.84 | 5 of 6 - Sale ²⁵ |
| | 6.000 | 426.51 | 01/08/21 | 345.90 | | 80.61 | 6 of 6 - Sale ²⁵ |
| 03/31/21 | 36.000 | 2,559.04 | Various | 2,049.63 | | 509.41 | Total of 6 transactions |
| | 2 transactions for 06/11/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 326.96 | 01/11/21 | 228.44 | | 98.52 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 81.74 | 01/13/21 | 58.84 | | 22.90 | 2 of 2 - Sale ²⁵ |
| 06/11/21 | 5.000 | 408.70 | Various | 287.28 | | 121.42 | Total of 2 transactions |
| | 3 transactions for 07/07/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 74.44 | 01/13/21 | 58.03 | | 16.41 | 1 of 3 - Sale ²⁵ |
| | 9.000 | 669.97 | 01/13/21 | 529.51 | | 140.46 | 2 of 3 - Sale ²⁵ |
| | 5.000 | 372.21 | 03/23/21 | 351.25 | | 20.96 | 3 of 3 - Sale ²⁵ |
| 07/07/21 | 15.000 | 1,116.62 | Various | 938.79 | | 177.83 | Total of 3 transactions |
| 07/07/21 | 5.000 | 372.20 | 04/01/21 | 375.70 | 3.50 W | 0.00 | Sale ²⁵ |
| | 4 transactions for 07/08/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 70.91 | 04/01/21 | 75.14 | 4.23 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 4.000 | 283.64 | 04/27/21 | 285.76 | 2.12 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 5.000 | 354.55 | 06/21/21 | 393.67 | 39.12 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 10.000 | 709.09 | 06/30/21 | 779.40 | 70.31 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 07/08/21 | 20.000 | 1,418.19 | Various | 1,533.97 | 115.78 W | 0.00 | Total of 4 transactions |
| | 6 transactions for 10/18/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 390.36 | 06/30/21 | 428.81 | | -38.45 | 1 of 6 - Sale ²⁵ |
| | 1.000 | 78.07 | 06/30/21 | 82.17 | | -4.10 | 2 of 6 - Sale ²⁵ |
| | 4.000 | 312.29 | 06/30/21 | 313.88 | | -1.59 | 3 of 6 - Sale ²⁵ |
| | 10.000 | 780.72 | 07/07/21 | 820.31 | | -39.59 | 4 of 6 - Sale ²⁵ |
| | 20.000 | 1,561.43 | 07/07/21 | 1,440.64 | | 120.79 | 5 of 6 - Sale ²⁵ |
| | 10.000 | 780.72 | 07/13/21 | 709.40 | | 71.32 | 6 of 6 - Sale ²⁵ |
| 10/18/21 | 50.000 | 3,903.59 | Various | 3,795.21 | | 108.38 | Total of 6 transactions |
| | 3 transactions for 10/22/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 402.46 | 07/14/21 | 350.39 | | 52.07 | 1 of 3 - Sale ²⁵ |
| | 5.000 | 402.46 | 07/14/21 | 339.14 | | 63.32 | 2 of 3 - Sale ²⁵ |
| | 10.000 | 804.93 | 07/19/21 | 616.97 | | 187.96 | 3 of 3 - Sale ²⁵ |
| 10/22/21 | 20.000 | 1,609.85 | Various | 1,306.50 | | 303.35 | Total of 3 transactions |
| 11/02/21 | 10.000 | 758.89 | 07/23/21 | 637.17 | | 121.72 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| VALERO ENERGY CORPORATION / CUSIP: 91913Y100 / Symbol: (cont'd) | | | | | | | |
| Security total: | | 12,147.08 | | 10,924.25 | | 119.28 W | 1,342.11 |
| VANECK SEMICONDUCTOR ETF / CUSIP: 92189F676 / Symbol: | | | | | | | |
| 4 transactions for 07/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 256.52 | 06/14/21 | 252.00 | ... | 4.52 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 256.52 | 06/14/21 | 251.26 | ... | 5.26 | 2 of 4 - Sale ²⁵ |
| | 5.000 | 1,282.61 | 06/17/21 | 1,272.54 | ... | 10.07 | 3 of 4 - Sale ²⁵ |
| | 3.000 | 769.57 | 06/17/21 | 757.29 | ... | 12.28 | 4 of 4 - Sale ²⁵ |
| 07/23/21 | 10.000 | 2,565.22 | Various | 2,533.09 | ... | 32.13 | Total of 4 transactions |
| 07/23/21 | 1.000 | 256.53 | 07/01/21 | 258.19 | 1.66 W | 0.00 | Sale ²⁵ |
| 5 transactions for 08/10/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 265.80 | 07/01/21 | 259.74 | ... | 6.06 | 1 of 5 - Sale ²⁵ |
| | 1.000 | 265.80 | 07/01/21 | 258.19 | ... | 7.61 | 2 of 5 - Sale ²⁵ |
| | 1.000 | 265.80 | 07/01/21 | 258.07 | ... | 7.73 | 3 of 5 - Sale ²⁵ |
| | 1.000 | 265.80 | 07/06/21 | 259.23 | ... | 6.57 | 4 of 5 - Sale ²⁵ |
| | 1.000 | 265.79 | 07/15/21 | 254.67 | ... | 11.12 | 5 of 5 - Sale ²⁵ |
| 08/10/21 | 5.000 | 1,328.99 | Various | 1,289.90 | ... | 39.09 | Total of 5 transactions |
| 2 transactions for 08/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 818.81 | 08/24/21 | 792.80 | ... | 26.01 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 545.88 | 08/26/21 | 534.42 | ... | 11.46 | 2 of 2 - Sale ²⁵ |
| 08/30/21 | 5.000 | 1,364.69 | Various | 1,327.22 | ... | 37.47 | Total of 2 transactions |
| 09/01/21 | 5.000 | 1,354.34 | 08/26/21 | 1,336.05 | ... | 18.29 | Sale ²⁵ |
| Security total: | | 6,869.77 | | 6,744.45 | | 1.66 W | 126.98 |
| VANECK OIL SERVICES ETF / CUSIP: 92189H607 / Symbol: | | | | | | | |
| 06/21/21 | 3.000 | 674.20 | 06/21/21 | 676.56 | ... | -2.36 | Sale ²⁵ |
| VANECK RARE EARTH/STRATEGIC ME TALS ETF / CUSIP: 92189H805 / Symbol: | | | | | | | |
| 3 transactions for 05/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 166.78 | 05/05/21 | 166.16 | ... | 0.62 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 250.17 | 05/05/21 | 249.23 | ... | 0.94 | 2 of 3 - Sale ²⁵ |
| | 5.000 | 417.01 | 05/05/21 | 415.39 | ... | 1.62 | 3 of 3 - Sale ²⁵ |
| 05/28/21 | 10.000 | 833.96 | Various | 830.78 | ... | 3.18 | Total of 3 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|--|---|-------------------|-------------------------|--|---|-----------------------------|
| VENTAS, INC. / CUSIP: 92276F100 / Symbol: | | | | | | | |
| 09/03/21 | 5.000 | 279.55 | 09/01/21 | 282.37 | 2.82 W | 0.00 | Sale ²⁵ |
| | <i>2 transactions for 09/23/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 4.000 | 227.22 | 09/14/21 | 232.10 | ... | -4.88 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 56.80 | 09/14/21 | 58.66 | ... | -1.86 | 2 of 2 - Sale ²⁵ |
| 09/23/21 | 5.000 | 284.02 | Various | 290.76 | ... | -6.74 | Total of 2 transactions |
| | Security total: | 563.57 | | 573.13 | 2.82 W | -6.74 | |
| VERIZON COMMUNICATIONS / CUSIP: 92343V104 / Symbol: | | | | | | | |
| 01/26/21 | 10.000 | 565.98 | 01/13/21 | 570.10 | ... | -4.12 | Sale ²⁵ |
| VERISK ANALYTICS, INC. COMMON STOCK / CUSIP: 92345Y106 / Symbol: | | | | | | | |
| 12/28/21 | 0.930 | 212.26 | 11/04/21 | 200.00 | ... | 12.26 | Sale ²⁵ |
| VESPER HEALTHCARE ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 92538T104 / Symbol: | | | | | | | |
| 02/02/21 | 100.000 | 1,069.06 | 01/04/21 | 1,138.00 | ... | -68.94 | Sale ²⁵ |
| VICI PROPERTIES INC. COMMON STOCK / CUSIP: 925652109 / Symbol: | | | | | | | |
| | <i>5 transactions for 03/31/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 1.000 | 28.18 | 03/29/21 | 27.64 | ... | 0.54 | 1 of 5 - Sale ²⁵ |
| | 4.000 | 112.72 | 03/29/21 | 110.82 | ... | 1.90 | 2 of 5 - Sale ²⁵ |
| | 5.000 | 140.90 | 03/29/21 | 138.70 | ... | 2.20 | 3 of 5 - Sale ²⁵ |
| | 5.000 | 140.90 | 03/29/21 | 138.63 | ... | 2.27 | 4 of 5 - Sale ²⁵ |
| | 10.000 | 281.79 | 03/29/21 | 277.05 | ... | 4.74 | 5 of 5 - Sale ²⁵ |
| 03/31/21 | 25.000 | 704.49 | Various | 692.84 | ... | 11.65 | Total of 5 transactions |
| | <i>2 transactions for 04/05/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 10.000 | 287.80 | 03/29/21 | 277.25 | ... | 10.55 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 287.79 | 03/31/21 | 284.75 | ... | 3.04 | 2 of 2 - Sale ²⁵ |
| 04/05/21 | 20.000 | 575.59 | Various | 562.00 | ... | 13.59 | Total of 2 transactions |
| | Security total: | 1,280.08 | | 1,254.84 | ... | 25.24 | |
| VIPSHOP HOLDINGS LIMITED / CUSIP: 92763W103 / Symbol: | | | | | | | |
| 01/08/21 | 30.000 | 892.18 | 01/08/21 | 893.40 | ... | -1.22 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: | | | | | | | |
| 3 transactions for 08/06/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 102.92 | 08/06/21 | 104.73 | ... | -1.81 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 34.31 | 08/06/21 | 34.95 | ... | -0.64 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 34.30 | 08/06/21 | 34.93 | ... | -0.63 | 3 of 3 - Sale ²⁵ |
| 08/06/21 | 5.000 | 171.53 | Various | 174.61 | ... | -3.08 | Total of 3 transactions |
| VIRTU FINANCIAL, INC. CLASS A COMMON STOCK / CUSIP: 928254101 / Symbol: | | | | | | | |
| 3 transactions for 03/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 29.99 | 03/11/21 | 28.10 | ... | 1.89 | 1 of 3 - Sale ²⁵ |
| | 9.000 | 269.95 | 03/11/21 | 255.96 | ... | 13.99 | 2 of 3 - Sale ²⁵ |
| | 10.000 | 298.63 | 03/11/21 | 284.40 | ... | 14.23 | 3 of 3 - Sale ²⁵ |
| 03/18/21 | 20.000 | 598.57 | Various | 568.46 | ... | 30.11 | Total of 3 transactions |
| VISA INC. / CUSIP: 92826C839 / Symbol: | | | | | | | |
| 03/10/21 | 5.000 | 1,098.85 | 03/05/21 | 1,057.76 | ... | 41.09 | Sale ²⁵ |
| 03/19/21 | 5.000 | 1,036.39 | 03/10/21 | 1,099.63 | 50.59 W | -12.65 | Sale ²⁵ |
| 3 transactions for 06/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 469.66 | 03/19/21 | 438.48 | ... | 31.18 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 469.65 | 03/23/21 | 443.04 | ... | 26.61 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 234.83 | 05/26/21 | 226.81 | ... | 8.02 | 3 of 3 - Sale ²⁵ |
| 06/23/21 | 5.000 | 1,174.14 | Various | 1,108.33 | ... | 65.81 | Total of 3 transactions |
| 2 transactions for 06/30/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 234.56 | 05/26/21 | 226.87 | ... | 7.69 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 938.26 | 05/26/21 | 907.48 | ... | 30.78 | 2 of 2 - Sale ²⁵ |
| 06/30/21 | 5.000 | 1,172.82 | Various | 1,134.35 | ... | 38.47 | Total of 2 transactions |
| 09/27/21 | 5.000 | 1,147.34 | 09/24/21 | 1,152.03 | 1.88 W | -2.81 | Sale ²⁵ |
| 10/27/21 | 2.000 | 437.80 | 10/27/21 | 440.03 | 2.23 W | 0.00 | Sale ²⁵ |
| 12/29/21 | 2.000 | 435.83 | 11/23/21 | 393.87 | ... | 41.96 | Sale ²⁵ |
| 12/31/21 | 3.000 | 650.00 | 11/23/21 | 587.45 | ... | 62.55 | Sale ²⁵ |
| | Security total: | 7,153.17 | | 6,973.45 | 54.70 W | 234.42 | |
| VORNADO REALTY TRUST / CUSIP: 929042109 / Symbol: | | | | | | | |
| 03/16/21 | 20.000 | 942.99 | 03/16/21 | 944.80 | ... | -1.81 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| VUZIX CORPORATION COMMON STOCK / CUSIP: 92921W300 / Symbol: | | | | | | | |
| 4 transactions for 01/25/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 54.54 | 01/25/21 | 55.84 | ... | -1.30 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 13.64 | 01/25/21 | 13.25 | ... | 0.39 | 2 of 4 - Sale ²⁵ |
| | 5.000 | 68.17 | 01/25/21 | 62.95 | ... | 5.22 | 3 of 4 - Sale ²⁵ |
| | 20.000 | 272.70 | 01/25/21 | 266.60 | ... | 6.10 | 4 of 4 - Sale ²⁵ |
| 01/25/21 | 30.000 | 409.05 | Various | 398.64 | ... | 10.41 | Total of 4 transactions |
| WABTEC INC. / CUSIP: 929740108 / Symbol: | | | | | | | |
| 2 transactions for 11/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.074 | 6.88 | 11/08/21 | 7.26 | 0.38 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 0.926 | 85.57 | 11/08/21 | 90.39 | 4.82 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 11/29/21 | 1.000 | 92.45 | Various | 97.65 | 5.20 W | 0.00 | Total of 2 transactions |
| WALMART INC. / CUSIP: 931142103 / Symbol: | | | | | | | |
| 01/06/21 | 10.000 | 1,475.86 | 01/04/21 | 1,484.14 | 4.14 W | -4.14 | Sale ²⁵ |
| 6 transactions for 01/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 434.10 | 01/13/21 | 454.57 | ... | -20.47 | 1 of 6 - Sale ²⁵ |
| | 3.000 | 434.09 | 01/13/21 | 454.08 | ... | -19.99 | 2 of 6 - Sale ²⁵ |
| | 2.000 | 289.40 | 01/13/21 | 303.05 | ... | -13.65 | 3 of 6 - Sale ²⁵ |
| | 1.000 | 144.70 | 01/13/21 | 151.52 | ... | -6.82 | 4 of 6 - Sale ²⁵ |
| | 1.000 | 144.70 | 01/13/21 | 151.52 | ... | -6.82 | 5 of 6 - Sale ²⁵ |
| | 5.000 | 723.48 | 01/14/21 | 750.39 | ... | -26.91 | 6 of 6 - Sale ²⁵ |
| 01/15/21 | 15.000 | 2,170.47 | Various | 2,265.13 | ... | -94.66 | Total of 6 transactions |
| 2 transactions for 02/17/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 730.43 | 01/14/21 | 738.79 | 8.36 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 730.43 | 01/14/21 | 750.39 | 19.96 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 02/17/21 | 10.000 | 1,460.86 | Various | 1,489.18 | 28.32 W | 0.00 | Total of 2 transactions |
| 02/18/21 | 3.000 | 415.68 | 02/18/21 | 428.10 | 12.42 W | 0.00 | Sale ²⁵ |
| 4 transactions for 03/02/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 396.54 | 03/02/21 | 409.62 | ... | -13.08 | 1 of 4 - Sale ²⁵ |
| | 5.000 | 660.90 | 03/02/21 | 670.36 | ... | -9.46 | 2 of 4 - Sale ²⁵ |
| | 2.000 | 264.36 | 03/02/21 | 272.78 | ... | -8.42 | 3 of 4 - Sale ²⁵ |

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Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| WALMART INC. / CUSIP: 931142103 / Symbol: (cont'd) | | | | | | | |
| | 10.000 | 1,322.61 | 03/02/21 | 1,324.00 | ... | -1.39 | 4 of 4 - Sale ²⁵ |
| 03/02/21 | 20.000 | 2,644.41 | Various | 2,676.76 | ... | -32.35 | Total of 4 transactions |
| 08/23/21 | 5.000 | 756.99 | 08/10/21 | 743.50 | ... | 13.49 | Sale ²⁵ |
| | Security total: | 8,924.27 | | 9,086.81 | 44.88 W | -117.66 | |
| WATERS CORP / CUSIP: 941848103 / Symbol: | | | | | | | |
| 08/20/21 | 4.000 | 1,612.02 | 08/19/21 | 1,616.62 | ... | -4.60 | Sale ²⁵ |
| | 5 transactions for 10/25/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.000 | 0.18 | 10/19/21 | 0.17 | ... | 0.01 | 1 of 5 - Sale ²⁵ |
| | 0.425 | 156.04 | 10/19/21 | 149.09 | ... | 6.95 | 2 of 5 - Sale ²⁵ |
| | 1.000 | 367.15 | 10/19/21 | 350.91 | ... | 16.24 | 3 of 5 - Sale ²⁵ |
| | 1.412 | 518.60 | 10/19/21 | 499.83 | ... | 18.77 | 4 of 5 - Sale ²⁵ |
| | 0.131 | 48.02 | 10/20/21 | 46.43 | ... | 1.59 | 5 of 5 - Sale ²⁵ |
| 10/25/21 | 2.968 | 1,089.99 | Various | 1,046.43 | ... | 43.56 | Total of 5 transactions |
| | 4 transactions for 11/05/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.869 | 290.16 | 10/20/21 | 308.69 | 18.53 W | 0.00 | 1 of 4 - Sale ²⁵ |
| | 0.121 | 40.38 | 10/21/21 | 43.16 | 2.78 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 333.69 | 10/21/21 | 356.84 | 23.15 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 1.000 | 333.81 | 10/22/21 | 364.09 | 0.93 W | -29.35 | 4 of 4 - Sale ²⁵ |
| 11/05/21 | 2.990 | 998.04 | Various | 1,072.78 | 45.39 W | -29.35 | Total of 4 transactions |
| | 4 transactions for 11/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.834 | 283.54 | 11/15/21 | 317.78 | ... | -34.24 | 1 of 4 - Sale ²⁵ |
| | 0.121 | 41.13 | 11/15/21 | 44.92 | ... | -3.79 | 2 of 4 - Sale ²⁵ |
| | 0.035 | 11.99 | 11/15/21 | 13.04 | ... | -1.05 | 3 of 4 - Sale ²⁵ |
| | 0.010 | 3.33 | 11/15/21 | 3.64 | ... | -0.31 | 4 of 4 - Sale ²⁵ |
| 11/23/21 | 1.000 | 339.99 | Various | 379.38 | ... | -39.39 | Total of 4 transactions |
| | Security total: | 4,040.04 | | 4,115.21 | 45.39 W | -29.78 | |
| WAYFAIR INC. / CUSIP: 94419L101 / Symbol: | | | | | | | |
| 10/28/21 | 4.000 | 994.06 | 10/28/21 | 979.88 | ... | 14.18 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|-----------------------------|
| WELLS FARGO & CO. / CUSIP: 949746101 / Symbol: | | | | | | | |
| 01/20/21 | 20.000 | 650.68 | 01/19/21 | 652.00 | ... | -1.32 | Sale ²⁵ |
| | 2 transactions for 06/22/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 434.91 | 03/30/21 | 387.39 | ... | 47.52 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 434.91 | 03/30/21 | 386.98 | ... | 47.93 | 2 of 2 - Sale ²⁵ |
| 06/22/21 | 20.000 | 869.82 | Various | 774.37 | ... | 95.45 | Total of 2 transactions |
| 06/22/21 | 30.000 | 1,304.72 | 05/04/21 | 1,363.47 | 58.75 W | 0.00 | Sale ²⁵ |
| 06/24/21 | 20.000 | 905.59 | 06/23/21 | 920.27 | ... | -14.68 | Sale ²⁵ |
| 07/13/21 | 10.000 | 434.20 | 07/09/21 | 459.68 | ... | -25.48 | Sale ²⁵ |
| | 5 transactions for 10/22/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.000 | 0.01 | 10/18/21 | 0.01 | ... | 0.00 | 1 of 5 - Sale ²⁵ |
| | 0.020 | 1.03 | 10/18/21 | 1.00 | ... | 0.03 | 2 of 5 - Sale ²⁵ |
| | 0.334 | 17.02 | 10/18/21 | 16.54 | ... | 0.48 | 3 of 5 - Sale ²⁵ |
| | 0.511 | 26.05 | 10/18/21 | 25.31 | ... | 0.74 | 4 of 5 - Sale ²⁵ |
| | 1.489 | 75.89 | 10/18/21 | 73.76 | ... | 2.13 | 5 of 5 - Sale ²⁵ |
| 10/22/21 | 2.354 | 120.00 | Various | 116.62 | ... | 3.38 | Total of 5 transactions |
| 11/03/21 | 2.511 | 131.53 | 10/18/21 | 124.38 | ... | 7.15 | Sale ²⁵ |
| | Security total: | 4,416.54 | | 4,410.79 | 58.75 W | 64.50 | |
| WELLTOWER INC. / CUSIP: 95040Q104 / Symbol: | | | | | | | |
| 09/02/21 | 10.000 | 874.80 | 09/01/21 | 888.90 | ... | -14.10 | Sale ²⁵ |
| WEST PHARMACEUTICAL SERVICES, INC. / CUSIP: 955306105 / Symbol: | | | | | | | |
| | 2 transactions for 12/27/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.215 | 99.98 | 09/03/21 | 100.00 | 0.02 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 2.466 | 1,145.23 | 09/08/21 | 1,145.31 | 0.06 W | -0.02 | 2 of 2 - Sale ²⁵ |
| 12/27/21 | 2.681 | 1,245.21 | Various | 1,245.31 | 0.08 W | -0.02 | Total of 2 transactions |
| | 3 transactions for 12/31/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 0.534 | 251.25 | 09/08/21 | 248.23 | ... | 3.02 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 470.17 | 09/14/21 | 451.66 | ... | 18.51 | 2 of 3 - Sale ²⁵ |
| | 0.466 | 218.91 | 09/27/21 | 205.93 | ... | 12.98 | 3 of 3 - Sale ²⁵ |
| 12/31/21 | 2.000 | 940.33 | Various | 905.82 | ... | 34.51 | Total of 3 transactions |
| | Security total: | 2,185.54 | | 2,151.13 | 0.08 W | 34.49 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|--|---|-------------------|-------------------------|--|---|-----------------------------|
| WESTERN ALLIANCE BANCORPORATIO N / CUSIP: 957638109 / Symbol: | | | | | | | |
| 10/01/21 | 4.000 | 430.72 | 09/15/21 | 397.90 | ... | 32.82 | Sale ²⁵ |
| WESTERN DIGITAL CORPORATION CO MMON STOCK / CUSIP: 958102105 / Symbol: | | | | | | | |
| 03/17/21 | 1.000 | 69.62 | 03/16/21 | 71.58 | 1.96 W | 0.00 | Sale ²⁵ |
| 03/19/21 | 1.000 | 71.95 | 03/19/21 | 73.98 | ... | -2.03 | Sale ²⁵ |
| | Security total: | 141.57 | | 145.56 | 1.96 W | -2.03 | |
| THE WESTERN UNION COMPANY / CUSIP: 959802109 / Symbol: | | | | | | | |
| 09/07/21 | 30.000 | 648.03 | 09/07/21 | 648.53 | ... | -0.50 | Sale ²⁵ |
| 09/07/21 | 100.000 | 2,154.97 | 09/07/21 | 2,169.00 | 11.23 W | -2.80 | Sale ²⁵ |
| | <i>2 transactions for 09/08/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 30.000 | 642.70 | 09/07/21 | 650.37 | ... | -7.67 | 1 of 2 - Sale ²⁵ |
| | 20.000 | 428.47 | 09/07/21 | 432.35 | ... | -3.88 | 2 of 2 - Sale ²⁵ |
| 09/08/21 | 50.000 | 1,071.17 | Various | 1,082.72 | ... | -11.55 | Total of 2 transactions |
| | Security total: | 3,874.17 | | 3,900.25 | 11.23 W | -14.85 | |
| WILLIAMS-SONOMA, INC. / CUSIP: 969904101 / Symbol: | | | | | | | |
| 04/21/21 | 0.172 | 29.51 | 04/16/21 | 30.00 | 0.49 W | 0.00 | Sale ²⁵ |
| | <i>3 transactions for 05/11/21. Total proceeds and cost reported to the IRS.</i> | | | | | | |
| | 0.171 | 30.47 | 05/06/21 | 31.45 | ... | -0.98 | 1 of 3 - Sale ²⁵ |
| | 0.321 | 57.09 | 05/06/21 | 58.01 | ... | -0.92 | 2 of 3 - Sale ²⁵ |
| | 0.000 | 0.03 | 05/06/21 | 0.03 | ... | 0.00 | 3 of 3 - Sale ²⁵ |
| 05/11/21 | 0.493 | 87.59 | Various | 89.49 | ... | -1.90 | Total of 3 transactions |
| | Security total: | 117.10 | | 119.49 | 0.49 W | -1.90 | |
| WILLSCOT MOBILE MINI HOLDINGS CORP. CLASS A COMMON STOCK / CUSIP: 971378104 / Symbol: | | | | | | | |
| 11/16/21 | 10.000 | 367.00 | 10/20/21 | 339.35 | ... | 27.65 | Sale ²⁵ |
| WORKDAY, INC. CLASS A COMMON S TOCK / CUSIP: 98138H101 / Symbol: | | | | | | | |
| 04/15/21 | 1.000 | 257.37 | 04/13/21 | 266.80 | ... | -9.43 | Sale ²⁵ |
| 09/23/21 | 0.332 | 89.14 | 09/21/21 | 90.00 | ... | -0.86 | Sale ²⁵ |
| | Security total: | 346.51 | | 356.80 | ... | -10.29 | |
| WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: | | | | | | | |
| 05/19/21 | 10.000 | 86.63 | 05/11/21 | 83.20 | ... | 3.43 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| XPO LOGISTICS, INC. / CUSIP: 983793100 / Symbol: | | | | | | | |
| 01/08/21 | 10.000 | 1,258.17 | 01/06/21 | 1,252.50 | ... | 5.67 | Sale ²⁵ |
| XCEL ENERGY INC. COMMON STOCK / CUSIP: 98389B100 / Symbol: | | | | | | | |
| 10/11/21 | 3.000 | 188.16 | 10/07/21 | 195.12 | ... | -6.96 | Sale ²⁵ |
| XYLEM INC / CUSIP: 98419M100 / Symbol: | | | | | | | |
| 4 transactions for 11/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.989 | 255.39 | 11/09/21 | 264.60 | ... | -9.21 | 1 of 4 - Sale ²⁵ |
| | 0.248 | 31.82 | 11/09/21 | 32.94 | ... | -1.12 | 2 of 4 - Sale ²⁵ |
| | 0.009 | 1.19 | 11/09/21 | 1.27 | ... | -0.08 | 3 of 4 - Sale ²⁵ |
| | 0.754 | 96.83 | 11/10/21 | 100.00 | ... | -3.17 | 4 of 4 - Sale ²⁵ |
| 11/22/21 | 3.000 | 385.23 | Various | 398.81 | ... | -13.58 | Total of 4 transactions |
| 11/22/21 | 0.009 | 1.19 | 11/09/21 | 1.23 | 0.04 W | 0.00 | Sale ²⁵ |
| | Security total: | 386.42 | | 400.04 | 0.04 W | -13.58 | |
| XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: | | | | | | | |
| 2 transactions for 09/08/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 16.000 | 650.01 | 09/07/21 | 676.96 | ... | -26.95 | 1 of 2 - Sale ²⁵ |
| | 4.000 | 162.35 | 09/07/21 | 169.24 | ... | -6.89 | 2 of 2 - Sale ²⁵ |
| 09/08/21 | 20.000 | 812.36 | Various | 846.20 | ... | -33.84 | Total of 2 transactions |
| YALLA GROUP LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 98459U103 / Symbol: | | | | | | | |
| 03/15/21 | 10.000 | 239.18 | 03/09/21 | 192.95 | ... | 46.23 | Sale ²⁵ |
| 3 transactions for 03/18/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 53.55 | 03/09/21 | 38.59 | ... | 14.96 | 1 of 3 - Sale ²⁵ |
| | 8.000 | 214.20 | 03/09/21 | 158.00 | ... | 56.20 | 2 of 3 - Sale ²⁵ |
| | 10.000 | 267.74 | 03/15/21 | 241.00 | ... | 26.74 | 3 of 3 - Sale ²⁵ |
| 03/18/21 | 20.000 | 535.49 | Various | 437.59 | ... | 97.90 | Total of 3 transactions |
| 03/22/21 | 2.000 | 53.44 | 03/18/21 | 54.10 | 0.66 W | 0.00 | Sale ²⁵ |
| 2 transactions for 03/31/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 205.48 | 03/31/21 | 207.96 | ... | -2.48 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 51.37 | 03/31/21 | 52.65 | ... | -1.28 | 2 of 2 - Sale ²⁵ |
| 03/31/21 | 10.000 | 256.85 | Various | 260.61 | ... | -3.76 | Total of 2 transactions |
| 06/04/21 | 1.000 | 17.74 | 06/04/21 | 17.76 | ... | -0.02 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| YALLA GROUP LIMITED AMERICAN D EPOSITARY SHARES, EACH REPRES NTING ONE CLASS A ORDINARY SHA / CUSIP: 98459U103 / Symbol: (cont'd) | | | | | | | |
| Security total: | | 1,102.70 | | 963.01 | 0.66 W | 140.35 | |
| YAMANA GOLD, INC. / CUSIP: 98462Y100 / Symbol: | | | | | | | |
| 01/14/21 | 100.000 | 528.02 | 01/08/21 | 557.32 | ... | -29.30 | Sale ²⁵ |
| 01/14/21 | 100.000 | 549.97 N | 01/08/21 | 553.79 | 3.82 W | 0.00 | Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$50.00 |
| Security total: | | 1,077.99 | | 1,111.11 | 3.82 W | -29.30 | |
| YATSEN HOLDING LIMITED AMERICA N DEPOSITARY SHARES, EACH REPR ESENTING FOUR CLASS A ORDINARY / CUSIP: 985194109 / Symbol: | | | | | | | |
| 01/04/21 | 50.000 | 828.48 | 12/30/20 | 821.02 | ... | 7.46 | Sale ²⁵ |
| 01/06/21 | 20.000 | 358.20 | 01/06/21 | 361.60 | 2.55 W | -0.85 | Sale ²⁵ |
| 01/08/21 | 10.000 | 177.92 | 01/08/21 | 177.20 | ... | 0.72 | Sale ²⁵ |
| 01/12/21 | 5.000 | 92.78 | 01/08/21 | 91.93 | ... | 0.85 | Sale ²⁵ |
| 02/22/21 | 20.000 | 412.00 | 02/08/21 | 462.83 | 50.83 W | 0.00 | Sale ²⁵ |
| <i>2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 10.000 | 187.00 | 02/19/21 | 241.11 | ... | -54.11 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 186.99 | 02/19/21 | 215.70 | ... | -28.71 | 2 of 2 - Sale ²⁵ |
| 02/24/21 | 20.000 | 373.99 | Various | 456.81 | ... | -82.82 | Total of 2 transactions |
| 02/24/21 | 10.000 | 186.99 | 02/10/21 | 266.80 | 79.81 W | 0.00 | Sale ²⁵ |
| 03/11/21 | 10.000 | 158.80 | 03/10/21 | 245.41 | ... | -86.61 | Sale ²⁵ |
| 06/23/21 | 13.000 | 118.89 | 06/23/21 | 120.64 | ... | -1.75 | Sale ²⁵ |
| Security total: | | 2,708.05 | | 3,004.24 | 133.19 W | -163.00 | |
| YUM! BRANDS, INC. / CUSIP: 988498101 / Symbol: | | | | | | | |
| 10/27/21 | 1.000 | 126.17 | 10/19/21 | 126.99 | ... | -0.82 | Sale ²⁵ |
| ZEBRA TECHNOLOGIES CORPORATION CLASS A COMMON STOCK / CUSIP: 989207105 / Symbol: | | | | | | | |
| <i>3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.019 | 11.25 | 10/19/21 | 10.00 | ... | 1.25 | 1 of 3 - Sale ²⁵ |
| | 0.019 | 11.27 | 10/19/21 | 10.00 | ... | 1.27 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 594.96 | 10/22/21 | 529.51 | ... | 65.45 | 3 of 3 - Sale ²⁵ |
| 11/04/21 | 1.038 | 617.48 | Various | 549.51 | ... | 67.97 | Total of 3 transactions |

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| ZILLOW GROUP, INC. CLASS C CAP ITAL STOCK / CUSIP: 98954M200 / Symbol: | | | | | | | |
| <i>3 transactions for 06/25/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 8.000 | 947.43 | 06/25/21 | 956.14 | ... | -8.71 | 1 of 3 - Sale ²⁵ |
| | 1.000 | 118.43 | 06/25/21 | 119.57 | ... | -1.14 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 118.43 | 06/25/21 | 119.28 | ... | -0.85 | 3 of 3 - Sale ²⁵ |
| 06/25/21 | 10.000 | 1,184.29 | Various | 1,194.99 | ... | -10.70 | Total of 3 transactions |
| <i>8 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 3.000 | 286.56 | 10/26/21 | 291.96 | ... | -5.40 | 1 of 8 - Sale ²⁵ |
| | 2.815 | 268.89 | 10/26/21 | 272.21 | ... | -3.32 | 2 of 8 - Sale ²⁵ |
| | 2.000 | 191.04 | 10/26/21 | 191.70 | ... | -0.66 | 3 of 8 - Sale ²⁵ |
| | 0.082 | 7.80 | 10/26/21 | 8.05 | ... | -0.25 | 4 of 8 - Sale ²⁵ |
| | 0.102 | 9.78 | 10/26/21 | 10.02 | ... | -0.24 | 5 of 8 - Sale ²⁵ |
| | 0.001 | 0.09 | 10/26/21 | 0.09 | ... | 0.00 | 6 of 8 - Sale ²⁵ |
| | 2.000 | 191.03 | 10/26/21 | 190.71 | ... | 0.32 | 7 of 8 - Sale ²⁵ |
| | 1.000 | 95.52 | 10/27/21 | 94.02 | ... | 1.50 | 8 of 8 - Sale ²⁵ |
| 11/01/21 | 11.000 | 1,050.71 | Various | 1,058.76 | ... | -8.05 | Total of 8 transactions |
| <i>2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.083 | 7.89 | 10/26/21 | 7.99 | 0.10 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 0.102 | 9.78 | 10/26/21 | 9.90 | 0.12 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 11/01/21 | 0.185 | 17.67 | Various | 17.89 | 0.22 W | 0.00 | Total of 2 transactions |
| | Security total: | 2,252.67 | | 2,271.64 | 0.22 W | -18.75 | |
| ZHIHU INC. AMERICAN DEPOSITORY SHARES (EVERY TWO OF EACH REP RESENTING ONE CLASS A ORDINARY / CUSIP: 98955N108 / Symbol: | | | | | | | |
| <i>2 transactions for 04/05/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 1.000 | 8.81 | 03/26/21 | 8.05 | ... | 0.76 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 44.05 | 04/01/21 | 45.15 | ... | -1.10 | 2 of 2 - Sale ²⁵ |
| 04/05/21 | 6.000 | 52.86 | Various | 53.20 | ... | -0.34 | Total of 2 transactions |
| ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol: | | | | | | | |
| 03/02/21 | 10.000 | 2,047.68 | 03/02/21 | 2,084.00 | ... | -36.32 | Sale ²⁵ |
| 04/13/21 | 5.000 | 965.54 | 04/13/21 | 976.50 | ... | -10.96 | Sale ²⁵ |
| 08/06/21 | 4.000 | 978.79 | 08/02/21 | 962.67 | ... | 16.12 | Sale ²⁵ |
| | Security total: | 3,992.01 | | 4,023.17 | ... | -31.16 | |

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|--|---|---|-------------------|-------------------------|--|---|-----------------------------|
| ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: | | | | | | | |
| 01/20/21 | 5.000 | 1,898.97 | 01/14/21 | 1,885.15 | ... | 13.82 | Sale ²⁵ |
| 02/08/21 | 2.000 | 834.54 | 02/05/21 | 816.00 | ... | 18.54 | Sale ²⁵ |
| 03/11/21 | 1.000 | 341.60 | 03/11/21 | 343.03 | ... | -1.43 | Sale ²⁵ |
| 06/08/21 | 1.000 | 341.10 | 06/02/21 | 328.62 | ... | 12.48 | Sale ²⁵ |
| 08/06/21 | 2.000 | 767.90 | 08/04/21 | 800.93 | 33.03 W | 0.00 | Sale ²⁵ |
| | 2 transactions for 09/14/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 575.31 | 09/02/21 | 583.90 | 8.59 W | 0.00 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 575.32 | 09/02/21 | 616.93 | 41.61 W | 0.00 | 2 of 2 - Sale ²⁵ |
| 09/14/21 | 4.000 | 1,150.63 | Various | 1,200.83 | 50.20 W | 0.00 | Total of 2 transactions |
| | 3 transactions for 09/24/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 537.98 | 09/07/21 | 601.57 | ... | -63.59 | 1 of 3 - Sale ²⁵ |
| | 2.000 | 537.97 | 09/07/21 | 592.98 | ... | -55.01 | 2 of 3 - Sale ²⁵ |
| | 1.000 | 268.99 | 09/07/21 | 317.29 | ... | -48.30 | 3 of 3 - Sale ²⁵ |
| 09/24/21 | 5.000 | 1,344.94 | Various | 1,511.84 | ... | -166.90 | Total of 3 transactions |
| 09/24/21 | 1.000 | 268.99 | 09/02/21 | 313.40 | 44.41 W | 0.00 | Sale ²⁵ |
| | 2 transactions for 11/29/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 841.70 | 09/07/21 | 1,185.97 | ... | -344.27 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 210.42 | 09/07/21 | 340.90 | ... | -130.48 | 2 of 2 - Sale ²⁵ |
| 11/29/21 | 5.000 | 1,052.12 | Various | 1,526.87 | ... | -474.75 | Total of 2 transactions |
| | Security total: | 8,000.79 | | 8,726.67 | 127.64 W | -598.24 | |
| ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: | | | | | | | |
| | 2 transactions for 02/05/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 50.000 | 93.08 | 02/05/21 | 86.00 | ... | 7.08 | 1 of 2 - Sale ²⁵ |
| | 100.000 | 186.18 | 02/05/21 | 177.00 | ... | 9.18 | 2 of 2 - Sale ²⁵ |
| 02/05/21 | 150.000 | 279.26 | Various | 263.00 | ... | 16.26 | Total of 2 transactions |
| AJAX I / CUSIP: G0190X100 / Symbol: | | | | | | | |
| 01/14/21 | 100.000 | 1,213.96 | 01/08/21 | 1,158.90 | ... | 55.06 | Sale ²⁵ |
| 01/15/21 | 50.000 | 602.98 | 01/14/21 | 609.75 | ... | -6.77 | Sale ²⁵ |
| | Security total: | 1,816.94 | | 1,768.65 | ... | 48.29 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| AON PLC CLASS A / CUSIP: G0403H108 / Symbol: | | | | | | | |
| <i>4 transactions for 06/03/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 1.000 | 252.35 | 05/04/21 | 253.82 | ... | -1.47 | 1 of 4 - Sale ²⁵ |
| | 1.000 | 252.35 | 05/05/21 | 254.70 | ... | -2.35 | 2 of 4 - Sale ²⁵ |
| | 1.000 | 252.35 | 05/05/21 | 254.63 | ... | -2.28 | 3 of 4 - Sale ²⁵ |
| | 2.000 | 504.71 | 05/18/21 | 511.40 | ... | -6.69 | 4 of 4 - Sale ²⁵ |
| 06/03/21 | 5.000 | 1,261.76 | Various | 1,274.55 | ... | -12.79 | Total of 4 transactions |
| ATLASSIAN CORPORATION PLC CLAS S A ORDINARY SHARES / CUSIP: G06242104 / Symbol: | | | | | | | |
| 10/19/21 | 0.248 | 102.17 | 10/13/21 | 100.00 | ... | 2.17 | Sale ²⁵ |
| 10/20/21 | 1.000 | 405.99 | 10/19/21 | 411.59 | 5.60 W | 0.00 | Sale ²⁵ |
| 10/27/21 | 1.000 | 414.33 | 10/22/21 | 429.09 | ... | -14.76 | Sale ²⁵ |
| | Security total: | 922.49 | | 940.68 | 5.60 W | -12.59 | |
| ACCENTURE PLC / CUSIP: G1151C101 / Symbol: | | | | | | | |
| 10/18/21 | 0.293 | 100.39 | 10/15/21 | 100.00 | ... | 0.39 | Sale ²⁵ |
| BUNGE LIMITED / CUSIP: G16962105 / Symbol: | | | | | | | |
| <i>3 transactions for 06/02/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 5.000 | 442.56 | 06/02/21 | 445.94 | ... | -3.38 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 265.95 | 06/02/21 | 267.56 | ... | -1.61 | 2 of 3 - Sale ²⁵ |
| | 2.000 | 178.10 | 06/02/21 | 178.37 | ... | -0.27 | 3 of 3 - Sale ²⁵ |
| 06/02/21 | 10.000 | 886.61 | Various | 891.87 | ... | -5.26 | Total of 3 transactions |
| EATON CORPORATION, PLC ORDINAR Y SHARES / CUSIP: G29183103 / Symbol: | | | | | | | |
| 12/23/21 | 0.403 | 67.98 | 12/07/21 | 70.00 | ... | -2.02 | Sale ²⁵ |
| EVEREST RE GROUP, LTD. / CUSIP: G3223R108 / Symbol: | | | | | | | |
| <i>2 transactions for 09/21/21. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 0.375 | 95.01 | 09/09/21 | 100.00 | ... | -4.99 | 1 of 2 - Sale ²⁵ |
| | 1.000 | 253.59 | 09/13/21 | 258.63 | ... | -5.04 | 2 of 2 - Sale ²⁵ |
| 09/21/21 | 1.375 | 348.60 | Various | 358.63 | ... | -10.03 | Total of 2 transactions |
| GOLDEN OCEAN GROUP LIMITED COM MON STOCK / CUSIP: G39637205 / Symbol: | | | | | | | |
| 04/21/21 | 100.000 | 834.98 | 04/21/21 | 834.95 | ... | 0.03 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|--|
| HORIZON THERAPEUTICS PUBLIC LIMITED COMPANY ORDINARY SHARES / CUSIP: G46188101 / Symbol: | | | | | | | |
| 10/14/21 | 0.446 | 51.03 | 10/04/21 | 50.00 | ... | 1.03 | Sale ²⁵ |
| IHS MARKIT LTD. COMMON SHARES / CUSIP: G47567105 / Symbol: | | | | | | | |
| 06/04/21 | 1.000 | 106.00 | 06/04/21 | 106.54 | ... | -0.54 | Sale ²⁵ |
| LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol: | | | | | | | |
| 02/17/21 | 50.000 | 100.06 | 02/16/21 | 147.50 | ... | -47.44 | Sale ²⁵ |
| MARVELL TECHNOLOGY GROUP LTD. COMMON STOCK / CUSIP: G5876H105 / Symbol: | | | | | | | |
| 02/12/21 | 20.000 | 1,053.97 | 02/12/21 | 1,051.00 | ... | 2.97 | Sale ²⁵ |
| NORDIC AMERICAN TANKER / CUSIP: G65773106 / Symbol: | | | | | | | |
| 01/14/21 | 300.000 | 1,010.93 N | 12/10/20 | 1,044.00 | ... | -33.07 | Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$189.00 |
| 2 transactions for 03/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 100.000 | 343.99 | 02/19/21 | 290.00 | ... | 53.99 | 1 of 2 - Sale ²⁵ |
| | 300.000 | 1,031.95 | 02/26/21 | 915.00 | ... | 116.95 | 2 of 2 - Sale ²⁵ |
| 03/11/21 | 400.000 | 1,375.94 | Various | 1,205.00 | ... | 170.94 | Total of 2 transactions |
| | Security total: | 2,386.87 | | 2,249.00 | ... | 137.87 | |
| NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: | | | | | | | |
| 2 transactions for 06/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 318.15 | 05/24/21 | 299.80 | ... | 18.35 | 1 of 2 - Sale ²⁵ |
| | 10.000 | 318.14 | 05/25/21 | 308.40 | ... | 9.74 | 2 of 2 - Sale ²⁵ |
| 06/11/21 | 20.000 | 636.29 | Various | 608.20 | ... | 28.09 | Total of 2 transactions |
| NOVOCURE LIMITED ORDINARY SHARES / CUSIP: G6674U108 / Symbol: | | | | | | | |
| 02/02/21 | 1.000 | 176.00 | 02/02/21 | 171.75 | ... | 4.25 | Sale ²⁵ |
| 05/19/21 | 0.082 | 14.27 | 05/18/21 | 15.00 | ... | -0.73 | Sale ²⁵ |
| | Security total: | 190.27 | | 186.75 | ... | 3.52 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| SEAGATE TECHNOLOGY HOLDINGS PL C ORDINARY SHARES (IRELAND) / CUSIP: G7997R103 / Symbol: | | | | | | | |
| 2 transactions for 11/05/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 484.79 | 10/22/21 | 438.50 | ... | 46.29 | 1 of 2 - Sale ²⁵ |
| | 3.994 | 387.20 | 10/25/21 | 353.94 | ... | 33.26 | 2 of 2 - Sale ²⁵ |
| 11/05/21 | 8.994 | 871.99 | Various | 792.44 | ... | 79.55 | Total of 2 transactions |
| 3 transactions for 11/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.353 | 36.92 | 10/25/21 | 31.59 | ... | 5.33 | 1 of 3 - Sale ²⁵ |
| | 1.648 | 172.33 | 10/25/21 | 146.06 | ... | 26.27 | 2 of 3 - Sale ²⁵ |
| | 2.999 | 313.62 | 10/25/21 | 268.41 | ... | 45.21 | 3 of 3 - Sale ²⁵ |
| 11/11/21 | 5.000 | 522.87 | Various | 446.06 | ... | 76.81 | Total of 3 transactions |
| | Security total: | 1,394.86 | | 1,238.50 | ... | 156.36 | |
| TRANE TECHNOLOGIES PLC / CUSIP: G8994E103 / Symbol: | | | | | | | |
| 01/19/21 | 5.000 | 769.98 | 01/19/21 | 769.00 | ... | 0.98 | Sale ²⁵ |
| PERRIGO COMPANY PLC / CUSIP: G97822103 / Symbol: | | | | | | | |
| 3 transactions for 11/09/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.322 | 15.27 | 11/01/21 | 14.89 | ... | 0.38 | 1 of 3 - Sale ²⁵ |
| | 1.839 | 87.28 | 11/01/21 | 85.11 | ... | 2.17 | 2 of 3 - Sale ²⁵ |
| | 2.161 | 102.61 | 11/01/21 | 100.00 | ... | 2.61 | 3 of 3 - Sale ²⁵ |
| 11/09/21 | 4.322 | 205.16 | Various | 200.00 | ... | 5.16 | Total of 3 transactions |
| XP INC. CLASS A COMMON STOCK / CUSIP: G98239109 / Symbol: | | | | | | | |
| 02/12/21 | 20.000 | 979.97 | 02/12/21 | 983.62 | ... | -3.65 | Sale ²⁵ |
| TE CONNECTIVITY LTD / CUSIP: H84989104 / Symbol: | | | | | | | |
| 10/27/21 | 1.000 | 144.47 | 10/07/21 | 145.81 | 1.34 W | 0.00 | Sale ²⁵ |
| 4 transactions for 11/15/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.001 | 0.14 | 11/02/21 | 0.13 | ... | 0.01 | 1 of 4 - Sale ²⁵ |
| | 0.280 | 46.14 | 11/02/21 | 43.00 | ... | 3.14 | 2 of 4 - Sale ²⁵ |
| | 0.719 | 118.61 | 11/02/21 | 110.65 | ... | 7.96 | 3 of 4 - Sale ²⁵ |
| | 2.280 | 375.80 | 11/02/21 | 347.56 | ... | 28.24 | 4 of 4 - Sale ²⁵ |
| 11/15/21 | 3.280 | 540.69 | Various | 501.34 | ... | 39.35 | Total of 4 transactions |
| | Security total: | 685.16 | | 647.15 | 1.34 W | 39.35 | |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|------------------------------|
| WIX.COM LTD. ORDINARY SHARES / CUSIP: M98068105 / Symbol: | | | | | | | |
| 05/17/21 | 1.000 | 220.17 | 05/12/21 | 246.09 | ... | -25.92 | Sale ²⁵ |
| 08/04/21 | 0.674 | 198.09 | 08/04/21 | 200.00 | 1.91 W | 0.00 | Sale ²⁵ |
| 3 transactions for 08/20/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.326 | 279.96 | 08/19/21 | 282.73 | ... | -2.77 | 1 of 3 - Sale ²⁵ |
| | 0.379 | 80.02 | 08/19/21 | 81.84 | ... | -1.82 | 2 of 3 - Sale ²⁵ |
| | 0.295 | 62.30 | 08/19/21 | 63.76 | ... | -1.46 | 3 of 3 - Sale ²⁵ |
| 08/20/21 | 2.000 | 422.28 | Various | 428.33 | ... | -6.05 | Total of 3 transactions |
| 08/20/21 | 0.379 | 80.04 | 08/19/21 | 81.07 | 1.03 W | 0.00 | Sale ²⁵ |
| Security total: | | 920.58 | | 955.49 | 2.94 W | -31.97 | |
| ZIM INTEGRATED SHIPPING SERVICES LTD. / CUSIP: M9T951109 / Symbol: | | | | | | | |
| 06/04/21 | 10.000 | 402.91 | 06/04/21 | 401.30 | ... | 1.61 | Sale ²⁵ |
| 3 transactions for 06/07/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 85.18 | 06/04/21 | 81.10 | ... | 4.08 | 1 of 3 - Sale ²⁵ |
| | 4.000 | 170.38 | 06/04/21 | 162.16 | ... | 8.22 | 2 of 3 - Sale ²⁵ |
| | 4.000 | 170.38 | 06/04/21 | 161.99 | ... | 8.39 | 3 of 3 - Sale ²⁵ |
| 06/07/21 | 10.000 | 425.94 | Various | 405.25 | ... | 20.69 | Total of 3 transactions |
| 3 transactions for 06/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 441.78 | 06/04/21 | 405.50 | ... | 36.28 | 1 of 3 - Sale ²⁵ |
| | 5.000 | 220.10 | 06/09/21 | 220.00 | ... | 0.10 | 2 of 3 - Sale ²⁵ |
| | 5.000 | 220.90 | 06/09/21 | 220.00 | ... | 0.90 | 3 of 3 - Sale ²⁵ |
| 06/21/21 | 20.000 | 882.78 | Various | 845.50 | ... | 37.28 | Total of 3 transactions |
| 06/21/21 | 5.000 | 220.10 | 06/11/21 | 224.34 | 4.24 W | 0.00 | Sale ²⁵ |
| 19 transactions for 09/07/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 281.66 | 06/11/21 | 236.74 | ... | 44.92 | 1 of 19 - Sale ²⁵ |
| | 5.000 | 281.66 | 06/11/21 | 232.50 | ... | 49.16 | 2 of 19 - Sale ²⁵ |
| | 5.000 | 281.66 | 06/11/21 | 224.34 | ... | 57.32 | 3 of 19 - Sale ²⁵ |
| | 5.000 | 281.67 | 06/15/21 | 210.70 | ... | 70.97 | 4 of 19 - Sale ²⁵ |
| | 5.000 | 279.80 | 06/25/21 | 245.25 | ... | 34.55 | 5 of 19 - Sale ²⁵ |
| | 5.000 | 281.66 | 06/25/21 | 245.25 | ... | 36.41 | 6 of 19 - Sale ²⁵ |
| | 5.000 | 281.66 | 06/25/21 | 244.98 | ... | 36.68 | 7 of 19 - Sale ²⁵ |
| | 10.000 | 563.33 | 06/25/21 | 482.93 | ... | 80.40 | 8 of 19 - Sale ²⁵ |
| | 10.000 | 563.33 | 06/25/21 | 482.92 | ... | 80.41 | 9 of 19 - Sale ²⁵ |

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|---|---|---|-------------------|-------------------------|--|---|-------------------------------|
| ZIM INTEGRATED SHIPPING SERVICES LTD. / CUSIP: M9T951109 / Symbol: (cont'd) | | | | | | | |
| | 5.000 | 279.80 | 06/28/21 | 226.25 | ... | 53.55 | 10 of 19 - Sale ²⁵ |
| | 10.000 | 559.59 | 06/30/21 | 451.36 | ... | 108.23 | 11 of 19 - Sale ²⁵ |
| | 4.000 | 223.84 | 07/01/21 | 169.16 | ... | 54.68 | 12 of 19 - Sale ²⁵ |
| | 10.000 | 559.60 | 07/01/21 | 424.10 | ... | 135.50 | 13 of 19 - Sale ²⁵ |
| | 1.000 | 55.96 | 07/02/21 | 40.99 | ... | 14.97 | 14 of 19 - Sale ²⁵ |
| | 5.000 | 279.80 | 07/02/21 | 203.95 | ... | 75.85 | 15 of 19 - Sale ²⁵ |
| | 10.000 | 559.59 | 07/02/21 | 412.40 | ... | 147.19 | 16 of 19 - Sale ²⁵ |
| | 20.000 | 1,119.19 | 09/07/21 | 1,147.33 | ... | -28.14 | 17 of 19 - Sale ²⁵ |
| | 20.000 | 1,119.19 | 09/07/21 | 1,146.42 | ... | -27.23 | 18 of 19 - Sale ²⁵ |
| | 10.000 | 559.60 | 09/07/21 | 577.91 | ... | -18.31 | 19 of 19 - Sale ²⁵ |
| 09/07/21 | 150.000 | 8,412.59 | Various | 7,405.48 | ... | 1,007.11 | Total of 19 transactions |
| | 3 transactions for 09/07/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 10.000 | 563.05 | 09/07/21 | 576.64 | 13.59 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 20.000 | 1,126.08 | 09/07/21 | 1,144.78 | 18.70 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 30.000 | 1,690.49 | 09/07/21 | 1,717.16 | 26.67 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 09/07/21 | 60.000 | 3,379.62 | Various | 3,438.58 | 58.96 W | 0.00 | Total of 3 transactions |
| 12/23/21 | 1.000 | 52.49 | 12/08/21 | 60.89 | 8.40 W | 0.00 | Sale ²⁵ |
| | Security total: | 13,776.43 | | 12,781.34 | 71.60 W | 1,066.69 | |
| ELASTIC N.V. / CUSIP: N14506104 / Symbol: | | | | | | | |
| 10/27/21 | 2.865 | 485.08 | 10/25/21 | 500.00 | ... | -14.92 | Sale ²⁵ |
| NXP SEMICONDUCTORS N.V. COMMON STOCK / CUSIP: N6596X109 / Symbol: | | | | | | | |
| 12/23/21 | 0.428 | 97.58 | 12/01/21 | 100.00 | ... | -2.42 | Sale ²⁵ |
| PLAYA HOTELS & RESORTS N.V. OR DINARY SHARES / CUSIP: N70544106 / Symbol: | | | | | | | |
| 09/17/21 | 100.000 | 742.98 | 09/17/21 | 615.00 | ... | 127.98 | Sale ²⁵ |
| NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: | | | | | | | |
| 01/27/21 | 80.000 | 113.59 | 01/27/21 | 56.12 | ... | 57.47 | Sale ²⁵ |
| 01/27/21 | 80.000 | 56.65 | 01/27/21 | 57.16 | 0.51 W | 0.00 | Sale ²⁵ |
| | Security total: | 170.24 | | 113.28 | 0.51 W | 57.47 | |

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol: | | | | | | | |
| 2 transactions for 03/16/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 273.83 | 03/15/21 | 284.84 | ... | -11.01 | 1 of 2 - Sale ²⁵ |
| | 2.000 | 182.55 | 03/15/21 | 187.79 | ... | -5.24 | 2 of 2 - Sale ²⁵ |
| 03/16/21 | 5.000 | 456.38 | Various | 472.63 | ... | -16.25 | Total of 2 transactions |
| DIAMOND S SHIPPING INC. COMMON SHARES / CUSIP: Y20676105 / Symbol: | | | | | | | |
| 04/23/21 | 1.000 | 9.88 | 04/07/21 | 10.85 | ... | -0.97 | Sale ²⁵ |
| EUROSEAS LTD. COMMON STOCK (MA RSHALL ISLANDS) / CUSIP: Y23592135 / Symbol: | | | | | | | |
| 3 transactions for 06/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 48.90 | 06/11/21 | 50.60 | ... | -1.70 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 73.35 | 06/14/21 | 72.24 | ... | 1.11 | 2 of 3 - Sale ²⁵ |
| | 5.000 | 122.26 | 06/14/21 | 119.90 | ... | 2.36 | 3 of 3 - Sale ²⁵ |
| 06/21/21 | 10.000 | 244.51 | Various | 242.74 | ... | 1.77 | Total of 3 transactions |
| GLOBAL SHIP LEASE, INC. / CUSIP: Y27183600 / Symbol: | | | | | | | |
| 06/11/21 | 20.000 | 398.31 | 04/21/21 | 265.80 | ... | 132.51 | Sale ²⁵ |
| 3 transactions for 06/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 195.50 | 04/21/21 | 132.90 | ... | 62.60 | 1 of 3 - Sale ²⁵ |
| | 0.467 | 9.13 | 06/04/21 | 7.50 | ... | 1.63 | 2 of 3 - Sale ²⁵ |
| | 12.533 | 245.02 | 06/04/21 | 198.90 | ... | 46.12 | 3 of 3 - Sale ²⁵ |
| 06/28/21 | 23.000 | 449.65 | Various | 339.30 | ... | 110.35 | Total of 3 transactions |
| 2 transactions for 06/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 19.65 | 06/04/21 | 15.87 | ... | 3.78 | 1 of 2 - Sale ²⁵ |
| | 6.467 | 127.01 | 06/04/21 | 102.63 | ... | 24.38 | 2 of 2 - Sale ²⁵ |
| 06/29/21 | 7.467 | 146.66 | Various | 118.50 | ... | 28.16 | Total of 2 transactions |
| 3 transactions for 06/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 98.20 | 06/11/21 | 101.19 | 2.99 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 3.000 | 58.92 | 06/28/21 | 59.61 | 0.69 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 4.533 | 89.03 | 06/28/21 | 90.59 | 1.56 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 06/29/21 | 12.533 | 246.15 | Various | 251.39 | 5.24 W | 0.00 | Total of 3 transactions |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------------|
| GLOBAL SHIP LEASE, INC. / CUSIP: Y27183600 / Symbol: (cont'd) | | | | | | | |
| 8 transactions for 07/01/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.467 | 8.59 | 06/28/21 | 9.61 | 1.02 W | 0.00 | 1 of 8 - Sale ²⁵ |
| | 2.467 | 45.39 | 06/28/21 | 50.75 | 5.36 W | 0.00 | 2 of 8 - Sale ²⁵ |
| | 3.000 | 55.20 | 06/28/21 | 61.36 | 6.16 W | 0.00 | 3 of 8 - Sale ²⁵ |
| | 4.533 | 83.41 | 06/28/21 | 94.39 | 10.98 W | 0.00 | 4 of 8 - Sale ²⁵ |
| | 1.000 | 18.40 | 06/29/21 | 19.42 | 1.02 W | 0.00 | 5 of 8 - Sale ²⁵ |
| | 2.066 | 38.01 | 06/29/21 | 41.61 | 3.60 W | 0.00 | 6 of 8 - Sale ²⁵ |
| | 8.533 | 157.01 | 06/29/21 | 165.45 | 8.44 W | 0.00 | 7 of 8 - Sale ²⁵ |
| | 17.934 | 329.98 | 06/29/21 | 355.00 | 25.02 W | 0.00 | 8 of 8 - Sale ²⁵ |
| 07/01/21 | 40.000 | 735.99 | Various | 797.59 | 61.60 W | 0.00 | Total of 8 transactions |
| 12 transactions for 08/06/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.533 | 82.45 | 06/29/21 | 98.87 | ... | -16.42 | 1 of 12 - Sale ²⁵ |
| | 3.000 | 54.57 | 06/29/21 | 64.33 | ... | -9.76 | 2 of 12 - Sale ²⁵ |
| | 2.467 | 44.87 | 06/29/21 | 53.20 | ... | -8.33 | 3 of 12 - Sale ²⁵ |
| | 0.467 | 8.50 | 06/29/21 | 10.08 | ... | -1.58 | 4 of 12 - Sale ²⁵ |
| | 17.934 | 326.22 | 06/30/21 | 375.27 | ... | -49.05 | 5 of 12 - Sale ²⁵ |
| | 2.066 | 37.58 | 06/30/21 | 43.95 | ... | -6.37 | 6 of 12 - Sale ²⁵ |
| | 8.533 | 155.21 | 07/01/21 | 166.77 | ... | -11.56 | 7 of 12 - Sale ²⁵ |
| | 10.000 | 181.90 | 07/01/21 | 185.79 | ... | -3.89 | 8 of 12 - Sale ²⁵ |
| | 30.000 | 545.70 | 07/01/21 | 549.34 | ... | -3.64 | 9 of 12 - Sale ²⁵ |
| | 20.000 | 363.80 | 07/01/21 | 366.23 | ... | -2.43 | 10 of 12 - Sale ²⁵ |
| | 1.000 | 18.19 | 07/01/21 | 19.58 | ... | -1.39 | 11 of 12 - Sale ²⁵ |
| | 0.467 | 8.49 | 07/01/21 | 8.66 | ... | -0.17 | 12 of 12 - Sale ²⁵ |
| 08/06/21 | 100.467 | 1,827.48 | Various | 1,942.07 | ... | -114.59 | Total of 12 transactions |
| | Security total: | 3,804.24 | | 3,714.65 | 66.84 W | 156.43 | |
| STAR BULK CARRIERS CORP. COMMO N SHARES / CUSIP: Y8162K204 / Symbol: | | | | | | | |
| 03/23/21 | 15.000 | 228.17 | 03/12/21 | 242.25 | 4.69 W | -9.39 | Sale ²⁵ |
| 03/31/21 | 15.000 | 221.77 | 03/12/21 | 242.25 | ... | -20.48 | Sale ²⁵ |
| 2 transactions for 05/27/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 95.50 | 04/22/21 | 95.40 | ... | 0.10 | 1 of 2 - Sale ²⁵ |
| | 5.000 | 95.50 | 05/13/21 | 105.09 | ... | -9.59 | 2 of 2 - Sale ²⁵ |
| 05/27/21 | 10.000 | 191.00 | Various | 200.49 | ... | -9.49 | Total of 2 transactions |
| 05/27/21 | 10.000 | 191.00 | 05/11/21 | 232.38 | 41.38 W | 0.00 | Sale ²⁵ |

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Robinhood Securities LLC

Account 156901654

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | 7- Loss not allowed (X) also not reported (Z) | Gain or loss(-) & Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| STAR BULK CARRIERS CORP. COMMO N SHARES / CUSIP: Y8162K204 / Symbol: (cont'd) | | | | | | | |
| 06/25/21 | 10.000 | 241.00 | 06/25/21 | 283.68 | ... | -42.68 | Sale ²⁵ |
| | Security total: | 1,072.94 | | 1,201.05 | 46.07 W | -82.04 | |
| Totals : | | 1,833,689.43 | | 1,871,378.42 | 35,174.87 W | -2,514.12 | |

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Robinhood Securities LLC

Account 156901654

Detail for Dividends and Distributions

2021

03/02/2022 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

| Security description | CUSIP and/or symbol | State | Date | Amount | Transaction type | Notes |
|--------------------------------------|---------------------|-------|----------|---------------|--|-------|
| AT&T INC. | 00206R102 | | 11/01/21 | 21.84 | Qualified dividend | |
| ALBEMARLE CORPORATION | 012653101 | | 07/01/21 | 1.56 | Qualified dividend | |
| AMERICAN EXPRESS COMPANY | 025816109 | | 08/10/21 | 4.30 | Qualified dividend | |
| | | | 11/10/21 | 2.15 | Qualified dividend | |
| | | | | 6.45 | Total Dividends & distributions | |
| AMGEN INC. COMMON STOCK | 031162100 | | 06/08/21 | 5.28 | Qualified dividend | |
| | | | 12/08/21 | 6.53 | Qualified dividend | |
| | | | | 11.81 | Total Dividends & distributions | |
| APPLE INC. COMMON STOCK | 037833100 | | 02/11/21 | 6.15 | Qualified dividend | |
| | | | 05/13/21 | 3.52 | Qualified dividend | |
| | | | 08/12/21 | 22.00 | Qualified dividend | |
| | | | 11/11/21 | 2.20 | Qualified dividend | |
| | | | | 33.87 | Total Dividends & distributions | |
| APPLIED MATERIALS, INC. COMMON STOCK | 038222105 | | 06/17/21 | 1.20 | Qualified dividend | |
| ARMOUR RESIDENTIAL REIT, INC. | 042315507 | | 02/26/21 | 20.00 | Nondividend distribution | 03 |
| | | | 03/29/21 | 84.46 | Nondividend distribution | 03 |
| | | | 05/27/21 | 14.80 | Nondividend distribution | 03 |
| | | | 06/29/21 | 10.00 | Nondividend distribution | 03 |
| | | | 08/27/21 | 7.60 | Nondividend distribution | 03 |
| | | | | 136.86 | Total Dividends & distributions | |
| CF INDUSTRIES HOLDING, INC. | 125269100 | | 11/30/21 | 1.37 | Qualified dividend | |

Robinhood Securities LLC

Account 156901654

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

| Security description | CUSIP and/or symbol | State | Date | Amount | Transaction type | Notes |
|--|---------------------|-------|----------|--------------|--|-------|
| CIT GROUP INC. | 125581801 | | 08/13/21 | 4.20 | Qualified dividend | |
| CSX CORPORATION COMMON STOCK | 126408103 | | 12/15/21 | 1.87 | Qualified dividend | |
| CITIGROUP INC. | 172967424 | | 11/24/21 | 2.55 | Qualified dividend | |
| COCA-COLA COMPANY | 191216100 | | 07/01/21 | 4.20 | Qualified dividend | |
| | | | 10/01/21 | 42.00 | Qualified dividend | |
| | | | 12/15/21 | 42.00 | Qualified dividend | |
| | | | | 88.20 | Total Dividends & distributions | |
| DIREXION DAILY HEALTHCARE BULL 3X SHARES | 25459Y876 | | 12/29/21 | 0.13 | Qualified dividend | |
| DISCOVER FINANCIAL SERVICES | 254709108 | | 03/04/21 | 2.20 | Qualified dividend | |
| DOLLAR GENERAL CORP. | 256677105 | | 10/19/21 | 0.84 | Qualified dividend | |
| DOW INC. | 260557103 | | 03/12/21 | 35.00 | Qualified dividend | |
| | | | 06/11/21 | 17.50 | Qualified dividend | |
| | | | | 52.50 | Total Dividends & distributions | |
| ETFMG ALTERNATIVE HARVEST ETF | 26924G508 | | 03/26/21 | 2.06 | Qualified dividend | 03 |
| | | | 03/26/21 | -0.06 | Foreign tax withheld-Various | 03 |
| | | | 06/24/21 | 6.06 | Qualified dividend | 03 |
| | | | 06/24/21 | -0.06 | Foreign tax withheld-Various | 03 |
| | | | 09/23/21 | 6.06 | Qualified dividend | 03 |
| | | | 09/23/21 | -0.06 | Foreign tax withheld-Various | 03 |
| | | | 11/17/21 | -5.64 | Adj- Qualified dividend | |
| | | | 11/17/21 | -5.82 | Adj- Qualified dividend | |
| | | | 12/30/21 | 1.63 | Qualified dividend | 03 |
| | | | 12/30/21 | -0.01 | Foreign tax withheld-Various | 03 |
| | | | | 4.35 | Total Dividends & distributions | |
| | | | | -0.19 | Total Foreign tax withheld | |
| EVERSOURCE ENERGY | 30040W108 | | 12/31/21 | 0.79 | Qualified dividend | |
| EXXON MOBIL CORPORATION | 30231G102 | | 03/10/21 | 8.70 | Qualified dividend | |
| FEDEX CORPORATION | 31428X106 | | 07/12/21 | 7.50 | Qualified dividend | |
| FORTIVE CORPORATION | 34959J108 | | 09/24/21 | 2.10 | Qualified dividend | |

Robinhood Securities LLC

Account 156901654

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

| Security description | CUSIP and/or symbol | State | Date | Amount | Transaction type | Notes |
|---|---------------------|-------|----------|--------------|--|-------|
| ARTHUR J. GALLAGHER & CO. | 363576109 | | 12/17/21 | 1.10 | Qualified dividend | |
| GLOBAL X SUPERDIVIDEND U.S. ET F | 37950E291 | | 07/14/21 | 4.08 | Qualified dividend | 03 |
| | | | 07/14/21 | 2.51 | Nonqualified dividend | 03 |
| | | | 07/14/21 | 1.16 | Nondividend distribution | 03 |
| | | | 07/14/21 | 0.70 | Section 199A dividend | 03 |
| | | | | 8.45 | Total Dividends & distributions | |
| GLOBAL X U.S. INFRASTRUCTURE D EVELOPMENT ETF | 37954Y673 | | 07/08/21 | 3.99 | Qualified dividend | |
| W.W. GRAINGER, INC. | 384802104 | | 12/01/21 | 0.69 | Qualified dividend | |
| HALLIBURTON COMPANY | 406216101 | | 06/23/21 | 1.80 | Qualified dividend | |
| HOME DEPOT, INC. | 437076102 | | 12/16/21 | 1.65 | Qualified dividend | |
| HONEYWELL INTERNATIONAL INC. C OMMON STOCK | 438516106 | | 12/03/21 | 2.21 | Qualified dividend | |
| INNOVATIVE INDUSTRIAL PROPERTI ES, INC. COMMON STOCK | 45781V101 | | 01/14/22 | 0.10 | Section 199A dividend | 03 |
| ISHARES U.S. INFRASTRUCTURE ET F | 46435U713 | | 03/31/21 | 0.52 | Qualified dividend | |
| JPMORGAN CHASE & CO. | 46625H100 | | 08/02/21 | 18.00 | Qualified dividend | |
| JOHNSON & JOHNSON | 478160104 | | 12/07/21 | 3.24 | Qualified dividend | |
| KEYCORP | 493267108 | | 03/15/21 | 18.50 | Qualified dividend | |
| | | | 06/15/21 | 7.40 | Qualified dividend | |
| | | | 09/15/21 | 9.25 | Qualified dividend | |
| | | | | 35.15 | Total Dividends & distributions | |
| LKQ CORPORATION COMMON STOCK | 501889208 | | 12/02/21 | 3.16 | Qualified dividend | |
| LAM RESEARCH CORPORATION COMMO N STOCK | 512807108 | | 07/07/21 | 1.30 | Qualified dividend | |
| LOCKHEED MARTIN CORP. | 539830109 | | 09/24/21 | 13.00 | Qualified dividend | |
| LOUISIANA-PACIFIC CORP. | 546347105 | | 12/01/21 | 1.37 | Qualified dividend | |
| LOWE'S COMPANIES INC. | 548661107 | | 11/03/21 | 0.80 | Qualified dividend | |

Robinhood Securities LLC

Account 156901654

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

| Security description | CUSIP and/or symbol | State | Date | Amount | Transaction type | Notes |
|--|---------------------|-------|----------|--------------|--|-------|
| M.D.C. HOLDINGS, INC. | 552676108 | | 02/24/21 | 4.00 | Qualified dividend | |
| | | | 05/26/21 | 4.00 | Qualified dividend | |
| | | | | 8.00 | Total Dividends & distributions | |
| MCDONALD'S CORPORATION | 580135101 | | 06/15/21 | 5.16 | Qualified dividend | |
| | | | 12/15/21 | 6.90 | Qualified dividend | |
| | | | | 12.06 | Total Dividends & distributions | |
| MICROSOFT CORPORATION COMMON STOCK | 594918104 | | 06/10/21 | 1.68 | Qualified dividend | |
| | | | 12/09/21 | 2.48 | Qualified dividend | |
| | | | | 4.16 | Total Dividends & distributions | |
| NEWMONT CORPORATION | 651639106 | | 12/28/21 | 5.54 | Qualified dividend | |
| NEXTRA ENERGY, INC. | 65339F101 | | 06/15/21 | 12.71 | Qualified dividend | |
| | | | 09/15/21 | 11.55 | Qualified dividend | |
| | | | | 24.26 | Total Dividends & distributions | |
| NORTHROP GRUMMAN CORP. | 666807102 | | 12/15/21 | 1.57 | Qualified dividend | |
| NVIDIA CORPORATION COMMON STOCK | 67066G104 | | 12/23/21 | 0.12 | Qualified dividend | |
| OLD NATIONAL BANCORP COMMON STOCK | 680033107 | | 12/15/21 | 1.68 | Qualified dividend | |
| OMEGA HEALTHCARE INVESTORS INC. | 681936100 | | 05/17/21 | 9.93 | Section 199A dividend | 03 |
| | | | 05/17/21 | 1.71 | Long-term capital gain | 03 |
| | | | 05/17/21 | 1.17 | Unrecaptured section 1250 gain | 03 |
| | | | 05/17/21 | 0.59 | Nondividend distribution | 03 |
| | | | | 13.40 | Total Dividends & distributions | |
| OVINTIV INC. | 69047Q102 | | 12/31/21 | 1.12 | Qualified dividend | |
| PRINCIPAL FINANCIAL GROUP INC COMMON STOCK | 74251V102 | | 12/21/21 | 5.17 | Qualified dividend | |
| PROCTER & GAMBLE COMPANY | 742718109 | | 05/17/21 | 8.70 | Qualified dividend | |
| PROGRESSIVE CORPORATION | 743315103 | | 12/29/21 | 3.12 | Qualified dividend | |
| QUALCOMM INCORPORATED COMMON STOCK | 747525103 | | 09/23/21 | 1.36 | Qualified dividend | |
| SPDR S&P REGIONAL BANKING ETF | 78464A698 | | 12/23/21 | 0.05 | Qualified dividend | |

Robinhood Securities LLC

Account 156901654

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

| Security description | CUSIP and/or symbol | State | Date | Amount | Transaction type | Notes |
|---|---------------------|-------|----------|---------------|--|-------|
| THE CHARLES SCHWAB CORPORATION | 808513105 | | 08/27/21 | 1.80 | Qualified dividend | |
| THE J.M. SMUCKER COMPANY | 832696405 | | 12/01/21 | 3.96 | Qualified dividend | |
| SNAP-ON INCORPORATED | 833034101 | | 12/10/21 | 1.80 | Qualified dividend | |
| STARBUCKS CORPORATION COMMON S TOCK | 855244109 | | 05/28/21 | 2.25 | Qualified dividend | |
| | | | 11/26/21 | 14.88 | Qualified dividend | |
| | | | | 17.13 | Total Dividends & distributions | |
| SUNCOKE ENERGY INC | 86722A103 | | 06/01/21 | 1.80 | Qualified dividend | |
| TJX COMPANIES, INC. (THE) | 872540109 | | 12/02/21 | 4.07 | Qualified dividend | |
| TAIWAN SEMICONDUCTOR MANUFACTU RING COMPANY LTD. | 874039100 | | 04/15/21 | 13.20 | Qualified dividend | |
| | | | 04/15/21 | -2.77 | Foreign tax withheld-TW | |
| | | | 07/15/21 | 14.26 | Qualified dividend | |
| | | | 07/15/21 | -2.99 | Foreign tax withheld-TW | |
| | | | 10/14/21 | 14.74 | Qualified dividend | |
| | | | 10/14/21 | -3.10 | Foreign tax withheld-TW | |
| | | | | 42.20 | Total Dividends & distributions | |
| | | | | -8.86 | Total Foreign tax withheld | |
| TECK RESOURCES LIMITED | 878742204 | | 06/30/21 | 2.05 | Nonqualified dividend | |
| | | | 06/30/21 | -0.31 | Foreign tax withheld-CA | |
| | | | | 2.05 | Total Dividends & distributions | |
| | | | | -0.31 | Total Foreign tax withheld | |
| TORO COMPANY (THE) | 891092108 | | 07/14/21 | 2.63 | Qualified dividend | |
| UNITED PARCEL SERVICE, INC. CL ASS B | 911312106 | | 09/09/21 | 5.10 | Qualified dividend | |
| VALERO ENERGY CORPORATION | 91913Y100 | | 03/04/21 | 49.98 | Qualified dividend | |
| | | | 06/08/21 | 29.40 | Qualified dividend | |
| | | | 09/02/21 | 98.00 | Qualified dividend | |
| | | | 12/09/21 | 19.60 | Qualified dividend | |
| | | | | 196.98 | Total Dividends & distributions | |
| VERISK ANALYTICS, INC. COMMON STOCK | 92345Y106 | | 12/31/21 | 0.27 | Qualified dividend | |
| VISA INC. | 92826C839 | | 06/01/21 | 0.96 | Qualified dividend | |

Robinhood Securities LLC

Account 156901654

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

| Security description | CUSIP and/or symbol | State | Date | Amount | Transaction type | Notes |
|--|---------------------|-------|----------|-----------------|--|-------|
| WABTEC INC. | 929740108 | | 11/29/21 | 0.50 | Qualified dividend | |
| WALMART INC. | 931142103 | | 09/07/21 | 2.75 | Qualified dividend | |
| WELLS FARGO & CO. | 949746101 | | 06/01/21 | 5.00 | Qualified dividend | |
| WEST PHARMACEUTICAL SERVICES, INC. | 955306105 | | 11/17/21 | 1.30 | Qualified dividend | |
| XYLEM INC | 98419M100 | | 12/21/21 | 0.56 | Qualified dividend | |
| ZIM INTEGRATED SHIPPING SERVICES LTD. | M9T951109 | | 09/15/21 | 200.00 | Nonqualified dividend | |
| | | | 09/15/21 | -50.00 | Foreign tax withheld-IS | |
| | | | 12/27/21 | 2.50 | Nonqualified dividend | |
| | | | 12/27/21 | -0.63 | Foreign tax withheld-IS | |
| | | | | 202.50 | Total Dividends & distributions | |
| | | | | -50.63 | Total Foreign tax withheld | |
| GLOBAL SHIP LEASE, INC. | Y27183600 | | 06/03/21 | 7.50 | Nonqualified dividend | |
| STAR BULK CARRIERS CORP. COMMON SHARES | Y8162K204 | | 06/14/21 | 6.00 | Nonqualified dividend | |
| | | | | 1,084.59 | Total Dividends & distributions | |
| | | | | -59.99 | Total Foreign tax withheld | |

Robinhood Securities LLC

Account 156901654

Detail for Miscellaneous Information

2021

03/02/2022 AMENDED

| Security description | CUSIP and/or symbol | Date | Amount | Transaction type | Notes |
|---|---------------------|----------|--------------|-----------------------------------|-------|
| ALBEMARLE CORPORATION | 012653101 | 07/01/21 | 0.39 | Substitute payment | |
| ARMOUR RESIDENTIAL REIT, INC. | 042315507 | 03/29/21 | 15.70 | Substitute payment | |
| | | 05/27/21 | 0.20 | Substitute payment | |
| | | 08/27/21 | 2.40 | Substitute payment | |
| | | | 18.30 | Total Miscellaneous income | |
| CF INDUSTRIES HOLDING, INC. | 125269100 | 11/30/21 | 0.60 | Substitute payment | |
| CIT GROUP INC. | 125581801 | 08/13/21 | 2.80 | Substitute payment | |
| DIREXION DAILY FINANCIAL BULL 3X SHARES | 25459Y694 | 12/29/21 | 1.79 | Substitute payment | |
| ETFMG ALTERNATIVE HARVEST ETF | 26924G508 | 11/17/21 | 5.82 | Substitute payment | |
| | | 11/17/21 | 5.64 | Substitute payment | |
| | | 12/30/21 | 5.74 | Substitute payment | |
| | | | 17.20 | Total Miscellaneous income | |
| EVERSOURCE ENERGY | 30040W108 | 12/31/21 | 0.60 | Substitute payment | |
| LKQ CORPORATION COMMON STOCK | 501889208 | 12/02/21 | 1.25 | Substitute payment | |
| OLD NATIONAL BANCORP COMMON STOCK | 680033107 | 12/15/21 | 1.12 | Substitute payment | |
| PROSHARES ULTRA S&P500 | 74347R107 | 09/29/21 | 0.50 | Substitute payment | |
| SPDR S&P REGIONAL BANKING ETF | 78464A698 | 12/23/21 | 6.71 | Substitute payment | |
| SEMPRA | 816851109 | 10/15/21 | 5.50 | Substitute payment | |
| THE J.M. SMUCKER COMPANY | 832696405 | 12/01/21 | 1.98 | Substitute payment | |
| SNAP-ON INCORPORATED | 833034101 | 12/10/21 | 2.84 | Substitute payment | |
| VISA INC. | 92826C839 | 06/02/21 | 0.32 | Substitute payment | |
| WABTEC INC. | 929740108 | 11/29/21 | 0.36 | Substitute payment | |
| XYLEM INC | 98419M100 | 12/21/21 | 0.28 | Substitute payment | |
| NORDIC AMERICAN TANKER | G65773106 | 03/31/21 | 8.00 | Substitute payment | |
| | | | 70.54 | Total Miscellaneous income | |

Robinhood Securities LLC

Account 156901654

Other Receipts and Reconciliations

2021

03/02/2022 AMENDED

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

| Security description | CUSIP and/or symbol | Date | Amount | Transaction type | Notes |
|---|----------------------------|-------------|---------------|--------------------------------------|--------------|
| INNOVATIVE INDUSTRIAL PROPERTI ES, INC. COMMON STOCK | 45781V101 | 01/14/22 | 1.40 | Deferred income payment | 03,24 |
| | | | 1.40 | Total Deferred income payment | |

Robinhood Securities LLC

Account 156901654

Fees and Expenses

2021

03/02/2022 AMENDED

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

| Description | CUSIP and/or symbol | Date | Amount | Transaction type | Notes |
|---------------|---------------------|----------|------------------|-----------------------------------|-------|
| | | 01/04/21 | -5.00 | Margin interest paid | |
| | | 01/04/21 | -110.84 | Margin interest paid | |
| | | 02/03/21 | -5.00 | Margin interest paid | |
| | | 02/03/21 | -75.04 | Margin interest paid | |
| | | 03/05/21 | -5.00 | Margin interest paid | |
| | | 03/05/21 | -74.95 | Margin interest paid | |
| | | 04/05/21 | -5.00 | Margin interest paid | |
| | | 04/05/21 | -29.87 | Margin interest paid | |
| | | 05/04/21 | -5.00 | Margin interest paid | |
| | | 05/04/21 | -5.69 | Margin interest paid | |
| | | 06/03/21 | -5.00 | Margin interest paid | |
| | | 06/03/21 | -46.98 | Margin interest paid | |
| | | 07/06/21 | -5.00 | Margin interest paid | |
| | | 07/06/21 | -64.05 | Margin interest paid | |
| | | 08/02/21 | -5.00 | Margin interest paid | |
| | | 08/02/21 | -79.24 | Margin interest paid | |
| | | | -526.66 | Total Margin interest paid | |
| Margin charge | | 09/01/21 | -5.00 | Margin interest paid | |
| | | 09/01/21 | -89.05 | Margin interest paid | |
| | | 10/01/21 | -5.00 | Margin interest paid | |
| | | 10/01/21 | -88.34 | Margin interest paid | |
| | | 11/01/21 | -5.00 | Margin interest paid | |
| | | 11/01/21 | -88.37 | Margin interest paid | |
| | | 11/30/21 | -5.00 | Margin interest paid | |
| | | 11/30/21 | -91.90 | Margin interest paid | |
| | | 12/30/21 | -5.00 | Margin interest paid | |
| | | 12/30/21 | -93.93 | Margin interest paid | |
| | | | -476.59 | Total Margin interest paid | |
| | | | -1,003.25 | Total Margin interest paid | |

Robinhood Securities LLC

Account 156901654

Mutual Fund and UIT Supplemental Information

2021

03/02/2022 AMENDED

The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

ETFMG ALTERNATIVE HARVEST ETF / 26924G508**FOREIGN SOURCE INCOME PERCENTAGES**

| | | | | | |
|--------------------|--------|---------------------|-------|--------------------|-------|
| Fgn Source Inc Tot | 17.55% | Fgn Source Inc Qual | 0.00% | Fgn Source Inc Adj | 0.00% |
|--------------------|--------|---------------------|-------|--------------------|-------|

Robinhood Securities LLC

Account 156901654

Foreign Income and Taxes Summary

2021

03/02/2022 AMENDED

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

| Country | Security Description | CUSIP | Nonqualified | Dividends | | Interest | Total Income | Tax |
|-----------------------------|--|-----------|---------------|--------------|--|---------------|---------------|---------------|
| | | | | Qualified | | | | |
| | ETFMG ALTERNATIVE HARVEST ETF | 26924G508 | 0.00 | 4.35 | | 0.00 | 4.35 | -0.19 |
| Total | | | 0.00 | 4.35 | | 0.00 | 4.35 | -0.19 |
| CA | TECK RESOURCES LIMITED | 878742204 | 2.05 | 0.00 | | 0.00 | 2.05 | -0.31 |
| Total CANADA | | | 2.05 | 0.00 | | 0.00 | 2.05 | -0.31 |
| GR | STAR BULK CARRIERS CORP. COMMO N SHARES | Y8162K204 | 6.00 | 0.00 | | 0.00 | 6.00 | 0.00 |
| Total GREECE | | | 6.00 | 0.00 | | 0.00 | 6.00 | 0.00 |
| IS | ZIM INTEGRATED SHIPPING SERVIC ES LTD. | M9T951109 | 202.50 | 0.00 | | 0.00 | 202.50 | -50.63 |
| Total ISRAEL | | | 202.50 | 0.00 | | 0.00 | 202.50 | -50.63 |
| TW | TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD. | 874039100 | 0.00 | 42.20 | | 0.00 | 42.20 | -8.86 |
| Total TAIWAN | | | 0.00 | 42.20 | | 0.00 | 42.20 | -8.86 |
| UK | GLOBAL SHIP LEASE, INC. | Y27183600 | 7.50 | 0.00 | | 0.00 | 7.50 | 0.00 |
| Total UNITED KINGDOM | | | 7.50 | 0.00 | | 0.00 | 7.50 | 0.00 |
| Grand Total | Total Foreign Source Income* | | | | | 264.60 | | |
| | Qualified Foreign Source Income** | | | | | 46.55 | | |
| | Foreign Tax | | | | | -59.99 | | |

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 156901654

Non-Reportable Actions

2021

03/02/2022 AMENDED

| Security Description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|--|------------------------|----------------------|---------------|--------------|------------------|-------|
| GORES HOLDINGS IV, INC. CLASS A COMMON STOCK | 382865103 | 01/22/21 | -100.00 | 0.00 | Exchange | |
| UWM HOLDINGS CORPORATION | 91823B109 | 01/22/21 | 100.00 | 0.00 | Exchange | |
| ASXC 01/21/2022 PUT \$3.50 | ASXC 01/21/22 P 3.500 | 03/05/21 | -3.00 | 0.00 | Merger | |
| GHIV 05/21/2021 CALL \$15.00 | GHIV 05/21/21 C 15.000 | 01/22/21 | 1.00 | 0.00 | Merger | |
| GHIV 05/21/2021 PUT \$10.00 | GHIV 05/21/21 P 10.000 | 01/22/21 | 1.00 | 0.00 | Merger | |
| MARA 01/21/2022 PUT \$17.00 | MARA 01/21/22 P 17.000 | 03/01/21 03/01/21 | 2.00 -2.00 | 0.00 0.00 | Merger Merger | |
| TRXC 01/21/2022 PUT \$3.50 | TRXC 01/21/22 P 3.500 | 03/05/21 | 3.00 | 0.00 | Merger | |
| UWMC 05/21/2021 CALL \$15.00 | UWMC 05/21/21 C 15.000 | 01/22/21 | -1.00 | 0.00 | Merger | |
| UWMC 05/21/2021 PUT \$10.00 | UWMC 05/21/21 P 10.000 | 01/22/21 | -1.00 | 0.00 | Merger | |
| VXX 06/18/2021 CALL \$25.00 | VXX 06/18/21 C 25.000 | 04/23/21 | -20.00 | 0.00 | Merger | |
| VXX 06/18/2021 CALL \$40.00 | VXX 06/18/21 C 40.000 | 04/23/21 | 20.00 | 0.00 | Merger | |
| VXX1 06/18/2021 CALL \$25.00 | VXX1 06/18/21 C 25.000 | 04/23/21 | 20.00 | 0.00 | Merger | |
| VXX1 06/18/2021 CALL \$40.00 | VXX1 06/18/21 C 40.000 | 04/23/21 | -20.00 | 0.00 | Merger | |
| ZIM 10/15/2021 CALL \$38.00 | ZIM 10/15/21 C 38.000 | 08/24/21 | 1.00 | 0.00 | Merger | |
| ZIM 10/15/2021 CALL \$40.00 | ZIM 10/15/21 C 40.000 | 08/24/21 | -1.00 | 0.00 | Merger | |
| ZIM 10/15/2021 CALL \$48.00 | ZIM 10/15/21 C 48.000 | 08/24/21 | -1.00 | 0.00 | Merger | |
| ZIM 10/15/2021 CALL \$50.00 | ZIM 10/15/21 C 50.000 | 08/24/21 | 1.00 | 0.00 | Merger | |
| ZIM 10/15/2021 CALL \$53.00 | ZIM 10/15/21 C 53.000 | 08/24/21 | -1.00 | 0.00 | Merger | |
| ZIM 10/15/2021 CALL \$55.00 | ZIM 10/15/21 C 55.000 | 08/24/21 | 1.00 | 0.00 | Merger | |

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 24 Although distributions by mutual funds or REITs are ordinarily reported in the year of record date (2021), the payer will not determine the tax character of this distribution until the conclusion of 2022. It will be reported on your tax statement for that year
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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